

**STATE OF ILLINOIS
GENERAL ASSEMBLY**

HOUSE OF REPRESENTATIVES

COMPLIANCE EXAMINATION

For the Two Years Ended June 30, 2011

**Performed as Special Assistant Auditors
For the Auditor General, State of Illinois**

STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2011

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**STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2011**

AGENCY OFFICIALS

Speaker of the House	Representative Michael J. Madigan
Minority Leader	Representative Tom Cross
Clerk of the House	Timothy D. Mapes
Assistant Clerk of the House	Bradley S. Bolin

Agency offices are located at:

Office of the Speaker of the House
300 State House
Springfield, Illinois 62706

Office of the Minority Leader
316 State House
Springfield, Illinois 62706

Office of the Clerk of the House
420 State House
Springfield, Illinois 62706

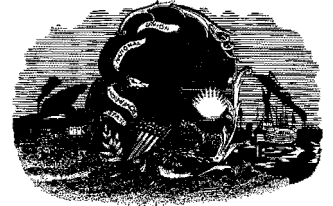


TIMOTHY D. MAPES
CLERK

BRAD BOLIN
ASSISTANT CLERK

ILLINOIS HOUSE OF REPRESENTATIVES
OFFICE OF THE CLERK

97TH GENERAL ASSEMBLY



MICHAEL J. MADIGAN
SPEAKER

January 18, 2012

West & Company, LLC
919 E. Harris Avenue
Greenville, IL 62246

Ladies and Gentlemen:

We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the Agency. We are responsible for and we have established and maintained an effective system of internal controls over compliance requirements. We have performed an evaluation of the Agency's compliance with the following assertions during the two-year period ended June 30, 2011. Based on this evaluation, we assert that during the years ended June 30, 2011 and June 30, 2010, the Agency has materially complied with the assertions below.

- A. The agency has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The agency has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The agency has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the agency are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.

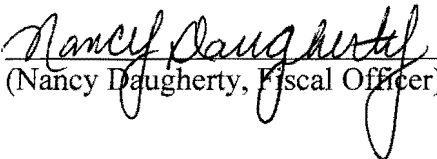
- E. Money or negotiable securities or similar assets handled by the agency on behalf of the State or held in trust by the agency have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate and in accordance with law.

Yours very truly,

Clerk of the House



(Timothy Mapes, Chief of Staff)



(Nancy Daugherty, Fiscal Officer)



**GENERAL ASSEMBLY
STATE OF ILLINOIS**

MICHAEL J. MADIGAN
SPEAKER
HOUSE OF REPRESENTATIVES

ROOM 300
STATE HOUSE
SPRINGFIELD, ILLINOIS 62706

January 18, 2012

West & Company, LLC
919 E. Harris Avenue
Greenville, IL 62246

Ladies and Gentlemen:


We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the Agency. We are responsible for and we have established and maintained an effective system of internal controls over compliance requirements. We have performed an evaluation of the Agency's compliance with the following assertions during the two-year period ended June 30, 2011. Based on this evaluation, we assert that during the years ended June 30, 2011 and June 30, 2010, the Agency has materially complied with the assertions below.

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- B. The agency has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The agency has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the agency are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.

- E. Money or negotiable securities or similar assets handled by the agency on behalf of the State or held in trust by the agency have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate and in accordance with law.

Yours very truly,

Illinois House of Representatives – Democratic Leadership



(Timothy Mapes, Chief of Staff)



(Henry Harms, Fiscal Officer)



STATE OF ILLINOIS
HOUSE OF REPRESENTATIVES

TOM CROSS
HOUSE REPUBLICAN LEADER

316 STATE HOUSE
SPRINGFIELD, IL 62706
217/782-1331

January 18, 2012

West & Company, LLC
919 E. Harris Avenue
Greenville, IL 62246

Ladies and Gentlemen:

We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the Agency. We are responsible for and we have established and maintained an effective system of internal controls over compliance requirements. We have performed an evaluation of the Agency's compliance with the following assertions during the two-year period ended June 30, 2011. Based on this evaluation, we assert that during the years ended June 30, 2011 and June 30, 2010, the Agency has materially complied with the assertions below.

- A. The agency has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The agency has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The agency has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the agency are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.

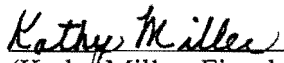
- E. Money or negotiable securities or similar assets handled by the agency on behalf of the State or held in trust by the agency have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate and in accordance with law.

Yours very truly,

Illinois House of Representatives – Republican Leadership



(Scott Reimers, Deputy Chief of Staff)



(Kathy Miller, Fiscal Officer)

**STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2011**

COMPLIANCE REPORT

SUMMARY

The compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and in accordance with the Illinois State Auditing Act.

ACCOUNTANTS' REPORT

The Independent Accountants' Report on State Compliance, on Internal Control Over Compliance and on Supplementary Information for State Compliance does not contain scope limitations, disclaimers, or other significant non-standard language.

SUMMARY OF FINDINGS

<u>Number of</u>	<u>This Report</u>	<u>Prior Report</u>
Findings	—	—
Repeated findings	—	—
Prior recommendations implemented or not repeated	—	—

SCHEDULE OF FINDINGS

CURRENT FINDING

<u>Item</u>	<u>Page</u>	<u>Description</u>
None		

**STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2011**

COMPLIANCE REPORT (continued)

EXIT CONFERENCE

House Republican Leadership waived an exit conference in correspondence dated December 22, 2011.

House Democratic Leadership waived an exit conference in correspondence dated January 4, 2012.

House Clerk Management waived an exit conference in correspondence dated January 4, 2012.

MEMBERS

E. LYNN FREESE
RICHARD C. WEST
BRIAN E. DANIELL
JANICE K. ROMACK
DIANA R. SMITH
D. RAIF PERRY
JOHN H. VOGT
JOSHUA D. LOWE

CERTIFIED PUBLIC ACCOUNTANTS
&
CONSULTANTS

919 E. HARRIS AVENUE
GREENVILLE, ILLINOIS 62246

(618) 664-4848
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OFFICES

EDWARDSVILLE
EFFINGHAM
GREENVILLE
MATTOON
SULLIVAN

**INDEPENDENT ACCOUNTANTS' REPORT ON STATE COMPLIANCE,
ON INTERNAL CONTROL OVER COMPLIANCE, AND ON
SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES**

Honorable William G. Holland
Auditor General
State of Illinois

Compliance

As Special Assistant Auditors for the Auditor General, we have examined the State of Illinois, General Assembly – House of Representatives' compliance with the requirements listed below, as more fully described in the Audit Guide for Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (*Audit Guide*) as adopted by the Auditor General, during the two years ended June 30, 2011. The management of the State of Illinois, General Assembly – House of Representatives is responsible for compliance with these requirements. Our responsibility is to express an opinion on the State of Illinois, General Assembly – House of Representatives' compliance based on our examination.

- A. The State of Illinois, General Assembly – House of Representatives has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The State of Illinois, General Assembly – House of Representatives has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The State of Illinois, General Assembly – House of Representatives has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the State of Illinois, General Assembly – House of Representatives are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the State of Illinois, General Assembly – House of Representatives on behalf of the State or held in trust by the State of Illinois, General Assembly – House of Representatives have been properly and legally administered and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.

We conducted our examination in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States; the Illinois State Auditing Act (Act); and the *Audit Guide* as adopted by the Auditor General pursuant to the Act; and, accordingly, included examining, on a test basis, evidence about the State of Illinois, General Assembly – House of Representatives’ compliance with those requirements listed in the first paragraph of this report and performing such other procedures as we considered necessary in the circumstances. We believe that our examination provides a reasonable basis for our opinion. Our examination does not provide a legal determination on the State of Illinois, General Assembly – House of Representatives’ compliance with specified requirements.

In our opinion, the State of Illinois, General Assembly – House of Representatives complied, in all material respects, with the compliance requirements listed in the first paragraph of this report during the two years ended June 30, 2011.

Internal Control

Management of the State of Illinois, General Assembly – House of Representatives is responsible for establishing and maintaining effective internal control over compliance with the requirements listed in the first paragraph of this report. In planning and performing our examination, we considered the State of Illinois, General Assembly – House of Representatives’ internal control over compliance with the requirements listed in the first paragraph of this report as a basis for designing our examination procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with the *Audit Guide*, issued by the Illinois Office of the Auditor General, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the State of Illinois, General Assembly – House of Representatives’ internal control over compliance.

A *deficiency* in an entity’s internal control over compliance exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with the requirements listed in the first paragraph of this report on a timely basis. A *material weakness in an entity’s internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a requirement listed in the first paragraph of this report will not be prevented, or detected and corrected, on a timely basis.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be deficiencies, significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above.

As required by the *Audit Guide*, immaterial findings excluded from this report have been reported in a separate letter to your office.

Supplementary Information for State Compliance Purposes

Our examination was conducted for the purpose of forming an opinion on compliance with the requirements listed in the first paragraph of this report. The accompanying supplementary information as listed in the table of contents as Supplementary Information for State Compliance Purposes is presented for purposes of additional analysis. We have applied certain limited procedures as prescribed by the *Audit Guide*, as adopted by the Auditor General, to the 2011 and the 2010 Supplementary Information for State Compliance Purposes, except for information on the Service Efforts and Accomplishments on which we did not perform any procedures. However, we do not express an opinion on the supplementary information.

We have not applied procedures to the 2009 Supplementary Information for State Compliance Purposes, and accordingly, we do not express an opinion thereon.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, and agency management, and is not intended to be and should not be used by anyone other than these specified parties.

West + Company, LLC

West & Company, LLC

January 18, 2012

**STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2011**

Current Findings

No findings were reported in the compliance examination of the State of Illinois, General Assembly – House of Representatives for the two years ended June 30, 2011.

**STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2011**

Prior Findings Not Repeated

No findings were reported in the compliance examination of the State of Illinois, General Assembly – House of Representatives for the two years ended June 30, 2009.

**STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES
For the Two Years Ended June 30, 2011**

SUMMARY

Supplementary Information for State Compliance Purposes presented in this section of the report includes the following:

Fiscal Schedules and Analysis:

- Schedule of Appropriations, Expenditures and Lapsed Balances
- Comparative Schedule of Net Appropriations, Expenditures and Lapsed Balances
- Comparative Schedule of Net Appropriations, Expenditures and Lapsed Balances by Major Object Code
- Schedule of Changes in State Property
- Comparative Schedule of Cash Receipts
- Reconciliation Schedule of Cash Receipts to Deposits Remitted to the State Comptroller
- Analysis of Significant Variations in Expenditures
- Analysis of Significant Variations in Receipts
- Analysis of Significant Lapse Period Spending

Analysis of Operations:

- Agency Functions and Planning Program
- Average Number of Employees
- Service Efforts and Accomplishments (not examined)

The accountants' report that covers the Supplementary Information for State Compliance purposes presented in the Compliance Report Section states the accountants have applied certain limited procedures as prescribed by the *Audit Guide*, as adopted by the Auditor General, except for information on the Service Efforts and Accomplishments on which they did not perform any procedures. However, the accountants do not express an opinion on the supplementary information.

**STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
Appropriations For Fiscal Year 2011**

Fourteen Months Ended August 31, 2011

	APPROPRIATIONS NET OF TRANSFERS	EXPENDITURES THROUGH JUNE 30, 2011	LAPSE PERIOD EXPENDITURES JULY 1 TO AUGUST 31, 2011	APPROXIMATE TOTAL EXPENDITURES	APPROXIMATE BALANCES LAPSED
PUBLIC ACT 96-0956 & 97-0056					
<u>GENERAL REVENUE FUND - 001</u>					
For ordinary, incidental, and contingent expenses of leadership staff and office operations					
Speaker	\$ 5,109,643	\$ 3,854,914	\$ 405,407	\$ 4,260,321	\$ 849,322
Minority Leader	4,903,610	4,596,425	85,942	4,682,367	221,243
For the ordinary and incidental expenses of the general staff, operations, and special and standing committees, for per diem employees and for expenses incurred in transcribing and printing of House debate					
	5,346,108	4,439,186	161,029	4,600,215	745,893
For the purchasing on contract as required by law of printing, binding, printing paper, stationary, and office supplies, no part of which shall be expended for expenses of purchasing, handling, or distributing such supplies and against which no indebtedness shall be incurred without the written approval of the Speaker of the House of Representatives					
	94,996	26,240	42,690	68,930	26,066
For travel expenses to Springfield of members on official legislative business during weeks when the General Assembly is not in session					
	30,394	14,364	440	14,804	15,590
For furnishing the items to members throughout the year in connection with their legislative duties and responsibilities and not in connection with any political campaign					
	8,190,285	7,426,829	179,336	7,606,165	584,120
For expenses of Standing House Committees					
	2,382,203	2,251,342	23,956	2,275,298	106,905
For expenses in connection with the planning and preparation of redistricting of legislative and representative districts as required by Article IV, section 3 of the Illinois Constitution of 1970:					
Speaker	389	-	-	-	389
For expenses in connection with the planning and preparation of redistricting of legislative and representative districts as required by Article IV, section 3 of the Illinois Constitution of 1970:					
Speaker	750,000	285,665	-	285,665	464,335
Minority Leader	750,000	423,829	-	423,829	326,171
For expenses in connection with the planning and preparation of redistricting of legislative and representative districts as required by Article IV, section 3 of the Illinois Constitution of 1970:					
Speaker	1,500,000	-	-	-	1,500,000
Minority Leader	1,500,000	-	-	-	1,500,000
Sub-total fund 001	<u>30,557,628</u>	<u>23,318,794</u>	<u>898,800</u>	<u>24,217,594</u>	<u>6,340,034</u>

**STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES (continued)
Appropriations For Fiscal Year 2011**

Fourteen Months Ended August 31, 2011

	APPROPRIATIONS NET OF TRANSFERS	EXPENDITURES THROUGH JUNE 30, 2011	LAPSE PERIOD EXPENDITURES JULY 1 TO AUGUST 31, 2011	APPROXIMATE TOTAL EXPENDITURES	APPROXIMATE BALANCES LAPSED
PUBLIC ACT 96-0956 & 97-0056					
<u>GENERAL ASSEMBLY OPERATIONS</u>					
<u>REVOLVING FUND - 196</u>					
For ordinary and contingent expenses	250,000	-	-	-	250,000
TOTAL FUNDS - FISCAL YEAR 2011	<u>\$ 30,807,628</u>	<u>\$ 23,318,794</u>	<u>\$ 898,800</u>	<u>\$ 24,217,594</u>	<u>\$ 6,590,034</u>

Note 1: The information reflected in this schedule was taken from Agency records and reconciled to records of the State Comptroller.

Note 2: Approximate lapse period expenditures do not include interest payments approved by the agency and submitted to the comptroller for payment after August.

**STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
Appropriations For Fiscal Year 2010**

Fourteen Months Ended August 31, 2010

	APPROPRIATIONS NET OF TRANSFERS	EXPENDITURES THROUGH JUNE 30, 2010	LAPSE PERIOD EXPENDITURES JULY 1 TO AUGUST 31, 2010	TOTAL EXPENDITURES	BALANCES LAPSED
PUBLIC ACT 96-0042					
<u>GENERAL REVENUE FUND - 001</u>					
For ordinary, incidental, and contingent expenses of leadership staff and office operations					
Speaker	\$ 5,109,643	\$ 4,302,988	\$ 599,587	\$ 4,902,575	\$ 207,068
Minority Leader	4,903,610	4,542,576	208,520	4,751,096	152,514
For the ordinary and incidental expenses of the general staff, operations, and special and standing committees, for per diem employees and for expenses incurred in transcribing and printing of House debate					
	5,346,108	4,353,492	417,830	4,771,322	574,786
For the purchasing on contract as required by law of printing, binding, printing paper, stationary, and office supplies, no part of which shall be expended for expenses of purchasing, handling, or distributing such supplies and against which no indebtedness shall be incurred without the written approval of the Speaker of the House of Representatives					
	94,996	40,017	21,340	61,357	33,639
For travel expenses to Springfield of members on official legislative business during weeks when the General Assembly is not in session					
	30,394	4,128	2	4,130	26,264
For furnishing the items to members throughout the year in connection with their legislative duties and responsibilities and not in connection with any political campaign					
	8,190,285	7,559,355	131,454	7,690,809	499,476
For expenses of Standing House Committees					
	2,382,203	2,122,061	28,982	2,151,043	231,160
For expenses in connection with the planning and preparation of redistricting of legislative and representative districts as required by Article IV, section 3 of the Illinois Constitution of 1970:					
Speaker	19,389	19,000	-	19,000	389
For expenses in connection with the planning and preparation of redistricting of legislative and representative districts as required by Article IV, section 3 of the Illinois Constitution of 1970:					
Speaker	250,000	24,259	221,933	246,192	3,808
Minority Leader	250,000	200,990	49,010	250,000	-
Sub-total fund 001	<u>26,576,628</u>	<u>23,168,866</u>	<u>1,678,658</u>	<u>24,847,524</u>	<u>1,729,104</u>
<u>GENERAL ASSEMBLY OPERATIONS</u>					
<u>REVOLVING FUND - 196</u>					
For ordinary and contingent expenses	<u>250,000</u>	<u>11,000</u>	<u>-</u>	<u>11,000</u>	<u>239,000</u>
TOTAL FUNDS - FISCAL YEAR 2010	<u>\$ 26,826,628</u>	<u>\$ 23,179,866</u>	<u>\$ 1,678,658</u>	<u>\$ 24,858,524</u>	<u>\$ 1,968,104</u>

Note 1: The information reflected in this schedule was taken from Agency records and reconciled to records of the State Comptroller.

Note 2: The total expenditures include interest paid through December 31, 2010.

**STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES AND
LAPSED BALANCES**

	FISCAL YEAR		
	2011	2010	2009
	P.A. 96-0956 & P.A. 97-0056	P.A. 96-0042	P.A. 95-0731
<u>GENERAL REVENUE FUND - 001</u>			
Appropriations (net of transfers)	<u>\$ 30,557,628</u>	<u>\$ 26,576,628</u>	<u>\$ 26,142,828</u>
EXPENDITURES			
For ordinary, incidental, and contingent expenses of leadership staff and office operations			
Speaker	4,260,321	4,902,575	4,605,841
Minority Leader	4,682,367	4,751,096	4,872,419
For the ordinary and incidental expenses of the general staff, operations, and special and standing committees, for per diem employees and for expenses incurred in transcribing and printing of House debate			
	4,600,215	4,771,322	4,726,420
For the purchasing on contract as required by law of printing, binding, printing paper, stationery, and office supplies, no part of which shall be expended for expenses of purchasing, handling, or distributing such supplies and against which no indebtedness shall be incurred without the written approval of the Speaker of the House of Representatives			
	68,930	61,357	90,452
For travel expenses to Springfield of members on official legislative business during weeks when the General Assembly is not in session			
	14,804	4,130	28,839
For furnishing the items to members throughout the year in connection with their legislative duties and responsibilities and not in connection with any political campaign			
	7,606,165	7,690,809	7,995,300
For expenses of Standing House Committees			
	2,275,298	2,151,043	2,306,350
For expenses in connection with the planning and preparation of redistricting of legislative and representative districts as required by Article IV, section 3 of the Illinois Constitution of 1970:			
Speaker	-	19,000	66,200
For expenses in connection with the planning and preparation of redistricting of legislative and representative districts as required by Article IV, section 3 of the Illinois Constitution of 1970:			
Speaker	285,665	246,192	-
Minority Leader	423,829	250,000	-
Total expenditures	<u>24,217,594</u>	<u>24,847,524</u>	<u>24,691,821</u>
Lapsed Balances	<u>\$ 6,340,034</u>	<u>\$ 1,729,104</u>	<u>\$ 1,451,007</u>

**STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES AND
LAPSED BALANCES (continued)**

	FISCAL YEAR		
	2011	2010	2009
	P.A. 96-0956 & P.A. 97-0056	P.A. 96-0042	P.A. 95-0731
<u>GENERAL ASSEMBLY OPERATIONS REVOLVING FUND- 196</u>			
Appropriations (net of transfers)	\$ 250,000	\$ 250,000	\$ 250,000
EXPENDITURES			
For ordinary and contingent expenses	-	11,000	11,000
Lapsed Balances	\$ 250,000	\$ 239,000	\$ 239,000
<u>GRAND TOTAL, ALL FUNDS:</u>			
Appropriations (net of transfers)	\$ 30,807,628	\$ 26,826,628	\$ 26,392,828
Expenditures	24,217,594	24,858,524	24,702,821
Lapsed Balances	\$ 6,590,034	\$ 1,968,104	\$ 1,690,007

Note: Approximate 2011 lapse period expenditures do not include interest payments approved for payment by the agency and submitted to the comptroller after August.

**STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES AND
LAPSED BALANCES (continued)**

	FISCAL YEAR		
	2011	2010	2009
	P.A. 96-0956 & P.A. 97-0056	P.A. 96-0042	P.A. 95-0731
STATE OFFICERS' SALARIES			
Appropriations to comptroller's office for House of Representatives members' salaries	\$ 9,666,300	\$ 9,666,300	\$ 10,744,000
EXPENDITURES			
For the Speaker of the House and Minority Leader	52,428	52,428	54,954
For the Majority Leader of the House	22,162	22,162	23,230
For the 12 Assistant Majority and Minority Leaders in the House	206,836	206,836	215,298
For the Majority and Minority Conference Chairmen in the House	34,473	34,473	36,134
For the two Deputy Majority and the two Deputy Minority Leaders in the House	73,951	75,525	79,164
For the Chairmen and Minority Spokesmen of Standing and Select Committees in the House	642,851	762,391	799,479
For Per Diem Allowances for the Members of the House, as provided by law	-	-	1,076,760
For Salaries, 118 Members of the House of Representatives	7,814,008	7,642,009	8,021,607
Total expenditures	8,846,709	8,795,824	10,306,626
Lapsed Balances	\$ 819,591	\$ 870,476	\$ 437,374

**STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES AND
LAPSED BALANCES BY MAJOR OBJECT CODE**

	FISCAL YEAR		
	2011	2010	2009
<u>GENERAL REVENUE FUND - 001</u>			
Appropriations (net of transfers)	\$ 30,807,628	\$ 26,826,628	\$ 26,392,828
EXPENDITURES			
Personal services	\$ 14,325,801	\$ 14,732,387	\$ 13,668,437
Employee retirement contributions paid by employer	100	-	977,738
State contribution to social security	1,039,203	1,066,522	1,043,462
Personal services - contractual	3,214,398	2,858,374	2,763,857
Social security - contractual employees	234,226	208,211	148,046
Contractual services	3,359,852	3,511,166	2,809,095
Travel	338,762	263,544	378,921
Printing	108,449	131,729	197,336
Commodities	302,827	294,041	276,314
Equipment	477,202	583,112	1,689,801
Electronic data processing	13,381	142,205	19,767
Telecommunications	575,839	589,108	679,108
Operation of automobile equipment	13,320	11,624	46,160
Lump sums and other purposes	120,596	71,208	1,679
Permanent improvements	93,638	395,293	3,100
Total expenditures	24,217,594	24,858,524	24,702,821
Lapsed Balances	\$ 6,590,034	\$ 1,968,104	\$ 1,690,007

Note: Approximate 2011 lapse period expenditures do not include interest payments approved for payment by the agency and submitted to the comptroller after August.

**STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
SCHEDULE OF CHANGES IN STATE PROPERTY
For the Two Years Ended June 30,**

	<u>Equipment</u>
Balance at July 1, 2009	\$ 5,965,987
Additions	316,102
Deletions	(160,926)
Net transfers	<u>(206,797)</u>
Balance at June 30, 2010	<u>\$ 5,914,366</u>
Balance at July 1, 2010	\$ 5,914,366
Additions	824,344
Deletions	(94,392)
Net transfers	<u>(131,424)</u>
Balance at June 30, 2011	<u>\$ 6,512,894</u>

Note: The above schedule has been derived from Agency records which have been reconciled to property reports submitted to the Office of the Comptroller.

STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
COMPARATIVE SCHEDULE OF CASH RECEIPTS
For the Years Ended June 30,

	FISCAL YEAR		
	2011	2010	2009
<u>GENERAL REVENUE FUND - 001</u>			
Majority Leadership	\$ 139	\$ 181	\$ 203
Minority Leadership	212	372	357
Clerk of the House	324	942	823
Prior Year Refunds	<u>2,523</u>	<u>3,006</u>	<u>9,029</u>
Total receipts - fund 001	<u>\$ 3,198</u>	<u>\$ 4,501</u>	<u>\$ 10,412</u>

**STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
RECONCILIATION SCHEDULE OF CASH RECEIPTS TO DEPOSITS
REMITTED TO THE STATE COMPTROLLER
For the Years Ended June 30,**

	FISCAL YEAR		
	2011	2010	2009
<u>GENERAL REVENUE FUND - 001</u>			
Majority Leadership			
Deposits per agency records	\$ 139	\$ 181	\$ 203
Deposits in transit beginning of year	-	-	-
Deposits in transit end of year	-	-	-
Deposits per State Comptroller	<u>\$ 139</u>	<u>\$ 181</u>	<u>\$ 203</u>
Minority Leadership			
Deposits per agency records	\$ 212	\$ 372	\$ 357
Deposits in transit beginning of year	-	31	-
Deposits in transit end of year	-	-	(31)
Deposits per State Comptroller	<u>\$ 212</u>	<u>\$ 403</u>	<u>\$ 326</u>
Clerk of the House			
Deposits per agency records	\$ 324	\$ 942	\$ 823
Deposits in transit beginning of year	-	-	-
Deposits in transit end of year	-	-	-
Deposits per State Comptroller	<u>\$ 324</u>	<u>\$ 942</u>	<u>\$ 823</u>
Prior Year Refunds			
Deposits per agency records	\$ 2,523	\$ 3,006	\$ 9,029
Deposits in transit beginning of year	-	-	-
Deposits in transit end of year	-	-	-
Deposits per State Comptroller	<u>\$ 2,523</u>	<u>\$ 3,006</u>	<u>\$ 9,029</u>
Grand Totals for House of Representatives Fund 001			
Deposits per agency records	\$ 3,198	\$ 4,501	\$ 10,412
Deposits in transit beginning of year	-	31	-
Deposits in transit end of year	-	-	(31)
Deposits per State Comptroller	<u>\$ 3,198</u>	<u>\$ 4,532</u>	<u>\$ 10,381</u>

**STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Years Ended June 30, 2011**

The following are explanations for expenditures which differed by at least \$60,000 and by more than 20% from the previous year.

	Fiscal Year		
	2011	2010	2009
<u>GENERAL REVENUE FUND - 001</u>			
For expenses in connection with the planning and preparation of redistricting of legislative and representative districts as required by Article IV, section 3 of the Illinois Constitution of 1970	\$ 285,665	\$ 246,192	\$ -
<p>The increase of \$246,192 or 100% from fiscal year 2009 to fiscal year 2010 was due to the opening of a new appropriation.</p>			
For expenses in connection with the planning and preparation of redistricting of legislative and representative districts as required by Article IV, section 3 of the Illinois Constitution of 1970	\$ 423,829	\$ 250,000	\$ -
<p>The increase of \$250,000 or 100% from fiscal year 2009 to fiscal year 2010 was due to the opening of a new appropriation. The increase of \$173,829 or 70% from fiscal year 2010 to fiscal year 2011 was due to the appropriation being raised and legal fees associated with the redistricting.</p>			

**STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS
For the Two Years Ended June 30, 2011**

The following is an explanation for receipts which differed by at least \$60,000 and by more than 20% for the two fiscal years ended June 30, 2011.

No receipt variations were in excess of above criteria for the two years ended June 30, 2011.

**STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
For the Two Years Ended June 30, 2011**

For the fiscal year ended June 30, 2011, there was no significant lapse period spending of at least \$60,000 and by more than 20%.

For the fiscal year ended June 30, 2010, the following is an explanation for significant lapse period spending of at least \$60,000 and by more than 20%:

<u>Expenditure Item</u>	<u>Fund</u>	<u>Total Expenditures</u>	<u>Lapse Period Expenditures</u>	<u>Percent</u>
For expenses in connection with the planning and preparation of redistricting of legislative and representative districts as required by Article IV, section 3 of the Illinois Constitution of 1970:				
Speaker	001	\$ 246,192	\$ 221,933	90%

Explanation

Lapse period spending was due to EDP equipment purchases at year end and the payment for remodeling that took place prior to June 30, 2010.

**STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
ANALYSIS OF OPERATIONS
For the Two Years Ended June 30, 2011**

AGENCY FUNCTIONS AND PLANNING PROGRAM

Agency Functions

The Legislative Power of the State of Illinois is vested in the General Assembly, which is composed of a 59-member Senate and a 118-member House of Representatives. Its principal activities are enacting, amending or repealing laws, passing resolutions, adopting appropriation bills and conducting inquiries on proposed legislation.

As of 1982, each Legislative district is comprised of one Senate district, which is divided into two representative districts. Every two years, one representative is elected from each representative district for a term of two years.

On the first day of January session of the General Assembly in odd-numbered years, the Secretary of State shall convene the House of Representatives to elect from its membership a Speaker of the House of Representatives as presiding officer. The House shall also elect a Minority Leader, a Clerk of the House, and Assistant Clerk of the House and a Doorkeeper.

Speaker of the House

Speaker of the House of Representatives – Representative Michael J. Madigan

The Speaker has those powers conferred upon him or her by the constitution, the laws of Illinois, and any other motions or resolutions adopted by the House or jointly by the House and Senate. The Speaker is the chief administrative officer of the House and has those powers necessary to carry out those functions. Various duties and responsibilities are outlined in House Rule 4.

The office of the Speaker of the House is located at 300 State House, Springfield, Illinois.

**STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
ANALYSIS OF OPERATIONS
For the Two Years Ended June 30, 2011**

AGENCY FUNCTIONS AND PLANNING PROGRAM (continued)

Minority Leader

Minority Leader – Representative Tom Cross

The Minority Leader has those powers conferred upon him or her by the constitution, the laws of Illinois, and any other motions or resolutions adopted by the House or jointly by the House and Senate. For purposes of powers of appointment conferred by the Constitution, the Minority Leader of either house is a member of the numerically strongest political party other than the party to which the Speaker belongs. The Minority Leader has general supervision of the minority caucus staff.

The office of the Minority Leader is located at 316 State House, Springfield, Illinois.

Clerk of the House and Assistant Clerk of the House

Clerk of the House – Timothy Mapes (effective 6/23/11)

Mark Mahoney (through 6/22/11)

Assistant Clerk of the House – Bradley Bolin

The Clerk of the House may adopt policies and procedures for the conduct of his or her office. Various duties and responsibilities are outlined in House Rule 6. The Speaker is the final arbiter of any dispute arising in connection with the operation of the Office of the Clerk.

The Assistant Clerk of the House shall perform those duties as assigned by the Clerk.

The office of the Clerk of the House is located at 420 State House, Springfield, Illinois.

Doorkeeper

Doorkeeper – Lee Crawford

The Doorkeeper shall perform those duties assigned by law, or as ordered by the Speaker, Presiding Officer, or Clerk. The various duties are outlined in House Rule 8.

**STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
ANALYSIS OF OPERATIONS
For the Two Years Ended June 30, 2011**

CENTER FUNCTIONS AND PLANNING PROGRAM (continued)

Planning Program

The House of Representatives does not have a formal planning program. A long range planning program is difficult to formulate for a legislative body, whose purpose is to legislate rather than to provide a governmental service. The powers and duties of the House or Representatives are detailed in the State of Illinois Constitution, the laws of Illinois, other motions or resolutions adopted by the House or jointly by the House and the Senate, and by the House Rules.

**STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
ANALYSIS OF OPERATIONS
For the Years Ended June 30, 2011**

AVERAGE NUMBER OF EMPLOYEES

The following table, prepared from Agency records, presents the average number of employees, by function, for the past three years.

	Fiscal Year		
	2011	2010	2009
Regular full-time employees			
Speaker of the House	76	77	75
Minority Leader	88	79	91
Office of the Clerk	181	189	177
Total average full-time employees	345	345	343
Contractual full-time employees			
Speaker of the House	28	37	39
Minority Leader	9	5	8
Office of the Clerk	62	65	179
Total employees	99	107	226
Total average employees	444	452	569

**STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
ANALYSIS OF OPERATIONS
For the Years Ended June 30, 2011**

SERVICE EFFORTS AND ACCOMPLISHMENTS (not examined)

2011 Session 97th General Assembly
January 2011 – November 2011

3,783 House Bills Introduced
833 House Bills Passed House Committees
575 House Bills Passed the House
427 House Bills Passed Both House and Senate
18 House Bills Vetoed (Total or Amendatory)
390 House Bills Signed into Law

2010 Session 96th General Assembly
January 2010 – December 2010

2,220 House Bills Introduced
682 House Bills Passed House Committees
473 House Bills Passed the House
344 House Bills Passed Both House and Senate
13 House Bills Vetoed (Total or Amendatory)
341 House Bills Signed into Law

2009 Session 96th General Assembly
January 2009 – December 2009

4,539 House Bills Introduced
1,106 House Bills Passed House Committees
704 House Bills Passed the House
551 House Bills Passed Both House and Senate
42 House Bills Vetoed (Total or Amendatory)
523 House Bills Signed into Law