

**STATE OF ILLINOIS  
OFFICE OF THE LIEUTENANT GOVERNOR**

**COMPLIANCE EXAMINATION  
For the Two Years Ended June 30, 2011**

**Performed as Special Assistant Auditors  
For the Auditor General, State of Illinois**

STATE OF ILLINOIS  
OFFICE OF THE LIEUTENANT GOVERNOR

COMPLIANCE EXAMINATION  
FOR THE TWO YEARS ENDED JUNE 30, 2011

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STATE OF ILLINOIS  
OFFICE OF THE LIEUTENANT GOVERNOR

AGENCY OFFICIALS

Lieutenant Governor (1/10/11 - Present)	Ms. Sheila Simon
Lieutenant Governor (1/29/09-1/10/11)	Vacant
Chief of Staff (1/10/11 – Present)	Ms. D.K. Hirner
General Counsel (2/14/11 – Present)	Mr. Mark Schauerte

Lieutenant Governor's Offices are located at:

James R. Thompson Center  
100 West Randolph Street, Suite 15-200  
Chicago, Illinois 60601

214 State House  
Springfield, Illinois 62706

414 Stratton Building  
Springfield, Illinois 62706

2801 W. Murphysboro Road  
Carbondale, IL 62903



**SHEILA SIMON**

LIEUTENANT GOVERNOR  
STATE OF ILLINOIS

January 12, 2012

McGreal & Company, PC  
Certified Public Accountants  
5740 West 95<sup>th</sup> Street  
Oak Lawn, Illinois 60453

Ladies and Gentlemen:

We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the Office of the Lieutenant Governor (the "Office"). Since January 10, 2011 we have been responsible for and have established and maintained an effective system of internal controls over compliance requirements. From August 7, 2009 through January 10, 2011, there was no Office pursuant to Public Act 096-0136 (the Lieutenant Governor Vacancy Act). We have performed an evaluation of the Office's compliance with the following assertions during the two-year period ended June 30, 2011. Based on this evaluation and based on information provided to us by officials from the Office of the Governor, Illinois Department of Central Management Services and certain other agencies and officials, we assert that during the period from January 10, 2011 through June 30, 2011, the Office has materially complied with the assertions below.

- A. The Office has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The Office has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The Office has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the Office are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the Office on behalf of the State or held in trust by the Office have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate and in accordance with law.

Yours very truly,

Office of the Lieutenant Governor

D.K. Himer, Chief of Staff

Mark Schauerte, General Counsel & Deputy Chief of Staff for Legislative Affairs

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## OFFICE OF THE GOVERNOR

JRTC, 100 W. RANDOLPH, SUITE 16-100  
CHICAGO, ILLINOIS 60601

**PAT QUINN**  
GOVERNOR

January 12, 2012

McGreal & Company, PC  
Certified Public Accountants  
5740 West 95<sup>th</sup> Street  
Oak Lawn, Illinois 60453

Ladies and Gentlemen:

We, the Office of the Governor, were responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have had a material effect on the operations of the Office of the Lieutenant Governor for the period July 1, 2009 through January 10, 2011, pursuant to the Lieutenant Governor Vacancy Act (P.A. 096-0136). Further, we were responsible for and established and maintained an effective system of, internal controls over compliance requirements during that period. We performed an evaluation of the Office's compliance with the following assertions during the period. Based on this evaluation we assert that during the period July 1, 2009 through January 10, 2011, the Office of the Lieutenant Governor had materially complied with the assertions below.

- A. The Office has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The Office has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The Office has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the Office are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the Office on behalf of the State or held in trust by the Office have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate and in accordance with law.

Yours very truly,  
Office of the Lieutenant Governor

  
Pat Quinn, Governor

  
Simone McNeil, Director of Operations

STATE OF ILLINOIS  
OFFICE OF THE LIEUTENANT GOVERNOR

**COMPLIANCE REPORT**

**SUMMARY**

The compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and in accordance with the Illinois State Auditing Act.

**ACCOUNTANTS' REPORT**

The Independent Accountants' Report on State Compliance, on Internal Control Over Compliance and on Supplementary Information for State Compliance Purposes does not contain scope limitations, disclaimers, or other significant non-standard language.

**SUMMARY OF FINDINGS**

<u>Number of</u>	<u>Current</u>	<u>Prior</u>
<u>Findings</u>	<u>Report</u>	<u>Report</u>
	2	1
Repeated findings	0	0
Prior recommendations implemented or not repeated	1	0

**SCHEDULE OF FINDINGS**

<u>Item</u>	<u>Page</u>	<u>Description</u>	<u>Finding Type</u>
		FINDINGS (STATE COMPLIANCE)	
11-1	9	Inadequate Controls Over the Recording and Reporting of State Property	Significant Deficiency and Noncompliance
11-2	11	Inadequate Controls Over Voucher Processing	Significant Deficiency and Noncompliance
		PRIOR FINDING NOT REPEATED (STATE COMPLIANCE)	
A	13	Nonpayment of revolving fund invoices	

STATE OF ILLINOIS  
OFFICE OF THE LIEUTENANT GOVERNOR

**COMPLIANCE REPORT**

**SUMMARY**

**EXIT CONFERENCE**

The findings and recommendations appearing in this report were discussed with the Office of the Lieutenant Governor in an exit conference on January 5, 2012. Attending were Casey Evans, Office of the Auditor General; D.K. Hirner, Chief of Staff, Office of the Lieutenant Governor; Mark Schauerte, General Counsel of the Lieutenant Governor; Thomas McGreal, Special Assistant Audit Partner; and Michael Morrissey, Special Assistant Audit Manager. Responses to the recommendations were provided by Mark Schauerte in correspondence dated January 12, 2012.

INDEPENDENT ACCOUNTANTS' REPORT ON STATE COMPLIANCE,  
ON INTERNAL CONTROL OVER COMPLIANCE, AND ON  
SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

Honorable William G. Holland  
Auditor General  
State of Illinois

**Compliance**

As Special Assistant Auditors for the Auditor General, we have examined the Illinois Office of the Lieutenant Governor's compliance with the requirements listed below, as more fully described in the Audit Guide for Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (Audit Guide) as adopted by the Auditor General, during the two years ended June 30, 2011. The management of the Illinois Office of the Lieutenant Governor is responsible for compliance with these requirements. Our responsibility is to express an opinion on the Illinois Office of the Lieutenant Governor's compliance based on our examination.

- A. The Illinois Office of the Lieutenant Governor has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The Illinois Office of the Lieutenant Governor has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The Illinois Office of the Lieutenant Governor has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the Illinois Office of the Lieutenant Governor are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.



- E. Money or negotiable securities or similar assets handled by the Illinois Office of the Lieutenant Governor on behalf of the State or held in trust by the Illinois Office of the Lieutenant Governor have been properly and legally administered and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.

We conducted our examination in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States; the Illinois State Auditing Act (Act); and the Audit Guide as adopted by the Auditor General pursuant to the Act; and, accordingly, included examining, on a test basis, evidence about the Illinois Office of the Lieutenant Governor's compliance with those requirements listed in the first paragraph of this report and performing such other procedures as we considered necessary in the circumstances. We believe that our examination provides a reasonable basis for our opinion. Our examination does not provide a legal determination on the Illinois Office of the Lieutenant Governor's compliance with specified requirements.

In our opinion, the Illinois Office of the Lieutenant Governor complied, in all material respects, with the compliance requirements listed in the first paragraph of this report during the two years ended June 30, 2011. However, the results of our procedures disclosed instances of noncompliance, which are required to be reported in accordance with criteria established by the Audit Guide, issued by the Illinois Office of the Auditor General and which are described in the accompanying schedule of findings as findings 11-1 and 11-2.

### **Internal Control**

Management of the Illinois Office of the Lieutenant Governor is responsible for establishing and maintaining effective internal control over compliance with the requirements listed in the first paragraph of this report. In planning and performing our examination, we considered the Illinois Office of the Lieutenant Governor's internal control over compliance with the requirements listed in the first paragraph of this report as a basis for designing our examination procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with the Audit Guide, issued by the Illinois Office of the Auditor General, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the Illinois Office of the Lieutenant Governor's internal control over compliance.

A *deficiency* in an entity's internal control over compliance exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with the requirements listed in the first paragraph of this report on a timely basis. A *material weakness* is a deficiency, or combination of deficiencies, in internal control over compliance such that there is a reasonable possibility that material noncompliance with a requirement listed in the first paragraph of this report will not be prevented, or detected and corrected, on a timely basis.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be deficiencies, significant deficiencies, or material weaknesses. We did not identify deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above. However, we identified certain deficiencies in internal control over compliance that we considered to be significant deficiencies as described in the accompanying schedule of findings and questioned costs as item 11-1 and 11-2. A significant deficiency in an entity's internal control over compliance is a deficiency, or combination of deficiencies, in internal control over compliance that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

As required by the Audit Guide, immaterial findings excluded from this report have been reported in a separate letter to your office.

The Illinois Office of Lieutenant Governor's responses to the findings identified in our examination are described in the accompanying schedule of findings. We did not examine the Illinois Office of Lieutenant Governor's responses and, accordingly, we express no opinion on them.

### **Supplementary Information for State Compliance Purposes**

Our examination was conducted for the purpose of forming an opinion on compliance with the requirements listed in the first paragraph of this report. The accompanying supplementary information as listed in the table of contents as Supplementary Information for State Compliance Purposes is presented for purposes of additional analysis. We have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General to the 2011 and the 2010 Supplementary Information for State Compliance Purposes, except for information on the Service Efforts and Accomplishments on which we did not perform any procedures. However, we do not express an opinion on the supplementary information.

We have not applied procedures to the 2009 Supplementary Information for State Compliance Purposes, and accordingly, we do not express an opinion thereon.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, and agency management, and is not intended to be and should not be used by anyone other than these specified parties.

*McGreal & Company PC*

McGreal & Company, PC

January 12, 2012

STATE OF ILLINOIS  
OFFICE OF THE LIEUTENANT GOVERNOR

CURRENT FINDINGS (STATE COMPLIANCE)  
FOR THE TWO YEARS ENDED JUNE 30, 2011

**11-1 FINDING:** Inadequate Controls Over the Recording and Reporting of State Property

The Office of the Lieutenant Governor (Office) did not have adequate controls over its property and equipment.

We noted the following:

- The Office could not locate state property with an original cost of \$156,000 during its inventory certification for June 2011. These items were reported as deletions on the Quarterly State Property Report. The report agreed to the Office's final inventory records.
- The Office did not file 4 of the 8 (50%) required Quarterly State Property Reports and filed one (13%) report late. Of the 4 reports not filed, one occurred during the current Administration.
- During Fiscal Year 2010, the Office reported 16 property items totaling \$5,701 as deletions on the Quarterly State Property Reports; however, these items were transfers-out.
- Eight property items were transferred out, totaling \$4,005, and were not reported on the Quarterly State Property Report.
- The Office recorded \$23,920 worth of Fiscal Year 2009 deletions in Fiscal Year 2011.
- One property item worth \$786 was reported as an addition on the wrong Quarterly State Property Report.

SAMS procedure 29.20.10 requires an agency to submit property reports no later than the last day of the month following the last day of the quarter, report items as transfers if they are moved between agencies, and report all additions to each asset class that occurred during the quarter being reported.

The State Property Control Act (30 ILCS 605/4 and 6.02) requires the Office to be accountable for the supervision, control and inventory of all property under its jurisdiction and control.

The Office was vacant from January 2009 through January 2011. All personnel were transferred to other agencies or terminated. No equipment reconciliations were performed during the vacancy.

Failure to account for property items accurately and in a timely manner increases the potential for fraud and possible loss or theft of State property. In addition, property not recorded in the proper period results in inaccurate capital asset reports submitted to the Comptroller. (Finding Code No. 11-1, IM 09-1, IM 07-1, 05-2)

STATE OF ILLINOIS  
OFFICE OF THE LIEUTENANT GOVERNOR

CURRENT FINDINGS (STATE COMPLIANCE)  
FOR THE TWO YEARS ENDED JUNE 30, 2011

**FINDING:** Inadequate Controls Over the Recording and Reporting of State Property (Continued)

**RECOMMENDATION:** We recommend the Office comply with SAMS requirements in the preparation of the Quarterly Fixed Asset Reports which are submitted to the Comptroller. Further, we recommend the Office devote adequate resources to ensure that property records are maintained and updated timely.

**OFFICE RESPONSE:** The Office of the Lieutenant Governor accepts the finding. The Office was vacant from January 29, 2009 until January 10, 2011. No staff carried over from the previous Administration, and complete records from the previous Administration did not remain with the Office. For the June 2011 inventory certification, some of the unlocated items were purchased as far back as the 1950s. The Office has revised its procedures accordingly and taken the necessary measures to ensure compliance with SAMS requirements and that property control records are submitted timely to the Comptroller. The Office will be completing quarterly physical checks of inventory and has implemented a policy that all newly-purchased items having a value of more than \$100 or being identified as a "potentially high-theft item" will be tagged upon receipt and once vouchered and paid, such tagged item will be entered into the Central Inventory System.

STATE OF ILLINOIS  
OFFICE OF THE LIEUTENANT GOVERNOR  
  
CURRENT FINDINGS (STATE COMPLIANCE)  
FOR THE TWO YEARS ENDED JUNE 30, 2011

11-2 **FINDING:** Inadequate Controls Over Voucher Processing

The Office of the Lieutenant Governor did not exercise adequate control over voucher processing.

We noted the following:

- Twelve of 97 vouchers, (12%) totaling \$11,065, were not approved within 30 days. The Illinois Administrative Code, Title 74, Section 900.70(b) requires state agencies to approve proper bills or deny bills with defects, in whole or in part, within 30 days after receipt.
- Twelve of 97 vouchers, (12%) totaling \$25,969, included invoices that were not date stamped. The Illinois Administrative Code, Title 74, Section 900.30(b)(2) states that all State agencies must maintain written or electronic records reflecting the date or dates on which a proper bill was received by the State agency.
- The required interest totaling \$834 was not paid on 3 of 97 (3%) vouchers tested. The State Prompt Payment Act (30 ILCS 540/3-2) requires State agencies to determine whether interest is due and automatically pay interest penalties amounting to \$50 or more to appropriate vendor when payment is not issued within 60 days after receipt of a proper bill.
- Four of 14 payroll vouchers, (29%) totaling \$80,859, were not approved by an authorized signer. The State Finance Act (30 ILCS 105/9.03) requires an authorized agent to certify that the vouchers submitted are true and correct. In addition, failure to obtain approval signatures may result in incorrect payment and increases the potential for fraud and possible loss or theft of State funds.

Management of the Office of the Lieutenant Governor indicated that the above deficiencies were due to staff oversight and office vacancy.

Failure to obtain invoice approval within 30 days increases the potential for non-payment of invoices in a timely manner and may require the payment of interest.

Failure to sign and date invoices when received increases the potential for non-payment or late payment, increases the potential for payment for goods and services not received and increases the potential for fraud and possible loss or theft of State funds.

Failure to pay vendors timely may result in noncompliance with the State Prompt Payment Act and may cost the State additional interest. (Finding Code 11-2)

STATE OF ILLINOIS  
OFFICE OF THE LIEUTENANT GOVERNOR

CURRENT FINDINGS (STATE COMPLIANCE)  
FOR THE TWO YEARS ENDED JUNE 30, 2011

**FINDING:** Inadequate Controls Over Voucher Processing (Continued)

**RECOMMENDATION:** We recommend the Office strengthen controls over voucher processing to ensure invoices are processed timely and properly approved.

**OFFICE RESPONSE:** The Office of the Lieutenant Governor accepts the finding. The Office has strengthened internal controls regarding voucher processing to ensure invoices are processed timely and properly approved. The revised internal controls includes, but are not limited to, the following: (i) invoices are reviewed and approved by the Fiscal Officer within 30 days of receipt, (ii) a weekly batch system automatically generates interest penalty invoices due to vendors, and (iii) weekly status reports regarding all vouchers.

STATE OF ILLINOIS  
OFFICE OF THE LIEUTENANT GOVERNOR

PRIOR FINDING NOT REPEATED (STATE COMPLIANCE)  
FOR THE TWO YEARS ENDED JUNE 30, 2011

**PRIOR FINDINGS NOT REPEATED – STATE**

- A **Finding:** Nonpayment of Revolving Fund Invoices to the Statistical Services Revolving Fund.

In the prior period, the Office did not timely approve and pay internal service invoices billed through the Statistical Services Revolving Fund for electronic data processing services provided by the Department of Central Management Services (DCMS).

In the current period, the Office processed all billings from the Statistical Services Revolving Funds for the two years ended June 30, 2011 and prior unpaid amounts. (Finding Code No. 09-1)



STATE OF ILLINOIS  
OFFICE OF THE LIEUTENANT GOVERNOR

**SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES**

**SUMMARY**

Supplementary Information for State Compliance Purposes presented in this section of the report includes the following:

Fiscal Schedules and Analysis:

- Schedule of Expenditures of Federal Awards
- Notes to the Schedule of Expenditures of Federal Awards
- Schedule of Appropriations, Expenditures and Lapsed Balances
- Comparative Schedule of Net Appropriations, Expenditures and Lapsed Balances
- Schedule of Changes in State Property
- Comparative Schedule of Cash Receipts and Reconciliation
  - Schedule of Cash Receipts to Deposits Remitted to the State Comptroller
- Analysis of Significant Variations in Expenditures
- Analysis of Significant Variations in Receipts
- Analysis of Significant Lapse Period Spending

Analysis of Operations:

- Agency Functions and Planning Program
- Average Number of Employees
- Service Efforts and Accomplishments (Not examined)

The accountants' report that covers the Supplementary Information for State Compliance Purposes presented in the Compliance Report Section states the auditors have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General, except for information on the Service Efforts and Accomplishments on which they did not perform any procedures. However, the accountants do not express an opinion on the supplementary information.

STATE OF ILLINOIS  
OFFICE OF THE LIEUTENANT GOVERNOR

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

FOR THE FISCAL YEAR ENDED JUNE 30, 2010

Federal Grantor / Program Title	Federal CFDA Number	Federal Expenditures
U.S. Department of the Interior - Fish and Wildlife Services:		
Coastal Wetlands Planning, Protection and Restoration Act	15.614	\$ 69,727
Partners for Fish and Wildlife	15.631	<u>500</u>
Total U.S. Department of the Interior - Fish and Wildlife Services		<u>\$ 70,227</u>
 Total Expenditures of Federal Awards		 <u><u>\$ 70,227</u></u>

The accompanying notes are an integral part of this schedule.

STATE OF ILLINOIS  
OFFICE OF THE LIEUTENANT GOVERNOR

NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

FOR THE FISCAL YEARS ENDED JUNE 30, 2011 and 2010

**Note 1: Basis of Presentation**

The accompanying schedule of expenditures of federal awards includes the federal grant activity of the Office of the Lieutenant Governor and is presented on the cash basis of accounting in accordance with accounting principles generally accepted in the United States of America.

**Note 2: Subrecipients**

Of the federal expenditures presented in the schedule, the Office of the Lieutenant Governor provided federal awards to the subrecipients as follows:

Program Title	Federal CFDA Number	Amounts Provided to Subrecipients
<b>Fiscal Year 2010</b>		
Coastal Wetlands Planning, Protection and Restoration Act	15.614	\$ 69,727
Partners for Fish and Wildlife	15.631	500
Total Subrecipient Pass Through - Fiscal Year 2010		<u>\$ 70,227</u>

**Note 3: Description of Federal Programs**

**Coastal Wetlands Planning, Protection and Restoration Act**

The Office of Lieutenant Governor received a \$400,000 National Coastal Wetlands Conservation Grant (NCWC) from the U.S. Fish and Wildlife Service in 2008. This money will be combined with a \$750,000 NCWC Grant from the U.S. Fish and Wildlife Service received in 2006 and approximately \$650,000 in state and local funds for the Hegewisch Marsh Restoration Project. This project will demonstrate the potential for industrial, residential and open spaces to coexist in the City of Chicago. The Hegewisch Marsh Restoration Project will restore the site to its natural design.

**Partners for Fish and Wildlife**

The Office of the Lieutenant Governor entered into a \$20,000 grant with the U.S. Fish and Wildlife Service. The grant money is to be used to provide funding to schools, park districts, or municipalities within the State of Illinois so they may construct rain gardens to be used as on-site interactive educational tools.

STATE OF ILLINOIS  
OFFICE OF THE LIEUTENANT GOVERNOR

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES  
Appropriations for Fiscal Year 2011  
Fourteen Months Ended August 31, 2011

Public Act 96-0956	Appropriations (Net after Transfers)	Expenditures Through 6/30/11	Approximate Lapse Period Expenditures 7/01 - 8/31/11	Approximate Total Expenditures	Approximate Balances Lapsed
<u>APPROPRIATED FUNDS</u>					
<u>GENERAL REVENUE FUND - 001</u>					
Lump Sums - Operational Expenses	\$ 112,900	\$ 70,216	\$ -	\$ 70,216	\$ 42,684
Subtotal	\$ 112,900	\$ 70,216	\$ -	\$ 70,216	\$ 42,684
<u>GOVERNOR'S DISCRETIONARY APPROPRIATION-001</u>					
Lump Sum and Other Purposes	\$ 1,250,000	\$ 598,956	\$ 77,023	\$ 675,979	\$ 574,021
Subtotal - Fund 001	\$ 1,362,900	\$ 669,172	\$ 77,023	\$ 746,195	\$ 616,705
<b>TOTAL - ALL APPROPRIATED FUNDS</b>	<b>\$ 1,362,900</b>	<b>\$ 669,172</b>	<b>\$ 77,023</b>	<b>\$ 746,195</b>	<b>\$ 616,705</b>

Note 1: Appropriations, expenditures, and lapsed balances were obtained from State Comptroller records and have been reconciled to the Office's records.

Note 2: Expenditure amounts are vouchers approved for payment by the Office and submitted to the State Comptroller for payment to the vendor.

Note 3: Approximate lapse period expenditures do not include interest payments approved for payment by the Office and submitted to the Comptroller for payment after August.

STATE OF ILLINOIS  
OFFICE OF THE LIEUTENANT GOVERNOR

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES  
Appropriations for Fiscal Year 2010  
Fourteen Months Ended August 31, 2010

Public Act 96-0042	Appropriations (Net after Transfers)	Expenditures Through 6/30/10	Lapse Period Expenditures 7/01 - 8/31/10	Total Expenditures	Balances Lapsed
<u>APPROPRIATED FUNDS</u>					
<u>GENERAL REVENUE FUND - 001</u>					
Lump Sums - Operational Expenses	\$ 112,900	\$ 112,473	\$ 227	\$ 112,700	\$ 200
Subtotal - Fund 001	<u>\$ 112,900</u>	<u>\$ 112,473</u>	<u>\$ 227</u>	<u>\$ 112,700</u>	<u>\$ 200</u>
TOTAL - ALL APPROPRIATED FUNDS	<u>\$ 112,900</u>	<u>\$ 112,473</u>	<u>\$ 227</u>	<u>\$ 112,700</u>	<u>\$ 200</u>
<u>NON-APPROPRIATED FUNDS</u>					
<u>LIEUTENANT GOVERNOR'S FEDERAL GRANT FUND - 811</u>					
Hegewisch Marsh Restoration		\$ 69,727	\$ -	\$ 69,727	
US Fish & Wildlife Services Grant		500	-	500	
Subtotal - Fund 811		<u>\$ 70,227</u>	<u>\$ -</u>	<u>\$ 70,227</u>	
TOTAL NON-APPROPRIATED FUNDS		<u>\$ 70,227</u>	<u>\$ -</u>	<u>\$ 70,227</u>	
GRAND TOTAL EXPENDITURES - ALL FUNDS		<u>\$ 182,700</u>	<u>\$ 227</u>	<u>\$ 182,927</u>	

Note 1: Appropriations, expenditures, and lapsed balances were obtained from State Comptroller records and have been reconciled to the Office's records.

STATE OF ILLINOIS  
OFFICE OF THE LIEUTENANT GOVERNOR

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES, AND LAPSED BALANCES  
FOR THE FISCAL YEARS ENDED JUNE 30,

	Fiscal Year		
	2011 <u>P.A. 96-0956</u>	2010 <u>P.A. 96-0042</u>	2009 <u>P.A. 95-0731</u>
General Revenue Fund - 001			
Appropriations (Net After Transfers)	<u>\$1,362,900</u>	<u>\$112,900</u>	<u>\$2,001,250</u>
<u>Expenditures</u>			
Personal Services	\$ -	\$ -	\$ 664,523
State Contribution to State Employee's Retirement System	-	-	139,904
State Contribution to Social Security	-	-	71,870
Contractual Services	-	-	339,742
Travel	-	-	36,101
Commodities	-	-	8,545
Printing	-	-	1,587
Equipment	-	-	453
Electronic Data Processing	-	-	31,700
Telecommunications Services	-	-	38,693
Lump Sums - Operational Expenses	70,216	112,700	-
Lump Sums - Governor's Discretionary Appropriation	675,979	-	-
Operational and Grant Expenses of the Rural Affairs Council	-	-	296,591
Ordinary and Contingent Expenses of the Illinois River Coordination Council	-	-	151,576
Total Expenditures	<u>\$ 746,195</u>	<u>\$ 112,700</u>	<u>\$ 1,781,285</u>
Lapsed Balances	<u>\$ 616,705</u>	<u>\$ 200</u>	<u>\$ 219,965</u>

STATE OF ILLINOIS  
OFFICE OF THE LIEUTENANT GOVERNOR

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES, AND LAPSED BALANCES  
FOR THE FISCAL YEARS ENDED JUNE 30,

	Fiscal Year		
	2011 96-0956	2010 96-0042	2009 P.A. 94-798
<u>AGRICULTURAL PREMIUM FUND - 045</u>			
Appropriations (Net After Transfers)	\$ -	\$ -	\$ 100,000
<u>Expenditures</u>			
Ordinary and Contingent Expenses of the Rural Affairs Council	-	-	94,200
Total Expenditures	-	-	94,200
Lapsed Balances	\$ -	\$ -	\$ 5,800
<u>LIEUTENANT GOVERNOR'S GRANT FUND - 924</u>			
Appropriations (Net After Transfers)	\$ -	\$ -	\$ 50,000
<u>Expenditures</u>			
Exercise of the Powers or Performance of the Duties of the Office of the Lieutenant Governor	-	-	25,421
Total Expenditures	\$ -	\$ -	\$ 25,421
Lapsed Balances	\$ -	\$ -	\$ 24,579
<u>TOTAL - ALL APPROPRIATED FUNDS</u>			
Appropriations (Net of Transfers)	\$ 1,362,900	\$ 112,900	\$ 2,151,250
Total Expenditures	746,195	112,700	1,900,906
Lapsed Balances	\$ 616,705	\$ 200	\$ 250,344
<u>NON-APPROPRIATED FUNDS</u>			
<u>LIEUTENANT GOVERNOR'S FEDERAL GRANT FUND - 811</u>			
<u>Expenditures:</u>			
ISBE Learn and Serve Grant	\$ -	\$ -	\$ 29,401
Hegewisch Marsh Restoration	-	69,727	424,786
US Fish & Wildlife Services Grant	-	500	11,000
Total Expenditures	\$ -	\$ 70,227	\$ 465,187
<u>GRAND TOTAL, ALL FUNDS</u>			
Total Expenditures	\$ 746,195	\$ 182,927	\$ 2,366,093

Note 1: For Fiscal Year 2011, expenditures and related lapsed balances do not reflect any interest payments approved for payment by Agency and submitted to the Comptroller for payment after August 2011.

STATE OF ILLINOIS  
OFFICE OF THE LIEUTENANT GOVERNOR

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES  
FOR THE FISCAL YEARS ENDED JUNE 30,

	Fiscal Year		
	2011	2010	2009
<u>General Revenue Fund - 001 State Comptroller</u>			
Appropriations (Net of Transfers)	\$ 135,700	\$ 135,700	\$ 135,700
Lieutenant Governor's Salary	62,107	-	79,140
Total Expenditures	62,107	-	79,140
Lapsed Balances	\$ 73,593	\$ 135,700	\$ 56,560



STATE OF ILLINOIS  
OFFICE OF THE LIEUTENANT GOVERNOR

SCHEDULE OF CHANGES IN PROPERTY AND EQUIPMENT  
FOR THE TWO YEARS ENDED JUNE 30, 2011

	<u>State Property</u>
Balance at July 1, 2009	\$ 351,927
Additions	-
Deletions	(23,470)
Net Transfers	(5,701)
Adjustments	<u>-</u>
Balance at June 30, 2010	<u>\$ 322,756</u>
Balance at July 1, 2010	\$ 322,756
Additions	24,125
Deletions	(132,080)
Net Transfers	(74,963)
Adjustments	<u>2,568</u>
Balance at June 30, 2011	<u>\$ 142,406</u>

Note: The above schedule has been derived from Agency records which have been reconciled to property reports submitted to the Office of the Comptroller.

STATE OF ILLINOIS  
OFFICE OF THE LIEUTENANT GOVERNOR

COMPARATIVE SCHEDULE OF CASH RECEIPTS  
FOR THE FISCAL YEARS ENDED JUNE 30,

	<u>2011</u>	<u>2010</u>	<u>2009</u>
Main Street Contributions	\$ -	\$ -	\$ 17,929
Federal Grants		95,696	438,544
Miscellaneous	-	72	-
Telephone Reimbursement	-	434	714
Jury Duty	-	-	17
Prior Year Refunds	<u>1,664</u>	<u>1,636</u>	<u>-</u>
Total Receipts	<u>\$ 1,664</u>	<u>\$ 97,838</u>	<u>\$ 457,204</u>

RECONCILIATION SCHEDULE OF CASH RECEIPTS TO DEPOSITS  
REMITTED TO THE STATE COMPTROLLER  
FOR THE FISCAL YEARS ENDED JUNE 30,

	<u>2011</u>	<u>2010</u>	<u>2009</u>
Cash Receipts per Office records	\$ 748	\$ 98,754	\$ 457,204
Deposits in transit:			
Beginning of year	916	-	-
End of year	<u>-</u>	<u>(916)</u>	<u>-</u>
Deposits Remitted to Comptroller	<u>\$ 1,664</u>	<u>\$ 97,838</u>	<u>\$ 457,204</u>

Note: Receipts are deposited into the General Revenue Fund, except for contributions made to the Lieutenant Governor's Federal Project Fund and the Lieutenant Governor's Grant Fund. Prior year refunds are deposited into the fund from which the expenditures were made.

STATE OF ILLINOIS  
OFFICE OF THE LIEUTENANT GOVERNOR

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES  
FOR THE TWO YEARS ENDED JUNE 30, 2011

Significant variations in expenditures (\$10,000 and 20%) for the fiscal years ended June 30, 2011 and June 30, 2010 were as follows:

FISCAL YEAR 2011

General Revenue Fund - 001

Lump sums expenditures increased \$675,979 (100%) from the Governor's Discretionary Appropriation because this appropriation was not received in 2010. Because of the Governor's Discretionary Appropriation, the Office expended \$42,484 (38%) less from the Lump Sums-Operational Expenses from FY10 to FY11.

Federal Project Fund – 811

Federal grant expenditures decreased \$70,227 (100%). The Office did not have the resources to administer or manage federal projects. There were no expenditures to be reimbursed from the U.S. Fish & Wildlife grant program.

FISCAL YEAR 2010

General Revenue Fund - 001

The Office received a lump sum appropriation for operational expenses in 2010. In 2009, the Office received a separate appropriation by specific line item and therefore, comparisons are not available. Overall, total expenditures decreased \$1,668,585 (94%). Pursuant to the Lieutenant Governors Vacancy Act, functions of the Lieutenant Governor's Office were delegated to the Office of the Governor and there were no Office operations from August 7, 2009 through January 10, 2011.

Agricultural Premium Fund – 045

There were no appropriations for this fund due to the vacancy in the Lieutenant Governor's Office.

Lieutenant Governor's Grant Fund – 924

There were no appropriations for this fund due to the vacancy in the Lieutenant Governor's Office.

Federal Project Fund – 811

Expenditures decreased \$394,960 (85%). The Office did not have the resources to administer federal projects due to the duties of the Office being transferred to the Office of the Governor in August 2009.

STATE OF ILLINOIS  
OFFICE OF THE LIEUTENANT GOVERNOR

ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING  
FOR THE TWO YEARS ENDED JUNE 30, 2011

There was no significant lapse period spending for the two years ended June 30, 2011.

STATE OF ILLINOIS  
OFFICE OF THE LIEUTENANT GOVERNOR

ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS  
FOR THE TWO YEARS ENDED JUNE 30, 2011

Significant variations in receipts (\$5,000 and 20%) for the fiscal years ended June 30, 2011 and June 30, 2010 were as follows:

FISCAL YEAR 2011

Receipts from the U. S. Fish & Wildlife reimbursable grant program decreased \$95,696 (100%). The Office was vacant through January 2011 and therefore there were no project expenditures and receipts during 2011.

FISCAL YEAR 2010

The \$358,450 (78%) decrease in federal grant expenditures is a result of the Office receiving fewer funds from the U.S. Fish & Wildlife reimbursable grant. Due to the vacancy in the Office, there were fewer project expenditures and therefore less reimbursable receipts.

STATE OF ILLINOIS  
OFFICE OF THE LIEUTENANT GOVERNOR

ANALYSIS OF OPERATIONS  
FOR THE TWO YEARS ENDED JUNE 30, 2011

AGENCY FUNCTIONS AND PLANNING PROGRAM

FUNCTIONS

The Office of the Lieutenant Governor is an elected position for a 4-year term (10 ILCS 5/2A-5). The Office of the Lieutenant Governor was left vacant when former Lieutenant Governor Patrick Quinn was sworn in as Governor of Illinois on January 29, 2009. The Lieutenant Governor Vacancy Act states that when the position of the Lieutenant Governor is vacant, the powers and duties of the Lieutenant Governor shall be assumed by the Governor. Sheila Simon was elected Lieutenant Governor and was sworn into office January 10, 2011.

Under the Illinois Constitution, the Lieutenant Governor holds the second highest executive office in Illinois and the first to succeed the Governor if he is unable to discharge the duties of his office. The Illinois Constitution provides the Lieutenant Governor certain powers in the Executive Branch, which are either delegated by the Governor or prescribed by law.

The Lieutenant Governor's daily duties are derived from the Governor, General Assembly, statute and personal initiatives. The Lieutenant Governor's duties include serving as chair of the Governor's Rural Affairs Council, the Interagency Military Base Support and Economic Development Committee, and the Illinois River, Mississippi River, and Wabash and Ohio Rivers Coordinating Councils.

The Lieutenant Governor represents the Governor on education reform, standing up for Illinois children and promoting quality education for pre-K to 20. Lieutenant Governor Simon is working to increase college completion rates and chairs the Joint Educational Leadership Committee for the P-20 Council. She also serves as ambassador to Illinois Main Street, a downtown revitalization program. The Lieutenant Governor has a long standing commitment to addressing and preventing violence, particularly against women and children.

Program functions undertaken at the direction of the Governor or initiated by the Lieutenant Governor are:

- Developing markets for local foods, improving emergency service in rural areas, and monitoring other emerging rural issues,
- Improving the economic vitality and quality of life in rural communities by reviving the Rural Affairs Council,
- Reviewing state and federal programs that impact the watersheds and working with local communities to raise awareness and address watershed issues,

STATE OF ILLINOIS  
OFFICE OF THE LIEUTENANT GOVERNOR

ANALYSIS OF OPERATIONS  
FOR THE TWO YEARS ENDED JUNE 30, 2011

AGENCY FUNCTIONS AND PLANNING PROGRAM (Continued)

FUNCTIONS

- Coordinating the efforts of governmental and private agencies in improving the Illinois River watershed,
- Working with volunteer legal experts to prepare new government ethics legislation to fight against the corruption and skepticism that undermines the public trust in government,
- Working with the State's Complete College America team, to increase the proportion of working-age adults with college degrees or certificates to 60% by 2025,
- Working with volunteer legal experts to make sure domestic violence laws reach all victims, cover all forms of abuse and harassment, and reflect new technologies.

PLANNING PROGRAM

The Office of the Lieutenant Governor's goals are its functions as defined by State statutes and those delegated by the Governor. Highlights of the Lieutenant Governor's long-term and short-term goals, objectives, and tactics, to fulfill the functions of the Office are as follows:

**Education**

Lieutenant Governor Sheila Simon serves as the Illinois point person on education reform and is leading efforts to increase college completion. By the end of calendar year 2011, she will have toured all 48 of the state's public community colleges, assessed and evaluated education reform proposals, and developed a best practices report for delivery to the Governor and General Assembly. The Lieutenant Governor chairs a commission that is exploring ways school districts can reduce duplicative administrative spending and improve educational offerings. The commission was established pursuant to Public Act 97-503 and is now known as the Classrooms First Commission.

**Ethics**

The Lieutenant Governor's Office is working to develop and implement a multi-lingual core of information on its website. The Lieutenant Governor is working with the General Assembly to enhance disclosures in the statement of economic interests and she continues to push for campaign finance reform. She is meeting with agency directors to ensure participation in an initiative to make government more open and transparent to the public.

**Domestic Violence**

The Lieutenant Governor is an advocate for domestic violence victims. She has launched a virtual legal clinic to help domestic violence survivors in underserved areas receive legal consultations. With her help, participation is increasing in events to raise awareness surrounding domestic violence issues.

STATE OF ILLINOIS  
OFFICE OF THE LIEUTENANT GOVERNOR

ANALYSIS OF OPERATIONS  
FOR THE TWO YEARS ENDED JUNE 30, 2011

AGENCY FUNCTIONS AND PLANNING PROGRAM (Continued)

PLANNING

**Rural Affairs**

Lieutenant Governor Simon continues to advance opportunities that improve the vitality of rural communities and the quality of life for rural citizens. Her office is working to collaborate with government and non-government agencies to identify resources and programs to assist rural communities, to disseminate information to rural communities through email lists or local delivery methods for those who do not have internet access, and to ensure greater access to local foods in Illinois.

**Rivers and Water**

The Illinois River Coordinating Council (IRCC) was established by Public Act 90-120 and operates pursuant to the Illinois River Watershed Restoration Act (20 ILCS 3967/15). The Lieutenant Governor serves as chairperson and a voting member of the IRCC. The duties of the IRCC are to periodically review activities and programs administered by State and federal organizations to encourage partnerships that enhance awareness and capabilities to address watershed and water resource concerns; work with State and federal agencies to optimize and advise on the expenditure of funds for the Illinois River Watershed; encourage local communities to develop watershed management plans; and help identify additional funding for watershed management projects.



STATE OF ILLINOIS  
OFFICE OF THE LIEUTENANT GOVERNOR

ANALYSIS OF OPERATIONS  
FOR THE TWO YEARS ENDED JUNE 30, 2011

AVERAGE NUMBER OF EMPLOYEES

The following table, prepared from Lieutenant Governor's records, presents the average number of employees by program, for the fiscal years ended June 30,

	Fiscal Year		
	2011	2010	2009
General Office	11	7	13
Rural Affairs Council	2	0	2
IL River Coordinating Council	1	0	2
Learn and Serve	0	0	1
Total	14	7	18

\*Note: The above schedule contains annual averages. There were no employees of the Office for the period beginning August 7, 2010 and ending January 10, 2011. For Fiscal Year 2010, all employees are classified under the General Office category.

SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED)

**Pushed for Historic Education Reform**

As the Governor's point person on education reform, Simon is working to increase the proportion of working-age adults with college graduation rates to 60 percent from 41 percent by 2025. In her first year in office, she toured each of the state's 48 community colleges to push for reforms to help more students earn a college degree or certificate that will lead to a good-paying job. Her proposed reform package will focus on reducing time to degree, decreasing student debt and increasing college transparency.

To incentivize student success, Simon pushed for passage of HB1503, which created a committee tasked with developing a funding system that will link state resources to student success rates. As a member of the Performance Funding Committee, Simon will work with the Committee to develop funding metrics in the state's FY2013 budget that focuses on course completion, maintaining the quality of current degree offerings, and rewarding the success of institutions that serve students who are at-risk academically or financially.

Simon advocated for adoption of SB7, a sweeping education reform bill that promotes excellent teaching, weights teacher performance in retention, provides more transparency in contract negotiations and introduces new steps prior to a strike. Through an impressive collaboration of school

STATE OF ILLINOIS  
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ANALYSIS OF OPERATIONS  
FOR THE TWO YEARS ENDED JUNE 30, 2011

SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED) (Continued)

management, teacher unions, education reform advocates, legislators and top state leaders, Illinois created a nationally recognized framework to strengthen the teaching profession and advance student learning in Illinois.

Promoting higher education for all students, Simon supported the Illinois DREAM Act. SB2185 will establish a not-for-profit entity to raise private funds and establish scholarships for students who have resided with his or her parents while attending high school in Illinois; have earned their high school diploma or equivalency in Illinois; have attended school in Illinois for at least three years; and have at least one parent who immigrated to the United States. The law also allows individuals with a Taxpayer Identification Number to participate in college savings programs.

Simon serves as chairperson of the Classrooms First Commission, a statewide group tasked with finding ways to improve learning and efficiency at the nearly 870 school districts in Illinois. Its members represent education stakeholders, from teachers to administrators and lawmakers. Classrooms First held four public hearings across the state in 2011 to gather input from local educators, taxpayers and parents. It will report back to the Governor and General Assembly by July 1, 2012.

**Modeled Fiscal Responsibility**

To set a fiscally conservative tone in her office, Simon and her staff took one voluntary furlough day per month in FY 2011 (January to June of 2011). Simon set her FY 2012 budget at the lowest requested level in the past 15 years and is the only constitutional officer returning 12 days of pay to the General Revenue Fund. Her senior staff members will take four furlough days in FY12, the only staff for a constitutional officer to do so.

**Paved the Way for Ethics Reform**

In her first official act after taking the oath of office, Simon took Illinois a step forward in terms of transparency and ethics by releasing a personal financial statement that detailed her family's assets, liabilities and net worth, and directed her senior staff to disclose more financial information than is required by law. Simon is requiring herself and her top advisors to release their personal financial profiles each spring so that taxpayers can determine if the public servants have any financial interests that could pose a conflict of interest in their decision making.

To combat the state's pay-to-play culture, Simon's first executive order set a new standard for government ethics. She prohibited her campaign fund from accepting contributions from employees of Illinois constitutional offices and issued a "no free lunch" order that prohibits her staff from accepting free meals from lobbyists. (Under state law, employees are allowed to make political donations and may accept up to \$75 in refreshments from lobbyists per day). The executive order aims to eliminate the possibility or even the perception that the Lieutenant Governor's Office would trade contributions for state employment or political favors.

STATE OF ILLINOIS  
OFFICE OF THE LIEUTENANT GOVERNOR

ANALYSIS OF OPERATIONS  
FOR THE TWO YEARS ENDED JUNE 30, 2011

SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED) (Continued)

A day after a second consecutive Illinois governor went on trial for corruption charges, Simon announced her plan to strengthen existing ethics laws, beginning with stronger financial disclosure rules for candidates, elected officials, and top government employees. She is working with good government groups and allies to ensure taxpayers can easily access accurate information about officials' income, properties and investment portfolios, to combat conflicts of interest.

**Promoted Equality and Social Justice**

A former prosecutor, Simon urged Governor Quinn to eliminate the death penalty as a form of punishment for crimes committed in Illinois. In a public letter to the Governor, she argued that the death penalty system was unacceptable because it tolerated errors in execution. Illinois was the 16th state in the nation to ban the death penalty.

Simon helped the state move toward marriage equality by supporting SB 1716, also known as the Illinois Religious Freedom Protection and Civil Union Act. The bill allows for civil unions in Illinois, defined as a legal relationship between two persons, of either the same or opposite sex. People who enter a civil union are entitled to the same legal obligations, responsibilities, protections, and benefits afforded or recognized by the law of Illinois to spouses, Simon argued. The law makes Illinois the 10th state to allow same-sex marriage or civil unions.

**Stood Up for Rural Communities**

Simon chairs the Governor's Rural Affairs Council (GRAC) which is tasked with improving delivery of state services to rural Illinois and enhancing the quality of life for rural residents. The 25-member council was created in 1987 but re-launched in 2011 when Governor Quinn issued an executive order written by Simon that formally brought citizen public members, farmers and the Illinois Farm Bureau into service. Its mission now focuses on developing markets for local foods, improving emergency services in rural areas and monitoring other emerging rural issues.

Simon helped Southern Illinois University secure an \$81,000 federal grant to establish a Farmers Market Association that will provide professional development, resources and support for farmers, markets, and communities. This will include development of a farmers' market manager training manual and a statewide database to connect farmers to markets.

Simon worked with the General Assembly to help clear the way for small family farms to enter boutique food production while maintaining public health. SB 840 allows for local farmers to sell certain homemade baked goods at farmers' markets and other community events. All food items must have a Food Service Sanitation Management Certificate, be accompanied by appropriate labels listing all ingredients, and identify that products are homemade.

STATE OF ILLINOIS  
OFFICE OF THE LIEUTENANT GOVERNOR

ANALYSIS OF OPERATIONS  
FOR THE TWO YEARS ENDED JUNE 30, 2011

SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED) (Continued)

To create green jobs, combat food deserts and improve public health, Simon recommended the Chicago City Council adopt new regulations in support of urban farming. Simon asked the City Council to give community gardens and urban farms the right to locate on vacant land in residential areas and allow them to operate seasonal stands to sell fresh produce to local residents. The city adopted an urban farm-friendly zoning ordinance that expands the size of community gardens to 25,000 feet, allows for small-scale produce sales in residential areas and relaxes costly parking and fencing rules.

Simon is working to improve Emergency Medical Service (EMS) in rural Illinois. Rural residents often face long ambulance response times, and rural EMS agencies struggle to retain the qualified EMS personnel necessary to provide life-saving care. Simon testified before the Illinois House EMS Taskforce and will advocate for reforms.

**Protected Military Families**

As Lieutenant Governor, Simon serves as the chairperson of the state's Interagency Military Base Support and Economic Development Committee. One of the committee's responsibilities is to coordinate communication among local, state and federal officials regarding the future of military bases in Illinois. Simon has recruited Members of Congress from Illinois for the U.S. House Defense Communities Caucus. The Caucus will act as a unified, bipartisan voice for communities nationwide with active, closed or closing military installations and could help us create partnerships among the private, public and military sectors to create jobs, save federal tax dollars and help Illinois prepare for and prevent base closures or other downsizing.

Acting on behalf of the military base committee, Simon is supporting federal legislation that will extend the practice of allowing the five public schools serving the Great Lakes Naval Training Base to pool their students to maximize federal education assistance through the Impact Aid program. The legislation would allow the North Chicago school district to remain eligible for heavily impacted status for the next five years. If the bill fails, North Chicago's Impact Aid could be reduced significantly.

**Advocated for Domestic Violence Survivors**

Simon's office collaborated with State government to recycle and reuse more than 2,000 no-longer-used State-issued cell phones. Simon collected the phones as part of HopeLine Drive with Verizon Wireless, which donated \$10,000 to domestic violence prevention agencies in Chicago and Springfield and agreed to donate emergency phones to survivors. In collaboration with domestic violence agencies, Simon launched a virtual legal clinic that will provide survivors of domestic violence in underserved communities with free legal consultations.

STATE OF ILLINOIS  
OFFICE OF THE LIEUTENANT GOVERNOR

ANALYSIS OF OPERATIONS  
FOR THE TWO YEARS ENDED JUNE 30, 2011

SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED) (Continued)

**Supported River and Water Conservation**

Lieutenant Governor Simon chairs the three Illinois River, Mississippi River, and Wabash and Ohio River Coordinating Councils that unite citizens, river enthusiasts, and state and federal agencies to preserve and restore our watersheds. Included with these responsibilities are inter-related issues of economics, flooding, recreation, and tourism. To ensure the councils promote achievable and effective projects, Simon re-established the Science Advisory Committee to provide expert advice on conservation issues. She is also evaluating the implementation of the Lieutenant Governor's Integrated Management Plan (IMP) for the Illinois River Watershed, adopted in 1997, which is used by the U.S. Army Corps of Engineers as a guiding document for river restoration.