

STATE OF ILLINOIS  
SOUTHERN ILLINOIS UNIVERSITY  
COMPLIANCE EXAMINATION

(In accordance with the Single Audit Act and OMB Circular A-133)

FOR THE YEAR ENDED JUNE 30, 2005

Performed as Special Assistant  
Auditors for the Auditor General,  
State of Illinois

## CONTENTS

	Page
UNIVERSITY OFFICIALS	4-5
MANAGEMENT ASSERTION LETTER	6-7
COMPLIANCE REPORT	
SUMMARY	8-9
AUDITORS' REPORTS	
INDEPENDENT ACCOUNTANTS' REPORT ON STATE COMPLIANCE AND ON INTERNAL CONTROL OVER COMPLIANCE FOR STATE COMPLIANCE PURPOSES	10-12
REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH <i>GOVERNMENT AUDITING STANDARDS</i>	13-14
REPORT ON COMPLIANCE WITH REQUIREMENTS APPLICABLE TO EACH MAJOR PROGRAM AND ON INTERNAL CONTROL OVER COMPLIANCE IN ACCORDANCE WITH OMB CIRCULAR A-133	15-16
SCHEDULE OF FINDINGS AND QUESTIONED COSTS	
SECTION I – SUMMARY OF AUDITORS' RESULTS	17
SECTION II – FINANCIAL STATEMENT FINDINGS	18
SECTION III – FEDERAL AWARD FINDINGS AND QUESTIONED COSTS	18
FINDINGS, RECOMMENDATIONS AND UNIVERSITY RESPONSES – STATE	19-23
FINDINGS, RECOMMENDATIONS AND UNIVERSITY RESPONSES – FEDERAL	24-25

CONTENTS - CONTINUED

	Page
PRIOR FINDINGS NOT REPEATED (STATE)	26
STATUS OF PRIOR AUDIT FINDINGS (STATE)	27
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS	
SUMMARY	28
CARBONDALE	29-52
EDWARDSVILLE	53-63
NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS	64-69
RELATED REPORTS PUBLISHED UNDER SEPARATE COVER	
THE FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2005 FOR	
<ul style="list-style-type: none"><li>• Southern Illinois University</li><li>• Housing and Auxiliary Facilities System</li><li>• Medical Facilities System</li></ul>	
SUPPLEMENTARY FINANCIAL INFORMATION OF SOUTHERN ILLINOIS UNIVERSITY FOR THE YEAR ENDED JUNE 30, 2005, INCLUDING COMPLIANCE WITH LEGISLATIVE AUDIT COMMISSION UNIVERSITY GUIDELINES 1982 AS AMENDED 1997, AND SPECIAL DATA REQUIRED FOR UNIVERSITY AUDITS	

**STATE OF ILLINOIS**  
**SOUTHERN ILLINOIS UNIVERSITY**

**UNIVERSITY OFFICIALS**

**Board of Trustees of Southern Illinois University**

Roger Tedrick, Chair	Mt. Vernon
Ed Hightower, Vice-Chair	Edwardsville
John Simmons, Secretary	East Alton
Ed Ford	Carbondale
Marilyn Jackson	Chicago
Rick Maurer	Edwardsville
Keith Sanders	Spring Grove
A.D. Van Meter, Jr.	Springfield

**Officers of Southern Illinois University**

James E. Walker, President

Misty Whittington, Executive Secretary of the Board

Jerry Blakemore, General Counsel

John S. Haller, Jr., Vice-President, Academic Affairs

Duane Stucky, Vice-President, Financial and Administrative Affairs and Board Treasurer

**STATE OF ILLINOIS**  
**SOUTHERN ILLINOIS UNIVERSITY**  
**UNIVERSITY OFFICIALS - CONTINUED**

**Officers of Administration, Southern Illinois University at Carbondale**

Walter V. Wendler, Chancellor

John M. Dunn, Provost and Vice-Chancellor

Rickey N. McCurry, Vice-Chancellor for Institutional Advancement

Larry H. Dietz, Vice-Chancellor for Student Affairs and Enrollment Management

John A. Koropchak, Vice-Chancellor for Research and Graduate Dean

Kevin D. Bame, Executive Director of Finance

Catherine A. Hagler, Executive Director of Administration

J. Kevin Dorsey, Dean and Provost, School of Medicine

**Officers of Administration, Southern Illinois University at Edwardsville**

Vaughn Vandegrift, Chancellor

Sharon K. Hahs, Provost and Vice-Chancellor for Academic Affairs

G. Patrick Williams, Vice-Chancellor for Development and Public Affairs

Narbeth Emmanuel, Vice-Chancellor for Student Affairs

Kenneth Neher, Vice-Chancellor for Administration



SOUTHERN ILLINOIS UNIVERSITY

November 1, 2005


Kerber, Eck and Braeckel, LLP  
1000 Myers Building  
Springfield, IL 62701

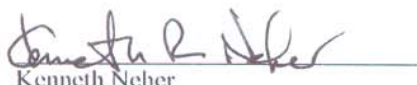
Ladies and Gentlemen:

We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the University. We are responsible for and we have established and maintained an effective system of internal controls over compliance requirements. We have performed an evaluation of the University's compliance with the following assertions during the year ended June 30, 2005. Based on this evaluation, we assert that during the year ended June 30, 2005, the University has materially complied with the assertions below.

- A. The University has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The University has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The University has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. The State revenues and receipts collected by the University are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. The money or negotiable securities or similar assets handled by the University on behalf of the State or held in trust by the University have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate and in accordance with law.

Yours very truly,

  
Duane Stucky  
Interim President and Board Treasurer

  
Kenneth Neher  
Vice Chancellor for Administration, SIUE

  
Dave Heth  
Director of Financial Affairs, SIUE

Office of the President, Mailcode 6801, Carbondale, Illinois 62901-6801

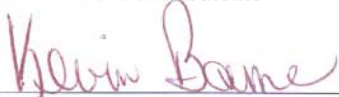
Carbondale • Edwardsville • Springfield • Alton • E. St. Louis • Niigata  
Carbondale, Phone 618/536-3331, Fax: 618/536-3404      Edwardsville, Phone 618/650-2426, Fax: 618/650-3216



Pam Speer  
Associate Provost, Finance & Administration  
SIUC School of Medicine



Beth Colljer  
Assistant Provost for Financial Affairs  
SIUC School of Medicine



Kevin Bame  
Executive Director of Finance, SIUC

State of Illinois  
Southern Illinois University

COMPLIANCE REPORT SUMMARY

June 30, 2005

The compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and in accordance with the Illinois State Auditing Act.

AUDITORS' REPORTS

The Independent Accountants' Report on State Compliance and on Internal Control Over Compliance for State Compliance Purposes does not contain scope limitations, disclaimers, or other significant non-standard language.

SUMMARY OF FINDINGS

<u>Number of</u>	<u>This Report</u>	<u>Prior Report</u>
Findings	3	3
Repeated findings	1	-
Prior recommendations implemented or not repeated	2	3

Details of findings are presented in a separately tabbed report section.

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

<u>Item No.</u>	<u>Page</u>	<u>Description</u>	<u>Prior Finding Code</u>
FINDING (STATE COMPLIANCE)			
05-1	19	Time Sheets are Not Maintained in Compliance with the State Officials and Employees Ethics Act	N/A
05-2	21	Inadequate Security Controls	04-3
FINDING (FEDERAL)			
05-3	23	Late Filing of Performance Reports	N/A
PRIOR FINDINGS NOT REPEATED (STATE COMPLIANCE)			
05-4	25	Failure to File Documents with the State Comptroller	04-1
05-5	25	Incorrect Coding of Vouchers	04-2



State of Illinois  
Southern Illinois University

COMPLIANCE REPORT SUMMARY - CONTINUED

June 30, 2005

EXIT CONFERENCE

The University waived an exit conference. Written responses to the findings were provided by Ron Cremeens, Internal Audit Director, on February 13, 2006.



Kerber, Eck & Braeckel LLP

CPAs and  
Management Consultants

1000 Myers Building  
1 West Old State Capitol Plaza  
Springfield, IL 62701-1268  
ph 217.789.0960  
fax 217.789.2822  
www.kebcpa.com

Independent Accountants' Report on State Compliance and on Internal  
Control Over Compliance for State Compliance Purposes

Honorable William G. Holland  
Auditor General, State of Illinois

**Compliance**

As Special Assistant Auditors for the Auditor General, we have examined Southern Illinois University's (the University's) compliance with the requirements listed below, as more fully described in the Audit Guide for Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (Audit Guide) as adopted by the Auditor General, during the year ended June 30, 2005. The management of the University is responsible for compliance with these requirements. Our responsibility is to express an opinion on the University's compliance based on our examination.

- A. The University has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The University has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The University has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. The State revenues and receipts collected by the University are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the University on behalf of the State or held in trust by the University have been properly and legally administered and the accounting and recordkeeping relating thereto is proper, accurate and in accordance with law.

Our examination was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States; the Illinois State Auditing Act (the Act); and the Audit Guide as adopted by the Auditor General pursuant to the Act; and, accordingly, included examining, on a test basis, evidence about the University's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our examination provides a reasonable basis for our opinion. Our examination does not provide a legal determination on the University's compliance with specified requirements.

In our opinion, the University complied, in all material respects, with the aforementioned requirements during the year ended June 30, 2005. However, the results of our procedures disclosed an instance of noncompliance with those requirements, which is required to be reported in accordance with criteria established by the Audit Guide, issued by the Illinois Office of the Auditor General and which is described in the accompanying schedule of State findings and questioned costs as finding 05-1.

As required by the Audit Guide, immaterial findings relating to instances of noncompliance excluded from this report have been reported in a separate letter to your office.

### **Internal Control**

The management of the University is responsible for establishing and maintaining effective internal control over compliance with the requirements of laws and regulations. In planning and performing our examination, we considered the University's internal control over compliance with the aforementioned requirements in order to determine our examination procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with the Audit Guide, issued by the Illinois Office of the Auditor General.

Our consideration of internal control over compliance with the aforementioned requirements would not necessarily disclose all matters in internal control that might be material weaknesses. A material weakness is a condition in which the design or operation of one or more internal control components does not reduce to a relatively low level the risk that noncompliance with applicable requirements of laws and regulations that would be material in relation to one or more of the aforementioned requirements being examined may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. We noted no matters involving internal control over compliance that we consider to be material weaknesses. However, the results of our procedures disclosed an other matter involving internal control which is required to be reported in accordance with criteria established by the Audit Guide, issued by the Illinois Office of the Auditor General and which is described in the accompanying schedule of State findings and questioned costs as finding 05-2.

As required by the Audit Guide, immaterial findings relating to internal control deficiencies excluded from this report have been reported in a separate letter to your office.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, the University Board of Trustees, and management, and is not intended to be and should not be used by anyone other than these specified parties.

*Kerber, Eck & Braeckel LLP*

Springfield, Illinois  
November 1, 2005



CPAs and  
Management Consultants  
1000 Myers Building  
1 West Old State Capitol Plaza  
Springfield, IL 62701-1268  
ph 217.789.0960  
fax 217.789.2822  
www.kebcpa.com

Report on Internal Control Over Financial Reporting and on Compliance  
and Other Matters Based on an Audit of Financial Statements  
Performed in Accordance with *Government Auditing Standards*

Honorable William G. Holland  
Auditor General, State of Illinois

As Special Assistant Auditors for the Auditor General, we have audited the basic financial statements of Southern Illinois University (the "University") as of June 30, 2005, and for the year then ended, and have issued our report thereon dated November 1, 2005, (presented under separate cover). We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

Internal Control Over Financial Reporting

In planning and performing our audit, we considered the University's internal control over financial reporting in order to determine our auditing procedures for the purpose of expressing our opinion on the financial statements and not to provide an opinion on the internal control over financial reporting. Our consideration of the internal control over financial reporting would not necessarily disclose all matters in the internal control that might be material weaknesses. A material weakness is a reportable condition in which the design or operation of one or more of the internal control components does not reduce to a relatively low level the risk that misstatements caused by error or fraud in amounts that would be material in relation to the financial statements being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. We noted no matters involving the internal control over financial reporting and its operation that we consider to be material weaknesses. However, we noted certain immaterial instances of internal control deficiencies, which we have reported to management of the University in a separate letter dated November 1, 2005.

## Compliance and Other Matters

As part of obtaining reasonable assurance about whether the University's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*. However, we noted certain matters which are reported as State compliance findings in the schedule of findings. We also noted certain other matters which we have reported to management of the University in a separate letter dated November 1, 2005.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, University Board of Trustees, management, and federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

*Kuhler, Eck & Braeckel LLP*

Springfield, Illinois  
November 1, 2005



Kerber, Eck & Braeckel LLP

CPAs and  
Management Consultants

1000 Myers Building  
1 West Old State Capitol Plaza  
Springfield, IL 62701-1268  
ph 217.789.0960  
fax 217.789.2822  
www.kebcpa.com

Report on Compliance with Requirements Applicable to  
Each Major Program and on Internal Control Over  
Compliance in Accordance with OMB Circular A-133

Honorable William G. Holland  
Auditor General, State of Illinois

Compliance

We have audited the compliance of Southern Illinois University (the "University") with the types of compliance requirements described in the U.S. Office of Management and Budget (OMB) *Circular A-133 Compliance Supplement* that are applicable to each of its major federal programs for the year ended June 30, 2005. The University's major federal programs are identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts and grants applicable to each of its major federal programs is the responsibility of the University's management. Our responsibility is to express an opinion on the University's compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the University's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination of the University's compliance with those requirements.

In our opinion, the University complied, in all material respects, with the requirements referred to above that are applicable to each of its major federal programs for the year ended June 30, 2005. However, the results of our auditing procedures disclosed an instance of noncompliance with those requirements, which is required to be reported in accordance with OMB Circular A-133 and which is described in the schedule of findings and questioned costs as item 05-3.

## Internal Control Over Compliance

The management of the University is responsible for establishing and maintaining effective internal control over compliance with the requirements of laws, regulations, contracts, and grants applicable to federal programs. In planning and performing our audit, we considered the University's internal control over compliance with requirements that could have a direct and material effect on a major federal program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance and to test and report on the internal control over compliance in accordance with OMB Circular A-133.

We noted a certain matter involving the internal control over compliance and its operation that we consider to be a reportable condition. Reportable conditions involve matters coming to our attention relating to significant deficiencies in the design or operation of the internal control over compliance that, in our judgment, could adversely affect the University's ability to administer a major federal program in accordance with the applicable requirements of laws, regulations, contracts, and grants. The reportable condition is described in the accompanying schedule of findings and questioned costs as item 05-3.

A material weakness is a reportable condition in which the design or operation of one or more of the internal control components does not reduce to a relatively low level the risk that noncompliance with the applicable requirements of laws, regulations, contracts, and grants caused by error or fraud that could be material in relation to a major federal program being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. Our consideration of the internal control over compliance would not necessarily disclose all matters in the internal control that might be reportable conditions and, accordingly, would not necessarily disclose all reportable conditions that are also considered to be material weaknesses. However, we believe that none of the reportable conditions described above is a material weakness.

## Schedule of Expenditures of Federal Awards

We have audited the basic financial statements of the University as of and for the year ended June 30, 2005, and have issued our report thereon dated November 1, 2005. Our audit was performed for the purpose of forming an opinion on the basic financial statements taken as a whole. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in our opinion, is stated fairly, in all material respects, in relation to the basic financial statements taken as a whole.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, University Board of Trustees, management, and federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

*Kerker, Eck & Branschel LLP*

Springfield, Illinois  
November 1, 2005



State of Illinois  
Southern Illinois University

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

Year ended June 30, 2005

Section I - Summary of Auditors' Results

Financial Statements

Type of auditors' report issued: unqualified

Internal control over financial reporting:

Material weakness(es) identified?	_____	yes	_____	X	no
Reportable condition(s) identified not considered to be material weaknesses?	_____	yes	_____	X	none reported
Noncompliance material to financial statements noted?	_____	yes	_____	X	no

Federal Awards

Internal control over major programs:

Material weakness(es) identified	_____	yes	_____	X	no
Reportable condition(s) identified not considered to be material weaknesses?	_____	X	yes	_____	none reported
Type of auditors' report issued on compliance for major programs: unqualified					
Any audit findings disclosed that are required to be reported in accordance with Circular A-133, Section .510(a)?	_____	X	yes	_____	no

Identification of major programs:

<u>CFDA Numbers</u>	<u>Name of Federal Program</u>
Various	(1) Student Financial Aid Cluster
Various	(2) Research & Development Cluster
93.600	(3) Head Start Program
Various	(4) TRIO Cluster

Dollar threshold used to distinguish between Type A and B programs:	\$ 2,333,224
Auditee qualified as low-risk auditee?	_____ X _____ yes no

State of Illinois  
Southern Illinois University

SCHEDULE OF FINDINGS AND QUESTIONED COSTS - CONTINUED

Year ended June 30, 2005

Section II - Financial Statement Findings

There were no matters reported.

Section III - Federal Award Findings and Questioned Costs

See Finding 05-3.

State of Illinois  
Southern Illinois University

FINDINGS, RECOMMENDATIONS AND UNIVERSITY RESPONSES - STATE

Year ended June 30, 2005

**University - Wide**

**05-1 - Time Sheets are Not Maintained in Compliance with the State Officials and Employees Ethics Act**

The University did not require time sheets from certain of its employees, including those assigned to its University Related Organizations, in compliance with the State Officials and Employees Ethics Act (Act).

The Act requires the University to adopt personnel policies consistent with the Act. The Act (5 ILCS 430/5-5(c)) states, "The policies shall require State employees to periodically submit time sheets documenting the time spent each day on official State business to the nearest quarter hour."

We noted a majority of the University's employees did not maintain time sheets in compliance with the Act. Employees' time is generally tracked using the Human Resources Management System, which is a "negative" timekeeping system whereby the employee is assumed to be working unless noted otherwise. No time sheets documenting the time spent each day on official State business to the nearest quarter hour are maintained for the majority of University employees. University employees are divided into three groups – the Fair Labor Standards Act (FLSA) Non-Exempt Group, the FLSA Exempt Group, and Faculty/Instructional Employees Group. The only employees documenting time to the nearest quarter hour were those represented by the Non-Exempt Group which includes all hourly paid civil service, extra help, student workers, and non-status employees of the University.

University management stated it relied on advice from the Inspector General's Office staff which initially stated that agencies using the Human Resources Management System would be in compliance with the Act.

Failure to maintain employee time sheets constitutes non-compliance with State law. (Finding Code No. 05-1)

**Recommendation**

We recommend the University require all employees to maintain time sheets in compliance with the Act.

State of Illinois  
Southern Illinois University

FINDINGS, RECOMMENDATIONS AND UNIVERSITY RESPONSES - STATE

Year ended June 30, 2005

**University - Wide**

**05-1 - Time Sheets are Not Maintained in Compliance with the State Officials and Employees Ethics Act – Continued**

**University Response**

Southern Illinois University respectfully takes exception to this finding. The University has taken all necessary steps to comply with the State Officials and Employees Ethics Act and has adopted all policies required by the Act.

All Southern Illinois University employees are subject to SIU policies. After reviewing the University's policies, it is clear that employees are required to report their time as required by the Act. For example, at SIUC, the policy states:

Each time an employee finds it necessary to be absent during a scheduled work period, a report explaining the absence should be filed with the department. Except for emergencies, the use of vacation should be submitted for approval in advance. When an absence is due to illness or injury, a properly completed absence form should be presented to the department on the day the employee returns to work. If benefit time is not or cannot be used to compensate an employee, the employee will not be paid for the absence. Documentation pertaining to employee absences should be retained by the department for a minimum of three years.

University employees have a scheduled work period during which they are required to be at work and engaged in their duties as University employees. Any absences from the scheduled work period must be reported on University approved forms and in accordance with the policy quoted above. If the employee is not present during his/her scheduled work period, then he/she must report the absence. Further, employees are subject to discipline for violating this policy, not reporting to work during their scheduled work period, or not performing their work duties. In fact, the University's policy provides greater reporting requirements than those specified in the Act because employees are required to report any and all absences from their scheduled work period rather than absences in fifteen minute increments.

Further, upon enactment of the State Officials and Employees Ethics Act ("Ethics Act"), the University, along with other public institutions of higher education, met with the previous Executive Inspector General, Z. Scott, to discuss the Universities' requirements under the Ethics Act. During that meeting, the Universities questioned whether their current practice of time reporting satisfied the requirements of the Ethics Act. The Executive Inspector General indicated that such practices were in compliance with the Ethics Act. Based upon this interpretation by the individual responsible for enforcement of the Ethics Act and language of the Ethics Act, the University maintained its current system of timekeeping.

To summarize, Southern Illinois University's current timekeeping policies and procedures, as approved by the Office of the Executive Inspector General, meet the intent of the Ethics Act and do so in a more efficient and cost effective manner than the procedures specified by this finding.

State of Illinois  
Southern Illinois University

FINDINGS, RECOMMENDATIONS AND UNIVERSITY RESPONSES - STATE

Year ended June 30, 2005

**University - Wide**

**05-1 - Time Sheets are Not Maintained in Compliance with the State Officials and Employees Ethics Act – Continued**

**Auditors' Comment**

As noted in the finding, the State Officials and Employees Ethics Act requires “State employees to periodically submit time sheets documenting the time spent each day on official State business to the nearest quarter hour...” 5 ILCS 430/5-5(c). This timekeeping requirement went into effect March 1, 2004. The negative timekeeping system used by the majority of the Universities’ employees reports only time away from State business, not the time spent each day on official State business. Further, it is logical to assume that, by adopting this language, the legislature meant to effect a change in the method used by State employees to record their time – that is, to adopt a positive timekeeping system.

In addition, the memorandum from the Office of the Executive Inspector General upon which the University relied in maintaining its customary negative timekeeping system clearly states that it “is not a legal opinion”.

The auditors continue to believe that a positive timekeeping system for State employees is required by the State Officials and Employees Ethics Act. If the University disagrees with this conclusion, we further recommend that it seek a formal, written opinion from the Attorney General’s Office on the requirements of this statutory provision.

State of Illinois  
Southern Illinois University

FINDINGS, RECOMMENDATIONS AND UNIVERSITY RESPONSES - STATE

Year ended June 30, 2005

**University - Wide**

**05-2 - Inadequate Security Controls**

The University did not have adequate security controls over its computer systems. Although some progress had been made at the SIUC campus, the University failed to ensure adequate security controls were established University-wide. During our review, we noted the following weaknesses:

- Appropriate and consistent global security settings still had not been established for accessing the University's computer networks. For example, we noted password change intervals were not always required and intruder detection settings and reset lockout intervals varied. During the previous review, we noted the Schools of Medicine and Dental Medicine had established password change intervals; however, the intervals were excessive. During the current review, we noted that although SIUC implemented a new password change interval, the interval was still too excessive. After notification of this weakness, SIUC stated that they planned to implement robust passwords as a compensating control. SIUE had not addressed this issue at the time of our review.
- Procedures for ensuring regular review of security logs were not always established. Although SIUC had established procedures for ensuring periodic review of security logs, SIUE had not. SIUE management stated that review of security logs is performed. However, the process is not formalized or documented.
- Established policy and procedures did not always restrict the loading of third-party user installed or downloaded software on state owned equipment. Although SIUC had implemented policies and procedures to address this, SIUE had not.
- One server located in the basement of the School of Dental Medicine's Administrative building continued to be inadequately secured or safeguarded from environmental hazards.

The principles of good internal controls require reasonable cost-effective procedures be implemented to ensure the integrity and security of computerized information.

The University has a substantial investment in information technology and maintains critical, confidential, and sensitive information on its computer systems. However, no global security settings, other than those established with dial-up connection, had been established for accessing the University's computer networks. University personnel stated they did not want to limit the freedom of information by enforcing restrictive global security settings.

State of Illinois  
Southern Illinois University

FINDINGS, RECOMMENDATIONS AND UNIVERSITY RESPONSES - STATE

Year ended June 30, 2005

**University - Wide**

**05-2 - Inadequate Security Controls – Continued**

Without the implementation of adequate security controls and procedures for computer resources, there is an increased danger that unauthorized individuals may gain access to computer resources. Computer equipment may be stolen or vandalized, and data may be destroyed or corrupted. Ensuring adequate security controls are implemented and enforced allows the University to maintain the integrity over its computer systems and data. Without adequate security, controls and monitoring, data integrity could be compromised. (Finding Code Nos. 05-2, 04-3)

**Recommendation**

We recommend the University perform a formal computer security review at each campus to assess the risks in the current environment and the adequacy of logical and physical security controls. Once assessed, the University should update its security policies and procedures to:

- Establish University-wide baseline security guidelines that set the minimum default security requirements governing its computer networks for all campuses and for all computing platforms to ensure security is adequate and consistently enforced. Established guidelines should include effective password security parameters including an adequate password change interval, password length, and composition. Any deviations from the security guidelines should be reviewed and approved by University management;
- Include procedures and guidelines for performing routine monitoring of existing security logs; and,
- Restrict the use of third-party user installed or downloaded software on State owned computer equipment.

We also recommend access to University computer and network server rooms, and telecommunications resources be restricted to authorized personnel. In addition, the University should establish adequate environmental controls to ensure all equipment is protected from potentially harmful environmental hazards.

**University Response**

Accepted.

State of Illinois  
Southern Illinois University

FINDINGS, RECOMMENDATIONS AND UNIVERSITY RESPONSES - FEDERAL

Year ended June 30, 2005

**University - Carbondale**

**05-3 - Late Filing of Performance Reports**

Federal Agency: National Science Foundation  
CFDA Number: 47.076  
Program Name: Innovations in Aquaculture Feeds  
Questioned Costs: None

Federal Agency: National Science Foundation  
CFDA Number: 47.049  
Program Name: Career: Superparamagnetic Nanoparticles  
Questioned Costs: None

Federal Agency: National Institutes of Health  
CFDA Number: 93.213  
Program Name: Adjuvant Ginseng Use During Breast Cancer Therapy  
Questioned Costs: None

Federal Agency: National Science Foundation  
CFDA Number: 47.074  
Program Name: Microbial Diversity & Function in the Permanently Ice Covered Lakes  
Questioned Costs: None

Federal Agency: National Science Foundation  
CFDA Number: 47.049  
Program Name: Development Plan for Optical/Nuclear Resonance Studies of Proteins  
Questioned Costs: None

The University did not file performance reports by the due dates for several of its research and development grant programs receiving Federal financial assistance.

During our examination of 25 research and development grant programs, we noted interim progress reports were not required for 11 of the grants. University personnel did not file the required annual or semi-annual performance reports for 5 of the 14 (36%) remaining grant programs by the due date set by the Federal agency. For reports that have been filed, the filing of these reports ranged from 2 to 141 days late. Performance reports for the remaining nine grants examined were either filed timely or were not due to the Federal agency by June 30, 2005.

Many Federally-sponsored research and development grant programs require financial and performance reports to be filed by their grantees. Federal agencies use these reports to monitor the scientific progress and financial spending of their grant programs.



State of Illinois  
Southern Illinois University

FINDINGS, RECOMMENDATIONS AND UNIVERSITY RESPONSES - FEDERAL

Year ended June 30, 2005

**University – Carbondale**

**05-3 - Late Filing of Performance Reports - Continued**

The University personnel in the Office of Research Development and Administration (ORDA) stated they were not aware the required reports were filed late. ORDA personnel expected the performance reports to be completed by the principal investigator of the program and filed with the granting agency and ORDA on a timely basis.

Not filing the reports required by the Federal agencies by the due dates may result in future withholding of Federal funds for grant programs the University currently administers. (Finding Code No. 05-3)

**Recommendation**

We recommend the University strengthen its monitoring to ensure that the required performance reports are completed and submitted by the due dates to the Federal agencies.

**University Response**

Accepted.

**Name of Contact Person:** Prudence M. Rice, Associate Vice Chancellor for Research, Office of Research Development and Administration

**SIU Corrective Action Planned:** The University is in the implementation stage of an internal federal reporting database. This database will allow for notification of pending reports and tracking of delinquent reports.

**Anticipate Completion Date:** Spring of 2006

State of Illinois  
Southern Illinois University

PRIOR FINDINGS NOT REPEATED (STATE)

Year ended June 30, 2005

05-4 During the prior period, the University did not file contract and lease documents with the State Comptroller's Office as required by the Statewide Accounting Management System (SAMS). University officials indicated that this recommendation had been implemented as of February 18, 2005. (Finding Code No. 04-1)

During the current period, we tested 50 contracts and leases and noted that for those signed subsequent to February 18, 2005, all required documents were filed with the State Comptroller's Office. Therefore, this finding is not repeated.

05-5 During the prior period, the University did not code vouchers to the proper SAMS object code classification. (Finding Code No. 04-2)

During the current period, all vouchers tested were coded to the proper SAMS object code classification. Therefore, this finding is not repeated.

State of Illinois  
Southern Illinois University

STATUS OF PRIOR AUDIT FINDINGS (STATE)

Year ended June 30, 2005

<u>Prior Finding Number/Code</u>	<u>Current Finding</u>	<u>Not Repeated</u>	<u>Finding Topic</u>
04-1		X	Failure to File Documents with the State Comptroller
04-2		X	Incorrect Coding of Vouchers
04-3	X		Inadequate Security Controls

State of Illinois  
Southern Illinois University

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS SUMMARY

Year ended June 30, 2005

<b>Federal Grantor Agency</b>	<b>Federal Expenditures</b>		
	<b>Carbondale</b>	<b>Edwardsville</b>	<b>Total</b>
U.S. Department of Agriculture	\$ 1,841,633	\$ 795,286	\$ 2,636,919
U.S. Department of Commerce	1,017,898	-	1,017,898
U.S. Department of Defense	1,193,348	40,177	1,233,525
U.S. Department of Housing and Urban Development	217,526	288,181	505,707
U.S. Department of the Interior	723,052	330,446	1,053,498
U.S. Department of Justice	135,406	-	135,406
U.S. Department of Labor	797,320	-	797,320
U.S. Department of State	88,544	23,174	111,718
U.S. Department of Transportation	721,862	137,208	859,070
Library of Congress	262,039	168,796	430,835
National Aeronautics and Space Administration	118,529	-	118,529
National Foundation on the Arts and the Humanities	130,516	121,077	251,593
National Science Foundation	3,314,677	505,958	3,820,635
Small Business Administration	-	181,745	181,745
U.S. Department of Veterans' Affairs	27,287	-	27,287
U.S. Environmental Protection Agency	90,464	234,159	324,623
U.S. Department of Energy	1,012,825	36,380	1,049,205
U.S. Information Agency	6,745	-	6,745
U.S. Department of Education	23,134,795	11,914,331	35,049,126
U.S. Department of Health and Human Services	17,090,956	10,373,395	27,464,351
Corporation for National and Community Service	-	665,266	665,266
U.S. Postal Service	-	4,650	4,650
U.S. Department of CIES - Fulbright	-	28,513	28,513
Total Expenditures of Federal Awards	\$ 51,925,422	\$ 25,848,742	\$ 77,774,164

Immaterial differences between the above summaries and the following Schedules of Expenditures of Federal Awards are due to rounding.

**Southern Illinois University, Carbondale**  
**SCHEDULE OF FEDERAL AWARDS**  
**For the year ended June 30, 2005**

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
<b>U.S. DEPARTMENT OF AGRICULTURE</b>				
<b>Direct Grants and Contracts:</b>				
Southern Illinois Institute	10.DRA-IL-2007		\$ 138,629.00	\$ 56,972.07
Core Revision	10.00-43-31LT-7-06021		10,000.00	(4,456.36)
Effects of Fuel Reduction	(2) 10.03-DG-11244225-573		5,000.00	(66.45)
Studies to Monitor Animal and Habitat Use	(2) 10.05-PA-11090800-008		5,000.00	395.14
Public Exhibits for Pounds Hollow	10.03-CS-11090803-020		15,000.00	500.00
Identification of Novel Sources	(2) 10.58-6435-5-032		15,000.00	538.79
Preliminary Analysis of Vegetation	(2) 10.00-CA-11231300-076		7,500.00	548.96
Blue Joint Grass Restoration	(2) 10.03-CS-11090800-022		5,000.00	609.00
Status and Distribution of the Rainbow Darter	(2) 10.02-CS-11090804-006		25,000.00	817.00
Ecological Classification	(2) 10.FS-05-PA-1		50,000.00	872.66
New Techniques in Ethanol Production	(2) 10.58-0111-1-006		30,000.00	932.42
Spring Cave Fish in Illinois	(2) 10.02CS11090904-008		15,000.00	1,560.16
Lusk Creek Wilderness Study	(2) 10.03-CS-110908-4-016		10,065.00	1,590.42
Sampling for Sudden Oak Death	(2) 10.03-CA-1124343-135		15,000.00	2,361.19
Shawnee Timber Stand Survey	(2) 10.05-PA-11090800-013		21,000.00	2,965.43
Bantam Sunfish	(2) 10.03-CS-11090804-010		5,000.00	3,227.00
Challenge Cost Share for Investigations	(2) 10.CS-03-11090804-021		10,000.00	3,248.51
Erosion Dynamics	(2) 10.04-PA-1		35,000.00	3,765.73
Channel Morphology Responses	(2) 10.03-CA-1124343-021		24,000.00	4,117.08
Update and Publish Directory	(2) 10.03-DG-11244225-561		5,000.00	4,650.06
Monitor Avian Use in the SNF	(2) 10.05-PA-1-1090800-009		20,000.00	4,732.36
Aquatic Community Inventory	(2) 10.04-PA-11090800007		10,000.00	4,941.93
Conservation Assessment of the Bluebreast Darter	(2) 10.04-PA-11091200-040		5,479.00	5,481.00
Conservation Assessment of Sensitive Reptiles	(2) 10.03-CS-1190804-009		20,000.00	9,039.00
Mapping the Fire Regimes	(2) 10.03-CS-11090800-030		41,359.00	11,078.48
A Needs Assessment of Conservation	(2) 10.04-DG-11120102-012		16,700.00	15,779.58
Technical Assistance to Small Forest	(2) 10.03-DG-11244225056		29,000.00	19,773.86
Improvement of Early Season Soybean	(2) 10.43-3AEU-5-80019		30,000.00	27,756.81
Illinois Land-Use Impacts on Water	(2) 10.200		97,555.00	(3,056.22)
Midwest Regional Canola Research Program	(2) 10.200		112,320.00	4,461.06
Midwest Regional Canola Research Program	(2) 10.200		353,635.00	54,123.15
Illinois Land-Use Impacts on Water	(2) 10.200		765,726.00	85,445.39
Migration Rates	(2) 10.202		64,067.00	20,588.65
McIntire Stennis-Administration	(2) 10.202		153,456.00	61,152.54
McIntire Stennis-Administration	(2) 10.202		153,456.00	116,825.82
			1,700,215.00	339,540.39
Annual Meeting of American Society	(2) 10.206		7,660.00	5,026.71
Intercellular Metal Trafficking	(2) 10.206		120,000.00	25,842.97
Quantifying Multispecies Interactions	(2) 10.206		200,000.00	56,984.63
Contribution of DNA Photoproducts	(2) 10.206		214,500.00	86,782.20
Mechanism of Pesticide Interactions	(2) 10.206		276,000.00	167,592.65
			818,160.00	342,229.16

**Southern Illinois University, Carbondale**  
**SCHEDULE OF FEDERAL AWARDS**  
**For the year ended June 30, 2005**

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
What's on Your Plate	10.217		249,996.00	83,371.76
Illinois Ag Mediation Program	10.435		753,850.00	53,425.96
Harvest Survey Study	(2) 10.652		50,004.00	4,424.38
Augmentative Biological Control of Bark Beetles	(2) 10.652		34,210.00	6,788.50
Inventory of Non Native Invasive Species	(2) 10.652		10,047.00	8,212.37
Behavioral and Genetic Variation	(2) 10.652		48,370.00	19,795.50
			142,631.00	39,220.75
Rural Illinois Development	10.771		209,997.00	90,067.49
			4,493,581.00	1,131,587.34
<b>Flow-Thru Grants and Contracts:</b>				
<i>Michigan State University:</i>				
Responses of Northern Bobwhite	(2) 10.NRCS68-7482-3-121	330544-080300-027000-0840	122,997.00	1,723.92
Hybrid Striped Bass Technology	(2) 10.200	61-4132N	45,393.00	174.64
NCRAC Marketing	10.200	61-4104C	50,000.00	10,179.47
Drug Approval Research	(2) 10.200	61-4104R	23,730.00	11,847.53
Hybrid Striped Bass Nutrition	(2) 10.200	61-4104P	23,750.00	15,126.14
Culture Technology of Hybrid Striped Bass	(2) 10.200	61-4151I	92,607.00	26,501.27
			235,480.00	63,829.05
<i>City of Cairo, Illinois:</i>				
Master Plan for Fort Defiance Park	10.Unknown	NA	50,000.00	22,714.75
<i>Southern Illinois Coal Belt Champion Community:</i>				
Administrative Support for Public Officials	10.Unknown	NA	9,000.00	959.64
<i>University of Georgia:</i>				
Effects of Altered Flows	(2) 10.H5000 03 5040	RR267-189/7875197	36,000.00	6,337.41
<i>Harvest Select Farms:</i>				
Spawning and Hatchery Technology	(2) 10.Unknown	NA	18,775.00	7,273.15
Feasibility Study for National Heritage	(2) 10.Unknown	NA	43,978.00	43,966.62
<i>University of Illinois:</i>				
New Strategies	(2) 10.200	04-261	14,000.00	6,947.83
Automated Detection and Selection	(2) 10.200	00-114	215,000.00	(29.95)
Use of Corn and Soybean Products	(2) 10.200	03-269	165,000.00	23,050.34
			394,000.00	29,968.22
<i>Mississippi State University:</i>				
Identification Characterization	(2) 10.200	3850011805	30,000.00	16,939.41

**Southern Illinois University, Carbondale**  
**SCHEDULE OF FEDERAL AWARDS**  
**For the year ended June 30, 2005**

Federal Grantor/Pass Through Grantor/Program Title		Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
<i>Purdue University:</i>					
Influence of Winter Weed Management	(2)	10.200	591-0565-0	28,501.00	732.31
Improving Bone Health in Adolescence	(2)	10.302	590-2635-1	109,833.00	19,647.75
<i>Illinois-Missouri Biotechnology Alliance:</i>					
Soy Isoflavones	(2)	10.200	04-267	163,000.00	43,186.94
<i>Illinois State Board of Education:</i>					
School Meals Initiative	(2)	10.560	MY03841	237,200.00	56,080.90
School Meals Initiative	(2)	10.560	05-4999	56,300.00	62,398.91
				<u>293,500.00</u>	<u>118,479.81</u>
Child and Adult Care Food Program		10.558	05-4478	194,417.83	194,417.83
Child and Adult Care Commodities		10.558	05-4478	12,318.49	12,318.49
				<u>206,736.32</u>	<u>206,736.32</u>
<i>Oregon State University:</i>					
Peruvian Amazon Pond Aquaculture	(2)	10.965	RD010A-12	840,020.00	127,550.13
				<u>2,581,820.32</u>	<u>710,045.43</u>
<b>Total U.S. Department of Agriculture</b>				7,075,401.32	1,841,632.77
<b>U.S. DEPARTMENT OF COMMERCE</b>					
<b>Direct Grants and Contracts:</b>					
Public Telecommunications Facilities Award		11.550		84,451.00	6,090.65
WSIU Radio Production Storage		11.550		42,330.00	9,416.43
				<u>126,781.00</u>	<u>15,507.08</u>
<b>Flow-Thru Grants and Contracts:</b>					
<i>Cooperative Institute for Limnology and Ecosystems Research:</i>					
Improving Interpretation of Bioaccumulation	(2)	11.Unknown	NA	19,979.00	(2,144.89)
<i>Bradley University:</i>					
Manufacturing Extension Outreach		11.611	NA	63,472.00	(27,612.58)
Manufacturing Extension-Client Revenue		11.611	NA	1,174,628.00	259,915.07
Manufacturing Extension-NIST		11.611	NA	1,174,438.00	773,511.01
				<u>2,412,538.00</u>	<u>1,005,813.50</u>
<i>Western Illinois University:</i>					
Southern Illinois Economic Development Services		11.303	5-17000	7,500.00	7,468.86
Southern Illinois Economic Development Services		11.303	P020370	20,000.00	(9,607.00)
Southern Illinois Economic Development Services		11.303	N043439	9,200.00	(290.40)
Southern Illinois Economic Development Services		11.303	5-15810	10,000.00	1,150.47
				<u>46,700.00</u>	<u>(1,278.07)</u>
				<u>2,479,217.00</u>	<u>1,002,390.54</u>
<b>Total U.S. Department of Commerce</b>				2,605,998.00	1,017,897.62

**Southern Illinois University, Carbondale**  
**SCHEDULE OF FEDERAL AWARDS**  
**For the year ended June 30, 2005**

Federal Grantor/Pass Through Grantor/Program Title		Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
<b>U.S. DEPARTMENT OF DEFENSE</b>					
<b>Direct Grants and Contracts:</b>					
XI International Workshop	(2)	12.DAAL03-87-G0110		15,000.00	(1,241.87)
Gulf Way Syndrome	(2)	12.DAMD-17-97-C-7066		202,933.00	(499.30)
Smithland Pool	(2)	12.DACW27-79-C-0117		138,727.00	10.00
Geometric and Continuous-Time Computers	(2)	12.DAAD19-02-1-0051		64,000.00	540.00
XXV International Workshop	(2)	12.DAAD19-02-1-0011		20,000.00	1,332.18
				<u>84,000.00</u>	<u>1,872.18</u>
St John's Basin and New Madrid Floodway	(2)	12.DACW66-97-P-0499		87,994.00	3,000.46
XXVII International Workshop		12.DAAD19-03-1-0219		20,000.00	4,585.12
XXVIII International Workshop	(2)	12.W911NF-04-1-0394		20,000.00	14,516.37
Evaluation of Native and Non Native Fishes	(2)	12.W912HZ-05-C-0031		286,000.00	22,448.31
Implementation of an Innovative Approach	(2)	12.W9132		70,003.00	26,094.00
Dietary Accumulation	(2)	12.CE-DACA42-02-P-0154		150,593.00	35,352.33
IPA Agreement	(2)	12.CE-IWR-02-0003		227,619.00	53,005.57
Habitat Rehabilitation Swan Lake	(2)	12.W912HZ-04-C-0023		123,418.00	86,603.22
Demographics of Pallid Sturgeon	(2)	12.CE-PO-DACW42-02-C-0018		773,393.00	117,419.57
Airforce IPA Agreement	(2)	12.Unknown		568,085.00	160,339.06
Swan Lake Habitat Rehabilitation	(2)	12.W912HZ-04-C-0023		425,866.00	220,775.44
Preventing Noise Induced Hearing Loss	(2)	12.NMCSO-02-052		118,210.00	6,318.98
IPA Agreement	(2)	12.05-0002		112,074.00	56,226.26
Mechanisms of Tumor Metastasis	(2)	12.W81XWH-04-0193		350,230.00	75,527.26
Effects of Explosions on Sandwich Structures	(2)	12.300		25,114.00	27,633.99
Optical Decimator Module	(2)	12.300		63,565.00	36,832.59
				<u>88,679.00</u>	<u>64,466.58</u>
Idea Mechanism of Vitamin E	(2)	12.420		308,056.00	4.24
DRG1 in Breast Cancer-	(2)	12.420		141,963.00	22,786.73
Career Mechanism of Gene Regulation	(2)	12.420		232,464.00	58,449.76
				<u>682,483.00</u>	<u>81,240.73</u>
				4,545,307.00	1,028,060.27
<b>Flow-Thru Grants and Contracts:</b>					
<i>West Virginia Division of Natural Resources:</i>					
Winter Habitat Use by Fishes	(2)	12.Unknown	DNR-30161	185,000.00	103,368.68
<i>Academy of Applied Sciences:</i>					
JSHS-FY03		12.DAAG55-98-1-0468	NA	15,700.00	(144.17)
JSHS-FY04		12.W911NF-04-1-0001	NA	15,700.00	152.00
JSHS-FY05		12.W911NH-04-1-0001	NA	15,700.00	15,621.52
<i>Parsons Engineering:</i>					
Habitat Utilization Pallid Sturgeon	(2)	12.DACW43-99-D-0503	7377452-S-001	27,000.00	338.69
Mississippi River Pool	(2)	12.DACW43-99-D-0503	737745-S-001	76,745.20	991.80
Habitat Utilization Pallid Sturgeon	(2)	12.DACW43-99-D-0503	740078-S-001	34,958.00	2,458.96
				<u>138,703.20</u>	<u>3,789.45</u>



**Southern Illinois University, Carbondale**  
**SCHEDULE OF FEDERAL AWARDS**  
**For the year ended June 30, 2005**

Federal Grantor/Pass Through Grantor/Program Title		Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
<i>Sparta Inc:</i> Strain Rate in Loading	(2)	12.Unknown	NA	60,000.00	3,305.12
<i>ERC, Inc:</i> Crack Growth Analysis	(2)	12.F04611-99-C-0025	RP020298	240,000.00	23,731.43
<i>Battelle:</i> Role of Lipoic Acid	(2)	12.420	03093	40,476.00	15,639.24
<i>University of Rochester:</i> Tunable Optical Polymer Systems	(2)	12.431	411157G	102,917.00	(175.12)
				<u>814,196.20</u>	<u>165,288.15</u>
<b>Total U.S. Department of Defense</b>				5,359,503.20	1,193,348.42
<b>U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT</b>					
<b>Direct Grants and Contracts:</b>					
Community Outreach Partnership Center		14.511		399,999.00	157,942.55
				399,999.00	157,942.55
<b>Flow-Thru Grants and Contracts:</b>					
<i>City of Carbondale, Illinois:</i>					
COPC Grant		14.246	NA	15,000.00	7,575.00
COPC Grant		14.511	NA	15,000.00	1,528.04
<i>Illinois Department of Health:</i>					
HOPWA-FY05		14.241	55780310	78,336.00	50,480.23
				<u>108,336.00</u>	<u>59,583.27</u>
<b>Total U.S. Department of Housing and Urban Development</b>				508,335.00	217,525.82

**Southern Illinois University, Carbondale**  
**SCHEDULE OF FEDERAL AWARDS**  
**For the year ended June 30, 2005**

Federal Grantor/Pass Through Grantor/Program Title		Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
<b>U.S. DEPARTMENT OF THE INTERIOR</b>					
<b>Direct Grants and Contracts:</b>					
The Origin of Salinity Changes	(2)	15.02ERSA0298		24,500.00	49.86
Recreation Use Monitoring Lower Current River	(2)	15.1443PX664098012		7,000.00	74.94
Testing Remote Cameras for Detecting	(2)	15.53411-4-0198A		6,500.00	198.90
Cooperative Reforestation Study	(2)	15.CQ100730		44,516.00	2,205.08
Survey of Invasive Plant Species	(2)	15.33610-1261-0000		7,572.46	4,639.15
Potential Effects of Organophosphates on Raptors	(2)	15.DLA0402		6,390.00	6,386.38
Effectiveness of PCD Remediation	(2)	15.30181-3-J211		75,000.00	37,206.35
Special Wildlife Studies	(2)	15.Unknown		154,540.00	66,248.03
Restoration of Giant Cane in Cypress Creek National Refuge	(2)	15.301814J191		5,000.00	2,213.48
Fish Tales Summer Program	(2)	15.617		3,000.00	(373.50)
Microsatellite Tools	(2)	15.617		47,000.00	6,395.91
Determining Cost Effectiveness of Active Versus Passive	(2)	15.617		31,000.00	15,606.44
				81,000.00	21,628.85
Comparison of the Sensitivity	(2)	15.808		10,200.00	8,916.77
Biscayne Bay Ecosystem History Project	(2)	15.808		24,547.00	10,019.78
Patuxent Kestrel Study	(2)	15.808		115,479.00	17,784.64
Magmatic Fluid Evolution	(2)	15.808		40,000.00	36,317.40
				190,226.00	73,038.59
River Use Monitoring	(2)	15.900		5,000.00	2,162.03
Cholinesterase Activity Levels in Pacific Treefrog	(2)	15.900		12,150.00	2,224.78
An Assessment of the Trail of Tears	(2)	15.900		7,000.00	3,605.13
				24,150.00	7,991.94
				626,394.46	221,881.55
<b>Flow-Thru Grants and Contracts:</b>					
<i>Kentucky Department of Fish and Wildlife Resources:</i>					
Kentucky Recreational Fisheries	(2)	15.605	980863	4,970.00	(911.26)
<i>University of California-Berkley:</i>					
Distribution and Toxicity of Sediment	(2)	15.805	SA4295	100,000.00	35,337.62
<i>University of Tennessee:</i>					
Analysis of Pottery	(2)	15.900	4182-002-01	14,901.00	9,184.20
<i>Seneca Nation of Indians:</i>					
Fire Management Plan	(2)	15.Unknown	NA	20,000.00	716.40
<i>The Nature Conservancy:</i>					
Toxicity of Glyphosate	(2)	15.Unknown	PAFO 010504	19,000.00	15,503.58
<i>Nebraska State Wildlife Grants Program:</i>					
Biological Evaluation of Central Platte River	(2)	15.617	NA	32,933.00	18,021.68

**Southern Illinois University, Carbondale**  
**SCHEDULE OF FEDERAL AWARDS**  
**For the year ended June 30, 2005**

Federal Grantor/Pass Through Grantor/Program Title		Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
<i>Illinois Department of Natural Resources:</i>					
Illinois Trophy Muskellunge Study	(2)	15.605	F-141-R-02	63,905.00	(29.15)
Illinois Lake Vegetation Project	(2)	15.605	F-140-R-04	66,697.00	316.88
Illinois Trophy Muskellunge Study	(2)	15.605	F-141-R-04	63,337.00	6,730.17
				<u>193,939.00</u>	<u>7,017.90</u>
Cooperative Upland Research	(2)	15.611	W-106-R-14	155,634.00	(2,594.63)
Woodrat Reintroduction and Recovery	(2)	15.611	W-135-R-5	34,570.00	(2,392.83)
Ecology of White Tailed Deer	(2)	15.611	W-087-R-26	148,272.00	2,030.29
Bobwhite Quail Life	(2)	15.611	R50417009	5,000.00	4,943.97
Cooperative Beaver and Nongame Mammal	(2)	15.611	W-135-R-5	90,444.00	13,116.72
Woodrat Reintroduction and Recovery	(2)	15.611	W-135-R-06	27,594.00	28,352.10
Ecology of White Tailed Deer	(2)	15.611	W-087-R-27	77,731.00	76,891.71
Cooperative Beaver and Nongame Mammal	(2)	15.611	W-135-R-06	91,290.00	90,770.04
Cooperative Upland Research	(2)	15.611	W-106-R-15	160,266.00	159,497.28
				<u>790,801.00</u>	<u>370,614.65</u>
Illinois Bird Conservation Plan	(2)	15.617	CAJV-01	25,000.00	8,496.16
<i>University of Illinois:</i>					
Develop Water Benchmarks	(2)	15.805	2005-509-1-00	94,245.00	37,189.04
				<u>1,295,789.00</u>	<u>501,169.97</u>
<b>Total U.S. Department of the Interior</b>				1,922,183.46	723,051.52
<b>U.S. DEPARTMENT OF JUSTICE</b>					
<b>Flow-Thru Grants and Contracts:</b>					
<i>City of Carbondale, Illinois:</i>					
Domestic Violence Clinic		16.Unknown	97-WE-VX-0034	595,311.00	75,536.68
Safeplace		16.Unknown	NA	55,063.00	39,300.08
<i>Hoyleton Youth and Family Services:</i>					
Mentoring Youth to Reduce Violence		16.2002-PSN-112	NA	15,000.00	3,695.00
Mentoring Youth to Reduce Violence		16.2004-PSN-09	NA	14,988.00	12,072.00
<i>Illinois Criminal Justice Information Authority:</i>					
Corrections Symposium		16.Unknown	NA	17,500.00	14.30
Evaluation of the Jackson County Probation Program	(2)	16.Unknown	NA	71,113.00	4,420.96
<i>Franklin-Williamson Human Services, Inc:</i>					
Franklin-Williamson Human Services Evaluation		16.Unknown	NA	10,000.00	366.50
<b>Total U.S. Department of Justice</b>				778,975.00	135,405.52

Southern Illinois University, Carbondale  
**SCHEDULE OF FEDERAL AWARDS**  
For the year ended June 30, 2005

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
<b>U.S. DEPARTMENT OF LABOR</b>				
<b>Flow-Thru Grants and Contracts:</b>				
<i>Illinois Department of Commerce &amp; Economic Opportunity:</i>				
Career Cluster	17.255		500,000.00	491,713.54
Health/TDL Cluster	(2) 17.255	03-11307	500,000.00	2,613.13
			1,000,000.00	494,326.67
On-Line Instruction and Certification	17.258	04-67302	435,588.00	65,831.27
Employer Training Investment Program	17.258	03-67819	72,000.00	24,000.00
WED Curriculum Center	17.258	NA	11,166.66	11,166.66
			518,754.66	100,997.93
Employer Training Investment Program	17.259	03-67819	72,000.00	24,000.00
On-Line Instruction and Certification	17.259	04-67302	435,588.00	65,831.27
WED Curriculum Center	17.259	NA	11,166.67	11,166.67
Employer Training Investment Program	17.259	03-67819	72,000.00	24,000.00
			590,754.67	124,997.94
On-Line Instruction and Certification	17.260	04-67302	435,588.00	65,831.27
WED Curriculum Center	17.260	NA	11,166.67	11,166.67
			446,754.67	76,997.94
<b>Total U.S. Department of Labor</b>			2,556,264.00	797,320.48

Southern Illinois University, Carbondale  
 SCHEDULE OF FEDERAL AWARDS  
 For the year ended June 30, 2005

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
<b>U.S. DEPARTMENT OF STATE</b>				
<b>Direct Grants and Contracts:</b>				
Samara Teachers Institute	19.405		51,254.00	4,225.54
Samara Teachers Institute	19.405		223,541.00	6,286.17
			<u>274,795.00</u>	<u>10,511.71</u>
Shkodra-SIUC	19.406		43,004.00	1,850.83
21st Century Tanzania	19.415		145,745.00	15,421.80
Strengthening Journalism	19.415		151,414.00	28,447.09
			<u>297,159.00</u>	<u>43,868.89</u>
American Studies Institute Program	19.418		181,450.00	(83.22)
American Studies Institute Program	19.418		76,202.00	(9.72)
Domestic Violence	(2) 19.418		99,978.00	20,764.96
			<u>357,630.00</u>	<u>20,672.02</u>
			972,588.00	76,903.45
<b>Flow-Thru Grants and Contracts:</b>				
<i>National Association for Foreign Student Affairs:</i>				
Women and Leadership in the Muslim World	19.Unknown	NA	5,148.00	4,548.11
<i>Association Liaison Office:</i>				
Higher Education Assistance for Afghanistan	19.418	NA	119,933.00	7,092.51
			<u>125,081.00</u>	<u>11,640.62</u>
<b>Total U.S. Department of State</b>			1,097,669.00	88,544.07

**Southern Illinois University, Carbondale**  
**SCHEDULE OF FEDERAL AWARDS**  
**For the year ended June 30, 2005**

Federal Grantor/Pass Through Grantor/Program Title		Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
<b>U.S. DEPARTMENT OF TRANSPORTATION</b>					
<b>Direct Grants and Contracts:</b>					
FAA Aviation Mechanics Exam	(2)	20.Unknown		9,250.00	6,261.37
				<u>9,250.00</u>	<u>6,261.37</u>
<b>Flow-Thru Grants and Contracts:</b>					
<i>GCR &amp; Associates, Inc:</i>					
Airport Safety Data Program		20.Unknown	NA	160,477.00	102,471.60
<i>Illinois Department of Transportation:</i>					
Health Monitoring of Highway Bridges	(2)	20.205	TEA-21	620,489.00	267,227.15
Risky Business		20.600	AL8-0084-014	287,735.00	(18,353.85)
Risky Business		20.600	OP2-5480-046	520,353.96	(1,561.84)
Risky Business		20.600	OP3-5480-028	662,743.00	(1,501.00)
Risky Business		20.600	OP1-5480-118	273,728.00	9.99
Risky Business		20.600	ALS-5480-054	259,422.00	2,050.16
Risky Business Alcohol Incentive	(2)	20.600	ALS-0084-028	758,354.00	15,982.36
Think First-Injury Prevention		20.600	05-IP-003	241,951.00	176,371.40
Think First		20.600	40084056	487,968.00	57,889.60
				<u>3,492,254.96</u>	<u>230,886.82</u>
Risky Business		20.601	OP1-5480-118	307,105.00	1,168.83
Mini-Grant Alcohol Enforcement		20.601	AL4-0039-075	32,670.93	949.67
				<u>339,775.93</u>	<u>2,118.50</u>
Child Passenger Safety Resource Center		20.602	OP2-0865-275	50,513.50	(445.13)
Southern Illinois Regional Child Passenger Safety Resource		20.602	OP4-0039-02	117,958.00	35,103.51
SI Regional Child Passenger Safety Resource Center		20.602	05-CPSRC-005	98,000.00	66,842.59
				<u>266,471.50</u>	<u>101,500.97</u>
Mini-Grant Alcohol Enforcement		20.605	AL4-0039-075	32,670.93	11,396.08
				<u>4,912,139.32</u>	<u>715,601.12</u>
<b>Total U.S. Department of Transportation</b>				<u>4,921,389.32</u>	<u>721,862.49</u>

**Southern Illinois University, Carbondale**  
**SCHEDULE OF FEDERAL AWARDS**  
**For the year ended June 30, 2005**

Federal Grantor/Pass Through Grantor/Program Title		Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
<b>LIBRARY OF CONGRESS</b>					
<b>Direct Grants and Contracts:</b>					
Lewis and Clark Archaeological Investigations	(2)	42.03-LIB-0007		19,834.00	7,238.55
Lewis and Clark	(2)	42.03-LIB-0007		178,866.00	70,545.48
				<u>198,700.00</u>	<u>77,784.03</u>
				198,700.00	77,784.03
<b>Flow-Thru Grants and Contracts:</b>					
<i>Education and Research Consortium of Western North Carolina:</i>					
Adventure of the American Mind		42.00G-LIB-ND001	NA	750,000.00	184,254.61
<b>Total Library of Congress</b>				948,700.00	262,038.64
<b>NATIONAL AERONAUTICS AND SPACE ADMINISTRATION</b>					
<b>Direct Grants and Contracts:</b>					
Development of Digital Image Correlation	(2)	43.NNM05AA12P		20,000.00	209.11
NASA ESS Fellowship	(2)	43.NGT5-50321		68,000.00	1,182.00
A Novel Fiber Optics Based Method	(2)	43.NAG13-03029		19,545.00	3,568.86
Interpretation of the Mars Crustal	(2)	43.NAG5-9832		64,000.00	5,575.00
Nondestructive Inspection	(2)	43.NMMO04AA02A		30,000.00	5,685.19
An Intelligent Sensor	(2)	43.NGT-1-352760		42,016.00	15,056.23
An Integrated Framework for the Intelligent Monitoring	(2)	43.NNS04AB796		50,000.00	22,980.40
Geodynamics of the Ithosphere	(2)	43.NAG-5-13681		101,000.00	49,190.75
				<u>394,561.00</u>	<u>103,447.54</u>
				394,561.00	103,447.54
<b>Flow-Thru Grants and Contracts:</b>					
<i>University of Maryland:</i>					
Improving Land Surface Products	(2)	043000	Z607901	25,695.00	10,676.61
<i>Montana State University:</i>					
Molecular & Geo Analysis	(2)	43.001	GC029-04-Z3256	9,320.00	4,404.64
				<u>35,015.00</u>	<u>15,081.25</u>
				35,015.00	15,081.25
<b>Total National Aeronautics and Space Administration</b>				429,576.00	118,528.79

**Southern Illinois University, Carbondale**  
**SCHEDULE OF FEDERAL AWARDS**  
**For the year ended June 30, 2005**

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
<b>NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES</b>				
<b>Direct Grants and Contracts:</b>				
John Dewey Correspondence	(2) 45.132		245,000.00	4,536.91
John Dewey Correspondence	(2) 45.161		150,000.00	(1,970.17)
John Dewey Project	(2) 45.161		75,000.00	48,834.36
			<u>225,000.00</u>	<u>46,864.19</u>
Koussevitzky Planning Project	45.164		29,996.00	12,487.63
			<u>499,996.00</u>	<u>63,888.73</u>
<b>Flow-Thru Grants and Contracts:</b>				
<i>Carbondale Community Arts:</i>				
Making Art	45.Unknown	2-5	800.00	191.34
<i>Illinois Humanities Council:</i>				
Coal Cultures in Exhibition	45.129	2688	10,000.00	397.55
Artifacts and Heavy Timber	45.129	3264	10,000.00	7,265.92
Words Woods and Wire	45.129	3233	8,355.00	8,272.44
			<u>28,355.00</u>	<u>15,935.91</u>
<i>Illinois State Library:</i>				
Government Information Technology Enhancement	45.310	LSTA-05-03030753	500.00	500.00
<i>John Dewey Foundation:</i>				
John Dewey Correspondence	(2) 45.161	NA	50,000.00	50,000.00
			<u>79,655.00</u>	<u>66,627.25</u>
<b>Total National Foundation on the Arts and the Humanities</b>			<u>579,651.00</u>	<u>130,515.98</u>



**Southern Illinois University, Carbondale**  
**SCHEDULE OF FEDERAL AWARDS**  
**For the year ended June 30, 2005**

Federal Grantor/Pass Through Grantor/Program Title		Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
<b>NATIONAL SCIENCE FOUNDATION</b>					
<b>Direct Grants and Contracts:</b>					
US/French Workshop on Fractional Derivatives	(2)	47.041		16,000.00	7,857.49
Preliminary Evaluation of the Impact of CO2	(2)	47.041		66,426.00	14,563.31
Ambient Non-Thermal Plasma	(2)	47.041		254,700.00	15,080.09
Center for Advanced Friction Studies	(2)	47.041		64,080.00	17,922.01
Implementing Mixed-Signal Circuits	(2)	47.041		400,000.00	21,677.67
Mynamical Neural Networks	(2)	47.041		226,736.00	72,893.25
Center for Advanced Friction Studies	(2)	47.041		2,322,441.00	219,140.67
				3,350,383.00	369,134.49
Finite and Infinite-Dimensional Stochastic-Systems	(2)	47.049		183,034.00	34,370.61
Singular Deformations in Mechanics	(2)	47.049		110,000.00	34,966.70
Development Plan for Optical Resonance	(2)	47.049		206,540.00	125,891.04
Analysis of Cavitation in Solids	(2)	47.049		86,758.00	(24.34)
Nanoscale Electron Transfers	(2)	47.049		404,660.00	3,019.80
Applied Robust Statistics	(2)	47.049		43,561.00	11,383.07
Research in Polar Organic Materials	(2)	47.049		492,410.00	44,296.14
Absorption Studies	(2)	47.049		300,000.00	67,791.66
Career: Superparamagnetic Nanoparticles	(2)	47.049		399,700.00	160,739.53
Acquisition of a 400 MHZ NMR	(2)	47.049		256,841.00	250,838.58
				2,483,504.00	733,272.79
RCN: Peatnet Globalization of Northern Peatland		47.050		199,379.00	2,480.94
Origin of High Magnetic Remanence	(2)	47.050		110,452.00	3,987.65
Fabric and Seismic Anisotropy	(2)	47.050		61,899.00	30,016.08
Multivariate Geospatial Analysis	(2)	47.050		285,000.00	142,466.39
				656,730.00	178,951.06
Cooperative Distributed Problem Solving	(2)	47.070		205,706.00	2,147.01
Distributed Interpretation	(2)	47.070		300,000.00	17,700.63
				505,706.00	19,847.64
Collaborative Research: Molecular Systematics	(2)	47.074		101,433.00	16,493.53
Collaborative Research-Response of Tropical Ecosystem	(2)	47.074		400,000.00	165,458.08
Integrative Mapping	(2)	47.074		1,331,664.00	280.49
UMEB: Increasing Diversity		47.074		59,955.00	11,352.34
Collaborative Research: Biodiversity Phylogeny	(2)	47.074		94,703.00	13,474.06
Reconstructing Early Evolution of Bees	(2)	47.074		112,164.00	16,679.49
Mechanisms of Memory Modulation	(2)	47.074		317,647.00	40,029.68
Phylogeny of Loranths	(2)	47.074		160,000.00	42,222.91
Novel Nuclear Protein-Coding	(2)	47.074		260,000.00	47,852.60
Intraspecific Variation in Thlaspi	(2)	47.074		100,000.00	63,588.85
Microbial Diversity and Function	(2)	47.074		281,742.00	97,605.15
Deep Green Plant Phylogenetics	(2)	47.074		427,350.00	111,908.37
PEET: Monographic and Phylogenetic		47.074		773,600.00	154,999.60
Mass Spectrometer for Genomic and Proteomic Research	(2)	47.074		180,256.00	172,426.66
				4,600,514.00	954,371.81

**Southern Illinois University, Carbondale**  
**SCHEDULE OF FEDERAL AWARDS**  
**For the year ended June 30, 2005**

Federal Grantor/Pass Through Grantor/Program Title		Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
The Pachacamac Project	(2)	47.075		169,347.00	(3,159.11)
Santa Cruz Tayata and Emergent	(2)	47.075		57,602.00	3,734.00
Comparative and Historical Yukatekan Maya	(2)	47.075		101,971.00	8,056.35
U.S. Bangladesh Cooperative Research	(2)	47.075		35,000.00	1,459.04
Pachacamac Project	(2)	47.075		60,128.00	2,164.17
U.S. Jordan Cooperative Research Program	(2)	47.075		30,000.00	4,422.22
U.S. Chile Cooperative Research Program	(2)	47.075		49,967.00	22,783.16
Economic Restructuring Women's Work	(2)	47.075		199,970.00	48,797.29
Agricultural Landscape Evolution in an Adaptive Managemer	(2)	47.075		450,000.00	56,087.72
				1,153,985.00	144,344.84
Geodetic Measurement	(2)	47.076		42,600.00	830.42
Diffraction Course		47.076		75,000.00	2,857.13
Interactive Multimedia Labware		47.076		206,079.00	8,679.47
Environmental and Water Resources		47.076		140,000.00	13,556.16
Theories of Learning and Research	(2)	47.076		67,487.00	12,633.69
SIU Supporting Youth		47.076		76,836.00	26,535.70
Toward a Descriptive Science of Learning	(2)	47.076		490,778.00	114,200.01
Reaching Out to Academically Talented		47.076		400,000.00	132,431.18
Innovations in Aquaculture Feeds	(2)	47.076		600,000.00	150,986.56
				2,098,780.00	462,710.32
Paleohistory of the Larsen Ice Shelf	(2)	47.078		185,696.00	30,594.56
Antarctic Sulfur Bacteria	(2)	47.078		235,000.00	1,321.21
				420,696.00	31,915.77
				15,270,298.00	2,894,548.72
<b>Flow-Thru Grants and Contracts:</b>					
<i>Belleville School District:</i>					
Developing Elementary Mathematics		47.ESI9355541	NA	373,264.00	0.40
<i>University of New Mexico:</i>					
Cross Site Workshop Project		47.Unknown	728904	6,000.00	192.48
<i>Kansas State University:</i>					
Interactive Effects of Disturbance Frequency	(2)	47.074	S05024	138,688.00	2,612.61
Intra and Interspecific Effects	(2)	47.074	S05032	19,986.00	7,105.01
Riparian Influences	(2)	47.074	S03066	60,012.00	13,776.10
				218,686.00	23,493.72
<i>University of Notre Dame:</i>					
Imputs of Foliage and Pollen	(2)	47.074	200814	117,683.00	5,540.16
<i>Arizona State University:</i>					
Emerging Wildlife Disease	(2)	47.074	06-055	150,001.00	86,767.56
<i>Colorado School of Mines:</i>					
Preparedness to Start an Entry Level Position	(2)	47.Unknown	NA	2,000.00	152.50
<i>Twin Cities Public Television:</i>					
Dragonfly TV SciGirls		47.Unknown	20213-1-01958	9,000.00	3,892.12

**Southern Illinois University, Carbondale**  
**SCHEDULE OF FEDERAL AWARDS**  
**For the year ended June 30, 2005**

Federal Grantor/Pass Through Grantor/Program Title		Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
<i>Natureserve:</i> Coordinated Research on Amphibian Declines	(2)	47.Unknown	NA	52,543.00	7,495.32
<i>The Algebra Project, Inc:</i> Raising the Floor	(2)	47.076	ESI-0137855	74,788.00	25,775.12
<i>Villanova University:</i> RIU: Carbon Accumulation	(2)	47.EAR-0223071	525594	99,151.00	40,150.61
RIU: Post-Fire Carbon Balance	(2)	47.DEB-0212333	525538	305,569.00	62,655.34
<i>Institute of Ecosystem Studies in Millbrook:</i> Influence of Spatiotemporal Variation	(2)	47.074	2839-200310	52,472.00	20,904.58
<i>University of Illinois:</i> Bits Designed Neuronal Circuits	(2)	47.070	02-292	369,148.00	127,478.26
<i>Chicago State University:</i> ILSAMP		47.076	53326-HRD-000341	35,000.00	15,629.62
				<u>1,865,305.00</u>	<u>420,127.79</u>
<b>Total National Science Foundation</b>				17,135,603.00	3,314,676.51
<b>U.S. DEPARTMENT OF VETERANS' AFFAIRS</b>					
<b>Direct Grants and Contracts:</b> EDC Veterans Affairs Services		64.V69DP3339		10,000.00	27,287.34
<b>Total U.S. Department of Veterans' Affairs</b>				10,000.00	27,287.34
<b>ENVIRONMENTAL PROTECTION AGENCY</b>					
<b>Direct Grants and Contracts:</b> USEPA Star Fellowship		66.514		15,026.00	13,869.65
				15,026.00	13,869.65
<b>Flow-Thru Grants and Contracts:</b> <i>Wichita State University:</i> Golf Course Water Quality	(2)	66.Unknown	NPS2K1-073	34,370.00	16,380.98
<i>University of Kansas Center for Research:</i> Fluoroquinolone Antibacterial Agents	(2)	66.R82900801-0	2002-009	114,061.00	37,599.85
<i>Illinois Environmental Protection Agency:</i> Intensive Basin Survey Internship	(2)	66.Unknown	FW-3334	61,895.00	20,126.61
<i>University of Illinois:</i> Development of a Small Water System	(2)	66.X-82921801	02-269	25,003.00	2,487.16
				<u>235,329.00</u>	<u>76,594.60</u>
<b>Total Environmental Protection Agency</b>				250,355.00	90,464.25

**Southern Illinois University, Carbondale**  
**SCHEDULE OF FEDERAL AWARDS**  
**For the year ended June 30, 2005**

Federal Grantor/Pass Through Grantor/Program Title		Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
<b>U.S. DEPARTMENT OF ENERGY</b>					
<b>Direct Grants and Contracts:</b>					
Value-Added Products from FGD Sulfite	(2)	81.FG26-04NT42179		49,997.00	7,743.33
Investigation of Nevel Alloy	(2)	81.FC26-04NT42224 A000		50,000.00	46,903.53
Alcohol in E. Coli	(2)	81.FG02-88ER13941		1,617,364.00	103,528.92
Qualifications of Candle Filters	(2)	81.FC26-03-NT41839		800,000.00	384,727.24
Immobilization of Heavy Metals	(2)	81.049		766,480.00	28,576.73
Development of a Novel Fine Particle	(2)	81.087		49,381.00	48,719.43
				<u>3,333,222.00</u>	<u>620,199.18</u>
<b>Flow-Thru Grants and Contracts:</b>					
<i>City of Carbondale, Illinois:</i>					
Rebuild America (Carbondale)	(2)	81.Unknown	NA	80,542.16	(360.48)
<i>West Virginia University:</i>					
Wet Scrubber Sludge	(2)	81.DE-FC26-98FT40028	98-166	116,180.00	97.64
Development of Coal Combustion Products	(2)	81.DE-FC26-98FT40028	98-166	113,880.00	11,736.30
Boron Transport from Coal	(2)	81.DE-FC26-98FT40028	98-166	136,795.00	22,618.92
CBRC Administration	(2)	81.DE-FC26-98FT40028	98-166	338,938.00	51,653.30
				<u>705,793.00</u>	<u>86,106.16</u>
<i>University of California-Berkley:</i>					
Uranium Immobilization	(2)	81.049	SA4167-32401PG	107,085.00	86,234.93
<i>University of Nevada Reno:</i>					
Characteristics of Concealed Geothermal Systems	(2)	81.087	05-09	4,700.00	4,700.00
<i>UT-Battelle, LLC:</i>					
Cost Effective Sintering	(2)	81.DE-AC05-84OR21400	86SJ222C	1,962,541.00	17,166.34
TIC/AL203-TIC Powder Synthesis	(2)	81.049		80,000.00	40,716.17
<i>GE Energy and Environmental Research Corp:</i>					
Fuel-Flexible Gasification	(2)	81.DE-FC26-00FT40974	63078	240,000.00	46,611.00
<i>Illinois Department of Commerce and Economic Opportunity:</i>					
Creating Energy Management Opportunities	(2)	81.Unknown	96-312	35,089.00	0.11
Regional Energy Program	(2)	81.Unknown	04-32404	70,000.00	20,759.19
Energy Internship		81.041	PR41430056	6,495.00	(318.07)
<i>University of Illinois:</i>					
Laboratory Assessment	(2)	81.DE-FC26-03NT41994	04-229	99,608.00	91,010.57
				<u>3,391,853.16</u>	<u>392,625.92</u>
<b>Total U.S. Department of Energy</b>				6,725,075.16	1,012,825.10

**Southern Illinois University, Carbondale**  
**SCHEDULE OF FEDERAL AWARDS**  
**For the year ended June 30, 2005**

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
<b>U.S. INFORMATION AGENCY</b>				
<b>Direct Grants and Contracts:</b>				
NGO Training-Russia	82.01		267,656.00	9,159.64
			267,656.00	9,159.64
<b>Flow-Thru Grants and Contracts:</b>				
<i>American Councils for International Education:</i> Civics Education Internship Program	82.Unknown	NA	25,501.00	(2,414.98)
			25,501.00	(2,414.98)
<b>Total U.S. Information Agency</b>			293,157.00	6,744.66
<b>U.S. DEPARTMENT OF EDUCATION</b>				
<b>Direct Grants and Contracts:</b>				
Regional Network Conference	84.43-3J47-0333-0		63,654.00	3,230.07
Core National Probability Conference	(2) 84.98-CO-0053		1,891,046.00	7,987.85
Vilna-wilno-Vilnius: The National Transformations	(2) 84.019		35,700.00	34,861.50
Planning Grant	(2) 84.031		28,700.00	13,595.19
Student Support Service Program	(4) 84.042		1,029,649.00	255,731.37
Upward Bound	(4) 84.047		365,408.00	2,938.45
Upward Bound	(4) 84.047		1,118,148.00	385,461.14
			1,483,556.00	388,399.59
Establishment of Realtime Captioning Training	84.116		25,000.00	1,744.73
Long Term Rehabilitation Training	84.129		271,671.00	85,442.49
Long Term Rehabilitation Training	84.129		1,934,112.00	241,475.03
			2,205,783.00	326,917.52
Training for All Teachers	84.195		718,422.00	171,895.85
SIUC McNair Program	(4) 84.217		440,000.00	207,796.51
RCEP-Region V	84.264		2,779,827.00	607,960.96
			10,701,337.00	2,020,121.14
<b>Financial Assistance:</b>				
Supplemental Educational Opportunity Grant-FY04	(1) 84.007		723,736.00	22,741.38
Supplemental Educational Opportunity Grant-FY05	(1) 84.007		721,377.00	940,710.25
			1,445,113.00	963,451.63
Cooperative Work Study Program	(1) 84.033		2,174,996.00	1,975,815.97
Pell Grant Program-FY01	(1) 84.063		1,335,312.00	(412.00)
Pell Grant Program-FY03	(1) 84.063		16,083,902.00	(4,262.00)
Pell Grant Program-FY04	(1) 84.063		16,763,148.00	14,314.00
Pell Grant Program-FY05	(1) 84.063		15,793,497.00	15,795,721.00
			49,975,859.00	15,805,361.00
			53,595,968.00	18,744,628.60

**Southern Illinois University, Carbondale**  
**SCHEDULE OF FEDERAL AWARDS**  
**For the year ended June 30, 2005**

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
<b>Flow-Thru Grants and Contracts:</b>				
<i>Rend Lake College:</i>				
Special International Grant Projects	84.Unknown	NA	7,500.00	989.65
<i>Hamilton-Jefferson ROE#25:</i>				
Area 6 Illinois Mathematics	84.Unknown	NA	52,261.00	39,187.04
<i>Franklin-Williamson County Regional Office of Education:</i>				
Blazing Learning Trails	84.303	R303A980314	566,806.00	(174.31)
<i>Corporation for Public Broadcasting:</i>				
Ready to Learn Station Payment	84.Unknown	NA	19,250.00	1,854.02
<i>Illinois Community College Board:</i>				
Adult Basic Education-Federal	84.002	NA	90,253.00	6,406.63
Adult Basic Education-Federal	84.002	NA	87,457.00	89,074.62
			177,710.00	95,481.25
Postsecondary Career and Technical Education	84.048	CTESIUC04	60,653.00	2,940.30
ASA Career and Technical Education Program	84.048	CTESIUC04	82,852.00	6,173.53
Strengthening Community Colleges	84.048	CTESIUC05	50,000.00	8,206.50
Post Secondary Career and Technical Education	84.048	CTESIUC05	39,150.00	39,450.70
Strengthening Community Colleges	84.048	CTESIUC04	50,000.00	50,835.90
			282,655.00	107,606.93
Preparing Tomorrows Teachers	84.342	PT3-530B02	22,025.00	(1,178.25)
<i>Illinois State Board of Education:</i>				
Illinois State Curriculum Center	84.048	02-4720	400,000.00	(3,418.80)
Gender Equity Center	84.048	99-4735	25,216.00	144.45
Illinois Student Information System	84.048	04-4720	260,000.00	1,090.72
Illinois State Curriculum Center	84.048	01-4720	400,000.00	1,623.00
Gender Equity Center	84.048	00-4735	60,000.00	2,257.00
Illinois State Curriculum Center	84.048	04-4720	475,000.00	4,878.33
Illinois Nontraditional Training and Employment	84.048	04-4720	75,000.00	73,499.26
Illinois Student Information System	84.048	00-4720	260,000.00	256,802.70
State Leadership CTE	84.048	02-4720	475,000.00	472,790.26
			2,430,216.00	809,666.92
Illinois Virtual High School Project	84.215	MY03424	285,540.00	(3,385.24)
<i>Illinois Department of Human Services:</i>				
EDC Third Party Agreement	84.126	40C3001651	989,658.00	(19,962.86)
EDC Third Party Agreement	84.126	240C2001651	989,658.00	442.33
EDC Third Party Agreement	84.126	40C4001651	989,658.00	28,361.89
EDC Third Party Agreement	84.126		989,658.00	976,664.54
			3,958,632.00	985,505.90
Early Intervention Developmental Diagnostic Center	84.181	NA	60,000.00	(47.35)
<i>Illinois Board of Higher Education:</i>				
Teacher Quality Enhancement	84.336	NA	805,119.00	178,769.77
Southern Illinois Teacher Quality	84.367	NA	320,000.00	155,769.31
			8,987,714.00	2,370,045.64
<b>Total U.S. Department of Education</b>			73,285,019.00	23,134,795.38

**Southern Illinois University, Carbondale**  
**SCHEDULE OF FEDERAL AWARDS**  
**For the year ended June 30, 2005**

Federal Grantor/Pass Through Grantor/Program Title		Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
<b>U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES</b>					
<b>Direct Grants and Contracts:</b>					
Effects of Vitamin B3	(2)	93.853		76,870.00	29,781.59
Endocrine Modulation	(2)	93.113		846,000.00	163,191.90
Synaptic Processing	(2)	93.173		392,105.00	106,848.76
Endogenous Modulation	(2)	93.173		1,234,689.00	(893.20)
Peripheral and Central Mechanisms	(2)	93.173		859,930.00	166,957.37
Coding in Auditory Neurons	(2)	93.173		1,448,373.97	283,367.76
Endogenous Modulation	(2)	93.173		716,190.97	344,591.95
				<u>4,651,288.94</u>	<u>900,872.64</u>
Ginseng and Breast Cancer	(2)	93.213		393,250.00	161,734.00
Sleep Patterns	(2)	93.233		1,186,189.00	414,686.13
Executive Function Development	(2)	93.242		643,500.00	267,561.69
Integrative Neurobiology of Alcohol	(2)	93.273		971,755.00	(3,737.92)
CUE-ERP	(2)	93.279		1,531,995.00	(5,618.37)
Cannabinoids and the H.P.A.	(2)	93.279		540,492.00	296.46
Supplement-PTE Infant	(2)	93.279		87,230.00	8,220.84
Attention Bias and Affect	(2)	93.279		357,500.00	209,787.48
NIDA 4	(2)	93.279		808,980.00	327,500.26
Prenatal Tobacco Exposure	(2)	93.279		1,072,500.00	382,915.30
				<u>4,398,697.00</u>	<u>923,101.97</u>
Analgesic Regimens for Study	(2)	93.306		830,830.00	264,845.34
SIU Rural Caregiver Telehealth Intervention	(2)	93.361		420,643.00	214,141.60
Behavioral and Physiologic Pathobiology	(2)	93.389		357,832.00	238,570.49
RNA Splicing in Archaea	(2)	93.390		141,000.00	14,864.27
UBC9 as a Novel Target	(2)	93.395		402,886.00	107,761.71
Tumor Suppressor Activities	(2)	93.396		109,633.00	48,202.43
Tumor Suppressor Activities	(2)	93.396		109,633.00	57,289.54
Tumor Metastasis Suppressor	(2)	93.396		141,000.00	81,909.17
NUDR: A Potential Tumor Suppressor	(2)	93.396		1,170,300.00	210,679.26
				<u>1,530,566.00</u>	<u>398,080.40</u>
Head Start	(3)	93.600		35,006.00	4,662.32
Head Start	(3)	93.600		1,569,378.00	8,968.40
Head Start	(3)	93.600		35,006.00	39,289.41
Head Start	(3)	93.600		3,176,981.00	272,970.95
Head Start	(3)	93.600		2,892,754.00	3,005,729.51
				<u>7,709,125.00</u>	<u>3,331,620.59</u>
Extreme Thermophile Protein	(2)	93.821		850,705.00	(154.30)
Medprep/Partnership Program		93.822		389,812.00	11,376.01
Medprep/Partnership Program		93.822		400,590.00	169,765.47
Medprep/Partnership Program		93.822		410,693.00	195,072.40
				<u>1,201,095.00</u>	<u>376,213.88</u>

**Southern Illinois University, Carbondale**  
**SCHEDULE OF FEDERAL AWARDS**  
**For the year ended June 30, 2005**

Federal Grantor/Pass Through Grantor/Program Title		Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
Cerebral Vessel Innervation	(2)	93.837		579,441.00	109.83
NMR Structural Studies	(2)	93.837		554,800.00	118,692.21
Lipid Biding Activity	(2)	93.837		297,935.00	159,690.19
Cerebrovascular Innervation	(2)	93.837		1,037,820.00	252,259.69
				<u>2,469,996.00</u>	<u>530,751.92</u>
Understanding Chemokine Synergism	(2)	93.847		214,500.00	12,513.44
Vanilloid Receptors in Diabetic	(2)	93.847		257,400.00	197,909.45
				<u>471,900.00</u>	<u>210,422.89</u>
Murine Sleep During Infections	(2)	93.853		888,649.00	682.88
Neuroprotection in Parkinson-Burns	(2)	93.853		224,436.00	45,079.64
Murine Sleep During Infections	(2)	93.853		330,688.00	51,453.83
Synaptic vs Nonsynaptic AMPA Receptors	(2)	93.853		696,800.00	106,034.21
Endogenous Activators of Vanilloid	(2)	93.853		782,050.00	146,321.50
Minocycline and Creative-Burns	(2)	93.853		202,551.00	(4,733.04)
				<u>3,125,174.00</u>	<u>344,839.02</u>
Benzodiazepine Modulation	(2)	93.854		495,505.00	686.70
Recovery of Function and Vagus Nerve Stimulation	(2)	93.854		845,349.00	254,122.12
				<u>1,340,854.00</u>	<u>254,808.82</u>
ADP Ribosylation	(2)	93.855		427,011.12	98.10
Chromatic Repression	(2)	93.859		443,300.00	243,333.08
Chromatic Repression	(2)	93.862		1,311,519.00	364,529.73
Female Mouse Model	(2)	93.864		141,000.00	3,405.58
Molecular Regulation	(2)	93.865		600,852.00	2,120.22
Meeting of AM Society for Immunology	(2)	93.865		6,000.00	5,000.00
Opioid Peptide Gene Expression	(2)	93.865		131,980.00	13,025.00
Prolactin Feedback	(2)	93.865		327,400.00	16,957.42
				<u>1,066,232.00</u>	<u>37,102.64</u>
Symposium on Aging		93.866		10,100.00	8,300.00
Synapse Modification and Memory Retention	(2)	93.866		70,500.00	24,913.78
Auditory Plasticity in the Aged Mouse	(2)	93.866		62,028.00	54,397.14
Age-Dependent Reponses of Neurons	(2)	93.866		895,759.00	306,843.59
Interaction of Caloric Restriction	(2)	93.866		978,664.39	344,825.37
				<u>2,017,051.39</u>	<u>739,279.88</u>
Residency Training in Family Medicine		93.884		122,030.04	(56.99)
FCM Residency Training in Primary Care		93.884		192,606.00	105.72
Residency Training in Family Medicine		93.884		85,687.65	82,608.01
FCM Residency Training in Primary Care		93.884		187,447.50	154,176.94
				<u>587,771.19</u>	<u>236,833.68</u>



**Southern Illinois University, Carbondale**  
**SCHEDULE OF FEDERAL AWARDS**  
**For the year ended June 30, 2005**

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
SIUC Cancer Institute	93.887		1,426,997.00	165,277.46
Predoctoral Training in Primary Care	93.896		122,945.33	86.78
SM Rural Health	93.912		145,500.00	(3,948.51)
SM Rural Health	93.912		145,500.00	211.63
Illinois Delta Network	93.912		409,941.00	11,944.81
SM Rural Health	93.912		291,000.00	13,648.63
Illinois Delta Network	93.912		786,976.00	34,053.81
Regional Telehealth Project	93.912		1,687,680.00	443,910.81
Illinois Delta Network	93.912		819,882.00	455,666.15
			<u>4,286,479.00</u>	<u>955,487.33</u>
			45,850,461.97	11,889,392.89
<b>Financial Assistance:</b>				
Scholarships for Disadvantaged Students	(1) 93.925	68718	68,718.00	68,718.00
			68,718.00	68,718.00
<b>Flow-Thru Grants and Contracts:</b>				
<i>Vanderbilt University:</i> Minority Bridges Program Graduate Assistantship	93.5-R25GM60190-03	NA	6,480.00	3,240.00
<i>University of Wisconsin-Milwaukee:</i> Clinical Significance of Alcohol Treatment Research	(2) 93.273	K069453	11,622.00	3,884.14
<i>University of Iowa:</i> Global Network for Research	(2) 93.865	4000528916	25,510.00	28,742.85
<i>University of California-San Diego:</i> Idebenone in Patients	(2) 93.866	CV-2619-PNFP-001	144,000.00	(58.00)
Vitamin E and Donepezil	(2) 93.866	01-01-11	145,500.00	40.56
			<u>289,500.00</u>	<u>(17.44)</u>
<i>Tennessee State University:</i> Toward Explaining Racial Variation	(2) 93.1R24HS11640-03	NA	21,000.00	10,233.00
<i>Cleveland Medical Devices:</i> Seizure Analysis and Prediction System	(2) 93.Unknown	NA	20,000.00	11,728.93
<i>Fluid Measurement Technologies, Inc:</i> A New Universal Chromatography Detector	(2) 93.Unknown	NA	33,002.00	16,434.59
<i>Neuronetrix:</i> Rural EMS Analysis	(2) 93.241	1000A	10,000.00	10.06
<i>Jackson Laboratory:</i> Efficacy of Mouse Sperm	(2) 93.306	521231	315,726.00	(4,400.00)

**Southern Illinois University, Carbondale**  
**SCHEDULE OF FEDERAL AWARDS**  
**For the year ended June 30, 2005**

Federal Grantor/Pass Through Grantor/Program Title		Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
<i>National Childhood Cancer Foundation:</i>					
Children's Oncology Group	(2)	93.395	11417	30,365.00	12,360.97
<i>Duke University Medical Center:</i>					
American College of Surgeons Oncology Group	(2)	93.395	Z0030, Z0040	115,500.00	42,623.18
<i>Egyptian Area Agency on Aging:</i>					
Legal Services to Older Persons		93.633	04508	55,902.00	16,879.66
Legal Services to Older Persons		93.633	NA	49,114.00	57,980.67
				<u>105,016.00</u>	<u>74,860.33</u>
<i>St. John's and Memorial Medical:</i>					
EAM: Vitamin E Analogue	(2)	93.778	1R15CA111543-01	25,000.00	1,030.05
D-Methionine Protection	(2)	93.778	E200528	50,000.00	14,138.39
ER-Mediated Gene	(2)	93.778	E200530	49,952.00	26,029.10
Model for Preciampsia	(2)	93.778	E200532	50,000.00	26,577.18
Brief Epileptic Seizures	(2)	93.778	E200529	50,006.00	28,480.14
				<u>224,958.00</u>	<u>96,254.86</u>
<i>Oregon Health Sciences University:</i>					
Initial Events in Gonococcal Infection	(2)	93.856	NA	45,246.00	(8.78)
<i>Mayo Clinic Foundation:</i>					
Smart Study	(2)	93.856	U01-A146362.01	22,087.00	305.19
<i>California Pacific Medical Center:</i>					
Insulin Signaling Gene Expression	(2)	93.866	2803204-S018	259,699.00	168,892.78
<i>Children's Hospital Research Foundation</i>					
Effects of Microbicides on Chlamydia	(2)	93.856	NA	144,507.00	24,253.32
<i>Chicago Women's Interagency:</i>					
Chicago Interagency Women's HIV Study	(2)	93.856	NA	89,998.00	36,960.96
<i>Research Foundation for Mental Hygiene, Inc:</i>					
Vitamin E in Aging Persons	(2)	93.866	002458	151,320.00	34,089.47
<i>Administrative Office of the Illinois Courts:</i>					
State Court Improvement Program	(2)	93.586	CIP-G-0208	37,632.00	3,014.94
State Court Improvement Program	(2)	93.586	CIP-G-0302	35,799.00	35,799.00
				<u>73,431.00</u>	<u>38,813.94</u>

**Southern Illinois University, Carbondale**  
**SCHEDULE OF FEDERAL AWARDS**  
**For the year ended June 30, 2005**

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
<i>Illinois Department of Public Health:</i>				
Alzheimer's Disease Demonstration	93.051	43280013	19,988.00	134.63
Illinois Breast and Cervical Cancer Program	93.283	063-48258-1200	20,000.00	(4,074.39)
Public Health Laboratory Biomonitoring	(2) 93.283	063-48258-1200	33,000.00	9.53
Oral Health WIC-Head Start Focus	93.283	43480587	5,500.00	4,575.82
Healthy Smiles/Healthy Growth	93.283	43480578	14,000.00	9,192.50
Live Free	(2) 93.283	43281102	282,000.00	50,798.70
Live Free/Tobacco Free	(2) 93.283		165,000.00	160,956.53
			<u>519,500.00</u>	<u>221,458.69</u>
Downstate Illinois Care Consortium	93.917	35780479	737,327.99	103.05
Downstate Illinois Care Consortium	93.917	25780458	785,772.00	271.37
Consortium Outreach Program	93.917	55780273	12,625.00	8,178.13
CHIC	93.917	55780409	750,000.00	151,854.34
Downstate Illinois Care Consortium	93.917	55780363	786,682.00	178,044.29
HOPWA	93.917	45780541	100,400.00	79,658.55
Downstate Illinois Care Consortium	93.917	45780551	679,379.00	516,422.18
			<u>3,852,185.99</u>	<u>934,531.91</u>
CME/Breast and Cervical Cancer	93.919	NA	-	(268.81)
Illinois Breast and Cervical Cancer Education	93.919	NA	35,000.00	10,984.15
Illinois Breast and Cervical	93.919	56100007	20,000.00	15,736.08
			<u>55,000.00</u>	<u>26,451.42</u>
Dental Sealant Program	93.994	43480553	2,200.00	237.10
Dental Sealant Grant Program	93.994	33480564	1,200.00	716.05
Dental Sealant Grant Program	93.994	53481054	15,750.00	3,746.57
Genetic Counseling	93.994	53780248	-	55,095.89
Genetic Counseling	93.994	E2001-07	55,000.00	1,162.06
			<u>74,150.00</u>	<u>60,957.67</u>
<i>Illinois State Board of Education:</i>				
CTEI CTE Curriculum Instruction	93.586	05-3220	150,000.00	151,940.36
<i>Illinois Department of Children and Family Services:</i>				
CMRN	93.658	0977369013	215,473.00	(1,478.81)
Foster Parent Training	93.658	2198269012	305,240.00	0.44
Foster Parent Training	93.658	2198269014	255,240.00	285.47
DCFS Training Partnership	93.658	1123549013	554,347.00	868.31
Foster Parent Training	93.658	2198269015	164,544.80	154,332.69
Integrated Assessment Program	93.658	1123549035	2,926,173.00	1,471,218.28
			<u>4,421,017.80</u>	<u>1,625,226.38</u>
CMRN-St Germain	93.917	977369014	243,400.00	427.40
CMRN-St Germain	93.917	977369015	266,548.00	242,663.76
			<u>509,948.00</u>	<u>243,091.16</u>

**Southern Illinois University, Carbondale**  
**SCHEDULE OF FEDERAL AWARDS**  
**For the year ended June 30, 2005**

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
<i>Illinois Department of Human Services:</i>				
IWA Southern 7 Project	93.558	21X526200	250,000.00	3,881.73
Project 12-Ways	93.667	21X4058DF1	638,935.00	(7,436.16)
Project 12-Ways	93.667	21X3DF2132	638,935.00	4,651.49
Project 12-Ways	93.667	21X3DF3132	638,935.00	6,087.16
Employability Development	93.667		55,756.00	55,758.64
Project 12-Ways	93.667		638,935.00	616,500.76
			<u>2,611,496.00</u>	<u>675,561.89</u>
Mobile Clinic Planning Grant	93.994	10C5001651	30,000.00	28,968.89
<i>Illinois Department of Public Aid:</i>				
Pancreas Transplantation	93.778	NA	125,925.00	487.86
Nociceptors in Diabetic Neuropathy	(2) 93.778	DK03015	30,000.00	
Mechanisms of Action of Estrogen	(2) 93.778	PA03053	35,000.00	23,501.71
Role of Vanilloid Receptors	(2) 93.778	NA	65,300.00	5.00
Physical Activity and Biomarkers	(2) 93.778	E2003-29	46,640.00	1,800.75
Interaction Between NFKB	(2) 93.778	E2003-34	41,421.00	864.60
Epilepsy: The Role of Amygdala	(2) 93.778	E2003-22	24,975.00	11,220.97
Evaluation of Danes	(2) 93.778	E2003-31	58,500.00	8,242.44
Weight Loss on Bronchial	(2) 93.778	NA	25,000.00	10,328.65
Home Anticoagulation	(2) 93.778	NA	35,000.00	98.89
In Vivo Functions	(2) 93.778	E2004-32	45,000.00	37,145.79
DRG-1 Gene	(2) 93.778	NA	50,000.00	12,376.14
Vanilloid Receptors in Neuropathy	(2) 93.778	E2004-36	50,000.00	21,642.29
Integrative Neurology	(2) 93.778	E2004-28	40,000.00	14,347.19
Aging and Acoustic Coding	(2) 93.778	E2004-30	46,400.00	50,072.58
Aging and Oxidative Stress	(2) 93.778	E2004-29	40,000.00	22,641.32
D-Met Rescue	(2) 93.778	E2004-35	50,000.00	32,788.67
Bioassay for Auto Antibodies	(2) 93.778	903064	25,000.00	25,080.06
Molecular Regulation	(2) 93.778	NA	25,000.00	2,376.66
Alteration of Stria	(2) 93.778	NA	50,010.00	(340.33)
EAM	(2) 93.778	NA	50,000.00	(109.20)
Modulation of Angiogenesis	(2) 93.778	26280004	41,000.00	576.46
Impact of Surgery	(2) 93.778	NA	50,000.00	(1,124.99)
Nature of the Stria	(2) 93.778	NA	50,000.00	6,473.55
Islet Beta Cell	(2) 93.778	NA	55,251.00	0.20
Selective Potentiation of Calcium	(2) 93.778	NA	52,350.00	(187.59)
Ospoclonus-Myoclonus	(2) 93.778	NA	55,965.00	(121.55)
Mechanism of Tumor Metastasis	(2) 93.778	NA	49,999.00	0.80
Estrogen Effects	(2) 93.778	NA	50,089.00	(338.76)
EAM Cancer Institute	(2) 93.778	NA	-	248,985.43
			<u>1,363,825.00</u>	<u>528,835.59</u>
<i>University of Texas:</i>				
Effects of Microbicides	(2) 93.866	02-020	673,875.18	11,086.02
<i>University of Illinois:</i>				
Micropatterned Neural Networks	(2) 93.854	NA	51,238.00	36.65
Health for Rural Illinois	93.879	N01-LM-6-3523	25,000.00	(1,009.58)
Oral Cancer Training Modules Project	93.991	43480588	44,701.00	22,429.71
			<u>16,646,891.97</u>	<u>5,132,845.47</u>
<b>Total U.S. Department of Health and Human Services</b>			<u>62,566,071.94</u>	<u>17,090,956.36</u>
<b>TOTAL FEDERAL ASSISTANCE</b>			<u>\$ 189,048,926.40</u>	<u>\$ 51,925,421.72</u>

The accompanying notes are an integral part of this schedule.

State of Illinois  
Southern Illinois University, Edwardsville  
**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**  
For the year ended June 30, 2005

Federal Grantor/Pass Through Grantor/Program Title		Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
<b>U.S. DEPARTMENT OF EDUCATION</b>					
<b>Direct Grants and Contracts:</b>					
Student Support Services Program Grant Aide 04/05	(4)	84.042A	PO42A011010	\$ 39,951.00	\$ 39,951.00
Student Support Services 01/05	(4)	84.042A	PO42A011010	1,121,240.00	316,192.42
				<u>1,161,191.00</u>	<u>356,143.42</u>
Talent Search 98/03	(4)	84.044A	PO44A980492	204,964.00	92.15
Educational Talent Search 03/05	(4)	84.044A	PO44A030172	750,783.00	256,790.74
				<u>955,747.00</u>	<u>256,882.89</u>
Upward Bound EC 03/05	(4)	84.047A	PO47A030483	1,783,518.00	584,059.45
Upward Bound-BEV 03/05	(4)	84.047A	PO7A030225	889,956.00	331,966.04
				<u>2,673,474.00</u>	<u>916,025.49</u>
Upward Bound Math & Science 03/05	(4)	84.047M	PO7M030192	767,229.00	251,698.73
GEAR-UP 99/05		84.335A	P334A990046-01/05	1,440,000.00	238,989.77
Child Care Access		84.335A	P335A010074-02	204,964.00	58,756.67
				<u>1,644,964.00</u>	<u>297,746.44</u>
Plugging into L.I.T.E.S.		84.342A	P342A010131	1,182,403.00	127,008.45
				<u>8,385,008.00</u>	<u>2,205,505.42</u>
<b>Financial Assistance:</b>					
Federal Work Study '04	(1)	84.003	P033A031286	825,098.00	(360,120.07)
Federal Work Study '05	(1)	84.003	P033A041286	825,163.00	971,086.45
				<u>1,650,261.00</u>	<u>610,966.38</u>
Pell Grant Program - FY 96	(1)	84.063	P063P52167	3,923,613.00	11,630.24
Pell Grant Program - FY 97	(1)	84.063		3,888,247.00	(10,616.38)
Pell Grant Program - FY 00	(1)	84.063		5,124,141.00	(1,654.77)
Pell Grant Program - FY 01	(1)	84.063		5,458,499.68	381.25
Pell Grant Program - FY 02	(1)	84.063		6,448,694.98	259.66
Pell Grant Program - FY 04	(1)	84.063	P063P030116	7,794,674.41	4,548.98
Pell Grant Program - FY 05	(1)	84.063	P063P040116	7,581,044.93	7,810,680.62
				<u>40,218,915.00</u>	<u>7,815,229.60</u>

State of Illinois  
Southern Illinois University, Edwardsville  
**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**  
For the year ended June 30, 2005

Federal Grantor/Pass Through Grantor/Program Title		Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
Supplemental Education Opportunity Grant FY96	(1)	84.007	P007A51286	418,198.00	1,895.00
Supplemental Education Opportunity Grant FY97	(1)	84.007		419,536.00	(1,895.00)
Supplemental Education Opportunity Grant FY01	(1)	84.007	P007A001286	427,426.00	(1,200.00)
Supplemental Education Opportunity Grant FY05	(1)	84.007	P007A041286	427,426.00	652,529.00
				<u>1,692,586.00</u>	<u>651,329.00</u>
				43,561,762.00	9,077,524.98
<b>Flow-Thru Grants and Contracts:</b>					
<i>Illinois Community College Board:</i>					
SIPDC 03/04		84.002A	AEL04005	297,730.00	6,922.84
SIPDC Professional Development Center 04/06		84.002A	AEL05005/AEL06005	625,700.00	303,164.40
				<u>923,430.00</u>	<u>310,087.24</u>
<i>Illinois Board of Higher Education:</i>					
Evaluation of Common Vision-TQE	(2)	84.336A	TQE	142,800.00	31,676.13
Statewide Teacher Quality Grant 01/04		84.336A	USDE	805,119.00	10,806.13
				<u>947,919.00</u>	<u>42,482.26</u>
Hands-on Chemistry		84.367B	TQE	65,000.00	19,068.19
Improving Middle School Teacher Quality		84.367B	TQE	65,000.00	21,945.22
Hands-on Chemistry 05/06		84.367B	TQE	70,000.00	17,606.50
Improving Middle School Science Teacher 05/06		84.367B	TQE	70,000.00	20,881.83
				<u>270,000.00</u>	<u>79,501.74</u>
<i>Higher Education Center of St. Louis:</i>					
Educational Opportunity Center 03/04	(4)	84.066	N/A	150,938.00	29,150.84
Educational Opportunity Center 04/05	(4)	84.066	N/A	151,961.00	120,964.63
				<u>302,899.00</u>	<u>150,115.47</u>
<i>Illinois State Board of Education:</i>					
Mathematics and Science Leadership Initiative		84.366	054936madison city012005	231,912.00	30,020.50
<i>Eastern Illinois University:</i>					
Project TEAMS 03/04		84.Unknown		44,382.00	19,093.49
				<u>2,720,542.00</u>	<u>631,300.70</u>
<b>Total U.S. Department of Education</b>				<b>54,667,312.00</b>	<b>11,914,331.10</b>

State of Illinois  
 Southern Illinois University, Edwardsville  
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
 For the year ended June 30, 2005

Federal Grantor/Pass Through Grantor/Program Title		Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
<b>U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES</b>					
<b>Direct Grants and Contracts:</b>					
Evolution of LLSW Retrotransposons	(2)	93.390	1R15GM65846-01	125,742.00	24,203.42
Macular Cell Death	(2)	93.390	IR15-RY12522-01	<u>92,185.00</u>	<u>13,746.39</u>
				217,927.00	37,949.81
Nurse Anesthetist Traineeships 04/05		93.124	2A10HP00099-05	13,820.00	13,820.00
Advanced Education Nursing Traineeship 04/05		93.358	05CH5228/22	38,544.00	38,544.00
St. Clair Head Start 03/04 - Base	(3)	93.600	05CH5228/20	8,572,259.00	(194,803.31)
Head Start T/TA 03/04	(3)	93.600	05CH5228/20	107,421.00	451.14
Early Head Start 03/04 - Base	(3)	93.600	05YC0027/05	1,161,968.00	(43,472.88)
Early Head Start T/TA 03/04	(3)	93.600	05YC0027/05	31,013.00	(1,624.07)
St. Clair Head Start 04/05 - Base	(3)	93.600	05CH5228/21	8,683,133.00	6,959,120.85
Head Start T/TA 04/05	(3)	93.600	05CH5228/21	107,421.00	72,126.08
Early Head Start 04/05 - Base	(3)	93.600	05CH5228/21	1,285,592.00	1,018,756.50
Early Head Start T/TA 04/05	(3)	93.600	A22HP00043-04	35,013.00	30,120.06
Head Start Basic Operating 05/06	(3)	93.600	05CH5228/22	6,717,810.00	1,805,624.00
St. Clair Head Start T/TA 05/06	(3)	93.600	05CH5228/22	107,421.00	22,852.60
St. Clair Early Head Start 05/06	(3)	93.600	05CH5228/22	1,298,448.00	298,753.31
St. Clair Early Head Start T/TA 05/06	(3)	93.600	1R03MH63109-01/02	<u>32,140.00</u>	<u>8,418.20</u>
				<u>28,139,639.00</u>	<u>9,976,322.48</u>
				28,409,930.00	10,066,636.29
<b>Financial Assistance:</b>					
Scholarship for Disadvantaged Students (SDS) Nursing 2005	(1)	93.925		61,055.00	61,055.00
<b>Flow-Thru Grants &amp; Contracts:</b>					
<i>Illinois Dept. of Human Services:</i>					
Child Development 03/04		93.667	21X4504CCD	1,753.23	(3,331.77)
Latchkey 03/04		93.667	21X4504CCD	1,895.08	(20,215.25)
Latchkey Program 04/05		93.667	8!X5504CCD	24,676.83	43,650.30
Discovery Center for Little Scholars 04/05		93.667	8!X5504CCD	<u>12,634.15</u>	<u>189.68</u>
				40,959.29	20,292.96

State of Illinois  
Southern Illinois University, Edwardsville  
**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**  
For the year ended June 30, 2005

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
<i>Illinois Department of Children and Family Services:</i>				
Project Success 02/03	93.667	752267033	271,796.00	(160.22)
Project Success 03/04	93.667	752267034/41126-00-5	271,796.00	(30,759.23)
Project Success 04/05	93.667	75226703	214,714.00	228,710.60
			<u>758,306.00</u>	<u>197,791.15</u>
<i>Southern Illinois University at Carbondale:</i>				
Promoting and Maintaining Health in Home	93.912	IDIARH00041-01	145,500.00	1,208.34
<i>St. Louis University:</i>				
Geriatric Education Centers 03/04	93.969		10,800.00	3,115.04
Geriatric Education Centers 04/05	93.969		10,800.00	10,079.77
			<u>21,600.00</u>	<u>13,194.81</u>
<i>Illinois Department of Public Health:</i>				
Dental Sealant Grant	93.994	33480563	6,400.00	186.20
Vision and Hearing Grant 03/04	93.994	001-48230-4467-0440approp#	4,500.00	2,698.80
Vision and Hearing Grant 04/05	93.994	53780578	4,500.00	3,897.28
Dental Sealant Grant 04/05	93.994	53481053	500.00	110.00
Dental Sealant Grant 03/04	93.994	43480552	500.00	110.10
Vision and Hearing Grant 02/03	93.994	337804480	8,800.00	4,300.55
			<u>25,200.00</u>	<u>11,302.93</u>
<i>Dine College:</i>				
Support in Navajo Diabetics Self Care	(2)	93.375	S06GM08163-23	41,801.00
<i>University of Tennessee-NIEHS:</i>				
PAH/Metal Exposure and Effects Assessment	(2)	93.115	1 R15 ES013129-01	17,000.00
			<u>17,000.00</u>	<u>120.00</u>
			<u>1,050,366.29</u>	<u>245,704.11</u>
<b>Total U.S. Department of Health and Human Services</b>			<b>29,521,351.29</b>	<b>10,373,395.40</b>



State of Illinois  
Southern Illinois University, Edwardsville  
**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**  
For the year ended June 30, 2005

Federal Grantor/Pass Through Grantor/Program Title		Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
<b>NATIONAL SCIENCE FOUNDATION</b>					
<b>Direct Grants and Contracts:</b>					
Designing Vaccine Formularies	(2)	47.041	DMI-0222554	39,522.00	16,329.04
NUE: Nanoscale Science and Engineering		47.049	DMR-0407096	100,000.00	34,989.58
Analysis of Optimal Controls for Biomedical Models	(2)	47.049	DMS-0205093	108,958.00	25.43
RUI: Synthesis and Spectroelectro Chemistry	(2)	47.049	CHE-0213297	210,000.00	113,108.12
Spectroscopy of Metal-Containing Diatomic Radicals	(2)	47.049	CHE-0213363	175,290.00	27,346.58
Fluorinated Building Blocks	(2)	47.049	CHE-0345776	176,000.00	58,270.89
Optimal Control Mathematical Models for Cancer	(2)	47.049	DMS-0408827	102,015.00	36,862.36
				<u>872,263.00</u>	<u>270,602.96</u>
Delay Effects Discretization	(2)	47.075	INT-9818312	20,000.00	-
US-India Cooperative Research	(2)	47.075	INT-0096616	14,100.00	2,700.00
Collaborative Project Gemini XRD	(2)	47.076	DUE-0410642	106,347.00	63,997.98
				<u>140,447.00</u>	<u>66,697.98</u>
An Undergraduate Robotics Course		47.Unknown	DUE-03117434	84,000.00	46,823.96
				<u>84,000.00</u>	<u>46,823.96</u>
				1,136,232.00	400,453.94
<b>Flow-Thru Grants and Contracts:</b>					
<i>Chicago State University:</i>					
Increasing the Minority Scientist Pool		47.076	HRD-0000341	167,049.00	17,999.32
Increasing the Minority Scientist Pool 05/06		47.076		40,000.00	15,407.83
				<u>207,049.00</u>	<u>33,407.15</u>
<i>University of Illinois:</i>					
Virtual Building Retrofit Demonstrations	(2)	47.041	CMS-9701785	116,987.00	13,687.63
Undergraduate Research Assistant-MAEC		47.041	EEC-9701785	5,000.00	872.80
Undergraduate Research Assistant Supplement		47.041	EEC-9701785	15,000.00	(941.15)
				<u>136,987.00</u>	<u>13,619.28</u>
<i>University of Miami:</i>					
Functional Genomics	(2)	47.074	OCE-0308777	226,434.00	58,477.59
				<u>226,434.00</u>	<u>58,477.59</u>
				570,470.00	105,504.02
				<u>570,470.00</u>	<u>105,504.02</u>
<b>Total National Science Foundation</b>				<b>1,706,702.00</b>	<b>505,957.96</b>

State of Illinois  
Southern Illinois University, Edwardsville  
**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**  
For the year ended June 30, 2005

Federal Grantor/Pass Through Grantor/Program Title		Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
<b>U.S. ENVIRONMENTAL PROTECTION AGENCY</b>					
<b>Direct Grants and Contracts:</b>					
Frontiers in Risk Application	(2)	66.Unknown	R-82905801-0	119,772.00	51,268.86
<b>Flow-Thru Grants and Contracts:</b>					
<i>University of Illinois:</i>					
MTAC Projects		EPA	03-325	55,500.00	19,439.50
MTAC for Small Water Systems		USEPA		<u>91,500.00</u>	<u>50,549.67</u>
				147,000.00	69,989.17
<i>Illinois Environmental Protection Agency:</i>					
Water Pollution Control Training 03/04		66.519		120,000.00	(7,929.41)
Water Pollution Control Training 04/05		66.519		<u>120,000.00</u>	<u>120,830.12</u>
				240,000.00	112,900.71
				<u>387,000.00</u>	<u>182,889.88</u>
<b>Total U.S. Environmental Protection Agency</b>				<b>506,772.00</b>	<b>234,158.74</b>
<b>U.S. DEPARTMENT OF DEFENSE</b>					
<b>Direct Grants and Contracts:</b>					
Faunal Survey of Lake Wappapello-USACE	(2)	12.100	DACW43-01-P-1011	144,000.00	32,162.06
Design by Analysis Methods-USACE	(2)	12.100	DACW42-02-P-0176	41,241.00	4,306.31
Flora Survey of Lake Wappapello-USACE	(2)	12.100	DACW43-02-T-7027	14,950.00	912.79
Year 2000 Assessment Boltonia Decurrens	(2)	12.100	DACW25-00-Q-0102	<u>36,000.00</u>	<u>2,721.76</u>
				236,191.00	40,102.92
<b>Flow-Thru Grants and Contracts:</b>					
<i>University of Louisville Research Foundation:</i>					
Modeling of Spatial and Temporal Dynamics	(2)	12.300	01-077/ONR	<u>58,626.00</u>	<u>73.61</u>
<b>Total Department of Defense</b>				<b>294,817.00</b>	<b>40,176.53</b>

State of Illinois  
Southern Illinois University, Edwardsville  
**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**  
For the year ended June 30, 2005

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
<b>U.S. DEPARTMENT OF AGRICULTURE</b>				
<b>Direct Grants and Contracts:</b>				
Ethanol Pilot Plant 97/05	10.001	59-3601-7-078/	15,315,000.00	305,473.22
ARS/Ethanol Research Agreement	(2) 10.001	580790-3-149/59-0790-	<u>1,005,126.00</u>	<u>40,522.88</u>
			16,320,126.00	345,996.10
<b>Flow-Thru Grants and Contracts:</b>				
<i>Illinois State Board of Education:</i>				
Summer Food Program	10.558	41-057-029P-00	-	11,274.04
School Lunch	10.558	41-057-029P-00	-	21,549.20
Child Care Food Program	10.558	41-057-029P-00	<u>-</u>	<u>416,200.72</u>
			-	449,023.96
<i>Southern Illinois University at Carbondale:</i>				
Ground Water Quality in the American Bottoms	(2) 10.200		<u>84,909.00</u>	<u>265.83</u>
<b>Total U.S. Department of Agriculture</b>			<b>16,405,035.00</b>	<b>795,285.89</b>
<b>U.S. DEPARTMENT OF THE INTERIOR</b>				
<b>Direct Grants and Contracts:</b>				
Degradation Rates of Chlorpyrifos	(2)	DOI	02PG250055	4,500.00
134.60				
<b>Flow-Thru Grants and Contracts:</b>				
<i>Illinois Department of Natural Resources:</i>				
Subsidence Monitoring Response Team 03/04	(2)	15.252	AML-GSwA-0200D	89,820.00
Mine Subsidence Project Aide		15.252	AML-GSwA-0300D	-
Subsidence Service Agreement 04/05		15.252	N/A	2,000.00
Subsidence Monitoring Response Team 04/05	(2)	15.252	AMLGWsA-0400D	89,909.00
Subsidence Monitoring Response Team 05/06	(2)	15.252	AMLGWsA-0100D	92,703.00
Mine Map Preservation 01/07		15.252	AML-GSwA-0100E	<u>1,197,169.64</u>
			1,471,601.64	<u>225,472.81</u>
				324,801.57
<i>University of Georgia:</i>				
Effects of Altered Flows	(2)	15.623	RR267-189/9038127	<u>12,433.00</u>
			1,488,534.64	<u>330,445.81</u>
<b>Total U.S. Department of the Interior</b>			<b>1,488,534.64</b>	<b>330,445.81</b>

State of Illinois  
Southern Illinois University, Edwardsville  
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
For the year ended June 30, 2005

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
<b>CORPORATION FOR NATIONAL AND COMMUNITY SERVICE</b>				
<b>Flow-Thru Grants and Contracts:</b>				
<i>Illinois Department of Human Services:</i>				
AmeriCorps ESL Competitive 03/04	94.006	11G4825000	248,569.27	39,203.81
AmeriCorps ESL 04/05	94.006	11G4825000	<u>203,660.59</u>	<u>626,062.39</u>
			<u>452,229.86</u>	<u>665,266.20</u>
<b>Total Corporation for National and Community Service</b>			<b>452,229.86</b>	<b>665,266.20</b>
<b>U.S. SMALL BUSINESS ADMINISTRATION</b>				
<b>Flow-Thru Grants and Contracts:</b>				
<i>Illinois Dept. of Commerce and Community Affairs:</i>				
SWI Small Business Development Center	59.037	03-80126	185,000.00	6,508.58
Small Business Development Network 04/05	59.037	04-80126	<u>185,000.00</u>	<u>175,236.74</u>
			<u>370,000.00</u>	<u>181,745.32</u>
<b>Total U.S. Small Business Administration</b>			<b>370,000.00</b>	<b>181,745.32</b>
<b>U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT</b>				
<b>Direct Grants and Contracts:</b>				
HUD-CDWSP 2002-2004	14.512	CDWS-IL-02-016	89,028.00	546.15
HUD Work Study CDWSP 04-06	14.512	CDWS-L-04-117	<u>90,000.00</u>	<u>42,797.41</u>
			179,028.00	43,343.56
<b>Flow-Thru Grants and Contracts:</b>				
<i>East St. Louis Housing Authority:</i>				
Campus of Learners 97/05	14.412	COL971001	1,816,294.00	234,837.42
<i>Community Development Block Grant:</i>				
CDBG Youth Summer Arts 2004	14.218		<u>10,000.00</u>	<u>10,000.00</u>
			<u>2,005,322.00</u>	<u>288,180.98</u>
<b>Total U.S. Department of Housing and Urban Development</b>			<b>2,005,322.00</b>	<b>288,180.98</b>

State of Illinois  
 Southern Illinois University, Edwardsville  
**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**  
 For the year ended June 30, 2005

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
<b>U.S. POSTAL SERVICE</b>				
<b>Direct Grants and Contracts:</b>				
S.I.U. Branch Post Office	287140-90-P-0079		-	4,649.75
<b>Total U.S. Postal Service</b>			-	<b>4,649.75</b>
<b>NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES</b>				
<b>Direct Grants and Contracts:</b>				
Beauvior Series	(2) 45.161	RZ-20791-01	150,000.00	21,589.75
<b>Flow-Thru Grants and Contracts:</b>				
<i>Illinois Arts Council:</i>				
FY'03 Public Radio Grant	45.024	FY03-05619	45,209.00	(932.92)
FY'04 Public Radio Grant	45.024		42,091.28	1,364.15
Summer Showbiz 04	45.024	FY03-0819	5,160.00	181.16
Youth Arts 03/04	45.024		25,000.00	12,499.58
Illinois Public Broadcasting 04/06	45.024		44,499.00	48,418.24
Summer Showbiz 2005	45.024	FY04-0629	4,530.00	1,840.00
Arts & Issues 04/05	45.024		9,630.00	9,630.00
Youth Arts 04/05	45.024		20,000.00	14,462.69
			<u>196,119.28</u>	<u>87,462.90</u>
<i>Illinois State Library:</i>				
Government Documents	45.310		500.00	500.00
Literacy Education Collection	45.310		4,000.00	3,940.00
Building a Framework for Partnership	(2) 45.310		10,450.00	7,584.59
			<u>14,950.00</u>	<u>12,024.59</u>
			<u>211,069.28</u>	<u>99,487.49</u>
<b>Total National Foundation on the Arts and the Humanities</b>			<b>361,069.28</b>	<b>121,077.24</b>

State of Illinois  
 Southern Illinois University, Edwardsville  
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
 For the year ended June 30, 2005

Federal Grantor/Pass Through Grantor/Program Title		Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
<b>U.S. DEPARTMENT OF STATE</b>					
<b>Direct Grants and Contracts:</b>					
Enhancing Curricula and Research	(2)	19.406	ASJY-1333	180,000.00	23,174.04
<b>Total U.S. Department of State</b>				<b>180,000.00</b>	<b>23,174.04</b>
<b>LIBRARY OF CONGRESS</b>					
<b>Flow-Thru Grants and Contracts:</b>					
<i>Education and Research Consortium of the Western Carolinas: Adventures in the American Mind</i>		LOC	00G-LIB-ND001	1,296,425.00	168,795.62
<b>Total Library of Congress</b>				<b>1,296,425.00</b>	<b>168,795.62</b>
<b>U.S. DEPARTMENT OF ENERGY</b>					
<b>Direct Grants and Contracts:</b>					
Enhancement of Selenium	(2)	DOENERGY	DE-FG02-03ER63621	81,951.00	36,379.95
<b>Total U.S. Department of Energy</b>				<b>81,951.00</b>	<b>36,379.95</b>
<b>U.S. DEPARTMENT OF CIES-FULBRIGHT</b>					
<b>Direct Grants and Contracts:</b>					
Fulbright Lecture in Russia-Taylor	(2)	CIES		23,147.00	5,235.06
Collaborative Design of English Language		CIES		18,800.00	23,278.17
				<b>41,947.00</b>	<b>28,513.23</b>
<b>Total U.S. Department of CIES-Fulbright</b>				<b>41,947.00</b>	<b>28,513.23</b>

State of Illinois  
 Southern Illinois University, Edwardsville  
**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**  
 For the year ended June 30, 2005

Federal Grantor/Pass Through Grantor/Program Title	Federal CFDA/Grant/ Contract Number	Flow-Thru Grantor's Number	Program or Award Amount	Disbursements/ Expenditures
<b>U.S. DEPARTMENT OF TRANSPORTATION</b>				
<b>Flow-Thru Grants and Contracts:</b>				
<i>Illinois Department of Transportation:</i>				
Investigation of Select LRF Design Factors	(2)	20.205	JN004001	250,000.00
				137,208.20
<b>Total U.S. Department of Transportation</b>			<b>250,000.00</b>	<b>137,208.20</b>
<b>TOTAL FEDERAL</b>			<b>\$ 109,629,468.07</b>	<b>\$ 25,848,741.96</b>

The accompanying notes are an integral part of this schedule.

State of Illinois  
Southern Illinois University

NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

Year ended June 30, 2005

NOTE A – BASIS OF PRESENTATION

The accompanying schedule of expenditures of federal awards includes the federal grant activity of Southern Illinois University (the “University”) and is presented on the accrual basis of accounting. The information in this schedule is presented in accordance with the requirements of OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Therefore, some amounts presented in this schedule may differ from amounts presented in, or used in the preparation of, the basic financial statements.

NOTE B – STUDENT LOAN PROGRAMS ADMINISTERED BY THE UNIVERSITY

The University administered the following federal loan programs during the year ended June 30, 2005:

	Carbondale	Edwardsville	Total
<b>Perkins Loan Program CFDA #84.038</b>			
Outstanding balance, July 1, 2004	\$ 15,126,344	\$ 2,120,096	\$ 17,246,440
Additions:			
Interest income	238,582	42,709	281,291
Decrease in provision for notes receivable	123,226	-	123,226
Contributions:			
U.S. Government	319,059	58,109	377,168
University - General funds	106,353	19,370	125,723
Total contributions	<u>425,412</u>	<u>77,479</u>	<u>502,891</u>
Total additions	<u>787,220</u>	<u>120,188</u>	<u>907,408</u>
Deductions:			
Loans canceled or written-off, net	74,872	65,847	140,719
Administrative charges	299,436	45,890	345,326
Increase in provision for notes receivable	-	-	-
Total deductions	<u>374,308</u>	<u>111,737</u>	<u>486,045</u>
Outstanding balance, June 30, 2005	<u>\$ 15,539,256</u>	<u>\$ 2,128,547</u>	<u>\$ 17,667,803</u>



State of Illinois  
Southern Illinois University

NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - CONTINUED

Year ended June 30, 2005

NOTE B – STUDENT LOAN PROGRAMS ADMINISTERED BY THE UNIVERSITY -  
Continued

	Carbondale	Edwardsville	Total
<b>Nursing Student Loan Program CFDA #93.364</b>			
Outstanding balance, July 1, 2004	\$ -	\$ 390	\$ 390
Additions:			
Interest income	-	224	224
Total additions	<u>-</u>	<u>224</u>	<u>224</u>
Deductions:			
Loans canceled or written off, net	-	(110)	(110)
Refund to grantor	-	17,368	17,368
Total deductions	<u>-</u>	<u>17,258</u>	<u>17,258</u>
Outstanding balance, June 30, 2005	<u>\$ -</u>	<u>\$ (16,644)</u>	<u>\$ (16,644)</u>
 <b>Health Professionals Loan Program CFDA #93.342</b>			
Outstanding balance, July 1, 2004	\$ 1,638,729	\$ 248,738	\$ 1,887,467
Additions:			
Decrease in provision for notes receivable	-	-	-
Interest income	36,521	2,525	39,046
Total additions	<u>36,521</u>	<u>2,525</u>	<u>39,046</u>
Deductions:			
Loans canceled or written off (net)	-	12,610	12,610
Refunds to grantor	-	-	-
Increase in provision for notes receivable	966	-	966
Contractual services – Primary Care Loan	-	-	-
Total deductions	<u>966</u>	<u>12,610</u>	<u>13,576</u>
Outstanding balance, June 30, 2005	<u>\$ 1,674,284</u>	<u>\$ 238,653</u>	<u>\$ 1,912,937</u>

State of Illinois  
Southern Illinois University

NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - CONTINUED

Year ended June 30, 2005

NOTE B – STUDENT LOAN PROGRAMS ADMINISTERED BY THE UNIVERSITY -  
Continued

	Carbondale	Edwardsville	Total
<b>Disadvantaged Student Loan Program</b>			
<b>CFDA #93.342</b>			
Outstanding balance, July 1, 2004	\$ 178,252	\$ 713	\$ 178,965
Additions:			
Interest income	3,225	11	3,236
Nonmandatory Transfer-In	<u>-</u>	<u>-</u>	<u>-</u>
Total additions	<u>3,225</u>	<u>11</u>	<u>3,236</u>
Deductions:			
Refund to grantor	-	-	-
Increase in provision for notes receivable	180	-	180
Nonmandatory Transfer-Out	<u>-</u>	<u>-</u>	<u>-</u>
Total deductions	<u>180</u>	<u>-</u>	<u>180</u>
Outstanding balance, June 30, 2005	<u>\$ 181,297</u>	<u>\$ 724</u>	<u>\$ 182,021</u>

The above deductions include disbursements and expenditures such as loans to students and administrative expenditures.

State of Illinois  
Southern Illinois University

NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - CONTINUED

Year ended June 30, 2005

NOTE C – FEDERAL DIRECT STUDENT LOAN PROGRAM CFDA #84.268:

During the fiscal year ended June 30, 2005, the University processed the following amounts of non-cash federal awards in the form of loans under the Federal Direct Student Loan Program (which includes Stafford Loans, Parents' Loans for Undergraduate Students, and Supplemental Loans for Students):

	<b>Carbondale</b>	<b>Edwardsville</b>	<b>Total</b>
Year ended June 30, 2005	<u>\$ 77,328,673</u>	<u>\$ 46,808,187</u>	<u>\$ 124,136,860</u>

NOTE D – MAJOR PROGRAMS

The following federal program expenditures comprise major program expenditures under OMB Circular A-133 for the year ended June 30, 2005. (Major programs are indicated in the Schedule of Expenditures of Federal Awards by (1), (2) or (3)).

	<b>Carbondale</b>	<b>Edwardsville</b>	<b>Total</b>
(1) Student Financial Aid Cluster	\$ 18,813,347	\$ 9,138,580	\$ 27,951,927
(2) Research and Development Cluster	16,006,408	955,873	16,962,281
(3) Head Start Program	3,331,621	9,976,322	13,307,943
(4) TRIO Cluster	<u>851,927</u>	<u>1,930,866</u>	<u>2,782,793</u>
Total major program expenditures	39,003,303	22,001,641	61,004,944
Other program expenditures	<u>12,922,119</u>	<u>3,847,101</u>	<u>16,769,220</u>
Total federal expenditures	<u>\$ 51,925,422</u>	<u>\$ 25,848,742</u>	<u>\$ 77,774,164</u>

As part of the Student Financial Aid Program, Southern Illinois University administers loan proceeds under the Perkins Loan Program (as described in Note B) and the Federal Direct Student Loan Program (as described in Note C).

State of Illinois  
Southern Illinois University

NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - CONTINUED

Year ended June 30, 2005

NOTE E – SUBRECIPIENTS

Of the federal expenditures presented in the Schedule of Expenditures of Federal Awards, the University provided federal awards to subrecipients as follows:

<u>Program Title</u>	<u>Federal CFDA Number</u>	<u>Amount Provided to Subrecipients</u>
<b>Carbondale:</b>		
Higher Education Challenge Grants	10.217	\$ 56,200
Grants for Agricultural Research, Special Research Grants	10.200	70,752
Grants for Agricultural Research	10.206	81,261
Wildlife Restoration	15.611	31,252
Educational Exchange		
Fulbright American Studies Institutes	19.418	3,300
Social, Behavioral, and Economic Sciences	47.075	40,217
Dept. of Labor	17.255	126,426
U.S. Department of Energy	81.DE-FC26-98FT40028	23,014
U.S. Department of Energy	81.FC26-03-NT41839	64,529
WIA Adult Program	17.258	65,455
Reading First State Grants	84.357	<u>29,228</u>
Subrecipients - Carbondale		<u>\$ 591,634</u>
<u>Program Title</u>	<u>Federal CFDA Number</u>	<u>Amount Provided to Subrecipients</u>
<b>Edwardsville:</b>		
Agricultural Research - Basic and Applied	10.001	\$ 8,359
Head Start	93.600	636,051
Americorps & Retired/Senior Volunteer Program	94.006	<u>131,157</u>
Subrecipients - Edwardsville		<u>\$ 775,567</u>

State of Illinois  
Southern Illinois University

NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - CONTINUED

Year ended June 30, 2005

NOTE F – NON-CASH ASSISTANCE AND FEDERAL INSURANCE

During the year ended June 30, 2005, the University received no non-cash assistance, except as disclosed in Note C. Also, there was no federally funded insurance in effect during the year ended June 30, 2005.

NOTE G – RATIOS OF FEDERAL EXPENDITURES TO TOTAL UNIVERSITY EXPENDITURES

	<u>Carbondale</u>		<u>Edwardsville</u>		<u>Total</u>	
	Amount	Percent	Amount	Percent	Amount	Percent
Total federal awards	\$ 52,244,481	9.89 %	\$ 25,906,851	12.43 %	\$ 78,151,332	10.61 %
Loans	<u>(319,059)</u>	<u>(.06)</u>	<u>(58,109)</u>	<u>(.03)</u>	<u>(377,168)</u>	<u>(.05)</u>
Federal expenditures	51,925,422	9.83	25,848,742	12.40	77,774,164	10.56
Nonfederal funds*	<u>476,274,260</u>	<u>90.17</u>	<u>182,566,413</u>	<u>87.60</u>	<u>658,840,673</u>	<u>89.44</u>
	<u>\$ 528,199,682</u>	<u>100.00 %</u>	<u>\$ 208,415,155</u>	<u>100.00 %</u>	<u>\$ 736,614,837</u>	<u>100.00 %</u>

\* Nonfederal funds are calculated by taking "Total Operating Expenses" for the University on the Statement of Revenues, Expenses and Changes in Net Assets and deducting the amounts relating to Federal expenditures.

**Breakout of Major and Nonmajor Programs**

	<u>Carbondale</u>		<u>Edwardsville</u>		<u>Total</u>	
	Amount	Percent	Amount	Percent	Amount	Percent
Major programs	\$ 39,003,303	75.11 %	\$ 22,001,641	85.12 %	\$ 61,004,944	78.44 %
Nonmajor programs	<u>12,922,119</u>	<u>24.89</u>	<u>3,847,101</u>	<u>14.88</u>	<u>16,769,220</u>	<u>21.56</u>
Total federal expenditures	<u>\$ 51,925,422</u>	<u>100.00 %</u>	<u>\$ 25,848,742</u>	<u>100.00 %</u>	<u>\$ 77,774,164</u>	<u>100.00 %</u>