

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

Compliance Examination
For the Two Years Ended June 30, 2009

Performed as Special Assistant Auditors for
the Auditor General, State of Illinois

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2009

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STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

AGENCY OFFICIALS

Secretary of State	Honorable Jesse White
Deputy Secretary of State and Chief of Staff	Thomas N. Benigno
Chief Auditor (acting)	Stell Mallios (through December 3, 2007)
Chief Auditor	Nancy Bowyer (December 3, 2007 through current)
General Counsel	Irene Lyons
Inspector General	Jim Burns
Accounting Revenue, Director	Carl Forn
Budget and Fiscal Management, Director	Carol Lampard (through May 15, 2009)

Secretary of State offices are located throughout the State of Illinois. Secretary of State financial records are processed and maintained at the Howlett Building, Springfield, Illinois 62756. Executive offices of the Secretary of State are located at Room 213, Capitol Building, Springfield, Illinois, 62756 and Suite 5-400, 100 W. Randolph, Chicago, Illinois 60601.



OFFICE OF THE SECRETARY OF STATE

JESSE WHITE ● Secretary of State

MANAGEMENT ASSERTION LETTER

April 19, 2010

Sikich LLP

250 N. Water St.
Suite 501
Decatur, IL 62523

Ladies and Gentlemen:

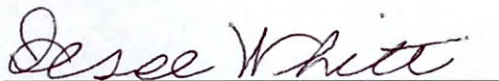
We are responsible for the identification of and compliance with all aspects of laws, regulations, contracts, and grant agreements that could have a material effect on the operations of the Illinois Office of the Secretary of State (Office). We are responsible for and have established and maintained an effective system of internal controls over compliance requirements. We have performed an evaluation of the Office's compliance with the following assertions during the two-year period ended June 30, 2009. Based on this evaluation, we assert that during the years ended June 30, 2008 and June 30, 2009, the Office has materially complied with the assertions below.

- A. The Office has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The Office has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The Office has complied in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the Office are kept in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts are fair, accurate and in accordance with law.

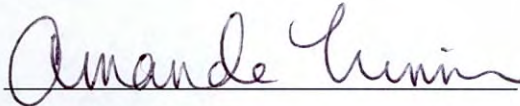
- E. Money, negotiable securities and similar assets handled by the Office on behalf of the State or held in trust by the Office have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate and in accordance with law.

Yours very truly,

Illinois Office of the Secretary of State



Jesse White
Illinois Secretary of State



Amanda Trimmer
Chief Fiscal Officer



Irene Lyons
General Counsel

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPLIANCE REPORT

SUMMARY

The compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and in accordance with the Illinois State Auditing Act.

ACCOUNTANTS' REPORTS

The Independent Accountants' Report on State Compliance, on Internal Control Over Compliance and on Supplementary Information for State Compliance Purposes does not contain scope limitations, disclaimers, or other significant non-standard language.

SUMMARY OF FINDINGS

<u>Number of</u>	<u>Current</u>	<u>Prior</u>
Findings	Report	Report
Findings	8	6
Repeated findings	4	3
Prior recommendations implemented or not repeated	2	6

Details of findings are presented in the separately tabbed report section of this report.

SCHEDULE OF FINDINGS

<u>Item No.</u>	<u>Page</u>	<u>Description</u>	<u>Finding Type</u>
FINDINGS (<i>GOVERNMENT AUDITING STANDARDS</i>)			
09-1	12	Lack of Financial Reporting Review Procedures	Material Weakness
09-2	13	Inadequate Controls Over Reporting of Accounts Receivable	Significant Deficiency
FINDINGS (<i>STATE COMPLIANCE</i>)			
09-3	14	Noncompliance with State Officials and Employees Ethics Act	Significant Deficiency and Noncompliance
09-4	15	Inadequate Control of Cash at Drivers License Facilities	Significant Deficiency and Noncompliance

09-5	16	Year End Carryover of Postage	Significant Deficiency and Noncompliance
09-6	17	Insufficient Physical Security of Computer Resources	Significant Deficiency and Noncompliance
09-7	19	Inadequate Procedures for Disposal of Confidential Information	Significant Deficiency and Noncompliance
09-8	21	Late Reporting to Office of the Comptroller	Significant Deficiency and Noncompliance

In addition, the following findings which are reported as current findings relating to *Government Auditing Standards* also meet the reporting requirements for State Compliance.

09-1	12	Lack of Financial Reporting Review Procedures	Material Weakness and Material Noncompliance
09-2	13	Inadequate Control over Reporting of Accounts Receivable	Significant Deficiency and Noncompliance

PRIOR FINDINGS NOT REPEATED

A	22	Financial Reporting Weaknesses
B	22	Inadequate Control over Property and Equipment

EXIT CONFERENCE

The findings and recommendations appearing in this report were discussed with Office personnel at an exit conference on April 12, 2010. Attending were:

OFFICE OF THE SECRETARY OF STATE

Terri Coombes	Deputy Chief of Staff
Nancy Bowyer	Chief Auditor
Amanda Trimmer	Acting Chief Fiscal Officer
Jeffrey Carter	Director - Information Technology
Stephan Roth	Director - Personnel
Ernie Dannenberger	Director - Vehicle Services
Stell Mallios	Deputy Director - Internal Audit
James Busick	Internal Auditor
Joseph McDonald	Accountant - Budget & Fiscal Management

OFFICE OF THE AUDITOR GENERAL

Jon Fox	Audit Manager
Joseph Gudgel	Audit Manager

SIKICH LLP

Thomas Leach

Partner

The responses to the recommendations were provided by Joseph McDonald in a letter dated April 15, 2010.



250 North Water, Suite 501, P.O. Box 1460 • Decatur, Illinois 62525-1460

INDEPENDENT ACCOUNTANTS' REPORT ON STATE COMPLIANCE,
ON INTERNAL CONTROL OVER COMPLIANCE, AND ON
SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

Honorable William G. Holland
Auditor General
State of Illinois

Compliance

As Special Assistant Auditors for the Auditor General, we have examined the State of Illinois, Office of the Secretary of State's compliance with the requirements listed below, as more fully described in the Audit Guide for Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (Audit Guide) as adopted by the Auditor General, during the two years ended June 30, 2009. The management of the State of Illinois, Office of the Secretary of State is responsible for compliance with these requirements. Our responsibility is to express an opinion on the State of Illinois, Office of the Secretary of State's compliance based on our examination.

- A. The State of Illinois, Office of the Secretary of State has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The State of Illinois, Office of the Secretary of State has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The State of Illinois, Office of the Secretary of State has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the State of Illinois, Office of the Secretary of State are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the State of Illinois, Office of the Secretary of State on behalf of the State or held in trust by the State of Illinois, Office of the Secretary of State have been properly and legally administered and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.

We conducted our examination in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States; the Illinois State Auditing Act (Act); and the Audit Guide as adopted by the Auditor General pursuant to the Act; and, accordingly, included examining, on a test basis, evidence about the State of Illinois, Office of the Secretary of State's compliance with those requirements listed in the first paragraph of this report and performing such other procedures as we considered necessary in the circumstances. We believe that our examination provides a reasonable basis for our opinion. Our examination does not provide a legal determination on the State of Illinois, Office of the Secretary of State's compliance with specified requirements.

As described in finding 09-1 in the accompanying schedule of findings, the State of Illinois, Office of the Secretary of State did not comply with requirements regarding applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations. Compliance with such requirements is necessary, in our opinion, for the State of Illinois, Office of the Secretary of State to comply with the requirements listed in the first paragraph of this report.

In our opinion, except for the noncompliance described in the preceding paragraph, the State of Illinois, Office of the Secretary of State complied, in all material respects, with the requirements listed in the first paragraph of this report during the two years ended June 30, 2009. However, the results of our procedures disclosed other instances of noncompliance, which are required to be reported in accordance with criteria established by the Audit Guide, issued by the Illinois Office of the Auditor General and which are described in the accompanying schedule of findings as findings 09-2 through 09-8.

Internal Control

The management of the State of Illinois, Office of the Secretary of State is responsible for establishing and maintaining effective internal control over compliance with the requirements listed in the first paragraph of this report. In planning and performing our examination, we considered the State of Illinois, Office of the Secretary of State's internal control over compliance with the requirements listed in the first paragraph of this report as a basis for designing our examination procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with the Audit Guide issued by the Illinois Office of the Auditor General, but not for the purpose of expressing an opinion on the effectiveness of the State of Illinois, Office of the Secretary of State's internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the State of Illinois, Office of the Secretary of State's internal control over compliance.

Our consideration of internal control over compliance was for the limited purpose described in the preceding paragraph and was not designed to identify all deficiencies in internal control over compliance that might be significant deficiencies or material weaknesses and therefore, there can be no assurance that all deficiencies, significant deficiencies, or material weaknesses have been identified. However, as described in the accompanying schedule of findings we identified certain deficiencies in internal control over compliance that we consider to be material weaknesses and other deficiencies that we consider to be significant deficiencies.

A *deficiency* in an entity's internal control over compliance exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct noncompliance with the requirements listed in the first paragraph of this report on a timely basis. A *material weakness* is a deficiency, or combination of deficiencies, in internal control such that there is a reasonable possibility that a material noncompliance with a requirement listed in the first paragraph of this report will not be prevented, or detected and

corrected on a timely basis. We consider the deficiency in internal control over compliance as described in the accompanying schedule of findings as finding 09-1 to be a material weakness.

A *significant deficiency* is a deficiency, or combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance. We consider the deficiencies in internal control over compliance described in findings 09-2 through 09-8 in the accompanying schedule of findings, to be significant deficiencies.

As required by the Audit Guide, immaterial findings excluded from this report have been reported in a separate letter to your office.

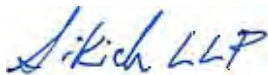
The State of Illinois, Office of the Secretary of State's responses to the findings identified in our examination are described in the accompanying schedule of findings. We did not examine the State of Illinois, Office of the Secretary of State's responses and, accordingly, we express no opinion on them.

Supplementary Information for State Compliance Purposes

As Special Assistant Auditors for the Auditor General, we have audited the financial statements of the governmental activities, each major fund, and the aggregate remaining fund information of the State of Illinois, Office of the Secretary of State as of and for the year ended June 30, 2009, which collectively comprise the State of Illinois, Office of the Secretary of State's basic financial statements, and have issued our report thereon dated April 19, 2010. The accompanying supplementary information, as listed in the table of contents as Supplementary Information for State Compliance Purposes, is presented for purposes of additional analysis and is not a required part of the basic financial statements of the State of Illinois, Office of the Secretary of State. The 2009 Supplementary Information for State Compliance Purposes, except for that portion marked "unaudited" on which we express no opinion, has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in our opinion, is fairly stated in all material respects in relation to the basic financial statements for the year ended June 30, 2009, taken as a whole.

The State of Illinois, Office of the Secretary of State's financial statements for the years ended June 30, 2008, and June 30, 2007, which are not presented herein, were audited by other auditors whose reports thereon dated May 14, 2009, and May 16, 2008, expressed unqualified opinions on the respective financial statements of the governmental activities, each major fund, and the aggregate remaining fund information. Their reports on the 2008 and 2007 Supplementary Information for State Compliance Purposes stated that, in their opinion, such information was fairly stated in all material respects in relation to the basic financial statements for the years ended June 30, 2008 and 2007, taken as a whole. The portion of the 2008 and 2007 Supplementary Information for State Compliance Purposes marked "unaudited" has not been subjected to the auditing procedures applied in the audit of the basic financial statements and, accordingly, we express no opinion on them.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, and Office management, and is not intended to be and should not be used by anyone other than these specified parties.



Decatur, Illinois
April 19, 2010



250 North Water, Suite 501, P.O. Box 1460 • Decatur, Illinois 62525-1460

**REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE AND
OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS
PERFORMED IN ACCORDANCE WITH GOVERNMENT AUDITING STANDARDS**

Honorable William G. Holland
Auditor General
State of Illinois

As Special Assistant Auditors for the Auditor General, we have audited the financial statements of the governmental activities, each major fund, and the aggregate remaining fund information of the State of Illinois, Office of the Secretary of State, as of and for the year ended June 30, 2009, which collectively comprise State of Illinois, Office of the Secretary of State's basic financial statements and have issued our report thereon dated April 19, 2010. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

Internal Control Over Financial Reporting

In planning and performing our audit, we considered the State of Illinois, Office of the Secretary of State's internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the State of Illinois, Office of the Secretary of State's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the State of Illinois, Office of the Secretary of State's internal control over financial reporting.

Our consideration of internal control over financial reporting was for the limited purpose described in the preceding paragraph and was not designed to identify all deficiencies in the internal control over financial reporting that might be significant deficiencies or material weaknesses and therefore, there can be no assurance that all deficiencies, significant deficiencies, or material weaknesses have been identified. However, as described in the accompanying schedule of findings we identified certain deficiencies in internal control over financial reporting that we consider to be a material weakness and other deficiencies that we consider to be a significant deficiency.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. We consider the deficiencies described in finding 09-1 in the accompanying schedule of findings to be a material weakness.

A *significant deficiency* is a deficiency or combination of deficiencies in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance. We consider the deficiencies described in finding 09-2 in the accompanying schedule of findings to be a significant deficiency.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the State of Illinois, Office of the Secretary of State's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

The State of Illinois, Office of the Secretary of State's response to the findings identified in our audit is described in the accompanying schedule of findings. We did not audit the State of Illinois, Office of the Secretary of State's response and, accordingly, we express no opinion on it.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, and Office management, and is not intended to be and should not be used by anyone other than these specified parties.

A handwritten signature in blue ink that reads "Sikich LLP". The signature is written in a cursive, flowing style.

Decatur, Illinois
April 19, 2010

**STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE**

**SCHEDULE OF FINDINGS – GOVERNMENT AUDITING STANDARDS
For the Year Ended June 30, 2009**

09-1. **FINDING** (Lack of Financial Reporting Review Procedures)

The Office of the Secretary of State (Office) did not have adequate review procedures in place to ensure the Office's annual financial statements were accurately prepared.

The Office's financial statements were adjusted for the following reporting errors identified by our audit:

- An adjustment was made to record revenues receivable from the federal government at June 30, 2009, related to a federal grant program. The amount of the adjustment was \$1.086 million.
- A classification error was identified requiring an adjustment to report the fund balance totalling \$64.275 million, of the State Construction Account, Fund #0902, as unreserved fund balance rather than unrestricted net assets.

The Fiscal Control and Internal Auditing Act (Act) (30 ILCS 10/3001) requires State agencies to establish and maintain a system of internal fiscal and administrative controls, which shall provide assurance that revenues, expenditures, and transfers of assets, resources, or funds applicable to operations are properly recorded and accounted for to permit the preparation of accounts and reliable financial and statistical reports and to maintain accountability over the State's resources. Good internal control procedures require adequate management oversight and review of accounting policies and procedures as well as an overall review of financial reporting for accuracy and compliance with generally accepted accounting principles.

The errors identified above were an oversight by the Office. Although the Office's records accurately accounted for the above noted items, its internal controls did not provide for detection of the errors by management in its preparation of the Office's financial statements.

Failure to implement appropriate internal control procedures over financial reporting could lead to future misstatements of the Office's financial statements. Because the information reported in the Office's financial statements is also reported in the comprehensive annual financial report (CAFR) of the State of Illinois, errors in the Office's financial information could also lead to misstatements in the State's CAFR. (Finding Code No. 09-1)

RECOMMENDATION

We recommend the Office continue in its efforts to implement internal control procedures to assess the risk of material misstatements of the Office's financial statements and to identify such misstatements during the financial statement preparation process.

AGENCY RESPONSE

The Secretary of State's Office accepts the auditor's recommendation. The Office will continue to look for ways to improve internal control procedures over the preparation and review of its financial statements.

09-2. **FINDING** (Inadequate Controls Over Reporting of Accounts Receivable)

The Office of the Secretary of State (Office) did not have adequate internal controls to ensure accounts receivable were accurately reported, and the Office also lacked effective methods of estimating the uncollectible portion of its accounts receivable.

The Office's accounts receivable listing from its Securities Division erroneously reported a balance of approximately \$2.1 million at June 30, 2009 that had actually been received. In addition, the method used by the Office to estimate the portion of its accounts receivable that are not collectible was not adequate to provide for a reasonable estimate.

The Fiscal Control and Internal Auditing Act (Act) (30 ILCS 10/3001) requires State agencies to establish and maintain a system of internal fiscal and administrative controls, which shall provide assurance that revenues, expenditures, and transfers of assets, resources, or funds applicable to operations are properly recorded and accounted for to permit the preparation of accounts and reliable financial and statistical reports and to maintain accountability over the State's resources. In addition, generally accepted accounting procedures require that the methodology used to make estimates be periodically reviewed to ensure that the estimates are reasonable.

Office management stated that the error in its accounts receivable reporting was due to the oversight of the individual preparing the information and that controls to identify the error were not adequate. With regard to the failure to reasonably estimate its uncollectible accounts receivable, Office management stated that the method applied had been recommended to them by a consultant several years ago. However, this methodology had not been reviewed on an annual basis to determine whether it remained valid and appropriate for the circumstances.

Failure to implement appropriate internal control procedures over reporting of accounts receivable could lead to future misstatements of the Office's financial statements. In addition, inaccurate reporting hinders effective management and safeguarding of State resources. (Finding Code No. 09-2)

RECOMMENDATION

We recommend the Office implement procedures to ensure the accuracy of its accounts receivable reporting and annually assess its method of estimation of uncollectible accounts to provide for reasonable estimates.

AGENCY RESPONSE

The Office accepts this finding. The Office will train its fiscal staff responsible for accounts receivable reporting and review to ensure the accuracy of its reporting. The Office will also develop procedures to review its method of estimation of uncollectible accounts on an annual basis to provide reasonable estimates.

**STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE**

**SCHEDULE OF FINDINGS – STATE COMPLIANCE
For the Two Years Ended June 30, 2009**

09-3. **FINDING** (Noncompliance with State Officials and Employees Ethics Act)

The Office of the Secretary of State (Office) did not require its employees to timely attest to the accuracy of their attendance records in compliance with the State Officials and Employees Ethics Act (Act).

During the current examination period, the Office implemented an automated timekeeping system whereby each department assigns the responsibility of recording attendance, for each employee in the department, to one timekeeper. However, employees were required to attest to the accuracy of the attendance records only on an annual basis.

The Act (5 ILCS 430/5-5(c)) requires that the Office adopt and implement personnel policies which require each Secretary of State employee to periodically submit time sheets documenting the time spent each day on official State business to the nearest quarter hour. In addition, good internal control procedures require that employees should attest to their time on a contemporaneous basis.

Office personnel stated that they had believed the changes implemented during the current examination period had placed the Office in compliance with the Act.

Failure to require timely affirmation of employees' time could result in errors that are not detected and does not provide for adequate accountability of its employees. Also, by only requiring an annual attestation from its employees as to the accuracy of their attendance records, the Secretary of State is in noncompliance with the Act. (Finding Code No. 09-3, 07-2, 05-1)

RECOMMENDATION

We recommend that the Secretary of State continue in its efforts to comply with the State Officials and Employees Ethics Act and develop procedures that require timely attestation from its employees as to the accuracy of their record of time spent each day on official State business.

AGENCY RESPONSE

The Office accepts this finding. The Office has made many changes to its attendance system to meet the requirements under the State Officials and Employees Ethics Act. Those changes include the on-line access to the employees time card; use of time clocks, time cards, computer log ins, etc. to register the time worked throughout the day by the employee; and use of a new system to log attendance in a positive manner. All of these systems have created a process where employees can access and attest to the accuracy of their time, or discuss any discrepancies with their attendance clerk on a regular, sometimes daily basis.

The Office will continue to review ways to improve the processes it has in place for reporting, maintaining and monitoring attendance.

09-4. **FINDING** (Inadequate Control of Cash at Drivers License Facilities)

The Secretary of State permits multiple employees to have access to the same cash drawers at the Drivers License Facilities (facilities).

During our fieldwork, we visited 4 of the Office's 135 facilities. At two of the facilities visited we noted that multiple employees could access the same cash drawers.

Secretary of State personnel indicated the excessive access to the cash drawers is a byproduct of the emphasis placed on prompt service and minimal customer wait time. Some of the smaller facilities have only two employees working, and one employee may be required to attend to the customers while the other employee administers a driver's exam. By permitting employees to access more than one cash drawer, the Office is reducing the wait time that could occur when employees leave their terminals for any reason, thus permitting another employee to continue processing at the terminal without switching out the cash drawers and restarting the terminal. The Secretary of State was in the process of installing new technology equipment in order to strengthen controls at these facilities, however, the new equipment was not operational at June 30, 2009.

Good business practice dictates that the Office maintain adequate control over the receipts processed at the facilities, which includes restricting the access to the cash drawers. A substantial portion of receipts is collected at the Drivers License Facilities. In addition, the Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires all State agencies to establish and maintain internal controls to safeguard assets against loss, unauthorized use, and misappropriation.

Although we did not identify specific cases where receipts were stolen, failure to restrict access to the cash drawers produces an environment where the potential for loss from fraud or theft is enhanced and hinders the resolution of potential discrepancies between facility sales and the related receipts. (Finding Code No. 09-4, 07-4, 05-4)

RECOMMENDATION

We recommend the Secretary of State ensure that each employee utilizes an individual cash drawer and not be permitted to access the drawer of other employees until such time as alternative controls are achieved through the new technology equipment that the Office has obtained.

AGENCY RESPONSE

The Office accepts this finding. The Office continues to move forward with a project started during the prior audit that will utilize biometric keyboards whereby an employee can access the computer/terminal by scanning their fingerprint. This will restrict computer access and any discrepancies detected between the validation total and drawer amount at the close of business by facility personnel can be traced to a specific employee, thus increasing accountability. The plan is for this to be in place by March 2011.

The Office is also accepting proposals for an Office wide Point of Sale system. Among the requirements in the request for proposals are solutions to excessive access to cash drawers, user accountability weaknesses and reconciliation and reporting requirements to assist management with resolution of discrepancies between facility sales and the related receipts.

09-5. **FINDING** (Year End Carryover of Postage)

The Secretary of State purchased postage at the end of fiscal years 2008 and 2009 in excess of reasonably expected usage for the beginning of the next fiscal year.

For the years ended June 30, 2008 and 2009, the Secretary of State expended contractual services appropriations for postage in the amounts of \$2,978,973 and \$847,665 respectively, in the final quarters of those fiscal years. End of year postage on hand represented 61.17% and 47.21% of the total postage used for those respective years. A summary of postage for fiscal years 2008 and 2009 follows.

	FY08 Postage on Hand	FY09 Postage on Hand
Beginning of Year	\$ 6,905,293	\$ 6,390,991
Purchased expenditures	9,932,939	9,920,674
Postage Used	<u>(10,447,241)</u>	<u>(11,080,853)</u>
End of Year	\$ <u>6,390,991</u>	\$ <u>5,230,812</u>

The above summary represents an improvement from the prior examination in which we noted that during the years ended June 30, 2006 and 2007, the Secretary of State expended contractual services appropriations for postage in the amounts of \$6,157,389 and \$5,772,468 respectively, in the final quarters of those fiscal years, and end of year postage on hand represented 78.44% and 64.50% of the total postage used for those respective years. However, postage purchased and accumulated during FY08 and FY09 remained in excess of current needs.

The practice of expending remaining appropriations in such a fashion that postage is accumulated beyond current needs does not effectively use State cash flow resources.

Secretary of State personnel stated that, although they have tried to reduce the amount of postage on hand, they historically purchase enough postage at year end to cover any lag time if there is a delay in signing the next year's appropriation bill to ensure an adequate amount of postage is available for any unanticipated mailings. Purchases of postage should be limited to reasonable amounts to prevent an excessive accumulation of postage on hand. (Finding Code No. 09-5, 07-5, 05-5, 03-6)

RECOMMENDATION

We recommend the Secretary of State continue in its efforts to reduce postage on hand to a reasonable balance. Expenditures for postage should be limited to amounts actually needed for current operations.

AGENCY RESPONSE

The Office accepts this finding. The Office reduced its postage balance by \$1,674,481 during the period of the current audit. An annual analysis of postage needs is completed to determine a reasonable carryover balance in order to match postage expenditures with the proper fiscal year and meeting the demands of short notice, large volume mailings (i.e. Constitutional amendments). Part of that analysis takes into account the unpredictability of the US Post Office of increasing rates and changing criteria for qualification of discounted bulk rates which could significantly increase the cost of postage used by the Office with little or no notice. The Office also has to consider the lag between vouchers being processed and warrants. At the time this response is being submitted the lag is six months. Postage will not be released until payments are received by the vendor, so this is a consideration that must be taken into account as well.

09-6. **FINDING** (Insufficient Physical Security of Computer Resources)

The Office of the Secretary of State (Office) did not have adequate security over its computer assets.

The Office relies on several critical and confidential applications, including the Electronic Registration and Title; Pick-A-Plate; and Safe Driver Renewal systems, for meeting its statutory requirements. During our review, we noted the following:

- Anyone with access to the Dirksen computer room has access to the Office's mainframe computer, the control consoles and output devices. We found 106 persons had access to the computer room, including personnel without computer operation duties whose offices are located within the computer room.
- The Remittance Unit server room located within the Howlett building was unsecured and showed signs of water damage to the ceiling and one wall. We found a spreadsheet identifying the names, locations and passwords of the various computers were posted on the back of the entry door, as well as startup procedures. The Remittance Unit processes vehicle license renewals and has access to sensitive information. The Remittance Unit server room was located off a corridor that is accessible by anyone (including the public) within the building. In addition, we found an actively logged-in computer with powerful access rights to Remittance Unit systems that was unattended and not adequately secured.

Generally accepted information technology guidance endorses the development of well-designed and managed controls to protect physical facilities and computer equipment. Access to premises, buildings and areas should be justified, authorized, logged and monitored.

Office personnel stated the computers that originally occupied the computer room were much larger than the current computers now utilized for Office functions. As space required for the computer equipment decreased, the excess space became utilized for other purposes, including locating personnel without computer operation duties within the computer room.

The Remittance Unit manager stated the door lock to the server room was defective and if locked would take a locksmith to reopen. The Remittance server room was located in a low use area of the Howlett building and its location was not generally known.

Without adequate physical security, the risk of unauthorized access, misuse or physical damage is increased to a more than acceptable level. (Finding Code No. 09-6, 07-6)

RECOMMENDATION

We recommend the Office assess physical security over its computer operations and assure physical access to computer equipment and data resources is adequately secured. Access to the Office's computer room and server rooms should be restricted to operational personnel requiring access for fulfilling their routine job requirements.

AGENCY RESPONSE

The Office accepts this finding with the following items being noted.

With regards to the Dirksen Parkway Data Center, the Office continues to review the list of persons with access to the computer room and remove access rights where possible. The number of people with access was reduced by 187 since the prior audit. The Office realizes that it is not an ideal situation that non-operational personnel have offices within the computer room and has plans to redesign space within the

Data Center to better segregate equipment and staff when funds become available. The non-operational personnel with offices within the computer room is limited to System Administrators who already have our highest system security clearance and Information Technology Help Desk personnel who have daily interaction with the operators located in the computer room.

The Office will be installing a locked door with keypad for entry into the Remittance Unit server room in order to control access to the room. Password information has been removed from the door and staff has been reminded of the Office policies regarding protecting passwords and securing unattended computers.

09-7. **FINDING** (Inadequate Procedures for Disposal of Confidential Information)

The Secretary of State (Office) had not assured adequate Office-wide procedures existed for disposal of confidential information.

Although the Office had established some policies relating to the security of confidential information, the Office failed to establish and implement Office-wide procedures for adequately disposing of confidential information.

We found informal procedures existed for shredding confidential documentation and confidential documentation was not always secured prior to disposal. While performing walkthroughs at the Office we noted the following:

- At least 8 boxes of driver's license fee remittance forms containing personal and confidential information were maintained within a hallway accessible by the public until they could be removed and disposed.
- Remittances and unendorsed checks received by the Office, which included persons name, address, bank routing numbers, etc. were not adequately secured when personnel left at the close of business. In one room, we found at least two mail tubs of opened mail containing personal information and check remittances. In another room, we found 8 bundles of unendorsed checks, left unattended in an unsecured room.
- A room containing an incinerator used for disposing some confidential information was not secured. Within the room were found several pallets of boxes containing confidential information waiting for disposal. The room also serves as a pass-through to another room used by the Office's painters.

Office personnel stated the rooms are left open for janitorial reasons and are secured by Office police once the janitors are completed. In addition, personnel believed the boxes placed in the hallway for disposal would be picked up and shredded within a reasonable time.

Confidential and personal identifiable information collected and maintained by the Office should be adequately secured at all times. As such, it is the Office's responsibility to ensure adequate procedures for safeguarding all confidential information have been established, effectively communicated to all personnel, and continually enforced. Inherent within this responsibility is the requirement of adequate disposition of all confidential information that is no longer needed.

Failure to establish adequate procedures to protect and timely dispose of confidential information and to enforce compliance with established procedures can lead to such information being compromised. (Finding Code No. 09-7)

RECOMMENDATION

We recommend the Office assess its procedures for safeguarding and subsequent disposal of all confidential information. Office-wide procedures for properly disposing confidential information should be established. Once established, the Office should effectively communicate the procedures to all Office personnel, and enforce compliance with its procedures ensuring all confidential information is kept secured until no longer needed, and then properly disposed.

AGENCY RESPONSE

The Office partially accepts this finding. While the agency maintains that it does have policies and procedures for disposal of confidential information and that there is a process in place to monitor compliance of these policies, there are some vulnerabilities with regards to discarded printed information

prior to shredding. The layout of the building and the practice of customers discarding forms within the building contribute to this vulnerability.

In response the Office has reviewed its access to the buildings and to areas containing confidential information and has made changes to limit access to confidential information where possible. The Office plans to purchase and utilize sealed bins or containers for the collection of all printed materials with confidential information in areas of the building open to the public. Authorized staff would then empty these containers reseal them and take the contents to the shredding area. In addition the agency will continue to review the overall issue of access to work areas by the public and make changes where needed and possible. The agency will also review policies to incorporate the above noted procedures and other changes to reduce vulnerabilities.

09-8. **FINDING** (Late Reporting to Office of the Comptroller)

The Office of the Secretary of State (Office) did not timely submit all required reports to the Office of the Comptroller (Comptroller).

During the current examination period, in its annual year-end financial reporting to the Comptroller for the year ended June 30, 2009, the Office submitted generally accepted accounting principles (GAAP) reporting packages late for 59 of its 63 funds for which it reported. The GAAP reporting packages were submitted between three and 28 days after the due dates established for the Office's funds. Also, we noted the Office submitted three Quarterly Report of Receipts and Disbursements for Locally Held Funds reports to the Comptroller late. These reports ranged from one to 15 days after the required submission date.

The Statewide Accounting Management System (SAMS) procedure 27.10.10, page 2, states that generally, the GAAP reporting packages are due between August 15 and August 31. However, the Comptroller established later reporting due dates for four of the Office's funds because of the complexity of those funds as well as the volume of funds for which the Office is required to prepare GAAP reporting packages. SAMS procedure 33.13.20, page 5, requires the Quarterly Report of Receipts and Disbursements for Locally Held Funds to be submitted to the Comptroller no later than the last day of the month following the last day of the quarter.

Office personnel stated that they kept the Comptroller informed as to the status of the GAAP reporting package preparation throughout the year-end financial reporting process and believed this communication satisfied the Comptroller's requirements. They also stated that the delays in submission were necessary to ensure that the GAAP reporting packages were accurate and complete and noted that the Office had 63 funds on which it was required to report. The late filing of the Quarterly Reports of Receipts and Disbursements for Locally Held Funds to the Comptroller was due to oversight.

Compliance with established due dates and guidelines in SAMS is necessary to avoid delays in the Comptroller's utilization of such information. In addition, delays in submitting GAAP reporting packages hinders the State's ability to timely complete its comprehensive annual financial report. (Finding Code No. 09-8)

RECOMMENDATION

We recommend the Office submit its year-end GAAP reporting packages and its Quarterly Report of Receipts and Disbursements for Locally Held Funds in compliance with the Comptroller's guidelines and procedures.

AGENCY RESPONSE

The Office accepts this finding. The Office maintains good communication with the Comptroller's Office throughout the GAAP reporting process in order to ensure that the office is not hindering the State's ability to timely complete its comprehensive annual financial report. The Office will continue to make every effort to meet the deadlines set by the Comptroller's Office and work with the Comptroller to ensure the Office obtains formal due date extensions for additional funds if necessary.

PRIOR FINDINGS NOT REPEATED

A. FINDING (Financial Reporting Weaknesses)

The Office of the Secretary of State (Office) did not have adequate controls in place to ensure the Office's annual financial statements were prepared in accordance with generally accepted accounting principles (GAAP). Reporting errors related to the recognition of license fee revenue required restatement of prior year accounts receivable and deferred revenue liabilities.

For the year ended June 30, 2009, the Office appropriately applied GAAP with respect to its reporting of license fee revenue. (Finding Code No. 08-1)

B. FINDING (Inadequate Control over Property and Equipment)

The Office of the Secretary of State (Office) did not maintain adequate control over property and equipment. We noted in our prior examination that the Office did not retain a listing of its equipment for the year ended June 30, 2006, nor did it prepare an Annual Certification of Inventory to the Illinois Department of Central Management Services (CMS) for that year. In addition, various errors in its quarterly reporting of fixed assets to the Illinois Office of the Comptroller were noted.

During our current examination period, our sample testing indicated the Office retained listings of its equipment, prepared annual certifications to CMS as required, and improved its quarterly fixed asset reporting process. (Finding Code No. 07-3)

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

SUMMARY

Supplementary Information for State Compliance Purposes presented in this section of the report includes the following:

- Fiscal Schedules and Analysis:

- Schedule of Expenditures of Federal Awards - Cash Basis

- Year Ended June 30, 2009

- Year Ended June 30, 2008

- Notes to Schedule of Expenditures of Federal Awards - Cash Basis

- Schedule of Appropriations, Expenditures and Lapsed Balances -

- Operating Group by Fund

- Fiscal Year 2009

- Fiscal Year 2008

- Schedule of Appropriations, Expenditures and Lapsed Balances -

- Total by Fund

- Fiscal Year 2009

- Fiscal Year 2008

- Comparative Schedule of Net Appropriations, Expenditures and Lapsed Balances

- Schedule of Expenditures by Major Object Code

- Comparative Schedule of Receipts, Disbursements, and Fund Balances (Cash Basis) - Locally Held Funds

- Schedule of Changes in State Property

- Year Ended June 30, 2009

- Year Ended June 30, 2008

- Comparative Schedule of Cash Receipts - By Department and Source

- Reconciliation Schedule of Cash Receipts by Department, Source and Fund to Deposits Remitted to the State Comptroller

- Year Ended June 30, 2009

- Year Ended June 30, 2008

- Analysis of Significant Variations in Expenditures

- Analysis of Significant Variations in Receipts

- Analysis of Significant Lapse Period Spending

- Year Ended June 30, 2009

- Year Ended June 30, 2008

- Analysis of Cash Receipts (Unaudited)

- Analysis of Accounts Receivable

- Analysis of Operations:

- Agency Functions and Planning Program

- Analysis of Personal Services

- Accounting Revenue Sales Analysis as Reported by Vehicle Services and Drivers Services
(Unaudited)

- Service Efforts and Accomplishments (Unaudited)

- Statistical Highlights

The accountants' report that covers the Supplementary Information for State Compliance Purposes presented in the Compliance Report Section states that it has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in the auditors' opinion, except for that portion marked "unaudited," on which they express no opinion, it is fairly stated in all material respects in relation to the basic financial statements taken as a whole.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - CASH BASIS

Year Ended June 30, 2009
(Expressed in Thousands)

Federal Grantor/Pass-Through Grantor /Program Title	CFDA Number	Federal Expenditures	Amount Provided to Subrecipients
U.S. Department of Homeland Security:			
Direct Program:			
Real ID Program	97.089	\$ 518	\$ -
Pass-Through the IL Emergency Management Agency:			
Law Enforcement Terrorism Prevention Program	97.074	7	-
U.S. Department of Transportation:			
Direct Programs:			
Motor Carrier Safety (Social Sec. Online Verification)	20.217	36	-
Commercial Driver License State Program (CDL Testing, Off-site Testing)	20.232	16	-
Institute of Museum and Library Services:			
Direct Programs:			
Grants to States (State Library Program)	45.310	1 6,520	5,538
National Leadership Grants	45.312	2 58	13
Pass-Through Arts-Midwest			
Promotion of the Arts Grants (The Big Read)	45.024	18	13
National Archives and Records			
Direct Program:			
National Historical Publications and Records Grants (State and National Archival Partnership (SNAP) Grant)	89.003	<u>12</u>	<u>-</u>
Total Expenditure of Federal Awards		<u>\$ 7,185</u>	<u>\$ 5,564</u>

1: Total federal expenditures for this program are net of a \$19 refund to the grantor.

2: Total federal expenditures for this program are net of a \$15 refund to the grantor.

See accompanying notes to this schedule.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - CASH BASIS

Year Ended June 30, 2008
(Expressed in Thousands)

<u>Federal Grantor/Pass-Through Grantor /Program Title</u>	<u>CFDA Number</u>	<u>Federal Expenditures</u>	<u>Amount Provided to Subrecipients</u>
U S Department of Homeland Security:			
Pass-Through the II Emergency Management Agency:			
Law Enforcement Terrorism Prevention Program	97.074	\$ 354	-
U.S. Department of Transportation:			
Direct Program:			
Commercial Driver License State Program (CDL Testing, Off-site Testing)	20.232	335	-
Pass-Through the American Association of Motor Vehicle Administrators:			
State and Community Highway Safety (STAR)	20.600	40	-
Institute of Museum and Library Services:			
Direct Program:			
Grants to States (State Library Program)	45.310	1 5,907	5,861
National Leadership Grants	45.312	<u>9</u>	<u>-</u>
Total Expenditure of Federal Awards		<u>\$ 6,645</u>	<u>\$ 5,861</u>

1 Total federal expenditures for this program are net of a \$36 refund to the grantor.

See accompanying notes to this schedule.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS –
CASH BASIS

Years Ended June 30, 2009 and 2008

1. GENERAL

The accompanying Schedule of Expenditures of Federal Awards – Cash Basis presents the Federal award programs of the Office of the Secretary of State. The Schedule of Expenditures of Federal Awards – Cash Basis includes the expenditure of awards received directly from Federal agencies and awards passed through other State and local agencies.

The Schedule of Expenditures of Federal Awards – Cash Basis was prepared for State compliance purposes only. A separate single audit of the Office of the Secretary of State was not conducted. A separate single audit of the entire State of Illinois (which includes the Office of the Secretary of State) is being performed and will be released under separate cover.

2. BASIS OF ACCOUNTING

The Schedule of Expenditures of Federal Awards – Cash Basis has been prepared in accordance with the cash basis of accounting, which is a comprehensive basis of accounting other than accounting principles generally accepted in the United States of America.

3. INDIRECT COSTS

The Office does not claim indirect cost reimbursements for any of its federal award programs. Consequently, the Office does not have an indirect cost rate established for allocating indirect costs to federal award programs.

4. DESCRIPTION OF SIGNIFICANT FEDERAL AWARD PROGRAMS

The following is a brief description of the significant programs included in the Schedule of Expenditures of Federal Awards:

A. U.S. Department of Homeland Security

Real ID Program CFDA #97.089 – This program primarily provides funding for the purpose of facilitating the State’s ability to improve the security and integrity of our driver’s license and identification card issuance processes to include IT systems, facilities, source document verification and the required security protections of an individual’s personal identification information. These improvements are needed to strengthen the verification process consistent with the federal REAL ID Act.

Law Enforcement Terrorism Prevention Program CFDA #97.074-

This grant was received by the Office as a pass-through from the Illinois Emergency Management Agency. The purpose of this program is to provide State and local law enforcement communities with funds to support the following prevention activities: information sharing to preempt terrorist attacks; target hardening to reduce vulnerability of selected high

value targets; recognition and mapping of potential or developing threats; interoperable communications; and, interdiction of terrorists before they can execute a threat or intervention activities that prevent terrorists from executing a threat. The Office received funds from this program to provide funding to the improvised explosive device response team from the Department of Homeland Security

B. U.S. Department of Transportation

Motor Carrier Safety CFDA #20.217 - The objective of this program is to protect the public from risks inherent in commercial vehicle operations on the public highways, and to minimize risks involved in moving hazardous materials over public highways. The Office is using this grant to enable instant verification with the Social Security Administration of a driver's social security number before issuing or renewing a driver's license.

Commercial Driver License State Program CFDA #20.232 – The purpose of this program is to help or prevent truck and bus accidents, fatalities, and injuries by requiring drivers to have a single commercial motor vehicle license and by disqualifying drivers who operate commercial motor vehicles in an unsafe manner. The Office uses this grant to purchase, install and maintain automated testing machines for commercial driver license applicants.

State and Community Highway Safety - Speed Traffic Accident Reduction (STAR) CFDA #20.600 – This grant was received by the Office as a pass-through from the American Association of Motor Vehicle Administrators. The purpose of this program is to help reduce the number of motor vehicle traffic crashes and the frequency of death and injuries resulting from those crashes. Its intent is to apprehend traffic law violators committing common traffic offenses with particular emphasis being placed on speed. Additional attention will be placed on safety belt and child restraint violations.

C. Institute of Museums and Library Services

Grants to States – (State Library Program) CFDA #45.310 – This program primarily provides funding for the purpose of consolidating Federal library services programs. Funding is designed to promote access to learning and information resources in all types of libraries for individuals of all ages; to promote library services that provide all users access to information through State, regional, and international electronic networks; to provide linkages among and between libraries; to promote targeted library services to people of diverse geographic, cultural and socioeconomic backgrounds, to individuals with disabilities, and to people with limited functional literacy or information skills.

National Leadership Grant Program CFDA #45.312 – The purpose of this program is to enhance the quality of library and museum services nationwide and to provide coordination between libraries and museums. The Office has used this grant to support various projects consistent with the program's purpose.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2009 - OPERATING GROUP BY FUND
FOURTEEN MONTHS ENDED AUGUST 31, 2009

	Appropriations (Net after Transfers)	Expenditures Through 6/30/2009	Lapse Period Expenditures 7/01 - 8/31/09	Total Expenditures	Reappropriated Balances	Lapsed Balances
<u>Public Acts 095-0734; 95-1001; 95-1030; 96-0004</u>						
<u>APPROPRIATED FUNDS</u>						
Executive Group						
General Revenue Fund - 001	\$ 7,481,701	\$ 6,928,762	\$ 431,278	\$ 7,360,040	\$ -	\$ 121,661
Road Fund - 011	1,845,400	1,739,056	85,843	1,824,899	-	20,501
Total Executive Group	9,327,101	8,667,818	517,121	9,184,939	-	142,162
General Administrative Group						
General Revenue Fund - 001	109,306,813	102,008,286	5,205,981	107,214,267	-	2,092,546
Road Fund - 011	3,074,200	2,698,614	374,394	3,073,008	-	1,192
Motor Fuel Tax Fund - 012	1,200,000	1,200,000	-	1,200,000	-	-
Live and Learn Fund - 026	20,474,000	15,620,504	4,538,615	20,159,119	-	314,881
Capital Development Fund - 141	1,000,000	-	-	-	1,000,000	-
Securities Investors Education Fund - 292	1,333,500	784,382	50,563	834,945	-	498,555
Registered Limited Liability Partnership						
Securities Audit & Enforcement Fund - 362	10,568,500	4,961,970	154,835	5,116,805	-	5,451,695
Department of Business Services Special						
Operations Fund - 363	5,681,000	4,483,010	278,373	4,761,383	-	919,617
Library Services Fund - 470	8,000,000	5,555,445	663,539	6,218,984	-	1,781,016
Secretary of State Special Services						
Fund - 483	28,648,200	18,549,853	2,603,266	21,153,119	-	7,495,081
FY09 Budget Relief Fund - 678	11,300,000	8,268,869	3,031,131	11,300,000	-	-
Other Appropriated Funds	3,086,717	2,338,931	69,059	2,407,990	-	678,727
Total General Administrative Group	203,672,930	166,469,864	16,969,756	183,439,620	1,000,000	19,233,310

(CONTINUED)

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2009 - OPERATING GROUP BY FUND - CONTINUED
FOURTEEN MONTHS ENDED AUGUST 31, 2009

	Appropriations (Net after Transfers)	Expenditures Through 6/30/2009	Lapse Period Expenditures 7/01 - 8/31/09	Total Expenditures	Reappropriated Balances	Lapsed Balances
Motor Vehicle Group						
General Revenue Fund - 001	\$ 29,676,428	\$ 25,740,399	\$ 3,664,811	\$ 29,405,210	\$ -	\$ 271,218
Road Fund - 011	125,580,400	120,283,173	5,271,465	125,554,638	-	25,762
CDLIS/AAMVAnet Trust Fund - 109	1,063,800	566,936	116,892	683,828	-	379,972
Secretary of State Special License Plate Fund - 185	7,624,300	4,840,741	130,773	4,971,514	-	2,652,786
Monitoring Device Driving Permit Admini- stration Fund - 453	2,000,000	1,557	1,847	3,404	-	1,996,596
Secretary of State Identification and Theft Prevention Fund - 480	12,400,000	1,996,731	587,302	2,584,033	-	9,815,967
Motor Vehicle License Plate Fund - 622	17,000,000	10,300,763	2,066,534	12,367,297	-	4,632,703
Secretary of State DUI Administration Fund - 732	2,500,000	1,467,843	88,664	1,556,507	-	943,493
Vehicle Inspection Fund - 963	3,770,500	3,449,492	110,313	3,559,805	-	210,695
Other Appropriated Funds	1,969,300	550,442	79,504	629,946	-	1,339,354
Total Motor Vehicle Group	203,584,728	169,198,077	12,118,105	181,316,182	-	22,268,546
TOTAL APPROPRIATED FUNDS	\$ 416,584,759	344,335,759	29,604,982	373,940,741	\$ 1,000,000	\$ 41,644,018

(CONTINUED)

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2009 - OPERATING GROUP BY FUND - CONTINUED
FOURTEEN MONTHS ENDED AUGUST 31, 2009

	Appropriations (Net after Transfers)	Expenditures Through 6/30/2009	Lapse Period Expenditures 7/01 - 8/31/09	Total Expenditures	Reappropriated Balances	Lapsed Balances
NON-APPROPRIATED FUNDS						
General Administration Group						
Interagency Grant Fund - 295	\$ 5,697	\$	6,646	\$ 12,343		
Securities Audit & Enforcement Fund - 362	<u>230,130</u>		<u>188,183</u>	<u>418,313</u>		
Total General Administration Group	<u>235,827</u>		<u>194,829</u>	<u>430,656</u>		
Motor Vehicle Group						
Interagency Grant Fund - 295	1,269,794		51,713	1,321,507		
Safety Responsibility Fund - 436	795,296		(5)	795,291		
Secretary of State International Registration Plan Fund - 890	<u>152,511,651</u>		<u>1,809,011</u>	<u>154,320,662</u>		
Total Motor Vehicle Group	<u>154,576,741</u>		<u>1,860,719</u>	<u>156,437,460</u>		
TOTAL NON-APPROPRIATED FUNDS	<u>154,812,568</u>		<u>2,055,548</u>	<u>156,868,116</u>		
GRAND TOTAL ALL FUNDS	<u>\$ 499,148,327</u>		<u>\$ 31,660,530</u>	<u>\$ 530,808,857</u>		

Note: The above information was taken directly from the records of the State Comptroller, which have been reconciled to those of the Secretary of State.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2008 - OPERATING GROUP BY FUND
FOURTEEN MONTHS ENDED AUGUST 31, 2008

	Appropriations (Net after Transfers)	Expenditures Through 06/30/08	Lapse Period Expenditures 7/01 - 8/31/08	Total Expenditures	Reappropriated Balances	Lapsed Balances
<u>Public Act 095-0348</u>						
<u>APPROPRIATED FUNDS</u>						
Executive Group						
General Revenue Fund - 001	\$ 8,967,487	\$ 8,469,961	\$ 416,128	\$ 8,886,089	\$ -	\$ 81,398
Road Fund - 011	1,927,000	1,712,065	148,342	1,860,407	-	66,593
Total Executive Group	10,894,487	10,182,026	564,470	10,746,496	-	147,991
General Administrative Group						
General Revenue Fund - 001	104,519,584	101,187,237	3,096,219	104,283,456	-	236,128
Road Fund - 011	3,084,200	2,436,131	638,297	3,074,428	-	9,772
Motor Fuel Tax Fund - 012	1,200,000	1,200,000	-	1,200,000	-	-
Live and Learn Fund - 026	21,244,000	18,704,323	2,457,673	21,161,996	-	82,004
Capital Development Fund - 141	1,100,000	455,721	640,399	1,096,120	-	3,880
Securities Investors Education Fund - 292	1,333,500	172,884	73,751	246,635	-	1,086,865
Securities Audit & Enforcement Fund - 362	10,901,000	8,378,547	481,967	8,860,514	-	2,040,486
Department of Business Services Special						
Operations Fund - 363	5,431,700	4,308,791	213,023	4,521,814	-	909,886
Library Services Fund - 470	8,000,000	5,502,837	1,104,483	6,607,320	-	1,392,680
Secretary of State Special Services						
Fund - 483	28,648,200	21,432,758	2,700,143	24,132,901	-	4,515,299
Other Appropriated Funds	2,398,528	1,487,119	266,858	1,753,977	-	644,551
Total General Administrative Group	187,860,712	165,266,348	11,672,813	176,939,161	-	10,921,551

(CONTINUED)

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2008 - OPERATING GROUP BY FUND - CONTINUED
FOURTEEN MONTHS ENDED AUGUST 31, 2008

	Appropriations (Net after Transfers)	Expenditures Through 06/30/08	Lapse Period Expenditures 7/01 - 8/31/08	Total Expenditures	Reappropriated Balances	Lapsed Balances
Motor Vehicle Group						
General Revenue Fund - 001	\$ 30,066,733	\$ 27,513,585	\$ 2,108,091	\$ 29,621,676	\$ -	\$ 445,057
Road Fund - 011	125,488,800	115,258,256	9,477,149	124,735,405	-	753,395
CDLIS/AAMVAnet Trust Fund - 109	1,063,800	721,364	340,111	1,061,475	-	2,325
Secretary of State Special License Plate Fund - 185	7,608,300	5,143,025	726,667	5,869,692	-	1,738,608
Secretary of State Identification and Theft Prevention Fund - 480	12,400,000	-	-	-	-	12,400,000
Motor Vehicle License Plate Fund - 622	17,000,000	9,602,598	2,332,177	11,934,775	-	5,065,225
Secretary of State DUI Administration Fund - 732	2,090,000	1,244,704	73,499	1,318,203	-	771,797
Vehicle Inspection Fund - 963	3,727,663	3,431,239	221,935	3,653,174	-	74,489
Other Appropriated Funds	1,053,189	667,679	130,539	798,218	-	254,971
Total Motor Vehicle Group	200,498,485	163,582,450	15,410,168	178,992,618	-	21,505,867
TOTAL APPROPRIATED FUNDS	\$ 399,253,684	339,030,824	27,647,451	366,678,275	\$ -	\$ 32,575,409

(CONTINUED)

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2008 - OPERATING GROUP BY FUND - CONTINUED
FOURTEEN MONTHS ENDED AUGUST 31, 2008

	Appropriations (Net after Transfers)	Expenditures Through 06/30/08	Lapse Period Expenditures 7/01 - 8/31/08	Total Expenditures	Reappropriated Balances	Lapsed Balances
NON-APPROPRIATED FUNDS						
General Administration Group						
Interagency Grant Fund - 295	\$ 1,792	\$ -	\$ -	1,792		
Securities Audit & Enforcement Fund - 362	<u>1,896</u>	<u>409,519</u>		<u>411,415</u>		
Total General Administration Group	<u>3,688</u>	<u>409,519</u>		<u>413,207</u>		
Motor Vehicle Group						
SOS Federal Projects Fund - 176	94,146		-	94,146		
Interagency Grant Fund - 295	1,526,691	59,877		1,586,568		
Safety Responsibility Fund - 436	861,818	(15)		861,803		
Secretary of State International Registration Plan Fund - 890	<u>156,015,601</u>	<u>1,389,527</u>		<u>157,405,128</u>		
Total Motor Vehicle Group	<u>158,498,256</u>	<u>1,449,389</u>		<u>159,947,645</u>		
TOTAL NON-APPROPRIATED FUNDS	<u>158,501,944</u>	<u>1,858,908</u>		<u>160,360,852</u>		
GRAND TOTAL ALL FUNDS	<u>\$ 497,532,768</u>	<u>\$ 29,506,359</u>		<u>\$ 527,039,127</u>		

Note: The above information was taken directly from the records of the State Comptroller, which have been reconciled to those of the Secretary of State.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2009 - TOTAL BY FUND
FOURTEEN MONTHS ENDED AUGUST 31, 2009

	Appropriations (Net after Transfers)	Expenditures Through 06/30/09	Lapse Period		Total Expenditures	Reappropriated Balances	Lapsed Balances
			Expenditures 7/01 - 8/31/09	Expenditures			
<u>Public Acts 095-0734; 95-1001; 95-1030; 96-0004</u>							
APPROPRIATED FUNDS							
General Revenue Fund - 001	\$ 146,464,942	\$ 134,677,448	\$ 9,302,069	\$ 143,979,517	\$ -	\$ 2,485,425	
Road Fund - 011	130,500,000	124,720,842	5,731,703	130,452,545	-	47,455	
Motor Fuel Tax Fund - 012	1,200,000	1,200,000	-	1,200,000	-	-	
Live & Learn Fund - 026	20,474,000	15,620,504	4,538,615	20,159,119	-	314,881	
Lobbyist Registration Fund - 044	617,600	460,647	39,244	499,891	-	117,709	
Accessible Electronic Information Service Fund - 106	77,000	72,083	-	72,083	-	4,917	
CDLIS/AAMVAnet Trust Fund - 109	1,063,800	566,937	116,892	683,829	-	379,971	
Capital Development Fund - 141	1,000,000	-	-	-	1,000,000	-	
Registered Limited Liability Partnership Fund - 167	141,600	120,965	5,365	126,330	-	15,270	
SOS Federal Projects Fund - 176	500,000	12,404	-	12,404	-	487,596	
Secretary of State Special License Plate Fund - 185	7,674,300	4,890,742	130,773	5,021,515	-	2,652,785	
Securities Investor Education Fund - 292	1,333,500	784,382	50,563	834,945	-	498,555	
Motor Vehicle Review Board Fund - 323	574,300	315,447	10,583	326,030	-	248,270	
Securities Audit & Enforcement Fund - 362	10,568,500	4,961,970	154,835	5,116,805	-	5,451,695	
Department of Business Services Special Operations Fund - 363	5,681,000	4,483,010	278,373	4,761,383	-	919,617	
Secretary of State Evidence Fund - 374	10,000	7,407	-	7,407	-	2,593	
Corporate Franchise Tax Refund Fund - 380	353,017	353,016	-	353,016	-	1	
Alternative Fuels Fund - 422	225,000	163,808	61,158	224,966	-	34	
Indigent BAID Fund - 451	500,000	-	-	-	-	500,000	
MDDP Administration Fund - 453	2,000,000	1,556	1,847	3,403	-	1,996,597	
Boy Scout and Girl Scout Fund - 464	10,000	-	-	-	-	10,000	

(CONTINUED)

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2009 - TOTAL BY FUND - CONTINUED
FOURTEEN MONTHS ENDED AUGUST 31, 2009

	Appropriations (Net after Transfers)	Expenditures Through 06/30/09	Lapse Period Expenditures 7/01 - 8/31/09	Total Expenditures	Reappropriated Balances	Lapsed Balances
Agriculture in the Classroom Fund - 466	\$ 10,000	\$ 10,000	\$ -	\$ 10,000	\$ -	\$ -
Library Services Fund - 470	8,000,000	5,555,445	663,539	6,218,984	-	1,781,016
State Library Fund - 471	12,000	2,499	-	2,499	-	9,501
SOS Identity Security & Theft Prevention Fund - 480	12,400,000	1,996,731	587,302	2,584,033	-	9,815,967
Secretary of State Special Services Fund - 483	28,648,200	18,549,853	2,603,266	21,153,119	-	7,495,081
Franchise Tax Amnesty Admin Fund - 490	500,000	428,809	-	428,809	-	71,191
Master Mason Fund - 508	40,000	40,000	-	40,000	-	-
Illinois Pan Hellenic Trust Fund - 584	30,000	-	22,150	22,150	-	7,850
Park District Youth Program Fund - 585	15,000	15,000	-	15,000	-	-
Illinois Route 66 Heritage Project Fund - 594	145,000	145,000	-	145,000	-	-
Police Memorial Committee Fund - 598	80,000	80,000	-	80,000	-	-
Mammogram Fund - 599	100,000	100,000	-	100,000	-	-
Motor Vehicle License Plate Fund - 622	17,000,000	10,300,762	2,066,535	12,367,297	-	4,632,703
FY09 Budget Relief Fund - 678	11,300,000	8,268,869	3,031,131	11,300,000	-	-
Organ Donor Awareness Fund - 716	125,000	125,000	-	125,000	-	-
Secretary of State DUI Administration Fund - 732	2,500,000	1,467,843	88,664	1,556,507	-	943,493
Chicago and Northeast Illinois District Council Of Carpenters Fund - 756	500	-	-	-	-	500
Secretary of State Police DUI Fund - 758	60,000	12,900	-	12,900	-	47,100
Secretary of State Police Services Fund - 759	100,000	38,475	7,763	46,238	-	53,762
U.S. Marine Corps Scholarship Fund - 760	40,000	40,000	-	40,000	-	-
State Parking Facility Maintenance Fund - 782	40,000	-	-	-	-	40,000
Secretary of State Grant Fund - 948	700,000	295,913	2,299	298,212	-	401,788
Vehicle Inspection Fund - 963	3,770,500	3,449,492	110,313	3,559,805	-	210,695
TOTAL APPROPRIATED FUNDS	\$ 416,584,759	344,335,759	29,604,982	373,940,741	\$ 1,000,000	\$ 41,644,018

(CONTINUED)

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2009 - TOTAL BY FUND - CONTINUED
FOURTEEN MONTHS ENDED AUGUST 31, 2009

	Appropriations (Net after Transfers)	Expenditures Through 06/30/09	Lapse Period Expenditures 7/01 - 8/31/09	Total Expenditures	Reappropriated Balances	Lapsed Balances
NON-APPROPRIATED FUNDS						
Interagency Grant Fund - 295	\$ 1,275,491	\$ 58,359	\$ 1,333,850			
Securities Audit & Enforcement Fund - 362	230,130	188,183	418,313			
Safety Responsibility Fund - 436	795,296	(5)	795,291			
Secretary of State International Registration Plan Fund - 890	<u>152,511,651</u>	<u>1,809,011</u>	<u>154,320,662</u>			
TOTAL NON-APPROPRIATED FUNDS	<u>154,812,568</u>	<u>2,055,548</u>	<u>156,868,116</u>			
GRAND TOTAL	<u>\$ 499,148,327</u>	<u>\$ 31,660,530</u>	<u>\$ 530,808,857</u>			

Note: The above information was taken directly from the records of the State Comptroller, which have been reconciled to those of the Secretary of State.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2008 - TOTAL BY FUND
FOURTEEN MONTHS ENDED AUGUST 31, 2008

	Appropriations (Net after Transfers)	Expenditures Through 06/30/08	Lapse Period Expenditures 7/01 - 8/31/08	Total Expenditures	Balances	Lapsed Balances
APPROPRIATED FUNDS						
General Revenue Fund - 001	\$ 143,553,804	\$ 137,170,785	\$ 5,620,437	\$ 142,791,222	\$ -	\$ 762,582
Road Fund - 011	130,500,000	119,406,452	10,263,788	129,670,240	-	829,760
Motor Fuel Tax Fund - 012	1,200,000	1,200,000	-	1,200,000	-	-
Live & Learn Fund - 026	21,244,000	18,704,323	2,457,673	21,161,996	-	82,004
Lobbyist Registration Fund - 044	587,929	424,588	21,788	446,376	-	141,553
Accessible Electronic Information Service Fund - 106	40,000	40,000	-	40,000	-	-
CDLIS/AAMVAnet Trust Fund - 109	1,063,800	721,364	340,111	1,061,475	-	2,325
Capital Development Fund - 141	1,100,000	455,721	640,399	1,096,120	-	3,880
Registered Limited Liability Partnership Fund - 167	136,184	113,017	4,953	117,970	-	18,214
SOS Federal Projects Fund - 176	100,000	16,155	-	16,155	-	83,845
Secretary of State Special License Plate Fund - 185	7,658,300	5,189,025	730,667	5,919,692	-	1,738,608
Securities Investor Education Fund - 292	1,333,500	172,884	73,751	246,635	-	1,086,865
Motor Vehicle Review Board Fund - 323	558,189	415,869	33,988	449,857	-	108,332
Securities Audit & Enforcement Fund - 362	10,901,000	8,378,547	481,967	8,860,514	-	2,040,486
Department of Business Services Special Operations Fund - 363	5,431,700	4,308,791	213,023	4,521,814	-	909,886
Secretary of State Evidence Fund - 374	10,000	2,441	-	2,441	-	7,559
Corporate Franchise Tax Refund Fund - 380	351,915	351,915	-	351,915	-	-
Alternative Fuels Fund - 422	225,000	179,375	43,990	223,365	-	1,635
Library Services Fund - 470	8,000,000	5,502,837	1,104,483	6,607,320	-	1,392,680
State Library Fund - 471	12,000	8,843	2,877	11,720	-	280

P.A. 095-0348

(CONTINUED)

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2008 - TOTAL BY FUND - CONTINUED
FOURTEEN MONTHS ENDED AUGUST 31, 2008

	Appropriations (Net after Transfers)	Expenditures Through 06/30/08	Lapse Period Expenditures 7/01 - 8/31/08	Total Expenditures	Reappropriated Balances	Lapsed Balances
Secretary of State Identification Security & Theft Prevention Fund - 480	\$ 12,400,000	\$ -	\$ -	\$ -	\$ -	\$ 12,400,000
Secretary of State Special Services Fund - 483	28,648,200	21,432,758	2,700,143	24,132,901	-	4,515,299
Master Mason Fund - 508	40,000	40,000	-	40,000	-	-
Illinois Pan Hellenic Trust Fund - 584	30,000	26,396	-	26,396	-	3,604
Park District Youth Program Fund - 585	15,000	15,000	-	15,000	-	-
Illinois Route 66 Heritage Project Fund - 594	50,000	50,000	-	50,000	-	-
Police Memorial Committee Fund - 598	80,000	80,000	-	80,000	-	-
Mammogram Fund - 599	100,000	100,000	-	100,000	-	-
Motor Vehicle License Plate Fund - 622	17,000,000	9,602,598	2,332,177	11,934,775	-	5,065,225
Organ Donor Awareness Fund - 716	125,000	125,000	-	125,000	-	-
Secretary of State DUI Administration Fund - 732	2,090,000	1,244,704	73,499	1,318,203	-	771,797
Chicago and Northeast Illinois District Council Of Carpenters Fund - 756	500	-	-	-	-	500
Secretary of State Police DUI Fund - 758	60,000	5,650	45,701	51,351	-	8,649
Secretary of State Police Services Fund - 759	100,000	48,190	6,860	55,050	-	44,950
U.S. Marine Corps Scholarship Fund - 760	40,000	40,000	-	40,000	-	-
State Parking Facility Maintenance Fund - 782	40,000	6,760	33,240	40,000	-	-
Secretary of State Grant Fund - 948	700,000	19,600	200,000	219,600	-	480,400
Vehicle Inspection Fund - 963	3,727,663	3,431,237	221,935	3,653,172	-	74,491
TOTAL APPROPRIATED FUNDS	\$ 399,253,684	339,030,825	27,647,450	366,678,275	\$ -	\$ 32,575,409

(CONTINUED)

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2008 - TOTAL BY FUND - CONTINUED
FOURTEEN MONTHS ENDED AUGUST 31, 2008

	Appropriations (Net after Transfers)	Expenditures Through 06/30/08	Lapse Period Expenditures 7/01 - 8/31/08	Total Expenditures	Reappropriated Balances	Lapsed Balances
NON-APPROPRIATED FUNDS						
SOS Federal Projects Fund - 176	\$ 94,146	\$ -	\$ -	94,146		
Interagency Grant Fund - 295	1,528,483	59,877	1,588,360			
Securities Audit & Enforcement Fund - 362	1,896	409,519	411,415			
Safety Responsibility Fund - 436	861,818	(15)	861,803			
Secretary of State International Registration Plan Fund - 890	<u>156,015,601</u>	<u>1,389,527</u>	<u>157,405,128</u>			
TOTAL NON-APPROPRIATED FUNDS	<u>158,501,944</u>	<u>1,858,908</u>	<u>160,360,852</u>			
GRAND TOTAL	<u>\$ 497,532,769</u>	<u>\$ 29,506,358</u>	<u>\$ 527,039,127</u>			

Note: The above information was taken directly from the records of the State Comptroller, which have been reconciled to those of the Secretary of State.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES

	Fiscal Year		
	2009	2008	2007
	P.A. 95-0734	P.A. 95-0348	P.A. 94-0798
EXECUTIVE GROUP:			
General Revenue Fund - 001:			
Appropriations (Net After Transfers)	\$ 7,481,701	\$ 8,967,487	\$ 8,452,710
Expenditures:			
Personal Services:			
Regular Positions	5,242,231	5,306,890	4,898,485
Employee Retirement Contributions	54,642	1,320,879	1,598,863
Extra Help	46,866	44,613	22,781
State Retirement Fund Contributions	1,115,537	886,935	567,724
Social Security Contributions	384,966	387,812	354,777
Contractual Services	330,610	529,648	595,582
Travel	38,802	54,921	56,908
Printing	5,896	18,222	33,736
Commodities	25,750	28,263	8,962
Equipment	4,850	187,944	15,068
Telecommunications	109,891	119,962	93,461
	7,360,041	8,886,089	8,246,347
Lapsed Balances	\$ 121,660	\$ 81,398	\$ 206,363
Road Fund - 011:			
Appropriations (Net After Transfers)	\$ 1,845,400	\$ 1,927,000	\$ 2,273,300
Expenditures:			
Employee Retirement Contributions	1,824,899	1,860,407	2,240,219
Lapsed Balances	\$ 20,501	\$ 66,593	\$ 33,081
EXECUTIVE GROUP TOTALS:			
Appropriations (Net After Transfers)	\$ 9,327,101	\$ 10,894,487	\$ 10,726,010
Total Expenditures	9,184,940	10,746,496	10,486,566
Lapsed Balances	\$ 142,161	\$ 147,991	\$ 239,444

(CONTINUED)

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2009	2008	2007
	P.A. 95-0734	P.A. 95-0348	P.A. 94-0798
GENERAL ADMINISTRATIVE GROUP:			
General Revenue Fund - 001:			
Appropriations (Net After Transfers)	\$ 78,221,313	\$ 77,009,084	\$ 73,493,458
Expenditures:			
Personal Services:			
Regular Positions	48,212,797	48,036,641	48,192,333
Extra Help	1,035,340	1,257,001	894,041
State Retirement Fund Contributions	10,386,749	8,169,844	5,662,406
Social Security Contributions	3,700,191	3,601,603	3,685,629
Contractual Services	11,568,781	11,745,145	11,873,815
Travel	118,020	281,027	275,158
Commodities	1,183,193	1,010,276	975,889
Printing	198,131	421,721	660,543
Equipment	752,272	1,463,305	281,135
Telecommunications	305,558	343,203	388,582
Operation of Auto Equipment	306,254	449,117	388,051
Refund of Fees and Taxes	6,970	6,438	7,732
	<u>77,774,256</u>	<u>76,785,321</u>	<u>73,285,314</u>
Lapsed Balances	\$ <u>447,057</u>	\$ <u>223,763</u>	\$ <u>208,144</u>
 General Revenue Fund - 001:			
Establishment grants, annual per capita and area grants, and equalization grants pursuant to Section 8 of the Illinois Library System Act:			
Appropriations (Net After Transfers)	\$ 16,668,400	\$ 16,668,400	\$ 16,668,400
Expenditures	16,668,289	16,666,817	16,663,801
Lapsed Balances	\$ <u>111</u>	\$ <u>1,583</u>	\$ <u>4,599</u>
 Annual library technology:			
Appropriations (Net After Transfers)	\$ 644,900	\$ 644,900	\$ 644,900
Expenditures	644,900	639,939	644,900
Lapsed Balances	\$ <u>-</u>	\$ <u>4,961</u>	\$ <u>-</u>

(CONTINUED)

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2009	2008	2007
	<u>P.A. 95-0734</u>	<u>P.A. 95-0348</u>	<u>P.A. 94-0798</u>
Library services for the blind and physically handicapped:			
Appropriations (Net After Transfers)	\$ 2,427,200	\$ 2,427,200	\$ 2,427,200
Expenditures	<u>2,421,467</u>	<u>2,425,134</u>	<u>2,408,954</u>
Lapsed Balances	<u>\$ 5,733</u>	<u>\$ 2,066</u>	<u>\$ 18,246</u>
Tuition and fees for Illinois Regional Archival Depository system interns:			
Appropriations (Net After Transfers)	\$ 45,000	\$ 45,000	\$ 45,000
Expenditures	<u>44,832</u>	<u>44,886</u>	<u>36,800</u>
Lapsed Balances	<u>\$ 168</u>	<u>\$ 114</u>	<u>\$ 8,200</u>
Literacy:			
Appropriations (Net After Transfers)	\$ 4,650,000	\$ 4,650,000	\$ 4,650,000
Expenditures	<u>4,649,981</u>	<u>4,649,235</u>	<u>4,648,848</u>
Lapsed Balances	<u>\$ 19</u>	<u>\$ 765</u>	<u>\$ 1,152</u>
Annual per capita - school district:			
Appropriations (Net After Transfers)	\$ 375,000	\$ 375,000	\$ 375,000
Expenditures	<u>374,928</u>	<u>374,999</u>	<u>374,985</u>
Lapsed Balances	<u>\$ 72</u>	<u>\$ 1</u>	<u>\$ 15</u>
Miscellaneous permanent improvements - buildings:			
Appropriations (Net After Transfers)	\$ -	\$ 425,000	\$ 450,000
Expenditures	<u>-</u>	<u>424,802</u>	<u>448,650</u>
Lapsed Balances	<u>\$ -</u>	<u>\$ 198</u>	<u>\$ 1,350</u>
Chicago Public Library:			
Appropriations (Net After Transfers)	\$ 1,700,000	\$ 1,700,000	\$ 1,700,000
Expenditures	<u>1,700,000</u>	<u>1,700,000</u>	<u>1,700,000</u>
Lapsed Balances	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>

(CONTINUED)

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2009	2008	2007
	<u>P.A. 95-0734</u>	<u>P.A. 95-0348</u>	<u>P.A. 94-0798</u>
Severns Summer Family Literacy:			
Appropriations (Net After Transfers)	\$ 250,000	\$ 250,000	\$ 250,000
Expenditures	245,199	247,323	248,653
Lapsed Balances	<u>\$ 4,801</u>	<u>\$ 2,677</u>	<u>\$ 1,347</u>
Project Next Generation Program:			
Appropriations (Net After Transfers)	\$ 325,000	\$ 325,000	\$ 325,000
Expenditures	325,000	325,000	325,000
Lapsed Balances	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
Constitutional Convention:			
Appropriations (Net After Transfers)	\$ 4,000,000	\$ -	\$ -
Expenditures	2,365,416	-	-
Lapsed Balances	<u>\$ 1,634,584</u>	<u>\$ -</u>	<u>\$ -</u>
General Revenue Fund - 001 Totals:			
Appropriations (Net After Transfers)	\$ 109,306,813	\$ 104,519,584	\$ 101,028,958
Expenditures	107,214,268	104,283,456	100,785,905
Lapsed Balances	<u>\$ 2,092,545</u>	<u>\$ 236,128</u>	<u>\$ 243,053</u>
Road Fund - 011:			
Appropriations (Net After Transfers)	\$ 3,074,200	\$ 3,084,200	\$ 3,422,475
Expenditures:			
Contractual Services	800,000	1,101,680	1,148,275
Refund of Fees and Taxes	2,273,008	1,972,748	2,214,897
Total Expenditures	<u>3,073,008</u>	<u>3,074,428</u>	<u>3,363,172</u>
Lapsed Balances	<u>\$ 1,192</u>	<u>\$ 9,772</u>	<u>\$ 59,303</u>
Motor Fuel Tax Fund - 012:			
Appropriations (Net After Transfers)	\$ 1,200,000	\$ 1,200,000	\$ 1,000,000
Expenditures:			
Contractual Services	1,200,000	1,200,000	1,000,000
Lapsed Balances	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>

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STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2009	2008	2007
	P.A. 95-0734	P.A. 95-0348	P.A. 94-0798
Lobbyist Registration Administration Fund - 044:			
Appropriations (Net After Transfers)	\$ 617,600	\$ 587,929	\$ 500,000
Expenditures:			
Personal Services:			
Regular Positions	262,489	265,976	252,766
Employee Retirement Contributions	5,255	5,330	6,363
Group Insurance	58,403	60,170	61,218
State Retirement Fund Contributions	55,311	44,140	29,132
Social Security Contributions	22,058	20,668	20,224
Contractual Services	61,269	35,437	80,330
Travel	4,057	2,931	3,049
Commodities	3,455	1,925	1,944
Printing	11,825	1,897	226
Equipment	-	3,601	4,129
Telecommunications	15,768	4,301	3,619
	499,890	446,376	463,000
Lapsed Balances	\$ 117,710	\$ 141,553	\$ 37,000
Registered Limited Liability			
Partnership Fund - 167:			
Appropriations (Net After Transfers)	\$ 141,600	\$ 136,184	\$ 122,300
Expenditures:			
Personal Services:			
Regular Positions	76,889	72,932	74,713
Employee Retirement Contributions	1,538	1,459	1,865
Group Insurance	24,159	24,437	22,217
State Retirement Fund Contributions	16,185	12,078	8,616
Social Security Contributions	5,676	5,382	5,545
Contractual Services	461	231	27
Commodities	900	874	613
Telecommunications	523	577	62
	126,331	117,970	113,658
Lapsed Balances	\$ 15,269	\$ 18,214	\$ 8,642

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STATE OF ILLINOIS
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COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2009	2008	2007
	<u>P.A. 95-0734</u>	<u>P.A. 95-0348</u>	<u>P.A. 94-0798</u>
Securities Audit and Enforcement Fund - 362:			
Appropriations (Net After Transfers)	\$ 10,568,500	\$ 10,901,000	\$ 8,339,900
Expenditures:			
Personal Services:			
Regular Positions	\$ 2,353,353	\$ 4,786,921	\$ 3,652,805
Employee Retirement Contributions	47,822	97,847	91,398
Extra Help	12,008	24,884	16,052
Group Insurance	543,021	1,124,661	809,338
State Retirement Fund Contributions	498,282	797,347	423,113
Social Security Contributions	172,533	342,478	265,160
Contractual Services	1,179,670	1,229,490	1,255,004
Travel	9,915	33,797	19,420
Commodities	10,039	11,971	14,860
Printing	7,500	-	6,720
Equipment	104,818	163,354	70,479
Telecommunications	67,193	97,817	96,196
Operation of Auto Equipment	110,651	149,947	99,932
	<u>5,116,805</u>	<u>8,860,514</u>	<u>6,820,477</u>
Lapsed Balances	\$ <u>5,451,695</u>	\$ <u>2,040,486</u>	\$ <u>1,519,423</u>
Department of Business Services			
Special Operations Fund - 363:			
Appropriations (Net After Transfers)	\$ 5,681,000	\$ 5,431,700	\$ 3,920,300
Expenditures:			
Personal Services:			
Regular Positions	2,077,863	2,054,693	1,864,313
Employee Retirement Contributions	41,411	41,895	46,575
Extra Help	19,415	78,849	78,814
Group Insurance	516,572	550,325	507,889
State Retirement Fund Contributions	447,567	353,632	223,997
Social Security Contributions	155,453	158,141	143,810
Contractual Services	1,335,560	1,066,501	625,453
Travel	4,022	7,967	9,336
Commodities	21,180	28,090	19,176
Printing	27,544	33,171	24,908
Equipment	635	1,856	47,341

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STATE OF ILLINOIS
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COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2009	2008	2007
	P.A. 95-0734	P.A. 95-0348	P.A. 94-0798
Telecommunications	\$ 51,642	\$ 72,543	\$ 84,826
Operation of Auto Equipment	62,518	74,150	74,712
Total Expenditures	4,761,382	4,521,813	3,751,150
Lapsed Balances	\$ 919,618	\$ 909,887	\$ 169,150
Corporate Franchise Tax Refund Fund - 380:			
Appropriations (Net After Transfers)	\$ 353,017	\$ 351,915	\$ 537,750
Expenditures	353,016	351,915	537,750
Lapsed Balances	\$ 1	\$ -	\$ -
Secretary of State Special Services Fund - 483:			
Appropriations (Net After Transfers)	\$ 28,648,200	\$ 28,648,200	\$ 26,275,800
Expenditures:			
Electronic Data Processing	8,386,774	8,575,415	8,348,345
Office Automation & Technology	9,671,107	12,882,323	14,134,493
Promote & Improve Interlibrary Cooperation	221,495	225,876	222,950
Library Technology	1,574,047	1,149,288	1,599,888
Support & Expansion of Family Literacy	1,299,696	1,300,000	1,300,000
Total Expenditures	21,153,119	24,132,902	25,605,676
Lapsed Balances	\$ 7,495,081	\$ 4,515,298	\$ 670,124
FY09 Budget Relief Fund - 678:			
Appropriations (Net After Transfers)	\$ 11,300,000	\$ -	\$ -
Expenditures	11,300,000	-	-
Lapsed Balances	\$ -	\$ -	\$ -
Live and Learn Fund - 026:			
Annual per capita grants to school:			
Appropriations (Net After Transfers)	\$ 1,025,000	\$ 1,025,000	\$ 1,025,000
Expenditures	1,024,565	1,024,786	1,024,210
Lapsed Balances	\$ 435	\$ 214	\$ 790
Local library per capita:			
Appropriations (Net After Transfers)	\$ 16,004,200	\$ 16,004,200	\$ 16,004,200
Expenditures	16,003,545	16,003,562	16,004,162
Lapsed Balances	\$ 655	\$ 638	\$ 38

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STATE OF ILLINOIS
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COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2009	2008	2007
	<u>P.A. 95-0734</u>	<u>P.A. 95-0348</u>	<u>P.A. 94-0798</u>
System automation grants:			
Appropriations (Net After Transfers)	\$ 274,000	\$ 274,000	\$ 274,000
Expenditures	268,746	274,000	268,817
Lapsed Balances	<u>\$ 5,254</u>	<u>\$ -</u>	<u>\$ 5,183</u>
Research and reference:			
Appropriations (Net After Transfers)	\$ -	\$ 700,000	\$ 700,000
Expenditures	-	684,761	700,000
Lapsed Balances	<u>\$ -</u>	<u>\$ 15,239</u>	<u>\$ -</u>
Chicago Public Library, South:			
Appropriations (Net After Transfers)	\$ -	\$ 10,000	\$ 10,000
Expenditures	-	10,000	-
Lapsed Balances	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 10,000</u>
Chicago Public Library, Black:			
Appropriations (Net After Transfers)	\$ -	\$ 10,000	\$ 10,000
Expenditures	-	10,000	-
Lapsed Balances	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 10,000</u>
Chicago Public Library, Brainerd:			
Appropriations (Net After Transfers)	\$ -	\$ 50,000	\$ 50,000
Expenditures	-	50,000	-
Lapsed Balances	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 50,000</u>
Blind and physically handicapped:			
Appropriations (Net After Transfers)	\$ 300,000	\$ 300,000	\$ 300,000
Expenditures	299,702	300,000	295,342
Lapsed Balances	<u>\$ 298</u>	<u>\$ -</u>	<u>\$ 4,658</u>
Construction:			
Appropriations (Net After Transfers)	\$ 620,800	\$ 620,800	\$ 620,800
Expenditures	620,800	620,800	620,800
Lapsed Balances	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>

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STATE OF ILLINOIS
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COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2009	2008	2007
	<u>P.A. 95-0734</u>	<u>P.A. 95-0348</u>	<u>P.A. 94-0798</u>
Family literacy:			
Appropriations (Net After Transfers)	\$ 500,000	\$ 500,000	\$ 500,000
Expenditures	499,222	500,000	500,000
Lapsed Balances	<u>\$ 778</u>	<u>\$ -</u>	<u>\$ -</u>
Organ donor:			
Appropriations (Net After Transfers)	\$ 1,750,000	\$ 1,750,000	\$ 1,750,000
Expenditures	1,442,539	1,684,087	1,525,884
Lapsed Balances	<u>\$ 307,461</u>	<u>\$ 65,913</u>	<u>\$ 224,116</u>
Live and Learn Fund - 026 Totals:			
Appropriations (Net After Transfers)	\$ 20,474,000	\$ 21,244,000	\$ 21,244,000
Expenditures	20,159,119	21,161,996	20,939,215
Lapsed Balances	<u>\$ 314,881</u>	<u>\$ 82,004</u>	<u>\$ 304,785</u>
Accessible Electronic Information			
Service Fund - 106:			
Appropriations (Net After Transfers)	\$ 77,000	\$ 40,000	\$ 40,000
Expenditures	72,083	40,000	40,000
Lapsed Balances	<u>\$ 4,917</u>	<u>\$ -</u>	<u>\$ -</u>
Capital Development Fund - 141:			
Rehabilitation of Various Facilities:			
Appropriations (Net After Transfers)	\$ 1,000,000	\$ 1,000,000	\$ 1,000,000
Expenditures	-	996,120	981,803
Reappropriations	1,000,000	-	-
Lapsed Balances	<u>\$ -</u>	<u>\$ 3,880</u>	<u>\$ 18,197</u>
Chicago Public Library - Grand Crossing:			
Appropriations (Net After Transfers)	\$ -	\$ 100,000	\$ 100,000
Expenditures	-	100,000	-
Reappropriations	-	-	100,000
Lapsed Balances	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>

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STATE OF ILLINOIS
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COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2009	2008	2007
	<u>P.A. 95-0734</u>	<u>P.A. 95-0348</u>	<u>P.A. 94-0798</u>
Capital Development Fund - 141 Totals:			
Appropriations (Net After Transfers)	\$ 1,000,000	\$ 1,100,000	\$ 1,100,000
Expenditures	-	1,096,120	981,803
Reappropriations	1,000,000	-	100,000
Lapsed Balances	<u>\$ -</u>	<u>\$ 3,880</u>	<u>\$ 18,197</u>
Secretary of State Special			
License Plate Fund - 185:			
Illinois Veterans Home Libraries:			
Appropriations (Net After Transfers)	\$ 50,000	\$ 50,000	\$ 50,000
Expenditures	50,000	50,000	50,000
Lapsed Balances	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
Securities Investors' Education Fund - 292:			
Appropriations (Net After Transfers)	\$ 1,333,500	\$ 1,333,500	\$ 333,500
Expenditures	834,945	246,635	211,012
Lapsed Balances	<u>\$ 498,555</u>	<u>\$ 1,086,865</u>	<u>\$ 122,488</u>
Boy Scouts and Girl Scouts - 464			
Appropriations (Net After Transfers)	\$ 10,000	-	-
Expenditures	-	-	-
Lapsed Balances	<u>\$ 10,000</u>	<u>\$ -</u>	<u>\$ -</u>
Agriculture In The Classroom - 466			
Appropriations (Net After Transfers)	\$ 10,000	-	-
Expenditures	10,000	-	-
Lapsed Balances	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
Library Services Fund - 470:			
Secretary of State for library services pursuant to the Federal Library Services and Construction Act, P.L. 84-597, as amended, Title I:			
Appropriations (Net After Transfers)	\$ 1,000,000	\$ 1,000,000	\$ 1,000,000
Expenditures	-	-	-
Lapsed Balances	<u>\$ 1,000,000</u>	<u>\$ 1,000,000</u>	<u>\$ 1,000,000</u>

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STATE OF ILLINOIS
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COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2009	2008	2007
	<u>P.A. 95-0734</u>	<u>P.A. 95-0348</u>	<u>P.A. 94-0798</u>
Secretary of State for library services pursuant to the Federal Library Services and Construction Act, P.L. 84-594 and P.L. 104-208, as amended, Title IA-0000:			
Appropriations (Net After Transfers)	\$ 7,000,000	\$ 7,000,000	\$ 7,454,500
Expenditures	6,218,984	6,607,320	5,819,314
Lapsed Balances	<u>\$ 781,016</u>	<u>\$ 392,680</u>	<u>\$ 1,635,186</u>
Library Services Fund - 470 Totals:			
Appropriations (Net After Transfers)	\$ 8,000,000	\$ 8,000,000	\$ 8,454,500
Expenditures	6,218,984	6,607,320	5,819,314
Lapsed Balances	<u>\$ 1,781,016</u>	<u>\$ 1,392,680</u>	<u>\$ 2,635,186</u>
State Library Fund - 471:			
Appropriations (Net After Transfers)	\$ 12,000	\$ 12,000	\$ 12,000
Expenditures	2,499	11,720	11,927
Lapsed Balances	<u>\$ 9,501</u>	<u>\$ 280</u>	<u>\$ 73</u>
Franchise Tax & License Fee Fund - 490:			
Appropriations (Net After Transfers)	\$ 500,000	\$ -	\$ -
Expenditures	428,809	-	-
Lapsed Balances	<u>\$ 71,191</u>	<u>\$ -</u>	<u>\$ -</u>
Master Mason Fund - 508:			
Masonic Foundation Grants:			
Appropriations (Net After Transfers)	\$ 40,000	\$ 40,000	\$ 50,000
Expenditures	40,000	40,000	50,000
Lapsed Balances	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
Illinois Pan Hellenic Trust Fund - 584:			
Appropriations (Net After Transfers)	\$ 30,000	\$ 30,000	\$ 10,000
Expenditures	22,150	26,396	10,000
Lapsed Balances	<u>\$ 7,850</u>	<u>\$ 3,604</u>	<u>\$ -</u>

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COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2009	2008	2007
	P.A. 95-0734	P.A. 95-0348	P.A. 94-0798
Park District Youth Program Fund - 585:			
Appropriations (Net After Transfers)	\$ 15,000	\$ 15,000	\$ 15,000
Expenditures	15,000	15,000	15,000
Lapsed Balances	\$ -	\$ -	\$ -
Illinois Route 66 Heritage Project Fund - 594:			
Appropriations (Net After Transfers)	\$ 145,000	\$ 50,000	\$ 30,000
Expenditures	145,000	50,000	30,000
Lapsed Balances	\$ -	\$ -	\$ -
Police Memorial Committee Fund - 598:			
Appropriations (Net After Transfers)	\$ 80,000	\$ 80,000	\$ 75,000
Expenditures	80,000	80,000	75,000
Lapsed Balances	\$ -	\$ -	\$ -
Mammogram Fund - 599:			
Susan G. Komen Foundation:			
Appropriations (Net After Transfers)	\$ 100,000	\$ 100,000	\$ 110,000
Expenditures	100,000	100,000	110,000
Lapsed Balances	\$ -	\$ -	\$ -
Organ Donor Awareness Fund - 716:			
Appropriations (Net After Transfers)	\$ 125,000	\$ 125,000	\$ 125,000
Expenditures	125,000	125,000	125,000
Lapsed Balances	\$ -	\$ -	\$ -
Chicago and Northeastern Illinois District Council Fund - 756:			
Appropriations (Net After Transfers)	\$ 500	\$ 500	\$ 500
Expenditures	-	-	-
Lapsed Balances	\$ 500	\$ 500	\$ 500
U.S. Marine Corps Scholarship Fund - 760:			
Appropriations (Net After Transfers)	\$ 40,000	\$ 40,000	\$ 30,000
Expenditures	40,000	40,000	30,000
Lapsed Balances	\$ -	\$ -	\$ -

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STATE OF ILLINOIS
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COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2009	2008	2007
	P.A. 95-0734	P.A. 95-0348	P.A. 94-0798
State Park Facility Maintenance Fund - 782:			
Appropriations (Net After Transfers)	\$ 40,000	\$ 40,000	\$ 40,000
Expenditures	-	40,000	-
Lapsed Balances	\$ 40,000	\$ -	\$ 40,000
Secretary of State Grant Fund - 948:			
Appropriations (Net After Transfers)	\$ 700,000	\$ 700,000	\$ 700,000
Expenditures	298,212	219,600	182,877
Lapsed Balances	\$ 401,788	\$ 480,400	\$ 517,123
GENERAL ADMINISTRATIVE			
GROUP TOTALS:			
Appropriations (Net After Transfers)	\$ 203,672,930	\$ 187,860,712	\$ 177,566,983
Expenditures	183,439,620	176,939,161	171,121,936
Reappropriations	1,000,000	-	100,000
Lapsed Balances	\$ 19,233,310	\$ 10,921,551	\$ 6,345,047
MOTOR VEHICLE GROUP:			
General Revenue Fund - 001:			
Appropriations (Net After Transfers)	\$ 29,676,428	\$ 30,066,733	\$ 21,883,532
Expenditures:			
Personal Services:			
Regular Positions	12,687,660	12,662,695	12,237,059
Extra Help	43,964	119,856	34,000
State Retirement Fund Contributions	6,913,989	7,467,319	1,414,580
Social Security Contributions	565,317	912,245	901,175
Contractual Services	4,129,850	3,108,216	2,816,062
Travel	171,086	108,948	37,172
Commodities	133,475	110,507	49,268
Printing	450,624	412,777	598,913
Equipment	264,570	236,468	65,407
Telecommunications	1,100,335	419,108	53,269
Operation of Auto Equipment	473,927	572,330	19,097
Security Measures Capitol	2,470,412	3,491,208	3,287,236
Total Expenditures	29,405,209	29,621,677	21,513,238
Lapsed Balances	\$ 271,219	\$ 445,056	\$ 370,294

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COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2009	2008	2007
	P.A. 95-0734	P.A. 95-0348	P.A. 94-0798
Road Fund - 011:			
Appropriations (Net After Transfers)	\$ 125,580,400	\$ 125,488,800	\$ 122,992,925
Expenditures:			
Personal Services:			
Regular Positions	86,652,497	88,869,055	84,427,347
Extra Help	6,808,393	5,458,524	6,059,314
State Retirement Fund Contributions	15,449,247	10,290,397	10,436,272
Social Security Contributions	6,825,655	6,709,592	6,297,621
Contractual Services	9,015,637	9,695,313	10,697,007
Travel	2,468	314,896	423,156
Commodities	575,136	173,177	265,987
Printing	103,288	824,424	861,441
Equipment	102,845	1,664,597	1,387,967
Telecommunications	19,472	735,430	1,411,234
Operation of Auto Equipment	-	-	513,793
	125,554,638	124,735,405	122,781,139
Lapsed Balances	\$ 25,762	\$ 753,395	\$ 211,786
	125,580,400	125,488,800	122,992,925
CDLIS/AAMVA Net Trust Fund - 109:			
Appropriations (Net After Transfers)	\$ 1,063,800	\$ 1,063,800	\$ 1,063,800
Expenditures:			
Contractual Services	683,828	839,434	620,000
Equipment	-	222,040	441,027
	683,828	1,061,474	1,061,027
Lapsed Balances	\$ 379,972	\$ 2,326	\$ 2,773
	1,063,800	1,063,800	1,063,800
Secretary of State Federal Projects Fund - 176:			
Appropriations (Net After Transfers)	\$ 500,000	\$ 100,000	\$ 546,000
Expenditures	12,404	16,155	44,595
Lapsed Balances	\$ 487,596	\$ 83,845	\$ 501,405
	500,000	100,000	546,000

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COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2009	2008	2007
	P.A. 95-0734	P.A. 95-0348	P.A. 94-0798
Secretary of State Special			
License Plate Fund - 185:			
Appropriations (Net After Transfers)	\$ 7,624,300	\$ 7,608,300	\$ 6,608,300
Expenditures:			
Personal Services			
Regular Positions	559,446	568,103	468,988
Employee Retirement Contributions	11,180	11,327	11,548
Group Insurance	192,978	201,277	160,749
State Retirement Fund Contributions	117,768	94,087	54,054
Social Security Contributions	41,094	41,845	34,634
Contractual Services	606,796	650,616	698,154
Travel	7,193	4,066	2,507
Commodities	2,000,000	1,451,812	2,500,000
Printing	1,228,061	2,496,960	2,074,212
Equipment	-	87,216	55,573
Telecommunications	207,000	262,382	303,442
	<u>4,971,516</u>	<u>5,869,691</u>	<u>6,363,861</u>
Total Expenditures			
Lapsed Balances	\$ <u>2,652,784</u>	\$ <u>1,738,609</u>	\$ <u>244,439</u>
Motor Vehicle Review Board Fund - 323:			
Appropriations (Net After Transfers)	\$ 574,300	\$ 558,189	\$ 537,700
Expenditures:			
Personal Services:			
Regular Positions	186,995	278,607	272,037
Employee Retirement Contributions	3,555	5,578	6,801
Group Insurance	16,106	24,437	22,509
State Retirement Fund Contributions	59,193	46,201	31,386
Social Security Contributions	14,152	21,088	20,560
Contractual Services	41,042	67,637	75,993
Commodities	800	728	-
Printing	3,698	4,144	-
Telecommunications	489	1,436	478
	<u>326,030</u>	<u>449,856</u>	<u>429,764</u>
Total Expenditures			
Lapsed Balances	\$ <u>248,270</u>	\$ <u>108,333</u>	\$ <u>107,936</u>

(CONTINUED)

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2009	2008	2007
	<u>P.A. 95-0734</u>	<u>P.A. 95-0348</u>	<u>P.A. 94-0798</u>
Vehicle Inspection Fund - 963:			
Appropriations (Net After Transfers)	\$ 3,770,500	\$ 3,727,663	\$ 2,897,100
Expenditures:			
Personal Services:			
Regular Positions	1,341,329	1,482,472	1,301,405
Employee Retirement Contributions	26,931	28,533	32,256
Extra Help	46,674	22,304	14,813
Group Insurance	450,581	465,735	397,855
State Retirement Fund Contributions	292,327	249,303	151,721
Social Security Contributions	117,544	124,019	102,726
Contractual Services	1,050,506	1,065,779	679,720
Travel	2,353	2,429	-
Commodities	19,500	20,000	25,633
Printing	50,000	50,000	41,160
Equipment	141,359	117,171	598
Telecommunications	20,700	25,428	1,107
Total Expenditures	<u>3,559,804</u>	<u>3,653,173</u>	<u>2,748,994</u>
Lapsed Balances	<u>\$ 210,696</u>	<u>\$ 74,490</u>	<u>\$ 148,106</u>
Secretary of State Evidence Fund - 374:			
Appropriations (Net After Transfers)	\$ 10,000	\$ 10,000	\$ 50,000
Expenditures	<u>7,407</u>	<u>2,441</u>	<u>6,864</u>
Lapsed Balances	<u>\$ 2,593</u>	<u>\$ 7,559</u>	<u>\$ 43,136</u>
Alternate Fuels Fund - 422:			
Administering Alternate Fuels Act:			
Appropriations (Net After Transfers)	\$ 225,000	\$ 225,000	\$ 225,000
Expenditures	<u>224,966</u>	<u>223,367</u>	<u>221,625</u>
Lapsed Balances	<u>\$ 34</u>	<u>\$ 1,633</u>	<u>\$ 3,375</u>
Indigent BAIID Fund- 451:			
Appropriations (Net After Transfers)	\$ 500,000	\$ -	\$ -
Expenditures	<u>-</u>	<u>-</u>	<u>-</u>
Lapsed Balances	<u>\$ 500,000</u>	<u>\$ -</u>	<u>\$ -</u>

(CONTINUED)

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2009	2008	2007
	P.A. 95-0734	P.A. 95-0348	P.A. 94-0798
Monitoring Devise Driving Permit Fund - 453:			
Appropriations (Net After Transfers)	\$ 2,000,000	\$ -	\$ -
Expenditures	3,404	-	-
Lapsed Balances	<u>\$ 1,996,596</u>	<u>\$ -</u>	<u>\$ -</u>
Security & Theft Prevention Fund - 480:			
Appropriations (Net After Transfers)	\$ 12,400,000	\$ 12,400,000	\$ -
Expenditures	2,584,033	-	-
Lapsed Balances	<u>\$ 9,815,967</u>	<u>\$ 12,400,000</u>	<u>\$ -</u>
Motor Vehicle License Plate Fund - 622:			
New or replacement license plates:			
Appropriations (Net After Transfers)	\$ 17,000,000	\$ 17,000,000	\$ 13,875,000
Expenditures	12,367,297	11,934,775	11,738,852
Lapsed Balances	<u>\$ 4,632,703</u>	<u>\$ 5,065,225</u>	<u>\$ 2,136,148</u>
Secretary of State DUI			
Administration Fund - 732:			
Administrative hearings:			
Appropriations (Net After Transfers)	\$ 2,500,000	\$ 2,090,000	\$ 2,090,000
Expenditures	1,556,507	1,318,203	1,426,976
Lapsed Balances	<u>\$ 943,493</u>	<u>\$ 771,797</u>	<u>\$ 663,024</u>
Secretary of State Police DUI Fund - 758:			
Appropriations (Net After Transfers)	\$ 60,000	\$ 60,000	\$ 50,000
Expenditures	12,900	51,351	2,703
Lapsed Balances	<u>\$ 47,100</u>	<u>\$ 8,649</u>	<u>\$ 47,297</u>
Secretary of State Police Services Fund - 759:			
Appropriations (Net After Transfers)	\$ 100,000	\$ 100,000	\$ 70,000
Expenditures	46,238	55,050	68,641
Lapsed Balances	<u>\$ 53,762</u>	<u>\$ 44,950</u>	<u>\$ 1,359</u>
MOTOR VEHICLE GROUP TOTALS:			
Appropriations (Net After Transfers)	\$ 203,584,728	\$ 200,498,485	\$ 172,889,357
Expenditures	181,316,181	178,992,618	168,408,279
Lapsed Balances	<u>\$ 22,268,547</u>	<u>\$ 21,505,867</u>	<u>\$ 4,481,078</u>

(CONTINUED)

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2009	2008	2007
	<u>P.A. 95-0734</u>	<u>P.A. 95-0348</u>	<u>P.A. 94-0798</u>
GRAND TOTALS - ALL GROUPS:			
Appropriations (Net After Transfers)	\$ 416,584,759	\$ 399,253,684	\$ 361,182,350
Expenditures	373,940,741	366,678,275	350,016,781
Reappropriations	<u>1,000,000</u>	<u>-</u>	<u>100,000</u>
Lapsed balances	<u>\$ 41,644,018</u>	<u>\$ 32,575,409</u>	<u>\$ 11,065,569</u>

Note: The comparative schedule of net appropriations, expenditures and lapsed balanced does not include the Secretary of State's salary. The Secretary of State's salary is paid by the Comptroller's Office and, for the fiscal year 2009 totaled \$156,541 and for fiscal year 2008 totaled \$150,810. The Secretary of State's salary paid by the Comptroller's Office during fiscal year 2007 totaled \$139,337.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF EXPENDITURES BY MAJOR OBJECT CODE

	Year ended June 30,			Difference		Difference	
	2009	2008	2007	2009 - 2008	Percent	2008 - 2007	Percent
	\$	\$	\$	\$	%	\$	%
Operations:							
Personal services:							
Regular positions	159,653,550	164,384,986	157,642,251	(4,731,436)	(2.88)	6,742,735	4.28
Extra help	8,012,662	7,006,031	7,119,815	1,006,631	14.37	(113,784)	(1.60)
Employee retirement contributions	2,017,233	3,373,255	4,035,888	(1,356,022)	(40.20)	(662,633)	(16.42)
Group insurance	1,801,820	2,451,043	1,981,774	(649,223)	(26.49)	469,269	23.68
State retirement fund contributions	35,352,153	28,411,282	19,003,001	6,940,871	24.43	9,408,281	49.51
Social security contributions	12,004,637	12,324,873	11,831,861	(320,236)	(2.60)	493,012	4.17
Contractual services	32,004,011	32,335,126	32,165,422	(331,115)	(1.02)	169,704	0.53
Travel	357,916	810,984	826,705	(453,068)	(55.87)	(15,721)	(1.90)
Printing	2,086,566	4,263,316	4,277,084	(2,176,750)	(51.06)	(13,768)	(0.32)
Commodities	3,973,427	2,837,623	3,887,107	1,135,804	40.03	(1,049,484)	(27.00)
Equipment	1,371,349	4,147,552	2,368,726	(2,776,203)	(66.94)	1,778,826	75.10
Electronic data processing	8,386,774	8,575,415	8,348,345	(188,641)	(2.20)	227,070	2.72
Telecommunications	1,898,573	2,082,188	2,436,276	(183,615)	(8.82)	(354,088)	(14.53)
Operation of automotive equipment	953,350	1,245,544	1,095,586	(292,194)	(23.46)	149,958	13.69
Lump sums:							
FY09 Budget Relief Fund	11,300,000	-	-	11,300,000	N/A	-	-
Interagency grant fund - non appropriated	1,326,500	1,588,360	1,820,388	(261,860)	(16.49)	(232,028)	(12.75)
Secretary of State grant fund	298,212	219,600	182,877	78,612	35.80	36,723	20.08
Securities investors' education fund	834,945	246,635	211,012	588,310	238.53	35,623	16.88
Alternate fuels fund	224,966	223,365	221,625	1,601	0.72	1,740	0.79
Secretary of State evidence fund	7,407	2,441	6,864	4,966	203.44	(4,423)	(64.44)
Motor vehicle license plate fund	12,367,297	11,934,775	11,738,852	432,522	3.62	195,923	1.67
Secretary of State DUI administration fund	1,556,507	1,318,203	1,426,976	238,304	18.08	(108,773)	(7.62)
Secretary of State police DUI fund	12,900	51,351	2,703	(38,451)	(74.88)	48,648	1,799.78
Secretary of State police services fund	46,238	55,050	68,641	(8,812)	(16.01)	(13,591)	(19.80)
Special services fund - office automation	9,671,107	12,882,323	14,134,493	(3,211,216)	(24.93)	(1,252,170)	(8.86)
Monitoring device driving permit administration fund	3,404	-	-	3,404	N/A	-	-
Secretary of State identification and theft prev. fund	2,584,033	-	-	2,584,033	N/A	-	-
Secretary of State federal projects fund	12,404	110,301	85,939	(97,897)	(88.75)	24,362	28.35
Constitutional convention	2,365,416	-	-	2,365,416	N/A	-	-
Franchise tax and license fee amnesty admin. fund	428,809	-	-	428,809	N/A	-	-
State library fund	2,499	11,720	11,927	(9,221)	(78.68)	(207)	(1.74)
	312,916,665	302,893,342	286,932,138	10,023,323	3.31	15,961,204	5.56

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF EXPENDITURES BY MAJOR OBJECT CODE

	Year ended June 30,			Difference		Difference	
	2009	2008	2007	2009 - 2008	2008 - 2007		
						2008 - 2007	Percent
Awards and Grants:							
Live and learn fund - library grants	\$ 20,159,119	\$ 21,161,996	\$ 20,939,216	\$ (1,002,877)	\$ 222,780	1.06	%
Library systems equalization grants	16,668,289	16,666,817	16,663,801	1,472	3,016	0.02	%
Chicago Public Library	1,700,000	1,700,000	1,700,000	-	-	-	%
Annual library technology	1,574,047	1,149,288	1,599,888	424,759	(450,600)	(28.16)	% 20
Support and expand literacy	1,299,696	1,300,000	1,300,000	(304)	-	-	%
Library services and construction	6,218,984	6,607,320	5,819,314	(388,336)	788,006	13.54	%
Literacy program	4,649,981	4,649,235	4,648,848	746	387	0.01	%
Library service to blind	2,421,467	2,425,134	2,408,954	(3,667)	16,180	0.67	%
Securities Audit & Enforcement Fund - non approp.	418,313	411,415	-	6,898	411,415	N/A	% 21
Other awards and grants	2,555,587	2,524,420	2,388,287	31,167	136,133	5.70	%
International registration	154,320,663	157,405,128	167,715,573	(3,084,465)	(10,310,445)	(6.15)	%
	<u>211,986,146</u>	<u>216,000,753</u>	<u>225,183,881</u>	<u>(4,014,607)</u>	<u>(9,183,128)</u>	<u>(4.08)</u>	<u>%</u>
Permanent Improvements:							
Capitol complex security	2,470,412	3,916,011	3,735,886	(1,445,599)	180,125	4.82	%
Permanent improvements	-	1,036,120	981,803	(1,036,120)	54,317	5.53	%
	<u>2,470,412</u>	<u>4,952,131</u>	<u>4,717,689</u>	<u>(2,481,719)</u>	<u>234,442</u>	<u>4.97</u>	<u>%</u>
Refunds:							
Refunds	2,279,977	1,979,186	2,222,628	300,791	(243,442)	(10.95)	%
Corporate franchise tax refund fund	353,016	351,915	537,750	1,101	(185,835)	(34.56)	% 25
Interagency grant fund - non approp.	7,350	-	-	7,350	-	-	%
Safety responsibility - non approp.	795,291	861,803	761,877	(66,512)	99,926	13.12	%
	<u>3,435,634</u>	<u>3,192,904</u>	<u>3,522,255</u>	<u>242,730</u>	<u>(329,351)</u>	<u>(9.35)</u>	<u>%</u>
Total	<u>\$ 530,808,857</u>	<u>\$ 527,039,130</u>	<u>\$ 520,355,963</u>	<u>\$ 3,769,727</u>	<u>\$ 6,683,167</u>	<u>1.28</u>	<u>%</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF RECEIPTS, DISBURSEMENTS,
AND FUND BALANCES (CASH BASIS) - LOCALLY HELD FUNDS

Years Ended June 30, 2009 and 2008
(Expressed in thousands)

	<u>2009</u>	<u>2008</u>
<u>Go-Back Fund - #1110</u>		
Balance, beginning of year	\$ -	\$ -
Receipts and additions		
License, Fees or Registration	139	118
Disbursements and deletions		
Refunds	<u>139</u>	<u>118</u>
Balance, end of year	<u>\$ -</u>	<u>\$ -</u>

The Go-Back Fund consists of an account maintained at Bank One.

Special Advance Fund - #1198

Balance, beginning of year	\$ 8	\$ 12
Receipts and additions		
Operating transfers in	0	0
Disbursements and deletions		
General government	<u>(1)</u>	<u>4</u>
Balance, end of year	<u>\$ 9</u>	<u>\$ 8</u>

The Special Advance Fund consists of funds on deposit with six banks throughout the State of Illinois.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF RECEIPTS, DISBURSEMENTS,
AND FUND BALANCES (CASH BASIS) - LOCALLY HELD FUNDS

Years Ended June 30, 2009 and 2008
(Expressed in thousands)

	<u>2009</u>	<u>2008</u>
<u>Safe-Keeping Fund - 1344</u>		
Balance, beginning of year	\$ 1,713	\$ 2,226
Receipts and additions		
License, Fees or Registrations	1,436	1,823
Disbursements and deletions		
Refunds	<u>1,784</u>	<u>2,336</u>
Balance, end of year	<u>\$ 1,365</u>	<u>\$ 1,713</u>

The Safe-Keeping Fund consists of surety bonds and certificates of deposit held by the State Treasurer.

Antique Vehicle Show - 1390

Balance, beginning of year	\$ 11	\$ 12
Receipts and additions		
License, Fees or Registrations	16	18
Disbursements and deletions		
General government	<u>14</u>	<u>19</u>
Balance, end of year	<u>\$ 13</u>	<u>\$ 11</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF CHANGES IN STATE PROPERTY

Year Ended June 30, 2009

	Land and Improvements	Buildings and Building Improvements	Equipment	Capital Leases	Total
PROPERTY AND EQUIPMENT, July 1, 2008	\$ 7,076,987	\$ 412,089,704	\$ 69,081,416	\$ -	\$ 488,248,107
ADDITIONS					
Purchases	-	1,110,295	5,629,796	-	6,740,091
Library books	-	-	266,236	-	266,236
Previously omitted from inventory	-	-	1,135,402	-	1,135,402
Transfer from Capital Development Board and other agencies	-	5,191,189	105,590	-	5,296,779
Adjustments	-	-	580,080	-	580,080
Other additions	-	-	-	-	-
Total additions	-	6,301,484	7,717,104	-	14,018,588

(Continued)

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF CHANGES IN STATE PROPERTY - CONTINUED

Year Ended June 30, 2009

	Land and Land Improvements	Buildings and Building Improvements	Equipment	Capital Leases	Total
DELETIONS					
Cost of equipment traded-in	\$ -	\$ -	\$ 173,126	\$ -	\$ 173,126
Transfers to Department of Central Management Services	-	-	506,860	-	506,860
Transfers to other agencies	-	-	850,265	-	850,265
Scrapped items	-	-	38,516	-	38,516
Non-inventory items	-	134,570	-	-	134,570
Other deletions	-	141,601	916,257	-	1,057,858
Total deletions	-	276,171	2,485,024	-	2,761,195
PROPERTY AND EQUIPMENT, June 30, 2009	\$ 7,076,987	\$ 418,115,017	\$ 74,313,496	\$ -	\$ 499,505,500

Note: This schedule was prepared from Secretary of State records and was reconciled to property reports submitted to the State Comptroller. This summary schedule was prepared using State property records required by the Illinois Administrative Code. The capitalization policy in the Code is different than the capitalization policy established by the Office of the Comptroller for financial reporting in accordance with generally accepted accounting principles.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF CHANGES IN STATE PROPERTY

Year Ended June 30, 2008

	Land and Land Improvements	Buildings and Building Improvements	Equipment	Capital Leases	Total
PROPERTY AND EQUIPMENT, July 1, 2007	\$ 7,076,987	\$ 401,759,576	\$ 67,204,725	\$ 204,054	\$ 476,245,342
ADDITIONS					
Purchases	-	1,121,669	6,087,951	-	7,209,620
Library books	-	-	209,414	-	209,414
Previously omitted from inventory	-	-	176,762	-	176,762
Transfer from Capital Development Board and other agencies	-	9,490,130	19,407	-	9,509,537
Adjustments	-	-	311,537	-	311,537
Other additions	-	-	-	-	-
Total additions	-	10,611,799	6,805,071	-	17,416,870

(Continued)

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF CHANGES IN STATE PROPERTY - CONTINUED

Year Ended June 30, 2008

	Land and Land Improvements	Buildings and Building Improvements	Equipment	Capital Leases	Total
DELETIONS					
Cost of equipment traded-in	\$ -	\$ -	\$ 1,351,216	\$ -	\$ 1,351,216
Transfers to Department of Central Management Services	-	-	3,367,102	-	3,367,102
Transfers to other agencies	-	-	69,643	-	69,643
Scrapped items	-	-	13,094	-	13,094
Non-inventory items	-	-	34,592	-	34,592
Adjustments	-	-	-	-	-
Other deletions	-	281,671	92,733	204,054	578,458
Total deletions	-	281,671	4,928,380	204,054	5,414,105
PROPERTY AND EQUIPMENT, June 30, 2008	\$ 7,076,987	\$ 412,089,704	\$ 69,081,416	\$ -	\$ 488,248,107

Note: This schedule was prepared from Secretary of State records and was reconciled to property reports submitted to the State Comptroller. This summary schedule was prepared using State property records required by the Illinois Administrative Code. The capitalization policy in the Code is different than the capitalization policy established by the Office of the Comptroller for financial reporting in accordance with generally accepted accounting principles.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF CASH RECEIPTS - BY DEPARTMENT AND SOURCE

<u>Department and Source</u>	<u>2009</u>		<u>2008</u>		<u>2007</u>
Business services					
Corporation taxes and fees	\$ 220,150,616		\$ 245,299,963	(1)	\$ 209,355,337
Uniform Limited Partnership	1,568,654	(2)	1,331,693	(2)	1,631,120
Uniform Commercial Code	3,097,480		3,276,450		3,284,694
Limited Liability Co. Act	50,174,331		49,231,385		43,086,816
Limited Liability Partnership	478,975		420,159		421,350
Securities					
Forfeited or seized property	533,248	(3)	410,840	(3)	-
License fees or registration	51,440,688		47,749,519		50,533,427
Library					
Copy fees and book dues	8,909		4,312		7,768
Federal government	6,618,953		6,091,392		5,920,187
Archives					
Copy and recording fees	14,484		13,287		11,344
National Archives	13,015		-		-
Index					
License fees or registration	1,360,683		1,367,263		1,286,230
Subscriptions of publication sales	21,339		27,977		32,365
Lobbyist registration	573,450		588,750		608,550
Lobbyist registration fines	36,200		29,750		30,600
Physical services					
Parking fees	8,990		8,400		29,090
All departments					
Miscellaneous	55,583		57,019		70,684
Employee reimbursements	8,038		8,051		10,660
Check Write Off/Go Back	6,810		8,169		9,180
Commissions/Concessions	1,715		4,864		5,234
Historic Preservation	5,000		3,153		-
Illinois Emergency Management Agency	21,379	(4)	354,611	(4)	120,764

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF CASH RECEIPTS - BY DEPARTMENT AND SOURCE

<u>Department and Source</u>	<u>2009</u>	<u>2008</u>	<u>2007</u>
Department of Transportation			
SSOLV	\$ -	\$ - (5)	\$ 76,253
Federal Motor Carrier Safety			
Administration	- (6)	427,201 (6)	119,680
Oklahoma Dept. of Motor Vehicles	-	- (7)	268,607
Unclaimed assets	592,361 (8)	82,209 (8)	175,986
Repayment to State and return of petty cash	1,800	433	867
Driver Services			
Operators' license and related fees	65,983,020	68,306,412	69,093,840
Sale of individual driving record	32,593,926	34,310,604	33,830,790
Automotive dealer fees	4,706,867	4,781,317	4,909,152
Reinstate operators license	11,702,150	11,193,010	11,407,770
Sale of vehicle or driver data	963,442	989,471 (9)	632,180
Standard Illinois identification card	10,005,012	10,849,256	11,273,088
Federal Transportation Safety Administration - Hazardous Materials	- (10)	90,406	82,892
Private organizations or individuals	823,324 (11)	1,674,718 (11)	828,431
Vehicle Services			
Commercial distribution fee	47,485,373	50,429,076	50,657,433
Short Term Trip Permits	960,888	940,108	1,006,468
Personalized license plates	8,329,103	9,009,369	9,233,327
Certificate of Title	120,972,664	133,526,732	137,645,474
Delinquent vehicle registration renewal	8,563,780	7,572,960	7,564,000
Vehicle inspection	-	- (12)	393,000
IRP audit fees	-	75	1,162
License fees or registration	1,386,678,538	1,388,075,684	1,376,579,183

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF CASH RECEIPTS - BY DEPARTMENT AND SOURCE

<u>Department and Source</u>	<u>2009</u>	<u>2008</u>	<u>2007</u>
SOS Police			
License fees or registration	\$ 337,613	\$ 306,227	\$ 341,678
Motor Vehicle Theft Prevention			
Council	1,358,999	1,238,654	1,380,000
Circuit Clerk fines	22,704	23,468	18,427
SOS Police Service Fees	39,436	39,569	54,196
Fines, penalties or violations	7,168	1,897	1,931
Other Illinois State agencies			
and prior period adjustments	<u>61,757</u> (13)	<u>184,233</u> (13)	<u>76,529</u>
Total cash receipts			
per Comptroller	<u>\$ 2,038,388,465</u>	<u>\$ 2,080,340,096</u>	<u>\$ 2,034,107,744</u>

Note: The Secretary of State's explanations for significant variance in cash receipts are documented in the Analysis of Significant Variations in Receipts later in this report.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER

Year Ended June 30, 2009

Department and Source	General Revenue Fund #0001	Road Fund #0011	Live and Learn Fund #0026	Drivers' Education Fund #0031	State Parks Fund #0040	Lobbyist Registration Administration Fund #0044	General Obligation Bond Retirement and Interest Fund #0101	CDLIS/AAMVA Net Trust Fund #0109	General Assembly Computer Equipment Revolving Fund #0155	Registered Limited Liability Partnership Fund #0167	Secretary of State Federal Projects Fund #0176	Violence Prevention Fund #0184	Secretary of State Special License Plate Fund #0185	State Police Vehicle Fund #0246
Business Services	\$ 201,348,666	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Corporation taxes and fees	1,568,654	-	-	-	-	-	-	-	-	-	-	-	-	-
Uniform Limited Partnership	1,348,048	-	-	-	-	-	-	-	-	-	-	-	-	-
Uniform Commercial Code	50,174,331	-	-	-	-	-	-	-	-	-	-	-	-	-
Limited Liability Co. Act	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Limited Liability Partnership	-	-	-	-	-	-	-	-	-	478,975	-	-	-	-
Securities	38,435,398	-	-	-	-	-	-	-	-	-	-	-	-	-
License fees or registration	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Forfeited or seized property	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Library	3,038	-	-	-	-	-	-	-	-	-	-	-	-	-
Copy fees and book dues	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Federal government	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Archives	14,484	-	-	-	-	-	-	-	-	-	-	-	-	-
Copy and recording fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-
National Archives	-	-	-	-	-	-	-	-	-	-	13,015	-	-	-
Index	1,359,320	-	-	-	-	-	-	-	-	-	-	-	-	-
License fees or registration	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Subscriptions of publication sales	-	-	-	-	-	-	-	-	21,339	-	-	-	-	-
Lobbyist registration fees	-	-	-	-	-	573,450	-	-	-	-	-	-	-	-
Lobbyist registration fines	-	-	-	-	-	36,200	-	-	-	-	-	-	-	-
Physical Services	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Parking fees	-	-	-	-	-	-	7,192	-	-	-	-	-	-	-
All departments	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Miscellaneous	46,464	9,119	-	-	-	-	-	-	-	-	-	-	-	-
Employee reimbursements	4,386	3,653	-	-	-	-	-	-	-	-	-	-	-	-
Check Write Off/GO Back	6,810	-	-	-	-	-	-	-	-	-	-	-	-	-
Commissions/concessions	1,715	-	-	-	-	-	-	-	-	-	-	-	-	-
Historic Preservation	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Illinois Emergency Management Agency	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Fed motor carrier safety admin	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Private organizations or individuals	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Unclaimed assets	592,361	-	-	-	-	-	-	-	-	-	-	-	-	-
Repayment to State and return of petty cash	-	1,800	-	-	-	-	-	-	-	-	-	-	-	-
Driver Services	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Operators license and related fees	-	28,557,137	-	11,503,230	-	-	-	694,458	-	-	-	-	-	-
Sale of individual driving record	32,593,926	-	-	-	-	-	-	-	-	-	-	-	-	-
Automotive dealer fees	4,334,296	-	-	-	-	-	-	-	-	-	-	-	-	-
Reinsate operators license	9,692,640	-	-	-	-	-	-	-	-	-	-	-	-	-
Sale of vehicle or driver data	963,442	-	-	-	-	-	-	-	-	-	-	-	-	-
Standard IL ID card	10,005,012	-	-	-	-	-	-	-	-	-	-	-	-	-
Monitoring Device Driving Permit Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Federal motor safety Admin - Hazmat	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Private organizations or individuals	-	-	-	-	-	-	-	-	-	-	-	-	-	-
National traffic safety administration - Federal	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Vehicle Services	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Commercial distribution fee	47,240,661	-	-	-	-	-	-	-	-	-	-	-	-	-
Short term trip permits	960,888	-	-	-	-	-	-	-	-	-	-	-	-	-
Personalized license plates	4,164,552	-	-	-	-	-	-	-	-	-	-	4,164,552	-	-
Certificate of title	28,397,456	76,951,002	-	-	-	-	-	-	-	-	-	-	-	-
Delinquent vehicle register renewal	8,563,780	-	-	-	-	-	-	-	-	-	-	-	-	-
IRP audit fees	-	-	-	-	1,119,100	-	-	-	-	-	-	-	-	-
License fees or registration	-	743,735,467	-	-	-	-	-	-	-	-	-	483,875	671,579	1,363
SOS Police	-	-	-	-	-	-	-	-	-	-	-	-	-	-
License fees or registration	-	337,613	-	-	-	-	-	-	-	-	-	-	-	-
Motor Vehicle Theft Prevention Council	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Circuit Clerk fines	-	-	-	-	-	-	-	-	-	-	-	-	-	-
SOS Police Service fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Fines, penalties or violations	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other Illinois State agencies & prior period adjustments	13,932	109	888	-	-	-	-	-	-	-	-	-	7,801	-
Total cash receipts per Comptroller	441,834,260	849,595,900	888	11,503,230	1,119,100	609,650	7,192	694,458	21,339	478,975	13,015	483,875	4,845,932	1,363
Receipts in transit to State Treasurer	-	-	-	-	-	-	-	-	-	-	-	-	-	-
June 30, 2008	1,916,462	51,043,388	-	-	-	-	-	-	-	-	-	-	-	-
June 30, 2009	(1,635,985)	(44,021,356)	-	-	-	-	-	-	-	-	-	-	-	-
Receipts in transit to State Comptroller	-	-	-	-	-	-	-	-	-	-	-	-	-	-
June 30, 2008	(12,304,837)	(153,416,648)	-	-	-	-	-	-	-	-	-	-	-	-
June 30, 2009	7,683,748	117,920,699	-	-	-	-	-	-	-	-	-	-	-	-
Prior period and other adjustments	(13,932)	(109)	(888)	-	-	-	-	-	-	-	-	-	(7,801)	-
Total Agency Receipts, fiscal year 2009	\$ 437,429,716	\$ 821,121,874	\$ -	\$ 11,503,230	\$ 1,119,100	\$ 609,650	\$ 7,192	\$ 694,458	\$ 21,339	\$ 478,975	\$ 13,015	\$ 483,875	\$ 4,836,131	\$ 1,363

Note: The above information has been taken from State Comptroller records and reconciled to the Office's Accounting Revenue Ledger.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER

Year Ended June 30, 2009

	Department of													
	Drunk & Drugged Driving Prevention Fund #0276	Securities Investors Education Fund #0292	Inter-Agency Grant Fund #0295	Family Financial Responsibility Fund #0322	Motor Vehicle Review Board Fund #0323	Securities Audit and Enforcement Fund #0362	Business Services Special Operations Fund #0363	Secretary of State Evidence Fund #0374	Corporate Franchise Tax Refund Fund #0380	Illinois Habitat Fund #0391	Protest Fund #0401	Common School Fund #0412	State College & University Trust Fund #0417	University Grant Fund #0418
Business Services														
Corporation taxes and fees									\$ 3,264,315		\$ 975,115			
Uniform Limited Partnership														
Uniform Commercial Code														
Limited Liability Co. Act														
Limited Liability Partnership														
Securities		2,573,061				10,432,229								
Forfeited or seized property						533,248								
Library														
Copy fees and book dues														
Federal government														
Archives														
Copy and recording fees														
National Archives														
Index														
License fees or registration														
Subscriptions of publication sales														
Lobbyist registration fees														
Lobbyist registration fines														
Physical Services														
Parking fees														
All departments														
Miscellaneous														
Employee reimbursements														
Check Write OFFGO Back														
Commissions/concessions														
Historic Preservation						5,000								
Illinois Emergency Management Agency						2,665								
Fed motor carrier safety admin														
Private organizations or individuals														
Unclaimed assets														
Repayment to State and return of petty cash														
Driver Services														
Operators license and related fees														
State of individual driving record														
Automotive dealer fees					372,571									
Reinsate operators license	2,001,860			7,650										
Sale of vehicle or driver data														
Standard IL ID card														
Monitoring Device Driving Permit Fees														
Federal motor safety Admin - Hazmat														
Private organizations or individuals														
National traffic safety administration - Federal														
Vehicle Services														
Commercial distribution fee														
Short term trip permits											244,712			
Personalized license plates														
Certificate of title														
Delinquent vehicle register renewal														
IRP audit fees														
License fees or registration										260,275		404,772	247,025	71,625
SOS Police														
License fees or registration														
Motor Vehicle Theft Prevention Council														
Circuit Clerk fines														
SOS Police Service fees														
Fines, penalties or violations														
Other Illinois State agencies & prior period adjustments														
Total cash receipts per Comptroller	2,001,860	2,573,061	1,366,664	7,650	372,571	10,965,477	8,940,405	7,168	3,264,315	260,275	1,219,827	404,772	247,025	71,625
Receipts in transit to State Treasurer														
June 30, 2008														
June 30, 2009														
Receipts in transit to State Comptroller														
June 30, 2008														
June 30, 2009														
Prior period and other adjustments														
Total Agency Receipts, fiscal year 2009	2,001,860	2,573,061	1,366,664	7,650	372,571	10,965,477	8,940,405	7,168	3,264,315	260,275	1,219,827	404,772	247,025	71,625

Note: The above information has been taken from State Comptroller records and reconciled to the Office's Accounting Revenue Ledger.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER

Year Ended June 30, 2009

	Alternative Fuels Fund #0422	Charitable Trust Stabilization Fund #0435	Safety Responsibility Fund #0436	Monitoring Device Driving Permit Admin Fund #0453	Illinois Professional Golfers Assoc Jr Golf Fund #0463	Boys Scout and Girl Scout Fund #0464	Agriculture in the Classroom Fund #0466	Library Services Fund #0470	State Library Fund #0471	Secretary of State Special Services Fund #0483	Wildlife Prairie Park Fund #0504	Master Mason Fund #0508	Illinois Fire Fighters Memorial Fund #0510	Illinois & Michigan Canal Fund #0570
Department and Source														
Business Services														
Corporation taxes and fees		405,800	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 5,216,315	\$ -	\$ -	\$ -	
Uniform/Limited Partnership														
Uniform Commercial Code										1,749,432				
Limited Liability Co. Act														
Limited Liability Partnership														
Securities														
License fees or registration														
Forfeited or seized property														
Library								6,618,953	5,871					
Copy fees and book dues														
Federal government														
Archives														
Copy and recording fees														
National Archives														
Index														
License fees or registration														
Subscriptions of publication sales														
Lobbyist registration fees														
Lobbyist registration fines														
Physical Services														
Parking fees														
All departments														
Miscellaneous														
Employee reimbursements														
Check Write OFFGO Back														
Commissions/concessions														
Historic Preservation														
Illinois Emergency Management Agency									18,714					
Fed motor carrier safety admin														
Private organizations or individuals														
Unclaimed assets														
Repayment to State and return of petty cash														
Driver Services														
Operators license and related fees														
Sale of individual driving record										16,299,173				
Automotive dealer fees														
Reinsate operators license														
Sale of vehicle or driver data														
Standard IL ID card														
Monitoring Device Driving Permit Fees				324,900										
Federal motor safety Admin - Hazmat														
Private organizations or individuals														
National traffic safety administration - Federal														
Vehicle Services														
Commercial distribution fee														
Short term trip permits														
Personalized license plates														
Certificate of title														
Delinquent vehicle register renewal														
IRP audit fees														
License fees or registration											20,925	43,596		8,675
SOS Police														
License fees or registration														
Motor Vehicle Theft Prevention Council														
Circuit Clerk fines														
SOS Police Service fees														
Fines, penalties or violations														
Other Illinois State agencies & prior period adjustments										2,314				
Total cash receipts per Comptroller	1,794,100	405,800	805,946	324,900	18,138	5,000	44,950	6,648,720	5,871	23,285,948	20,925	43,596	434,361	8,675
Receipts in transit to State Treasurer														
June 30, 2008														
June 30, 2009														
Receipts in transit to State Comptroller														
June 30, 2008														
June 30, 2009														
Prior period and other adjustments								(29,767)						
Total Agency Receipts, fiscal year 2009	1,794,100	405,800	805,946	324,900	18,138	5,000	44,950	6,618,953	5,871	23,283,634	20,925	43,596	434,361	8,675

Note: The above information has been taken from State Comptroller records and reconciled to the Office's Accounting Revenue Ledger.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER

Year Ended June 30, 2009

Department and Source	Off Highway Vehicle Fund #0574	Illinois Pan Hellenic Fund #0584	Park District Youth Fund #0585	Hospice Fund #0586	September 11th Heritage Project Fund #0588	Illinois Route 66 Memorial Committee Fund #0594	Police Memorial Committee Fund #0598	Mammogram Fund #0599	Motor Vehicle License Plate Fund #0622	Special Olympics Illinois Fund #0599	Motor Carrier Safety Inspection Fund #0649	Organ Donor Awareness Fund #0716	Secretary of State DUI Administration Fund #0732	Illinois Future Teachers Corps Scholarship Fund #0753
Business Services														
Corporation taxes and fees	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Uniform Limited Partnership	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Uniform Commercial Code	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Limited Liability Co. Act	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Limited Liability Partnership	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Securities	-	-	-	-	-	-	-	-	-	-	-	-	-	-
License fees or registration	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Forfeited or seized property	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Library	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Copy fees and book dues	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Federal government	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Archives	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Copy and recording fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-
National Archives	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Index	-	-	-	-	-	-	-	-	-	-	-	-	-	-
License fees or registration	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Subscriptions of publication sales	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Lobbyist registration fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Lobbyist registration fines	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Physical Services	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Parking fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-
All departments	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Miscellaneous	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Employee reimbursements	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Check Write Off/GO Back	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Commissions/commissions	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Historic Preservation	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Illinois Emergency Management Agency	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Fed motor carrier safety admin	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Private organizations or individuals	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Unclaimed assets	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Repayment to State and return of petty cash	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Driver Services	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Operators license and related fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-
State of individual driving record	-	-	-	-	-	-	-	-	-	-	2,325,680	-	-	-
Automotive dealer fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Reinsate operators license	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sale of vehicle or driver data	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Standard IL ID card	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Monitoring Device Driving Permit Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Federal motor safety Admin - Hazmat	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Private organizations or individuals	-	-	-	-	-	-	-	-	-	-	-	-	-	-
National traffic safety administration - Federal	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Vehicle Services	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Commercial distribution fee	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Short term trip permits	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Personalized license plates	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Certificate of title	356,150	-	-	-	-	-	-	-	10,178,704	-	-	-	-	-
Delinquent vehicle register renewal	-	-	-	-	-	-	-	-	-	-	-	-	-	-
IRP audit fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-
License fees or registration	-	34,725	24,125	2,253	117,900	94,725	129,869	120,688	-	7,485	-	168,771	-	39,333
SOS Police	-	-	-	-	-	-	-	-	-	-	-	-	-	-
License fees or registration	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Motor Vehicle Theft Prevention Council	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Circuit Clerk fines	-	-	-	-	-	-	-	-	-	-	-	-	-	-
SOS Police Service fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Fines, penalties or violations	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other Illinois State agencies & prior period adjustments	-	6,847	-	-	-	-	-	-	100	-	-	-	-	-
Total cash receipts per Comptroller	356,150	41,572	24,125	2,253	117,900	94,725	129,869	120,688	10,178,804	7,485	2,325,680	168,771	1,775,540	39,333
Receipts in transit to State Treasurer	-	-	-	-	-	-	-	-	-	-	-	-	-	-
June 30, 2008	-	-	-	-	-	-	-	-	-	-	-	-	-	-
June 30, 2009	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Receipts in transit to State Comptroller	-	-	-	-	-	-	-	-	-	-	-	-	-	-
June 30, 2008	-	-	-	-	-	-	-	-	-	-	-	-	-	-
June 30, 2009	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Prior period and other adjustments	-	(6,847)	-	-	-	-	-	-	(100)	-	-	-	-	-
Total Agency Receipts, fiscal year 2009	\$ 356,150	\$ 34,725	\$ 24,125	\$ 2,253	\$ 117,900	\$ 94,725	\$ 129,869	\$ 120,688	\$ 10,178,704	\$ 7,485	\$ 2,325,680	\$ 168,771	\$ 1,775,540	\$ 39,333

Note: The above information has been taken from State Comptroller records and reconciled to the Office's Accounting Revenue Ledger.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER

Year Ended June 30, 2009

Department and Source	Secretary of State #0758	Secretary of State Police Services Fund #0759	U.S. Marine Corps Scholarship Fund #0760	Pet Overpopulation Fund #0764	State Parking Facility Maintenance Fund #0782	Cycle Rider Safety Training Fund #0863	International Registration Plan Fund #0890	Library Trust Fund #0893	State Construction Account Fund #0902	Secretary of State Grant Fund #0948	Park and Conservation Fund #0962	Total
Business Services												
Corporation taxes and fees	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 220,150,616
Uniform Limited Partnership	-	-	-	-	-	-	-	-	-	-	-	1,568,654
Uniform Commercial Code	-	-	-	-	-	-	-	-	-	-	-	3,097,480
Limited Liability Partnership	-	-	-	-	-	-	-	-	-	-	-	50,174,331
Securities	-	-	-	-	-	-	-	-	-	-	-	478,975
License fees or registration	-	-	-	-	-	-	-	-	-	-	-	51,440,688
Forfeited or seized property	-	-	-	-	-	-	-	-	-	-	-	533,248
Library	-	-	-	-	-	-	-	-	-	-	-	8,909
Copy fees and book dues	-	-	-	-	-	-	-	-	-	-	-	6,618,953
Federal government	-	-	-	-	-	-	-	-	-	-	-	14,484
Archives	-	-	-	-	-	-	-	-	-	-	-	13,015
Copy and recording fees	-	-	-	-	-	-	-	-	-	-	-	1,359,320
National Archives	-	-	-	-	-	-	-	-	-	-	-	21,339
Index	-	-	-	-	-	-	-	-	-	-	-	573,450
License fees or registration	-	-	-	-	-	-	-	-	-	-	-	36,200
Subscriptions of publication sales	-	-	-	-	-	-	-	-	-	-	-	8,990
Lobbyist registration fees	-	-	-	-	-	-	-	-	-	-	-	55,583
Lobbyist registration fines	-	-	-	-	-	-	-	-	-	-	-	8,039
Physical Services	-	-	-	-	-	-	-	-	-	-	-	6,810
Parking fees	-	-	-	-	1,798	-	-	-	-	-	-	1,715
All departments	-	-	-	-	-	-	-	-	-	-	-	21,379
Miscellaneous	-	-	-	-	-	-	-	-	-	-	-	592,361
Employee reimbursements	-	-	-	-	-	-	-	-	-	-	-	1,800
Check Write Off/GO Back	-	-	-	-	-	-	-	-	-	-	-	62,090,153
Commissions/concessions	-	-	-	-	-	-	-	-	-	-	-	32,593,926
Historic Preservation	-	-	-	-	-	-	-	-	-	-	-	4,706,867
Illinois Emergency Management Agency	-	-	-	-	-	-	-	-	-	-	-	11,702,150
Fed motor carrier safety admin	-	-	-	-	-	-	-	-	-	-	-	963,442
Private organizations or individuals	-	-	-	-	-	-	-	-	-	-	-	10,005,012
Unclaimed assets	-	-	-	-	-	-	-	-	-	-	-	324,900
Repayment to State and return of petty cash	-	-	-	-	-	-	-	-	-	-	-	823,324
Driver Services	-	-	-	-	-	-	-	-	-	-	-	-
Operators' license and related fees	-	-	-	-	-	934,935	-	-	-	-	-	62,090,153
Sale of individual driving record	-	-	-	-	-	-	-	-	-	-	-	32,593,926
Automotive dealer fees	-	-	-	-	-	-	-	-	-	-	-	4,706,867
Reinstatement license	-	-	-	-	-	-	-	-	-	-	-	11,702,150
Sale of vehicle or driver data	-	-	-	-	-	-	-	-	-	-	-	963,442
Standard IL ID card	-	-	-	-	-	-	-	-	-	-	-	10,005,012
Monitoring Device Driving Permit Fees	-	-	-	-	-	-	-	-	-	-	-	324,900
Federal motor safety Admin - Hazmat	-	-	-	-	-	-	-	-	-	17,378	-	823,324
Private organizations or individuals	-	-	-	-	-	-	-	-	-	-	-	-
National traffic safety administration - Federal	-	-	-	-	-	-	-	-	-	-	-	-
Vehicle Services	-	-	-	-	-	-	-	-	-	-	-	-
Commercial distribution fee	-	-	-	-	-	-	-	-	-	-	-	47,485,373
Short term trip permits	-	-	-	-	-	-	-	-	-	-	-	960,888
Personalized license plates	-	-	-	-	-	-	-	-	-	-	-	8,329,104
Certificate of title	-	-	-	-	-	-	-	-	-	-	5,089,352	120,972,664
Delinquent vehicle register renewal	-	-	-	-	-	-	-	-	-	-	-	8,563,780
IRP audit fees	-	-	-	-	-	-	-	-	-	-	-	-
License fees or registration	-	-	64,297	120,150	-	3,567,966	154,414,598	-	481,976,356	-	-	1,390,247,867
SOS Police	-	-	-	-	-	-	-	-	-	-	-	-
License fees or registration	-	-	-	-	-	-	-	-	-	-	-	337,613
Motor Vehicle Theft Prevention Council	-	-	-	-	-	-	-	-	-	-	-	1,358,999
Circuit Clerk fines	13,894	8,811	-	-	-	-	-	-	-	-	-	22,705
SOS Police Service fees	-	39,436	-	-	-	-	-	-	-	-	-	39,436
Fines, penalties or violations	-	-	-	-	-	-	-	-	-	-	-	7,168
Other Illinois State agencies & prior period adjustments	13,894	48,247	64,297	120,150	1,798	4,502,901	154,414,598	-	481,976,356	17,378	5,089,352	2,038,388,468
Total cash receipts per Comptroller												
Receipts in transit to State Treasurer												
June 30, 2008	-	-	-	-	-	-	-	-	-	-	-	52,959,850
June 30, 2009	-	-	-	-	-	-	-	-	-	-	-	(45,657,341)
Receipts in transit to State Comptroller												
June 30, 2008	-	-	-	-	-	-	-	-	-	-	-	(165,721,485)
June 30, 2009	-	-	-	-	-	-	-	-	-	-	-	125,604,447
Prior period and other adjustments												(61,758)
Total Agency Receipts, fiscal year 2009	\$ 13,894	\$ 48,247	\$ 64,297	\$ 120,150	\$ 1,798	\$ 4,502,901	\$ 154,414,598	\$ -	\$ 481,976,356	\$ 17,378	\$ 5,089,352	\$ 2,005,512,181

Note: The above information has been taken from State Comptroller records and reconciled to the Office's Accounting Revenue Ledger.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER

Year Ended June 30, 2008

Department and Source	General Revenue Fund #0001	Road Fund #0011	Live and Learn Fund #0026	Drivers' Education Fund #0031	State Parks Fund #0040	Lobbyist Registration Administration Fund #0044	General Obligation Retirement and Interest Fund #0101	CDLIS/AA/MVA Net Trust Fund #0109	General Assembly Computer Equipment Revolving Fund #0155	Registered Liability Partnership Fund #0167	Secretary of State Federal Projects Fund #0176	Violence Prevention Fund #0184	Secretary of State Special License Plate Fund #0185
Business Services													
Corporation taxes and fees	\$ 225,168,145	-	-	-	-	-	-	-	-	-	-	-	-
Uniform Limited Partnership	1,331,693	-	-	-	-	-	-	-	-	-	-	-	-
Limited Commercial Code	1,421,634	-	-	-	-	-	-	-	-	-	-	-	-
Limited Liability Co. Act	49,231,385	-	-	-	-	-	-	-	-	420,159	-	-	-
Limited Liability Partnership	-	-	-	-	-	-	-	-	-	-	-	-	-
Corporate franchise tax amnesty	-	-	-	-	-	-	-	-	-	-	-	-	-
Securities	37,347,058	-	-	-	-	-	-	-	-	-	-	-	-
License fees or registration	-	-	-	-	-	-	-	-	-	-	-	-	-
Forfeited or seized property	-	-	-	-	-	-	-	-	-	-	-	-	-
Library	327	-	-	-	-	-	-	-	-	-	-	-	-
Copy fees and book dues	-	-	-	-	-	-	-	-	-	-	-	-	-
Federal government	-	-	-	-	-	-	-	-	-	-	-	-	-
Archives	13,287	-	-	-	-	-	-	-	-	-	-	-	-
Index	1,367,263	-	-	-	-	-	-	-	-	-	-	-	-
License fees or registration	-	-	-	-	-	-	-	-	-	-	-	-	-
Subscriptions of publication sales	-	-	-	-	-	-	-	-	27,977	-	-	-	-
Lobbyist registration fees	-	-	-	-	-	588,750	-	-	-	-	-	-	-
Lobbyist registration fines	-	-	-	-	-	29,750	-	-	-	-	-	-	-
Physical Services	-	-	-	-	-	-	-	-	-	-	-	-	-
Parking fees	-	-	-	-	-	-	6,720	-	-	-	-	-	-
All departments	23,713	33,306	-	-	-	-	-	-	-	-	-	-	-
Miscellaneous	4,361	3,689	-	-	-	-	-	-	-	-	-	-	-
Employee reimbursements	8,169	-	-	-	-	-	-	-	-	-	-	-	-
Check Write Off/GO Back	4,864	-	-	-	-	-	-	-	-	-	-	-	-
Commissions/concessions	-	-	-	-	-	-	-	-	-	-	-	-	-
Historic Preservation	-	-	-	-	-	-	-	-	-	-	-	-	-
Illinois Emergency Management Agency	-	-	-	-	-	-	-	-	-	-	-	-	-
Fed motor carrier safety admin	-	-	-	-	-	-	-	427,201	-	-	-	-	-
Private organizations or individuals	-	-	-	-	-	-	-	-	-	-	-	-	-
Unclaimed assets	82,209	-	-	-	-	-	-	-	-	-	-	-	-
Repayment to State and return of petty cash	-	433	-	-	-	-	-	-	-	-	-	-	-
Driver Services	-	-	-	-	-	-	-	-	-	-	-	-	-
Operators' license and related fees	-	29,953,043	-	12,147,769	-	-	-	733,908	-	-	-	-	-
Sale of individual driving record	-	-	-	-	-	-	-	-	-	-	-	-	-
Automotive dealer fees	-	-	-	-	-	-	-	-	-	-	-	-	-
Reinsure operators license	-	-	-	-	-	-	-	-	-	-	-	-	-
Sale of vehicle or driver data	-	-	-	-	-	-	-	-	-	-	-	-	-
Standard ID card	-	-	-	-	-	-	-	-	-	-	-	-	-
Federal motor safety Admin - Hazmat	-	-	-	-	-	-	-	-	-	-	90,406	-	-
Private organizations or individuals	-	-	-	-	-	-	-	-	-	-	-	-	-
National traffic safety administration - Federal	-	-	-	-	-	-	-	-	-	-	-	-	-
Vehicle Services	-	-	-	-	-	-	-	-	-	-	-	-	-
Commercial distribution fee	50,164,357	-	-	-	-	-	-	-	-	-	-	-	-
Short term trip permits	940,108	-	-	-	-	-	-	-	-	-	-	-	-
Personalized license plates	4,504,685	-	-	-	-	-	-	-	-	-	-	-	4,504,685
Certificate of title	30,994,700	85,207,248	-	-	-	-	-	-	-	-	-	-	-
Delinquent vehicle register renewal	7,572,960	-	-	-	-	-	-	-	-	-	-	-	-
IRP audit fees	75	-	-	-	-	-	-	-	-	-	-	-	-
License fees or registration	-	717,428,817	-	-	1,187,525	-	-	-	-	-	-	514,075	644,802
SOS Police	-	-	-	-	-	-	-	-	-	-	-	-	-
License fees or registration	-	306,227	-	-	-	-	-	-	-	-	-	-	-
Motor Vehicle Theft Prevention Council	-	-	-	-	-	-	-	-	-	-	-	-	-
Circuit Clerk fines	-	-	-	-	-	-	-	-	-	-	-	-	-
SOS Police Service fees	-	-	-	-	-	-	-	-	-	-	-	-	-
Fines, penalties or violations	-	-	-	-	-	-	-	-	-	-	-	-	-
Other Illinois State agencies & prior period adjustments	42,933	2,867	46,344	-	-	-	-	-	-	-	-	-	-
Total cash receipts per Comptroller	470,178,312	832,935,630	46,344	12,147,769	1,187,525	618,500	6,720	1,161,109	27,977	420,159	90,406	514,075	1,750
Receipts in transit to State Treasurer	-	-	-	-	-	-	-	-	-	-	-	-	-
June 30, 2007	2,002,461	56,225,279	-	-	-	-	-	-	-	-	-	-	-
June 30, 2008	(1,916,462)	(51,043,388)	-	-	-	-	-	-	-	-	-	-	-
Receipts in transit to State Comptroller	-	-	-	-	-	-	-	-	-	-	-	-	-
June 30, 2007	(12,626,867)	(166,852,050)	-	-	-	-	-	-	-	-	-	-	-
June 30, 2008	12,304,837	153,416,648	-	-	-	-	-	-	-	-	-	-	-
Prior period and other adjustments	(42,933)	(2,867)	(46,344)	-	-	-	-	-	-	-	-	-	(1,750)
Total Agency Receipts, fiscal year 2008	\$ 469,899,348	\$ 824,679,252	\$ -	\$ 12,147,769	\$ 1,187,525	\$ 618,500	\$ 6,720	\$ 1,161,109	\$ 27,977	\$ 420,159	\$ 90,406	\$ 514,075	\$ 5,149,487

Note: The above information has been taken from State Comptroller records and reconciled to the Office's Accounting Revenue Ledger.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER

Department and Source	Year Ended June 30, 2008												
	Drunk & Drugged Driving Prevention Fund #0276	Securities Investors Education Fund #0292	Inter-Agency Grant Fund #0295	Family Financial Responsibility Fund #0322	Motor Vehicle Review Board Fund #0323	Securities Audit and Enforcement Fund #0362	Department of Business Services Special Operations Fund #0363	Secretary of State Evidence Fund #0374	Corporate Franchise Tax Refund Fund #0380	Illinois Habitat Fund #0391	Protest Fund #0401	Common School Fund #0412	State College & University Trust Fund #0417
Business Services													
Corporation taxes and fees													
Uniform Limited Partnership													
Uniform Commercial Code													
Limited Liability Co. Act													
Limited Liability Partnership													
Corporate franchise tax amnesty													
Securities													
License fees or registration		1,105,200											
Forfeited or seized property						9,297,261							
Library						410,840							
Copy fees and book dues													
Federal government													
Archives													
Copy and recording fees													
Index													
License fees or registration													
Subscriptions of publication sales													
Lobbyist registration fees													
Lobbyist registration fines													
Physical Services													
Parking fees													
All departments													
Miscellaneous													
Employee reimbursements													
Check Write Off/GO Back													
Commissions/concessions													
Historic Preservation			3,153										
Illinois Emergency Management Agency			194,089										
Fed motor carrier safety admin													
Private organizations or individuals													
Unclaimed assets													
Repayment to State and return of petty cash													
Driver Services													
Operators' license and related fees													
Sale of individual driving record					287,472								
Automotive dealer fees													
Reinsure operators license	1,880,510			1,290									
Sale of vehicle or driver data													
Standard IL ID card													
Federal motor safety Admin - Hazmat													
Private organizations or individuals													
National traffic safety administration - Federal													
Vehicle Services													
Commercial distribution fee										264,719			
Short term trip permits													
Personalized license plates													
Certificate of title													
Delinquent vehicle register renewal													
IRP audit fees													
License fees or registration											403,944		244,875
SOS Police													
License fees or registration													
Motor Vehicle Theft Prevention Council			1,238,654										
Circuit Clerk fines													
SOS Police Service fees								1,897					
Fines, penalties or violations													
Other Illinois State agencies & prior period adjustments		190				296							
Total cash receipts per Comptroller	1,880,510	1,105,390	1,435,896	1,290	287,472	9,708,397	7,754,028	1,897	3,751,980	268,000	403,944	244,875	
Receipts in transit to State Treasurer													
June 30, 2007													
June 30, 2008													
Receipts in transit to State Comptroller													
June 30, 2007													
June 30, 2008													
Prior period and other adjustments		(190)				(296)							
Total Agency Receipts, fiscal year 2008	1,880,510	1,105,200	1,435,896	1,290	287,472	9,708,101	7,754,028	1,897	3,751,980	268,000	403,944	244,875	

Note: The above information has been taken from State Comptroller records and reconciled to the Office's Accounting Revenue Ledger.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER

Year Ended June 30, 2008

	University Grant Fund #0418	Alternative Fuels Fund #0422	Charitable Trust Stabilization Fund #0435	Safety Responsibility Fund #0436	Illinois Professional Golfers Assoc Jr Golf Fund #0463	Agriculture in the Classroom Fund #0466	Library Services Fund #0470	State Library Fund #0471	Secretary of State Special Services Fund #0483	Franchise Tax & License Fee Amnesty Administration Fund #0490	Wildlife Prairie Park Fund #0504	Master Mason Fund #0508	Illinois Fire Fighters Memorial Fund #0510
Department and Source													
Business Services													
Corporation taxes and fees	\$ -	\$ -	\$ 7,045	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 5,141,270	\$ -	\$ -	\$ -	\$ -
Uniform Limited Partnership	-	-	-	-	-	-	-	-	1,854,816	-	-	-	-
Uniform Commercial Code	-	-	-	-	-	-	-	-	-	-	-	-	-
Limited Liability Co. Act	-	-	-	-	-	-	-	-	-	-	-	-	-
Limited Liability Partnership	-	-	-	-	-	-	-	-	-	-	-	-	-
Corporate franchise tax amnesty	-	-	-	-	-	-	-	-	-	430,793	-	-	-
Securities	-	-	-	-	-	-	-	-	-	-	-	-	-
License fees or registration	-	-	-	-	-	-	-	-	-	-	-	-	-
Forfeited or seized property	-	-	-	-	-	-	-	-	-	-	-	-	-
Library	-	-	-	-	-	-	-	3,985	-	-	-	-	-
Copy fees and book dues	-	-	-	-	-	-	6,091,392	-	-	-	-	-	-
Federal government	-	-	-	-	-	-	-	-	-	-	-	-	-
Archives	-	-	-	-	-	-	-	-	-	-	-	-	-
Copy and recording fees	-	-	-	-	-	-	-	-	-	-	-	-	-
Index	-	-	-	-	-	-	-	-	-	-	-	-	-
License fees or registration	-	-	-	-	-	-	-	-	-	-	-	-	-
Subscriptions of publication sales	-	-	-	-	-	-	-	-	-	-	-	-	-
Lobbyist registration fees	-	-	-	-	-	-	-	-	-	-	-	-	-
Lobbyist registration fines	-	-	-	-	-	-	-	-	-	-	-	-	-
Physical Services	-	-	-	-	-	-	-	-	-	-	-	-	-
Parking fees	-	-	-	-	-	-	-	-	-	-	-	-	-
All departments	-	-	-	-	-	-	-	-	-	-	-	-	-
Miscellaneous	-	-	-	-	-	-	-	-	-	-	-	-	-
Employee reimbursements	-	-	-	-	-	-	-	-	-	-	-	-	-
Check Write Off/GO Back	-	-	-	-	-	-	-	-	-	-	-	-	-
Commissions/concessions	-	-	-	-	-	-	-	-	-	-	-	-	-
Historic Preservation	-	-	-	-	-	-	-	-	160,523	-	-	-	-
Illinois Emergency Management Agency	-	-	-	-	-	-	-	-	-	-	-	-	-
Fed motor carrier safety admin	-	-	-	-	-	-	-	-	-	-	-	-	-
Private organizations or individuals	-	-	-	-	-	-	-	-	-	-	-	-	-
Unclaimed assets	-	-	-	-	-	-	-	-	-	-	-	-	-
Repayment to State and return of petty cash	-	-	-	-	-	-	-	-	-	-	-	-	-
Driver Services	-	-	-	-	-	-	-	-	-	-	-	-	-
Operators' license and related fees	-	-	-	-	-	-	-	-	17,160,518	-	-	-	-
Sale of individual driving record	-	-	-	-	-	-	-	-	-	-	-	-	-
Automotive dealer fees	-	-	-	-	-	-	-	-	-	-	-	-	-
Reinsure operators license	-	-	-	-	-	-	-	-	-	-	-	-	-
Sale of vehicle or driver data	-	-	-	-	-	-	-	-	-	-	-	-	-
Standard IL ID card	-	-	-	-	-	-	-	-	-	-	-	-	-
Federal motor safety Admin - Hazmat	-	-	-	-	-	-	-	-	-	-	-	-	-
Private organizations or individuals	-	-	-	939,025	-	-	-	-	-	-	-	-	-
National traffic safety administration - Federal	-	-	-	-	-	-	-	-	40,000	-	-	-	-
Vehicle Services	-	-	-	-	-	-	-	-	-	-	-	-	-
Commercial distribution fee	-	-	-	-	-	-	-	-	-	-	-	-	-
Short term trip permits	-	-	-	-	-	-	-	-	-	-	-	-	-
Personalized license plates	-	-	-	-	-	-	-	-	-	-	-	-	-
Certificate of title	-	-	-	-	-	-	-	-	-	-	-	-	-
Delinquent vehicle register renewal	-	-	-	-	-	-	-	-	-	-	-	-	-
IRP audit fees	-	-	-	-	-	-	-	-	-	-	-	-	-
License fees or registration	55,950	1,756,600	-	-	1,875	1,375	-	-	-	-	22,250	45,058	418,347
SOS Police	-	-	-	-	-	-	-	-	-	-	-	-	-
License fees or registration	-	-	-	-	-	-	-	-	-	-	-	-	-
Motor Vehicle Theft Prevention Council	-	-	-	-	-	-	-	-	-	-	-	-	-
Circuit Clerk fines	-	-	-	-	-	-	-	-	-	-	-	-	-
SOS Police Service fees	-	-	-	-	-	-	-	-	-	-	-	-	-
Fines, penalties or violations	-	-	-	-	-	-	-	-	-	-	-	-	-
Other Illinois State agencies & prior period adjustments	-	-	-	-	-	-	42,070	-	5,614	-	-	-	-
Total cash receipts per Comptroller	55,950	1,756,600	7,045	939,025	1,875	1,375	6,133,462	3,985	24,362,741	430,793	22,250	45,058	418,347
Receipts in transit to State Treasurer	-	-	-	-	-	-	-	-	-	-	-	-	-
June 30, 2007	-	-	-	-	-	-	-	-	-	-	-	-	-
June 30, 2008	-	-	-	-	-	-	-	-	-	-	-	-	-
Receipts in transit to State Comptroller	-	-	-	-	-	-	-	-	-	-	-	-	-
June 30, 2007	-	-	-	-	-	-	-	-	-	-	-	-	-
June 30, 2008	-	-	-	-	-	-	(42,070)	-	(5,614)	-	-	-	-
Prior period and other adjustments	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Agency Receipts, fiscal year 2008	\$ 55,950	\$ 1,756,600	\$ 7,045	\$ 939,025	\$ 1,875	\$ 1,375	\$ 6,091,392	\$ 3,985	\$ 24,357,127	\$ 430,793	\$ 22,250	\$ 45,058	\$ 418,347

Note: The above information has been taken from State Comptroller records and reconciled to the Office's Accounting Revenue Ledger.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER

Year Ended June 30, 2008

Department and Source	Illinois & Michigan Canal Fund #0570	Off Highway Vehicle Fund #0574	Illinois Pan Hellenic Fund #0584	Park District Youth Fund #0585	Hospice Fund #0586	September 11th Heritage Project Fund #0588	Illinois Route 66 Fund #0594	Police Memorial Committee Fund #0598	Mammogram Fund #0599	Motor Vehicle License Plate Fund #0622	Motor Carrier Safety Inspection Fund #0649	Organ Donor Awareness Fund #0716	Secretary of State DUI Administration Fund #0732
Business Services													
Corporation taxes and fees	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Uniform Limited Partnership	-	-	-	-	-	-	-	-	-	-	-	-	-
Uniform Commercial Code	-	-	-	-	-	-	-	-	-	-	-	-	-
Limited Liability Co. Act	-	-	-	-	-	-	-	-	-	-	-	-	-
Limited Liability Partnership	-	-	-	-	-	-	-	-	-	-	-	-	-
Corporate franchise tax amnesty	-	-	-	-	-	-	-	-	-	-	-	-	-
Securities	-	-	-	-	-	-	-	-	-	-	-	-	-
License fees or registration	-	-	-	-	-	-	-	-	-	-	-	-	-
Forfeited or seized property	-	-	-	-	-	-	-	-	-	-	-	-	-
Library	-	-	-	-	-	-	-	-	-	-	-	-	-
Copy fees and book dues	-	-	-	-	-	-	-	-	-	-	-	-	-
Federal government	-	-	-	-	-	-	-	-	-	-	-	-	-
Archives	-	-	-	-	-	-	-	-	-	-	-	-	-
Copy and recording fees	-	-	-	-	-	-	-	-	-	-	-	-	-
Index	-	-	-	-	-	-	-	-	-	-	-	-	-
License fees or registration	-	-	-	-	-	-	-	-	-	-	-	-	-
Subscriptions of publication sales	-	-	-	-	-	-	-	-	-	-	-	-	-
Lobbyist registration fees	-	-	-	-	-	-	-	-	-	-	-	-	-
Lobbyist registration fines	-	-	-	-	-	-	-	-	-	-	-	-	-
Physical Services	-	-	-	-	-	-	-	-	-	-	-	-	-
Parking fees	-	-	-	-	-	-	-	-	-	-	-	-	-
All departments	-	-	-	-	-	-	-	-	-	-	-	-	-
Miscellaneous	-	-	-	-	-	-	-	-	-	-	-	-	-
Employee reimbursements	-	-	-	-	-	-	-	-	-	-	-	-	-
Check Write Off/GO Back	-	-	-	-	-	-	-	-	-	-	-	-	-
Commissions/concessions	-	-	-	-	-	-	-	-	-	-	-	-	-
Historic Preservation	-	-	-	-	-	-	-	-	-	-	-	-	-
Illinois Emergency Management Agency	-	-	-	-	-	-	-	-	-	-	-	-	-
Fed motor carrier safety admin	-	-	-	-	-	-	-	-	-	-	-	-	-
Private organizations or individuals	-	-	-	-	-	-	-	-	-	-	-	-	-
Unclaimed assets	-	-	-	-	-	-	-	-	-	-	-	-	-
Repayment to State and return of petty cash	-	-	-	-	-	-	-	-	-	-	-	-	-
Driver Services	-	-	-	-	-	-	-	-	-	-	-	-	-
Operators' license and related fees	-	-	-	-	-	-	-	-	-	-	2,456,000	-	1,567,368
Sale of individual driving record	-	-	-	-	-	-	-	-	-	-	-	-	-
Automotive dealer fees	-	-	-	-	-	-	-	-	-	-	-	-	-
Reinsure operators license	-	-	-	-	-	-	-	-	-	-	-	-	-
Sale of vehicle or driver data	-	-	-	-	-	-	-	-	-	-	-	-	-
Standard IL ID card	-	-	-	-	-	-	-	-	-	-	-	-	-
Federal motor safety Admin - Hazmat	-	-	-	-	-	-	-	-	-	-	-	-	-
Private organizations or individuals	-	-	-	-	-	-	-	-	-	-	-	-	-
National traffic safety administration - Federal	-	-	-	-	-	-	-	-	-	-	-	-	-
Vehicle Services	-	-	-	-	-	-	-	-	-	-	-	-	-
Commercial distribution fee	-	-	-	-	-	-	-	-	-	-	-	-	-
Short term tip permits	-	-	-	-	-	-	-	-	-	-	-	-	-
Personalized license plates	-	-	-	-	-	-	-	-	-	-	-	-	-
Certificate of title	-	418,574	-	-	-	-	-	-	-	11,270,810	-	-	-
Delinquent vehicle register renewal	-	-	-	-	-	-	-	-	-	-	-	-	-
IRP audit fees	-	-	-	-	-	-	-	-	-	-	-	-	-
License fees or registration	9,125	-	30,500	22,400	1,981	110,375	84,050	111,318	119,210	-	-	155,908	-
SOS Police	-	-	-	-	-	-	-	-	-	-	-	-	-
License fees or registration	-	-	-	-	-	-	-	-	-	-	-	-	-
Motor Vehicle Theft Prevention Council	-	-	-	-	-	-	-	-	-	-	-	-	-
Circuit Clerk fines	-	-	-	-	-	-	-	-	-	-	-	-	-
SOS Police Service fees	-	-	-	-	-	-	-	-	-	-	-	-	-
Fines, penalties or violations	-	-	-	-	-	-	-	-	-	-	-	-	-
Other Illinois State agencies & prior period adjustments	-	-	1,500	-	-	-	-	-	-	668	-	-	-
Total cash receipts per Comptroller	9,125	418,574	32,000	22,400	1,981	110,375	84,050	111,318	119,210	11,271,478	2,456,000	155,908	1,567,368
Receipts in transit to State Treasurer	-	-	-	-	-	-	-	-	-	-	-	-	-
June 30, 2007	-	-	-	-	-	-	-	-	-	-	-	-	-
June 30, 2008	-	-	-	-	-	-	-	-	-	-	-	-	-
Receipts in transit to State Comptroller	-	-	-	-	-	-	-	-	-	-	-	-	-
June 30, 2007	-	-	-	-	-	-	-	-	-	-	-	-	-
June 30, 2008	-	-	-	-	-	-	-	-	-	-	-	-	-
Prior period and other adjustments	-	-	(1,500)	-	-	-	-	-	-	(668)	-	-	-
Total Agency Receipts, fiscal year 2008	\$ 9,125	\$ 418,574	\$ 30,500	\$ 22,400	\$ 1,981	\$ 110,375	\$ 84,050	\$ 111,318	\$ 119,210	\$ 11,270,810	\$ 2,456,000	\$ 155,908	\$ 1,567,368

Note: The above information has been taken from State Comptroller records and reconciled to the Office's Accounting Revenue Ledger.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER

Year Ended June 30, 2008

	Illinois Future Teachers Scholarship Fund #0753	Secretary of State Police DUI Fund #0758	Secretary of State Police Services Fund #0759	U.S. Marine Corps Scholarship Fund #0760	Overpopulation Fund #0764	Parking Facility Maintenance Fund #0782	Cycle Rider Safety Training Fund #0863	International Registration Plan Fund #0890	Library Trust Fund #0893	State Construction Account Fund #0902	Secretary of State Grant Fund #0948	Park and Conservation Fund #0962	Total
Department and Source													
Business Services													
Corporation taxes and fees	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 244,869,168
Uniform Limited Partnership	-	-	-	-	-	-	-	-	-	-	-	-	1,331,693
Uniform Commercial Code	-	-	-	-	-	-	-	-	-	-	-	-	32,764,450
Limited Liability Co. Act	-	-	-	-	-	-	-	-	-	-	-	-	49,231,385
Limited Liability Partnership	-	-	-	-	-	-	-	-	-	-	-	-	420,159
Corporate franchise tax amnesty	-	-	-	-	-	-	-	-	-	-	-	-	430,793
Securities	-	-	-	-	-	-	-	-	-	-	-	-	47,749,519
License fees or registration	-	-	-	-	-	-	-	-	-	-	-	-	410,840
Forfeited or seized property	-	-	-	-	-	-	-	-	-	-	-	-	4,312
Library	-	-	-	-	-	-	-	-	-	-	-	-	6,091,592
Copy fees and book dues	-	-	-	-	-	-	-	-	-	-	-	-	13,287
Federal government	-	-	-	-	-	-	-	-	-	-	-	-	1,367,263
Archives	-	-	-	-	-	-	-	-	-	-	-	-	27,977
Index	-	-	-	-	-	-	-	-	-	-	-	-	588,750
License fees or registration	-	-	-	-	-	-	-	-	-	-	-	-	29,750
Subscriptions of publication sales	-	-	-	-	-	-	-	-	-	-	-	-	8,400
Lobbyist registration fees	-	-	-	-	-	-	-	-	-	-	-	-	57,019
Lobbyist registration fines	-	-	-	-	-	-	-	-	-	-	-	-	8,050
Physical Services	-	-	-	-	-	-	-	-	-	-	-	-	8,169
Parking fees	-	-	-	-	-	-	-	-	-	-	-	-	4,864
All departments	-	-	-	-	-	1,680	-	-	-	-	-	-	3,153
Miscellaneous	-	-	-	-	-	-	-	-	-	-	-	-	354,612
Employee reimbursements	-	-	-	-	-	-	-	-	-	-	-	-	427,201
Check Write Off/GO Back	-	-	-	-	-	-	-	-	-	-	-	-	82,209
Commissions/concessions	-	-	-	-	-	-	-	-	-	-	-	-	433
Historic Preservation	-	-	-	-	-	-	-	-	-	-	-	-	64,935,941
Illinois Emergency Management Agency	-	-	-	-	-	-	-	-	-	-	-	-	34,310,604
Fed motor carrier safety admin	-	-	-	-	-	-	-	-	-	-	-	-	4,781,317
Private organizations or individuals	-	-	-	-	-	-	-	-	-	-	-	-	11,193,010
Unclaimed assets	-	-	-	-	-	-	-	-	-	-	-	-	989,471
Repayment to State and return of petty cash	-	-	-	-	-	-	-	-	-	-	-	-	10,849,256
Driver Services	-	-	-	-	-	-	-	-	-	-	-	-	90,406
Operators' license and related fees	-	-	-	-	-	-	917,335	-	-	-	-	-	1,674,719
Check Write Off/GO Back	-	-	-	-	-	-	-	-	-	-	-	-	735,694
Sale of individual driving record	-	-	-	-	-	-	-	-	-	-	-	-	40,000
Automotive dealer fees	-	-	-	-	-	-	-	-	-	-	-	-	50,429,076
Reinsure operators license	-	-	-	-	-	-	-	-	-	-	-	-	940,108
Sale of vehicle or driver data	-	-	-	-	-	-	-	-	-	-	-	-	9,009,370
Standard IL ID card	-	-	-	-	-	-	-	-	-	-	-	-	133,526,732
Federal motor safety Admin - Hazmat	-	-	-	-	-	-	-	-	-	-	-	-	5,635,400
Private organizations or individuals	-	-	-	-	-	-	-	-	-	-	-	-	7,572,960
National traffic safety administration - Federal	-	-	-	-	-	-	-	-	-	-	-	-	75
Vehicle Services	-	-	-	-	-	-	-	-	-	-	-	-	1,391,446,156
Commercial distribution fee	-	-	-	-	-	-	-	-	-	-	-	-	50,429,076
Short term trip permits	-	-	-	-	-	-	-	-	-	-	-	-	940,108
Personalized license plates	-	-	-	-	-	-	-	-	-	-	-	-	9,009,370
Certificate of title	-	-	-	-	-	-	-	-	-	-	-	-	133,526,732
Delinquent vehicle register renewal	-	-	-	-	-	-	-	-	-	-	-	-	5,635,400
IRP audit fees	-	-	-	-	-	-	-	-	-	-	-	-	7,572,960
License fees or registration	37,159	-	-	54,508	112,150	-	3,370,472	160,120,285	-	504,113,222	-	-	75
SOS Police	-	-	-	-	-	-	-	-	-	-	-	-	1,391,446,156
License fees or registration	-	-	-	-	-	-	-	-	-	-	-	-	50,429,076
Motor Vehicle Theft Prevention Council	-	-	-	-	-	-	-	-	-	-	-	-	940,108
Circuit Clerk fines	-	14,804	-	-	-	-	-	-	-	-	-	-	9,009,370
SOS Police Service fees	-	-	8,664	-	-	-	-	-	-	-	-	-	133,526,732
Fines, penalties or violations	-	-	39,569	-	-	-	-	-	-	-	-	-	5,635,400
Other Illinois State agencies & prior period adjustments	-	-	-	-	-	-	-	-	-	-	-	-	7,572,960
Total cash receipts per Comptroller	37,159	14,804	48,233	54,508	112,150	1,680	4,287,907	160,120,285	-	504,113,222	735,694	5,635,400	2,080,340,096
Receipts in transit to State Treasurer	-	-	-	-	-	-	-	-	-	-	-	-	-
June 30, 2007	-	-	-	-	-	-	-	-	-	-	-	-	-
June 30, 2008	-	-	-	-	-	-	-	-	-	-	-	-	58,227,740
Receipts in transit to State Comptroller	-	-	-	-	-	-	-	-	-	-	-	-	(52,959,850)
June 30, 2007	-	-	-	-	-	-	-	-	-	-	-	-	(179,478,917)
June 30, 2008	-	-	-	-	-	-	-	-	-	-	-	-	165,721,485
Prior period and other adjustments	-	-	-	-	-	-	-	-	-	-	-	-	(144,232)
Total Agency Receipts, fiscal year 2008	\$ 37,159	\$ 14,804	\$ 48,233	\$ 54,508	\$ 112,150	\$ 1,680	\$ 4,287,907	\$ 160,120,285	\$ -	\$ 504,113,222	\$ 735,694	\$ 5,635,400	\$ 2,071,706,322

Note: The above information has been taken from State Comptroller records and reconciled to the Office's Accounting Revenue Ledger.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES

Years ended June 30, 2009 and June 30, 2008

The following are explanations of variations in expenditures which exceed \$25,000 and 15% or more as compared to the prior year:

Operations:

1. Employee retirement contributions decreased by \$662,633, or 16.42%, between fiscal years 2007 and 2008 and decreased by \$1,356,022, or 40.20%, between fiscal years 2008 and 2009. The Office began reallocating personnel resources from the operational programs to the lump sum programs beginning in 2008 and continuing in 2009. The subsequent retirement contributions in the operational programs decreased each of those years.
2. Group insurance increased by \$469,269 or 23.68%, between fiscal years 2007 and 2008 and decreased \$649,223 or 26.49%, between fiscal years 2008 and 2009. Group insurance costs are directly related to personal services costs and these fluctuations were caused by the differences in personnel costs.
3. State Retirement Fund contributions increased by \$9,408,281, or 49.51%, between fiscal years 2007 and 2008, and increased by \$6,940,871, or 24.43% between fiscal years 2008 and 2009. The fluctuations are the result of a change in the State Retirement Fund contribution rates from 11.525% in FY 2007 to 16.561% in FY 2008 to 21.049% in FY 2009.
4. Travel expenditures decreased by \$453,068, or 55.87%, between fiscal years 2008 and 2009. Funding for travel was significantly reduced in the final FY09 budget. During Fiscal Year 2009, the Secretary of State's Office did a review of all travel activities in order to determine the absolute necessity for travel as well as the benefit to the state. As a result of this review, overall employee travel was limited and the Office was able to utilize phone and video conferences.
5. Printing expenditures decreased by \$2,176,750, or 51.06%, between fiscal years 2008 and 2009. Funding for printing was significantly reduced in the final FY09 budget. The Office made a concerted effort to cut printing costs in the midst of the State's financial situation. The Office was able to identify publications that could be published electronically instead of printed. The Office also reduced the number of forms and envelopes used through standardization and made other cuts to reduce printing costs.
6. Commodities expenditures increased \$1,135,804, or 40.03%, from fiscal years 2008 to 2009, and decreased \$1,049,484, or 27%, between fiscal years 2007 and 2008. Commodities are ordered as needed. In 2008 the Office bought \$1,048,000 less in license plates than in 2007. In 2009 the Office purchased \$548,000 more in license plates and \$536,000 more in coal than in 2008.
7. Equipment expenditures decreased \$2,776,203, or 66.94%, from fiscal years 2008 to 2009, and increased \$1,778,826, or 75.10%, between fiscal years 2007 and 2008. The Office replaced approximately 4,000 computers beginning late in 2007 and continuing into 2008. This purchase

created the increase of \$1.8M in 2008 over 2007 and also is the reason equipment expenditures decreased in 2009.

8. Operation of Automotive Equipment expenditures decreased \$292,194, or 23.46%, from fiscal years 2008 to 2009. The decrease in Operation of Automotive Equipment expenditures was a result in the reduction of travel explained above.
9. The FY09 Budget Relief Fund increased from \$0 to \$11,300,000 between fiscal years 2008 and 2009. FY09 was the first year the Office received appropriations from the FY09 Budget Relief Fund. The appropriations were expended primarily for personnel related costs and for general operations of the Office.
10. Interagency Grant Fund expenditures decreased \$261,860 or 16.49%, from fiscal years 2008 to 2009. There was a one-time grant purchase of a bomb squad unit in 2008 of \$180,000 that was not made in 2009. The Office also had expenditures related to an IEPA Clean Air Act grant for the full year in 2008 that closed out early in 2009, which represents an additional decrease of \$70,000 of expenditures in 2009.
11. Secretary of State Grant Fund expenditures increased \$78,612, or 35.80%, from fiscal years 2008 to 2009 and increased \$36,723, or 20.08%, from fiscal years 2007 to 2008. This fund depends on funding made available from non-governmental sources for specified programs. Expenditures increased in both 2008 and 2009 as additional funds were available in those years.
12. Securities Investors' Education Fund increased \$588,310, or 238.53%, from fiscal years 2008 to 2009 and increased \$35,623 or 16.88%, between fiscal years 2007 and 2008. The increase in 2008 was due to the purchase of replacement vehicles for Securities staff. The increase in 2009 was due to a reallocation of personnel to the Securities Department.
13. Secretary of State DUI Administration Fund expenditures increased \$238,304, or 18.08%, between fiscal years 2008 and 2009. The variance in expenditures is due to the addition of the BAIID unit created in FY09.
14. The Secretary of State Police DUI Fund decreased by \$38,451 or 74.88%, between fiscal years 2008 and 2009, and increased \$48,648 or 1,799.78%, between fiscal years 2007 and 2008. The changes are due to the available receipts into the fund.
15. The Secretary of State Special Services Fund decreased \$3,211,216 or 24.93% between fiscal years 2008 and 2009. The decrease in 2009 was due to a reallocation of personnel.
16. Secretary of State Identification and Theft Prevention Fund expenditures increased from \$0 to \$2,584,033 between fiscal years 2008 and 2009. The increase is due to the Office initiating its project to strengthen the security and identity validation of state issued identification cards.
17. Secretary of State Federal Projects Fund expenditures decreased by \$97,897 or 88.75%, between fiscal years 2008 and 2009. The Office had been collecting fees on behalf of the US Department of Homeland Security for the fingerprinting of HAZMAT licensed drivers. This agreement expired in 2008 which is the reason for the decrease in expenditures from this fund.

18. Secretary of State Constitutional Convention expenditures increased from \$0 to \$2,365,416 between fiscal years 2008 and 2009. The Office was responsible for the advertisement and dissemination of information regarding the once every 20 year ballot question on whether to convene a Statewide Constitutional Convention in 2009.
19. The Franchise Tax and License Fee Amnesty Administration Fund expenditures increased from \$0 to \$428,809 between 2008 and 2009. The State offered a one-time amnesty program to delinquent corporate franchise taxpayers and this fund was used to pay for the administration of the program.

Awards and grants

20. Annual library technology grants increased \$424,759, or 36.96%, from fiscal years 2008 to 2009 and decreased \$450,600, or 28.16%, from fiscal years 2007 and 2008. This was due to technology grants being reduced as part of an overall reallocation of Office grant funds in 2008. In 2009, the Office restored its funding of technology grants to pre-2008 levels.
21. Securities Audit & Enforcement Fund (non-appropriated) expenditures increased from \$0 to \$411,415 between fiscal years 2007 and 2008. The increase was due to a seized property payment to victims.

Permanent improvements

22. Expenditures for capitol complex security decreased by \$1,445,599, or 36.92%, between fiscal years 2008 and 2009. The decrease is due to a reallocation of personnel out of the capital complex security line into other functions within the Office.
23. Expenditures for other permanent improvements decreased by \$1,036,120, or 100.00%, between fiscal years 2008 and 2009. This decrease occurred because the Office did not receive the authority to expend the funds until too late in the fiscal year. Year to year expenditures from this account have a tendency to vary due to the nature of the projects being done. These are all one-time projects done to the buildings and grounds maintained by the Secretary of State.

Refunds

24. Refunds increased by \$300,791, or 15.20%, between fiscal years 2008 and 2009. The fluctuation depends on the amount of refunds submitted.
25. Corporate franchise tax refunds decreased by \$185,835, or 34.56%, between fiscal years 2007 and 2008. The Office issues refunds when corporations pay more franchise taxes than required. The fluctuation depends on the amount of refunds due to various corporations.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS

The following are explanations of variations in receipts that exceed \$25,000 and 15% or more as compared to the prior year.

Business Services

1. Corporation taxes and fees: Receipts increased \$35,944,626, or 17.2%, from fiscal year 2007 to 2008. This increase was due to a franchise tax amnesty program implemented in fiscal year 2008, as well as a large payment of franchise taxes following a corporate merger.
2. Uniform limited partnership: Receipts decreased \$299,427, or 18.4%, from fiscal year 2007 to 2008 and increased \$236,961, or 17.8%, from fiscal year 2008 to 2009. On January 1, 2008, a new Limited Partnership Act went into effect. Most of the decrease in fiscal year 2008 occurred due to the transition to this new Act and the timing of when limited partnerships need to file their annual reports.

Securities

3. Forfeited or seized property: The Office only recently, through a legislative change, gained the ability to seize property related to Securities fraud violations in order to return these assets to the victims. The first case where a seizure took place occurred in fiscal year 2008. A second seizure occurred in fiscal year 2009. Due to the nature of these receipts, the amounts may vary widely from year to year.

All Departments

4. Illinois Emergency Management Agency (IEMA): In fiscal year 2008, grants originating from the Office of Homeland Security, and passed through IEMA, were awarded to the Department of Police for the purchase of bomb squad equipment and to Department of Information Technology to provide electronic photographs to law enforcement personnel. Both grants ended in fiscal year 2009, thus the decrease in receipts.
5. Department of Transportation: The Office received a grant from the U.S. Department of Transportation regarding social security on-line verification in fiscal year 2007. No grants were received in fiscal year 2008 or 2009, thus the absence of receipts.
6. Federal Motor Carrier Safety Administration: In fiscal year 2007 and fiscal year 2008, grants were received for Commercial Driver License automated testing equipment. All obligations regarding these grants were met in fiscal year 2008 and no grant was received in fiscal year 2009.
7. Oklahoma Department of Motor Vehicles: In fiscal year 2007, the Office received \$268,707 in penalties and interest from the Oklahoma DMV as a result of their failure to properly distribute fees to Illinois through the International Registration Plan.
8. Unclaimed assets: These revenues are received from the Treasurer's office and vary widely from year to year.

Driver Services

9. Sale of vehicle or driver data: These revenues include bulk data statistic fees. With the Office's new electronic registration and title system, more data fees are being collected from outside entities, which resulted in the increase of \$357,291 in fiscal year 2008.
10. Administration – Hazardous Materials: In fiscal year 2009, the Office was no longer required by the Federal Transportation Security Administration to collect fingerprinting fees for all commercial licensed drivers of hazardous materials.
11. Private organizations or individuals: In fiscal year 2008, the Office received two large payments totaling \$723,640 for court-ordered restitution in the cases involving former Governor George Ryan and former employees convicted in a ghost payrolling scheme. These were both one-time receipts, thus the decrease in receipts in fiscal year 2009.

Vehicles Services

12. Vehicle inspection: In fiscal year 2007, the Office received a one-time grant of \$393,000 from the Illinois Environmental Protection Agency to administer the Vehicle Emissions Inspection Law.

SOS Police

13. Other Illinois State agencies and prior period adjustments: Prior period adjustments are 1) the refund of an expended appropriation from a prior year and 2) the correction of revenues that were posted to the wrong fund in a prior year. The correction of revenues net effect for the current period will be zero because each adjustment will have a source and a destination fund. The remainder is prior year refunds.

The SOS has over 40 operational appropriated funds and over \$395 million in annual appropriations. The volume of vouchers processed and the large sum of funds expended each year typically result in the fluctuation of prior year refunds.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
Year Ended June 30, 2009

	Lapse Period July 1- <u>August 31</u>	<u>Total</u> <u>Expenditures</u>	<u>Percent</u>
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The State of Illinois, Office of the Secretary of State's explanations of lapse period expenditures which exceed \$10,000 and 20% of total fiscal year expenditures are detailed below:

GENERAL REVENUE FUND - 001

EXECUTIVE GROUP

EXTRA HELP	10,563	46,866	22.54%
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Significant lapse period expenditures included overtime and student workers.

GENERAL ADMINISTRATIVE GROUP

PRINTING	182,962	198,131	92.34%
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Significant lapse period expenditures due to the printing of the 2009-2010 Handbook of Illinois State Government.

EQUIPMENT	440,600	752,272	58.57%
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Equipment items frequently have a long time period between the beginning of the approval process, actually ordering the equipment, and paying the bill after receipt. Significant lapse period expenditures include 9 vehicles, a microfilm scanner, server and related EDP equipment.

MOTOR VEHICLE GROUP

CONTRACTUAL SERVICES	1,118,890	4,129,850	27.09%
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Significant lapse period expenditures due to CWLP electricity payment.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
Year Ended June 30, 2009

	Lapse Period July 1- <u>August 31</u>	Total <u>Expenditures</u>	<u>Percent</u>
EQUIPMENT	204,920	264,570	77.45%

Equipment items frequently have a long time period between the beginning of the approval process, actually ordering the equipment, and paying the bill after receipt. Significant lapse period expenditures included 11 replacement vehicles.

ROAD FUND - 011

MOTOR VEHICLE GROUP

COMMODITIES	208,766	575,136	36.30%
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Significant Lapse Period Expenditures included office supplies for departments whose stock needed filled so that employees would have the resources necessary to perform their job duties. Items were ordered towards end of fiscal year, so bills were received and paid during lapse period.

EQUIPMENT	84,697	102,845	82.35%
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Equipment items frequently have a long time period between the beginning of the approval process, actually ordering the equipment, and paying the bill after receipt. Significant lapse period expenditures included a server and related EDP equipment.

LIVE & LEARN FUND - 026

GENERAL ADMINISTRATIVE GROUP

ANNUAL EQUALIZATION GRANTS	4,404,851	16,003,545	27.52%
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Grant money is based on annual percentage distribution sold and resolution was not finalized until late in fiscal year, which caused expenditures to be paid in lapse period.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
Year Ended June 30, 2009

	Lapse Period July 1- <u>August 31</u>	<u>Total</u> <u>Expenditures</u>	<u>Percent</u>
SECURITIES AUDIT & ENFORCEMENT FUND - 362			

GENERAL ADMINISTRATIVE GROUP

EQUIPMENT	100,000	104,818	95.40%
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Equipment items frequently have a long time period between the beginning of the approval process, actually ordering the equipment, and paying the bill after receipt. Significant lapse period expenditures included 6 replacement vehicles.

SEIZURE WARRANTS	188,183	416,951	45.13%
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The Office's securities department received a court order late in fiscal year 2009 that allowed for the return of assets seized and forfeited from a securities investigation. Due to the timing of that court order, most of those expenditures were made during the lapse period.

DEPARTMENT OF BUSINESS SERVICES SPECIAL OPERATIONS FUND - 363

GENERAL ADMINISTRATIVE GROUP

OPERATION OF AUTOMOTIVE	24,691	62,518	39.49%
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Significant lapse period expenditures included gas for automobiles for FY09, but invoices were not received and paid until lapse period.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
Year Ended June 30, 2009

	Lapse Period July 1- <u>August 31</u>	Total <u>Expenditures</u>	<u>Percent</u>
SOS SECURITY & THEFT PREVENTION FUND - 480			
<u>MOTOR VEHICLE GROUP</u>			
REAL ID	587,302	2,584,033	22.73%

Equipment and EDP items frequently have a long time period between the beginning of the approval process, actually ordering the equipment, and paying the bill after receipt. Significant lapse period expenditures included toners and printers.

SECRETARY OF STATE SPECIAL SERVICES FUND - 483

GENERAL ADMINISTRATIVE GROUP

GRANTS TO LIBRARY SYSTEMS	98,340	221,495	44.40%
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Equipment items frequently have a long time between the beginning of the approval process, actually ordering the equipment, and paying the bill after receipt. Significant lapse period expenditures included last payment for Digital Drivers License contract, EDP Equipment, maintenance, and supplies.

ILLINOIS PAN HELLENIC TRUST FUND - 584

GENERAL ADMINISTRATIVE GROUP

FOR CHARITABLE PURPOSES	22,150	22,150	100.00%
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Grant money is based on annual percentage distribution sold and resolution was not finalized until late in fiscal year, which caused expenditures to be paid in lapse period.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
Year Ended June 30, 2009

	Lapse Period July 1- <u>August 31</u>	Total <u>Expenditures</u>	<u>Percent</u>
ALTERNATIVE FUELS FUND - 422			
<u>MOTOR VEHICLE GROUP</u>			
ALTERNATIVE FUELS ACT ADMINISTRATION	61,158	224,966	27.19%

Significant lapse period expenditures due to CWLP electricity payment.

FY09 BUDGET RELIEF FUND - 678

GENERAL ADMINISTRATIVE GROUP

ORDINARY & CONTINGENT EXP.	3,031,131	11,300,000	26.82%
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Significant lapse period expenditures primarily included expenditures for electricity, architectural engineering fees, and payroll. This fund was established in December 2008 to supplement the General Revenue Fund appropriations, and therefore there was not a full year of availability of the appropriations.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
Year Ended June 30, 2008

	Lapse Period July 1- <u>August 31</u>	<u>Total</u> <u>Expenditures</u>	<u>Percent</u>
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The State of Illinois, Office of the Secretary of State's explanations of lapse period expenditures which exceed \$10,000 and 20% of total fiscal year expenditures are detailed below:

GENERAL REVENUE FUND - 001

EXECUTIVE GROUP

EXTRA HELP	10,168	44,613	22.79%
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Significant lapse period expenditures included overtime and student workers.

EQUIPMENT	100,728	187,946	53.59%
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Equipment purchases frequently have a long time period between the beginning of the approval process, actually ordering the equipment, and paying the bill after receipt. Significant lapse period expenditures included 5 vehicles and 23 high powered shredders.

GENERAL ADMINISTRATIVE GROUP

PRINTING	203,314	421,721	48.21%
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Significant lapse period expenditures included printing of session laws and the delivery of a large paper order.

EQUIPMENT	869,988	1,463,305	59.45%
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Equipment items frequently have a long time period between the beginning of the approval process, actually ordering the equipment, and paying the bill after receipt. Significant lapse period expenditures included EDP equipment such as monitors, keyboards and computers.

OPERATION OF AUTOMOTIVE	102,700	449,117	22.87%
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Significant lapse period expenditures included payment of FY2008 gas but invoices were not received and paid until lapse period.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
Year Ended June 30, 2008

	Lapse Period July 1- <u>August 31</u>	Total <u>Expenditures</u>	<u>Percent</u>
TECHNOLOGY GRANTS	638,775	639,939	99.82%

Grant money is based on annual percentage distribution sold and resolution was not finalized until late in fiscal year, which caused expenditures to be paid in lapse period.

MOTOR VEHICLE GROUP

EQUIPMENT	72,930	236,468	30.84%
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Equipment items frequently have a long time period between the beginning of the approval process, actually ordering the equipment, and paying the bill after receipt. Significant lapse period expenditures included credit card terminals.

TELECOMMUNICATIONS	128,932	419,108	30.76%
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Significant lapse period expenditures included payment for STARCOM service and CMS billings.

ROAD FUND - 011

GENERAL ADMINISTRATIVE GROUP

CONTRACTUAL SERVICES	300,000	1,101,680	27.23%
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Significant lapse period expenditure was payment to CWLP.

MOTOR VEHICLE GROUP

PRINTING	184,962	824,424	22.44%
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Significant lapse period expenditures were for the printing of temporary registration permits.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
Year Ended June 30, 2008

	Lapse Period July 1- <u>August 31</u>	Total <u>Expenditures</u>	<u>Percent</u>
LIVE & LEARN FUND - 026			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
TECHNOLOGY GRANTS	658,550	684,761	96.17%

Grant money is based on annual percentage distribution sold and resolution was not finalized until late in fiscal year, which caused expenditures to be paid in lapse period.

CDLIS/AAMVANET TRUST FUND - 109

MOTOR VEHICLE GROUP

EQUIPMENT	202,575	222,040	91.23%
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Equipment items frequently have a long time period between the beginning of the approval process, actually ordering the equipment, and paying the bill after receipt. Significant lapse period expenditures included QMATIC CPUs and monitors for various facilities.

CAPITAL DEVELOPMENT FUND - 141

GENERAL ADMINISTRATIVE GROUP

FOR NEW CONSTRUCTION & ALTERATIONS	640,399	996,120	64.29%
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Many projects are not completed until late in the fiscal year and some may even continue to the next fiscal year, causing expenditures in lapse period.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
Year Ended June 30, 2008

	Lapse Period July 1- <u>August 31</u>	<u>Total</u> <u>Expenditures</u>	<u>Percent</u>
SECRETARY OF STATE SPECIAL LICENSE PLATE FUND - 185			

MOTOR VEHICLE GROUP

PRINTING	565,499	2,496,960	22.65%
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Significant lapse period expenditures were for the printing of temporary registration permits.

EQUIPMENT	87,216	87,216	100.00%
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Equipment items frequently have a long time period between the beginning of the approval process, actually ordering the equipment, and paying the bill after receipt. Significant lapse period expenditures include 300 handheld scanners for the reading of scan lines and/or barcodes on renewals, sticker books and applications.

SECURITIES INVESTOR EDUCATION FUND - 292

GENERAL ADMINISTRATIVE GROUP

FOR EXPENSES TO PROMOTE PUBLIC	73,751	246,635	29.90%
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Significant lapse period expenditures included the purchase of three replacement vehicles.

SECURITIES AUDIT & ENFORCEMENT FUND - 362

GENERAL ADMINISTRATIVE GROUP

EQUIPMENT	80,882	163,354	49.51%
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Equipment items frequently have a long time period between the beginning of the approval process, actually ordering the equipment, and paying the bill after receipt. Significant lapse period expenditures included five replacement vehicles.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
Year Ended June 30, 2008

	Lapse Period July 1- <u>August 31</u>	Total <u>Expenditures</u>	<u>Percent</u>
COURT ORDER NO. 6	409,518	411,415	99.54%

The Office's securities department received a court order late in the fiscal year that allowed for the return of assets seized and forfeited from a securities investigation. Due to the timing of that court order, most of those expenditures were made during the lapse period.

SECRETARY OF STATE SPECIAL SERVICES FUND - 483

GENERAL ADMINISTRATIVE GROUP

GRANTS TO LIBRARY SYSTEMS	161,723	225,876	71.60%
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Grant money is based on annual percentage distribution sold and resolution was not finalized until late in fiscal year, which caused expenditures to be paid in lapse period.

SOS POLICE DUI FUND - 758

MOTOR VEHICLE GROUP

PAYMENT OF GOODS & SERVICES	45,701	51,351	89.00%
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Equipment items frequently have a long time period between the beginning of the approval process, actually ordering the equipment, and paying the bill after receipt. Significant lapse period expenditures included purchase of IWINS.

STATE PARKING FACILITY MAINTENANCE FUND - 782

GENERAL ADMINISTRATIVE GROUP

MAINTENANCE	33,240	40,000	83.10%
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Many projects are not completed until late in fiscal year causing expenditures in lapse period.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
Year Ended June 30, 2008

	Lapse Period July 1- <u>August 31</u>	Total <u>Expenditures</u>	<u>Percent</u>
SOS GRANT FUND - 948			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
EXPENSES PER GRANT AWARDS	200,000	219,600	91.07%

Significant lapse period expenditure was payment to CWLP.

VEHICLE INSPECTION FUND - 963

MOTOR VEHICLE GROUP

EQUIPMENT	90,836	117,171	77.52%
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Equipment items frequently have a long time period between the beginning of the approval process, actually ordering the equipment, and paying the bill after receipt. Significant lapse period expenditures included shredders and a sealing machine.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ANALYSIS OF CASH RECEIPTS
(UNAUDITED)

COMPARISON OF RECEIPTS

Vehicle Services and Driver Services Departments

Passenger vehicle and truck registrations accounted for \$1,452,578,945, or 72% and \$1,491,290,688, or 72% of total receipts per the Office's accounting revenue ledger during fiscal years 2009 and 2008, respectively.

The following information indicates the volume of transactions by fiscal year of sale:

	Year ended June 30,		
	2009	2008	2007
Passenger car plates	7,986,764	8,060,926	8,023,508
Taxi and livery, ambulance - hearse plates	20,477	21,133	20,625
Truck and bus plates	1,836,208	1,877,417	1,884,152
Trailer and semi-trailer plates	832,996	857,917	873,112
Motorcycle plates	348,192	331,829	307,357
Dealers and in-transit plates	76,246	81,169	85,380
Service fees on license transfers	607,130	726,292	762,804
Drivers' licenses	3,320,510	3,409,710	3,259,258
Drivers' histories	5,412,886	5,718,973	5,628,565
Duplicates and replacements - plates and drivers licenses	276,042	293,615	299,824
Titles and duplicates	2,953,443	3,295,266	3,325,333
Other	<u>4,078,383</u>	<u>3,919,949</u>	<u>3,871,719</u>
 Total	 <u>27,749,277</u>	 <u>28,594,196</u>	 <u>28,341,637</u>

Department of Business Services

The second largest cash collecting department in the Office of the Secretary of State is the Department of Business Services, whose primary responsibility is administration of the Business Corporation Act. A total of 385,948 domestic and foreign business corporations filed returns under the Act reporting information which permits the Department to calculate the franchise tax, the largest source of receipts for this Department.

Franchise taxes and licenses fees are collected annually from each corporation. In addition, franchise taxes are payable to the Office upon stock issuances and on increases in paid-in capital. As a result, franchise tax collections fluctuate from year to year.

Other collections by the Department of Business Services include filing fees required with applications for articles of incorporation, certificates of authority of foreign corporations, articles of amendments, articles of merger or consolidation, certificates of withdrawal, reservations of name and filing fees collected from approximately 87,000 not-for-profit corporations.

The following table indicates the collections in the General Revenue Fund by the Department of Business Services for corporation taxes and fees in each of the last five fiscal years and the number of business corporations registered in Illinois in each of the last five calendar years:

Year ended <u>June 30</u>	Receipts <u>Amount</u>	<u>Number of Registered Corporations</u>			
		Year ended <u>December 31</u>	<u>Total</u>	<u>Domestic</u>	<u>Foreign</u>
2009	\$201,348,666	2008	385,948	352,881	33,067
2008	225,168,145	2007	382,413	349,458	32,955
2007	193,289,774	2006	374,096	341,303	32,793
2006	181,479,827	2005	366,721	333,726	32,995
2005	181,126,038	2004	357,114	323,789	33,325

The Uniform Commercial Code Division of the Department of Business Services collects fees through its administration of records involving security interests and commercial transactions as directed by the Uniform Commercial Code. A summary of the collections in the General Revenue Fund for the last five years follows:

<u>Year ended June 30</u>	<u>Amount</u>
2009	\$1,348,084
2008	1,421,634
2007	1,412,970
2006	1,411,224
2005	1,256,621

Securities Department

The Securities Department administers the Illinois Securities Law of 1953 which relates to:

1. Registration of securities in the State of Illinois before public offering or sale.
2. Registration of securities dealers and salespersons.
3. Registration of investment advisers and investment adviser representatives.

Registration of securities for sale in the State and the related receipts fluctuate depending upon general economic and stock market conditions. A summary of collections in the General Revenue Fund during each of the five fiscal years ended June 30 and the value of debt and equity securities in each of the five years ended December 31 follows:

<u>Receipts</u>		<u>Equity Securities Registered</u>	
<u>Year ended</u>		<u>Year ended</u>	
<u>June 30</u>	<u>Amount</u>	<u>June 30</u>	<u>Amount</u>
2009	\$38,435,398	2009	\$ 70,645,219,873
2008	37,347,058	2008	86,621,314,543
2007	36,013,498	2007	126,918,643,636
2006	34,031,608	2006	120,872,111,709
2005	32,164,035	2005	121,488,100,231

Index Department

The Index Department collects fees for the registration of notaries public, issuance of certified copies, judges' fees, and photostats. A summary of receipts for the last five fiscal years follows:

<u>Year ended</u>	<u>Amount</u>
<u>June 30</u>	
2009	\$1,359,320
2008	1,367,263
2007	1,286,230
2006	1,462,761
2005	1,311,988

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF ACCOUNTS RECEIVABLE

June 30, 2009 and 2008

(Amounts Expressed in Thousands)

The Office's receivable balances consist of amounts due from the federal government for grant programs, corporate franchise taxes receivable, and various fees due to the Office (and also includes amounts owed to the Office for checks returned for same.)

Accounts receivable balances at June 30, 2009 and 2008 are summarized as follows:

	<u>2009</u>	<u>2008</u>
Taxes receivable	\$ 4,127	\$ 3,301
Due from federal government	1,655	1,409
Other receivables	<u>12,405</u>	<u>11,383</u>
	<u>\$ 18,187</u>	<u>\$ 16,093</u>

An aging schedule of the Office's accounts receivable at June 30, 2009 is presented below:

<u>Fund</u>	<u>Current</u>	<u>1 to 30 Days</u>	<u>31 to 90 Days</u>	<u>91 to 180 Days</u>	<u>181 to 365 Days</u>	<u>Over 365 Days</u>	<u>Total</u>
General Revenue	\$ 3,711	\$ 34	\$ 36	\$ 151	\$ 102	\$ 2,939	\$ 6,973
Road	3,566	173	260	314	300	1,845	6,458
Drivers Education	-	2	1	2	2	8	15
Library Service	569	-	-	-	-	16	585
State Library	-	-	1	-	-	-	1
Secretary of State ID Security	1,086	-	-	-	-	-	1,086
Secretary of State International Registration Plan	-	-	-	-	-	19	19
State Library Trust	-	-	-	-	-	4	4
State Construction Account	<u>2,099</u>	<u>102</u>	<u>153</u>	<u>184</u>	<u>176</u>	<u>1,084</u>	<u>3,798</u>
Total	<u>\$ 11,031</u>	<u>\$ 311</u>	<u>\$ 451</u>	<u>\$ 651</u>	<u>\$ 580</u>	<u>\$ 5,915</u>	18,939
Accrued interest receivable - all funds							6
Allowance for uncollectible accounts							<u>(758)</u>
Net Receivable							<u>\$ 18,187</u>

The Office utilizes internal collection techniques, the Comptroller Offset System, the Attorney General, and private collection agencies to collect unpaid receivables.

**STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
FUNCTIONS AND PLANNING PROGRAM**

Years ended June 30, 2009 and 2008

Jesse White, Illinois' 37th Secretary of State, assumed the office on January 11, 1999. The principal office of the Office is in the State Capitol, 213 Capitol Building, Springfield, Illinois 62756. The Secretary also maintains administrative offices in Chicago, as well as facilities throughout the State for drivers' licenses and motor vehicle related services.

The diverse responsibilities of this Office include the registering and titling of motor vehicles; issuing drivers' licenses; scheduling and conducting formal and informal hearings on driving privileges and registration matters; administering Safety and Financial Responsibility laws; maintaining a vast data processing system which also assists law enforcement with immediate driver and motor vehicle information; and maintaining a Department of Police to enforce compliance with the provisions of the Illinois Vehicle Code, investigate code violations and maintain security at the Capitol Complex area in Springfield.

Other responsibilities include issuing corporate charters and certificates; registering dealers, brokers, agents, solicitors and investment advisors for securities; regulating the issuance of securities and enforcement of the Illinois Securities Law; retaining Uniform Commercial Code filings reflecting security interests of creditors financing businesses on the basis of secured transactions and maintains the organ donation registry to match donors with recipient needs.

The Office is responsible for filing a significant number of legal and statutory documents, including Public Acts passed by the General Assembly, gubernatorial and amendatory vetoes, as well as registering trademarks, copyrights, notaries public and lobbyists. The Secretary serves as the Illinois State Librarian, State Archivist, and Ex-officio Clerk of the Court of Claims. The Office publishes the Rules of the Road, the Handbook of Illinois Government, the Illinois Blue Book, the Illinois Administrative Code, as well as other general educational materials for public distribution.

Twenty (20) departments administer the various functions and duties prescribed by law and carry out the aforementioned responsibilities. The diversity of these responsibilities necessitates a comprehensive planning program.

The Office has a planning program, in which individual department goals are developed and updated at least annually. Upper management oversees the individual departments and is ultimately responsible for establishing Office-wide goals that will be accomplished if the individual department goals are met. The Office's plans consider resources, alternative approaches, and the feasibility of implementing programs pursuant to the plan and time schedule. External considerations include the needs of people of Illinois, legislative mandates and coordination with goals and objectives of other agencies for providing similar services.

STATE OF ILLINOIS
OFFICE OF SECRETARY OF STATE

ANALYSIS OF PERSONAL SERVICES

The following schedules show the average number of Illinois Office of the Secretary of State employees for the years ended June 30, 2009, 2008 and 2007, and the expenditures from the personal service accounts for those fiscal years. Extra help consists of student workers, intermittent employees and other temporary help.

	Average Number of Employees For the Year Ended June 30					
	2009		2008		2007	
	Regular Positions	Extra Help	Regular Positions	Extra Help	Regular Positions	Extra Help
Operating Groups:						
Executive group	84	3	80	4	76	2
General administrative group	987	56	1,231	73	1,053	55
Motor vehicle group	2,488	378	2,387	323	2,464	359
	<u>3,559</u>	<u>437</u>	<u>3,698</u>	<u>400</u>	<u>3,593</u>	<u>416</u>
	Expenditures Year Ended June 30 (Including lapse period expenditures)					
	2009	2008		2007		
Operating Groups:						
Executive group	\$ 5,289,097	\$ 5,351,503	\$ 4,921,266			
General administrative group	64,534,311	63,087,317		59,031,318		
Motor vehicle group	114,003,390	115,177,041		109,234,175		
	<u>183,826,798</u>	<u>\$ 183,615,861</u>	<u>\$ 173,186,759</u>			
Detail object code:						
Regular positions	\$ 175,490,657	\$ 176,288,826	\$ 165,815,832			
Extra help	8,336,141	7,327,035		7,370,927		
	<u>\$ 183,826,798</u>	<u>\$ 183,615,861</u>	<u>\$ 173,186,759</u>			
Average salary per regular position	<u>\$ 49,309</u>	<u>\$ 47,671</u>	<u>\$ 46,150</u>			

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ACCOUNTING REVENUE SALES ANALYSIS AS REPORTED
BY VEHICLE SERVICES AND DRIVERS SERVICES
(Unaudited)

	Year ended June 30,		
	2009	2008	2007
Vehicle Services - vehicle registration and related fees			
Passenger car licenses	\$ 609,035,098	\$ 613,732,840	\$ 609,582,957
Taxi and livery licenses	1,443,198	1,484,775	1,445,301
Motorcycle licenses	93,118	94,276	88,469
Dealers - license and certificates of authority	1,617,677	1,770,301	1,791,960
M and U plates	67,422	67,975	68,799
<u>Trucks</u>			
Flat rate licenses	197,997,682	200,589,726	203,753,976
Mileage licenses	7,684,368	7,328,276	8,169,488
Mileage tax - current and prior	648,081	746,065	861,116
Conservation licenses	164,456	159,088	166,408
Other (Truckster and Farm)	93,500	103,000	114,000
International reciprocity plates	212,534,730	222,402,552	232,110,094
<u>Trailers</u>			
Regular licenses	28,945,564	27,951,486	28,774,541
Mileage licenses	253,835	226,425	277,745
Farm licenses	1,018,528	1,182,773	913,688
<u>Installments</u>			
Flat rate licenses	6,470,903	7,499,358	8,384,597
Bus licenses	39,270	255,270	41,670
Recreational vehicle and trailer licenses	5,903,851	5,964,515	6,125,584
Transfers	8,866,653	10,622,782	11,132,348
IRP - Transfers	257,070	290,880	322,785
Farm trailers and trucks	22,144,761	20,236,326	20,288,737
Prorate Excess	-	-	-

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ACCOUNTING REVENUE SALES ANALYSIS AS REPORTED
BY VEHICLE SERVICES AND DRIVERS SERVICES
(Unaudited)

	Year ended June 30,		
	2009	2008	2007
Reclass			
Regular and calendar	\$ 3,403,120	\$ 2,166,208	\$ 2,746,321
Titles	164,555,266	183,438,439	189,376,867
Other - misc. account, trip permits, etc.	<u>165,406,503</u>	<u>166,402,764</u>	<u>164,289,405</u>
Total motor vehicles sales	1,438,644,654	1,474,716,100	1,490,826,856
Total drivers' services - sales and related fees	<u>131,237,965</u>	<u>134,296,360</u>	<u>132,581,823</u>
Total vehicle, drivers' and related fees sales	<u>\$ 1,569,882,619</u>	<u>\$ 1,609,012,460</u>	<u>\$ 1,623,408,679</u>

**STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE**

**SERVICE EFFORTS AND ACCOMPLISHMENTS
(UNAUDITED)**

The second largest constitutional office in the State of Illinois – the Office of the Secretary of State - is visited by more Illinois residents than any other State Government agency. The Office generates approximately \$2 billion each year, with about \$500 million a year for the state highway construction fund.

New, More Secure Drivers License

Secretary White's office redesigned and enhanced the security features of the driver's license and identification card as part of an ongoing effort to combat fraud and identity theft. The new design incorporates multiple layers of security including kinetic movement and color shifting designs, a UV feature, and a laser feature, which cannot be duplicated utilizing standard techniques. Other features include a microtext and a ghost image of the photo on the face of the card. Additionally, the Secretary of State designed a new inventory system to monitor and track the materials used during card production to more easily track improperly issued cards. These new features ensure that Illinois' driver's license and identification cards remain among the most secure in the nation.

Electronic Titles and Registrations

Under the leadership of Secretary White, automobile dealers across Illinois can now directly access the state database and order new license plates and vehicle registrations for new automobile owners at the time of purchase. The Electronic Registration and Title (ERT) Program allows new vehicle owners to immediately purchase and display their Illinois license plates at the time they take possession of a vehicle rather than waiting 30-60 days for paperwork to be completed and license plates to be mailed to them following the purchase. The Secretary of State's office receives over 500,000 applications annually.

Fast Pass Applications for Illinois CDL Holders

In 2008, almost 89,000 Commercial Driver's Licenses were issued by the Secretary of State at one of the 19 regional commercial driver's license facilities. In June 2008, the Secretary of State's Office further enhanced CDL services by offering new and renewing applicants the opportunity to schedule appointments for these tests. Utilizing the Secretary of State's website, applicants are now able to make appointments at the facility of their choice for their required pre-trip, skills and road exams.

First-Person Consent Organ/Tissue Donor Registry

Secretary of State Jesse White initiated the First Person Consent organ donor registry in 2006, which superseded the existing registry. This new First Person Consent registry made the organ donation decision of the Illinois driver's license or identification card holder legally binding and removed the decision on whether to donate a loved one's organs from the family. In less than three years, Illinois has re-registered over 4.8 million individuals into the First Person Consent registry and Illinois continues to have one of the most successful organ donor programs in the nation.

Fighting Drunk Driving in Illinois

On January 1, 2008, Illinois became one of the first states to incorporate the use of the Breath Alcohol Ignition Interlock Device (BAIID). With the support of Secretary White, the legislation passed the General Assembly in 2007. The new law requires all first-time DUI offenders who wish to obtain driving relief during the period of statutory summary suspension to install a BAIID on their vehicles. All monitoring of the installed BAIID devices falls under the Secretary of State's office. Since

implementation of the program in January of 2008, the number of BAIID devices on Illinois vehicles has doubled.

Distracted Driving Task Force

In an effort to combat distracted driving, Secretary White initiated two new laws, effective January 1, 2010, which ban texting while driving (HB 71) as well as using a cell phone while driving in school and construction zones (HB 72). In July 2007, the Illinois General Assembly passed House Joint Resolution 22, naming Secretary White to chair a Task Force on Distracted Driving to study the correlation of electronic communication devices as they relate to traffic crashes.

Parent-Teen Driving Guide

In 2008, Secretary of White, through a grant provided by the Illinois Department of Transportation, published a *Parent-Teen Driving Guide* to be used by families as their teenager prepares to take on the responsibility of operating a motor vehicle. This five chapter, 33-page publication is provided to every teenager participating in a high school driver education course or attending a commercial driving school. It includes chapters entitled the Illinois Graduated Driver License Program, Preparing to Drive, Learning the Skills to Drive, Is Your Teen Ready to Drive?, and Applying for your Driver's License. This publication recently received a Public Affairs and Consumer Education Award from the American Association of Motor Vehicle Administrators. Secretary White's dedication to ensuring that teenagers are safe and responsible drivers have contributed to a 40% decline in teen driving deaths in Illinois from 2007 to 2008.

Traffic Safety Outreach

The Secretary of State is a leading advocate for traffic safety in the State of Illinois. The office publishes numerous books, brochures, videos and public service announcements on a wide variety of subjects including but not limited to DUI, distracted driving, and child passenger safety. Many of the videos and public service announcements have won national awards for excellence. In addition to the publications, the office also has a Speaker's Bureau that provides speakers to schools and civic organizations. In calendar year 2008, the Secretary of State's Speakers Bureau provided over 1,300 presentations to approximately 73,000 people on a variety of topics including Bike and Pedestrian Safety, School Bus Safety, Child Passenger Safety, Teen DUI and Adult DUI.

Expansion of Business Services On-Line Services

The Secretary of State's Business Services Office continues to expand their on-line capabilities and offer the current business owner and prospective business owner quick and efficient service through on-line transactions. The office now offers the following types of transactions: (1) Uniform Commercial Code filings, (2) Certificates of Good Standing for Corporations, Limited Liability Companies and Not For Profit Organizations, (3) Annual Report filings for Not For Profit Corporations and for profit corporations, (4) Annual Report filings for Limited Liability Corporations, (5) filing of Articles of Incorporation to establish a for both not for profit and for profit corporations, (6) filing of Articles of Organization for Limited Liability Companies (7) Certificate of Existence for Limited Partnerships and Limited Liability Partnerships and (8) Articles of Amendment (name change only) for Corporations and Limited Liability Companies. The on-line services also offer customers the opportunity to search for a particular business name and perform trademark searches.

State Library Outreach through Grants

One of the primary statutory responsibilities of the State Library is to distribute monetary grants to libraries and literacy agencies for the continuing education, development and enhancement of library and literacy services to the people of Illinois. In FY09, the State Library expended nearly \$55.2 million in library and literacy grants for a variety of purposes, including per capita grants to local and school libraries for general purposes. Some of the more specific grants included \$6.2 million in federal pass-thru

grants for digital imaging projects, training for library staff, and enhancement of library services. Other grants included nearly \$6.7 million to 237 local literacy programs serving over 32,000 students. Secretary White's Project Next Generation mentoring program provided grants in FY09 to benefit students in 20 public library locations throughout the State.

Protecting Investors

Secretary White's Securities Department continues to assist defrauded investors by pursuing cases, seizing assets and helping victims in their attempts to recover investment losses. In addition, the Department conducts free investor education seminars throughout the state and offers free classroom instructions on financial literacy topics. The Department's website www.avoidthescam.net reports on recent developments, offers information for investors, and contains an investor complaint form that can be used by victims of investment scams.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

STATISTICAL HIGHLIGHTS

Years Ended June 30, 2009, 2008 and 2007

RECEIPT / EXPENDITURE STATISTICS

	Fiscal Year 2009		Fiscal Year 2008		Fiscal Year 2007	
	Amount	Percentage	Amount	Percentage	Amount	Percentage
RECEIPTS						
Total cash receipts (all funds)	\$ 2,005,512,179	100.0 %	\$ 2,071,706,319	100.0 %	\$ 2,039,119,437	100.0 %
EXPENDITURES						
Operations						
Personal services	\$ 169,683,445	32.0 %	\$ 174,764,272	33.2 %	\$ 168,797,954	32.4 %
Other payroll costs	49,158,611	9.3	43,187,198	8.2	32,816,636	6.3
Contractual services	32,004,011	6.0	32,335,126	6.1	32,165,422	6.2
Other operations expenditures	62,070,598	11.7	52,606,746	10.0	53,152,126	10.2
Awards and grants	312,916,665	59.0	302,893,342	57.5	286,932,138	55.1
Refunds and permanent improvements	211,986,146	39.9	216,000,753	41.0	225,183,881	43.3
Total expenditures (all funds)	\$ 530,808,857	100.0 %	\$ 527,039,130	100.0 %	\$ 520,355,963	100.0 %
Average number of employees						
Regular positions	3,559		3,698		3,444	
Extra help	437		400		401	
Cost of property and equipment	\$ 499,505,500		\$ 488,248,107		\$ 476,245,342	
<u>SELECTED ACTIVITY MEASURES (UNAUDITED)</u>						
Passenger car plates	7,986,764		8,060,926		8,023,508	
Truck and bus plates	1,836,208		1,877,417		1,884,152	
Driver's licenses	3,320,510		3,409,710		3,259,258	
Driver's histories	5,412,886		5,718,973		5,628,565	
Registered corporations	385,948		382,413		374,096	
Equity securities registered (billions)	\$ 71.0		\$ 87.0		\$ 127.0	

OFFICE HEAD

Honorable Jesse White