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# REPORT DIGEST

## ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

### COMPLIANCE EXAMINATION

For the Two Years Ended:  
June 30, 2009

#### Summary of Findings:

Total this audit: 3

Total last audit: 2

Repeated from last audit: 2

Release Date:  
March 30, 2010



State of Illinois  
Office of the Auditor General  
**WILLIAM G. HOLLAND**  
AUDITOR GENERAL

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also available on  
the worldwide web at  
<http://www.auditor.illinois.gov>

### SYNOPSIS

- The Authority has not completed its disaster recovery planning or the related testing required to ensure it can quickly recover from a catastrophic event.

{Expenditures and Activity Measures are summarized on the reverse page.}

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**ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY**  
**COMPLIANCE EXAMINATION**  
**For The Two Years Ended June 30, 2009**

EXPENDITURE STATISTICS	FY 2009	FY 2008	FY 2007
• <b>Total Expenditures (All Funds) .....</b>	<b>\$41,569,794</b>	<b>\$66,078,495</b>	<b>\$74,104,689</b>
<b>OPERATIONS TOTAL.....</b>	\$2,119,785	\$2,047,123	\$2,317,621
% of <b>Total</b> Expenditures .....	5.1%	3.1%	3.1%
Personal Services .....	\$1,327,566	\$1,373,330	\$1,270,142
% of Operations Expenditures .....	62.6%	67.1%	54.8%
Average No. of Employees <sup>(1)</sup> .....	55	54	55
Other Payroll Costs (FICA, Retirement) .....	\$336,088	\$291,979	\$245,336
% of Operations Expenditures .....	15.9%	14.2%	10.6%
Contractual Services.....	\$288,388	\$193,951	\$339,317
% of Operations Expenditures .....	13.6%	9.5%	14.6%
All Other Operations Items .....	\$167,743	\$187,863	\$462,826
% of Operations Expenditures .....	7.9%	9.2%	20.0%
 <b>GRANTS / GRANT ADMINISTRATION</b>			
<b>TOTAL.....</b>	\$39,450,009	\$64,031,372	\$71,787,068
% of <b>Total</b> Expenditures .....	94.9%	96.9%	96.9%
• <b>Cost of Property and Equipment.....</b>	<b>\$999,483</b>	<b>\$1,059,484</b>	<b>\$5,973,352</b>

<sup>(1)</sup> Excludes contractual employees: '09 - 4; '08 - 5; '07 - 3.

SELECTED ACTIVITY MEASURES (Not Examined)	FY 2009	FY 2008	FY 2007
<b>CRIME CONTROL, CRIME PREVENTION, and VICTIM ASSISTANCE</b>			
<b>Operating Statistics:</b>			
Grants Opened.....	461	299	472
Grants monitored.....	657	825	680
Technical assistance responses.....	13,212	12,163	12,088
Program/fiscal reports process.....	3,717	4,289	3,898

AGENCY DIRECTOR(S)
During Audit Period: Lori Levin (7/1/07 – 6/5/09); vacant (6/06/09 – 6/30/09); Jack Cutrone, Acting (7/1/09 – 9/16/09) Current: Jack Cutrone

**FINDINGS, CONCLUSIONS, AND  
RECOMMENDATIONS**

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**Authority has not completed  
is disaster recovery planning**

**LACK OF DISASTER CONTINGENCY PLAN**

The Authority has not completed its disaster recovery planning or the related testing required to ensure it can quickly recover from a catastrophic event.

The primary mission of the Authority is to improve the administration of justice by enhancing the information tools available to State and local criminal justice agencies and administrators. To accomplish this, the Authority maintains several essential computer systems. One of the systems gathers and reports information regarding domestic violence, while another system assists law enforcement in its efforts to eradicate the production and use of methamphetamines in Illinois. **This finding has been repeated since 1987.**

Information technology guidance endorses the formal development and testing of disaster recovery plans. During the audit period, major operational changes reduced the risks facing the Authority, but did not eliminate the need for disaster contingency planning. (Finding 1, pages 8-9)

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**Authority agrees with  
auditors**

Authority officials accepted our recommendation that they complete the development of a comprehensive disaster contingency plan and stated they are currently working on completing the plan. (For previous agency response, see Digest Footnote 1.)

**OTHER FINDINGS**

Other findings pertain to the failure to complete employee performance evaluations and missing documentation in personnel files. We will review progress toward the implementation of our recommendations in our next examination.

## **AUDITORS' OPINION**

We conducted a compliance attestation examination of the Illinois Criminal Justice Information Authority for the two years ended June 30, 2009 as required by the Illinois State Auditing Act. We have not audited any financial statements of the Authority for the purpose of expressing an opinion because the Authority does not, nor is it required to, prepare financial statements.

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WILLIAM G. HOLLAND, Auditor General

WGH:KMC:dh

## **SPECIAL ASSISTANT AUDITORS**

Our special assistant auditors were Bronner Professional Services, Inc.

## **DIGEST FOOTNOTES**

### **#1 DISASTER CONTINGENCY PLAN WEAKNESS - Previous Agency Response**

2007: "The Authority accepts this finding."