

**STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
COMPLIANCE EXAMINATION**

For the Two Years Ended June 30, 2011

Performed as Special Assistant Auditors
For the Auditor General, State of Illinois

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2011

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AUTHORITY OFFICIALS

Executive Director

Mr. Jack Cutrone (06/06/09 to current)

Associate Director, Fiscal Management Unit

Mrs. Dreena Jones (10/01/10 to current)

Mr. Ron Litwin (Acting) (09/16/09 – 09/30/10)

Mr. Ted Miller (10/21/08 – 09/15/09)

Associate Director, Human Resources

Ms. Edith Feliciano

Associate Director, Administrative Services

Mr. Henry Anthony

Associate Director, Research & Analysis

Mr. Mark Myrent

Associate Director, Federal & State Grants Unit

Vacant (07/01/09 to current)

Mr. John Chojnacki (07/01/07 – 06/30/09)

Associate Director, Information Systems Unit

Mr. Anthony Jenkins

General Counsel

Mrs. Lisa Stephens (04/15/10 to current)

Mr. Jack Cutrone (07/01/07 – 04/14/10)

Authority offices are located at:

300 W. Adams St., Suite 200
Chicago, Illinois 60606



**ILLINOIS
CRIMINAL JUSTICE
INFORMATION AUTHORITY**

300 W. Adams Street • Suite 200 • Chicago, Illinois 60605 • (312) 793-8550

STATE COMPLIANCE EXAMINATION

MANAGEMENT ASSERTION LETTER

January 23, 2012

Bronner Group LLC
Certified Public Accountants
120 N. LaSalle Street, Suite 1300
Chicago, IL 60602

Ladies and Gentlemen:

We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the Agency. We are responsible for and we have established and maintained an effective system of, internal controls over compliance requirements. We have performed an evaluation of the Agency's compliance with the following assertions during the two-year period ended June 30, 2011. Based on this evaluation, we assert that during the year(s) ended June 30, 2010 and June 30, 2011, the Agency has materially complied with the assertions below.

- A. The agency has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The agency has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The agency has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the agency are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the agency on behalf of the State or held in trust by the agency have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate and in accordance with law.

Yours very truly,

Illinois Criminal Justice Information Authority



Jack Cutrone, Executive Director



Dreena S. Jones, Chief Fiscal Officer



Lisa Stephens, General Counsel

STATE OF ILLINOIS
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COMPLIANCE REPORT

SUMMARY

The compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and in accordance with the Illinois State Auditing Act.

ACCOUNTANTS' REPORTS

The Independent Accountants' Report on State Compliance, on Internal Control Over Compliance and on Supplementary Information for State Compliance Purposes does not contain scope limitations, disclaimers, or other significant non-standard language.

SUMMARY OF FINDINGS

<u>Number of:</u>	<u>Current Report</u>	<u>Prior Report</u>
Findings	1	3
Repeated findings	1	2
Prior recommendations implemented or not repeated	2	0

SCHEDULE OF FINDINGS

<u>Item No.</u>	<u>Page</u>	<u>Description</u>	<u>Finding Type</u>
<u>FINDINGS (STATE COMPLIANCE)</u>			
11-1	9	Lack of a Disaster Contingency Plan	Significant Deficiency - Noncompliance
<u>Prior Findings Not Repeated</u>			
A	11	Failure to Complete Employee Performance Evaluations	
B	11	Missing Personnel File Documentation	

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For the Two Years Ended June 30, 2011

EXIT CONFERENCE

The findings and recommendations appearing in this report were discussed with Authority personnel at an exit conference on January 19, 2012. Attending were:

Illinois Criminal Justice Information Authority

Jack Cutrone, Executive Director

Dreena Jones, Chief Fiscal Officer

Ronald Litwin, Accounting Manager

Anthony Jenkins, Associate Director, Information Systems Unit

Office of the Auditor General

Jose Roa, State Auditor

Bronner Group, LLC

Robert Selent, Director of Financial Operations

Responses to the recommendations were provided by the Authority's Executive Director, Jack Cutrone in a letter dated January 23, 2012.

INDEPENDENT ACCOUNTANTS' REPORT ON STATE COMPLIANCE,
ON INTERNAL CONTROL OVER COMPLIANCE, AND ON
SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

Honorable William G. Holland
Auditor General
State of Illinois

Compliance

As Special Assistant Auditors for the Auditor General, we have examined the State of Illinois, Illinois Criminal Justice Information Authority's compliance with the requirements listed below, as more fully described in the Audit Guide for Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (Audit Guide) as adopted by the Auditor General, during the two years ended June 30, 2011. The management of the State of Illinois, Illinois Criminal Justice Information Authority is responsible for compliance with these requirements. Our responsibility is to express an opinion on the State of Illinois, Illinois Criminal Justice Information Authority's compliance based on our examination.

- A. The State of Illinois, Illinois Criminal Justice Information Authority has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The State of Illinois, Illinois Criminal Justice Information Authority has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The State of Illinois, Illinois Criminal Justice Information Authority has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the State of Illinois, Illinois Criminal Justice Information Authority are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the State of Illinois, Illinois Criminal Justice Information Authority on behalf of the State or held in trust by the State

of Illinois, Illinois Criminal Justice Information Authority have been properly and legally administered and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.

We conducted our examination in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in Government Auditing Standards issued by the Comptroller General of the United States; the Illinois State Auditing Act (Act); and the Audit Guide as adopted by the Auditor General pursuant to the Act; and, accordingly, included examining, on a test basis, evidence about the Illinois Criminal Justice Information Authority's compliance with those requirements listed in the first paragraph of this report and performing such other procedures as we considered necessary in the circumstances. We believe that our examination provides a reasonable basis for our opinion. Our examination does not provide a legal determination on the State of Illinois, Illinois Criminal Justice Information Authority's compliance with specified requirements.

In our opinion, the State of Illinois, Illinois Criminal Justice Information Authority complied, in all material respects, with the requirements listed in the first paragraph of this report during the two years ended June 30, 2011. However, the results of our procedures disclosed instances of noncompliance with the requirements, which are required to be reported in accordance with criteria established by the Audit Guide, issued by the Illinois Office of the Auditor General and which are described in the accompanying schedule of findings as finding 11-1.

Internal Control

The management of the State of Illinois, Illinois Criminal Justice Information Authority is responsible for establishing and maintaining effective internal control over compliance with the requirements listed in the first paragraph of this report. In planning and performing our examination, we considered the State of Illinois, Illinois Criminal Justice Information Authority's internal control over compliance with the requirements listed in the first paragraph of this report as a basis for designing our examination procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with the Audit Guide, issued by the Illinois Office of the Auditor General, but not for the purpose of expressing an opinion on the effectiveness of the State of Illinois, Illinois Criminal Justice Information Authority's internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the State of Illinois, Illinois Criminal Justice Information Authority's internal control over compliance.

A deficiency in an entity's internal control over compliance exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct noncompliance with the requirements listed in the first paragraph of this report on a timely basis. A material weakness is a deficiency, or combination of deficiencies, in internal control over compliance such that there is a reasonable possibility that material noncompliance with a requirement listed in the first paragraph of this report will not be prevented, or detected and corrected on a timely basis.

Our consideration of internal control over compliance with the requirements listed in the first paragraph of this report was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be deficiencies, significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above. However, we identified certain deficiencies in internal control over compliance described in finding 11-1 in the accompanying schedule of findings that we consider to be significant deficiencies in internal control over compliance. A *significant deficiency* is a deficiency, or combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

As required by the Audit Guide, immaterial findings excluded from this report have been reported in a separate letter to your office.

The State of Illinois, Illinois Criminal Justice Information Authority's response to the finding identified in our examination is described in the accompanying schedule of findings. We did not examine the State of Illinois, Illinois Criminal Justice Information Authority's response and, accordingly, we express no opinion on them.

Supplementary Information for State Compliance Purposes

Our examination was conducted for the purpose of forming an opinion on compliance with the requirements listed in the first paragraph of this report. The accompanying supplementary information as listed in the table of contents as Supplementary Information for State Compliance Purposes is presented for purposes of additional analysis. We have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General to the 2011 and the 2010 Supplementary Information for State Compliance Purposes, except for information on Illinois First Projects and Service Efforts and Accomplishments on which we did not perform any procedures. However, we do not express an opinion on the supplementary information.

We have not applied procedures to the 2009 Supplementary Information for State Compliance Purposes, and accordingly, we do not express an opinion thereon.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, the State of Illinois, Illinois Criminal Justice Information Authority Board, and agency management, and is not intended to be and should not be used by anyone other than these specified parties.


BRONNER PROFESSIONAL SERVICES

January 23, 2012

BRONNER

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2011

CURRENT FINDINGS

11-1 Finding: Lack of disaster contingency plan.

The Illinois Criminal Justice Information Authority (Authority) did not fully test its disaster contingency plan.

The primary mission of the Authority is to "improve the administration of justice by enhancing the information tools available to State and local criminal justice agencies and administrators." To accomplish this, the Authority maintains several essential computer systems. One of the systems gathers and reports information regarding domestic violence, while another system assists law enforcement in its efforts to eradicate the production and use of methamphetamines in Illinois.

The Authority moved the majority of its computer processing environment as well as its backup systems to an off-site facility. A recovery test of the Authority's new computing environment had not been performed. The Authority has not been able to execute a full test due to lack of available funding to purchase additional workstations, servers, and data circuits.

Information technology guidance (including the National Institute of Standards and Technology and Government Accountability Office) endorse the formal testing of disaster recovery plans. Tests of disaster recovery plans (and the associated documentation of the test results) verify that the plans, procedures and resources provide the capability to recover essential systems within the required timeframe.

Failure to have a comprehensive recovery plan as a basis for testing could result in the Authority not being able to process critical transactions for an extended period of time in the event of a disaster. (Finding Code No. 11-1, 09-1, 07-1, 05-1, 03-1, 01-1, 99-2, 97-1, 95-1, 91-1, 89-1, and 87-6)

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Recommendation

We recommend the Authority complete formal testing of its disaster recovery plan on an ongoing basis.

Authority Response

The Authority has developed written procedures that were presented to the Audit team detailing recovery procedures to be used in the event of a disaster. We have performed a partial testing of our plan in the event the 300 W Adams locations no longer existed, by using VPN access from remote sites and using spare servers that are located at the JRTC. The agency accepts this finding.

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Prior Findings Not Repeated

A. **Finding:** (Failure to complete employee performance evaluations)

During the prior examination, the Authority did not complete performance evaluations for some of its employees in a timely manner.

During the current examination period, the Authority timely completed employee performance evaluations based on our sample tested. (Finding Code No. 09-2, 07-2, 05-3)

B. **Finding:** (Missing personnel file documentation)

During the prior examination period, the Authority's employee personnel files did not contain updated documentation to support current deduction levels.

During the current examination period, the Authority maintained current employee deduction documentation based on our sample tested. (Finding Code 09-3)

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SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

SUMMARY

Supplementary Information for State Compliance Purposes presented in this section of the report includes the following:

- Fiscal Schedules and Analysis:

- Schedule of Expenditures of Federal Awards
- Notes to the Schedule of Expenditures of Federal Awards
- Schedule of Appropriations, Expenditures and Lapsed Balances
- Comparative Schedule of Net Appropriations, Expenditures and Lapsed Balances
- Schedule of Changes in State Property
- Comparative Schedule of Cash Receipts
- Reconciliation Schedule of Cash Receipts to Deposits Remitted to the State Comptroller
- Analysis of Significant Variations in Expenditures
- Analysis of Significant Variations in Receipts
- Analysis of Significant Lapse Period Spending

- Analysis of Operations:

- Agency Functions and Planning Program
- Average Number of Employees
- Public Safety Shared Services Center (PSSSC)
- Service Efforts and Accomplishments (Not Examined)
- Schedule of Federal and Nonfederal Expenditures

The accountants' report that covers the Supplementary Information for State Compliance Purposes presented in the Compliance Report Section states the auditors have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General, except for information on Service Efforts and Accomplishments on which they did not perform any procedures. However, the accountants do not express an opinion on the supplementary information.

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

For the Year Ended June 30, 2011

<u>Federal Grantor/Grant Title U.S. Department of Justice</u>	<u>Federal CFDA Number</u>	<u>Federal Expenditures (1)</u>
Sexual Assault Services Formula Program	16.017	\$ 216
Residential Substance Abuse Treatment for State Prisoners	16.593	601
Violence Against Women Formula Grants	16.588	4,183
Crime Victim Assistance	16.575	14,405
Edward Burne Memorial Justice Assistance Grant Program	16.738	6,789
National Criminal History Improvement Program	16.554	230
Rural Domestic Violence and Child Victimization Enforcement Grant Program	16.589	167
Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grant Programs	16.580	47
Juvenile Accountability Incentive Block Grant	16.523	924
Community Prosecution and Project Safe Neighborhoods	16.609	147
Project Safe Neighborhood - Anti-Gang Initiative	16.744	16
Paul Coverdell Forensic Science Improvement Grant Program	16.742	704
Corrections, Research, and Evaluation and Polocy Formulation	16.602	-
State Justice Statistics Program for Statistical Analysis Centers	16.550	40
Post Conviction DNA Testing	16.741	34
Subgrant from DHS - Juvenile Justice & Delinquency Prevention	16.540	-
Violence Against Women Formula Grants, Recovery Act	16.588	2,248
State Victim Assistance Formula Grant Program, Recovery Act	16.801	70
Edward Byrne Memorial Justice Assistance Grant (JAG)Program, Recovery Act	16.083	10,754
Local Law Enforcement Block Grant	16.999	-
Family Violence Prevention and Services/Grants for Battered Women's Shelters	93.671	-
Total Fiscal Year Ended June 30, 2011		\$ 41,575

(1) Expenditures are in thousands and includes the three month lapse period ending September 30, 2011

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SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
For the Year Ended June 30, 2010

Federal Grantor/Grant Title U.S. Department of Justice	Federal CFDA Number	Federal Expenditures (1)
Sexual Assault Services Formula Program	16.017	\$ 335
Residential Substance Abuse Treatment for State Prisoners	16.593	137
Violence Against Women Formula Grants	16.588	4,814
Crime Victim Assistance	16.575	13,195
Edward Byrne Memorial Justice Assistance Grant Program	16.738	10,052
National Criminal History Improvement Program	16.554	-
Rural Domestic Violence and Child Victimization Enforcement Grant Program	16.589	331
Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grant Programs	16.580	93
Juvenile Accountability Incentive Block Grant	16.523	1,099
Community Prosecution and Project Safe Neighborhoods	16.609	246
Project Safe Neighborhood - Anti-Gang Initiative	16.744	247
Paul Coverdell Forensic Science Improvement Grant Program	16.742	162
Corrections, Research, and Evaluation and Policy Formulation	16.602	2
State Justice Statistics Program for Statistical Analysis Centers	16.550	56
Post Conviction DNA Testing	16.741	-
Subgrant from DHS - Juvenile Justice & Delinquency Prevention	16.540	38
Violence Against Women Formula Grants, Recovery Act	16.588	-
State Victim Assistance Formula Grant Program, Recovery Act	16.801	1,253
Edward Byrne Memorial Justice Assistance Grant (JAG) Program, Recovery Act	16.083	5,087
Local Law Enforcement Block Grant	16.999	15
Family Violence Prevention and Services/Grants for Battered Women's Shelters	93.671	37
Total Fiscal Year Ended June 30, 2010		\$ 37,199

(1) Expenditures are in thousands and includes the three-month lapse period ending September 30, 2010

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NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
For the Two Years Ended June 30, 2011

Note 1 – Basis of Presentation

The accompanying Schedule of Expenditures of Federal Awards includes the federal grant activity of Illinois Criminal Justice Information Authority and is presented on the modified accrual basis of accounting. The information in this schedule is presented in accordance with the requirements of OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations. Therefore, some amounts presented in this schedule may differ from amounts present in, or used in the preparation of, the basic financial statements.

Note 2 – Subrecipients

Of the federal expenditures presented in the schedule, the Illinois Criminal Justice Information provided federal awards to subrecipients as follows:

<u>Program Title</u>	<u>Federal CFDA Number</u>	<u>FY 2011 Amount Provided To Subrecipients</u>	<u>FY 2010 Amount Provided To Subrecipients</u>
Juvenile Accountability Incentive Block Grant	16.523	\$ 924,146	\$ 1,056,113
Crime Victim Assistance	16.575	14,744,827	12,186,285
Crime Victim Assistance, Recovery Act	16.575	41,795	-
Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grant Programs	16.580	-	85,801
Violence Against Women Formula Grants	16.588	4,332,779	3,763,839
Violence Against Women Formula Grants-ARRA	16.588	2,180,777	289,018
Rural Domestic Violence and Child Victimization Enforcement Grant Program	16.589	167,106	278,442
Residential Substance Abuse Treatment for State Prisoners	16.593	20,000	18,222
Sexual Assault Services Formula Program Community Prosecution and Project	16.017	18,084	335,000
Safe Neighborhoods	16.609	71,507	231,824
Edward Byrne Memorial Justice Assistance Grant Program	16.738	3,390,306	6,552,079

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NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
For the Two Years Ended June 30, 2011

<u>Program Title (Continued)</u>	<u>Federal CFDA Number</u>	<u>FY 2011 Amount Provided To Subrecipients</u>	<u>FY 2010 Amount Provided To Subrecipients</u>
President-Elect Security Program	16.738	-	1,981,580
Project Safe Neighborhood - Anti-Gang Initiative	16.744	3,941	287,652
State Victim Assistance Formula Grant Program, Recovery Act	16.801	41,795	1,243,455
Edward Byrne Memorial Justice Assistance Grant (JAG) Program, Recovery Act	16.803	8,348,323	3,735,531

All Federal Financial Assistance awards have been included in the Schedule of Expenditures of Federal Awards. The Authority also receives grant awards funded solely by the State of Illinois. These funds are not included in the Schedule of Expenditures of Federal Awards.

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SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2011

<u>Public Act 97-0063</u>	<u>Appropriations (Net After Transfers)</u>	<u>Expenditures Through 6/30/2011</u>	<u>Approximate Lapse Period Expenditures 7/1/11-9/30/11</u>	<u>Approximate Total Expenditures</u>	<u>Balanced Lapsed</u>
<u>General Revenue Fund - 001</u>					
Lump Sum - Operations	1,874,600	1,472,382	270,083	1,742,465	132,135
Lump Sums and Other Purposes	650,000	574,515	66,175	640,690	9,310
Subtotal - Fund 001	<u>\$ 2,524,600</u>	<u>\$ 2,046,897</u>	<u>\$ 336,258</u>	<u>\$ 2,383,155</u>	<u>\$ 141,445</u>
<u>Motor Vehicle Theft Prevention Trust Fund - 156</u>					
Personal Services	\$ 186,200	\$ 136,614	\$ -	\$ 136,614	\$ 49,586
Lump sum operations	192,000	118,467	665	119,132	72,868
Awards and grants	6,500,000	4,989,201	282,282	5,271,483	1,228,517
Refunds, Not elsewhere classified	75,000	150	-	150	74,850
Subtotal - Fund 156	<u>\$ 6,953,200</u>	<u>\$ 5,244,432</u>	<u>\$ 282,947</u>	<u>\$ 5,527,379</u>	<u>\$ 1,425,821</u>
<u>Criminal Justice Information Projects Fund 335</u>					
Lump sums and other purposes	\$ 400,000	\$ 19,577	\$ -	\$ 19,577	\$ 380,423
Subtotal - Fund 335	<u>\$ 400,000</u>	<u>\$ 19,577</u>	<u>\$ -</u>	<u>\$ 19,577</u>	<u>\$ 380,423</u>
<u>Criminal Justice Trust Fund - Fund 488</u>					
Local awards and grants	\$ 68,100,000	\$ 29,841,857	\$ 3,867,603	\$ 33,709,460	\$ 34,390,540
State awards and grants	27,500,000	4,962,870	102,941	5,065,811	22,434,189
Lump sums and other purposes (admin)	10,100,000	5,093,491	(13,650)	5,079,841	5,020,159
Support of Investigative Issues	1,700,000	195,777	(1,351)	194,426	1,505,574
Subtotal Fund 488	<u>\$ 107,400,000</u>	<u>\$ 40,093,995</u>	<u>\$ 3,955,543</u>	<u>\$ 44,049,538</u>	<u>\$ 63,350,462</u>
<u>Juvenile Accountability Incentive Block Grants - Fund 581</u>					
Lump sums and other purposes	\$ 4,500,000	\$ 1,340,536	\$ 112,607	\$ 1,453,143	\$ 3,046,857
	<u>\$ 4,500,000</u>	<u>\$ 1,340,536</u>	<u>\$ 112,607</u>	<u>\$ 1,453,143</u>	<u>\$ 3,046,857</u>
Total All Funds	<u>\$ 121,777,800</u>	<u>\$ 48,745,437</u>	<u>\$ 4,687,355</u>	<u>\$ 53,432,792</u>	<u>\$ 68,345,008</u>

Note 1: Appropriations, expenditures, and lapsed balances were obtained from Agency's records and have been reconciled to State Comptroller records.

Note 2: Expenditures amounts are vouchers approved for payment by the Agency and submitted to the State Comptroller for payment to the vendor.

Note 3: Approximate lapse period expenditures do not include any interest payments submitted to the Comptroller after August.

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SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2010

<u>Public Act 96-0956</u>	<u>Appropriations (Net After Transfers)</u>	<u>Expenditures Through 6/30/2010</u>	<u>Lapse period Expenditures 7/1/09-8/31/10</u>	<u>Total Expenditures</u>	<u>Balanced Lapsed</u>
<u>General Revenue Fund - 001</u>					
Personal Services	\$ 1,450,000	\$ 1,081,375	\$ 52,635	\$ 1,134,010	\$ 315,990
State contribution to Social Security	110,900	79,932	3,905	83,837	27,063
Lump Sum - Operations	626,975	339,152	124,800	463,952	163,023
Lump sums and Other purposes	650,000	593,191	7,947	601,138	48,862
Study Capital Punishment	86,243	25,777	11,945	37,722	48,521
Subtotal - Fund 001	<u>\$ 2,924,118</u>	<u>\$ 2,119,427</u>	<u>\$ 201,232</u>	<u>\$ 2,320,659</u>	<u>\$ 603,459</u>
<u>Motor Vehicle Theft Prevention Trust Fund - 156</u>					
Personal Services	\$ 174,000	\$ 116,828	\$ 2,593	\$ 119,421	\$ 54,579
Lump Sums and Other Purposes	184,000	138,498	3,168	141,666	42,334
Awards and grants	6,500,000	5,610,689	619,761	6,230,450	269,550
Refunds, Not elsewhere classified	75,000	-	-	-	75,000
Subtotal - Fund 156	<u>\$ 6,933,000</u>	<u>\$ 5,866,015</u>	<u>\$ 625,522</u>	<u>\$ 6,491,537</u>	<u>\$ 441,463</u>
<u>Criminal Justice Information Projects Fund 335</u>					
Lump sums and Other purposes	\$ 400,000	5,607	\$ -	\$ 5,607	394,393
Subtotal - Fund 335	<u>\$ 400,000</u>	<u>\$ 5,607</u>	<u>\$ -</u>	<u>\$ 5,607</u>	<u>\$ 394,393</u>
<u>Criminal Justice Trust Fund - Fund 488</u>					
Local awards and grants	\$ 67,400,000	\$ 28,128,476	\$ 3,043,810	\$ 31,172,286	\$ 36,227,714
State awards and grants	36,000,000	12,536,795	202,566	12,739,361	23,260,639
Lumps sums and other purposes (Admin)	10,300,000	4,360,047	533,817	4,893,864	5,406,136
Support of Investigative Issues	1,700,000	193,471	13,514	206,985	1,493,015
Subtotal Fund 488	<u>\$ 115,400,000</u>	<u>\$ 45,218,789</u>	<u>\$ 3,793,707</u>	<u>\$ 49,012,496</u>	<u>\$ 66,387,504</u>
<u>Juvenile Accountability Incentive Block Grants - Fund 581</u>					
Lump sums and other purposes	\$ 4,500,000	\$ 1,102,403	\$ 16,784	\$ 1,119,187	\$ 3,380,813
	<u>\$ 4,500,000</u>	<u>\$ 1,102,403</u>	<u>\$ 16,784</u>	<u>\$ 1,119,187</u>	<u>\$ 3,380,813</u>
Total All Funds	<u><u>\$ 130,157,118</u></u>	<u><u>\$ 54,312,241</u></u>	<u><u>\$ 4,637,245</u></u>	<u><u>\$ 58,949,486</u></u>	<u><u>\$ 71,207,632</u></u>

Note 1: Appropriations, expenditures, and lapsed balances were obtained from Agency's records and have been reconciled to State Comptroller records.

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS,
EXPENDITURES AND LAPSED BALANCES

	FISCAL YEAR		
	2011	2010	2009
	PA 97-0063	PA 96-0956	PA 95-0731
<u>GENERAL REVENUE FUND - 001</u>			
<u>Appropriations (Net After Transfers)</u>	<u>\$ 2,524,600</u>	<u>\$ 2,924,118</u>	<u>\$ 3,607,165</u>
<u>Expenditures</u>			
Personal Services	-	\$ 1,134,010	\$ 1,183,037
State contribution to State Employee's Retirement System	-	-	247,540
State contribution to Social Security	-	83,837	88,548
Contractual Services	-	-	288,388
Travel	-	-	3,185
Commodities	-	-	8,082
Printing	-	-	8,693
Electronic data processing	-	-	96,333
Telecommunications	-	-	43,840
Operation of automobile equipment	-	-	7,609
Capital Punishment Reform	-	37,722	-
Lump Sum - Operations	1,742,465	463,952	-
Lump sums and other purposes	640,690	601,138	1,064,592
Total Expenditures	<u>\$ 2,383,155</u>	<u>\$ 2,320,659</u>	<u>\$ 3,039,848</u>
Lapsed Balances	<u>\$ 141,445</u>	<u>\$ 603,459</u>	<u>\$ 567,317</u>
<u>MOTOR VEHICLE THEFT PREVENTION TRUST FUND - 156</u>			
<u>Appropriations (Net After Transfers)</u>	<u>\$ 6,953,200</u>	<u>\$ 6,933,000</u>	<u>\$ 6,967,100</u>
<u>Expenditures</u>			
Personal Services	\$ 136,614	\$ 119,421	\$ 144,529
Refunds	150	-	-
Awards and grants	5,271,483	6,230,450	5,751,200
Lump sums and other purposes	119,132	141,666	145,122
Total Expenditures	<u>\$ 5,527,379</u>	<u>\$ 6,491,537</u>	<u>\$ 6,040,851</u>
Lapsed Balances	<u>\$ 1,425,821</u>	<u>\$ 441,463</u>	<u>\$ 926,249</u>
<u>CRIMINAL JUSTICE INFORMATION PROJECTS FUND - 335</u>			
<u>Appropriations (Net After Transfers)</u>	<u>\$ 400,000</u>	<u>\$ 400,000</u>	<u>\$ 400,000</u>
<u>Expenditures</u>			
Lump sums and other purposes	\$ 19,577	\$ 5,607	\$ 17,355
Total Expenditures	<u>19,577</u>	<u>5,607</u>	<u>17,355</u>
Lapsed Balances	<u>\$ 380,423</u>	<u>\$ 394,393</u>	<u>\$ 382,645</u>

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES
AND LAPSED BALANCES

	FISCAL YEAR		
	2011	2010	2009
<u>CRIMINAL JUSTICE TRUST FUND - 488</u>	<u>PA 97-0063</u>	<u>PA 96-0956</u>	<u>PA 95-0731</u>
<u>Appropriations (Net After Transfers)</u>	<u>\$ 107,400,000</u>	<u>\$ 115,400,000</u>	<u>\$ 96,600,000</u>
<u>Expenditures</u>			
Local awards and grants	\$ 33,709,460	\$ 31,172,286	\$ 24,139,732
State awards and grants	5,065,811	12,739,361	3,288,029
Lump Sums and Other purposes (admin)	5,079,841	4,893,864	3,386,164
Lump Sums and Other purposes (in house grants)	194,426	206,985	182,706
Total Expenditures	<u>\$ 44,049,538</u>	<u>\$ 49,012,496</u>	<u>\$ 30,996,631</u>
<u>Lapsed Balances</u>	<u>\$ 63,350,462</u>	<u>\$ 66,387,504</u>	<u>\$ 65,603,369</u>
<u>JUVENILE ACCOUNTABILITY INCENTIVE BLOCK GRANTS - 581</u>			
<u>Appropriations (Net After Transfers)</u>	<u>\$ 4,500,000</u>	<u>\$ 4,500,000</u>	<u>\$ 4,600,000</u>
<u>Expenditures</u>			
Lump Sums and Other Purposes	\$ 1,453,143	\$ 1,119,187	\$ 1,475,109
Total Expenditures	<u>\$ 1,453,143</u>	<u>\$ 1,119,187</u>	<u>\$ 1,475,109</u>
<u>Lapsed Balances</u>	<u>\$ 3,046,857</u>	<u>\$ 3,380,813</u>	<u>\$ 3,124,891</u>
<u>GRAND TOTAL - ALL FUNDS</u>			
Appropriations (Net after Transfers)	\$ 121,777,800	\$ 130,157,118	\$ 112,174,265
Total Expenditures	<u>\$ 53,432,792</u>	<u>\$ 58,949,486</u>	<u>\$ 41,569,794</u>
Lapsed Balances	<u>\$ 68,345,008</u>	<u>\$ 71,207,632</u>	<u>\$ 70,604,471</u>

Note: Fiscal Year 2011 expenditures and related lapsed balances do not reflect any interest payments approved for payment by the Agency and submitted to the Comptroller for payment after August.

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

SCHEDULE OF CHANGES IN STATE PROPERTY
YEARS ENDED JUNE 30, 2011 AND 2010

June 30, 2011			
Balance July 1, 2010	Additions	Deletions	Balance June 30, 2011
\$ 1,002,420	\$ 22,745	-	\$ 1,025,165

June 30, 2010			
Balance July 1, 2009	Additions	Deletions	Balance June 30, 2010
\$ 999,483	\$ 25,372	\$ 22,435	\$ 1,002,420

Note: Property and Equipment information was obtained from Authority records and has been reconciled to the "Agency Report of Fixed Assets" submitted to the State Comptroller.

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

COMPARATIVE SCHEDULE OF CASH RECEIPTS
FOR YEARS ENDED JUNE 30,

	<u>2011</u>	<u>2010</u>	<u>2009</u>
<u>General Revenue Fund - 001</u>			
Jury Duty	\$ 142	\$ 123	\$ 572
Prior Year Refunds	178	-	-
Total Fund 001	<u>\$ 320</u>	<u>\$ 123</u>	<u>\$ 572</u>
<u>Motor Vehicle Theft Prevention Trust Fund - 156</u>			
Insurers Fees	\$ 6,313,100	\$ 6,541,239	\$ 6,420,651
Total Fund 156	<u>\$ 6,313,100</u>	<u>\$ 6,541,239</u>	<u>\$ 6,420,651</u>
<u>Criminal Justice Information Projects Fund - 335</u>			
Criminal Justice Information Projects	\$ 9,617	\$ 319	\$ 9,068
Total Fund 335	<u>\$ 9,617</u>	<u>\$ 319</u>	<u>\$ 9,068</u>
<u>Criminal Justice Trust Fund - 488</u>			
National Criminal History Improvement Program	\$ 229,785	\$ 81,440	\$ 59,182
Victims of Crime Act	14,994,416	14,832,018	13,093,811
Byrne Anti-Drug Abuse Act	-	-	1,436,387
Byrne Justice Assistance Grant Program	11,877,102	12,212,026	4,726,229
ARRA Byrne Justice Assistance Grant Program	-	-	50,198,081
Violence Against Women Act	7,436,289	5,047,362	4,088,842
Sexual Assault Services Program	224,421	335,000	-
Residential Substance Abuse	599,259	575,679	656,386
Rural Domestic Violence	142,651	354,708	67,600
Privacy Policy Academy	-	1,722	-
State Justice Statistics Program	40,956	55,849	-
President Elect Security Assistance Reimbursement	-	2,021,885	-
National Instant Criminal Background Check System Act	45,400	-	-
National Institute of Corrections	-	-	74,202
National Forensic Science Program	843,143	556,873	537,973
Post Conviction DNA Testing Assistance Program	33,450	-	-
Congressionally Mandated Awards	99,548	60,322	19,000
Salary Refund	-	1,200	-
Center for Disease Control	-	13,500	-
Community Prosecution (Project Safe Neighborhoods)	178,350	251,280	372,301
Anti-Gang Initiative	33,654	384,584	875,091
IJIS Summit Exhibitor Fees	-	3,136	-
DHS Subgrants	161,134	143,332	-
Subgrantee Refunds of Interest	-	-	486
Prior Year Refunds	109,823	66,352	142,448
Total - Fund 488	<u>\$ 37,049,381</u>	<u>\$ 36,998,268</u>	<u>\$ 76,348,019</u>
<u>Juvenile Accountability Incentive Block Grants Fund - 581</u>			
Prior Year Refunds	\$ -	\$ 2,995	\$ 5,000
Juvenile Accountability Incentive Block Grant	1,660,700	1,739,700	1,544,600
Total 581	<u>\$ 1,660,700</u>	<u>\$ 1,742,695</u>	<u>\$ 1,549,600</u>
Total Cash Receipts Recorded By Authority	<u>\$ 45,033,118</u>	<u>\$ 45,282,644</u>	<u>\$ 84,327,910</u>

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

RECONCILIATION SCHEDULE OF CASH RECEIPTS TO DEPOSITS
REMITTED TO THE STATE COMPTROLLER
YEARS ENDED JUNE 30,

<u>Total Cash Receipts by Fund</u>	<u>2011</u>	<u>2010</u>	<u>2009</u>
General Revenue Fund (001)	\$ 320	\$ 123	\$ 572
Motor Vehicle Theft prevention Trust Fund (156)	6,313,100	6,541,239	6,420,651
Criminal Justice Information Projects Fund (335)	9,617	319	9,068
Criminal Justice Trust Fund (488)	37,049,381	36,998,268	76,348,019
Juvenile Accountability Incentive Block Grants (581)	<u>1,660,700</u>	<u>1,742,695</u>	<u>1,549,600</u>
 Total Cash Receipts Recorded by Authority	 \$ 45,033,118	 \$ 45,282,644	 \$ 84,327,910
 Add Deposits in transit, beginning of year	 -	 8,120	 -
 Deduct deposits in transit, end of year	 <u>-</u>	 <u>-</u>	 <u>(8,120)</u>
 Deposits Recorded By Comptroller	 <u>\$ 45,033,118</u>	 <u>\$ 45,290,764</u>	 <u>\$ 84,319,790</u>

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2011

Fiscal Year 2011

A comparative schedule of significant variations in expenditures (20% and \$15,000) for the fiscal year ended June 30, 2011 is shown below

EXPENDITURE	FISCAL YEAR ENDED JUNE 30		INCREASE (DECREASE)	%
	<u>2011</u>	<u>2010</u>	<u>AMOUNT</u>	
<u>Fund 001 - General Revenue Fund:</u>				
Personal Services	-	\$1,134,010	(\$1,134,010)	-100%
State contribution to Social Security	-	\$83,837	(\$83,837)	-100%
Lump Sum - Operations	\$1,742,465	\$463,952	\$1,278,513	276%
Capital Punishment Reform	-	\$37,722	(\$37,722)	-100%
<u>Fund 488 Criminal Justice Trust Fund:</u>				
State awards and grants	\$5,065,811	\$12,739,361	(\$7,673,550)	-60%
<u>Fund 581 - Juvenile Accountability Incentive Block Grant Fund:</u>				
Lump sums and other purposes	\$1,453,143	\$1,119,187	\$333,956	30%

EXPLANATIONS:

Fund 001 - General Revenue Fund:

Personal Services, State contribution to Social Security, and Lump Sum-Operations: In FY2011, Personal Services and State contributions to Social Security expenditures were paid from Lump Sum - Operations Line item resulting in noted variances.

Capital Punishment Reform: In FY2011, no funding was provided as the project ended in FY2010.

Fund 488 - Criminal Justice Trust Fund:

State awards and grants: The decrease is due to a reduction of expenditures for the ARRA Justice Assistance Grant In FY2011.

Fund 581 - Juvenile Accountability Incentive Block Grant Fund:

Lump sums and other purposes: The increase is due to final expenditures for FY2011 grant of \$84,611 and refund expenditures of \$237,167 for FY2010 grant.

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2011

Fiscal Year 2010

A comparative schedule of significant variations in expenditures (20% and \$15,000) for the fiscal year ended June 30, 2010 is shown below

EXPENDITURE	FISCAL YEAR ENDED JUNE 30		INCREASE (DECREASE)	%
	2010	2009	AMOUNT	
<u>Fund 001 - General Revenue Fund:</u>				
State contribution to State Employee's Retirement System	-	\$247,540	(\$247,540)	-100%
Various Line Items	-	\$456,130	(\$456,130)	-100%
Lump Sum - Operations	\$463,952	-	\$463,952	100%
Lump Sum and Other Purposes	\$601,138	\$1,064,592	(\$463,454)	-44%
Capital Punishment Reform	\$37,722	-	\$37,722	100%
<u>Fund 488 - Criminal Justice Trust Fund:</u>				
State awards and grants	\$12,739,361	\$3,288,029	\$9,451,332	287%
Lump Sums and Other Purposes (admin)	4,893,864	3,386,164	\$1,507,700	45%
<u>Fund 581 - Juvenile Accountability Incentive Block Grant Fund:</u>				
Lump sums and other purposes	\$1,119,187	\$1,475,109	(\$355,922)	-24%

EXPLANATIONS:

Fund 001 - General Revenue Fund:

State contribution to State Employee's Retirement System: In FY2010, expenditures to this line item were discontinued by the State.

Various Line Items and Lump Sum - Operations: In FY2010, the following items were paid from Lump Sum-Operations: Contractual Services, Travel, Commodities, Printing, Equipment, EDP, Telecommunications and Operations of Automobile Equipment.

Lump Sum and Other Purposes: The variance is due to a reduction of Federal matching dollars to normal levels from the prior year levels which were higher to a make up for the loss of Federal matching dollars for FY2008.

Fund 488 - Criminal Justice Trust Fund:

State awards and grants and Lump Sum and Other Purposes (admin): The increases are due to expenditures related to American Recovery and Reinvestment Grants.

Fund 581 - Juvenile Accountability Incentive Block Grant Fund:

Lump sums and other purposes: The variance is due to reduced grantee activity in FY2010 of \$307,337, zero refunds (versus \$16,578 in 2009) and reduced operational costs, specifically rent, in the amount of \$32,000.

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS
For the Two Years Ended June 30, 2011

Fiscal Year 2011

No significant variations in cash receipts (variance representing 20% and \$15,000) for the fiscal year ended June 30, 2011.

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS
For the Two Years Ended June 30, 2011

Fiscal Year 2010

A comparative schedule of significant variations in cash receipts (variance representing the greater of 20% and \$15,000) for the fiscal year ended June 30, 2010 is shown below:

	<u>FISCAL YEAR</u> <u>ENDED JUNE 30</u>		<u>INCREASE</u> <u>(DECREASE)</u>	<u>%</u>
	<u>2010</u>	<u>2009</u>	<u>AMOUNT</u>	
CASH RECEIPTS				
Fund 488 - Criminal Justice Trust Fund	36,998,268	76,348,019	(39,349,751)	-52%

EXPLANATIONS:

Fund 488 - Criminal Justice Trust Fund: The decrease of cash receipts in Fiscal Year 2010 is due to the one time receipt of \$50,198,081 in Fiscal Year 2009 for the ARRA Byrne Justice Assistance Grant Program.

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
For the Two Years Ended June 30, 2011

Fiscal Year 2011

No significant variations of lapse period expenditures (variance representing 20% and \$15,000) for the fiscal year ended June 30, 2011.

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
For the Two Years Ended June 30, 2011

Fiscal Year 2010

Our review of lapse period expenditures for fiscal year ended June 30, 2010 disclosed appropriation line items with significant (greater than 20% and \$15,000) lapse period expenditures.

Fiscal Year Ended June 30, 2010

<u>EXPENDITURE ITEM</u>	<u>TOTAL EXPENDITURES</u>	<u>LAPSE PERIOD EXPENDITURES</u>	<u>PERCENTAGE</u>
<u>Fund 001 - General Revenue Fund:</u>			
Lump Sum - Operations	\$463,952	\$124,800	27%

Fund 001 - General Revenue Fund:

Lump Sum - Operations: The rent for both May 2010 and June 2010 were paid in the lapse period due to late receiving of the billing. Also, telecommunication expenses related to office relocation which were paid in the lapse period.

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
ANALYSIS OF OPERATIONS

AUTHORITY FUNCTIONS AND PLANNING PROGRAM
FOR THE TWO YEARS ENDED JUNE 30, 2011

Authority Functions

The Illinois Criminal Justice Information Authority was created in 1983 as a State agency dedicated to improving the administration of criminal justice. The Authority brings together key leaders from the justice system and the public to identify critical issues facing the criminal justice system in Illinois, and to propose and evaluate policies, programs, and legislation that address those issues. The agency also works to ensure the criminal justice system in Illinois is efficient and effective.

The Authority has several statutorily mandated duties including the following:

- To coordinate the use of information within Illinois' criminal justice system;
- To promulgate effective criminal justice information policy;
- To improve criminal justice agency procedures and practices with respect to information and to improve new information technologies;
- To stimulate research and develop new methods and uses of criminal justice data for the improvement of the criminal justice system and reduction of crime;
- To protect the integrity of criminal history record information, while safeguarding the privacy rights of Illinois citizens; and
- To provide staff support for the Illinois Motor Vehicle Theft Prevention Council.

The Authority's specific powers and duties are detailed in the Illinois Criminal Justice Information Act [20 ILCS 3930]. In Addition to State guidelines, the Authority operates under the rules and guidelines of the Federal Crime Control Act of 1973, as amended.

The statutory responsibilities of the Authority fit into four areas: grants administration; research and analysis; policy and planning; and information systems and technology.

Grants Administration

- Implements and funds victim assistance and law enforcement programs under the Edward Byrne Memorial Justice Assistance Grant program, Juvenile Accountability Block Grant program, Victims of Crime Act, Violence Against Women Act, and other grant programs as they become available;
- Monitors program activity and provides technical assistance to grantees;
- Provides staff support to the Illinois Motor Vehicle Theft Prevention Council, an 11-member board working to curb motor vehicle theft; and
- Coordinates the Juvenile Crime Enforcement Coalition, charged with evaluating statewide juvenile crime prevention needs and overseeing juvenile crime prevention planning initiatives.

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ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
ANALYSIS OF OPERATIONS

AUTHORITY FUNCTIONS AND PLANNING PROGRAM (Continued)
FOR THE TWO YEARS ENDED JUNE 30, 2011

Research and Analysis

- Publishes research studies on a variety of crime trends and criminal justice issues;
- Acts as a clearinghouse for information and research on crime and the criminal justice system;
- Audits the state central repositories of criminal history record information for data accuracy and completeness; and
- Develops and tests statistical methodologies and provides statistical advice and interpretation to support criminal justice decision-making.

Policy and Planning

- Develops and implements comprehensive strategies for drug and violent crime law enforcement, crime control and prevention, and assistance to crime victims using federal funds awarded to Illinois;
- Advises the governor and the General Assembly on criminal justice policies and legislation;
- Coordinates policymaking groups to learn about ongoing concerns of criminal justice officials; and
- Develops and evaluates state and local programs for improving law enforcement and the administration of criminal justice.

Information Systems and Technology

- Designs, develops, and supports systems which enhance the quality of victim service data;
- Serves as the sole administrative appeal body for determining citizen challenges to the accuracy of their criminal history records;
- Monitors the operation of existing criminal justice information systems to protect the constitutional rights and privacy of citizens; and
- Supports the development of an integrated criminal justice information network in Illinois.

The Authority Board consists of the following 23 members:

- The Illinois Attorney General, or his or her designee,
- The Director of the Illinois Department of Corrections,
- The Director of the Illinois Department of State Police,
- The Sheriff of Cook County,
- The State's Attorney of Cook County,
- The Clerk of the Circuit Court of Cook County,
- The President of the Cook County Board of Commissioners,
- The Superintendent of the Chicago Police Department,

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ANALYSIS OF OPERATIONS

AUTHORITY FUNCTIONS AND PLANNING PROGRAM (Continued)
FOR THE TWO YEARS ENDED JUNE 30, 2011

- The Director of the Office of the State's Attorneys Appellate Prosecutor,
- The Executive Director of the Illinois Law Enforcement Training Standards Board,
- The State Appellate Defender,
- A Cook County Public Defender,
- A Public defender from a county other than Cook County, and
- The following additional members, each of whom shall be appointed by the Governor:
 - A circuit court clerk,
 - A sheriff, and
 - A State's Attorney of a county other than Cook,
 - A chief of police, and
 - Six members of the general public.

The Authority is chaired by Mr. Peter M. Ellis.

Authority Planning Program

The Authority's planning process identifies problems/needs consistent with its statutory mandates. Strategy objectives and program proposals are developed to address the identified needs. The Authority's Strategic Plan for 2008 through 2011 was adopted in FY 2008. The Authority has developed long-range goals and short-term objectives that are updated annually as part of its planning and budget development process.

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
ANALYSIS OF OPERATIONS

AVERAGE NUMBER OF EMPLOYEES
FOR THE YEARS ENDED JUNE 30, 2011

Authority members are supported by a professional staff trained in information technology, data analysis and criminal justice information administration. Staff functions include: developing and operating automated information systems, analyzing criminal justice policies and crime trends, provided training and technical assistance to other criminal justice professionals and administering Federal and State grants.

The following table prepared from the Authority records, presents the average number of persons (rounded to the nearest whole number) employed by the Authority for the fiscal years ended June 30, 2009, 2010 and, 2011.

<u>FUNCTIONAL JOB CLASSIFICATIONS</u>	<u>2011</u>	<u>2010</u>	<u>2009</u>
<u>Fund 001 – General Revenue Fund</u>			
Regular payroll	18	17	16
<u>Fund 156 – Motor Vehicle Theft Prevention Trust Fund</u>			
Regular payroll	4	4	4
<u>Fund 488 – Criminal Justice Trust Fund</u>			
ADAA payroll	40	40	31
<u>Fund 581 – Juvenile Accountability Incentive Block Fund</u>			
Regular Payroll	1	1	4
<u>Fund 886 – Criminal Justice Information System Trust Fund</u>			
Payroll	<u>0</u>	<u>0</u>	<u>0</u>
Total Employees	<u>63</u>	<u>62</u>	<u>55</u>

In addition, the Authority had the following contractual employees:

<u>FUNCTIONAL JOB CLASSIFICATIONS</u>	<u>2011</u>	<u>2010</u>	<u>2009</u>
<u>Fund 001 – General Revenue Fund</u>			
Contractual employees	1	1	1
<u>Fund 156 – Motor Vehicle Theft Prevention Trust Fund</u>			
Contractual employees	0	0	0
<u>Fund 488 – Criminal Justice Trust Fund</u>			
Contractual employees	8	5	3
<u>Fund 581 – Juvenile Accountability Incentive Block Fund</u>			
Contractual employees	0	0	0
<u>Fund 886 – Criminal Justice Information System Trust Fund</u>			
Contractual employees	<u>0</u>	<u>0</u>	<u>0</u>
Total Contractual Employees	<u>9</u>	<u>6</u>	<u>4</u>
Total Employed	<u>72</u>	<u>68</u>	<u>59</u>

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
ANALYSIS OF OPERATIONS

FOR THE TWO YEARS ENDED JUNE 30, 2011

PUBLIC SAFETY SHARED SERVICES CENTER (PSSSC)

To implement this Executive Order 2006-6, The Department of Corrections Public Safety Shared Services Center (PSSSC) was established to consolidate certain common administrative functions, such as human resources, personnel, payroll, timekeeping, procurement, and financial processes currently being performed by individual agencies. The stated purpose for this consolidation was to improve the ability of all State agencies to share management knowledge and capitalize on synergies and economies of scale. Certain timekeeping and payroll functions were transferred from the Illinois Criminal Justice Information Authority (Authority) to the Department of Corrections pursuant to a July 2007 Interagency Agreement. While a more substantial sharing of administrative services was originally planned, during the examination period, the PSSSC provided timekeeping and payroll services to the Authority. Under the shared services approach, timekeeping and payroll information was initially compiled and verified by the Authority before being submitted to the PSSSC for processing into systems maintained by the Department of Central Management Services.

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
ANALYSIS OF OPERATIONS

SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED)
FOR THE TWO YEARS ENDED JUNE 30, 2011

INTRODUCTION

Created in 1983, the Illinois Criminal Justice Information Authority (Authority) is a State agency dedicated to improving the administration of criminal justice. The Authority works to identify critical issues facing the criminal justice system in Illinois, and to propose and evaluate policies, programs, and legislation that address those issues. The Authority also works to ensure the criminal justice system is as efficient and effective as possible. The Authority accomplishes its goals through effort in three major areas: 1) information system, technology and support; 2) research, planning and coordination; and, 3) administration of grants for crime control, crime prevention, and victim assistance.

<u>Program:</u>	<u>Expenditures (thousands)</u>		
	<u>2011</u>	<u>2010</u>	<u>2009</u>
Crime Control, Crime Prevention, and Victim Assistance	\$ 51,091.8	\$ 56,713.1	\$ 39,335.4
Information Systems, Technology and Support	817.3	859.8	671.4
Research, Planning and Coordination	<u>1,523.7</u>	<u>1,376.6</u>	<u>1,563.0</u>
Authority Totals	<u>\$ 53,432.8</u>	<u>\$ 58,949.5</u>	<u>\$ 41,569.8</u>

CRIME CONTROL, CRIME PREVENTION, AND VICTIM ASSISTANCE

Mission Statement:

To help improve the administration of justice in this State, the Authority develops, coordinates, administers, implements, and evaluates programs, designed to enhance and improve Illinois' criminal justice, juvenile justice, and victim service systems with several major federal assistance programs and state grants.

Project goals and objectives:

1. Utilize federal grant funds to promote the improvement of Illinois' criminal and juvenile justice and victim service systems.
2. Ensure compliance with Federal and State requirements.
3. Ensure efficient and effective administration of Federal and State grant funds.
4. Reduce motor vehicle theft through the use of Illinois Motor Vehicle Theft Prevention Act funds.

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
ANALYSIS OF OPERATIONS

SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED) - Continued
FOR THE TWO YEARS ENDED JUNE 30, 2011

Operating Statistics:	2011	2010	2009
Grants opened	221	433	461
Grants monitored	558	722	657
Technical assistance responses	NA*	12,827	13,212
Program/fiscal reports processed	3,032	5,031	3,717

** Beginning with FY11, technical assistance reports were no longer tracked. Originally, technical assistance reports described telephone conversations or in-person meetings. As these transactions began to shift toward the email format, the numbers of individual emails far outpaced the numbers of phone calls or personal meetings and it became less clear as to which emails might constitute actual technical assistance, so the practice of tracking technical assistance instances was discontinued.*

INFORMATION SYSTEMS, TECHNOLOGY AND SUPPORT

Mission Statement:

To help improve the administration of justice in this State, the Authority: 1) provides information technology to law enforcement agencies that enables them to enhance public safety, and maintains systems that enhance the quality of victim services data; 2) promotes the accuracy of criminal history and other justice-related records; and, 3) supports the development of an integrated criminal justice information network in Illinois.
Project goals and objectives:

1. Support of the ongoing development, maintenance and operation of three information systems that met the needs of law enforcement agencies, including the Police Information Management Systems (PIMS), Area wide Law Enforcement Radio Terminal Systems (ALERTS), and Automated Law Enforcement Communications systems (ALECS) through the transition of the operation to the Illinois Public Safety Agency Network (IPSAN).
2. Ensured that the needs of more than 11,000 users of PIMS, ALERTS, and ALECS were met through the transition of the operation to IPSAN.
3. Improve operational efficiencies and data quality by supporting the development of a criminal justice information network in Illinois.

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
ANALYSIS OF OPERATIONS

SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED) - Continued
FOR THE TWO YEARS ENDED JUNE 30, 2011

RESEARCH, PLANNING AND COORDINATION

Mission Statement:

To help improve the administration of justice in this State, the Authority: 1) identifies and analyzes critical issues facing the justice system; 2) proposes and evaluates policies, programs and legislation that address those issues; 3) advised the Governor, Illinois General Assembly, State and local officials, and member of the general public on the implication such research, evaluation and analysis; 4) functions as a repository of research data and other information; and, 5) disseminates information, develops tools, and provides technical assistance which supports State and local crime problem solving.

Project goals and objectives:

1. Identify, research and address issues, affecting the justice system in Illinois.
2. Promote the effectiveness of the justice system through research, planning and coordination.
3. Coordinate and implement multi-year comprehensive statewide criminal justice plan.

Operating Statistics:	<u>2011</u>	<u>2010</u>	<u>2009</u>
Reports published	35	19	14
Information requests handled	191	219	230
Publications mailed/downloaded	620,161	700,061	1,088,074
CJ Dispatch (Email) Subscribers	3,281	2,913	2,791
Website "hits"	4,168,414	4,220,764	8,257,676
Website visitors	135,511	160,674	363,337

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
ANALYSIS OF OPERATIONS

SCHEDULE OF FEDERAL AND NON-FEDERAL EXPENDITURES
FOR THE TWO YEARS ENDED JUNE 30, 2011

	<u>Fiscal Year 2011</u>		<u>Fiscal Year 2010</u>		<u>Total</u>	
	<u>Amount</u>	<u>Percent</u>	<u>Amount</u>	<u>Percent</u>	<u>Amount</u>	<u>Percent</u>
Federal Funds	\$ 45,502,681	85%	\$ 50,131,682	85%	\$ 95,634,363	85%
Non-Federal Funds	7,930,111	15%	8,812,196	15%	16,742,307	15%
	<u>\$ 53,432,792</u>		<u>\$ 58,943,878</u>		<u>\$ 112,376,670</u>	

NOTES TO THE SCHEDULE OF FEDERAL AND NON-FEDERAL EXPENDITURES

- This schedule is used to determine the Authority's share of federal single audit costs.
- The Authority had no loans or notes, no non-operating expenses, or non-cash expenditures.
- The above schedule agrees with the amounts presented in the Schedule of Expenditures of Federal Awards and the Schedule of Appropriations, Expenditures, and Lapsed Balances.