

STATE OF ILLINOIS  
GENERAL ASSEMBLY

HOUSE OF REPRESENTATIVES

COMPLIANCE EXAMINATION

For the Two Years Ended June 30, 2009

Performed as Special Assistant Auditors  
For the Auditor General, State of Illinois

STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
COMPLIANCE EXAMINATION  
For the Two Years Ended June 30, 2009

**TABLE OF CONTENTS**

	<u>Page</u>
Agency Officials	2
Management Assertion Letter	3-8
Compliance Report	
Summary	9-10
Accountants' Report	
Independent Accountants' Report on State Compliance, on Internal Control Over Compliance, and on Supplementary Information for State Compliance Purposes	11-13
Schedule of Findings	
Current Findings	14
Prior Findings Not Repeated	15
Supplementary Information for State Compliance Purposes	
Summary	16
Fiscal Schedules and Analysis	
Schedule of Appropriations, Expenditures and Lapsed Balances	17-18
Comparative Schedule of Net Appropriations, Expenditures and Lapsed Balances	19-21
Comparative Schedule of Net Appropriations, Expenditures and Lapsed Balances by Major Object Code	22
Schedule of Changes in State Property	23
Comparative Schedule of Cash Receipts	24
Reconciliation Schedule of Cash Receipts to Deposits Remitted to the State Comptroller	25
Analysis of Significant Variations in Expenditures	26
Analysis of Significant Lapse Period Spending	27-28
Analysis of Operations	
Agency Functions and Planning Program	29-31
Average Number of Employees	32
Service Efforts and Accomplishments (not examined)	33

STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
COMPLIANCE EXAMINATION  
For the Two Years Ended June 30, 2009

**AGENCY OFFICIALS**

Speaker of the House	Representative Michael J. Madigan
Minority Leader	Representative Tom Cross
Clerk of the House	Mark Mahoney
Assistant Clerk of the House	Bradley S. Bolin

Agency offices are located at:

Office of the Speaker of the House  
300 State House  
Springfield, Illinois 62706

Office of the Minority Leader  
316 State House  
Springfield, Illinois 62706

Office of the Clerk of the House  
402 State House  
Springfield, Illinois 62706

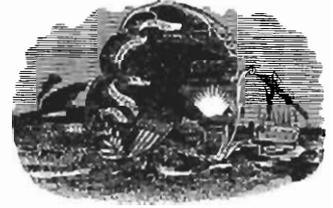


MARK MAHONEY  
CLERK

BRAD BOLIN  
ASSISTANT CLERK

ILLINOIS HOUSE OF REPRESENTATIVES  
**OFFICE OF THE CLERK**

96<sup>TH</sup> GENERAL ASSEMBLY



MICHAEL J. MADIGAN  
SPEAKER

January 11, 2010

West & Company, LLC  
919 East Harris Avenue  
Greenville, IL 62246

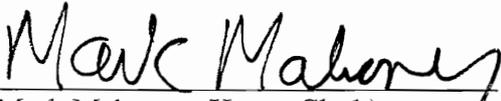
Ladies and Gentlemen:

We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the Agency. We are responsible for and we have established and maintained an effective system of internal controls over compliance requirements. We have performed an evaluation of the Agency's compliance with the following assertions during the two years ended June 30, 2009. Based on this evaluation, we assert that during the years ended June 30, 2009 and June 30, 2008, the Agency has materially complied with the assertions below.

- A. The agency has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The agency has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The agency has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the agency are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.

- E. Money or negotiable securities or similar assets handled by the agency on behalf of the State or held in trust by the agency have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate and in accordance with law.

Yours very truly,

  
(Mark Mahoney, House Clerk)

  
(Nancy Daugherty, Fiscal Officer)



**GENERAL ASSEMBLY**  
**STATE OF ILLINOIS**

**MICHAEL J. MADIGAN**  
SPEAKER  
HOUSE OF REPRESENTATIVES

ROOM 300  
STATE HOUSE  
SPRINGFIELD, ILLINOIS 62706

January 11, 2010

West & Company, LLC  
919 E. Harris Avenue  
Greenville, IL 62246

Ladies and Gentlemen:

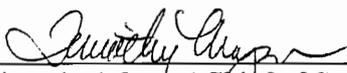
We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the Agency. We are responsible for and we have established and maintained an effective system of internal controls over compliance requirements. We have performed an evaluation of the Agency's compliance with the following assertions during the two years ended June 30, 2009. Based on this evaluation, we assert that during the years ended June 30, 2009 and June 30, 2008, the Agency has materially complied with the assertions below.

- A. The agency has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The agency has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The agency has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the agency are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.

- E. Money or negotiable securities or similar assets handled by the agency on behalf of the State or held in trust by the agency have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate and in accordance with law.

Yours very truly,

Illinois House of Representatives – Democratic Leadership

  
\_\_\_\_\_  
(Timothy Mapes, Chief of Staff)

  
\_\_\_\_\_  
(Henry Harms, Fiscal Officer)



STATE OF ILLINOIS  
HOUSE OF REPRESENTATIVES

316 STATE HOUSE  
SPRINGFIELD, IL 62706  
217/782-1331

**TOM CROSS**  
HOUSE REPUBLICAN LEADER

January 11, 2010

West & Company, LLC  
919 E. Harris Avenue  
Greenville, IL 62246

Ladies and Gentlemen:

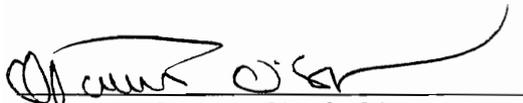
We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the Agency. We are responsible for and we have established and maintained an effective system of internal controls over compliance requirements. We have performed an evaluation of the Agency's compliance with the following assertions during the two years ended June 30, 2009. Based on this evaluation, we assert that during the years ended June 30, 2009 and June 30, 2008, the Agency has materially complied with the assertions below.

- A. The agency has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The agency has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The agency has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the agency are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.

- E. Money or negotiable securities or similar assets handled by the agency on behalf of the State or held in trust by the agency have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate and in accordance with law.

Yours very truly,

Illinois House of Representatives – Republican Leadership

  
\_\_\_\_\_  
(Matthew O'Shea, Chief of Staff)

  
\_\_\_\_\_  
(Kathy Miller, Fiscal Officer)

STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
COMPLIANCE EXAMINATION  
For the Two Years Ended June 30, 2009

**COMPLIANCE REPORT**

**SUMMARY**

The compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and in accordance with the Illinois State Auditing Act.

**ACCOUNTANTS' REPORT**

The Independent Accountants' Report on State Compliance, on Internal Control Over Compliance and on Supplementary Information for State Compliance does not contain scope limitations, disclaimers, or other significant non-standard language.

**SUMMARY OF FINDINGS**

<u>Number of</u>	<u>This Report</u>	<u>Prior Report</u>
Findings	—	—
Repeated findings	—	—
Prior recommendations implemented or not repeated	—	—

**SCHEDULE OF FINDINGS**

**CURRENT FINDING**

<u>Item</u>	<u>Page</u>	<u>Description</u>
None		

STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
COMPLIANCE EXAMINATION  
For the Two Years Ended June 30, 2009

**COMPLIANCE REPORT (continued)**

**EXIT CONFERENCE**

House Republican Leadership waived an exit conference in correspondence dated November 24, 2009.

House Democratic Leadership waived an exit conference in correspondence dated November 23, 2009.

The results of this examination were discussed with House of Representatives – Clerk of the House personnel at an exit conference on December 9, 2009. Attending were:

Clerk of the House

Mark Mahoney, Clerk of the House  
Brad Bolin, Assistant Clerk of the House  
Lynn Pulley, Payroll Officer

Office of the Auditor General

Ms. Gayla Rudd, Audit Manager

West & Company, LLC – Special Assistant Auditors

Janice Romack, Partner  
Diana Smith, Partner

MEMBERS

E. LYNN FREESE  
RICHARD C. WEST  
KENNETH L. VOGT  
BRIAN E. DANIELL  
JANICE K. ROMACK  
DIANA R. SMITH  
D. RAIF PERRY  
JOHN H. VOGT

CERTIFIED PUBLIC ACCOUNTANTS  
&  
CONSULTANTS

919 E. HARRIS AVENUE  
GREENVILLE, ILLINOIS 62246

(618) 664-4848  
www.westcpa.com

OFFICES

EDWARDSVILLE  
EFFINGHAM  
GREENVILLE  
MATTOON  
SULLIVAN

**INDEPENDENT ACCOUNTANTS' REPORT ON STATE COMPLIANCE,  
ON INTERNAL CONTROL OVER COMPLIANCE, AND ON  
SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES**

Honorable William G. Holland  
Auditor General  
State of Illinois

**Compliance**

As Special Assistant Auditors for the Auditor General, we have examined the State of Illinois, General Assembly – House of Representatives' compliance with the requirements listed below, as more fully described in the Audit Guide for performing Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (*Audit Guide*) as adopted by the Auditor General, during the two years ended June 30, 2009. The management of the State of Illinois, General Assembly – House of Representatives is responsible for compliance with these requirements. Our responsibility is to express an opinion on the State of Illinois, General Assembly – House of Representatives' compliance based on our examination.

- A. The State of Illinois, General Assembly – House of Representatives has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The State of Illinois, General Assembly – House of Representatives has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The State of Illinois, General Assembly – House of Representatives has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. The State revenues and receipts collected by the State of Illinois, General Assembly – House of Representatives are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the State of Illinois, General Assembly – House of Representatives on behalf of the State or held in trust by the State of Illinois, General Assembly – House of Representatives have been properly and legally

administered and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.

We conducted our examination in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States; the Illinois State Auditing Act (Act); and the *Audit Guide* as adopted by the Auditor General pursuant to the Act; and, accordingly, included examining, on a test basis, evidence about the State of Illinois, General Assembly – House of Representatives’ compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our examination provides a reasonable basis for our opinion. Our examination does not provide a legal determination on the State of Illinois, General Assembly – House of Representatives’ compliance with specified requirements.

In our opinion, the State of Illinois, General Assembly – House of Representatives complied, in all material respects, with the requirements listed in the first paragraph of this report during the two years ended June 30, 2009.

### **Internal Control**

The management of the State of Illinois, General Assembly – House of Representatives is responsible for establishing and maintaining effective internal control over compliance with the requirements listed in the first paragraph of this report. In planning and performing our examination, we considered the State of Illinois, General Assembly – House of Representatives’ internal control over compliance with the requirements listed in the first paragraph of this report as a basis for designing our examination procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with the *Audit Guide*, issued by the Illinois Office of the Auditor General, but not for the purpose of expressing an opinion on the effectiveness of the State of Illinois, General Assembly – House of Representatives’ internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the State of Illinois, General Assembly – House of Representatives’ internal control over compliance.

A *deficiency* in an entity’s internal control over compliance exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect and correct noncompliance with the requirements listed in the first paragraph of this report on a timely basis. A *material weakness* is a deficiency, or combination of deficiencies, in internal control over compliance such that there is a reasonable possibility that material noncompliance with a requirement listed in the first paragraph of this report will not be prevented, or detected and corrected on a timely basis.

Our consideration of internal control over compliance with the requirements listed in the first paragraph of this report was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be deficiencies, significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above.

As required by the *Audit Guide*, immaterial findings excluded from this report have been reported in a separate letter to your office.

**Supplementary Information for State Compliance Purposes**

Our examination was conducted for the purpose of forming an opinion on compliance with the requirements listed in the first paragraph of this report. The accompanying supplementary information as listed in the table of contents as Supplementary Information for State Compliance Purposes is presented for purposes of additional analysis. We have applied certain limited procedures as prescribed by the *Audit Guide*, as adopted by the Auditor General, to the 2009 and the 2008 Supplementary Information for State Compliance Purposes, except for information on the Service Efforts and Accomplishments on which we did not perform any procedures. However, we do not express an opinion on the supplementary information.

We have not applied procedures to the 2007 Supplementary Information for State Compliance Purposes, and accordingly, we do not express an opinion thereon.

This report is intended solely for the information and use of the Auditor General, the General Assembly, agency management, the Legislative Audit Commission, and the Governor, and is not intended to be and should not be used by anyone other than these specified parties.

*West + Company, LLC*

West & Company, LLC

January 11, 2010

STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
COMPLIANCE EXAMINATION  
SCHEDULE OF FINDINGS  
For the Two Years Ended June 30, 2009

**Current Findings**

No findings were reported in the compliance examination of the State of Illinois, General Assembly – House of Representatives for the two years ended June 30, 2009.

STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
COMPLIANCE EXAMINATION  
SCHEDULE OF FINDINGS  
For the Two Years Ended June 30, 2009

**Prior Findings Not Repeated**

No findings were reported in the compliance examination of the State of Illinois, General Assembly – House of Representatives for the two years ended June 30, 2007.

STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
COMPLIANCE EXAMINATION  
SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES  
For the Two Years Ended June 30, 2009

**SUMMARY**

Supplementary Information for State Compliance Purposes presented in this section of the report includes the following:

Fiscal Schedules and Analysis:

- Schedule of Appropriations, Expenditures and Lapsed Balances
- Comparative Schedule of Net Appropriations, Expenditures and Lapsed Balances
- Comparative Schedule of Net Appropriations, Expenditures and Lapsed Balances by Major Object Code
- Schedule of Changes in State Property
- Comparative Schedule of Cash Receipts
- Reconciliation Schedule of Cash Receipts to Deposits Remitted to the State Comptroller
- Analysis of Significant Variations in Expenditures
- Analysis of Significant Lapse Period Spending

Analysis of Operations:

- Agency Functions and Planning Program
- Average Number of Employees
- Service Efforts and Accomplishments (not examined)

The accountants' report that covers the Supplementary Information for State Compliance purposes presented in the Compliance Report Section states the accountants have applied certain limited procedures as prescribed by the *Audit Guide*, as adopted by the Auditor General, except for information on the Service Efforts and Accomplishments on which they did not perform any procedures. However, the accountants do not express an opinion on the supplementary information.

STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
COMPLIANCE EXAMINATION  
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES  
For the Fiscal Year Ended June 30, 2009

	APPROPRIATIONS NET OF TRANSFERS	EXPENDITURES THROUGH JUNE 30, 2009	LAPSE PERIOD EXPENDITURES JULY 1 TO AUGUST 31, 2009	TOTAL EXPENDITURES 14 MONTHS ENDED AUGUST 31, 2009	BALANCES LAPSED AUGUST 31, 2009
PUBLIC ACT 95-0731					
<u>GENERAL REVENUE FUND - 001</u>					
For ordinary, incidental, and contingent expenses of leadership staff and office operations					
Speaker	\$ 5,109,643	\$ 4,474,550	\$ 131,291	\$ 4,605,841	\$ 503,802
Minority Leader	4,903,610	4,802,350	70,069	4,872,419	31,191
For the ordinary and incidental expenses of the general staff, operations, and special and standing committees, for per diem employees and for expenses incurred in transcribing and printing of House debate					
	5,346,108	4,489,787	236,633	4,726,420	619,688
For the purchasing on contract as required by law of printing, binding, printing paper, stationary, and office supplies, no part of which shall be expended for expenses of purchasing, handling, or distributing such supplies and against which no indebtedness shall be incurred without the written approval of the Speaker of the House of Representatives					
	94,996	45,138	45,314	90,452	4,544
For the ordinary, incidental, and contingent expenses of the leadership and general staff:					
Speaker	-	-	-	-	-
Minority Leader	-	-	-	-	-
For travel expenses to Springfield of members on official legislative business during weeks when the General Assembly is not in session					
	30,394	28,794	45	28,839	1,555
For furnishing the items to members throughout the year in connection with their legislative duties and responsibilities and not in connection with any political campaign					
	8,190,285	7,770,761	224,539	7,995,300	194,985
For expenses of Standing House Committees					
	2,382,203	2,236,800	69,550	2,306,350	75,853
For expenses in connection with the planning and preparation of redistricting of legislative and representative districts as required by Article IV, section 3 of the Illinois Constitution of 1970:					
Speaker	85,589	66,200	-	66,200	19,389
Sub-total fund 001	<u>26,142,828</u>	<u>23,914,380</u>	<u>777,441</u>	<u>24,691,821</u>	<u>1,451,007</u>
<u>GENERAL ASSEMBLY OPERATIONS</u>					
<u>REVOLVING FUND - 196</u>					
For ordinary and contingent expenses					
	<u>250,000</u>	<u>11,000</u>	<u>-</u>	<u>11,000</u>	<u>239,000</u>
TOTAL FUNDS - FISCAL YEAR 2009	<u>\$ 26,392,828</u>	<u>\$ 23,925,380</u>	<u>\$ 777,441</u>	<u>\$ 24,702,821</u>	<u>\$ 1,690,007</u>

Note : The information reflected in this schedule was taken from Agency records and reconciled to records of the State Comptroller.

STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
COMPLIANCE EXAMINATION  
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES  
For the Fiscal Year Ended June 30, 2008

	APPROPRIATIONS NET OF TRANSFERS	EXPENDITURES THROUGH JUNE 30, 2008	LAPSE PERIOD EXPENDITURES JULY 1 TO AUGUST 31, 2008	TOTAL EXPENDITURES 14 MONTHS ENDED AUGUST 31, 2008	BALANCES LAPSED AUGUST 31, 2008
PUBLIC ACT 95-0348					
<u>GENERAL REVENUE FUND - 001</u>					
For ordinary, incidental, and contingent expenses of leadership staff and office operations					
Speaker	\$ 4,751,550	\$ 3,741,483	\$ 521,116	\$ 4,262,599	\$ 488,951
Minority Leader	4,751,550	4,678,912	36,832	4,715,744	35,806
For the ordinary and incidental expenses of the general staff, operations, and special and standing committees, for per diem employees and for expenses incurred in transcribing and printing of House debate					
	5,346,100	4,390,627	273,385	4,664,012	682,088
For the purchasing on contract as required by law of printing, binding, printing paper, stationary, and office supplies, no part of which shall be expended for expenses of purchasing, handling, or distributing such supplies and against which no indebtedness shall be incurred without the written approval of the Speaker of the House of Representatives					
	95,000	28,573	32,197	60,770	34,230
For the ordinary, incidental, and contingent expenses of the leadership and general staff:					
Speaker	357,700	228,377	25,008	253,385	104,315
Minority Leader	162,200	153,651	7,788	161,439	761
For travel expenses to Springfield of members on official legislative business during weeks when the General Assembly is not in session					
	30,400	6,696	173	6,869	23,531
For furnishing the items to members throughout the year in connection with their legislative duties and responsibilities and not in connection with any political campaign					
	8,190,300	7,887,519	146,758	8,034,277	156,023
For expenses of Standing House Committees					
	2,382,200	2,358,596	-	2,358,596	23,604
For expenses in connection with the planning and preparation of redistricting of legislative and representative districts as required by Article IV, section 3 of the Illinois Constitution of 1970:					
Speaker	148,089	-	62,500	62,500	85,589
Sub-total fund 001	<u>26,215,089</u>	<u>23,474,434</u>	<u>1,105,757</u>	<u>24,580,191</u>	<u>1,634,898</u>
<u>GENERAL ASSEMBLY OPERATIONS</u>					
<u>REVOLVING FUND - 196</u>					
For ordinary and contingent expenses					
	<u>250,000</u>	<u>11,098</u>	<u>-</u>	<u>11,098</u>	<u>238,902</u>
TOTAL FUNDS - FISCAL YEAR 2008	<u>\$ 26,465,089</u>	<u>\$ 23,485,532</u>	<u>\$ 1,105,757</u>	<u>\$ 24,591,289</u>	<u>\$ 1,873,800</u>

Note : The information reflected in this schedule was taken from Agency records and reconciled to records of the State Comptroller.

STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
COMPLIANCE EXAMINATION  
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES AND  
LAPSED BALANCES  
For the Years Ended June 30,

	FISCAL YEAR		
	2009	2008	2007
	P.A. 95-0731	P.A. 95-0348	P.A. 94-798
<u>GENERAL REVENUE FUND - 001</u>			
Appropriations (net of transfers)	\$ 26,142,828	\$ 26,215,089	\$ 26,295,295
<b>EXPENDITURES</b>			
For ordinary, incidental, and contingent expenses of leadership staff and office operations			
Speaker	4,605,841	4,262,599	4,577,806
Minority Leader	4,872,419	4,715,744	4,747,292
For the ordinary and incidental expenses of the general staff, operations, and special and standing committees, for per diem employees and for expenses incurred in transcribing and printing of House debate			
	4,726,420	4,664,012	5,022,631
For the purchasing on contract as required by law of printing, binding, printing paper, stationary, and office supplies, no part of which shall be expended for expenses of purchasing, handling, or distributing such supplies and against which no indebtedness shall be incurred without the written approval of the Speaker of the House of Representatives			
	90,452	60,770	84,545
For the ordinary, incidental, and contingent expenses of the leadership and general staff:			
Speaker	-	253,385	251,122
Minority Leader	-	161,439	155,501
For travel expenses to Springfield of members on official legislative business during weeks when the General Assembly is not in session			
	28,839	6,869	22,117
For furnishing the items to members throughout the year in connection with their legislative duties and responsibilities and not in connection with any political campaign			
	7,995,300	8,034,277	7,913,683
For expenses of Standing House Committees			
	2,306,350	2,358,596	2,278,217
For expenses in connection with the planning and preparation of redistricting of legislative and representative districts as required by Article IV, section 3 of the Illinois Constitution of 1970:			
Speaker	66,200	62,500	80,207
Total expenditures	<u>24,691,821</u>	<u>24,580,191</u>	<u>25,133,121</u>
Lapsed Balances	<u>\$ 1,451,007</u>	<u>\$ 1,634,898</u>	<u>\$ 1,162,174</u>

STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
COMPLIANCE EXAMINATION  
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES AND  
LAPSED BALANCES (continued)  
For the Years Ended June 30,

	FISCAL YEAR		
	2009	2008	2007
	P.A. 95-0731	P.A. 95-0348	P.A. 94-798
<u>GENERAL ASSEMBLY OPERATIONS REVOLVING FUND- 196</u>			
Appropriations (net of transfers)	\$ 250,000	\$ 250,000	\$ 250,000
EXPENDITURES			
For ordinary and contingent expenses	11,000	11,098	21,443
Lapsed Balances	<u>\$ 239,000</u>	<u>\$ 238,902</u>	<u>\$ 228,557</u>
<u>GRAND TOTAL, ALL FUNDS:</u>			
Appropriations (net of transfers)	\$ 26,392,828	\$ 26,465,089	\$ 26,545,295
Expenditures	<u>24,702,821</u>	<u>24,591,289</u>	<u>25,154,564</u>
Lapsed Balances	<u>\$ 1,690,007</u>	<u>\$ 1,873,800</u>	<u>\$ 1,390,731</u>

STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
COMPLIANCE EXAMINATION  
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES AND  
LAPSED BALANCES (continued)  
For the Years Ended June 30,

	FISCAL YEAR		
	2009	2008	2007
	P.A. 95-0731	P.A. 95-0348	P.A. 94-798
STATE OFFICERS' SALARIES			
Appropriations to comptroller's office for House of Representatives members' salaries	\$ 10,744,000	\$ 10,587,350	\$ 9,300,800
EXPENDITURES			
For the Speaker of the House and Minority Leader	54,954	52,942	48,964
For the Majority Leader of the House	23,230	22,379	20,677
For the 12 Assistant Majority and Minority Leaders in the House	215,298	208,859	189,690
For the Majority and Minority Conference Chairmen in the House	36,134	34,810	32,163
For the two Deputy Majority and the two Deputy Minority Leaders in the House	79,164	76,264	70,464
For the Chairmen and Minority Spokesmen of Standing and Select Committees in the House	799,479	785,892	639,147
For Per Diem Allowances for the Members of the House, as provided by law	1,076,760	1,209,933	835,875
For Salaries, 118 Members of the House of Representatives	8,021,607	7,711,649	7,144,160
Total expenditures	10,306,626	10,102,728	8,981,140
Lapsed Balances	\$ 437,374	\$ 484,622	\$ 319,660

STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
COMPLIANCE EXAMINATION  
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES AND  
LAPSED BALANCES BY MAJOR OBJECT CODE  
For the Years Ended June 30,

	FISCAL YEAR		
	2009	2008	2007
<u>GENERAL REVENUE FUND - 001</u>			
Appropriations (net of transfers)	\$ 26,392,828	\$ 26,465,089	\$ 26,545,295
EXPENDITURES			
Personal services	\$ 13,668,437	\$ 13,399,194	\$ 13,509,236
Employee retirement contributions paid by employer	977,738	490,441	169,256
State contribution to state employees' retirement system	-	-	1,557,313
State contribution to social security	1,043,462	1,014,863	983,900
Personal services - contractual	2,763,857	2,622,809	2,434,573
Social security - contractual employees	148,046	144,760	174,403
Contractual services	2,809,095	3,403,899	3,822,819
Travel	378,921	346,639	307,358
Printing	197,336	213,986	177,739
Commodities	276,314	304,718	360,166
Equipment	1,689,801	1,731,967	745,462
Electronic data processing	19,767	9,004	31,027
Telecommunications	679,108	770,300	809,994
Operation of automobile equipment	46,160	17,966	11,918
Lump sumes and other purposes	1,679	-	-
Permanent improvements	3,100	120,743	59,139
Refunds	-	-	261
Total expenditures	<u>24,702,821</u>	<u>24,591,289</u>	<u>25,154,564</u>
Lapsed Balances	<u>\$ 1,690,007</u>	<u>\$ 1,873,800</u>	<u>\$ 1,390,731</u>

STATE OF ILLINOIS  
 GENERAL ASSEMBLY  
 HOUSE OF REPRESENTATIVES  
 COMPLIANCE EXAMINATION  
 SCHEDULE OF CHANGES IN STATE PROPERTY  
 For the Two Years Ended June 30,

	Equipment
Balance at July 1, 2007	\$ 6,257,321
Additions	687,540
Deletions	(502,724)
Net transfers	(607,061)
Balance at June 30, 2008	\$ 5,835,076
Balance at July 1, 2008	\$ 5,835,076
Additions	613,621
Deletions	(332,248)
Net transfers	(150,462)
Balance at June 30, 2009	\$ 5,965,987

Note: The above schedule has been derived from Agency records which have been reconciled to property reports submitted to the Office of the Comptroller.

STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
COMPLIANCE EXAMINATION  
COMPARATIVE SCHEDULE OF CASH RECEIPTS  
For the Years Ended June 30,

	FISCAL YEAR		
	2009	2008	2007
<u>GENERAL REVENUE FUND - 001</u>			
Majority Leadership	\$ 203	\$ 165	\$ 386
Minority Leadership	357	394	215
Clerk of the House	823	400	305
Prior Year Refunds	9,029	4,814	9,157
	<u>          </u>	<u>          </u>	<u>          </u>
Total receipts - fund 001	<u>\$ 10,412</u>	<u>\$ 5,773</u>	<u>\$ 10,063</u>

STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
COMPLIANCE EXAMINATION  
RECONCILIATION SCHEDULE OF CASH RECEIPTS TO DEPOSITS  
REMITTED TO THE STATE COMPTROLLER  
For the Years Ended June 30,

	FISCAL YEAR		
	2009	2008	2007
<u>GENERAL REVENUE FUND - 001</u>			
Majority Leadership			
Deposits per agency records	\$ 203	\$ 165	\$ 386
Deposits in transit beginning of year	-	-	-
Deposits in transit end of year	-	-	-
Deposits per State Comptroller	<u>\$ 203</u>	<u>\$ 165</u>	<u>\$ 386</u>
Minority Leadership			
Deposits per agency records	\$ 357	\$ 394	\$ 215
Deposits in transit beginning of year	-	-	-
Deposits in transit end of year	(31)	-	-
Deposits per State Comptroller	<u>\$ 326</u>	<u>\$ 394</u>	<u>\$ 215</u>
Clerk of the House			
Deposits per agency records	\$ 823	\$ 400	\$ 305
Deposits in transit beginning of year	-	-	-
Deposits in transit end of year	-	-	-
Deposits per State Comptroller	<u>\$ 823</u>	<u>\$ 400</u>	<u>\$ 305</u>
Prior Year Refunds			
Deposits per agency records	\$ 9,029	\$ 4,814	\$ 9,157
Deposits in transit beginning of year	-	-	-
Deposits in transit end of year	-	-	-
Deposits per State Comptroller	<u>\$ 9,029</u>	<u>\$ 4,814</u>	<u>\$ 9,157</u>
Grand Totals for House of Representatives Fund 001			
Deposits per agency records	\$ 10,412	\$ 5,773	\$ 10,063
Deposits in transit beginning of year	-	-	-
Deposits in transit end of year	(31)	-	-
Deposits per State Comptroller	<u>\$ 10,381</u>	<u>\$ 5,773</u>	<u>\$ 10,063</u>

STATE OF ILLINOIS  
 GENERAL ASSEMBLY  
 HOUSE OF REPRESENTATIVES  
 COMPLIANCE EXAMINATION  
 ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES  
 For the Years Ended June 30, 2009

The following are explanations for expenditures which differed by at least \$46,000 and by more than 20% from the previous year.

	Fiscal Year		
	2009	2008	2007
<u>GENERAL REVENUE FUND - 001</u>			
For the ordinary, incidental, and contingent expenses of the leadership and Speaker:	\$ -	\$ 253,385	\$ 251,122
The decrease of \$253,385 or 100% from fiscal year 2008 to fiscal year 2009 was due to the close of the appropriation.			
For the ordinary, incidental, and contingent expenses of the leadership Minority Leader:	\$ -	\$ 161,439	\$ 155,501
The decrease of \$161,439 or 100% from fiscal year 2008 to fiscal year 2009 was due to the close of the appropriation.			

STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
COMPLIANCE EXAMINATION  
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING  
For the Fiscal Year Ended June 30, 2009

The following is an explanation for significant lapse period spending (20% or more) for the fiscal year ended June 30, 2009.

<u>Expenditure Item</u>	<u>Fund</u>	<u>Total Expenditures</u>	<u>Lapse Period Expenditures</u>	<u>Percent</u>	<u>Explanation of Significant Lapse Period Expenditures</u>
For the purchasing on contract as required by law of printing, binding, printing paper, stationary, and office supplies, no part of which shall be expended for expenses of purchasing, handling, or distributing such supplies and against which no indebtedness shall be incurred without the written approval of the Speaker of the House of Representatives	001	\$ 90,452	\$ 45,314	50%	Lapse period spending was due to the purchase of office supplies prior to June 30, 2009, which were not paid until lapse period. The spring legislative session usually concludes in early June and then supplies are purchased, which extends the spending into lapse period.

STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
COMPLIANCE EXAMINATION  
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING  
For the Fiscal Year Ended June 30, 2008

The following is an explanation for significant lapse period spending (20% or more) for the fiscal year ended June 30, 2008.

Expenditure Item	Fund	Total Expenditures	Lapse Period Expenditures	Percent	Explanation of Significant Lapse Period Expenditures
For the purchasing on contract as required by law of printing, binding, printing paper, stationary, and office supplies, no part of which shall be expended for expenses of purchasing, handling, or distributing such supplies and against which no indebtedness shall be incurred without the written approval of the Speaker of the House of Representatives	001	\$ 60,770	\$ 32,197	53%	Lapse period spending was due to the purchase of office supplies prior to June 30, 2008, which were not paid until lapse period. The spring legislative session usually concludes in early June and then supplies are purchased, which extends the spending into lapse period.
For expenses in connection with the planning and preparation of redistricting of legislative and representative districts as required by Article IV, section 3 of the Illinois Constitution of 1970: Speaker	001	\$ 62,500	\$ 62,500	100%	Lapse period spending was due to the purchase of election software at year end, and the expenditure was not processed until lapse period.

STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
COMPLIANCE EXAMINATION  
ANALYSIS OF OPERATIONS  
For the Two Years Ended June 30, 2009

AGENCY FUNCTIONS AND PLANNING PROGRAM

Agency Functions

The Legislative Power of the State of Illinois is vested in the General Assembly, which is composed of a 59-member Senate and a 118-member House of Representatives. Its principal activities are enacting, amending or repealing laws, passing resolutions, adopting appropriation bills and conducting inquiries on proposed legislation.

As of 1982, each Legislative district is comprised of one Senate district, which is divided into two representative districts. Every two years, one representative is elected from each representative district for a term of two years.

On the first day of January session of the General Assembly in odd-numbered years, the Secretary of State shall convene the House of Representatives to elect from its membership a Speaker of the House of Representatives as presiding officer. The House shall also elect a Minority Leader, a Clerk of the House, and Assistant Clerk of the House and a Doorkeeper.

Speaker of the House

Speaker of the House of Representatives – Representative Michael J. Madigan

The Speaker has those powers conferred upon him or her by the constitution, the laws of Illinois, and any other motions or resolutions adopted by the House or jointly by the House and Senate. The Speaker is the chief administrative officer of the House and has those powers necessary to carry out those functions. Various duties and responsibilities are outlined in House Rule 4.

The office of the Speaker of the House is located at 300 State House, Springfield, Illinois.

STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
COMPLIANCE EXAMINATION  
ANALYSIS OF OPERATIONS  
For the Two Years Ended June 30, 2009

AGENCY FUNCTIONS AND PLANNING PROGRAM (continued)

Minority Leader

Minority Leader – Representative Tom Cross

The Minority Leader has those powers conferred upon him or her by the constitution, the laws of Illinois, and any other motions or resolutions adopted by the House or jointly by the House and Senate. For purposes of powers of appointment conferred by the Constitution, the Minority Leader of either house is a member of the numerically strongest political party other than the party to which the Speaker belongs. The Minority Leader has general supervision of the minority caucus staff.

The office of the Minority Leader is located at 316 State House, Springfield, Illinois.

Clerk of the House and Assistant Clerk of the House

Clerk of the House – Mark Mahoney  
Assistant Clerk of the House – Bradley Bolin

The Clerk of the House may adopt policies and procedures for the conduct of his or her office. Various duties and responsibilities are outlined in House Rule 6. The Speaker is the final arbiter of any dispute arising in connection with the operation of the Office of the Clerk.

The Assistant Clerk of the House shall perform those duties as assigned by the Clerk.

The office of the Clerk of the House is located at 402 State House, Springfield, Illinois.

Doorkeeper

Doorkeeper – Lee Crawford

The Doorkeeper shall perform those duties assigned by law, or as ordered by the Speaker, Presiding Officer, or Clerk. The various duties are outlined in House Rule 8.

STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
COMPLIANCE EXAMINATION  
ANALYSIS OF OPERATIONS  
For the Two Years Ended June 30, 2009

CENTER FUNCTIONS AND PLANNING PROGRAM (continued)

Planning Program

The House of Representatives does not have a formal planning program. A long range planning program is difficult to formulate for a legislative body, whose purpose is to legislate rather than to provide a governmental service. The powers and duties of the House or Representatives are detailed in the State of Illinois Constitution, the laws of Illinois, other motions or resolutions adopted by the House or jointly by the House and the Senate, and by the House Rules.

STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
COMPLIANCE EXAMINATION  
ANALYSIS OF OPERATIONS  
For the Years Ended June 30, 2009

AVERAGE NUMBER OF EMPLOYEES

The following table, prepared from Agency records, presents the average number of employees, by function, for the past three years.

	Fiscal Year		
	2009	2008	2007
Regular full-time employees			
Speaker of the House	75	75	74
Minority Leader	91	95	93
Office of the Clerk	177	185	193
Total average full-time employees	343	355	360
Contractual full-time employees			
Speaker of the House	39	33	24
Minority Leader	8	8	8
Office of the Clerk	179	91	119
Total employees	226	132	151
Total average employees	569	487	511

STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
COMPLIANCE EXAMINATION  
ANALYSIS OF OPERATIONS  
For the Years Ended June 30, 2009

SERVICE EFFORTS AND ACCOMPLISHMENTS (not examined)

2009 Session 96<sup>th</sup> General Assembly  
January 2009 – June 2009

4,582 House Bills Introduced  
2,726 House Bills Passed House Committees  
694 House Bills Passed the House  
532 House Bills Passed Both House and Senate  
- House Bills Vetoed or Amendatorially Vetoed  
15 House Bills Signed into Law

2008 Session 95<sup>th</sup> General Assembly  
January 2008 – December 2008

2,522 House Bills Introduced  
1,059 House Bills Passed House Committees  
526 House Bills Passed the House  
163 House Bills Passed Both House and Senate  
21 House Bills Vetoed or Amendatorially Vetoed  
162 House Bills Signed into Law

2007 Session 95<sup>th</sup> General Assembly  
January 2007 – December 2007

4,215 House Bills Introduced  
2,277 House Bills Passed House Committees  
720 House Bills Passed the House  
441 House Bills Passed Both House and Senate  
47 House Bills Vetoed or Amendatorially Vetoed  
347 House Bills Signed into Law