

State of Illinois
ILLINOIS COMMERCE COMMISSION
LIMITED SCOPE COMPLIANCE EXAMINATION

For the Year Ended June 30, 2011

STATE OF ILLINOIS
 ILLINOIS COMMERCE COMMISSION
 LIMITED SCOPE COMPLIANCE EXAMINATION
 For the Year Ended June 30, 2011

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STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
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AGENCY OFFICIALS

ILLINOIS COMMERCE COMMISSION

Chairman (effective 3/3/11)	Doug Scott
Acting Chairman (until 3/3/11)	Manuel Flores
Commissioner	Lula Ford
Commissioner	Erin O'Connell-Diaz
Commissioner (effective 3/16/12)	Ann McCabe
Commissioner (until 3/16/12)	Sherman Elliott
Commissioner	John T. Colgan
Executive Director (effective 7/18/12)	Jonathan Feipel
Interim Executive Director (1/31/12 until 7/17/12)	Randy Nehrt
Executive Director (until 1/30/12)	Tim Anderson
Bureau Chief Planning and Operations	Ken Hundrieser
Bureau Chief of Public Utilities	Gene Beyer
Director of the Policy Division	James Zolnierek
Director of Administrative Services	Jane Fields
Fiscal Manager	Sue McCormick
General Counsel	Mary Stephenson-Schroeder
Chief Internal Auditor	Aaron Rife

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
LIMITED SCOPE COMPLIANCE EXAMINATION
For the Year Ended June 30, 2011

The Commission is located at:

527 E. Capitol Avenue
Springfield, Illinois 62701

160 N. LaSalle
Suite C-800
Chicago, Illinois 60601

The Police Station is located at:

9511 West Harrison Street
Des Plaines, Illinois 60016



ILLINOIS COMMERCE COMMISSION

July 27, 2012

Honorable William G. Holland
Auditor General
740 East Ash Street
Springfield, IL 62703-3154
Attn: Lisa Warden, Audit Manager

Auditor General Holland:

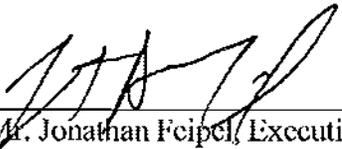
We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the Wireless Service Emergency Fund and the Wireless Carrier Reimbursement Fund. We are responsible for and we have established and maintained an effective system of internal controls over compliance requirements. We have performed an evaluation of the Illinois Commerce Commission's (Commission) compliance with the following assertions during the year ended June 30, 2011. Based on this evaluation, we assert that during the year ended June 30, 2011, the Commission has materially complied with the assertions below.

- A. The Commission has obligated, expended, received and used public funds of the State's Wireless Service Emergency Fund and the Wireless Carrier Reimbursement Fund in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The Commission has obligated, expended, received and used public funds of the State's Wireless Service Emergency Fund and the Wireless Carrier Reimbursement Fund in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The Commission has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations for the Wireless Service Emergency Fund and the Wireless Carrier Reimbursement Fund.
- D. State revenues and receipts of the Wireless Service Emergency Fund and the Wireless Carrier Reimbursement Fund collected by the Commission are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.

E. Money or negotiable securities or similar assets of the Wireless Service Emergency Fund and the Wireless Carrier Reimbursement Fund handled by the Commission on behalf of the State or held in trust by the Commission have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate and in accordance with law.

Yours very truly,

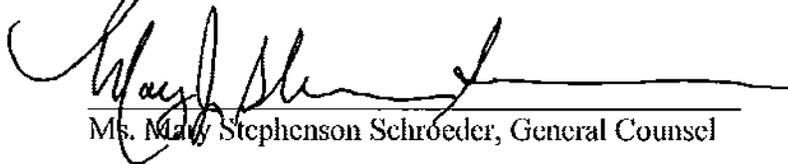
Illinois Commerce Commission



Mr. Jonathan Feipel, Executive Director



Ms. Jane Fields, Director of Administrative Services



Ms. Mary Stephenson Schroeder, General Counsel

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
LIMITED SCOPE COMPLIANCE EXAMINATION
For the Year Ended June 30, 2011

COMPLIANCE REPORT

SUMMARY

The limited scope compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and in accordance with the Illinois State Auditing Act.

ACCOUNTANTS' REPORT

The Independent Accountants' Report on State Compliance, on Internal Control Over Compliance and on Supplementary Information for State Compliance Purposes does not contain scope limitations, disclaimers, or other significant non-standard language.

SUMMARY OF FINDINGS

<u>Number of</u>	<u>Current Report</u>	<u>Prior Report</u>
Findings	0	0
Repeated findings	0	0
Prior recommendations implemented or not repeated	0	0

There were no findings noted in our testing which are required to be included in the report.

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
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For the Year Ended June 30, 2011

EXIT CONFERENCE

An exit conference was held on July 11, 2012. In attendance were:

Illinois Commerce Commission

Doug Scott, Chairman
Randy Nehrt, Interim Executive Director
Jane Fields, Director of Administrative Services
Sue McCormick, Fiscal Manager
Aaron Rife, Chief Internal Auditor
Gene Beyer, Bureau Chief of Public Utilities
Jim Zolnierek, Director of the Policy Division
Jodie Hovey, Executive Director Assistant
Leigh Myers, Human Resources Manager
Dawn Denny, Human Resources

Office of the Auditor General

Lisa Warden, Audit Manager
Kathy Lovejoy, IS Manager
Elena Bryjka, Audit Supervisor
Rob Baker, Auditor
Jane Brown, Audit Intern

SPRINGFIELD OFFICE:

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CHICAGO OFFICE:

MICHAEL A. BILANDIC BLDG. • SUITE S-900
160 NORTH LASALLE • 60601-3103
PHONE: 312/814-4000
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OFFICE OF THE AUDITOR GENERAL
WILLIAM G. HOLLAND

INDEPENDENT ACCOUNTANTS' REPORT ON STATE COMPLIANCE,
ON INTERNAL CONTROL OVER COMPLIANCE, AND ON
SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

Honorable William G. Holland
Auditor General
State of Illinois

Compliance

We have examined the Illinois Commerce Commission's compliance with the requirements listed below, as more fully described in the Audit Guide for Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (Audit Guide) as adopted by the Auditor General, during the year ended June 30, 2011. The management of the Illinois Commerce Commission is responsible for compliance with these requirements. Our responsibility is to express an opinion on the Illinois Commerce Commission's compliance based on our examination.

- A. The Illinois Commerce Commission has obligated, expended, received, and used public funds of the State's Wireless Service Emergency Fund and the Wireless Carrier Reimbursement Fund in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The Illinois Commerce Commission has obligated, expended, received, and used public funds of the State's Wireless Service Emergency Fund and the Wireless Carrier Reimbursement Fund in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The Illinois Commerce Commission has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations of the State's Wireless Service Emergency Fund and the Wireless Carrier Reimbursement Fund.
- D. State revenues and receipts of the Wireless Service Emergency Fund and the Wireless Carrier Reimbursement Fund collected by the Illinois Commerce Commission are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.

- E. Money or negotiable securities or similar assets of the Wireless Service Emergency Fund and the Wireless Carrier Reimbursement Fund handled by the Illinois Commerce Commission on behalf of the State or held in trust by the Illinois Commerce Commission have been properly and legally administered and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.

We conducted our examination in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States; the Illinois State Auditing Act (Act); and the Audit Guide as adopted by the Auditor General pursuant to the Act; and, accordingly, included examining, on a test basis, evidence about the Illinois Commerce Commission's compliance with those requirements listed in the first paragraph of this report and performing such other procedures as we considered necessary in the circumstances. We believe that our examination provides a reasonable basis for our opinion. Our examination does not provide a legal determination on the Illinois Commerce Commission's compliance with specified requirements.

In our opinion, the Illinois Commerce Commission complied, in all material respects, with the requirements listed in the first paragraph of this report during the year ended June 30, 2011.

Internal Control

The management of the Illinois Commerce Commission is responsible for establishing and maintaining effective internal control over compliance with the requirements listed in the first paragraph of this report. In planning and performing our examination, we considered the Illinois Commerce Commission's internal control over compliance with the requirements listed in the first paragraph of this report as a basis for designing our examination procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with the Audit Guide, issued by the Illinois Office of the Auditor General, but not for the purpose of expressing an opinion on the effectiveness of the Illinois Commerce Commission's internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the Illinois Commerce Commission's internal control over compliance.

A deficiency in an entity's internal control over compliance exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with the requirements listed in the first paragraph of this report on a timely basis. *A material weakness in an entity's internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a requirement listed in the first paragraph of this report will not be prevented, or detected and corrected, on a timely basis.

Our consideration of internal control over compliance with the requirements listed in the first paragraph of this report was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be deficiencies, significant deficiencies, or material weaknesses. We did not identify any

deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above.

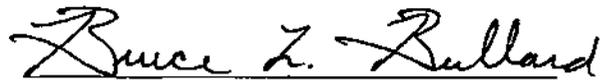
There were no immaterial findings that have been excluded from this report.

Supplementary Information for State Compliance Purposes

Our examination was conducted for the purpose of forming an opinion on compliance with the requirements listed in the first paragraph of this report. The accompanying supplementary information as listed in the table of contents as Supplementary Information for State Compliance Purposes is presented for purposes of additional analysis. We have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General to the 2011 Supplementary Information for State Compliance Purposes. However, we do not express an opinion on the supplementary information.

We have not applied procedures to the 2010 Supplementary Information for State Compliance Purposes, and accordingly, we do not express an opinion thereon.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, Illinois Commerce Commission's management, and the Commission's governing board and is not intended to be and should not be used by anyone other than these specified parties.


BRUCE L. BULLARD
Director of Financial and Compliance Audits

July 27, 2012

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
LIMITED SCOPE COMPLIANCE EXAMINATION
For the Year Ended June 30, 2011

SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

SUMMARY

Supplementary Information for State Compliance Purposes presented in this section of the report includes the following:

- Fiscal Schedules and Analysis:

- Schedule of Appropriations, Expenditures and Lapsed Balances
- Comparative Schedule of Net Appropriations, Expenditures and Lapsed Balances
- Schedule of Receipts, Disbursements, and Fund Balances (Cash Basis)
- Schedule of Provider Disbursements from Wireless Service Emergency Fund
- Comparative Schedule of Cash Receipts
- Reconciliation Schedule of Cash Receipts to Deposits Remitted to the State Comptroller
- Analysis of Significant Variations in Expenditures
- Analysis of Accounts Receivable

- Analysis of Operations:

- Wireless Emergency Telephone Safety Act Functions and Program
- Status of Implementation of Wireless 9-1-1 and E9-1-1 Systems

The accountants' report that covers the Supplementary Information for State Compliance Purposes presented in the Compliance Report Section states the auditors have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General. However, the accountants do not express an opinion on the supplementary information.

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
Appropriations for Fiscal Year 2011
Eighteen Months Ended December 31, 2011

P.A. 96-0956 FISCAL YEAR 2011	Appropriations (Net of Transfers)	Expenditures Through June 30	Lapse Period Expenditures July 1 to December 31	Total Expenditures 18 Months Ended August 31	Balances Lapsed December 31
<u>Wireless Service Emergency Fund - 612</u>					
Administrative Costs and Grants to Emergency Telephone System Boards, Qualified Government Entities, or the Department of State Police for Wireless 9-1-1 or E9-1-1 ¹	64,000,000	47,993,196	9,999,719	57,992,915	6,007,085
Total Wireless Service Emergency Fund	<u>\$ 64,000,000</u>	<u>\$ 47,993,196</u>	<u>\$ 9,999,719</u>	<u>\$ 57,992,915</u>	<u>\$ 6,007,085</u>
<u>Wireless Carrier Reimbursement Fund - 613</u>					
Reimbursement of Wireless Carriers and Administrative Costs ²	8,500,000	4,157,030	-	4,157,030	4,342,970
Total Wireless Carrier Reimbursement Fund	<u>\$ 8,500,000</u>	<u>\$ 4,157,030</u>	<u>\$ -</u>	<u>\$ 4,157,030</u>	<u>\$ 4,342,970</u>
GRAND TOTAL - ALL FUNDS	<u>\$ 72,500,000</u>	<u>\$ 52,150,226</u>	<u>\$ 9,999,719</u>	<u>\$ 62,149,945</u>	<u>\$ 10,350,055</u>

Note: Information was obtained from the Commission's records which have been reconciled to those of the State Comptroller. Expenditure amounts are vouchers approved for payment by the Commission, and paid by the State Comptroller to the vendor.

¹ Administrative costs and grants to emergency telephone system boards, qualified government entities, or the Department of State Police for the design, implementation, operation, maintenance, or upgrade of wireless 9-1-1 or E9-1-1 emergency services and public safety answering points.

² Reimbursement of wireless carriers for costs incurred in complying with the applicable provisions of Federal Communications Commission wireless enhanced 9-1-1 services mandates and for administrative costs incurred by the Illinois Commerce Commission related to administering the program.

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES
AND LAPSED BALANCES**
For the Fiscal Years Ended June 30,

	2011	2010
Wireless Service Emergency Fund - 612	P.A. 96-0956	P.A. 96-0046
<u>Appropriations</u>		
(Net of Transfers)	\$ 64,000,000	\$ 83,600,000
<u>Expenditures</u>		
Administrative Costs and Grants to Emergency Telephone System Boards, Qualified Government Entities, or the Department of State Police for Wireless 9-1-1 or E9-1-1	\$ 57,992,915	79,304,049
Total Expenditures	\$ 57,992,915	\$ 79,304,049
<u>Lapsed Balances</u>	\$ 6,007,085	\$ 4,295,951
 Wireless Carrier Reimbursement Fund - 613		
<u>Appropriations</u>		
(Net of Transfers)	\$ 8,500,000	\$ 8,252,600
<u>Expenditures</u>		
Reimbursement of Wireless Carriers and Administrative Costs	\$ 4,157,030	\$ 3,512,152
Total Expenditures	\$ 4,157,030	\$ 3,512,152
<u>Lapsed Balances</u>	\$ 4,342,970	\$ 4,740,448

STATE OF ILLINOIS
 ILLINOIS COMMERCE COMMISSION
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES
 AND LAPSED BALANCES**
 For the Fiscal Years Ended June 30,

	2011	2010
GRAND TOTAL - ALL FUNDS	P.A. 96-0956	P.A. 96-0046
Total Appropriations (Net of Transfers)	<u>\$ 72,500,000</u>	<u>\$ 91,852,600</u>
Total Expenditures	<u>\$ 62,149,945</u>	<u>\$ 82,816,201</u>
Lapsed Balances	<u><u>\$ 10,350,055</u></u>	<u><u>\$ 9,036,399</u></u>

Note: Information was obtained from the Commission's records which have been reconciled to those of the State Comptroller. Expenditure amounts are vouchers approved for payment by the Commission and paid by the State Comptroller to the vendor.

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
SCHEDULE OF RECEIPTS, DISBURSEMENTS, AND FUND BALANCES
(CASH BASIS)

For the Year Ended June 30, 2011

	Fund 612	Fund 613
Balance - July 1	\$ 8,497,174	\$ 6,512,405
Receipts		
Carrier Deposits	56,537,779	14,316,435
TOTAL RECEIPTS	56,537,779	14,316,435
Disbursements		
Payments to providers of 911 Service	57,306,261	4,157,030
Salary and fringe benefits	9,666	
Statistical and tabulating services	6,681	
911 Study	109,698	
TOTAL DISBURSEMENTS	57,432,306	4,157,030
Fund Transfers		
Statutory Transfers In	1,692,881	
Statutory Transfers Out		(8,358,381)
TOTAL TRANSFERS	1,692,881	(8,358,381)
Balance - June 30	\$ 9,295,528	\$ 8,313,429

Note: This schedule was prepared from State Comptroller records, which have been reconciled to Agency records. This schedule was prepared on the cash basis to include all transactions between July 1, 2010 and June 30, 2011, including fiscal year 2010 lapse expenditures from Fund 612 of \$9,439,111. However, fiscal year 2011 lapse expenditures processed by the Comptroller from Fund 612 of \$9,999,719 have been excluded. As a result, disbursements reported above differ from amounts reported in the Schedule of Appropriations, Expenditures, and Lapsed Balances for fiscal year 2011.

STATE OF ILLINOIS
 ILLINOIS COMMERCE COMMISSION
**SCHEDULE OF PROVIDER DISBURSEMENTS FROM THE WIRELESS
 SERVICE EMERGENCY FUND (FUND 612)**

For the Fiscal Year Ended June 30, 2011

The following schedule of disbursements to wireless 9-1-1 system providers was reported by the Commission to the Office of the Auditor General in the FY10 Annual Filing required by the Wireless Emergency Telephone Safety Act (50 ILCS 751/25,35). The Annual Filing includes expenditures from July 1, 2010 though the end of fiscal year 2011 lapse period. The schedule does not include administrative costs paid by the Commission as allowed by the Act.

<u>Provider Name</u>	<u>Total Disbursements</u>
Alsip - Crestwood ETSB	\$ 79,216
Alsip 911 ETSB	99,470
Barrington Hills 911	13,476
Barrington 911	82,127
Bedford Park Police Department	156,515
Bellwood ETSB	108,341
Berkeley 911	28,786
Berwyn Public Safety Center	280,154
Blue Island ETSB	148,885
Blue Island/Calumet Park	16,936
Blue Island/Posen ETSB	28,202
Bond County	79,112
Boone County	282,118
Broadview ETSB	51,979
Bureau County 911	184,709
Calumet City 911 ETSB	179,809
Carroll County ETSB	74,093
Cass County ETSB	68,982
Cass/Brown County ETSB	27,550
Cencom E911 Round Lake	250,780
Champaign County METCAD 911	944,861
Chicago Heights 911	211,417
Christian County 911	205,055
Cicero 911 ETSB	367,805
City of LaSalle	68,029
City of Marion 911	98,982
City of Oglesby 911	25,705
Clark County 911	82,408
Clay County E911	73,820
Clinton County ETSB	183,768

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
**SCHEDULE OF PROVIDER DISBURSEMENTS FROM THE WIRELESS
SERVICE EMERGENCY FUND (FUND 612), continued**
For the Fiscal Year Ended June 30, 2011

<u>Provider Name</u>	<u>Total Disbursements</u>
Coles County ETSB	\$ 225,279
Cook County ETSB	1,314,909
Country Club Hills Police Department	91,257
Countryside ETSB	98,717
Crawford County ETSB	155,296
Cumberland County 911	52,080
Deerfield Police Department 911	201,875
DeKalb 911	491,900
Des Plaines Park Ridge 911	433,704
DeWitt County 911	93,669
Douglas County 911	91,517
DuPage County ETSB 911	5,081,110
East Hazel Crest 911	8,594
ECOM Dispatch - Flossmoor	57,099
ECOM Dispatch - Glenwood	52,032
ECOM Dispatch - Homewood	119,764
ECOM Dispatch - South Holland	131,025
ECOM Dispatch - Village of Hazel Crest	77,342
ECOM Dispatch - Riverdale	87,236
Edgar County 911	90,507
Effingham County 911	190,448
Elgin ETSB	632,038
Elmwood Park ETSB	240,593
Evanston ETSB	372,801
Ford County ETSB	68,856
Forest Park ETSB	122,259
Fox Lake 911	67,566
Franklin County 911	200,024
Franklin Park ETSB	135,613
Fulton County ETSB	172,883
Gallatin County E911	28,316
Glenco 911	47,159
Glenview Wireless 911	287,324
Grayslake ETSB	138,357
Grundy County ETSB	260,631
Gurnee ETSB	182,567
Hancock County ETSB	88,071

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
**SCHEDULE OF PROVIDER DISBURSEMENTS FROM THE WIRELESS
SERVICE EMERGENCY FUND (FUND 612), continued**
For the Fiscal Year Ended June 30, 2011

<u>Provider Name</u>	<u>Total Disbursements</u>
Harvey ETSB	\$ 161,550
Harwood Heights Police Department	40,507
Henderson/McDonough 911	27,151
Henry County 911	241,748
Hickory Hills ETSB	78,111
Highland Park 911	175,502
Highwood Police 911	28,116
Hillside ETSB	50,260
Hometown ETSB	21,016
Iroquois County ETSB	140,849
Illinois State Police E911	1,109,348
Jackson County ETSB	287,375
Jasper County E911	33,791
Jefferson County ETSB	203,298
Jersey County ETSB/E911	110,786
Jo Daviess County ETSB	95,411
Johnson County ETSB	66,318
Kane County ETSB	2,083,270
Kankakee County ETSB	556,711
Kendall County ETSB	616,731
Kenilworth 911	14,798
Knox County ETSB	245,066
LaGrange Park 911	63,231
LaGrange Police 911	174,233
Lake Bluff 911	44,951
Lake County ETSB	1,301,517
Lake Forest ETSB	150,500
Lansing ETSB	150,980
Lee County ETSB	166,828
Libertyville ETSB	164,728
Lincolnshire 911	116,219
Lincolnwood ETSB	76,577
Livingston ETSB	194,338
Logan County ETSB	144,928
Lynwood ETSB	58,438
Lyons 911	57,805
Macon County 911	553,663

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
**SCHEDULE OF PROVIDER DISBURSEMENTS FROM THE WIRELESS
SERVICE EMERGENCY FUND (FUND 612), continued**
For the Fiscal Year Ended June 30, 2011

<u>Provider Name</u>	<u>Total Disbursements</u>
Macoupin County ETSB	\$ 242,244
Madison County ETSB	1,410,258
Marion County ETSB	168,248
Markham ETSB	58,175
Marseilles ETSB	66,836
Marshall County ETSB	97,935
Mason County ETSB	70,841
Massac County E911	61,904
Maywood ETSB 911	88,618
McCook ETSB	45,323
McDonough County 911	134,751
McHenry County ETSB	1,750,833
McLean County ETSB	943,584
Menard County 911	77,861
Mendota E911	67,245
Mercer County ETSB	78,814
Midlothian ETSB	61,852
Monroe County ETSB	176,099
Montgomery County 911	147,518
Morgan County E911	193,809
Mundelein Police Department ETSB	173,373
North Suburban ECC- Park Ridge	64,911
North Suburban ECC- Morton Grove	66,579
North Suburban ECC- Niles	80,214
Naperville ETSB	984,141
Niles, Village of	83,664
Norridge 911	72,012
North Chicago ETSB	94,617
North Riverside 911	49,408
Northbrook 911	279,594
Northfield Police Department	54,180
Northwest Central 911	3,345,077
Oak Forest E911	152,062
Oak Lawn ETSB	297,375
Oak Lawn ETSB Bridgeview	103,477
Oak Lawn ETSB Burbank	149,897
Oak Lawn ETSB Evergreen Park	95,652

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
**SCHEDULE OF PROVIDER DISBURSEMENTS FROM THE WIRELESS
SERVICE EMERGENCY FUND (FUND 612), continued**

For the Fiscal Year Ended June 30, 2011

<u>Provider Name</u>	<u>Total Disbursements</u>
Oak Park ETSB	\$ 278,788
Ogle County ETSB	285,950
Orland Joint ETSB	358,182
Ottawa ETSB	133,115
Park Ridge ETSB	136,434
Peoria County ETSB	1,020,477
Perry County ETSB	92,712
Peru ETSB	63,202
Piatt County ETSB	87,254
Pike County ETSB	86,538
Pulaski County E911	30,280
Putnam County ETSB	32,711
Quadcom Joint ETSB	322,542
Quincy/Adams County 911 Center	353,159
Randolph County E911	132,545
Richland County 911	77,160
River Forest ETSB	58,888
River Grove 911	62,932
Riverside, Village of	65,494
Rock Island County ETSB	718,714
Rosemont ETSB	171,640
Saline County 911	118,073
Sangamon County ETSB	1,262,325
Sauk Village 9-1-1	44,395
Schiller Park ETSB	77,380
Schuyler/McDonough 911	37,297
Scott County 911	28,334
Skokie 911	393,231
South Elgin ETSB	126,355
Southcom - Park Forest Dispatch	108,449
Southcom - Matteson Dispatch	102,407
Southcom - Olympia Fields Dispatch	33,338
Southcom - Richton Park Dispatch	73,422
Southwest Central 911	521,108
St. Clair County ETSB	1,345,030
Stephenson County ETSB	248,389
Stickney 9-1-1	25,201

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
**SCHEDULE OF PROVIDER DISBURSEMENTS FROM THE WIRELESS
SERVICE EMERGENCY FUND (FUND 612), continued**
For the Fiscal Year Ended June 30, 2011

<u>Provider Name</u>	<u>Total Disbursements</u>
Streator ETSB	\$ 84,706
Tazewell County ETSB	687,381
Thornton ETSB	13,586
Tinley Park 911	150,326
Union County ETSB	81,286
Vermilion County ETSB	393,480
Vernon Hills Police Department	169,381
Village of Morton Grove	70,407
Village of Summit	82,180
Wabash County ETSB	51,947
Warren County 911	85,734
Washington County ETSB	74,376
Waukegan Police Department	590,412
Westchester Police 911	96,399
Western Springs ETSB	65,080
Wheeling 911	227,129
White County 911	76,395
Whiteside County ETSB	277,793
Will County 911	2,978,444
Will County 911- Bolingbrook	410,818
Williamson County 911	237,651
Willow Springs 911	77,326
Wilmette 911	151,066
Winnebago County 911	1,593,642
Winnetka 911	57,792
Winthrop Harbor ETSB	36,305
Woodford County ETSB	193,352
Zion E911	106,022
 Total Fiscal Year 2011 Disbursements - All Providers	 <u>\$ 56,823,001</u>

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
COMPARATIVE SCHEDULE OF CASH RECEIPTS
For the Fiscal Years Ended June 30,
(Amounts Expressed in Thousands)

<u>Wireless Service Emergency Fund - 612</u>	<u>2011</u>	<u>2010</u>
Wireless 911 Surcharge	\$ 56,790	\$ 54,600
<u>Wireless Carrier Reimbursement Fund - 613</u>		
Wireless 911 Surcharge	\$ 14,380	\$ 13,826
<u>TOTAL ALL FUNDS</u>	<u>\$ 71,170</u>	<u>\$ 68,426</u>

Note: These schedules were prepared from Commission records, which have been reconciled to records from the State Comptroller.

**RECONCILIATION SCHEDULE OF CASH RECEIPTS TO DEPOSITS
REMITTED TO THE STATE COMPTROLLER**
For the Fiscal Year Ended June 30, 2011
(Amounts Expressed in Thousands)

	Wireless Service Emergency Fund 612	Wireless Carrier Reimbursement Fund 613
Total - Per Agency Records	\$ 56,790	\$ 14,380
Deposits in Transit to Comptroller		
Beginning of Period	4,002	1,013
End of Period	<u>(4,254)</u>	<u>(1,077)</u>
Total - Per State Comptroller Records	<u>\$ 56,538</u>	<u>\$ 14,316</u>

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Fiscal Year Ended June 30, 2011

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2010 AND 2011

Wireless Service Emergency Fund - 612

Grants to Emergency Telephone System Boards, Qualified Government Entities, or the Department of State Police for Wireless 9-1-1 or E9-1-1

The decrease in the wireless service emergency fund expenditures was due to a return to normal spending levels. The fiscal year 2010 increase in expenditures was due to the monthly subscriber fee and number of subscribers increasing significantly in fiscal year 2009. This increase required additional grant disbursements to 9-1-1 systems. In fiscal year 2010, the legislature appropriated additional funds to allow the Commission to pay out the additional 9-1-1 remittances to 9-1-1 systems as required by the Act.

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
ANALYSIS OF ACCOUNTS RECEIVABLE

As of June 30, 2011

(Amounts Expressed in Thousands)

<u>General Revenue Fund – 001</u>	<u>2011</u>
Current	\$ -
1-30 days	1
31-90 days	-
91-180 days	20
181 days to 1 year	-
Over 1 year	<u>1</u>
Total	\$ 22
Less: Allowance for Doubtful Accounts	<u>(1)</u>
Net Accounts Receivable	<u><u>\$ 21</u></u>

These amounts represent receivables related to penalties charged to carriers for failing to remit surcharges timely and/or failing to provide the number of subscribers by zip code. The Commission uses the Comptroller's offset system, the Attorney General, and internal collection services to collect old receivable balances.

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
ANALYSIS OF OPERATIONS
For the Fiscal Year Ended June 30, 2011

WIRELESS EMERGENCY TELEPHONE SAFETY ACT FUNCTIONS AND PLANNING PROGRAM

The Illinois Commerce Commission (Commission) is responsible for administering the Wireless Service Emergency Fund and the Wireless Carrier Reimbursement Fund (Funds) pursuant to the Wireless Emergency Telephone Safety Act (Act) (50 ILCS 751/1 *et seq.*). The purpose of the Act is “to promote the use of wireless 9-1-1 and wireless enhanced 9-1-1 (E9-1-1) service in order to save lives and protect the property of the citizens of the State of Illinois” (50 ILCS 751/5).

Under the provisions of the Act, the Commission has been given the responsibility of coordinating the implementation of the wireless 9-1-1 emergency telephone systems on a state-wide basis. The Act has charged the Commission with the following responsibilities: to set standards consistent with the Federal Communications Commission for directing calls to the authorized public safety answering point, to maintain a current list of all wireless 9-1-1 systems and providers, to determine distributions from the Funds, to adopt rules governing reimbursements to wireless carriers, to develop and maintain a database of providers eligible to receive grants and carriers eligible to receive reimbursements to the extent authorized by the Act, to make monthly grants to eligible systems or providers, to review and process presented carriers requests for reimbursement in accordance with the Act, to account for all surcharges remitted to and moneys disbursed from the Funds, to annually review and transfer excess fund balances between the Funds, to provide an annual accounting of all receipts and disbursements to the Auditor General, and to resolve disputes as required by the Act.

The Commission’s Telecommunications and Fiscal Divisions located at 527 East Capitol Avenue, Springfield, Illinois are responsible for administration of the Act and the Funds. At June 30, 2011, the Commission consisted of Chairman Doug Scott, Lula Ford, Erin O’Connell-Diaz, Sherman Elliot, and John T. Colgan. The Commission’s Executive Director during fiscal year 2011, Tim Anderson, was hired by the Commission and was responsible for the daily operation of the Commission. From January 31, 2012 through July 17, 2012, the Interim Executive Director was Randy Nehrt, and effective July 18, 2012, the Executive Director of the Commission is Jonathan Feipel.

The Auditor General is required to conduct an annual audit of the Funds for compliance with the requirements of the Act. The audit shall include the following determinations: whether the Commission is maintaining detailed records of all receipts and disbursements from the funds, whether the Commission’s administrative costs charged to the Funds are adequately documented and are reasonable, whether the Commission’s procedures for making grants and providing reimbursements in accordance with the Act are adequate, and to document the status of implementation of wireless 9-1-1 and E9-1-1 services in Illinois.

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
**STATUS OF IMPLEMENTATION OF WIRELESS
9-1-1 AND E9-1-1 SYSTEMS**
For Fiscal Years 2010 and 2011

Wireless 9-1-1 systems report annually to the Commission the status of implementation of wireless enhanced 9-1-1 systems. Some systems reflect multiple phases, since the phases of implementation are reported by wireless telephone carriers within each system which may be in different stages of implementation. Implementation phases are defined by the Federal Communications Commission as:

Phase 0 - No information is received with the wireless call.

Phase 1 - The telephone number of the caller and the location of the cell site or base station is received with the wireless call.

Phase 2 - The telephone number of the caller and the location of all 9-1-1 calls by longitude and latitude is received with the wireless call.

NR - A status of implementation report was not filed for the fiscal year.

<u>Wireless 9-1-1/E9-1-1 System</u>	<u>2011</u> ¹	<u>Phase</u>	<u>2010</u> ²
Alexander County (See Pulaski County)			
Alsip	2		2
Barrington	2		2
Barrington Hills	2		2
Bedford Park	2		2
Bellwood	2		2
Berkeley	1		1
Berwyn	2		1
Blue Island	1		1
Bolingbrook (See Will County)			
Bond County	2		2

STATE OF ILLINOIS
 ILLINOIS COMMERCE COMMISSION
**STATUS OF IMPLEMENTATION OF WIRELESS
 9-1-1 AND E9-1-1 SYSTEMS, continued**
 For Fiscal Years 2010 and 2011

<u>Wireless 9-1-1/E9-1-1 System</u>	<u>2011</u> ¹	<u>Phase</u>	<u>2010</u> ²
Boone County	1		1
Bridgeview (See Oak Lawn)			
Broadview	1		1
Brookfield ³			
Brown County (See Cass County for FY10)	1		
Bureau County	2		2
Burr Ridge (See Southwest Central)			
Calumet City/Burnham	2		2
Calumet Park (See Blue Island)			
Carroll County	1		1
Cass County	2		2
Cencom	2		2
Champaign County	2		2
Chicago Heights	2		2
Chicago Office of Emerg. Comm.	2		2
Christian County	2		2
Cicero	2		2

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
**STATUS OF IMPLEMENTATION OF WIRELESS
9-1-1 AND E9-1-1 SYSTEMS, continued**
For Fiscal Years 2010 and 2011

<u>Wireless 9-1-1/E9-1-1 System</u>	<u>2011</u> ¹	<u>Phase</u>	<u>2010</u> ²
Clark County	2		2
Clay County	2		2
Clinton County	2		2
Coles County	1		1
Cook County	2		2
Country Club Hills	2		2
Countryside	2		2
Crawford County	2		2
Cumberland County	2		2
Deerfield/Bannockburn	2		2
DeKalb County	2		2
DeWitt County	2		2
Douglas County	2		2
DuPage County	2		2
E-COM (Includes Flossmoor, Glenwood, Homewood, Hazelcrest, Riverdale, and South Holland)	2		2
Edgar County	2		1/2 ⁴
Effingham County	2		2

STATE OF ILLINOIS
 ILLINOIS COMMERCE COMMISSION
**STATUS OF IMPLEMENTATION OF WIRELESS
 9-1-1 AND E9-1-1 SYSTEMS, continued**
 For Fiscal Years 2010 and 2011

<u>Wireless 9-1-1/E9-1-1 System</u>	<u>2011</u> ¹	<u>Phase</u>	<u>2010</u> ²
Elgin	2		2
Elmwood Park (West Suburban Consolidated Dispatch)	2		2
Evanston	2		2
Ford County	2		2
Forest Park	2		2
Fox Lake	2		2
Franklin County	2		2
Franklin Park	2		2
Fulton County	2		2
Galesburg/Knox County	2		2
Gallatin County	2		2
Glencoe	2		2
Glenview	2		2
Grayslake	NR		NR
Grundy County	2		2
Gurnee	2		2
Hamilton County (See Saline & White Counties for FY11, Illinois State Police for FY10)			

STATE OF ILLINOIS
 ILLINOIS COMMERCE COMMISSION
**STATUS OF IMPLEMENTATION OF WIRELESS
 9-1-1 AND E9-1-1 SYSTEMS, continued**
 For Fiscal Years 2010 and 2011

<u>Wireless 9-1-1/E9-1-1 System</u>	<u>2011</u> ¹	<u>Phase</u>	<u>2010</u> ²
Hancock County	2		2
Hardin County (See Saline County for FY11, Illinois State Police for FY10)			
Harvey	NR		NR
Harwood Heights	2		2
Henderson County (See Macomb/McDonough)			
Henry County	2		2
Hickory Hills	2		2
Highland Park	2		2
Highwood	2		2
Hillside	2		2
Hometown	2		2
Illinois State Police	0		0
Calhoun County			
Dolton			
Edwards County			
Fayette County			
Forest View			
Greene County			
Hamilton County (FY10 only)			

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
**STATUS OF IMPLEMENTATION OF WIRELESS
9-1-1 AND E9-1-1 SYSTEMS, continued**
For Fiscal Years 2010 and 2011

<u>Wireless 9-1-1/E9-1-1 System</u>	<u>2011</u> ¹	<u>Phase</u>	<u>2010</u> ²
Illinois State Police (Cont.)	0		0
Hardin County (FY10 only)			
Lasalle County			
Moultrie County (FY10 only)			
Pope County (FY10 only)			
Shelby County			
Vandalia			
Wayne County			
Iroquois County	0/2 ⁴		0/2 ⁴
Jackson County	2		2
Jasper County	1		1
Jefferson County	2		2
Jersey County	2		2
Jo Daviess County	2		2
Johnson County	2		2
Justice	NR		NR
Kane County	2		2
Kankakee County	2		2
Kendall County	1		1
Kenilworth	1		1
LaGrange	2		1
LaGrange Park	2		2
Lake Bluff	2		2

STATE OF ILLINOIS
 ILLINOIS COMMERCE COMMISSION
**STATUS OF IMPLEMENTATION OF WIRELESS
 9-1-1 AND E9-1-1 SYSTEMS, continued**
 For Fiscal Years 2010 and 2011

<u>Wireless 9-1-1/E9-1-1 System</u>	<u>2011</u> ¹	<u>Phase</u>	<u>2010</u> ²
Lake County	2		2
Lake Forest	2		2
Lansing	2		2
LaSalle, City of	2		2
Lawrence County (See Crawford County)			
Lee County	2		2
Libertyville	1		1
Lincolnshire	2		2
Lincolnwood	2		2
Livingston County	2		2
Logan County	2		2
Lynwood Combined Dispatch (Lynwood/Thornton/East Hazelcrest)	2		2
Lyons	2		2
Macomb/McDonough County	2		1/2 ⁴
Macon County	2		2
Macoupin County	2		2
Madison County	2		2

STATE OF ILLINOIS
 ILLINOIS COMMERCE COMMISSION
**STATUS OF IMPLEMENTATION OF WIRELESS
 9-1-1 AND E9-1-1 SYSTEMS, continued**
 For Fiscal Years 2010 and 2011

<u>Wireless 9-1-1/E9-1-1 System</u>	<u>2011</u> ¹	<u>Phase</u>	<u>2010</u> ²
Markham ³			NR
Marion, City of	2		2
Marion County	2		2
Marseilles	2		2
Marshall County	2		2
Mason County	2		2
Massac County	0/1 ⁴		0/1 ⁴
Maywood	2		2
McCook	2		2
McHenry County	2		2
McLean County	2		2
Melrose Park ³			
Menard County	2		2
Mendota	2		2
Mercer County	2		2
Merrionette Park (See Cook County)			
Midlothian	1		1
Monroe County	2		2

STATE OF ILLINOIS
 ILLINOIS COMMERCE COMMISSION
**STATUS OF IMPLEMENTATION OF WIRELESS
 9-1-1 AND E9-1-1 SYSTEMS, continued**
 For Fiscal Years 2010 and 2011

<u>Wireless 9-1-1/E9-1-1 System</u>	<u>2011</u> ¹	<u>Phase</u>	<u>2010</u> ²
Montgomery County	2		2
Morgan County	2		2
Moultrie County (See Coles County for FY11, Illinois State Police for FY10)			
Mundelein	2		2
Naperville	2		2
Norridge	2		2
North Chicago	2		2
North Riverside	2		2
North Suburban Consolidated ETSB Des Plaines Park Ridge Morton Grove Niles	2		2
Northbrook	2		2
Northfield	2		2
Northwest Central	2		2
Oak Forest	2		2
Oak Lawn	1		1
Oak Park (West Suburban Consolidated Dispatch)	2		2
Ogle County	2		2

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
**STATUS OF IMPLEMENTATION OF WIRELESS
9-1-1 AND E9-1-1 SYSTEMS, continued**
For Fiscal Years 2010 and 2011

<u>Wireless 9-1-1/E9-1-1 System</u>	<u>2011</u> ¹	<u>Phase</u>	<u>2010</u> ²
Oglesby	2		2
Orland	1/2 ⁴		1/2 ⁴
Ottawa	2		2
Park City (See Waukegan)			
Peoria County	2		2
Perry County	2		2
Peru	2		2
Piatt County	2		2
Pike County	2		2
Pope County (See Saline & Johnson Counties for FY11, Illinois State Police for FY10)			
Pulaski County	1		1
Putnam County	2		2
QuadCom	2		2
Quincy/Adams County	2		2
Randolph County	2		2
Richland County	1/2 ⁴		1/2 ⁴
River Forest (West Suburban Consolidated Dispatch)	2		2
River Grove	2		2

STATE OF ILLINOIS
 ILLINOIS COMMERCE COMMISSION
**STATUS OF IMPLEMENTATION OF WIRELESS
 9-1-1 AND E9-1-1 SYSTEMS, continued**
 For Fiscal Years 2010 and 2011

<u>Wireless 9-1-1/E9-1-1 System</u>	<u>2011</u> ¹	<u>Phase</u>	<u>2010</u> ²
Riverside	2		2
Rock Island County	2		2
Rosemont	2		2
Saline County	2		2
Sangamon County	2		2
Sauk Village	2		2
Schiller Park	2		2
Schuyler County (See Macomb/McDonough)			
Scott County	2		2
Skokie	2		2
South Chicago Heights (See Chicago Heights)			
South Elgin	2		2
SouthCom	2		2
Southwest Central	2		2
Stark County (See Marshall County)			
St. Clair County	2		2
Stephenson County	2		2

STATE OF ILLINOIS
 ILLINOIS COMMERCE COMMISSION
**STATUS OF IMPLEMENTATION OF WIRELESS
 9-1-1 AND E9-1-1 SYSTEMS, continued**
 For Fiscal Years 2010 and 2011

<u>Wireless 9-1-1/E9-1-1 System</u>	<u>2011</u> ¹	<u>Phase</u>	<u>2010</u> ²
Stickney	2		2
Streator	2		2
Summit	2		2
Tazewell County	2		2
Tinley Park	2		2
Union County	2		2
Vermilion County	2		2
Vernon Hills	2		2
Wabash County	2		2
Warren County	2		2
Washington County	2		2
Waukegan	2		2
Westchester	2		2
Western Springs	2		2
Wheeling	2		2
White County	2		1/2 ⁴
Whiteside County	2		2
Will County	2		2

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
**STATUS OF IMPLEMENTATION OF WIRELESS
9-1-1 AND E9-1-1 SYSTEMS, continued**
For Fiscal Years 2010 and 2011

<u>Wireless 9-1-1/E9-1-1 System</u>	<u>2011</u> ¹	<u>Phase</u>	<u>2010</u> ²
Williamson County	2		2
Willow Springs	1		1
Wilmette	0/1 ⁴		0/1 ⁴
Winnebago County	2		2
Winnetka	2		2
Winthrop Harbor	2		2
Woodford County	2		2
Zion	2		1/2 ⁴

¹ Status of implementation reported by system as of June 30, 2011.

² Status of implementation reported by system as of June 30, 2010.

³ The 9-1-1 system had not begun providing service as of June 30, 2011.

⁴ Denotes wireless systems where the wireless carriers are in various phases of implementation

	Totals	
	<u>2011</u>	<u>2010</u>
Phase 0	1	1
Phase 1	15	16
Phase 2	158	152
Combination of Phases	5	9
NR	3	4
Total Wireless 911 Systems	<u>182</u>	<u>182</u>

Notes:

Municipalities or counties in Illinois that do not have a 9-1-1 system or which chose not to take wireless 9-1-1 calls are either handled by another 9-1-1 system or by the Illinois State Police (ISP) as the established default. If handled by the ISP, all calls are taken at Phase 0.