

**STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS**

Compliance Examination

(In Accordance With the Single Audit Act
and OMB Circular A-133)

June 30, 2009

Performed as Special Assistant Auditors
for the Auditor General, State of Illinois

**STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS**

Compliance Examination
Year ended June 30, 2009

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Annual Financial Report of the University of Illinois for the Year ended June 30, 2009, which is incorporated herein by reference	
Supplemental Financial Information Report for the Year ended June 30, 2009, which is incorporated herein by reference	
Annual Financial Report of the University of Illinois Auxiliary Facilities System for the Year ended June 30, 2009, which is incorporated herein by reference	
Annual Financial Report of the University of Illinois Health Services Facilities System for the Year ended June 30, 2009, which is incorporated herein by reference	
Report Required Under <i>Government Auditing Standards</i> for the Year ended June 30, 2009, which is incorporated herein by reference	

**STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS**

University Officials
Year ended June 30, 2009

B. Joseph White	President
Thomas R. Bearrows	University Counsel
Walter K. Knorr	Vice President and Chief Financial Officer and Comptroller
Douglas E. Beckmann	Senior Associate Vice President for Business and Finance
Patrick M. Patterson	Controller
Julie A. Zemaitis	Executive Director of University Audits
Richard Herman	Chancellor, University of Illinois at Urbana-Champaign
Maxine Sandretto	Assistant Vice President for Business and Finance at Urbana-Champaign
Paula Allen-Meares	Chancellor, University of Illinois at Chicago
Heather J. Haberaecker	Executive Assistant Vice President for Business and Finance at Chicago
Richard D. Ringeisen	Chancellor, University of Illinois at Springfield
Michael D. Bohl	Assistant Vice President for Business and Finance at Springfield

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238 Henry Administration Building
506 South Wright Street
Urbana, Illinois 61801

Chicago Campus
809 South Marshfield
Room 608 Chicago,
Illinois 60612

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Champaign, Illinois 61820

UNIVERSITY OF ILLINOIS

Urbana-Champaign • Chicago • Springfield

Office of the Assistant Vice President for Business and Finance

Office of Business and Financial Services

109 Coble Hall, MC-335

801 South Wright Street

Champaign, IL 61820

April 23, 2010

KPMG LLP

303 E. Wacker Drive

Chicago, IL 60601

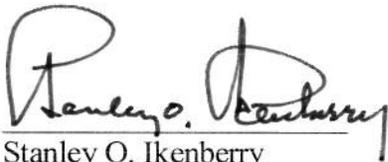
Ladies and Gentlemen:

We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the University of Illinois (University). We are responsible for and we have established and maintained an effective system of, internal controls over compliance requirements. We have performed an evaluation of the University's compliance with the following assertions during the year ended June 30, 2009. Based on this evaluation, we assert that during the year ended June 30, 2009, the University has materially complied with the assertions below.

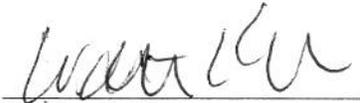
- A. The University has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The University has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The University has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the University are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.

- E. Money or negotiable securities or similar assets handled by the University on behalf of the State or held in trust by the University have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate and in accordance with law.

Yours very truly,



Stanley O. Ikenberry
President



Walter K. Knorr
Vice President and Chief Financial Officer and Comptroller



Thomas R. Bearrows
University Counsel



Douglas E. Beckmann
Senior Associate Vice President for Business and Finance

**STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS**

Compliance Report Summary

Year ended June 30, 2009

The compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and in accordance with the Illinois State Auditing Act.

Accountant's Report

The Independent Accountant's Report on State Compliance and on Internal Control over Compliance for State Compliance Purposes does not contain scope limitations, disclaimers, or other significant nonstandard language.

Summary of Findings

Number of	Current report	Prior report
Findings	47	12
Repeated findings	7	5
Prior recommendations implemented or not repeated	5	4

Details of findings are presented in the separately tabbed report section of this report.

Schedule of Findings and Questioned Costs

Findings (*Government Auditing Standards*)

Item No.	Page	Description	Finding Type
09-01	19	Inadequate Controls over User Access to Information Systems	Material weakness
09-02	22	Inadequate Controls over University Procurement Card Transactions	Significant deficiency
09-03	25	Inadequate Year End Accounts Payable Process	Significant deficiency

**STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS**

Compliance Report Summary

Year ended June 30, 2009

Schedule of Findings and Questioned Costs

Findings (Federal Compliance)

Item No.	Page	Description	Finding Type
09-04	26	Inadequate Documentation for Payroll and Fringe Benefit Expenditures	Material noncompliance and material weakness
09-05	29	Inadequate Documentation for Payroll and Fringe Benefit Expenditures	Material noncompliance and material weakness
09-06	33	Incompatible Allocation Methodologies for Payroll Costs	Material noncompliance and material weakness
09-07	35	Inadequate Semi-Annual Effort Certifications for the SNAP Program	Material noncompliance and material weakness
09-08	38	Inadequate Procedures to Determine the Allowability of Cost Share Expenditures	Material noncompliance and material weakness
09-09	41	Unsupported Volunteer Rate Used for Cost Share Requirement	Material noncompliance and material weakness
09-10	44	Inadequate Documentation For Institutional Letter of Credit Cash Draws	Scope limitation and material weakness
09-11	48	Inability to Test Eligibility of Foreign Language Fellowship Recipients	Scope limitation
09-12	50	Inadequate Process for Preparation of Schedule of Expenditures of Federal Awards	Noncompliance and material weakness
09-13	53	Inadequate Procedures for Closing Federal Projects	Noncompliance and material weakness
09-14	57	Inadequate Supporting Documentation for Cost Transfers	Noncompliance and material weakness
09-15	61	Improper Reporting of Outlays Amounts in Financial Status Reports	Noncompliance and material weakness
09-16	64	Failure to Obtain Suspension and Debarment Certifications from Vendors	Noncompliance and material weakness

**STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS**

Compliance Report Summary

Year ended June 30, 2009

Findings (Federal Compliance, continued)

Item No.	Page	Description	Finding Type
09-17	67	Failure to Perform Interest Calculations on Federal Advances	Noncompliance and material weakness
09-18	70	Inadequate Monitoring of Subrecipient OMB Circular A-133 Audit Reports	Noncompliance and material weakness
09-19	73	Unallowable Costs Charged to Federal Program	Noncompliance and significant deficiency
09-20	75	Inadequate Supporting Documentation for Interdepartmental Charges	Noncompliance and significant deficiency
09-21	77	Inaccurate Quarterly Expenditure Reports Prepared for the SNAP Program	Noncompliance and significant deficiency
09-22	79	Inaccurate Amounts Reported in Annual Financial Status Reports	Noncompliance and significant deficiency
09-23	81	Inadequate Cash Management Procedures	Noncompliance and significant deficiency
09-24	83	Failure to Follow Property Management Regulations	Noncompliance and significant deficiency
09-25	86	Failure to Properly Complete Required Verification Procedures	Noncompliance and significant deficiency
09-26	88	Failure to Perform Return of Fund Calculations	Noncompliance and significant deficiency
09-27	90	Inaccurate and Untimely Reporting of Student Status Changes	Noncompliance and significant deficiency
09-28	92	Failure to Obtain Written Agreements with Third Party Institutions	Noncompliance and significant deficiency
09-29	94	Inaccurate Amounts in the Fiscal Operations Report and Application to Participate	Noncompliance

**STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS**

Compliance Report Summary

Year ended June 30, 2009

Findings (Federal Compliance, continued)

Item No.	Page	Description	Finding Type
09-30	96	Failure to Notify Subrecipients of Federal Funding	Noncompliance
09-31	98	Inadequate Controls Over Federal Expenditures Paid with Procurement Cards	Significant deficiency
09-32	101	Inadequate Approval Controls for Expenditures	Significant deficiency
09-33	105	Inadequate Cash Draw and Reimbursement Request Controls	Significant deficiency

Findings (State Compliance)

Item No.	Page	Description	Finding Type
09-34	109	Untimely Approval of Board Minutes	Noncompliance and material weakness
09-35	111	Contracts and Real Estate Leases Not Properly Executed	Noncompliance and significant deficiency
09-36	113	Energy Resource Center – CMS Intergovernmental Agreement	Noncompliance and significant deficiency
09-37	116	Inadequate Process for Estimating Allowance for Doubtful Accounts Receivable	Noncompliance and significant deficiency
09-38	118	Inadequate Controls over Patient Billing System	Noncompliance and significant deficiency
09-39	120	Inadequate Process for Valuation of Alternative Investments	Noncompliance and significant deficiency
09-40	122	Failure to Follow Time Reporting Requirements	Noncompliance and significant deficiency
09-41	123	Inaccurate Pharmaceutical Inventory Valuation	Noncompliance and material weakness

**STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS**

Compliance Report Summary

Year ended June 30, 2009

Findings (State Compliance, continued)

Item No.	Page	Description	Finding Type
09-42	125	Inaccurate Year End Revenue Accrual	Noncompliance and significant deficiency
09-43	126	Inadequate Documentation of Capital and Operating Lease Determinations and Inaccurate Lease Schedules	Noncompliance
09-44	128	Failure to Maintain Supporting Documentation for Agency Workforce Report	Noncompliance
09-45	129	Contracts and Real Estate Leases Not Filed Timely	Noncompliance
09-46	130	Use and Maintenance of University Vehicles	Noncompliance
09-47	132	Failure to Follow State Regulations for Recording Equipment	Noncompliance

Prior Findings Not Repeated (Government Auditing Standards)

Item No.	Page	Description	Finding Type
A	133	Energy Resource Center – CMS Intergovernmental Agreement	Noncompliance and material weakness
B	133	Inappropriate Charges Paid By University	Noncompliance and significant deficiency
C	133	Insufficient Internal Controls over Utilities	Significant deficiency
D	133	Grant Revenue Overdrafts	Noncompliance and significant deficiency
E	133	Deferred Revenue Calculated Incorrectly	Significant deficiency
F	134	Inadequate Documentation	Noncompliance

**STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS**

Compliance Report Summary

Year ended June 30, 2009

Exit Conference

The findings and recommendations appearing in this report were discussed with University personnel at an exit conference on March 24, 2010. Attending were Walter Knorr, Douglas Beckmann, Maxine Sandretto, Heather Haberaecker, Janet Ford, Ginger Velazquez, Pat Walsh, Thomas Hardy, William Adams, Sandra Moulton, Vanessa Peoples, Julie Jarvis, Holly Schueneman, and Julie Zemaitis from the University of Illinois; Thomas Kizziah and Kathleen DeVitt from the Office of the Auditor General; and Catherine Baumann and Jeffrey Markert from KPMG LLP. Responses to the recommendations were provided by Maxine Sandretto in correspondence dated April 17, 2010.



KPMG LLP
303 East Wacker Drive
Chicago, IL 60601-5212

**Independent Accountant's Report on State Compliance
and on Internal Control over Compliance
for State Compliance Purposes**

The Honorable William G. Holland
Auditor General of the State of Illinois

and

The Board of Trustees
University of Illinois:

Compliance

As Special Assistant Auditors for the Auditor General, we have examined the University of Illinois' compliance with the requirements listed below, as more fully described in the Audit Guide for Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (Audit Guide) as adopted by the Auditor General, during the year ended June 30, 2009. The management of the University of Illinois (the University) is responsible for compliance with these requirements. Our responsibility is to express an opinion on the University's compliance based on our examination.

- A. The University has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The University has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The University has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the University are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the University on behalf of the State or held in trust by the University have been properly and legally administered and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.



We conducted our examination in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States; the Illinois State Auditing Act (Act); and the Audit Guide as adopted by the Auditor General pursuant to the Act; and, accordingly, included examining, on a test basis, evidence about the University's compliance with those requirements listed in the first paragraph of this report and performing such other procedures as we considered necessary in the circumstances. We believe that our examination provides a reasonable basis for our opinion. Our examination does not provide a legal determination on the University's compliance with specified requirements.

In our opinion, the University of Illinois complied, in all material respects, with the requirements listed in the first paragraph of this report during the year ended June 30, 2009. However, the results of our procedures disclosed instances of noncompliance, which are required to be reported in accordance with criteria established by the Audit Guide, issued by the Illinois Office of the Auditor General and which are described in the accompanying schedule of findings and questioned costs as findings 09-34 through 09-47. As required by the Audit Guide, immaterial findings relating to instances of noncompliance excluded from this report have been reported in a separate letter to your office.

Internal Control

The management of the University is responsible for establishing and maintaining effective internal control over compliance with the requirements listed in the first paragraph of this report. In planning and performing our examination, we considered the University's internal control over compliance with the requirements listed in the first paragraph of this report as a basis for designing our examination procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with the Audit Guide issued by the Illinois Office of the Auditor General, but not for the purpose of expressing an opinion on the effectiveness of the University's internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the University's internal control over compliance.

Our consideration of internal control over compliance was for the limited purpose described in the preceding paragraph and was not designed to identify all deficiencies in internal control over compliance that might be significant deficiencies or material weaknesses and therefore, there can be no assurance that all deficiencies, significant deficiencies, or material weaknesses have been identified. However, as described in the accompanying schedule of findings and questioned costs we identified certain deficiencies in internal control over compliance that we consider to be material weaknesses and other deficiencies that we consider to be significant deficiencies.

A deficiency in an entity's internal control over compliance exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct noncompliance with the requirements listed in the first paragraph of this report on a timely basis. A material weakness is a deficiency, or combination of deficiencies, in internal control such that there is a reasonable possibility that a material noncompliance with a requirement listed in the first paragraph of this report will not be prevented, or detected and corrected on a timely basis. We consider the deficiencies in internal control over compliance as described in the accompanying schedule of findings and questioned costs as finding 09-34 to be a material weakness.

A significant deficiency is a deficiency, or combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance. We consider the deficiencies in internal control over compliance described in findings 09-35 through 09-42 in the accompanying schedule of findings and questioned costs, to be significant



deficiencies. As required by the Audit Guide, immaterial findings relating to internal control deficiencies excluded from this report have been reported in a separate letter to your office.

The University's responses to the findings identified in our examination are described in the accompanying schedule of findings and questioned costs. We did not examine the University's responses and, accordingly, we express no opinion on them.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, University management, the Board of Trustees of the University, others within the University, and federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

KPMG LLP

April 23, 2010



KPMG LLP
303 East Wacker Drive
Chicago, IL 60601-5212

**Independent Auditors' Report on Compliance with
Requirements Applicable to Each Major Program, on
Internal Control over Compliance, and on the Schedule of Expenditures
of Federal Awards in Accordance with OMB Circular A-133**

The Honorable William G. Holland
Auditor General of the State of Illinois

and

The Board of Trustees
University of Illinois:

Compliance

We have audited the compliance of the University of Illinois (the University) with the types of compliance requirements described in the *US Office of Management and Budget (OMB) Circular A-133 Compliance Supplement* that are applicable to each of its major federal programs for the year ended June 30, 2009. The University's major federal programs are identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts, and grants applicable to each of its major federal programs is the responsibility of the University's management. Our responsibility is to express an opinion on the University's compliance based on our audit.

The schedule of expenditures of federal awards and our audit described below does not include expenditures of federal awards for those agencies determined to be component units of the University of Illinois for financial statement purposes.

We did not audit the University's compliance with the requirements governing the repayments special test and provision compliance requirement in accordance with the requirements of the Student Financial Assistance Cluster: Federal Perkins Loan program as described in the Compliance Supplement. Those requirements govern functions performed by Affiliated Computer Services, Inc. (ACS). Since we did not apply auditing procedures to satisfy ourselves as to compliance with those requirements, the scope of work was not sufficient to enable us to express, and we do not express, an opinion on compliance with those requirements. ACS' compliance with the requirements governing the functions that it performs for the University for the year ended June 30, 2009 was examined by the accountants for the servicer in accordance with the U.S. Department of Education's Audit Guide, *Audits of Federal Student Financial Assistance Programs at Participating Institutions and Institution Servicers*. Our report does not include the results of the accountants' for the servicer examination of ACS' compliance with such requirements

Except as discussed in the following paragraph, we conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*.



Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the University’s compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination on the University’s compliance with those requirements.

Qualifications (Scope Limitation)

We were unable to obtain sufficient documentation supporting the compliance of the University for the program compliance requirements listed below nor were we able to satisfy ourselves as to the University’s compliance with those requirements by other auditing procedures.

Federal Program	CFDA No.	Compliance Requirement(s)	Finding Number
Research and Development Cluster	Various	Cash Management	09-10
Education and Human Resources	47.076	Cash Management	09-10
AIDS Education and Training Centers	93.145	Cash Management	09-10
National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program	84.015	Allowable Costs/Cost Principles and Eligibility	09-11

Qualifications (Noncompliance)

As identified below and described in the accompanying schedule of findings and questioned costs, the University did not comply with certain compliance requirements that are applicable to certain of its major federal programs as listed below. Compliance with such requirements is necessary, in our opinion, for the University to comply with requirements applicable to the identified major federal programs.

Federal Program	CFDA No.	Compliance Requirement(s)	Finding Number
Supplemental Nutrition Assistance Program Cluster	10.551/10.561	Allowable Costs/Cost Principles and Matching	09-04
Maternal and Child Health Services Block Grant to the States	93.994	Allowable Costs/Cost Principles	09-04
Cooperative Extension Services	10.500	Allowable Costs/Cost Principles and Matching	09-05
Maternal and Child Health Services Block Grant to the States	93.994	Allowable Costs/Cost Principles	09-06
Supplemental Nutrition Assistance Program Cluster	10.551/10.561	Allowable Costs/Cost Principles and Matching	09-07
Supplemental Nutrition Assistance Program Cluster	10.551/10.561	Allowable Costs/Cost Principles and Matching	09-08
Supplemental Nutrition Assistance Program Cluster	10.551/10.561	Allowable Costs/Cost Principles and Matching	09-09



In our opinion, except for the noncompliance described in the preceding paragraph and except for the effects of such noncompliance, if any, as might have been determined had we been able to examine sufficient evidence described in the second preceding paragraph, the University complied, in all material respects, with the requirements referred to above that are applicable to each of its major federal programs for the year ended June 30, 2009. The results of our auditing procedures also disclosed other instances of noncompliance with those requirements that are required to be reported in accordance with OMB Circular A-133 and which are described in the accompanying schedule of findings and questioned costs as findings 09-04 and 09-12 through 09-30.

Internal Control over Compliance

The management of the University is responsible for establishing and maintaining effective internal control over compliance with requirements of laws, regulations, contracts, and grants applicable to federal programs. In planning and performing our audit, we considered the University's internal control over compliance with requirements that could have a direct and material effect on a major federal program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the University's internal control over compliance.

Requirements governing the repayments special test and provision compliance requirement in the Student Financial Assistance Cluster: Federal Perkins Loan program as described in the Compliance Supplement are performed by ACS. Internal control over compliance related to such functions for the year ended June 30, 2009 was reported on by accountants for the servicer in accordance with the U.S. Department of Education's Audit Guide, *Audits of Federal Student Financial Assistance Programs at Participating Institutions and Institution Servicers*. Our report does not include the results of the accountants' for the servicer testing of ACS' internal control over compliance related to such functions.

Our consideration of internal control over compliance was for the limited purpose described in the preceding paragraph and would not necessarily identify all deficiencies in the entity's internal control that might be significant deficiencies or material weaknesses as defined below. However, as discussed below, we identified certain deficiencies in internal control over compliance that we consider to be significant deficiencies and others that we consider to be material weaknesses.

A control deficiency in an entity's internal control over compliance exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect noncompliance with a type of compliance requirement of a federal program on a timely basis. A significant deficiency is a control deficiency, or combination of control deficiencies, that adversely affects the entity's ability to administer a federal program such that there is more than a remote likelihood that noncompliance with a type of compliance requirement of a federal program that is more than inconsequential will not be prevented or detected by the entity's internal control. We consider the deficiencies in internal control over compliance described in the accompanying schedule of findings and questioned costs as findings 09-04 through 09-28 and 09-31 through 09-33 to be significant deficiencies.

A material weakness is a significant deficiency, or combination of significant deficiencies, that results in more than a remote likelihood that material noncompliance with a type of compliance requirement of a federal program will not be prevented or detected by the entity's internal control. Of the significant deficiencies in internal control over compliance described in the accompanying schedule of findings and questioned costs, we consider findings 09-04 through 09-10 and findings 09-12 through 09-18 to be material weaknesses.



Schedule of Expenditures of Federal Awards

We have audited the financial statements of the business-type activities and the aggregate discretely presented component units of the University of Illinois, a component unit of the State of Illinois, as of and for the year ended June 30, 2009, and have issued our report thereon dated February 26, 2010. Our report was modified to include a reference to other auditors. Our audit was performed for the purpose of forming opinions on the financial statements that collectively comprise the University of Illinois' basic financial statements. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in our opinion, is fairly stated, in all material respects, in relation to the basic financial statements taken as a whole.

The University's responses to the findings identified in our audit are described in the accompanying schedule of findings and questioned costs. We did not audit the University's responses, and accordingly, we express no opinion on them.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, University management, the Board of Trustees of the University, others within the University, and federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

KPMG LLP

April 23, 2010, except for the schedule of expenditures of
federal awards as to which the date is February 26, 2010

**STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS**

Schedule of Findings and Questioned Costs

Year ended June 30, 2009

Summary of Auditor's Results

Financial Statements

Type of auditors' report issued: ***unqualified opinions***

Internal control over financial reporting:

- Material weakness(es) identified? ✓ Yes No
- Significant deficiency(ies) identified? ✓ Yes None reported

Noncompliance material to financial statements noted? Yes ✓ No

Federal Awards

Internal control over major programs:

- Material weakness(es) identified? ✓ Yes No
- Significant deficiency(ies) identified? ✓ Yes None reported

Type of auditors' report issued on compliance for major programs: ***See table below.***

Any audit findings disclosed that are required to be reported in accordance with Section 510(a) of Circular A-133?

 ✓ Yes No

Identification of major programs:

<u>Name of Federal Program or Cluster</u>	<u>CFDA Number(s)</u>	<u>Type of Auditors' Report on Compliance</u>
Research and Development Cluster	Various	Qualified (scope limitation)
Cooperative Extension Services	10.500	Qualified
Supplemental Nutrition Assistance Program (SNAP)	10.551/ 10.561	Qualified
Education and Human Resources	47.076	Qualified (scope limitation)
Student Financial Aid Cluster	Various	Unqualified
National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program	84.015	Qualified (scope limitation)
AIDS Education and Training Centers	93.145	Qualified (scope limitation)
Temporary Assistance for Needy Families	93.558	Unqualified
Child Care Development Funds Cluster	93.575/93.596	Unqualified
Maternal and Child Health Services Block Grant to the States	93.994	Qualified

**STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS**

Schedule of Findings and Questioned Costs

Year ended June 30, 2009

Dollar threshold used to distinguish between type A and type B programs: \$ 3,000,000

Auditee qualified as low-risk auditee? _____ Yes No

**STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS**

Schedule of Findings and Questioned Costs

Year ended June 30, 2009

Current Findings – *Government Auditing Standards*

Finding 09-01 – Inadequate Controls over User Access to Information Systems

The University has not established adequate internal controls over access to the information systems used in its financial reporting process.

The University operates an Enterprise Resource Planning (ERP) system to manage the activities of the University. Access is granted to users of the University's information systems based upon standardized user profiles designed by the Office of Business and Financial Services in connection with the Office of Administrative Information Technology Services. The University functions in a highly distributed operating environment with several thousand users having varying types of system access. The standardized user profiles are intended to assist the University in limiting access to the information systems based upon the assigned job functions of the specific users to which the profiles are assigned; however, the standardized user profiles currently used by the University are not designed to appropriately segregate conflicting duties and have resulted in an excessive number of users with access to perform transactions in unlimited dollar amounts or with the capability to modify system data. Specifically, we noted 2,258 users have access to create journal entries in unlimited dollar amounts without a supervisory review. We also noted 1,725 users with access to update employee pay rates within their assigned department and 112 individuals with access to update employee pay rates of all individuals across all departments of the University. Lastly, the University has not implemented procedures to monitor user access through periodic access reviews.

As a result of the internal control deficiencies identified above, we performed a detailed review of user access rights with the assistance of University management. This review identified several users with access rights that were inappropriate based on their roles and job functions presenting segregation of duties conflicts and the risk that erroneous or fraudulent transactions may be recorded in the general ledger. The exceptions identified during our review included the following:

- There are 10 users (out of 90 total users) with access to create and self approve restricted journal entries in unlimited dollar amounts whose access rights were not appropriate based upon review of each user's job functions.
- There are 23 users (out of 65 total users) with access to release financial holds whose access rights were not appropriate based upon review of each user's job functions.
- There are 21 users (out of a total of 121 users) with access to apply various payments whose access rights were not appropriate based upon review of each user's job functions.
- There are 91 users with access to the University's charts of accounts, 86 of which can also perform journal entries.
- There are five users (out of a total of 91 users) with access to update the chart of accounts whose access rights were not appropriate based upon review of each user's job functions.
- There are two users (out of a total of 44 users) with access to override three way matching whose access rights were not appropriate based upon review of each user's job functions.
- There are two super users in our sample of 40 super users with access rights for time approval that were not assigned to the appropriate organization based on their job function.
- There are two users (out of a total of 38 users) with access to approve grants and contracts journal vouchers in unlimited dollar amounts whose access rights were not appropriate based upon review of each user's job functions.

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- There are three terminated users (out of sample of 40 terminated users) with active accounts that were not removed in a timely manner.

The Fiscal Control and Internal Auditing Act (Illinois Compiled Statutes Chapter 30 Section 10/3001), requires the University to establish and maintain a system, or systems, of internal fiscal and administrative controls, which shall provide assurance that: (1) resources are utilized efficiently, effectively, and in compliance with applicable law; (2) obligations and costs are in compliance with applicable law; (3) funds, property, and other assets and resources are safeguarded against waste, loss, unauthorized use, and misappropriation; (4) revenues, expenditures, and transfers of assets, resources, or funds applicable to operations are properly recorded and accounted for to permit the preparation of accounts and reliable financial and statistical reports and to maintain accountability over the State's resources; and (5) funds held outside the State Treasury are managed, used, and obtained in strict accordance with the terms of their enabling authorities and that no unauthorized funds exist. The University's system of internal controls should include procedures to ensure access rights granted to University employees are appropriate and to monitor the appropriateness of access levels on a continuing basis. In addition, generally accepted information technology guidance endorses the development of well-designed and well-managed controls to protect computer systems and data. Effective computer security controls provide for safeguarding, securing, and controlling access to systems, properly segregating incompatible duties, and protecting against misappropriation.

In discussing these conditions with University personnel, they stated the access exceptions identified in the finding occurred as the result of untimely removal of user access for individuals changing job functions and the use of insufficiently designed user profiles in initially granting the user access. Additionally, University personnel believed that payroll edit reports and periodic financial reviews provided adequate compensating controls.

Failure to properly assign and monitor user access rights may result in erroneous or fraudulent transactions being recorded in the general ledger system. Without adequate security over access rights, there is a greater risk that unauthorized changes or additions to the University's financial systems could occur and not be detected in a timely manner. If access rights are not reviewed and updated based on job responsibilities on a regular basis, there is a greater risk that journal entries in unlimited dollar amounts, as well as cash disbursements, can be recorded by unauthorized individuals. (Finding Code 09-01, 08-05)

Recommendation:

We recommend the University review and modify the standard user profiles to ensure (1) the profiles assigned to users appropriately limit each user's access to the systems to which they require access based upon their assigned job responsibilities, (2) the authorization limits assigned to each user are appropriate, and (3) supervisory reviews of transactions are required as appropriate. The University should also implement formally documented review procedures to ensure the profile assigned to each user is compatible with the user's assigned job function and does not present a segregation of duties conflict prior to granting system access. Additionally, we recommend the University implement procedures to perform formal reviews of user access rights on a periodic basis to ensure that the access rights granted to each user are appropriate based on their job responsibilities and that the planned level of segregation of duties is achieved on a continuing basis.

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University Response:

Accepted. The University's highly distributed operating environment involves several thousand system users, in hundreds of departments across the three campuses. These users are engaged in a variety of business and administrative functions necessary to perform the mission of the University. In connection with the implementation of the integrated information systems (Banner Systems) several years ago, certain system level controls and other processes were put in place to restrict accounts/funds accessible for users to post journal entries and also limit the ability of users to perform many other types of transactions. The University believes that many of these controls have been effective, but does agree that improvement to the user access control environment is needed and will be beneficial.

Since mid fiscal-year 2009, the University has been actively developing new policies and procedures to improve the controls over user access to information systems. Included in these improvements are new policies and procedures addressing controls over the set-up/maintenance of appropriate user access profiles and processes to be followed by unit security contacts (USCs). The improved USC controls include formally documented periodic reviews of user access, as well as training and other enhancements. The University's target date for implementation of these new policies/procedures is June 30, 2010. Staff has been increased and realigned in this area in preparation for implementation. The University plans to develop further user access control enhancements, and implement the new policies/procedures noted above, to address the recommendations in this finding.

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Current Findings – *Government Auditing Standards*

Finding 09-02 – Inadequate Controls over University Procurement Card Transactions

The University has not established adequate internal controls over procurement card transactions.

The University operates a procurement card program which allows individuals throughout the University to make smaller purchases (defined as less than \$4,999) on a credit card which is directly reimbursed by the University on a monthly basis. The University's policies require individuals assigned a procurement card to sign an agreement stipulating they will use the card in accordance with University policy. This agreement is also required to be authorized by the individual's supervisor or the department head. The University's policies require transactions incurred on the procurement card to be approved in the University's procurement card system by the individual cardholder and an assigned reviewer. Although the University has established policies and procedures for issuing procurement cards, incurring and paying for expenditures with procurement cards, and reviewing and approving of procurement card transactions, we noted these policies and procedures were not properly designed to prevent erroneous charges from being paid by the University and were not followed consistently by University personnel.

Specifically, we noted the procurement card system is configured to automatically record transactions in the general ledger to pre-assigned accounts (auto-reconciled) if the cardholder and/or assigned reviewer have not approved the respective transactions within seven days. The configuration of the system is inconsistent with the University policy that requires both the cardholder and reviewer to approve all procurement card transactions. The University also has not implemented procedures to identify duplicate charges or to reconcile procurement card transactions with travel reimbursement forms. As a result, erroneous or duplicate charges may be paid and recorded by the University without any further detective controls to identify them. In our testwork over 40 procurement card transactions (totaling \$42,586), we identified the following exceptions:

- Two transactions (totaling \$91) were automatically reconciled by the system and as a result were not subject to supervisory approval procedures.
- Four transactions (totaling \$659) were reconciled and approved by the same individual.
- One transaction (totaling \$1,356) was made by an individual other than the card holder.
- Original supporting documentation could not be located for one P-Card transaction (totaling \$7).
- One transaction (totaling \$12) was for parking fees for which no University business purpose was documented.
- One transaction (totaling \$41) included a charge of \$2 for sales tax which is a prohibited charge because the University is tax-exempt.

In addition, the University was unable to locate approved Procurement Card Authorization/Agreement and Application forms for eight of 37 cardholders selected for testwork.

The University has approximately 5,700 active procurement cards and the procurement card expenditures paid during the year ended June 30, 2009 were \$108,100,000.

The Fiscal Control and Internal Auditing Act (Illinois Compiled Statutes Chapter 30 Section 10/3001), requires the University to establish and maintain a system, or systems, of internal fiscal and administrative controls, which shall provide assurance that: (1) resources are utilized efficiently, effectively, and in compliance with applicable law; (2) obligations and costs are in compliance with applicable law; (3) funds, property, and other

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assets and resources are safeguarded against waste, loss, unauthorized use, and misappropriation; (4) revenues, expenditures, and transfers of assets, resources, or funds applicable to operations are properly recorded and accounted for to permit the preparation of accounts and reliable financial and statistical reports and to maintain accountability over the State's resources; and (5) funds held outside the State Treasury are managed, used, and obtained in strict accordance with the terms of their enabling authorities and that no unauthorized funds exist. The University's system of internal controls should include procedures to ensure procurement transactions are appropriately reviewed and approved to avoid erroneous or duplicate transactions from being paid and recorded.

In discussing these conditions with University personnel, they stated that the errors were the result of oversight and employees and their supervisors being unfamiliar with University policy.

Failure to properly review and approve procurement card transactions could result in erroneous or fraudulent transactions being recorded in the general ledger system. (Finding Code 09-02, 08-03)

Recommendation:

We recommend the University revise its current process to require procurement card transactions be reviewed and approved by the card holder and an independent reviewer prior to recording the transactions in the general ledger. Such process modifications may include eliminating the auto-reconciliation function or establishing another mechanism to allow auto-reconciled transactions to be reviewed and approved prior to being recorded in the specific general ledger accounts. We also recommend the University implement procedures to identify duplicate transactions and to reconcile procurement card transactions to travel reimbursement forms.

University Response:

Accepted.

The University acknowledges there are inherent risks involved with a P-Card program and has devoted resources to ensure the University's program is established with a reasonable balance of control and efficiency. The P-Card system is critical to the University's initiatives to reduce administrative costs. It allows the efficient completion of small dollar business transactions, averaging approximately \$250 each, at minimal administrative overhead costs. The University recognizes that with 5,700 active procurement cards, erroneous charges can and do occur under current P-Card policies and procedures. The University employs careful oversight and review to ensure these errors are minimal, and it takes immediate action when errors are discovered. The University will continue to be proactive in improving controls over the P-Card system and will install system and/or process improvements to ensure all P-card transactions are reconciled. Due to the nature of P-card transaction activity, it may not be possible to achieve reconciliation prior to posting to the general ledger for all transactions.

The eight cardholders for whom paper authorization forms could not be located are authorized cardholders who were issued cards prior to October 2005. Since this date, to ensure retention of this important documentation, units have been required to fax a copy of all signed authorization forms to the central Corporate Card Office before a card would be issued. In addition, all cardholders in the system as of the fall of 2007 were required to complete online training, testing, and re-certification prior to receiving renewal P-cards in February 2008. The University will ensure the Corporate Card Office has a copy of the paper authorization form on file for all current P-Card holders.

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Current University procedures require units to establish appropriate internal controls to reconcile travel purchased using the P-Card to the traveler's *Employee Travel/Miscellaneous Reimbursement Form*. In addition to these existing controls, the University accepts the recommendation to implement additional, system controls to further eliminate the possibility of duplicate transaction and to reconcile P-Card transactions to travel reimbursement forms.

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Current Findings – *Government Auditing Standards*

Finding 09-03 – Inadequate Year End Accounts Payable Process

The University has not established adequate internal controls over identifying and recording period end accounts payable for financial reporting purposes.

During our audit, we noted the University's year end accounts payable procedures include specifically reviewing cash disbursements made subsequent to year end through the fourth week in July to determine to which accounting period the expenditures pertain. Subsequent to the fourth week of July, further reviews are performed for certain expenditures by Health Services Facilities System to develop an accrual related to subsequent disbursements. No further formal procedures are performed over cash disbursements subsequent to the fourth week in July and the University does not perform procedures to estimate potential unrecorded liabilities.

In addition, we identified two subsequent disbursements (totaling \$18,325) which pertained to fiscal year 2009, but which were not properly accrued by the University and one disbursement (totaling \$204,156) which pertained to 2010, but which had been accrued in error. We also identified eight expenditures which pertained to fiscal year 2008 in our State Compliance testwork (totaling \$39,135) which were reported in fiscal year 2009.

Generally accepted accounting principles require expenditures to be reported in the period they are incurred. Additionally, the Fiscal Control and Internal Auditing Act (Illinois Compiled Statutes Chapter 30 Section 10/3001), requires the University to establish and maintain a system, or systems, of internal fiscal and administrative controls, which shall provide assurance that: (1) resources are utilized efficiently, effectively, and in compliance with applicable law; (2) obligations and costs are in compliance with applicable law; (3) funds, property, and other assets and resources are safeguarded against waste, loss, unauthorized use, and misappropriation; (4) revenues, expenditures, and transfers of assets, resources, or funds applicable to operations are properly recorded and accounted for to permit the preparation of accounts and reliable financial and statistical reports and to maintain accountability over the State's resources; and (5) funds held outside the State Treasury are managed, used, and obtained in strict accordance with the terms of their enabling authorities and that no unauthorized funds exist. The University's system of internal controls should include procedures to assess whether expenditures are reported in the appropriate period.

In discussing these conditions with University personnel, they stated that they believed their process was adequate.

Failure to analyze cash disbursements subsequent to year end may result in the misstatement of the University's financial position. (Finding Code 09-03)

Recommendation:

We recommend the University implement procedures to assess the completeness of its accounts payable at year end. Such procedures may include extending the timeframe for which the University evaluates cash disbursements subsequent to year end or developing procedures to estimate the accounts payable balance.

University Response:

Accepted. The University will develop improvements to procedures to address the recommendations noted in this finding.

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Federal Agency: US Department of Agriculture (USDA)
US Department of Defense (USDOD)
US Department of Housing and Urban Development (USHUD)
US Department of Commerce (USDOC)
US Department of the Interior (USDOJ)
US Department of Justice (USDOJ)
US Department of Transportation (USDOT)
US Library of Congress (USLOC)
National Aeronautics and Space Administration (NASA)
US Department of Veterans Affairs (USDVA)
National Science Foundation (NSF)
US Environmental Protection Agency (USEPA)
US Department of Energy (USDOE)
US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)
Corporation for National and Community Service (CNCS)
US Social Security Administration (USSSA)
US Department of Homeland Security (USDHS)
US Agency for International Development (USAID)

Program Name: Research and Development Cluster
Supplemental Nutrition Assistance Program (SNAP)
Education and Human Resources
AIDS Education and Training Centers (AIDS)
Maternal and Child Health Services Block Grant to the States (MCH Block Grant)

CFDA # and Program Expenditures: Various (\$495,076,709)
10.551/10.561 (\$8,061,545)
47.076 (\$3,966,723)
93.145 (\$3,197,968)
93.994 (\$7,067,476)

Award Numbers: Various (R&D)
81X6287000 (10.551/10.561)
08-31820/08-30884/08-17185/07-37002/06-22573/07-15088/X256981/03-38215/08-50213/
53636/HRD-0413000/P23253-HRD-413000/05-49245/05-35864/Y410676/03-38328/03-
02119/07-02872 (47.076)
H-F4-ETH-08-P-PTR-JASW-00/H-F4-TAN-08-P-PTR-GLDS/H-F3-TAN-07-P-PTR-JASW/
5219SC/3H4AHA00062-07-01 (93.145)
2009-00880/11G6517000/K11G6517120WZ/11G6788000 (93.994)

Questioned Costs: Cannot be determined

Finding 09-04 *Inadequate Documentation for Payroll and Fringe Benefit Expenditures*

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Current Findings – Federal Compliance

The University does not have adequate documentation of payroll and fringe benefit expenditures for certain nonacademic and hourly employees at the Chicago campus.

Bi-weekly time reports are prepared by the Chicago campus for non-academic and hourly personnel. These bi-weekly time reports, which are prepared on both a positive and negative (exception) basis depending on the type of employee, are intended to meet the effort reporting requirements of OMB Circular A-21, *Cost Principles for Higher Education Institutions* (OMB Circular A-21); however, the bi-weekly time reports for certain departments do not include the activities of the employee on the time report as required by OMB Circular A-21.

Specifically, the University uses two different methods for the recording and approving time for non-academic and hourly employees. The first method, Web Entry, is designed so that employees directly enter their own total hours worked. Payroll costs are allocated to federal and nonfederal projects (funds) based on the initial appointments (budgeted allocation percentages). For the Web Entry method, a supervisor reviews and approves the time and the respective federal and nonfederal project (fund) allocations. The second method, Department Time, is designed so that time is entered centrally by a designated employee. Similar to the Web Entry method, time is allocated to federal and nonfederal projects (funds) based on the initial appointments (budgeted allocation percentages). For these employees, a supervisor reviews the total time reported by an employee; however, the electronic time reports reviewed do not directly contain the federal and nonfederal project (fund) allocations to substantiate the allocations as required by OMB Circular A-21. The University estimates that approximately half of the departments on the Chicago campus use the Web Entry method and half use the Department Time method.

The non-academic and hourly payroll and estimated fringe benefits costs of the major programs for Chicago campus employees were as follows:

Program Name	Payroll Expenditures	Fringe Benefit Expenditures	Total
Research and Development	\$4,078,934	\$1,246,114	\$5,325,048
SNAP	\$423,726	\$129,448	\$553,174
Education and Human Resources	\$16,291	\$4,977	\$21,268
AIDS	\$147,975	\$45,206	\$193,181
MCH Block Grant	\$4,136,281	\$1,263,634	\$5,399,915

Additionally, associated indirect costs are estimated to be 55 to 57% of the payroll and fringe benefit costs, excluding research training grants.

Our audit identified other controls and processes that the University has implemented to mitigate the risk that payroll costs are improperly charged to a federal program. These include required reviews and approvals of the initial appointments of employees (i.e. allocation to federal and nonfederal projects) and monthly reviews by principal investigators (PI's) of labor distribution reports and project ledgers. However, the monthly review by principal investigators is not documented.

OMB Circular A-21, *Cost Principles for Higher Education Institutions*, establishes principles and standards for determining costs for federal awards carried out through grants, cost reimbursements contracts, and other

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Current Findings – Federal Compliance

agreements with higher education institutions. To be allowable under federal awards, costs must meet certain general criteria. Those criteria, among other things, require that the expenditures must be allocable, reasonable, and supported by adequate documentation. OMB Circular A-21 (Section J8) requires that the payroll distribution system will allow confirmation of activity allocable to each sponsored agreement and each of the activity needed to identify F & A costs and the functions to which they are allocable.

Additionally, OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations*, requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure payroll expenditures are properly supported in accordance with OMB Circular A-21.

In discussing these conditions with University officials, they stated controls and processes exist for the approval of payroll and fringe benefit expenditures for nonacademic and hourly employees to mitigate the risk of payroll costs being improperly charged to a federal program; e.g. after the fact labor redistributions.

Inadequate documentation and lack of required effort certifications may result in the federal funds being expended for unallowable purposes. (Finding Code 09-04)

Recommendation:

We recommend the University implement procedures to ensure documentation exists to substantiate the after-the-fact confirmation of activity allocable to each federal grant by the respective employee, principal investigator, or a responsible official.

University Response:

Accepted. The University will establish documentation to substantiate the after the fact attestation of time spent and fund allocations for bi-weekly employees.

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Current Findings – Federal Compliance

Federal Agency: US Department of Agriculture (USDA)

Program Name: Research and Development Cluster
Cooperative Extension Services

CFDA # and Program Expenditures: Various (\$495,076,709)
10.500 (\$11,027,702)

Award Numbers: Various (R&D)
2008-41100-01300/2009-41100-01300 (10.500)

Questioned Costs: Cannot be determined

Finding 09-05 *Inadequate Documentation for Payroll and Fringe Benefit Expenditures*

The University does not have adequate documentation of payroll and fringe benefit expenditures for employees at the Urbana campus who work on the CES program or the Hatch Grant under the Research and Development Cluster program.

The University does not obtain effort certifications for employees who work on the CES program or the Hatch Grant under the Research and Development Cluster program as required by federal regulations. We reviewed a sample of 30 payroll and fringe benefit expenditures totaling \$88,494 for the CES program and two payroll and one fringe benefit expenditures totaling \$2,624 for the Hatch Grant noting that the effort of these individuals was charged to multiple activities; however, effort certifications were not obtained. Additionally, we noted effort certifications were not obtained for any of the payroll charges used to meet the cost sharing (matching) requirements of the CES program and Hatch Grant. Total payroll and fringe benefit expenditures charged to the CES program for the fiscal year ended June 30, 2009 were \$3,298,151 and \$2,364,529, respectively. Total payroll and fringe benefit expenditures charged to the Hatch Grant for the fiscal year ended June 30, 2009 were \$1,694,592 and \$74,244, respectively. Total payroll and fringe benefit expenditures used to meet the cost sharing (matching) requirement of the CES program and Hatch Grant for the year ended June 30, 2009 were \$11,027,702 and \$15,354,139, respectively. No indirect costs were charged to the CES program or Hatch Grant.

We did note that bi-weekly time reports are prepared for most employees. However, these bi-weekly time reports, which are prepared on both a positive and negative (exception) basis depending on the type of employee, do not include the activities of the employee as required by OMB Circular A-21.

Our audit identified other controls and processes that the University has implemented to mitigate the risk that payroll costs are improperly charged to a federal program. These include required reviews and approvals of the initial appointments of employees (i.e. allocation to federal and nonfederal projects) and monthly reviews by principal investigators (PI's) of labor distribution reports and project ledgers. However, the monthly review by principal investigators is not documented.

Section K of Chapter 3 of the Administrative Handbook for Cooperative Extension Work (dated May 1994) requires compensation of personal services for individuals working on multiple activities to be documented in accordance with the provisions of OMB Circular A-21, *Cost Principles for Higher Education Institutions* (OMB

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Circular A-21) which establishes principles and standards for determining costs for federal awards carried out through grants, cost reimbursements contracts, and other agreements with higher education institutions. To be allowable under federal awards, costs must meet certain general criteria. Those criteria, among other things, require that the expenditures must be allocable, reasonable, and supported by adequate documentation. OMB Circular A-21 (Section J.10) requires that the distribution of salaries and wages for professorial and professional personnel be supported by semi-annual or monthly effort certifications under the after the fact activity report method.

OMB Circular A-21, *Cost Principles for Higher Education Institutions*, establishes principles and standards for determining costs for federal awards carried out through grants, cost reimbursements contracts, and other agreements with higher education institutions. To be allowable under federal awards, costs must meet certain general criteria. Those criteria, among other things, require that the expenditures must be allocable, reasonable, and supported by adequate documentation. OMB Circular A-21 (Section J8) requires that the payroll distribution system will allow confirmation of activity allocable to each sponsored agreement and each of the activity needed to identify F & A costs and the functions to which they are allocable.

Additionally, OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations*, requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure payroll expenditures are properly supported in accordance with OMB Circular A-21.

In discussing these conditions with University officials, they stated they believe the University systems provide adequate supporting documentation for payroll and fringe benefit expenditures claimed for federal reimbursement and cost sharing (matching) under the CES and the Hatch Grant within the Research and Development Cluster.

Inadequate documentation and lack of required effort certifications may result in the federal funds being expended for unallowable purposes. (Finding Code 09-05)

Recommendation:

We recommend the University implement procedures to ensure documentation exists to substantiate the after-the-fact confirmation of activity allocable to each federal grant and cost share by the respective employee, principal investigator, or a responsible official.

University Response:

Not accepted. The University provided supporting documentation in the form of Banner HR appointment records, time cards, and monthly labor distribution reports for the direct charges of University payroll and fringe benefits claimed for federal reimbursement or used to meet cost sharing (matching) requirements under the University's federal programs.

The University does not agree that a method of effort certification is not occurring. The University utilizes the Activity Reporting System (ARS) and the Banner Time Reporting System to meet reporting requirements associated with receipt of federal formula funds allocated to the University of Illinois. Use of these systems is

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Current Findings – Federal Compliance

consistent with guidance outlined in the Administrative Manual for the Hatch Act (page 10) and the Administrative Handbook for Cooperative Extension Work (pages 3-28, 29).

ARS is a campus-based system for monitoring, validating and reporting activities and effort in primary mission areas including instruction, research and outreach activity. Source of funds supporting salary, as well as percent effort, are documented in this system. All fund sources and effort are captured and documented, including those from federal formula funds. The system is tied to the Banner HR, Finance and Student modules. Utilizing this system, units review, monitor, and validate the accuracy of fund source and mission area effort for all academic and graduate employees holding appointments in the unit. Modifications to appointments and/or salary funding source are captured in this system. Authorized personnel at the unit level with specific knowledge of employee activities validate the effort information annually.

The Banner Time Reporting System captures funding source and hours worked for all employees paid in a non-salaried, biweekly manner. Supervisors and authorized unit personnel certify accuracy when approving work or benefit time reported in this system.

Authority for receipt and appropriate use of federal formula funding in support of research (Hatch) and extension work (Smith-Lever) rests with the Directors of the Agricultural Experiment Station and the Extension Service in accordance with guidelines outlined in the administrative manuals provided by USDA, including both programmatic and financial reporting. Funds are allocated for use and budgeted in units where programmatic activity occurs. Federal formula funds are not received in direct support of a specific project proposal, principal investigator, or project period in the same way that funds are awarded to faculty who submit successful proposals to NIH, NSF or other granting agencies, including other grant programs provided by USDA. Programmatic oversight for use of these federal formula funds is achieved through annual reporting to USDA through the Plan of Work.

Additionally, the Planning, Reporting and Evaluation System (PRES) brings together several reporting features for Extension professional field staff and hourly program staff that include activity reporting, contact reporting, leave reporting for professional field staff, plan of work impact reporting, and annual self-evaluations.

Financial oversight is achieved through the filing of annual financial reports that outline the amount of appropriation expended, and the amount of required match made available to support the research and extension programs at Illinois in any given fiscal year. Financial information from University accounting systems is utilized to document expenditures associated with federal formula funds and also to document the pool of allowable expenditures associated with required matching.

Given the unique nature of the federal formula fund appropriations, the University believes its systems provide sufficient documentation to meet the requirements for programmatic and financial reporting as outlined in the administrative manuals associated with these funding streams in addition to Circular A-21 requirements. Included is documentation for the ARS system.

In conclusion, the systems in place for reporting meet the requirements of USDA, the funding sponsor. In addition, we note that the A-133 Compliance Supplement Part 4 for USDA, Section G states that the compliance requirement for level of effort is not applicable.

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Auditors' Comment:

As noted in the finding above, the University does not obtain effort certifications for employees who work on the CES program or the Hatch Grant under the Research and Development Cluster program. Additionally, bi-weekly time reports do not include the activities of employees. Although we acknowledge there are other controls and processes the University has implemented to mitigate the risk that payroll costs are improperly charged to a federal program, we believe the University is not in compliance with documentation requirements for payroll costs under OMB Circular A-21.

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Current Findings – Federal Compliance

Federal Agency: US Department of Health and Human Services (USDHHS)

Program Name: Maternal and Child Health Services Block Grant to the States (MCH Block Grant)

CFDA # and Program Expenditures: 93.994 (\$7,067,476)

Award Numbers: 2009-00880/11G6517000/K11G6517120WZ/11G6788000

Questioned Costs: Cannot be determined

Finding 09-06 *Incompatible Allocation Methodologies for Payroll Costs*

The University (Chicago campus) did not use an appropriate methodology for allocating payroll and fringe benefit expenditures for academic personnel to the MCH Block Grant program.

The University operates the Division of Specialized Care for Children (DSCC) through which the University provides rehabilitative and medical treatments to State children with special healthcare needs. The DSCC has multiple funding sources, including the Medicaid Cluster program operated by the Illinois Department of Healthcare and Family Services (DHFS) and the MCH Block Grant program operated by the Illinois Department of Human Services (IDHS). In order to identify the expenditures related to each of the funding sources, the University has established separate funds to account for the expenditures of the DSCC. Although these separate funds (accounts) have been established to identify costs for each of the federal and state programs which fund the operations of the DSCC, the University views the expenditures reported in these funds as being interchangeable among each of the funding sources. Accordingly, the payroll and fringe benefit expenditures for each employee of the DSCC are allocated to the activities of the DSCC based upon the funding expected to be available from each funding source, and not based upon the expected effort of each employee for each of the DSCC's activities as required by federal regulations.

In performing our testwork over the payroll and fringe benefit expenditures allocated to the MCH Block Grant Program, we noted the University used two incompatible methodologies for allocating payroll and fringe benefit expenditures to the MCH Block Grant program. First, the University prepared annual effort confirmations for academic personnel assigned to the DSCC which was intended to certify the accuracy of the planned effort allocated for each employee to each fund (account). In addition, the University performed a random moment time study to determine the DSCC costs that are allocable to the Medicaid Cluster program as required under an intergovernmental agreement with DHFS. In performing the random moment time study, the University accumulated all payroll, fringe benefit, and indirect costs applicable to the DSCC from the separate funds (accounts) discussed above into a single cost pool and applied the results of the random moment time study to determine the expenditures allocable to the Medicaid Cluster program. During our testwork, we noted the results of the random moment time study are not used to record payroll, fringe benefit, and indirect costs in the general ledger and only used to determine the cost of selected activities. As a result, it is highly probable that the costs allocated to the MCH Block Grant program using the plan confirmation method were also reported to DHFS under the random moment study for the Medicaid Cluster program.

Payroll and fringe benefits expenditures allocated to the MCH Block Grant for employees following the plan confirmation effort reporting method were approximately \$463,000 during the year ended June 30, 2009.

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In discussing these conditions with University officials, they stated the program for children with special health care needs is administered in accordance with the guidelines and expectations of the state and federal sponsors. The program is operated as a result of a federal – state partnership to maximize services to the population of children with special health care needs consistent with the objectives of the granting agencies and the requirements for use of funds.

OMB Circular A-21, *Cost Principles for Higher Education Institutions*, establishes principles and standards for determining costs for federal awards carried out through grants, cost reimbursements contracts, and other agreements with higher education institutions. To be allowable under federal awards, costs must meet certain general criteria. Those criteria, among other things, require that the expenditures must be allocable, reasonable, and supported by adequate documentation. OMB Circular A-21 (Section J.10) requires that the distribution of salaries and wages must recognize the principle of after-the-fact confirmation or determination so that costs distributed represent actual costs, unless a mutually satisfactory alternative agreement is reached. OMB Circular A-21 (Section D) also requires that costs are not included as a cost or used to meet cost sharing requirements of other federally supported activities of the current or a prior period.

Additionally, OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations*, requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure payroll and fringe benefit expenditures are properly supported in accordance with OMB Circular A-21 and are not charged to or used to meet the cost sharing requirement of more than one federal program.

The use of incompatible payroll allocation methodologies could result in the same payroll and fringe benefits being allocated under more than one federal program, which are unallowable costs. (Finding Code 09-06)

Recommendation:

We recommend the University work with its federal cognizant agency, DHFS, and IDHS to develop a methodology for documenting and allocating payroll, fringe benefits, and indirect costs of the DSCC in a manner which conforms with federal regulations and which best reflects the actual costs allocable to each of the activities of the DSCC.

University Response:

Accepted. The University will work with its cognizant agency, DHFS, and IDHS to develop an approved methodology consistent with federal reporting and other agency requirements.

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Year ended June 30, 2009

Current Findings – Federal Compliance

Federal Agency: US Department of Agriculture (USDA)

Program Name: Supplemental Nutrition Assistance Program (SNAP)

CFDA # and Program Expenditures: 10.551/10.561 (\$8,061,545)

Award Numbers: 81X6287000

Questioned Costs: Cannot be determined

Finding 09-07 *Inadequate Semi-Annual Effort Certifications for the SNAP Program*

Semi-annual effort certifications prepared for the SNAP program at the Urbana campus are not in accordance with federal regulations.

The University has established offices in 77 counties in the State of Illinois to administer and deliver the training and educational programs under the SNAP program. Although the program's activities are coordinated centrally by personnel at the Urbana campus, the University's staff that operate the program's activities are not under the direct supervision of the program coordinator. During our review of the semi-annual expenditure certifications process for employees whose payroll and fringe benefits were charged to the SNAP program, we noted the two semi-annual certifications covering the year ended June 30, 2009 were signed by the program coordinator, rather than by an individual possessing direct knowledge of each employee's activities. Because of the decentralized nature of the SNAP program's operations and these individuals work on multiple activities (projects), we believe it is unlikely the program coordinator is in the position to have the direct knowledge required to certify on behalf of all personnel performing program activities.

Payroll, fringe benefits, and related indirect cost expenditures charged to the SNAP program for employees of the Urbana campus were approximately \$4,918,000 for the year ended June 30, 2009.

OMB Circular A-21, *Cost Principles for Higher Education Institutions*, establishes principles and standards for determining costs for federal awards carried out through grants, cost reimbursements contracts, and other agreements with higher education institutions. To be allowable under federal awards, costs must meet certain general criteria. Those criteria, among other things, require that the expenditures must be allocable, reasonable, and supported by adequate documentation. OMB Circular A-21 section J(10)(b)(2)(b) requires that the distribution of salaries and wages represent actual costs and be confirmed by responsible persons with suitable means of verification that the work was performed.

Additionally, OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations*, requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure payroll expenditures are properly certified in accordance with OMB Circular A-21.

In discussing these conditions with University officials, they stated that semi-annual certifications for the SNAP Program at the Urbana campus are prepared in accordance with federal regulations.

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Current Findings – Federal Compliance

Failure to ensure semi-annual expenditure certifications are signed by an individual with direct knowledge of the activities of the individuals for whom effort is being certified may result in expenditures being inappropriately charged to the federal program which are unallowable costs. (Finding Code 09-07)

Recommendation:

We recommend the University implement procedures to ensure semi-annual expenditure certifications are signed by individuals with direct knowledge of the activities of the employees to which the certifications pertain.

University Response:

Not accepted. Effort certifications prepared for the Supplemental Nutrition Assistance Program (SNAP) are in accordance with federal regulations. Per OMB Circular A-21, Section J.10.c.(2).(c). “To confirm that the distribution of activity represents a reasonable estimate of the work performed by the employee during the period, the reports will be signed by the employee, principal investigator, or responsible official(s) using suitable means of verification that the work was performed.”

The semi-annual confirmations for this program are reviewed for accuracy and signed by the Principal Investigator (PI), who is also the program coordinator. The PI has extensive personal knowledge of the program and staff activities, derived by using suitable means of verification that the work was performed which includes: communicating with the county directors through frequent teleconference, e-mail, and face-to-face status meetings. The PI also conducts frequent site visits to each extension office, and has personal contact with every extension employee. The PI knows each employee by name and has an in-depth knowledge of their extension office activities.

Additional sources of information the PI utilizes are the monthly review and approval of all Payroll Labor Distribution reports and the monthly activity reported on “Form B” which is required to be completed by all SNAP staff. Data on Form B includes the date, time, hours worked, and number of program contacts initiated. The Form B reports are posted monthly to the Extension intranet. The PI has access to the reports and can easily see the effort and program activity for each staff person.

The PI is also able to use input from the PRES (previously SEMIS) reporting system to verify staff activity. The PRES system is used by staff to keep regular daily activity records; it was developed to fulfill federal USDA activity/contact reporting requirements for Extension employees. The system is used to track activity associated with a variety of Extension programming and includes specific object codes designated for Food Stamp Nutrition Education program.

A Management Review of this program was conducted by the IDHS Chief of the Bureau of Homeless Services and Supportive Housing who evaluated the performance, the results of the program, and the ability of the Principal Investigator. The report stated, “Staff time records were kept in accordance with the USDA FSNE [now SNAP] program requirements. Staff duties were consistent with the plan. Activities undertaken by UIUC do not supplant nor duplicate existing nutrition education programs.” It also stated the program “has a ledger listing all employees, their wages and fringes showing the amount charged to the program either through a percentage of a “total” or detailed by program with a total. This includes: a) Personnel activity reports for all employees contributing less than 100% of their time; b) Semi-annual approvals of individuals contributing 100% of their time.”

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Current Findings – Federal Compliance

The summary of the report stated the UIUC program is administered very well and “the tracking system employed by the UIUC is exceptional.” There were no recommendations or findings or required corrective actions.

Auditors’ Comment:

As noted in the finding above, the program operations for SNAP are decentralized in 77 counties throughout the State of Illinois. Substantially all of the employees work on multiple federal and non-federal programs (activities). Accordingly, we believe it is unlikely the program coordinator is in a position to have sufficient knowledge to certify on behalf of all personnel performing program activities at more than 77 separate locations.

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Current Findings – Federal Compliance

Federal Agency: US Department of Agriculture (USDA)

Program Name: Supplemental Nutrition Assistance Program (SNAP)

CFDA # and Program Expenditures: 10.551/10.561 (\$8,061,545)

Award Numbers: 81X6287000

Questioned Costs: Cannot be determined

Finding 09-08 *Inadequate Procedures to Determine the Allowability of Cost Share Expenditures*

The University does not have an adequate process in place to determine the allowability of certain expenditures used to meet the cost share (matching) requirement of the SNAP Program.

The University is required to meet a cost share requirement of approximately \$8.1 million relative to the SNAP program. The expenditures used to meet the SNAP cost share requirement include expenditures for teacher salaries made by public school districts at which nutrition education programs are presented. The value of the expenditures made by the public school districts for teacher's salaries are estimated by the University based upon an hourly rate derived from the average annual expenditure data reported by the public school district to the Illinois State Board of Education (ISBE). Specifically, the University computes hourly rates for each school district based upon average annual wage expenditures reported to ISBE and multiplies the applicable school district's rate times the number of teacher hours documented by the school district and University personnel delivering the program. However, in determining the estimate of the value of the time spent by the teachers in the educational programs, the University does not have sufficient documentation to ensure that teacher salaries being used to meet the SNAP cost share were not funded by other federal programs operated by the school district. We did note the University receives a certification at the beginning of the year from participating school districts stating that teachers participating in the SNAP educational programs will not be charged to federal program. However, there is no after-the-fact verification to substantiate that participating teacher salaries were not funded by other federal programs. As a result, it is possible that the value of the teacher salaries used to meet the University's cost share requirement under the SNAP program may also have been charged to another federal program or used to meet a cost share requirement of another federal program by the school district which is not allowable under SNAP program regulations.

Teacher salary expenditures used to meet the cost sharing requirement of the SNAP program were \$792,313 for the year ended June 30, 2009.

Appendix C section B.3 of the Food Stamp Nutrition Education Plan Guidance dated March 25, 2008 states the recipient's share of program costs may not include funds paid by the Federal government under another assistance agreement unless authorized under that agreement and its laws or any non-Federal funds contributed for another Federally assisted program unless authorized by Federal legislation. Additionally, OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations*, requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure expenditures

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Current Findings – Federal Compliance

used to meet cost share requirements have not been reimbursed under another federal program or used to meet the cost share requirement of another federal program.

In discussing these conditions with University officials, they stated that the University has an adequate process in place for documenting expenditures used to meet the cost share requirement of the SNAP program.

Failure to ensure expenditures used to meet cost share requirements are not used for other federal programs may result in unallowable expenditures being used to meet cost share requirements. (Finding Code 09-08)

Recommendation:

We recommend the University implement procedures to verify expenditures used to meet the SNAP cost share requirement have not been reimbursed under another federal program or used to meet the cost share requirement of another federal program. In addition, the University should be using the actual wages for the teachers participating in the educational program

University Response:

Not accepted. The University disagrees with the finding and with facts stated in the finding. Under this program, the mandatory cost-share is a one-to-one match of direct expenditures, not the \$8.1 million matching requirement stated in the finding.

The UI Extension has procedures to verify that teacher salaries used as in-kind cost share are not directly reimbursed from any other federal source of funds. The UI Extension offices require potential program contributors to submit Form A, Confirmation of Community In-Kind Cost Share Contributions. This form, signed by contributors, states, "I confirm the Source of Funding for these contributions are NOT directly or indirectly from Federal Government or Private monies." The form provided by the school officials certifying the source of funding for the teacher salaries has been accepted by the sponsor as documentation supporting this portion of the required cost-share. The University provided the audit firm a copy of this form with this confirmation language.

A Management Review of this program was conducted by the IDHS Chief of the Bureau of Homeless Services and Supportive Housing who evaluated the performance, the results of the program, and the ability of the Principal Investigator. The Management Report stated the record of staff time spent, both paid and in-kind, is accurate and consistently maintained. It also stated program costs, expenditures and donations are accurately and consistently documented with appropriate details and any claimed, in-kind match is documented.

Auditors' Comment:

The Form A discussed above is obtained from potential program contributors in advance of the performance of the services (i.e. at the beginning of the program year). There is no after-the-fact verification to substantiate that participating teacher salaries were not funded by other federal programs. As a result, it is possible that the value of the teacher salaries used to meet the University's cost share requirement under the SNAP program may also have been charged to another federal program or used to meet a cost share requirement of another federal program by the school district which is not allowable under SNAP program regulations. Additionally, the University computes hourly rates for each school district based upon average annual wage expenditures reported

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Current Findings – Federal Compliance

to ISBE, not the actual salary of the teachers that provided services under the SNAP program. Accordingly, we do not believe there is an adequate process in place to determine the allowability of these expenditures used to meet the cost share (matching) requirement.

Additionally, the grant agreement between IDHS and the University requires the University to provide matching expenditures (cost share) of \$10,003,560 from non-federal sources over the term of the grant, which covers more than the current year. Of this amount, an allocable portion for the current year based on a one-to-one ratio is \$8.1 million.

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Current Findings – Federal Compliance

Federal Agency: US Department of Agriculture (USDA)

Program Name: Supplemental Nutrition Assistance Program (SNAP)

CFDA # and Program Expenditures: 10.551/10.561 (\$8,061,545)

Award Numbers: 81X6287000

Questioned Costs: \$111,146

Finding 09-09 *Unsupported Volunteer Rate Used for Cost Share Requirement*

The University used an unsupported rate to value services of volunteers used to meet the cost share (matching) requirement of the SNAP Program.

The University is required to meet a cost share requirement of approximately \$8.1 million relative to the SNAP program. The expenditures used to meet the cost share requirement are funded by several sources, including in-kind contributions from local governmental entities at which nutrition education programs are presented. The in-kind contributions from the local governments include an estimated value for the time spent by volunteers who assist University personnel during the educational programs.

The University has established an estimated hourly rate of \$18.97 which is used to value the services of the volunteers. Management stated the rate was based on an estimated dollar value of volunteer time published by a not-for-profit organization that was established to serve as a leadership forum for charities, foundations, and corporate giving programs. Management further stated that volunteers were performing specialized tasks including materials translation, food preparation demonstrations, and the delivery of curriculum. However, there was no documentation to substantiate what services each volunteer was performing and how it correlated to the hourly rate of \$18.97. As there is no documentation on the specific services provided by the volunteers and a clear link to specialized skills and corresponding values, we believe the minimum hourly wage rate of \$6.55 (in effect during fiscal year 2009) should be used to value these services. As a result, the contributed volunteer services could be overstated by as much as \$111,146.

Appendix C section A.4 of the Food Stamp Nutrition Education Plan Guidance dated March 25, 2008 requires volunteer time or services to a public organization to be computed on a reasonable hourly basis in accordance with the duties being performed or based on the Federal minimum hourly wage established by the United States Department of Labor. Additionally, OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations*, requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure contributions of volunteer time are estimated in accordance with program requirements.

In discussing these conditions with University officials, they stated that the rate used for volunteer services is adequately documented and that the federal minimum hourly wage rate is not a more appropriate estimate of the value of these services.

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Current Findings – Federal Compliance

Failure to appropriately value volunteer services may result in the University not meeting its cost share requirement. (Finding Code 09-09)

Recommendation:

We recommend the University implement procedures to ensure rates established to value volunteer services are consistent with the services being provided by the volunteer.

University Response:

Not Accepted. The University disagrees that the rate used for volunteer services is undocumented and disagrees that the federal minimum hourly wage rate is a more appropriate estimate of the value of these services.

The University used a rate of \$18.97 per hour to value the services of volunteers. This rate is based on the calculated “Dollar Value of a Volunteer Hour” as compiled by Independent Sector, a leadership forum for charities, foundations, and corporate giving programs. Per documentation the University provided in support of this rate, the value of volunteer time is based on the average hourly earnings of all production and nonsupervisory workers on private nonfarm payrolls (as determined by the Bureau of Labor Statistics). The compiling organization takes this figure and increases it by 12 percent to estimate for fringe benefits. The actual value of a volunteer hour is \$20.25 for 2008.

The \$18.97 rate was used to calculate the budgeted amount for in-kind volunteer activities per the FY09 UIUC Illinois Food Stamp Nutrition Education proposal. This budget, including the value of volunteer services calculated using this rate, was approved by USDA and Illinois Department of Human Services (IDHS).

The federal SNAP guidelines do not require that the rate used for costing volunteer activities be specifically approved. Per the federal SNAP guidelines, the value of a volunteer’s time should be computed on a reasonable hourly basis in accordance with the duties being performed. The volunteers are critical to the mission of the SNAP programs and perform specialized tasks including materials translation, food preparation demonstrations, and the delivery of curriculum, none of which are minimum wage tasks. The University provided information indicating the volunteers provide highly specialized skills, serving as interpreters in classroom settings to assist Hispanic, Chinese, and Somalian students. Using the minimum wage to cost these services would not properly reflect the true value of these services that are critical to the program.

A Management Review of this program was conducted by the IDHS Chief of the Bureau of Homeless Services and Supportive Housing who evaluated the performance, the results of the program, and the ability of the Principal Investigator. The Management Report stated the number and type of staff (credentials and skills) are appropriate to achieve program goals and a system of maintaining and monitoring/evaluating staff competency is in place and is assessed for effectiveness. The report stated the University has the appropriate staffing and credentials to conduct program activities, noting that “para-professional” staff are overseen by professional staff and the “staff was very engaging and knowledgeable about nutritional education.”

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Auditors' Comment:

Although management made a general statement that volunteers performed specialized tasks, there is no documentation to substantiate what services were actually provided, nor is there a clear link to specialized skills and corresponding values for the services provided.

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Current Findings – Federal Compliance

Federal Agency: National Science Foundation (NSF)
US Department of Health and Human Services (USDHHS)

Program Name: Research and Development Cluster
Education and Human Resources
AIDS Education and Training Centers (AIDS)

CFDA # and Program Expenditures: Various (\$495,076,709)
47.076 (\$3,966,723)
93.145 (\$3,197,968)

Award Numbers: Various (R&D)
08-31820/08-30884/08-17185/07-37002/06-22573/07-15088/03-38215/08-50213/05-
49245/05-35864/03-38328/03-02119 (47.076)
3H4AHA00062-07-01 (93.145)

Questioned Costs: None

Finding 09-10 *Inadequate Documentation for Institutional Letter of Credit Cash Draws*

The University does not have adequate documentation to demonstrate it minimized the time elapsing between the draw (receipt) and expenditure of federal funds for individual awards funded with institutional letters of credit.

The University has established several institutional letters of credit (LOC or LOCs) with federal funding agencies to facilitate cash draws on federally sponsored projects. There are usually numerous individual awards that are drawn from the same LOC. Cash draws for each LOC are calculated weekly by the University's Grants and Contracts Office using a set of queries from the general ledger which summarizes the "claim on cash" (cash basis expenditures less previous cash draws applied) for each grant under the respective LOC and subtracts the aggregate amount of prior draws that have not been applied to the individual awards. Because the calculation for cash draws is performed in total at the LOC level and cash draws are only applied once a month, it is not possible to determine the cash position of an individual grant or whether the University has minimized the time elapsing between the draw down and expenditure of federal funds for each individual grant. Accordingly, we are unable to determine whether the University is in compliance with the cash management regulations.

OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations* (OMB Circular A-110), requires the University to minimize the time elapsing between the transfer of funds from the federal government and the payment of program expenditures. OMB Circular A-110 also requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure cash draws are properly calculated and adequately supported at the individual award level.

In discussing these conditions with University officials, they stated that in accordance with the allowable pooled payment process, the calculation for cash draws is performed in total at the letter of credit level and not on a grant-by-grant basis.

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Current Findings – Federal Compliance

Failure to adequately document institutional LOC cash draws may result in excessive federal funds being drawn in advance of program expenditures resulting in an interest liability to the Federal government. (Finding Code 09-10)

Recommendation:

We recommend the University apply cash after each draw and document the amount of the cash draw applicable to each individual award.

University Response:

Not accepted. The University is in compliance with governing federal policies and regulations including OMB Circular A-110, US Treasury regulations, the National Science Foundation (NSF) Grant Policy Manual, and the Health & Human Services (HHS) Grant Policy Statement.

The University has the necessary supporting documentation to validate the amount of each draw requested under all LOC processes. For the institutional LOCs using the pooled payment process, the amount drawn is based on actual reimbursable expenditures and an estimate of immediate cash requirements to carry out the approved programs and projects. Use of this process minimizes the time elapsed between the transfer of funds received and the disbursement of those funds to pay program expenditures.

For each of the institutional LOC draws selected for testing, the University provided a roll-up listing of all grants included in that LOC draw calculation. The roll-up listing included detail at the grant level that supported the total reimbursable amount for the overall institutional LOC draw.

For each of the individual grants selected for testing from the draws above, the University provided detailed inception-to-date transaction data that supported the amount included for that individual grant on the roll-up listing.

Overall draw amounts and totals for individual grants within the LOC draw were proven against the underlying supporting data in the University's financial accounting system. There were no exceptions noted in the testing of the LOC cash draw calculations or draw reports during the audit. In addition, there was no federal interest liability for FY09.

Governing federal policies and regulations do not require recipients using the pooled payment process (pooling method) to immediately apply cash drawn under a letter of credit to each individual award, rather than the LOC pool at the time of the LOC draw. The pooled payment process allows that the cash drawn based on estimated needs to be applied to the LOC pool and to then be subsequently distributed to the individual grants to offset actual costs incurred. The language in these governing federal policies and regulations allows recipient organizations the flexibility to manage LOC draws in a manner that best meets that recipient's operational needs, while remaining in compliance with the governing policies and regulations.

Institutional LOC draws under the pooled payment process are not comparable to grant-by-grant reimbursable cost billings and cannot be treated, or effectively tested, as such.

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On May 1, 2000, 65 FR 25396, OMB published an Advance Notice of Proposed Revision (ANPR) in which comments were sought on several questions relating to Federal requirements for requesting and issuing cash payments under Federal awards. The core issue was whether OMB should amend A-110 to require Federal awarding agencies to make the pooling method of requesting and issuing cash payments under awards available to their award recipients. The conclusion was that revising Circular A-110 did not appear the most effective approach, and that OMB was “committed to encouraging the pooling method for the Circular A-110 community.” Section 22 (c) provides, “Whenever possible, advances shall be consolidated to cover anticipated cash needs for all awards made by the Federal awarding agency to the recipient.” Since the awarding agency must determine when conditions merit making pooled payments to a recipient, the existing text takes a permissive, rather than a mandatory, approach to the issue.”

The OMB notice specifically states that estimates for cash advances are used under a pooled payment process:

"Under a pooled payment process, the recipient estimates the aggregate amount of cash that it will need for all of its awards from the awarding agency and requests a cash advance in that amount. The awarding agency uses a methodology it has developed to estimate how the recipient will distribute the cash advances among its various awards; it then assigns the estimated amounts to awards in its internal accounts."

The OMB notice further upholds that employing a grant-by-grant approach is more burdensome than using a pooled payment process.

The University has established procedures to manage the institutional LOC cash draws using a pooled payment process for each of the 1,995 active grants under the NSF and HHS LOCs. In FY09, approximately \$320 million was drawn under the NSF and HHS LOCs.

In accordance with the allowable pooled payment process, the calculation for cash draws is performed in total at the institutional LOC level and not on a grant-by-grant basis. The cash drawn is posted to the LOC pool after notification of receipt. The cash drawn is subsequently distributed from the LOC pool to individual grants, normally during the week following posting of the monthly payroll. The steps described in this paragraph are segregated to provide an appropriate level of internal control.

To ensure that funds drawn under the LOC are allocated to and subsequently expended under the appropriate program (grant), a quarterly reconciliation by individual grant code is performed by the responsible Grants office for each LOC. A periodic review by the federal funding agency is required by US Treasury regulations Vol 1, Part 6, Chapter 2000, Section 2075.20. According to these regulations, the federal funding agency is required to review the recipient's use of funds no less than quarterly to determine the difference, if any, between the total amount of funds drawn on the letter of credit and disbursements related to the federal programs.

The University is in compliance with governing federal policies and regulations in drawing cash under institutional letters of credit using the pooled payment process.

Auditors' Comment:

As noted in the finding above, we were not able to determine the cash position of an individual grant or whether the University had minimized the time elapsing between the draw down and expenditure of federal funds for

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Current Findings – Federal Compliance

each individual grant. The inability to determine the cash position is based on the fact that cash draws are only posted to each grant once a month and documentation is not maintained for each draw as to how much is attributable to each individual grant. It is our understanding, based on the OMB Circular A-133 compliance supplement and discussions with personnel from various federal inspector general offices, our responsibility is to test the federal cash management regulations at the individual grant level. We will look for clarification of this requirement through the federal findings resolution process.

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Current Findings – Federal Compliance

Federal Agency: US Department of Education (USDE)

Program Name: National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program (Foreign Language)

CFDA # and Program Expenditures: 84.015 (\$3,260,797)

Award Numbers: DESUBSCALI2008/P015B060066/P015B0600115/P015B060171/P015B060091/
P015B030141

Questioned Costs: None

Finding 09-11 *Inability to Test Eligibility of Foreign Language Fellowship Recipients*

The University was not able to provide documentation supporting eligibility determinations made for recipients of fellowships under the Foreign Language program.

The University receives funding under the Foreign Language program for fellowship stipends which are paid to individuals who meet certain program eligibility requirements. During our testwork over 30 individuals who received fellowship stipends, the University stated that it was unable to provide documentation supporting eligibility determinations without the express written consent of the students selected for testing. As a result, we were unable to test whether individuals receiving stipends met the Foreign Language eligibility criteria.

Expenditures made for stipends under the Foreign Language program totaled \$741,406 during the year ended June 30, 2009.

The Higher Education Act of 1965, as amended (Title VI, Part A, Section 602), requires students receiving fellowships to be U.S. citizens, nationals, or permanent residents training in area or international studies and in modern foreign languages programs that have or are developing performance-based language instruction.

In discussing these conditions with University officials, they stated FERPA requirements do not allow the University to share the information required for testing without written approval of the students, and given that the request was made late in the audit cycle, the University did not have adequate time to obtain the required permission.

Failure to provide supporting documentation for eligibility determinations inhibits the ability to perform an audit of the program in accordance with OMB Circular A-133. (Finding Code 09-11)

Recommendation:

We recommend the University work with legal counsel and the US Department of Education to clarify whether access to documentation supporting eligibility determinations can be provided to its auditors.

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Schedule of Findings and Questioned Costs

Year ended June 30, 2009

Current Findings – Federal Compliance

University Response:

Accepted. Due to Family Educational Rights and Privacy Act (FERPA) requirements, and the late notice of the audit firm of the need to inspect forms protected by FERPA, the University was unable to contact students within the required time frame to obtain approval to release the requested information to the audit firm.

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Schedule of Findings and Questioned Costs

Year ended June 30, 2009

Current Findings – Federal Compliance

Federal Agency: US Department of Agriculture (USDA)
US Department of Defense (USDOD)
US Department of Housing and Urban Development (USHUD)
US Department of Commerce (USDOC)
US Department of the Interior (USDOJ)
US Department of Justice (USDOJ)
US Department of Transportation (USDOT)
US Library of Congress (USLOC)
National Aeronautics and Space Administration (NASA)
US Department of Veterans Affairs (USDVA)
National Science Foundation (NSF)
US Environmental Protection Agency (USEPA)
US Department of Energy (USDOE)
US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)
Corporation for National and Community Service (CNCS)
US Social Security Administration (USSSA)
US Department of Homeland Security (USDHS)
US Agency for International Development (USAID)

Program Name: Research and Development Cluster
Cooperative Extension Services
Supplemental Nutrition Assistance Program (SNAP)
Education and Human Resources
Student Financial Assistance Cluster
National Resource Centers Program for Foreign Language and Area Studies or Foreign
Language and International Studies Program and Foreign Language and Area Studies
Fellowship Program (Foreign Language)
AIDS Education and Training Centers (AIDS)
Temporary Assistance for Needy Families
Child Care Cluster
Maternal and Child Health Services Block Grant to the States (MCH Block Grant)

CFDA # and Program Expenditures: Various (\$495,076,709)
10.500 (\$11,027,702)
10.551/10.561 (\$8,061,545)
47.076 (\$3,966,723)
84.007/84.032/84.033/84.038/84.063/84.268/84.375/
84.376/84.379/93.264/93.342/93.364/93.925 (\$464,703,423)
84.015 (\$3,260,797)
93.145 (\$3,197,968)
93.558 (\$5,803,087)
93.575/93.596 (\$4,967,177)
93.994 (\$7,067,476)

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Schedule of Findings and Questioned Costs

Year ended June 30, 2009

Current Findings – Federal Compliance

Award Numbers: Various (R&D)

S09148/H408906008/00078140/8000026125-AG/25-6324-0081-02/3018104479-09-027/08-HHP-374648-0008/H408904313/25-6365-0023-110/S08030/S08064/61-5421B/25-6324-0053-311/Q4098043401/25-6365-0020-149 (10.500)
81X6287000 (10.551/10.561)
08-31820/08-30884/08-17185/07-37002/06-22573/07-15088/X256981/03-38215/08-50213/53636/HRD-0413000/P23253-HRD-413000/05-49245/05-35864/Y410676/03-38328/03-02119/07-02872 (47.076)
P379T090118/T08HP09344A/P376S080118/P063P080118/P007A081306/P007A071306AY07-08/P063P07118AY07-08/P375A07118/P379T090119/T08HP094590100/P379T093182/P375A083182/P376S083182/P268K080118/P268K090118 (84.007/84.032/84.033/84.038/84.063/84.268/84.375/84.376/84.379/93.264/93.342/93.364/93.925)
DESUBSCALI2008/P015A060091/P015A060066/P015B060066/P015A060013/P015A0600115/P015A060171/P015A060041/P015A060013/P015A060136/P015B0600115/P015B060171/P015B060091/P015B030141 (84.015)
H-F4-ETH-08-P-PTR-JASW-00/H-F4-TAN-08-P-PTR-GLDS/H-F3-TAN-07-P-PTR-JASW/5219SC/3H4AHA00062-07-01 (93.145)
81X7165000/81X6957000/81X6957TS1 (93.558)
81X6522000/81X6561000 (93.575/93.596)
2009-00880/11G6517000/K11G6517120WZ/11G6788000 (93.994)

Questioned Costs: None

Finding 09-12 *Inadequate Process for Preparation of Schedule of Expenditures of Federal Awards*

The University did not initially include all federal grants in the schedule of expenditures of federal awards (SEFA).

During our testwork of the SNAP program, we identified expenditures totaling \$1,485,594 that were improperly excluded from the draft schedule of expenditures of federal awards for the year ended June 30, 2009. Upon further review and investigation, management of the University identified 17 federal grants with net expenditures of \$2,561,009 that were improperly excluded from the SEFA . Management subsequently included these grants in the final version of the schedule of expenditures of federal awards that is included in this report.

According to OMB Circular A-133 § .300(d) and (e), a recipient of federal awards is required to prepare appropriate financial statements, including the schedule of expenditures and to ensure that audits required by this part are properly performed and submitted when due. Additionally, OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations*, requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program

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Schedule of Findings and Questioned Costs

Year ended June 30, 2009

Current Findings – Federal Compliance

compliance requirements. Effective internal controls should include procedures to ensure expenditures for all federal awards are accurately and completely reported in the SEFA.

In discussing these conditions with University officials, they stated that through human error federal attributes were not correctly noted during initial award creation and preparation of the draft SEFA.

Failure to prepare a complete and accurate SEFA prevents the University from having an audit properly performed in accordance with OMB Circular A-133 which may result in the suspension of federal funding. (Finding Code 09-12)

Recommendation:

We recommend the University review the current process for preparing the schedule of expenditures of federal awards and implement changes necessary to ensure expenditures for all federal awards are properly reported.

University Response:

Accepted. The draft version of the SEFA submitted for review to the auditors in November 2009 contained omissions of items for which follow-up was necessary. Due to personnel changes and human error, the follow-up was not completed until the final version of the SEFA intended for inclusion in the published FY09 Audit Report Package was provided to the audit firm. The final version was correct. The University has existing procedures to enter the federal attributes at the time of the award creation in the Banner Grants Module and internal processes to periodically cross-check the accuracy of the required attributes.

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Schedule of Findings and Questioned Costs

Year ended June 30, 2009

Current Findings – Federal Compliance

- Federal Agency:** US Department of Agriculture (USDA)
US Department of Defense (USDOD)
US Department of Housing and Urban Development (USHUD)
US Department of Commerce (USDOC)
US Department of the Interior (USDOJ)
US Department of Justice (USDOJ)
US Department of Transportation (USDOT)
US Library of Congress (USLOC)
National Aeronautics and Space Administration (NASA)
US Department of Veterans Affairs (USDVA)
National Science Foundation (NSF)
US Environmental Protection Agency (USEPA)
US Department of Energy (USDOE)
US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)
Corporation for National and Community Service (CNCS)
US Social Security Administration (USSSA)
US Department of Homeland Security (USDHS)
US Agency for International Development (USAID)
- Program Name:** Research and Development Cluster
Cooperative Extension Services
Supplemental Nutrition Assistance Program (SNAP)
Education and Human Resources
National Resource Centers Program for Foreign Language and Area Studies or Foreign
Language and International Studies Program and Foreign Language and Area Studies
Fellowship Program (Foreign Language)
Student Financial Assistance Cluster
AIDS Education and Training Centers (AIDS)
Maternal and Child Health Services Block Grant to the States (MCH Block Grant)
- CFDA # and Program Expenditures:** Various (\$495,076,709)
10.500 (\$11,027,702)
10.551/10.561 (\$8,061,545)
47.076 (\$3,966,723)
84.007/84.032/84.033/84.038/84.063/84.268/84.375/
84.376/84.379/93.264/93.342/93.364/93.925 (\$464,703,423)
84.015 (\$3,260,797)
93.145 (\$3,197,968)
93.994 (\$7,067,476)

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Schedule of Findings and Questioned Costs

Year ended June 30, 2009

Current Findings – Federal Compliance

Award Numbers: Various (R&D)

S09148/H408906008/00078140/8000026125-AG/25-6324-0081-02/3018104479-09-027/08-HHP-374648-0008/H408904313/25-6365-0023-110/S08030/S08064/61-5421B/25-6324-0053-311/Q4098043401/25-6365-0020-149 (10.500)
81X6287000 (10.551/10.561)
08-31820/08-30884/08-17185/07-37002/06-22573/07-15088/X256981/03-38215/08-50213/53636/HRD-0413000/P23253-HRD-413000/05-49245/05-35864/Y410676/03-38328/03-02119/07-02872 (47.076)
P379T090118/T08HP09344A/P376S080118/P063P080118/P007A081306/P007A071306AY0708/P063P07118AY0708/P375A07118/P379T090119/T08HP094590100/P379T093182/P375A083182/P376S083182/P268K080118/P268K090118 (84.007/84.032/84.033/84.038/84.063/84.268/84.375/84.376/84.379/93.264/93.342/93.364/93.925)
DESUBSCALI2008/P015A060091/P015A060066/P015B060066/P015A060013/P015A0600115/P015A060171/P015A060041/P015A060013/P015A060136/P015B0600115/P015B060171/P015B060091/P015B030141 (84.015)
H-F4-ETH-08-P-PTR-JASW-00/H-F4-TAN-08-P-PTR-GLDS/H-F3-TAN-07-P-PTR-JASW/5219SC/3H4AHA00062-07-01 (93.145)
2009-00880/11G6517000/K11G6517120WZ/11G6788000 (93.994)

Questioned Costs: None

Finding 09-13 *Inadequate Procedures for Closing Federal Projects*

The University does have adequate procedures in place to ensure federal projects are closed in a timely manner.

The University administers thousands of individual federal projects from several federal agencies and pass-through entities which have varying project periods. The University has formally documented policies and procedures for closing out federally funded projects which generally require projects to be closed within 90 days after the project end date. Procedures have been established to send a notice of terminating accounts to the principal investigator or program coordinator 90 days prior to the project end date. The notice provides information about the process for closing projects and includes an information request for any extensions granted and other project information necessary to complete the project close out. Personnel in the Grants and Contracts Office are responsible for ensuring the University has met its obligations under the project, closing the general ledger accounts, and returning any unexpended grant funds to the federal agency or pass-through entity.

During our review of the schedule of expenditures of federal awards for the year ended June 30, 2009, we noted expenditures (or negative expenditures) were reported for several projects with end dates prior to June 30, 2007. Specifically, we noted the following:

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Schedule of Findings and Questioned Costs

Year ended June 30, 2009

Current Findings – Federal Compliance

Year ended	Number of projects with end date during fiscal year	Year ended June 30, 2009		
		Number of cost transfers	Dollar amount of positive cost transfers	Dollar amount of negative cost transfers
June 30, 2002	5	43	\$6,293	\$(113,639)
June 30, 2003	6	42	84,841	(33,949)
June 30, 2004	15	44	152,757	(442,034)
June 30, 2005	25	128	135,420	(25,929)
June 30, 2006	54	2,427	1,579,259	(2,802,301)
June 30, 2007	169	2,693	1,204,134	(1,400,867)
Totals	274	5,377	3,162,703	(4,818,720)

Upon review of a sample of 60 transactions recorded in projects with end dates prior to June 30, 2007, we noted the vast majority of the transactions selected were to transfer expenditures to the correct project accounts. The underlying transactions being transferred had been erroneously recorded to an incorrect project several years prior to the date of the transfer. Accordingly, the periodic financial reports previously submitted for several of the University's federally funded projects inaccurately included or excluded project expenditures which were later transferred between projects.

OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations* (OMB Circular A-110) requires accurate, current, and complete disclosure of the financial results of each federally-sponsored project or program in accordance with the applicable reporting criteria. OMB Circular A-110 also requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure expenditures (including cost transfers) are allowable in accordance with federal regulations.

In discussing these conditions with University officials, they stated there are valid reasons for delays in grant close-outs.

Failure to close projects and process necessary cost transfers in a timely manner may result in inaccurate periodic financial reports and disallowances of costs. (Finding Code 09-13)

Recommendation:

We recommend the University implement procedures to monitor the timeliness of project close outs. Additionally, the University should review its current processes to identify any additional procedures necessary to reduce the number of late cost transfers being processed upon the close out of its federal projects.

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Schedule of Findings and Questioned Costs

Year ended June 30, 2009

Current Findings – Federal Compliance

University Response:

Accepted. The University acknowledges the grant closeout process can be complicated and delays may occur for a variety of reasons. There are instances of late award close-out. The causes of late close-out vary depending on the award and the situation. Incremental funding on multi-year awards may be delayed, causing valid and allowable expenditures to post during the wait period. Difficulties in collecting delinquent Accounts Receivable balances or completion of project deliverables may also contribute to delays in the closeout of awards.

The University notes the testing population included only the 274 federally-funded awards (direct and pass-through funding) with project period end dates prior to 7/1/2007 that had transactions posted in FY09, not the full population of all awards that were closed (termed) on all campuses during FY09 – which was 2,926 awards. The number of active awards during FY09 was 8,617 for all campuses. Of the 5,377 transactions noted in the table, 2,570 (48%) were adjusting entries with an absolute value of \$100 or less that were necessary bookkeeping adjustments needed to bring the funds to termination status. In addition 2,716 (51%) were system-generated assessments (such as F&A costs) and are not considered cost-transfers. Further, the University notes that while the close-out process will apply to all the awards listed within this finding, many of the listed awards are currently active.

The University believes adequate controls are in place, and the majority of awards are closed in a timely manner. The University will continue to monitor the timeliness of closeouts.

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Schedule of Findings and Questioned Costs

Year ended June 30, 2009

Current Findings – Federal Compliance

Federal Agency: US Department of Agriculture (USDA)
US Department of Defense (USDOD)
US Department of Housing and Urban Development (USHUD)
US Department of Commerce (USDOC)
US Department of the Interior (USDOJ)
US Department of Justice (USDOJ)
US Department of Transportation (USDOT)
US Library of Congress (USLOC)
National Aeronautics and Space Administration (NASA)
US Department of Veterans Affairs (USDVA)
National Science Foundation (NSF)
US Environmental Protection Agency (USEPA)
US Department of Energy (USDOE)
US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)
Corporation for National and Community Service (CNCS)
US Social Security Administration (USSSA)
US Department of Homeland Security (USDHS)
US Agency for International Development (USAID)

Program Name: Research and Development Cluster
Cooperative Extension Services
Supplemental Nutrition Assistance Program (SNAP)
Education and Human Resources
Student Financial Assistance Cluster
National Resource Centers Program for Foreign Language and Area Studies or Foreign
Language and International Studies Program and Foreign Language and Area Studies
Fellowship Program (Foreign Language)
AIDS Education and Training Centers (AIDS)
Maternal and Child Health Services Block Grant to the States (MCH Block Grant)

CFDA # and Program Expenditures: Various (\$495,076,709)
10.500 (\$11,027,702)
10.551/10.561 (\$8,061,545)
47.076 (\$3,966,723)
84.007/84.032/84.033/84.038/84.063/84.268/84.375/
84.376/84.379/93.264/93.342/93.364/93.925 (\$464,703,423)
84.015 (\$3,260,797)
93.145 (\$3,197,968)
93.994 (\$7,067,476)

**STATE OF ILLINOIS
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Schedule of Findings and Questioned Costs

Year ended June 30, 2009

Current Findings – Federal Compliance

Award Numbers: Various (R&D)

S09148/H408906008/00078140/8000026125-AG/25-6324-0081-02/3018104479-09-027/08-HHP-374648-0008/H408904313/25-6365-0023-110/S08030/S08064/61-5421B/25-6324-0053-311/Q4098043401/25-6365-0020-149 (10.500)
81X6287000 (10.551/10.561)
08-31820/08-30884/08-17185/07-37002/06-22573/07-15088/X256981/03-38215/08-50213/53636/HRD-0413000/P23253-HRD-413000/05-49245/05-35864/Y410676/03-38328/03-02119/07-02872 (47.076)
P379T090118/T08HP09344A/P376S080118/P063P080118/P007A081306/P007A071306AY0708/P063P07118AY0708/P375A07118/P379T090119/T08HP094590100/P379T093182/P375A083182/P376S083182/P268K080118/P268K090118 (84.007/84.032/84.033/84.038/84.063/84.268/84.375/84.376/84.379/93.264/93.342/93.364/93.925)
DESUBSCALI2008/P015A060091/P015A060066/P015B060066/P015A060013/P015A0600115/P015A060171/P015A060041/P015A060013/P015A060136/P015B0600115/P015B060171/P015B060091/P015B030141 (84.015)
H-F4-ETH-08-P-PTR-JASW-00/H-F4-TAN-08-P-PTR-GLDS/H-F3-TAN-07-P-PTR-JASW/5219SC/3H4AHA00062-07-01 (93.145)
2009-00880/11G6517000/K11G6517120WZ/11G6788000 (93.994)

Questioned Costs: None

Finding 09-14 *Inadequate Supporting Documentation for Cost Transfers*

The University does not adequately document cost transfers.

The University has formal policies and procedures which outline the documentation required to support cost transfers and a standard form has been developed to assist the University in collecting supporting documentation for each cost transfer. The standard form provides a series of potential reasons that a cost transfer may be required and prompts the preparer to other sections of the form to provide additional supporting documentation as prescribed by University policy. The form is required to be certified by the principal investigator or another responsible official and must be reviewed and approved by the Grants and Contracts Office.

During our testwork over 210 cost transfers recorded during the year ended June 30, 2009, we were initially provided brief journal entry descriptions as the supporting documentation for each of the cost transfers selected. The journal entry descriptions consisted of a few sentences which generally stated an error had occurred in the original entry and that a transfer was required. These descriptions did not provide sufficient information to allow an independent party to understand the reason the cost transfer was required. Upon further investigation and inquiry, the University was able to provide other support which better described the reasons for some of the cost transfers tested. However, the standard cost transfer form was not completed in accordance with University policy for a majority of the transfers tested. Upon further inquiry, we noted these transfers were initiated by the Grants and Contracts Office in closing out projects and that the standard cost transfer forms were not completed for any cost transfers prepared by the Grants and Contracts Office.

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Current Findings – Federal Compliance

OMB Circular A-21, *Cost Principles for Higher Education Institutions*, establishes principles and standards for determining costs for federal awards carried out through grants, cost reimbursements contracts, and other agreements with higher education institutions. To be allowable under federal awards, costs must meet certain general criteria. Those criteria, among other things, require that the expenditures must be allocable, reasonable, and supported by adequate documentation. OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations* (OMB Circular A-110) requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure expenditures (including cost transfers) are adequately documented in accordance with federal regulations and University policy.

In discussing these conditions with University officials, they stated cost transfers are adequately documented and supported in accordance with University policy that meets the requirements of OMB Circular A-21 and OMB Circular A-110.

Failure to adequately document cost transfers may result in unallowable costs being charged to federal programs. (Finding Code 09-14)

Recommendation:

We recommend the University implement procedures to ensure costs transfers are adequately documented and supported in accordance with University policy.

University Response:

Accepted. The University believes cost transfers are adequately documented and supported in accordance with University policy and requirements of OMB Circular A-21 and OMB Circular A-110. The University has formal written policies for cost transfers for every campus. These policies are followed by Grants Office personnel during their review of cost transfers posted to sponsored project funds. However, the University will consider refinements to internal policies to make it clear that certain administrative transactions, especially those moving minor costs off grant accounts during the close out process, do not required supporting documentation.

The University's cost transfer policies address the type of support and documentation that is to be provided by the departments and/or PIs to support cost transfers. In some circumstances, as outlined in the policies, a standard form GC-81 "Cost Transfer Justification for Sponsored Projects" must be completed and filed with the Grants Office. The GC-81 form is an administrative document developed by the Grants Office to obtain additional supporting information from units. The GC-81 form was not designed for, nor is there a requirement for it to be completed for, transfers made by internal Grants Office personnel in the course of making an administrative adjustment or closing out an award.

The JV text form (FOATEXT) functionality in the University's Banner system is used to attach a brief explanation of the cost transfer to the journal voucher document number. This purpose of FOATEXT is to provide Grants Office personnel basic, general information as outlined in the cost transfer policy and to provide a contact point for follow-up and investigative action if needed. Space in the FOATEXT form is limited to 50 characters per line. Comments provided in the FOATEXT form are not intended to provide an all-encompassing record for independent party review. In addition to reading the brief narrative in the FOATEXT, a review of the

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Year ended June 30, 2009

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grant file and other supporting documentation related to the transfer is often required in order to gain a more complete understanding of the reason for the cost transfer.

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Current Findings – Federal Compliance

Federal Agency: US Department of Agriculture (USDA)
US Department of Defense (USDOD)
US Department of Housing and Urban Development (USHUD)
US Department of Commerce (USDOC)
US Department of the Interior (USDOJ)
US Department of Justice (USDOJ)
US Department of Transportation (USDOT)
US Library of Congress (USLOC)
National Aeronautics and Space Administration (NASA)
US Department of Veterans Affairs (USDVA)
National Science Foundation (NSF)
US Environmental Protection Agency (USEPA)
US Department of Energy (USDOE)
US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)
Corporation for National and Community Service (CNCS)
US Social Security Administration (USSSA)
US Department of Homeland Security (USDHS)
US Agency for International Development (USAID)

Program Name: Cooperative Extension Services
Research and Development Cluster
AIDS Education and Training Centers (AIDS)

CFDA # and Program Expenditures: Various (\$495,076,709)
10.500 (\$11,027,702)
47.076 (\$3,966,723)
93.145 (\$3,197,968)

Award Numbers: Various (R&D)
S09148/H408906008/00078140/8000026125-AG/25-6324-0081-02/3018104479-09-027/08-
HHP-374648-0008/H408904313/25-6365-0023-110/S08030/S08064/61-5421B/25-6324-
0053-311/Q4098043401/25-6365-0020-149 (10.500)
3H4AHA00062-07-01 (93.145)

Questioned Costs: None

Finding 09-15 *Improper Reporting of Outlay Amounts in Financial Status Reports*

The University did not prepare its financial status reports in accordance with the selected basis of accounting.

The University is required to prepare periodic financial status reports (SF-269 reports) for awards received directly from federal agencies. The financial status reports, which may be prepared on the cash or accrual basis of accounting, include information on program expenditures, unliquidated obligations, and indirect expenses

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Schedule of Findings and Questioned Costs

Year ended June 30, 2009

Current Findings – Federal Compliance

charged to the grant. The University has elected to use the cash basis of accounting for all financial status reports. However, during our testwork over 21 financial status reports submitted for the major programs identified above, we noted the outlays reported by the University included certain accruals for expenditures paid subsequent to the reporting period. In addition, the University did not report any unliquidated obligations in the financial status reports.

OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations* (OMB Circular A-110) and the grant agreements, contracts, and other award documents for the major programs identified above, require the University to prepare periodic Financial Status Reports (SF-269 (OMB No. 0348-0039)). The instructions for the financial status report define the cash basis amount for *outlays* on as the sum of actual cash disbursements for the direct costs of goods and services, the amount of indirect expense charged, the value of in-kind contributions applied, and the amount of cash advances and payments made to subrecipients and for *unliquidated obligations* as obligations incurred, but not yet paid. OMB Circular A-110 also requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure financial status reports are prepared using the accurate basis of accounting.

In discussing these conditions with University officials, they stated a default selection on the financial status report was inappropriately set to “cash” and was not changed to “accrual” before the template was completed; this was a training error with new staff.

Failure to prepare reports on the appropriate basis of accounting inhibits the ability of the federal agencies to properly monitor and evaluate the performance of the programs. (Finding Code 09-15)

Recommendation:

We recommend the University implement procedures to ensure the information reported in its financial status reports is on the appropriate basis of accounting.

University Response:

Accepted. The 'basis selection' box on the reporting template of the financial status report was inadvertently set to default to 'cash.' Due to human error, the default selection was not changed to 'accrual' before reports using this template were created. This is a training issue with new and existing staff in the Grants Post-Award Office and has been addressed. University has not elected to use the cash basis of accounting for all financial status reports. The University has elected to complete required financial status reports using the modified accrual methodology, which is noted in the DS-2 'Cost Accounting Disclosure Statement' for both the Chicago and Urbana campuses. A copy of the DS-2 for each campus was provided to the audit firm. The expenditure costs were reported on the financial status forms under the accrual basis and were accurate. Unliquidated obligations are included in the financial status report when applicable.

Auditors' Comment:

As noted in the finding, the outlays reported by the University in the financial status reports included certain accruals for expenditures paid subsequent to the reporting period. However, the reports were not consistently

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Year ended June 30, 2009

Current Findings – Federal Compliance

prepared by both the Chicago and Urbana campuses. Specifically, the Urbana campus prepared the financial status reports based on expenditures that were accrued at the time the query was generated, while the Chicago campus prepared the reports on the accrual basis.

Regarding the DS-2 form, it contains a question regarding the description of the University's cost accounting system for recording expenses to the federally sponsored agreements (i.e. accrual, modified accrual, cash, or other). However, this disclosure is not intended to apply to how financial status reports are prepared. The financial status reports only have two options, cash or accrual.

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Current Findings – Federal Compliance

Federal Agency: US Department of Agriculture (USDA)
US Department of Defense (USDOD)
US Department of Housing and Urban Development (USHUD)
US Department of Commerce (USDOC)
US Department of the Interior (USDOJ)
US Department of Justice (USDOJ)
US Department of Transportation (USDOT)
US Library of Congress (USLOC)
National Aeronautics and Space Administration (NASA)
US Department of Veterans Affairs (USDVA)
National Science Foundation (NSF)
US Environmental Protection Agency (USEPA)
US Department of Energy (USDOE)
US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)
Corporation for National and Community Service (CNCS)
US Social Security Administration (USSSA)
US Department of Homeland Security (USDHS)
US Agency for International Development (USAID)

Program Name: Research and Development Cluster
Cooperative Extension Services
Supplemental Nutrition Assistance Program (SNAP)
Education and Human Resources
National Resource Centers Program for Foreign Language and Area Studies or Foreign
Language and International Studies Program and Foreign Language and Area Studies
Fellowship Program (Foreign Language)
AIDS Education and Training Centers (AIDS)
Maternal and Child Health Services Block Grant to the States (MCH Block Grant)

CFDA # and Program Expenditures: Various (\$495,076,709)
10.500 (\$11,027,702)
10.551/10.561 (\$8,061,545)
47.076 (\$3,966,723)
84.015 (\$3,260,797)
93.145 (\$3,197,968)
93.994 (\$7,067,476)

Award Numbers: Various (R&D)
S09148/H408906008/00078140/8000026125-AG/25-6324-0081-02/3018104479-09-027/08-
HHP-374648-0008/H408904313/25-6365-0023-110/S08030/S08064/61-5421B/25-6324-
0053-311/Q4098043401/25-6365-0020-149 (10.500)
81X6287000 (10.551/10.561)

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08-31820/08-30884/08-17185/07-37002/06-22573/07-15088/X256981/03-38215/08-50213/
53636/HRD-0413000/P23253-HRD-413000/05-49245/05-35864/Y410676/03-38328/03-
02119/07-02872 (47.076)
DESUBSCALI2008/P015A060091/P015A060066/P015B060066/P015A060013/P015A0600
115/P015A060171/P015A060041/P015A060013/P015A060136/P015B0600115/P015B0
60171/P015B060091/P015B030141 (84.015)
H-F4-ETH-08-P-PTR-JASW-00/H-F4-TAN-08-P-PTR-GLDS/H-F3-TAN-07-P-PTR-JASW/
5219SC/3H4AHA00062-07-01 (93.145)
2009-00880/11G6517000/K11G6517120WZ/11G6788000 (93.994)

Questioned Costs: None

Finding 09-16 Failure to Obtain Suspension and Debarment Certifications from Vendors

The University did not obtain required certifications that certain vendors were not suspended or debarred from participation in federal assistance programs.

During our review of 240 contractual expenditures for the Research and Development Cluster, Cooperative Extension Services, SNAP, Education and Human Resources, Foreign Language, AIDS, and MCH Block Grant programs, we noted 15 expenditures for which the University did not obtain a suspension and debarment certification from the vendor. Additionally, the University did not perform a verification check with the “Excluded Parties List System” (EPLS) maintained by the General Services Administration for the vendors. Upon further review, we noted the University does not obtain a suspension and debarment certification or perform a verification check with the EPLS from vendors for which it procures goods through a purchase order (i.e. no signed contract). All vendors in our sample for which the University entered into a signed contract appropriately contained a suspension and debarment certification from the vendor.

Total contractual expenditures charged to the major programs during the year ended June 30, 2009 were as follows:

Program Name	Contractual Expenditures
Research and Development	\$103,906,236
Cooperative Extension Services	5,254,350
SNAP	1,824,671
Foreign Language	495,735
Education and Human Resources	359,001
AIDS	243,220
MCH Block Grant	961,384

According to OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations* (OMB Circular A-110), non-federal entities are prohibited from contracting with or making subawards under covered transactions to parties

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Year ended June 30, 2009

Current Findings – Federal Compliance

that are suspended or debarred or whose principals are suspended or debarred. “Covered transactions” include those procurement contracts for goods and services awarded under a nonprocurement transaction (e.g., grant or cooperative agreement) that are expected to equal or exceed \$25,000 or meet certain other specified criteria. All nonprocurement transactions (i.e., subawards to subrecipients), irrespective of award amount, are considered covered transactions. When a non-federal entity enters into a covered transaction with an entity at a lower tier, the non-federal entity must verify that the entity is not suspended or debarred or otherwise excluded. This verification may be accomplished by checking the *Excluded Parties List System (EPLS)* maintained by the General Services Administration, collecting a certification from the entity, or adding a clause or condition to the covered transaction with that entity.

OMB Circular A-110 also requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures in place to ensure the required certifications for covered contracts and subawards are received, documented, and contracts not made with a debarred or suspended party.

In discussing these conditions with University officials, they stated the University Purchasing Division has procedures in place which were originally designed to comply with this requirement; however, transition of the process from a paper-based listing for suspended and debarred vendors to an electronic, Web-based listing resulted in an unintended alteration of the process.

Failure to obtain the required certifications or perform verification procedures with the EPLS could result in the payment of federal funds to vendors that are suspended or debarred from participation in federal assistance programs. (Finding Code 09-16)

Recommendation:

We recommend the University establish procedures to ensure vendors certify that their organization is not suspended or debarred or otherwise excluded from participation in federal assistance programs.

University Response:

Accepted. The failure to comply was due to an oversight; the Purchasing Divisions of the University will perform a verification check with the EPLS for future purchases, effective immediately.

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Federal Agency: US Department of Agriculture (USDA)
US Department of Defense (USDOD)
US Department of Housing and Urban Development (USHUD)
US Department of Commerce (USDOC)
US Department of the Interior (USDOJ)
US Department of Justice (USDOJ)
US Department of Transportation (USDOT)
US Library of Congress (USLOC)
National Aeronautics and Space Administration (NASA)
US Department of Veterans Affairs (USDVA)
National Science Foundation (NSF)
US Environmental Protection Agency (USEPA)
US Department of Energy (USDOE)
US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)
Corporation for National and Community Service (CNCS)
US Social Security Administration (USSSA)
US Department of Homeland Security (USDHS)
US Agency for International Development (USAID)

Program Name: Research and Development Cluster
Cooperative Extension Services
Supplemental Nutrition Assistance Program (SNAP)
Education and Human Resources
AIDS Education and Training Centers (AIDS)
Maternal and Child Health Services Block Grant to the States (MCH Block Grant)

CFDA # and Program Expenditures: Various (\$495,076,709)
10.500 (\$11,027,702)
10.551/10.561 (\$8,061,545)
47.076 (\$3,966,723)
93.145 (\$3,197,968)
93.994 (\$7,067,476)

Award Numbers: Various (R&D)
S09148/H408906008/00078140/8000026125-AG/25-6324-0081-02/3018104479-09-027/08-
HHP-374648-0008/H408904313/25-6365-0023-110/S08030/S08064/61-5421B/25-6324-
0053-311/Q4098043401/25-6365-0020-149 (10.500)
81X6287000 (10.551/10.561)
08-31820/08-30884/08-17185/07-37002/06-22573/07-15088/X256981/03-38215/08-50213/
53636/HRD-0413000/P23253-HRD-413000/05-49245/05-35864/Y410676/03-38328/03-
02119/07-02872 (47.076)
3H4AHA00062-07-01 (93.145)
2009-00880/11G6517000/K11G6517120WZ/11G6788000 (93.994)

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Year ended June 30, 2009

Current Findings – Federal Compliance

Questioned Costs: None

Finding 09-17 *Failure to Perform Interest Calculations on Federal Advances*

The University does not calculate interest on federal funds drawn in advance.

The University receives federal funds on an advance basis under the Research and Development Cluster, Cooperative Extension Services, SNAP, Education and Human Resources, AIDS, and MCH Block Grant programs. During our testwork, we noted the University has not performed an interest calculation for any of the programs on which it received advance funding as required by federal regulations.

OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations* (OMB Circular A-110) requires advances of federal funds to be maintained in interest bearing accounts and interest earnings in excess of \$250 to be remitted to the federal government. OMB Circular A-110 also requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure interest calculations are performed and interest is remitted as required.

In discussing these conditions with University officials, they stated the automated process previously used to calculate interest was found to have errors and a manual process was substituted to ensure no excess Federal cash was on hand for Fiscal Year 09 and no interest was due.

Failure to perform required interest calculations results in noncompliance with cash management regulations. (Finding Code 09-17)

Recommendation:

We recommend the University implement procedures to calculate interest on federal funds received in advance of expenditures and to remit any interest earned to the appropriate federal agencies as required by federal regulations.

University Response:

Accepted. The University had been using an automated process to determine if excess federal cash balances existed and discovered there were errors in the process. The automated process has been replaced with a manual process to ensure the calculations are correct. This manual process will be continued until the system calculations can be corrected. The manual calculation for Fiscal Year 09 was performed and revealed there was no excess federal cash on hand and no interest due.

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Auditors' Comment:

We did not test the interest calculation performed by the University. However, we were informed that this calculation was performed in total for all federal awards. We recommend the University work with their Federal cognizant agency (U.S. Department of Education) to determine whether interest calculations should be performed at a lower level, such as by individual letter of credit, program, or federal agency.

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Current Findings – Federal Compliance

Federal Agency: US Department of Agriculture (USDA)
US Department of Defense (USDOD)
US Department of Housing and Urban Development (USHUD)
US Department of Commerce (USDOC)
US Department of the Interior (USDOJ)
US Department of Justice (USDOJ)
US Department of Transportation (USDOT)
US Library of Congress (USLOC)
National Aeronautics and Space Administration (NASA)
US Department of Veterans Affairs (USDVA)
National Science Foundation (NSF)
US Environmental Protection Agency (USEPA)
US Department of Energy (USDOE)
US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)
Corporation for National and Community Service (CNCS)
US Social Security Administration (USSSA)
US Department of Homeland Security (USDHS)
US Agency for International Development (USAID)

Program Name: Research and Development Cluster
National Resource Centers Program for Foreign Language and Area Studies or Foreign
Language and International Studies Program and Foreign Language and Area Studies
Fellowship Program (Foreign Language)
AIDS Education and Training Centers (AIDS)

CFDA # and Program Expenditures: Various (\$495,076,709)
84.015 (\$3,260,797)
93.145 (\$3,197,968)

Award Numbers: Various (R&D)
P015A060091/ P015A060041/P015B060091/P015B030141 (84.015)
3H4AHA00062-07-01 (93.145)

Questioned Costs: None

Finding 09-18 *Inadequate Monitoring of Subrecipient OMB Circular A-133 Audit Reports*

The University is not adequately performing or documenting reviews of subrecipient OMB Circular A-133 audit reports. Additionally, the Chicago campus does not have a system to track and follow-up with subrecipients when OMB Circular A-133 reports have not been received.

The University requires subrecipients expending more than \$500,000 in federal awards during their fiscal year to (1) submit OMB Circular A-133 audit reports or (2) provide written notification that an audit was conducted in

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accordance with OMB Circular A-133 and the schedule of findings and questioned costs disclosed no audit findings relating to the Federal awards that were pass-through the University (notification letter). University staff in the Office of Grants and Contracts are responsible for reviewing the OMB Circular A-133 audit reports and determining whether the audit reports meet the audit requirements of OMB Circular A-133, evaluating the type of audit opinion issued (i.e. unqualified, qualified, adverse), and issuing management decisions on findings reported within required timeframes. However, there is no documentation of the “desk reviews” performed, nor does management use a checklist to help determine whether the audit reports meet the audit requirements of OMB Circular A-133 and whether management decisions have been issued on findings reported within required timeframes. Lastly, we noted the Chicago Campus does not have a process to track and follow-up with subrecipients when OMB Circular A-133 reports or notification letters have not been received.

Additionally, during our testwork over 30 subrecipients of the Research and Development Cluster program, two subrecipients of the Foreign Language program, and three subrecipients of the AIDS program, we noted the following:

- There were three subrecipients of the Research and Development Cluster program and one subrecipient of the Foreign Language program for which a management decision was required, but was not issued by the University.
- There were four subrecipients of the Research and Development Cluster program and one subrecipient of the AIDS program for which no OMB Circular A-133 audit report was received. In addition, these subrecipient files did not contain evidence that follow up procedures had been performed by the University to obtain the missing audit reports.
- There were 19 subrecipients of the Research and Development Cluster program, two subrecipients of the Foreign Language program, and one subrecipient of the AIDS program for which A-133 audit reports were submitted after the nine month filing deadline. These files contained no documentation the University followed up on the delinquent report or approved an extension of the filing deadline.

Subrecipient expenditures under the federal programs for the year ended June 30, 2009 were as follows:

Program	Total Fiscal Year 2009 Subrecipient Expenditures	Total Fiscal Year 2009 Program Expenditures	%
Research and Development Cluster	\$52,770,488	\$495,076,709	10.66%
Foreign Language	551,852	3,260,797	16.92%
AIDS	1,708,736	3,197,968	53.43%

According to OMB Circular A-133 § .400(d), a pass-through entity is required to monitor the activities of subrecipients as necessary to ensure that Federal awards are used for authorized purposes in compliance with laws, regulations, and the provisions of contracts or grant agreements and that performance goals are achieved. According to the OMB Circular A-133 compliance supplement, dated March 2009, a pass-through entity is required to 1) ensure that subrecipients expending \$500,000 or more in Federal awards during the subrecipient’s fiscal year have met the audit requirements of OMB Circular A-133 and that the required audits are completed

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Year ended June 30, 2009

Current Findings – Federal Compliance

within nine months of the end of the subrecipient's audit period, 2) issue a management decision on audit findings within six months after receipt of the subrecipient's audit report, and 3) ensure that the subrecipient takes timely and appropriate corrective action on all audit findings. In the cases of continued inability or unwillingness of a subrecipient to have the required audits, the pass-through entity shall take appropriate action using sanctions.

In discussing these conditions with University officials on the Chicago (UIC) campus, they stated that the problems were due to inadequate follow-up procedures being in place.

Failure to obtain and adequately review subrecipient OMB Circular A-133 audit reports and issue management decisions in a timely manner may result in federal funds being expended for unallowable purposes and subrecipients not properly administering federal programs in accordance with laws, regulations, and the grant agreement. (Finding Code 09-18)

Recommendation:

We recommend the University establish procedures to ensure all subrecipients receiving federal awards have audits performed in accordance with OMB Circular A-133. Additionally, desk reviews of A-133 audit reports should be formally documented using an A-133 desk review checklist and management decisions should be issued within six months.

University Response:

Accepted. The University will implement procedures to strengthen oversight of subrecipient monitoring and follow-up activities.

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Year ended June 30, 2009

Current Findings – Federal Compliance

Federal Agency: US Department of Agriculture (USDA)

Program Name: Cooperative Extension Services

CFDA # and Program Expenditures: 10.500 (\$11,027,702)

Award Numbers: 2008-41100-01300/2009-41100-01300

Questioned Costs: \$1,193

Finding 09-19 *Unallowable Costs Charged to Federal Program*

The University claimed expenditures that are unallowable under the Cooperative Extension Services (CES) program.

During our review of 30 cost transfers totaling \$259,657 in the CES program, we noted one transfer (expenditure) of \$1,193 that was for an interdepartmental charge for a computer self-insurance plan administered by the University Office of Risk Management, which is an unallowable cost. Total cost transfers in the CES programs were \$1,542,735 during the year ended June 30, 2009.

OMB Circular A-21, *Cost Principles for Higher Education Institutions*, establishes principles and standards for determining costs for federal awards carried out through grants, cost reimbursements contracts, and other agreements with higher education institutions. To be allowable under federal awards, costs of insurance or of any contributions to any reserve covering the risk of loss of, or damage to, property and equipment must be specifically required or approved by the Federal Government (OMB Circular A-21, Section J-25).

Additionally, OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations*, requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure expenditures are allowable in accordance with program regulations.

In discussing these conditions with University officials, they stated they believed the \$1,193 charge was an allowable expenditure.

Failure to properly determine the allowability of costs in accordance with program regulations may result in costs inconsistent with program objectives being charged to federal programs. (Finding Code 09-19)

Recommendation:

We recommend the University implement procedures to ensure only expenditures made for allowable costs are claimed.

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Year ended June 30, 2009

Current Findings – Federal Compliance

University Response:

Accepted. The questioned costs will be transferred and in the future, insurance expenditures will be charged to non-federal funds.

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Year ended June 30, 2009

Current Findings – Federal Compliance

Federal Agency: US Department of Agriculture (USDA)
US Department of Education (USDE)

Program Name: Cooperative Extension Services
National Resource Centers Program for Foreign Language and Area Studies or Foreign
Language and International Studies Program and Foreign Language and Area Studies
Fellowship Program (Foreign Language)

CFDA # and Program Expenditures: 10.500 (\$11,027,702)
84.015 (\$3,260,797)

Award Numbers: 2008-411000-01300/2009-411000-01300 (10.500)
P015A060091 (84.015)

Questioned Costs: \$2,120

Finding 09-20 *Inadequate Supporting Documentation for Interdepartmental Charges*

Adequate supporting documentation does not exist to substantiate interdepartmental services charged to the Foreign Language and Cooperative Extension Services programs.

During our review of 90 other than personal services expenditures charged to the Foreign Language and Cooperative Extension Services programs totaling \$111,036 and \$706,771, respectively, we noted adequate supporting documentation does not exist to substantiate two interdepartmental service charges. Specifically, we noted the University charged CD duplication fees of \$2,000 to the Foreign Language program based upon an unsubstantiated hourly rate of \$40. The University also charged CD purchases of \$120 to the cooperative extension services program based on an unsubstantiated rate of \$8 per CD. These expenditures represent direct charges to the program and the University was unable to demonstrate that the amounts charged to the federal programs were based on the actual costs associated with their production. Total other than personal services expenditures charged to the Foreign Language and Cooperative Extension Services programs were \$495,735 and \$4,613,651 during the year ended June 30, 2009.

OMB Circular A-21, *Cost Principles for Higher Education Institutions*, establishes principles and standards for determining costs for federal awards carried out through grants, cost reimbursements contracts, and other agreements with higher education institutions. To be allowable under federal awards, costs must meet certain general criteria. Those criteria, among other things, require that the expenditures must be allocable, reasonable, and supported by adequate documentation.

Additionally, OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations*, requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure all expenditures are properly supported in accordance with OMB Circular A-21.

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Current Findings – Federal Compliance

In discussing these conditions with University officials, they stated they believe the charges are allowable and adequately supported.

Failure to properly document expenditures charged to the University's federal programs results in unallowable costs. (Finding Code 09-20)

Recommendation:

We recommend the University implement procedures to ensure all expenditures charged to the federal programs are adequately supported and based on actual costs incurred.

University Response:

Accepted. The University believes adequate documentation to support the charges in question exists. However, it will review supporting documentation requirements within internal cost justification procedures.

The \$2,000 questioned charge supported production of a video for the Foreign Language Program (approximately \$1460/month) and CD duplication (approximately \$398/month); the amounts charged were based on published rates. The University provided an itemized billing and the published rates to support the charges.

The \$120 questioned charge supported the purchase of 16 copies of the "Schools Online" CD, an educational tool for the CES Program used in a teacher professional development session conducted in Montgomery County. The University provided a copy of the invoice and the published rates to support the charge.

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Year ended June 30, 2009

Current Findings – Federal Compliance

Federal Agency: US Department of Agriculture (USDA)

Program Name: Supplemental Nutrition Assistance Program (SNAP)

CFDA # and Program Expenditures: 10.551/10.561 (\$8,061,545)

Award Numbers: 81X6287000

Questioned Costs: None

Finding 09-21 *Inaccurate Quarterly Expenditure Reports Prepared for the SNAP Program*

The University did not accurately report federal expenditures in quarterly reports for the SNAP Program submitted to the Illinois Department of Human Services (IDHS).

The University is required to prepare a quarterly expenditure report for the SNAP program which identifies the expenditures incurred to date under the federal award and used to meet the matching requirement. The quarterly expenditure reports are used by IDHS to determine the amount to be reimbursed to the University (reported on the line item “Total IDHS Share”) and to monitor the University’s progress towards the matching requirement.

During our testwork over the quarterly expenditure report submitted for the quarter ended December 31, 2008, we noted the University improperly reported the “Total IDHS Share” line item as half of the combined amounts of federal and matching expenditures. As a result, the “Total IDHS Share” line item was overstated by \$321,891 for the quarter ended December 31, 2008. Upon review of the quarterly reports submitted during the University’s fiscal year, we noted the following differences in the amounts reported for the “Total IDHS Share line” item:

Quarter Ended	Amount Reported	Correct Amount	Difference
December 31, 2008	1,348,163	1,026,272	321,891
March 31, 2009	1,638,883	1,374,579	264,304
June 30, 2009	1,849,551	2,435,745	(586,194)

The University indicated that IDHS requested these amounts to be reported this way as the federal expenditures and matching expenditures all qualify for federal reimbursement; however, the matching expenditures include in-kind contributions from local governments which are not allowed to be reimbursed from federal sources.

The Illinois Food Stamp Nutrition Education Plan/Supplemental Nutrition Assistance Program Nutrition Education and the grant agreement between IDHS and the University, require the University to provide matching expenditures (cost share) of \$10,003,560 from non-federal sources.

Additionally, OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations*, requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with

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Current Findings – Federal Compliance

federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure quarterly expenditure reports accurately report the grantor's share of expenditures.

In discussing these conditions with University officials, they stated they believe the data in the table is inaccurate, and it does not follow the billing methodology that is approved by the funding agency.

Failure to prepare accurate reports inhibits the ability of the grantor to properly monitor and evaluate the performance of the program activities. (Finding Code 09-21)

Recommendation:

We recommend the University implement procedures to ensure the quarterly expenditure reports accurately reflect the grantor's share of expenditures.

University Response:

Not accepted. IDHS approved the University's billing and reporting methodology for the federal expenditures on this program. Under this program, the mandatory cost-share is a one-to-one match of direct expenditures, not the \$10 million matching requirement as stated in the finding. The federal expenditures on this program are accurately reported; the data in the table for this finding is inaccurate because it does not follow the billing methodology approved by the funding agency, IDHS.

Auditors' Comment:

As discussed in the finding above, we believe the report is inaccurate and results in the improper reimbursement of expenditures under the SNAP program. Additionally, the grant agreement between IDHS and the University requires the University to provide matching expenditures (cost share) of \$10,003,560 from non-federal sources over the term of the grant, which covers more than the current year. Of this amount, an allocable portion for the current year based on a one-to-one ratio is \$8.1 million.

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Current Findings – Federal Compliance

Federal Agency: US Department of Agriculture (USDA)
US Department of Defense (USDOD)
US Department of Housing and Urban Development (USHUD)
US Department of Commerce (USDOC)
US Department of the Interior (USDOI)
US Department of Justice (USDOJ)
US Department of Transportation (USDOT)
US Library of Congress (USLOC)
National Aeronautics and Space Administration (NASA)
US Department of Veterans Affairs (USDVA)
National Science Foundation (NSF)
US Environmental Protection Agency (USEPA)
US Department of Energy (USDOE)
US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)
Corporation for National and Community Service (CNCS)
US Social Security Administration (USSSA)
US Department of Homeland Security (USDHS)
US Agency for International Development (USAID)

Program Name: Research and Development Cluster

CFDA # and Program Expenditures: Various (\$495,076,709)

Award Numbers: Various

Questioned Costs: None

Finding 09-22 *Inaccurate Amounts Reported in Annual Financial Status Reports*

The University did not accurately report indirect costs in its annual financial status reports submitted for the Research and Development Cluster program.

The University is required to prepare periodic expenditure reports for its Research and Development Cluster program awards. These reports are intended to identify the federal expenditures and unliquidated obligations for the grant during the period reported, as well as the indirect cost base, the applicable indirect cost rate, and amount of indirect costs attributable to the award. During our testwork over 30 Research and Development Cluster program awards, we selected twelve annual financial status reports submitted during the fiscal year ended June 30, 2009. We noted the indirect cost base reported in one report tested (for the Urbana campus) was calculated using inception to date expenditures instead of expenditures for the period covered by the report which resulted in an overstatement of the indirect cost base of \$386,199. Consequently, the amount of indirect costs reported for the period was overstated by \$204,685.

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Year ended June 30, 2009

Current Findings – Federal Compliance

OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations* (OMB Circular A-110) and the grant agreements, contracts, and other award documents for the major programs identified above, require the University to prepare periodic Financial Status Reports (SF-269 (OMB No. 0348-0039)). The instructions for the financial status report require information relative to indirect costs charged to the federal programs to be presented for the current reporting period. OMB Circular A-110 also requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure data reported in financial status reports is accurate.

In discussing these conditions with University officials, they stated the exception noted was due to human error and impacted only one interim financial status report for a National Institute of Health (NIH) award.

Failure to prepare accurate reports inhibits the ability of the grantor to properly monitor and evaluate the performance of the program activities. (Finding Code 09-22)

Recommendation:

We recommend the University implement procedures to ensure the financial status reports submitted for its federal awards are accurate.

University Response:

Accepted. The exception noted was due to human error and impacted only one interim financial status report (FSR) for a National Institute of Health (NIH) award. The misstatement in Section 11 of the standard form 269 FSR incorrectly noted the F&A basis and costs on an inception-to-date basis rather than an annual basis. The University disagrees that this finding applies to every agency within the R&D cluster. This single report error cannot be classified as a systemic or overall procedural error, and did not result in the reporting of any excess costs to the sponsor.

The affected agency's guidelines include the following statement, "There may be instances where the grantee is required to revise or amend a previously submitted FSR." This text constitutes the agency's recognition that errors will occasionally occur, and their expectation that the reports will be subsequently corrected upon discovery of the error. In this instance, a revised FSR was submitted to the sponsor within the approved timeframe for completing the revision. The appropriate personnel were given refresher training on this topic.

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Schedule of Findings and Questioned Costs

Year ended June 30, 2009

Current Findings – Federal Compliance

Federal Agency: US Department of Agriculture (USDA)

Program Name: Cooperative Extension Services

CFDA # and Program Expenditures: 10.500 (\$11,027,702)

Award Numbers: 2008-41100-01300/2009-41100-01300

Questioned Costs: None

Finding 09-23 *Inadequate Cash Management Procedures*

The University does not have adequate procedures in place to ensure cash draws are properly calculated for the Cooperative Extension Services (CES) program.

The University draws funds for the CES program on a reimbursement basis. During our testwork over 30 cash draws, we noted two draws on September 1, 2008 for which the University drew in excess of the available unreimbursed expenditures. Specifically, we noted the following:

Project	Actual Draw Amount	Available Unreimbursed Expenditures	Difference (Over Draw)
1601108	\$1,052,000	\$153,023	\$898,977
1601608	143,000	14,274	128,726

Upon further discussion with management, it was determined the over draws occurred because the prior draw in August 2008 was not recorded (posted) to the applicable federal projects in the general ledger until after the draws above were calculated. Additionally, it does not appear that an adequate supervisory review was performed to ensure the draw calculations were properly performed.

OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations*, requires nonfederal entities to minimize the time elapsing between the transfer of funds and disbursement by the recipient. Additionally, OMB Circular A-110 requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure cash draws are properly performed in accordance with the provisions of the grant agreement and Federal regulations.

In discussing these conditions with University officials, they stated a mistake was made in normal draw procedures due to human error, but normal procedures and supervisory review procedures are adequate an in compliance with requirements of OMB Circular A-110.

Failure to establish adequate cash management procedures may result in noncompliance with Federal regulations and an interest liability to the U.S. Department of Agriculture. (Finding Code 09-23)

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Year ended June 30, 2009

Current Findings – Federal Compliance

Recommendation:

We recommend the University implement procedures to minimize the time elapsing between the drawdown of Federal funds and the disbursement of those funds in accordance with federal regulations and University policies and procedures.

University Response:

Accepted. The University agrees a mistake was made in normal draw procedures due to human error. The University does not agree supervisory review was inadequate, or that provisions of OMB Circular A-110 were violated. When the error was identified, process changes were made to ensure the previous draw was recorded in Banner before the next draw was performed. This process change ensures the claim on cash balances in Banner (and the unbilled receivables) would then be accurate.

The objective of supervisory review is to provide reasonable assurance that processes are performed as intended. The extent of review is influenced by many factors, including, complexity of the process, risk and consequences of error, experience of the preparer, and other controls in place that will catch an error. In this case, the error resulted from a timing difference that would not have been discovered by a supervisory review of the draw process and would only have been detected by performing a detailed analysis of the underlying data.

This error was discovered and corrected by the University in September 2008 and before the start of FY09 audit testing. In addition, another control process, the monthly bank reconciliation for September 2008, would have identified the error if it had not been already been discovered and corrected.

Circular A-110 requires internal controls be designed and maintained to reasonably ensure compliance with laws, regulations and program requirements. The University believes the controls described above provide such reasonable assurance.

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Schedule of Findings and Questioned Costs

Year ended June 30, 2009

Current Findings – Federal Compliance

Federal Agency: US Department of Agriculture (USDA)
US Department of Defense (USDOD)
US Department of Housing and Urban Development (USHUD)
US Department of Commerce (USDOC)
US Department of the Interior (USDOI)
US Department of Justice (USDOJ)
US Department of Transportation (USDOT)
US Library of Congress (USLOC)
National Aeronautics and Space Administration (NASA)
US Department of Veterans Affairs (USDVA)
National Science Foundation (NSF)
US Environmental Protection Agency (USEPA)
US Department of Energy (USDOE)
US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)
Corporation for National and Community Service (CNCS)
US Social Security Administration (USSSA)
US Department of Homeland Security (USDHS)
US Agency for International Development (USAID)

Program Name: Research and Development Cluster
Cooperative Extension Services

CFDA # and Program Expenditures: Various (\$495,076,709)
10.500 (\$11,027,702)

Award Numbers: Various (R&D)
S09148/H408906008/00078140/8000026125-AG/25-6324-0081-02/3018104479-09-027/08-
HHP-374648-0008/H408904313/25-6365-0023-110/S08030/S08064/61-5421B/25-6324-
0053-311/Q4098043401/25-6365-0020-149 (10.500)

Questioned Costs: None

Finding 09-24 *Failure to Follow Property Management Regulations*

The University did not consistently follow property management regulations relative to equipment purchased with federal funding from the Research and Development Cluster and Cooperative Extension Services programs.

The University conducts research and extension services in multiple locations on each of its three campuses, as well as at off-campus locations throughout the State. Equipment items purchased with federal funds are utilized at each of these locations. The University identifies all equipment in its property management records with individually assigned asset numbers and each individual asset record includes the specific location of the asset, the federal award general ledger account number which funded the purchase of the asset, and other required

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Year ended June 30, 2009

Current Findings – Federal Compliance

information. An asset tag with the assigned asset number is affixed to each asset in accordance with University policy and State Property Management Regulations.

During our physical observation of 30 pieces of equipment purchased with Research and Development Cluster funds and 34 pieces of equipment purchased with Cooperative Extension Services Program funds, we noted the following:

- One item included on the Research and Development Cluster inventory list (with a cost value of \$4,290) and four items included on the Cooperative Extension Services inventory list (with cost values totaling \$18,427) could not be located for observation.
- Two items included on the Research and Development Cluster inventory list (with cost values totaling \$26,049) and one item included on the Cooperative Extension Services inventory list (with a cost value totaling \$6,685) did not have the property control tags affixed to the assets.

As of June 30, 2009, the cost value of equipment purchased with Research and Development Cluster and Cooperative Extension Services Program funds was \$235,751,416 and \$6,145,004, respectively.

OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations* (OMB Circular A-110) requires that equipment be used in the program which acquired it or, when appropriate, other federal programs. Additionally, OMB Circular A-110 requires the University to implement an appropriate control system to safeguard equipment and to ensure equipment is adequately maintained.

Additionally, OMB Circular A-110 requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure equipment inventory records are accurate and equipment items are properly tagged.

In discussing these conditions with University officials, they stated extensive policies and procedures are in place to ensure control over equipment. The property accounting records of the University had identified the items as being within the assigned units; however, the University could not locate certain items during the audit for several reasons. Some of these items were more than 10 years old and may have been disposed of by the units without notifying University Property Accounting. Other items could not be located in the relatively short time available during the audit, in part because of the large size and highly decentralized nature of the University; these may be located in a subsequent inventory cycle. Regarding cases of inventory tags not being affixed to certain assets, University management stated that some of these instances can be challenging to manage. They noted one instance of a missing tag related to an equipment item used in a swine barn that is exposed to farm animals and animal waste. In another instance, the equipment item with the missing tag was subject to hygienic and sanitary protocols requiring disinfecting after each use, which deteriorated the tag adhesive.

Failure to maintain accurate property records and tag equipment purchased with federal funds prohibits the University from properly safeguarding and maintaining equipment and may result in federal programs not receiving the appropriate share of proceeds from the disposals of equipment purchased with federal funds. (Finding Code 09-24)

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Year ended June 30, 2009

Current Findings – Federal Compliance

Recommendation:

We recommend the University implement procedures to ensure property records accurately reflect equipment on-hand and to ensure equipment items are properly tagged in accordance with property management regulations.

University Response:

Accepted. The University will continue its ongoing efforts to ensure that its property control policies/procedures are followed and identify opportunities for improvement.

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Schedule of Findings and Questioned Costs

Year ended June 30, 2009

Current Findings – Federal Compliance

Federal Agency: US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)

Program Name: Student Financial Assistance Cluster

CFDA # and Program Expenditures: 84.007/84.032/84.033/84.038/84.063/84.268/84.375/
84.376/84.379/93.264/93.342/93.364/93.925 (\$464,703,423)

Award Numbers: P379T090118/T08HP09344A/P376S080118/P063P080118/P007A081306/
P007A071306AY07-08/P063P07118AY07-08/P375A07118/P379T090119/
T08HP094590100/P379T093182/P375A083182/P376S083182/P268K080118/
P268K090118 (84.007/84.032/84.033/84.038/84.063/84.268/84.375/84.376/84.379/93.26
4/ 93.342/93.364/93.925)

Questioned Costs: \$10,459

Finding 09-25 *Failure to Properly Complete Required Verification Procedures*

The University did not properly perform verification procedures for students at the Urbana campus.

In order to validate the accuracy of information reported by applicants for financial aid, USDE requires schools participating in federal student financial assistance programs to perform procedures to verify the accuracy of student financial and household information used to compute the expected family contribution (EFC) of each applicant. The EFC is used by the schools to determine the types and amounts of federal student financial aid each student is eligible to receive. USDE selects students for verification and schools are required to establish and follow a verification policy that complies with the federal regulations.

The University verifies the Institutional Student Information Records (ISIR) for all students selected by the USDE central processor at its Urbana and Springfield campuses and operates a quality assurance program at its Chicago campus. As such, the financial aid system has been designed to place a hold flag on the student awards for all students selected by the central processor for verification at the Urbana and Springfield campuses and for students meeting the verification selection criteria established by the University at the Chicago campus. The hold flag prevents disbursement of financial aid awards until the flag is manually removed by a financial aid counselor upon completion of the verification procedures.

During our testwork over 85 students (45 from Urbana, 15 from Chicago, and 25 from Springfield) selected for verification, we noted the following exceptions:

- Untaxed income of \$5,317 reported on the verification worksheet by one Urbana student was not included on the original ISIR and was not corrected by the University. As a result, the EFC for this student was understated by \$547 and the student's Pell grant was overawarded by \$600.
- The parental foreign tax return submitted for one Urbana student was not translated to determine whether or not income was earned by the parent or if the adjusted gross income (AGI) amount reported was accurate. Federal awards disbursed to this individual were \$9,859.

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Year ended June 30, 2009

Current Findings – Federal Compliance

- The AGI reported on the ISIR for one Urbana student selected for testwork was overstated by \$1,000 which resulted in an overstatement of the student's EFC by \$212. Consequently, the student's Pell grant was underawarded by \$200.

During the year ended June 30, 2009, there were 7,956 students subject to verification procedures at all three of the University's campuses.

An institution shall require each applicant whose application is selected by the central processor, based on edits specified by USDE, to verify the items specified in 34 CFR section 668.56. The institution shall also require applicants to verify any information used to calculate expected family contribution (EFC) it has reason to believe is inaccurate.

OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations*, requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include establishing procedures to ensure required documentation used to verify student information is obtained and evaluated prior to disbursement of Title IV funds. Additionally, corrections should be made as required by federal regulations and documentation of the performance of verification procedures should be maintained in the student's financial aid file.

In discussing these conditions with University officials, they stated the cause was human error.

Failure to properly perform verification procedures in accordance with federal regulations may result in students receiving awards for which they are not eligible which would result in unallowable costs or may result in students not receiving awards that they may be entitled to receive. (Finding Code 09-25)

Recommendation:

We recommend the University implement additional procedures to ensure adequate supporting documentation is obtained and evaluated by financial aid counselors prior to disbursing federal funds to students selected for verification.

University Response:

Accepted. The Office of Student Financial Aid believes the student mentioned in bullet #2 of the finding was eligible to receive the federal awards disbursed in the amount of \$9,859; however, the Office accepts the recommendation and will review and update procedures to ensure adequate supporting documentation is obtained and evaluated by financial aid staff prior to disbursing federal funds to students selected for verification.

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Year ended June 30, 2009

Current Findings – Federal Compliance

Federal Agency: US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)

Program Name: Student Financial Assistance Cluster

CFDA # and Program Expenditures: 84.007/84.032/84.033/84.038/84.063/84.268/84.375/
84.376/84.379/93.264/93.342/93.364/93.925 (\$464,703,423)

Award Numbers: P379T090118/T08HP09344A/P376S080118/P063P080118/P007A081306/
P007A071306AY07-08/P063P07118AY07-08/P375A07118/P379T090119/
T08HP094590100/P379T093182/P375A083182/P376S083182/P268K080118/
P268K090118 (84.007/84.032/84.033/84.038/84.063/84.268/84.375/84.376/84.379/93.26
4/ 93.342/93.364/93.925)

Questioned Costs: \$3,757

Finding 09-26 *Failure to Perform Return of Fund Calculations*

The University did not perform return of fund calculations as required by federal regulations.

The University is required to determine whether student financial assistance recipients complete the course for which they enroll and receive student financial assistance awards. For those recipients who officially withdraw from their courses and those recipients who withdraw without providing any notification (do not earn any of their planned credit hours), the University is required to determine whether any of the student financial assistance awarded is required to be returned to the federal government. During our testwork over 75 returns of Title IV funds calculations (30 from Urbana, 30 from Chicago, and 15 from Springfield), we noted one instance in which the return of fund calculation for a Springfield campus student who withdrew without providing notification was not completed. The funds required to be returned for this student totaled \$2,226.

The University awarded approximately \$475,480,699 in federal financial assistance to students during the year ended June 30, 2009.

According to 34 CFR 668.22(j)(2), an institution must determine the withdrawal date for a student who withdraws without providing notification to the institution no later than 30 days after the end of the earlier of the (1) payment period or period of enrollment; (2) academic year in which the student withdrew; or (3) educational program from which the student withdrew. Additionally, OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations*, requires nonfederal entities receiving awards to establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal control should include procedures to ensure returns of Title IV funds are performed in accordance with program regulations.

In discussing these conditions with University officials, they stated the RT24 calculation was done, but due to human error was never entered into the system to generate the return of funds to the appropriate aid program.

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Schedule of Findings and Questioned Costs

Year ended June 30, 2009

Current Findings – Federal Compliance

Failure to perform all required return of funds calculation results in unallowable costs being charged to federal programs. (Finding Code 09-26)

Recommendation:

We recommend the University review its procedures for identifying students who withdraw without notification to ensure all required return of fund calculations are performed.

University Response:

Accepted. The University agrees to review procedures for identifying students who withdraw without notification to ensure all required return of fund calculations are performed.

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Schedule of Findings and Questioned Costs

Year ended June 30, 2009

Current Findings – Federal Compliance

Federal Agency: US Department of Education (USDE)

Program Name: Student Financial Assistance Cluster

CFDA # and Program Expenditures: 84.007/84.032/84.033/84.038/84.063/84.268/84.375/
84.376/84.379/93.264/93.342/93.364/93.925 (\$464,703,423)

Award Numbers: None (84.032)
P268K090118/P268K080118 (84.268)

Questioned Costs: None

Finding 09-27 *Inaccurate and Untimely Reporting of Student Status Changes*

The University did not submit changes in the enrollment status of borrowers under the Federal Family Education Loan (FFEL) and Federal Direct Loan (Direct Loan) programs within required timeframes.

The University is required to report changes in a student's enrollment status to the National Student Loan Data System (NSLDS) on a periodic basis to ensure student borrowers of the FFEL and Direct Loan programs begin repaying their federal loans in a timely manner. During our testwork over 15 borrowers of the FFEL program and 60 borrowers under the Direct Loan program that graduated, withdrew, or dropped out during the fiscal year, we noted the following:

- Seven status changes for withdrawn students under the FFEL program at the Springfield campus were inaccurately reported to NSLDS as full-time (F), half-time (H), or less than half-time (L) status rather than as withdrawn (W) status. Upon further review, we noted these items pertain to students who withdrew or dropped out in the Spring and Summer semesters and it is the Springfield campus' policy to report withdrawals in the first reporting period in the following semester after they confirm the student does not enroll.
- Four status changes for withdrawn students under the Direct Loan program at the Urbana campus were inaccurately reported to National Student Loan Data System (NSLDS) as full-time (F), half-time (H), or less than half-time (L) status rather than as withdrawn (W) status. Upon further review, we noted these items relate to students who have dropped out and it is the Urbana campus' practice to report unofficial withdrawals (students who drop out) in the first reporting period in the following semester after they confirm the student does not enroll.
- Two status changes for withdrawn students under the FFEL program were not reported within the required timeframes. Delays in reporting these status changes were one and two days.
- Five status changes for withdrawn students under the Direct Loan program were not reported within the required timeframes. Delays in reporting these status changes ranged from 42 to 122 days.

The University disbursed loans totaling \$16,907,306 and \$370,601,732 to borrowers under the FFEL and Direct Loan programs, respectively, during the year ended June 30, 2009.

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Year ended June 30, 2009

Current Findings – Federal Compliance

According to 34 CFR 682.610 and 34 CFR 685.309, the University is required to notify the NSLDS of changes in a student borrower's status within 30 days of discovering the change, unless the University expects to complete its next Roster File within 60 days. In addition, OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations* requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure student status changes are accurately reported in a timely manner.

In discussing these conditions with University officials, they stated the Office of Records and Registration reports enrollment status information for the campus. Current University policy does not allow administrative withdrawals without student's request.

Failure to accurately report student status changes to NSLDS in a timely manner may result in FFEL and Direct Loan borrowers not entering repayment status at the appropriate time. (Finding Code 09-27)

Recommendation:

We recommend the University implement procedures to ensure submissions to NSLDS are accurately reported within the required timeframe for all FFEL and Direct Loan borrowers.

University Response:

Accepted. The University will review procedures to ensure submissions to NSLDS are accurately reported within the required timeframe for all FFEL and Direct Loan borrowers.

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Schedule of Findings and Questioned Costs

Year ended June 30, 2009

Current Findings – Federal Compliance

Federal Agency: US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)

Program Name: Student Financial Assistance Cluster

CFDA # and Program Expenditures: 84.007/84.032/84.033/84.038/84.063/84.268/84.375/
84.376/84.379/93.264/93.342/93.364/93.925 (\$464,703,423)

Award Numbers: P379T090118/T08HP09344A/P376S080118/P063P080118/P007A081306/
P007A071306AY07-08/P063P07118AY07-08/P375A07118/P379T090119/
T08HP094590100/P379T093182/P375A083182/P376S083182/P268K080118/
P268K090118 (84.007/84.032/84.033/84.038/84.063/84.268/84.375/84.376/84.379/93.26
4/ 93.342/93.364/93.925)

Questioned Costs: None

Finding 09-28 *Failure to Obtain Written Agreements with Third Party Institutions*

The University did not obtain written agreements for all third party institutions as required by federal regulations.

The University has several arrangements whereby portions of the University's academic programs are provided by other institutions of higher education. Such arrangements primarily consist of foreign exchange programs operated by the University. During our testwork over 30 students (19 from Urbana, 10 from Chicago, and one from Springfield) participating in study abroad programs, we noted written agreements were not available for three institutions attended by students from the Chicago campus.

According to Volume 2 Chapter 7 of the 2008-2009 Federal Student Aid Handbook, a study abroad program must be part of a written contractual or consortium agreement between two or more schools. In addition, OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations*, requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include ensuring written agreements are obtained when required.

In discussing these conditions with University officials, they stated the University interpreted the federal codes as not requiring written agreements with third party institutions when financial aid is disbursed directly to a student who remains fully enrolled at UIC for resident credit toward graduation, and who participates on a university sponsored study abroad program. The University does not disburse any federal financial aid funds to host institutions.

Failure to obtain required written agreements with all third party institutions with which the University offers educational programs may result in student financial aid recipients participating in programs with ineligible institutions. (Finding Code 09-28)

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Schedule of Findings and Questioned Costs

Year ended June 30, 2009

Current Findings – Federal Compliance

Recommendation:

We recommend the University verify written agreements are in place for all third party institutions as required by federal regulations.

University Response:

Accepted.

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Schedule of Findings and Questioned Costs

Year ended June 30, 2009

Current Findings – Federal Compliance

Federal Agency: US Department of Education (USDE)

Program Name: Student Financial Assistance Cluster

CFDA # and Program Expenditures: 84.007/84.032/84.033/84.038/84.063/84.268/84.375/
84.376/84.379/93.264/93.342/93.364/93.925 (\$464,703,423)

Award Numbers: P379T090118/T08HP09344A/P376S080118/P063P080118/P007A081306/
P007A071306AY07-08/P063P07118AY07-08/P375A07118/P379T090119/
T08HP094590100/P379T093182/P375A083182/P376S083182/P268K080118/
P268K090118 (84.007/84.032/84.033/84.038/84.063/84.268/84.375/84.376/84.379/93.26
4/ 93.342/93.364/93.925)

Questioned Costs: None

Finding 09-29 *Inaccurate Amounts in the Fiscal Operations Report and Application to Participate*

The University did not accurately report amounts in the Fiscal Operations Report and Application to Participate (FISAP) submitted for the Springfield campus.

The University is required to report financial and participant information for the Federal Supplemental Educational Opportunity Grants, Federal Work-Study, and Federal Perkins Loan programs in the annual FISAP report. During our testwork over the FISAPs submitted for the year ended June 30, 2008 (submitted in 2009), we noted the amounts reported for the administrative cost allowance and collection costs for the Federal Perkins Loan program did not agree to the supporting schedules or system data used to generate the reports submitted for the Springfield campus. The differences identified are as follows:

Line Item and Campus	Amounts		Difference
	Actual	Reported	
Administrative Cost Allowance	75,402	73,697	1,705
Collection Costs	85,758	87,463	(1,705)

According to 34 CFR 673.3, an institution is required to file an application to participate in the Federal Perkins Loan, Federal Work-Study, and Federal Supplemental Educational Opportunity Grants programs which includes all information required by the USDE. In addition, OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations* requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure amounts reported on the FISAP are accurate.

In discussing these conditions with University officials, they stated the cause was human error.

Failure to prepare accurate reports inhibits the ability of the USDE to properly monitor and evaluate the performance of the programs. (Finding Code 09-29)

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Schedule of Findings and Questioned Costs

Year ended June 30, 2009

Current Findings – Federal Compliance

Recommendation:

We recommend the University implement procedures to ensure the information reported in its FISAP is accurate.

University Response:

Accepted. The University believes adequate procedures are in place to ensure FISAP information is reported correctly; there was human error involved in the inaccurate report submitted for the Springfield campus. The University will continue careful oversight of the correct reporting of this information.

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Year ended June 30, 2009

Current Findings – Federal Compliance

Federal Agency: US Department of Agriculture (USDA)
US Department of Defense (USDOD)
US Department of Housing and Urban Development (USHUD)
US Department of Commerce (USDOC)
US Department of the Interior (USDOI)
US Department of Justice (USDOJ)
US Department of Transportation (USDOT)
US Library of Congress (USLOC)
National Aeronautics and Space Administration (NASA)
US Department of Veterans Affairs (USDVA)
National Science Foundation (NSF)
US Environmental Protection Agency (USEPA)
US Department of Energy (USDOE)
US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)
Corporation for National and Community Service (CNCS)
US Social Security Administration (USSSA)
US Department of Homeland Security (USDHS)
US Agency for International Development (USAID)

Program Name: Research and Development Cluster

CFDA # and Program Expenditures: Various (\$495,076,709)

Award Numbers: Various

Questioned Costs: None

Finding 09-30 *Failure to Notify Subrecipients of Federal Funding*

The University did not provide required program information relative to federal funds passed through to the subrecipients of the Research and Development Cluster programs for the year ended June 30, 2009.

During our testwork of 30 subrecipients who received \$11,515,251 of the Research and Development Cluster program funds, we noted the University did not communicate the specific program name or CFDA number under which federal funding had been provided in grant award documents or in funding notification letters sent to subrecipients for four subrecipients of the Urbana campus and two subrecipients of the Chicago campus. Subrecipient expenditures under the Research and Development Cluster program for the year ended June 30, 2009 were \$52,770,488.

According to OMB Circular A-133 §__400(d), a pass-through entity is required to identify federal awards made by informing each subrecipient of the CFDA title and number, award name and number, and award year. The pass through entity is also required to advise subrecipients of requirements imposed on them by federal laws and regulations. OMB Circular A-110 also requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program

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Schedule of Findings and Questioned Costs

Year ended June 30, 2009

Current Findings – Federal Compliance

compliance requirements. Effective internal controls should include procedures to ensure subrecipient award communications contain all required information.

In discussing these conditions with University officials, they stated the CFDA number is provided on subrecipient agreements when it is available at the time the subaward is executed, or it is provided later, when it is available. If the CFDA number is not available, initially, other information describing the project is outlined in the sub agreement, as allowed in OMB Circular A-133.

Failure to inform subrecipients of federal award information could result in subrecipients improperly omitting expenditures from their schedule of expenditures of federal awards, expending federal funds for unallowable purposes, or not receiving a single audit in accordance with OMB Circular A-133. (Finding Code 09-30)

Recommendation:

We recommend the University review its current process for preparing subrecipient funding notifications to ensure all required information is properly communicated to its subrecipients.

University Response:

Accepted. The University routinely informs subrecipients of federal pass through funds by indicating the CFDA number in the subrecipient agreement when the CFDA number is available at the time the subaward is executed. However, there are times when the CFDA number is not available at the time the initial award is executed and must be communicated later. In those cases, other information describing the project is outlined in the sub agreement, as allowed by OMB Circular A-133.

OMB Circular A-133 requires the pass through entity to inform subrecipients of the CFDA information, but does not prescribe the method of disclosure or presentation within the subaward documents. When some of this information is not available, A-133 states ‘the pass-through entity shall provide the best information available to describe the Federal award’.

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Year ended June 30, 2009

Current Findings – Federal Compliance

Federal Agency: US Department of Agriculture (USDA)
US Department of Defense (USDOD)
US Department of Housing and Urban Development (USHUD)
US Department of Commerce (USDOC)
US Department of the Interior (USDOJ)
US Department of Justice (USDOJ)
US Department of Transportation (USDOT)
US Library of Congress (USLOC)
National Aeronautics and Space Administration (NASA)
US Department of Veterans Affairs (USDVA)
National Science Foundation (NSF)
US Environmental Protection Agency (USEPA)
US Department of Energy (USDOE)
US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)
Corporation for National and Community Service (CNCS)
US Social Security Administration (USSSA)
US Department of Homeland Security (USDHS)
US Agency for International Development (USAID)

Program Name: Research and Development Cluster
Cooperative Extension Services
Supplemental Nutrition Assistance Program (SNAP)
Education and Human Resources
National Resource Centers Program for Foreign Language and Area Studies or Foreign
Language and International Studies Program and Foreign Language and Area Studies
Fellowship Program (Foreign Language)
AIDS Education and Training Centers (AIDS)
Maternal and Child Health Services Block Grant to the States (MCH Block Grant)

CFDA # and Program Expenditures: Various (\$495,076,709)
10.500 (\$11,027,702)
10.551/10.561 (\$8,061,545)
47.076 (\$3,966,723)
84.015 (\$3,260,797)
93.145 (\$3,197,968)
93.994 (\$7,067,476)

Award Numbers: Various (R&D)
S09148/H408906008/00078140/8000026125-AG/25-6324-0081-02/3018104479-09-027/08-
HHP-374648-0008/H408904313/25-6365-0023-110/S08030/S08064/61-5421B/25-6324-
0053-311/Q4098043401/25-6365-0020-149 (10.500)
81X6287000 (10.551/10.561)

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Year ended June 30, 2009

Current Findings – Federal Compliance

08-31820/08-30884/08-17185/07-37002/06-22573/07-15088/X256981/03-38215/08-50213/
53636/HRD-0413000/P23253-HRD-413000/05-49245/05-35864/Y410676/03-38328/03-
02119/07-02872 (47.076)

DESUBSCALI2008/P015A060091/P015A060066/P015B060066/P015A060013/
P015A0600115/P015A060171/P015A060041/P015A060013/P015A060136/
P015B0600115/ P015B060171/ P015B060091/P015B030141 (84.015)

H-F4-ETH-08-P-PTR-JASW-00/H-F4-TAN-08-P-PTR-GLDS/H-F3-TAN-07-P-PTR-JASW/
5219SC/3H4AHA00062-07-01 (93.145)

2009-00880/11G6517000/K11G6517120WZ/11G6788000 (93.994)

Questioned Costs: None

Finding 09-31 *Inadequate Controls Over Federal Expenditures Paid with Procurement Cards*

The University has not established adequate internal controls over federal expenditures made with procurement cards.

The University operates a procurement card program which allows individuals throughout the University to make smaller purchases (defined as less than \$4,999) on a credit card which is directly reimbursed by the University on a monthly basis. The University's policies require individuals assigned a procurement card to sign an agreement stipulating they will use the card in accordance with University policy. This agreement is also required to be authorized by the individual's supervisor or the department head. The University's policies require transactions incurred on the procurement card to be approved in the University's procurement card system by the individual cardholder and an assigned reviewer. Although the University has established policies and procedures for issuing procurement cards, incurring and paying for expenditures with procurement cards, and reviewing and approving of procurement card transactions, we noted these policies and procedures were not properly designed to prevent erroneous charges from being paid by the University and were not followed consistently by University personnel.

Specifically, we noted the procurement card system is configured to automatically record transactions in the general ledger to pre-assigned accounts, including federally funded accounts (auto-reconciled) if the cardholder and/or assigned reviewer have not approved the respective transactions within seven days. The configuration of the system is inconsistent with the University policy that requires both the cardholder and reviewer to approve all procurement card transactions. We noted there are 537 federally funded accounts which have been established as default accounts for procurement cards. The federal expenditures paid with procurement cards for these default accounts were \$3,177,117 during the year ended June 30, 2009.

OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations*, requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure procurement card transactions are appropriately reviewed and approved to avoid erroneous or duplicate transactions from being paid and recorded.

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Year ended June 30, 2009

Current Findings – Federal Compliance

In discussing these conditions with University officials, they stated that best business practice would be to not allow a grant fund to be the default fund for P-Card transactions.

Failure to properly review and approve procurement card transactions could result in unallowable costs being charged to the University's federal programs. (Finding Code 09-31)

Recommendation:

We recommend the University revise the system configuration to eliminate the auto reconciliation of procurement cards to federally funded accounts.

University Response:

Accepted. The University notes there were no exceptions found with transactions charged to grant funds used as the default for Procurement Cards; however, the University agrees best business practice would be to not allow grant funds to be established as the default fund for these cards except for specific, pre-approved exceptions.

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Year ended June 30, 2009

Current Findings – Federal Compliance

Federal Agency: US Department of Agriculture (USDA)
US Department of Defense (USDOD)
US Department of Housing and Urban Development (USHUD)
US Department of Commerce (USDOC)
US Department of the Interior (USDOJ)
US Department of Justice (USDOJ)
US Department of Transportation (USDOT)
US Library of Congress (USLOC)
National Aeronautics and Space Administration (NASA)
US Department of Veterans Affairs (USDVA)
National Science Foundation (NSF)
US Environmental Protection Agency (USEPA)
US Department of Energy (USDOE)
US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)
Corporation for National and Community Service (CNCS)
US Social Security Administration (USSSA)
US Department of Homeland Security (USDHS)
US Agency for International Development (USAID)

Program Name: Research and Development Cluster
Cooperative Extension Services
Supplemental Nutrition Assistance Program (SNAP)
Education and Human Resources
National Resource Centers Program for Foreign Language and Area Studies or Foreign
Language and International Studies Program and Foreign Language and Area Studies
Fellowship Program (Foreign Language)
AIDS Education and Training Centers (AIDS)
Maternal and Child Health Services Block Grant to the States (MCH Block Grant)

CFDA # and Program Expenditures: Various (\$495,076,709)
10.500 (\$11,027,702)
10.551/10.561 (\$8,061,545)
47.076 (\$3,966,723)
84.015 (\$3,260,797)
93.145 (\$3,197,968)
93.994 (\$7,067,476)

Award Numbers: Various (R&D)
S09148/H408906008/00078140/8000026125-AG/25-6324-0081-02/3018104479-09-027/08-
HHP-374648-0008/H408904313/25-6365-0023-110/S08030/S08064/61-5421B/25-6324-
0053-311/Q4098043401/25-6365-0020-149 (10.500)
Various (R&D)
81X6287000 (10.551/10.561)

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Year ended June 30, 2009

Current Findings – Federal Compliance

08-31820/08-30884/08-17185/07-37002/06-22573/07-15088/X256981/03-38215/08-50213/
53636/HRD-0413000/P23253-HRD-413000/05-49245/05-35864/Y410676/03-38328/03-
02119/07-02872 (47.076)
DESUBSCALI2008/P015A060091/P015A060066/P015B060066/P015A060013/
P015A0600115/P015A060171/P015A060041/P015A060013/P015A060136/
P015B0600115/ P015B060171/ P015B060091/P015B030141 (84.015)
H-F4-ETH-08-P-PTR-JASW-00/H-F4-TAN-08-P-PTR-GLDS/H-F3-TAN-07-P-PTR-JASW/
5219SC/3H4AHA00062-07-01 (93.145)
2009-00880/11G6517000/K11G6517120WZ/11G6788000 (93.994)

Questioned Costs: None

Finding 09-32 *Inadequate Approval Controls for Expenditures*

The University has not established approval controls over expenditures charged to its federal award that are consistently followed by all University units and departments.

University policy requires business documents for the expenditure of funds to be approved (signed) by unit personnel to certify that the transaction is proper and that the individual approving the transaction accepts responsibility for it. Because the University's operations are decentralized, the form of the approvals obtained from the various units and departments varies depending on the practices established within the unit or department and approvals are not consistently obtained prior to charging expenditures to a federal program.

During our testwork over 240 contractual expenditures selected from the Research and Development Cluster, Cooperative Extension Services, SNAP, Foreign Language, AIDS, and MCH Block Grant programs, we noted six expenditures did not have any documented evidence of approval by the unit or department initiating the transaction prior to the expenditure being charged to the federal program. Although the costs associated with these unapproved transactions do not appear to be unallowable, there is a reasonable possibility that unallowable costs may be charged to federal awards and remain undetected. University management indicated these transactions were reviewed by the applicable principal investigator in connection with the distribution of monthly project ledgers; however, this control is not formally documented and could not be tested to determine if it is an appropriate mitigating control.

OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations* (OMB Circular A-110) requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to review and approve expenditures prior to being charged to federal awards.

In discussing these conditions with University officials, they stated University controls are adequate to reasonably ensure unallowable costs are not charged to a federal award in accordance with requirements of OMB Circulars A-133 and A-110.

Failure to review and approve expenditures prior to charging them to federal awards may result in unauthorized and unallowable costs being reimbursed by the federal government. (Finding Code 09-32)

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Year ended June 30, 2009

Current Findings – Federal Compliance

Recommendation:

We recommend the University implement procedures to ensure federal expenditures are reviewed and approved prior to being charged to federal awards.

University Response:

Not Accepted. OMB Circular A-133 -- Compliance Supplement (March 2009) states,

“ The A-102 Common Rule and OMB Circular A-110 (2 CFR part 215) require that non-Federal entities receiving Federal awards (i.e., auditee management) establish and maintain internal control designed to reasonably ensure compliance with Federal laws, regulations, and program compliance requirements..”

Neither OMB Circular A-110 nor the OMB Circular A-133 Compliance Supplement state that effective internal controls should include procedures to ensure federal expenditures are reviewed and approved prior to being charged to federal awards, as recommended in the audit finding.

The A-133 March 2009 Compliance Supplement for "internal control" states:

“Non-Federal entities and their auditors will need to exercise judgment in determining the most appropriate and cost effective internal control in a given environment or circumstance to provide reasonable assurance for compliance with Federal program requirements.”

The University’s controls, which include both prior-transaction approval and post-transaction review, meet the requirements of the various characteristics of internal control as stated in Section .105 Definitions of Circular A-133 and in the A-133 Compliance Supplement. The controls in place provide reasonable assurance of compliance with Federal laws, regulations, and program compliance requirements.

The University’s policy does not require prior written approval on all transactions. This would be an excessive administrative burden, given the thousands of expenditure transactions processed daily at the University. For FY09, there were in excess of 1.6 million transactions posted to sponsored project and federal agriculture appropriation funds. The University’s controls ensure it is reasonably unlikely an unallowable cost would be charged to a federal award and remain undetected. None of the transactions tested were found to be unallowable costs.

Auditors’ Comment:

We believe effective internal control should include a documented review and approval of each expenditure charged to a federal award by a person knowledgeable of the grant requirements. Although we believe the prior review and approval of federal expenditures is a substantially more effective internal control, we acknowledge that there can be limited situations where a subsequent detailed review and approval of expenditures could be appropriate. However, the summary post transaction review referred to in the University’s response above is not a detailed review of expenditures, nor is it documented.

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Current Findings – Federal Compliance

Additionally, the University could not articulate when prior documented approval is required. We believe there is a significant increase in the risk of unallowable costs being charged to a federal program when policies and procedures are not clearly defined, or when there is reliance placed solely on a summary post transaction review of monthly ledger reports by the PI or business manager.

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Current Findings – Federal Compliance

Federal Agency: US Department of Agriculture (USDA)
US Department of Defense (USDOD)
US Department of Housing and Urban Development (USHUD)
US Department of Commerce (USDOC)
US Department of the Interior (USDOJ)
US Department of Justice (USDOJ)
US Department of Transportation (USDOT)
US Library of Congress (USLOC)
National Aeronautics and Space Administration (NASA)
US Department of Veterans Affairs (USDVA)
National Science Foundation (NSF)
US Environmental Protection Agency (USEPA)
US Department of Energy (USDOE)
US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)
Corporation for National and Community Service (CNCS)
US Social Security Administration (USSSA)
US Department of Homeland Security (USDHS)
US Agency for International Development (USAID)

Program Name: Research and Development Cluster
Cooperative Extension Services
Supplemental Nutrition Assistance Program (SNAP)
Education and Human Resources
National Resource Centers Program for Foreign Language and Area Studies or Foreign
Language and International Studies Program and Foreign Language and Area Studies
Fellowship Program (Foreign Language)
Student Financial Assistance Cluster
AIDS Education and Training Centers (AIDS)
Child Care Cluster

CFDA # and Program Expenditures: Various (\$495,076,709)
10.500 (\$11,027,702)
10.551/10.561 (\$8,061,545)
47.076 (\$3,966,723)
84.007/84.032/84.033/84.038/84.063/84.268/84.375/
84.376/84.379/93.264/93.342/93.364/93.925 (\$464,703,423)
84.015 (\$3,260,797)
93.145 (\$3,197,968)
93.575/93.596 (\$4,967,177)

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Year ended June 30, 2009

Current Findings – Federal Compliance

Award Numbers: Various (R&D)

S09148/H408906008/00078140/8000026125-AG/25-6324-0081-02/3018104479-09-027/08-HHP-374648-0008/H408904313/25-6365-0023-110/S08030/S08064/61-5421B/25-6324-0053-311/Q4098043401/25-6365-0020-149 (10.500)
81X6287000 (10.551/10.561)
08-31820/08-30884/08-17185/07-37002/06-22573/07-15088/X256981/03-38215/08-50213/53636/HRD-0413000/P23253-HRD-413000/05-49245/05-35864/Y410676/03-38328/03-02119/07-02872 (47.076)
P379T090118/T08HP09344A/P376S080118/P063P080118/P007A081306/P007A071306A0708/P063P07118AY0708/P375A07118/P379T090119/T08HP094590100/P379T093182/P375A083182/P376S083182/P268K080118/P268K090118 (84.007/84.032/84.033/84.038/84.063/84.268/84.375/84.376/84.379/93.264/93.342/93.364/ 93.925)
DESUBSCALI2008/P015A060091/P015A060066/P015B060066/P015A060013/P015A0600115/P015A060171/P015A060041/P015A060013/P015A060136/P015B0600115/ P015B060171/ P015B060091/P015B030141 (84.015)
H-F4-ETH-08-P-PTR-JASW-00/H-F4-TAN-08-P-PTR-GLDS/H-F3-TAN-07-P-PTR-JASW/5219SC/3H4AHA00062-07-01 (93.145)
81X6522000/81X6561000 (93.575/93.596)

Questioned Costs: None

Finding 09-33 *Inadequate Cash Draw and Reimbursement Request Controls*

There is no documentation to substantiate that a formal review and approval of cash draw and reimbursement request calculations are performed.

The University uses several different methods to calculate the amount for its cash draws and reimbursement requests based upon the specific funding methods permissible under each of its federal programs. The cash draw and reimbursement request calculations for each program are prepared by staff in the Grants and Contracts Office based upon queries of expenditure information reported in the University's general ledger; however, there was no documented supervisory review of the cash draw and reimbursement request calculations prior to University personnel requesting cash from the federal government.

OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations* (OMB Circular A-110) requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include a supervisory review of all cash draw and reimbursement request calculations prior to requesting funds from a federal agency.

In discussing these conditions with University officials, they stated there are adequate controls in place for all LOC cash draws and reimbursement method billings.

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Year ended June 30, 2009

Current Findings – Federal Compliance

Failure to perform supervisory reviews of cash draw and reimbursement request calculations may result in unapproved and inaccurate cash draw and reimbursement request calculations leading to noncompliance with cash management regulations. (Finding Code 09-33)

Recommendation:

We recommend the University implement procedures to ensure cash draw and reimbursement request calculations are reviewed and approved prior to requesting funds from the federal government.

University Response:

Not accepted. The University has effective controls in place for cash draw calculations and cost reimbursement billings, which include steps to review the processes and amounts calculated in compliance with the regulatory requirements of OMB Circular A-110. Throughout the entire process there is a clear segregation of duties. The responsibility for LOC cash draws and reimbursement billings is assigned to staff with the appropriate authority, knowledge, and skill level.

For agencies with institutional letters of credit (HHS and NSF), to meet immediate cash needs, the draw is based upon a combination of the actual claim on cash extracted from the University's accounting system plus an estimate in aggregate for all awards under the LOC. The calculation is performed by an Assistant Director. Supporting documentation is stored in a shared area for review by the Director or other senior manager. Weekly, the University draws against approximately 1,995 active awards reimbursed through Institutional LOCs.

For agencies with non-institutional letters of credit, the draws are based on actual expenditures extracted from the University's accounting system (no estimates). The draw reports are based on billable expenditures in the University's financial accounting system, prepared by coordinators, and then forwarded to the Assistant Director for review. Supporting documentation is stored in a shared area for review by the Director or other senior manager. Monthly, the University draws against approximately 200 active awards reimbursed through non-institutional LOCs.

For the Department of Education programs (ACG, Pell, Smart, SEOG, and DSL), cash draws are processed by coordinators. The amount drawn is the greater of the University's claim on cash or the federally authorized amount in the Department of Education's G5 system. The amounts drawn down by the University cannot exceed the federally authorized amount. Supporting documentation is stored in a shared area for review by the Director or other senior manager. In addition, personnel in the Urbana and Chicago Student Financial Aid Offices independently review and compare the amounts drawn against the amounts disbursed as financial aid.

There were no exceptions noted in the testing of the cash draw calculations or draw reports during the audit. Overall draw amounts and totals for individual grants within the LOC were proven against the underlying supporting data in the University's financial accounting system. The University believes there are adequate controls in place for all LOC cash draws and the cash draw processes are in compliance with the regulatory requirements of OMB Circular A-110.

For agencies that do not use the Letter of Credit method or system, awards are billed on a cost reimbursement method. Cost reimbursement billings are generated through an automated process in the Grants Module of the

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Schedule of Findings and Questioned Costs

Year ended June 30, 2009

Current Findings – Federal Compliance

Banner Financial Accounting System. Amounts requested using cost reimbursement billings are not based on calculations. These billings are based on unbilled expenditures in Banner.

The GCO Post-Award accounting sections set up billing events in Banner for each sponsored project. Each month the Banner Billing/Process Section runs the Banner billings in audit mode for pre-review by the sections. Once approved, the billings are run in production mode, printed and then distributed to the GCO accounting section. GCO Accounting section staff review and submit the invoices to the awarding sponsors.

In some cases, such as the SNAP and Child Care awards, the unit Program Director and GCO Post-Award staff collaborate to prepare the cost reimbursement billing in the specialized format required by the funding agency.

For cost reimbursement billings that do not require a specialized format, the Banner system generates a standard format billing and there are no manual calculations performed by GCO staff. There were no exceptions noted in the testing of cost reimbursement billings during the audit. The billing module was placed into service in FY04 when the University implemented Banner, and has operated effectively since that time. Billing output from Banner is stored in a shared area for review by the Director or other senior manager. Monthly, the University produces approximately 700 cost reimbursement billings.

Auditors' Comment:

We believe effective internal control should include a documented review and approval of cash draw calculations and we were unable to obtain evidence that the information included on the shared drive had been reviewed and approved by an individual other than the preparer. As a result, this finding applies to each of the major programs identified in the finding above, not just those programs using the institutional letter of credit draw method.

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Schedule of Findings and Questioned Costs

Year ended June 30, 2009

Current Findings – State Compliance

Finding 09-34 – Untimely Approval of Board Minutes

The University did not approve or make Board of Trustee meeting minutes available to the public on a timely basis.

During our audit, we noted Board of Trustee meeting minutes had not been prepared or approved by the Board on a timely basis. Specifically, we noted meeting minutes were approved by the Board as follows:

Board Meeting Date	Date of Board Approval
June 21, 2007	July 24, 2008
May 17, 2007	September 11, 2008
July 30, 2007	November 13, 2008
September 6, 2007	November 13, 2008
September 28, 2007	November 13, 2008
November 14, 2007	January 15, 2009
January 17, 2008	March 11, 2009
March 26, 2008	May 21, 2009
May 7, 2008	July 23, 2009
May 28, 2008	July 23, 2009
January 15, 2009	September 10, 2009
February 3, 2009	September 10, 2009
March 11, 2009	September 10, 2009
May 21, 2009	September 10, 2009
June 25, 2009	September 10, 2009

The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001), requires the University to establish and maintain a system, or systems, of internal fiscal and administrative controls, which shall provide assurance that: (1) resources are utilized efficiently, effectively, and in compliance with applicable law; (2) obligations and costs are in compliance with applicable law; (3) funds, property, and other assets and resources are safeguarded against waste, loss, unauthorized use, and misappropriation; (4) revenues, expenditures, and transfers of assets, resources, or funds applicable to operations are properly recorded and accounted for to permit the preparation of accounts and reliable financial and statistical reports and to maintain accountability over the State's resources; and (5) funds held outside the State Treasury are managed, used, and obtained in strict accordance with the terms of their enabling authorities and that no unauthorized funds exist. The University's system of internal controls should include procedures to ensure meeting minutes are drafted and submitted to the Board of Trustees for review and approval at the next scheduled meeting.

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Year ended June 30, 2009

Current Findings – State Compliance

In discussing these conditions with University personnel, they stated that during the period noted by the auditors, the secretary of the Board of Trustees was unable to complete meeting minutes for approval at each of the succeeding meetings of the Board due to an extraordinary workload.

Failure to document and approve meeting minutes in a timely manner may result in meeting minutes not accurately reflecting the matters discussed and/or actions taken at the meetings. (Finding Code 09-34)

Recommendation:

We recommend the University draft and submit meeting minutes to the Board of Trustees for their review and approval at the next scheduled meeting.

University Response:

Accepted. The Board of Trustees Office has added an additional staff member to assist with the workload and minutes from each Board meeting are now being issued for review and approval by the Board at its next meeting and posted for the public on a timely basis.

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Schedule of Findings and Questioned Costs

Year ended June 30, 2009

Current Findings – State Compliance

Finding 09-35 – Contracts and Real Estate Leases Not Properly Executed

The University has not established adequate internal controls over contracts and leases to ensure they contain all necessary provisions and are properly executed prior to performance.

During our review of forty two contracts executed during the year ended June 30, 2009, we noted the following:

- 13 contracts were executed subsequent to performance of the contract. The contract execution dates ranged from 15 days to 127 days after the beginning of the contract start date.
- 17 contracts did not include the federal identification number for the vendor.
- 1 contract did not include any of the required certifications.
- 1 contract did not include the Illinois Use Tax Certification.
- 4 contracts did not include the State Board of Elections Certification.
- 9 contracts did not include the Business in Iran certification in the bid, proposal or contract documents.
- 1 contract did not include information as to whether a subcontractor would be used.

During our review of forty real estate leases executed during the year ended June 30, 2009, we noted the following:

- 17 leases were executed after the lease term began. The lease execution dates ranged from 2 days to 42 days after the beginning of the lease term.
- 1 lease in which the University paid the lease payments to the previous landlord instead of the current lessor.
- 15 leases did not include the federal identification number for the lessor.
- 3 leases did not include the State Board of Elections Certification.
- 36 leases did not include the Illinois Use Tax Certification.
- 1 lease did not include the Contract Debt Certification, Environmental Protection Act, Felony Conviction under the Sarbanes-Oxley and Right to Audit Records clauses.
- 14 leases included an outdated version of the Environmental Protection Act Clause certification and an incorrect statutory reference.
- 5 leases did not include a completed, signed and notarized Real Estate Disclosure form, executed prior to the lease execution date.
- 1 lease with provisions for improvements did not include a penalty provision in case the lessor did not make the improvements.
- 1 lease related to a non-monetary transaction did not include the required University forms to correctly record the revenue and expense for the transaction.

The Illinois Procurement Code (30 ILCS 500 et seq.) and Statewide Accounting Management System (Procedure 15.20 et seq.) requires contracts and real estate leases to include certain clauses and certifications. In addition, the University of Illinois Business and Financial Policies and Procedures (Section 2.3) and good business practices require all contracts and leases to be fully executed prior to the beginning of the lease term or the commencement of services. Additionally, the Fiscal Control and Internal Auditing Act (30 ILCS 10/3001), requires the

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Current Findings – State Compliance

University to establish and maintain a system, or systems, of internal fiscal and administrative controls, which shall provide assurance that: (1) resources are utilized efficiently, effectively, and in compliance with applicable law; (2) obligations and costs are in compliance with applicable law; (3) funds, property, and other assets and resources are safeguarded against waste, loss, unauthorized use, and misappropriation; (4) revenues, expenditures, and transfers of assets, resources, or funds applicable to operations are properly recorded and accounted for to permit the preparation of accounts and reliable financial and statistical reports and to maintain accountability over the State's resources; and (5) funds held outside the State Treasury are managed, used, and obtained in strict accordance with the terms of their enabling authorities and that no unauthorized funds exist. The University's system of internal controls should include procedures to ensure contracts and leases contain all necessary provisions and are properly executed prior to the performance.

In discussing these conditions with University officials, they stated exceptions can be attributed to changes in requirements for documents and human error.

Failure to fully execute a contract or real estate lease prior to the commencement of services or lease term leaves the University vulnerable to unnecessary liabilities and potential legal issues. In addition, failure to include all appropriate clauses and certifications in contracts and leases results in noncompliance with State statutes and regulations. (Finding Code 09-35, 08-09, 07-10, 06-07, 05-05, 04-05, 03-06)

Recommendation

We recommend that the University establish appropriate procedures to ensure all contracts and leases are completed, approved, and executed prior to the start of the services and lease term. Further, the University should review procedures to ensure all appropriate clauses and certifications are obtained prior to execution for all contracts and lease agreements.

University Response

Accepted. The University will continue to examine and improve procedures to ensure contracts and leases are properly approved and executed prior to the start of the services and lease terms.

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Year ended June 30, 2009

Current Findings – State Compliance

Finding 09-36 – Energy Resource Center – CMS Intergovernmental Agreement

The University does not have adequate controls over the administration of energy-related services provided to the State and other participating governmental entities.

Through a contractual agreement, the University's Energy Resource Center (ERC) at the Chicago Campus has been providing energy-related services to Central Management Services (CMS). These services include an arrangement in which the ERC purchases utilities on behalf of CMS and invoices participating CMS, state agency and local government facilities. In providing this service, ERC did not bill its customers or reconcile accounts receivable on a timely basis. ERC also did not pay the utility vendors promptly. The results of these failures resulted in ERC incurring costs not fully reimbursed under the contract.

The main focus of the Energy Resource Center at the University of Illinois Chicago Campus is to make significant contributions regarding energy conservation and production technologies while creating a cleaner, more sustainable environment.

In the fall of 1998, the State of Illinois Department of Central Management Services (CMS) requested a supply of natural gas for several of its facilities and facilities of other state agencies and local governments when the original supplier for CMS discontinued its natural gas business. Because it was so close to the winter heating season, it was operationally efficient to include CMS's facility requirements in the existing University natural gas supply contracts. CMS requested ERC to help them identify and implement energy related programs throughout the state.

In May 1999, the Board of Trustees (BOT) approved ERC assisting CMS in a natural gas acquisition program where ERC would act as an agent for CMS to procure a natural gas supplier and would initiate payment of the bills for the user facilities and these facilities (CMS, state agency and local government sites) would reimburse ERC, plus pay additional fees for management services. On June 25, 2008, the University extended this contract through June 30, 2009. Based on prior year findings and the numerous difficulties encountered in administering this contract, the University terminated the contract effective June 30, 2009.

During our testing of various components of ERC, we noted the following:

- Accounts Payable Issues:
 - ERC entered into this contract without having appropriate administrative staff in positions to ensure the timely payment of vendor invoices and timely billing of receivables. During fiscal year 2009, utility vendor invoices were not paid within 60 days of the invoice date for \$71,528,033 of the \$85,220,967 utility vendor invoices for energy related services processed. Payments to utility vendors ranged from 19 days to 725 days after the invoice date. However, it should be noted that a significant part of the delays in fiscal year 2009 were the result of a new process established in November 2008 to require a detailed reconciliation of billings from the utility provider prior to payment of invoices. Additionally, the University in January 2009 informed CMS and the energy supply company that the University would no longer pay vendor invoices until such time that the University received corresponding payments for those services from state and local agencies.

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Current Findings – State Compliance

- Accounts Receivable Issues:
 - Electricity billings to user governments were not performed within 30 days after the service period ended for 17 out of 40 in our sample of billings during the fiscal year. Billings during the fiscal year ranged from 5 to 271 days after the service period ended.
 - Further, ERC has not exhibited consistent follow-up on unpaid accounts. As of year-end, ERC had \$24,234,600 in outstanding energy billings to user governments. Of that amount, \$9,077,652 was outstanding greater than 180 days and \$6,092,779 has been filed with the Illinois Court of Claims because the energy billings were not processed within the lapse period.
 - The accounts receivable aging is based on invoice dates, that are not timely, and not on service dates. Consequently, the actual age of the receivables exceeds the aging reported in the accounts receivable aging.
- Diversion of University funds from the University’s mission:
 - By paying utility vendors before receipt of funds from user governments during the period through December 2008, University funds were diverted during this period to temporarily finance the costs of energy for other governments. However, as previously noted, the University in January 2009 informed CMS and the energy supply company that the University would no longer pay vendor invoices until such time that the University received corresponding payments for those services from state and local agencies.

The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001), requires the University to establish and maintain a system or systems of internal fiscal and administrative controls, which shall provide assurance that resources are utilized efficiently, effectively, and in compliance with applicable laws. Effective internal controls should include a process to ensure University resources are utilized efficiently and for appropriate purposes.

In discussing these conditions with University officials, they stated that with the termination of the contract with CMS at June 30, 2009, the University has been in the process of resolving the remaining receivables and payables associated with the CMS contract. They further stated that as of March 2010, with the exception of receivables from state agencies filed with the Illinois Court of Claims, nearly all the remaining receivables have been collected and payables have been paid.

The failure to include all costs of administering such activities can allow for use of University funds that is not in furtherance of the University’s mission. In addition, failure to ensure timely payment of vendor invoices and timely billing of receivables delays the receipt of cash available for University use and exposes the University to costs of capital not reimbursed under the current fee structure. (Finding Code 09-36, 08-01)

Recommendation

We recommend the University devote adequate resources for the collection and reconciliation of the remaining accounts receivables of the ERC.

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Current Findings – State Compliance

University Response

Accepted. The University will devote adequate resources for the collection and reconciliation of the remaining accounts receivable. Illinois Senate Bill 1181, enacted as article 16, section 25 of Public Act 96-0042, included an amendatory increase of \$4,406,600 in FY10 general revenue funds appropriated to the Court of Claims which is understood by the Court of Claims to be intended to fund the FY08 ERC Department of Corrections claims. However, since the appropriation was not specifically designated for payment of those claims, and needs to be, the Governor's office is currently initiating legislative actions to amend the appropriation language to make it appropriately specific.

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Current Findings – State Compliance

Finding 09-37 – Inadequate Process for Estimating Allowance for Doubtful Accounts Receivable

The University has not established adequate internal controls over estimating and recording its allowance for doubtful patient accounts receivable (the allowance).

During our audit, we noted the University's procedures for estimating its allowance primarily consisted of applying a standard percentage to the outstanding balance of patient accounts receivable. The standard percentage used was developed several years ago and has not been analyzed by management to determine if the percentage being used is consistent with current and historical payer data and trends. In addition, the accounts receivable aging analysis used by the University to estimate the allowance is based upon the most recent billing date for each patient, rather than the service date which results in the accounts receivable balances appearing to be more current than they are. Finally, the University does not perform a retrospective analysis comparing the University's prior year estimated allowance to actual collection experience.

Patient accounts receivable approximated \$70,864,000, net of an allowance of \$250,081,000 at June 30, 2009.

Generally accepted accounting principles require accounts receivable to be reported at net realizable value. Additionally, the Fiscal Control and Internal Auditing Act (30 ILCS 10/3001), requires the University to establish and maintain a system, or systems, of internal fiscal and administrative controls, which shall provide assurance that: (1) resources are utilized efficiently, effectively, and in compliance with applicable law; (2) obligations and costs are in compliance with applicable law; (3) funds, property, and other assets and resources are safeguarded against waste, loss, unauthorized use, and misappropriation; (4) revenues, expenditures, and transfers of assets, resources, or funds applicable to operations are properly recorded and accounted for to permit the preparation of accounts and reliable financial and statistical reports and to maintain accountability over the State's resources; and (5) funds held outside the State Treasury are managed, used, and obtained in strict accordance with the terms of their enabling authorities and that no unauthorized funds exist. The University's system of internal controls should include procedures to develop an estimate of its allowance for patient accounts receivable that incorporates all necessary and relevant information and to assess the historical accuracy of its estimation procedures.

In discussing these conditions with University personnel, they stated that they performed an informal (and undocumented) look back analysis of the historical accuracy of their estimation process. They also stated that they believed their process was adequate and resulted in a reasonable and adequate reserve.

Failure to properly estimate and assess the historical accuracy of the allowance for doubtful patient accounts receivable may result in the misstatement of the System's financial position. (Finding Code 09-37)

Recommendation:

We recommend the University establish procedures (1) to estimate its allowance based on historical collection experience and current payer trends and (2) to assess the historical accuracy of its estimation process. We also recommend the aging analysis used to estimate the allowance be prepared based upon the date of service.

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Current Findings – State Compliance

University Response:

Accepted. The Medical Center will develop improvements to procedures to address the recommendations noted in this finding.

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Year ended June 30, 2009

Current Findings – State Compliance

Finding 09-38 – Inadequate Controls over Patient Billing System

The University has not established adequate internal controls over access and program changes made to the patient billing system used in its financial reporting process.

The University uses a subsidiary system to record and bill patient care transactions at the Medical Center. Information generated from the patient billing system is used to record patient accounts receivable and the related revenue in the general ledger and to estimate the allowance for doubtful patient accounts receivable. Patient health information is also captured in the system.

During our audit, we noted access is granted to users of the patient billing system based upon the written approval of the individual's supervisor. The supervisor is responsible for verifying that the access granted is appropriate based upon the employee's job responsibilities when the access is initially granted; however, procedures have not been developed to monitor access for approximately 350 users on a continuing basis.

Additionally, during our review of the procedures for managing program changes, we noted that program developers have the ability to migrate changes into production which poses a risk that unauthorized changes may be made to the patient billing system. We also noted formal documentation evidencing the completion and results of the testing of program changes was not retained for any of the 15 sampled changes made to the patient billing system during the year ended June 30, 2009.

The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001), requires the University to establish and maintain a system, or systems, of internal fiscal and administrative controls, which shall provide assurance that: (1) resources are utilized efficiently, effectively, and in compliance with applicable law; (2) obligations and costs are in compliance with applicable law; (3) funds, property, and other assets and resources are safeguarded against waste, loss, unauthorized use, and misappropriation; (4) revenues, expenditures, and transfers of assets, resources, or funds applicable to operations are properly recorded and accounted for to permit the preparation of accounts and reliable financial and statistical reports and to maintain accountability over the State's resources; and (5) funds held outside the State Treasury are managed, used, and obtained in strict accordance with the terms of their enabling authorities and that no unauthorized funds exist. The University's system of internal controls should include procedures to monitor the appropriateness of access levels on a continuing basis. In addition, generally accepted information technology guidance endorses the development of well-designed and well-managed controls to protect computer systems and data. Effective computer security controls provide for safeguarding, securing, and controlling access to systems, properly segregating incompatible duties, protecting against misappropriation, and properly effecting and documenting changes made to information systems.

In discussing these conditions with University personnel, they stated they believed their controls were adequate based upon the limited number of patient billing system users and the maintenance nature of the program changes being implemented.

Failure to properly monitor user access rights may result in unauthorized, erroneous, or fraudulent transactions being recorded in the patient billing system. In addition, failure to properly limit access to the production environment and to document testing performed over system changes can result in unauthorized changes being made to the patient billing system or exposure of personal health information. (Finding Code 09-38)

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Current Findings – State Compliance

Recommendation:

We recommend the University:

- implement procedures to perform formal reviews of user access rights on a periodic basis to help ensure that the access rights granted to each user are appropriate based on their current job responsibilities and that the planned level of segregation of duties is achieved on a continuing basis;
- properly segregate the responsibilities for developing program changes and migrating those changes into the production environment by eliminating access to the production environment for those individuals responsible for program change development; and
- implement procedures to formally document testing procedures performed over changes to the patient billing system.

University Response:

Accepted. The Medical Center will develop improvements to procedures to address the recommendations noted in this finding.

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Current Findings – State Compliance

Finding 09-39 – Inadequate Process for Valuation of Alternative Investments

The University does not have an adequate established accounting and financial reporting process for determining the fair value measurements for its alternative investments.

Alternative investments comprise approximately 1% of the University's total investments and include private equity and hedge funds. The values reported for these investments are provided by investment managers on a quarterly basis. Although University management performs a variety of due diligence procedures and qualitative analyses of the information provided by its investment managers, quantitative analysis procedures, such as comparing the audited financial statements for the investment funds to the quarterly investment statement for the same period or independently pricing underlying investment securities, are not performed to assess the reasonableness of the investment values reported by the University's investment managers.

Additionally, the University estimates the year end values for its alternative investments based upon the investment manager statement at March 31st adjusted for cash transactions (capital contributions and distributions). The University does not have procedures to formally compare the estimated values reported to the investment manager statements as of June 30th.

Generally accepted accounting principles (GAAP) requires the University to report their investments at fair value. The American Institute of Certified Public Accountants (AICPA) Practice Aide, Alternative Investments – Audit Considerations, states "Management is responsible for making the fair value measurements and disclosures included in the financial statements. As part of fulfilling its responsibility, management needs to establish an accounting and financial reporting process for determining the fair value measurements and disclosures, select appropriate valuation methods, identify and adequately support any significant assumptions used, prepare the valuation, and ensure that the presentation and disclosure of the fair value measurements are in accordance with GAAP. This responsibility cannot, under any circumstances, be outsourced or assigned to a party outside of the investor entity's management."

Additionally, the Fiscal Control and Internal Auditing Act (30 ILCS 10/3001), requires the University to establish and maintain a system, or systems, of internal fiscal and administrative controls, which shall provide assurance that: (1) resources are utilized efficiently, effectively, and in compliance with applicable law; (2) obligations and costs are in compliance with applicable law; (3) funds, property, and other assets and resources are safeguarded against waste, loss, unauthorized use, and misappropriation; (4) revenues, expenditures, and transfers of assets, resources, or funds applicable to operations are properly recorded and accounted for to permit the preparation of accounts and reliable financial and statistical reports and to maintain accountability over the State's resources; and (5) funds held outside the State Treasury are managed, used, and obtained in strict accordance with the terms of their enabling authorities and that no unauthorized funds exist. The University's system of internal controls should include procedures to assess the reasonableness of investment values reported by its external investment managers.

In discussing these conditions with University personnel, they stated that they believed their process was adequate.

Failure to establish an adequate accounting and financial reporting process for alternative investments could result in the improper reporting of the fair value of investments. (Finding Code 09-39)

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Current Findings – State Compliance

Recommendation:

We recommend the University review its current process for determining the fair value measurements for alternative investments and develop procedures to assess the reasonableness of the valuations reported by its investment managers and the amounts reported at year end.

University Response:

Accepted. The University will develop procedure changes to address the recommendation noted in this finding.

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Year ended June 30, 2009

Current Findings – State Compliance

Finding 09-40 – Failure to Follow Time Reporting Requirements

The University does not require all employees to submit time sheets as required by the State Officials and Employees Ethics Act.

During our testing of payroll, we selected 125 employees across all three campuses and noted that 99 employees did not file timesheets as required by the State Officials and Employees Ethics Act. Based upon inquiry of University management, employees classified as board members, faculty, and academic professionals generally track their time using a “negative” timekeeping system whereby the employee is assumed to be working unless noted otherwise.

The State Officials and Employees Ethics Act (the Act) (5 ILCS 430/1-5) defines “State agency” to include “public institutions of higher learning...” and defines “State employee” to be “any employee of a State agency.” The Act required the Illinois Board of Higher Education (IBHE), with respect to State employees of public universities, to adopt and implement personnel policies. The Act (5 ILCS 430/5-5(c)) also states, “The policies shall require State employees to periodically submit time sheets documenting the time spent each day on official State business to the nearest quarter hour; contractual State employees may satisfy the time sheets requirement by complying with the terms of their contract, which shall provide for a means of compliance with this requirement.” The IBHE adopted personnel policies for public universities on February 3, 2004 in accordance with the Act. The University has not incorporated these policies into the University’s policies.

In discussing these conditions with University officials, they stated the implementation of the system for positive time reporting for University Academic Professionals and Exempt Civil Service staff began September 2009 (for UIUC and UIS) and continue to be rolled out through January 2010 (for UIC). All Non-Exempt Civil Service throughout the University have been reporting time in accordance with the State University Civil Service System and all faculty report time not worked.

Failure to follow the time reporting requirements of the Act results in noncompliance with State statute. (Finding Code 09-40, 08-11, 07-11, 06-06, 05-06)

Recommendation

We recommend the University implement procedures to ensure all employees submit time sheets as required by the Act.

University Response

Accepted. The University has continued through Fiscal Year 2010 to implement the plan initiated November 2008 to ensure compliance across all three campuses.

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Year ended June 30, 2009

Current Findings – State Compliance

Finding 09-41 – Inaccurate Pharmaceutical Inventory Valuation

The University does not have an adequate process to measure and value pharmaceutical inventory balances as of fiscal year-end (i.e. balance sheet date).

During our review of physical inventories, we noted the timing of five pharmaceutical physical inventories did not correspond to the University’s fiscal year-end of June 30th, ranging from 46 to 48 days before year-end. Further, because the University does not maintain a perpetual inventory system for pharmaceutical inventories, the quantities used to measure the inventory as of the balance sheet date was the same as the quantity physically counted and was not adjusted for purchases or sales that occurred after the physical inventory but before the balance sheet date. We noted the following physical inventories did not correspond to the University’s fiscal year-end:

Commodity Location	Inventory Date	Year-end Balance
Oncology Pharmacy	5/13/2009	\$ 976,790
Outpatient Care Center Outpatient Pharmacy	5/13/2009	250,713
University Village Pharmacy	5/14/2009	212,738
Dermatology Pharmacy	5/13/2009	128,637
Pharmaceutical Care Center Pharmacy	5/15/2009	<u>1,226,348</u>
		<u>\$ 2,795,226</u>

The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001), requires the University to establish and maintain a system or systems of internal fiscal and administrative controls, which shall provide assurance that revenues, expenditures, and transfers of assets, resources, or funds applicable to operations are properly recorded and accounted for to permit the preparation of accounts and reliable financial and statistical reports and to maintain accountability over the State's resources. Effective internal controls should include a process of measuring pharmaceutical inventories near the balance sheet date so that amounts reported at year-end are based on actual quantities on hand as of the balance sheet date.

In discussing these conditions with University officials, they stated that the unit submitting these five inventory balances had submitted the balances determined per the last physical inventory count, instead of providing inventory balances as of June 30. Perpetual inventory records were maintained in the unit for controlled drugs and top 200 drugs.

Failure to record pharmaceutical inventories based on quantities on hand at year-end results in inventory balances being inaccurately reported in the University’s financial statements. (Finding Code 09-41)

Recommendation

We recommend the University review its process for performing pharmaceutical physical inventories and consider performing such procedures on or near the balance sheet date.

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Current Findings – State Compliance

University Response

Accepted. The University will develop procedure changes to address the recommendation noted in this finding.

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Year ended June 30, 2009

Current Findings – State Compliance

Finding 09-42 – Inaccurate Year End Revenue Accrual

The University did not accurately calculate year end catering sales revenue accruals.

During our testwork over catering sales revenue, we identified the period end accrual related to a special event held at Memorial Stadium was miscalculated. As a result, the revenue accrual was overstated by \$29,184. A supervisory review was not performed relative to this accrual.

Generally accepted accounting principles (GAAP) require the University to record revenue from exchange transactions on an accrual basis. Additionally, the Fiscal Control and Internal Auditing Act (30 ILCS 10/3001), requires the University to establish and maintain a system, or systems, of internal fiscal and administrative controls, which shall provide assurance that: (1) resources are utilized efficiently, effectively, and in compliance with applicable law; (2) obligations and costs are in compliance with applicable law; (3) funds, property, and other assets and resources are safeguarded against waste, loss, unauthorized use, and misappropriation; (4) revenues, expenditures, and transfers of assets, resources, or funds applicable to operations are properly recorded and accounted for to permit the preparation of accounts and reliable financial and statistical reports and to maintain accountability over the State's resources; and (5) funds held outside the State Treasury are managed, used, and obtained in strict accordance with the terms of their enabling authorities and that no unauthorized funds exist. The University's system of internal controls should include supervisory review procedures to assess whether period end accruals are accurately computed.

In discussing these conditions with University personnel, they stated that the error identified was the result of a new individual performing the annual calculation.

Failure to accurately compute period end revenue accruals results in the misstatement of the revenue reported by the University. (Finding Code 09-42)

Recommendation:

We recommend the University implement procedures to require supervisory review procedures to be implemented to assess the accuracy of the period end revenue accruals.

University Response:

Accepted. The unit that was involved with the error will perform training and improve supervisory review procedures to address the recommendation noted in this finding.

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Current Findings – State Compliance

Finding 09-43 – Inadequate Documentation of Capital and Operating Lease Determinations and Inaccurate Lease Schedules

The University did not consistently follow established controls relative to documenting their determination of whether lease transactions should be classified as capital or operating. In addition, the University's lease schedules used in reporting current year interest accruals were inaccurate.

The University's internal control procedures over leases include the preparation of a worksheet which documents the University's determination as to whether a lease should be classified as an operating lease or a capital lease. During our testwork, we noted this worksheet was not completed for any of the leases managed by the real estate services department. As a result, the University's determination as to the proper accounting treatment for these leases was not documented. The real estate services department manages approximately 140 leases on behalf of the University.

In addition, we noted the lease schedules used to determine year end interest accruals contained erroneous interest expense information for three of the 23 leases selected for testwork. Consequently, interest expense was understated by approximately \$140,000 for the year ended June 30, 2009.

Generally accepted accounting principles (GAAP) require the University to determine whether leases should be classified as capital or operating leases. Additionally, the Fiscal Control and Internal Auditing Act (30 ILCS 10/3001), requires the University to establish and maintain a system, or systems, of internal fiscal and administrative controls, which shall provide assurance that: (1) resources are utilized efficiently, effectively, and in compliance with applicable law; (2) obligations and costs are in compliance with applicable law; (3) funds, property, and other assets and resources are safeguarded against waste, loss, unauthorized use, and misappropriation; (4) revenues, expenditures, and transfers of assets, resources, or funds applicable to operations are properly recorded and accounted for to permit the preparation of accounts and reliable financial and statistical reports and to maintain accountability over the State's resources; and (5) funds held outside the State Treasury are managed, used, and obtained in strict accordance with the terms of their enabling authorities and that no unauthorized funds exist. The University's system of internal controls should include procedures to assess whether each of the University's leases have been properly classified and to properly report year end interest accruals.

In discussing these conditions with University personnel, they stated that real estate leases are generally limited to 10 years and typically do not meet the capital lease criteria. They also stated the error identified on the interest expense schedule was an oversight.

Failure to document the judgments and determinations made in classifying each of the University's leases could result in undetected errors in lease classifications and inaccurate reporting of lease obligations. Also, failure to accurately prepare annual lease interest expense schedules could result in a misstatement of the University's interest expense. (Finding Code 09-43)

Recommendation:

We recommend the University implement procedures to document its determination as to whether each of the University's leases should be classified as an operating or capital lease. Additionally, we recommend the

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Current Findings – State Compliance

University enhance its supervisory review procedures to ensure year end interest accrual schedules are accurately prepared.

University Response:

Accepted. The University will develop procedure changes to address the recommendation noted in this finding.

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Year ended June 30, 2009

Current Findings – State Compliance

Finding 09-44 – Failure to Maintain Supporting Documentation for Agency Workforce Report

The University did not maintain adequate supporting documentation for the workforce data included in the Agency Workforce Report.

The Agency Workforce Report is submitted annually to the Governor’s Office and Secretary of State as part of the State Employment Records Act. The report is designed to provide data for the calendar year relative to: (1) the number and income levels of University employees, (2) the number of employees by gender, and (3) the number of employees by minority group. The University generates the report directly from the Enterprise Data Warehouse which contains employee level data. During our review of the Agency Workforce Report submitted during the year, we noted the University created the report based on a query of the Enterprise Data Warehouse run on a specific date. Because they did not maintain the detail of individuals from that query, we were unable to verify the accuracy of the report.

The State Employment Records Act (5 ILCS 410/20) requires the University to collect, classify, maintain, and report all information required by this Act to the Governor’s Office and Secretary of State annually. In addition, the Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires the University to maintain a system of fiscal and administrative controls. Effective internal controls should include maintaining adequate supporting documentation for all reports submitted to the Governor’s Office and the Secretary of State.

In discussing these conditions with University officials, they stated the report is a point-in-time report. The original query was not saved.

Failure to maintain supporting documentation inhibits the ability to perform an audit of the report and could result in inaccurate data being included in the annual report. (Finding Code 09-44)

Recommendation

We recommend the University implement procedures to ensure adequate supporting documentation is maintained for the Agency Workforce Report.

University Response

Accepted. The University will make changes to address the recommendations noted in this finding.

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Current Findings – State Compliance

Finding 09- 45 – Contracts and Real Estate Leases Not Filed Timely

The University did not file contracts and real estate leases with the State of Illinois, Office of the Comptroller and Secretary of State on a timely basis.

During our review of forty two contracts and forty real estate leases executed during the fiscal year, we noted the following:

- Sixteen (40%) real estate leases were not filed timely with the Office of the Comptroller. The late filings ranged from four days to 49 days late.
- Twelve (29%) contracts were not filed timely with the Office of the Comptroller. The late filings ranged from four days to 78 days late.
- Eighteen (45%) real estate leases were not filed timely with the Office of the Secretary of State. The late filings ranged from five days to 192 days late.

The Illinois Procurement Code (30 ILCS 500/20-80(b)) and the Statewide Accounting Management System (Procedure 15.10.40) require State agencies to file contracts for professional and artistic services exceeding \$5,000 and all other contracts and leases exceeding \$10,000 with the State Comptroller within 15 calendar days after execution. In addition, the Illinois State Finance Act (30 ILCS 105/9(a)) requires State agencies to file all real estate leases with the office of the Secretary of State within 15 calendar days after execution.

In discussing these conditions with University officials, they stated documents were not received in the Contract Processing Office within University Payables until after the date of execution, which is attributable to human error.

Failure to file contracts and real estate leases with the Office of the Comptroller and Secretary of State on a timely basis results in not compliance with State statutes and regulations. (Finding Code 09-45, 08-08, 07-09, 06-05, 05-04, 04-08)

Recommendation

We recommend the University revise its procedures to ensure all applicable contracts and real estate leases are filed with the Office of the Comptroller and Secretary of State in accordance with State statutes and related guidelines.

University Response

Accepted. The University will continue to monitor, examine, and improve its procedures to ensure contracts and leases are filed in compliance with State statutes and related administrative rules.

STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS

Schedule of Findings and Questioned Costs

Year ended June 30, 2009

Current Findings – State Compliance

Finding 09-46 – Use and Maintenance of University Vehicles

The University did not report certain automobile accidents involving University vehicles to the Department of Central Management Services in a timely manner and did not properly maintain all University motor vehicles.

During our testing of the operation of University vehicles, we noted the following exceptions:

- The University reported 116 accidents involving University vehicles to the Department of Central Management Services (CMS) during fiscal year 2009. Of the 88 accidents reported by the Urbana Campus, 58 (66%) were not reported timely and ranged from 1 to 107 days late. Of the 25 accidents reported by the Chicago Campus, 18 (72%) were not reported timely and ranged from 2 to 54 days late. Of the 3 accidents reported by the Springfield Campus, none were reported late.
- Of the twenty five University vehicles selected for maintenance documentation testing, one of the vehicles did not have regular oil changes. University records show the vehicle was driven approximately two years and three months and approximately 4,915 miles without an oil change.

The Illinois Administrative Code (Title 44, Subtitle D, Chapter 1, Part 5040.520) states a driver of a state-owned or leased vehicle which is involved in an accident of any type shall report such accident to the appropriate law enforcement agency and to CMS by completing the “Motorist’s Report of Illinois Motor Vehicle Accident” form (SR-1). For all accidents, the Form SR-1 is to be completed as soon as possible and submitted to the office of the current insurance carrier. In no case is this report to be completed later than three (3) days following an accident.

Additionally, University of Illinois Office of Business and Financial Services Policies and Procedures (Section 15.3) state that the responsible personnel at each campus “must deliver the accident report to the CMS within seven calendar days of the accident in all cases.” Finally, the Illinois Administrative Code (Title 44, Subtitle D, Chapter 1, Part 5040.400) states that all state-owned vehicles shall undergo regular service in order to maintain the vehicles in road worthy, safe, operating condition and appropriate cosmetic condition and the driver should check oil, coolant, and battery water levels regularly.

In discussing these conditions with University officials, they stated these exceptions are due to 1) the campus offices not being aware of accident claims until units have provided the information, after which time is needed to gather the information required by CMS and 2) human error in advertently dropping a vehicle from the automated preventative maintenance scheduler.

Failure to report automobile accidents to the Department of Central Management Services in a timely manner may cause the University to be denied coverage and result in additional liabilities for the University. Failure to perform regular maintenance on University vehicles could result in more significant expenditures related to the repair or replacement of the vehicles. (Finding Code 09-46, 08-10)

Recommendation

We recommend the University implement procedures to ensure that accident reports are submitted to the Department of Central Management Services in a timely manner. We also recommend the University ensure that policies and procedures are clearly understood and followed by all personnel responsible for the oversight of University vehicles within each department.

**STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS**

Schedule of Findings and Questioned Costs

Year ended June 30, 2009

Current Findings – State Compliance

University Response

Accepted. The University will continue to work to improve procedures to ensure accident reports are submitted to the Department of Central Management Services (DCMS) in a timely manner. Improvements to date have included updating policies and procedures, printing informational brochures, and implementing the electronic submission of reports to DCMS. In addition, the University will continue to work to ensure personnel responsible for the oversight of University vehicles clearly understand and follow required procedures.

**STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS**

Schedule of Findings and Questioned Costs

Year ended June 30, 2009

Current Findings – State Compliance

Finding 09-47 – Failure to Follow State Regulations for Recording Equipment

The University improperly records equipment additions by reducing the purchase price for the value of trade-ins. Additionally, the University does not calculate a gain or loss on disposal of equipment from trade-ins.

During our testwork over equipment additions, we noted the University improperly records the equipment additions based on the purchase price paid in cash, plus the net book value of any assets used for trade-in, less any trade-in allowances received from the seller. The University does not calculate a gain or loss on disposal of equipment from trade-ins. However, the University should record the equipment additions based on the cost, excluding any trade-in value received, and calculate a gain or loss on equipment traded-in based on the difference between its net book value and the trade-in value received.

Specifically, during our testwork over forty equipment additions (totaling \$1,296,773) recorded during the fiscal year, we noted the following exceptions:

- One equipment addition should have been recorded at \$20,753, the gross purchase price of the equipment. However, the equipment was improperly valued at \$16,753, net of a \$4,000 trade-in allowance received for an asset with a net book value of \$0 (i.e. fully depreciated). Additionally, the University should have recorded a gain on disposal of \$4,000 from the trade-in.
- One equipment addition should have been recorded at \$16,889, the gross purchase price of the equipment. However, the equipment was improperly valued at \$15,939, net of a \$950 trade-in allowance received for an asset with a net book value of \$0 (i.e. fully depreciated). Additionally, the University should have recorded a gain on disposal of \$950 from the trade-in.

Equipment additions totaled \$130,232,705 during the year ended June 30, 2009.

In accordance with the Illinois Administrative Code (Title 44, Subtitle D, Chapter 1, Part 5010.240(e)(2)), “If equipment is acquired by trade-in, the value of any items traded in shall not be used to reduce the purchase price” of the asset purchased.

In discussing these conditions with University officials, they stated their established process has been to record asset purchases involving a trade-in as the sum of the cash paid and the net book value of the trade-in asset given up, a process believed to be consistent with generally accepted accounting principles.

Failure to record equipment at cost results in the inaccurate reporting of capital assets. (Finding Code 09-47)

Recommendation:

We recommend that the University revise its procedures for recording capital assets to ensure equipment is recorded at cost.

University Response:

Accepted. The University will take the necessary corrective actions to address the recommendation noted in this finding.

STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS

Prior Findings Not Repeated – *Government Auditing Standards*

Year ended June 30, 2009

A. Energy Resource Center – CMS Intergovernmental Agreement

The University did not establish adequate financial reporting controls over the transactions associated with a contractual agreement with the Illinois Department of Central Management Services to provide energy related services. (Finding Code 08-01)

In the current year, the University terminated the contractual relationship effective June 30, 2009. The University is in the process of winding down the activities associated with this contract. However, this was reported as a State compliance finding in the current year. See finding 09-36.

B. Inappropriate Charges Paid By University

The University did not establish adequate financial reporting controls over transactions incurred on procurement cards issued to University employees which led to fraudulent transactions being paid by the University. (Finding Code 08-02)

Although the same exceptions were not identified in the current year audit, the internal control deficiencies that contributed to the prior year finding continue to exist. See finding 09-02 for the current year finding.

C. Insufficient Internal Controls over Utilities

The University did not establish policies for monitoring and reporting budget deficits related to utilities expenses to the Board of Trustees. (Finding Code 08-04)

In the current year, the University implemented policies for monitoring and reporting budget deficits related to utilities expenses to the Board of Trustees.

D. Grant Revenue Overdrafts

The University overstated grant revenue and receivables by \$1.67 million in fiscal year 2008. (Finding Code 08-06)

In the current year, the University adjusted its financial reporting process to include procedures to identify overspending on grant awards.

E. Deferred Revenue Calculated Incorrectly

The University understated the deferred tuition revenue liability and student waiver expense and overstated tuition revenue in fiscal year 2008. (Finding Code 08-07)

In the current year, the University modified its period end calculation of deferred tuition to take into account the ending dates of its academic periods.

**STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS**

Prior Findings Not Repeated – State Compliance

Year ended June 30, 2009

F. Inadequate Documentation

The University did not have adequate internal controls in place over travel expenses at a specific Department which led to several transactions for which no supporting documentation could be provided and which no business purpose was documented. (Finding Code 08-12)

In the current year, the University was able to provide supporting documentation for each expenditure selected for testwork from this Department.

STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS

Financial Related Information Summary

Year ended June 30, 2009

Financial related information presented in this section of the report includes the following:

- Financial Related Schedules:
 - Schedule of Expenditures of Federal Awards
 - Attachments to Schedule of Expenditures of Federal Awards
 - Notes to the Schedule of Expenditures of Federal Awards

The Schedule of Expenditures of Federal Awards presented in this section has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in the auditor's opinion, it is fairly stated, in all material respects, in relation to the basic financial statements taken as a whole.

The findings and auditor's reports on compliance testing and questioned costs and internal control related to federal awards are presented in the Compliance Report section of this report.

UNIVERSITY OF ILLINOIS
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
Year Ended June 30, 2009

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
<u>Cluster: 1R&D</u>						
<u>Corporation for National & Community Service</u>						
Corporation for National & Community Service						
<i>Direct Awards</i>						
Learn and Serve America_Higher Education	94.005	Y		-501		-501
				<u>-501</u>		<u>-501</u>
<u>Federal Highway Administration (FHWA)</u>						
Federal Highway Administration (FHWA)						
<i>Pass through from State of IL - see also pass through detail</i>						
Highway Planning and Construction	20.205	Y		318,317		318,317
				<u>318,317</u>		<u>318,317</u>
<u>Housing & Urban Development (HUD)</u>						
Housing & Urban Development (HUD)						
<i>Direct Awards</i>						
Department of Housing and Urban Development (general)	14.000	Y	38,300			38,300
Doctoral Dissertation Research Grants	14.516	Y		17,249		17,249
Early Doctoral Student Research Grants	14.517	Y		5,728		5,728
Healthy Homes Demonstration Grants	14.901	Y	-8,598			-8,598
Lead Technical Studies Grants (B)	14.902	Y		318,858		318,858
ARRA-Healthy Homes Technical Studies Grants	14.906	Y		30,068		30,068
<i>Pass through from Others - see also pass through detail</i>						
Department of Housing and Urban Development (general)	14.000	Y	45,093	15,713		60,806
Community Development Block Grants/Entitlement Grants	14.218	Y		-6,454		-6,454
Indian Community Development Block Grant Program	14.862	Y	163,707			163,707
<i>Pass through from State of IL - see also pass through detail</i>						
Lead-Based Paint Hazard Control in Privately-Owned Housing	14.900	Y	49,629			49,629
			<u>288,131</u>	<u>381,162</u>		<u>669,293</u>
<u>Institute of Museum & Library Services (IMLS)</u>						

Cluster: 1R&D
Major Agency: Institute of Museum & Library Services (IMLS)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
Institute of Museum & Library Services (IMLS)						
<i>Direct Awards</i>						
Museums for America	45.301	Y	47			47
21st Century Museum Professionals	45.307	Y	121,556			121,556
National Leadership Grants	45.312	Y	550			550
Laura Bush 21st Century Librarian Program	45.313	Y	271,782			271,782
<i>Pass through from Others - see also pass through detail</i>						
21st Century Museum Professionals	45.307	Y	110,366			110,366
Laura Bush 21st Century Librarian Program	45.313	Y	90,067			90,067
<i>Pass through from State of IL - see also pass through detail</i>						
Grants to States	45.310	Y			19,326	19,326
Agency Totals			<u>594,368</u>		<u>19,326</u>	<u>613,694</u>
<u>National Transportation Safety Board</u>						
National Transportation Safety Board						
<i>Direct Awards</i>						
Other Awards	none	Y		-786		-786
Agency Totals				<u>-786</u>		<u>-786</u>
<u>Social Security Administration</u>						
Social Security Administration						
<i>Pass through from Others - see also pass through detail</i>						
Social Security Administration (general)	96.000	Y	855,519	-33,253		822,266
Agency Totals			<u>855,519</u>	<u>-33,253</u>		<u>822,266</u>
<u>United States Agency for International Development (AID)</u>						
United States Agency for International Development (AID)						
<i>Pass through from Others - see also pass through detail</i>						
Agency for International Development (general)	98.000	Y	516,923			516,923
Cooperative Development Program (CDP)	98.002	Y	56,042			56,042
USAID Development Partnerships for University Cooperation and Development	98.012	Y		2,500		2,500
Agency Totals			<u>572,965</u>	<u>2,500</u>		<u>575,465</u>
<u>US Department of Agriculture (USDA)</u>						
US Department of Agriculture (USDA)						
<i>Direct Awards</i>						

Cluster: 1R&D

Major Agency: US Department of Agriculture (USDA)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
Department of Agriculture (general)	10.000	Y	101,949	61,843		163,792
Agricultural Research_Basic and Applied Research	10.001	Y	253,718			253,718
Cooperative Forestry Research	10.202	Y	186,820			186,820
Payments to Agricultural Experiment Stations Under the Hatch Act	10.203	Y	5,920,777			5,920,777
Grants for Agricultural Research_Competitive Research Grants	10.206	Y	180,606			180,606
Animal Health and Disease Research	10.207	Y	70,287			70,287
Agricultural and Rural Economic Research	10.250	Y	21,099	-9		21,090
Consumer Data Initiative (CDI)	10.256	Y	12,783			12,783
<i>Pass through from Others - see also pass through detail</i>						
Department of Agriculture (general)	10.000	Y	372,599	22,279		394,878
Agricultural Research_Basic and Applied Research	10.001	Y	127,589			127,589
Grants for Agricultural Research, Special Research Grants	10.200	Y	180,582			180,582
Payments to Agricultural Experiment Stations Under the Hatch Act	10.203	Y	9,972			9,972
Grants for Agricultural Research_Competitive Research Grants	10.206	Y	153,588			153,588
Sustainable Agriculture Research and Education	10.215	Y	61,439			61,439
Biotechnology Risk Assessment Research	10.219	Y	1,329			1,329
1994 Institutions Research Program	10.227	Y	2,625			2,625
Agricultural and Rural Economic Research	10.250	Y		17,950		17,950
Initiative for Future Agriculture and Food Systems	10.302	Y	-1,304			-1,304
Integrated Programs	10.303	Y	35,958			35,958
Homeland Security_Agricultural	10.304	Y	62,271			62,271
Cooperative Extension Service	10.500	Y	103,488			103,488
Forestry Research	10.652	Y	6,437			6,437
Environmental Quality Incentives Program	10.912	Y	35,537			35,537
<i>Pass through from State of IL - see also pass through detail</i>						
Plant and Animal Disease, Pest Control, and Animal Care	10.025	Y	116,517			116,517
Special Supplemental Nutrition Program for Women, Infants, and Children	10.557	Y		-236		-236
		Agency Totals	<u>8,016,666</u>	<u>101,827</u>		<u>8,118,493</u>
USDA Agricultural Research Service (ARS)						
<i>Direct Awards</i>						
Department of Agriculture (general)	10.000	Y	242,251			242,251
Agricultural Research_Basic and Applied Research	10.001	Y	2,595,073			2,595,073

Cluster: 1R&D

Major Agency: US Department of Agriculture (USDA)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
Grants for Agricultural Research, Special Research Grants	10.200	Y	183,263			183,263
Grants for Agricultural Research_Competitive Research Grants	10.206	Y	230,631	268,348		498,979
Forestry Research	10.652	Y	5,929			5,929
<i>Pass through from Others - see also pass through detail</i>						
Department of Agriculture (general)	10.000	Y	5,910			5,910
Agricultural Research_Basic and Applied Research	10.001	Y	15,365			15,365
Grants for Agricultural Research_Competitive Research Grants	10.206	Y	22,961			22,961
Agency Totals			<u>3,301,383</u>	<u>268,348</u>		<u>3,569,731</u>
USDA Animal & Plant Health Inspection Serv (APHIS)						
<i>Direct Awards</i>						
Department of Agriculture (general)	10.000	Y	95,437			95,437
Agency Totals			<u>95,437</u>			<u>95,437</u>
USDA Coop State Rsrch Educ & Ext Serv (CSREES)						
<i>Direct Awards</i>						
Department of Agriculture (general)	10.000	Y	1,318,306			1,318,306
Grants for Agricultural Research, Special Research Grants	10.200	Y	3,565,984			3,565,984
Grants for Agricultural Research_Competitive Research Grants	10.206	Y	4,989,969	195,900		5,185,869
Higher Education Challenge Grants	10.217	Y	22,183			22,183
Biotechnology Risk Assessment Research	10.219	Y	32,611			32,611
Integrated Programs	10.303	Y	684,975	138,087		823,062
Cooperative Extension Service	10.500	Y	19,291			19,291
<i>Pass through from Others - see also pass through detail</i>						
Department of Agriculture (general)	10.000	Y	22,302			22,302
Grants for Agricultural Research, Special Research Grants	10.200	Y	22,702			22,702
Payments to Agricultural Experiment Stations Under the Hatch Act	10.203	Y	-1,802			-1,802
Grants for Agricultural Research_Competitive Research Grants	10.206	Y	3,782			3,782
Sustainable Agriculture Research and Education	10.215	Y	3,142			3,142
Integrated Programs	10.303	Y	-66,324			-66,324
Cooperative Extension Service	10.500	Y	8,379			8,379
Agency Totals			<u>10,625,500</u>	<u>333,987</u>		<u>10,959,487</u>
USDA Economic Research Service (ERS)						
<i>Direct Awards</i>						
Department of Agriculture (general)	10.000	Y	1,002			1,002

Cluster: 1R&D

Major Agency: US Department of Agriculture (USDA)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
Agricultural and Rural Economic Research	10.250	Y	25,950	32,184		58,134
Food Assistance and Nutrition Research Programs (FANRP)	10.253	Y	48,950			48,950
Agency Totals			<u>75,902</u>	<u>32,184</u>		<u>108,086</u>
USDA Federal Crop Insurance						
<i>Direct Awards</i>						
Department of Agriculture (general)	10.000	Y	122,878			122,878
Crop Insurance	10.450	Y	3,678			3,678
Agency Totals			<u>126,556</u>			<u>126,556</u>
USDA Forest Service (FS)						
<i>Direct Awards</i>						
Department of Agriculture (general)	10.000	Y	134,097			134,097
Forestry Research	10.652	Y	69,103			69,103
Cooperative Forestry Assistance	10.664	Y	-245			-245
<i>Pass through from Others - see also pass through detail</i>						
Department of Agriculture (general)	10.000	Y		22,314		22,314
Agency Totals			<u>202,955</u>	<u>22,314</u>		<u>225,269</u>
USDA Natural Resources Conservation Service (NRCS)						
<i>Direct Awards</i>						
Soil and Water Conservation	10.902	Y	107,373			107,373
Agency Totals			<u>107,373</u>			<u>107,373</u>
USDA Risk Management Agency (RMA)						
<i>Direct Awards</i>						
Department of Agriculture (general)	10.000	Y	68,642			68,642
Commodity Partnerships for Risk Management Education	10.457	Y	68,533			68,533
Agency Totals			<u>137,175</u>			<u>137,175</u>
<u>US Department of Commerce</u>						
National Institute of Standards & Technology						
<i>Direct Awards</i>						
Measurement and Engineering Research and Standards	11.609	Y	47,618			47,618
<i>Pass through from Others - see also pass through detail</i>						
Advanced Technology Program	11.612	Y		14,714		14,714
Agency Totals			<u>47,618</u>	<u>14,714</u>		<u>62,332</u>
US Department of Commerce						

Cluster: 1R&D
Major Agency: US Department of Commerce

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
<i>Direct Awards</i>						
Department of Commerce (general)	11.000	Y	-61			-61
<i>Pass through from Others - see also pass through detail</i>						
Department of Commerce (general)	11.000	Y	50,640			50,640
Sea Grant Support	11.417	Y	10,027			10,027
Coastal Zone Management Estuarine Research Reserves	11.420	Y	4,377			4,377
Meteorologic and Hydrologic Modernization Development	11.467	Y	9,097			9,097
Advanced Technology Program	11.612	Y	243,845	-672		243,173
	Agency Totals		<u>317,925</u>	<u>-672</u>		<u>317,253</u>
US Dept of Commerce NOAA						
<i>Direct Awards</i>						
Department of Commerce (general)	11.000	Y	163,813			163,813
Sea Grant Support	11.417	Y	1,006,641	76,264		1,082,905
Climate and Atmospheric Research	11.431	Y	344,267			344,267
<i>Pass through from Others - see also pass through detail</i>						
Coastal Zone Management Administration Awards	11.419	Y	367,304	28,278		395,582
Climate and Atmospheric Research	11.431	Y	20,094			20,094
Educational Partnership Program	11.481	Y	223,154			223,154
	Agency Totals		<u>2,125,273</u>	<u>104,542</u>		<u>2,229,815</u>
<u>US Department of Defense (DoD)</u>						
Air Force						
<i>Direct Awards</i>						
Department of Defense (general)	12.000	Y	90,360	3,539,251		3,629,611
Air Force Defense Research Sciences Program	12.800	Y	881,043	88,117		969,160
<i>Pass through from Others - see also pass through detail</i>						
Department of Defense (general)	12.000	Y	460,234	54,260		514,494
Basic, Applied, and Advanced Research in Science and Engineering	12.630	Y	209,902			209,902
Air Force Defense Research Sciences Program	12.800	Y	226,998	10,717		237,715
	Agency Totals		<u>1,868,537</u>	<u>3,692,345</u>		<u>5,560,882</u>
Air Force Office of Scientific Research (AFOSR)						
<i>Direct Awards</i>						
Department of Defense (general)	12.000	Y	139,249			139,249
Basic and Applied Scientific Research	12.300	Y	127,095			127,095

Cluster: 1R&D

Major Agency: US Department of Defense (DoD)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
Basic, Applied, and Advanced Research in Science and Engineering	12.630	Y	285,306	1,319,908		1,605,214
Air Force Defense Research Sciences Program	12.800	Y	4,943,145	145,399		5,088,544
Research and Technology Development	12.910	Y	6,007,949			6,007,949
<i>Pass through from Others - see also pass through detail</i>						
Department of Defense (general)	12.000	Y	130,732			130,732
Basic and Applied Scientific Research	12.300	Y	154,158			154,158
Basic, Applied, and Advanced Research in Science and Engineering	12.630	Y	221,956			221,956
Air Force Defense Research Sciences Program	12.800	Y	1,138,300			1,138,300
Agency Totals			<u>13,147,890</u>	<u>1,465,307</u>		<u>14,613,197</u>
Army						
<i>Direct Awards</i>						
Department of Defense (general)	12.000	Y	78,402			78,402
Military Medical Research and Development	12.420	Y		-9,634		-9,634
Basic Scientific Research	12.431	Y	883,921	53,350		937,271
<i>Pass through from Others - see also pass through detail</i>						
Department of Defense (general)	12.000	Y	561,168	90,946		652,114
Military Medical Research and Development	12.420	Y	29,729			29,729
Basic Scientific Research	12.431	Y	2,127,433			2,127,433
Basic, Applied, and Advanced Research in Science and Engineering	12.630	Y	304,043			304,043
Agency Totals			<u>3,984,696</u>	<u>134,662</u>		<u>4,119,358</u>
Army CERL						
<i>Direct Awards</i>						
Basic, Applied, and Advanced Research in Science and Engineering	12.630	Y	20,010			20,010
Agency Totals			<u>20,010</u>			<u>20,010</u>
Army Research Office (ARO)						
<i>Direct Awards</i>						
Department of Defense (general)	12.000	Y	72,973	144,482		217,455
Basic Scientific Research	12.431	Y	3,045,559	153,712		3,199,271
Basic, Applied, and Advanced Research in Science and Engineering	12.630	Y	-5,444			-5,444
<i>Pass through from Others - see also pass through detail</i>						
Department of Defense (general)	12.000	Y		-62		-62
Basic Scientific Research	12.431	Y	650,907			650,907
Air Force Defense Research Sciences Program	12.800	Y	63,341			63,341

Cluster: 1R&D

Major Agency: US Department of Defense (DoD)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
		Agency Totals	<u>3,827,336</u>	<u>298,132</u>		<u>4,125,468</u>
Bolling Air Force Base						
<i>Direct Awards</i>						
Department of Defense (general)	12.000	Y		696,709		696,709
		Agency Totals		<u>696,709</u>		<u>696,709</u>
CERL Champaign						
<i>Direct Awards</i>						
Department of Defense (general)	12.000	Y	2,109,138			2,109,138
Military Construction, National Guard	12.400	Y	651,557			651,557
Basic, Applied, and Advanced Research in Science and Engineering	12.630	Y	200,594			200,594
		Agency Totals	<u>2,961,289</u>			<u>2,961,289</u>
Defense Advanced Research Projects Agency (DARPA)						
<i>Direct Awards</i>						
Department of Defense (general)	12.000	Y	454,158	39,637		493,795
Research and Technology Development	12.910	Y	3,460,363			3,460,363
<i>Pass through from Others - see also pass through detail</i>						
Department of Defense (general)	12.000	Y	1,382,694			1,382,694
Basic Scientific Research	12.431	Y	392,175			392,175
Research and Technology Development	12.910	Y	213,462			213,462
Homeland Security Advanced Research Projects Agency	97.065	Y		1,549,803		1,549,803
		Agency Totals	<u>5,902,852</u>	<u>1,589,440</u>		<u>7,492,292</u>
Defense Threat Reduction Agency (DTRA)						
<i>Direct Awards</i>						
Basic Scientific Research - Combating Weapons of Mass Destruction	12.351	Y	671,834			671,834
<i>Pass through from Others - see also pass through detail</i>						
Department of Defense (general)	12.000	Y	251,433			251,433
		Agency Totals	<u>923,267</u>			<u>923,267</u>
Missile Defense Agency (MDA)						
<i>Pass through from Others - see also pass through detail</i>						
Department of Defense (general)	12.000	Y	118,375			118,375
Basic Scientific Research	12.431	Y	105,728			105,728
		Agency Totals	<u>224,103</u>			<u>224,103</u>
National Geospatial-Intelligence Agency						

Cluster: 1R&D

Major Agency: US Department of Defense (DoD)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
<i>Direct Awards</i>						
Basic, Applied, and Advanced Research in Science and Engineering	12.630	Y	103,947			103,947
	Agency Totals		<u>103,947</u>			<u>103,947</u>
National Reconnaissance Office						
<i>Pass through from Others - see also pass through detail</i>						
Department of Defense (general)	12.000	Y	40,000			40,000
	Agency Totals		<u>40,000</u>			<u>40,000</u>
National Security Agency						
<i>Direct Awards</i>						
Mathematical Sciences Grants Program	12.901	Y	35,573	50,141		85,714
	Agency Totals		<u>35,573</u>	<u>50,141</u>		<u>85,714</u>
Naval Research Laboratory (NRL)						
<i>Direct Awards</i>						
Basic and Applied Scientific Research	12.300	Y	-17,064			-17,064
	Agency Totals		<u>-17,064</u>			<u>-17,064</u>
Naval Surface Warfare Center						
<i>Pass through from Others - see also pass through detail</i>						
Basic and Applied Scientific Research	12.300	Y	-209			-209
	Agency Totals		<u>-209</u>			<u>-209</u>
Navy						
<i>Direct Awards</i>						
Department of Defense (general)	12.000	Y	280,329			280,329
<i>Pass through from Others - see also pass through detail</i>						
Department of Defense (general)	12.000	Y	333,454			333,454
Basic and Applied Scientific Research	12.300	Y	564,463	-2,906		561,557
	Agency Totals		<u>1,178,246</u>	<u>-2,906</u>		<u>1,175,340</u>
Office of Naval Research (ONR)						
<i>Direct Awards</i>						
Department of Defense (general)	12.000	Y		126,097		126,097
Basic and Applied Scientific Research	12.300	Y	6,663,901	1,609,499		8,273,400
<i>Pass through from Others - see also pass through detail</i>						
Basic and Applied Scientific Research	12.300	Y	420,192	158,572		578,764
	Agency Totals		<u>7,084,093</u>	<u>1,894,168</u>		<u>8,978,261</u>

Cluster: 1R&D
Major Agency: US Department of Defense (DoD)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
Space and Naval Warfare Systems Center						
<i>Direct Awards</i>						
Research and Technology Development	12.910	Y	457,688			457,688
Agency Totals			<u>457,688</u>			<u>457,688</u>
SPAWAR Systems Center Pacific						
<i>Direct Awards</i>						
Research and Technology Development	12.910	Y	398,778			398,778
Agency Totals			<u>398,778</u>			<u>398,778</u>
US Army Corps of Engineers						
<i>Direct Awards</i>						
Department of Defense (general)	12.000	Y		86,265		86,265
Basic Scientific Research	12.431	Y	40,874			40,874
<i>Pass through from Others - see also pass through detail</i>						
Department of Defense (general)	12.000	Y	24,720			24,720
Collaborative Research and Development	12.114	Y		109,330		109,330
Agency Totals			<u>65,594</u>	<u>195,595</u>		<u>261,189</u>
US Army Dental Research Detachment						
<i>Direct Awards</i>						
Department of Defense (general)	12.000	Y		6,643		6,643
Agency Totals				<u>6,643</u>		<u>6,643</u>
US Army Engineering District						
<i>Direct Awards</i>						
Department of Defense (general)	12.000	Y	29,925			29,925
Agency Totals			<u>29,925</u>			<u>29,925</u>
US Army Medical Research Acquisition						
<i>Direct Awards</i>						
Department of Defense (general)	12.000	Y	153,590	586,580		740,170
Military Medical Research and Development	12.420	Y	737,443	977,085		1,714,528
Basic Scientific Research	12.431	Y	-462			-462
Basic, Applied, and Advanced Research in Science and Engineering	12.630	Y		177,087		177,087
Agency Totals			<u>890,571</u>	<u>1,740,752</u>		<u>2,631,323</u>
US Army Medical Research Office						
<i>Direct Awards</i>						

Cluster: 1R&D
Major Agency: US Department of Defense (DoD)

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Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
Department of Defense (general)	12.000	Y		55,831		55,831
	Agency Totals			<u>55,831</u>		<u>55,831</u>
US Department of Defense (DoD)						
<i>Direct Awards</i>						
Military Medical Research and Development	12.420	Y		172,215		172,215
Community Economic Adjustment Diversification Planning	12.614	Y		68,967		68,967
<i>Pass through from Others - see also pass through detail</i>						
Department of Defense (general)	12.000	Y		386,013		386,013
Collaborative Research and Development	12.114	Y	363,347			363,347
Military Medical Research and Development	12.420	Y		12,365		12,365
Basic Scientific Research	12.431	Y		18,434		18,434
Air Force Defense Research Sciences Program	12.800	Y		142,498		142,498
	Agency Totals		<u>363,347</u>	<u>800,492</u>		<u>1,163,839</u>
<u>US Department of Education</u>						
US Department of Education						
<i>Direct Awards</i>						
Department of Education (general)	84.000	Y		57,511		57,511
Women's Educational Equity Act Program	84.083	Y		52,754		52,754
National Institute on Disability and Rehabilitation Research	84.133	Y	14,127	5,377,607		5,391,734
Javits Fellowships	84.170	Y		42,981		42,981
Graduate Assistance in Areas of National Need	84.200	Y		200,625		200,625
Education Research, Development and Dissemination	84.305	Y	1,542,292	461,513		2,003,805
Research in Special Education	84.324	Y	913,223	473,490		1,386,713
Special Education - Personnel Development to Improve Services and Results for Children with	84.325	Y	467,405			467,405
<i>Pass through from Others - see also pass through detail</i>						
Department of Education (general)	84.000	Y	261,853	42,938		304,791
National Institute on Disability and Rehabilitation Research	84.133	Y	188,347	206,404		394,751
Safe and Drug-Free Schools and Communities_National Programs	84.184	Y		17,148		17,148
Fund for the Improvement of Education	84.215	Y	70,742			70,742
Assistive Technology	84.224	Y		8,685		8,685
Education Research, Development and Dissemination	84.305	Y		620,268		620,268
Research in Special Education	84.324	Y	72,236			72,236

Cluster: 1R&D
Major Agency: US Department of Education

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
<i>Pass through from State of IL - see also pass through detail</i>						
Safe and Drug-Free Schools and Communities_State Grants	84.186	Y	64,972			64,972
Twenty-First Century Community Learning Centers	84.287	Y	1,693			1,693
Gaining Early Awareness and Readiness for Undergraduate Programs	84.334	Y	299,961			299,961
Improving Teacher Quality State Grants	84.367	Y	141,188			141,188
Agency Totals			<u>4,038,039</u>	<u>7,561,924</u>		<u>11,599,963</u>
<u>US Department of Energy (DOE)</u>						
Argonne National Lab						
<i>Direct awards from DOE lab - see also pass through detail</i>						
Department of Energy (general)	81.000	Y	506,204	700,699		1,206,903
Office of Science Financial Assistance Program	81.049	Y		34,559		34,559
Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns	81.106	Y		-3,320		-3,320
Agency Totals			<u>506,204</u>	<u>731,938</u>		<u>1,238,142</u>
Brookhaven National Lab						
<i>Direct awards from DOE lab - see also pass through detail</i>						
Department of Energy (general)	81.000	Y	92,102			92,102
Agency Totals			<u>92,102</u>			<u>92,102</u>
DOE Chicago operations						
<i>Direct Awards</i>						
Department of Energy (general)	81.000	Y	442,345			442,345
Office of Science Financial Assistance Program	81.049	Y	3,995,246	93,389		4,088,635
<i>Pass through from Others - see also pass through detail</i>						
Office of Science Financial Assistance Program	81.049	Y	67,256			67,256
Agency Totals			<u>4,504,847</u>	<u>93,389</u>		<u>4,598,236</u>
DOE Germantown operations						
<i>Direct Awards</i>						
Office of Science Financial Assistance Program	81.049	Y		508,900		508,900
Agency Totals				<u>508,900</u>		<u>508,900</u>
DOE Idaho operations						
<i>Direct Awards</i>						
Department of Energy (general)	81.000	Y	-16,199			-16,199
Agency Totals			<u>-16,199</u>			<u>-16,199</u>
DOE Pittsburgh operations						

Cluster: 1R&D

Major Agency: US Department of Energy (DOE)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
<i>Direct Awards</i>						
Department of Energy (general)	81.000	Y	160,424			160,424
			<u>Agency Totals</u>			<u>160,424</u>
Fermilab						
<i>Direct awards from DOE lab - see also pass through detail</i>						
Department of Energy (general)	81.000	Y	152,111	84,988		237,099
			<u>Agency Totals</u>	<u>84,988</u>		<u>237,099</u>
Lawrence Berkeley National Laboratory						
<i>Direct awards from DOE lab - see also pass through detail</i>						
Department of Energy (general)	81.000	Y	109,921			109,921
			<u>Agency Totals</u>			<u>109,921</u>
Lawrence Livermore National Lab						
<i>Direct awards from DOE lab - see also pass through detail</i>						
Department of Energy (general)	81.000	Y	2,161,682			2,161,682
			<u>Agency Totals</u>			<u>2,161,682</u>
Los Alamos National Lab						
<i>Direct awards from DOE lab - see also pass through detail</i>						
Department of Energy (general)	81.000	Y	212,231			212,231
			<u>Agency Totals</u>			<u>212,231</u>
National Energy Technology Laboratory (NETL)						
<i>Direct awards from DOE lab - see also pass through detail</i>						
Department of Energy (general)	81.000	Y	92,799			92,799
Fossil Energy Research and Development	81.089	Y	7,232,866			7,232,866
Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and T	81.117	Y	126,048			126,048
			<u>Agency Totals</u>			<u>7,451,713</u>
National Renewable Energy Lab						
<i>Direct awards from DOE lab - see also pass through detail</i>						
Department of Energy (general)	81.000	Y	36,333			36,333
			<u>Agency Totals</u>			<u>36,333</u>
Oak Ridge Institute for Science and Education ORISE						
<i>Direct Awards</i>						
Department of Energy (general)	81.000	Y		10		10
			<u>Agency Totals</u>	<u>10</u>		<u>10</u>

Cluster: 1R&D
Major Agency: US Department of Energy (DOE)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
Oak Ridge National Lab						
<i>Direct awards from DOE lab - see also pass through detail</i>						
Department of Energy (general)	81.000	Y	242,016			242,016
Agency Totals			<u>242,016</u>			<u>242,016</u>
Pacific Northwest National Lab						
<i>Direct awards from DOE lab - see also pass through detail</i>						
Department of Energy (general)	81.000	Y	204,017			204,017
<i>Pass through from Others - see also pass through detail</i>						
Department of Energy (general)	81.000	Y	8,060			8,060
Agency Totals			<u>212,077</u>			<u>212,077</u>
Sandia National Lab						
<i>Direct awards from DOE lab - see also pass through detail</i>						
Department of Energy (general)	81.000	Y	711,672			711,672
Agency Totals			<u>711,672</u>			<u>711,672</u>
US Department of Energy (DOE)						
<i>Direct Awards</i>						
Department of Energy (general)	81.000	Y	9,781,880	219,768		10,001,648
Office of Science Financial Assistance Program	81.049	Y	259,757	294,150		553,907
Office of Scientific and Technical Information	81.064	Y		168,977		168,977
Conservation Research and Development	81.086	Y	122,035	-14,142		107,893
Renewable Energy Research and Development	81.087	Y	248,835			248,835
Defense Nuclear Nonproliferation Research	81.113	Y		115,970		115,970
Nuclear Energy Research, Development and Demonstration	81.121	Y	31,675			31,675
<i>Pass through from Others - see also pass through detail</i>						
Air Force Defense Research Sciences Program	12.800	Y	29,369			29,369
Department of Energy (general)	81.000	Y	743,164	157,037		900,201
State Energy Program	81.041	Y		-1,394		-1,394
Office of Science Financial Assistance Program	81.049	Y	974,557	77,995		1,052,552
Office of Scientific and Technical Information	81.064	Y	492			492
Regional Biomass Energy Programs	81.079	Y	105,335			105,335
Conservation Research and Development	81.086	Y		190,207		190,207
Renewable Energy Research and Development	81.087	Y	149,409	-254,120		-104,711
Fossil Energy Research and Development	81.089	Y		-36,030		-36,030

Cluster: 1R&D
Major Agency: US Department of Energy (DOE)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
Defense Nuclear Nonproliferation Research	81.113	Y	88,643			88,643
Nuclear Energy Research, Development and Demonstration	81.121	Y		148,699		148,699
<i>Pass through from State of IL - see also pass through detail</i>						
State Energy Program	81.041	Y	856,113			856,113
Agency Totals			<u>13,391,264</u>	<u>1,067,117</u>		<u>14,458,381</u>

US Department of Homeland Security(USDHS)

Federal Emergency Management Agency (FEMA)

Direct Awards

Assistance to Firefighters Grant	97.044	Y	369,119			369,119
<i>Pass through from Others - see also pass through detail</i>						
Department of Homeland Security (general)	97.000	Y	159,769			159,769
<i>Pass through from State of IL - see also pass through detail</i>						
Pre-Disaster Mitigation	97.047	Y	13,775			13,775
Agency Totals			<u>542,663</u>			<u>542,663</u>

US Department of Homeland Security(USDHS)

Direct Awards

Assistance to Firefighters Grant	97.044	Y	106,353			106,353
Aviation Research Grants	97.069	Y	65,584			65,584
<i>Pass through from Others - see also pass through detail</i>						
Department of Homeland Security (general)	97.000	Y	393,800			393,800
Pilot Demonstration or Earmarked Projects	97.001	Y	75,692			75,692
Agency Totals			<u>641,429</u>			<u>641,429</u>

US Department of Interior

Acadia National Park

Direct Awards

Department of the Interior (general)	15.000	Y	10,008			10,008
Agency Totals			<u>10,008</u>			<u>10,008</u>

National Business Center (NBC)

Direct Awards

Department of the Interior (general)	15.000	Y	359,135			359,135
<i>Pass through from Others - see also pass through detail</i>						
Department of the Interior (general)	15.000	Y	-232			-232
Agency Totals			<u>358,903</u>			<u>358,903</u>

Cluster: 1R&D
Major Agency: US Department of Interior

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
National Park Service						
<i>Direct Awards</i>						
Department of the Interior (general)	15.000	Y	126,148	21,902		148,050
			<u>126,148</u>	<u>21,902</u>		<u>148,050</u>
United States Geological Survey						
<i>Direct Awards</i>						
Department of the Interior (general)	15.000	Y	156,516			156,516
Assistance to State Water Resources Research Institutes	15.805	Y	76,554			76,554
Earthquake Hazards Reduction Program	15.807	Y	71,237			71,237
U.S. Geological Survey_ Research and Data Collection	15.808	Y	92,557			92,557
National Spatial Data Infrastructure Cooperative Agreements Program	15.809	Y	37,036			37,036
National Cooperative Geologic Mapping Program	15.810	Y	243,678			243,678
Upper Mississippi River System Long Term Resource Monitoring Program	15.978	Y	958,814			958,814
			<u>1,636,392</u>			<u>1,636,392</u>
US Bureau of Reclamation						
<i>Direct Awards</i>						
Department of the Interior (general)	15.000	Y	-304			-304
<i>Pass through from Others - see also pass through detail</i>						
Department of the Interior (general)	15.000	Y	12,322			12,322
			<u>12,018</u>			<u>12,018</u>
US Department of Interior						
<i>Direct Awards</i>						
Department of the Interior (general)	15.000	Y	161,921			161,921
Assistance to State Water Resources Research Institutes	15.805	Y	2,268			2,268
<i>Pass through from Others - see also pass through detail</i>						
Department of the Interior (general)	15.000	Y	14,215			14,215
<i>Pass through from State of IL - see also pass through detail</i>						
Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining	15.250	Y	115,514			115,514
			<u>293,918</u>			<u>293,918</u>
US Fish & Wildlife						
<i>Direct Awards</i>						
Department of the Interior (general)	15.000	Y	66,528			66,528
Fish and Wildlife Management Assistance	15.608	Y	254,953			254,953

Cluster: 1R&D
Major Agency: US Department of Interior

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
African Elephant Conservation Fund	15.620	Y	33,534			33,534
Challenge Cost Share	15.642	Y	11,469			11,469
Migratory Bird Conservation	15.647	Y	7,232			7,232
<i>Pass through from Others - see also pass through detail</i>						
Department of the Interior (general)	15.000	Y	23,600			23,600
Fish and Wildlife Management Assistance	15.608	Y	-795			-795
<i>Pass through from State of IL - see also pass through detail</i>						
Sport Fish Restoration Program	15.605	Y	1,531,207			1,531,207
Wildlife Restoration	15.611	Y	792,579			792,579
Cooperative Endangered Species Conservation Fund	15.615	Y	28,487			28,487
State Wildlife Grants	15.634	Y	904,391			904,391
Agency Totals			<u>3,653,185</u>			<u>3,653,185</u>
US Office of Surface Mining Reclamation and Enforcement						
<i>Pass through from State of IL - see also pass through detail</i>						
Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining	15.250	Y	730			730
Agency Totals			<u>730</u>			<u>730</u>
<u>US Department of Justice (DOJ)</u>						
National Institute of Justice						
<i>Direct Awards</i>						
Department of Justice (general)	16.000	Y		486,521		486,521
National Institute of Justice Research, Evaluation, and Development Project Grants	16.560	Y		304,963		304,963
Agency Totals				<u>791,484</u>		<u>791,484</u>
US Department of Justice (DOJ)						
<i>Direct Awards</i>						
Department of Justice (general)	16.000	Y	86,277			86,277
OVW Technical Assistance Initiative	16.526	Y		99,292		99,292
<i>Pass through from Others - see also pass through detail</i>						
Juvenile Justice and Delinquency Prevention_Allocation to States	16.540	Y		21,808		21,808
Gang-Free Schools and Communities_Community-Based Gang Intervention	16.544	Y		73,224		73,224
<i>Pass through from State of IL - see also pass through detail</i>						
Juvenile Justice and Delinquency Prevention_Allocation to States	16.540	Y	59,474			59,474
Agency Totals			<u>145,751</u>	<u>194,324</u>		<u>340,075</u>
<u>US Department of Labor (DOL)</u>						

Cluster: 1R&D
Major Agency: US Department of Labor (DOL)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
US Department of Labor (DOL)						
<i>Pass through from Others - see also pass through detail</i>						
Disability Employment Policy Development	17.720	Y		21,162		21,162
Agency Totals				<u>21,162</u>		<u>21,162</u>
US Department of Transportation (DOT)						
FAA William J Hughes Technical Center						
<i>Direct Awards</i>						
Aviation Research Grants	20.108	Y	128,546			128,546
Air Transportation Centers of Excellence	20.109	Y	2,913,079			2,913,079
Agency Totals			<u>3,041,625</u>			<u>3,041,625</u>
Federal Aviation Administration (FAA)						
<i>Direct Awards</i>						
Aviation Research Grants	20.108	Y	86,861			86,861
<i>Pass through from Others - see also pass through detail</i>						
Department of Transportation (general)	20.000	Y		1,888		1,888
Agency Totals			<u>86,861</u>	<u>1,888</u>		<u>88,749</u>
Federal Highway Administration (FHWA)						
<i>Direct Awards</i>						
Department of Transportation (general)	20.000	Y		24,023		24,023
Highway Research and Development Program	20.200	Y		90,523		90,523
Highway Planning and Construction	20.205	Y	40			40
Agency Totals			<u>40</u>	<u>114,546</u>		<u>114,586</u>
Federal Railroad Administration						
<i>Direct Awards</i>						
Department of Transportation (general)	20.000	Y		213,778		213,778
Railroad Safety	20.301	Y		141,611		141,611
<i>Pass through from Others - see also pass through detail</i>						
Railroad Safety	20.301	Y	13,365			13,365
Agency Totals			<u>13,365</u>	<u>355,389</u>		<u>368,754</u>
Federal Transit Administration (FTA)						
<i>Direct Awards</i>						
Job Access_Reverse Commute	20.516	Y		165,293		165,293
<i>Pass through from Others - see also pass through detail</i>						

Cluster: 1R&D

Major Agency: US Department of Transportation (DOT)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
Department of Transportation (general)	20.000	Y		9,280		9,280
<i>Pass through from State of IL - see also pass through detail</i>						
Highway Planning and Construction	20.205	Y		116,546		116,546
Agency Totals				<u>291,119</u>		<u>291,119</u>
US Department of Transportation (DOT)						
<i>Direct Awards</i>						
Department of Transportation (general)	20.000	Y	3,611			3,611
Job Access_Reverse Commute	20.516	Y		12,038		12,038
<i>Pass through from Others - see also pass through detail</i>						
Department of Transportation (general)	20.000	Y	408,419	175,878		584,297
Airport Improvement Program	20.106	Y	25,477			25,477
Highway Research and Development Program	20.200	Y	53,533	38,901		92,434
Transportation Infrastructure Finance and Innovation Act (TIFIA) Program	20.223	Y		58,976		58,976
Federal Transit_Formula Grants	20.507	Y		3,298		3,298
Pipeline Safety Program Base Grants	20.700	Y		70,981		70,981
<i>Pass through from State of IL - see also pass through detail</i>						
Highway Planning and Construction	20.205	Y	1,833,667	90,522	38,695	1,962,884
Job Access_Reverse Commute	20.516	Y		144,535		144,535
State and Community Highway Safety	20.600	Y			254,844	254,844
Incentive Grant Program to Prohibit Racial Profiling	20.611	Y		9,874		9,874
Agency Totals			<u>2,324,707</u>	<u>605,003</u>	<u>293,539</u>	<u>3,223,249</u>
<u>US Environmental Protection Agency (EPA)</u>						
US Environmental Protection Agency (EPA)						
<i>Direct Awards</i>						
Environmental Protection Agency (general)	66.000	Y	-1,163			-1,163
Regional Wetland Program Development Grants	66.461	Y	63,673			63,673
Great Lakes Program	66.469	Y	24,348	38,131		62,479
Environmental Protection-Consolidated Research	66.500	Y	52,552			52,552
Science To Achieve Results (STAR) Research Program	66.509	Y	346,415	-7,421		338,994
P3 Award: National Student Design Competition for Sustainability	66.516	Y	59,357			59,357
Surveys Studies Investigations and Special Purpose Grants	66.606	Y	3			3
Research, Development, Monitoring, Public Education, Training, Demonstrations, and Studies	66.716	Y		47,236		47,236

Cluster: 1R&D

Major Agency: US Environmental Protection Agency (EPA)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreement	66.814	Y		22		22
<i>Pass through from Others - see also pass through detail</i>						
Environmental Protection Agency (general)	66.000	Y	274,988	87,579		362,567
Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Re	66.034	Y	24,152			24,152
Water Pollution Control State, Interstate, and Tribal Program Support	66.419	Y	2,708			2,708
Targeted Watersheds Grants	66.439	Y	178,873			178,873
National Estuary Program	66.456	Y	5,838			5,838
Nonpoint Source Implementation Grants	66.460	Y	3,373			3,373
Environmental Protection-Consolidated Research	66.500	Y	-2,800			-2,800
Science To Achieve Results (STAR) Research Program	66.509	Y		22,559		22,559
Regional Environmental Monitoring and Assessment Program (REMAP) Research Projects	66.512	Y	465			465
Performance Partnership Grants	66.605	Y	652			652
Research, Development, Monitoring, Public Education, Training, Demonstrations, and Studies	66.716	Y	34,998			34,998
<i>Pass through from State of IL - see also pass through detail</i>						
Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agree	66.436	Y		172		172
Nonpoint Source Implementation Grants	66.460	Y	184,858			184,858
Water Protection Grants to the States	66.474	Y	23,629			23,629
Performance Partnership Grants	66.605	Y	26,313			26,313
Agency Totals			<u>1,303,232</u>	<u>188,278</u>		<u>1,491,510</u>

US Health & Human Services (HHS)

Administration for Children and Families (ACF)

Direct Awards

University Centers for Excellence in Developmental Disabilities Education, Research, and Servi	93.632	Y		-28,970		-28,970
Agency Totals				<u>-28,970</u>		<u>-28,970</u>

Agency for Healthcare Research and Quality (AHRQ)

Direct Awards

Department of Health and Human Services (general)	93.000	Y		292,301		292,301
Research on Healthcare Costs, Quality and Outcomes	93.226	Y	1,485	1,232,386		1,233,871
<i>Pass through from Others - see also pass through detail</i>						
Research on Healthcare Costs, Quality and Outcomes	93.226	Y		171,552		171,552
Agency Totals			<u>1,485</u>	<u>1,696,239</u>		<u>1,697,724</u>

Agency for Toxic Substances and Disease Registry (ATSDR)

Direct Awards

Cluster: 1R&D

Major Agency: US Health & Human Services (HHS)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
Great Lakes Human Health Effects Research	93.208	Y	177,012			177,012
	Agency Totals		<u>177,012</u>			<u>177,012</u>
Center for Scientific Review						
<i>Direct Awards</i>						
Department of Health and Human Services (general)	93.000	Y		179,656		179,656
	Agency Totals			<u>179,656</u>		<u>179,656</u>
Centers for Disease Control and Prevention (CDC)						
<i>Direct Awards</i>						
Department of Health and Human Services (general)	93.000	Y		16,478		16,478
Innovations in Applied Public Health Research	93.061	Y		1,351,373		1,351,373
Injury Prevention and Control Research and State and Community Based Programs	93.136	Y		129,137		129,137
Disabilities Prevention	93.184	Y		172,154		172,154
Occupational Safety and Health Program	93.262	Y	78,823	736,127		814,950
Occupational Safety and Health Training Grants	93.263	Y		1,482		1,482
Centers for Disease Control and Prevention_ Investigations and Technical Assistance	93.283	Y		2,494,561		2,494,561
Epidemiologic Research Studies of Acquired Immunodeficiency Syndrome (AIDS) and Human I	93.943	Y		5,007		5,007
Assistance Programs for Chronic Disease Prevention and Control	93.945	Y		22,630		22,630
<i>Pass through from Others - see also pass through detail</i>						
Department of Health and Human Services (general)	93.000	Y		201,207		201,207
Innovations in Applied Public Health Research	93.061	Y		218,308		218,308
Injury Prevention and Control Research and State and Community Based Programs	93.136	Y		73,423		73,423
Disabilities Prevention	93.184	Y	1,285			1,285
Occupational Safety and Health Program	93.262	Y		-880		-880
Centers for Disease Control and Prevention_ Investigations and Technical Assistance	93.283	Y		105,335		105,335
Digestive Diseases and Nutrition Research	93.848	Y		60,282		60,282
HIV Prevention Activities_Health Department Based	93.940	Y		-6,454		-6,454
Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Sur	93.944	Y		1,681		1,681
Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative	93.946	Y	14,984			14,984
<i>Pass through from State of IL - see also pass through detail</i>						
Special Programs for the Aging_Title IV_and Title II_Discretionary Projects	93.048	Y		2,108		2,108
Public Health Emergency Preparedness	93.069	Y		230,255		230,255
Disabilities Prevention	93.184	Y		58,299		58,299

Cluster: 1R&D

Major Agency: US Health & Human Services (HHS)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
Centers for Disease Control and Prevention Investigations	93.283	Y	6,909	105,720		112,629
Assistance Programs for Chronic Disease Prevention and Control	93.945	Y		65,781		65,781
Agency Totals			<u>102,001</u>	<u>6,044,014</u>		<u>6,146,015</u>
Food and Drug Administration (FDA)						
<i>Pass through from Others - see also pass through detail</i>						
Food and Drug Administration_Research	93.103	Y		826		826
Agency Totals				<u>826</u>		<u>826</u>
Health Resources and Services Administration (HRSA)						
<i>Direct Awards</i>						
Maternal and Child Health Federal Consolidated Programs	93.110	Y	6,149	723,254		729,403
Centers for Medical Education Research	93.222	Y		-1,357		-1,357
Advanced Education Nursing Traineeships	93.358	Y		154,772		154,772
Ryan White HIV/AIDS Dental Reimbursements Community Based Dental Partnership	93.924	Y		175,631		175,631
Special Projects of National Significance	93.928	Y		294,174		294,174
<i>Pass through from Others - see also pass through detail</i>						
Department of Health and Human Services (general)	93.000	Y		7,156		7,156
Maternal and Child Health Federal Consolidated Programs	93.110	Y		5,601		5,601
HIV Emergency Relief Project Grants	93.914	Y		390		390
<i>Pass through from State of IL - see also pass through detail</i>						
Special Programs for the Aging_Title IV_and Title II_Discretionary Projects	93.048	Y		1,149		1,149
Cooperative Agreements to States/Territories for the Coordination and Development of Primary	93.130	Y		36,241		36,241
Grants to States for Operation of Offices of Rural Health	93.913	Y		690		690
Agency Totals			<u>6,149</u>	<u>1,397,701</u>		<u>1,403,850</u>
HRSA/BHPR/DADPHP (Health Resources and Services)						
<i>Pass through from Others - see also pass through detail</i>						
Department of Health and Human Services (general)	93.000	Y		53,458		53,458
Model State-Supported Area Health Education Centers	93.107	Y		44,271		44,271
Agency Totals				<u>97,729</u>		<u>97,729</u>
John E Fogarty International Center						
<i>Direct Awards</i>						
Microbiology and Infectious Diseases Research	93.856	Y		-90		-90
International Research and Research Training	93.989	Y		808,416		808,416
Agency Totals				<u>808,326</u>		<u>808,326</u>

Cluster: 1R&D
Major Agency: US Health & Human Services (HHS)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
National Cancer Institute						
<i>Direct Awards</i>						
Department of Health and Human Services (general)	93.000	Y		205,465		205,465
Cancer Cause and Prevention Research	93.393	Y	197,827	3,510,025		3,707,852
Cancer Detection and Diagnosis Research	93.394	Y	1,660,409	565,780		2,226,189
Cancer Treatment Research	93.395	Y	30,993	3,074,102		3,105,095
Cancer Biology Research	93.396	Y	154,943	2,637,933		2,792,876
Cancer Research Manpower	93.398	Y	63,483	434,702		498,185
Cancer Control	93.399	Y		2,321,577		2,321,577
<i>Pass through from Others - see also pass through detail</i>						
Department of Health and Human Services (general)	93.000	Y		90,153		90,153
Cancer Cause and Prevention Research	93.393	Y		170,322		170,322
Cancer Research Manpower	93.398	Y		77,784		77,784
Cancer Control	93.399	Y		217,579		217,579
	Agency Totals		<u>2,107,655</u>	<u>13,305,422</u>		<u>15,413,077</u>
National Center for Complementary & Alternative Medicine						
<i>Direct Awards</i>						
Research and Training in Complementary and Alternative Medicine	93.213	Y	70,700	1,420,332		1,491,032
	Agency Totals		<u>70,700</u>	<u>1,420,332</u>		<u>1,491,032</u>
National Center for Injury Prevention and Control						
<i>Direct Awards</i>						
Injury Prevention and Control Research and State and Community Based Programs	93.136	Y	283,248	795,368		1,078,616
Protection and Advocacy for Individuals with Mental Illness	93.138	Y		50,647		50,647
	Agency Totals		<u>283,248</u>	<u>846,015</u>		<u>1,129,263</u>
National Center for Research Resources						
<i>Direct Awards</i>						
General Clinical Research Centers	93.333	Y		-799,543		-799,543
National Center for Research Resources	93.389	Y	1,567,327	2,873,125		4,440,452
	Agency Totals		<u>1,567,327</u>	<u>2,073,582</u>		<u>3,640,909</u>
National Center on Minority Health & Health Disparities						
<i>Direct Awards</i>						
Minority Health and Health Disparities Research	93.307	Y		51,591		51,591
	Agency Totals			<u>51,591</u>		<u>51,591</u>

Cluster: 1R&D
Major Agency: US Health & Human Services (HHS)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
National Eye Institute						
<i>Direct Awards</i>						
Family Violence Prevention and Services/Grants for Battered Women's Shelters_Grants to Stat	93.671	Y		271,093		271,093
Vision Research	93.867	Y		3,527,861		3,527,861
<i>Pass through from Others - see also pass through detail</i>						
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847	Y		2,517		2,517
Vision Research	93.867	Y		92,956		92,956
Agency Totals				<u>3,894,427</u>		<u>3,894,427</u>
National Heart Lung & Blood Institute						
<i>Direct Awards</i>						
Department of Health and Human Services (general)	93.000	Y		216,697		216,697
National Center on Sleep Disorders Research	93.233	Y		96,065		96,065
ARRA-Trans -NIH Recovery Act Research Support	93.701	Y	12,114	2,756		14,870
Cardiovascular Diseases Research	93.837	Y	156,660	7,823,484		7,980,144
Lung Diseases Research	93.838	Y	34,086	7,312,153		7,346,239
Blood Diseases and Resources Research	93.839	Y		4,046,356		4,046,356
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847	Y		337,097		337,097
Child Health and Human Development Extramural Research	93.865	Y		142,279		142,279
<i>Pass through from Others - see also pass through detail</i>						
Department of Health and Human Services (general)	93.000	Y		17,653		17,653
Blood Diseases and Resources Research	93.839	Y		3,147		3,147
Agency Totals			<u>202,860</u>	<u>19,997,687</u>		<u>20,200,547</u>
National Human Genome Research Institute						
<i>Direct Awards</i>						
Human Genome Research	93.172	Y	183,682	43,587		227,269
Agency Totals			<u>183,682</u>	<u>43,587</u>		<u>227,269</u>
National Institute for Occupational Safety & Health						
<i>Direct Awards</i>						
Occupational Safety and Health Program	93.262	Y	203,975	1,603,089		1,807,064
<i>Pass through from Others - see also pass through detail</i>						
Department of Health and Human Services (general)	93.000	Y		19,377		19,377
Agency Totals			<u>203,975</u>	<u>1,622,466</u>		<u>1,826,441</u>
National Institute of Allergy & Infectious Diseases						

Cluster: 1R&D
Major Agency: US Health & Human Services (HHS)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
<i>Direct Awards</i>						
Department of Health and Human Services (general)	93.000	Y		173,471		173,471
ARRA-Trans -NIH Recovery Act Research Support	93.701	Y	13,020			13,020
Allergy, Immunology and Transplantation Research	93.855	Y	1,011,880	5,856,253		6,868,133
Microbiology and Infectious Diseases Research	93.856	Y	1,899,809	4,385,226		6,285,035
<i>Pass through from Others - see also pass through detail</i>						
Department of Health and Human Services (general)	93.000	Y	333,299	15,882		349,181
Allergy, Immunology and Transplantation Research	93.855	Y	7,569	390,094		397,663
Microbiology and Infectious Diseases Research	93.856	Y	204,240	263,907		468,147
	Agency Totals		<u>3,469,817</u>	<u>11,084,833</u>		<u>14,554,650</u>
National Institute of Biomedical Imaging & Bioengineering						
<i>Direct Awards</i>						
Discovery and Applied Research for Technological Innovations to Improve Human Health	93.286	Y	622,925	193,769		816,694
<i>Pass through from Others - see also pass through detail</i>						
Department of Health and Human Services (general)	93.000	Y	475			475
Discovery and Applied Research for Technological Innovations to Improve Human Health	93.286	Y	25,812			25,812
	Agency Totals		<u>649,212</u>	<u>193,769</u>		<u>842,981</u>
National Institute of Child Health & Human Development						
<i>Direct Awards</i>						
Department of Health and Human Services (general)	93.000	Y	278,615	1,830,986		2,109,601
ARRA-Trans -NIH Recovery Act Research Support	93.701	Y	16,761			16,761
Population Research	93.864	Y	550,548	-1,206		549,342
Child Health and Human Development Extramural Research	93.865	Y	3,509,192	3,717,146		7,226,338
Center for Medical Rehabilitation Research	93.929	Y		-13,317		-13,317
<i>Pass through from Others - see also pass through detail</i>						
Department of Health and Human Services (general)	93.000	Y		186,923		186,923
Child Health and Human Development Extramural Research	93.865	Y	2,863	-52,685		-49,822
	Agency Totals		<u>4,357,979</u>	<u>5,667,847</u>		<u>10,025,826</u>
National Institute of Dental & Craniofacial Research						
<i>Direct Awards</i>						
Oral Diseases and Disorders Research	93.121	Y	291,669	2,079,608		2,371,277
ARRA-Trans -NIH Recovery Act Research Support	93.701	Y		11,499		11,499
<i>Pass through from Others - see also pass through detail</i>						

Cluster: 1R&D
Major Agency: US Health & Human Services (HHS)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
Oral Diseases and Disorders Research	93.121	Y		1,225		1,225
Agency Totals			<u>291,669</u>	<u>2,092,332</u>		<u>2,384,001</u>
National Institute of Diabetes & Digestive & Kidney Diseases						
<i>Direct Awards</i>						
Department of Health and Human Services (general)	93.000	Y		881,155		881,155
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847	Y	1,462,421	1,504,538		2,966,959
Digestive Diseases and Nutrition Research	93.848	Y		2,195,488		2,195,488
Kidney Diseases Urology and Hematology Research	93.849	Y		1,630,941		1,630,941
<i>Pass through from Others - see also pass through detail</i>						
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847	Y	-7,742	183,906		176,164
Digestive Diseases and Nutrition Research	93.848	Y		68,734		68,734
Kidney Diseases Urology and Hematology Research	93.849	Y		36,266		36,266
Agency Totals			<u>1,454,679</u>	<u>6,501,028</u>		<u>7,955,707</u>
National Institute of Environmental & Health Sciences						
<i>Direct Awards</i>						
Environmental Health	93.113	Y	1,417,415	591,740		2,009,155
Biometry and Risk Estimation Health Risks from	93.115	Y		19,675		19,675
<i>Pass through from Others - see also pass through detail</i>						
Environmental Health	93.113	Y		18		18
Agency Totals			<u>1,417,415</u>	<u>611,433</u>		<u>2,028,848</u>
National Institute of General Medical Sciences						
<i>Direct Awards</i>						
Bioinformatics and Computational Biology Research (B)	93.309	Y		2,529		2,529
Academic Research Enhancement Award	93.390	Y	4,685	293,204		297,889
Refugee Assistance_Naturalization and Citizenship Activities	93.589	Y		416,418		416,418
Cell Biology and Biophysics Research	93.821	Y	136,486	-7,941		128,545
Biomedical Research and Research Training	93.859	Y	7,052,682	6,345,089		13,397,771
<i>Pass through from Others - see also pass through detail</i>						
Biomedical Research and Research Training	93.859	Y	89,668	293,073		382,741
Agency Totals			<u>7,283,521</u>	<u>7,342,372</u>		<u>14,625,893</u>
National Institute of Mental Health						
<i>Direct Awards</i>						
Mental Health Research Grants	93.242	Y	1,066,910	7,575,447		8,642,357

Cluster: 1R&D
Major Agency: US Health & Human Services (HHS)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
Mental Health Research Career/Scientist Development Awards	93.281	Y		942,073		942,073
Mental Health National Research Service Awards for Research Training	93.282	Y	108,243	200,949		309,192
Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Stu	93.342	Y		-2,361		-2,361
<i>Pass through from Others - see also pass through detail</i>						
Department of Health and Human Services (general)	93.000	Y		56,892		56,892
Mental Health Research Grants	93.242	Y	177,850	100,305		278,155
Discovery and Applied Research for Technological Innovations to Improve Human Health	93.286	Y		8,798		8,798
Agency Totals			<u>1,353,003</u>	<u>8,882,103</u>		<u>10,235,106</u>
National Institute of Neurological Disorders & Stroke						
<i>Direct Awards</i>						
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853	Y	737,609	5,528,813		6,266,422
Biological Basis Research in the Neurosciences	93.854	Y		-141		-141
<i>Pass through from Others - see also pass through detail</i>						
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853	Y		26,361		26,361
Agency Totals			<u>737,609</u>	<u>5,555,033</u>		<u>6,292,642</u>
National Institute of Nursing Research						
<i>Direct Awards</i>						
Nursing Research	93.361	Y	593	3,957,226		3,957,819
<i>Pass through from Others - see also pass through detail</i>						
Nursing Research	93.361	Y	22,624	216,328		238,952
Agency Totals			<u>23,217</u>	<u>4,173,554</u>		<u>4,196,771</u>
National Institute on Aging						
<i>Direct Awards</i>						
Cancer Cause and Prevention Research	93.393	Y		240,989		240,989
Aging Research	93.866	Y	2,302,326	3,862,904		6,165,230
<i>Pass through from Others - see also pass through detail</i>						
Aging Research	93.866	Y		210,194		210,194
Agency Totals			<u>2,302,326</u>	<u>4,314,087</u>		<u>6,616,413</u>
National Institute on Alcohol Abuse & Alcoholism						
<i>Direct Awards</i>						
Alcohol Research Programs	93.273	Y	145,688	1,764,880		1,910,568
ARRA-Trans -NIH Recovery Act Research Support	93.701	Y		26,688		26,688
<i>Pass through from Others - see also pass through detail</i>						

Cluster: 1R&D

Major Agency: US Health & Human Services (HHS)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
Alcohol Research Programs	93.273	Y		22,141		22,141
Agency Totals			<u>145,688</u>	<u>1,813,709</u>		<u>1,959,397</u>
National Institute on Deafness & Other Hearing Disorders						
<i>Direct Awards</i>						
Research Related to Deafness and Communication Disorders	93.173	Y	1,232,328	337,280		1,569,608
<i>Pass through from Others - see also pass through detail</i>						
Research Related to Deafness and Communication Disorders	93.173	Y	35,552			35,552
Agency Totals			<u>1,267,880</u>	<u>337,280</u>		<u>1,605,160</u>
National Institute on Drug Abuse						
<i>Direct Awards</i>						
Department of Health and Human Services (general)	93.000	Y		153,169		153,169
Career Development Awards	93.277	Y		2,421		2,421
Drug Abuse and Addiction Research Programs	93.279	Y	917,796	3,728,719		4,646,515
ARRA-Trans -NIH Recovery Act Research Support	93.701	Y		6,596		6,596
<i>Pass through from Others - see also pass through detail</i>						
Drug Abuse and Addiction Research Programs	93.279	Y		13,171		13,171
Agency Totals			<u>917,796</u>	<u>3,904,076</u>		<u>4,821,872</u>
National Institutes of Health (NIH)						
<i>Direct Awards</i>						
Department of Health and Human Services (general)	93.000	Y	716,187	881,610		1,597,797
Environmental Health	93.113	Y	230,377			230,377
Biometry and Risk Estimation Health Risks from	93.115	Y	43,582			43,582
Oral Diseases and Disorders Research	93.121	Y	375,254	505,743		880,997
Human Genome Research	93.172	Y	429,548			429,548
Research Related to Deafness and Communication Disorders	93.173	Y	376,918	52,448		429,366
Research and Training in Complementary and Alternative Medicine	93.213	Y		119,756		119,756
Mental Health Research Grants	93.242	Y	2,252,605	692,866		2,945,471
Occupational Safety and Health Program	93.262	Y	16,961			16,961
Alcohol Research Career Development Awards for Scientists and Clinicians	93.271	Y		74,171		74,171
Alcohol Research Programs	93.273	Y	5,222	339,602		344,824
Drug Abuse and Addiction Research Programs	93.279	Y	773,735	375,939		1,149,674
Mental Health Research Career/Scientist Development Awards	93.281	Y	116,410	227,571		343,981
Mental Health National Research Service Awards for Research Training	93.282	Y	23,070	67,117		90,187

Cluster: 1R&D

Major Agency: US Health & Human Services (HHS)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
Discovery and Applied Research for Technological Innovations to Improve Human Health	93.286	Y	1,914,595	509,289		2,423,884
Bioinformatics and Computational Biology Research (B)	93.309	Y	107,239			107,239
Trans-NIH Research Support	93.310	Y	452,651	3,492		456,143
Nursing Research	93.361	Y		554,740		554,740
National Center for Research Resources	93.389	Y		109,817		109,817
Academic Research Enhancement Award	93.390	Y	273,364			273,364
Cancer Cause and Prevention Research	93.393	Y	547,334	2,905,241		3,452,575
Cancer Detection and Diagnosis Research	93.394	Y	969,447	84,909		1,054,356
Cancer Treatment Research	93.395	Y	390,903	853,171		1,244,074
Cancer Biology Research	93.396	Y	47,286	585,528		632,814
Cancer Research Manpower	93.398	Y		487,143		487,143
Cancer Control	93.399	Y		61,418		61,418
Head Start	93.600	Y	3			3
Youth Initiative/Youth Gangs	93.660	Y	234,797			234,797
ARRA-Trans -NIH Recovery Act Research Support	93.701	Y		9,010		9,010
Cell Biology and Biophysics Research	93.821	Y	-204			-204
Cardiovascular Diseases Research	93.837	Y	1,115,199	3,974,061		5,089,260
Lung Diseases Research	93.838	Y	431,662	1,343,741		1,775,403
Blood Diseases and Resources Research	93.839	Y	440,004	1,269,491		1,709,495
Arthritis, Musculoskeletal and Skin Diseases Research	93.846	Y	300,726			300,726
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847	Y	555,584	552,323		1,107,907
Digestive Diseases and Nutrition Research	93.848	Y	341,665	373,771		715,436
Kidney Diseases Urology and Hematology Research	93.849	Y		124,849		124,849
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853	Y	832,783	692,111		1,524,894
Allergy, Immunology and Transplantation Research	93.855	Y	634,552	1,421,235		2,055,787
Microbiology and Infectious Diseases Research	93.856	Y	132,733			132,733
Biomedical Research and Research Training	93.859	Y	9,545,872	2,166,308		11,712,180
Population Research	93.864	Y	-1,470			-1,470
Child Health and Human Development Extramural Research	93.865	Y	818,870	1,906,180		2,725,050
Aging Research	93.866	Y	2,340,354	1,111,427		3,451,781
Vision Research	93.867	Y	2,240,930	713,093		2,954,023
Resource and Manpower Development in the Environmental	93.894	Y	30			30

Cluster: 1R&D

Major Agency: US Health & Human Services (HHS)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
International Research and Research Training	93.989	Y	26,704			26,704
<i>Pass through from Others - see also pass through detail</i>						
Department of Health and Human Services (general)	93.000	Y	257,977	770,516		1,028,493
Environmental Health	93.113	Y		28,448		28,448
Oral Diseases and Disorders Research	93.121	Y		69,416		69,416
Centers for Research and Demonstration for Health Promotion and Disease Prevention	93.135	Y		18,832		18,832
NIEHS Superfund Hazardous Substances_Basic Research and Education	93.143	Y	-828			-828
Human Genome Research	93.172	Y	20,847			20,847
Research Related to Deafness and Communication Disorders	93.173	Y	148,935	57,971		206,906
Disabilities Prevention	93.184	Y		63,827		63,827
Research and Training in Complementary and Alternative Medicine	93.213	Y	102,321	330,127		432,448
Research on Healthcare Costs, Quality and Outcomes	93.226	Y		45,866		45,866
National Center on Sleep Disorders Research	93.233	Y		58,651		58,651
State Rural Hospital Flexibility Program	93.241	Y		12,441		12,441
Mental Health Research Grants	93.242	Y		468,772		468,772
Substance Abuse and Mental Health Services_Projects of Regional and National Significance	93.243	Y		43,934		43,934
Alcohol National Research Service Awards for Research Training	93.272	Y		94,390		94,390
Drug Abuse and Addiction Research Programs	93.279	Y		93,052		93,052
Discovery and Applied Research for Technological Innovations to Improve Human Health	93.286	Y	127,444	-654		126,790
Minority Health and Health Disparities Research	93.307	Y		-10,006		-10,006
Trans-NIH Research Support	93.310	Y		51,451		51,451
Nursing Research	93.361	Y	18,771	-1,118		17,653
National Center for Research Resources	93.389	Y	141,842			141,842
Cancer Cause and Prevention Research	93.393	Y	-25	574,008		573,983
Cancer Detection and Diagnosis Research	93.394	Y	31,522	96,959		128,481
Cancer Treatment Research	93.395	Y		444,982		444,982
Cancer Biology Research	93.396	Y	200,283			200,283
Cancer Control	93.399	Y	449,275	78,674		527,949
Voting Access for Individuals with Disabilities-Grants for Protection and Advocacy Systems	93.618	Y		4,721		4,721
Developmental Disabilities Projects of National Significance	93.631	Y		7,524		7,524
Cardiovascular Diseases Research	93.837	Y		298,517		298,517
Lung Diseases Research	93.838	Y		-18,383		-18,383

Cluster: 1R&D

Major Agency: US Health & Human Services (HHS)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
Blood Diseases and Resources Research	93.839	Y	54,834	983		55,817
Arthritis, Musculoskeletal and Skin Diseases Research	93.846	Y		-6,848		-6,848
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847	Y		838,158		838,158
Digestive Diseases and Nutrition Research	93.848	Y		-958		-958
Kidney Diseases Urology and Hematology Research	93.849	Y		177,317		177,317
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853	Y	134,282	117,454		251,736
Allergy, Immunology and Transplantation Research	93.855	Y	171,648	167,741		339,389
Microbiology and Infectious Diseases Research	93.856	Y	135,561	143,532		279,093
Biomedical Research and Research Training	93.859	Y	177,135	229,727		406,862
Population Research	93.864	Y		-8,068		-8,068
Child Health and Human Development Extramural Research	93.865	Y	381,562	771,088		1,152,650
Aging Research	93.866	Y	125,314	24,046		149,360
Vision Research	93.867	Y	270,207	24,327		294,534
<i>Pass through from State of IL - see also pass through detail</i>						
Centers for Disease Control and Prevention_ Investigations and Technical Assistance	93.283	Y		3,646		3,646
Agency Totals			<u>33,002,389</u>	<u>31,314,234</u>		<u>64,316,623</u>
National Library of Medicine						
<i>Direct Awards</i>						
Medical Library Assistance	93.879	Y		15,957		15,957
Agency Totals				<u>15,957</u>		<u>15,957</u>
Natl Center for Chronic Disease Prev & Health Promotion						
<i>Direct Awards</i>						
Centers for Research and Demonstration for Health Promotion and Disease Prevention	93.135	Y		1,277,761		1,277,761
Agency Totals				<u>1,277,761</u>		<u>1,277,761</u>
Natl Inst of Arthritis & Musculoskeletal & Skin Diseases						
<i>Direct Awards</i>						
ARRA-Trans -NIH Recovery Act Research Support	93.701	Y	5,328			5,328
Arthritis, Musculoskeletal and Skin Diseases Research	93.846	Y	366,512			366,512
Agency Totals			<u>371,840</u>			<u>371,840</u>
Substance Abuse & Mental Health Services Admin (SAMHSA)						
<i>Direct Awards</i>						
Consolidated Knowledge Development and Application (KD&A) Program	93.230	Y		-3,215		-3,215
<i>Pass through from Others - see also pass through detail</i>						

Cluster: 1R&D
Major Agency: US Health & Human Services (HHS)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
Department of Health and Human Services (general)	93.000	Y		172,067		172,067
Substance Abuse and Mental Health Services_Projects of Regional and National Significance	93.243	Y		52,196		52,196
	Agency Totals			<u>221,048</u>		<u>221,048</u>
US Health & Human Services (HHS)						
<i>Direct Awards</i>						
Department of Health and Human Services (general)	93.000	Y		596,455		596,455
Medical Reserve Corps Small Grant Program	93.008	Y		340,221		340,221
University Centers for Excellence in Developmental Disabilities Education, Research, and Servi	93.632	Y		386,963		386,963
Aging Research	93.866	Y		-197		-197
<i>Pass through from Others - see also pass through detail</i>						
Department of Health and Human Services (general)	93.000	Y		950,628		950,628
Maternal and Child Health Federal Consolidated Programs	93.110	Y		121,210		121,210
Research Related to Deafness and Communication Disorders	93.173	Y		-207		-207
Childhood Lead Poisoning Prevention Projects_State and Local Childhood Lead Poisoning Pre	93.197	Y		19,926		19,926
Research and Training in Complementary and Alternative Medicine	93.213	Y		18,369		18,369
Research on Healthcare Costs, Quality and Outcomes	93.226	Y		9		9
State Rural Hospital Flexibility Program	93.241	Y		37		37
Drug Abuse and Addiction Research Programs	93.279	Y		26,944		26,944
Discovery and Applied Research for Technological Innovations to Improve Human Health	93.286	Y		75,747		75,747
Minority Health and Health Disparities Research	93.307	Y		215,971		215,971
Cancer Cause and Prevention Research	93.393	Y		86,160		86,160
Cancer Treatment Research	93.395	Y		462,415		462,415
Cancer Control	93.399	Y		322,365		322,365
Developmental Disabilities Projects of National Significance	93.631	Y		71,165		71,165
University Centers for Excellence in Developmental Disabilities Education, Research, and Servi	93.632	Y		57,102		57,102
Cardiovascular Diseases Research	93.837	Y		11,375		11,375
Lung Diseases Research	93.838	Y		1,794		1,794
Blood Diseases and Resources Research	93.839	Y		-791		-791
Arthritis, Musculoskeletal and Skin Diseases Research	93.846	Y		129,767		129,767
Kidney Diseases Urology and Hematology Research	93.849	Y		90,808		90,808
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853	Y		31,287		31,287
Allergy, Immunology and Transplantation Research	93.855	Y		44,315		44,315

Cluster: 1R&D

Major Agency: US Health & Human Services (HHS)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
Microbiology and Infectious Diseases Research	93.856	Y		3,215		3,215
Aging Research	93.866	Y		-6,994		-6,994
HIV Demonstration, Research, Public and Professional Education Projects	93.941	Y		185,127		185,127
Health and Safety Programs for Construction Work	93.955	Y		2,107		2,107
International Research and Research Training	93.989	Y	65,113			65,113
<i>Pass through from State of IL - see also pass through detail</i>						
Department of Health and Human Services (general)	93.000	Y		-1,693		-1,693
Disabilities Prevention	93.184	Y		-51		-51
Abstinence Education Program	93.235	Y	77,990			77,990
Substance Abuse and Mental Health Services_Projects of Regional and National Significance	93.243	Y		81,206		81,206
Centers for Disease Control and Prevention_Investigations and Technical Assistance	93.283	Y		-182		-182
Abandoned Infants	93.551	Y		-19,908		-19,908
Developmental Disabilities Basic Support and Advocacy Grants	93.630	Y		3,132		3,132
Block Grants for Prevention and Treatment of Substance Abuse	93.959	Y	1,115,229			1,115,229
Preventive Health and Health Services Block Grant	93.991	Y		7,386		7,386
Maternal and Child Health Services Block Grant to the States	93.994	Y		14,723		14,723
Adolescent Family Life_Demonstration Projects	93.995	Y	28,996			28,996
Agency Totals			<u>1,287,328</u>	<u>4,327,906</u>		<u>5,615,234</u>

US Library of Congress

US Library of Congress

Direct Awards

Library of Congress (general)	42.000	Y	258,443			258,443
Library of Congress Constituent and Collection Services	42.006	Y	488,934			488,934
Agency Totals			<u>747,377</u>			<u>747,377</u>

US NASA

Ames Research Center

Direct Awards

National Aeronautics and Space Administration (general)	43.000	Y	379,280			379,280
Agency Totals			<u>379,280</u>			<u>379,280</u>

Glenn Research Center at Lewis Field

Direct Awards

National Aeronautics and Space Administration (general)	43.000	Y	343,419			343,419
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Cluster: 1R&D
Major Agency: US NASA

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
			<u>343,419</u>			<u>343,419</u>
Goddard Space Flight Center						
<i>Direct Awards</i>						
National Aeronautics and Space Administration (general)	43.000	Y	1,226,875	8,273		1,235,148
			<u>1,226,875</u>	<u>8,273</u>		<u>1,235,148</u>
Jet Propulsion Laboratory						
<i>Direct Awards</i>						
National Aeronautics and Space Administration (general)	43.000	Y	118,341			118,341
			<u>118,341</u>			<u>118,341</u>
Langley Research Center						
<i>Direct Awards</i>						
National Aeronautics and Space Administration (general)	43.000	Y	394,849			394,849
			<u>394,849</u>			<u>394,849</u>
Marshall Space Flight Center						
<i>Direct Awards</i>						
National Aeronautics and Space Administration (general)	43.000	Y	83,317			83,317
			<u>83,317</u>			<u>83,317</u>
NASA Headquarters						
<i>Direct Awards</i>						
National Aeronautics and Space Administration (general)	43.000	Y		29,375		29,375
<i>Pass through from Others - see also pass through detail</i>						
National Aeronautics and Space Administration (general)	43.000	Y	262,347			262,347
			<u>262,347</u>	<u>29,375</u>		<u>291,722</u>
NASA Shared Services Center						
<i>Direct Awards</i>						
National Aeronautics and Space Administration (general)	43.000	Y	1,169,147	827,122		1,996,269
Technology Transfer	43.002	Y		21,522		21,522
			<u>1,169,147</u>	<u>848,644</u>		<u>2,017,791</u>
US NASA						
<i>Direct Awards</i>						
National Aeronautics and Space Administration (general)	43.000	Y	549,487	49,770		599,257
Technology Transfer	43.002	Y		61,545		61,545
<i>Pass through from Others - see also pass through detail</i>						

Cluster: 1R&D
Major Agency: US NASA

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
National Aeronautics and Space Administration (general)	43.000	Y	1,392,475	34,978		1,427,453
Technology Transfer	43.002	Y		947		947
Agency Totals			<u>1,941,962</u>	<u>147,240</u>		<u>2,089,202</u>

US National Endowment for the Humanities (NEH)

US National Endowment for the Humanities (NEH)

Direct Awards

Promotion of the Humanities_Office of Digital Humanities	45.169	Y	826			826
Agency Totals			<u>826</u>			<u>826</u>

US National Science Foundation (NSF)

US National Science Foundation (NSF)

Direct Awards

National Science Foundation (general)	47.000	Y	459,287	366,781		826,068
Engineering Grants	47.041	Y	16,528,224	2,628,807		19,157,031
Mathematical and Physical Sciences	47.049	Y	19,415,343	2,978,702		22,394,045
Geosciences	47.050	Y	4,645,437	42,838		4,688,275
Computer and Information Science and Engineering	47.070	Y	25,155,967	4,998,397	19,869	30,174,233
Biological Sciences	47.074	Y	6,102,199	1,473,682	55,130	7,631,011
Social, Behavioral, and Economic Sciences	47.075	Y	1,210,758	1,030,393	21,962	2,263,113
Education and Human Resources	47.076	Y	1,793,347	2,410,575	3,541	4,207,463
Polar Programs	47.078	Y	548,720			548,720
International Science and Engineering (OISE)	47.079	Y	59,840	29,120		88,960
Office of Cyberinfrastructure	47.080	Y	16,936,203			16,936,203
ARRA-TRANS-NSF RECOVERY ACT RESEARCH SUPPORT	47.082	Y	167,808	11,741		179,549
<i>Pass through from Others - see also pass through detail</i>						
Measurement and Engineering Research and Standards	11.609	Y	67,737			67,737
National Science Foundation (general)	47.000	Y	128,155	24,183		152,338
Engineering Grants	47.041	Y	2,805,211	201,342		3,006,553
Mathematical and Physical Sciences	47.049	Y	1,970,315	36,945		2,007,260
Geosciences	47.050	Y	331,330	-3,186		328,144
Computer and Information Science and Engineering	47.070	Y	2,946,145	105,245		3,051,390
Biological Sciences	47.074	Y	775,517	23,461		798,978
Social, Behavioral, and Economic Sciences	47.075	Y	300,231	28,488		328,719

Cluster: 1R&D

Major Agency: US National Science Foundation (NSF)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
Education and Human Resources	47.076	Y	424,450	588,314	13,138	1,025,902
Academic Research Facilities and Instrumentation	47.077	Y		2,556		2,556
Polar Programs	47.078	Y	118,814	56,197		175,011
International Science and Engineering (OISE)	47.079	Y	3,410	240,766		244,176
Office of Cyberinfrastructure	47.080	Y	618,354			618,354
Agency Totals			<u>103,512,802</u>	<u>17,275,347</u>	<u>113,640</u>	<u>120,901,789</u>
<u>US Small Business Administration</u>						
US Small Business Administration						
<i>Direct Awards</i>						
Small Business Administration (general)	59.000	Y	298,436			298,436
Agency Totals			<u>298,436</u>			<u>298,436</u>
<u>Veterans Administration (VA)</u>						
Jesse Brown VA Medical Center						
<i>Direct Awards</i>						
Department of Veterans' Affairs (general)	64.000	Y		1,474,792		1,474,792
Agency Totals				<u>1,474,792</u>		<u>1,474,792</u>
Miami VA Healthcare System						
<i>Direct Awards</i>						
Department of Veterans' Affairs (general)	64.000	Y		13,715		13,715
Agency Totals				<u>13,715</u>		<u>13,715</u>
VA Hines Hospital						
<i>Direct Awards</i>						
Department of Veterans' Affairs (general)	64.000	Y		119,383		119,383
Agency Totals				<u>119,383</u>		<u>119,383</u>
Veterans Administration (VA)						
<i>Direct Awards</i>						
Department of Veterans' Affairs (general)	64.000	Y		21		21
Agency Totals				<u>21</u>		<u>21</u>
Cluster Totals			<u>294,857,067</u>	<u>199,793,137</u>	<u>426,505</u>	<u>495,076,709</u>

Cluster: Aging

US Health & Human Services (HHS)

Cluster: Aging
Major Agency: US Health & Human Services (HHS)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
US Health & Human Services (HHS)						
<i>Pass through from State of IL - see also pass through detail</i>						
Special Programs for the Aging_Title III, Part B_Grants for Supportive Services and Senior Cen	93.044	N		1,932,413		1,932,413
				<u>1,932,413</u>		<u>1,932,413</u>
				<u>1,932,413</u>		<u>1,932,413</u>

Cluster: CCDF

US Health & Human Services (HHS)

US Health & Human Services (HHS)

Pass through from State of IL - see also pass through detail

Child Care and Development Block Grant	93.575	Y	73,738			73,738
Child Care Mandatory and Matching Funds of the Child Care and Development Fund	93.596	Y	903,740			903,740
			<u>977,478</u>			<u>977,478</u>
			<u>977,478</u>			<u>977,478</u>

Cluster: CDBG - Entitlement Grants

Housing & Urban Development (HUD)

Housing & Urban Development (HUD)

Pass through from Others - see also pass through detail

Community Development Block Grants/Entitlement Grants	14.218	N	8,071	132,301		140,372
			<u>8,071</u>	<u>132,301</u>		<u>140,372</u>
			<u>8,071</u>	<u>132,301</u>		<u>140,372</u>

Cluster: Child Nutrition

US Department of Agriculture (USDA)

US Department of Agriculture (USDA)

Pass through from Others - see also pass through detail

Summer Food Service Program for Children	10.559	N		420,274		420,274
<i>Pass through from State of IL - see also pass through detail</i>						
Special Milk Program for Children	10.556	N		5,827		5,827
Summer Food Service Program for Children	10.559	N	8,218	10,848		19,066
			<u>8,218</u>	<u>436,949</u>		<u>445,167</u>

Cluster: Child Nutrition
Major Agency: US Department of Agriculture (USDA)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
Cluster Totals			<u>8,218</u>	<u>436,949</u>		<u>445,167</u>
<u>Cluster: Fish and Wildlife</u>						
<u>US Department of Interior</u>						
US Fish & Wildlife						
<i>Pass through from State of IL - see also pass through detail</i>						
Wildlife Restoration	15.611	N	90,426			90,426
Agency Totals			<u>90,426</u>			<u>90,426</u>
Cluster Totals			<u>90,426</u>			<u>90,426</u>
<u>Cluster: Head Start</u>						
<u>US Health & Human Services (HHS)</u>						
Administration for Children and Families (ACF)						
<i>Pass through from Others - see also pass through detail</i>						
Head Start	93.600	N		44		44
Agency Totals				<u>44</u>		<u>44</u>
National Institutes of Health (NIH)						
<i>Pass through from Others - see also pass through detail</i>						
Head Start	93.600	N	198,229			198,229
Agency Totals			<u>198,229</u>			<u>198,229</u>
US Health & Human Services (HHS)						
<i>Pass through from Others - see also pass through detail</i>						
Head Start	93.600	N		2,700,112		2,700,112
Agency Totals				<u>2,700,112</u>		<u>2,700,112</u>
Cluster Totals			<u>198,229</u>	<u>2,700,156</u>		<u>2,898,385</u>
<u>Cluster: Health Centers</u>						
<u>US Health & Human Services (HHS)</u>						
Health Resources and Services Administration (HRSA)						
<i>Direct Awards</i>						
Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care	93.224	N		1,464,767		1,464,767
Agency Totals				<u>1,464,767</u>		<u>1,464,767</u>

Cluster: Health Centers

Major Agency: US Health & Human Services (HHS)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
Cluster Totals				<u>1,464,767</u>		<u>1,464,767</u>
<u>Cluster: Highway Planning and Construction</u>						
<u>US Department of Transportation (DOT)</u>						
Federal Highway Administration (FHWA)						
<i>Direct Awards</i>						
Highway Planning and Construction	20.205	N	51,455	1,461		52,916
Agency Totals			<u>51,455</u>	<u>1,461</u>		<u>52,916</u>
Cluster Totals			<u>51,455</u>	<u>1,461</u>		<u>52,916</u>
<u>Cluster: Highway Safety</u>						
<u>US Department of Transportation (DOT)</u>						
US Department of Transportation (DOT)						
<i>Pass through from State of IL - see also pass through detail</i>						
State and Community Highway Safety	20.600	N			1,021,009	1,021,009
Alcohol Impaired Driving Countermeasures Incentive Grants I	20.601	N			212,268	212,268
Safety Belt Performance Grants	20.609	N			325,390	325,390
Agency Totals					<u>1,558,667</u>	<u>1,558,667</u>
Cluster Totals					<u>1,558,667</u>	<u>1,558,667</u>
<u>Cluster: Homeland Security</u>						
<u>US Department of Homeland Security(USDHS)</u>						
US Department of Homeland Security(USDHS)						
<i>Pass through from State of IL - see also pass through detail</i>						
Homeland Security Grant Program	97.067	N	2,958,818			2,958,818
Agency Totals			<u>2,958,818</u>			<u>2,958,818</u>
Cluster Totals			<u>2,958,818</u>			<u>2,958,818</u>
<u>Cluster: Other Programs</u>						
<u>Corporation for National & Community Service</u>						
Corporation for National & Community Service						
<i>Pass through from Others - see also pass through detail</i>						

Cluster: Other Programs
Major Agency: Corporation for National & Community Service

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
AmeriCorps	94.006	N		167,119		167,119
<i>Pass through from State of IL - see also pass through detail</i>						
AmeriCorps	94.006	N		225,243		225,243
Agency Totals				<u>392,362</u>		<u>392,362</u>
<u>Housing & Urban Development (HUD)</u>						
Housing & Urban Development (HUD)						
<i>Pass through from Others - see also pass through detail</i>						
Department of Housing and Urban Development (general)	14.000	N	2,020			2,020
Supportive Housing Program	14.235	N		60,076		60,076
Housing Opportunities for Persons with AIDS	14.241	N		44,520		44,520
Public and Indian Housing	14.850	N		8		8
<i>Pass through from State of IL - see also pass through detail</i>						
Housing Opportunities for Persons with AIDS	14.241	N		107,196		107,196
Agency Totals			<u>2,020</u>	<u>211,800</u>		<u>213,820</u>
<u>Institute of Museum & Library Services (IMLS)</u>						
Institute of Museum & Library Services (IMLS)						
<i>Direct Awards</i>						
Museums for America	45.301	N		36,074		36,074
21st Century Museum Professionals	45.307	N	58,788			58,788
Laura Bush 21st Century Librarian Program	45.313	N	1,546,455			1,546,455
<i>Pass through from Others - see also pass through detail</i>						
21st Century Museum Professionals	45.307	N	164,941			164,941
<i>Pass through from State of IL - see also pass through detail</i>						
Grants to States	45.310	N	153,759		102,966	256,725
National Leadership Grants	45.312	N	11,000			11,000
Agency Totals			<u>1,934,943</u>	<u>36,074</u>	<u>102,966</u>	<u>2,073,983</u>
<u>National Council on Disability</u>						
National Council on Disability						
<i>Direct Awards</i>						
National Council on Disability (general)	92.000	N		43,568		43,568
Agency Totals				<u>43,568</u>		<u>43,568</u>
<u>National Institutes of Health (NIH)</u>						

Cluster: Other Programs
Major Agency: National Institutes of Health (NIH)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
National Institutes of Health (NIH)						
<i>Pass through from State of IL - see also pass through detail</i>						
Family Planning_Services	93.217	N		-20		-20
Children's Justice Grants to States	93.643	N		-831		-831
				<u>-851</u>		<u>-851</u>
Peace Corps						
Peace Corps						
<i>Direct Awards</i>						
Other Awards	none	N	17,750			17,750
			<u>17,750</u>			<u>17,750</u>
Tennessee Valley Authority (TVA)						
Tennessee Valley Authority (TVA)						
<i>Pass through from Others - see also pass through detail</i>						
TVA Energy Research and Technology Applications	62.001	N	2,461			2,461
Other Awards	none	N	449			449
			<u>2,910</u>			<u>2,910</u>
United States Agency for International Development (AID)						
United States Agency for International Development (AID)						
<i>Pass through from Others - see also pass through detail</i>						
Agency for International Development (general)	98.000	N	272,302			272,302
			<u>272,302</u>			<u>272,302</u>
US Department of Agriculture (USDA)						
US Department of Agriculture (USDA)						
<i>Direct Awards</i>						
Department of Agriculture (general)	10.000	N	9,185			9,185
Grants for Agricultural Research, Special Research Grants	10.200	N	7,500			7,500
Food and Agricultural Sciences National Needs Graduate Fellowship Grants	10.210	N	11,229			11,229
Cooperative Extension Service	10.500	Y	10,865,666			10,865,666
<i>Pass through from Others - see also pass through detail</i>						
Department of Agriculture (general)	10.000	N	59,733			59,733
Agricultural Research_Basic and Applied Research	10.001	N	4,836			4,836
Grants for Agricultural Research, Special Research Grants	10.200	N	323			323

Cluster: Other Programs
Major Agency: US Department of Agriculture (USDA)

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Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
Integrated Programs	10.303	N	10,089			10,089
Cooperative Extension Service	10.500	Y	74,762			74,762
Environmental Quality Incentives Program	10.912	N	144			144
<i>Pass through from State of IL - see also pass through detail</i>						
Plant and Animal Disease, Pest Control, and Animal Care	10.025	N	22			22
Special Supplemental Nutrition Program for Women, Infants, and Children	10.557	N		127,935		127,935
	Agency Totals		<u>11,043,489</u>	<u>127,935</u>		<u>11,171,424</u>
USDA Agricultural Research Service (ARS)						
<i>Direct Awards</i>						
Department of Agriculture (general)	10.000	N	6,729			6,729
Agricultural Research_Basic and Applied Research	10.001	N	2,000			2,000
Grants for Agricultural Research_Competitive Research Grants	10.206	N	51,447			51,447
<i>Pass through from Others - see also pass through detail</i>						
Integrated Programs	10.303	N	19,990			19,990
	Agency Totals		<u>80,166</u>			<u>80,166</u>
USDA Animal & Plant Health Inspection Serv (APHIS)						
<i>Direct Awards</i>						
Department of Agriculture (general)	10.000	N	10,186			10,186
	Agency Totals		<u>10,186</u>			<u>10,186</u>
USDA Coop State Rsrch Educ & Ext Serv (CSREES)						
<i>Direct Awards</i>						
Department of Agriculture (general)	10.000	N	8,809			8,809
Grants for Agricultural Research, Special Research Grants	10.200	N	212,869			212,869
Grants for Agricultural Research_Competitive Research Grants	10.206	N	68,103			68,103
Food and Agricultural Sciences National Needs Graduate Fellowship Grants	10.210	N	89,855			89,855
Higher Education Challenge Grants	10.217	N	89,234			89,234
International Science and Education Grants	10.305	N	3,750			3,750
<i>Pass through from Others - see also pass through detail</i>						
Department of Agriculture (general)	10.000	N	58,047			58,047
Cooperative Extension Service	10.500	Y	87,274			87,274
	Agency Totals		<u>617,941</u>			<u>617,941</u>
USDA Economic Research Service (ERS)						
<i>Direct Awards</i>						

Cluster: Other Programs
Major Agency: US Department of Agriculture (USDA)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
Agricultural and Rural Economic Research	10.250	N	8,438			8,438
Agency Totals			<u>8,438</u>			<u>8,438</u>
USDA Food and Nutrition Service (FNS)						
<i>Pass through from State of IL - see also pass through detail</i>						
Child and Adult Care Food Program	10.558	N			140	140
Agency Totals					<u>140</u>	<u>140</u>
USDA Foreign Agricultural Service (FAS)						
<i>Direct Awards</i>						
Scientific Cooperation and Research	10.961	N	3,000			3,000
Agency Totals			<u>3,000</u>			<u>3,000</u>
USDA Forest Service (FS)						
<i>Direct Awards</i>						
Department of Agriculture (general)	10.000	N	41,858			41,858
Agency Totals			<u>41,858</u>			<u>41,858</u>
USDA National Agricultural Statistics Service (NASS)						
<i>Direct Awards</i>						
Department of Agriculture (general)	10.000	N	275			275
Agency Totals			<u>275</u>			<u>275</u>
USDA Natural Resources Conservation Service (NRCS)						
<i>Direct Awards</i>						
Department of Agriculture (general)	10.000	N	16,661			16,661
<i>Pass through from Others - see also pass through detail</i>						
Department of Agriculture (general)	10.000	N	2,500			2,500
Agency Totals			<u>19,161</u>			<u>19,161</u>
USDA Rural Housing Service (RHS)						
<i>Direct Awards</i>						
Department of Agriculture (general)	10.000	N	61,991			61,991
Agency Totals			<u>61,991</u>			<u>61,991</u>
<u>US Department of Commerce</u>						
US Department of Commerce						
<i>Direct Awards</i>						
Public Telecommunications Facilities Planning and Construction	11.550	N			118,913	118,913
Measurement and Engineering Research and Standards	11.609	N	158			158

Cluster: Other Programs
Major Agency: US Department of Commerce

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
<i>Pass through from Others - see also pass through detail</i>						
Department of Commerce (general)	11.000	N	25,629			25,629
Manufacturing Extension Partnership	11.611	N	34,343			34,343
		Agency Totals	<u>60,130</u>		<u>118,913</u>	<u>179,043</u>
US Dept of Commerce NOAA						
<i>Direct Awards</i>						
Department of Commerce (general)	11.000	N	606,190			606,190
Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference	11.400	N	127,145			127,145
Sea Grant Support	11.417	N	33,210			33,210
National Ocean Service Intern Program (B)	11.480	N	52,106			52,106
<i>Pass through from Others - see also pass through detail</i>						
Sea Grant Support	11.417	N	128,680			128,680
		Agency Totals	<u>947,331</u>			<u>947,331</u>
<u>US Department of Defense (DoD)</u>						
Air Force Office of Scientific Research (AFOSR)						
<i>Direct Awards</i>						
Air Force Defense Research Sciences Program	12.800	N	24,547			24,547
<i>Pass through from Others - see also pass through detail</i>						
Department of Defense (general)	12.000	N	1,441			1,441
		Agency Totals	<u>25,988</u>			<u>25,988</u>
Army						
<i>Direct Awards</i>						
Basic Scientific Research	12.431	N	3,256			3,256
<i>Pass through from Others - see also pass through detail</i>						
Department of Defense (general)	12.000	N	32,863			32,863
Basic Scientific Research	12.431	N	9,285			9,285
		Agency Totals	<u>45,404</u>			<u>45,404</u>
Army Research Office (ARO)						
<i>Direct Awards</i>						
Department of Defense (general)	12.000	N	1,939			1,939
<i>Pass through from Others - see also pass through detail</i>						
Basic Scientific Research	12.431	N	10,625			10,625
		Agency Totals	<u>12,564</u>			<u>12,564</u>

Cluster: Other Programs
Major Agency: US Department of Defense (DoD)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
CERL Champaign						
<i>Direct Awards</i>						
Department of Defense (general)	12.000	N	371,365			371,365
Basic Scientific Research	12.431	N	13,689			13,689
Basic, Applied, and Advanced Research in Science and Engineering	12.630	N	168,020			168,020
	Agency Totals		<u>553,074</u>			<u>553,074</u>
Defense Logistics Agency						
<i>Direct Awards</i>						
Department of Defense (general)	12.000	N	709			709
	Agency Totals		<u>709</u>			<u>709</u>
Defense Threat Reduction Agency (DTRA)						
<i>Pass through from Others - see also pass through detail</i>						
Department of Defense (general)	12.000	N	642,512			642,512
	Agency Totals		<u>642,512</u>			<u>642,512</u>
Office of Naval Research (ONR)						
<i>Direct Awards</i>						
Basic and Applied Scientific Research	12.300	N	2,164,675	153,256		2,317,931
	Agency Totals		<u>2,164,675</u>	<u>153,256</u>		<u>2,317,931</u>
Puget Sound Naval Shipyard						
<i>Direct Awards</i>						
Department of Defense (general)	12.000	N		346		346
	Agency Totals			<u>346</u>		<u>346</u>
US Army Corps of Engineers						
<i>Pass through from Others - see also pass through detail</i>						
Department of Defense (general)	12.000	N	10,964			10,964
	Agency Totals		<u>10,964</u>			<u>10,964</u>
US Army Engineering District						
<i>Direct Awards</i>						
Department of Defense (general)	12.000	N	62,968			62,968
	Agency Totals		<u>62,968</u>			<u>62,968</u>
US Army Medical Research Acquisition						
<i>Direct Awards</i>						
Military Medical Research and Development	12.420	N	31,873			31,873

Cluster: Other Programs
Major Agency: US Department of Defense (DoD)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
		Agency Totals	<u>31,873</u>			<u>31,873</u>
US Department of Defense (DoD)						
<i>Pass through from Others - see also pass through detail</i>						
Department of Defense (general)	12.000	N		-87		-87
		Agency Totals		<u>-87</u>		<u>-87</u>
<u>US Department of Education</u>						
US Department of Education						
<i>Direct Awards</i>						
Department of Education (general)	84.000	N	282,908	1,022,424		1,305,332
National Resource Centers Program for Foreign Language and Area Studies or Foreign Langu	84.015	Y	3,196,003			3,196,003
Overseas Programs - Doctoral Dissertation Research Abroad	84.022	N	140,650			140,650
Fund for the Improvement of Postsecondary Education	84.116	N	41,931	226,472		268,403
Rehabilitation Long-Term Training	84.129	N		104,720		104,720
Javits Fellowships	84.170	N	5,713			5,713
Safe and Drug-Free Schools and Communities_State Grants	84.186	N	131,305	109,956		241,261
Bilingual Education Training Grants	84.195	N		268,651		268,651
Graduate Assistance in Areas of National Need	84.200	N	161,197	111,315		272,512
Centers for International Business Education	84.220	N	333,986			333,986
Rehabilitation Training_Continuing Education	84.264	N	173,605			173,605
Research in Special Education	84.324	N		-5,165		-5,165
Special Education - Personnel Development to Improve Services and Results for Children with	84.325	N	202,576	954,519		1,157,095
Special Education_Technical Assistance and Dissemination to Improve Services and Results fo	84.326	N		538,648		538,648
Gaining Early Awareness and Readiness for Undergraduate Programs	84.334	N	606,277	1		606,278
Teacher Quality Partnership Grants	84.336	N		474,661		474,661
Early Reading First	84.359	N	1,196,511	1,313,296		2,509,807
<i>Pass through from Others - see also pass through detail</i>						
Department of Education (general)	84.000	N	13,079	54,534		67,613
National Resource Centers Program for Foreign Language and Area Studies or Foreign Langu	84.015	Y	64,794			64,794
Mathematics and Science Partnerships	84.366	N		-6,030		-6,030
<i>Pass through from State of IL - see also pass through detail</i>						
Career and Technical Education -- Basic Grants to States	84.048	N	365,406			365,406
Rehabilitation Services_Vocational Rehabilitation Grants to States	84.126	N		93,006		93,006

Cluster: Other Programs
Major Agency: US Department of Education

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Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
Safe and Drug-Free Schools and Communities_State Grants	84.186	N	181			181
Mathematics and Science Partnerships	84.366	N	394,714			394,714
Improving Teacher Quality State Grants	84.367	N	280,947	-2,121		278,826
Grants for State Assessments and Related Activities	84.369	N	240,484			240,484
Agency Totals			<u>7,832,267</u>	<u>5,258,887</u>		<u>13,091,154</u>
<u>US Department of Energy (DOE)</u>						
Argonne National Lab						
<i>Direct awards from DOE lab - see also pass through detail</i>						
Department of Energy (general)	81.000	N	367,769			367,769
Agency Totals			<u>367,769</u>			<u>367,769</u>
DOE Fellowships						
<i>Direct Awards</i>						
Department of Energy (general)	81.000	N	7,786			7,786
Agency Totals			<u>7,786</u>			<u>7,786</u>
DOE Idaho operations						
<i>Direct Awards</i>						
University Reactor Infrastructure and Education Support	81.114	N	5,456			5,456
Agency Totals			<u>5,456</u>			<u>5,456</u>
Los Alamos National Lab						
<i>Direct awards from DOE lab - see also pass through detail</i>						
Department of Energy (general)	81.000	N	4,298			4,298
Agency Totals			<u>4,298</u>			<u>4,298</u>
Oak Ridge National Lab						
<i>Direct awards from DOE lab - see also pass through detail</i>						
Department of Energy (general)	81.000	N		91		91
Agency Totals				<u>91</u>		<u>91</u>
Pacific Northwest National Lab						
<i>Direct awards from DOE lab - see also pass through detail</i>						
Department of Energy (general)	81.000	N	29,260			29,260
Agency Totals			<u>29,260</u>			<u>29,260</u>
Sandia National Lab						
<i>Direct awards from DOE lab - see also pass through detail</i>						
Department of Energy (general)	81.000	N	42,265			42,265

Cluster: Other Programs
Major Agency: US Department of Energy (DOE)

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Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
		Agency Totals	<u>42,265</u>			<u>42,265</u>
US Department of Energy (DOE)						
<i>Direct Awards</i>						
Department of Energy (general)	81.000	N	13,250			13,250
Office of Science Financial Assistance Program	81.049	N	350	33,165		33,515
Conservation Research and Development	81.086	N		217,485		217,485
<i>Pass through from Others - see also pass through detail</i>						
Department of Energy (general)	81.000	N	4,770	107,705		112,475
State Energy Program	81.041	N		968		968
Renewable Energy Research and Development	81.087	N	2,631			2,631
<i>Pass through from State of IL - see also pass through detail</i>						
State Energy Program Special Projects	81.119	N		471,409		471,409
		Agency Totals	<u>21,001</u>	<u>830,732</u>		<u>851,733</u>
<u>US Department of Homeland Security(USDHS)</u>						
Federal Emergency Management Agency (FEMA)						
<i>Direct Awards</i>						
Emergency Management Performance Grants (A B)	83.552	N	-4,195			-4,195
State Fire Training Systems Grants	97.043	N	17,993			17,993
<i>Pass through from State of IL - see also pass through detail</i>						
Cooperating Technical Partners	97.045	N	1,760,657			1,760,657
		Agency Totals	<u>1,774,455</u>			<u>1,774,455</u>
US Department of Homeland Security(USDHS)						
<i>Direct Awards</i>						
Department of Homeland Security (general)	97.000	N	435,614			435,614
State Fire Training Systems Grants	97.043	N	7,558			7,558
<i>Pass through from Others - see also pass through detail</i>						
Disaster Assistance	83.516	N	7,974			7,974
<i>Pass through from State of IL - see also pass through detail</i>						
Public Safety Interoperable Communications Grant Program	11.555	N	65,259			65,259
Urban Areas Security Initiative	97.008	N		142		142
Cooperating Technical Partners	97.045	N	100,861			100,861
		Agency Totals	<u>617,266</u>	<u>142</u>		<u>617,408</u>
<u>US Department of Interior</u>						

Cluster: Other Programs
Major Agency: US Department of Interior

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
United States Geological Survey						
<i>Direct Awards</i>						
U.S. Geological Survey_ Research and Data Collection	15.808	N	43,212			43,212
National Geological and Geophysical Data Preservation Program	15.814	N	12,640			12,640
			<u>Agency Totals</u>	<u>55,852</u>		<u>55,852</u>
US Department of Interior						
<i>Direct Awards</i>						
Department of the Interior (general)	15.000	N	94,841			94,841
U.S. Geological Survey_ Research and Data Collection	15.808	N	286,000			286,000
			<u>Agency Totals</u>	<u>380,841</u>		<u>380,841</u>
US Fish & Wildlife						
<i>Direct Awards</i>						
Wildlife Without Borders-Africa Program	15.651	N	58,406			58,406
<i>Pass through from State of IL - see also pass through detail</i>						
Partners for Fish and Wildlife	15.631	N	-122			-122
			<u>Agency Totals</u>	<u>58,284</u>		<u>58,284</u>
<u>US Department of Justice (DOJ)</u>						
Federal Bureau of Investigation						
<i>Direct Awards</i>						
Law Enforcement Assistance_FBI Advanced Police Training	16.300	N	-6,773			-6,773
			<u>Agency Totals</u>	<u>-6,773</u>		<u>-6,773</u>
US Department of Justice (DOJ)						
<i>Direct Awards</i>						
Department of Justice (general)	16.000	N	394,551	2,940		397,491
Comprehensive Approaches to Sex Offender Management Discretionary Grant (CASOM)	16.203	N			27,937	27,937
Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campu	16.525	N		63,466		63,466
Part E - Developing, Testing and Demonstrating Promising New Programs	16.541	N		804		804
National Institute of Justice Research, Evaluation, and Development Project Grants	16.560	N		6,144		6,144
Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Pr	16.580	N		600,611		600,611
Public Safety Partnership and Community Policing Grants	16.710	N	16,301	231,593		247,894
Anti-Gang Initiative	16.744	N	36,003			36,003
<i>Pass through from Others - see also pass through detail</i>						
Public Safety Partnership and Community Policing Grants	16.710	N		255,586		255,586

Cluster: Other Programs

Major Agency: US Department of Justice (DOJ)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
<i>Pass through from State of IL - see also pass through detail</i>						
Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Pr	16.580	N		45,347		45,347
Enforcing Underage Drinking Laws Program	16.727	N			7,518	7,518
Agency Totals			<u>446,855</u>	<u>1,206,491</u>	<u>35,455</u>	<u>1,688,801</u>
<u>US Department of Labor (DOL)</u>						
US Department of Labor (DOL)						
<i>Direct Awards</i>						
Department of Labor (general)	17.000	N		19,592		19,592
<i>Pass through from Others - see also pass through detail</i>						
Department of Labor (general)	17.000	N	241	368,835		369,076
WIA Pilots, Demonstrations, and Research Projects	17.261	N	348			348
<i>Pass through from State of IL - see also pass through detail</i>						
Incentive Grants - WIA Section 503	17.267	N	22,821			22,821
Agency Totals			<u>23,410</u>	<u>388,427</u>		<u>411,837</u>
<u>US Department of Transportation (DOT)</u>						
Federal Railroad Administration						
<i>Direct Awards</i>						
Railroad Safety	20.301	N	-4,896			-4,896
Agency Totals			<u>-4,896</u>			<u>-4,896</u>
US Department of Transportation (DOT)						
<i>Direct Awards</i>						
Department of Transportation (general)	20.000	N	30,987			30,987
<i>Pass through from State of IL - see also pass through detail</i>						
Interagency Hazardous Materials Public Sector Training and Planning Grants	20.703	N	330,992			330,992
Agency Totals			<u>361,979</u>			<u>361,979</u>
<u>US Environmental Protection Agency (EPA)</u>						
US Environmental Protection Agency (EPA)						
<i>Direct Awards</i>						
Environmental Protection Agency (general)	66.000	N	55,915			55,915
Air Pollution Control Program Support	66.001	N		-3,175		-3,175
Congressionally Mandated Projects	66.202	N	14,155			14,155
Compliance Assistance Support for Services to the Regulated Community and Other Assistanc	66.305	N	39,273			39,273
Great Lakes Program	66.469	N	1,460			1,460

Cluster: Other Programs

Major Agency: US Environmental Protection Agency (EPA)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
Environmental Protection-Consolidated Research	66.500	N	-1,287			-1,287
Science To Achieve Results (STAR) Fellowship Program	66.514	N	38,113			38,113
P3 Award: National Student Design Competition for Sustainability	66.516	N	9,950			9,950
Surveys Studies Investigations and Special Purpose Grants	66.606	N	268,596			268,596
Pollution Prevention Grants Program	66.708	N	151,112			151,112
Source Reduction Assistance	66.717	N	56,416			56,416
Solid Waste Management Assistance Grants	66.808	N	845			845
<i>Pass through from Others - see also pass through detail</i>						
Environmental Protection Agency (general)	66.000	N	85,042			85,042
Air Pollution Control Program Support	66.001	N	8,204			8,204
Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Re	66.034	N	46,783			46,783
Water Pollution Control State, Interstate, and Tribal Program Support	66.419	N	1			1
Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and	66.510	N	1,391			1,391
Environmental Protection Consolidated Grants for the Insular Areas - Program Support	66.600	N	4,493			4,493
Performance Partnership Grants	66.605	N	22,840			22,840
<i>Pass through from State of IL - see also pass through detail</i>						
ARRA-Water Quality Management Planning	66.454	N	120			120
Nonpoint Source Implementation Grants	66.460	N	37,089			37,089
Performance Partnership Grants	66.605	N			17,942	17,942
Environmental Information Exchange Network Grant Program and Related Assistance	66.608	N			9,483	9,483
Pollution Prevention Grants Program	66.708	N	37,434			37,434
Environmental Education Grants	66.951	N			3,999	3,999
Agency Totals			<u>877,945</u>	<u>-3,175</u>	<u>31,424</u>	<u>906,194</u>

US Health & Human Services (HHS)

Administration for Children and Families (ACF)

Direct Awards

Compassion Capital Fund	93.009	N		213,891		213,891
Agency Totals				<u>213,891</u>		<u>213,891</u>

Centers for Disease Control and Prevention (CDC)

Direct Awards

Department of Health and Human Services (general)	93.000	N		27,826		27,826
Maternal and Child Health Federal Consolidated Programs	93.110	N		22,783		22,783

Cluster: *Other Programs*
Major Agency: *US Health & Human Services (HHS)*

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
Centers for Disease Control and Prevention_ Investigations and Technical Assistance	93.283	N	14,256	996,313		1,010,569
<i>Pass through from Others - see also pass through detail</i>						
Department of Health and Human Services (general)	93.000	N		-2,817		-2,817
Public Health Emergency Preparedness	93.069	N		52,105		52,105
Occupational Safety and Health Program	93.262	N	24,731			24,731
Centers for Disease Control and Prevention_ Investigations and Technical Assistance	93.283	N		32,512		32,512
HIV Prevention Activities_Health Department Based	93.940	N		81,581		81,581
Preventive Health and Health Services Block Grant	93.991	N		61,238		61,238
<i>Pass through from State of IL - see also pass through detail</i>						
Public Health Emergency Preparedness	93.069	N		48,000		48,000
Centers for Disease Control and Prevention_ Investigations and Technical Assistance	93.283	N	1,161	-1,185		-24
	Agency Totals		<u>40,148</u>	<u>1,318,356</u>		<u>1,358,504</u>
Health Resources and Services Administration (HRSA)						
<i>Direct Awards</i>						
Maternal and Child Health Federal Consolidated Programs	93.110	N		543,595		543,595
AIDS Education and Training Centers	93.145	Y		2,895,060		2,895,060
Allied Health Special Projects	93.191	N		-355		-355
Advanced Education Nursing Grant Program	93.247	N		1,042,652		1,042,652
Public Health Training Centers Grant Program	93.249	N		251,840		251,840
Universal Newborn Hearing Screening	93.251	N		150,849		150,849
Comprehensive Geriatric Education Program(CGEP)	93.265	N		120,729		120,729
Nurse Education, Practice and Retention Grants	93.359	N		445,725		445,725
Grants for Training in Primary Care Medicine and Dentistry	93.884	N		232,755		232,755
HIV Emergency Relief Project Grants	93.914	N		243,677		243,677
Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease	93.918	N		677,160		677,160
Ryan White HIV/AIDS Dental Reimbursements Community Based Dental Partnership	93.924	N		136,001		136,001
Public Health Traineeships	93.964	N		63,371		63,371
<i>Pass through from Others - see also pass through detail</i>						
Department of Health and Human Services (general)	93.000	N		6		6
HIV Emergency Relief Project Grants	93.914	N		711,803		711,803
<i>Pass through from State of IL - see also pass through detail</i>						
Grants to States for Operation of Offices of Rural Health	93.913	N		3,391		3,391
HIV Care Formula Grants	93.917	N		409,225		409,225

Cluster: Other Programs

Major Agency: US Health & Human Services (HHS)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
Maternal and Child Health Services Block Grant to the States	93.994	Y		384,170		384,170
	Agency Totals			<u>8,311,654</u>		<u>8,311,654</u>
HRSA/BHPR/DADPHP (Health Resources and Services)						
<i>Pass through from Others - see also pass through detail</i>						
AIDS Education and Training Centers	93.145	Y		169,128		169,128
HIV Emergency Relief Project Grants	93.914	N		216,051		216,051
Bioterrorism Training and Curriculum Development Program	93.996	N		28,112		28,112
	Agency Totals			<u>413,291</u>		<u>413,291</u>
Indian Health Service (HIS)						
<i>Pass through from State of IL - see also pass through detail</i>						
Health Professions Preparatory Scholarship Program for Indians	93.971	N		-2,236		-2,236
	Agency Totals			<u>-2,236</u>		<u>-2,236</u>
National Cancer Institute						
<i>Pass through from Others - see also pass through detail</i>						
Cancer Treatment Research	93.395	N		97,053		97,053
	Agency Totals			<u>97,053</u>		<u>97,053</u>
National Hansen's Disease Programs (NHDP)						
<i>Direct Awards</i>						
Department of Health and Human Services (general)	93.000	N		58,429		58,429
	Agency Totals			<u>58,429</u>		<u>58,429</u>
National Institute for Occupational Safety & Health						
<i>Direct Awards</i>						
Department of Health and Human Services (general)	93.000	N		-3		-3
Occupational Safety and Health Program	93.262	N	-7,101			-7,101
	Agency Totals		<u>-7,101</u>	<u>-3</u>		<u>-7,104</u>
National Institute of Allergy & Infectious Diseases						
<i>Direct Awards</i>						
Allergy, Immunology and Transplantation Research	93.855	N	6,796			6,796
	Agency Totals		<u>6,796</u>			<u>6,796</u>
National Institute of Biomedical Imaging & Bioengineering						
<i>Direct Awards</i>						
Discovery and Applied Research for Technological Innovations to Improve Human Health	93.286	N	36,188			36,188
	Agency Totals		<u>36,188</u>			<u>36,188</u>

Cluster: Other Programs
Major Agency: US Health & Human Services (HHS)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
National Institute of Diabetes & Digestive & Kidney Diseases						
<i>Direct Awards</i>						
Digestive Diseases and Nutrition Research	93.848	N		-5,000		-5,000
Agency Totals				<u>-5,000</u>		<u>-5,000</u>
National Institute of Environmental & Health Sciences						
<i>Direct Awards</i>						
Environmental Health	93.113	N	3,371			3,371
Resource and Manpower Development in the Environmental	93.894	N	34,203			34,203
<i>Pass through from Others - see also pass through detail</i>						
Applied Toxicological Research and Testing	93.114	N	22,503			22,503
Agency Totals			<u>60,077</u>			<u>60,077</u>
National Institute of General Medical Sciences						
<i>Direct Awards</i>						
Biomedical Research and Research Training	93.859	N	253,649	237,460		491,109
Agency Totals			<u>253,649</u>	<u>237,460</u>		<u>491,109</u>
National Institute of Mental Health						
<i>Direct Awards</i>						
Mental Health Research Grants	93.242	N	14,319	51,011		65,330
Mental Health National Research Service Awards for Research Training	93.282	N	10,258	41,991		52,249
Child Health and Human Development Extramural Research	93.865	N	51,275			51,275
Agency Totals			<u>75,852</u>	<u>93,002</u>		<u>168,854</u>
National Institute of Neurological Disorders & Stroke						
<i>Direct Awards</i>						
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853	N	44,299			44,299
Agency Totals			<u>44,299</u>			<u>44,299</u>
National Institute on Aging						
<i>Direct Awards</i>						
Aging Research	93.866	N	40,366			40,366
Agency Totals			<u>40,366</u>			<u>40,366</u>
National Institute on Drug Abuse						
<i>Direct Awards</i>						
Drug Abuse and Addiction Research Programs	93.279	N	24,593			24,593
Agency Totals			<u>24,593</u>			<u>24,593</u>

Cluster: Other Programs
Major Agency: US Health & Human Services (HHS)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
National Institutes of Health (NIH)						
<i>Direct Awards</i>						
Department of Health and Human Services (general)	93.000	N	39,130			39,130
Environmental Health	93.113	N	36,018			36,018
Research Related to Deafness and Communication Disorders	93.173	N	63,120			63,120
Mental Health Research Grants	93.242	N	48,411			48,411
Drug Abuse and Addiction Research Programs	93.279	N	15,455			15,455
Discovery and Applied Research for Technological Innovations to Improve Human Health	93.286	N	40,664			40,664
National Center for Research Resources	93.389	N	56,530			56,530
Cancer Research Manpower	93.398	N	46,370			46,370
Child Welfare Research Training or Demonstration	93.648	N	1,722			1,722
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847	N	4,200			4,200
Digestive Diseases and Nutrition Research	93.848	N	49,761			49,761
Kidney Diseases Urology and Hematology Research	93.849	N	42,191			42,191
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853	N	148,266			148,266
Allergy, Immunology and Transplantation Research	93.855	N	35,292			35,292
Biomedical Research and Research Training	93.859	N	170,632			170,632
Child Health and Human Development Extramural Research	93.865	N	47,721			47,721
Resource and Manpower Development in the Environmental	93.894	N	291,985			291,985
<i>Pass through from Others - see also pass through detail</i>						
Department of Health and Human Services (general)	93.000	N	51,300	1,550		52,850
NIEHS Hazardous Waste Worker Health and Safety Training	93.142	N	128,588			128,588
AIDS Education and Training Centers	93.145	Y		118,780		118,780
Centers for Disease Control and Prevention_Investigations and Technical Assistance	93.283	N	500			500
Developmental Disabilities Basic Support and Advocacy Grants	93.630	N		-9,075		-9,075
Microbiology and Infectious Diseases Research	93.856	N		17,016		17,016
HIV Emergency Relief Project Grants	93.914	N		1,114,995		1,114,995
HIV Care Formula Grants	93.917	N		71,185		71,185
Maternal and Child Health Services Block Grant to the States	93.994	Y	14,472			14,472
<i>Pass through from State of IL - see also pass through detail</i>						
HIV Care Formula Grants	93.917	N		120,881		120,881
Health Professions Preparatory Scholarship Program for Indians	93.971	N		17,522		17,522

Cluster: Other Programs

Major Agency: US Health & Human Services (HHS)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
Maternal and Child Health Services Block Grant to the States	93.994	Y		1,561,756		1,561,756
Agency Totals			<u>1,332,328</u>	<u>3,014,610</u>		<u>4,346,938</u>
National Library of Medicine						
<i>Direct Awards</i>						
Medical Library Assistance	93.879	N		1,579,509		1,579,509
Agency Totals				<u>1,579,509</u>		<u>1,579,509</u>
Substance Abuse & Mental Health Services Admin (SAMHSA)						
<i>Direct Awards</i>						
Consolidated Knowledge Development and Application (KD&A) Program	93.230	N		114,055		114,055
Traumatic Brain Injury State Demonstration Grant Program	93.234	N		778,370		778,370
Substance Abuse and Mental Health Services_Projects of Regional and National Significance	93.243	N		240,326		240,326
<i>Pass through from Others - see also pass through detail</i>						
Comprehensive Community Mental Health Services for Children with Serious Emotional Disturb	93.104	N		8,152		8,152
Substance Abuse and Mental Health Services_Projects of Regional and National Significance	93.243	N		55,110		55,110
Substance Abuse and Mental Health Services-Access to Recovery	93.275	N		-13,744		-13,744
Agency Totals				<u>1,182,269</u>		<u>1,182,269</u>
US Health & Human Services (HHS)						
<i>Direct Awards</i>						
Department of Health and Human Services (general)	93.000	N		1,302		1,302
Child Welfare Research Training or Demonstration	93.648	N	162			162
<i>Pass through from Others - see also pass through detail</i>						
Department of Health and Human Services (general)	93.000	N	90,766	126,707		217,473
Public Health Emergency Preparedness	93.069	N		43,106		43,106
Technical and Non-Financial Assistance to Health Centers	93.129	N		1		1
AIDS Education and Training Centers	93.145	Y		15,000		15,000
Research and Training in Complementary and Alternative Medicine	93.213	N		26,885		26,885
Cancer Treatment Research	93.395	N		-6		-6
HIV Emergency Relief Project Grants	93.914	N		-2,254		-2,254
HIV Care Formula Grants	93.917	N		17,334		17,334
HIV Prevention Activities Health Department Based	93.940	N		33,839		33,839
Assistance Programs for Chronic Disease Prevention and Control	93.945	N		121,491		121,491
AmeriCorps	94.006	N		21,173		21,173
<i>Pass through from State of IL - see also pass through detail</i>						

Cluster: Other Programs

Major Agency: US Health & Human Services (HHS)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
Public Health Emergency Preparedness	93.069	N		109,542		109,542
Maternal and Child Health Federal Consolidated Programs	93.110	N		30,000		30,000
Childhood Lead Poisoning Prevention Projects_State and Local Childhood Lead Poisoning Pre	93.197	N			18,966	18,966
Family Planning_Services	93.217	N		113,230		113,230
State Capacity Building	93.240	N			9,483	9,483
Substance Abuse and Mental Health Services_Projects of Regional and National Significance	93.243	N		62,631		62,631
Centers for Disease Control and Prevention_Investigations and Technical Assistance	93.283	N	7,385	-3,234	66,382	70,533
Low-Income Home Energy Assistance	93.568	N	336,618			336,618
Social Services Block Grant	93.667	N		122,643		122,643
Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluation	93.779	N		-10,065		-10,065
HIV Care Formula Grants	93.917	N			21,825	21,825
HIV Prevention Activities_Health Department Based	93.940	N			1,283	1,283
Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Sur	93.944	N			20,997	20,997
Block Grants for Prevention and Treatment of Substance Abuse	93.959	N		372,248		372,248
Maternal and Child Health Services Block Grant to the States	93.994	Y	3,000	5,104,078		5,107,078
Agency Totals			<u>437,931</u>	<u>6,305,651</u>	<u>138,936</u>	<u>6,882,518</u>

US NASA

Goddard Space Flight Center

Direct Awards

National Aeronautics and Space Administration (general)	43.000	N	73,151			73,151
Agency Totals			<u>73,151</u>			<u>73,151</u>

Marshall Space Flight Center

Direct Awards

National Aeronautics and Space Administration (general)	43.000	N	123			123
Agency Totals			<u>123</u>			<u>123</u>

NASA Shared Services Center

Direct Awards

National Aeronautics and Space Administration (general)	43.000	N	148,428			148,428
Agency Totals			<u>148,428</u>			<u>148,428</u>

US NASA

Pass through from Others - see also pass through detail

National Aeronautics and Space Administration (general)	43.000	N	36,965	10,798		47,763
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Cluster: Other Programs

Major Agency: US NASA

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
		Agency Totals	<u>36,965</u>	<u>10,798</u>		<u>47,763</u>
<u>US National Archives & Records Administration (NARA)</u>						
National Historical Publications & Records Commission NHPRC						
<i>Direct Awards</i>						
National Archives Reference Services_Historical Research	89.001	N	2,000			2,000
National Historical Publications and Records Grants	89.003	N		15,623		15,623
		Agency Totals	<u>2,000</u>	<u>15,623</u>		<u>17,623</u>
<u>US National Endowment for the Arts (NEA)</u>						
US National Endowment for the Arts (NEA)						
<i>Direct Awards</i>						
Promotion of the Arts_Grants to Organizations and Individuals	45.024	N	34,999		10,000	44,999
<i>Pass through from Others - see also pass through detail</i>						
Promotion of the Arts_Grants to Organizations and Individuals	45.024	N	4,212			4,212
Promotion of the Arts_Partnership Agreements	45.025	N			9,800	9,800
		Agency Totals	<u>39,211</u>		<u>19,800</u>	<u>59,011</u>
<u>US National Endowment for the Humanities (NEH)</u>						
US National Endowment for the Humanities (NEH)						
<i>Direct Awards</i>						
Promotion of the Arts Design Arts	45.001	N	17,153			17,153
Promotion of the Humanities_Division of Preservation and Access	45.149	N	461,142			461,142
Promotion of the Humanities_Public Programs	45.164	N		892		892
Promotion of the Humanities_Office of Digital Humanities	45.169	N	56,398			56,398
<i>Pass through from Others - see also pass through detail</i>						
Promotion of the Arts Literature	45.004	N	40,000			40,000
Promotion of the Humanities_Federal/State Partnership	45.129	N	821			821
		Agency Totals	<u>575,514</u>	<u>892</u>		<u>576,406</u>
<u>US National Science Foundation (NSF)</u>						
US National Science Foundation (NSF)						
<i>Direct Awards</i>						
National Science Foundation (general)	47.000	N	463,931	185,813		649,744
Engineering Grants	47.041	N	921,576			921,576
Mathematical and Physical Sciences	47.049	N	91,759			91,759

Cluster: Other Programs

Major Agency: US National Science Foundation (NSF)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
Geosciences	47.050	N	27,326			27,326
Computer and Information Science and Engineering	47.070	N	176,328			176,328
Biological Sciences	47.074	N	203,533			203,533
Social, Behavioral, and Economic Sciences	47.075	N	63,959			63,959
Education and Human Resources	47.076	Y	2,001,141	533,759		2,534,900
International Science and Engineering (OISE)	47.079	N	49,777			49,777
ARRA-TRANS-NSF RECOVERY ACT RESEARCH SUPPORT	47.082	N	53,046			53,046
<i>Pass through from Others - see also pass through detail</i>						
National Science Foundation (general)	47.000	N	100,879	15,551		116,430
Engineering Grants	47.041	N	16,834	-250		16,584
Geosciences	47.050	N	69,616			69,616
Computer and Information Science and Engineering	47.070	N	375	4,708		5,083
Education and Human Resources	47.076	Y	133,592	1,221,136	77,095	1,431,823
Agency Totals			<u>4,373,672</u>	<u>1,960,717</u>	<u>77,095</u>	<u>6,411,484</u>

US Nuclear Regulatory Commission

US Nuclear Regulatory Commission

Direct Awards

Nuclear Regulatory Commission (general)	77.000	N	187,863			187,863
U. S. Nuclear Regulatory Commission Nuclear Education Grant Program	77.006	N	185,262			185,262
Agency Totals			<u>373,125</u>			<u>373,125</u>

US Securities and Exchange Commission (SEC)

US Securities and Exchange Commission (SEC)

Direct Awards

Securities Exchange Commission (general)	58.000	N	32,480			32,480
Securities_Investigation of Complaints and SEC Information	58.001	N	217,038			217,038
Agency Totals			<u>249,518</u>			<u>249,518</u>

US State Department

US State Department

Direct Awards

Department of State (general)	19.000	N	69,833			69,833
Academic Exchange Programs - Graduate Students	19.400	N	178,035			178,035
Educational Exchange, American Studies Institute	19.418	N		293,294		293,294

Cluster: Other Programs
Major Agency: US State Department

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
<i>Pass through from Others - see also pass through detail</i>						
Department of State (general)	19.000	N	10,250	11,830		22,080
	Agency Totals		<u>258,118</u>	<u>305,124</u>		<u>563,242</u>
<u>Veterans Administration (VA)</u>						
Jesse Brown VA Medical Center						
<i>Direct Awards</i>						
Department of Veterans' Affairs (general)	64.000	N		324,975		324,975
	Agency Totals			<u>324,975</u>		<u>324,975</u>
VA Hines Hospital						
<i>Direct Awards</i>						
Department of Veterans' Affairs (general)	64.000	N		24,146		24,146
	Agency Totals			<u>24,146</u>		<u>24,146</u>
<u>Vietnam Education Foundation</u>						
Vietnam Education Foundation						
<i>Direct Awards</i>						
Other Awards	none	N	412,500	23,938		436,438
	Agency Totals		<u>412,500</u>	<u>23,938</u>		<u>436,438</u>
	Cluster Totals		<u>40,457,393</u>	<u>34,130,147</u>	<u>524,729</u>	<u>75,112,269</u>
<u>Cluster: Public Works & Economic Development</u>						
<u>US Department of Commerce</u>						
US Department of Commerce						
<i>Pass through from State of IL - see also pass through detail</i>						
Economic Adjustment Assistance	11.307	N	185,527			185,527
	Agency Totals		<u>185,527</u>			<u>185,527</u>
	Cluster Totals		<u>185,527</u>			<u>185,527</u>
<u>Cluster: SNAP</u>						
<u>US Department of Agriculture (USDA)</u>						
US Department of Agriculture (USDA)						
<i>Pass through from State of IL - see also pass through detail</i>						
State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	10.561	Y	6,573,689	1,487,856		8,061,545

Cluster: SNAP

Major Agency: US Department of Agriculture (USDA)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
Agency Totals			<u>6,573,689</u>	<u>1,487,856</u>		<u>8,061,545</u>
Cluster Totals			<u>6,573,689</u>	<u>1,487,856</u>		<u>8,061,545</u>

Cluster: State Fiscal Stabilization Fund

US Department of Education

US Department of Education

Pass through from State of IL - see also pass through detail

ARRA-State Fiscal Stabilization Fund (SFSF) - Education State Grants, Recovery Act

84.394	N	403,419				403,419
Agency Totals		<u>403,419</u>				<u>403,419</u>
Cluster Totals		<u>403,419</u>				<u>403,419</u>

Cluster: Student Financial Aid

US Department of Education

Federal Work Study programs

Direct Awards

Federal Work-Study Program

84.033	Y	2,083,728	1,562,058	156,180		3,801,966
Agency Totals		<u>2,083,728</u>	<u>1,562,058</u>	<u>156,180</u>		<u>3,801,966</u>

US Department of Education

Direct Awards

Federal Supplemental Educational Opportunity Grants

84.007	Y	834,984	-75,684			759,300
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Federal Pell Grant Program

84.063	Y	16,651,825	21,140,143	2,671,633		40,463,601
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Academic Competitiveness Grants

84.375	Y	1,151,404	848,469	77,275		2,077,148
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National Science and Mathematics Access to Retain Talent (SMART) Grants

84.376	Y	963,941	961,997	47,265		1,973,203
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Teacher Education Assistance for College and Higher Education Grants (TEACH Grants)

84.379	Y	24,000		20,500		44,500
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Pass through from Others - see also pass through detail

Federal Supplemental Educational Opportunity Grants

84.007	Y		75,684			75,684
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Agency Totals		<u>19,626,154</u>	<u>22,950,609</u>	<u>2,816,673</u>		<u>45,393,436</u>
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US Health & Human Services (HHS)

Health Resources and Services Administration (HRSA)

Direct Awards

Scholarships for Health Professions Students from Disadvantaged Backgrounds

93.925	Y	27,222	108,889			136,111
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Agency Totals		<u>27,222</u>	<u>108,889</u>			<u>136,111</u>
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Cluster: Student Financial Aid

Major Agency: US Health & Human Services (HHS)

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
Cluster Totals			<u>21,737,104</u>	<u>24,621,556</u>	<u>2,972,853</u>	<u>49,331,513</u>
<u>Cluster: TANF</u>						
<u>US Health & Human Services (HHS)</u>						
Administration for Children and Families (ACF)						
<i>Pass through from State of IL - see also pass through detail</i>						
Temporary Assistance for Needy Families	93.558	Y			317,857	317,857
Agency Totals					<u>317,857</u>	<u>317,857</u>
US Health & Human Services (HHS)						
<i>Pass through from State of IL - see also pass through detail</i>						
Temporary Assistance for Needy Families	93.558	Y	73,558			73,558
Agency Totals			<u>73,558</u>			<u>73,558</u>
Cluster Totals			<u>73,558</u>		<u>317,857</u>	<u>391,415</u>
<u>Cluster: Title I, Part A</u>						
<u>US Department of Education</u>						
US Department of Education						
<i>Pass through from State of IL - see also pass through detail</i>						
Title I Grants to Local Educational Agencies	84.010	N	366			366
Agency Totals			<u>366</u>			<u>366</u>
Cluster Totals			<u>366</u>			<u>366</u>
<u>Cluster: TRIO</u>						
<u>US Department of Education</u>						
US Department of Education						
<i>Direct Awards</i>						
TRIO_Student Support Services	84.042	N	109,283	306,609		415,892
TRIO_Talent Search	84.044	N		-5,229		-5,229
TRIO_Upward Bound	84.047	N	407,535	585,826		993,361
TRIO_McNair Post-Baccalaureate Achievement	84.217	N	328,971	55,870		384,841
Agency Totals			<u>845,789</u>	<u>943,076</u>		<u>1,788,865</u>
Cluster Totals			<u>845,789</u>	<u>943,076</u>		<u>1,788,865</u>

Cluster: TRIO
Major Agency: US Department of Education

FY09 SEFA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Program Title	CFDA Number	Major Prog	Urbana	Chicago	Springfield	All campuses
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Cluster: WIA

US Department of Labor (DOL)

US Department of Labor (DOL)

Pass through from Others - see also pass through detail

WIA Youth Activities	17.259	N	81,345			81,345
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Pass through from State of IL - see also pass through detail

WIA Adult Program	17.258	N	884,321			884,321
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WIA Youth Activities	17.259	N	32,558			32,558
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WIA Dislocated Workers	17.260	N	57,743			57,743
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Agency Totals			<u>1,055,967</u>			<u>1,055,967</u>
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Cluster Totals			<u>1,055,967</u>			<u>1,055,967</u>
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Major Program Codes:

Y - Cluster, program, or award tested as a major program

N - Not tested as a major program

	<u>Urbana</u>	<u>Chicago</u>	<u>Springfield</u>	<u>All campuses</u>
GRAND TOTAL	<u>370,482,574</u>	<u>267,643,819</u>	<u>5,800,611</u>	<u>643,927,004</u>

UNIVERSITY OF ILLINOIS
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FEDERAL LOANS DISBURSED AND CAPITAL CONTRIBUTIONS
June 30, 2009

	<u>CFDA Number</u>	<u>6/30/2009 URBANA</u>	<u>6/30/2009 Chicago</u>	<u>6/30/2009 Springfield</u>	<u>6/30/2009 Totals</u>
Student Financial Aid					
U.S. Department of Education					
Federal Family Education Loans (FFEL)					
Stafford	84.032	0	0	15,729,634	15,729,634
Parent Loans for Undergraduate Students	84.032	<u>0</u>	<u>0</u>	<u>1,177,672</u>	<u>1,177,672</u>
Total Federal Family Education Loans (FFEL)		<u>0</u>	<u>0</u>	<u>16,907,306</u>	<u>16,907,306</u>
Federal Direct Student Loans					
Stafford (subsidized & unsubsidized)	84.268	128,152,697	156,753,143	0	284,905,840
Parent Loans for Undergraduate Students	84.268	49,499,514	16,638,559	0	66,138,073
Graduate PLUS Loans	84.268	<u>8,547,186</u>	<u>11,010,633</u>	<u>0</u>	<u>19,557,819</u>
Total Federal Direct Student Loans		<u>186,199,397</u>	<u>184,402,335</u>	<u>0</u>	<u>370,601,732</u>
Perkins Loans (capital contributions)	84.038	0	0	0	<u>0</u>
Total U.S. Department of Education		<u>186,199,397</u>	<u>184,402,335</u>	<u>16,907,306</u>	<u>387,509,038</u>
U.S. Department of Health and Human Services (capital contributions)					
Health Professions Student Loans	93.342	0	0	0	0
Loans to Disadvantaged Students	93.342	0	0	0	0
Nurse Faculty Loan Program (NFLP)	93.264	0	51,672	0	51,672
Nursing Student Loans	93.364	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Total U.S. Department of Health and Human Services		<u>0</u>	<u>51,672</u>	<u>0</u>	<u>51,672</u>
Total Student Financial Aid		<u>186,199,397</u>	<u>184,454,007</u>	<u>16,907,306</u>	<u>387,560,710</u>
Total Loan Disbursements		<u>186,199,397</u>	<u>184,454,007</u>	<u>16,907,306</u>	<u>387,560,710</u>

UNIVERSITY OF ILLINOIS
SCHEDULE OF LOANS ISSUED AND OUTSTANDING BALANCES
FOR UNIVERSITY ADMINISTERED LOAN PROGRAMS
Year Ended June 30, 2009

	6/30/2009	6/30/2009	6/30/2009	6/30/2009
	URBANA	CHICAGO	SPRINGFIELD	Totals
Value of Perkins loans administrative allowance	32,441	110,658	982	144,081
Value of new loans issued to students for Perkins	649,124	2,213,152	19,644	2,881,920
Value of new loans issued to students for HPSL	72,000	702,903	0	774,903
Value of new loans issued to students for NFLP	0	33,180	0	33,180
Value of new loans issued to students for Nursing Student Loans	0	51,573	0	51,573
Value of new loans issued for LDS	8,500	0	0	8,500
Loan Balance Outstanding - Perkins (CFDA 84.038)	13,152,697	27,099,171	204,141	40,456,009
Loan Balance Outstanding - HPSL (CFDA 93.342)	1,673,913	6,401,110	0	8,075,023
Loan Balance Outstanding - NFLP (CFDA 93.264)	0	236,024	0	236,024
Loan Balance Outstanding - Nursing (CFDA 93.364)	0	636,107	0	636,107
Loan Balance Outstanding - LDS (CFDA 93.342)	388,746	130,454	0	519,200
Total	<u>\$ 15,977,421</u>	<u>\$ 37,614,331</u>	<u>\$ 224,767</u>	<u>\$ 53,816,519</u>

FDSL = Federal Direct Student Lending

HPSL = Health Professions Student Loans

LDS = Loans to Disadvantaged Students

NFLP = Nurse Faculty Loan Program

UNIVERSITY OF ILLINOIS
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
Details for Pass-Through Federal Funding Received by UI as Subawardee and Funding Received from DOE Labs
Year Ended June 30, 2009

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>ABT Associates</u>								
Cluster: 1R&D								
<i>Fed Agency: Social Security Administration</i>								
		96.000 / A6044 / SSA ABT SS00-04-60110	Y		855,519			855,519
					<u>855,519</u>			<u>855,519</u>
<u>Academy of Applied Sciences</u>								
Cluster: 1R&D								
<i>Fed Agency: Army</i>								
		12.431 / A4481 / Army AAS Subgrant 07-76	Y		678			678
<i>Fed Agency: Army Research Office (ARO)</i>								
		12.431 / A3532 / Army Sub AAS 08-08	Y		2,600			2,600
					<u>3,278</u>			<u>3,278</u>
<u>Access Community Health Network</u>								
Cluster: 1R&D								
<i>Fed Agency: Centers for Disease Control and Prevention (CDC)</i>								
		93.000 / E6479 / Access Community Health Network	Y			-700		-700
<i>Fed Agency: HRSA/BHPR/DADPHP (Health Resources and Services)</i>								
		93.000 / E6146 / Access Community Health Network	Y			-11,533		-11,533
						<u>-12,233</u>		<u>-12,233</u>

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Active Transportaion Alliance

Cluster: 1R&D

Fed Agency: US Department of Education

84.000 / G6136 / Active Transportation Alliance

Y

6,708

6,708

Pass-through entity total:6.7086.708**Acumen Scientific**

Cluster: 1R&D

Fed Agency: Air Force

12.800 / A3848 / AF Acumen Scientific SBIR Phase 2

Y

-235

-235

Fed Agency: Army

12.431 / A4416 / Army Sub Acumen UIUC-001

Y

331,436

331,436

Pass-through entity total:331,201331,201**Administrators of the Tulane Ed Fund DBA Tulane Univ**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.865 / E5367 / Tulane Educ Fund TUL HSC 115 08/0

Y

51,014

51,014

93.865 / E5561 / Tulane Educational Fund 546515

Y

19,851

19,851

Pass-through entity total:70,86570,865**Advanced Diamond Technologies Inc**

Cluster: 1R&D

Fed Agency: Defense Threat Reduction Agency (DTRA)

12.000 / A3029 / DTRA ADT HDTRA1-09-C-0007-1

Y

96,596

96,596

Pass-through entity total:96.59696.596

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Agricultural Watershed Institute

Cluster: 1R&D

Fed Agency: US Environmental Protection Agency (EPA)

66.000 / A5765 / EPA Sub AWI Keefer

Y

55,070

55,070

66.439 / A5696 / EPA Sub AWI Cooke

Y

177,968

177,968

66.439 / A5764 / EPA Sub AWI Bullock

Y

905

905

Pass-through entity total:233,943233,943**Agriculture Drainage Management Coalition (ADMC)**

Cluster: 1R&D

Fed Agency: US Department of Agriculture (USDA)

10.000 / A4386 / AG ADMC 2007-02794

Y

40,304

40,304

Pass-through entity total:40,30440,304**AIDS Foundation of Chicago**

Cluster: 1R&D

Fed Agency: Health Resources and Services Administration (HRSA)

93.914 / E6832 / AIDS Foundation Of Chicago

Y

390

390

Cluster: Other Programs

Fed Agency: Health Resources and Services Administration (HRSA)

93.914 / E5527 / AIDS Foundation of Chicago

N

322,831

322,831

Fed Agency: HRSA/BHPR/DADPHP (Health Resources and Services)

93.914 / G6461 / AIDS Foundation of Chicago

N

35,014

35,014

Fed Agency: National Institutes of Health (NIH)

93.917 / E5097 / AIDS Fdn of Chicago

N

16,967

16,967

93.917 / E5477 / AIDS Foundation Of Chicago

N

54,218

54,218">

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Fed Agency: US Health & Human Services (HHS)

93.917 / E5836 / AIDS Foundation of Chicago

N

-2,211

-2,211

93.917 / E6004 / AIDS Foundation Of Chicago

N

21,326

21,326

93.917 / E6722 / Aids Fdn of Chicago

N

-1,781

-1,781

Pass-through entity total:446,754446,754**AIDS Research Alliance**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.856 / E7741 / Aids Rsch Alliance

Y

3,199

3,199

Pass-through entity total:3,1993,199**Alabama A&M University**

Cluster: 1R&D

Fed Agency: US Department of Agriculture (USDA)

10.000 / A4873 / AG AAMU 2005-38814-16523

Y

41,717

41,717

Pass-through entity total:41,71741,717**Alaska Department of Environmental Conservation**

Cluster: Other Programs

Fed Agency: US Environmental Protection Agency (EPA)

66.605 / B9156 / CAL Alaska DEC 08-MDN2-78

N

5,598

5,598

Pass-through entity total:5,5985,598**Alpha Genesis Inc**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.855 / E7124 / Alpha Genesis Inc

Y

-1,817

-1,817

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program ARRA****Urbana****Chicago****Springfield****All Campuses**

Pass-through entity total:-1,817-1,817**Alstom Power Inc**

Cluster: 1R&D

Fed Agency: US Department of Energy (DOE)

81.000 / A4053 / DOE Alstom 97448 KP

Y

144,318

144,318

Pass-through entity total:144,318144,318**American Educational Research Assn(AERA)**

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.000 / A3080 / SBC AERA 2009-03245

Y

4,354

4,354

47.000 / A3808 / SBC AERA 2008-04372 DISS

Y

14,318

14,318

Pass-through entity total:18,67218,672**American Farmland Trust**

Cluster: 1R&D

Fed Agency: US Environmental Protection Agency (EPA)

66.716 / A3473 / EPA Sub AFT R5 2008-01

Y

34,998

34,998

Pass-through entity total:34,99834,998**American International Health Alliance**

Cluster: Other Programs

Fed Agency: HRSA/BHPR/DADPHP (Health Resources and Services)

93.145 / E5398 / AmIntHlthAllianc HF4ETH08PPTRJASW00

Y

113,004

113,004

93.145 / E5407 / American International Health Allia

Y

56,124

56,124

Fed Agency: National Institutes of Health (NIH)

93.145 / E6111 / AIHA- Twinning Center Project

Y

118,780

118,780

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major****Program ARRA****Urbana****Chicago****Springfield****All Campuses**

Pass-through entity total:287,908287,908**American Islamic Congress**

Cluster: Other Programs

Fed Agency: US State Department

19.000 / E5166 / The American Islamic Congress

N

11,830

11,830

Pass-through entity total:11,83011,830**American Medical Student Association**

Cluster: Other Programs

Fed Agency: US Health & Human Services (HHS)

93.000 / E6707 / American Medical Student Assoc

N

1,760

1,760

Pass-through entity total:1,7601,760**American Psychiatric Foundation**

Cluster: 1R&D

Fed Agency: Substance Abuse & Mental Health Services Admin (SAMHSA)

93.000 / E5875 / Amer Psychiatric Assoc

Y

582

582

Pass-through entity total:582582**American Society for Engineering Education (ASEE)**

Cluster: Other Programs

Fed Agency: US NASA

43.000 / A3322 / NASA ASEE FLLW 2009-00254

N

11,000

11,000

Pass-through entity total:11,00011,000

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

American Soybean Association

Cluster: 1R&D

Fed Agency: United States Agency for International Development (AID)

98.000 / A3418 / AID ASA 808-6302

Y

20,790

20,790

Pass-through entity total:20,79020,790**Anasys Instruments**

Cluster: 1R&D

Fed Agency: US Department of Commerce

11.612 / A3775 / COM AIC 70NANB7H7025

Y

244,097

244,097

Pass-through entity total:244,097244,097**APEI, Inc.**

Cluster: 1R&D

Fed Agency: US Department of Defense (DoD)

12.000 / E4996 / APEI AF2960

Y

372

372

Pass-through entity total:372372**Applied Material Systems Engineering Inc (AMSENG)**

Cluster: 1R&D

Fed Agency: US Department of Defense (DoD)

12.000 / E6167 / Apld MatL Sys Engr HQ0006-06-C-7371

Y

-35,749

-35,749

Pass-through entity total:-35,749-35,749**Argonne National Lab**

Cluster: 1R&D

Fed Agency: Argonne National Lab

81.000 / A3114 / DOE ANL 4J-00181-0011A

Y

4,000

4,000

Pass-Through Entity

Cluster

Fed Agency

CFDA Number / UI Grant Code / Award Title

Major Program

ARRA

Urbana

Chicago

Springfield

All Campuses

81.000 / A3138 / DOE ANL 4J-00181-0010A	Y		11,353			11,353
81.000 / A3251 / DOE ANL 8F-02044	Y		22,813			22,813
81.000 / A3255 / DOE ANL 8F-02043	Y		3,770			3,770
81.000 / A3333 / DOE ANL 4J-00181-0009A	Y		25,000			25,000
81.000 / A3777 / DOE ANL 4J-00181-0006A	Y		47,866			47,866
81.000 / A3812 / DOE ANL 4J-00181-0008A	Y		86,003			86,003
81.000 / A3843 / DOE ANL 4J-00181-0007A	Y		43,250			43,250
81.000 / A4093 / DOE ANL 7F-01341	Y		67,720			67,720
81.000 / A4229 / DOE ANL 7F-00721	Y		-123			-123
81.000 / A4341 / DOE ANL 7F-01201	Y		84,712			84,712
81.000 / A4633 / DOE ANL 4J-00181-0004A	Y		109,983			109,983
81.000 / A8654 / DOE ANL 1F-00861	Y		-143			-143
81.000 / E4999 / Arfonne Nat Lab	Y			762		762
81.000 / E5016 / Argonne Nat Lab 9F-30721	Y			3,369		3,369
81.000 / E5017 / Argonne Nat Lab No 9F-31381	Y			6,259		6,259
81.000 / E5094 / Argonne Nat Lab 9F-30761	Y			1,935		1,935
81.000 / E5142 / Argonne Nat Lab 9F-30701	Y			14,699		14,699
81.000 / E5309 / Argonne National Lab 8F-02142	Y			42,208		42,208
81.000 / E5412 / UC Argonne LLC - S. Aggarwal	Y			49,297		49,297
81.000 / E5489 / Argonne Nat Lab 9F-31301	Y			127,116		127,116

Pass-Through Entity

Cluster

Fed Agency

CFDA Number / UI Grant Code / Award Title

Major Program

ARRA

Urbana

Chicago

Springfield

All Campuses

81.000 / E5498 / Argonne National Lab. 8F-01222	Y			13,806		13,806
81.000 / E5546 / Argonne Nat. Lab. 8F-01141	Y			2,238		2,238
81.000 / E5643 / Argonne Nat. Lab No. 8F-00081	Y			113,679		113,679
81.000 / E5762 / ANL 7F-01962	Y			14,391		14,391
81.000 / E5897 / Argonne Nat Lab 7F-01143	Y			43,879		43,879
81.000 / E5932 / Argonne Nat. Lab. 8F-00722	Y			20,176		20,176
81.000 / E5937 / Argonne National Lab 7F-00901	Y			71,964		71,964
81.000 / E6580 / ANL 6F-00004	Y			62,742		62,742
81.000 / E6863 / Anl 4J-00161-0007B	Y			-902		-902
81.000 / E7252 / ANL 4J-00161-0003E	Y			122,905		122,905
81.000 / E8089 / ANL 2F-02521	Y			-9,824		-9,824
81.049 / E5127 / Argonne Nat Lab 9F-30601	Y			33,809		33,809
81.049 / E6051 / Argonne Nat. Lab 6F-01105	Y			750		750
81.106 / E8307 / ANL-DOE OF-01504	Y			-3,320		-3,320

Cluster: Other Programs

Fed Agency: Argonne National Lab

81.000 / A3269 / DOE ANL PO 9A-30386 TTA	N		8,260			8,260
81.000 / A3378 / DOE ANL FUA 2007-05957-00	N		108,292			108,292
81.000 / A3748 / DOE ANL FUA 8A-06231	N		2,706			2,706
81.000 / A3896 / DOE ANL 8F-00241	N		247,566			247,566
81.000 / A3996 / DOE ANL 2008-01228 7B-00201	N		945			945

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program ARRA****Urbana****Chicago****Springfield****All Campuses**

Pass-through entity total: 873,973 731,938 1,605,911

Arizona Department of Environmental Quality

Cluster: Other Programs

Fed Agency: US Environmental Protection Agency (EPA)

66.605 / A5116 / CAL EPA 2009-MDN2-73

N

17,242

17,242

Pass-through entity total: 17,242 17,242

Arizona State University

Cluster: 1R&D

Fed Agency: Air Force Office of Scientific Research (AFOSR)

12.800 / A4988 / AF Sub AZ State 07-750

Y

21,853

21,853

Fed Agency: National Institute of Mental Health

93.242 / A7007 / PHS Sub ASU 04-415 RWA 5068

Y

5,481

5,481

Fed Agency: US NASA

43.000 / E5073 / Arizona State University

Y

85

85

Pass-through entity total: 27,334 85 27,419

Arthritis Foundation

Cluster: 1R&D

Fed Agency: Centers for Disease Control and Prevention (CDC)

93.000 / E5340 / Arthritis Foundation

Y

32,684

32,684

Pass-through entity total: 32,684 32,684

Arts Midwest

Cluster: Other Programs

Fed Agency: US National Endowment for the Arts (NEA)

45.024 / A4027 / NEA Sub Arts Midwest 27371

N

4,212

4,212

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

45.025 / J9445 / 390 Arts Midwest FY09 40840

N

3,800

3,800

45.025 / J9446 / 390 Arts Midwest FY09 40788

N

4,000

4,000

Pass-through entity total:4,2127,80012,012**ASRC Aerospace Corporation**

Cluster: 1R&D

Fed Agency: US NASA

43.000 / A4526 / NASA ASRC AS3304

Y

-1,840

-1,840

Pass-through entity total:-1,840-1,840**Association of American Medical Colleges**

Cluster: 1R&D

Fed Agency: Centers for Disease Control and Prevention (CDC)

93.283 / E6094 / AAMC MM 0964 07 07

Y

84,568

84,568

Pass-through entity total:84,56884,568**Association of Schools of Public Health (ASPH)**

Cluster: 1R&D

Fed Agency: Centers for Disease Control and Prevention (CDC)

93.283 / E7180 / Asph S3120-23/25

Y

20,767

20,767

Cluster: Other Programs

Fed Agency: Centers for Disease Control and Prevention (CDC)

93.283 / E7313 / Asph S3241-23/24

N

-7,492

-7,492

Pass-through entity total:13,27513,275

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major****Program ARRA****Urbana****Chicago****Springfield****All Campuses**

Association of University Centers on Disability

Cluster: 1R&D

Fed Agency: Social Security Administration

96.000 / E5990 / AUCD

Y

-33,253

-33,253

Pass-through entity total:-33,253-33,253**ATK Launch Systems Inc (formerly ATK Thiokol)**

Cluster: 1R&D

Fed Agency: US NASA

43.000 / A4740 / NASA ATK 24416

Y

221,219

221,219

Pass-through entity total:221,219221,219**Auburn University**

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.070 / A5912 / SBC AUBURN 05-ENG-421050

Y

1,174

1,174

Fed Agency: USDA Coop State Rsrch Educ & Ext Serv (CSREES)

10.206 / A5101 / AG AU 06-FAA-361458-UI

Y

12,232

12,232

Cluster: Other Programs

Fed Agency: US Department of Agriculture (USDA)

10.500 / A3483 / AG AU 08-HHP-374648-0008

Y

4,597

4,597

Pass-through entity total:18,00318,003**Avera Research Institute**

Cluster: Other Programs

Fed Agency: US Health & Human Services (HHS)

93.000 / E5362 / Avera Research Institute

N

118,765

118,765

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major****Program ARRA****Urbana****Chicago****Springfield****All Campuses**

Pass-through entity total:118.765118,765**BAE Systems**

Cluster: 1R&D

Fed Agency: Army

12.431 / A9060 / Army BAE SYS 104835

Y

55,477

55,477

Fed Agency: Defense Advanced Research Projects Agency (DARPA)

12.910 / A3434 / DARPA BAE 333889

Y

27,929

27,929

97.065 / E5591 / BAE Systems 310768

Y

1,549,803

1,549,803

Pass-through entity total:83.4061,549,8031,633,209**Ball State University**

Cluster: 1R&D

Fed Agency: Army Research Office (ARO)

12.000 / E6286 / W911NF-06-2-0030 - K. Lucas

Y

-62

-62

Pass-through entity total:-62-62**Battelle Memorial Institute**

Cluster: 1R&D

Fed Agency: Army

12.000 / A3383 / Army Battelle 2009-00113

Y

67,984

67,984

Fed Agency: National Institutes of Health (NIH)

93.000 / A5148 / PHS Sub Battelle 200959

Y

19

19

Fed Agency: Pacific Northwest National Lab

81.000 / A3778 / DOE BATT 60010

Y

8,060

8,060

Fed Agency: US Army Corps of Engineers

12.000 / A3092 / Army Sub BCO TCN 08144

Y

24,720

24,720

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Fed Agency: US Department of Energy (DOE)

81.000 / A5077 / DOE BATT 26209

Y

29,032

29,032

81.000 / A5323 / DOE BATT 22011

Y

12,038

12,038

Fed Agency: US Health & Human Services (HHS)

93.000 / E5532 / Battelle 216965

Y

36,767

36,767

Pass-through entity total:141,85336,767178,620**Baylor College of Medicine**

Cluster: 1R&D

Fed Agency: National Institute of Diabetes & Digestive & Kidney Diseases

93.847 / A6286 / PHS Sub Baylor DK054450

Y

-7,742

-7,742

Fed Agency: US NASA

43.000 / A3291 / NASA BCM RE01801 5600400553

Y

94,623

94,623

Pass-through entity total:86,88186,881**BBN Technologies Corporation**

Cluster: 1R&D

Fed Agency: Air Force

12.000 / A3489 / AF Sub BBN 2008-03179

Y

504

504

Pass-through entity total:504504**Binghamton Univ**

Cluster: 1R&D

Fed Agency: National Institute on Deafness & Other Hearing Disorders

93.173 / A6678 / PHS Sub 30568 1035698

Y

35,552

35,552

Pass-through entity total:35,55235,552

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Boeing Company

Cluster: 1R&D

Fed Agency: Air Force

12.000 / A3219 / AF Boeing 241076

Y

85,000

85,000

Fed Agency: Navy

12.000 / A3363 / Navy Boeing 242165

Y

48,861

48,861

Pass-through entity total:133,861133,861**Boise State University**

Cluster: 1R&D

Fed Agency: US Department of Education

84.305 / E5845 / BSU 101G106216-A

Y

82,193

82,193

Pass-through entity total:82,19382,193**Boston University**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.000 / A4482 / PHS SUB BOSTON RA5289F0BAJ

Y

26,907

26,907

Cluster: Other Programs

Fed Agency: US National Science Foundation (NSF)

47.070 / A5747 / SBC BU GC189822NGA

N

375

375

Pass-through entity total:27,28227,282**Boyce Thompson Institute for Plant Research**

Cluster: 1R&D

Fed Agency: US Department of Energy (DOE)

81.000 / A3382 / DOE Sub BTI 08-02

Y

1,861

1,861

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program ARRA****Urbana****Chicago****Springfield****All Campuses**

Pass-through entity total:1,8611,861**Boys & Girls Club of Chicago**

Cluster: 1R&D

Fed Agency: US Department of Education

84.287 / D7578 / ISBE BoysGirlsClub 2009-04309

Y

308

308

Pass-through entity total:308308**Bradley University**

Cluster: Other Programs

Fed Agency: US National Science Foundation (NSF)

47.041 / E7247 / Bradley University Eec 0332714

N

-250

-250

Pass-through entity total:-250-250**Bridge Waves Electronics**

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.041 / E5919 / Bridge Wave Electronics

Y

24,328

24,328

Pass-through entity total:24,32824,328**Brigham & Women Hospital Inc**

Cluster: 1R&D

Fed Agency: US Health & Human Services (HHS)

93.855 / E5459 / Brigham & Women Hospital Inc

Y

50

50

Pass-through entity total:5050

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Brigham Young Univ

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.070 / A6799 / SBC BYU #03-0114

Y

-75

-75

47.074 / A5626 / SBC BYU 05-0141

Y

2,024

2,024

Pass-through entity total:1,9491,949**Brookhaven National Lab**

Cluster: 1R&D

Fed Agency: Brookhaven National Lab

81.000 / A3330 / DOE BNL 135577

Y

19,989

19,989

81.000 / A5879 / DOE BNL 80780

Y

72,113

72,113

Pass-through entity total:92,10292,102**Brown University**

Cluster: 1R&D

Fed Agency: Army

12.431 / A4563 / Army Sub Brown 00000055 P992647

Y

163,479

163,479

Fed Agency: US National Science Foundation (NSF)

47.049 / A3557 / SBC Brown University #00000183

Y

5,147

5,147

Pass-through entity total:168,626168,626**Buckmaster Research**

Cluster: 1R&D

Fed Agency: Air Force

12.000 / A3087 / AF Sub Buckmaster 2009-01210

Y

32,021

32,021

Fed Agency: Air Force Office of Scientific Research (AFOSR)

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

12.800 / A3872 / AF sub Buckmaster 2008-01163

Y

201,117

201,117

Pass-through entity total:233,138233,138**California Institute of Technology**

Cluster: 1R&D

Fed Agency: Army Research Office (ARO)

12.431 / A5659 / Army CIT 68-1077903

Y

-64,973

-64,973

Fed Agency: NASA Headquarters

43.000 / A6182 / NASA JPL 1264492

Y

32

32

43.000 / A6455 / NASA JPL 1260125

Y

262,315

262,315

Fed Agency: Office of Naval Research (ONR)

12.300 / A4623 / Navy Sub CIT 67F-1082217

Y

143,522

143,522

Fed Agency: US NASA

43.000 / A3999 / NASA JPL 1319342

Y

9,109

9,109

43.000 / A4043 / NASA JPL 1319361

Y

30,679

30,679

43.000 / A4090 / NASA JPL 1316421

Y

67,813

67,813

43.000 / A4668 / NASA JPL 1291711

Y

9,987

9,987

43.000 / A4716 / NASA JPL 1289730

Y

3,160

3,160

43.000 / A4748 / NASA JPL 1289744

Y

69,468

69,468

43.000 / A9167 / NASA JPL 1236748

Y

62,881

62,881

Fed Agency: US National Science Foundation (NSF)

47.070 / A5360 / SBC CIT 67L-1079674

Y

6,535

6,535

Pass-through entity total:600,528600,528

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Cancer Council Victoria

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.393 / E6780 / The Cancer Council Of Victoria

Y

248

248

Pass-through entity total:248248**Cantimer Incorporated**

Cluster: 1R&D

Fed Agency: Army

12.000 / A3303 / Army Sub Cantimer 2008-04904

Y

79,469

79,469

Pass-through entity total:79,46979,469**Caracal Inc**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.000 / E6481 / Caracal Inc

Y

17,695

17,695

Pass-through entity total:17,69517,695**Carnegie Institution of Washington**

Cluster: 1R&D

Fed Agency: US Department of Energy (DOE)

81.000 / A3305 / DOE CIW 4-3253-13

Y

122,648

122,648

81.000 / A3704 / DOE CIW 4-3253-11

Y

52,201

52,201

81.000 / A6770 / DOE CIW SC 4-3327-35

Y

-17,696

-17,696

Pass-through entity total:157,153157,153

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Carnegie Mellon University

Cluster: 1R&D

Fed Agency: Army

12.431 / A3956 / Army Sub CM 1130077-199232 Y 56,674 56,674

Fed Agency: National Institutes of Health (NIH)

93.286 / A3396 / NIH SUB CMU 1090224-218537 Y 82,273 82,273

93.286 / A6668 / PHS Sub CM 1090080-145398 Y 22,609 22,609

Fed Agency: US National Science Foundation (NSF)

47.070 / A6424 / SBC CM 1120484-148622 Y 12,454 12,454

47.070 / A6602 / SBC CM 1120464-147649 Y 68,965 68,965

Pass-through entity total: 242,975 242,975**Carter & Burgess / Huitt-Zollars**

Cluster: Other Programs

Fed Agency: US Army Corps of Engineers

12.000 / A3090 / Army C&B 83X88300-S08-0003 N 10,964 10,964

Pass-through entity total: 10,964 10,964**Case Western Reserve University**

Cluster: 1R&D

Fed Agency: Army Research Office (ARO)

12.431 / A6995 / Army CWRU 342-0273 Y 136,330 136,330

Fed Agency: National Institutes of Health (NIH)

93.000 / E6070 / Case Western U HHSN275200503406C Y 183,379 183,379

93.867 / E5339 / CWRU RES501480 Y 8,005 8,005

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Center for Rotorcraft Innovation Inc(CRI)

Cluster: 1R&D

Fed Agency: Army

12.000 / E5887 / 2007-C-10-01 1-A8

Y

26,056

26,056

Fed Agency: Federal Aviation Administration (FAA)

20.000 / E5071 / CRI W911W6-06-2-0002-1 Mod P10

Y

1,888

1,888

Fed Agency: US Department of Defense (DoD)

12.000 / E5531 / Center for Rotorcraft Innovation

Y

21,985

21,985

12.000 / E5972 / 07-B-06-03 1-AB

Y

-10,527

-10,527

Pass-through entity total:39,40239,402**Center To Protect Workers Rights**

Cluster: 1R&D

Fed Agency: National Institute for Occupational Safety & Health

93.000 / E5572 / CPWR 08-1-PS

Y

19,377

19,377

Fed Agency: US Health & Human Services (HHS)

93.955 / E7191 / Ctr Protect Workers Rights 1030 16

Y

2,107

2,107

Pass-through entity total:21,48421,484**Centro Internacional de Agricultura Tropical (CIAT)**

Cluster: 1R&D

Fed Agency: United States Agency for International Development (AID)

98.000 / A5199 / AID CIAT IFPRI #6011

Y

108,081

108,081

Pass-through entity total:108,081108,081

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

CFD Research Corporation

Cluster: 1R&D

Fed Agency: Air Force

12.000 / A3015 / AF Sub CFDR 1041

Y

20,752

20,752

Pass-through entity total:20,75220,752**Champaign Consortium**

Cluster: Other Programs

Fed Agency: US Department of Labor (DOL)

17.000 / A4382 / DOL CC 07-1Y-4050-YETP

N

241

241

Cluster: WIA

Fed Agency: US Department of Labor (DOL)

17.259 / A3277 / DOL CC 08-1Y-9050-YETP

N

15,838

15,838

17.259 / A3688 / DOL CC 08-1Y4050-YETP

N

58,519

58,519

17.259 / A4003 / DOL CC 07-1Y 9050-YETP

N

6,988

6,988

Pass-through entity total:81,58681,586**Cherokee Nation**

Cluster: 1R&D

Fed Agency: US Environmental Protection Agency (EPA)

66.034 / A7108 / CAL EPA 2007-MDN2-51

Y

24,152

24,152

Cluster: Other Programs

Fed Agency: US Environmental Protection Agency (EPA)

66.034 / A4565 / CAL EPA 2008-NTN1-69

N

5,831

5,831

Pass-through entity total:29,98329,983

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Chestnut Health Systems

Cluster: 1R&D

Fed Agency: Substance Abuse & Mental Health Services Admin (SAMHSA)

93.000 / E5516 / Chestnut Health Systems	Y			121,264		121,264
93.000 / E5982 / Chestnut Health Systems	Y			-194		-194
93.000 / E6480 / Chestnut Health Systems	Y			-45		-45
93.000 / G6104 / Chestnut Health Systems	Y			50,460		50,460

Pass-through entity total:**171,485****171,485****Cheyenne River Sioux Tribe**

Cluster: Other Programs

Fed Agency: US Environmental Protection Agency (EPA)

66.034 / A4557 / CAL EPA 2008-MDN2-87	N		8,690			8,690
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Pass-through entity total:**8,690****8,690****Chicago Community Programs for Clinical Research on AIDS**

Cluster: Other Programs

Fed Agency: National Institutes of Health (NIH)

93.856 / E7272 / Chicago Comm Programs For Clinical	N			17,016		17,016
---	---	--	--	--------	--	--------

Pass-through entity total:**17,016****17,016****Chicago Metropolitan Agency for Planning**

Cluster: 1R&D

Fed Agency: US Department of Transportation (DOT)

20.205 / D7799 / CMAP 2008-01502	Y		45,111			45,111
20.223 / E5487 / Chgo Metro Agency Planning	Y			58,976		58,976

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program ARRA****Urbana****Chicago****Springfield****All Campuses**

Pass-through entity total: 45,111 58,976 104,087

Chicago Public Schools

Cluster: Other Programs

Fed Agency: US Department of Education

84.366 / F9253 / CPS

N

-6,030

-6,030

Pass-through entity total: -6,030 -6,030

Chicago State University

Cluster: Other Programs

Fed Agency: US National Science Foundation (NSF)

47.076 / E5395 / Chicago State Univ 53636

Y

226,896

226,896

47.076 / E5503 / Chicago State U HRD 0413000

Y

1,312

1,312

47.076 / E5642 / Chgo St U HRD0413000

Y

371,719

371,719

47.076 / E6261 / Chicago St U P23253 HRD 0413000

Y

51,250

51,250

Pass-through entity total: 651,177 651,177

Chicago Technology Park

Cluster: Other Programs

Fed Agency: US Department of Labor (DOL)

17.000 / E6907 / Chicago Technology Park

N

368,835

368,835

Pass-through entity total: 368,835 368,835

Chicago Workforce Board

Cluster: 1R&D

Fed Agency: US Department of Labor (DOL)

17.720 / E7466 / Chic Workforce Bd Dole 9430104-01

Y

21,162

21,162

Pass-through entity total: 21,162 21,162

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major****Program ARRA****Urbana****Chicago****Springfield****All Campuses**

Childrens Hospital Boston

Cluster: 1R&D

Fed Agency: US Health & Human Services (HHS)

93.000 / E6787 / Childrens Hosp Boston P4-Uic 87135

Y

-1,582

-1,582

Pass-through entity total:-1,582-1,582**Children's Hospital of Philadelphia**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.393 / E7079 / Childrens Hosp Phila 20541-04-09

Y

1,800

1,800

Pass-through entity total:1,8001,800**Childrens Memorial Hospital**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.233 / E6217 / Childrens Mem Hosp 901050-UIC

Y

58,651

58,651

93.865 / E6814 / Child Mem Hosp 900790-UIC

Y

-2,698

-2,698

93.865 / E6815 / Childrens Memorial Hosp 900890

Y

51,860

51,860

Pass-through entity total:107,813107,813**Children's Memorial Hospital**

Cluster: 1R&D

Fed Agency: National Institute of Mental Health

93.242 / E5595 / Childrens Memorial Hospital

Y

30,359

30,359

Fed Agency: National Institutes of Health (NIH)

93.000 / E5141 / Childrens Memorial Hospital

Y

1,138

1,138

93.279 / E5051 / Child Memor Hosp 2009 021N UIC

Y

9,670

9,670

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program ARRA****Urbana****Chicago****Springfield****All Campuses**

Pass-through entity total:41,16741,167**Children's Place Association**

Cluster: 1R&D

Fed Agency: US Health & Human Services (HHS)

93.000 / E6447 / The Childrens Place Assoc

Y

-8

-8

Pass-through entity total:-8-8**Children's Research Institute**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.856 / E7199 / Children Research Inst CRI 355704

Y

23

23

Pass-through entity total:2323**City Colleges of Chicago**

Cluster: 1R&D

Fed Agency: National Institute of General Medical Sciences

93.859 / F9205 / City Colleges of Chicago

Y

118,624

118,624

Fed Agency: National Institutes of Health (NIH)

93.859 / E7229 / City Colleges Of Chicago

Y

-43,005

-43,005

Pass-through entity total:75,61975,619**City of Chicago**

Cluster: 1R&D

Fed Agency: Centers for Disease Control and Prevention (CDC)

93.000 / F9160 / Chicago Dept of Public Health 12152

Y

2,013

2,013

93.940 / F9206a / Chicago Dept of Public Health 15083

Y

-6,454

-6,454

93.944 / F9294 / Chicago Dept of Public Health

Y

1,681

1,681

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<i>Fed Agency: Housing & Urban Development (HUD)</i>								
		14.218 / F9206 / Chicago Dept of Public Health 15083	Y			-6,454		-6,454
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.243 / F9210 / City of Chicago 14056	Y			43,934		43,934
<i>Fed Agency: US Department of Justice (DOJ)</i>								
		16.540 / F9189 / City of Chicago PO15144 57610	Y			21,808		21,808
		16.544 / F9241 / City Of Chicago	Y			73,224		73,224
<i>Fed Agency: US Health & Human Services (HHS)</i>								
		93.197 / F9121 / CDPH 19731	Y			19,926		19,926
Cluster: CDBG - Entitlement Grants								
<i>Fed Agency: Housing & Urban Development (HUD)</i>								
		14.218 / F9230 / Chicago Dept Public Health 15082	N			81,581		81,581
Cluster: Child Nutrition								
<i>Fed Agency: US Department of Agriculture (USDA)</i>								
		10.559 / F9146 / CYS 17962/1	N			420,274		420,274
Cluster: Head Start								
<i>Fed Agency: Administration for Children and Families (ACF)</i>								
		93.600 / F9475 / CDHS D0952510959	N			44		44
<i>Fed Agency: US Health & Human Services (HHS)</i>								
		93.600 / F9149 / City of Chicago PO No 18404	N			1,451,565		1,451,565
		93.600 / F9272 / City of Chicago CYS 10759-1-06-04R	N			1,248,547		1,248,547
Cluster: Other Programs								
<i>Fed Agency:</i>								
		93.914 / F9132 / CDPH 17217-2	N			388,972		388,972

Pass-Through Entity

Cluster		Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
Fed Agency							
CFDA Number / UI Grant Code / Award Title							
<i>Fed Agency: Centers for Disease Control and Prevention (CDC)</i>							
93.000 / F9307 / Cdph 11335	N				-2,817		-2,817
93.940 / F9230a / Chicago Dept Public Health 15082	N				81,581		81,581
<i>Fed Agency: HRSA/BHPR/DADPHP (Health Resources and Services)</i>							
93.914 / F9237 / City of Chicago	N				181,037		181,037
<i>Fed Agency: National Institutes of Health (NIH)</i>							
93.914 / F9171 / CDPH 17217	N				1,097,284		1,097,284
93.914 / F9208 / CDPH 34578 PO 8483	N				17,711		17,711
<i>Fed Agency: US Environmental Protection Agency (EPA)</i>							
66.000 / A4613 / EPA City of Chicago 2007-2484	N			3,686			3,686
<i>Fed Agency: US Health & Human Services (HHS)</i>							
93.000 / F9352 / Cdcys 4446-2	N				-2,182		-2,182
93.914 / F9265 / City of Chicago 8483-2-06-09	N				-66		-66
93.914 / F9300 / Cdph 8483	N				-2,188		-2,188
93.945 / F9255 / Cdph 8278 1021493W	N				121,491		121,491
<u>Pass-through entity total:</u>				<u>3,686</u>	<u>5,232,512</u>		<u>5,236,198</u>
City of East St Louis							
Cluster: CDBG - Entitlement Grants							
<i>Fed Agency: Housing & Urban Development (HUD)</i>							
14.218 / A3440 / HUD ESL 2008-03921	N			7,500			7,500
14.218 / A5031 / HUD CESL 2006-05675	N			571			571
Cluster: Other Programs							
<i>Fed Agency: Housing & Urban Development (HUD)</i>							

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

14.000 / A4280 / HUD CESL LCJ 2007-5307

N

2,020

2,020

Pass-through entity total:10,09110,091**City of Peoria**

Cluster: CDBG - Entitlement Grants

Fed Agency:

14.218 / F9181 / City of Peoria AS 400 08PSI

N

10,736

10,736

Fed Agency: Housing & Urban Development (HUD)

14.218 / F9135 / City of Peoria

N

6,169

6,169

14.218 / F9268 / City of Peoria AS-400-06PS12/IDIS 3

N

-24

-24

Pass-through entity total:16,88116,881**Clark Atlanta University**

Cluster: 1R&D

Fed Agency: US NASA

43.000 / A7078 / NASA 03-04-30403-002

Y

26,254

26,254

Pass-through entity total:26,25426,254**Clemson University**

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.050 / A4266 / SBC CU 1140-7558-206-2005990

Y

15,267

15,267

47.050 / A6005 / SBC CLMSN #853-7559-206-2090072

Y

19,668

19,668

Pass-through entity total:34,93534,935

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Cleveland Clinic Foundation

Cluster: 1R&D

Fed Agency: National Institute of Diabetes & Digestive & Kidney Diseases

93.849 / E7426 / Cleveland Clinic - 1U01 DK66597

Y

7,458

7,458

Fed Agency: US Health & Human Services (HHS)

93.849 / E5537 / Cleveland Clinic 3900068

Y

24,391

24,391

Pass-through entity total:**31,849****31,849****Colorado State Univ**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.856 / E8108 / Colorado St G46142

Y

44

44

Fed Agency: US Department of Agriculture (USDA)

10.000 / A3594 / AG CSU P324045

Y

20,000

20,000

10.000 / E5652 / Colorado State University NREL

Y

-328

-328

10.200 / A3267 / AG COSU G-1459-1

Y

67,528

67,528

10.200 / A4869 / AG CSU G-1458-6

Y

-10,463

-10,463

10.206 / A3275 / AG COSU G-1469-1

Y

26,298

26,298

Fed Agency: US National Science Foundation (NSF)

47.074 / A8820 / CAL NSF 2009-NTN1-02

Y

4,836

4,836

Pass-through entity total:**108,199****-284****107,915**

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Columbia Univ

Cluster: 1R&D

Fed Agency: National Institute on Aging

93.866 / E4997 / Subaward No: #5-35061

Y

42,440

42,440

93.866 / E5553 / Columbia Univ 2 5 35060

Y

156,988

156,988

Pass-through entity total:199,428199,428**Combustion Byproducts Recycling Consortium**

Cluster: 1R&D

Fed Agency: US Department of Energy (DOE)

81.000 / A5168 / DOE CBRC MC 2006-2816 ANTIC

Y

1

1

Pass-through entity total:11**Community Care Options Inc**

Cluster: Other Programs

Fed Agency: Substance Abuse & Mental Health Services Admin (SAMHSA)

93.275 / E7143 / Pillars SM5448301

N

-13,744

-13,744

Pass-through entity total:-13,744-13,744**Community Oriented Policing Service**

Cluster: Other Programs

Fed Agency: US Department of Justice (DOJ)

16.710 / E5622 / COPS 2007CKWX0003

N

255,586

255,586

Pass-through entity total:255,586255,586

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Community Transportation Association of America</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Transportation (DOT)</i>								
		20.000 / E5953 / CTA of America		Y		9,163		9,163
						<u>Pass-through entity total:</u>		<u>9,163</u>
<u>Cook County Department of Public Health</u>								
Cluster: 1R&D								
<i>Fed Agency: Housing & Urban Development (HUD)</i>								
		14.000 / F9203 / CCDPH 07-41-260		Y		15,704		15,704
						<u>Pass-through entity total:</u>		<u>15,704</u>
<u>Cornell University</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.859 / E8293 / Cornell U GM61925		Y		-8,650		-8,650
<i>Fed Agency: US Department of Agriculture (USDA)</i>								
		10.000 / A8793 / CAL AG 2009-NTN1-24		Y	4,836			4,836
		10.219 / A3096 / AG Sub CU 56161-8856		Y	1,329			1,329
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.049 / A4029 / SBC CORNELL 46514-8591		Y	140,486			140,486
		47.074 / A4028 / SBC BTICU 07-04		Y	-838			-838
		47.075 / A5287 / SBC CRNL 48149-8032		Y	39,602			39,602
Cluster: Other Programs								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.000 / A3132 / NIH SUB TTA CU B31347		N	5,751			5,751

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Fed Agency: US Department of Agriculture (USDA)

10.000 / A3764 / AG CU TTA B29427

N

8,562

8,562

Pass-through entity total:199,728-8,650191,078**Council for International Exchange of Scholars**

Cluster: Other Programs

Fed Agency: US State Department

19.000 / A3295 / STATE CIES FICAP Ali

N

5,000

5,000

Pass-through entity total:5,0005,000**County of Suffolk**

Cluster: 1R&D

Fed Agency: US Environmental Protection Agency (EPA)

66.456 / A6526 / CAL EPA 2007-NTN1-56

Y

5,836

5,836

Pass-through entity total:5,8365,836**CPBR - Consortium for Plant Biotechnology Research Inc**

Cluster: 1R&D

Fed Agency: US Department of Energy (DOE)

81.000 / A4736 / DOE CPBR GO12026-250

Y

9,909

9,909

81.087 / A3252 / DOE CPBR G012026-270

Y

101,314

101,314

81.087 / A5647 / DOE CPBR GO 12026-231

Y

-711

-711

81.087 / A5648 / DOE CPBR GO 12026-219

Y

4,642

4,642

81.087 / A5649 / DOE CPBR GO 12026-220

Y

44,164

44,164

Pass-through entity total:159,318159,318

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

CPResearch

Cluster: 1R&D

Fed Agency: Army

12.000 / A3229 / Army CPResearch W91WAW-08-C-0023

Y

20,909

20,909

Pass-through entity total:20,90920,909**Creare Inc**

Cluster: 1R&D

Fed Agency: Air Force Office of Scientific Research (AFOSR)

12.300 / A4283 / AF Sub Creare 48166

Y

115,789

115,789

Pass-through entity total:115,789115,789**CRP Consulting**

Cluster: Other Programs

Fed Agency: Health Resources and Services Administration (HRSA)

93.000 / E7412 / Crp Consulting 240-01-0055

N

6

6

Pass-through entity total:66**CU Aerospace LLC**

Cluster: 1R&D

Fed Agency: Air Force Office of Scientific Research (AFOSR)

12.800 / A3897 / AF sub CU Aero 2007-04585

Y

148,870

148,870

Fed Agency: Army

12.000 / A3027 / Army Sub CUAero 2008-06516

Y

29,038

29,038

12.431 / A6700 / Army CU Aerospace 04-213

Y

30,461

30,461

Fed Agency: Defense Advanced Research Projects Agency (DARPA)

12.000 / A3253 / DARPA CUAerospace 2008-02865

Y

89,067

89,067

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Fed Agency: Missile Defense Agency (MDA)

12.000 / A3846 / MDA CUA HQ0006-08-C7823 Y 23,258 23,258

12.431 / A4284 / Army sub CU Aero 2007-02249 Y 105,728 105,728

Fed Agency: US NASA

43.000 / A3086 / NASA Sub CU Aerospace 2009-00269 Y 40,194 40,194

43.000 / A3892 / NASA CUA NNX08CC85P Y 7,842 7,842

Pass-through entity total: 474,458 474,458**Dartmouth College**

Cluster: 1R&D

Fed Agency: US Department of Homeland Security(USDHS)

97.000 / A4431 / DHS Dartmouth 5-36425.5780 42 Y 181,006 181,006

97.000 / A4435 / DHS Dartmouth 5-36428.5730 69 Y 212,794 212,794

97.001 / A3372 / DHS Sub DC FLLW 470 Y 75,692 75,692

Pass-through entity total: 469,492 469,492**Dauphin Island Sea Lab**

Cluster: 1R&D

Fed Agency: US Environmental Protection Agency (EPA)

66.456 / A8624 / CAL EPA 2007-MDN2-38 Y 2 2

Pass-through entity total: 2 2**DE Technologies, Inc**

Cluster: 1R&D

Fed Agency: Defense Advanced Research Projects Agency (DARPA)

12.000 / A3037 / Army Sub DET 2009-02105 Y 4,542 4,542

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program ARRA****Urbana****Chicago****Springfield****All Campuses**

Pass-through entity total:4,5424,542**Defense Training and Technologies (DT2)**

Cluster: 1R&D

Fed Agency: Army

12.431 / A4300 / Army sub DT2 2006-05839

Y

54,154

54,154

Pass-through entity total:54,15454,154**Delaware State University**

Cluster: Other Programs

Fed Agency: US National Science Foundation (NSF)

47.000 / A3665 / SBC TTA Delaware State 2008-04230

N

60,269

60,269

Pass-through entity total:60,26960,269**Delcross Technologies LLC**

Cluster: 1R&D

Fed Agency: Navy

12.000 / A3374 / Navy Sub DT 2008-06510

Y

5,000

5,000

12.000 / A3375 / Navy Sub DT 2008-06509

Y

5,000

5,000

Pass-through entity total:10,00010,000**DePaul Univ**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.279 / E5564 / DePaul University 500500SG035

Y

5,032

5,032

Fed Agency: US Department of Education

84.305 / E5205 / DePaul University R305A080562

Y

81,138

81,138

Fed Agency: US National Science Foundation (NSF)

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major****Program ARRA****Urbana****Chicago****Springfield****All Campuses**

47.076 / E5619 / DePaul Univeristy 500491SG037

Y

7,784

7,784

Pass-through entity total:93,95493,954**Distributed Sensor Technologies**

Cluster: 1R&D

Fed Agency: National Institute of Standards & Technology

11.612 / E5077 / DST/NIST JV 70NANB9H9010

Y

14,714

14,714

Pass-through entity total:14,71414,714**Drexel University**

Cluster: 1R&D

Fed Agency: National Institute of Allergy & Infectious Diseases

93.856 / E6953 / Drexel U 232192

Y

114,129

114,129

Fed Agency: US National Science Foundation (NSF)

47.041 / E6135 / Drexel University 235433

Y

120,287

120,287

Pass-through entity total:234,416234,416**Duke University**

Cluster: 1R&D

Fed Agency: National Cancer Institute

93.398 / E7305 / Duke U 148452-140983-133695

Y

77,784

77,784

Fed Agency: National Institute of Nursing Research

93.361 / E6390 / Duke U 136844/140717

Y

216,328

216,328

Fed Agency: National Institutes of Health (NIH)

93.000 / E8262 / Duke Univ DS687

Y

3,651

3,651

93.856 / E7338 / Duke U 303-0143

Y

55,321

55,321

Fed Agency: US Department of Energy (DOE)

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

81.000 / A6022 / DOE DUKE 05-SC-DOE-1015

Y

-1,145

-1,145

Fed Agency: US National Science Foundation (NSF)

47.049 / A3155 / SBC Duke 09-NSF-1075

Y

66,367

66,367

Pass-through entity total:65,222353,084418,306**DuPage County**

Cluster: 1R&D

Fed Agency: Centers for Disease Control and Prevention (CDC)

93.946 / A3188 / NIH SUB DCROE 1H75DP001870-01

Y

14,984

14,984

Fed Agency: US Department of Education

84.215 / A3191 / DE Sub DuPage 2009-01359

Y

36,817

36,817

84.215 / A3885 / DE Sub DuPage 2008-02079

Y

33,925

33,925

Pass-through entity total:85,72685,726**Dynamac Corporation**

Cluster: 1R&D

Fed Agency: US NASA

43.000 / A9006 / CAL NASA 2008-NTN1-05

Y

5,831

5,831

Pass-through entity total:5,8315,831**Dyzme Tech**

Cluster: 1R&D

Fed Agency: US Environmental Protection Agency (EPA)

66.000 / A5213 / EPA Sub 2006-05640

Y

-442

-442

Pass-through entity total:-442-442

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major****Program ARRA****Urbana****Chicago****Springfield****All Campuses**

Dzyme Tech Inc

Cluster: 1R&D

Fed Agency: US Environmental Protection Agency (EPA)

66.000 / A3754 / EPA Sub EP-D-07-081

Y

29,534

29,534

Fed Agency: US National Science Foundation (NSF)

47.041 / A3988 / SBC DzymeTech 0711622

Y

230

230

Pass-through entity total:29,76429,764**Eastern Illinois University**

Cluster: Other Programs

Fed Agency: US Department of Justice (DOJ)

16.727 / M9270 / 396 EIU IHEC 09 EUDL Grant

N

7,518

7,518

Pass-through entity total:7,5187,518**Eden Park Illumination**

Cluster: 1R&D

Fed Agency: Air Force

12.000 / A3505 / AF Sub EPI 2008-06491

Y

55,284

55,284

Pass-through entity total:55,28455,284**Education Development Center Inc**

Cluster: 1R&D

Fed Agency: Substance Abuse & Mental Health Services Admin (SAMHSA)

93.243 / E5281 / EDC 2HR1SM54865 No 5231

Y

52,196

52,196

Cluster: Other Programs

Fed Agency: Substance Abuse & Mental Health Services Admin (SAMHSA)

93.243 / E6669 / Ed Development Ctr SM54865

N

55,110

55,110

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program ARRA****Urbana****Chicago****Springfield****All Campuses**

Pass-through entity total:107,306107,306**Eidetics Corporation**

Cluster: Other Programs

Fed Agency: US NASA

43.000 / A9277 / NASA EIDETICS 01-253

N

-7,000

-7,000

Pass-through entity total:-7,000-7,000**EMMES Corporation**

Cluster: 1R&D

Fed Agency: National Institute of Child Health & Human Development

93.000 / E5796 / EMMES 3216 002 2007

Y

143,315

143,315

Fed Agency: National Institutes of Health (NIH)

93.000 / E6101 / THE EMMES Corporation 2004-0532

Y

10,494

10,494

93.867 / E6473 / EMMES Corporation

Y

3,235

3,235

Pass-through entity total:157,044157,044**Emory University**

Cluster: 1R&D

Fed Agency: Air Force Office of Scientific Research (AFOSR)

12.800 / A3992 / AF sub Emory 5-26585-G1

Y

183,391

183,391

Fed Agency: National Institutes of Health (NIH)

93.837 / E5009 / Emory Univ 5 20128 G1

Y

85,316

85,316

Fed Agency: US National Science Foundation (NSF)

47.075 / E5493 / Emory University 5-28135-G1

Y

3,397

3,397

Pass-through entity total:183,39188,713272,104

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Enabling Energy Systems Inc

Cluster: 1R&D

Fed Agency: Army

12.000 / E5352 / Enabling Energy Systems

Y

50,000

50,000

Pass-through entity total:50,00050,000**Energy Center of Wisconsin**

Cluster: 1R&D

Fed Agency: US Department of Energy (DOE)

81.041 / E7542 / Ecw Fc36-02g012017

Y

-1,394

-1,394

Pass-through entity total:-1,394-1,394**ENH Research Institute**

Cluster: 1R&D

Fed Agency: US Health & Human Services (HHS)

93.000 / E5724 / ENH HHS N 260 2006 00007 C

Y

30,430

30,430

Pass-through entity total:30,43030,430**eNursing LLC**

Cluster: 1R&D

Fed Agency: National Institute of Mental Health

93.286 / E6010 / eNursing 2 R44 MH070226-02A1

Y

8,798

8,798

Pass-through entity total:8,7988,798**EPIR Technologies**

Cluster: 1R&D

Fed Agency: Army

12.000 / E5238 / EPIR 0069-08-SUIC-0001

Y

14,890

14,890

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Fed Agency: US Army Corps of Engineers

12.114 / E5460 / EPIR 0022 SUIC 08 0001

Y

109,330

109,330

Fed Agency: US Department of Defense (DoD)

12.000 / E6385 / EPIR Technologies

Y

-356

-356

12.000 / E6386 / EPIR Technologies

Y

-225

-225

Pass-through entity total:123,639123,639**Evolved Machines Federal Contracting Inc**

Cluster: 1R&D

Fed Agency: Defense Advanced Research Projects Agency (DARPA)

12.000 / A3091 / DARPA Sub EMFC 2009-02518

Y

120,340

120,340

Pass-through entity total:120,340120,340**Exerstrider Fitness**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.865 / E6831 / Exerstrider Fitness

Y

-1,258

-1,258

Pass-through entity total:-1,258-1,258**Fermilab**

Cluster: 1R&D

Fed Agency: Fermilab

81.000 / A2927 / DOE FERMI 585722

Y

14,728

14,728

81.000 / A3632 / DOE FERMI 580290

Y

69,276

69,276

81.000 / A4035 / DOE FERMI 577558

Y

78,833

78,833

81.000 / A4410 / DOE FERMI 575173

Y

-10,818

-10,818

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major****Program ARRA****Urbana****Chicago****Springfield****All Campuses**

Pass-through entity total:16,91016,910**Fort Peck Tribes**

Cluster: 1R&D

Fed Agency: US Environmental Protection Agency (EPA)

66.000 / A8848 / CAL EPA 2009-NTN1-19

Y

5,831

5,831

Pass-through entity total:5,8315,831**Fred Hutchinson Cancer Research Center**

Cluster: 1R&D

Fed Agency: National Cancer Institute

93.399 / E5252 / Fred Hutchinson Cancer 655608

Y

59,936

59,936

93.399 / E5771 / Subaward 0000634133 Fred Hutchinson

Y

8,288

8,288

Fed Agency: National Institute of Allergy & Infectious Diseases

93.855 / E5414 / Fred Hutchinson 0000654620

Y

19,555

19,555

Fed Agency: National Institutes of Health (NIH)

93.855 / E5638 / FHCRC PO 638402

Y

-765

-765

93.855 / E5843 / FHCRC 07 203279 02 S2117

Y

107,072

107,072

Fed Agency: US Health & Human Services (HHS)

93.941 / E5221 / Fred Hutchinson 0000658014

Y

185,127

185,127

Pass-through entity total:379,213379,213**Gallaudet University**

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.075 / A3023 / SBC GU 0000017223

Y

3,640

3,640

47.075 / A3467 / SBC GU 0000017110

Y

133,412

133,412">

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

47.075 / A3823 / SBC GU 0000015851

Y

44,045

44,045

47.075 / A4704 / SBC GU PO#14943

Y

-1,846

-1,846

Pass-through entity total:179,251179,251**Gas Technology Institute**

Cluster: 1R&D

Fed Agency: US Department of Energy (DOE)

81.089 / E7503 / Gti De-Fc26-02Nt41585

Y

-36,030

-36,030

Pass-through entity total:-36,030-36,030**General Dynamics Information Technology**

Cluster: 1R&D

Fed Agency: Air Force

12.000 / A3283 / AF GDIT USAF-0060-50-SC-0001

Y

104,319

104,319

12.000 / E5939 / GDIT - F33615-03-D-5408

Y

27,911

27,911

Pass-through entity total:104,31927,911132,230**General Electric Company**

Cluster: 1R&D

Fed Agency: Defense Advanced Research Projects Agency (DARPA)

12.000 / A3136 / DARPA Sub GE 2009-00441 ANTC

Y

33,128

33,128

Pass-through entity total:33,12833,128**Genesic Semiconductor Inc**

Cluster: 1R&D

Fed Agency: US Department of Energy (DOE)

81.049 / E5219 / Genesic Semiconductor

Y

78,261

78,261

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major****Program ARRA****Urbana****Chicago****Springfield****All Campuses**

81.049 / E5669 / GeneSiC Semiconductor Inc

Y

-266

-266

Pass-through entity total:77,99577,995**Geological Survey of Alabama**

Cluster: 1R&D

Fed Agency: US Fish & Wildlife

15.000 / A3927 / INT FWS sub GSA C8035004

Y

23,600

23,600

Pass-through entity total:23,60023,600**Geophysical Survey Systems Inc**

Cluster: 1R&D

Fed Agency: Federal Railroad Administration

20.301 / A5816 / DOT Sub GSS DTFR53-05-D-0020

Y

13,365

13,365

Pass-through entity total:13,36513,365**Georgetown University**

Cluster: 1R&D

Fed Agency: National Institute of Mental Health

93.000 / E5170 / Georgetown University

Y

10,323

10,323

Fed Agency: National Institutes of Health (NIH)

93.399 / A3770 / PHS SUB GU RX 44000-062-UI3

Y

26,159

26,159

93.855 / E5193 / Georgetown U RX 4335-023 UIC

Y

19,686

19,686

Fed Agency: US Health & Human Services (HHS)

93.853 / E6836 / Georgetown U RX2210041 UIC

Y

3,389

3,389

Pass-through entity total:26,15933,39859,557

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Georgia Institute of Technology</u>								
Cluster: 1R&D								
<i>Fed Agency: Air Force</i>								
		12.630 / A3265 / AF Sub GIT E-16-V91-G4	Y		69,335			69,335
<i>Fed Agency: Air Force Office of Scientific Research (AFOSR)</i>								
		12.630 / A5623 / AF GIT E-25-6MV-G1	Y		221,956			221,956
		12.800 / A3547 / AF Sub GIT R9595-G1	Y		179,725			179,725
<i>Fed Agency: National Institute of Biomedical Imaging & Bioengineering</i>								
		93.000 / A7271 / PHS Sub E-21-6JT-GI	Y		475			475
<i>Fed Agency: US Department of Energy (DOE)</i>								
		81.049 / A5605 / DOE GIT E-20-L10-G1	Y		45,374			45,374
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.041 / A4555 / SBC GIT R-7634-G1	Y		44,511			44,511
		47.076 / E5604 / Georgia Institute of Tech R9206 G2	Y			131,022		131,022
		<u>Pass-through entity total:</u>			<u>561,376</u>	<u>131,022</u>		<u>692,398</u>
<u>Georgia State Univ</u>								
Cluster: Other Programs								
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.000 / E5331 / Georgia State Univ No H3213-07	N			15,551		15,551
		<u>Pass-through entity total:</u>				<u>15,551</u>		<u>15,551</u>

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

German Fulbright Commission

Cluster: Other Programs

Fed Agency: US State Department

19.000 / B9138 / German Fulbright Comm Mueller

N

5,250

5,250

Pass-through entity total:5,2505,250**Governors State University**

Cluster: 1R&D

Fed Agency: US Health & Human Services (HHS)

93.307 / E6142 / Governors State U P20-MD001816 01

Y

215,971

215,971

Pass-through entity total:215,971215,971**Grand Traverse Band of Ottawa and Chippewa Indians**

Cluster: Other Programs

Fed Agency: US Environmental Protection Agency (EPA)

66.000 / A4419 / CAL EPA 2008-MDN2-86

N

9,352

9,352

Pass-through entity total:9,3529,352**Grants and Contracts internal use UIS**

Cluster: Student Financial Aid

Fed Agency: US Department of Education

84.007 / E8380 / Ded Fy03 Seog Grant

Y

75,684

75,684

Pass-through entity total:75,68475,684**Gynecology Oncology Group**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.395 / E5042 / Gynecologic Oncology Group

Y

2,740

2,740

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

93.395 / E5470 / CA27469-28

Y

4,586

4,586

93.395 / E5916 / CA27469-27

Y

578

578

93.395 / E6418 / Gyn Onc Group GOG LAB-BECK

Y

-2,831

-2,831

Pass-through entity total:5,0735,073**Gynuity Health Projects**

Cluster: 1R&D

Fed Agency: United States Agency for International Development (AID)

98.012 / E5014 / Gynuity Health Projects

Y

2,500

2,500

Pass-through entity total:2,5002,500**Harvard College**

Cluster: 1R&D

Fed Agency: Defense Advanced Research Projects Agency (DARPA)

12.431 / A3780 / DARPA HC 02-130130-00

Y

207,783

207,783

Fed Agency: National Institute of Child Health & Human Development

93.865 / E6242 / 5 U01 HD052102 02

Y

-492

-492

Fed Agency: National Institutes of Health (NIH)

93.865 / E5366 / Harvard University 5 U01 HD05210204

Y

571,457

571,457

93.865 / E5573 / Harvard School of Public Health

Y

69,603

69,603

Pass-through entity total:207,783640,568848,351**HC Materials Corporation**

Cluster: 1R&D

Fed Agency: Office of Naval Research (ONR)

12.300 / A3982 / Navy Sub HC Materials 2008-05305

Y

16,957

16,957

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program ARRA****Urbana****Chicago****Springfield****All Campuses**

Pass-through entity total:16.95716.957**Health Federation of Philadelphia**

Cluster: Other Programs

Fed Agency: Corporation for National & Community Service

94.006 / E5364 / Health Fed of Philadelphia 08-09

N

167,119

167,119

Fed Agency: US Health & Human Services (HHS)

93.129 / E7099 / Hlth Fed of Phil 04NDHPA001

N

1

1

94.006 / E5830 / Health Federation of Philadelphia

N

21,173

21,173

Pass-through entity total:188,293188,293**Healthcare Consortium of Illinois**

Cluster: 1R&D

Fed Agency: Health Resources and Services Administration (HRSA)

93.110 / E6355 / Healthcare Consortium of Illinois

Y

5,601

5,601

Pass-through entity total:5,6015,601**Healthy Schools Campaign**

Cluster: 1R&D

Fed Agency: National Institute of Environmental & Health Sciences

93.113 / E6794 / Healthy Schools Campaign

Y

18

18

Fed Agency: National Institutes of Health (NIH)

93.113 / E6571 / Healthy Schools Campaign

Y

-2,935

-2,935

Pass-through entity total:-2,917-2,917

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Hektoen Institute for Medical Research

Cluster: 1R&D

Fed Agency: National Heart Lung & Blood Institute

93.000 / E5605 / Hektoen 1255 324 5UO1 HL72496

Y

941

941

Fed Agency: National Institute of Allergy & Infectious Diseases

93.855 / E5209 / Hektoen Inst 50607 324 UIC5

Y

31,164

31,164

93.855 / E5997 / Hekt Inst 50607-324-UIC1-A134993

Y

86,411

86,411

Fed Agency: National Institutes of Health (NIH)

93.855 / E5651 / Hektoen Inst 50606 324UIC4 AI34993

Y

36,011

36,011

93.856 / E8210 / Hektoen Inst AI34993

Y

2,883

2,883

93.856 / F9404 / Hektoen Ai034993

Y

1,542

1,542

Fed Agency: US Health & Human Services (HHS)

93.000 / E6841 / Hektoen Inst Med Rsh 50604-324

Y

-20,731

-20,731

93.855 / E5087 / Hektoen Inst 50608-324-UIC1-AI34993

Y

44,265

44,265

Pass-through entity total:182,486182,486**Henry M Jackson Fdn for the Advancement of Military Medicine**

Cluster: Other Programs

Fed Agency: US Department of Defense (DoD)

12.000 / E6461 / Henry Jackson Fdn 00000123596

N

-87

-87

Pass-through entity total:-87-87

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

High Performance Technologies Inc

Cluster: 1R&D

Fed Agency: Army

12.431 / A5750 / Army HPTi 2005-UIUC-2001-001

Y

79,290

79,290

Fed Agency: Army Research Office (ARO)

12.431 / A4285 / Army Sub HPTi 2007-UIUC-2001-004

Y

-675

-675

Cluster: Other Programs

Fed Agency: Army

12.000 / A3703 / Army HPTI 2008-UIUC-2001-005

N

25,000

25,000

Pass-through entity total:103,615103,615**Howard Brown Health Center**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.856 / E6927 / Howard Brown Health Center

Y

44,144

44,144

Pass-through entity total:44,14444,144**Howard University**

Cluster: 1R&D

Fed Agency: US Dept of Commerce NOAA

11.481 / A4337 / COM Howard 631017-H0409050

Y

223,154

223,154

Pass-through entity total:223,154223,154**IDAWY Acquisition Service Center**

Cluster: 1R&D

Fed Agency: US Department of Agriculture (USDA)

10.652 / A5904 / CAL AG 2008-NTN1-34

Y

5,930

5,930

Pass-Through Entity

Cluster

Fed Agency

CFDA Number / UI Grant Code / Award Title

**Major
Program**

ARRA

Urbana

Chicago

Springfield

All Campuses

Pass-through entity total:

5,930

5,930

IDNR Division of Fisheries

Cluster: 1R&D

Fed Agency: US Fish & Wildlife

15.605 / D7832 / IDNR FWS F-101-R-20

Y

66,205

66,205

15.605 / D7837 / IDNR FWS F-123-R-15

Y

195,236

195,236

15.605 / D7848 / IDNR FWS F-52-R-23

Y

130,347

130,347

15.605 / D7861 / IDNR FWS F-69-R-22

Y

601,060

601,060

15.605 / D8027 / IDNR FWS F-151-R-6

Y

-1,407

-1,407

15.605 / D8028 / IDNR FWS F-135-R-10

Y

-1,670

-1,670

15.634 / D8080 / IDNR FWS T-38-P-1

Y

9,327

9,327

Cluster: Fish and Wildlife

Fed Agency: US Fish & Wildlife

15.611 / D7828 / IDNR FWS W-147-T-2

N

67,562

67,562

Pass-through entity total:

1,066,660

1,066,660

IDNR Division of Wildlife Resources

Cluster: 1R&D

Fed Agency: US Fish & Wildlife

15.605 / D7973 / IDNR FWS F-138-R-11

Y

7,690

7,690

15.611 / D7797 / IDNR FWS W-148-R-2

Y

14,961

14,961

15.611 / D7996 / IDNR FWS W-43-R-55

Y

-720

-720

15.611 / D8025 / IDNR FWS W-149-R-1

Y

-10,960

-10,960

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

15.611 / D8074 / IDNR FWS W-146-R-3

Y

-326

-326

15.634 / D8015 / IDNR FWS T-42-R-1

Y

79,113

79,113

15.634 / D8085 / IDNR FWS T-36-P-1

Y

41,390

41,390

15.634 / D8199 / IDNR FWS T-25-P-1

Y

135,119

135,119

Pass-through entity total:266,267266,267**IDNR Office of Mines & Minerals**

Cluster: 1R&D

Fed Agency: US Office of Surface Mining Reclamation and Enforcement

15.250 / D7997 / IDNR OMMLRD1

Y

730

730

Pass-through entity total:730730**IDNR Office of Resource Conservation**

Cluster: 1R&D

Fed Agency: US Fish & Wildlife

15.611 / D7599 / IDNR FWS W-154-R-1

Y

20,537

20,537

15.611 / D7695 / IDNR FWS W-149-R-2

Y

25,000

25,000

15.634 / D7981 / IDNR RC07T17P1

Y

22,255

22,255

Pass-through entity total:67,79267,792**IL Board of Higher Education (IBHE)**

Cluster: 1R&D

Fed Agency: US Department of Education

84.367 / D7814 / IBHE NCLB FY08 Evans

Y

141,188

141,188

Cluster: Other Programs

Fed Agency: US Department of Education

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

84.367 / D7553 / IBHE NCLB 2009-03694

N

48,632

48,632

84.367 / D7826 / IBHE NCLB FY08 Pianfetti

N

106,775

106,775

84.367 / D7927 / IBHE NCLB FY07

N

125,539

125,539

84.367 / D8209 / IBHE TIMES Project 06-07

N

1

1

Pass-through entity total:422,135422,135**IL Community College Board**

Cluster: Other Programs

Fed Agency: US Department of Education

84.048 / D7702 / ICCB CTEL09002

N

201,401

201,401

84.048 / D7940 / ICCB CTEL 08002

N

43

43

Fed Agency: US Department of Labor (DOL)

17.267 / D7765 / ICCB POS002

N

21,202

21,202

Cluster: WIA

Fed Agency: US Department of Labor (DOL)

17.258 / D7962 / ICCB SG01

N

31,395

31,395

17.259 / D7962a / ICCB SG01

N

32,558

32,558

17.260 / D7962b / ICCB SG01

N

52,325

52,325

Pass-through entity total:338,924338,924**IL Criminal Justice**

Cluster: Other Programs

Fed Agency: US Department of Justice (DOJ)

16.580 / H8571 / IL Criminal Justice #106067

N

45,347

45,347

Pass-through entity total:45,34745,347

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

IL Department of Children & Family Services (DCFS)

Cluster: 1R&D

Fed Agency: US Health & Human Services (HHS)

93.000 / H8716 / IDCFS 2649399018

Y

-1,693

-1,693

93.551 / H9341 / IDCFS 2610519023

Y

786

786

93.551 / H9462 / IDCFS 2610519022

Y

-20,694

-20,694

Cluster: Other Programs

Fed Agency:

93.643 / H8727 / DCFS 2285979018

N

-831

-831

Pass-through entity total:-22,432-22,432**IL Department of Commerce & Economic Opportunity (DCEO)**

Cluster: 1R&D

Fed Agency: US Department of Energy (DOE)

81.041 / D7629 / IDCEO 08-438002

Y

604,889

604,889

81.041 / D7853 / IDCEO 08-438001

Y

251,224

251,224

Cluster: Other Programs

Fed Agency: US Department of Energy (DOE)

81.119 / H8604 / ILDCEO 08-573001

N

24,981

24,981

81.119 / H8627 / ILDCEO 06-573003

N

304,014

304,014

81.119 / H8635 / Ill Dept of Commerce and Economic D

N

91,446

91,446

81.119 / H8748 / Illinois Department of Commerce and

N

50,968

50,968

Fed Agency: US Department of Labor (DOL)

17.267 / D7918 / IDCEO 05-113098

N

1,619

1,619

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
Cluster: Public Works & Economic Development								
<i>Fed Agency: US Department of Commerce</i>								
		11.307 / D7586 / IDCEO 2009-03882	N		185,527			185,527
Cluster: WIA								
<i>Fed Agency: US Department of Labor (DOL)</i>								
		17.258 / D7771 / IDCEO 09-063	N		852,926			852,926
		17.260 / D7972 / IDCEO 08-0026	N		5,418			5,418
			<u>Pass-through entity total:</u>		<u>1,901,603</u>	<u>471,409</u>		<u>2,373,012</u>
<u>IL Department of Human Services (IDHS)</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Agriculture (USDA)</i>								
		10.557 / H8724 / IDHS 11G6518000	Y			-236		-236
<i>Fed Agency: US Department of Education</i>								
		84.334 / D7805c / IDHS 11AK081000 FY09	Y		299,961			299,961
<i>Fed Agency: US Department of Justice (DOJ)</i>								
		16.540 / D7791 / IDHS FY09 11G6517000 PM	Y		60,017			60,017
		16.540 / D8018 / IDHS FY08 11G6517000	Y		-543			-543
<i>Fed Agency: US Health & Human Services (HHS)</i>								
		93.235 / D7805a / IDHS 11AK081000 FY09	Y		77,990			77,990
		93.243 / H8525 / IDHS	Y			18,172		18,172
		93.243 / H8652 / IDHS 1 H79 SM 57316 01	Y			63,034		63,034
		93.959 / D7775 / IDHS FY09 11G6517000/K11G6517560 SM	Y		60,367			60,367
		93.959 / D7805b / IDHS 11AK081000 FY09	Y		1,054,862			1,054,862

Pass-Through Entity

Cluster

Fed Agency

CFDA Number / UI Grant Code / Award Title

Major Program

ARRA

Urbana

Chicago

Springfield

All Campuses

93.994 / H8711 / IDHS 11G6518000	Y			14,723		14,723
93.995 / D7805 / IDHS 11AK081000 FY09	Y		28,996			28,996
Cluster: CCDF						
<i>Fed Agency: US Health & Human Services (HHS)</i>						
93.575 / D7762 / IDHS 81X6522000 FY09	Y		73,738			73,738
93.596 / D7762a / IDHS 81X6522000 FY09	Y		900,782			900,782
93.596 / D8012 / IDHS 81X6561000 FY08	Y		2,035			2,035
93.596 / D8014 / IDHS 81X6522000 FY08	Y		923			923
Cluster: Other Programs						
<i>Fed Agency:</i>						
93.217 / H8719 / IDHS 11G6518000	N			-20		-20
<i>Fed Agency: Corporation for National & Community Service</i>						
94.006 / H8540 / IDHS 11G8922000 MORE	N			200,554		200,554
94.006 / H8683 / MORE Making Opportunities for Readi	N			24,689		24,689
<i>Fed Agency: National Institutes of Health (NIH)</i>						
93.994 / H8674 / IDHS 11G6788000	Y			1,561,756		1,561,756
<i>Fed Agency: US Department of Agriculture (USDA)</i>						
10.557 / 301212 / 301212	N			127,935		127,935
<i>Fed Agency: US Department of Education</i>						
84.126 / H8619 / IDHS	N			93,112		93,112
84.126 / H8739 / IL Dept of Human Services	N			-106		-106
<i>Fed Agency: US Health & Human Services (HHS)</i>						
93.110 / H8575a / IDHS 11G6518000	N			30,000		30,000

Pass-Through Entity

Cluster			Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
Fed Agency								
CFDA Number / UI Grant Code / Award Title								
93.217	/ H8579a	/ IDHS 11G6518000	N			113,230		113,230
93.243	/ H8588	/ IDHS 40C6001747	N			62,631		62,631
93.667	/ 301222	/ 301222	N			30,000		30,000
93.667	/ H8579	/ IDHS 11G6518000	N			92,643		92,643
93.959	/ H8564	/ IDHS 40C8001747	N			354,019		354,019
93.959	/ H8735	/ IDHS 40C6001747	N			18,229		18,229
93.994	/ D7562	/ IDHS FY09 11G6517000/K11G6517120 WZ	Y		3,000			3,000
93.994	/ H8542	/ IDHS 11G6788000 FY09	Y			5,074,078		5,074,078
93.994	/ H8575	/ IDHS 11G6518000	Y			30,000		30,000
Cluster: SNAP								
<i>Fed Agency: US Department of Agriculture (USDA)</i>								
10.561	/ D7555	/ IDHS SNAP 81X6287000 FY10	Y		104			104
10.561	/ D7806	/ IDHS FSNE 81X6287000 FY09	Y		6,049,518			6,049,518
10.561	/ D8046	/ IDHS FSNE 81X6287000 FY08	Y		524,067			524,067
10.561	/ H8609	/ IDHS 81X628700	Y			1,473,084		1,473,084
10.561	/ H8659	/ ILDHS 81X6287000	Y			12,510		12,510
10.561	/ H8832	/ Idhs 81X6287000	Y			-287		-287
10.561	/ H8981	/ Idhs 81X6287000	Y			2,549		2,549
Cluster: TANF								
<i>Fed Agency: Administration for Children and Families (ACF)</i>								
93.558	/ M9292	/ 831 HHS IDHS TANF 09	Y				313,396	313,396

Pass-Through Entity

Cluster			Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
Fed Agency								
CFDA Number / UI Grant Code / Award Title								
93.558	/	M9324 / 831 HHS IDHS TANF 81X6957TS1	Y				4,461	4,461
<i>Fed Agency: US Health & Human Services (HHS)</i>								
93.558	/	D7778 / IDHS 81X7165000 FY09	Y		71,837			71,837
93.558	/	D8137 / IDHS 81X7165000	Y		1,721			1,721
<u>Pass-through entity total:</u>					<u>9,209,375</u>	<u>9,396,299</u>	<u>317,857</u>	<u>18,923,531</u>

IL Department of Natural Resources (IDNR)

Cluster: 1R&D

Fed Agency: US Department of Interior

15.250	/	D7718 / IDNR OMMLRD09	Y		115,514			115,514
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Fed Agency: US Fish & Wildlife

15.605	/	D7725 / IDNR FWS F-138-R-12	Y		76,123			76,123
15.605	/	D7816 / IDNR FWS F-135-R-11	Y		371,295			371,295
15.605	/	D7817 / IDNR FWS F-151-R-7	Y		86,328			86,328
15.611	/	D7580 / IDNR FWS W-148-R-3	Y		8,014			8,014
15.611	/	D7682 / IDNR FWS W-43-R-56	Y		178,162			178,162
15.611	/	D7683 / IDNR FWS W-112-R-18	Y		194,920			194,920
15.611	/	D7692 / IDNR FWS W-152-R-1	Y		27,976			27,976
15.611	/	D7831 / IDNR FWS W-146-R-4	Y		335,015			335,015
15.615	/	D7868 / IDNR FWS E-36-R-1	Y		16,455			16,455
15.615	/	D7898 / IDNR FWS E-38-R-1	Y		12,032			12,032
15.634	/	D7672 / IDNR FWS T-58-D-1	Y		4,898			4,898
15.634	/	D7703 / IDNR FWS T-53-D-1	Y		57,136			57,136

Pass-Through Entity

Cluster			Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
Fed Agency								
CFDA Number / UI Grant Code / Award Title								
15.634	/	D7798 / IDNR FWS T-48-D-1	Y		25,735			25,735
15.634	/	D8013 / IDNR FWS T-40-R-1	Y		21,550			21,550
15.634	/	D8196 / IDNR RC07T31D1	Y		33,898			33,898
15.634	/	D8294 / IDNR FWS T-17-P-1 GDS	Y		49,244			49,244
15.634	/	D8296 / IDNR FWS T-17-P-1 CAP	Y		47,762			47,762
15.634	/	D8314 / IDNR FWS T-17-P-1	Y		1,249			1,249
15.634	/	D8352 / IDNR FWS T16 P01	Y		239,477			239,477
15.634	/	D8353 / IDNR FWS T13 P01	Y		136,238			136,238
Cluster: Fish and Wildlife								
<i>Fed Agency: US Fish & Wildlife</i>								
15.611	/	D7595 / IDNR FWS W-147-T-3	N		22,864			22,864
Cluster: Other Programs								
<i>Fed Agency: Federal Emergency Management Agency (FEMA)</i>								
97.045	/	D7624 / IDNR OWRFEMA09	N		21,239			21,239
97.045	/	D7872 / IDNR OWRFEMA08	N		1,739,418			1,739,418
<i>Fed Agency: US Department of Homeland Security(USDHS)</i>								
97.045	/	D8067 / IDNR WR02715	N		100,861			100,861
					<u>Pass-through entity total:</u>			<u>3,923,403</u>
<u>IL Department of Transportation (DOT)</u>								
Cluster: 1R&D								
<i>Fed Agency: Federal Highway Administration (FHWA)</i>								
20.205	/	H8566 / IDOT	Y			318,317		318,317

Pass-Through Entity

Cluster		Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
Fed Agency							
CFDA Number / UI Grant Code / Award Title							
<i>Fed Agency: Federal Transit Administration (FTA)</i>							
20.000 / E5091 / IDOT	Y				9,280		9,280
20.205 / H8999 / Illinois Dept Trans 5T0242	Y				116,546		116,546
<i>Fed Agency: US Department of Transportation (DOT)</i>							
20.205 / D7544 / IDOT R27-SP13	Y		1,627				1,627
20.205 / D7552 / IDOT R27-SP14	Y		3,746				3,746
20.205 / D7570 / IDOT R27-73	Y		12,092			19,298	31,390
20.205 / D7581 / IDOT R27-71	Y				75,015		75,015
20.205 / D7583 / IDOT R27-67	Y		7,886				7,886
20.205 / D7584 / IDOT R27-54	Y		46,202				46,202
20.205 / D7585 / IDOT R27-61	Y		8,458				8,458
20.205 / D7611 / IDOT R27-69	Y		115,803				115,803
20.205 / D7615 / IDOT R27-70	Y		37,726				37,726
20.205 / D7623 / IDOT R27-48A	Y				3,565		3,565
20.205 / D7670 / IDOT R27-26	Y		14,999				14,999
20.205 / D7674 / IDOT R27-SP12	Y		13,004				13,004
20.205 / D7675 / IDOT R27-SP11	Y		10,001				10,001
20.205 / D7676 / IDOT R27-52	Y		54,514				54,514
20.205 / D7688 / IDOT R27-50	Y				7,077		7,077
20.205 / D7689 / IDOT R27-60	Y		39,282				39,282
20.205 / D7690 / IDOT R27-55	Y		82,019				82,019

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

20.205 / D7708 / IDOT R27-59	Y		46,040			46,040
20.205 / D7709 / IDOT R27-58	Y		55,119			55,119
20.205 / D7710 / IDOT R27-56	Y		60,981			60,981
20.205 / D7712 / IDOT R27-49	Y		122,006			122,006
20.205 / D7732 / IDOT R27-43	Y		15,238			15,238
20.205 / D7733 / IDOT R27-42	Y		45,109			45,109
20.205 / D7734 / IDOT R27-38	Y		44,211			44,211
20.205 / D7735 / IDOT R27-37	Y		94,280			94,280
20.205 / D7736 / IDOT R27-34	Y		19,754			19,754
20.205 / D7737 / IDOT R27-33	Y		47,031			47,031
20.205 / D7738 / IDOT R27-25	Y		20,488			20,488
20.205 / D7739 / IDOT R27-24	Y		28,404			28,404
20.205 / D7740 / IDOT R27-20	Y		24,394			24,394
20.205 / D7741 / IDOT R27-1	Y		70,854			70,854
20.205 / D7742 / IDOT R59	Y		31,393			31,393
20.205 / D7743 / IDOT R55	Y		32,751			32,751
20.205 / D7746 / IDOT R27-46	Y		39,773			39,773
20.205 / D7747 / IDOT R27-45	Y		76,810			76,810
20.205 / D7748 / IDOT R27-44	Y		68,030			68,030
20.205 / D7749 / IDOT R27-28	Y		45,091			45,091

Pass-Through Entity

Cluster			Major	ARRA	Urbana	Chicago	Springfield	All Campuses
Fed Agency			Program					
CFDA Number / UI Grant Code / Award Title								
20.205	/	D7752 / IDOT R-27	Y		112,190			112,190
20.205	/	D7841 / IDOT R27-42 Al-Qadi	Y		86,992			86,992
20.205	/	D7865 / IDOT R27-41	Y		-94			-94
20.205	/	D7885 / IDOT R27-34	Y		-5,721			-5,721
20.205	/	D7900 / IDOT R27-33	Y		65			65
20.205	/	D7901 / IDOT R27-28	Y		34,080			34,080
20.205	/	D7926 / IDOT R27-5 Thakuriah	Y			4,865		4,865
20.205	/	D7931 / IDOT R27-38 Popovics	Y		779			779
20.205	/	D7934 / IDOT R27-36 Andrawes	Y		-145			-145
20.205	/	D7939 / IDOT R27-31 Chapman	Y		8,333			8,333
20.205	/	D7949 / IDOT R27-26	Y		-2,345			-2,345
20.205	/	D7992 / IDOT ICT Admin FY08 R60	Y		488			488
20.205	/	D8110 / IDOT R27-25 Olson	Y		-458			-458
20.205	/	D8111 / IDOT R27-24 Long	Y		-1,146			-1,146
20.205	/	D8113 / IDOT R27-20 Ouyang	Y		-10,355			-10,355
20.205	/	D8155 / IDOT R27-18A Y. Ouyang	Y		-2,002			-2,002
20.205	/	D8159 / IDOT R27-11A I. Al-Qadi	Y		4,598			4,598
20.205	/	D8162 / IDOT R27-12 Marshall Thompson	Y		-489			-489
20.205	/	D8300 / IDOT R27-1A Tutumuler Aggregates	Y		-5,156			-5,156
20.205	/	D8302 / IDOT R27-3A Roesler White Topping	Y		-2,847			-2,847

Pass-Through Entity

Cluster			Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
Fed Agency								
CFDA Number / UI Grant Code / Award Title								
20.205	/	D8388 / IDOT R-27	Y		70,989			70,989
20.205	/	D8430 / IDOT R-28	Y		-1,332			-1,332
20.205	/	D8435 / IDOT R-39	Y		-1,108			-1,108
20.205	/	D8436 / IDOT R-43	Y		-15,418			-15,418
20.205	/	D8439 / IDOT R-54	Y		-2,411			-2,411
20.205	/	D8440 / IDOT R-55	Y		98,119			98,119
20.205	/	D8441 / IDOT R-56	Y		-2,577			-2,577
20.205	/	D8442 / IDOT R-57	Y		-10,078			-10,078
20.205	/	D8443 / IDOT R-58	Y		-496			-496
20.205	/	D8444 / IDOT R-59	Y		985			985
20.205	/	M9305 / 830 IDOT Motorcycle VMT Study	Y				19,397	19,397
20.516	/	H8643 / IDOT 011 49401 1900 1000	Y			100,758		100,758
20.516	/	H8819 / Idot 5T0242	Y			43,777		43,777
20.600	/	M9272 / 752 IDOT SB Survey TR9 5480 287	Y				68,472	68,472
20.600	/	M9285 / 583 IDOT Eval 09 TR9 5480 220	Y				105,899	105,899
20.600	/	M9301 / 752 IDOT SB DUI TR8 5480 331	Y				44,405	44,405
20.600	/	M9317 / 583 IDOT Evaluation OP8-5480-229	Y				36,068	36,068
20.611	/	H8544 / IDOT RP9-1051-272	Y			9,874		9,874
Cluster: Highway Safety								
<i>Fed Agency: US Department of Transportation (DOT)</i>								
20.600	/	M9287 / 583 IDOT LEL Project OP9 5480 276	N				826,233	826,233

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

20.600 / M9315 / 583 IDOT Court OP8 5480 228

N

69,448

69,448

20.600 / M9316 / 583 IDOT MCR TR8 5480 227

N

125,328

125,328

20.601 / M9288 / 583 IDOT CRT TR9 5480 221

N

212,268

212,268

20.609 / M9286 / 583 IDOT MCR 09 TR9 5480 219

N

325,390

325,390

Pass-through entity total:1,788,556689,0741,852,2064,329,836**IL Department on Aging**

Cluster: 1R&D

Fed Agency: Health Resources and Services Administration (HRSA)

93.048 / H9014 / Il Dept on Aging ADRC2005

Y

1,149

1,149

Cluster: Aging

Fed Agency: US Health & Human Services (HHS)

93.044 / H8909 / IDoA u120612605

N

286,764

286,764

93.044 / H8910 / IDoA U120612610

N

1,645,649

1,645,649

Cluster: Other Programs

Fed Agency: National Institutes of Health (NIH)

93.283 / A4034 / PHS SUB WIAAA C2007-021-14-5236-01

N

500

500

Fed Agency: US Health & Human Services (HHS)

93.779 / H8933 / Illinois Dept on Aging RCSCUIC006

N

-10,065

-10,065

Pass-through entity total:5001,923,4971,923,997**IL Emergency Management Agency(IEMA)**

Cluster: 1R&D

Fed Agency: Federal Emergency Management Agency (FEMA)

97.047 / D8310 / IEMA 2005-04655 Seismic Risk 06-08

Y

13,775

13,775

Pass-Through Entity

Cluster		Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
Fed Agency							
CFDA Number / UI Grant Code / Award Title							
Cluster: Homeland Security							
<i>Fed Agency: US Department of Homeland Security(USDHS)</i>							
97.067 / D7647 / IEMA IFSI 2009-00904		N		1,162,846			1,162,846
97.067 / D7660 / IEMA 08RICP 2009-01112		N		457,918			457,918
97.067 / D7869 / IEMA 07RICP 2008-02750		N		54,556			54,556
97.067 / D7880 / IEMA ITTF FFY 2007		N		1,283,641			1,283,641
97.067 / D8146 / IEMA IFSI 07 2007-00556		N		-143			-143
Cluster: Other Programs							
<i>Fed Agency:</i>							
97.008 / H8505 / Illinois Emergency Mmgt Agency		N			142		142
<i>Fed Agency: US Department of Transportation (DOT)</i>							
20.703 / D7642 / IEMA HMEP 2009-01567		N		202,733			202,733
20.703 / D7897 / IEMA HMEP 2008-02039		N		128,259			128,259
<u>Pass-through entity total:</u>				<u>3,303,585</u>	<u>142</u>		<u>3,303,727</u>
<u>IL Environmental Protection Agency (IL EPA)</u>							
Cluster: 1R&D							
<i>Fed Agency: US Environmental Protection Agency (EPA)</i>							
66.460 / D7719 / IEPA 3190803		Y		105,204			105,204
66.460 / D7785 / IEPA 3190616		Y		60,519			60,519
66.460 / D8177 / IEPA 3190502		Y		19,396			19,396
66.460 / D8309 / IEPA 3190420		Y		-261			-261
66.474 / D7574 / IEPA FW-9308		Y		23,629			23,629

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major****ARRA****Urbana****Chicago****Springfield****All Campuses**

66.605 / D7628 / IEPA FW-9304

Y

26,313

26,313

Cluster: Other Programs

Fed Agency: US Environmental Protection Agency (EPA)

66.454 / D7537 / IEPA FWN-9301

N

Y

120

120

66.708 / D7915 / IEPA FOL-8303

N

37,434

37,434

Pass-through entity total:272,354272,354**IL EPA Bureau of Water**

Cluster: Other Programs

Fed Agency: US Environmental Protection Agency (EPA)

66.460 / D8151 / IEPA 3190412

N

37,089

37,089

Pass-through entity total:37,08937,089**IL Health Education Consortium (IHEC)**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.000 / E6145 / IHEC

Y

-48,312

-48,312

Cluster: Other Programs

Fed Agency: US Health & Human Services (HHS)

93.000 / G9432 / Ihec

N

3,842

3,842

Pass-through entity total:-44,470-44,470**IL Humanities Council**

Cluster: Other Programs

Fed Agency: US National Endowment for the Humanities (NEH)

45.129 / C6651 / IHC 4285

N

800

800

Pass-through entity total:800800

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major****Program ARRA****Urbana****Chicago****Springfield****All Campuses**

IL Office of Lieutenant Governor

Cluster: Other Programs

Fed Agency: US Fish & Wildlife

15.631 / D7878 / Lt Gov Rain Garden

N

-122

-122

Pass-through entity total:-122-122**IL Planning Council on Developmental Disabilities**

Cluster: 1R&D

Fed Agency: US Health & Human Services (HHS)

93.630 / H8721 / ILL Council on DD

Y

3,132

3,132

Pass-through entity total:3,1323,132**IL State Board of Education (ISBE)**

Cluster: 1R&D

Fed Agency: US Department of Education

84.186 / D7705 / ISBE 09-4415-00-09-010-5450-51

Y

64,972

64,972

Cluster: Child Nutrition

Fed Agency: US Department of Agriculture (USDA)

10.556 / 301680 / 4H - Special Milk Program

N

5,827

5,827

10.559 / H8511 / Illinois State Board of Education

N

8,218

10,848

19,066

Cluster: Other Programs

Fed Agency: US Department of Education

84.048 / D7651 / ISBE 09-4720-00-010-5450-51

N

67,435

67,435

84.048 / D7706 / ISBE 09-4720-01-09-010-5450-51

N

52,878

52,878

84.048 / D7911 / ISBE 08-472000-09-010-5450-51

N

27,806

27,806

84.048 / D7941 / ISBE 08-4720-01-09-010-5450-51

N

15,843

15,843">

Pass-Through Entity

Cluster

Fed Agency

CFDA Number / UI Grant Code / Award Title

Major Program

ARRA

Urbana

Chicago

Springfield

All Campuses

84.186 / D7600 / ISBE 09-4400 TITLE IV	N		181			181
84.366 / D7685 / ISBE 09 4936-70-09-010-5450-51	N		200,319			200,319
84.366 / D7978 / ISBE 4936-71-09-010-5450-51	N		61,832			61,832
84.366 / D7979 / ISBE 4936-70-09-010-5450-51	N		73,354			73,354
84.366 / D8490 / ISBE 08/07/06/05-4936-09010545051	N		59,209			59,209
84.367 / H8651 / Illinois State Board of Education F	N			-2,121		-2,121
84.369 / D7815 / ISBE MY08642	N		240,484			240,484

Fed Agency: USDA Food and Nutrition Service (FNS)

10.558 / M9496 / Child/Adult Food Prg	N				140	140
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Cluster: State Fiscal Stabilization Fund

Fed Agency: US Department of Education

84.394 / D7528 / ISBE 09-4850-00-65-108-9020-80 ARRA	N	Y	403,419			403,419
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Pass-through entity total: **1,275,950** **14,554** **140** **1,290,644**

IL State Library

Cluster: 1R&D

Fed Agency: Institute of Museum & Library Services (IMLS)

45.310 / M9279 / 583 ISL Synergy 09SL448278	Y				19,326	19,326
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Cluster: Other Programs

Fed Agency: Institute of Museum & Library Services (IMLS)

45.310 / D7622 / ISL LSTA 09SL428066	N		17,205			17,205
45.310 / D7643 / ISL LSTA 09SL428057	N		35,739			35,739
45.310 / D7646 / ISL LSTA 09SL428050	N		89,152			89,152

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

45.310 / D7935 / ISL LSTA 08-1003 08SL428032	N		8,012			8,012
45.310 / D8341 / ISL LSTA 0101202511	N		3,651			3,651
45.310 / M9278 / 967 ISL Front Lines 09SL428281	N				29,765	29,765
45.310 / M9283 / 967 ISL LSTA SPLMI 09 1010	N				74,683	74,683
45.310 / M9311 / 967 ISL LSTA 08 1022	N				-1,454	-1,454
45.310 / M9335 / 967 ISL LSTA SPLMI 07 1013	N				-28	-28
45.312 / D7680 / ISL LSTA 09SL498268	N		11,000			11,000
			<u>Pass-through entity total:</u>	<u>164,759</u>	<u>122,292</u>	<u>287,051</u>

Illinois Clean Energy Community Foundation

Cluster: 1R&D

Fed Agency: US Department of Energy (DOE)

81.000 / A3263 / DOE ICECF 2009-01208	Y		172,542			172,542
81.086 / E6849 / II Clean Energy Community Fdn	Y			2,519		2,519
			<u>Pass-through entity total:</u>	<u>172,542</u>	<u>2,519</u>	<u>175,061</u>

Illinois Conservation Foundation

Cluster: Other Programs

Fed Agency: US Department of Agriculture (USDA)

10.000 / A3583 / AG ICF EAB0702	N		2,846			2,846
10.000 / A4564 / AG ICF FS0603	N		3,450			3,450
			<u>Pass-through entity total:</u>	<u>6,296</u>		<u>6,296</u>

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Illinois County of Kane

Cluster: 1R&D

Fed Agency: US Department of Agriculture (USDA)

10.000 / A4261 / AG Kane 2007-03355

Y

149,275

149,275

Pass-through entity total:149,275149,275**Illinois Critical Access Hospital Network (ICAHN)**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.241 / E5472 / ICAHN

Y

12,441

12,441

Fed Agency: US Health & Human Services (HHS)

93.241 / E5672 / ICAHN 1526A

Y

37

37

Pass-through entity total:12,47812,478**Illinois Department of Agriculture**

Cluster: 1R&D

Fed Agency: US Department of Agriculture (USDA)

10.025 / D7566 / IDOA 2009-04190

Y

2,621

2,621

10.025 / D7602 / IDOA U of I - CAPS 2009-02004

Y

45,181

45,181

10.025 / D7870 / IDOA U of I - CAPS 2008-02234

Y

60,438

60,438

10.025 / D8049 / IDOA 2007-04998

Y

8,277

8,277

Cluster: Other Programs

Fed Agency: US Department of Agriculture (USDA)

10.025 / D8147 / IDOA 2007-00134

N

22

22

Pass-through entity total:116,539116,539

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Illinois Department of Public Health (IDPH)

Cluster: 1R&D

Fed Agency: Centers for Disease Control and Prevention (CDC)

93.048 / H8673 / IDPH 83207006 Amend 1	Y			2,108		2,108
93.069 / H8534 / IL Department of Public Health	Y			230,255		230,255
93.184 / H8617 / IDPH 93208028	Y			58,299		58,299
93.283 / D7873 / IDPH 83206007	Y		6,909			6,909
93.283 / H8537 / IDPH 93285002	Y			97,598		97,598
93.283 / H8607 / IDPH 95380364	Y			4,647		4,647
93.283 / H8688 / IL Dept of Public Health (IDPH)	Y			4,300		4,300
93.283 / H8972 / Idph 63200031	Y			-825		-825
93.945 / H8629 / IDPH 83285003	Y			63,842		63,842
93.945 / H8760 / IDPH 73285003	Y			1,939		1,939
<i>Fed Agency: Health Resources and Services Administration (HRSA)</i>						
93.130 / H8512 / IDPH 92580249	Y			34,006		34,006
93.130 / H8638 / IDPH 82580207	Y			2,235		2,235
93.913 / H8608 / IDPH 82580314	Y			690		690
<i>Fed Agency: Housing & Urban Development (HUD)</i>						
14.900 / D7635 / IDPH 95380481	Y		33,467			33,467
14.900 / D8410 / IDPH 65380232	Y		16,162			16,162
<i>Fed Agency: National Institutes of Health (NIH)</i>						
93.283 / H8641 / IDPH 83285002	Y			3,646		3,646

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<i>Fed Agency: US Environmental Protection Agency (EPA)</i>								
		66.436 / H8672 / IDPH 85380295	Y			172		172
<i>Fed Agency: US Health & Human Services (HHS)</i>								
		93.184 / H8654 / Idph	Y			-51		-51
		93.283 / H8746 / IL Department of Health	Y			-182		-182
		93.991 / H8887 / Idph 63207009	Y			7,386		7,386
Cluster: Other Programs								
<i>Fed Agency: Centers for Disease Control and Prevention (CDC)</i>								
		93.069 / H8556 / IDPH	N			48,000		48,000
		93.283 / D7889 / IDPH 83287008	N		1,161			1,161
		93.283 / H8707 / ILDPH 83400005	N			-1,185		-1,185
<i>Fed Agency: Health Resources and Services Administration (HRSA)</i>								
		93.913 / H8612 / IDPH 82580313	N			3,391		3,391
		93.917 / H8523 / IDPH 95780612	N			176,135		176,135
		93.917 / H8531 / IDPH 95780594	N			210,107		210,107
		93.917 / H8642 / Idph 85780545	N			22,983		22,983
		93.994 / H8578 / IDPH 93789004	Y			382,282		382,282
		93.994 / H8698 / IDPH 83789004	Y			5,272		5,272
		93.994 / H8835 / Idph 73789004	Y			-3,384		-3,384
<i>Fed Agency: Housing & Urban Development (HUD)</i>								
		14.241 / H8530 / IDPH 95780735	N			107,196		107,196
<i>Fed Agency: Indian Health Service (HIS)</i>								

Pass-Through Entity

Cluster

Fed Agency

CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
93.971 / H8680 / IDPH 85780184	N			-2,236		-2,236
<i>Fed Agency: National Institutes of Health (NIH)</i>						
93.917 / H8516 / IDPH 95780769	N			120,881		120,881
93.971 / H8624 / IDPH Co No 85780664	N			17,522		17,522
<i>Fed Agency: US Department of Homeland Security(USDHS)</i>						
11.555 / D7704 / IDPH 2008-04892	N		65,259			65,259
<i>Fed Agency: US Environmental Protection Agency (EPA)</i>						
66.605 / M9297 / 268 GPSI 2009	N				17,942	17,942
66.608 / M9297a / 268 GPSI 2009	N				9,483	9,483
66.951 / M9268 / 583 IDPH Safe Chemicals Training	N				3,999	3,999
<i>Fed Agency: US Health & Human Services (HHS)</i>						
93.069 / H8501 / IDPH 97080012	N			40,068		40,068
93.069 / H8513 / IDPH #97181124	N			69,474		69,474
93.197 / M9297b / 268 GPSI 2009	N				18,966	18,966
93.240 / M9297c / 268 GPSI 2009	N				9,483	9,483
93.283 / D7920 / IDPH 85300099	N		7,385			7,385
93.283 / H8846 / Idph	N			-3,234		-3,234
93.283 / M9297d / 268 GPSI 2009	N				66,382	66,382
93.917 / M9297e / 268 GPSI 2009	N				21,825	21,825
93.940 / M9289 / 504 ICWS State 09	N				1,283	1,283
93.944 / M9297f / 268 GPSI 2009	N				20,997	20,997

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program ARRA****Urbana****Chicago****Springfield****All Campuses**

Pass-through entity total: 130,343 1,703,337 170,360 2,004,040

Illinois Dept of Healthcare & Family Services

Cluster: Other Programs

Fed Agency: US Health & Human Services (HHS)

93.568 / D7641 / IDHFS 2009-03-008

N

336,618

336,618

Pass-through entity total: 336,618 336,618

Illinois Institute of Technology

Cluster: 1R&D

Fed Agency: National Institute on Alcohol Abuse & Alcoholism

93.273 / E6596 / IIT SA319-0206

Y

22,141

22,141

Cluster: Other Programs

Fed Agency: US Environmental Protection Agency (EPA)

66.510 / A5440 / EPA Sub IIT SA299-0705

N

1,391

1,391

Pass-through entity total: 1,391 22,141 23,532

Illinois Manufacturing Extension Center (IMEC)

Cluster: Other Programs

Fed Agency: US Department of Commerce

11.611 / C6403 / IMEC FY09

N

26,132

26,132

11.611 / C6935 / IMEC FY08

N

8,211

8,211

Pass-through entity total: 34,343 34,343

Illinois State Museum Society

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.074 / A4771 / SBC IL St Mus Society DEB-0613952

Y

24,789

24,789

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major****Program ARRA****Urbana****Chicago****Springfield****All Campuses**

Pass-through entity total:24,78924,789**Illinois State University**

Cluster: 1R&D

Fed Agency: US Department of Agriculture (USDA)

10.912 / A4438 / AG ISU RSP06C216 02

Y

25,385

25,385

Fed Agency: US National Science Foundation (NSF)

47.076 / A3101 / SBC ISU #04C234.01

Y

3,682

3,682

Cluster: Other Programs

Fed Agency: US Department of Agriculture (USDA)

10.912 / A4457 / AG ISU 06C216.01

N

144

144

Pass-through entity total:29,21129,211**IllinoisRocstar LLC**

Cluster: 1R&D

Fed Agency: Air Force

12.000 / A3248 / AF Sub IllinoisRocstar 2009-00497

Y

12,414

12,414

Fed Agency: Air Force Office of Scientific Research (AFOSR)

12.000 / A2984 / AF Sub ILRocstar 2008-05764

Y

18,336

18,336

Fed Agency: Army

12.000 / A2997 / Army Sub ILRocstar 2009-03032

Y

16,251

16,251

Fed Agency: Navy

12.000 / A3366 / Navy ILRocstar UIUC 2008-05021-00-

Y

138,934

138,934

Fed Agency: Office of Naval Research (ONR)

12.300 / A4121 / Navy sub ILRocstar 2008-00025

Y

1,161

1,161

Pass-through entity total:187,096187,096

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Impact Research and Development Organization

Cluster: 1R&D

Fed Agency: Centers for Disease Control and Prevention (CDC)

93.000 / E5559 / Impact Res & Dev Org

Y

72,118

72,118

Pass-through entity total:72,11872,118**Indian Township Tribal Government**

Cluster: 1R&D

Fed Agency: US Environmental Protection Agency (EPA)

66.000 / A5517 / CAL EPA 2005-MDN2-67

Y

-56

-56

Pass-through entity total:-56-56**Indiana Latino Institute Inc**

Cluster: Other Programs

Fed Agency: Centers for Disease Control and Prevention (CDC)

93.283 / E5325 / Indiana Latino Institute Inc

N

40,004

40,004

Pass-through entity total:40,00440,004**Indiana Office of Energy and Defense Development**

Cluster: Other Programs

Fed Agency: US Department of Energy (DOE)

81.041 / E5550 / Indiana Office of Energy and Defens

N

968

968

Pass-through entity total:968968**Indiana State Department of Health**

Cluster: Other Programs

Fed Agency: Centers for Disease Control and Prevention (CDC)

93.069 / F9172 / Eds# A70-8-053351

N

2,105

2,105

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

93.991 / F9193 / Eds# A70-8-041038-2

N

61,238

61,238

Pass-through entity total:63,34363,343**Indiana University**

Cluster: 1R&D

Fed Agency: Defense Threat Reduction Agency (DTRA)

12.000 / A3444 / Navy Sub IU 552054

Y

154,837

154,837

Fed Agency: US National Science Foundation (NSF)

47.049 / A6308 / SBC IND PO 10507-0287

Y

-775

-775

47.074 / A6660 / SBC IND 10409-0232 NSF

Y

2,521

2,521

Pass-through entity total:156,583156,583**Ingersoll Machine Tools Inc**

Cluster: 1R&D

Fed Agency: US Department of Commerce

11.612 / A6302 / COM Sub Ingersoll 70NANB4H3004

Y

-252

-252

Pass-through entity total:-252-252**Innovative Energy Solutions**

Cluster: 1R&D

Fed Agency: Air Force

12.000 / E5433 / Innovative Energy Solutions

Y

26,349

26,349

12.800 / E5330 / Innovative Energy Solution

Y

10,717

10,717

Fed Agency: US National Science Foundation (NSF)

47.041 / E5965 / Innovative Energy Solution

Y

22,227

22,227

47.041 / E6116 / Innovative Energy Solution

Y

34,500

34,500

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program ARRA****Urbana****Chicago****Springfield****All Campuses**

Pass-through entity total:93.79393.793**Institute for Rehabilitation and Research**

Cluster: 1R&D

Fed Agency: US Department of Education

84.133 / E6844 / Inst Rehab Rsch H133B03117 R6

Y

1,166

1,166

Pass-through entity total:1,1661,166**Institute for Systems Biology**

Cluster: 1R&D

Fed Agency: Army

12.420 / A3461 / Army Sub ISB 2008.0005-P022713

Y

22,542

22,542

Pass-through entity total:22,54222,542**Institute of Ecosystem Studies (IES)**

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.074 / A5615 / SBC IES 2926/200200641

Y

-2,515

-2,515

Pass-through entity total:-2,515-2,515**Intelligent Automation Inc**

Cluster: 1R&D

Fed Agency: Air Force Office of Scientific Research (AFOSR)

12.300 / A3983 / AF Sub IAI 576-2

Y

38,369

38,369

Pass-through entity total:38,36938,369

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

International Business Machines Corp (IBM)

Cluster: 1R&D

Fed Agency: National Business Center (NBC)

15.000 / A4194 / INT NBC Sub IBM W0752589

Y

-232

-232

Pass-through entity total:-232-232**International Union for Health Promotion and Education**

Cluster: 1R&D

Fed Agency: Centers for Disease Control and Prevention (CDC)

93.000 / E5581 / IUHPE CDC RFA DP07-708

Y

50,687

50,687

Pass-through entity total:50,68750,687**Ionatron, Inc.**

Cluster: 1R&D

Fed Agency: Naval Surface Warfare Center

12.300 / A4350 / Navy Sub Ionatron PO#201119

Y

-209

-209

Pass-through entity total:-209-209**Iowa State University**

Cluster: 1R&D

Fed Agency: US Department of Agriculture (USDA)

10.000 / A3966 / AG ISU 410-40-09

Y

18,028

18,028

10.200 / A3157 / AG ISU 416-40-12B

Y

21,004

21,004

10.200 / A3159 / AG ISU 416-40-12A

Y

18,001

18,001

10.206 / A2961 / AG SUB ISU 2006-35320-17476

Y

4,866

4,866

Fed Agency: US National Science Foundation (NSF)

47.050 / E6529 / Iowa State U 420-21-90

Y

-2,201

-2,201

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Fed Agency: USDA Coop State Rsrch Educ & Ext Serv (CSREES)

10.203 / A4218 / AG IOWA 415-49-05

Y

-1,802

-1,802

Cluster: Other Programs

Fed Agency: US Department of Agriculture (USDA)

10.000 / A3847 / AG ISU 412-30-42

N

20,158

20,158

Fed Agency: US Department of Energy (DOE)

81.000 / A3995 / DOE ISU FUA Ames Lab 2008-00521

N

689

689

Pass-through entity total:80,944-2,20178,743**ISS Inc**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.000 / E5786 / ISS Inc

Y

66,900

66,900

Pass-through entity total:66,90066,900**Jackson County Health Department**

Cluster: Other Programs

Fed Agency: National Institutes of Health (NIH)

93.994 / A3222 / PHS SUB JCHD 2009-00880

Y

14,472

14,472

Pass-through entity total:14,47214,472**Jaeb Center for Health Research**

Cluster: 1R&D

Fed Agency: National Eye Institute

93.847 / E5609 / Jaeb Center for Health Research

Y

2,517

2,517

Fed Agency: National Institutes of Health (NIH)

93.847 / E5185 / Jaeb Center for Research Addendum

Y

9,140

9,140

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major****Program ARRA****Urbana****Chicago****Springfield****All Campuses**

93.867 / E8333 / Jaeb Ctr For Hlth

Y

994

994

Pass-through entity total:12,65112,651**John Hopkins Bloomberg School of Public Health**

Cluster: 1R&D

Fed Agency: National Eye Institute

93.867 / E6826 / John Hopkins Bloomberg Sph 95363

Y

92,956

92,956

Pass-through entity total:92,95692,956**John Snow Incorporated (JSI)**

Cluster: Other Programs

Fed Agency: US Health & Human Services (HHS)

93.000 / E5054 / John Snow Incorporated

N

2,523

2,523

93.000 / E5055 / John Snow Inc 020109 to 033109

N

1,999

1,999

Pass-through entity total:4,5224,522**John Wayne Cancer Institute**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.395 / E8018 / CancerVax

Y

-2,699

-2,699

Pass-through entity total:-2,699-2,699**Johns Hopkins University**

Cluster: 1R&D

Fed Agency: Food and Drug Administration (FDA)

93.103 / E5610 / John Hopkins U

Y

826

826

Fed Agency: National Institutes of Health (NIH)

93.242 / E6434 / Johns Hopkins 8604-72635-9

Y

-2,453

-2,453

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

93.361 / A4427 / PHS SUB JHU 2000103605

Y

18,771

18,771

Fed Agency: US National Science Foundation (NSF)

47.049 / A8067 / SBC JHU #8203-53655

Y

110,086

110,086

Pass-through entity total:128,857-1,627127,230**Joint Commission on Accreditation of Healthcare Organization**

Cluster: 1R&D

Fed Agency: US Health & Human Services (HHS)

93.226 / E7098 / Joint Comm on Accred - Dr. Loeb

Y

9

9

Pass-through entity total:99**Joliet Township High School District 204**

Cluster: 1R&D

Fed Agency: US Department of Education

84.184 / F9275 / Joliet Township High School

Y

17,148

17,148

Pass-through entity total:17,14817,148**Joslin Diabetes Center Inc**

Cluster: 1R&D

Fed Agency: National Institute of Diabetes & Digestive & Kidney Diseases

93.847 / E6026 / Joslin Diabetes Center

Y

412

412

Pass-through entity total:412412**Juneteenth Productions**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.242 / E6616 / Juneteenth Productions

Y

124

124

Pass-through entity total:124124

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Kansas State Univ

Cluster: Other Programs

Fed Agency: US Department of Agriculture (USDA)

10.500 / A2835 / AG KSU S09148

Y

1,347

1,347

Fed Agency: USDA Coop State Rsrch Educ & Ext Serv (CSREES)

10.500 / A3947 / AG KSU S08030

Y

50,541

50,541

10.500 / A3948 / AG KSU S08064

Y

17,075

17,075

Pass-through entity total:68,96368,963**Kent State Univ TERM Use @00871375.**

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.076 / J9441 / 442 NSF KSU Thinking With Data

Y

13,138

13,138

Pass-through entity total:13,13813,138**Kentucky Pollution Prevention Center - Univ of Louisville**

Cluster: Other Programs

Fed Agency: US Environmental Protection Agency (EPA)

66.000 / A5297 / EPA ULRF 06-0340-03

N

53,078

53,078

Pass-through entity total:53,07853,078**Kurt J Lesker Co**

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.041 / A3822 / SBC Lesker IIP 07-40261

Y

24,100

24,100

Pass-through entity total:24,10024,100

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

La Jolla Institute

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.000 / E5083 / La Jolla Institute

Y

17,926

17,926

Pass-through entity total:17,92617,926**Lawrence Berkeley National Laboratory**

Cluster: 1R&D

Fed Agency: Lawrence Berkeley National Laboratory

81.000 / A5474 / DOE LBNL 6801263

Y

109,921

109,921

Pass-through entity total:109,921109,921**Lawrence Livermore National Lab**

Cluster: 1R&D

Fed Agency: Lawrence Livermore National Lab

81.000 / A2930 / DOE LLNL LL 2009-06653 ANTC

Y

2,192

2,192

81.000 / A3042 / DOE LLNL B581955

Y

24,873

24,873

81.000 / A3043 / DOE LLNL B580664

Y

13,677

13,677

81.000 / A3046 / DOE LLNL B580662

Y

14,243

14,243

81.000 / A3224 / DOE LLNL B579132

Y

38,911

38,911

81.000 / A3256 / DOE LLNL B579007

Y

5,731

5,731

81.000 / A3630 / DOE LLNL B572722

Y

193,836

193,836

81.000 / A3713 / DOE LLNL B573247

Y

20,092

20,092

81.000 / A3749 / DOE LLNL B572718

Y

1,844

1,844">

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

81.000 / A3750 / DOE LLNL B573269

Y

26,362

26,362

81.000 / A3779 / DOE LLNL B574743

Y

38,137

38,137

81.000 / A3811 / DOE LLNL B573254

Y

99,748

99,748

81.000 / A3814 / DOE LLNL B573267

Y

6,193

6,193

81.000 / A4083 / DOE LLNL B571238

Y

-8,524

-8,524

81.000 / A5724 / DOE LLNL B551028

Y

-795

-795

81.000 / A6928 / DOE LLNL B532720

Y

11,723

11,723

81.000 / A8805 / DOE LLNL B523819

Y

1,673,439

1,673,439

Pass-through entity total:**2,161,682****2,161,682****Lawson Health Research Institute**

Cluster: 1R&D

Fed Agency: US Department of Education

84.133 / E5927 / H133A060062

Y

1,483

1,483

Pass-through entity total:**1,483****1,483****Lockheed Martin Corp**

Cluster: 1R&D

Fed Agency: Air Force

12.800 / A5007 / AF Lockheed Martin TT0687484

Y

13,558

13,558

Fed Agency: Defense Advanced Research Projects Agency (DARPA)

12.000 / A3195 / DARPA Lockheed XW3114290X

Y

66,636

66,636

Fed Agency: Navy

12.300 / A4931 / NAVY Lockheed 8100000692

Y

20

20

Pass-through entity total:**80,214****80,214**

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Los Alamos National Lab

Cluster: 1R&D

Fed Agency: Los Alamos National Lab

81.000 / A3663 / DOE LANL 62568-001-08	Y		21,128			21,128
81.000 / A4228 / DOE LANL 55552-001-07	Y		49,548			49,548
81.000 / A4392 / DOE LANL 52601-001-07	Y		23,150			23,150
81.000 / A4689 / DOE LANL 45026-001-06	Y		1,797			1,797
81.000 / A4699 / DOE LANL 53183-001-7	Y		70,527			70,527
81.000 / A5619 / DOE LANL 12760-001-05	Y		40,133			40,133
81.000 / A8549 / CAL DOE 2007-NTN1-23	Y		5,948			5,948

Cluster: Other Programs

Fed Agency: Los Alamos National Lab

81.000 / A3116 / DOE LANL 72154-001-09	N		4,298			4,298
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Pass-through entity total:**216,529****216,529****Louisiana State University**

Cluster: 1R&D

Fed Agency: US Health & Human Services (HHS)

93.213 / E5676 / LSU 23073	Y			3,703		3,703
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Fed Agency: US National Science Foundation (NSF)

47.080 / A3712 / SBC LSU 22384	Y		102,084			102,084
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Pass-through entity total:**102,084****3,703****105,787**

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Loyola University Chicago

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.121 / E5520 / Loyola University 1 R01 DE019075-02

Y

69,416

69,416

93.242 / E6996 / Loyola Univ of Chicago #107015

Y

13,924

13,924

93.272 / E5677 / Loyola University AA013527-07

Y

66,006

66,006

93.837 / E6502 / Loyola U

Y

-20,771

-20,771

93.837 / E8334 / Loyola 107028

Y

6,564

6,564

Pass-through entity total:135,139135,139**LSST Data Management Corporation**

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.049 / A5303 / SBC LSSTC C44006L

Y

433,171

433,171

Pass-through entity total:433,171433,171**Lybradyn Inc**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.859 / E6417 / Lybradyn Inc

Y

53,130

53,130

Pass-through entity total:53,13053,130**MACTEC Engineering & Consulting**

Cluster: Other Programs

Fed Agency: US Environmental Protection Agency (EPA)

66.000 / A3952 / EPA Sub Mactec CASTNET III

N

18,926

18,926

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program ARRA****Urbana****Chicago****Springfield****All Campuses**

Pass-through entity total: 18.926 18.926

Magee-Women's Research Institute and Foundation

Cluster: 1R&D

Fed Agency: National Institute of Allergy & Infectious Diseases

93.855 / E6008 / MWRIF 26 3301 4238

Y

-422

-422

Pass-through entity total: -422 -422

Mandaree Enterprise Corporation

Cluster: Other Programs

Fed Agency: Army

12.431 / A3618 / Army Sub MEC 2008-04908

N

9,285

9,285

Fed Agency: Army Research Office (ARO)

12.431 / A4703 / Army Sub MEC W9132T-ILL-003

N

278

278

Pass-through entity total: 9,563 9,563

March of Dimes

Cluster: 1R&D

Fed Agency: US Health & Human Services (HHS)

93.110 / E6414 / MOD - Dr. Diane Ashton

Y

121,210

121,210

Pass-through entity total: 121,210 121,210

Maryland Medical Research Institute

Cluster: 1R&D

Fed Agency: National Heart Lung & Blood Institute

93.839 / E7432 / Maryland Med Rsch Inst 03-08

Y

3,147

3,147

Pass-through entity total: 3,147 3,147

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Massachusetts Institute of Technology

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.000 / A3240 / PHS SUB PS 2008-03250 ANTC

Y

38,481

38,481

Fed Agency: US Department of Energy (DOE)

81.000 / A3953 / DOE MIT 5710002352

Y

48,962

48,962

Fed Agency: US National Science Foundation (NSF)

47.041 / A4014 / SBC MIT 5710002318

Y

75,145

75,145

Pass-through entity total:**162,588****162,588****MCHC Services Corporation**

Cluster: Other Programs

Fed Agency: US Health & Human Services (HHS)

93.069 / E5606 / MCHC IPC

N

43,106

43,106

Pass-through entity total:**43,106****43,106****McHenry County Government Center**

Cluster: 1R&D

Fed Agency: Housing & Urban Development (HUD)

14.000 / A4102 / HUD McHenry 2008-01288

Y

45,093

45,093

Pass-through entity total:**45,093****45,093****Medical College of Wisconsin**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.856 / A3816 / PHS SUB MCW U54 AI57153-05S1

Y

98,595

98,595

93.856 / A4537 / PHS Sub MCW Yr 5

Y

-13

-13

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program ARRA****Urbana****Chicago****Springfield****All Campuses**

Pass-through entity total:**98,582****98,582****Medical University of South Carolina**

Cluster: 1R&D

Fed Agency: National Institute of Mental Health

93.242 / E6040 / Med U of SC MUSC07-055

Y

28,221

28,221

93.242 / E7689 / MUSC08-042

Y

53,513

53,513

Fed Agency: National Institutes of Health (NIH)

93.389 / A4166 / PHS Sub MUSC LM0019153-01A1

Y

57,335

57,335

Pass-through entity total:**57,335****81,734****139,069****Menominee Indian Tribe**

Cluster: 1R&D

Fed Agency: US Environmental Protection Agency (EPA)

66.000 / A8994 / CAL EPA 2009-MDN2-46

Y

11,030

11,030

Pass-through entity total:**11,030****11,030****Metropolitan Chi Healthcare CnciTERMUse@00776998.**

Cluster: Other Programs

Fed Agency: HRSA/BHPR/DADPHP (Health Resources and Services)

93.996 / E5586 / Metropolitan Chgo Hlthcare Council

N

28,112

28,112

Pass-through entity total:**28,112****28,112****Michigan State University**

Cluster: 1R&D

Fed Agency: United States Agency for International Development (AID)

98.000 / A3674 / AID MSU 61-2949

Y

243,454

243,454

Fed Agency: US Department of Agriculture (USDA)

Pass-Through Entity

Cluster			Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
Fed Agency								
CFDA Number / UI Grant Code / Award Title								
10.000 / A6852 / AG MSU 61-4110B			Y		-22,935			-22,935
10.200 / A3388 / AG MSU 61-4087D			Y		17,538			17,538
10.200 / A4281 / AG MSU 61-4134D			Y		13,579			13,579
10.203 / A8823 / CAL AG 2009-NTN1-16			Y		9,972			9,972
10.303 / A4715 / AG MSU 61-4256Z			Y		26,433			26,433
10.303 / A4852 / AG MSU 61-4256AA			Y		9,525			9,525
10.304 / A3804 / AG MSU 61-4135G			Y		62,271			62,271
10.500 / A3935 / AG MSU 61-5434B			Y		4,838			4,838
10.500 / A4451 / AG MSU 61-5069B			Y		-918			-918
<i>Fed Agency: USDA Agricultural Research Service (ARS)</i>								
10.001 / A3446 / AG MSU 61-4684C			Y		15,365			15,365
<i>Fed Agency: USDA Coop State Rsrch Educ & Ext Serv (CSREES)</i>								
10.303 / A6664 / AG MSU 61-4256A			Y		-66,324			-66,324
Cluster: Other Programs								
<i>Fed Agency: US Department of Agriculture (USDA)</i>								
10.200 / A4110 / AG MSU 61-4054T			N		323			323
10.303 / A4017 / AG MSU 61-4256HH			N		835			835
10.303 / A4883 / AG MSU 61-4256DD			N		9,254			9,254
10.500 / A3970 / AG MSU 61-5421B			Y		878			878
<u>Pass-through entity total:</u>					<u>324,088</u>			<u>324,088</u>

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major****Program ARRA****Urbana****Chicago****Springfield****All Campuses**

Michigan Technological Univ

Cluster: 1R&D

Fed Agency: US Department of Energy (DOE)

81.000 / A3631 / DOE MTU 050516Z26 P0075816	Y	52,090			52,090
81.049 / A2934 / DOE Sub MTU 050516Z27	Y	4,738			4,738
81.049 / A4189 / DOE MTU 050516Z21	Y	151,679			151,679

Pass-through entity total:**208,507****208,507****Microbiotix Inc**

Cluster: 1R&D

Fed Agency: National Institute of Allergy & Infectious Diseases

93.000 / E6139 / Microbiotix Inc	Y			30,986	30,986
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Pass-through entity total:**30,986****30,986****Microolution Inc**

Cluster: 1R&D

Fed Agency: Navy

12.300 / A4295 / Navy Sub Microolution 2007-05449	Y	94,770			94,770
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Pass-through entity total:**94,770****94,770****Midwestern Univ**

Cluster: 1R&D

Fed Agency: HRSA/BHPR/DADPHP (Health Resources and Services)

93.000 / E5401 / Midwestern University	Y			64,991	64,991
93.107 / E5164 / Midwestern University U77HP03050090	Y			44,271	44,271

Pass-through entity total:**109,262****109,262**

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Missouri Department of Natural Resources

Cluster: 1R&D

Fed Agency: US Environmental Protection Agency (EPA)

66.000 / A4952 / CAL EPA 2008-MDN2-43

Y

11,026

11,026

Pass-through entity total:11,02611,026**Modine Manufacturing Co**

Cluster: 1R&D

Fed Agency: Army

12.000 / A3430 / Army Sub MMC 2008-03791

Y

45,622

45,622

12.431 / A4315 / Army Sub Modine 2007-05521

Y

46,161

46,161

Pass-through entity total:91,78391,783**Moraine Valley Community College**

Cluster: Other Programs

Fed Agency: US National Science Foundation (NSF)

47.076 / J9452 / 696 NSF MVCC CSSIA 0702872

Y

77,095

77,095

Pass-through entity total:77,09577,095**Morehouse School of Medicine**

Cluster: 1R&D

Fed Agency: US Health & Human Services (HHS)

93.399 / E6980 / MSM - NBLIC - III

Y

213,989

213,989

Pass-through entity total:213,989213,989

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Moss Rehabilitation Research Institute

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.173 / A4890 / PHS Sub Moss Rehab 2R01 DC000191-25

Y

89,456

89,456

Pass-through entity total:89,45689,456**Mount Sinai School of Medicine**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.242 / E5225 / MSSM 0253 6141 4609

Y

3,579

3,579

93.395 / E5300 / Mount Sinai School Of Medicine

Y

19,583

19,583

93.395 / E5621 / MSSM 0254 7381 4609

Y

24,904

24,904

Fed Agency: US Health & Human Services (HHS)

93.395 / E5190 / Mount Sinai 0254 7382 4609

Y

120,364

120,364

Pass-through entity total:168,430168,430**Multiple vendors involved**

Cluster: Other Programs

Fed Agency: US Department of Education

84.015 / A3673 / DE Sub SCALI 2008

Y

64,794

64,794

Pass-through entity total:64,79464,794**Murray State University**

Cluster: Other Programs

Fed Agency: Tennessee Valley Authority (TVA)

62.001 / B9476 / CAL - MURRAY STATE

N

2,461

2,461

none / B9113 / CAL Murray State/TVA NTN2-37

N

449

449

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program ARRA****Urbana****Chicago****Springfield****All Campuses**

Pass-through entity total:2,9102,910**MyTek LLC**

Cluster: 1R&D

Fed Agency: Army

12.431 / A4085 / Army Sub MyTek 2008-00223

Y

6,662

6,662

Pass-through entity total:6,6626,662**National Academy of Sciences**

Cluster: 1R&D

Fed Agency: United States Agency for International Development (AID)

98.000 / A3451 / AID NAS PGA-P280418

Y

19,829

19,829

98.000 / A4503 / AID NAS PGA-P281472

Y

45,327

45,327

98.000 / A4549 / AID NAS PGA-P281473

Y

48,260

48,260

98.002 / E6519 / NAS Pga-7251-05-008

Y

56,042

56,042

Fed Agency: US Department of Transportation (DOT)

20.000 / A3802 / DOT NAS NCHRP-135

Y

89,310

89,310

20.000 / A6631 / DOT NAS HSR-48

Y

183

183

20.200 / A4063 / DOT Sub NAS NCHRP-134

Y

42,184

42,184

Pass-through entity total:301,135301,135**National Assoc of State Universities and Land-Grant Colleges**

Cluster: Other Programs

Fed Agency: US Department of Energy (DOE)

81.000 / A3994 / DOE NASULGC 2008-00964

N

3,674

3,674

Pass-through entity total:3,6743,674

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major****Program ARRA****Urbana****Chicago****Springfield****All Campuses**

National Center for Earth-Surface Dynamics

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.050 / A5637 / SBC MINN T5366216011

Y

161,872

161,872

Pass-through entity total:161.872161,872**National Center for Healthy Housing**

Cluster: 1R&D

Fed Agency: Housing & Urban Development (HUD)

14.000 / E5952 / National Center for Healthy Housing

Y

9

9

Pass-through entity total:99**National Childhood Cancer Foundation**

Cluster: 1R&D

Fed Agency: National Cancer Institute

93.000 / E5169 / Natl Childhood Cancer Fdtn 18199

Y

20,398

20,398

Fed Agency: National Institutes of Health (NIH)

93.395 / E6080 / NCCF 15107

Y

1,417

1,417

93.395 / E7111 / Natl Childhood Cancer 9853-1194

Y

2,406

2,406

Fed Agency: US Health & Human Services (HHS)

93.395 / E5913 / Natl Childhood Cancer Fdtn 16204

Y

20,871

20,871

Cluster: Other Programs

Fed Agency: US Health & Human Services (HHS)

93.395 / E5806 / Natl Childhood Cancer Fdtn 16483

N

-6

-6

Pass-through entity total:45.08645,086

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

National Energy Management Institute (NEMI)

Cluster: 1R&D

Fed Agency: US Department of Energy (DOE)

81.087 / E7057 / Nemi CA-2005 Task 6 7 8 10 14

Y

-233,476

-233,476

81.087 / E7340 / NEMI CA-2004-Task 4

Y

-20,644

-20,644

Pass-through entity total:-254,120-254,120**National Energy Technology Laboratory (NETL)**

Cluster: 1R&D

Fed Agency: National Energy Technology Laboratory (NETL)

81.000 / A5435 / DOE DE-FG26-05NT42622

Y

92,799

92,799

81.089 / A5507 / DOE DE-FC26-05NT42588

Y

7,155,049

7,155,049

81.089 / A6138 / DOE DEFC26-04NT15510

Y

77,817

77,817

81.117 / A5434 / DOE DE-FC26-05NT42634

Y

126,048

126,048

Pass-through entity total:7,451,7137,451,713**National Fish & Wildlife Foundation**

Cluster: 1R&D

Fed Agency: USDA Forest Service (FS)

10.000 / E5485 / Natl Fish & Wildlife Fndt 2008-0025

Y

22,314

22,314

Pass-through entity total:22,31422,314**National Institute of Building Sciences**

Cluster: 1R&D

Fed Agency: Federal Emergency Management Agency (FEMA)

97.000 / A3835 / DHS FEMA NIBS 2008-02589

Y

159,769

159,769

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program ARRA****Urbana****Chicago****Springfield****All Campuses**

Pass-through entity total: 159,769 159,769

National Radio Astronomy Observatory (NRAO)

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.000 / C6959 / NRAO GSSP07-0007

Y

11,014

11,014

Pass-through entity total: 11,014 11,014

National Renewable Energy Lab

Cluster: 1R&D

Fed Agency: National Renewable Energy Lab

81.000 / A3888 / DOE NREL ADC-77041-08

Y

86,906

86,906

81.000 / A5211 / DOE NREL XEA-6-55419-01

Y

-50,573

-50,573

Pass-through entity total: 36,333 36,333

National Soybean Research Laboratory

Cluster: 1R&D

Fed Agency: US Environmental Protection Agency (EPA)

66.000 / A7348 / EPA SUB ANTC HOLM

Y

107

107

Pass-through entity total: 107 107

National University of Health Sciences

Cluster: Other Programs

Fed Agency: US Health & Human Services (HHS)

93.213 / E6782 / Natl U of Hlth Sci R25 At002872

N

26,885

26,885

Pass-through entity total: 26,885 26,885

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

National Writing Project Corporation

Cluster: Other Programs

Fed Agency: US Department of Education

84.000 / A3717 / DE Sub NWPC 08-IL07

N

13,079

13,079

Pass-through entity total:13,07913,079**Network for Earthquake Engineering Simulation Consortium Inc**

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.041 / A6000 / SBC NEES OMSA-2004

Y

957,581

957,581

Pass-through entity total:957,581957,581**Network of Illinois Learning Resources in Community Colleges**

Cluster: Other Programs

Fed Agency: Institute of Museum & Library Services (IMLS)

45.307 / A3908 / IMLS NILRC RE-01-07-0036-07

N

164,941

164,941

Pass-through entity total:164,941164,941**Neupharma Inc**

Cluster: 1R&D

Fed Agency: US Department of Defense (DoD)

12.000 / E6894 / Neupharma Inc

Y

334,932

334,932

Pass-through entity total:334,932334,932**New England Foundation for the Arts**

Cluster: Other Programs

Fed Agency: US National Endowment for the Arts (NEA)

45.025 / J9436 / 390 NEFA Hubbard St Dance 08 12491

N

2,000

2,000

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program ARRA****Urbana****Chicago****Springfield****All Campuses**

Pass-through entity total:2,0002,000**New England Research Institute (NERI)**

Cluster: 1R&D

Fed Agency: National Heart Lung & Blood Institute

93.000 / E6185 / New England Research Inst

Y

16,712

16,712

Pass-through entity total:16,71216,712**New Jersey Institute of Technology**

Cluster: 1R&D

Fed Agency: Air Force Office of Scientific Research (AFOSR)

12.800 / A3795 / AF Sub NJIT NP 992834

Y

51,796

51,796

Fed Agency: Army

12.431 / A5255 / Army Sub NJIT 992649

Y

-3

-3

Pass-through entity total:51,79351,793**New Jersey Medical School**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.837 / E5620 / U of Med and Dent of NJ

Y

44,024

44,024

93.837 / E6317 / UMDNJ Med School P0421408

Y

33,656

33,656

93.837 / E8167 / NJ Med HI69020 - Dr. Vatner (MSB)

Y

-36

-36

Pass-through entity total:77,64477,644**New Mexico Department of Game and Fish**

Cluster: 1R&D

Fed Agency: US Fish & Wildlife

15.608 / B9198 / NM Game Fish 08-0516-0000-00039

Y

-795

-795

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major****Program ARRA****Urbana****Chicago****Springfield****All Campuses**

Pass-through entity total:-795-795**New Mexico State Univ**

Cluster: 1R&D

Fed Agency: US Department of Interior

15.000 / A7101 / CAL INT 2007-MDN2-18

Y

14,215

14,215

Cluster: Other Programs

Fed Agency: United States Agency for International Development (AID)

98.000 / A3830 / AID NMSU Q01224

N

68,505

68,505

Pass-through entity total:82,72082,720**New York State Department of Environmental Conservation**

Cluster: Other Programs

Fed Agency: US Environmental Protection Agency (EPA)

66.034 / A4019 / CAL EPA 2007-MDN2-72

N

32,262

32,262

Pass-through entity total:32,26232,262**New York State Museum Institute**

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.074 / A4838 / SBC NYSMI 05-29679

Y

41,923

41,923

Pass-through entity total:41,92341,923**New York Univ**

Cluster: 1R&D

Fed Agency: US Health & Human Services (HHS)

93.393 / E7018 / New York Univ F5922-01

Y

86,160

86,160

Pass-through entity total:86,16086,160

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

NLight Corporation

Cluster: 1R&D

Fed Agency: Army

12.000 / A3672 / Army Sub nLIGHT 2008-06304

Y

84,316

84,316

Fed Agency: US NASA

43.000 / A3057 / NASA KC 2009-05105 ANTC

Y

7,318

7,318

Pass-through entity total:**91,634****91,634****North Carolina State University**

Cluster: 1R&D

Fed Agency: Army

12.431 / A5767 / Army NCSU 2005-0725-02

Y

183,552

183,552

Fed Agency: US Department of Agriculture (USDA)

10.500 / A3637 / AG NCSU 2008-1004-12

Y

1,403

1,403

10.500 / A3687 / AG NCSU 2008-0590-13

Y

55,934

55,934

10.500 / A4216 / AG NCSU 2007-0376-41

Y

50,172

50,172

Fed Agency: US National Science Foundation (NSF)

47.074 / A3861 / SBC NCSU 2007-1465-01

Y

15,898

15,898

47.074 / A5985 / SBC NCSU 2004-1146-01

Y

55,165

55,165

47.074 / A6603 / SBC NCSU 2002-1664-02

Y

40,777

40,777

Fed Agency: USDA Agricultural Research Service (ARS)

10.206 / A5620 / AG NCSU 2004-1578-03

Y

18,910

18,910

Pass-through entity total:**421,811****421,811**

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

North Central Soybean Research Program (NCSRP)

Cluster: 1R&D

Fed Agency: US Department of Agriculture (USDA)

10.000 / A3345 / AG NCSRP 2008-03920

Y

23,335

23,335

Pass-through entity total:23,33523,335**Northeastern Ohio Universities College of Medicine**

Cluster: 1R&D

Fed Agency: US Health & Human Services (HHS)

93.000 / E5194 / Northeastern Ohio Univ 34306-A

Y

118,610

118,610

Pass-through entity total:118,610118,610**Northern California Institute for Research & Education**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.389 / A3214 / NIH SUB NCIRE 001323

Y

84,507

84,507

93.849 / E6530 / NCIRE 000984 - Jette

Y

22,210

22,210

Pass-through entity total:84,50722,210106,717**Northern Illinois University**

Cluster: 1R&D

Fed Agency: US Department of Defense (DoD)

12.000 / E6550 / NIU

Y

-1,710

-1,710

Pass-through entity total:-1,710-1,710

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Northrop Grumman Corp</u>								
Cluster: 1R&D								
<i>Fed Agency: Defense Advanced Research Projects Agency (DARPA)</i>								
		12.910 / A3523 / DARPA NG 8140000499	Y		106,197			106,197
<i>Fed Agency: National Reconnaissance Office</i>								
		12.000 / A3660 / NRO NG 67191ROK8A	Y		40,000			40,000
<i>Fed Agency: US NASA</i>								
		43.000 / A3058 / NASA Northrop MA11MB091100 2693744	Y		12,097			12,097
					<u>Pass-through entity total:</u>			<u>158,294</u>
					<u>158,294</u>			<u>158,294</u>
<u>Northwestern University</u>								
Cluster: 1R&D								
<i>Fed Agency: Army</i>								
		12.000 / A3119 / Army Sub NU SP0003153/PROJ0001027	Y		38,427			38,427
<i>Fed Agency: Defense Advanced Research Projects Agency (DARPA)</i>								
		12.910 / A5394 / DARPA Sub 0650 350 J400 1164	Y		79,336			79,336
<i>Fed Agency: National Cancer Institute</i>								
		93.000 / E5773 / NWU 0610370 C851	Y			3,261		3,261
		93.399 / E6470 / NWU 0600 370 C827 1225	Y			149,355		149,355
<i>Fed Agency: National Institute of Child Health & Human Development</i>								
		93.865 / E6955 / Northwestern U 0600370L3441028	Y			-52,193		-52,193
<i>Fed Agency: National Institute of Diabetes & Digestive & Kidney Diseases</i>								
		93.849 / E5165 / Northwestern 60022283 UIC	Y			28,808		28,808
<i>Fed Agency: National Institute of General Medical Sciences</i>								
		93.859 / A3205 / PHS SUB NU PROJ0000998	Y		89,668			89,668

Pass-Through Entity

Cluster		Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
Fed Agency	CFDA Number / UI Grant Code / Award Title						
<i>Fed Agency: National Institutes of Health (NIH)</i>							
93.000 / E5757	Northwestern U 0610 370 HD60-UIC	Y			138,353		138,353
93.000 / E8182	Nw Univ Cardia	Y			237,788		237,788
93.393 / E5148	Northwestern U 60014051	Y			30,594		30,594
93.399 / E5772	NWU 1R01 CA12055 02A1	Y			18,838		18,838
93.839 / E7268	Northwestern U 0600 370 F054 900	Y			983		983
93.849 / E6918	Northwestern U 0600370W5071059	Y			-212		-212
93.849 / E6920	Northwestern U 0600370W5071059	Y			-498		-498
93.855 / E5150	Northwestern U 60022450	Y			13,935		13,935
93.865 / E6125	Northwestern U 0600 370 A567 1346	Y			-899		-899
<i>Fed Agency: Navy</i>							
12.300 / A3118	Navy Sub NU SP0004324/PROJ0001493	Y		165,616			165,616
<i>Fed Agency: US Department of Transportation (DOT)</i>							
20.700 / E5507	Northwestern PROJ0000667	Y			28,259		28,259
<i>Fed Agency: US Health & Human Services (HHS)</i>							
93.000 / E5066	Northwestern University	Y			518		518
93.000 / E5095	Northwestern U	Y			515		515
93.000 / E5900	NWU 0610-370-D325-1428	Y			691,540		691,540
93.399 / E5633	NWU 0600 370 C888 UIC	Y			108,376		108,376
93.837 / E6899	NWU 0980 370 D318 1127	Y			11,375		11,375
93.838 / E6795	NWU 0980 370 Fj48 1065	Y			1,794		1,794

Pass-Through Entity

Cluster			Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
Fed Agency								
CFDA Number / UI Grant Code / Award Title								
93.839	/ E7582	/ Northwestern U 0600370F054732	Y			-2,378		-2,378
93.849	/ E6904	/ Northwestern U 0600370W3061051	Y			339		339
93.853	/ E6535	/ NWU 0600 370 G325 1202	Y			27,126		27,126
93.866	/ E6748	/ NWU 0600 370 A564 1129	Y			-6,994		-6,994
<i>Fed Agency: US National Science Foundation (NSF)</i>								
47.041	/ A3218	/ SBC NW 0830-350-C830	Y		46,921			46,921
47.041	/ A4891	/ SBC NW PROJ0000395	Y		88,821			88,821
47.041	/ A7967	/ SBC NWE0830-350-C630	Y		91,679			91,679
47.070	/ A3272	/ SBC NU PROJ0001351	Y		74,756			74,756
47.076	/ A4686	/ SBC NW PROJ0000077	Y		87,378			87,378
47.076	/ A5961	/ SBC NW #0830-350-NC18-1356	Y		137,135			137,135
47.076	/ A6077	/ SBC 0830-310-A640-977	Y		-990			-990
47.076	/ E5188	/ NWU PROJ0000750 Yr 4	Y			257,579		257,579
47.076	/ E5655	/ NWU PROJ0000504	Y			73,031		73,031
47.076	/ E6045	/ Northwestern University	Y			-154		-154
47.079	/ E5766	/ NWU PROJ0000256	Y			167,577		167,577
Cluster: Other Programs								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
93.000	/ A2899	/ NIH SUB TTA NU 2009-04931	N		10,525			10,525
<u>Pass-through entity total:</u>					<u>909,272</u>	<u>1,926,616</u>		<u>2,835,888</u>

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

NPL Associates Inc

Cluster: 1R&D

Fed Agency: Air Force

12.800 / A5432 / AF NPL 2005-04908

Y

-546

-546

Fed Agency: US Department of Energy (DOE)

81.000 / A3752 / DOE NPL 2008-03164

Y

5,989

5,989

81.064 / A8515 / DOE NPL 02-160

Y

492

492

Pass-through entity total:5,9355,935**Oak Ridge National Lab**

Cluster: 1R&D

Fed Agency: Oak Ridge National Lab

81.000 / A3179 / DOE ORNL BATT 4000076535

Y

26,233

26,233

81.000 / A3747 / DOE ORNL BATT 4000068571

Y

25,000

25,000

81.000 / A4453 / DOE ORNL BATT 4000058971

Y

42,435

42,435

81.000 / A4805 / DOE ORNL BATT 4000052947

Y

44,600

44,600

81.000 / A5355 / DOE ORNL BATT 4000044522

Y

10,969

10,969

81.000 / A5726 / DOE ORNL BATT 4000041499

Y

86,949

86,949

81.000 / A8530 / CAL DOE 2007-NTN1-29

Y

5,830

5,830

Cluster: Other Programs

Fed Agency: Oak Ridge National Lab

81.000 / E6410 / Oak Ridge Nat Lab 4000050152

N

91

91

Pass-through entity total:242,01691242,107

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Odysian Technology LLC

Cluster: 1R&D

Fed Agency: Army

12.000 / A3354 / Army OT-UIUC-08152008-03

Y

28,762

28,762

Pass-through entity total:**28,762****28,762****Ohio State Univ**

Cluster: 1R&D

Fed Agency: Army

12.431 / A3541 / Army Sub OSU RF01135233

Y

59,736

59,736

12.431 / A5568 / Army OSU 60005852/RF01038633

Y

68,806

68,806

Fed Agency: US NASA

43.000 / A4530 / NASA OSU GRT00006188 60009518

Y

140,719

140,719

Fed Agency: US National Science Foundation (NSF)

47.041 / A3300 / SBC Ohio State 60002999

Y

18,702

18,702

Pass-through entity total:**287,963****287,963****Ohio State Univ Research Foundation**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.395 / E5733 / PO RF01108501 PN 60014859

Y

394,298

394,298

93.865 / A4874 / PHS Sub OSU 60011063-PO#RF01084820

Y

16,565

16,565

Fed Agency: US Environmental Protection Agency (EPA)

66.512 / A4575 / EPA OSU 60004377 RF01073868

Y

465

465

Fed Agency: US Health & Human Services (HHS)

93.395 / E5661 / OSURF RF01111566

Y

321,180

321,180

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Fed Agency: US National Science Foundation (NSF)

47.049 / E8362 / Ohio St U 739204 - PO 00915365

Y

4,448

4,448

Cluster: Other Programs

Fed Agency: US Dept of Commerce NOAA

11.417 / A5061 / COM OSURF RF01073927

N

5,878

5,878

Pass-through entity total:22,908719,926742,834**Ohio Univ**

Cluster: 1R&D

Fed Agency: US Environmental Protection Agency (EPA)

66.000 / A4818 / CAL EPA 2009-MDN2-65

Y

11,073

11,073

Pass-through entity total:11,07311,073**Oklahoma Medical Research Foundation**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.866 / E8242 / Oklahoma Med 4999346

Y

-46,071

-46,071

Pass-through entity total:-46,071-46,071**Oregon Department of Environmental Quality**

Cluster: 1R&D

Fed Agency: US Environmental Protection Agency (EPA)

66.605 / A5265 / CAL DEQ 2006-MDN2-70

Y

652

652

Pass-through entity total:652652

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Oregon Health Sciences Univ</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.859 / E7650 / GBIMO0073A- Sponsored Proj	Y			23,066		23,066
<i>Fed Agency: US Department of Education</i>								
		84.133 / E6855 / OHSU GCDRC0139AE - Fujiura	Y			28,937		28,937
		84.133 / E6879 / OHSU - Rimmer	Y			1,211		1,211
		84.133 / E6889 / OHSU GCDRCO139AC - Heller	Y			3,652		3,652
		<u>Pass-through entity total:</u>				<u>56,866</u>		<u>56,866</u>
<u>Oregon State University</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Education</i>								
		84.305 / E5506 / Oregon St U ED124A-A	Y			400,145		400,145
		84.305 / E6709 / Oregon State University ED103B-A	Y			4,943		4,943
Cluster: Other Programs								
<i>Fed Agency: US Dept of Commerce NOAA</i>								
		11.417 / A3217 / COM OSU NA197A-A	N		1,012			1,012
<i>Fed Agency: USDA Coop State Rsrch Educ & Ext Serv (CSREES)</i>								
		10.000 / A4049 / AG OSU NO. C0357A-C	N		58,047			58,047
		<u>Pass-through entity total:</u>			<u>59,059</u>	<u>405,088</u>		<u>464,147</u>

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Other & special use (GCO, ANTIC, etc)

Cluster: 1R&D

Fed Agency: Air Force

12.000 / A3137 / AF Sub CDA 2009-03127 ANTC

Y

45,716

45,716

Fed Agency: Navy

12.000 / A3241 / Navy WWTG 2009-02613 ANTC

Y

31,061

31,061

Pass-through entity total:**76,777****76,777****Pacific Northwest National Lab**

Cluster: 1R&D

Fed Agency: Pacific Northwest National Lab

81.000 / A3139 / DOE PNL BATT 78673

Y

34,848

34,848

81.000 / A3206 / DOE PNL BATT 75588

Y

28,913

28,913

81.000 / A3331 / DOE PNL BATT 69126

Y

135,397

135,397

81.000 / A5646 / DOE PNL BATT 17044

Y

4,859

4,859

Cluster: Other Programs

Fed Agency: Pacific Northwest National Lab

81.000 / A3346 / DOE PNL BATT 64484

N

29,260

29,260

Pass-through entity total:**233,277****233,277****Parallel Consulting LLC**

Cluster: 1R&D

Fed Agency: Army

12.000 / A3460 / Army Sub PC 2008-05382

Y

64,176

64,176

Pass-through entity total:**64,176****64,176**

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Pegasus Technical Services

Cluster: 1R&D

Fed Agency: US Environmental Protection Agency (EPA)

66.000 / E6041 / PEGSUB 00004

Y

58,565

58,565

Pass-through entity total:58,56558,565**Pennsylvania State Univ**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.393 / A7296 / PHS SUB PENN STATE

Y

-25

-25

Fed Agency: US Department of Agriculture (USDA)

10.500 / A3094 / AG Sub PASU 3822-UIUC-USDA-481

Y

3,626

3,626

Fed Agency: US Department of Energy (DOE)

81.000 / A8623 / DOE PU 2406-UI-4423

Y

-23,515

-23,515

Cluster: Other Programs

Fed Agency: Defense Threat Reduction Agency (DTRA)

12.000 / A3871 / DTRA PSU 3516-UI-DTRA-0010

N

633,068

633,068

Pass-through entity total:613,154613,154**Pennsylvania State University**

Cluster: 1R&D

Fed Agency: Army

12.431 / A6327 / Army PU 2777-UI-DOA-0178

Y

402,286

402,286

Fed Agency: Office of Naval Research (ONR)

12.300 / E7267 / Penn State Univ 2799-UI-ONR-0683

Y

106,306

106,306

Fed Agency: US National Science Foundation (NSF)

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program ARRA****Urbana****Chicago****Springfield****All Campuses**

47.050 / A4843 / SBC PENN 3234-UI-NSF-2516

Y

-3,644

-3,644

Pass-through entity total:398,642106,306504,948**Penobscot Indian Reservation**

Cluster: 1R&D

Fed Agency: US Environmental Protection Agency (EPA)

66.000 / A3850 / CAL EPA 2008-MDN2-97

Y

3,994

3,994

Pass-through entity total:3,9943,994**Petroleum Technology Transfer Council (PTTC)**

Cluster: 1R&D

Fed Agency: US Department of Energy (DOE)

81.000 / A8520 / DOE PTTC

Y

14,497

14,497

Pass-through entity total:14,49714,497**Physical Sciences Inc**

Cluster: 1R&D

Fed Agency: Missile Defense Agency (MDA)

12.000 / A3784 / MDA Sub PSI 1567-44234

Y

95,117

95,117

Pass-through entity total:95,11795,117**Pillars**

Cluster: Other Programs

Fed Agency: Substance Abuse & Mental Health Services Admin (SAMHSA)

93.104 / E5618 / PILLARS SM54483 01

N

8,152

8,152

Pass-through entity total:8,1528,152

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Pioneer Civic Services Corporation

Cluster: Other Programs

Fed Agency: Housing & Urban Development (HUD)

14.235 / E5349 / Pioneer Civic Services	N		42,993		42,993
14.235 / E5820 / Pioneer Civic Services	N		17,083		17,083
14.241 / E5099 / Pioneer Civic Services	N		5,769		5,769
14.241 / E6374 / Pioneer Civic Services ILH050011	N		38,751		38,751

Pass-through entity total:**104,596****104,596****Portland State Univ**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.618 / E5157 / Portland State Univ. No. 208BOW117	Y		4,721		4,721
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Fed Agency: US National Science Foundation (NSF)

47.078 / E5375 / Portland State Univ 208FOU070	Y		56,197		56,197
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Pass-through entity total:**60,918****60,918****Positive Outcomes Inc**

Cluster: 1R&D

Fed Agency: Health Resources and Services Administration (HRSA)

93.000 / G6685 / Positive Outcomes HSH240G5808	Y		7,156		7,156
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Pass-through entity total:**7,156****7,156**

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Prairie Gold Inc

Cluster: Other Programs

Fed Agency: US Department of Agriculture (USDA)

10.000 / A3599 / AG PGI TTA 2008-06331

N

15,462

15,462

Pass-through entity total:15,46215,462**Princeton Univ**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.867 / A3453 / PHS SUB PU 00001602

Y

102,404

102,404

Fed Agency: US Department of Defense (DoD)

12.800 / E5791 / Princeton University No. 00001441

Y

142,498

142,498

Pass-through entity total:102,404142,498244,902**PSI CHI The National Honor Society in Psychology**

Cluster: 1R&D

Fed Agency: National Institute of Mental Health

93.000 / E5812 / PSI CHI NHSP

Y

5

5

Pass-through entity total:55**Public Health Institute of Metropolitan Chicago**

Cluster: CDBG - Entitlement Grants

Fed Agency:

14.218 / F9151 / Public Hlth Inst Metro Chicago

N

15,989

15,989

14.218 / F9182 / Public Health Inst of Metro Chicago

N

17,850

17,850

Cluster: Other Programs

Fed Agency:

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

93.940 / F9151a / Public Hlth Inst Metro Chicago

N

15,989

15,989

93.940 / F9182a / Public Health Inst of Metro Chicago

N

17,850

17,850

Pass-through entity total:67,67867,678**Pueblo of Jemez Tribe**

Cluster: 1R&D

Fed Agency: US Environmental Protection Agency (EPA)

66.419 / A3083 / CAL EPA 2009-MDN-4-10

Y

2,708

2,708

Pass-through entity total:2,7082,708**Purdue University**

Cluster: 1R&D

Fed Agency: Institute of Museum & Library Services (IMLS)

45.307 / A3906 / IMLS PU 4112-21062

Y

110,366

110,366

Fed Agency: National Institute of Biomedical Imaging & Bioengineering

93.286 / A6815 / PHS Sub Purdue 511-1479-01

Y

25,812

25,812

Fed Agency: National Institutes of Health (NIH)

93.000 / A3022 / NIH SUB ML 2009-03264

Y

34,408

34,408

93.213 / A4448 / PHS SUB PURDUE 4102-23320

Y

102,246

102,246

93.213 / A5072 / PHS Sub Purdue 511-1870-01

Y

75

75

93.393 / E6901 / Purdue Univ 511-1665-01

Y

397,251

397,251

93.837 / E6475 / Purdue U 511-2000-01

Y

4,464

4,464

Fed Agency: US Department of Agriculture (USDA)

10.000 / A8675 / AG PU 590-2621-1

Y

45

45

10.001 / A3735 / AG PU 8000023441-01

Y

122,023

122,023">

Pass-Through Entity

Cluster			Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
Fed Agency								
CFDA Number / UI Grant Code / Award Title								
10.001 / A4794 / AG PU 596-1124-01			Y		5,566			5,566
10.500 / A4636 / AG PU 598-0474-01			Y		-11,772			-11,772
<i>Fed Agency: US Department of Energy (DOE)</i>								
12.800 / A3608 / AF Sub Purdue 4104-23094			Y		29,369			29,369
81.086 / E6107 / Purdue University 541-0578-01			Y			60,073		60,073
81.113 / A3602 / DOE PU 4105-23184			Y		88,643			88,643
<i>Fed Agency: US Department of Transportation (DOT)</i>								
20.000 / A3785 / DOT PU 4108-21574			Y		216,742			216,742
<i>Fed Agency: US National Science Foundation (NSF)</i>								
47.041 / A4213 / SBC Purdue 4101-19916			Y		422,883			422,883
47.041 / A6934 / SBC PU #501-1045-01			Y		1,329			1,329
47.041 / A7928 / SBC PU#501-0791-01			Y		3,542			3,542
47.049 / E7182 / Purdue University 501-1325-01			Y			23,152		23,152
<i>Fed Agency: USDA Coop State Rsrch Educ & Ext Serv (CSREES)</i>								
10.200 / A3317 / AG PU 8000025410-AG			Y		22,702			22,702
Cluster: Other Programs								
<i>Fed Agency: US Department of Agriculture (USDA)</i>								
10.500 / A3242 / AG PU 8000026125-AG			Y		7,267			7,267
<i>Fed Agency: US Department of Commerce</i>								
11.000 / A3407 / COM PU 4112-25369			N		21,439			21,439
<i>Fed Agency: US Department of Energy (DOE)</i>								
81.000 / A3351 / DOE PU FUA 2008-05447			N		407			407

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

81.087 / A3071 / DOE Sub PU 2009-04713 FUA

N

2,631

2,631

Fed Agency: US Dept of Commerce NOAA

11.417 / A5339 / COM Purdue 643-1953-01

N

121,790

121,790

Pass-through entity total:1,327,513484,9401,812,453**Qualtech Systems Incorporated**

Cluster: 1R&D

Fed Agency: Air Force

12.800 / A3859 / AF sub Qualtech QSI-DSC-07-014

Y

3,482

3,482

Pass-through entity total:3,4823,482**Quantlogic Corporation**

Cluster: 1R&D

Fed Agency: US Environmental Protection Agency (EPA)

66.000 / A3221 / EPA Sub QuantLogic 2007-05715

Y

23,000

23,000

Pass-through entity total:23,00023,000**Rand Corporation**

Cluster: 1R&D

Fed Agency: National Cancer Institute

93.000 / E5424 / Rand Corp 9920080112

Y

41,342

41,342

Fed Agency: National Institutes of Health (NIH)

93.279 / E6630 / RAND PO 125439

Y

1,267

1,267

Fed Agency: US National Science Foundation (NSF)

47.075 / A6036 / SBC RAND #20050017

Y

22,718

22,718

Pass-through entity total:22,71842,60965,327

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Raytheon Company

Cluster: 1R&D

Fed Agency: Air Force Office of Scientific Research (AFOSR)

12.000 / A5177 / AF Sub Raytheon 2600

Y

45,089

45,089

Fed Agency: US NASA

43.000 / A3197 / NASA RNCS 4400306033

Y

49,014

49,014

Pass-through entity total:94,10394,103**Regents of the University of California (Los Angeles)**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.394 / E5222 / Univ of Calif LA 1350 G KC916

Y

96,959

96,959

93.838 / E5154 / UCLA 1460 G KB112

Y

46,258

46,258

93.866 / E7278 / UCLA 1558 G FC283

Y

24,804

24,804

Pass-through entity total:168,021168,021**Regents of the University of California (San Diego)**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.859 / A5940 / PHS Sub UCSD PO 10242924

Y

-1,394

-1,394

93.865 / A3709 / PHS SUB UCSD PO#10280419

Y

12,284

12,284

Fed Agency: US National Science Foundation (NSF)

47.050 / A3299 / SBC UCSD 10280643

Y

107,800

107,800

47.050 / A5759 / SBC UCSD P.O.#10246234

Y

20,694

20,694

47.050 / C6910 / CA ITI UCSD 10280643

Y

-65,097

-65,097

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major****Program ARRA****Urbana****Chicago****Springfield****All Campuses**

47.070 / E8074 / U Of Ca 10217953-008

Y

105,245

105,245

Pass-through entity total:74,287105,245179,532**Regents of the University of California (San Francisco)**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.853 / E7578 / U of California 3224SC

Y

-2,848

-2,848

Fed Agency: US Health & Human Services (HHS)

93.849 / E6176 / U of Cali-San Francisco 4511sc

Y

66,078

66,078

Cluster: Other Programs

Fed Agency: US Health & Human Services (HHS)

93.145 / E5458 / RUC San Fran 5219sc

Y

15,000

15,000

Pass-through entity total:78,23078,230**Regents of the University of California (Santa Cruz)**

Cluster: 1R&D

Fed Agency: Army

12.431 / A5700 / Army UC Santa Cruz S0176939

Y

142,911

142,911

Fed Agency: US National Science Foundation (NSF)

47.075 / A3469 / SBC UCSC S0182246

Y

58,660

58,660

Pass-through entity total:201,571201,571**Regents of the University of California Berkeley**

Cluster: 1R&D

Fed Agency: Air Force Office of Scientific Research (AFOSR)

12.800 / A4238 / AF Sub UCB SA5612-11559

Y

13,674

13,674

Fed Agency: Army

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

12.431 / A3525 / Army Sub UCB 00006096

Y

102,147

102,147

12.431 / A4460 / Army sub UCB SA5711-11687

Y

115,878

115,878

Fed Agency: Defense Advanced Research Projects Agency (DARPA)

12.000 / A5097 / DARPA UCB 20043236

Y

256,951

256,951

12.000 / A6194 / DARPA UCB SA4472-32446

Y

63,493

63,493

Fed Agency: Navy

12.300 / A4984 / Navy Sub UCB SA5310-11244

Y

593

593

Fed Agency: US Department of Defense (DoD)

12.114 / C8559 / UCA MARCO 2003-DT-660 PO 838817

Y

363,347

363,347

Fed Agency: US NASA

43.000 / A3644 / NASA UCB GS 2008-02771 ANTC

Y

7,670

7,670

Fed Agency: US National Science Foundation (NSF)

47.000 / A4010 / SBC TR 2008-00858 ANTC

Y

-2,773

-2,773

47.074 / A4945 / SBC UCB SA5359-11291

Y

127,904

127,904

47.074 / A8175 / SBC UCSB SA3375PG NS

Y

-1,429

-1,429

Cluster: Other Programs

Fed Agency: US Department of Education

84.000 / E6129 / National Writing Project-07-IL04

N

54,534

54,534

Pass-through entity total:1,047,45554,5341,101,989**Regional Office of Education St Clair County**

Cluster: Title I, Part A

Fed Agency: US Department of Education

84.010 / D7554 / ISBE St. Clair Co. ROE 2009-02521

N

366

366

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program ARRA****Urbana****Chicago****Springfield****All Campuses**

Pass-through entity total:366366**Rehabilitative Eng & Assistive Tech Soc of Nrth Amer (RESNA)**

Cluster: 1R&D

Fed Agency: US Department of Education

84.224 / E5689 / RESNA H224B050003

Y

8,685

8,685

Pass-through entity total:8,6858,685**Rehabilitation Institute of Chicago**

Cluster: 1R&D

Fed Agency: National Institute of Child Health & Human Development

93.000 / G7378 / Rehab Inst Rsch Corp

Y

43,608

43,608

Fed Agency: National Institutes of Health (NIH)

93.853 / E5534 / Rehab Institute 1 R01 NS053606-01A2

Y

36,499

36,499

Fed Agency: US Department of Education

84.000 / E5552 / Rehabilitation Inst CC 03 80932

Y

36,226

36,226

84.000 / E5871 / Rehabilitation Inst Reseach Corp

Y

4

4

84.133 / E5195 / RIRC 03-81019

Y

20,379

20,379

84.133 / E6044 / Rehabilitation Inst. Research Corp.

Y

24,230

24,230

84.133 / E6552 / Rehabilitation Inst Rsch Corp

Y

50,128

50,128

84.133 / E7011 / Rehab Institute - Linda Lovell

Y

521

521

Fed Agency: US Health & Human Services (HHS)

93.173 / E7617 / Ric Dc005235 01a1

Y

-207

-207

Pass-through entity total:211,388211,388

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Rensselaer Polytechnic Institute

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.041 / A4698 / SBC RENSSELAER A11739

Y

30,629

30,629

47.049 / A4550 / SBC Rensselaer A11784

Y

22,320

22,320

47.049 / A4888 / SBC RENSSELAER A11799

Y

698,741

698,741

Pass-through entity total:751,690751,690**Research Foundation for Mental Hygiene**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.866 / E8149 / R01 AG016381

Y

8,471

8,471

Pass-through entity total:8,4718,471**Research Foundation of SUNY**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.849 / E7144 / Research Foundation SUNY

Y

7,265

7,265

Fed Agency: US Department of Commerce

11.417 / A3390 / COM SUNY 42131-3-1061562

Y

10,027

10,027

Fed Agency: US Environmental Protection Agency (EPA)

66.000 / A7032 / CAL EPA 2009-NTN1-25

Y

4,836

4,836

Pass-through entity total:14,8637,26522,128

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major****Program ARRA****Urbana****Chicago****Springfield****All Campuses**

Research Triangle Institute

Cluster: 1R&D

Fed Agency: US Environmental Protection Agency (EPA)

66.000 / E5879 / Rti International 6-340-0210114

Y

29,442

29,442

Pass-through entity total:29,44229,442**Rhode Island Hospital**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.242 / E8113 / Rhode Island 701-7185

Y

177,158

177,158

Pass-through entity total:177,158177,158**Rice Univ**

Cluster: 1R&D

Fed Agency: Defense Advanced Research Projects Agency (DARPA)

12.431 / A4373 / DARPA Rice U R15834

Y

113,323

113,323

Fed Agency: US National Science Foundation (NSF)

47.070 / A4069 / SBC RICE R3A59 D & F

Y

19,207

19,207

Pass-through entity total:132,530132,530**RiverGlass Inc**

Cluster: 1R&D

Fed Agency: Office of Naval Research (ONR)

12.300 / A3900 / Navy sub MW 2008-00506 ANTC

Y

-33,496

-33,496

Pass-through entity total:-33,496-33,496

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major****Program ARRA****Urbana****Chicago****Springfield****All Campuses**

Rolling Hills Research Corporation

Cluster: 1R&D

Fed Agency: US NASA

43.000 / A3146 / NASA MB 2009-01041 ANTC

Y

20,240

20,240

Pass-through entity total:20,24020,240**Roswell Park Cancer Institute**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.399 / E6262 / Roswell Park Cancer Institute

Y

1,260

1,260

Pass-through entity total:1,2601,260**RTI International**

Cluster: 1R&D

Fed Agency: US Environmental Protection Agency (EPA)

66.000 / A3249 / EPA Sub RTI 3-312-0210826

Y

34,570

34,570

Pass-through entity total:34,57034,570**Rush University Medical Center**

Cluster: 1R&D

Fed Agency: Army

12.420 / A3184 / Army Sub Rush W81XWH-08-1-0203

Y

7,187

7,187

Fed Agency: National Institutes of Health (NIH)

93.226 / E6017 / Rush Univ Medical Center

Y

45,866

45,866

93.310 / E5453 / Rush Presbyterian St Lukes Med Ctr

Y

51,451

51,451

93.846 / E5878 / Rush University MC AR048152

Y

-6,848

-6,848

93.849 / E7365 / RP St Luke - L. Fondren 470 TOB

Y

1,574

1,574

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

93.864 / E8247 / Rush Med Hd040539-05S1

Y

-8,068

-8,068

Fed Agency: US National Science Foundation (NSF)

47.077 / E7718 / Rush Pres St Lukes - Dr. G. Bakris

Y

2,556

2,556

Pass-through entity total:7,18786,53193,718**Rutgers Univ**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.272 / E5175 / Rutgers 0000 03609

Y

28,384

28,384

Fed Agency: US Department of Agriculture (USDA)

10.206 / A3904 / AG RU 00003523 PO S1108450

Y

51,773

51,773

Fed Agency: US Health & Human Services (HHS)

93.989 / A6417 / PHS Sub Rutgers 1716

Y

65,113

65,113

Fed Agency: US National Science Foundation (NSF)

47.050 / E8142 / Rutgers 1338 PO-266086

Y

-985

-985

Pass-through entity total:116,88627,399144,285**SA Photonics**

Cluster: 1R&D

Fed Agency: Navy

12.000 / A3621 / Navy Sub SAP 7039-UI0807

Y

104,598

104,598

Pass-through entity total:104,598104,598**Sandia National Lab**

Cluster: 1R&D

Fed Agency: Sandia National Lab

81.000 / A2897 / DOE SNL 931154

Y

2,092

2,092

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

81.000 / A3129 / DOE SNL 893804	Y		60,187			60,187
81.000 / A3209 / DOE SNL 882095	Y		18,524			18,524
81.000 / A3304 / DOE SNL 864521	Y		51,287			51,287
81.000 / A3839 / DOE SNL 781560	Y		1,238			1,238
81.000 / A3862 / DOE SNL 776788	Y		63,399			63,399
81.000 / A4274 / DOE SNL 713280	Y		74,710			74,710
81.000 / A4459 / DOE SNL 692311	Y		50,408			50,408
81.000 / A4465 / DOE SNL 691728	Y		182,977			182,977
81.000 / A4517 / DOE SNL 689385	Y		141,357			141,357
81.000 / A4602 / DOE SNL 650632	Y		-1,263			-1,263
81.000 / A4606 / DOE SNL PECASE 728331	Y		19,874			19,874
81.000 / A4642 / DOE SNL 658656	Y		26,725			26,725
81.000 / A5251 / DOE SNL 541065	Y		18,944			18,944
81.000 / A5949 / DOE SNL 386663	Y		8,820			8,820
81.000 / A5970 / DOE SNL 378467	Y		-7,607			-7,607

Cluster: Other Programs

Fed Agency: Sandia National Lab

81.000 / A3379 / DOE SNL ENG FELL 8/16/08-8/15/09	N		20,265			20,265
81.000 / A4227 / DOE SNL 752262 FLLW	N		22,000			22,000

Pass-through entity total:**753,937****753,937**

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major****Program ARRA****Urbana****Chicago****Springfield****All Campuses**

Santa Fe Institute

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.074 / A5334 / SBC SF-0526747 NSF

Y

318,382

318,382

Pass-through entity total:318,382318,382**Science Applications International Corporation (SAIC)**

Cluster: 1R&D

Fed Agency: Air Force

12.000 / A3005 / AF Sub SAIC 4400165409

Y

51,648

51,648

Fed Agency: Defense Advanced Research Projects Agency (DARPA)

12.000 / A2960 / DARPA Sub SAIC 4400162820

Y

71,825

71,825

Pass-through entity total:123,473123,473**Science Museum of Minnesota**

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.076 / E4993 / SMM - NSF #06520 ISE

Y

51,387

51,387

47.076 / G6089 / Science Museum of Minnesota

Y

2,671

2,671

Pass-through entity total:54,05854,058**Scientific Software International Inc**

Cluster: 1R&D

Fed Agency: National Institute of Mental Health

93.000 / E5524 / SSI N44MH32056

Y

46,564

46,564

Pass-through entity total:46,56446,564

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Searle Pharmaceuticals

Cluster: 1R&D

Fed Agency: US Department of Commerce

11.612 / E8035 / Searle

Y

-672

-672

Pass-through entity total:-672-672**Semprius Incorporated**

Cluster: 1R&D

Fed Agency: Air Force

12.800 / A3686 / AF Sub Semprius 2008-03941

Y

5,753

5,753

Pass-through entity total:5,7535,753**Sha & Associates**

Cluster: 1R&D

Fed Agency: US Department of Energy (DOE)

81.000 / E5186 / Sha and Associates Inc

Y

24,678

24,678

Pass-through entity total:24,67824,678**Shaw Environmental Inc**

Cluster: 1R&D

Fed Agency: US Department of Defense (DoD)

12.000 / E6749 / Shaw Env Inc P.O. 104625

Y

77,291

77,291

Pass-through entity total:77,29177,291**Simbex LLC**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.866 / E6194 / Simbex

Y

1,293

1,293

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program ARRA****Urbana****Chicago****Springfield****All Campuses**

Pass-through entity total:1,2931,293**Sleepy Hollow Farm**

Cluster: 1R&D

Fed Agency: US Department of Agriculture (USDA)

10.000 / E7075 / Sleepy Hollow Farm

Y

18,140

18,140

Pass-through entity total:18,14018,140**Smartronix Inc**

Cluster: 1R&D

Fed Agency: Navy

12.300 / A5414 / Navy Smartronix SMX-05-2016-IL

Y

244,896

244,896

Pass-through entity total:244,896244,896**Smithsonian Astrophysical Observatory**

Cluster: 1R&D

Fed Agency: US NASA

43.000 / A3125 / NASA SAO G09-0114B

Y

8,023

8,023

43.000 / A3774 / NASA SAO GO8-9026X

Y

16,290

16,290

43.000 / A4026 / NASA SAO GO7-8011X

Y

2,810

2,810

43.000 / A4287 / NASA SAO GO7-8091A

Y

32,325

32,325

43.000 / A4450 / NASA SAO GO-8019X

Y

5,215

5,215

Pass-through entity total:64,66364,663

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Society of General Internal Medicine

Cluster: 1R&D

Fed Agency: Centers for Disease Control and Prevention (CDC)

93.000 / E5720 / Society of General Internal Medicin

Y

44,416

44,416

Pass-through entity total:44,41644,416**SolarBridge Technologies Inc**

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.041 / A4342 / SBC SmartSpark Energy Sys 0712498

Y

7,543

7,543

47.041 / A4417 / SBC SmartSpark Energy Sys 0712529

Y

16,513

16,513

Pass-through entity total:24,05624,056**South Dakota State University**

Cluster: 1R&D

Fed Agency: US Department of Energy (DOE)

81.079 / A3436 / DOE SDSU 3TA152

Y

89,328

89,328

81.079 / A3438 / DOE SDSU 3TA147

Y

16,007

16,007

Pass-through entity total:105,335105,335**Southern Illinois University Carbondale (SIU)**

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.041 / A4148 / SBC SIU 08-11

Y

4,892

4,892

Cluster: Other Programs

Fed Agency: US National Science Foundation (NSF)

47.000 / A3010 / SBC SIU FUA 2009-04715

N

3,521

3,521

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program ARRA****Urbana****Chicago****Springfield****All Campuses**

Pass-through entity total:8,4138,413**Southern Illinois University School of Medicine Springfield**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.173 / A3562 / PHS SUB SIU RFA-DC-08-002

Y

33,247

33,247

Pass-through entity total:33,24733,247**Southern Polytechnic State University**

Cluster: 1R&D

Fed Agency: US Department of Transportation (DOT)

20.200 / A4016 / DOT Sub SPR00-0008-00(632)

Y

11,349

11,349

Pass-through entity total:11,34911,349**Southern Research Institute**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.000 / E5909 / Southern Research Institute

Y

48,623

48,623

Pass-through entity total:48,62348,623**Space Telescope Science Institute**

Cluster: Other Programs

Fed Agency: US NASA

43.000 / A4044 / NASA HST-ED-90307.02-A

N

32,965

32,965

Pass-through entity total:32,96532,965

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Special Olympics International

Cluster: 1R&D

Fed Agency: Centers for Disease Control and Prevention (CDC)

93.000 / E6062 / Special Olympics International

Y

-11

-11

93.184 / A3166 / PHS CDC SUB SOI 2009-03382

Y

1,285

1,285

Fed Agency: National Institutes of Health (NIH)

93.184 / E5237 / Special Olympics

Y

63,827

63,827

Pass-through entity total:1,28563,81665,101**Springfield Unit District 186**

Cluster: 1R&D

Fed Agency: US Department of Education

84.287 / D8267 / ISBE SPRGFLD 186 04-115 06-07

Y

1,385

1,385

Pass-through entity total:1,3851,385**SRI International**

Cluster: 1R&D

Fed Agency: Defense Advanced Research Projects Agency (DARPA)

12.000 / A4423 / DARPA SRI 27-001253B Release 1

Y

576,837

576,837

Pass-through entity total:576,837576,837**St Louis University**

Cluster: 1R&D

Fed Agency: National Institute of Allergy & Infectious Diseases

93.000 / E7700 / St Louis U 208141-039

Y

-15,104

-15,104

Pass-through entity total:-15,104-15,104

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Stanford University

Cluster: 1R&D

Fed Agency: Army

12.630 / A4669 / Army Sub Stanford 1882430-37362-B

Y

227,520

227,520

Fed Agency: Army Research Office (ARO)

12.431 / A6914 / Army Stanford 10062400-28000-E

Y

39,099

39,099

Fed Agency: US NASA

43.000 / A4476 / NASA STF 19376500-37447-A

Y

75,277

75,277

43.000 / A4477 / NASA Stanford 19996790-37431-A

Y

52,712

52,712

Fed Agency: US National Science Foundation (NSF)

47.041 / A5391 / SBC Stanford 16045120-35022A

Y

105,169

105,169

Pass-through entity total:499,777499,777**Starfire Industries LLC**

Cluster: 1R&D

Fed Agency: Air Force Office of Scientific Research (AFOSR)

12.800 / A3975 / AF Sub Starfire 2008-00237

Y

17,713

17,713

Pass-through entity total:17,71317,713**State of Arizona**

Cluster: 1R&D

Fed Agency: National Institute of Nursing Research

93.361 / A3149 / NIH SUB UA Y502636

Y

22,624

22,624

Cluster: Other Programs

Fed Agency: United States Agency for International Development (AID)

98.000 / A8633 / AID AZ Y772861

N

203,797

203,797

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major****Program ARRA****Urbana****Chicago****Springfield****All Campuses**

Fed Agency: US National Science Foundation (NSF)

47.076 / E7206 / University Of Arizona Y410676

Y

569,959

569,959

Pass-through entity total:226,421569,959796,380**State of Georgia**

Cluster: Other Programs

Fed Agency: Centers for Disease Control and Prevention (CDC)

93.069 / E5368 / State of Georgia Dept of Human Resr

N

50,000

50,000

Pass-through entity total:50,00050,000**State of Louisiana**

Cluster: 1R&D

Fed Agency: US Health & Human Services (HHS)

93.000 / F9261 / State of Louisiana DHH

Y

5,240

5,240

Pass-through entity total:5,2405,240**State of Maine**

Cluster: 1R&D

Fed Agency: US Environmental Protection Agency (EPA)

66.000 / A8735 / CAL EPA 2009-MDN2-40

Y

40,998

40,998

66.000 / A8736 / CAL EPA 2009-NTN1-44

Y

19,832

19,832

Pass-through entity total:60,83060,830**State of Ohio**

Cluster: 1R&D

Fed Agency: US Department of Energy (DOE)

81.000 / A3840 / DOE ODOD CMDD 08-003

Y

16,519

16,519

Pass-through entity total:16,51916,519

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

State of South Carolina

Cluster: 1R&D

Fed Agency: US Environmental Protection Agency (EPA)

66.460 / A7124 / CAL EPA 2003-NTN1-49

Y

-206

-206

Pass-through entity total:-206-206**State of Utah**

Cluster: 1R&D

Fed Agency: US Bureau of Reclamation

15.000 / A3899 / INT Sub State of UT 081865

Y

12,322

12,322

Cluster: Other Programs

Fed Agency: US Environmental Protection Agency (EPA)

66.600 / B9242 / CAL - Utah DEQ MDN2-90

N

4,493

4,493

Pass-through entity total:16,81516,815**State of Wisconsin**

Cluster: 1R&D

Fed Agency: US Environmental Protection Agency (EPA)

66.000 / E7909 / St Of Wisc Dhfs- Attn W. Otto

Y

-428

-428

Pass-through entity total:-428-428**State Univ of New York - Buffalo**

Cluster: 1R&D

Fed Agency: US NASA

43.002 / E7990 / Suny Nag5-12409- PO-R174901

Y

947

947

Pass-through entity total:947947

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

State University of New York(SUNY)

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.050 / A4114 / SBC SUNY #43862

Y

74,074

74,074

47.050 / A8037 / SBC SUNY #1025099

Y

-2,222

-2,222

Pass-through entity total:71,85271,852**Sunny BioDiscovery Inc**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.866 / E5515 / Sunny BioDiscovery Inc

Y

5,836

5,836

Pass-through entity total:5,8365,836**SVT Associates Inc**

Cluster: Other Programs

Fed Agency: Army Research Office (ARO)

12.431 / A4908 / ARMY TTA SVT 2007-00548

N

10,347

10,347

Pass-through entity total:10,34710,347**Synthecon Inc**

Cluster: 1R&D

Fed Agency: National Institute of Diabetes & Digestive & Kidney Diseases

93.847 / E5549 / Synthecon Inc

Y

183,494

183,494

Pass-through entity total:183,494183,494

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Syracuse University

Cluster: 1R&D

Fed Agency: Institute of Museum & Library Services (IMLS)

45.313 / A4649 / IMLS SU 21705-01343-S01

Y

90,067

90,067

Fed Agency: US Department of Education

84.133 / A5161 / DE Sub Syracuse 21625-01300 S03

Y

188,347

188,347

Fed Agency: US Environmental Protection Agency (EPA)

66.000 / A8748 / CAL EPA 2008-MDN2-28

Y

7,675

7,675

Pass-through entity total:**286,089****286,089****TASC Inc**

Cluster: 1R&D

Fed Agency: US NASA

43.000 / E5463 / TASC Inc subcontract No 07 032S000

Y

20,000

20,000

43.000 / E5538 / TASC INC 7500026802

Y

1,371

1,371

43.000 / E5560 / Tasc Inc-Task Order 7500026802

Y

-181

-181

43.000 / E5975 / TASC Incorporated

Y

3,296

3,296

Pass-through entity total:**24,486****24,486****Teachers College**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.865 / A5364 / PHS Sub OSP 512138

Y

53,138

53,138

Pass-through entity total:**53,138****53,138**

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

TechniScan Inc

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.394 / A3889 / PHS SUB TechniScan 2006-00442-00

Y

31,522

31,522

Pass-through entity total:31,52231,522**Temple University**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.866 / E6093 / Temple U 36-0906-171

Y

6,067

6,067

Pass-through entity total:6,0676,067**Tennessee Department of Children's Services**

Cluster: Other Programs

Fed Agency: US Health & Human Services (HHS)

93.000 / B9294 / Tenn DCS GR0618491

N

90,766

90,766

Pass-through entity total:90,76690,766**Texas A&M University**

Cluster: 1R&D

Fed Agency: Air Force Office of Scientific Research (AFOSR)

12.800 / A6486 / AF TAMU 21744

Y

93,454

93,454

Fed Agency: US Department of Energy (DOE)

81.121 / E5728 / Texas A and M University A0312

Y

148,699

148,699

Fed Agency: US National Science Foundation (NSF)

47.070 / A3841 / SBC TEES 32525-39781 AE

Y

13,056

13,056

Cluster: Other Programs

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Fed Agency: US Department of Agriculture (USDA)

10.001 / A4333 / CAL AG 2008-NTN1-68 TX43

N

4,836

4,836

Fed Agency: US Environmental Protection Agency (EPA)

66.419 / B9450 / CAL-TAMU 2002NTN145

N

1

1

Pass-through entity total:111,347148,699260,046**Texas Tech University**

Cluster: 1R&D

Fed Agency: Office of Naval Research (ONR)

12.300 / A4786 / Navy Sub TTU 21C017-01

Y

3,447

3,447

Pass-through entity total:3,4473,447**The Cabrini Green Local Advisory Council**

Cluster: Other Programs

Fed Agency: Housing & Urban Development (HUD)

14.850 / F9384 / Cabrini-Green II0002

N

8

8

Pass-through entity total:88**The National Great Rivers Research and Education Center**

Cluster: 1R&D

Fed Agency: US Department of Education

84.000 / A6803 / DE Sub NGRREC Rolfe

Y

261,853

261,853

Pass-through entity total:261,853261,853**The Night Ministry**

Cluster: 1R&D

Fed Agency: US Health & Human Services (HHS)

93.000 / G6525 / The Night Ministry

Y

7,440

7,440

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program ARRA****Urbana****Chicago****Springfield****All Campuses**

Pass-through entity total:7,4407,440**The Population Council**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.865 / A3757 / PHS SUB PC 4861-4863-4865

Y

64,642

64,642

Pass-through entity total:64,64264,642**Triton Systems Inc**

Cluster: 1R&D

Fed Agency: Army

12.431 / A5000 / ARMY Sub TSI TSI-2270-06-75155

Y

-7,426

-7,426

Pass-through entity total:-7,426-7,426**Tufts University**

Cluster: 1R&D

Fed Agency: Defense Advanced Research Projects Agency (DARPA)

12.431 / A3065 / Army Sub Tufts ARM168

Y

71,069

71,069

Fed Agency: US Health & Human Services (HHS)

93.846 / E5590 / Tufts Univ HS2209

Y

129,767

129,767

Pass-through entity total:71,069129,767200,836**UES Inc**

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.041 / A3340 / SBC UES S-856-000-001

Y

50,476

50,476

47.041 / A4415 / SBC UES #P813-000-001

Y

4,887

4,887

Cluster: Other Programs

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Fed Agency: US National Science Foundation (NSF)

47.041 / A4273 / SBC UES PO#813-000-001

N

-5,409

-5,409

Pass-through entity total:49,95449,954**United Egg Producers**

Cluster: 1R&D

Fed Agency: US Department of Agriculture (USDA)

10.912 / A3284 / AG Sub NRCS 69-3A75-7-91

Y

10,152

10,152

Pass-through entity total:10,15210,152**United Tribes Technical College**

Cluster: 1R&D

Fed Agency: US Department of Agriculture (USDA)

10.227 / A2983 / AG Sub UTTC 2008-38424-19100

Y

2,625

2,625

Fed Agency: USDA Agricultural Research Service (ARS)

10.000 / A5416 / AG UTTC MAL

Y

5,910

5,910

Pass-through entity total:8,5358,535**Univ of Texas Hlth Science Ctr @ SA**

Cluster: 1R&D

Fed Agency: National Institute of Neurological Disorders & Stroke

93.853 / E6549 / U TX Hlth Sci Ctr SA 121279 120243

Y

-820

-820

Pass-through entity total:-820-820**Universal Technology Corporation**

Cluster: 1R&D

Fed Agency: Air Force

12.800 / A3540 / AF Sub UTC 08-S587-032-01-C1

Y

61,301

61,301

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program ARRA****Urbana****Chicago****Springfield****All Campuses**

Pass-through entity total: 61,301 61,301

Universities Space Research Association (USRA)

Cluster: 1R&D

Fed Agency: US NASA

43.000 / E5556 / Univ Space Res Ass 2145-03

Y

10,407

10,407

Pass-through entity total: 10,407 10,407

University Corporation for Atmospheric Research

Cluster: 1R&D

Fed Agency: US Department of Commerce

11.467 / A3290 / COM UCAR S09-71437

Y

9,097

9,097

Pass-through entity total: 9,097 9,097

University of Alabama @ Birmingham

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.853 / A4313 / PHS Sub UAB 001

Y

-4,244

-4,244

Pass-through entity total: -4,244 -4,244

University of Alaska - Fairbanks

Cluster: 1R&D

Fed Agency: US Department of Agriculture (USDA)

10.200 / A4439 / AG UAF 07-0080 PO FP703713

Y

-4,964

-4,964

10.200 / A4536 / AG UAF 07-0078 PO FP703719

Y

33,627

33,627

Fed Agency: US National Science Foundation (NSF)

47.078 / A4675 / SBC UAF 07-0026

Y

-1,400

-1,400

Pass-through entity total: 27,263 27,263

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

University of Alaska - Southeast

Cluster: 1R&D

Fed Agency: US Department of Agriculture (USDA)

10.652 / A6467 / CAL AG 2007-NTN1-62

Y

507

507

Pass-through entity total:507507**University of Arkansas**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.393 / E6718 / U of Arkansas Aria 31645 14572

Y

38,499

38,499

Pass-through entity total:38,49938,499**University of California - Davis**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.307 / E5942 / U Of California Davis RA-007573-UIC

Y

-10,006

-10,006

93.853 / E6474 / U Of Calif 11-981566-UI

Y

45,900

45,900

Fed Agency: US Department of Agriculture (USDA)

10.000 / E5101 / UC Davis Sub07-000687-04

Y

4,467

4,467

Fed Agency: US Department of Energy (DOE)

81.000 / E6331 / U of Cal-Davis 015981-01UIC

Y

132,359

132,359

81.049 / A5087 / DOE UCD SUB 0600182

Y

60,563

60,563

Pass-through entity total:60,563172,720233,283

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

University of California - Irvine

Cluster: 1R&D

Fed Agency: National Cancer Institute

93.000 / E6174 / U Of California Irvine 2005-1618

Y

25,152

25,152

Fed Agency: US National Science Foundation (NSF)

47.070 / A6699 / SBC UC-IRVINE #2003-1353

Y

20,056

20,056

Pass-through entity total:20,05625,15245,208**University of California - Santa Barbara**

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.070 / A6057 / SBC UCSB-KK5135

Y

-9,893

-9,893

Cluster: Other Programs

Fed Agency: US National Science Foundation (NSF)

47.041 / A3289 / SBC UCSB KK9121

N

22,243

22,243

Pass-through entity total:12,35012,350**University of Central Florida**

Cluster: 1R&D

Fed Agency: Office of Naval Research (ONR)

12.300 / A5044 / Navy SUB UCF 92068

Y

9,807

9,807

Fed Agency: US Department of Energy (DOE)

81.000 / A4953 / DOE UCF 177097 Acct:20126036

Y

112,912

112,912

Pass-through entity total:122,719122,719

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
University of Chicago								
Cluster: 1R&D								
<i>Fed Agency: Agency for Healthcare Research and Quality (AHRQ)</i>								
		93.226 / E5355 / University of Chicago 35712 2	Y			120,946		120,946
		93.226 / E5356 / UofC 35712 1	Y			50,606		50,606
<i>Fed Agency: Centers for Disease Control and Prevention (CDC)</i>								
		93.848 / E7133 / UC 29294 Dr. Meltzer - Medicine	Y			60,282		60,282
<i>Fed Agency: National Institute of Allergy & Infectious Diseases</i>								
		93.000 / A5990 / PHS Sub TRACS ID 27248	Y		333,299			333,299
		93.855 / A3048 / NIH SUB UC 39778-5-30988	Y		7,569			7,569
		93.856 / E5576 / U of Chicago 26020 5 30877	Y			149,778		149,778
<i>Fed Agency: National Institute of General Medical Sciences</i>								
		93.859 / E5159 / University of Chicago #38083	Y			130,182		130,182
		93.859 / E5533 / UC 35487 Dr. Raphael Lee	Y			44,267		44,267
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.000 / A3352 / PHS Sub UC HHSN266200400042C	Y		60,405			60,405
		93.000 / E5122 / Univ of Chicago	Y			66,102		66,102
		93.173 / A4199 / PHS SUB U OF CHICAGO 32859	Y		26,232			26,232
		93.173 / E7913 / U Of Chic 24510	Y			57,971		57,971
		93.279 / E6467 / U of Chicago TRACS-29375	Y			38,255		38,255
		93.286 / E7005 / U of C 31842 - Radiology	Y			-807		-807
		93.396 / A3280 / NIH SUB UC 36984 2	Y		188,473			188,473

Pass-Through Entity

Cluster

Fed Agency

CFDA Number / UI Grant Code / Award Title

Major Program

ARRA

Urbana

Chicago

Springfield

All Campuses

93.396 / A5001 / PHS Sub UC 31042	Y		11,810			11,810
93.837 / E7004 / U of C 31926 - Radiology	Y			-540		-540
93.849 / E6237 / Univ of Chicago TRACS 30004	Y			110,850		110,850
93.856 / A3809 / PHS SUB Chicago 26020/5-30876	Y		74,327			74,327
93.856 / E5088 / Univ Chi 39992 A	Y			26,656		26,656
93.859 / E6758 / U Of C 29640 Dr. M. Ratain	Y			37,452		37,452
<i>Fed Agency: US Department of Agriculture (USDA)</i>						
10.206 / A5040 / AG UOC 31657-A	Y		21,540			21,540
10.250 / E5294 / Univ of Chicago 35843F	Y			17,950		17,950
<i>Fed Agency: US Health & Human Services (HHS)</i>						
93.853 / E6765 / Univ of Chicago TRACS 28964	Y			772		772
<i>Fed Agency: US National Science Foundation (NSF)</i>						
47.000 / A2948 / SBC JT 2009-04314 ANTC	Y		15,398			15,398
47.000 / E6126 / University of Chicago	Y			24,183		24,183
47.070 / A5752 / SBC CHICAGO 30085-I	Y		2,618,645			2,618,645
47.076 / E6569 / University of Chicago 30613	Y			44,880		44,880
47.078 / A3943 / SBC UCHI 34252 E	Y		120,214			120,214
47.080 / A3976 / SBC UCHI 37130 C	Y		361,335			361,335

Cluster: Other Programs

Fed Agency: National Cancer Institute

93.395 / E7439 / U Of Chgo U10ca31946	N			97,053		97,053
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Fed Agency: US National Science Foundation (NSF)

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

47.070 / E5578 / University of Chicago

N

4,708

4,708

Pass-through entity total:3,839,2471,081,5464,920,793**University of Cincinnati**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.837 / E5632 / PO L09-4500039350 CS005028 S1005484

Y

36,264

36,264

93.866 / E6746 / U Cinci SPOTS17386 SAP1001464

Y

8,164

8,164

93.867 / A3928 / PHS SUB Cincinnati 005006-1005985

Y

79,166

79,166

Fed Agency: US National Science Foundation (NSF)

47.041 / A4585 / SBC UC SRS#25571 SAP#1004711

Y

9,467

9,467

47.076 / E5712 / Univ of Cinci COEUS No 000296

Y

1,668

1,668

Cluster: Other Programs

Fed Agency: National Institute of Environmental & Health Sciences

93.114 / A4246 / PHS SUB UC #001166/#1005526

N

22,503

22,503

Fed Agency: National Institutes of Health (NIH)

93.142 / A3560 / PHS SUB UC #001166/1005526-Year 4

N

128,629

128,629

93.142 / A7513 / PHS Sub Cincinnati Pc01a-02092

N

-41

-41

Pass-through entity total:239,72446,096285,820**University of Colorado**

Cluster: 1R&D

Fed Agency: Army

12.630 / A3600 / Army Sub UCB 154-3568 68816

Y

76,523

76,523

Fed Agency: National Institute of Allergy & Infectious Diseases

Pass-Through Entity

Cluster			Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
Fed Agency								
CFDA Number / UI Grant Code / Award Title								
93.855 / E5292 / UCDC HSC FY09 491 001 UIL YR01			Y			108,408		108,408
<i>Fed Agency: National Institute of Mental Health</i>								
93.242 / A3738 / PHS SUB UCB 154-4626			Y		172,369			172,369
<i>Fed Agency: National Institutes of Health (NIH)</i>								
93.631 / E6916 / U of Col SPO-49400			Y			7,524		7,524
93.837 / E6152 / FY08.356.001_UIC UCDHSC			Y			113,699		113,699
<i>Fed Agency: US Department of Education</i>								
84.133 / E5483 / H133G070163-08			Y			27,310		27,310
84.133 / E7066 / FY08.050.010 H133E040019-08			Y			21,893		21,893
<i>Fed Agency: US Health & Human Services (HHS)</i>								
93.631 / E5707 / UCD FY08 257 002			Y			71,165		71,165
<i>Fed Agency: US National Science Foundation (NSF)</i>								
47.050 / A8843 / CAL NSF 2009-NTN1-01			Y		2,918			2,918
47.074 / A3089 / CAL NSF 2010-NTN1-01			Y		2,918			2,918
47.074 / A5252 / CAL NSF 2007-NTN1-66			Y		5,836			5,836
<u>Pass-through entity total:</u>					<u>260,564</u>	<u>349,999</u>		<u>610,563</u>
University of Connecticut								
Cluster: 1R&D								
<i>Fed Agency: US Department of Education</i>								
84.305 / E5422 / Univ of Conn R305A080622 FRS 524833			Y			51,849		51,849
<i>Fed Agency: US National Science Foundation (NSF)</i>								
47.074 / E5979 / University of Connecticut			Y			23,461		23,461
<u>Pass-through entity total:</u>						<u>75,310</u>		<u>75,310</u>

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

University of Florida

Cluster: 1R&D

Fed Agency: Air Force

12.000 / A2991 / AF Sub UF-EIES-0912005-UIL

Y

52,576

52,576

Pass-through entity total:52,57652,576**University of Florida Research Foundation Inc**

Cluster: 1R&D

Fed Agency: Air Force

12.800 / A3702 / AF Sub UF-EIES-0812005-UIL

Y

143,685

143,685

Fed Agency: USDA Agricultural Research Service (ARS)

10.206 / A5596 / AG UF IFAS 00056584

Y

4,051

4,051

Cluster: Other Programs

Fed Agency: US Department of Agriculture (USDA)

10.500 / A3067 / AG UFL 00078140

Y

5,125

5,125

Pass-through entity total:152,861152,861**University of Georgia**

Cluster: 1R&D

Fed Agency: US Department of Agriculture (USDA)

10.000 / A3133 / CAL AG 2009-NTN1-06

Y

4,464

4,464

10.206 / A3120 / AG Sub UGA RC 293-359/4689568

Y

1,628

1,628

10.206 / A3370 / AG UGA RC293-502/3843828

Y

31,921

31,921

Fed Agency: US National Science Foundation (NSF)

47.000 / A6528 / CAL NSF 2007-NTN1-58A

Y

2,918

2,918

Pass-through entity total:40,93140,931

Pass-Through Entity

Cluster

Fed Agency

CFDA Number / UI Grant Code / Award Title

Major Program

ARRA

Urbana

Chicago

Springfield

All Campuses

University of Idaho

Cluster: 1R&D

Fed Agency: US Department of Agriculture (USDA)

10.000 / A3931 / AG UIDAHO P0016078

Y

12,301

12,301

Pass-through entity total:

12,301

12,301

University of Iowa

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.113 / E6361 / Univ of Iowa 1000640583

Y

31,383

31,383

93.143 / A3654 / PHS SUB Iowa PO# 1000630415

Y

-828

-828

93.286 / E7919 / U Of Ia 4000503497

Y

153

153

93.859 / A7567 / PHS Sub U OF IOWA #2050

Y

72,449

72,449

Fed Agency: US Health & Human Services (HHS)

93.286 / E5930 / U of IA PO1000603846

Y

75,747

75,747

Cluster: Other Programs

Fed Agency: Centers for Disease Control and Prevention (CDC)

93.262 / A3319 / PHS SUB IOWA W000088826

N

24,731

24,731

Fed Agency: US Department of Homeland Security(USDHS)

83.516 / A3567 / DHS FEMA IA 2008-06646

N

7,974

7,974

Pass-through entity total:

104,326

107,283

211,609

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

University of Kansas

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.865 / E5653 / UK QF841020 S Geiger MSN1039

Y

12,158

12,158

Fed Agency: US National Science Foundation (NSF)

47.079 / E5657 / U of KS FY2008-023

Y

67,402

67,402

Cluster: Other Programs

Fed Agency: USDA Natural Resources Conservation Service (NRCS)

10.000 / A3494 / AG UKS FY2008-091

N

2,500

2,500

Pass-through entity total:2,50079,56082,060**University of Kentucky**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.000 / A3020 / NIH SUB UK 3048105303-09-247

Y

9,185

9,185

Fed Agency: US Department of Defense (DoD)

12.420 / E6067 / University of Kentucky Research Fou

Y

12,365

12,365

Fed Agency: US Department of Education

84.324 / A5840 / DE Sub UKRF 4-68504-05-400

Y

72,236

72,236

Fed Agency: US National Science Foundation (NSF)

47.070 / A6033 / SBC UKRF 4-68409-05-213

Y

96,204

96,204

47.074 / A3977 / SBC UKY 3018103869-08-102

Y

151

151

Fed Agency: USDA Coop State Rsrch Educ & Ext Serv (CSREES)

10.206 / A3297 / AG UKRF 3048074600-09-215

Y

2,299

2,299

Cluster: Other Programs

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Fed Agency: USDA Coop State Rsrch Educ & Ext Serv (CSREES)

10.500 / A3310 / AG UKRF 3018104479-09-027

Y

2,500

2,500

Pass-through entity total:182,57512,365194,940**University of Malawi**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.213 / E7994 / U Of Malawi

Y

219,305

219,305

Pass-through entity total:219,305219,305**University of Maryland**

Cluster: 1R&D

Fed Agency: Air Force

12.630 / A3457 / AF Sub MD 0000005310

Y

98,678

98,678

12.630 / A9128 / AF MU Z836702

Y

1,251

1,251

Fed Agency: Air Force Office of Scientific Research (AFOSR)

12.800 / A2986 / AF Sub UMD Z806002

Y

895

895

Fed Agency: Army Research Office (ARO)

12.431 / A4316 / Army Sub U MD Z848402

Y

433,871

433,871

Fed Agency: Centers for Disease Control and Prevention (CDC)

93.262 / E7201 / U Of Maryland Baltimore S01888

Y

-880

-880

Fed Agency: National Institute of Mental Health

93.242 / E7430 / Maryland Med Rsch Inst S00000381

Y

-11,788

-11,788

Fed Agency: National Institutes of Health (NIH)

93.213 / E5163 / Univ of Maryland PO#SR00000661

Y

46,482

46,482

93.213 / E6055 / Uof MD PO - SR00000028

Y

1,929

1,929

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

93.213 / E6676 / U Of MaryInd 5P01 AT002605-04

Y

62,411

62,411

93.242 / E5236 / U of MD SR00000476

Y

272,313

272,313

93.855 / E6383 / U Of Maryland S02178

Y

52,257

52,257

Fed Agency: US Health & Human Services (HHS)

93.213 / E5567 / University of Maryland

Y

14,666

14,666

Fed Agency: US NASA

43.000 / A4091 / NASA Maryland Z634015

Y

77,604

77,604

Fed Agency: USDA Coop State Rsrch Educ & Ext Serv (CSREES)

10.000 / A4372 / AG UMES 520536

Y

22,302

22,302

Pass-through entity total:**634,601****437,390****1,071,991****University of Massachusetts Medical School**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.172 / A3130 / NIH SUB UMAW 6103580/RFS900068

Y

20,847

20,847

Cluster: Other Programs

Fed Agency: National Institutes of Health (NIH)

93.000 / A3154 / NIH SUB TTA UMMS 2009-03071

N

2,026

2,026

Pass-through entity total:**22,873****22,873****University of Miami**

Cluster: 1R&D

Fed Agency: National Institute of Allergy & Infectious Diseases

93.855 / E5497 / Univ of Miami 66378R PO M131391

Y

144,978

144,978

Fed Agency: National Institutes of Health (NIH)

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

93.847 / E5566 / U of Miami 66363X

Y

829,018

829,018

93.853 / A3449 / PHS UM SUB C0800137-66472G

Y

138,495

138,495

93.855 / E6381 / University of Miami M799649

Y

-58,580

-58,580

93.855 / E8096 / U Of Miami PO M781533

Y

-42,559

-42,559

Pass-through entity total:138,495872,8571,011,352**University of Michigan**

Cluster: 1R&D

Fed Agency: Army Research Office (ARO)

12.431 / A5380 / Army Sub U of MI F014252

Y

104,655

104,655

Fed Agency: Defense Advanced Research Projects Agency (DARPA)

12.000 / A4221 / DARPA UM 3000750122

Y

-7,484

-7,484

Fed Agency: National Institute on Drug Abuse

93.279 / E5464 / U of MI 3000918977

Y

13,171

13,171

Fed Agency: National Institutes of Health (NIH)

93.242 / E5059 / Univ of Michigan 3001166656

Y

9,369

9,369

93.242 / E6735 / Univ of Michigan F014991

Y

-5,242

-5,242

93.839 / A4020 / PHS Sub Mich 3000786409

Y

54,834

54,834

93.849 / E5137 / Univ of Michigan 3001131301

Y

12,810

12,810

Fed Agency: US Department of Energy (DOE)

81.049 / A3306 / DOE SUB UM 3001075989

Y

17,031

17,031

81.049 / A4647 / DOE UM 3000611280

Y

29,471

29,471

Fed Agency: US National Science Foundation (NSF)

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

47.041 / A5347 / SBC MI - F013925 NSF

Y

72,226

72,226

Cluster: Other Programs

Fed Agency: US National Science Foundation (NSF)

47.050 / A5385 / SBC MICH F014765

N

69,616

69,616

Pass-through entity total:340,34930,108370,457**University of Minnesota**

Cluster: 1R&D

Fed Agency: Centers for Disease Control and Prevention (CDC)

93.061 / E5307 / UMinn P0000725701

Y

218,308

218,308

Fed Agency: National Institutes of Health (NIH)

93.837 / E5709 / Univ of Minnesota Q8446057101

Y

-27

-27

Fed Agency: Navy

12.300 / E6967 / U of Minnesota Q5306652101

Y

-2,906

-2,906

Fed Agency: Office of Naval Research (ONR)

12.300 / E5530 / U of MN A530680101

Y

52,266

52,266

Fed Agency: US Department of Agriculture (USDA)

10.000 / A4082 / AG UMN M6706470101

Y

64,859

64,859

10.000 / A4986 / AG UMN Q6706392373

Y

-2,230

-2,230

10.215 / A3292 / AG U of Minn H408626303

Y

4,093

4,093

10.215 / A3293 / AG UM H408626304

Y

5,165

5,165

10.215 / A3880 / AG UMN Q4089053118

Y

52,181

52,181

10.302 / A8741 / AG UM H4086181201

Y

-1,304

-1,304

Fed Agency: US NASA

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

43.000 / A3781 / NASA UMN X5146296101

Y

145,141

145,141

Fed Agency: US National Science Foundation (NSF)

47.041 / A4011 / SBC MINN T5306755502 REU

Y

18,651

18,651

47.041 / A4998 / SBC MINN T5306692501

Y

466,730

466,730

Fed Agency: USDA Coop State Rsrch Educ & Ext Serv (CSREES)

10.206 / A5275 / AG UM Q6706392212

Y

-10,749

-10,749

10.215 / A3968 / AG MINN Q4089053112

Y

3,142

3,142

Cluster: Other Programs

Fed Agency: National Institutes of Health (NIH)

93.630 / E7091 / U Of Minnesota M3039148201

N

-9,075

-9,075

Fed Agency: US Department of Agriculture (USDA)

10.500 / A2955 / AG Sub UMN H408906008

Y

3,038

3,038

10.500 / A3689 / AG UMN H408904313

Y

8,408

8,408

10.500 / A4288 / AG UMN Q4098043401

Y

27,343

27,343

Pass-through entity total:784,468258,5661,043,034**University of Mississippi**

Cluster: Other Programs

Fed Agency: US Department of Commerce

11.000 / A3041 / COM UM 09-02-054

N

4,190

4,190

Pass-through entity total:4,1904,190

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major****Program ARRA****Urbana****Chicago****Springfield****All Campuses**

University of Missouri

Cluster: 1R&D

Fed Agency: Army

12.431 / A6062 / Army UM C00005071-3

Y

-4,264

-4,264

Fed Agency: US Department of Agriculture (USDA)

10.206 / A4753 / AG UOM E00011511-1

Y

15,562

15,562

Fed Agency: US National Science Foundation (NSF)

47.074 / A6228 / SBC MO C00005034-2

Y

54,421

54,421

Cluster: Other Programs

Fed Agency: US Department of Labor (DOL)

17.261 / A4335 / DOL UM C00016292-2

N

348

348

Pass-through entity total:66,06766,067**University of Missouri - Kansas City**

Cluster: 1R&D

Fed Agency: US Health & Human Services (HHS)

93.632 / E5152 / U of Missouri 16136 00022084

Y

57,102

57,102

Pass-through entity total:57,10257,102**University of Missouri - St Louis**

Cluster: 1R&D

Fed Agency: US Department of Defense (DoD)

12.431 / E7126 / U of Missouri W911NF-04-10383

Y

18,434

18,434

Fed Agency: US National Science Foundation (NSF)

47.074 / A7912 / SBC MO#SG000903 NSF

Y

1,064

1,064

Pass-through entity total:1,06418,43419,498

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

University of Nebraska - Lincoln

Cluster: 1R&D

Fed Agency: US Department of Agriculture (USDA)

10.200 / A3161 / AG UNL 25-6231-0159-010

Y

24,732

24,732

10.500 / A4131 / AG NEB 25-6324-0053-312

Y

205

205

Fed Agency: US Dept of Commerce NOAA

11.431 / A3287 / COM UNL 25-6238-0409-002

Y

20,094

20,094

Fed Agency: USDA Coop State Rsrch Educ & Ext Serv (CSREES)

10.500 / A4276 / AG UN 25-6324-0053-300

Y

966

966

10.500 / A4993 / AG UNE 25-6309-0037-011

Y

7,413

7,413

Cluster: Other Programs

Fed Agency: US Department of Agriculture (USDA)

10.000 / A5653 / AG UN 25-6309-0030-013

N

3,766

3,766

10.500 / A3692 / AG UNL 25-6365-0023-110

Y

13,268

13,268

10.500 / A4132 / AG NEB 25-6324-0053-311

Y

3,491

3,491

Fed Agency: USDA Coop State Rsrch Educ & Ext Serv (CSREES)

10.500 / A3261 / AG UNE Lincoln 25-6324-0081-02

Y

16,839

16,839

10.500 / A4538 / AG NEB 25-6365-0020-149

Y

319

319

Pass-through entity total:**91,093****91,093****University of Nevada - Reno**

Cluster: 1R&D

Fed Agency: US Environmental Protection Agency (EPA)

66.500 / A6758 / CAL EPA 2004-MDN2-52

Y

-2,800

-2,800

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Fed Agency: US National Science Foundation (NSF)

47.041 / A5563 / SBC UNR-06-29

Y

70,433

70,433

Cluster: Other Programs

Fed Agency: US National Science Foundation (NSF)

47.000 / A3301 / SBC U Nev 2009-01098 TTA

N

34,708

34,708

Pass-through entity total:102,341102,341**University of Nevada Las Vegas**

Cluster: 1R&D

Fed Agency: Army

12.431 / A3679 / Army Sub UNLV 08-672L-A-00

Y

195,618

195,618

Pass-through entity total:195,618195,618**University of New Hampshire**

Cluster: 1R&D

Fed Agency: US Dept of Commerce NOAA

11.419 / A4057 / COM NOAA NH 08-049

Y

183,900

28,278

212,178

11.419 / A4239 / COM UNH 08-054

Y

183,404

183,404

Pass-through entity total:367,30428,278395,582**University of New Mexico**

Cluster: 1R&D

Fed Agency: Army

12.431 / A3192 / Army Sub UNM 456258-874A

Y

43,720

43,720

Fed Agency: Defense Advanced Research Projects Agency (DARPA)

12.000 / A6163 / DARPA UNM 433143-874A

Y

107,359

107,359

Pass-through entity total:151,079151,079

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

University of North Carolina

Cluster: 1R&D

Fed Agency: National Institute of Diabetes & Digestive & Kidney Diseases

93.848 / E5158 / UNC 5-51149

Y

68,734

68,734

Fed Agency: National Institutes of Health (NIH)

93.393 / E6727 / UNC 5-33824 - Carolyn Cooke

Y

45,888

45,888

93.399 / E5400 / Univ of North Carolina C H 551302

Y

29,104

29,104

93.399 / E5868 / UONC at Chapel Hill 5 50354

Y

8,292

8,292

93.848 / E7823 / U -North Carolina 5-52119

Y

-958

-958

93.853 / E5351 / Univ of North Carolina NS 42167

Y

20,344

20,344

93.855 / E6494 / U Of NC 5-33976

Y

42,501

42,501

93.859 / E5936 / NIH 5 34841

Y

167,734

167,734

93.866 / E5200 / UNC Charlotte 2975-08-0163

Y

7,906

7,906

Pass-through entity total:389,545389,545**University of Notre Dame**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.856 / E7416 / U Of Notre Dame 200652

Y

9,720

9,720

Fed Agency: US Department of Energy (DOE)

81.049 / A3933 / DOE ND 201242

Y

254,269

254,269

81.049 / A4525 / DOE ND 201138

Y

33,958

33,958

Fed Agency: US National Science Foundation (NSF)

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

11.609 / A3613 / COM UND 2008-NE-1806

Y

67,737

67,737

47.049 / E5457 / U of Notre Dame PHY 0715396

Y

9,349

9,349

47.049 / E6391 / Univ of Notre Dame

Y

-4

-4

Cluster: Other Programs

Fed Agency: Army

12.000 / A3220 / Army FUA ND P1498151

N

7,863

7,863

Fed Agency: US National Science Foundation (NSF)

47.000 / A3282 / SBC ND FUA 2009-00985

N

2,381

2,381

Pass-through entity total:366,20819,065385,273**University of Oregon**

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.080 / A4219 / SBC Univ of Oregon #207401A

Y

154,935

154,935

Pass-through entity total:154,935154,935**University of Ottawa**

Cluster: 1R&D

Fed Agency: US Department of Education

84.133 / E5005 / University of Ottawa

Y

12,495

12,495

Pass-through entity total:12,49512,495**University of Parma**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.838 / E7916 / U Of Parma R01HI72323

Y

-64,641

-64,641

Pass-through entity total:-64,641-64,641

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

University of Pennsylvania

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.849 / E6430 / U of Pennsylvania 5-45383-B

Y

-275

-275

93.849 / E6516 / U Of Penn 5-44131

Y

23,593

23,593

Fed Agency: US Department of Education

84.133 / E7377 / UP 5-41786-D - PO1342338

Y

74

74

Pass-through entity total:23,39223,392**University of Pittsburgh**

Cluster: 1R&D

Fed Agency: National Institute of Allergy & Infectious Diseases

93.856 / A6172 / PHS Sub UP 108634-2

Y

204,240

204,240

Fed Agency: National Institutes of Health (NIH)

93.000 / A2964 / NIH SUB UP 2008-06866 ANTC

Y

8,087

8,087

93.837 / E6458 / U Of Pittsburgh 107012-2

Y

318

318

93.853 / A4656 / PHS Sub 111863-1

Y

31

31

Pass-through entity total:212,358318212,676**University of Rochester**

Cluster: 1R&D

Fed Agency: Air Force

12.630 / A7107 / AF UR 412332-G

Y

40,638

40,638

Pass-through entity total:40,63840,638

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>University of South Carolina</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.135 / E6074 / U of S.Carolina PO91858 11570-FA80		Y		18,832		18,832
<i>Fed Agency: US Environmental Protection Agency (EPA)</i>								
		66.460 / A7125 / CAL EPA 2008-NTN1-48		Y	3,579			3,579
					<u>3,579</u>	<u>18,832</u>		<u>22,411</u>
<u>University of Tennessee</u>								
Cluster: 1R&D								
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.000 / A3622 / SBC UTenn OR6280-001.05		Y	81,240			81,240
					<u>81,240</u>			<u>81,240</u>
<u>University of Texas - Austin</u>								
Cluster: 1R&D								
<i>Fed Agency: Air Force Office of Scientific Research (AFOSR)</i>								
		12.800 / A3312 / AF Sub UofTX UTA08-815		Y	66,264			66,264
<i>Fed Agency: US Department of Commerce</i>								
		11.000 / A3611 / COM NIST UTA08-596		Y	50,640			50,640
					<u>116,904</u>			<u>116,904</u>
<u>University of Texas - Dallas</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.853 / E7921 / U Of Tx Gmo 040908		Y		29		29
		93.866 / A4192 / PHS SUB UT-DALLAS SC 08-14		Y	68,164			68,164

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major****Program ARRA****Urbana****Chicago****Springfield****All Campuses**

93.866 / A4193 / PHS SUB UT-DALLAS SC 08-05

Y

57,150

57,150

Pass-through entity total:125,31429125,343**University of Texas - Galveston**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.855 / A3617 / PHS Sub UTMB 08-059

Y

171,648

171,648

Pass-through entity total:171,648171,648**University of Texas @ Houston**

Cluster: 1R&D

Fed Agency: National Cancer Institute

93.393 / E5623 / U of TX 21686/98412593

Y

170,322

170,322

Fed Agency: National Institutes of Health (NIH)

93.393 / E5259 / UT Ander Cancer Ctr 24873 98412593

Y

52,112

52,112

93.393 / E6462 / UT - PO20884-01-2000

Y

7,616

7,616

93.856 / A4616 / PHS Sub Blanke 0004024C

Y

-76,862

-76,862

93.859 / A6180 / PHS Sub UT 004583

Y

3,447

3,447

93.867 / E8310 / U Of TX 0004359

Y

12,093

12,093

Pass-through entity total:-73,415242,143168,728**University of Texas MD Anderson Cancer Ctr**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.859 / A3233 / PHS SUB UT MDACC 21418-98010450

Y

102,633

102,633

Pass-through entity total:102,633102,633

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

University of the State of New York

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.074 / A3877 / SBC USNY RR Movebank 02

Y

33,670

33,670

Pass-through entity total:33,67033,670**University of Toledo**

Cluster: 1R&D

Fed Agency: Air Force Office of Scientific Research (AFOSR)

12.000 / A3258 / AF Sub UT 2007-05425

Y

67,307

67,307

Pass-through entity total:67,30767,307**University of Utah**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.399 / E5568 / University of Utah 10002663-01

Y

21,180

21,180

93.856 / A3693 / PHS SUB UTAH 10004040

Y

39,514

39,514

Fed Agency: US National Science Foundation (NSF)

47.041 / A2893 / SBC UTAH 10010392-01

Y

24,308

24,308

47.074 / A8093 / SBC UTAH #2103019

Y

1

1

Pass-through entity total:63,82321,18085,003**University of Virginia**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.000 / A3552 / PHS SUB UVA GC11704-130643

Y

80,384

80,384

93.000 / A4248 / PHS SUB VA GC11572-128507

Y

414

414

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

93.000 / A4792 / PHS Sub UV GC11451.126463

Y

-313

-313

Fed Agency: Office of Naval Research (ONR)

12.300 / A4383 / Navy Sub U VA GG10919-127973

Y

278,794

278,794

Fed Agency: US Health & Human Services (HHS)

93.839 / E7615 / U Of Va Gc10941-119529

Y

1,587

1,587

Pass-through entity total:**359,279****1,587****360,866****University of Washington**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.865 / A3492 / PHS SUB UW 557108

Y

177,495

177,495

93.865 / A3825 / PHS SUB UWA 447817

Y

57,438

57,438

Fed Agency: US NASA

43.000 / A3766 / NASA UW 485171

Y

92,800

92,800

Fed Agency: US National Science Foundation (NSF)

47.041 / A6019 / SBC U WASH -958919

Y

54,860

54,860

47.049 / A4378 / SBC UW 431153

Y

355,295

355,295

47.074 / A6671 / SBC U OF WASH 763189

Y

-76

-76

47.076 / E5213 / Univ of Washington 611721

Y

18,446

18,446

Pass-through entity total:**737,812****18,446****756,258****University of Waterloo**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.000 / E6522 / Univ of Waterloo

Y

5

5

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major****Program ARRA****Urbana****Chicago****Springfield****All Campuses**

93.000 / E6877 / University Of Waterloo

Y

4,348

4,348

Pass-through entity total:4,3534,353**University of Wisconsin - Extension**

Cluster: Other Programs

Fed Agency: USDA Agricultural Research Service (ARS)

10.303 / A5914 / AG UW 000H442

N

19,990

19,990

Pass-through entity total:19,99019,990**University of Wisconsin - Madison**

Cluster: 1R&D

Fed Agency: Air Force Office of Scientific Research (AFOSR)

12.800 / A3314 / AF Sub UW 067K594

Y

74,173

74,173

Fed Agency: National Institute of Child Health & Human Development

93.865 / A4632 / PHS Sub UW X425261

Y

2,863

2,863

Fed Agency: National Institute on Aging

93.866 / E6985 / U of Wisconsin Survey Ctr

Y

10,766

10,766

Fed Agency: National Institutes of Health (NIH)

93.837 / E6989 / U Of Wisconsin-Madison 004H782

Y

-4,414

-4,414

93.867 / A3984 / PHS SUB UW 055K753

Y

88,637

88,637

Fed Agency: US Department of Energy (DOE)

81.049 / A3751 / DOE UW 105K545

Y

163,962

163,962

Fed Agency: US Department of Transportation (DOT)

20.000 / A4073 / DOT sub U of WI 353H990

Y

82,331

82,331

20.000 / A4644 / DOT Sub Univ WI 296H984

Y

19,853

19,853

Pass-Through Entity

Cluster			Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
Fed Agency								
CFDA Number / UI Grant Code / Award Title								
20.000 / E5171 / Univ of Wisc 086K004			Y			16,523		16,523
20.000 / G6771 / U of Wisc Mad 995B702			Y			150,192		150,192
20.700 / E5649 / Midwest Regional Univ. Trans Ctr.			Y			42,722		42,722
<i>Fed Agency: US Health & Human Services (HHS)</i>								
93.279 / E5785 / X282693 UW Madison			Y			26,944		26,944
<i>Fed Agency: US National Science Foundation (NSF)</i>								
47.041 / A5421 / SBC WISC L478726			Y		60,182			60,182
47.049 / A4310 / SBC UW 647F323			Y		139,477			139,477
47.070 / A5897 / SBC WI-SYS A869046			Y		22,412			22,412
47.074 / A3239 / SBC WI 088K981			Y		48,095			48,095
Cluster: Other Programs								
<i>Fed Agency: Air Force Office of Scientific Research (AFOSR)</i>								
12.000 / A2922 / AF UW 098K140 012912 TTA			N		1,441			1,441
<i>Fed Agency: National Institutes of Health (NIH)</i>								
93.000 / A3308 / PHS SUB WNPRC PO#P373342			N		10,525			10,525
93.000 / B9148 / WNPRC TTA P397353 12912			N		22,473			22,473
<i>Fed Agency: US National Science Foundation (NSF)</i>								
47.076 / A5273 / SBC WISC X256981			Y		133,592			133,592
<u>Pass-through entity total:</u>					<u>870,016</u>	<u>242,733</u>		<u>1,112,749</u>
University of Wisconsin - Milwaukee								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
93.279 / E6572 / UW Milwaukee K069461 Mod 3			Y			38,828		38,828

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program ARRA****Urbana****Chicago****Springfield****All Campuses**

Pass-through entity total:38.82838.828**University Texas Arlington**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.286 / A3736 / PHS SUB UT 26-1601-5861

Y

22,562

22,562

Pass-through entity total:22,56222,562**US Civilian Research Development Foundation (CRDF)**

Cluster: 1R&D

Fed Agency: US Health & Human Services (HHS)

93.856 / E5969 / CRDF

Y

3,215

3,215

Fed Agency: US National Science Foundation (NSF)

47.000 / A2888 / CRDF KO 2009-02667 ANTC

Y

1,686

1,686

47.075 / E5961 / RUM1 -2842-RO-06

Y

1,463

1,463

47.079 / A5035 / CRDF RUB1-2836-MO-06

Y

3,410

3,410

47.079 / E6328 / US CRDF RUC2-2824-MO-06

Y

1,552

1,552

47.079 / G6875 / RUB1 -2859-MO-07

Y

4,235

4,235

Pass-through entity total:5,09610,46515,561**US Hybrid Corporation**

Cluster: 1R&D

Fed Agency: US Department of Energy (DOE)

81.086 / E5461 / US Hybrid Corp

Y

26,019

26,019

81.086 / E5593 / US Hybrid Corporation

Y

101,596

101,596

Pass-through entity total:127,615127,615

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

US Soybean Export Council

Cluster: 1R&D

Fed Agency: US Department of Agriculture (USDA)

10.000 / A3243 / AG USSEC M07GX10106

Y

18,600

18,600

Pass-through entity total:18,60018,600**US-Egypt Joint Science and Technology Board**

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.075 / E6720 / US-Egypt Joint Science INF9-001-007

Y

661

661

Pass-through entity total:661661**Utah State University**

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.070 / A6145 / SBC UTAH #04-1167002

Y

2,649

2,649

47.076 / A6226 / SBC UTAH 041447004

Y

197,245

197,245

Pass-through entity total:199,894199,894**UT-Battelle LLC**

Cluster: Other Programs

Fed Agency: US Department of Energy (DOE)

81.000 / E5778 / UT-Battelle LLC-4000062574

N

2,516

2,516

81.000 / E5977 / UT Battelle LLC 4000057946

N

105,189

105,189

Pass-through entity total:107,705107,705

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Vanderbilt University

Cluster: Head Start

Fed Agency: National Institutes of Health (NIH)

93.600 / A4781 / PHS Sub VU 19247

N

198,229

198,229

Pass-through entity total:198,229198,229**Vaxin Inc**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.866 / E5925 / Vaxin Inc

Y

7,576

7,576

Pass-through entity total:7,5767,576**Virginia Commonwealth University**

Cluster: 1R&D

Fed Agency: Centers for Disease Control and Prevention (CDC)

93.136 / E5555 / Virginia PT101936-SC100481

Y

73,423

73,423

Pass-through entity total:73,42373,423**Virginia Institute of Marine Science**

Cluster: 1R&D

Fed Agency: US Department of Commerce

11.420 / A3270 / CAL COM 2010-NTN1-63

Y

4,377

4,377

Pass-through entity total:4,3774,377**Virginia Tech Institute & State University**

Cluster: 1R&D

Fed Agency: Air Force Office of Scientific Research (AFOSR)

12.800 / A3384 / AF Sub VT CR-19318-430325

Y

85,375

85,375

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Fed Agency: Army Research Office (ARO)

12.800 / A3264 / Army Sub VT CR-19318-430219

Y

63,341

63,341

Fed Agency: US Department of Transportation (DOT)

20.106 / A4624 / DOT Sub VA Tech CR-19318-415339

Y

25,477

25,477

Pass-through entity total:174,193174,193**Von Braun Center for Science and Innovation**

Cluster: Other Programs

Fed Agency: US NASA

43.000 / E5043 / Von Braun Center NNM07AA13A

N

10,798

10,798

Pass-through entity total:10,79810,798**Wake Forest University**

Cluster: 1R&D

Fed Agency: National Institute of Dental & Craniofacial Research

93.121 / E6976 / Wake Forest U WFUHS 50029

Y

1,225

1,225

Pass-through entity total:1,2251,225**Washington University**

Cluster: 1R&D

Fed Agency: DOE Chicago operations

81.049 / A3207 / DOE WU WU-09-139

Y

67,256

67,256

Fed Agency: National Institute of Neurological Disorders & Stroke

93.853 / E6394 / Washington Univ WU-06-142

Y

-6,531

-6,531

Fed Agency: National Institutes of Health (NIH)

93.000 / E6032 / WUSM 29771P WU 07 93

Y

-2,202

-2,202

93.000 / E7113 / Washington U P.O 29938P WU 04 213

Y

-1,196

-1,196

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

93.399 / A3397 / PHS SUB WU-09-124/PO#2905157N	Y		293,080			293,080
93.399 / A3399 / PHS SUB WU WU-HT-09-17	Y		50,115			50,115
93.399 / A4326 / PHS Sub WU WU-HT-08-14/PO# 2905274A	Y		2,563			2,563
93.399 / A4327 / PHS SUB WU-08-74/PO#2905236A	Y		76,002			76,002
93.399 / A5310 / PHS Sub WU-06-190	Y		1,356			1,356
93.853 / E5844 / WU-08-01	Y			5,295		5,295
<i>Fed Agency: US Department of Energy (DOE)</i>						
81.049 / A3307 / DOE WU-09-123 PO 2905269N	Y		79,761			79,761
81.049 / A3535 / DOE WU WU-09-127	Y		108,785			108,785
<i>Fed Agency: US National Science Foundation (NSF)</i>						
47.041 / A3320 / SBC WU-HT-09-10	Y		18,694			18,694
Cluster: Other Programs						
<i>Fed Agency: Defense Threat Reduction Agency (DTRA)</i>						
12.000 / A3810 / DTRA WU WU-08-101	N		9,444			9,444
			<u>Pass-through entity total:</u>	<u>707,056</u>	<u>-4,634</u>	<u>702,422</u>

Water Research Foundation

Cluster: 1R&D

Fed Agency: US Department of Energy (DOE)

81.049 / A3657 / DOE ARF PFA04201	Y		24,966			24,966
			<u>Pass-through entity total:</u>	<u>24,966</u>		<u>24,966</u>

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Water Survey Research Center

Cluster: Other Programs

Fed Agency: US Environmental Protection Agency (EPA)

66.001 / B9100 / CAL New Mexico DAQ MDN4-08	N		1,209			1,209
66.001 / B9121 / CAL New Mexico DAQ NTN1-22	N		4,579			4,579
66.001 / B9470 / CAL-NM 2001NTN122	N		2,416			2,416

Pass-through entity total: 8,204 8,204

Wavefront Research Inc

Cluster: 1R&D

Fed Agency: Army

12.000 / A3111 / Army Sub WRI 2009-02395 ANTC	Y		33,193			33,193
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Pass-through entity total: 33,193 33,193

Wayne State University

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.075 / E6451 / WSU 05059-331742	Y			22,967		22,967
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Cluster: Other Programs

Fed Agency: National Institutes of Health (NIH)

93.000 / E7194 / Wayne State U WSU04044-A4	N			1,550		1,550
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Pass-through entity total: 24,517 24,517

West Virginia Humanities Council

Cluster: Other Programs

Fed Agency: US National Endowment for the Humanities (NEH)

45.129 / A5262 / NEH Sub WVHC 6074	N		21			21
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Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program ARRA****Urbana****Chicago****Springfield****All Campuses**

Pass-through entity total:2121**West Virginia State College**

Cluster: Other Programs

Fed Agency: US Department of Agriculture (USDA)

10.000 / A3347 / AG WVSU TTA LG-26519

N

5,489

5,489

Pass-through entity total:5,4895,489**Westat**

Cluster: 1R&D

Fed Agency: US Health & Human Services (HHS)

93.000 / E8062 / Westat Inc HD-3-3345

Y

81,889

81,889

Pass-through entity total:81,88981,889**Westinghouse Savannah River Co**

Cluster: 1R&D

Fed Agency: US Department of Energy (DOE)

81.000 / A4690 / CAL DOE 2007-MDN2-36

Y

11,056

11,056

Pass-through entity total:11,05611,056**Wisconsin Department of Health and Family Services**

Cluster: 1R&D

Fed Agency: US Environmental Protection Agency (EPA)

66.509 / E5998 / Wisconsin Department of Health

Y

22,559

22,559

Pass-through entity total:22,55922,559

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Wiss Janney Elstner Associates

Cluster: 1R&D

Fed Agency: US Department of Transportation (DOT)

20.200 / G6677 / Structural Health Monitoring

Y

38,901

38,901

Pass-through entity total:38,90138,901**Woods Hole Oceanographic Institution**

Cluster: 1R&D

Fed Agency: Navy

12.300 / A4436 / Navy Sub WHOI A100532

Y

58,568

58,568

Pass-through entity total:58,56858,568**Workforce Board Northern Cook**

Cluster: 1R&D

Fed Agency: US Department of Transportation (DOT)

20.507 / E8199 / Workforce Board

Y

3,298

3,298

Pass-through entity total:3,2983,298**Wrightwood Technologies Inc**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.000 / E5390 / Wrightwood Technologies

Y

25,824

25,824

Pass-through entity total:25,82425,824**Yale University**

Cluster: 1R&D

Fed Agency: National Institute of Neurological Disorders & Stroke

93.853 / E5174 / Insulin Resistant Intervention Afte

Y

11,384

11,384

Pass-Through Entity

Cluster			Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
Fed Agency								
CFDA Number / UI Grant Code / Award Title								
93.853	/	E5603 / Yale Univ A06760 M08288	Y			22,328		22,328
<i>Fed Agency: National Institutes of Health (NIH)</i>								
93.361	/	E7755 / Yale U DKP1081246 A05187	Y			-1,118		-1,118
93.853	/	E6617 / Yale U A06379	Y			12,235		12,235
<u>Pass-through entity total:</u>						<u>44,829</u>		<u>44,829</u>

Zienon LLC

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.041	/	A3510 / SBC Zienon IIP-0810523 SBIR	Y		14,107			14,107
<u>Pass-through entity total:</u>						<u>14,107</u>		<u>14,107</u>

zuChem Inc

Cluster: 1R&D

Fed Agency: US Department of Energy (DOE)

81.000	/	A3268 / DOE zI HZ 2008-05377 ANTC	Y		7,445			7,445
<u>Pass-through entity total:</u>						<u>7,445</u>		<u>7,445</u>

	<u>Urbana</u>	<u>Chicago</u>	<u>Springfield</u>	<u>All Campuses</u>
GRAND TOTALS pass-through funding:	74,918,559	42,583,189	2,570,406	120,072,154

Major Program Codes:

Y - Cluster, program, or award tested as a major program

N - Not tested as a major program

STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS

Notes to Schedule of Expenditures of Federal Awards

Year ended June 30, 2009

(1) Scope of Audit Pursuant to OMB Circular A-133

All federal grant operations of the University of Illinois (University) are included in the scope of the audit pursuant to Office of Management and Budget (OMB) Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations* (Single Audit). The Single Audit was performed in accordance with the provisions of the *OMB Circular A-133 Compliance Supplement*. Testing of all applicable compliance requirements, as described in the Compliance Supplement, was performed in accordance with the guidance provided by the Compliance Supplement. For programs not included in the Compliance Supplement, compliance testing was performed in accordance with the compliance requirements determined by researching the statutes, regulations, and grant agreements governing the individual programs or by researching the *Catalog of Federal Domestic Assistance*. Additionally, some agencies have developed audit guidance for programs not included in the Compliance Supplement. The University's major programs for which compliance testing was performed are as follows:

- Research and Development Cluster
- Cooperative Extension Services
- Supplemental Nutrition Assistance Program (SNAP)
- Education and Human Resources
- Student Financial Aid Cluster
- National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program
- AIDS Education and Training Centers
- Temporary Assistance for Needy Families
- Child Care Development Funds Cluster
- Maternal and Child Health Services Block Grant to the States

The Department of Education has been designated as the University's cognizant agency for the Single Audit.

(2) Fiscal Period Audited

Single Audit testing procedures were performed for program transactions occurring during the fiscal year ended June 30, 2009, in accordance with OMB Circular A-133.

(3) Basis of Presentation

The accompanying schedule of expenditures of federal awards includes the federal awards activity of the University of Illinois for the year ended June 30, 2009, and is presented on the accrual basis of accounting. The information in this schedule is presented in accordance with the requirements of OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Therefore, some amounts presented in this schedule may differ from amounts presented in, or used in the preparation of, the basic financial statements.

STATE OF ILLINOIS
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Notes to Schedule of Expenditures of Federal Awards

Year ended June 30, 2009

The schedule designates federal awards as direct and pass-through. Direct awards represent federal funding awarded directly to the University by a federal funding agency. Pass-through awards are federal awards passed through a non-federal entity.

(4) Major Programs

In accordance with OMB Circular A-133, major programs of the University are an individual award or a number of awards in a category of federal awards determined to be major using a risk-based approach. The risk-based approach includes consideration of such criteria as current and prior audit experience, oversight by federal agencies and pass-through entities, and the inherent risk of the federal program. Under the risk-based approach, programs are classified as either "Type A" or "Type B." A Type A program is determined pursuant to formulas based on total federal awards expended. For the University, all programs with federal awards expended exceeding \$3 million are identified as Type A programs. Federal programs not identified as Type A programs are Type B programs.

(5) Student Loan Programs

As disclosed in the non-cash federal awards sections of the Schedule of Expenditures of Federal Awards, the Federal Direct Student Loans Program (CFDA 84.268) administered by the University awarded approximately \$186.2 million at the Urbana Campus and \$184.4 million at the Chicago Campus. The value of federal loans issued at the Springfield Campus under the Federal Family Education Loans program (CFDA 84.032) totaled approximately \$16.9 million.

There were no federal capital contributions received for the year ended June 30, 2009 under the Federal Perkins Loan Program (Perkins), the Health Professions Student Loans Program (HPSL), the Loans to Disadvantaged Students Program (LDS), or the Nursing Student Loans Program (Nursing). The Nurse Faculty Loan Program (NFLP) received \$51,672 in federal capital contributions for the year ended June 30, 2009.

The values of new loans issued to students for the year ended June 30, 2009 were approximately \$2.9 million for Perkins (CFDA 84.038), \$.8 million for HPSL (CFDA 93.342), \$8,500 for LDS (CFDA 93.342), \$33,180 for NFLP (CFDA 93.264), and \$51,573 for Nursing (CFDA 93.364).

The total loan balances outstanding at June 30, 2009 were approximately \$40.5 million for Perkins, \$8.1 million for HPSL, \$.5 million for LDS, \$.2 million for NFLP, and \$.6 million for Nursing.

**STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS**

Notes to Schedule of Expenditures of Federal Awards

Year ended June 30, 2009

(6) Non-cash Federal Assistance

The University of Illinois received a pass-through grant from Illinois Department of Human Services (IDHS) to review the applications of families seeking subsidized day care under the Temporary Assistance for Needy Families, Child Care Development Funds Cluster, and Social Services Block Grant programs. The applications were reviewed according to guidelines set by IDHS, who was the prime recipient of the federal funds. As a result of the University's application review, the State Comptroller distributed \$9,446,103 of federal funds to day care providers as follows:

	CFDA Number	Non-Cash Assistance
Temporary Assistance for Needy Families	93.558	\$ 5,411,672
Child Care Development Funds Cluster:		
Child Care and Development Block Grant	93.575	1,039,151
Child Care Mandatory and Matching Funds of the Child Care and Development Fund	93.596	2,950,548
Social Services Block Grant	93.667	44,732
Total non-cash federal assistance		\$ 9,446,103

These federal funds which are paid directly by the State to the providers are considered to be non-cash federal assistance to the University. Although these amounts are not reported on the schedule of expenditures of federal awards, they have been included for purposes of determination of major programs.

**STATE OF ILLINOIS
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Notes to Schedule of Expenditures of Federal Awards
Year ended June 30, 2009

(7) Subrecipient Payments

Of the federal expenditures presented in the Schedule, the university provided federal awards to subrecipients as follows during the year ended June 30, 2009:

Federal agency name	CFDA/ARRA/CFDA program name	Amount provided to subrecipients
Agency for Healthcare Research and Quality (AHRQ):		
93.226	Research on Healthcare Costs, Quality and Outcomes	\$ 209,486
Agency for Toxic Substances and Disease Registry (ATSDR):		
93.208	Great Lakes Human Health Effects Research	23,689
Air Force:		
12.000	Department of Defense (general)	1,130,330
12.800	Air Force Defense Research Sciences Program	227,935
Air Force Office of Scientific Research (AFOSR):		
12.000	Department of Defense (general)	37,251
12.630	Basic, Applied, and Advanced Research in Science and Engineering	1,459,209
12.800	Air Force Defense Research Sciences Program	721,374
12.910	Research and Technology Development	2,818,560
Ames Research Center:		
43.000	National Aeronautics and Space Administration (general)	94,815
Army:		
12.431	Basic Scientific Research	158,566
Army Research Office (ARO):		
12.431	Basic Scientific Research	1,219,253
Bolling Air Force Base:		
12.000	Department of Defense (general)	553,791
Centers for Disease Control and Prevention (CDC):		
93.069	Public Health Emergency Preparedness	65,625
93.184	Disabilities Prevention	40,228
93.262	Occupational Safety and Health Program	128,370
93.283	Centers for Disease Control and Prevention Investigations and Technical Assistance	284,536
93.848	Digestive Diseases and Nutrition Research	7,418
93.940	HIV Prevention Activities Health Department Based	15,015
CERL Champaign:		
12.000	Department of Defense (general)	456,959
Corporation for National & Community Service:		
94.006	AmeriCorps	358,711
Defense Advanced Research Projects Agency (DARPA):		
12.000	Department of Defense (general)	127,559
12.910	Research and Technology Development	2,704,235
Defense Threat Reduction Agency (DTRA):		
12.351	Basic Scientific Research – Combating Weapons of Mass Destruction	311,463
DOE Chicago operations:		
81.000	Department of Energy (general)	255,252
FAA William J Hughes Technical Center:		
20.109	Air Transportation Centers of Excellence	2,824
Glenn Research Center at Lewis Field:		
43.000	National Aeronautics and Space Administration (general)	80,221
Goddard Space Flight Center:		
43.000	National Aeronautics and Space Administration (general)	448,414

**STATE OF ILLINOIS
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Notes to Schedule of Expenditures of Federal Awards

Year ended June 30, 2009

(7) Subrecipient Payments (continued)

<u>Federal agency name</u>	<u>CFDA/ARRA/CFDA program name</u>	<u>Amount provided to subrecipients</u>
Health Resources and Services Administration (HRSA):		
93.110	Maternal and Child Health Federal Consolidated Programs	\$ 5,000
93.145	AIDS Education and Training Centers	1,699,914
93.191	Allied Health Special Projects	(328)
93.249	Public Health Training Centers Grant Program	23,468
93.917	HIV Care Formula Grants	98,934
93.918	Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease	17,296
93.924	Ryan White HIV/AIDS Dental Reimbursements Community Based Dental Partnership	156,927
93.928	Special Projects of National Significance	120,594
Housing & Urban Development (HUD):		
14.218	Community Development Block Grants/Entitlement Grants	20,115
14.241	Housing Opportunities for Persons with AIDS	58,817
14.902	Lead Technical Studies Grants (B)	161,366
14.906	Y ARRA-Healthy Homes Technical Studies Grants	8,687
HRSA/BHPR/DADPHP (Health Resources and Services):		
93.914	HIV Emergency Relief Project Grants	68,255
Institute of Museum & Library Services (IMLS):		
45.313	Laura Bush 21st Century Librarian Program	73,217
John E Fogarty International Center:		
93.989	International Research and Research Training	71,377
Langley Research Center:		
43.000	National Aeronautics and Space Administration (general)	199,509
Lawrence Livermore National Lab:		
81.000	Department of Energy (general)	69,380
NASA Shared Services Center:		
43.000	National Aeronautics and Space Administration (general)	867,133
National Cancer Institute:		
93.393	Cancer Cause and Prevention Research	365,647
93.394	Cancer Detection and Diagnosis Research	633,862
93.395	Cancer Treatment Research	55,471
93.399	Cancer Control	432,722
National Center for Complementary & Alternative Medicine:		
93.213	Research and Training in Complementary and Alternative Medicine	(15,447)
National Center for Injury Prevention and Control:		
93.136	Injury Prevention and Control Research and State and Community Based Program:	143,928
National Center for Research Resources:		
93.389	National Center for Research Resources	258,890
National Energy Technology Laboratory (NETL):		
81.089	Fossil Energy Research and Development	5,745,777
National Eye Institute:		
93.867	Vision Research	215,802
National Heart Lung & Blood Institute:		
93.837	Cardiovascular Diseases Research	627,833
93.838	Lung Diseases Research	66,234
93.839	Blood Diseases and Resources Research	130,994
National Institute for Occupational Safety & Health:		
93.262	Occupational Safety and Health Program	43,831

**STATE OF ILLINOIS
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Notes to Schedule of Expenditures of Federal Awards
Year ended June 30, 2009

(7) Subrecipient Payments (continued)

Federal agency name	CFDA/ARRA/CFDA program name	Amount provided to subrecipients
National Institute of Allergy & Infectious Diseases:		
93.855	Allergy, Immunology and Transplantation Research	\$ 511,438
93.856	Microbiology and Infectious Diseases Research	916,596
National Institute of Biomedical Imaging & Bioengineering:		
93.286	Discovery and Applied Research for Technological Innovations to Improve Human Health	26,086
National Institute of Child Health & Human Development:		
93.000	Department of Health and Human Services (general)	585,411
93.865	Child Health and Human Development Extramural Research	622,754
National Institute of Dental & Craniofacial Research:		
93.121	Oral Diseases and Disorders Research	19,035
National Institute of Diabetes & Digestive & Kidney Diseases:		
93.000	Department of Health and Human Services (general)	90,000
93.847	Diabetes, Digestive, and Kidney Diseases Extramural Research	9,991
93.849	Kidney Diseases Urology and Hematology Research	150,674
National Institute of Environmental & Health Sciences:		
93.113	Environmental Health	302,822
National Institute of General Medical Sciences:		
93.390	Academic Research Enhancement Award	201,989
93.859	Biomedical Research and Research Training	1,786,776
National Institute of Justice:		
16.000	Department of Justice (general)	14,312
National Institute of Mental Health:		
93.242	Mental Health Research Grants	1,314,362
National Institute of Neurological Disorders & Stroke:		
93.853	Extramural Research Programs in the Neurosciences and Neurological Disorders	354,034
National Institute of Nursing Research:		
93.361	Nursing Research	637,970
National Institute on Aging:		
93.866	Aging Research	328,956
National Institute on Deafness & Other Hearing Disorders:		
93.173	Research Related to Deafness and Communication Disorders	148,160
National Institute on Drug Abuse:		
93.279	Drug Abuse and Addiction Research Programs	445,769
National Institutes of Health (NIH):		
93.000	Department of Health and Human Services (general)	114,770
93.113	Environmental Health	5,038
93.115	Biometry and Risk Estimation Health Risks from	40,604
93.173	Research Related to Deafness and Communication Disorders	4,693
93.242	Mental Health Research Grants	57,123
93.273	Alcohol Research Programs	4,454
93.279	Drug Abuse and Addiction Research Programs	93,501
93.286	Discovery and Applied Research for Technological Innovations to Improve Human Health	80,588
93.361	Nursing Research	18,514
93.393	Cancer Cause and Prevention Research	688,108
93.394	Cancer Detection and Diagnosis Research	138,958
93.837	Cardiovascular Diseases Research	453,993
93.839	Blood Diseases and Resources Research	280,979
93.847	Diabetes, Digestive, and Kidney Diseases Extramural Research	32,921

**STATE OF ILLINOIS
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Notes to Schedule of Expenditures of Federal Awards

Year ended June 30, 2009

(7) Subrecipient Payments (continued)

Federal agency name	CFDA/ARRA/CFDA program name	Amount provided to subrecipients
National Institutes of Health (NIH), continued:		
93.853	Extramural Research Programs in the Neurosciences and Neurological Disorders	\$ 85,377
93.855	Allergy, Immunology and Transplantation Research	197,549
93.859	Biomedical Research and Research Training	180,153
93.865	Child Health and Human Development Extramural Research	357,702
93.866	Aging Research	145,868
93.867	Vision Research	1,139,503
93.917	HIV Care Formula Grants	26,208
93.989	International Research and Research Training	24,408
National Library of Medicine:		
93.879	Medical Library Assistance	249,752
National Park Service:		
15.000	Department of the Interior (general)	4,326
Nat'l Center for Chronic Disease Prev & Health Promotion:		
93.135	Centers for Research and Demonstration for Health Promotion and Disease Prevention	96,965
Office of Naval Research (ONR):		
12.300	Basic and Applied Scientific Research	793,128
Social Security Administration:		
96.000	Social Security Administration (general)	450,242
SPAWAR Systems Center Pacific:		
12.910	Research and Technology Development	79,257
Substance Abuse & Mental Health Services Admin (SAMHSA):		
93.230	Consolidated Knowledge Development and Application (KD&A) Program	14,409
93.234	Traumatic Brain Injury State Demonstration Grant Program	194,293
93.243	Substance Abuse and Mental Health Services Projects of Regional and National Significance	38,558
United States Agency for International Development (AID):		
98.000	Agency for International Development (general)	75,088
United States Geological Survey:		
15.805	Assistance to State Water Resources Research Institutes	16,274
15.978	Upper Mississippi River System Long Term Resource Monitoring Program	118,524
US Army Corps of Engineers:		
12.114	Collaborative Research and Development	27,738
US Army Medical Research Acquisition:		
12.000	Department of Defense (general)	142,136
12.420	Military Medical Research and Development	61,778
US Department of Agriculture (USDA):		
10.000	Department of Agriculture (general)	19,722
10.206	Grants for Agricultural Research Competitive Research Grants	35,223
10.500	Cooperative Extension Service	12,120
10.559	Summer Food Service Program for Children	353,864
US Department of Education:		
84.015	National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program	551,852
84.116	Fund for the Improvement of Postsecondary Education	324
84.133	National Institute on Disability and Rehabilitation Research	833,557
84.305	Education Research, Development and Dissemination	45,585
84.324	Research in Special Education	236,177
84.325	Special Education – Personnel Development to Improve Services and Results for Children	10,512

**STATE OF ILLINOIS
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Notes to Schedule of Expenditures of Federal Awards

Year ended June 30, 2009

(7) Subrecipient Payments (continued)

Federal agency name	CFDA/ARRA/CFDA program name	Amount provided to subrecipients
US Department of Education, continued:		
84.359	Early Reading First	\$ 134,001
84.367	Improving Teacher Quality State Grants	39,612
84.369	Grants for State Assessments and Related Activities	18,930
US Department of Energy (DOE):		
81.000	Department of Energy (general)	417,150
81.041	State Energy Program	373,606
81.086	Conservation Research and Development	26,378
81.087	Renewable Energy Research and Development	73,044
81.119	State Energy Program Special Projects	40,000
US Department of Homeland Security (USDHS):		
97.044	Assistance to Firefighters Grant	16,000
US Department of Justice (DOJ):		
16.000	Department of Justice (general)	5,511
16.540	Juvenile Justice and Delinquency Prevention Allocation to States	18,302
16.580	Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grant Program	18,828
16.710	Public Safety Partnership and Community Policing Grants	175,099
16.744	Anti-Gang Initiative	3,569
US Department of Transportation (DOT):		
20.200	Highway Research and Development Program	5,550
20.205	Highway Planning and Construction	183,179
20.600	State and Community Highway Safety	5,000
US Dept of Commerce NOAA:		
11.417	Sea Grant Support	485,424
11.419	Coastal Zone Management Administration Awards	87,612
11.480	National Ocean Service Intern Program (B)	40,132
US Environmental Protection Agency (EPA):		
66.305	Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers	29,190
66.460	Nonpoint Source Implementation Grants	7,465
66.500	Environmental Protection – Consolidated Research	48,961
66.509	Science To Achieve Results (STAR) Research Program	24,045
66.716	Research, Development, Monitoring, Public Education, Training, Demonstrations and Studies	9,000
US Fish & Wildlife:		
15.000	Department of the Interior (general)	18,511
15.608	Fish and Wildlife Management Assistance	88,546
15.634	State Wildlife Grants	52,819
US Health & Human Services (HHS):		
93.000	Department of Health and Human Services (general)	130,974
93.008	Medical Reserve Corps Small Grant Program	186,785
93.110	Maternal and Child Health Federal Consolidated Programs	64,774
93.575	Child Care and Development Block Grant	33,259
93.600	Head Start	865,427
94.006	AmeriCorps	13,267
US Library of Congress:		
42.000	Library of Congress (general)	138,094
42.006	Library of Congress Constituent and Collection Services	71,897
US NASA:		
43.000	National Aeronautics and Space Administration (general)	85,018
43.002	Technology Transfer	12,262

**STATE OF ILLINOIS
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Notes to Schedule of Expenditures of Federal Awards
Year ended June 30, 2009

(7) Subrecipient Payments (continued)

<u>Federal agency name</u>	<u>CFDA/ARRA/CFDA program name</u>	<u>Amount provided to subrecipients</u>
US National Endowment for the Humanities (NEH):		
45.169	Promotion of the Humanities Office of Digital Humanities	\$ 22,727
US National Science Foundation (NSF):		
47.000	National Science Foundation (general)	33,050
47.041	Engineering Grants	2,391,951
47.049	Mathematical and Physical Sciences	712,739
47.050	Geosciences	288,396
47.070	Computer and Information Science and Engineering	1,357,140
47.074	Biological Sciences	993,321
47.075	Social, Behavioral, and Economic Sciences	54,828
47.076	Education and Human Resources	202,688
47.078	Polar Programs	14,800
47.080	Office of Cyberinfrastructure	283,082
US Small Business Administration:		
59.000	Small Business Administration (general)	43,885
USDA Agricultural Research Service (ARS):		
10.001	Agricultural Research Basic and Applied Research	738,231
10.206	Grants for Agricultural Research Competitive Research Grants	66,843
USDA Coop State Rsrch Educ & Ext Serv (CSREES):		
10.000	Department of Agriculture (general)	147,733
10.200	Grants for Agricultural Research, Special Research Grants	461,086
10.206	Grants for Agricultural Research Competitive Research Grants	3,011,543
10.217	Higher Education Challenge Grants	91,365
10.303	Integrated Programs	253,626
USDA Forest Service (FS):		
10.000	Department of Agriculture (general)	20,514
USDA Natural Resources Conservation Service (NRCS):		
10.902	Soil and Water Conservation	40,778