

**STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS**

Compliance Examination

(In Accordance With the Single Audit Act
and OMB Circular A-133)

June 30, 2011

Performed as Special Assistant Auditors
for the Auditor General, State of Illinois

STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS

Compliance Examination
Year ended June 30, 2011

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Related Reports Published Under Separate Cover:	
Annual Financial Report of the University of Illinois for the Year ended June 30, 2011, which is incorporated herein by reference	
Supplemental Financial Information Report for the Year ended June 30, 2011, which is incorporated herein by reference	
Annual Financial Report of the University of Illinois Auxiliary Facilities System for the Year ended June 30, 2011, which is incorporated herein by reference	
Annual Financial Report of the University of Illinois Health Services Facilities System for the Year ended June 30, 2011, which is incorporated herein by reference	
Report Required Under <i>Government Auditing Standards</i> for the Year ended June 30, 2011, which is incorporated herein by reference	

**STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS**

University Officials
Year ended June 30, 2011

Michael J. Hogan	President
Walter K. Knorr	Vice President and Chief Financial Officer and Comptroller
Douglas E. Beckmann	Senior Associate Vice President for Business and Finance (through October 31, 2011)
Michael B. Bass	Senior Associate Vice President for Business and Finance (effective November 1, 2011)
Patrick M. Patterson	Controller and Senior Assistant Vice President for Business and Finance
Julie A. Zemaitis	Executive Director of University Audits
Robert A. Easter	Interim Vice President and Chancellor, University of Illinois at Urbana-Champaign (through September 30, 2011)
Phyllis Wise	Vice President and Chancellor, University of Illinois at Urbana-Champaign (effective October 1, 2011)
Maxine E. Sandretto	Assistant Vice President for Business and Finance, Urbana-Champaign campus
Paula Allen-Meares	Vice President and Chancellor, University of Illinois at Chicago
Heather J. Haberaecker	Executive Assistant Vice President for Business and Finance, Chicago campus
Harry J. Berman	Interim Vice President and Chancellor, University of Illinois at Springfield (through June 30, 2011)
Susan J. Koch	Vice President and Chancellor, University of Illinois at Springfield (effective July 1, 2011)
Michael E. Bloechle	Director of Business Services, Springfield campus

Administrative offices are located at:

Central Administration

238 Henry Administration Building
506 South Wright Street
Urbana, Illinois 61801

Chicago Campus

809 South Marshfield
Room 608 Chicago,
Illinois 60612

Springfield Campus

Business Services Building
Room 59
Springfield, Illinois 62794-9243

Urbana-Champaign Campus

104 Coble Hall
801 South Wright Street
Champaign, Illinois 61820

UNIVERSITY OF ILLINOIS

Urbana-Champaign • Chicago • Springfield

Office of the Assistant Vice President for Business and Finance
Office of Business and Financial Services
109 Coble Hall, MC-335
801 South Wright Street
Champaign, IL 61820

March 16, 2012

KPMG LLP
303 E. Wacker Drive
Chicago, IL 60601

Ladies and Gentlemen:

We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the University of Illinois (University). We are responsible for and we have established and maintained an effective system of, internal controls over compliance requirements. We have performed an evaluation of the University's compliance with the following assertions during the year ended June 30, 2011. Based on this evaluation, we assert that during the year ended June 30, 2011, the University has materially complied with the assertions below.

- A. The University has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The University has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The University has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the University are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the University on behalf of the State or held in trust by the University have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate and in accordance with law.

KPMG LLP
March 16, 2012
Page 2

Sincerely,



Michael J. Hogan
President



Walter K. Knorr
Vice President and Chief Financial
Officer and Comptroller



Thomas R. Bearrows
University Counsel



Michael B. Bass
Senior Associate Vice President for
Business & Finance

**STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS**

Compliance Report Summary

Year ended June 30, 2011

The compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and in accordance with the Illinois State Auditing Act.

Accountant's Report

The Independent Accountant's Report on State Compliance and on Internal Control over Compliance for State Compliance Purposes does not contain scope limitations, disclaimers, or other significant nonstandard language.

Summary of Findings

Number of	Current report	Prior report
Findings	37	43
Repeated findings	30	29
Prior recommendations implemented or not repeated	13	18

Details of findings are presented in the separately tabbed report section of this report.

Schedule of Findings and Questioned Costs

Findings (*Government Auditing Standards*)

Item No.	Page	Description	Finding Type
11-01	20	Inadequate Year End Receivable and Payable Accruals Process	Material weakness
11-02	22	Inadequate Controls over User Access to Information Systems	Significant deficiency
11-03	25	Inadequate Controls over University Procurement Card Transactions	Significant deficiency

**STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS**

Compliance Report Summary

Year ended June 30, 2011

Findings (*Federal Compliance*)

Item No.	Page	Description	Finding Type
11-04	27	Inadequate Documentation for Payroll and Fringe Benefit Expenditures	Material noncompliance and material weakness
11-05	30	Inadequate Documentation for Payroll and Fringe Benefit Expenditures	Material noncompliance and material weakness
11-06	33	Incomplete Documentation in Client Eligibility Files	Noncompliance and material weakness
11-07	35	Inadequate Procedures for Closing Federal Projects	Noncompliance and material weakness
11-08	40	Inadequate Supporting Documentation for Cost Transfers	Noncompliance and material weakness
11-09	45	Inadequate Process for Monitoring Cost Share Requirement	Noncompliance and material weakness
11-10	47	Inadequate Process for Monitoring SNAP Cost Share	Noncompliance and material weakness
11-11	49	Improper Reporting of Amounts in Financial Status Reports	Noncompliance and material weakness
11-12	52	Inaccurate ARRA 1512 Reports	Noncompliance and significant deficiency
11-13	54	Inaccurate Fringe Benefit Charges	Noncompliance and material weakness
11-14	56	Expenditures Reported in the Incorrect Accounting Period	Noncompliance and material weakness
11-15	59	Inadequate Process for Limiting Indirect Costs on DoD Awards	Noncompliance and material weakness
11-16	61	Inadequate Documentation to Support Key Personnel	Noncompliance and material weakness
11-17	64	Failure to Obtain Suspension and Debarment Certifications from Vendors	Noncompliance and material weakness

**STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS**

Compliance Report Summary

Year ended June 30, 2011

Findings (Federal Compliance, continued)

Item No.	Page	Description	Finding Type
11-18	68	Failure to Properly Perform Interest Calculations on Federal Advances	Noncompliance and material weakness
11-19	71	Failure to Notify Subrecipients of Federal Funding and Communicate ARRA Information	Noncompliance and material weakness
11-20	74	Inadequate Monitoring of Subrecipient OMB Circular A-133 Audit Reports	Noncompliance and material weakness
11-21	78	Inaccurate Quarterly Expenditure Reports Prepared for the SNAP Program	Noncompliance and significant deficiency
11-22	80	Failure to Follow Property Management Regulations	Noncompliance and significant deficiency
11-23	83	Failure to Properly Determine SFA Awards in Accordance with Program Regulations	Noncompliance and significant deficiency
11-24	85	Failure to Properly Complete Required Verification Procedures	Noncompliance and significant deficiency
11-25	87	Inaccurate and Untimely Reporting of Student Status Changes	Noncompliance and significant deficiency
11-26	89	Failure to Obtain Written Agreements with Third Party Institutions	Noncompliance and significant deficiency
11-27	91	Untimely Submission of Financial Reports	Noncompliance and significant deficiency
11-28	93	Inadequate Support for Cash Draws	Significant deficiency
11-29	95	Inadequate Cash Draw and Reimbursement Request Controls	Significant deficiency

**STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS**

Compliance Report Summary

Year ended June 30, 2011

Findings (Federal Compliance, continued)

Item No.	Page	Description	Finding Type
11-30	98	Inadequate Approval Controls Over Financial Reporting	Significant deficiency
11-31	101	Inaccurate Award Records	Significant deficiency

Findings (State Compliance)

Item No.	Page	Description	Finding Type
11-32	103	Contracts and Real Estate Leases Not Properly Executed	Noncompliance and significant deficiency
11-33	105	Failure to Follow Time Reporting Requirements	Noncompliance and significant deficiency
11-34	106	Inaccurate Inventory Records	Noncompliance and significant deficiency
11-35	108	Failure to Maintain Supporting Documentation for Agency Workforce Report	Noncompliance
11-36	110	Use of University Vehicles	Noncompliance
11-37	111	Failure to Comply with Higher Education Veterans Act (Act)	Noncompliance

In addition, the following findings which are reported as current findings relating to *Government Auditing Standards* also meet the reporting requirements for State Compliance.

Item No.	Page	Description	Finding Type
11-01	20	Inadequate Year End Receivable and Payable Accruals Process	Noncompliance and material weakness
11-02	22	Inadequate Controls over User Access to Information Systems	Noncompliance and significant deficiency
11-03	25	Inadequate Controls over University Procurement Card Transactions	Noncompliance and significant deficiency

**STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS**

Compliance Report Summary

Year ended June 30, 2011

Prior Year Findings Not Repeated (*Federal Compliance*)

Item No.	Page	Description	Finding Type
A	112	Incompatible Allocation Methodologies for Payroll Costs	Material noncompliance and material weakness
B	112	Inadequate Procedures to Determine the Allowability of Cost Share Expenditures	Material noncompliance and material weakness
C	112	Unsupported Volunteer Rated Used for Cost Share Requirement	Material noncompliance and material weakness
D	112	Inadequate Documentation for Institutional Letter of Credit Cash Draws	Scope Limitation and material weakness
E	112	Incomplete and Inaccurate Annual Effort Certifications	Noncompliance and material weakness
F	112	Incomplete Semi-Annual Expenditure Confirmations	Noncompliance and material weakness
G	113	Inaccurate Amounts Reported in Quarterly Federal Financial Reports	Noncompliance and material weakness
H	113	Unallowable Costs Charged to Federal Program	Noncompliance and material weakness
I	113	Inadequate Controls Over Federal Expenditures Paid with Procurement Card	Noncompliance and significant deficiency

Prior Year Findings Not Repeated (*State Compliance*)

Item No.	Page	Description	Finding Type
J	114	Inadequate Controls over Patient Billings System	Noncompliance significant deficiency
K	114	Untimely Bank Account Reconciliation Supervisory Reviews	Noncompliance and significant deficiency
L	114	Failure to Follow State Regulations for Recording Equipment	Noncompliance
M	114	Failure to Report Payroll Warrant Information	Noncompliance

**STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS**

Compliance Report Summary

Year ended June 30, 2011

Exit Conference

The federal and state compliance findings and recommendations appearing in this report were discussed with University personnel at an exit conference on March 14, 2012. Attending were Walter Knorr, Michael Bass, Maxine Sandretto, Heather Haberaecker, Ginger Velazquez, Sandra Moulton, Vanessa Peoples, Ruth Boardman, Patrick Patterson, Janet Ford, and Julie Zemaitis from the University of Illinois; Thomas Kizziah from the Office of the Auditor General; and Catherine Baumann, Jacqueline Dippel, Kristopher Allen, and Aanuoluwapo Jolaoso from KPMG LLP. Responses to the recommendations were provided by Maxine Sandretto in correspondence dated March 15, 2012.



KPMG LLP
303 East Wacker Drive
Chicago, IL 60601-5212

**Independent Accountants' Report on State Compliance
and on Internal Control over Compliance
for State Compliance Purposes**

The Honorable William G. Holland
Auditor General of the State of Illinois

and

The Board of Trustees
University of Illinois:

Compliance

As Special Assistant Auditors for the Auditor General, we have examined the University of Illinois' compliance with the requirements listed below, as more fully described in the Audit Guide for Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (Audit Guide) as adopted by the Auditor General, during the year ended June 30, 2011. The management of the University of Illinois (the University) is responsible for compliance with these requirements. Our responsibility is to express an opinion on the University's compliance based on our examination.

- A. The University has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The University has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The University has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the University are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the University on behalf of the State or held in trust by the University have been properly and legally administered and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.



We conducted our examination in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States; the Illinois State Auditing Act (Act); and the Audit Guide as adopted by the Auditor General pursuant to the Act; and, accordingly, included examining, on a test basis, evidence about the University's compliance with those requirements listed in the first paragraph of this report and performing such other procedures as we considered necessary in the circumstances. We believe that our examination provides a reasonable basis for our opinion. Our examination does not provide a legal determination on the University's compliance with specified requirements.

In our opinion, the University of Illinois complied, in all material respects, with the requirements listed in the first paragraph of this report during the year ended June 30, 2011. However, the results of our procedures disclosed instances of noncompliance, which are required to be reported in accordance with criteria established by the Audit Guide, issued by the Illinois Office of the Auditor General and which are described in the accompanying schedule of findings and questioned costs as findings 11-01 through 11-03 and findings 11-32 through 11-37. As required by the Audit Guide, immaterial findings relating to instances of noncompliance excluded from this report have been reported in a separate letter to your office.

Internal Control

The management of the University is responsible for establishing and maintaining effective internal control over compliance with the requirements listed in the first paragraph of this report. In planning and performing our examination, we considered the University's internal control over compliance with the requirements listed in the first paragraph of this report as a basis for designing our examination procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with the Audit Guide issued by the Illinois Office of the Auditor General, but not for the purpose of expressing an opinion on the effectiveness of the University's internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the University's internal control over compliance.

Our consideration of internal control over compliance was for the limited purpose described in the preceding paragraph and was not designed to identify all deficiencies in internal control over compliance that might be significant deficiencies or material weaknesses and therefore, there can be no assurance that all deficiencies, significant deficiencies, or material weaknesses have been identified. However, as described in the accompanying schedule of findings and questioned costs we identified a certain deficiency in internal control over compliance that we consider to be a material weakness and other deficiencies that we consider to be significant deficiencies.

A deficiency in an entity's internal control over compliance exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct noncompliance with the requirements listed in the first paragraph of this report on a timely basis. A material weakness in internal control over compliance is a deficiency, or combination of deficiencies, in internal control such that there is a reasonable possibility that a material noncompliance with a requirement listed in the first paragraph of this report will not be prevented, or detected and corrected on a timely basis. We consider the deficiency in internal control over compliance described in the accompanying schedule of findings and questioned costs as finding 11-01 to be a material weakness.

A significant deficiency in internal control over compliance is a deficiency, or a combination of deficiencies, in internal control over compliance that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance. We



consider the deficiencies in internal control over compliance described in the accompanying schedule of findings and questioned costs as items findings 11-02 through 11-03 and 11-32 through 11-34 to be significant deficiencies.

Additionally, the results of our procedures disclosed other matters involving internal control over compliance, which are required to be reported in accordance with criteria established by the Audit Guide issued by the Illinois Office of the Auditor General and which are described in the accompanying schedule of findings and questioned costs as items 11-35 through 11-37. As required by the Audit Guide, immaterial findings relating to internal control deficiencies excluded from this report have been reported in a separate letter to your office.

The University's responses to the findings identified in our examination are described in the accompanying schedule of findings and questioned costs. We did not examine the University's responses and, accordingly, we express no opinion on them.

This report is intended solely for the information and use of the Illinois Auditor General, the Illinois General Assembly, the Illinois Legislative Audit Commission, the Governor of the State of Illinois, University management, the Board of Trustees of the University, others within the University, and federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

KPMG LLP

Chicago, Illinois
March 16, 2012



KPMG LLP
303 East Wacker Drive
Chicago, IL 60601-5212

**Independent Auditors' Report on Internal Control over Financial Reporting
and on Compliance and Other Matters Based on an Audit of Financial Statements
Performed in Accordance with *Government Auditing Standards***

The Honorable William G. Holland
Auditor General of the State of Illinois

and

The Board of Trustees
University of Illinois:

As Special Assistant Auditors for the Auditor General, we have audited the financial statements of the business-type activities and the aggregate discretely presented component units of the University of Illinois (the University), a component unit of the State of Illinois, as of and for the year ended June 30, 2011, which collectively comprise the University of Illinois basic financial statements and have issued our report thereon dated December 16, 2011. Our report was modified to include a reference to other auditors. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Other auditors audited the financial statements of the University of Illinois Foundation (a discretely presented component unit in accordance with *Government Auditing Standards*), as described in our report on the University's financial statements. This report does not include the results of the other auditors' testing of internal control over financial reporting or compliance and other matters that are reported on separately by those auditors. The financial statements of The University of Illinois Alumni Association, Wolcott, Wood, and Taylor, Inc., Prairieland Energy, Inc., Illinois Ventures, LLC, The University of Illinois Research Park, LLC, and UI Singapore Research, LLC (all discretely presented component units) were not audited in accordance with *Government Auditing Standards*.

Internal Control over Financial Reporting

Management of the University is responsible for establishing and maintaining effective internal control over financial reporting. In planning and performing our audit, we considered the University's internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the University's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the University's internal control over financial reporting.

Our consideration of internal control over financial reporting was for the limited purpose described in the preceding paragraph and was not designed to identify all deficiencies in internal control over financial reporting that might be significant deficiencies or material weaknesses and therefore, there can be no assurance that all deficiencies, significant deficiencies, or material weaknesses have been identified. However, as discussed below, we identified a certain deficiency in internal control over financial reporting that we consider to be a material weakness and other deficiencies that we consider to be significant deficiencies.

A deficiency in internal control over financial reporting exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct misstatements on a timely basis. A material weakness is a deficiency, or



combination of deficiencies, in internal control over financial reporting, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. We consider the deficiency in the University's internal control over financial reporting described as finding number 11-01 in the accompanying schedule of findings and responses to be a material weakness.

A significant deficiency is a deficiency, or combination of deficiencies, in internal control over financial reporting that is less severe than a material weakness, yet important enough to merit attention by those charged with governance. We consider the deficiencies described in the accompanying schedule of findings and responses as finding numbers 11-02 and 11-03 to be significant deficiencies in internal control over financial reporting.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the University's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

The University's responses to the findings identified in our audit are described in the accompanying schedule of findings and responses. We did not audit the University's responses and, accordingly, we express no opinion on them.

This report is intended solely for the information and use of the Illinois Auditor General, the Illinois General Assembly, the Illinois Legislative Audit Commission, the Illinois Governor, University management, the Board of Trustees of the University of Illinois, others within the University, and federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

KPMG LLP

Chicago, Illinois
December 16, 2011



KPMG LLP
303 East Wacker Drive
Chicago, IL 60601-5212

**Independent Auditors' Report on Compliance with
Requirements That Could Have a Direct and Material Effect on Each Major Program,
on Internal Control over Compliance, and on the Schedule of Expenditures
of Federal Awards in Accordance with OMB Circular A-133**

The Honorable William G. Holland
Auditor General of the State of Illinois

and

The Board of Trustees
University of Illinois:

Compliance

We have audited the compliance of the University of Illinois (the University) with the types of compliance requirements described in the US Office of Management and Budget (OMB) *Circular A-133 Compliance Supplement* (the Compliance Supplement) that could have a direct and material effect on each of the University's major federal programs for the year ended June 30, 2011, except the requirements discussed in the third paragraph of this report. The University's major federal programs are identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts, and grants applicable to each of its major federal programs is the responsibility of the University's management. Our responsibility is to express an opinion on the University's compliance based on our audit.

The schedule of expenditures of federal awards and our audit described below does not include expenditures of federal awards for those agencies determined to be component units of the University of Illinois for financial statement purposes.

We did not audit the University's compliance with the requirements governing the repayments special test and provision compliance requirement in accordance with the requirements of the Student Financial Assistance Cluster: Federal Perkins Loan program as described in the Compliance Supplement. Those requirements govern functions performed by Affiliated Computer Services, Inc. (ACS). Since we did not apply auditing procedures to satisfy ourselves as to compliance with those requirements, the scope of work was not sufficient to enable us to express, and we do not express, an opinion on compliance with those requirements. ACS' compliance with the requirements governing the functions that it performs for the University for the year ended June 30, 2011 was examined by the accountants for the servicer in accordance with the U.S. Department of Education's Audit Guide, *Audits of Federal Student Financial Assistance Programs at Participating Institutions and Institution Servicers*. Our report does not include the results of the accountants' examination of ACS' compliance with such requirements.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of*



States, Local Governments, and Non-Profit Organizations. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the University’s compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination on the University’s compliance with those requirements.

Qualifications (Noncompliance)

As identified below and described in the accompanying schedule of findings and questioned costs, the University did not comply with certain compliance requirements that are applicable to certain of its major federal programs as listed below. Compliance with such requirements is necessary, in our opinion, for the University to comply with requirements applicable to the identified major federal programs.

Federal Program	CFDA No.	Compliance Requirement(s)	Finding Number
Cooperative Extension Services	10.500	Allowable Costs/Cost Principles and Matching	11-04
Maternal and Child Health Services Block Grant to the States	93.994	Allowable Costs/Cost Principles	11-05

In our opinion, except for the noncompliance described in the preceding paragraph, the University complied, in all material respects, with the requirements referred to above that could have a direct or material effect on each of its major federal programs for the year ended June 30, 2011. The results of our auditing procedures also disclosed other instances of noncompliance with those requirements, which are required to be reported in accordance with OMB Circular A-133 and which are described in the accompanying schedule of findings and questioned costs as findings 11-04 through 11-27.

Internal Control over Compliance

The management of the University is responsible for establishing and maintaining effective internal control over compliance with requirements of laws, regulations, contracts, and grants applicable to federal programs. In planning and performing our audit, we considered the University’s internal control over compliance with requirements that could have a direct and material effect on a major federal program in order to determine the auditing procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the University’s internal control over compliance.

Requirements governing the repayments special test and provision compliance requirement in the Student Financial Assistance Cluster: Federal Perkins Loan program as described in the Compliance Supplement are performed by ACS. Internal control over compliance related to such functions for the year ended June 30, 2011 was reported on by accountants for the servicer in accordance with the U.S. Department of Education’s Audit Guide, *Audits of Federal Student Financial Assistance Programs at Participating Institutions and Institution Servicers*. Our report does not include the results of the accountants’ for the servicer testing of ACS’ internal control over compliance related to such functions.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and would not necessarily identify all deficiencies in the entity’s internal control over compliance that might be significant deficiencies or material weaknesses and therefore, there can be



no assurance that all deficiencies, significant deficiencies, or material weaknesses have been identified. However, as discussed below, we identified certain deficiencies in internal control over compliance that we consider to be material weaknesses and other deficiencies that we consider to be significant deficiencies.

A deficiency in internal control over compliance exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A material weakness in internal control over compliance is a deficiency, or combination of deficiencies, in internal control over compliance, that material noncompliance such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected on a timely basis. We consider the deficiencies in internal control over compliance described in the accompanying schedule of findings and questioned costs as items 11-04 through 11-11 and 11-13 through 11-20 to be material weaknesses.

A significant deficiency in internal control over compliance is a deficiency, or combination of deficiencies in internal control over compliance with a type of compliance requirement of a federal program, that is less severe than a material weakness in internal control over compliance yet important enough to merit attention by those charged with governance. We consider the deficiencies in internal control over compliance described in the accompanying schedule of findings and questioned costs as items 11-12 and 11-21 through 11-31 to be significant deficiencies.

Schedule of Expenditures of Federal Awards

We have audited the financial statements of the business-type activities and the aggregate discretely presented component units of the University of Illinois, a component unit of the State of Illinois, as of and for the year ended June 30, 2011 and have issued our report thereon dated December 16, 2011. Our report was modified to include a reference to other auditors. Our audit was performed for the purpose of forming opinions on the financial statements that collectively comprise the University of Illinois' basic financial statements. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in our opinion, is fairly stated, in all material respects, in relation to the basic financial statements taken as a whole.

The University's responses to the findings identified in our audit are described in the accompanying schedule of findings and questioned costs. We did not audit the University's responses, and accordingly, we express no opinion on the responses.

This report is intended solely for the information and use of the Illinois Auditor General, the Illinois General Assembly, the Illinois Legislative Audit Commission, the Governor of the State of Illinois, University management, the Board of Trustees of the University, others within the University, and federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

KPMG LLP

Chicago, Illinois

March 16, 2012, except for the schedule of expenditures of
federal awards as to which the date is December 16, 2011

**STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS**

Schedule of Findings and Questioned Costs

Year ended June 30, 2011

Summary of Auditor's Results

Financial Statements

Type of auditors' report issued: ***unqualified opinions***

Internal control over financial reporting:

- Material weakness(es) identified? ✓ Yes No
- Significant deficiency(ies) identified? ✓ Yes None reported

Noncompliance material to financial statements noted? Yes ✓ No

Federal Awards

Internal control over major programs:

- Material weakness(es) identified? ✓ Yes No
- Significant deficiency(ies) identified? ✓ Yes None reported

Type of auditors' report issued on compliance for major programs: ***See table below.***

Any audit findings disclosed that are required to be reported in accordance with Section. 510(a) of Circular A-133?

 ✓ Yes No

Identification of major programs:

<u>Name of Federal Program or Cluster</u>	<u>CFDA Number(s)</u>	<u>Type of Auditors' Report on Compliance</u>
Research and Development Cluster	Various	Unqualified
Cooperative Extension Services	10.500	Qualified
Supplemental Nutrition Assistance Program (SNAP)	10.551/10.561	Unqualified
Education and Human Resources	47.076	Unqualified
Student Financial Aid Cluster	Various	Unqualified
Temporary Assistance for Needy Families Cluster	93.558	Unqualified
Child Care Development Funds Cluster	93.575/93.596/93.713	Unqualified
Maternal and Child Health Services Block Grant to the States	93.994	Qualified

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Schedule of Findings and Questioned Costs

Year ended June 30, 2011

Dollar threshold used to distinguish between type A and type B programs: \$ 3,937,982

Auditee qualified as low-risk auditee? _____ Yes No

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Schedule of Findings and Questioned Costs

Year ended June 30, 2011

Current Findings – *Governmental Auditing Standards*

Finding 11-01 – Inadequate Year end Receivable and Payable Accruals Process

The University has not established adequate internal controls over accurately identifying and recording period end accounts payable and accounts receivable transactions for financial reporting purposes.

During our audit, we noted the University's year end accounts payable procedures include specifically reviewing cash disbursements made subsequent to year end through the fourth week in October to determine to which accounting period the related expense transactions pertained. We further noted the University's year end accounts payable procedures also include calculating and recording an estimate of unrecorded liabilities largely based on historical disbursement activity. In addition, the University performs reviews over cash disbursements subsequent to year end to track and monitor the actual level of unrecorded liabilities. The actual level of unrecorded liabilities is then compared to the estimate originally recorded for financial reporting purposes. The University's year end accounts receivable procedures require units to identify and report any accounts receivable. In relation to our testwork on revenue transactions, we reviewed 124 revenue transactions recorded during the fiscal year (totaling \$14,545,678) and 27 cash receipt transactions recorded subsequent to year end (totaling \$1,274,941). In relation to our testwork on expense transactions, we reviewed 205 expense transactions recorded during the fiscal year (totaling \$51,343,490) and 63 cash disbursements subsequent to year end (totaling \$39,192,830). During our review of these transactions we noted the following items were not recorded to the proper accounting period:

- Six educational activities revenue transactions for third party pharmacy billings (totaling \$370,897) which pertained to fiscal year 2010 but were recognized as revenue in fiscal year 2011.
- Three educational activities revenue transactions for drug information services billings (totaling \$52,356) which pertained to fiscal year 2011 but was recognized as revenue in fiscal year 2012.
- Two rental and lease revenue transactions (totaling \$813) which pertained to fiscal years 2010 and 2012 but were recognized as revenue in fiscal year 2011.
- One rental and lease revenue transaction (totaling \$1,016) which pertained to fiscal year 2012 but was recognized as revenue in fiscal year 2011.
- One rental and lease revenue transaction (totaling \$401) which pertained to fiscal year 2011 but was recognized as revenue in fiscal year 2010.
- Two educational activities revenue transactions for an accelerated corporate MBA cohort program (totaling \$955,642) which pertained to fiscal years 2009 and 2010 but were recognized as revenue in fiscal year 2011.
- One employee benefits expense transaction (totaling \$92,718) which pertained to fiscal year 2010 but was recognized as expense in fiscal year 2011.
- One supplies and services expense transaction (totaling \$34,553) which pertained to fiscal year 2011 but was recognized as expense in fiscal year 2012.
- Two travel expense employee reimbursements (totaling \$5,120) which pertained to fiscal year 2010 but were recognized as expense in fiscal year 2011.

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Schedule of Findings and Questioned Costs

Year ended June 30, 2011

Current Findings – *Governmental Auditing Standards*

- One scholarships and fellowships expense transaction (totaling \$1,250) which pertained to fiscal year 2010 but was recognized as expense in fiscal year 2011.
- Two supplies and services expense transactions (totaling \$44,020) which pertained to fiscal year 2010 but were recognized as expense in fiscal year 2011.

Generally accepted accounting principles require transactions to be reported in the period they are incurred. Additionally, the Fiscal Control and Internal Auditing Act (Illinois Compiled Statutes Chapter 30 Section 10/3001), requires the University to establish and maintain a system, or systems, of internal fiscal and administrative controls, which shall provide assurance that: (1) resources are utilized efficiently, effectively, and in compliance with applicable law; (2) obligations and costs are in compliance with applicable law; (3) funds, property, and other assets and resources are safeguarded against waste, loss, unauthorized use, and misappropriation; (4) revenues, expenditures, and transfers of assets, resources, or funds applicable to operations are properly recorded and accounted for to permit the preparation of accounts and reliable financial and statistical reports and to maintain accountability over the State's resources; and (5) funds held outside the State Treasury are managed, used, and obtained in strict accordance with the terms of their enabling authorities and that no unauthorized funds exist. The University's system of internal controls should include procedures to accurately assess whether expenditures and revenues are reported in the appropriate period.

In discussing these conditions with University personnel, they stated that the units associated with the exceptions did not adequately understand/follow the procedures required to record the transactions in the proper period.

Failure to accurately analyze cash disbursements and receipts subsequent to year end may result in the misstatement of the University's financial statements. (Finding Code 11-01, 10-03, 09-03)

Recommendation:

We recommend the University review its current process to assess the completeness of its revenue and expense accruals at year end and consider changes necessary to ensure all period end accounts payable and accounts receivables are accurately identified and recorded.

University Response:

Accepted. The University will review existing procedures in these areas and take corrective action to address the recommendation in this finding.

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Schedule of Findings and Questioned Costs

Year ended June 30, 2011

Current Findings – *Governmental Auditing Standards*

Finding 11-02 – Inadequate Controls over User Access to Information Systems

The University has not established adequate internal controls over access to the information systems used in its financial reporting process.

The University operates an Enterprise Resource Planning (ERP) system to manage the activities of the University, in addition to operating and supporting information systems for purchasing and human resource. The University functions in a highly distributed operating environment with several thousand users having varying types of system access. Access is granted to users of the University's information systems based on standardized user access profiles. The standardized user profiles are intended to assist the University in limiting access to the information systems based upon the assigned job functions of the specific users to which the profiles are assigned. The University has implemented a process to review standardized user profiles, train unit security contacts, and perform an annual access review for the Banner ERP system. However, the annual access reviews are not consistently and formally documented to provide evidence supporting the results of each user review. Further, the University has not performed a periodic access review of the human resources supporting information system.

In addition to the internal control deficiencies identified above, during our review of user access rights we identified several users with access rights that were inappropriate based on their roles and job functions presenting segregation of duties conflicts and the risk that erroneous or fraudulent transactions may be recorded in the general ledger. We identified the following exceptions regarding improper authorization or inappropriate access rights based upon review of each user's job function:

- There are 161 terminated users (out of 418 total terminated users) with active accounts that were not removed in a timely manner.
- There were 43 users (out of 99 total users) with inappropriate access to update accrued leave or sick time in Banner. None of these 43 users appeared to have performed inappropriate transactions.
- There were 8 users (out of 44 total users) with inappropriate access to release financial holds from a student account in Banner.
- There were 3 users (out of 84 total users) with inappropriate access to update employee pay rates in Banner.
- There was one user (out of 21 total users) with inappropriate access to update tuition rates and fees and student rate codes in Banner.
- There was one user (out of 45 total users) with inappropriate access to apply and unapply payments on student accounts in Banner.
- There was one user (out of 14 total users) with inappropriate access to update the vendor master file in Banner.
- There were two users (out of 17 total users) with inappropriate administrative access to the human resources supporting information system.
- There was one generic user account (out of 4,481 total user accounts) with inappropriate access to the purchasing information system.

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Year ended June 30, 2011

Current Findings – *Governmental Auditing Standards*

- There were two new user accounts (out of a sample of 30 new user accounts) that were not properly authorized.

Further, we noted reviews of terminated employees with access to the information systems are not being performed effectively. Beginning in August 2010, the University's information technology department began implementing procedures to perform terminated employee access reviews on a daily basis. However, this procedure alone was not sufficient to provide timely removal of access of terminated employees. In addition, there are no procedures in place to monitor user access rights for employees who transfer positions and change job functions.

The Fiscal Control and Internal Auditing Act (Illinois Compiled Statutes Chapter 30 Section 10.3001), requires the University to establish and maintain a system, or systems, of internal fiscal and administrative controls, which shall provide assurance that: (1) resources are utilized efficiently, effectively, and in compliance with applicable law; (2) obligations and costs are in compliance with applicable law; (3) funds, property, and other assets and resources are safeguarded against waste, loss, and unauthorized use; (4) revenues, expenditures, and transfers of assets, resources, or funds applicable to operations are properly recorded and accounted for to permit the preparation of accounts and reliable financial and statistical reports and to maintain accountability over the State's resources; and (5) funds held outside the State Treasury are managed, used, and obtained in strict accordance with the terms of their enabling authorities and that no unauthorized funds exist. The University's system of internal controls should include procedures to ensure access rights granted to University employees are appropriate and to document monitoring procedures of the appropriateness of access levels on a continuing basis. In addition, generally accepted information technology guidance endorses the development of well-designed and well-managed controls to protect computer systems and data. Effective computer security controls provide for safeguarding, securing, and controlling access to systems, properly segregating incompatible duties, and protecting against misappropriation.

In discussing these conditions with University personnel, they stated that they agreed with the exceptions noted in this finding. They further noted that work had been underway to address many of the weakness noted.

Failure to properly assign and monitor user access rights may result in erroneous or fraudulent transactions being recorded in the general ledger system. Without adequate security over access rights, there is a greater risk that unauthorized changes or additions to the University's financial systems could occur and not be detected in a timely manner. If access rights are not reviewed and updated based on job responsibilities on a regular basis, there is a greater risk that transactions can be recorded by unauthorized individuals. (Finding Code 11-02, 10-01, 09-01, 08-05)

Recommendation:

We recommend the University implement procedures to formally document the reviews of user access rights, and maintain documentation of the results of those reviews, to ensure that the access rights granted to each user are appropriate based on their job responsibilities and that the planned level of segregation of duties is achieved on a continuing basis. Additionally, we recommend the University implement procedures to monitor user access rights for employees who transfer positions and change job functions and implement procedures to ensure reviews of user access rights for terminated employees are effectively performed.

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Schedule of Findings and Questioned Costs

Year ended June 30, 2011

Current Findings – *Governmental Auditing Standards*

University Response:

Accepted. The University's decentralized operating environment involves several thousand users, in hundreds of departments across the three campuses. These users are engaged in a variety of business and administrative functions necessary to perform the mission of the University. The University does have processes in place to limit the ability of users to perform transactions, but does agree that improvement to the user access control environment is needed and will be beneficial. The University has been working steadily to improve information systems access controls over the past year and will take the necessary corrective action going forward to address the recommendation in this finding.

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Schedule of Findings and Questioned Costs

Year ended June 30, 2011

Current Findings – *Governmental Auditing Standards*

Finding 11-03 – Inadequate Controls over University Procurement Card Transactions

The University has not established adequate internal controls over procurement card transactions.

The University operates a procurement card program which allows authorized employees throughout the University to make smaller qualified purchases (defined as less than \$4,999) on a charge card which is directly reimbursed by the University on a monthly basis. The University's policies require employees assigned a procurement card to complete training on policies and procedures, pass a test, and sign an agreement stipulating they will use the card in accordance with University policy. This agreement is also required to be authorized by the employee's supervisor or the department head. The University's policies require transactions incurred on the procurement card to be approved in the University's procurement card system by the individual cardholder and an assigned reviewer. Although the University has established policies and procedures for issuing procurement cards, incurring and paying for expenditures with procurement cards, and reviewing and approving of procurement card transactions, we noted the University has not implemented procedures to identify duplicate charges or to reconcile procurement card transactions with travel reimbursement forms. As a result, erroneous or duplicate charges may be paid and recorded by the University without any further detective controls to identify them. We also identified the following exceptions in our testwork over 40 procurement card transactions (totaling \$146,809):

- One transaction (totaling \$2,557) was for charges prohibited by the University's procurement card policies.
- Two transactions (totaling \$12,680) were each paid in two installments, circumventing the card holders' approved single transaction limit of \$4,999.
- Two transactions (totaling \$19,364) exceeded the single transaction limit of \$4,999.
- Two transactions (totaling \$2,664) were not reviewed and approved by an assigned reviewer.

The University has approximately 5,100 active procurement cards and the procurement card expenditures paid for the year ended June 30, 2011 totaled \$98,042,483.

The Fiscal Control and Internal Auditing Act (Illinois Compiled Statutes Chapter 30 Section 10/3001), requires the University to establish and maintain a system, or systems, of internal fiscal and administrative controls, which shall provide assurance that: (1) resources are utilized efficiently, effectively, and in compliance with applicable law; (2) obligations and costs are in compliance with applicable law; (3) funds, property, and other assets and resources are safeguarded against waste, loss, unauthorized use, and misappropriation; (4) revenues, expenditures, and transfers of assets, resources, or funds applicable to operations are properly recorded and accounted for to permit the preparation of accounts and reliable financial and statistical reports and to maintain accountability over the State's resources; and (5) funds held outside the State Treasury are managed, used, and obtained in strict accordance with the terms of their enabling authorities and that no unauthorized funds exist. The University's system of internal controls should include procedures to ensure procurement card transactions are in accordance with University policies and procedures and supporting documentation for each transaction is maintained.

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Current Findings – *Governmental Auditing Standards*

In discussing these conditions with University personnel, they stated the University is still in the process of implementing its new Travel and Expense Management System, which will provide controls, in addition to those already in place, to further eliminate the possibility of duplicate transactions with regard to travel reimbursements. The bulleted exceptions noted in this finding are a result of human error; specifically, the failure of certain employees to comply with University policy that is clearly stated and disseminated to all through required training.

Failure to properly review and approve procurement card transactions could result in erroneous or fraudulent transactions being recorded in the general ledger system. (Finding Code 11-03, 10-02, 09-02, and 08-03)

Recommendation:

We recommend the University review its current process for reviewing and approving procurement card transactions and consider any changes necessary to ensure charges are made in accordance with University policies and procedures and supporting documentation for each transaction is maintained. We also recommend the University implement procedures to identify duplicate transactions and to reconcile procurement card transactions to travel reimbursement forms.

University Response:

Accepted. The University recognizes that with approximately 5,100 active procurement cards, erroneous charges can and do occur. The University employs careful oversight and review to ensure these errors are minimal, and it takes immediate action when errors are discovered. The University will continue to be proactive in improving controls over the P-Card system and will continue to provide training and review of policies and requirements for all cardholders. The University anticipates completing implementation of its new Travel and Expense Management System, which will provide additional controls related to employee travel reimbursements, by the end of fiscal year 2012.

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Year ended June 30, 2011

Current Findings – *Federal Compliance*

Federal Agency: US Department of Agriculture (USDA)

Program Name: Research and Development Cluster
Cooperative Extension Services

CFDA # and Program Expenditures: Various (\$580,892,475)
10.500 (\$9,016,665)

Award Numbers: Various (R&D)

60023284-PO-RF01186898/60019432-PO-RF01186910/101K931/2008-1004-
12/11RCCAFS80/2009-48687-05382/2008-41530-04695/2008-41210-04821/2008-41210-
04813/2008-41530-19430/ 2009-41534-05441/2009-48697-06083/2009-48701-06090/2009-
41530-05950/2009-48679-01300/2010-41534-21419/2010-41530-21747/2010-41533-
21670/2010-48714-21827/ 8000041655/S11164/H001911904/11-006323/25-6324-0097-
008/25-6324-0097-020/10-ACES-374584/ S10179/Y553769/ H408906008/8000026125-
AG/H408904313/H001226812/25-6324-0081-316/S08030/S08064 (10.500)

Questioned Costs: Cannot be determined

Finding 11-04 *Inadequate Documentation for Payroll and Fringe Benefit Expenditures*

The University does not have adequate documentation of payroll and fringe benefit expenditures for employees at the Urbana campus who work on the CES program or the Hatch Grant under the Research and Development Cluster program.

The University does not obtain effort certifications for employees who work on the CES program or the Hatch Grant under the Research and Development Cluster program as required by federal regulations. We reviewed a sample of 40 payroll and fringe benefit expenditures totaling \$296,716 for the CES program and 3 payroll and fringe benefit charges totaling \$3,603 for the Hatch Grant noting that the effort of these individuals was charged to multiple activities; however, effort certifications were not obtained. Additionally, we noted effort certifications were not obtained for any of the payroll charges used to meet the cost sharing (matching) requirements of the CES program and Hatch Grant. Total payroll and fringe benefit expenditures charged to the CES program for the fiscal year ended June 30, 2011 were \$2,840,684 and \$2,469,678 respectively. Total payroll and fringe benefit expenditures charged to the Hatch Grant for the fiscal year ended June 30, 2011 were \$1,756,265 and \$83,814 respectively. Total payroll and fringe benefit expenditures used to meet the cost sharing (matching) requirement of the CES program and Hatch Grant for the year ended June 30, 2011 were \$9,797,419 and \$14,527,032, respectively. No indirect costs were charged to the CES program or Hatch Grant.

We did note that bi-weekly time reports are prepared for most employees. However, these bi-weekly time reports, which are prepared on both a positive and negative (exception) basis depending on the type of employee, do not include the activities of the employee as required by OMB Circular A-21.

Our audit identified other controls and processes that the University has implemented to mitigate the risk that payroll costs are improperly charged to a federal program. These include required reviews and approvals of the

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Schedule of Findings and Questioned Costs

Year ended June 30, 2011

Current Findings – *Federal Compliance*

initial appointments of employees (i.e. allocation to federal and nonfederal projects) and monthly reviews by principal investigators (PI's) of labor distribution reports and project ledgers. However, the monthly review by principal investigators is not documented.

Section K of Chapter 3 of the Administrative Handbook for Cooperative Extension Work (dated May 1994) requires compensation of personal services for individuals working on multiple activities to be documented in accordance with the provisions of OMB Circular A-21, *Cost Principles for Higher Education Institutions* (OMB Circular A-21) which establishes principles and standards for determining costs for federal awards carried out through grants, cost reimbursements contracts, and other agreements with higher education institutions. To be allowable under federal awards, costs must meet certain general criteria. Those criteria, among other things, require that the expenditures must be allocable, reasonable, and supported by adequate documentation. OMB Circular A-21 (Section J.10) requires that the distribution of salaries and wages for professorial and professional personnel be supported by semi-annual or monthly effort certifications under the after the fact activity report method.

OMB Circular A-21, *Cost Principles for Higher Education Institutions*, establishes principles and standards for determining costs for federal awards carried out through grants, cost reimbursements contracts, and other agreements with higher education institutions. To be allowable under federal awards, costs must meet certain general criteria. Those criteria, among other things, require that the expenditures must be allocable, reasonable, and supported by adequate documentation. OMB Circular A-21 (Section J8) requires that the payroll distribution system will allow confirmation of activity allocable to each sponsored agreement and each of the activity needed to identify F & A costs and the functions to which they are allocable.

Additionally, OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations*, requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure payroll expenditures are properly supported in accordance with OMB Circular A-21.

In discussing these conditions with University officials, they stated they believe the University systems provide adequate supporting documentation for payroll and fringe benefit expenditures claimed for federal reimbursement and cost sharing (matching) under the CES and the Hatch Grant within the Research and Development Cluster.

Inadequate documentation and lack of required effort certifications may result in the federal funds being expended for unallowable purposes. (Finding Code 11-04, 10-05, 09-05)

Recommendation:

We recommend the University implement procedures to ensure documentation exists to substantiate the after-the-fact confirmation of activity allocable to each federal grant and cost share by the respective employee, principal investigator, or a responsible official.

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Year ended June 30, 2011

Current Findings – *Federal Compliance*

University Response:

Not Accepted. This is a repeat finding under resolution with the U.S. Department of Agriculture. Historically, the University has utilized the Activity Reporting System (ARS) and the Banner Time Reporting System to meet reporting requirements associated with receipt of federal formula funds allocated to the University of Illinois. Use of these systems is consistent with guidance outlined in the Administrative Manual for the Hatch Act (page 10) and the Administrative Handbook for Cooperative Extension Work (pages 3-28, 29).

ARS is a campus-based system for monitoring, validating and reporting activities and effort in the primary mission areas including instruction, research and outreach. The Banner Time Reporting System captures funding sources and hours worked for all employees paid in a non-salaried, biweekly manner. Supervisors and authorized unit personnel certify accuracy when approving work or benefit time reported in this system.

Programmatic oversight for use of the federal formula funds is achieved through annual reporting to USDA through the Plan of Work. Additionally, the Planning, Reporting and Evaluation System (PRES) brings together several reporting features for Extension professional field staff and hourly program staff that include activity reporting, contact reporting, leave reporting for professional field staff, plan of work impact reporting, and annual self-evaluations. Financial oversight is achieved through the filing of annual financial reports that outline the amount of appropriation expended, and the amount of required match made available to support the research and extension programs at Illinois in any given fiscal year. Financial information from University accounting systems is utilized to document expenditures associated with federal formula funds and also to document the pool of allowable expenditures associated with required matching.

Given the unique nature of the federal formula fund appropriations, the University believes its systems provide sufficient documentation to meet the requirements for programmatic and financial reporting as outlined in the administrative manuals associated with these funding streams and additionally, Circular A-21 requirements. However, the University is in the process of adding additional procedures to ensure documentation exists that will substantiate the after-the-fact confirmation of activity allocable to each federal formula fund and cost share by the respective employee, principal investigator, or a responsible official. Beginning in fiscal year 2012, the University, in collaboration with USDA, is refining a methodology, similar to that used for the sponsored projects, which will provide additional documentation related to this confirmation process.

Auditors' Comment:

As noted in the finding above, the University does not obtain effort certifications for employees who work on the CES program or the Hatch Grant under the Research and Development Cluster program. Additionally, bi-weekly time reports do not include the activities of employees. Although we acknowledge there are other controls and processes the University has implemented to mitigate the risk that payroll costs are improperly charged to a federal program, we believe the University is not in compliance with documentation requirements for payroll costs under OMB Circular A-21.

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Current Findings – *Federal Compliance*

Federal Agency: US Department of Agriculture (USDA)
US Department of Defense (USDOD)
US Department of Housing and Urban Development (USHUD)
US Department of Commerce (USDOC)
US Department of the Interior (USDOI)
US Department of Justice (USDOJ)
US Department of Transportation (USDOT)
US Library of Congress (USLOC)
National Aeronautics and Space Administration (NASA)
US Department of Veterans Affairs (USDVA)
National Science Foundation (NSF)
US Environmental Protection Agency (USEPA)
US Department of Energy (USDOE)
US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)
Corporation for National and Community Service (CNCS)
US Social Security Administration (USSSA)
US Department of Homeland Security (USDHS)
US Agency for International Development (USAID)

Program Name: Research and Development Cluster
Supplemental Nutrition Assistance Program (SNAP)
Education and Human Resources
AIDS Education and Training Centers (AIDS)
Maternal and Child Health Services Block Grant to the States (MCH Block Grant)

CFDA # and Program Expenditures: Various (\$580,892,475)
10.551/10.561 (\$7,285,325)
47.076 (\$4,069,757)
93.145 (\$3,056,530)
93.994 (\$6,316,123)

Award Numbers: Various (R&D)
81X6287000(10.551/10.561)
07-15088FLW/08-31820/08-30884/06-22573MIST/08 17185/0850213/Y410676/0549245/
53636 (47.076)
6H4HA00062-09-01/6H4AHA00062-08-01/HF4ETH08PPTRJASW00 (93.145)
03789004/93789004/53789004/L11G6788000/11G6788000/11G6518000/11G6517000/11G
6 517000(93.994)

Questioned Costs: Cannot be determined

Finding 11-05 *Inadequate Documentation for Payroll and Fringe Benefit Expenditures*

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Year ended June 30, 2011

Current Findings – *Federal Compliance*

The University does not have adequate documentation of payroll and fringe benefit expenditures for employees who work on the Research and Development Cluster program and MCH Block Grant.

Bi-weekly time reports are prepared for non-academic and hourly personnel. These bi-weekly time reports, which are prepared on both a positive and negative (exception) basis depending on type of employee, are intended to meet the effort reporting requirements of OMB Circular A-21, *Cost Principles for Higher Education Institutions*. Supervisors review and approve the time and the respective federal and nonfederal project (fund) allocations.

During our testwork over 84 payroll charges for the Research and Development Cluster program and 40 payroll charges for the MCH program, we noted the following:

- For one R&D employee paid \$322 (from awards #NSF-DRL-0918434) and four MCH employees paid \$5,760 at the Chicago campus, the hours charged and certified by the employee were subsequently transferred to a new award and were not recertified.
- For six R&D employees paid \$5,895 (from awards #5-ULI-RR029879-03, NIOSH-5-T42-OH008672-06, #CDC-5-U58-DP001017-04, and #DARPA-HR0011-10-C-0105) at the Chicago campus, the timesheet selected for testwork was prepared and reviewed by the same individual.
- For two R&D employees paid \$1,539 (from awards #5-ULI-RR0229879-03 and #NCI-2-P01CA098262-06A1) at the Chicago campus, the timesheet selected for testwork did not identify the fund codes for the projects being charged.
- For two R&D employees paid \$1,250 (from awards #Army-W911NF-09-1-0436 and #DOE-DE-FG07-07ID14891), the Urbana campus was unable to provide the timesheet selected for testwork.
- For one R&D employee paid \$224 (from award #SNP-5-R01-DA025039-04), the Chicago campus was unable to provide the timesheet selected for testwork. Additionally, the payroll charge selected for testwork was paid and expended in fiscal year 2011 but related to work performed during fiscal year 2010.
- For two R&D employees paid \$2,804 (from awards #Rutgers-U-PO-S1299015-ORG-10589 and #NCI-2-PO1-CA098262-06A1) at the Chicago campus, the timesheet selected for testwork did not identify the fund codes for the projects being charged. Additionally, the timesheets were printed and manually approved instead of going through the normal electronic approval process.

The payroll and fringe benefit costs charged to the major programs were as follows:

Program Name	Payroll Expenditures	Fringe Benefit Expenditures	Total
Research and Development	\$194,723,968	\$43,692,404	\$238,416,372
MCH Block Grant	1,344,669	426,021	1,770,690

Additionally, associated indirect costs are estimated to be 57 to 58.5% of the payroll and fringe benefit costs, excluding research training grants.

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Current Findings – *Federal Compliance*

Our audit identified other controls and processes that the University has implemented to mitigate the risk that payroll costs are improperly charged to a federal program. These include required reviews and approvals of the initial appointments of employees (i.e. allocation to federal and nonfederal projects) and monthly reviews by principal investigators (PI's) of labor distribution reports and project ledgers. However, the monthly review by PI's is not documented.

OMB Circular A-21, *Cost Principles for Higher Education Institutions*, establishes principles and standards for determining costs for federal awards carried out through grants, cost reimbursements contracts, and other agreements with higher education institutions. To be allowable under federal awards, costs must meet certain general criteria. Those criteria, among other things, require that the expenditures must be allocable, reasonable, and supported by adequate documentation.

Additionally, OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations*, requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure payroll and expenditures are properly supported in accordance with OMB Circular A-21 and represent actual expenditures that have been paid or incurred.

In discussing these conditions with University officials, they stated the missing timesheets at the Urbana campus were unable to be located. The Chicago campus implemented a compliant web time approval process for bi-weekly employees whose salaries are charged to federal awards. The process was implemented mid-fiscal year, immediately after the completion of the fiscal year 2010 A-133 audit. The test sample for the UIC employee population included pay periods prior to the implementation of the new process. The bi-weekly time approval process includes attestation by the person best suitable to attest to the hours worked and accounts charged.

Inadequate documentation and lack of required effort certifications may result in the federal funds being expended for unallowable purposes. (Finding Code 11-05, 10-04, 09-04)

Recommendation:

We recommend the University implement procedures to ensure documentation exists to substantiate the after-the-fact confirmation of activity allocable to each federal grant and cost share by the respective employee, principal investigator, or a responsible official.

University Response:

Accepted. The Urbana campus agrees that two timesheets were unavailable to be provided as support. We believe that these are isolated instances and not indicative of ineffective controls. The Urbana campus will continue to stress the importance of adequate documentation.

In fiscal year 2011, the University of Illinois at Chicago implemented a compliant web time approval process for bi-weekly employees whose salaries are charged to federal awards. The process was implemented mid-fiscal year. The test sample for the UIC employee population included pay periods prior to the implementation of the new process.

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Year ended June 30, 2011

Current Findings – *Federal Compliance*

Federal Agency: US Department of Health and Human Services (USDHHS)

Program Name: Maternal and Child Health Services Block Grant to the States (MCH Block Grant)

CFDA # and Program Expenditures: 93.994 (\$6,316,123)

Award Numbers: 03789004/93789004/53789004/L11G6788000/11G6788000/11G6518000/11G6517000/
11G6 517000(93.994)

Questioned Costs: Cannot be determined

Finding 11-06 *Incomplete Documentation in Client Eligibility Files*

The University did not maintain documentation supporting client eligibility determinations made for the MCH Block Grant.

MCH Block Grant funds are used to provide care to special needs children who meet a variety of program eligibility requirements which include medical, financial, and other general criteria. During our testwork of 40 beneficiary payments claimed under the MCH program, we noted two beneficiaries (receiving payments totaling \$13,183) for which information used to complete the financial need determination was not available. Specifically, the University could not locate tax returns, pay stubs, or other documentation supporting the family income reported for these beneficiaries. Total beneficiary payments for the MCH Block Grant were \$4,296,503 for the fiscal year ended June 30, 2011

According to 89 Illinois Administrative Code, Chapter X, Section 1200.50, financial assistance is provided to meet medical care expenses for children who meet the criteria of the State's financial need determination. Additionally, OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations*, requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal control should include procedures to ensure documentation is maintained to support eligibility determinations.

In discussing these conditions with University officials, they stated the documentation supporting family in these two cases was shredded.

Failure to adequately document eligibility determinations may result in ineligible beneficiaries receiving services for which they are not eligible. (Finding Code 11-06)

Recommendation:

We recommend the University review its current procedures for documenting eligibility determinations and implement any changes necessary to ensure eligibility determinations are documented in accordance with program regulations.

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Year ended June 30, 2011

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University Response:

Accepted. The DSCC procedures for documenting eligibility determinations will be revised in accordance with program regulations for all active program files.

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Current Findings – *Federal Compliance*

Federal Agency: US Department of Agriculture (USDA)
US Department of Defense (USDOD)
US Department of Housing and Urban Development (USHUD)
US Department of Commerce (USDOC)
US Department of the Interior (USDOI)
US Department of Justice (USDOJ)
US Department of Transportation (USDOT)
US Library of Congress (USLOC)
National Aeronautics and Space Administration (NASA)
US Department of Veterans Affairs (USDVA)
National Science Foundation (NSF)
US Environmental Protection Agency (USEPA)
US Department of Energy (USDOE)
US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)
Corporation for National and Community Service (CNCS)
US Social Security Administration (USSSA)
US Department of Homeland Security (USDHS)
US Agency for International Development (USAID)

Program Name: Research and Development Cluster
Cooperative Extension Services
Supplemental Nutrition Assistance Program (SNAP)
Education and Human Resources
National Resource Centers Program for Foreign Language and Area Studies or Foreign
Language and International Studies Program and Foreign Language and Area Studies
Fellowship Program (Foreign Language)
Student Financial Assistance Cluster
AIDS Education and Training Centers (AIDS)
Temporary Assistance for Needy Families Cluster
Child Care and Development Fund Cluster
Maternal and Child Health Services Block Grant to the States (MCH Block Grant)
Homeland Security Cluster

CFDA # and Program Expenditures: Various (\$580,892,475)
10.500 (\$9,016,665)
10.551/10.561 (\$7,285,325)
47.076 (\$4,069,757)
84.015 (\$3,252,991)
84.007/84.033/84.038/84.063/84.268/84.375/84.376/
84.379/93.264/93.342/93.364/93.925 (\$614,016,160)
93.145 (\$3,056,530)
93.558 (\$5,770,781)

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Current Findings – *Federal Compliance*

93.575/93.596/93.713 (\$5,093,994)

93.994 (\$6,316,123)

97.067 (\$2,254,375)

Award Numbers: Various (R&D)

60023284-PO-RF01186898/60019432-PO-RF01186910/101K931/2008-1004-12/11RCCAFS80/2009-48687-05382/2008-41530-04695/2008-41210-04821/2008-41210-04813/2008-41530-19430/ 2009-41534-05441/2009-48697-06083/2009-48701-06090/2009-41530-05950/2009-48679-01300/2010-41534-21419/2010-41530-21747/2010-41533-21670/2010-48714-21827/ 8000041655/S11164/H001911904/11-006323/25-6324-0097-008/25-6324-0097-020/10-ACES-374584/S10179/Y553769/H408906008/8000026125-AG/H408904313/H001226812/25-6324-0081-316/S08030/S08064 (10.500)
81X6287000(10.551/10.561)
07-15088FLW/08-31820/08-30884/06-22573MIST/08 17185/0850213/Y410676/0549245/53636 (47.076)
P007A091306/P007A101274/P063P103182/P063Q10011/P063P100118/P063P090118/P063P080118/P375A103182/P375A100118/P376S103182/P376S100118/P379T113182/P379T10118/P379T100118/T08HP18608A0-01/T08HP18687/T08HP13156-01-00 (84.007/84.033/84.038/84.063/84.68/84.375/84.376/84.379/93.264/ 93.342/ 93.364/93.925)
P015B100095/P015A100095/P015B100146/P015B100065/P015B100183/P015B100043/P015A100146/P015A100065/P015A100183/P015A100043/P015A060091/P015A060066/P015B060066/P015A060013/P015A060115/P015A060171/P015A060041/P015A060136/P015B060041/P015B060013/P015B060136/ P015B060115/ P015B060171/ P015B060091/P015A030141 (84.015)
6H4HA00062-09-01/6H4AHA00062-08-01/HF4ETH08PPTRJASW00 (93.145)
81X6957000 (93.558)
81X6522000 (93.575/93.596/93.713)
03789004/93789004/53789004/L11G6788000/11G6788000/11G6518000/11G6517000/11G6517000(93.994)
2009-05954/2010-07244/2009-05954/ ITTF FFY 2010/11CPSJ911/09RICP 2010-02975/ 09 IFSI DTT/ IFSI 2009-00904/08RICP 2009-01112 (97.067)

Questioned Costs: None

Finding 11-07 *Inadequate Procedures for Closing Federal Projects*

The University does have adequate procedures in place to ensure federal projects are closed in a timely manner.

The University administers thousands of individual federal projects from several federal agencies and pass-through entities which have varying project periods. The University has formally documented policies and procedures for closing out federally funded projects which generally require projects to be closed within 90 days after the project end date. Procedures have been established to send a notice of terminating accounts to the principal investigator or program coordinator 90 days prior to the project end date. The notice provides

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Current Findings – *Federal Compliance*

information about the process for closing projects and includes an information request for any extensions granted and other project information necessary to complete the project close out. Personnel in the Grants and Contracts Office are responsible for ensuring the University has met its obligations under the project, closing the general ledger accounts, and returning any unexpended grant funds to the federal agency or pass-through entity.

During our review of the schedule of expenditures of federal awards for the year ended June 30, 2011, we noted expenditures (or negative expenditures) were reported for several projects with end dates prior to June 30, 2009. Specifically, we noted the following:

Year ended	Number of projects with end date during fiscal year	Year ended June 30, 2011		
		Number of cost transfers	Dollar amount of positive cost transfers	Dollar amount of negative cost transfers
June 30, 2000	1	2	\$2,136	\$ –
June 30, 2002	2	3	–	(2,136)
June 30, 2003	2	22	–	(62,138)
June 30, 2004	3	12	22	(59,792)
June 30, 2005	6	110	11,104	(130,441)
June 30, 2006	6	101	34,665	(121,385)
June 30, 2007	14	61	13,163	(16, 833)
June 30, 2008	31	507	64,449	(508,907)
June 30, 2009	76	1,711	317,969	(448,107)
Totals	141	2,529	443,508	(1,349,739)

Upon review of a sample of 40 transactions recorded in projects with end dates prior to June 30, 2009, we noted the vast majority of the transactions selected were to transfer expenditures to the correct project accounts. The underlying transactions being transferred had been erroneously recorded to an incorrect project several years prior to the date of the transfer. Accordingly, the periodic financial reports previously submitted for several of the University’s federally funded projects inaccurately included or excluded project expenditures which were later transferred between projects.

OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations* (OMB Circular A-110) requires accurate, current, and complete disclosure of the financial results of each federally-sponsored project or program in accordance with the applicable reporting criteria. OMB Circular A-110 also requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure expenditures (including cost transfers) are allowable in accordance with federal regulations.

In discussing these conditions with University officials, they stated there a variety of reasons for delays in grant close-outs.

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Year ended June 30, 2011

Current Findings – *Federal Compliance*

Failure to close projects and process necessary cost transfers in a timely manner may result in inaccurate periodic financial reports and disallowances of costs. (Finding Code 11-07, 10-11, 09-13)

Recommendation:

We recommend the University implement procedures to monitor the timeliness of project close outs. Additionally, the University should review its current processes to identify any additional procedures necessary to reduce the number of late cost transfers being processed upon the close out of its federal projects.

University Response:

Accepted. However, the University disagrees with the data in the audit firm's table presented above. The overall total of 141 awards reported as having expenditure activity in fiscal year 2011 is not representative of the true population.

The full population of all awards that were closed (termed) on all campuses during fiscal year 2011 was 2,379 awards in total. For all Campuses, the total number of awards active during fiscal year 2011 was 8,781 of which 5,458 are federally funded.

2,529 individual expenditure transaction entries are noted in the tables above. This is the total number of expenditure transactions posted to the awards; not all of these transactions are cost transfers. 1,342 of the transactions (~53% of the total) are system-generated assessments for fringe benefits or F&A that automatically post in the accounting system subsequent to the direct expenditure posting. 97 transactions are administrative adjustments posted by the central administrative offices to correct fringe benefit or F&A assessments. Of the remaining 1,090 transactions, 1,006 (~92% of the remaining) are adjusting entries with an absolute value of \$100 or less. Many of these entries were necessary bookkeeping adjustments needed to zero out the funds to a status where budget/expenditures/cash collected values are equal. This was required before the awards could be administratively closed out (termed) in the financial accounting system.

Further, the University notes that while the close-out process will apply to all the awards listed within this finding, many of the awards specifically listed in this finding are currently active.

The University acknowledges the grant closeout process can be complicated and delays may occur for a variety of reasons. There are instances of late award close-out. The causes of a late close-out vary depending on the award and the situation. Incremental funding on multi-year awards may be delayed, causing valid and allowable expenditures to post during the wait period. Difficulties in collecting delinquent Accounts Receivable balances or completion of project deliverables have also contributed to delays in the closeout of awards.

The University believes adequate controls are in place, and the majority of awards are closed in a timely manner. The University will continue to monitor the timeliness of closeouts.

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Auditors' Comment:

As discussed in the finding above, we noted several transactions posted to awards which ended prior to June 30, 2009. We believe the University should review its current processes to identify additional procedures necessary to monitor the timeliness of project close outs and reduce the number of late cost transfers required at close out.

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Year ended June 30, 2011

Current Findings – *Federal Compliance*

Federal Agency: US Department of Agriculture (USDA)
US Department of Defense (USDOD)
US Department of Housing and Urban Development (USHUD)
US Department of Commerce (USDOC)
US Department of the Interior (USDOI)
US Department of Justice (USDOJ)
US Department of Transportation (USDOT)
US Library of Congress (USLOC)
National Aeronautics and Space Administration (NASA)
US Department of Veterans Affairs (USDVA)
National Science Foundation (NSF)
US Environmental Protection Agency (USEPA)
US Department of Energy (USDOE)
US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)
Corporation for National and Community Service (CNCS)
US Social Security Administration (USSSA)
US Department of Homeland Security (USDHS)
US Agency for International Development (USAID)

Program Name: Research and Development Cluster
Cooperative Extension Services
Supplemental Nutrition Assistance Program (SNAP)
Education and Human Resources
National Resource Centers Program for Foreign Language and Area Studies or Foreign
Language and International Studies Program and Foreign Language and Area Studies
Fellowship Program (Foreign Language)
Student Financial Assistance Cluster
AIDS Education and Training Centers (AIDS)
Temporary Assistance for Needy Families Cluster
Child Care and Development Fund Cluster
Maternal and Child Health Services Block Grant to the States (MCH Block Grant)
Homeland Security Cluster

CFDA # and Program Expenditures: Various (\$580,892,475)
10.500 (\$9,016,665)
10.551/10.561 (\$7,285,325)
47.076 (\$4,069,757)
84.015 (\$3,252,991)
84.007/84.033/84.038/84.063/84.268/84.375/84.376/
84.379/93.264/93.342/93.364/93.925 (\$614,016,160)
93.145 (\$3,056,530)

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Schedule of Findings and Questioned Costs

Year ended June 30, 2011

Current Findings – *Federal Compliance*

93.558 (\$5,770,781)
93.575/93.596/93.713 (\$5,093,994)
93.994 (\$6,316,123)
97.067 (\$2,254,375)

Award Numbers: Various (R&D)

60023284-PO-RF01186898/60019432-PO-RF01186910/101K931/2008-1004-12/11RCCAFS80/2009-48687-05382/2008-41530-04695/2008-41210-04821/2008-41210-04813/2008-41530-19430/ 2009-41534-05441/2009-48697-06083/2009-48701-06090/2009-41530-05950/2009-48679-01300/2010-41534-21419/2010-41530-21747/2010-41533-21670/2010-48714-21827/ 8000041655/S11164/H001911904/11-006323/25-6324-0097-008/25-6324-0097-020/10-ACES-374584/S10179/Y553769/H408906008/8000026125-AG/H408904313/H001226812/25-6324-0081-316/S08030/S08064 (10.500)
81X6287000(10.551/10.561)
07-15088FLW/08-31820/08-30884/06-22573MIST/08 17185/0850213/Y410676/0549245/53636 (47.076)
P007A091306/P007A101274/P063P103182/P063Q10011/P063P100118/P063P090118/P063P080118/P375A103182/P375A100118/P376S103182/P376S100118/P379T113182/P379T10118/P379T100118/T08HP18608A0-01/T08HP18687/T08HP13156-01-00 (84.007/84.033/84.038/84.063/84.68/84.375/84.376/84.379/93.264/ 93.342/ 93.364/93.925)
P015B100095/P015A100095/P015B100146/P015B100065/P015B100183/P015B100043/P015A100146/P015A100065/P015A100183/P015A100043/P015A060091/P015A060066/P015B060066/P015A060013/P015A060115/P015A060171/P015A060041/P015A060136/P015B060041/P015B060013/P015B060136/ P015B060115/ P015B060171/ P015B060091/ P015A030141 (84.015)
6H4HA00062-09-01/6H4AHA00062-08-01/HF4ETH08PPTRJASW00 (93.145)
81X6957000 (93.558)
81X6522000 (93.575/93.596/93.713)
03789004/93789004/53789004/L11G6788000/11G6788000/11G6518000/11G6517000/11G6517000(93.994)
2009-05954/2010-07244/2009-05954/ ITTF FFY 2010/11CPSJ911/09RICP 2010-02975/ 09 IFSI DTT/ IFSI 2009-00904/08RICP 2009-01112 (97.067)

Questioned Costs: None

Finding 11-08 *Inadequate Supporting Documentation for Cost Transfers*

The University does not adequately document cost transfers.

The University has formal policies and procedures which outline the documentation required to support cost transfers and a standard form has been developed to assist the University in collecting supporting documentation for each cost transfer. The standard form provides a series of potential reasons that a cost transfer may be required and prompts the preparer to other sections of the form to provide additional supporting documentation

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Year ended June 30, 2011

Current Findings – *Federal Compliance*

as prescribed by University policy. The form is required to be certified by the principal investigator or another responsible official and must be reviewed and approved by the Grants and Contracts Office.

During our testwork over 195 cost transfers recorded during the year ended June 30, 2011, we were initially provided brief journal entry descriptions as the supporting documentation for each of the cost transfers selected. The journal entry descriptions consisted of a few sentences which generally stated an error had occurred in the original entry and that a transfer was required. These descriptions did not provide sufficient information to allow an independent party to understand the reason the cost transfer was required. Upon further investigation and inquiry, the University was able to provide other support which better described the reasons for some of the cost transfers tested. However, the standard cost transfer form was not completed in accordance with University policy for a majority of the transfers tested. Upon further inquiry, we noted these transfers were initiated by the Grants and Contracts Office in closing out projects and that the standard cost transfer forms were not completed for any cost transfers prepared by the Grants and Contracts Office.

OMB Circular A-21, *Cost Principles for Higher Education Institutions*, establishes principles and standards for determining costs for federal awards carried out through grants, cost reimbursements contracts, and other agreements with higher education institutions. To be allowable under federal awards, costs must meet certain general criteria. Those criteria, among other things, require that the expenditures must be allocable, reasonable, and supported by adequate documentation. OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations* (OMB Circular A-110) requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure expenditures (including cost transfers) are adequately documented in accordance with federal regulations and University policy.

In discussing these conditions with University officials, they stated cost transfers are adequately documented and supported in accordance with University policy that meets the requirements of OMB Circular A-21 and OMB Circular A-110.

Failure to adequately document cost transfers may result in unallowable costs being charged to federal programs. (Finding Code 11-08, 10-12, 09-14)

Recommendation:

We recommend the University implement procedures to ensure costs transfers are adequately documented and supported in accordance with University policy.

University Response:

Not Accepted. The University believes cost transfers are adequately documented and supported in accordance with University policy and requirements of OMB Circular A-21 and OMB Circular A-110. The University has formal written policies for cost transfers for every campus. These policies are followed by Grants Office personnel during their review of cost transfers posted to sponsored project funds.

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Current Findings – *Federal Compliance*

The University’s cost transfer policies address the type of support and documentation that is to be provided by the departments and/or PIs to support cost transfers. In some circumstances, as outlined in the policies, a standard form GC-81 “Cost Transfer Justification for Sponsored Projects” must be completed and filed with the Grants Office. The GC-81 form is an administrative document developed by the Grants Office to obtain additional supporting information from units for cost transfers on Sponsored Projects. The GC-81 form was not designed for, nor is there a requirement for it to be completed for, transfers made by internal Grants Office personnel in the course of making an administrative adjustment or closing out an award. This form is not used by Federal Agriculture Appropriations, i.e., Cooperative Extension Service. Additionally, there is policy guidance on what is considered timely and what requires additional documentation. Policy 16.1.2 states:

Transfers, with appropriate documentation should be initiated during the month that charges are originally recorded in Banner or within the next two months. For example, corrections of transactions originally recorded in Banner during the month of January should be processed no later than the voucher cut-off date for the month of March.

Transfers initiated after this cut-off date are considered non-current and require additional justification explaining why the transfers were not made in a timely manner.

The University disagrees with the Firm’s statement “... standard cost transfer form was not completed in accordance with University policy for a majority of the transfers tested.” The form was completed when required by policy. The majority of the transfers listed in the transaction detail provided by the Firm were considered timely, 132 out of 184 listed. Thirty-seven transfers were listed for Federal Agriculture Appropriations, i.e., Cooperative Extension Service. They are not Sponsored Projects and do not fall into the justification requirements developed for Sponsored Projects. These two categories account for 90 percent of the transfers noted. For the small number of transfers meeting the criteria to require a GC-81, the form was appropriately completed in accordance with policy and was provided to the Firm.

The University continues its assertion that the journal entry descriptions entered into the FOATEXT form have the purpose of providing Grants Office personnel basic, general information as outlined in the cost transfer policy and to provide a contact point for follow-up and investigative action, if needed. Comments provided in the FOATEXT form are not intended to provide an all-encompassing record for independent party review. The JV text form (FOATEXT) functionality, in the University’s Banner system, is used to attach a brief explanation of the cost transfer to the journal voucher document number. Space in the FOATEXT form is limited to 50 characters per line. The policy guidance suggests what the brief statement should contain:

- JV - FOATEXT:
 - Explanation of transaction and benefit to project;
 - Date when service was provided or cost incurred;
 - Date and Document Number of original transaction; and
 - Preparer's name and telephone number

In addition to reading the brief narrative in the FOATEXT, a review of the grant file and other supporting documentation related to the transfer is often required in order to gain a more complete understanding of the reason for the cost transfer as well as the possible need to contract the preparer for more information.

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Year ended June 30, 2011

Current Findings – *Federal Compliance*

The University questions the inclusion of the programs of Temporary Assistance for Needy Families and Student Financial Assistance Cluster as no cost transfers for those programs were noted in the detailed list provided by the Firm.

Auditors' Comment:

As discussed in the finding above, the nature and reason for the cost transfer was not adequately documented and had to be supplemented through inquiry of University personnel and other documentation generated in response to our questions. We understand University policy to require a specific form to be completed to support cost transfers; however, several of the cost transfers were not supported with the standard cost transfer form. We believe the University should implement procedures to ensure costs transfers are adequately documented and supported in accordance with University policy and federal regulations. The control deficiency identified in this finding pertains to each program listed regardless of whether a specific exception was identified.

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Year ended June 30, 2011

Current Findings – *Federal Compliance*

Federal Agency: US Department of Agriculture (USDA)
US Department of Defense (USDOD)
US Department of Housing and Urban Development (USHUD)
US Department of Commerce (USDOC)
US Department of the Interior (USDOI)
US Department of Justice (USDOJ)
US Department of Transportation (USDOT)
US Library of Congress (USLOC)
National Aeronautics and Space Administration (NASA)
US Department of Veterans Affairs (USDVA)
National Science Foundation (NSF)
US Environmental Protection Agency (USEPA)
US Department of Energy (USDOE)
US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)
Corporation for National and Community Service (CNCS)
US Social Security Administration (USSSA)
US Department of Homeland Security (USDHS)
US Agency for International Development (USAID)

Program Name: Research and Development Cluster

CFDA # and Program Expenditures: Various (\$580,892,475)

Award Numbers: Various (R&D)

Questioned Costs: None

Finding 11-09 *Inadequate Process for Monitoring Cost Share Requirement*

The University does not have an adequate process in place to ensure expenditures used to meet the cost sharing requirement of the Research and Development Cluster are allowable.

The University is required to meet cost share requirements for numerous awards in the Research and Development Cluster. The expenditures used to meet the cost share requirement are funded by multiple sources including contributed effort by University personnel, University funded contractual services, and costs funded by subrecipients of the University. During our testwork over 40 cost share expenditures, we noted twelve subrecipient expenditures that were not supported by detailed expenditure information. Upon further review, we noted the University had received signed letters certifying the expenditures were incurred from each subrecipient; however, the information provided by the subrecipient was not sufficient to allow the University to determine whether the costs meet allowable cost criteria, including whether the expenditures are adequately supported and documented by the subrecipient.

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Schedule of Findings and Questioned Costs

Year ended June 30, 2011

Current Findings – *Federal Compliance*

OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations*, states expenditures claimed as cost share must be verifiable from the recipient's records, allowable under the applicable cost principles, and must not be paid by the Federal Government under another award. Additionally, OMB Circular A-110 requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure cost share requirements are attained in accordance with program requirements.

In discussing these conditions with University officials, they stated they believe that certified statements from their research partners are sufficient documentation for the amount of the third party cost share.

Failure to adequately document and monitor cost share expenditures may result in the University using unallowable costs to meet its cost share requirement. (Finding Code 11-09)

Recommendation:

We recommend the University implement monitoring procedures to ensure cost share expenditures reported by its subrecipients are allowable.

University Response:

Not accepted. The University believes that certified statements from our research partners are sufficient documentation for the amount of the third party cost share. The University has asked for additional information from our research partners, at a level of detail that does not exceed that required for billings of direct expenditures, when deemed appropriate.

Auditors' Comment:

As noted in the finding above, the University did not receive sufficient information to determine the nature of the expenditures provided by the subrecipient to meet its cost share requirement. The information received simply included a dollar amount which is less detailed than the information required by the University for federal expenditures reported by its subrecipients. As documentation requirements pertaining to cost sharing expenditures are the same as federal expenditures, we do not believe the documentation received for cost share expenditures provided by subrecipients is adequate under OMB Circular A-21.

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Year ended June 30, 2011

Current Findings – *Federal Compliance*

Federal Agency: US Department of Agriculture (USDA)

Program Name: Supplemental Nutrition Assistance Program (SNAP)

CFDA # and Program Expenditures: 10.551/10.561 (\$7,285,325)

Award Numbers: 81X6287000

Questioned Costs: Cannot be determined

Finding 11-10 *Inadequate Process for Monitoring SNAP Cost Share*

The University does not have an adequate process in place to ensure expenditures used to meet the cost sharing requirement of the SNAP program are allowable.

The University is required to meet a cost share requirement of approximately \$5.3 million relative to the SNAP program administered by the Chicago campus. The cost share is provided through the University's Chicago Partnership for Health Promotion which is a program aimed at providing high quality nutrition education, health promotion, and disease prevention services through partnerships directed at eligible families in Chicago. The expenditures used to meet the cost share requirement are funded by multiple sources including contributed effort by four University departments and contributed effort, space, and supplies from four municipal partners. The cost share expenditures are reported to the University's Grants and Contracts Office in Chicago on an annual basis by the University departments and on a quarterly basis by the municipal partners.

During our testing, we noted approximately \$480,000 of the cost share expenditures identified for the federal fiscal year ended September 30, 2010 related to contributed effort by University employees that was not supported by documentation required by the applicable cost circular. Specifically, we noted there were not annual effort certifications on file to document the effort of salaried employees identified by the University to meet the SNAP cost share requirement.

Additionally, we noted the University has not implemented procedures to monitor approximately \$3.5 million of cost share expenditures reported by its municipal partners. The University receives quarterly reports which summarize the cost share expenditures provided by its municipal partners; however, the information reported does not provide the University with sufficient information to determine whether the costs meet allowable cost criteria, including whether the expenditures are adequately supported and documented by the municipal partner.

OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations*, states expenditures claimed as cost share must be verifiable from the recipient's records, allowable under the applicable cost principles, and must not be paid by the Federal Government under another award. Additionally, OMB Circular A-110 requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure cost share requirements are attained in accordance with program requirements.

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Current Findings – *Federal Compliance*

In discussing these conditions with University officials, they stated they will continue to refine the procedures for obtaining supporting documentation as appropriate for SNAP cost share.

Failure to adequately document and monitor cost share expenditures may result in the University using unallowable costs to meet its cost share requirement. (Finding Code 11-10, 10-09)

Recommendation:

We recommend the University implement procedures to ensure employee effort used to meet cost share requirements are adequately documented in accordance with the applicable cost principles. We also recommend the University implement monitoring procedures to ensure cost share expenditures reported by its municipal partners are allowable.

University Response:

Accepted. As of October 1, 2010, cost share contributions were no longer required for the SNAP Program and thus no change is required.

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Current Findings – *Federal Compliance*

Federal Agency: US Department of Agriculture (USDA)
US Department of Defense (USDOD)
US Department of Housing and Urban Development (USHUD)
US Department of Commerce (USDOC)
US Department of the Interior (USDOI)
US Department of Justice (USDOJ)
US Department of Transportation (USDOT)
US Library of Congress (USLOC)
National Aeronautics and Space Administration (NASA)
US Department of Veterans Affairs (USDVA)
National Science Foundation (NSF)
US Environmental Protection Agency (USEPA)
US Department of Energy (USDOE)
US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)
Corporation for National and Community Service (CNCS)
US Social Security Administration (USSSA)
US Department of Homeland Security (USDHS)
US Agency for International Development (USAID)

Program Name: Research and Development Cluster
Cooperative Extension Services

CFDA # and Program Expenditures: Various (\$580,892,475)
10.500 (\$9,016,665)

Award Numbers: Various (R&D)
60023284-PO-RF01186898/60019432-PO-RF01186910/101K931/2008-1004-
12/11RCCAFS80/2009-48687-05382/2008-41530-04695/2008-41210-04821/2008-
41210-04813/2008-41530-19430/ 2009-41534-05441/2009-48697-06083/2009-48701-
06090/2009-41530-05950/2009-48679-01300/2010-41534-21419/2010-41530-21747/2010-
41533-21670/2010-48714-21827/ 8000041655/S11164/H001911904/11-006323/25-6324-
0097-008/25-6324-0097-020/10-ACES374584/S10179/Y553769/H408906008/8000026125-
AG/ H408904313/H001226812/25-6324-0081-316/S08030/S08064 (10.500)

Questioned Costs: None

Finding 11-11 *Improper Reporting of Amounts in Financial Status Reports*

The University did not accurately report information its financial status reports in accordance with the applicable reporting requirements.

The University is required to prepare periodic financial status (SF-269) reports and federal financial (SF-425) reports for awards received directly from federal agencies. The SF-269 reports and SF-425 reports, which may

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Current Findings – *Federal Compliance*

be prepared on the cash or accrual basis of accounting, include information on program expenditures, unliquidated obligations, and indirect expenses charged to the grant.

During our testwork of two SF-269 reports and 25 SF-425 reports submitted during fiscal year 2011, we noted the following:

- The University elected to use the cash basis of accounting for one SF-425 report submitted for the Research and Development Cluster and one SF-425 report for the Cooperative Extension Services program; however, the disbursement amount reported on those reports included certain accruals for expenditures paid subsequent to the reporting period.
- The University elected to use the accrual basis of accounting for two SF-425 reports submitted for the Research and Development Cluster; however, the disbursement amount reported on those reports excluded certain accruals for expenditures paid subsequent to the reporting period.
- The University did not report unliquidated obligations in two SF-425 reports selected for testwork in the Research and Development Cluster.
- The University submitted one SF-425 report for the Research and Development Cluster for the quarter ending June 30, 2011; however, the total federal funds authorized was reported using data as of July 31, 2011.
- The University inaccurately reported the total federal share of expenditures, indirect cost base, and indirect costs for two SF-425 reports for the Research and Development Cluster submitted by the Chicago campus. The amounts reported for the total federal share of expenditures, indirect cost base, and the indirect cost base and indirect costs for the first report did not agree to the general ledger and were overstated by \$590, \$590, and \$337, respectively. The amounts reported for the total federal share of expenditures, indirect cost base, and indirect costs for the second report did not agree to the general ledger and were understated by \$699, \$699, and \$182, respectively.
- The University inaccurately reported the total federal funds authorized, indirect cost base, and indirect costs for one SF-425 report for the Research and Development Cluster submitted by the Chicago campus. The amount reported for the total federal funds authorized did not agree to the general ledger and were overstated by \$72,262. The amounts reported for the indirect cost base and indirect costs did not agree to the general ledger and were understated by \$9,069 and \$5,169, respectively.
- The University inaccurately reported the total outlays and federal share of outlays for one SF-269 report for the Research and Development Cluster submitted by the Chicago campus. The amounts reported for the total outlays and federal share of outlays did not agree to the general ledger and were understated by \$235.
- The University inaccurately reported the unliquidated obligations for one SF-425 report for the Research and Development Cluster submitted by the Chicago campus. The amount reported for the unliquidated obligations did not agree to the general ledger and was understated by \$107.

OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations* (OMB Circular A-110) and the grant agreements, contracts, and other award documents for the major programs identified above, require the University to prepare periodic Financial Status Reports (SF-269 (OMB No. 0348-0039) and Federal Financial Reports (SF-425 (OMB No. 0348-0061)). The instructions for the reports define the cash basis amount for *outlays*

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Current Findings – *Federal Compliance*

as the sum of actual cash disbursements for the direct costs of goods and services, the amount of indirect expense charged, the value of in-kind contributions applied, and the amount of cash advances and payments made to subrecipients and for *unliquidated obligations* as obligations incurred, but not yet paid. OMB Circular A-110 also requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure financial status reports are complete, accurate, and prepared using the accurate basis of accounting.

In discussing these conditions with University officials, they stated the errors were a result of clerical errors.

Failure to prepare reports in accordance with reporting requirements inhibits the ability of the federal agencies to properly monitor and evaluate the performance of the programs. (Finding Code 11-11, 10-15, 09-15)

Recommendation:

We recommend the University implement procedures to ensure the information reported in its financial status reports are complete, accurate, and on the appropriate basis of accounting.

University Response:

Accepted. The Urbana campus agrees that accruals were not included in the cumulative cash disbursement total on two awards. Additionally, due to a clerical error, one SF-425 report for the Research and Development Cluster incorrectly reported the total authorized funds. The amount was reported at the amount authorized for the date of the report submission, not the date of the period ending June 30, 2011.

For the Cooperative Extension Services, the University agrees that the initial SF-425 report submitted indicated a cash basis but contained certain accruals paid after the reporting period. The University also sought the advice from the Office of the Assistant Secretary for Administration, Division of Payment Management and followed their formal guidance for handling the reporting discrepancies.

The Chicago campus has procedures for the completion of financial status reports. Federal guidelines allow for revisions up to 15 months following the end of the budget period. The accounts noted in the finding were understated as a result of excluding applicable charges that had not posted to the ledgers at the time of reporting. Our records indicated the intent to submit revisions to recover the understated amounts. We agree that there was one report that indicated it was cash basis but included certain accruals and expenditures paid subsequent to the reporting period.

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Current Findings – *Federal Compliance*

Federal Agency: US Department of Agriculture (USDA)
US Department of Defense (USDOD)
US Department of Housing and Urban Development (USHUD)
US Department of Commerce (USDOC)
US Department of the Interior (USDOJ)
US Department of Justice (USDOJ)
US Department of Transportation (USDOT)
US Library of Congress (USLOC)
National Aeronautics and Space Administration (NASA)
US Department of Veterans Affairs (USDVA)
National Science Foundation (NSF)
US Environmental Protection Agency (USEPA)
US Department of Energy (USDOE)
US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)
Corporation for National and Community Service (CNCS)
US Social Security Administration (USSSA)
US Department of Homeland Security (USDHS)
US Agency for International Development (USAID)

Program Name: Research and Development Cluster

CFDA # and Program Expenditures: Various (\$580,892,475)

Award Numbers: Various (R&D)

Questioned Costs: None

Finding 11-12 *Inaccurate ARRA 1512 Reports*

The University did not accurately report expenditure information in Section 1512 reports submitted for the Research and Development Cluster program.

The University is required to prepare quarterly American Recovery and Reinvestment Act (ARRA) 1512 reports for ARRA awards. These reports are intended to provide transparency into how Federal dollars are being spent and will help drive accountability for the timely, prudent, and effective spending of recovery dollars. During our testing over three ARRA 1512 reports submitted for the quarter ending March 31, 2011 related to the Research and Development Cluster, we noted three reports prepared by the Urbana campus that were prepared using data for the quarter ending February 28, 2011. Additionally, during our testing over three ARRA 1512 reports submitted for the quarter ending June 30, 2011 related to the Research and Development Cluster, we noted two reports prepared by the Urbana campus that were prepared using data for the quarter ending May 31, 2011. As a result, the cumulative expenditures reported did not correspond to the quarter being reported.

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Current Findings – *Federal Compliance*

Additionally, we noted the University does not have adequate documentation to substantiate that a formal review and approval of ARRA Section 1512 reports is performed.

Section 1512(c)(2) of the American Recovery and Reinvestment Act requires each recipient that received recovery funds from a Federal agency to submit a report to that agency not later than 10 days after the end of each calendar quarter, that contains the amount of recovery funds received that were expended or obligated to projects or activities through the reporting date. Additionally, OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations* (OMB Circular A-110) requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure data reported in Section 1512 reports is accurate and the report has been reviewed and approved prior to submission.

In discussing these conditions with University officials, they stated the Urbana Campus began using the “Best Available Data” reporting model to allow sufficient time to extract and analyze data, create reports for PI review, and lastly, to populate detailed data in the required federal ARRA reporting templates and upload these reports by the reporting deadline.

Failure to prepare accurate reports inhibits the ability of the grantor to properly monitor and evaluate the performance of the program activities. (Finding Code 11-12, 10-16)

Recommendation:

We recommend the University revise its procedures to ensure expenditure data reported in Section 1512 reports corresponds to the applicable reporting period and to review and approve the reports prior to submission.

University Response:

Accepted. The Urbana Campus began using the “Best Available Data” reporting model to allow sufficient time to extract and analyze data, create reports for PI review, and lastly, to populate detailed data in the required federal ARRA reporting templates and upload these reports by the reporting deadline. Upon further guidance and clarification from OMB, the Campus revised its reporting methodology for fiscal year 2012 and discontinued using the lag methodology in order to ensure expenditure data reported in Section 1512 reports corresponds to the applicable reporting period.

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Current Findings – *Federal Compliance*

Federal Agency: US Department of Agriculture (USDA)
National Science Foundation (NSF)

Program Name: Supplemental Nutrition Assistance Program (SNAP)
Education and Human Resources

CFDA # and Program Expenditures: 10.551/10.561 (\$7,285,325)
47.076 (\$4,069,757)

Award Numbers: 81X6287000(10.551/10.561)
07-15088FLW/08-31820/08-30884/06-22573MIST/08 17185/0850213/Y410676/0549245/
53636 (47.076)

Questioned Costs: \$13

Finding 11-13 *Inaccurate Fringe Benefit Charges*

The University did not properly charge fringe benefits to awards in the SNAP and EHR programs.

The University calculates fringe benefits (including retirement, health, life, dental, Medicare, (OASDI), workers compensation, and termination benefits) based on the gross payroll amount each pay cycle for eligible employees. The ledger account code to which an employee is assigned in the payroll system identifies whether employees are eligible for the various types of benefits. During our testwork of 40 fringe benefit charges for the SNAP Cluster and Education and Human Resources (EHR) programs, we noted the following:

- Medicare benefits were not charged for one SNAP employee at the Chicago campus. Upon further investigation, we noted the employee was miscoded to the incorrect account and the employee's Medicare benefits were not recorded for the pay cycle tested or the fiscal year under audit. As a result, Medicare benefits in the amount of \$379 (including \$15 for the cycle tested) were not charged to the SNAP program during the year ended June 30, 2011.
- Termination benefits were incorrectly charged to the EHR program for one employee at the Urbana campus. Upon further investigation, we noted the employee was coded as a salaried academic professional in the payroll system rather than as an hourly graduate student (which is ineligible for termination benefits) for a period of one month during the fiscal year. As a result, termination benefits of \$13 (including \$4 for the cycle tested) were improperly charged to the EHR program during the year ended June 30, 2011.

For the year ending June 30, 2011, total fringe benefits for the SNAP and EHR programs were \$1,351,633 and \$159,679, respectively.

OMB Circular A-21, *Cost Principles for Higher Education Institutions*, establishes principles and standards for determining costs for federal awards carried out through grants, cost reimbursements contracts, and other

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Current Findings – *Federal Compliance*

agreements with higher education institutions. To be allowable under federal awards, costs must meet certain general criteria. Those criteria, among other things, require that the expenditures must be allocable, reasonable, and supported by adequate documentation.

Additionally, OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations*, requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure employees are properly coded within the payroll system and payroll and fringe benefit expenditures are properly charged in accordance with OMB Circular A-21.

In discussing these conditions with University officials, they stated coding errors were made at the time the appointments were established in the system.

Failure to properly code employees within the payroll system may result in unallowable costs being charged to federal programs. (Finding Code 11-13)

Recommendation:

We recommend the University implement procedures to ensure employees are properly coded in the payroll system and fringe benefits charges are properly charged to federal awards.

University Response:

Accepted. There was an error in the original Banner set up of the employee noted at the Urbana Campus. The miscoding allowed a termination benefits charge to inaccurately assess against the award. Additionally, an administrative error occurred at the Chicago Campus resulting in the exemption of the Medicare rate of 1.45% for one employee. Corrections are underway for both errors. These are both administrative errors and do not involve the Banner system. The two errors are isolated occurrences, and do not indicate ineffective internal controls.

We believe the University is in compliance with OMB Circular A-110 that requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. The internal controls include procedures to ensure payroll and fringe benefit expenditures are properly supported in accordance with OMB Circular A-21 and represent actual expenditures that have been paid or incurred.

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Current Findings – *Federal Compliance*

Federal Agency: US Department of Agriculture (USDA)
US Department of Defense (USDOD)
US Department of Housing and Urban Development (USHUD)
US Department of Commerce (USDOC)
US Department of the Interior (USDOJ)
US Department of Justice (USDOJ)
US Department of Transportation (USDOT)
US Library of Congress (USLOC)
National Aeronautics and Space Administration (NASA)
US Department of Veterans Affairs (USDVA)
National Science Foundation (NSF)
US Environmental Protection Agency (USEPA)
US Department of Energy (USDOE)
US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)
Corporation for National and Community Service (CNCS)
US Social Security Administration (USSSA)
US Department of Homeland Security (USDHS)
US Agency for International Development (USAID)

Program Name: Research and Development Cluster
Cooperative Extension Services
Education and Human Resources

CFDA # and Program Expenditures: Various (\$580,892,475)
10.500 (\$9,016,665)
47.076 (\$4,069,757)

Award Numbers: Various (R&D)
60023284-PO-RF01186898/60019432-PO-RF01186910/101K931/2008-1004-
12/11RCCAFS80/2009-48687-05382/2008-41530-04695/2008-41210-04821/2008-
41210-04813/2008-41530-19430/ 2009-41534-05441/2009-48697-06083/2009-48701-
06090/2009-41530-05950/2009-48679-01300/2010-41534-21419/2010-41530-
21747/2010-41533-21670/2010-48714-21827/ 8000041655/S11164/H001911904/11-
006323/25-6324-0097-008/25-6324-0097-020/10-ACES-374584/S10179/Y553769/
H408906008/8000026125-AG/H408904313/H001226812/25-6324-0081-
316/S08030/S08064 (10.500)
07-15088FLW/08-31820/08-30884/06-22573MIST/08- 17185/0850213/Y410676/
0549245/53636 (47.076)

Questioned Costs: Cannot be determined

Finding 11-14 *Expenditures Reported in the Incorrect Accounting Period*

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Current Findings – *Federal Compliance*

The University reported expenditures under its Education and Human Resources program in the incorrect accounting period.

During our review of 40 other than personal services expenditures (totaling \$52,986), we noted one expenditure charged to the Education and Human Resources program in fiscal year 2011 pertained to an honorarium (for \$200) for an event held in fiscal year 2010. As a result, this amount should have been accrued for and reported in the fiscal year 2010 Schedule of Expenditures of Federal Awards (SEFA) since the University presents its SEFA on the accrual basis of accounting.

OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations*, requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure expenditures are reported in the proper accounting period.

In discussing these conditions with University officials, they stated they disagree with the finding.

Reporting of expenditures in the incorrect accounting period results in inaccurate financial reporting and may result in expenditures outside the period of availability being charged to federal programs. (Finding Code 11-14, 10-18)

Recommendation:

We recommend the University implement procedures to ensure expenditures are reported in the proper accounting period in its grant accounts.

University Response:

Not Accepted. The University acknowledges that the honorarium was paid in fiscal year 2011. As OBFS Policies and Procedures Section 17.3 details:

An honorarium is provided as a token of appreciation for participation in an activity. It is not a contractual obligation to pay for services rendered. An honorarium cannot be used as a payment for specified services rendered by either an independent contractor or a University employee. An honorarium payment is a lump sum payment to an individual, and not tied to any reimbursement of expenses the individual may also receive.

The policy details occasions that are appropriate for an honorarium payments. One such occasion is a speaking engagement which is why this token of appreciation (\$200) was given. The appropriate *Request for Honorarium Payment* form was approved and attached to the paperwork for this payment. Since there was no contractual obligation to pay this honorarium, it would not be considered to have been incurred in the prior period.

Furthermore, the University has strong procedures to ensure expenditures are reported in the proper accounting period in its grant accounts, and no other exceptions were noted.

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Auditors' Comment:

Generally accepted accounting principles require transactions to be reported in the period they are incurred and the honorarium discussed in the finding above related to an event that occurred in fiscal year 2010. If the University's position is that this item is a gift to the speaker (token of appreciation), OMB Circular A-21 section J.13(b) states that donations or contributions made by the institution, regardless of the recipient, are unallowable. Additionally, as discussed in finding 11-01, there were several exceptions in our financial statement audit in which the University did not report expenditures in the appropriate period.

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Current Findings – *Federal Compliance*

Federal Agency: US Department of Defense (USDOD)

Program Name: Research and Development Cluster

CFDA # and Program Expenditures: Various (\$580,892,475)

Award Numbers: Various (R&D)

Questioned Costs: None

Finding 11-15 *Inadequate Process for Limiting Indirect Costs on DOD Awards*

The University does not have an adequate process in place to ensure indirect costs charged to Department of Defense (DOD) awards in the Research and Development Cluster are limited in accordance with DOD regulations.

During our testwork, over 25 DOD awards we noted indirect costs charged to six awards were in excess of 35%. Upon further investigation, we noted the indirect cost rates entered in the University's accounting system for these grants was the standard Facilities and Administration (F&A) rate. As a result, the indirect cost charges applied by the University's accounting system exceeded the amount allowable under DOD regulations. Although the University indicated a manual reconciliation is performed on a monthly basis to correct these charges, we noted several awards for which the University was required to return excess indirect cost reimbursements at the end of the award and for which financial reports identified the indirect cost rate as the standard F&A rate. We also noted the University did not consider whether an interest calculation was required to be performed for any funds advanced as a result these excess reimbursements.

Per the Department of Defense's Appropriations Acts, Public Law 110-116, Division A, Section 8115, Public Law 110-329, Division C, Section 8109, and Public Law 111-118, Section 8101, notwithstanding any other provision of law, none of the funds made available in the Act may be used to pay negotiated indirect cost rates on a contract, grant, or cooperative agreement entered into by the Department of Defense and an entity in excess of 35 percent of the total cost of the contract, grant, or agreement provided, that this limitation shall apply only to contracts, grants, or cooperative agreements entered into after the date of the enactment using funds made available in the Act for basic research.

OMB Circular A-21, *Cost Principles for Higher Education Institutions*, establishes principles and standards for determining costs for federal awards carried out through grants, cost reimbursements contracts, and other agreements with higher education institutions. To be allowable under federal awards, costs must meet certain general criteria. Those criteria, among other things, require that the expenditures must be allocable, reasonable, and supported by adequate documentation.

Additionally, OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations*, requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with

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Current Findings – *Federal Compliance*

federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure the appropriate indirect cost rate is applied to all cash draw and reimbursement request calculations at the time of requesting funds from a federal agency.

In discussing these conditions with University officials, they stated they do limit the indirect cost recovery to 35% for applicable DoD awards. This indirect cost is calculated outside of the Banner system and any necessary adjustments are made in Banner to assure accurate indirect charges are reflected in the system.

Failure to adequately limit the indirect costs charged to DOD awards as required by federal regulations results in advances of federal funds. (Finding Code 11-15)

Recommendation:

We recommend the University implement procedures to ensure indirect costs charged to DOD awards are limited to 35% where required throughout the award period.

University Response:

Not Accepted. The University does have procedures to ensure indirect costs charged to DoD awards are limited to 35% of the total cost of the award. Per the Department of Defense's Appropriations Acts, Public Law 110-116, Division A, Section 8115, Public Law 110-329, Division C, Section 8109, and Public Law 111-118, Section 8101, indirect costs should not exceed 35% of the total cost of the contract, grant, or agreement.

The 35% limit on payment of indirect costs is not an indirect cost rate. Instead, it is a limit on the ratio of indirect costs to the total cost of an award. Dividing the total indirect costs by the total award amount will determine if the 35% limitation has been breached. For all six awards, the indirect cost did not exceed the imposed limitation of 35% of the total cost of awards.

A special DoD F&A limitation attribute was created and coded to applicable awards in the University's financial system. This attribute allows the University to monitor indirect costs on a daily basis to ensure the 35% limitation is not breached.

Auditors' Comment:

As discussed in the finding above, several DoD awards required excess reimbursements of indirect costs to be returned at the close of the award and six out of 25 DoD awards tested had indirect costs in excess of 35%. Further, the F&A limitation attribute was not consistently used by the University in identifying the awards subject to the DoD limitations.

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Federal Agency: US Department of Agriculture (USDA)
US Department of Defense (USDOD)
US Department of Housing and Urban Development (USHUD)
US Department of Commerce (USDOC)
US Department of the Interior (USDOI)
US Department of Justice (USDOJ)
US Department of Transportation (USDOT)
US Library of Congress (USLOC)
National Aeronautics and Space Administration (NASA)
US Department of Veterans Affairs (USDVA)
National Science Foundation (NSF)
US Environmental Protection Agency (USEPA)
US Department of Energy (USDOE)
US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)
Corporation for National and Community Service (CNCS)
US Social Security Administration (USSSA)
US Department of Homeland Security (USDHS)
US Agency for International Development (USAID)

Program Name: Research and Development Cluster

CFDA # and Program Expenditures: Various (\$580,892,475)

Award Numbers: Various (R&D)

Questioned Costs: None

Finding 11-16 *Inadequate Documentation to Support Key Personnel*

Adequate supporting documentation did not exist to substantiate University personnel worked on the grants for which they were proposed as key personnel for the Research and Development Cluster.

In an effort to secure federal awards from various federal agencies, the University is often required to commit certain researchers or other University personnel to minimum participation levels on specific federal projects. These individuals are identified as key personnel in the award documents and any changes to their committed level of participation require federal approval.

During our testwork over a sample of 42 completed Research and Development Cluster program projects, we noted five individuals at the Urbana campus identified as key personnel in award documents for which the University could not provide adequate documentation supporting the individuals worked on the projects at the required level of participation. The University provided project deliverables identifying these individuals were involved in the project activities; however, the University could not provide effort certifications, expenditure confirmations, or personnel appointment forms evidencing these individuals provided effort to the projects at the

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Current Findings – *Federal Compliance*

levels required by the award documents. Additionally, the University could not provide documentation evidencing the awarding agency approved the removal of the key personnel from these projects.

According to the OMB Compliance Supplement, dated March 2011, any persons listed as key personnel in the award documents are considered essential to the work being performed on the project. Before removing, replacing, or diverting any of the listed or specified personnel, the grantee must: (1) notify the awarding agency reasonably in advance; (2) submit justification (including proposed substitutions) in sufficient detail to permit evaluation of the impact on the award; and (3) obtain the awarding agency's written approval. Additionally, OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations*, requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure adequate documentation of the effort of key personnel on sponsored awards is maintained.

In discussing these conditions with University officials, they stated adequate supporting documentation in the form of reports, presentations, and interpersonnel agreements evidence that the principal investigators in question were involved in their respective research projects as noted in the original award documents.

Failure to notify the awarding agency before removing or replacing key personnel on a grant could result in the loss of federal funds. (Finding Code 11-16, 10-19)

Recommendation:

We recommend the University implement a process to notify the awarding agency in writing if key personnel are removed or replaced on the award and if the key personnel's pledged effort is modified from the award document. Additionally, documentation supporting employee effort should conform to the requirements of OMB Circular A-21.

University Response:

Not Accepted. We disagree that key personnel were "removed or replaced" or that effort was "modified from the award document" for the projects in question at the Urbana campus. The suggested audit procedures in the OMB A-133 Compliance Supplement are to determine if key personnel "were involved in the project as required". The five individuals, noted as key personnel, have considerable alternative documentation available to support their involvement and contribution on the awards.

All five key personnel were actively involved in the work leading to the successful completion of the projects, which is evidenced by documentation offered or provided to the audit firm in the form of annual, progress or final reports, or publications describing the activities and outcomes of the projects. The successful completion of the project, as well as the work described in the reports and papers, support the key personnel's involvement with and contribution of personal effort to the projects.

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Year ended June 30, 2011

Current Findings – *Federal Compliance*

Auditors' Comment:

As noted in the finding above, the University was unable to provide documentation evidencing the effort expended by key personnel was consistent with that specified in the grant award and was unable to provide documentation supporting the awarding agency approved a change in the key personnel. We believe the University should implement procedures to ensure documentation of the effort expended by key personnel is consistent with OMB Circular A-21.

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Year ended June 30, 2011

Current Findings – *Federal Compliance*

Federal Agency: US Department of Agriculture (USDA)
US Department of Defense (USDOD)
US Department of Housing and Urban Development (USHUD)
US Department of Commerce (USDOC)
US Department of the Interior (USDOI)
US Department of Justice (USDOJ)
US Department of Transportation (USDOT)
US Library of Congress (USLOC)
National Aeronautics and Space Administration (NASA)
US Department of Veterans Affairs (USDVA)
National Science Foundation (NSF)
US Environmental Protection Agency (USEPA)
US Department of Energy (USDOE)
US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)
Corporation for National and Community Service (CNCS)
US Social Security Administration (USSSA)
US Department of Homeland Security (USDHS)
US Agency for International Development (USAID)

Program Name: Research and Development Cluster
Cooperative Extension Services
Supplemental Nutrition Assistance Program (SNAP)
Education and Human Resources
National Resource Centers Program for Foreign Language and Area Studies or Foreign
Language and International Studies Program and Foreign Language and Area Studies
Fellowship Program (Foreign Language)
AIDS Education and Training Centers (AIDS)
Temporary Assistance for Needy Families Cluster
Child Care and Development Fund Cluster
Maternal and Child Health Services Block Grant to the States (MCH Block Grant)
Homeland Security Cluster

CFDA # and Program Expenditures: Various (\$580,892,475)
10.500 (\$9,016,665)
10.551/10.561 (\$7,285,325)
47.076 (\$4,069,757)
84.015 (\$3,252,991)
93.145 (\$3,056,530)
93.558 (\$5,770,781)
93.575/93.596/93.713 (\$5,093,994)
93.994 (\$6,316,123)
97.067 (\$2,254,375)

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Year ended June 30, 2011

Current Findings – *Federal Compliance*

Award Numbers: Various (R&D)

60023284-PO-RF01186898/60019432-PO-RF01186910/101K931/2008-1004-12/11RCCAFS80/2009-48687-05382/2008-41530-04695/2008-41210-04821/2008-41210-04813/2008-41530-19430/ 2009-41534-05441/2009-48697-06083/2009-48701-06090/2009-41530-05950/2009-48679-01300/2010-41534-21419/2010-41530-21747/2010-41533-21670/2010-48714-21827/ 8000041655/S11164/H001911904/11-006323/25-6324-0097-008/25-6324-0097-020/10-ACES374584/S10179/Y553769/H408906008/8000026125-AG/ H408904313/H001226812/25-6324-0081-316/S08030/S08064 (10.500)
81X6287000(10.551/10.561)
07-15088FLW/08-31820/08-30884/06-22573MIST/08 17185/0850213/Y410676/0549245/53636 (47.076)
P015B100095/P015A100095/P015B100146/P015B100065/P015B100183/P015B100043/P015A100146/P015A100065/P015A100183/P015A100043/P015A060091/P015A060066/P015B060066/P015A060013/P015A060115/P015A060171/P015A060041/P015A060136/P015B060041/P015B060013/P015B060136/ P015B060115/ P015B060171/ P015B060091/P015A030141 (84.015)
6H4HA00062-09-01/6H4AHA00062-08-01/HF4ETH08PPTRJASW00 (93.145)
81X6957000 (93.558)
81X6522000 (93.575/93.596/93.713)
03789004/93789004/53789004/L11G6788000/11G6788000/11G6518000/11G6517000/11G6517000(93.994)
2009-05954/2010-07244/2009-05954/ ITTF FFY 2010/11CPSJ911/09RICP 2010-02975/ 09 IFSI DTT/ IFSI 2009-00904/08RICP 2009-01112 (97.067)

Questioned Costs: None

Finding 11-17 *Failure to Obtain Suspension and Debarment Certifications from Vendors*

The University did not obtain required certifications that certain vendors were not suspended or debarred from participation in federal assistance programs.

During our review of 205 contractual expenditures for the Research and Development Cluster, Cooperative Extension Services, Supplemental Nutrition Assistance Program Cluster, Education and Human Resources, Child Care Development Fund Cluster, and Maternal and Child Health Services Block Grant to States, we noted one expenditure for which the University did not obtain a suspension and debarment certification from the vendor. Additionally, the University did not document the performance of a verification check with the “Excluded Parties List System” (EPLS) maintained by the General Services Administration for the vendors.

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Year ended June 30, 2011

Current Findings – *Federal Compliance*

Total contractual expenditures charged to the major programs during the year ended June 30, 2011 were as follows:

Program Name	Contractual Expenditures
Research and Development Cluster	\$153,807,615
Cooperative Extension Services	3,763,158
SNAP	865,212
Education and Human Resources	1,173,726
CCDF Cluster	536,357
MCH Block Grant	135,150

According to OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations* (OMB Circular A-110), non-federal entities are prohibited from contracting with or making subawards under covered transactions to parties that are suspended or debarred or whose principals are suspended or debarred. “Covered transactions” include those procurement contracts for goods and services awarded under a nonprocurement transaction (e.g., grant or cooperative agreement) that are expected to equal or exceed \$25,000 or meet certain other specified criteria. All nonprocurement transactions (i.e., subawards to subrecipients), irrespective of award amount, are considered covered transactions. When a non-federal entity enters into a covered transaction with an entity at a lower tier, the non-federal entity must verify that the entity is not suspended or debarred or otherwise excluded. This verification may be accomplished by checking the *Excluded Parties List System (EPLS)* maintained by the General Services Administration, collecting a certification from the entity, or adding a clause or condition to the covered transaction with that entity.

OMB Circular A-110 also requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures in place to ensure the required certifications for covered contracts and subawards are received, documented, and contracts not made with a debarred or suspended party.

In discussing these conditions with University officials, they stated they disagree with the finding.

Failure to obtain the required certifications or perform verification procedures with the EPLS could result in the payment of federal funds to vendors that are suspended or debarred from participation in federal assistance programs. (Finding Code 11-17, 10-20, 09-16)

Recommendation:

We recommend the University obtain certifications from vendors stating that their organization is not suspended, debarred, or otherwise excluded from participation in federal assistance programs or document the procedures performed to verify the vendor is not identified as suspended or debarred on EPLS.

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Current Findings – *Federal Compliance*

University Response:

Not Accepted. The University has established procedures to ensure vendors certify that their organizations are not suspended, debarred, or excluded from participation in federal assistance programs. As mentioned in the audit finding, verification can be obtained by adding a clause or condition to the covered transaction with that entity. While the University's purchase orders include certification language (a clause) that states "by the acceptance of this order, the contractor certifies that it or any affiliate has not been debarred..." we note that we also use additional procedures to verify that vendors are not suspended or debarred by checking the Excluded Parties List System (EPLS).

The University believes our procedures are adequate and that the EPLS was checked as required, however, as an isolated incident, the documentation was not included in the file for one expenditure. The remaining 204 expenditures demonstrate our general practice and evidence the adequacy of our procedures. The EPLS search for the vendor in question affirmed the vendor was neither suspended nor debarred.

Auditors' Comment:

As discussed in the finding above, the University did not obtain a certification from the vendor in this instance as the purchase order is not signed by the vendor. Additionally, the University could not provide evidence a check of the EPLS had been performed prior to our audit procedures.

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Current Findings – *Federal Compliance*

Federal Agency: US Department of Agriculture (USDA)
US Department of Defense (USDOD)
US Department of Housing and Urban Development (USHUD)
US Department of Commerce (USDOC)
US Department of the Interior (USDOI)
US Department of Justice (USDOJ)
US Department of Transportation (USDOT)
US Library of Congress (USLOC)
National Aeronautics and Space Administration (NASA)
US Department of Veterans Affairs (USDVA)
National Science Foundation (NSF)
US Environmental Protection Agency (USEPA)
US Department of Energy (USDOE)
US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)
Corporation for National and Community Service (CNCS)
US Social Security Administration (USSSA)
US Department of Homeland Security (USDHS)
US Agency for International Development (USAID)

Program Name: Research and Development Cluster
Cooperative Extension Services
Supplemental Nutrition Assistance Program (SNAP)
Education and Human Resources
AIDS Education and Training Centers (AIDS)
Child Care Development Fund Cluster
Maternal and Child Health Services Block Grant to the States (MCH Block Grant)

CFDA # and Program Expenditures: Various (\$580,892,475)
10.500 (\$9,016,665)
10.551/10.561 (\$7,285,325)
47.076 (\$4,069,757)
93.145 (\$3,056,530)
93.575/93.596/93.713 (\$5,093,994)
93.994 (\$6,316,123)

Award Numbers: Various (R&D)
60023284-PO-RF01186898/60019432-PO-RF01186910/101K931/2008-1004-
12/11RCCAFS80/2009-48687-05382/2008-41530-04695/2008-41210-04821/2008-
41210-04813/2008-41530-19430/ 2009-41534-05441/2009-48697-06083/2009-48701-
06090/2009-41530-05950/2009-48679-01300/2010-41534-21419/2010-41530-21747/2010-
41533-21670/2010-48714-21827/ 8000041655/S11164/H001911904/11-006323/25-6324-

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Year ended June 30, 2011

Current Findings – *Federal Compliance*

0097-008/25-6324-0097-020/10-ACES374584/S10179/Y553769/H408906008/8000026125-
AG/H408904313/H001226812/25-6324-0081-316/S08030/S08064 (10.500)
81X6287000(10.551/10.561)
07-15088FLW/08-31820/08-30884/06-22573MIST/08 17185/0850213/Y410676/0549245/
53636 (47.076)
6H4HA00062-09-01/6H4AHA00062-08-01/HF4ETH08PPTRJASW00 (93.145)
81X6522000 (93.575/93.596/93.713)
03789004/93789004/53789004/L11G6788000/11G6788000/11G6518000/11G6517000/11G6
517000(93.994)

Questioned Costs: None

Finding 11-18 *Failure to Properly Perform Interest Calculations on Federal Advances*

The University does not properly calculate interest on federal funds drawn in advance.

The University receives federal funds on an advance basis under the Research and Development Cluster, Cooperative Extension Services, Supplemental Nutrition Assistance Program, Education and Human Resources, AIDS Training and Education Centers, Child Care and Development Fund Cluster, and Maternal and Child Health Services Block Grant. During our testwork, we noted the University has not performed an interest calculation for any of the programs or grants on which it received advanced funding as required by federal regulations. The University calculated interest on the net cash position of all its federal awards as of June 30, 2011; however, this methodology has not been approved by the University's federal cognizant agency (USDE).

OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations* (OMB Circular A-110) requires advances of federal funds to be maintained in interest bearing accounts and interest earnings in excess of \$250 to be remitted to the federal government. OMB Circular A-110 also requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure interest calculations are performed and interest is remitted as required.

In discussing these conditions with University officials, they stated the University is in compliance with OMB Circular A-110 and does have effective and adequate internal controls in place.

Failure to properly perform required interest calculations results in noncompliance with cash management regulations. (Finding Code 11-18, 10-21, 09-17)

Recommendation:

We recommend the University implement procedures to properly calculate interest on federal funds received in advance of expenditures and to remit any interest earned to the appropriate federal agencies as required by federal regulations.

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Year ended June 30, 2011

Current Findings – *Federal Compliance*

University Response:

Not Accepted. The University has been performing a calculation of interest based on the net cash position in total for all federal awards. We believe the methodology is adequate for compliance with OMB Circular A-110. The results of the calculation have shown that there was no excess federal cash on hand and no interest due.

The methodology is being addressed by the University's Federal cognizant agency for clarification and guidance on this issue. We have provided documentation as requested to the cognizant for review. While the University has not yet received a final response, we are actively working with the agency to seek guidance and resolution.

Auditors' Comment:

The University should continue to work with their Federal cognizant agency (U.S. Department of Education and OMB) to determine whether interest calculations should be performed at a lower level, such as by individual letter of credit, program, or federal agency.

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Current Findings – *Federal Compliance*

Federal Agency: US Department of Agriculture (USDA)
US Department of Defense (USDOD)
US Department of Housing and Urban Development (USHUD)
US Department of Commerce (USDOC)
US Department of the Interior (USDOI)
US Department of Justice (USDOJ)
US Department of Transportation (USDOT)
US Library of Congress (USLOC)
National Aeronautics and Space Administration (NASA)
US Department of Veterans Affairs (USDVA)
National Science Foundation (NSF)
US Environmental Protection Agency (USEPA)
US Department of Energy (USDOE)
US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)
Corporation for National and Community Service (CNCS)
US Social Security Administration (USSSA)
US Department of Homeland Security (USDHS)
US Agency for International Development (USAID)

Program Name: Research and Development Cluster
Child Care Development Fund Cluster

CFDA # and Program Expenditures: Various (\$580,892,475)
93.575/93.596/93.713 (\$5,093,994)

Award Numbers: Various (R&D)
(CFDA Number) 81X6522000 (93.575/93.596/93.713)

Questioned Costs: None

Finding 11-19 *Failure to Notify Subrecipients of Federal Funding and Communicate ARRA Information*

The University did not provide required program and ARRA information relative to federal funds passed through to the subrecipients of the Research and Development Cluster and Child Care Development Fund Cluster programs for the year ended June 30, 2011.

During our testwork of 40 subrecipients who expended \$23,742,918 of the Research and Development Cluster program funds, we noted the Chicago campus did not communicate the program title and award name in the grant award documents or funding notification letters provided to seven subrecipients with expenditures of \$1,798,730 during the year ended June 30, 2011. We also noted the University did not communicate any of required award information to subrecipients of its Child Care Development Fund Cluster program.

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Year ended June 30, 2011

Current Findings – *Federal Compliance*

In addition, during our testwork over disbursements of ARRA funds to subrecipients of the Research and Development Cluster program, we noted the Chicago campus did not maintain documentation of the CCR registration for the ARRA subaward for two subrecipients and the federal award number, catalog of federal domestic assistance (CFDA) number, or the amount attributable to ARRA was not identified at the time of each disbursement for two subrecipients at the Chicago campus.

Subrecipient expenditures under the Research and Development Cluster program for the year ended June 30, 2011 were \$68,396,059, of which, \$10,376,039 was funded by ARRA. Subrecipient expenditures under the Child Care Development Fund Cluster for the year ended June 30, 2011 were \$280,673.

According to OMB Circular A-133 §__.400(d), a pass-through entity is required to identify federal awards made by informing each subrecipient of the CFDA title and number, award name and number, and award year. The pass through entity is also required to advise subrecipients of requirements imposed on them by federal laws and regulations. According to the American Recovery and Reinvestment Act, Federal Agencies must require recipients to agree to: (1) separately identify to each subrecipient, and document at the time of the subaward and disbursement of funds, the Federal Award number, CFDA number, and the amount of ARRA funds; and (2) require their subrecipients to provide similar identification in their SEFA and data collection form. Additionally, OMB Circular A-110 also requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure subrecipient award communications contain all required information.

In discussing these conditions with University officials, they stated this is a repeat finding for the Research and Development Cluster program and corrective actions were implemented prospectively. Additionally, the University did not initially consider the grantees under the Child Care Development Cluster program to be subrecipients.

Failure to inform subrecipients of federal award information could result in subrecipients improperly omitting expenditures from their schedule of expenditures of federal awards, expending federal funds for unallowable purposes, or not receiving a single audit in accordance with OMB Circular A-133. (Finding Code 11-19, 10-22, 09-30)

Recommendation:

We recommend the University review its current process for preparing subrecipient funding notifications to ensure all required information is properly communicated to its subrecipients. Additionally, we recommend procedures be modified to ensure required information is provided to subrecipients with each disbursement of ARRA funding.

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Current Findings – *Federal Compliance*

University Response:

Accepted.

For the Urbana campus – the University did not initially consider the grantees under the Child Care Development Cluster program to be subrecipients. Under the guidance of IDHS, the University will develop a process for preparing funding notifications to ensure all required information is properly communicated.

For the Chicago campus – the University implemented processes in fiscal year 2011 to ensure all required information is properly communicated to subrecipients, including the required information for each ARRA disbursement. The information submitted to the subrecipients noted in the finding was issued prior to the implementation of the new procedures.

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Current Findings – *Federal Compliance*

Federal Agency: US Department of Agriculture (USDA)
US Department of Defense (USDOD)
US Department of Housing and Urban Development (USHUD)
US Department of Commerce (USDOC)
US Department of the Interior (USDOI)
US Department of Justice (USDOJ)
US Department of Transportation (USDOT)
US Library of Congress (USLOC)
National Aeronautics and Space Administration (NASA)
US Department of Veterans Affairs (USDVA)
National Science Foundation (NSF)
US Environmental Protection Agency (USEPA)
US Department of Energy (USDOE)
US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)
Corporation for National and Community Service (CNCS)
US Social Security Administration (USSSA)
US Department of Homeland Security (USDHS)
US Agency for International Development (USAID)

Program Name: Research and Development Cluster
Cooperative Extension Services
Supplemental Nutrition Assistance Program (SNAP)
Education and Human Resources
National Resource Centers Program for Foreign Language and Area Studies or Foreign
Language and International Studies Program and Foreign Language and Area Studies
Fellowship Program (Foreign Language)
AIDS Education and Training Centers (AIDS)
Temporary Assistance for Needy Families Cluster
Child Care and Development Fund Cluster
Maternal and Child Health Services Block Grant to the States (MCH Block Grant)
Homeland Security Cluster

CFDA # and Program Expenditures: Various (\$580,892,475)
10.500 (\$9,016,665)
10.551/10.561 (\$7,285,325)
47.076 (\$4,069,757)
84.015 (\$3,252,991)
93.145 (\$3,056,530)
93.558 (\$5,770,781)
93.575/93.596/93.713 (\$5,093,994)
93.994 (\$6,316,123)
97.067 (\$2,254,375)

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Year ended June 30, 2011

Current Findings – *Federal Compliance*

Award Numbers: Various (R&D)

P015B100095/P015A100095/P015B100146/P015B100065/P015B100183/P015B100043/
P015A100146/P015A100065/P015A100183/P015A100043/P015A060091/P015A060066/
P015B060066/P015A060013/P015A060115/P015A060171/P015A060041/P015A060136/
P015B060041/P015B060013/P015B060136/ P015B060115/ P015B060171/ P015B060091/
P015A030141 (84.015)
6H4HA00062-09-01/6H4AHA00062-08-01/HF4ETH08PPTRJASW00 (93.145)

Questioned Costs: None

Finding 11-20 *Inadequate Monitoring of Subrecipient OMB Circular A-133 Audit Reports*

The University is not adequately performing or documenting reviews of subrecipient OMB Circular A-133 audit reports. Additionally, the Chicago campus does not have a system to track and follow-up with subrecipients when OMB Circular A-133 reports have not been received.

The University requires subrecipients expending more than \$500,000 in federal awards during their fiscal year to (1) submit OMB Circular A-133 audit reports or (2) provide written notification that an audit was conducted in accordance with OMB Circular A-133 and the schedule of findings and questioned costs disclosed no audit findings relating to the Federal awards that were pass-through the University (notification letter). University staff in the Office of Grants and Contracts are responsible for reviewing the OMB Circular A-133 audit reports and determining whether the audit reports meet the audit requirements of OMB Circular A-133, evaluating the type of audit opinion issued (i.e. unqualified, qualified, adverse), and issuing management decisions on findings reported within required timeframes. However, there is no documentation of the “desk reviews” performed, nor does management use a checklist to help determine whether the audit reports meet the audit requirements of OMB Circular A-133 and whether management decisions have been issued on findings reported within required timeframes. Lastly, we noted the Chicago Campus does not have a process to track and follow-up with subrecipients when OMB Circular A-133 reports or notification letters have not been received.

Additionally, during our testwork over 40 subrecipients of the Research and Development Cluster program we noted the following:

- There were three subrecipients at the Urbana campus and three subrecipients at the Chicago campus for which a management decision was required, but was not issued by the University.
- There were three subrecipients at the Chicago campus for which A-133 audit reports were submitted after the nine month filing deadline. These files contained no documentation the University followed up on the delinquent report or approved an extension of the filing deadline.

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Current Findings – *Federal Compliance*

Subrecipient expenditures under the federal programs for the year ended June 30, 2011 were as follows:

Program	Total Fiscal Year 2011 Subrecipient Expenditures	Total Fiscal Year 2011 Program Expenditures	%
Research and Development Cluster	\$68,396,059	\$580,892,475	11.77%
Foreign Language	815,865	3,252,991	25.08%
AIDS	1,526,957	3,056,530	49.96%

According to OMB Circular A-133 § .400(d), a pass-through entity is required to monitor the activities of subrecipients as necessary to ensure that Federal awards are used for authorized purposes in compliance with laws, regulations, and the provisions of contracts or grant agreements and that performance goals are achieved. According to the OMB Circular A-133 compliance supplement, a pass-through entity is required to 1) ensure that subrecipients expending \$500,000 or more in Federal awards during the subrecipient's fiscal year have met the audit requirements of OMB Circular A-133 and that the required audits are completed within nine months of the end of the subrecipient's audit period, 2) issue a management decision on audit findings within six months after receipt of the subrecipient's audit report, and 3) ensure that the subrecipient takes timely and appropriate corrective action on all audit findings. In the cases of continued inability or unwillingness of a subrecipient to have the required audits, the pass-through entity shall take appropriate action using sanctions.

In discussing these conditions with University officials, they stated they disagree with the finding.

Failure to obtain and adequately review subrecipient OMB Circular A-133 audit reports and issue management decisions in a timely manner may result in federal funds being expended for unallowable purposes and subrecipients not properly administering federal programs in accordance with laws, regulations, and the grant agreement. (Finding Code 11-20, 10-23, 09-18)

Recommendation:

We recommend the University establish procedures to ensure all subrecipients receiving federal awards have audits performed in accordance with OMB Circular A-133. Additionally, desk reviews of A-133 audit reports should be formally documented using an A-133 desk review checklist and management decisions should be issued within six months.

University Response:

Not Accepted.

The Urbana Campus has established subrecipient monitoring procedures which include a formal process for conducting a thorough review and analysis of subrecipient's audits and making an informed management decision based on the review within nine months of the end of the subrecipient's audit period in order to ensure that audit reports for applicable subrecipients are completed and performed in accordance with OMB Circular A-133. The review includes the consideration of the adequacy of the corrective action plan provided for any

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Year ended June 30, 2011

Current Findings – *Federal Compliance*

findings/questioned costs. Documentation of University's analysis and review of each subrecipient and the management decision made are available. Management Decision Letters were provided in instances deemed warranted by the review within 6 months of the receipt of the subrecipient's audit report. While management decisions were made, none of the exceptions required management decision letters. The reviewed findings did not relate to any of our subawards and the corrective action plans were deemed adequate. OMB Circular A-133, Section D 405 does not require the issuance of negative management decision letters.

The Chicago campus has established monitoring procedures to ensure subrecipients receiving federal awards have audits performed in accordance with OMB Circular A-133. The procedures include conducting desk reviews, determining the right course of action and noting management decisions in the subrecipient monitoring database when applicable. Management decisions were made and A-133 audit reports were received for the subrecipients noted in the finding.

Auditors' Comment:

OMB Circular A-133 Section .400(d)(5) and .405(c) require pass-through entities to issue management decisions for audit findings that relate to Federal awards it makes to subrecipients and ensure appropriate and timely corrective action is taken. OMB Circular A-133 Section .405(a) requires management decisions to clearly state whether or not the audit finding is sustained and the reasons for the decision, among other things.

As noted in the finding above, there were three A-133 reports for subrecipients of the Urbana Campus which contained findings for federal programs under which the University passed through federal funding. Although the University noted the findings did not pertain to their sub-awards, these findings included internal control deficiencies related to overall compliance processes used to administer the funding passed through by the University. Accordingly, management decisions were required to be issued by the University, but were not.

Additionally, in response to the Chicago campus potential exceptions, University personnel stated "The University deemed the plan of action as acceptable and made the management decision that no further action was required." The University did not provide documentation supporting that a management decision had been communicated to the subrecipient in accordance with OMB Circular A-133.

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Schedule of Findings and Questioned Costs

Year ended June 30, 2011

Current Findings – *Federal Compliance*

Federal Agency: US Department of Agriculture (USDA)

Program Name: Supplemental Nutrition Assistance Program (SNAP)

CFDA # and Program Expenditures: 10.551/10.561 (\$7,285,325)

Award Numbers: 81X6287000

Questioned Costs: None

Finding 11-21 *Inaccurate Quarterly Expenditure Reports Prepared for the SNAP Program*

The University did not accurately report amounts in quarterly reports for the SNAP Program submitted to the Illinois Department of Human Services (IDHS).

The University is required to prepare a quarterly expenditure report for the SNAP program which identifies the expenditures incurred to date under the federal award. The quarterly expenditure reports are used by IDHS to monitor the University's spending under the program and to determine the amount to be reimbursed to the University (reported on the line item "Total Amount Due").

During our testwork over the quarterly expenditure report submitted for the quarter ended March 31, 2011, we noted the University inaccurately reported the "Less Payments Previously Requested" line item as zero resulting in the "Total Amount Due" line item being overstated by \$974,675. As a result of the reporting error, a cash advance was received in the same amount as the overstated amount per the report. We noted the following differences in the amount reported for the "Total Amount Due" line item:

Quarter Ended	Amount Reported	Correct Amount	Difference
March 31, 2011	\$2,310,713	\$1,336,038	\$974,675

OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations*, requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure quarterly expenditure reports accurately report the amount of cash requested for reimbursement.

In discussing these conditions with University officials, they stated that this was due to a clerical error.

Failure to prepare accurate reports inhibits the ability of the grantor to properly monitor and evaluate the performance of the program activities. (Finding Code 11-21, 10-25, 09-21)

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Schedule of Findings and Questioned Costs

Year ended June 30, 2011

Current Findings – *Federal Compliance*

Recommendation:

We recommend the University implement procedures to ensure the quarterly expenditure reports are accurately prepared.

University Response:

Accepted. A clerical error was made on the March 31, 2011, report resulting in the understatement of the amount previously drawn on the noted award. This error was corrected on the June 30, 2011, report. As of the close of June 30, 2011, the expenditures to date were more than the cash collected to date thereby leaving the University in a deficit position.

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Schedule of Findings and Questioned Costs

Year ended June 30, 2011

Current Findings – *Federal Compliance*

Federal Agency: US Department of Agriculture (USDA)
US Department of Defense (USDOD)
US Department of Housing and Urban Development (USHUD)
US Department of Commerce (USDOC)
US Department of the Interior (USDOI)
US Department of Justice (USDOJ)
US Department of Transportation (USDOT)
US Library of Congress (USLOC)
National Aeronautics and Space Administration (NASA)
US Department of Veterans Affairs (USDVA)
National Science Foundation (NSF)
US Environmental Protection Agency (USEPA)
US Department of Energy (USDOE)
US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)
Corporation for National and Community Service (CNCS)
US Social Security Administration (USSSA)
US Department of Homeland Security (USDHS)
US Agency for International Development (USAID)

Program Name: Research and Development Cluster
Cooperative Extension Services

CFDA # and Program Expenditures: Various (\$580,892,475)
10.500 (\$9,016,665)

Award Numbers: Various (R&D)
60023284PORF01186898/60019432PORF01186910/101K931/2008-1004-
12/11RCCAFS80/2009-48687-05382/2008-41530-04695/2008-41210-04821/2008-
41210-04813/2008-41530-19430/ 2009-41534-05441/2009-48697-06083/2009-48701-
06090/2009-41530-05950/2009-48679-01300/2010-41534-21419/2010-41530-
21747/2010-41533-21670/2010-48714-21827/ 8000041655/S11164/H001911904/11-
006323/25-6324-0097-008/25-6324-0097-020/10-ACES-374584/ S10179/Y553769/
H408906008/8000026125-AG/H408904313/H001226812/25-6324-0081-
316/S08030/S08064 (10.500)

Questioned Costs: None

Finding 11-22 *Failure to Follow Property Management Regulations*

The University did not consistently follow property management regulations relative to equipment purchased with federal funding from the Research and Development Cluster and Cooperative Extension Services programs.

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Schedule of Findings and Questioned Costs

Year ended June 30, 2011

Current Findings – *Federal Compliance*

The University conducts research and extension services in multiple locations on each of its three campuses, as well as at off-campus locations throughout the State. Equipment items purchased with federal funds are utilized at each of these locations. The University identifies all equipment in its property management records with individually assigned asset numbers and each individual asset record includes the specific location of the asset, the federal award general ledger account number which funded the purchase of the asset, and other required information. An asset tag with the assigned asset number is affixed to each asset in accordance with University policy and State Property Management Regulations.

During our physical observation of 40 pieces of equipment purchased with Research and Development Cluster funds and 40 pieces of equipment purchased with Cooperative Extension Services Program funds, we noted the following:

- Six items included on the Research and Development Cluster inventory list (with a cost value of \$215,861) and one item included on the Cooperative Extension Services inventory list (with a cost value totaling \$645) did not have visible property control tags affixed to the assets at the time of our procedures.
- One item included on the Cooperative Extension Services inventory list (with a cost value totaling \$6,499) was not located by the Urbana campus at the time of our procedures.

As of June 30, 2011, the cumulative cost value of equipment purchased with Research and Development Cluster and Cooperative Extension Services Program funds was \$209,737,863 and \$1,944,429, respectively.

OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations* (OMB Circular A-110) requires that equipment be used in the program which acquired it or, when appropriate, other federal programs. Additionally, OMB Circular A-110 requires the University to implement an appropriate control system to safeguard equipment and to ensure equipment is adequately maintained.

Additionally, OMB Circular A-110 requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure equipment inventory records are accurate and equipment items are properly tagged.

In discussing these conditions with University officials, they stated extensive policies and procedures are in place to ensure control over equipment. Regarding cases of inventory tags not being affixed to certain assets, University management stated that four of these instances can be challenging to manage. They noted that some instances of a missing tag related items with hand written tags which is in accordance with University policy due to nature of the tag. For two other items, the tags were misplaced and are in the process of being replaced. Also with regards to the item that could not be located, the University stated that the item was reported missing and the University is in the process of updating its status within the system.

Failure to maintain accurate property records and tag equipment purchased with federal funds prohibits the University from properly safeguarding and maintaining equipment and may result in federal programs not receiving the appropriate share of proceeds from the disposals of equipment purchased with federal funds. (Finding Code 11-22, 10-26, 09-24)

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Year ended June 30, 2011

Current Findings – *Federal Compliance*

Recommendation:

We recommend the University implement procedures to ensure property records accurately reflect equipment on-hand and to ensure equipment items are properly tagged in accordance with property management regulations.

University Response:

Accepted.

For the Urbana campus:

P10F46497 -- The unit is in the process of replacing the missing PTAG.

P10F17130 – The item was reported as missing and presumed stolen in 2009 with a police report filed at that time. The University property records were not updated at that time to reflect the status. The University record is in the process of being updated to reflect the status.

L10C88818—The PTAG most likely fell off of the ultra sound unit as it was being transported for use in many different locations. A replacement PTAG will be affixed on the unit.

For the Chicago Campus:

The University disagrees that five items noted in the finding did not have visible property control tags affixed to the assets at the time of the firm's testing procedures. Property control tags were affixed to the equipment reviewed on the Chicago campus. As a key internal control feature, the system cannot generate a duplicate tag, therefore, if a tag needs to be replaced, an official, system-generated generic tag is generated and the appropriate control number is either handwritten or typed and affixed to the equipment. The five pieces of equipment noted had hand-written, compliant tags affixed to the equipment in accordance with property management regulations, University policy, and State Administrative Code, Section 5010.210.

The University has procedures to ensure property records accurately reflect equipment on-hand and to ensure equipment items are properly tagged in accordance with property management regulations.

Auditors' Comment:

As stated in the finding above, property control tags were not affixed to the assets identified as exceptions. We were able to corroborate the assets tested were included on the inventory listing using the asset serial numbers. Additionally, our understanding of the University's property tagging procedures is that handwritten property tags are only used as a temporary measure until a permanent tag can be generated; however, the University's property management policy does not specifically address the use of temporary or handwritten tags.

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Schedule of Findings and Questioned Costs

Year ended June 30, 2011

Current Findings – *Federal Compliance*

Federal Agency: US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)

Program Name: Student Financial Assistance Cluster

CFDA # and Program Expenditures: 84.007/84.033/84.038/84.063/84.268/84.375/84.376/84.379
93.264/93.342/93.364/93.925 (\$614,016,160)

Award Numbers: P015B100095/ P015A100095/ P015B100146/ P015B100065/ P015B100183/ P015B100043/
P015A100146/P015A100065/P015A100183/P015A100043/P015A060091/
P015A060066/P015B060066/P015A060013/P015A060115/P015A060171/
P015A060041/P015A060136/P015B060041/P015B060013/P015B060136/
P015B060115/P015B060171/P015B060091/P015A030141 (84.007/84.033/84.038/
84.063/84.268/84.375/84.376/84.379 93.264/93.342/93.364/93.925)

Questioned Costs: \$1,391

Finding 11-23 *Failure to Properly Determine SFA Awards in Accordance with Program Regulations*

The University disbursed funds in excess of program award limits at its Chicago campus.

During our testwork over 75 students (25 from the Urbana campus and 50 from the Chicago campus) who were awarded and disbursed student financial aid totaling \$726,969, we noted one graduate student from the Chicago campus who received a subsidized direct loan in excess of the annual loan limit of \$8,500 for which the student was eligible. The student was awarded subsidized loan funds in the amount of \$9,891 during the 2010-2011 academic year resulting in an over-award of \$1,391. Total aid awarded to this student during the year ended June 30, 2011 was \$19,158.

The University awarded approximately \$614,016,160 in federal financial assistance to students.

According to 34 CFR 685.203, the total amount a graduate or professional student may borrow for any academic year of study under the Federal Direct Stafford/Ford Loan Program in combination with the Federal Stafford Loan Program may not exceed \$8,500. Additionally, OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations*, requires nonfederal entities receiving awards to establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal control should include procedures to ensure student awards are packaged in accordance with program regulations.

In discussing these conditions with University officials, they stated the cause was human error.

Failure to properly determine eligibility and package financial aid in accordance with federal regulations may result in students receiving awards for which they are not eligible which would result in unallowable costs or may result in students not receiving awards that they may be entitled to receive. (Finding Code 11-23, 10-27)

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Year ended June 30, 2011

Current Findings – *Federal Compliance*

Recommendation:

We recommend the University review its current procedures for awarding federal assistance and implement any changes necessary to ensure student financial assistance is awarded in accordance with federal regulations.

University Response:

Accepted. The student's award has been corrected and the appropriate funds have been returned to the U.S. Department of Education. The student was packaged based upon a Borrower Based Academic Year (BBAY), rather than the Standard Academic Year (SAY) and staff incorrectly calculated their Stafford Loan eligibility. Procedures have been reviewed and updated to try to minimize such mistakes in the future.

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Schedule of Findings and Questioned Costs

Year ended June 30, 2011

Current Findings – *Federal Compliance*

Federal Agency: US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)

Program Name: Student Financial Assistance Cluster

CFDA # and Program Expenditures: 84.007/84.033/84.038/84.063/84.268/84.375/84.376/
84.379/93.264/93.342/93.364/93.925 (\$614,016,160)

Award Numbers: P015B100095/ P015A100095/ P015B100146/ P015B100065/ P015B100183/ P015B100043/
P015A100146/P015A100065/P015A100183/P015A100043/P015A060091/
P015A060066/P015B060066/P015A060013/P015A060115/P015A060171/
P015A060041/P015A060136/P015B060041/P015B060013/P015B060136/
P015B060115/P015B060171/P015B060091/P015A030141 (84.007/84.033/84.038/
84.063/84.268/84.375/84.376/84.379 93.264/93.342/93.364/93.925)

Questioned Costs: Cannot be determined

Finding 11-24 *Failure to Properly Complete Required Verification Procedures*

The University did not properly perform verification procedures for students at the Chicago campus.

In order to validate the accuracy of information reported by applicants for financial aid, USDE requires schools participating in federal student financial assistance programs to perform procedures to verify the accuracy of student financial and household information used to compute the expected family contribution (EFC) of each applicant. The EFC is used by the schools to determine the types and amounts of federal student financial aid each student is eligible to receive. USDE selects students for verification and schools are required to establish and follow a verification policy that complies with the federal regulations.

The University verifies the Institutional Student Information Records (ISIR) for all students selected by the USDE central processor at its Urbana and Springfield campuses and operates a quality assurance program at its Chicago campus. As such, the financial aid system has been designed to place a hold flag on the student awards for all students selected by the central processor for verification at the Urbana and Springfield campuses and for students meeting the verification selection criteria established by the University at the Chicago campus. The hold flag prevents disbursement of financial aid awards until the flag is manually removed by a financial aid counselor upon completion of the verification procedures.

During our testwork over 50 students selected for verification (25 from Urbana and 25 from Chicago), we noted the Institutional Student Information Record (ISIR) for one Chicago student reported untaxed income that was not verified by the University. Specifically, the ISIR reported untaxed income of \$2,400 which resulted in an overstatement of the student's expected family contribution by \$214.

During the year ended June 30, 2011, there were 10,763 students (6,401 at the Urbana campus and 4,362 at the Chicago campus) subject to verification procedures.

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Year ended June 30, 2011

Current Findings – Federal Compliance

An institution shall require each applicant whose application is selected to verify items specified in 34 CFR section 668.56. The institution shall also require applicants to verify any information used to calculate expected family contribution (EFC) it has reason to believe is inaccurate.

OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations*, requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include establishing procedures to ensure required documentation used to verify student information is obtained and evaluated prior to disbursement of Title IV funds. Additionally, corrections should be made as required by federal regulations and documentation of the performance of verification procedures should be maintained in the student's financial aid file.

In discussing these conditions with University officials, they stated the cause was human error.

Failure to properly perform verification procedures in accordance with federal regulations may result in students receiving awards for which they are not eligible which would result in unallowable costs or may result in students not receiving awards that they may be entitled to receive. (Finding Code 11-24, 10-28, 09-25)

Recommendation:

We recommend the University implement additional procedures to ensure adequate supporting documentation is obtained and evaluated by financial aid counselors prior to disbursing federal funds to students selected for verification.

University Response:

Accepted. The student's FAFSA was updated and the award was revised accordingly. Staff incorrectly looked at the wrong tax line on the parents tax form. There was \$2400 present on line 20b, rather than 19. If the amount was on line 19 it would have been considered untaxed and it would have matched the FAFSA. However, the \$2400 was on line 20b and thus is not considered untaxed income. Procedures have been reviewed and updated to try to minimize such mistakes in the future.

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Schedule of Findings and Questioned Costs

Year ended June 30, 2011

Current Findings – *Federal Compliance*

Federal Agency: US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)

Program Name: Student Financial Assistance Cluster

CFDA # and Program Expenditures: 84.007/84.033/84.038/84.063/84.268/84.375/84.376/
84.379/93.264/93.342/93.364/93.925 (\$614,016,160)

Award Numbers: P015B100095/ P015A100095/ P015B100146/ P015B100065/ P015B100183/ P015B100043/
P015A100146/P015A100065/P015A100183/P015A100043/P015A060091/
P015A060066/P015B060066/P015A060013/P015A060115/P015A060171/
P015A060041/P015A060136/P015B060041/P015B060013/P015B060136/
P015B060115/P015B060171/P015B060091/P015A030141 (84.007/84.033/84.038/
84.063/84.268/84.375/84.376/84.379 93.264/93.342/93.364/93.925)

Questioned Costs: None

Finding 11-25 *Inaccurate and Untimely Reporting of Student Status Changes*

The University did not submit changes in the enrollment status of borrowers under the Federal Direct Loan (Direct Loan) program within required timeframes.

The University is required to report changes in a student's enrollment status to the National Student Loan Data System (NSLDS) on a periodic basis to ensure student borrowers of the Direct Loan programs begin repaying their federal loans in a timely manner. During our testwork over 65 borrowers (25 from the Urbana campus, 25 from the Chicago campus, and 15 from the Springfield campus) under the Direct Loan program that graduated, withdrew, or dropped out during the fiscal year, we noted the following:

- One status change for withdrawn students under the Direct Loan program at the Urbana campus was inaccurately reported to National Student Loan Data System (NSLDS) as full-time (F), half-time (H), or less than half-time (L) status rather than as withdrawn (W) status. Upon further review, we noted this item relates to a student whose withdrawal was not processed until after the last status update in Spring 2011.
- One status change for withdrawn students under the Direct Loan Program at the Chicago campus was not reported to National Student Loan Data System (NSLDS). Upon further review, we noted that the university did not have the student's social security number on file and as such, was not reporting the student's status.
- Two status changes for withdrawn students under the Direct Loan program at the Chicago campus were inaccurately reported to National Student Loan Data System (NSLDS) as full-time (F), half-time (H), or less than half-time (L) status rather than as withdrawn (W) status. Upon further review, we noted these items relate to students whose withdrawals were not processed until after the last status update in Spring 2011.

The University disbursed loans totaling \$477,562,634 to borrowers (\$230,979,262 at the Urbana campus, \$222,845,153 at the Chicago campus, and \$23,738,219 at the Springfield campus) under the Direct Loan program during the year ended June 30, 2011.

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Schedule of Findings and Questioned Costs

Year ended June 30, 2011

Current Findings – *Federal Compliance*

According to 34 CFR 685.309, the University is required to notify the NSLDS of changes in a student borrower's status within 30 days of discovering the change, unless the University expects to complete its next Roster File within 60 days. In addition, OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations*, requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure student status changes are accurately reported in a timely manner.

In discussing these conditions with University officials, they stated the Office of Records and Registration reports enrollment status information for the campus. These conditions were as a result of the timing of the receipt of the student status change documentation in relation to the transmission date to the National Student Clearinghouse.

Failure to accurately report student status changes to NSLDS in a timely manner may result in Direct Loan borrowers not entering repayment status at the appropriate time. (Finding Code 11-25, 10-29, 09-27)

Recommendation:

We recommend the University implement procedures to ensure submissions to NSLDS are accurately reported within the required timeframe for all Direct Loan borrowers.

University Response:

Accepted. The Office of the Registrar already has a policy in place to identify and report backdated withdrawals. This policy will be reviewed with staff.

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Year ended June 30, 2011

Current Findings – *Federal Compliance*

Federal Agency: US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)

Program Name: Student Financial Assistance Cluster

CFDA # and Program Expenditures: 84.007/84.033/84.038/84.063/84.268/84.375/84.376/
84.379/93.264/93.342/93.364/93.925 (\$614,016,160)

Award Numbers: P015B100095/ P015A100095/ P015B100146/ P015B100065/ P015B100183/ P015B100043/
P015A100146/P015A100065/P015A100183/P015A100043/P015A060091/
P015A060066/P015B060066/P015A060013/P015A060115/P015A060171/
P015A060041/P015A060136/P015B060041/P015B060013/P015B060136/
P015B060115/P015B060171/P015B060091/P015A030141(84.007/84.033/84.038/84.063
/84.268/84.375/84.376/84.379 93.264/93.342/93.364/93.925)

Questioned Costs: None

Finding 11-26 *Failure to Obtain Written Agreements with Third Party Institutions*

The University did not obtain written agreements for all third party institutions as required by federal regulations.

The University has several arrangements whereby portions of the University's academic programs are provided by other institutions of higher education. Such arrangements primarily consist of foreign exchange programs operated by the University. During our testwork over 48 students (40 from Urbana and 8 from Chicago) participating in study abroad programs, we noted a written agreement was not available for two institutions attended by students from the Urbana campus. Additionally, we noted written agreements were not executed with 10 different institutions prior to Urbana students attending the various institutions.

According to Volume 2 Chapter 7 of the 2010-2011 Federal Student Aid Handbook, a study abroad program must be part of a written contractual or consortium agreement between two or more schools. In addition, OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations*, requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include ensuring written agreements are obtained when required.

In discussing these conditions with University officials, they stated procedures will be developed to ensure that written agreements are in place.

Failure to obtain required written agreements with all third party institutions with which the University offers educational programs may result in student financial aid recipients participating in programs with ineligible institutions. (Finding Code 11-26, 10-30, 09-28)

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Schedule of Findings and Questioned Costs

Year ended June 30, 2011

Current Findings – *Federal Compliance*

Recommendation:

We recommend the University verify written agreements are in place for all third party institutions as required by federal regulations.

University Response:

Accepted. The University has worked diligently over the past year to ensure that written agreements are in place for all third party institutions and to develop procedures to check that the agreements are in place as part of the financial aid awarding process.

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Schedule of Findings and Questioned Costs

Year ended June 30, 2011

Current Findings – *Federal Compliance*

Federal Agency: US Department of Agriculture (USDA)
US Department of Defense (USDOD)
US Department of Housing and Urban Development (USHUD)
US Department of Commerce (USDOC)
US Department of the Interior (USDOI)
US Department of Justice (USDOJ)
US Department of Transportation (USDOT)
US Library of Congress (USLOC)
National Aeronautics and Space Administration (NASA)
US Department of Veterans Affairs (USDVA)
National Science Foundation (NSF)
US Environmental Protection Agency (USEPA)
US Department of Energy (USDOE)
US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)
Corporation for National and Community Service (CNCS)
US Social Security Administration (USSSA)
US Department of Homeland Security (USDHS)
US Agency for International Development (USAID)

Program Name: Research and Development Cluster

CFDA # and Program Expenditures: Various (\$580,892,475)

Award Numbers: Various (R&D)

Questioned Costs: None

Finding 11-27 *Untimely Submission of Financial Reports*

The University does not have a process in place to ensure financial reports are prepared and submitted within required timeframes.

The University is required to prepare various periodic financial reports relative to awards under the Research and Development Cluster. These reports are required to be prepared at various timeframes based upon the terms and conditions of the awards. During our testwork over the financial reports submitted during fiscal year 2011, we noted two reports at the Chicago campus (out of 44 tested) related to the Research and Development Cluster were not submitted by the reporting deadline. Delays in the submission of these reports ranged from 7 to 65 days.

In addition, we noted the University does not have consistent procedures for tracking the due dates and submission of all federal reports. Currently, reporting responsibilities are assigned to different sections within the University's Grant and Contracts Offices based upon the federal awarding agency. The individuals within each reporting section have developed a variety of formal and informal methods for tracking and reporting

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Year ended June 30, 2011

Current Findings – *Federal Compliance*

requirements and deadlines. As a result, the University does not have the ability to monitor whether reports are prepared and submitted in a timely manner.

OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations* (OMB Circular A-110) and the grant agreements, contracts, and other award documents for the major programs identified above, require the University to prepare periodic financial reports. The instructions for the financial reports require quarterly and semi-annual reports to be submitted within 30 days of period end and annual reports to be submitted within 90 days of period end. Additionally, OMB Circular A-110 also requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure financial reports are submitted within required timeframes.

In discussing these conditions with University officials, they stated the reports submitted late were a result of human error.

Failure to prepare reports in a timely manner inhibits the ability of the federal agencies and pass through entities to properly monitor and evaluate the performance of the programs. (Finding Code 11-27, 10-32)

Recommendation:

We recommend the University implement procedures to ensure all financial reports are submitted within the established deadlines. We also recommend the University implement standardized procedures to monitor reporting requirements and submissions.

University Response:

Accepted. The University has procedures to ensure financial reports are submitted within the established deadlines. Occasionally, there are extenuating circumstances that may prohibit submission of these reports by the stated due date. In these cases, delayed submissions are a result of additional efforts to ensure accurate reporting.

The Urbana campus agrees that it does not have consistent procedures for tracking the due dates and submission of all federal reports. These differences are due to the individual needs of each work section. There were no untimely report exceptions cited for the Urbana campus in the audit. The University believes this evidence speaks to the effectiveness of our procedures, and that we do have the ability to monitor and ensure that reports are prepared and submitted in a timely manner.

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Year ended June 30, 2011

Current Findings – *Federal Compliance*

Federal Agency: US Department of Health and Human Services (USDHHS)

Program Name: Temporary Assistance for Needy Families Cluster

CFDA # and Program Expenditures: 93.558 (\$5,770,781)

Award Numbers: 81X6957000 (93.558)

Questioned Costs: None

Finding 11-28 *Inadequate Support for Cash Draws*

The University did not have accounting records to support reimbursement requests for the Temporary Assistance for Needy Families (TANF) Cluster.

The University draws cash for administrative expenditures and scholarship expenditures related to the TANF Cluster on the reimbursement basis. The University’s procedures for calculating reimbursement based draws generally are based upon expenditures paid and reported in the general ledger. During our testwork over cash draws performed by the Springfield campus for the TANF Cluster, we noted the University requested reimbursement of amounts in excess of expenditures reported in the general ledger as follows:

Draw Date	Draw Type	Draw Amount	Cumulative Expenditures in GL	Previous Amount Drawn	Amount Overdrawn
11/22/10	Administrative	\$5,000	\$ –	\$10,000	\$15,000
1/4/11	Administrative	5,000	–	15,000	20,000
	Scholarship	36,433	69,316	59,864	26,981

Upon further investigation of these differences, we noted there is a lag between the time expenditures are incurred and when they are reported in the general ledger due to the decentralized nature of the scholarship programs operations in the Financial Aid Offices.

OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations* (OMB Circular A-110), requires the University to minimize the time elapsing between the transfer of funds from the federal government and the payment of program expenditures. OMB Circular A-110 also requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include procedures to ensure reimbursement requests are properly supported by the University’s accounting records.

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Year ended June 30, 2011

Current Findings – *Federal Compliance*

In discussing these conditions with University officials, they stated procedures to ensure expenditures are recorded in the general ledger were implemented late in the fiscal year.

Drawing federal funds based upon information other than the University's official accounting records may result in funds being drawn in advance of the University's immediate cash needs. (Finding Code 11-28, 10-33)

Recommendation:

We recommend the University implement procedures to ensure expenditures are recorded in the general ledger as they are incurred to ensure the reimbursement requests are adequately supported by the University's official accounting records.

University Response:

Accepted. This is a repeat finding from fiscal year 2010. In response to the prior year's audit recommendation, the University of Illinois at Springfield (UIS) Grants and Contracts Post-Award Office, in conjunction with the UIS Financial Assistance Office, completed the *GCA 203-09 Expenditure Posting Procedures for the TANF Grant* procedures and documentation. The implementation of these procedures began on April 1, 2011. We believe that the procedures ensure expenditures are recorded in the general ledger as they are incurred and the reimbursement requests are adequately supported by the University's official accounting records.

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Year ended June 30, 2011

Current Findings – *Federal Compliance*

Federal Agency: US Department of Agriculture (USDA)
US Department of Defense (USDOD)
US Department of Housing and Urban Development (USHUD)
US Department of Commerce (USDOC)
US Department of the Interior (USDOI)
US Department of Justice (USDOJ)
US Department of Transportation (USDOT)
US Library of Congress (USLOC)
National Aeronautics and Space Administration (NASA)
US Department of Veterans Affairs (USDVA)
National Science Foundation (NSF)
US Environmental Protection Agency (USEPA)
US Department of Energy (USDOE)
US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)
Corporation for National and Community Service (CNCS)
US Social Security Administration (USSSA)
US Department of Homeland Security (USDHS)
US Agency for International Development (USAID)

Program Name: Research and Development Cluster
Cooperative Extension Services
Supplemental Nutrition Assistance Program (SNAP)
Education and Human Resources
National Resource Centers Program for Foreign Language and Area Studies or Foreign
Language and International Studies Program and Foreign Language and Area Studies
Fellowship Program (Foreign Language)
Student Financial Assistance Cluster
AIDS Education and Training Centers (AIDS)
Child Care and Development Fund Cluster
Maternal and Child Health Services Block Grant to the States (MCH Block Grant)
Homeland Security Cluster

CFDA # and Program Expenditures: Various (\$580,892,475)
10.500 (\$9,016,665)
10.551/10.561 (\$7,285,325)
47.076 (\$4,069,757)
84.015 (\$3,252,991)
84.007/84.033/84.038/84.063/84.268/84.375/84.376/
84.379/93.264/93.342/93.364/93.925 (\$614,016,160)
93.145 (\$3,056,530)
93.575/93.596/93.713 (\$5,093,994)

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Year ended June 30, 2011

Current Findings – *Federal Compliance*

93.994 (\$6,316,123)

97.067 (\$2,254,375)

Award Numbers: Various (R&D)

60023284-PO-RF01186898/60019432-PO-RF01186910/101K931/2008-1004-12/11RCCAFS80/2009-48687-05382/2008-41530-04695/2008-41210-04821/2008-41210-04813/2008-41530-19430/ 2009-41534-05441/2009-48697-06083/2009-48701-06090/2009-41530-05950/2009-48679-01300/2010-41534-21419/2010-41530-21747/2010-41533-21670/2010-48714-21827/ 8000041655/S11164/H001911904/11-006323/25-6324-0097-008/25-6324-0097-020/10-ACES374584/S10179/Y553769/H408906008/8000026125-AG/H408904313/H001226812/25-6324-0081-316/S08030/S08064 (10.500)
81X6287000(10.551/10.561)
07-15088FLW/08-31820/08-30884/06-22573MIST/08 17185/0850213/Y410676/0549245/53636 (47.076)
P007A091306/P007A101274/P063P103182/P063Q10011/P063P100118/P063P090118/P063P080118/P375A103182/P375A100118/P376S103182/P376S100118/P379T113182/P379T10118/P379T100118/T08HP18608A0-01/T08HP18687/T08HP13156-01-00 (84.007/84.033/84.038/84.063/84.68/84.375/84.376/84.379/93.264/93.342/ 93.364/93.925)
P015B100095/P015A100095/P015B100146/P015B100065/P015B100183/P015B100043/P015A100146/P015A100065/P015A100183/P015A100043/P015A060091/P015A060066/P015B060066/P015A060013/P015A060115/P015A060171/P015A060041/P015A060136/P015B060041/P015B060013/P015B060136/ P015B060115/ P015B060171/ P015B060091/ P015A030141 (84.015)
6H4HA00062-09-01/6H4AHA00062-08-01/HF4ETH08PPTRJASW00 (93.145)
81X6522000 (93.575/93.596/93.713)
03789004/93789004/53789004/L11G6788000/11G6788000/11G6518000/11G6517000/11G6517000(93.994)
2009-05954/2010-07244/2009-05954/ ITTF FFY 2010/11CPSJ911/09RICP 2010-02975/ 09 IFSI DTT/ IFSI 2009-00904/08RICP 2009-01112 (97.067)

Questioned Costs: None

Finding 11-29 *Inadequate Cash Draw and Reimbursement Request Controls*

There is no documentation to substantiate that a formal review and approval of cash draw and reimbursement request calculations are performed.

The University uses several different methods to calculate the amount for its cash draws and reimbursement requests based upon the specific funding methods permissible under each of its federal programs. The cash draw and reimbursement request calculations for each program are prepared by staff in the Grants and Contracts Office based upon queries of expenditure information reported in the University's general ledger; however, there was no documented supervisory review of the cash draw and reimbursement request calculations prior to University personnel requesting cash from the federal government.

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Year ended June 30, 2011

Current Findings – *Federal Compliance*

OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations* (OMB Circular A-110) requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include a supervisory review of all cash draw and reimbursement request calculations prior to requesting funds from a federal agency.

In discussing these conditions with University officials, they stated there are adequate controls in place for all letter of credit cash draws and reimbursement method billings.

Failure to perform supervisory reviews of cash draw and reimbursement request calculations may result in unapproved and inaccurate cash draw and reimbursement request calculations leading to noncompliance with cash management regulations. (Finding Code 11-29, 10-34, 09-33)

Recommendation:

We recommend the University implement procedures to ensure cash draw and reimbursement request calculations are reviewed and approved prior to requesting funds from the federal government.

University Response:

Not Accepted. The University has effective controls in place for cash draw calculations and cost reimbursement billings, which include steps to review the processes and amounts calculated in compliance with the regulatory requirements of OMB Circular A-110. Throughout the entire process there is a clear segregation of duties. The responsibility for LOC cash draws and reimbursement billings is assigned to staff with the appropriate authority, knowledge, and skill level. Additionally, Cooperative Extension Service Program draws are handled through the University Accounting and Financial Reporting Office, not the Grants and Contracts Office.

The University sought guidance from the Department of Health and Human Services (HHS) in coordination with the Department of Education and the National Science Foundation. This resulted in a Management Decision Letter (MDL) issued on February 14, 2011. According to the MDL, “The audit finding is not sustained based on our review...” The University subsequently received a letter on March 10, 2011, from HHS that states “The purpose of this communication is not to reverse our decision(s) but to clarify the nature of the finding and the direction that the University needs to take in correction of the issue(s)”. The University has been actively engaged in collaboration with the agencies to find resolution on this issue.

Auditors’ Comment:

We believe effective internal control should include a documented review and approval of cash draw calculations and we were unable to obtain evidence that the cash draw calculations had been reviewed and approved by an individual other than the preparer.

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Year ended June 30, 2011

Current Findings – *Federal Compliance*

Federal Agency: US Department of Agriculture (USDA)
US Department of Defense (USDOD)
US Department of Housing and Urban Development (USHUD)
US Department of Commerce (USDOC)
US Department of the Interior (USDOI)
US Department of Justice (USDOJ)
US Department of Transportation (USDOT)
US Library of Congress (USLOC)
National Aeronautics and Space Administration (NASA)
US Department of Veterans Affairs (USDVA)
National Science Foundation (NSF)
US Environmental Protection Agency (USEPA)
US Department of Energy (USDOE)
US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)
Corporation for National and Community Service (CNCS)
US Social Security Administration (USSSA)
US Department of Homeland Security (USDHS)
US Agency for International Development (USAID)

Program Name: Research and Development Cluster
Cooperative Extension Services
Supplemental Nutrition Assistance Program (SNAP)
Education and Human Resources

CFDA # and Program Expenditures: Various (\$580,892,475)
10.500 (\$9,016,665)
10.551/10.561 (\$7,285,325)
47.076 (\$4,069,757)

Award Numbers: Various (R&D)
60023284-PO-RF01186898/60019432-PO-RF01186910/101K931/2008-1004-
12/11RCCAFS80/2009-48687-05382/2008-41530-04695/2008-41210-04821/2008-
41210-04813/2008-41530-19430/ 2009-41534-05441/2009-48697-06083/2009-48701-
06090/2009-41530-05950/2009-48679-01300/2010-41534-21419/2010-41530-21747/2010-
41533-21670/2010-48714-21827/ 8000041655/S11164/H001911904/11-006323/25-6324-
0097-008/25-6324-0097-020/10-ACES374584/S10179/Y553769/H408906008/8000026125-
AG/H408904313/H001226812/25-6324-0081-316/S08030/S08064 (10.500)
81X6287000(10.551/10.561)
07-15088FLW/08-31820/08-30884/06-22573MIST/08 17185/0850213/Y410676/0549245/
53636 (47.076)

Questioned Costs: None

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Schedule of Findings and Questioned Costs

Year ended June 30, 2011

Current Findings – *Federal Compliance*

Finding 11-30 *Inadequate Approval Controls over Financial Reporting*

The University does not adequately document the performance of supervisory reviews of financial reports submitted for its federal programs.

The University prepares and submits a variety of financial reports using standard forms as prescribed by the granting Federal agencies. The financial reports are prepared by staff in the Grants and Contracts Office based upon queries of cash receipt and expenditure information reported in the University's general ledger. The University's controls over reporting include a supervisory review of each report prior to submission. During our review of the reports submitted by the Urbana campus, we noted the signature of the Director of Grants and Contracts was used to evidence approval of required financial reports; however, the report reviews are performed by supervisors within the Office of Grants and Contracts, not the Director. Since the supervisor did not sign his or her own name, we were unable to determine whether an individual other than the preparer reviewed the report prior to submission to the federal awarding agency. Additionally, during our review of 11 financial reports submitted by the Chicago campus, we noted 4 reports that did not contain documented evidence of a supervisory review.

OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations* (OMB Circular A-110) requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include a documented supervisory review to ensure the accuracy of all financial reports submitted to the federal agencies.

In discussing these conditions with University officials, they stated the University has effective internal controls. A supervisory review is done prior to the submission of financial status reports; however, formal documentation of the review is not required. OMB Circular A-133 requires the University to establish and maintain internal control designed to provide reasonable assurance that Federal awards are managed in compliance with federal laws, regulations, and program compliance requirements. University officials stated that there is no specific requirement for documentation of a supervisory review.

Failure to perform supervisory reviews of financial reports may result in the submission of inaccurate reports which would inhibit the ability of the grantor to properly monitor and evaluate the performance of the program activities. (Finding Code 11-30)

Recommendation:

We recommend the University implement procedures to ensure the financial reports submitted for its federal awards are reviewed and approved by someone other than the preparer.

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Current Findings – *Federal Compliance*

University Response:

Not Accepted. The University has effective internal controls. A supervisory review is done prior to the submission of financial status reports; however, formal documentation of the review is not required. OMB Circular A-133 requires the University to establish and maintain internal control designed to provide reasonable assurance that Federal awards are managed in compliance with federal laws, regulations, and program compliance requirements. There is no specific requirement for documentation of a supervisory review.

Auditors' Comment:

We believe effective internal control should include a documented review and approval of required financial reports and we were unable to obtain evidence that the financial reports had been reviewed and approved by an individual other than the preparer.

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Current Findings – *Federal Compliance*

Federal Agency: US Department of Agriculture (USDA)
US Department of Defense (USDOD)
US Department of Housing and Urban Development (USHUD)
US Department of Commerce (USDOC)
US Department of the Interior (USDOI)
US Department of Justice (USDOJ)
US Department of Transportation (USDOT)
US Library of Congress (USLOC)
National Aeronautics and Space Administration (NASA)
US Department of Veterans Affairs (USDVA)
National Science Foundation (NSF)
US Environmental Protection Agency (USEPA)
US Department of Energy (USDOE)
US Department of Education (USDE)
US Department of Health and Human Services (USDHHS)
Corporation for National and Community Service (CNCS)
US Social Security Administration (USSSA)
US Department of Homeland Security (USDHS)
US Agency for International Development (USAID)

Program Name: Research and Development Cluster

CFDA # and Program Expenditures: Various (\$580,892,475)

Award Numbers: Various (R&D)

Questioned Costs: None

Finding 11-31 *Inaccurate Award Records*

The University does not have an adequate process to ensure grant award amounts are accurately recorded in the accounting system.

The University utilizes an accounting system to track and maintain the official financial records of the University. As Federal awards are granted to the University, individuals from the University's pre-award office establish ledger accounts for each award and enter the grant's details into the accounting system. During our review of 42 Research and Development Cluster grant awards, we noted the award amounts recorded in the accounting system for two grants did not agree to the award documents. Specifically, we noted the following differences between the accounting system and the grant award documents:

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Current Findings – *Federal Compliance*

Grant Number	Amount on Award Document	Amount in University Accounting System	Difference
E4908	\$10,321,467	\$ 9,906,903	\$414,564
E4539	\$4,810,286	\$ 4,810,299	(13)

OMB Circular A-110, *Uniform Administrative Requirements for Grant and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations (OMB Circular A-110)*, requires nonfederal entities receiving federal awards establish and maintain internal control designed to reasonably ensure compliance with federal laws, regulations, and program compliance requirements. Effective internal controls should include maintaining accurate financial records for grants received by the Federal government.

In discussing these conditions with University officials, they stated these were data entry errors.

Failure to accurately record award information in the accounting system may result in overspending of Federal awards, inaccurate reimbursement requests, and inaccurate financial reporting. (Finding Code 11-31)

Recommendation:

We recommend the University implement procedures to ensure award amounts recorded in the University's accounting system agree to award documents received from Federal agencies.

University Response:

Accepted. The University has procedures and system-generated error reports to ensure award amounts recorded in the University's accounting system agree to award documents received from Federal agencies. Data entry errors occurred for the two awards identified.

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Current Findings – *State Compliance*

Finding 11-32 – Contracts and Real Estate Leases Not Properly Executed

The University has not established adequate internal controls over contracts and leases to ensure they contain all necessary provisions, are properly executed prior to performance, and are filed with the State of Illinois, Office of the Comptroller on a timely basis.

During our review of 60 contracts executed during the year ended June 30, 2011, we noted the following:

- 57 contracts did not contain the signature of the employee signing on behalf of the University Comptroller.
- Three contracts were not signed by University's Chief Executive Officer and/or Chief Legal Counsel.
- Six contracts were executed subsequent to performance of the contract. The contract execution dates ranged from 3 to 160 days after the beginning of the contract start date.
- Four contracts were not published in the Illinois Procurement Bulletin.
- Three contracts did not include disclosures of financial interest statements.
- One contract did not include any of the required certifications.
- One contract did not include the Domestic Products certification.
- Nine contracts were not filed timely with the Office of the Comptroller. The late filings ranged from one day to 28 days late.

During our review of 40 real estate leases executed during the year ended June 30, 2011, we noted the following

- Five leases were executed after the lease term began. The lease execution dates ranged from two days to 82 days after the beginning of the lease term.
- One Real Estate Lease Disclosure form was completed 14 days after the beginning of the lease term.
- Two leases were not filed timely submitted with the Office of the Comptroller. The late filings ranged from one day to two days late.

During our review of 17 emergency purchases awarded during the year ended June 30, 2011, we noted the affidavit for one emergency purchase was filed with the Auditor General of the State of Illinois 15 days after the required time frame.

The Illinois Procurement Code (30 ILCS 500 et seq.) and Statewide Accounting Management System (Procedure 15.20 et seq. and 15.10.40) requires contracts and real estate leases to contain certain signatures, clauses, and certifications and also require State agencies to file contracts for professional and artistic services exceeding \$5,000 and all other contracts and leases exceeding \$10,000 with the State Comptroller within 15 calendar days after execution. The Emergency Purchases Section (c) Affidavits of the Illinois Procurement Code (30 ILCS 500/20-30) states that a chief procurement officer shall file affidavits with the Procurement Policy Board and the Auditor General of the State of Illinois within 10 days after the procurement setting forth the amount expended, the name of the contractor involved, and the conditions and circumstances requiring the emergency procurement. Further, the State Finance Act (30 ILCS 105/9.02) states that any new contract, contract renewal, order against a master contract, or any contract amendment of \$250,000 or more in a fiscal year, shall be signed or approved in writing by the agency's chief executive officer, chief legal counsel and chief fiscal officer.

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Year ended June 30, 2011

Current Findings – *State Compliance*

In addition, the University of Illinois Business and Financial Policies and Procedures (Section 2.3) and good business practices require all contracts and leases to be fully executed prior to the beginning of the lease term or the commencement of services. Additionally, the Fiscal Control and Internal Auditing Act (30 ILCS 10/3001), requires the University to establish and maintain a system or systems of internal fiscal and administrative controls, which shall provide assurance that: (1) resources are utilized effectively, and in compliance with applicable law (2) obligations and cost are in compliance with applicable law; (3) funds, property, and other assets and resources are safeguarded against waste, loss, unauthorized use, and misappropriation; (4) revenues, expenditures, and transfers of assets, resources or funds applicable to operations are properly recorded and accounted for to permit preparation of accounts and reliable financial and statistical reports and to maintain accountability over the State's resources; and (5) fund held outside the State Treasury are managed, used, and obtained in strict accordance with the terms of their enabling authorities and that no unauthorized funds exist. The University's system of internal controls should include procedures to ensure contracts and leases contain all necessary provisions and are properly executed prior to the performance.

In discussing these conditions with University officials, they stated exceptions can be attributed to changes in requirements for documents, human error, and documents not being received in the Contract Records Office in a timely manner.

Failure to fully execute a contract or real estate lease prior to the commencement of services or lease term leaves the University vulnerable to unnecessary liabilities and potential legal issues. In addition, failure to include all appropriate signature, clauses, and certifications in contracts and leases or file contracts and real estate leases with the Office of the Comptroller and emergency purchase affidavits with the Auditor General of the State of Illinois on a timely basis results in noncompliance with State statutes and regulations (Finding Code 11-32, 10-35, 09-35, 08-09, 07-10, 06-07, 05-05, 04-05, 03-06)

Recommendation

We recommend the University establish appropriate procedures to ensure all contracts and leases are completed, approved and properly executed prior to the start of the services and lease term. Further, the University should review procedures to ensure all appropriate signatures, clauses, and certifications are obtained prior to execution for all contracts and lease agreements and all applicable contracts and real estate leases are filed with the Office of the Comptroller and emergency purchase affidavits with the Auditor General of the State of Illinois in accordance with the State statutes and related guidelines.

University Response:

Accepted. The University will continue to examine and improve procedures to ensure contracts and leases are properly approved and executed prior to the start of the agreement, include all necessary documents, and are filed on a timely basis.

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Schedule of Findings and Questioned Costs

Year ended June 30, 2011

Current Findings – *State Compliance*

Finding 11-33 – Failure to Follow Time Reporting Requirements

The University does not require all employees to submit time sheets as required by the State Officials and Employees Ethics Act. The University does not require faculty employees to submit time sheets as required by the State Officials and Employees Ethics Act.

During our testing of payroll, we selected 60 employees across all three campuses and noted that 18 faculty employees did not file timesheets as required by the State Officials and Employees Ethics Act. Based upon inquiry of the University management, employees classified as board members and faculty continue to generally track their time using a “negative” timekeeping system whereby the employee is assumed to be working unless noted otherwise.

The State Officials and Employees Ethics Act (the Act) (5 ILCS 430/1-5) defines “State agency” to include “public institutions of higher learning...” and defines “State employee” to be “any employee of a State agency.” The Act required the Illinois Board of Higher Education (IBHE), with respect to State employees of public universities, to adopt and implement personnel policies. The Act (5 ILCS 430/1-5) also states, “The policies shall require State employees to periodically submit time sheets documenting the time spent each day on official State business to the nearest quarter hour; contractual State employees may satisfy the time sheets requirement by complying with the terms of their contract, which shall provide for a means of compliance with this requirement.” The IBHE adopted personnel policies for public universities on February 3, 2004 in accordance with the Act. The University has not incorporated these policies into the University’s policies.

In discussing these conditions with University officials, they stated the implementation of the system for positive time reporting for University Academic Professionals and Exempt Civil Service staff is in force since the beginning of fiscal year 2011. All Non-Exempt Civil Service employees throughout the University have been reporting their time in accordance with the State University Civil Service System and all faculty report time not worked.

Failure to follow the time reporting requirements of the Act results in noncompliance with State statute. (Finding Code 11-33, 10-37, 09-40, 08-11, 07-11, 06-06, 05-06)

Recommendation

We recommend the University implement procedures to ensure all employees submit time sheets as required by the Act.

University Response:

Accepted. We are working with our faculty groups across the three campuses towards completion of the plan initiated in November 2008.

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Schedule of Findings and Questioned Costs

Year ended June 30, 2011

Current Findings – *State Compliance*

Finding 11-34 – Inaccurate Inventory Records

The University does not have an adequate process to measure inventory balances as of fiscal year-end (i.e. balance sheet date).

The University performs annual physical inventory counts for large balances of goods and supplies held on hand such as the University bookstores books and merchandise, hospital supplies, medical center pharmaceuticals, general office supplies, and telecommunication supplies. The results of these physical inventory counts are used to record inventory balances so that amounts reported at year-end are based on actual quantities on hand as of the balance sheet date. During our testwork over physical inventory counts at the Materials Management department of the University of Illinois Hospital, the Chicago Academic Computing and Communication Center, the Urbana Campus Information and Technologies and Educational Services, and the University bookstores, we selected a sample of 220 inventory items (totaling \$1,090,688) that were counted at year-end and performed test counts, in which we noted the following:

- One item (totaling \$5,660) at the bookstores did not agree with the department's inventory records resulting in overstatements of \$118.
- One item (totaling \$1,412) at the Materials Management department did not agree with the department's inventory records resulting in understatement of \$471.
- Three items (totaling \$530) at the Chicago Academic Computing and Communication Center did not agree with the department's inventory records resulting in overstatements of \$161.
- Two items (totaling \$491,154) at the Chicago Academic Computing and Communication Center did not agree with the department's inventory records resulting in understatements of \$56,147.
- The final inventory records for eight items (totaling \$491,208) at the Chicago Academic Computing and Communication Center did not agree with the year-end inventory records resulting in overstatements of \$169,561.
- Three items (totaling \$5,315) at the Urbana Campus Information and Technologies and Educational Services campus did not agree with the department's inventory records resulting in overstatements of \$380.

Inventory balances of goods and supplies held on hand by the University totaled \$31,187,000 as of the year ended June 30, 2011.

The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires the Agency to establish and maintain a system or systems of internal fiscal and administrative controls, which shall provide assurance that revenues, expenditures, and transfers of assets, resources, or funds applicable to operations are properly recorded and accounted for to permit the preparation of accounts and reliable financial and statistical reports and to maintain accountability over the State's resources. Effective internal controls should include a process of performing accurate inventory counts so that amounts reported at year-end are based on actual quantities on hand as of balance sheet date.

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Current Findings – *State Compliance*

In discussing these conditions with University officials, they stated the differences were due to data entry errors and oversight during the physical counts.

Failure to perform accurate physical inventory counts of actual on quantities on hand at year-end results in inventory balances being inaccurately reported in the University's financial statements at year-end. (Finding Code 11-34, 10-38)

Recommendation

We recommend the University review its process for performing physical inventory counts and consider any changes necessary to ensure physical inventory counts are accurate and amounts reported at year-end are based on actual quantities on hand as of balance sheet date.

University Response:

Accepted. The University will implement the necessary corrective action to address the recommendation made in this finding.

**STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS**

Schedule of Findings and Questioned Costs

Year ended June 30, 2011

Current Findings – *State Compliance*

Finding 11-35 – Failure to Maintain Supporting Documentation for Agency Workforce Report

The University did not accurately complete the Agency Workforce Report and did not maintain adequate supporting documentation for the workforce data included in the report.

The Agency Workforce Report is submitted annually to the Governor’s Office and Secretary of State as part of the State Employment Records Act. The report is designed to provide data for the calendar year relative to: (1) the number and income levels of University employees, (2) the number of employees by gender, (3) the number of employees by minority group, and (4) the number of physically disabled employees. The University generates the report directly from the Enterprise Data Warehouse which contains employee level data. During our review of the Agency Workforce Report submitted during the year, we noted the University created the report based on a query of the Enterprise Data Warehouse run on a specific date. However, during our testwork over the report we noted the following exceptions:

- Because detail of 159 physically disabled employees included on the report was not maintained, we were unable to verify the accuracy of those amounts on the report;
- Of the 199 reporting categories in the report, 65 (33%) of the reporting categories did not agree to the detail of individuals from the query. The discrepancies in the number of individuals reported and the detail of individuals from the query ranged from one individual to nine individuals.

The State Employment Records Act (5 ILCS 410/20) requires the University to collect, classify, maintain, and report all information required by this Act to the Governor’s Office and Secretary of State annually. The Illinois State Auditing Act (30 ILCS 5/3-2.2) requires the University to prepare and file with the Governor and the Office of the Secretary of State corrected reports covering the period affected by the noncompliance within 30 days of the release of the audit by the Auditor General. In addition, the Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires the University to maintain a system of fiscal and administrative controls. Effective internal controls should include maintaining adequate and accurate supporting documentation for all reports submitted to the Governor’s Office and the Secretary of State.

In discussing these conditions with University officials, they stated the errors were due to the system report used to prepare the Agency Workforce Report not being updated to correspond to new reporting requirements and data entry errors.

Failure to maintain adequate and accurate supporting documentation inhibits the ability to perform an audit of the report and results in inaccurate data included in the annual report. (Finding Code 11-35, 10-40, 09-44)

Recommendation

We recommend the University implement procedures to ensure workforce data included in the Agency Workforce Report is accurate and that adequate supporting documentation is maintained to support data included in the report. We further recommend the University submit corrected reports to the Governor and the Office of the Secretary of State in accordance with the State Auditing Act.

**STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS**

Schedule of Findings and Questioned Costs

Year ended June 30, 2011

Current Findings – *State Compliance*

University Response:

Accepted. The University has corrected the oversight of documenting physically disabled individuals, and will develop a corrective action plan to improve the testing process. Corrected reports were sent to the Governor and the Office of the Secretary of State on January 19, 2012.

STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS

Schedule of Findings and Questioned Costs

Year ended June 30, 2011

Current Findings – *State Compliance*

Finding 11-36 – Use of University Vehicles

The University did not report certain automobile accidents involving University vehicles to the Department of Central Management Services in a timely manner.

During our testing of the operation of University vehicles, we noted the University reported 159 accidents involving University vehicles to the Department of Central Management Services (CMS) during fiscal year 2011. Of the 134 accidents reported by the Urbana campus, 25 (19%) were not reported timely and ranged from one to 45 days late. Of the 25 accidents reported by the Chicago campus, 6 (24%) were not reported timely and ranged from one to 41 days late.

The Illinois Administrative Code (Title 44, Subtitle D, Chapter 1, Part 5040.520) states a driver of a state owned or leased vehicle which is involved in an accident of any type shall report such accident to the appropriate law enforcement agency and to CMS by completing the “Motorist’s Report of Illinois Motor Vehicle Accident” form (SR 1). For all accidents, the Form SR 1 is to be completed as soon as possible and submitted to the office of the current insurance carrier. In no case is this report to be completed later than three (3) days following an accident.

Additionally, University of Illinois Office of Business and Financial Services Policies and Procedures (Section 15.3) state that the responsible personnel at each campus “must deliver the accident report to the CMS within seven calendar days of the accident in all cases.” Finally, the Illinois Administrative Code (Title 44, Subtitle D, Chapter 1, Part 5040.400) states that all state-owned vehicles shall undergo regular service in order to maintain the vehicles in road worthy, safe, operating condition and appropriate cosmetic condition and the driver should check oil, coolant, and battery water levels regularly.

In discussing these conditions with University officials, they stated these exceptions are due to 1) the campus offices not knowing about reportable accidents until the units report them, after which time is needed to gather the information required by CMS and 2) misunderstanding by employees as well as their supervisors due to their unfamiliarity with University policy.

Failure to report automobile accidents to the Department of Central Management Services in a timely manner may cause the University to be denied coverage and result in additional liabilities for the University. (Finding Code 11-36, 10-41, 09-46, 08-10)

Recommendation

We recommend the University implement procedures to ensure that accident reports are submitted to the Department of Central Management Services in a timely manner. We also recommend the University ensure that policies and procedures are clearly understood and followed by all personnel responsible for the oversight of University vehicles within each department.

University Response:

Accepted. The University continues to disseminate information and helpful educational tools to ensure employees know to promptly report auto accidents. In addition the University will send letters to personnel responsible for the oversight of University vehicles when there are violations of required policies and procedures.

STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS

Schedule of Findings and Questioned Costs

Year ended June 30, 2011

Current Findings – *State Compliance*

Finding 11-37 – Failure to Comply with Higher Education Veterans Act (Act)

The University did not fully comply with the Higher Education Veterans Service Act (Act).

During our review of the University’s compliance with the Act, we noted the following:

- The University of Illinois - Chicago (UIC) did not post the completed survey of the services and programs provided for veterans, active duty military personnel, and their families on the homepage of the campus’ Internet link. In addition, UIC did not submit a copy of the survey to the Department of Veterans’ Affairs; President and Minority Leader of the Senate and the Speaker and Minority Leader of the House of Representatives; and the Governor.
- The University of Illinois - Urbana-Champaign (UIUC) did not submit a copy of the survey to the President and Minority Leader of the Senate and the Speaker and Minority Leader of the House of Representatives and the Governor.

The Higher Education Veterans Service Act (110 ILCS 49/15 (a)) requires all public colleges and universities to conduct a survey of the services and programs that are provided for veterans, active duty military personnel, and their families, at each of their respective campuses and shall make the survey available on the homepage of all campus Internet links after the completion of the survey. Each public college and university shall also provide a copy of its survey to the (1) Board of Higher Education; (2) the Department of Veterans’ Affairs; (3) the President and Minority Leader of the Senate and the Speaker and Minority Leader of the House of Representatives; and (4) the Governor.

In discussing these conditions with University officials, they stated a draft copy of the completed survey was posted online but due to a misunderstanding of the instructions, the final version of the completed survey was not posted or sent to the required officials.

Failure to make available the completed survey in campus internet links and failure to provide copies to the Department of Veterans’ Affairs, the President and Minority Leader of the Senate, the Speaker and Minority Leader of the House of Representatives and the Governor, results in noncompliance with the Act. (Finding Code 11-37)

Recommendation

We recommend the University implement procedures to comply with the requirements of the Act.

University Response:

Accepted. Although the Chicago and Urbana-Champaign campuses did not submit a copy of the final 2009 survey to all of the required parties, they did submit the Fiscal Impact report in both 2010 and 2011, as required by statute. In addition, the 2009 survey has since been sent to the required offices.

**STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS**

Prior Findings Not Repeated – Federal Compliance

Year ended June 30, 2011

A. Incompatible Allocation Methodologies for Payroll Costs

The University (Chicago campus) does not use an appropriate methodology for allocating payroll and fringe benefit expenditures for academic personnel to the MCH Block Grant program. (Finding Code 10-06, 09-06)

In the current year, the University discontinued the use of random moment study and required timesheets or annual certifications to be completed for personnel charges to the MCH Block Grant.

B. Inadequate Procedures to Determine the Allowability of Cost Share Expenditures

The University does not have an adequate process in place to determine the allowability of certain expenditures used to meet the cost share (matching) requirement of the SNAP program. (Finding Code 10-07, 09-08)

In the current year, the matching requirement was terminated effective October 1, 2010.

C. Unsupported Volunteer Rate Used for Cost Share Requirement

The University used an unsupported rate to value services of volunteers used to meet the cost share (matching) requirements of the SNAP Program. (Finding Code 10-08, 09-09)

In the current year, the matching requirement was terminated effective October 1, 2010.

D. Inadequate Documentation for Institutional Letter of Credit Cash Draws

The University does not have adequate documentation to demonstrate it minimized the time elapsing between the draw (receipt) and expenditure of federal funds for individual awards funded with institutional letters of credit. (Finding Code 10-10, 09-10)

In the current year, an alternative testing approach was approved by the Office of the Inspector General from US Department of Health and Human Services and National Science Foundation.

E. Incomplete and Inaccurate Annual Effort Certifications

The University does not have an adequate process to ensure annual effort certifications are accurate and completed in a timely manner. (Finding Code 10-13)

In the current year, the University implemented procedures to ensure annual effort certifications were accurate and completed timely.

F. Incomplete Semi-Annual Expenditure Confirmations

The University does not have an adequate process to ensure all semi-annual expenditure confirmations are certified by principal investigators in a timely manner. (Finding Code 10-14)

In the current year, the University implemented procedures to ensure semi-annual expenditure confirmations were certified and received timely.

**STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS**

Prior Findings Not Repeated – Federal Compliance

Year ended June 30, 2011

G. Inaccurate Amounts Reported in Quarterly Federal Financial Reports

The University did not accurately report cash disbursements in its quarterly federal financial reports submitted for the Cooperative Extension Services program. (Finding Code 10-17)

In the current year, no exceptions were noted in the reports sampled.

H. Unallowable Costs Charged to Federal Program

The University claimed expenditures under the Maternal and Child Health Services Block Grant to the States (MCH Block Grant) program and used expenditures to meet cost share requirements of the Research and Development Cluster that are unallowable. (Finding Code 10-24)

In the current year, similar exceptions were not identified.

I. Inadequate Controls Over Federal Expenditures Paid with Procurement Cards

The University has not established adequate internal controls over federal expenditures made with procurement cards (Finding Code 10-31, 09-31)

In the current year, the University eliminated the use of the auto reconciliation feature for procurement cards defaulting to a federal project.

STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS

Prior Findings Not Repeated – State Compliance

Year ended June 30, 2011

J. Inadequate Controls Over Patient Billing System

The University has not established adequate internal controls over access to the patient billing system used in its financial reporting process. (Finding Code 10-36, 09-38)

In the current year, the University established procedures to perform periodic user access reviews for the patient billing system.

K. Untimely Bank Account Reconciliation Supervisory Reviews

The University did not perform supervisory reviews over bank account reconciliations in a timely manner during the fiscal year. (Finding Code 10-39)

In the current year, the University established procedures to perform timely supervisory reviews over bank account reconciliations.

L. Failure to Follow State Regulations for Recording Equipment

The University improperly records equipment additions by reducing the purchase price for the value of trade-ins. Additionally, the University does not calculate a gain or loss on disposal of equipment from trade-ins. (Finding Code 10-42, 09-47)

In the current year, the University discontinued its practice of recording equipment additions by reducing the purchase price for the value of trade-ins and began calculating a gain or loss on disposal of equipment from trade-ins.

M. Failure to Report Payroll Warrant Information

The University did not report payroll warrant information to the Office of Secretary of State as required by State Statute. (Finding Code 10-43)

In the current year, the University implemented procedures to report payroll warrant information to the Office of Secretary of State.

STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS

Financial Related Information Summary

Year ended June 30, 2011

Financial related information presented in this section of the report includes the following:

- Financial Related Schedules:
 - Schedule of Expenditures of Federal Awards
 - Attachments to Schedule of Expenditures of Federal Awards
 - Notes to the Schedule of Expenditures of Federal Awards

The Schedule of Expenditures of Federal Awards presented in this section has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in the auditor's opinion, it is fairly stated, in all material respects, in relation to the basic financial statements taken as a whole.

The findings and auditor's reports on compliance testing and questioned costs and internal control related to federal awards are presented in the Compliance Report section of this report.

UNIVERSITY OF ILLINOIS
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
Year Ended June 30, 2011

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
<u>Cluster: 1R&D</u>							
<u>Central Intelligence Agency (CIA)</u>							
Central Intelligence Agency (CIA)							
<i>Direct Awards</i>							
Central Intelligence Agency (non-specific)	13.000		Y	231,071			231,071
	Agency Totals			<u>231,071</u>			<u>231,071</u>
<u>Housing & Urban Development (HUD)</u>							
Housing & Urban Development (HUD)							
<i>Direct Awards</i>							
Economic Development Initiative-Special Project, Neighborhood Initiative and Miscellaneous Gr	14.251		Y		98,000		98,000
Doctoral Dissertation Research Grants	14.516		Y	11,186	10,210		21,396
Early Doctoral Student Research Grants (B)	14.517		Y		899		899
Lead-Based Paint Hazard Control in Privately-Owned Housing	14.900		Y		26,935		26,935
Lead Technical Studies Grants	14.902		Y		-34		-34
ARRA - Healthy Homes Technical Studies Grants (Recovery Act Funded)	14.910	Y	Y		404,237		404,237
<i>Pass through from Others - see also pass through detail</i>							
Department of Housing and Urban Development (non-specific)	14.000		Y	14,595	15,100		29,695
<i>Pass through from State of IL - see also pass through detail</i>							
Lead-Based Paint Hazard Control in Privately-Owned Housing	14.900		Y	56,118			56,118
	Agency Totals			<u>81,899</u>	<u>555,347</u>		<u>637,246</u>
<u>Institute of Museum & Library Services (IMLS)</u>							
Institute of Museum & Library Services (IMLS)							
<i>Direct Awards</i>							
National Leadership Grants	45.312		Y	79,390			79,390
Laura Bush 21st Century Librarian Program	45.313		Y	394,810			394,810
<i>Pass through from Others - see also pass through detail</i>							
Museums for America	45.301		Y		1,290		1,290

Cluster: 1R&D

Major Agency: Institute of Museum & Library Services (IMLS)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
21st Century Museum Professionals	45.307		Y	31,874			31,874
Laura Bush 21st Century Librarian Program	45.313		Y	-5,452			-5,452
<i>Pass through from State of IL - see also pass through detail</i>							
Grants to States	45.310		Y			32,724	32,724
Agency Totals				<u>500,622</u>	<u>1,290</u>	<u>32,724</u>	<u>534,636</u>
<u>United States Agency for International Development (AID)</u>							
United States Agency for International Development (AID)							
<i>Pass through from Others - see also pass through detail</i>							
Agency for International Development (non-specific)	98.000		Y	141,419	10,248		151,667
USAID Foreign Assistance for Programs Overseas	98.001		Y	405,460			405,460
USAID Development Partnerships for University Cooperation and Development	98.012		Y		117,531		117,531
Agency Totals				<u>546,879</u>	<u>127,779</u>		<u>674,658</u>
<u>US Department of Agriculture (USDA)</u>							
National Institute of Food and Agriculture/USDA							
<i>Direct Awards</i>							
Grants for Agricultural Research, Special Research Grants	10.200		Y	351,270			351,270
Specialty Crop Research Initiative	10.309		Y	883,657			883,657
Agriculture and Food Research Initiative (AFRI)	10.310		Y	1,382,800	72,836		1,455,636
Agency Totals				<u>2,617,727</u>	<u>72,836</u>		<u>2,690,563</u>
US Department of Agriculture (USDA)							
<i>Direct Awards</i>							
Agricultural Research_Basic and Applied Research	10.001		Y	-567			-567
Grants for Agricultural Research, Special Research Grants	10.200		Y	178,154			178,154
Cooperative Forestry Research	10.202		Y	184,942			184,942
Payments to Agricultural Experiment Stations Under the Hatch Act	10.203		Y	5,453,453			5,453,453
Grants for Agricultural Research_Competitive Research Grants	10.206		Y	732,456			732,456
Animal Health and Disease Research	10.207		Y	29,588			29,588
Agricultural and Rural Economic Research	10.250		Y	13,741			13,741
Consumer Data and Information Program	10.256		Y	2,871			2,871
Integrated Programs	10.303		Y	55,000	228,428		283,428
Agriculture and Food Research Initiative (AFRI)	10.310		Y	76,175			76,175

Cluster: 1R&D

Major Agency: US Department of Agriculture (USDA)

FY11 SEFA

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
Technical Agricultural Assistance	10.960		Y		30,584		30,584
<i>Pass through from Others - see also pass through detail</i>							
Department of Agriculture (non-specific)	10.000		Y	53,380			53,380
Agricultural Research_Basic and Applied Research	10.001		Y	208,053			208,053
Plant and Animal Disease, Pest Control, and Animal Care	10.025		Y	35,143			35,143
Grants for Agricultural Research, Special Research Grants	10.200		Y	98,615			98,615
Grants for Agricultural Research_Competitive Research Grants	10.206		Y	269,920			269,920
Sustainable Agriculture Research and Education	10.215		Y	34,123			34,123
Biotechnology Risk Assessment Research	10.219		Y	12,500			12,500
1994 Institutions Research Program	10.227		Y	1,312			1,312
Integrated Programs	10.303		Y	43,530			43,530
Homeland Security_Agricultural	10.304		Y	30,456			30,456
Organic Agriculture Research and Extension Initiative	10.307		Y	66,856			66,856
Agriculture and Food Research Initiative (AFRI)	10.310		Y	34,976			34,976
Biomass Research and Development Initiative Competitive Grants Program (BRDI)	10.312		Y	76,666			76,666
Cooperative Extension Service	10.500		Y	34,482			34,482
Environmental Quality Incentives Program	10.912		Y	11,387			11,387
<i>Pass through from State of IL - see also pass through detail</i>							
Plant and Animal Disease, Pest Control, and Animal Care	10.025		Y	122,420			122,420
Specialty Crop Block Grant Program - Farm Bill	10.170		Y	39,939			39,939
Cooperative Extension Service	10.500		Y	1,284			1,284
	Agency Totals			<u>7,900,855</u>	<u>259,012</u>		<u>8,159,867</u>
USDA Agricultural Research Service (ARS)							
<i>Direct Awards</i>							
Agricultural Research_Basic and Applied Research	10.001		Y	2,773,687			2,773,687
Grants for Agricultural Research, Special Research Grants	10.200		Y	244,885			244,885
Grants for Agricultural Research_Competitive Research Grants	10.206		Y	90,338			90,338
Agricultural and Rural Economic Research	10.250		Y		20,270		20,270
<i>Pass through from Others - see also pass through detail</i>							
Department of Agriculture (non-specific)	10.000		Y	-26,123			-26,123
	Agency Totals			<u>3,082,787</u>	<u>20,270</u>		<u>3,103,057</u>
USDA Animal & Plant Health Inspection Serv (APHIS)							

Cluster: 1R&D

Major Agency: US Department of Agriculture (USDA)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
<i>Direct Awards</i>							
Department of Agriculture (non-specific)	10.000		Y	49,074			49,074
Plant and Animal Disease, Pest Control, and Animal Care	10.025		Y	57,597			57,597
	Agency Totals			<u>106,671</u>			<u>106,671</u>
USDA Coop State Rsrch Educ & Ext Serv (CSREES)							
<i>Direct Awards</i>							
Agricultural Research_Basic and Applied Research	10.001		Y		111,933		111,933
Grants for Agricultural Research, Special Research Grants	10.200		Y	2,902,869			2,902,869
Grants for Agricultural Research_Competitive Research Grants	10.206		Y	1,489,886	309,588		1,799,474
Biotechnology Risk Assessment Research	10.219		Y	119,734			119,734
Integrated Programs	10.303		Y	1,043,565	228,261		1,271,826
Agriculture and Food Research Initiative (AFRI)	10.310		Y	143,690			143,690
Cooperative Extension Service	10.500		Y	39,646			39,646
<i>Pass through from Others - see also pass through detail</i>							
Grants for Agricultural Research, Special Research Grants	10.200		Y	18,421			18,421
Small Business Innovation Research	10.212		Y	8,496			8,496
1890 Institution Capacity Building Grants	10.216		Y	117			117
Specialty Crop Research Initiative	10.309		Y	54,060			54,060
	Agency Totals			<u>5,820,484</u>	<u>649,782</u>		<u>6,470,266</u>
USDA Economic Research Service (ERS)							
<i>Direct Awards</i>							
Agricultural and Rural Economic Research	10.250		Y	59,206			59,206
Food Assistance and Nutrition Research Programs (FANRP)	10.253		Y	80,925			80,925
	Agency Totals			<u>140,131</u>			<u>140,131</u>
USDA Federal Crop Insurance							
<i>Direct Awards</i>							
Department of Agriculture (non-specific)	10.000		Y	99,624			99,624
	Agency Totals			<u>99,624</u>			<u>99,624</u>
USDA Forest Service (FS)							
<i>Direct Awards</i>							
Department of Agriculture (non-specific)	10.000		Y	11,612			11,612
Forest Health Protection	10.680		Y	342			342

Cluster: 1R&D

Major Agency: US Department of Agriculture (USDA)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
Agency Totals				<u>11,954</u>			<u>11,954</u>
USDA Natural Resources Conservation Service (NRCS)							
<i>Direct Awards</i>							
Soil and Water Conservation	10.902		Y	111,131			111,131
Environmental Quality Incentives Program	10.912		Y	34,797			34,797
Agency Totals				<u>145,928</u>			<u>145,928</u>
<u>US Department of Commerce</u>							
National Institute of Standards & Technology							
<i>Direct Awards</i>							
Measurement and Engineering Research and Standards	11.609		Y		66,833		66,833
<i>Pass through from Others - see also pass through detail</i>							
ARRA - Measurement and Engineering Research and Standards	11.609	Y	Y		135,983		135,983
Manufacturing Extension Partnership	11.611		Y		246,060		246,060
Advanced Technology Program	11.612		Y		474,847		474,847
Agency Totals					<u>923,723</u>		<u>923,723</u>
US Department of Commerce							
<i>Pass through from Others - see also pass through detail</i>							
Department of Commerce (non-specific)	11.000		Y	40,970			40,970
Coastal Zone Management Estuarine Research Reserves	11.420		Y	1,566			1,566
Meteorologic and Hydrologic Modernization Development	11.467		Y	815			815
Measurement and Engineering Research and Standards	11.609		Y	54,083			54,083
Agency Totals				<u>97,434</u>			<u>97,434</u>
US Dept of Commerce NOAA							
<i>Direct Awards</i>							
Department of Commerce (non-specific)	11.000		Y	18,799			18,799
Sea Grant Support	11.417		Y	419,191	35,782		454,973
Climate and Atmospheric Research	11.431		Y	105,093			105,093
<i>Pass through from Others - see also pass through detail</i>							
Coastal Zone Management Administration Awards	11.419		Y	652			652
Climate and Atmospheric Research	11.431		Y	1,053			1,053
Educational Partnership Program	11.481		Y	189,740			189,740
Agency Totals				<u>734,528</u>	<u>35,782</u>		<u>770,310</u>

Cluster: 1R&D

Major Agency: US Department of Commerce

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
<u>US Department of Defense (DoD)</u>							
Air Force							
<i>Direct Awards</i>							
Department of Defense (non-specific)	12.000		Y	180,738			180,738
Basic and Applied Scientific Research	12.300		Y	174,140			174,140
Air Force Defense Research Sciences Program	12.800		Y	1,064,114	7,441,383		8,505,497
<i>Pass through from Others - see also pass through detail</i>							
Department of Defense (non-specific)	12.000		Y	1,638,160			1,638,160
Basic, Applied, and Advanced Research in Science and Engineering	12.630		Y	216,923			216,923
Air Force Defense Research Sciences Program	12.800		Y	891,920			891,920
			Agency Totals	<u>4,165,995</u>	<u>7,441,383</u>		<u>11,607,378</u>
Air Force Office of Scientific Research (AFOSR)							
<i>Direct Awards</i>							
Department of Defense (non-specific)	12.000		Y	212,418			212,418
Basic, Applied, and Advanced Research in Science and Engineering	12.630		Y	177	1,052,088		1,052,265
Air Force Defense Research Sciences Program	12.800		Y	6,171,343	85,908		6,257,251
<i>Pass through from Others - see also pass through detail</i>							
Department of Defense (non-specific)	12.000		Y	309,743			309,743
Basic and Applied Scientific Research	12.300		Y	44,323			44,323
Basic, Applied, and Advanced Research in Science and Engineering	12.630		Y	118,642			118,642
Air Force Defense Research Sciences Program	12.800		Y	940,910			940,910
			Agency Totals	<u>7,797,556</u>	<u>1,137,996</u>		<u>8,935,552</u>
Army							
<i>Direct Awards</i>							
Department of Defense (non-specific)	12.000		Y	10,758			10,758
Basic Scientific Research	12.431		Y	437,742			437,742
<i>Pass through from Others - see also pass through detail</i>							
Department of Defense (non-specific)	12.000		Y	1,717,754	45,775		1,763,529
Military Medical Research and Development	12.420		Y	110,772	102,089		212,861
Basic Scientific Research	12.431		Y	1,283,399			1,283,399
Basic, Applied, and Advanced Research in Science and Engineering	12.630		Y	228,678			228,678
Research and Technology Development	12.910		Y	362,726			362,726

Cluster: 1R&D

Major Agency: US Department of Defense (DoD)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
ARRA-Army Sub CTS 2010-03088 ARRA	12.unk	Y	Y	78,719			78,719
	Agency Totals			<u>4,230,548</u>	<u>147,864</u>		<u>4,378,412</u>
Army CERL							
<i>Direct Awards</i>							
Basic, Applied, and Advanced Research in Science and Engineering	12.630		Y	14,571			14,571
	Agency Totals			<u>14,571</u>			<u>14,571</u>
Army Research Office (ARO)							
<i>Direct Awards</i>							
Department of Defense (non-specific)	12.000		Y	853,194			853,194
Basic Scientific Research	12.431		Y	4,507,989	539,291		5,047,280
<i>Pass through from Others - see also pass through detail</i>							
Department of Defense (non-specific)	12.000		Y	26,302	72,367		98,669
Basic Scientific Research	12.431		Y	1,546,678			1,546,678
Basic, Applied, and Advanced Research in Science and Engineering	12.630		Y	172,920			172,920
	Agency Totals			<u>7,107,083</u>	<u>611,658</u>		<u>7,718,741</u>
Bolling Air Force Base							
<i>Direct Awards</i>							
Air Force Defense Research Sciences Program	12.800		Y		261,125		261,125
	Agency Totals				<u>261,125</u>		<u>261,125</u>
CERL Champaign							
<i>Direct Awards</i>							
Department of Defense (non-specific)	12.000		Y	1,085,147			1,085,147
Military Construction, National Guard	12.400		Y	1,083,351			1,083,351
Basic, Applied, and Advanced Research in Science and Engineering	12.630		Y	1,096,298			1,096,298
	Agency Totals			<u>3,264,796</u>			<u>3,264,796</u>
Defense Advanced Research Projects Agency (DARPA)							
<i>Direct Awards</i>							
Department of Defense (non-specific)	12.000		Y		10,700		10,700
Research and Technology Development	12.910		Y	2,271,584	1,175,252		3,446,836
<i>Pass through from Others - see also pass through detail</i>							
Department of Defense (non-specific)	12.000		Y	1,476,039			1,476,039
Basic Scientific Research	12.431		Y	867,094			867,094

Cluster: 1R&D

Major Agency: US Department of Defense (DoD)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
Research and Technology Development	12.910		Y	7,574	-2,686		4,888
Agency Totals				<u>4,622,291</u>	<u>1,183,266</u>		<u>5,805,557</u>
Defense Logistics Agency							
<i>Direct Awards</i>							
Department of Defense (non-specific)	12.000		Y	17,333			17,333
Agency Totals				<u>17,333</u>			<u>17,333</u>
Defense Threat Reduction Agency (DTRA)							
<i>Direct Awards</i>							
Basic Scientific Research - Combating Weapons of Mass Destruction	12.351		Y	980,927	394,587		1,375,514
<i>Pass through from Others - see also pass through detail</i>							
Department of Defense (non-specific)	12.000		Y	153,926			153,926
Basic Scientific Research - Combating Weapons of Mass Destruction	12.351		Y	17,771			17,771
Agency Totals				<u>1,152,624</u>	<u>394,587</u>		<u>1,547,211</u>
IARPA (Intelligence Advanced Research Projects Activity)							
<i>Pass through from Others - see also pass through detail</i>							
Department of Defense (non-specific)	12.000		Y	10,969			10,969
Agency Totals				<u>10,969</u>			<u>10,969</u>
Missile Defense Agency (MDA)							
<i>Pass through from Others - see also pass through detail</i>							
Department of Defense (non-specific)	12.000		Y	19,766			19,766
Agency Totals				<u>19,766</u>			<u>19,766</u>
National Security Agency							
<i>Direct Awards</i>							
Mathematical Sciences Grants Program	12.901		Y	335,660	67,728		403,388
Agency Totals				<u>335,660</u>	<u>67,728</u>		<u>403,388</u>
Naval Research Laboratory (NRL)							
<i>Direct Awards</i>							
Basic and Applied Scientific Research	12.300		Y	210,159			210,159
Agency Totals				<u>210,159</u>			<u>210,159</u>
Navy							
<i>Direct Awards</i>							
Department of Defense (non-specific)	12.000		Y	-29,989			-29,989

Cluster: 1R&D

Major Agency: US Department of Defense (DoD)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
<i>Pass through from Others - see also pass through detail</i>							
Department of Defense (non-specific)	12.000		Y	295,007			295,007
Basic and Applied Scientific Research	12.300		Y	356,157	8,418		364,575
Basic Scientific Research	12.431		Y	285,297			285,297
Agency Totals				<u>906,472</u>	<u>8,418</u>		<u>914,890</u>
Office of Naval Research (ONR)							
<i>Direct Awards</i>							
Department of Defense (non-specific)	12.000		Y		138,073		138,073
Basic and Applied Scientific Research	12.300		Y	6,270,254	1,310,059		7,580,313
<i>Pass through from Others - see also pass through detail</i>							
Basic and Applied Scientific Research	12.300		Y	683,614	94,170		777,784
Agency Totals				<u>6,953,868</u>	<u>1,542,302</u>		<u>8,496,170</u>
School of Advanced Air and Space Studies (SSAAF)							
<i>Direct Awards</i>							
Department of Defense (non-specific)	12.000		Y	11,763			11,763
Agency Totals				<u>11,763</u>			<u>11,763</u>
Space and Naval Warfare Systems Center							
<i>Direct Awards</i>							
Research and Technology Development	12.910		Y	102,840			102,840
<i>Pass through from Others - see also pass through detail</i>							
Research and Technology Development	12.910		Y	129,397			129,397
Agency Totals				<u>232,237</u>			<u>232,237</u>
SPAWAR Systems Center Pacific							
<i>Direct Awards</i>							
Research and Technology Development	12.910		Y	287,247			287,247
Agency Totals				<u>287,247</u>			<u>287,247</u>
US Army Corps of Engineers							
<i>Direct Awards</i>							
Department of Defense (non-specific)	12.000		Y		130,575		130,575
Basic, Applied, and Advanced Research in Science and Engineering	12.630		Y	99,504			99,504
<i>Pass through from Others - see also pass through detail</i>							
Department of Defense (non-specific)	12.000		Y	193,171			193,171

Cluster: 1R&D

Major Agency: US Department of Defense (DoD)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
	Agency Totals			<u>292,675</u>	<u>130,575</u>		<u>423,250</u>
US Army Medical Research Acquisition							
<i>Direct Awards</i>							
Department of Defense (non-specific)	12.000		Y	363	18,706		19,069
Military Medical Research and Development	12.420		Y	1,564,527	762,447		2,326,974
Basic, Applied, and Advanced Research in Science and Engineering	12.630		Y		-190		-190
<i>Pass through from Others - see also pass through detail</i>							
Military Medical Research and Development	12.420		Y	3,771	53,112		56,883
	Agency Totals			<u>1,568,661</u>	<u>834,075</u>		<u>2,402,736</u>
US Army Medical Research Office							
<i>Direct Awards</i>							
Military Medical Research and Development	12.420		Y		59,425		59,425
Basic Scientific Research	12.431		Y		188,701		188,701
<i>Pass through from Others - see also pass through detail</i>							
Military Medical Research and Development	12.420		Y		22,696		22,696
	Agency Totals				<u>270,822</u>		<u>270,822</u>
US Department of Defense (DoD)							
<i>Direct Awards</i>							
Military Medical Research and Development	12.420		Y		1,004,322		1,004,322
Community Economic Adjustment Diversification Planning	12.614		Y		569,690		569,690
<i>Pass through from Others - see also pass through detail</i>							
Department of Defense (non-specific)	12.000		Y		1,571,456		1,571,456
Collaborative Research and Development	12.114		Y	35			35
Military Medical Research and Development	12.420		Y		686,853		686,853
Basic Scientific Research	12.431		Y		20,933		20,933
Air Force Defense Research Sciences Program	12.800		Y		236,754		236,754
	Agency Totals			<u>35</u>	<u>4,090,008</u>		<u>4,090,043</u>
US Department of Education							
US Department of Education							
<i>Direct Awards</i>							
Overseas Programs - Faculty Research Abroad	84.019		Y	85,722			85,722
Women's Educational Equity Program	84.083		Y		705		705

Cluster: 1R&D

Major Agency: US Department of Education

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
Fund for the Improvement of Postsecondary Education	84.116		Y	40,957			40,957
National Institute on Disability and Rehabilitation Research	84.133		Y	23,769	4,458,342		4,482,111
Javits Fellowships	84.170		Y		27,805		27,805
Graduate Assistance in Areas of National Need	84.200		Y		73,761		73,761
Rehabilitation Services Demonstration and Training Programs	84.235		Y		204		204
Education Research, Development and Dissemination	84.305		Y	2,837,119	3,643,671		6,480,790
Research in Special Education	84.324		Y	957,452	239,632		1,197,084
Special Education - Personnel Development to Improve Services and Results for Children with	84.325		Y	371,982			371,982
Early Reading First	84.359		Y	105,437	1,015,575		1,121,012
<i>Pass through from Others - see also pass through detail</i>							
Department of Education (non-specific)	84.000		Y	53,611	58,430		112,041
Civil Rights Training and Advisory Services	84.004		Y		-3,200		-3,200
Higher Education_Institutional Aid	84.031		Y		10,000		10,000
Fund for the Improvement of Postsecondary Education	84.116		Y	6,511			6,511
National Institute on Disability and Rehabilitation Research	84.133		Y	71,151	462,853		534,004
Safe and Drug-Free Schools and Communities_National Programs	84.184		Y		389		389
Twenty-First Century Community Learning Centers	84.287		Y	110,167	62,164		172,331
Education Research, Development and Dissemination	84.305		Y		874,615		874,615
Teacher Quality Partnership Grants	84.336		Y		5,018		5,018
Improving Literacy Through School Libraries	84.364		Y		-234		-234
Mathematics and Science Partnerships	84.366		Y		17,708		17,708
ARRA - Title I Grants to Local Educational Agencies, Recovery Act	84.389	Y	Y		15,311		15,311
State Fiscal Stabilization Fund (SFSF) - Investing in Innovation (i3) Fund, Recovery Act	84.396		Y	69,462			69,462
<i>Pass through from State of IL - see also pass through detail</i>							
Special Education_Grants to States	84.027		Y	119,720			119,720
Gaining Early Awareness and Readiness for Undergraduate Programs	84.334		Y	301,453			301,453
Mathematics and Science Partnerships	84.366		Y	197,476			197,476
ARRA - Rehabilitation Services-Vocational Rehabilitation Grants to States, Recovery Act	84.390	Y	Y		533,600		533,600
Agency Totals				<u>5,351,989</u>	<u>11,496,349</u>		<u>16,848,338</u>

US Department of Energy (DOE)

Advanced Research Projects Agency - Energy (ARPA-E)

Direct Awards

Cluster: 1R&D

Major Agency: US Department of Energy (DOE)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
ARRA - Advanced Research and Projects Agency Energy Financial Assistance Program	81.135	Y	Y	950,241			950,241
	Agency Totals			<u>950,241</u>			<u>950,241</u>
Argonne National Lab							
<i>Direct awards from DOE lab - see also pass through detail</i>							
Department of Energy (non-specific)	81.000		Y	1,092,780	710,417		1,803,197
ARRA-9J-30282-0011A ARRA	81.unk	Y	Y		40,204		40,204
ARRA-ANL 9J-30282-0010A - ARRA	81.unk	Y	Y		65,403		65,403
ARRA-Arg Nat Lab ARRA 9J-302820002A	81.unk	Y	Y		18,885		18,885
	Agency Totals			<u>1,092,780</u>	<u>834,909</u>		<u>1,927,689</u>
Brookhaven National Lab							
<i>Direct awards from DOE lab - see also pass through detail</i>							
Department of Energy (non-specific)	81.000		Y	1,365,392			1,365,392
	Agency Totals			<u>1,365,392</u>			<u>1,365,392</u>
DOE Chicago operations							
<i>Direct Awards</i>							
Office of Science Financial Assistance Program	81.049		Y	3,505,900	66,825		3,572,725
<i>Pass through from Others - see also pass through detail</i>							
Office of Science Financial Assistance Program	81.049		Y	-170			-170
	Agency Totals			<u>3,505,730</u>	<u>66,825</u>		<u>3,572,555</u>
DOE Germantown operations							
<i>Direct Awards</i>							
Office of Science Financial Assistance Program	81.049		Y		465,615		465,615
	Agency Totals				<u>465,615</u>		<u>465,615</u>
DOE Pittsburgh operations							
<i>Direct Awards</i>							
Fossil Energy Research and Development	81.089		Y	479,241			479,241
	Agency Totals			<u>479,241</u>			<u>479,241</u>
E.O. Lawrence Berkeley National Laboratory							
<i>Direct awards from DOE lab - see also pass through detail</i>							
Department of Energy (non-specific)	81.000		Y	140,798			140,798
	Agency Totals			<u>140,798</u>			<u>140,798</u>
Fermilab							

Cluster: 1R&D

Major Agency: US Department of Energy (DOE)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
<i>Direct awards from DOE lab - see also pass through detail</i>							
Department of Energy (non-specific)	81.000		Y	266,154	236,031		502,185
	Agency Totals			<u>266,154</u>	<u>236,031</u>		<u>502,185</u>
Idaho National Engr & Env Lab							
<i>Direct awards from DOE lab - see also pass through detail</i>							
Department of Energy (non-specific)	81.000		Y	470,719			470,719
	Agency Totals			<u>470,719</u>			<u>470,719</u>
Lawrence Livermore National Lab							
<i>Direct awards from DOE lab - see also pass through detail</i>							
Department of Energy (non-specific)	81.000		Y	681,048	4,016		685,064
	Agency Totals			<u>681,048</u>	<u>4,016</u>		<u>685,064</u>
Los Alamos National Lab							
<i>Direct awards from DOE lab - see also pass through detail</i>							
Department of Energy (non-specific)	81.000		Y	617,779			617,779
	Agency Totals			<u>617,779</u>			<u>617,779</u>
National Energy Technology Laboratory (NETL)							
<i>Direct awards from DOE lab - see also pass through detail</i>							
Fossil Energy Research and Development	81.089		Y	13,097,772			13,097,772
Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Te	81.117		Y	178,951			178,951
ARRA - Geologic Sequestration Site Characterization	81.132	Y	Y	2,750,070			2,750,070
ARRA - Geologic Sequestration Training and Research Grant Program	81.133	Y	Y	52,890			52,890
ARRA - Industrial Carbon Capture and Storage (CCS) Application	81.134	Y	Y	2,827,862			2,827,862
<i>Pass through from Others - see also pass through detail</i>							
Department of Energy (non-specific)	81.000		Y	41,816			41,816
ARRA - Conservation Research and Development	81.086	Y	Y	109,031			109,031
ARRA - Geologic Sequestration Site Characterization	81.132	Y	Y	7,995			7,995
ARRA - Industrial Carbon Capture and Storage (CCS) Application	81.134	Y	Y	53,348			53,348
	Agency Totals			<u>19,119,735</u>			<u>19,119,735</u>
National Renewable Energy Lab							
<i>Direct awards from DOE lab - see also pass through detail</i>							
Department of Energy (non-specific)	81.000		Y	11,296			11,296
	Agency Totals			<u>11,296</u>			<u>11,296</u>

Cluster: 1R&D

Major Agency: US Department of Energy (DOE)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
Oak Ridge National Lab							
<i>Direct awards from DOE lab - see also pass through detail</i>							
Department of Energy (non-specific)	81.000		Y	331,167			331,167
Agency Totals				<u>331,167</u>			<u>331,167</u>
Pacific Northwest National Lab							
<i>Direct awards from DOE lab - see also pass through detail</i>							
Department of Energy (non-specific)	81.000		Y	38,504			38,504
ARRA-DOE PNL 138240 ARRA	81.unk	Y	Y	19,208			19,208
Agency Totals				<u>57,712</u>			<u>57,712</u>
Sandia National Lab							
<i>Direct awards from DOE lab - see also pass through detail</i>							
Department of Energy (non-specific)	81.000		Y	433,226			433,226
Agency Totals				<u>433,226</u>			<u>433,226</u>
US Department of Energy (DOE)							
<i>Direct Awards</i>							
Department of Energy (non-specific)	81.000		Y	341,818			341,818
ARRA - Office of Science Financial Assistance Program	81.049	Y	Y	682,467			682,467
Office of Science Financial Assistance Program	81.049		Y	9,386,420	669,605		10,056,025
Office of Scientific and Technical Information	81.064		Y		162,133		162,133
Conservation Research and Development	81.086		Y	202,307			202,307
Renewable Energy Research and Development	81.087		Y	572,884			572,884
Fossil Energy Research and Development	81.089		Y	650,403			650,403
Epidemiology and Other Health Studies Financial Assistance Program	81.108		Y		223,078		223,078
Stewardship Science Grant Program	81.112		Y	150,116			150,116
Defense Nuclear Nonproliferation Research	81.113		Y	92,647	308,403		401,050
University Reactor Infrastructure and Education Support	81.114		Y	60,055			60,055
Nuclear Energy Research, Development and Demonstration	81.121		Y	926,553			926,553
Electricity Delivery and Energy Reliability, Research, Development and Analysis	81.122		Y	2,698,331			2,698,331
<i>Pass through from Others - see also pass through detail</i>							
Department of Energy (non-specific)	81.000		Y	811,952			811,952
ARRA - Office of Science Financial Assistance Program	81.049	Y	Y	173,748			173,748
Office of Science Financial Assistance Program	81.049		Y	2,198,331	186,547		2,384,878

Cluster: 1R&D

Major Agency: US Department of Energy (DOE)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
Office of Scientific and Technical Information	81.064		Y	-4,512			-4,512
Regional Biomass Energy Programs	81.079		Y	208,541			208,541
ARRA - Conservation Research and Development	81.086	Y	Y	74,131			74,131
Conservation Research and Development	81.086		Y	40,035	58,450		98,485
ARRA - Renewable Energy Research and Development	81.087	Y	Y	187,583			187,583
Renewable Energy Research and Development	81.087		Y	266,187	-13,029		253,158
ARRA - Fossil Energy Research and Development	81.089	Y	Y	173,998			173,998
Fossil Energy Research and Development	81.089		Y	362,606			362,606
Stewardship Science Grant Program	81.112		Y		62,878		62,878
Defense Nuclear Nonproliferation Research	81.113		Y	173,865			173,865
Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Te	81.117		Y	42,194			42,194
Nuclear Energy Research, Development and Demonstration	81.121		Y	23,667	78,982		102,649
ARRA - Electricity Delivery and Energy Reliability, Research, Development and Analysis	81.122	Y	Y	191,171			191,171
ARRA-DOE ORNL Sub APPRISE 2010-02990ARRA	81.unk	Y	Y	17,902			17,902
ARRA-DOE ORNL Sub APPRISE 2011-01096 Ant	81.unk	Y	Y	12,095			12,095
<i>Pass through from State of IL - see also pass through detail</i>							
ARRA - State Energy Program Special Projects	81.119	Y	Y		180,882		180,882
	Agency Totals			<u>20,717,495</u>	<u>1,917,929</u>		<u>22,635,424</u>
<u>US Department of Homeland Security(USDHS)</u>							
Federal Emergency Management Agency (FEMA)							
<i>Direct Awards</i>							
Assistance to Firefighters Grant	97.044		Y	616,673	194,597		811,270
<i>Pass through from Others - see also pass through detail</i>							
Department of Homeland Security (non-specific)	97.000		Y	46,850			46,850
	Agency Totals			<u>663,523</u>	<u>194,597</u>		<u>858,120</u>
US Department of Homeland Security(USDHS)							
<i>Direct Awards</i>							
Aviation Research Grants	97.069		Y	197,243			197,243
Homeland Security-related Science, Technology, Engineering and Mathematics (HS STEM) Car	97.104		Y		87,503		87,503
<i>Pass through from Others - see also pass through detail</i>							
Pilot Demonstration or Earmarked Projects	97.001		Y	-4,269			-4,269
Centers for Homeland Security	97.061		Y	605,675			605,675

Cluster: 1R&D

Major Agency: US Department of Homeland Security(USDHS)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
Homeland Security, Research, Testing, Evaluation, and Demonstration of Technologies	97.108		Y	182,414	76,301		258,715
Agency Totals				<u>981,063</u>	<u>163,804</u>		<u>1,144,867</u>
<u>US Department of Interior</u>							
National Business Center (NBC)							
<i>Direct Awards</i>							
Research and Technology Development	12.910		Y	2,585			2,585
Department of the Interior (non-specific)	15.000		Y	23,596			23,596
Agency Totals				<u>26,181</u>			<u>26,181</u>
National Park Service							
<i>Direct Awards</i>							
Department of the Interior (non-specific)	15.000		Y	11,046			11,046
Agency Totals				<u>11,046</u>			<u>11,046</u>
United States Geological Survey							
<i>Direct Awards</i>							
Department of the Interior (non-specific)	15.000		Y	22			22
Earthquake Hazards Reduction Program	15.807		Y	65,887			65,887
U.S. Geological Survey_ Research and Data Collection	15.808		Y	398,244	71,301		469,545
National Spatial Data Infrastructure Cooperative Agreements Program	15.809		Y	-1,346			-1,346
National Cooperative Geologic Mapping Program	15.810		Y	219,492			219,492
Minerals Resources External Research Program	15.816		Y	46,672			46,672
Energy Cooperatives to Support the National Coal Resources Data System (NCRDS)	15.819		Y	7,967			7,967
Upper Mississippi River System Long Term Resource Monitoring Program	15.978		Y	782,232			782,232
<i>Pass through from Others - see also pass through detail</i>							
U.S. Geological Survey_ Research and Data Collection	15.808		Y	7,357			7,357
Agency Totals				<u>1,526,527</u>	<u>71,301</u>		<u>1,597,828</u>
US Bureau of Reclamation							
<i>Pass through from Others - see also pass through detail</i>							
Department of the Interior (non-specific)	15.000		Y	13,718			13,718
Agency Totals				<u>13,718</u>			<u>13,718</u>
US Department of Interior							
<i>Direct Awards</i>							
Coastal Program	15.630		Y	30,897			30,897

Cluster: 1R&D

Major Agency: US Department of Interior

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
<i>Pass through from Others - see also pass through detail</i>							
Department of the Interior (non-specific)	15.000		Y		11,783		11,783
National Park Service Centennial Challenge.	15.406		Y	1,540			1,540
Sport Fish Restoration Program	15.605		Y	251,848			251,848
Fish and Wildlife Management Assistance	15.608		Y	407			407
State Wildlife Grants	15.634		Y	26,459			26,459
U.S. Geological Survey_ Research and Data Collection	15.808		Y	6,733			6,733
<i>Pass through from State of IL - see also pass through detail</i>							
Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining	15.250		Y	157,442			157,442
Wildlife Restoration and Basic Hunter Education	15.611		Y	43,012			43,012
	Agency Totals			<u>518,338</u>	<u>11,783</u>		<u>530,121</u>
US Fish & Wildlife							
<i>Direct Awards</i>							
Department of the Interior (non-specific)	15.000		Y	31,529			31,529
Fish and Wildlife Management Assistance	15.608		Y	44,633			44,633
African Elephant Conservation Fund	15.620		Y	48,515			48,515
Migratory Bird Joint Ventures	15.637		Y	10,068			10,068
Tribal Wildlife Grants Program	15.639		Y	32,122			32,122
Challenge Cost Share	15.642		Y	4,368			4,368
Migratory Bird Conservation	15.647		Y	30,365			30,365
Endangered Species Conservation Recovery Implementation Funds	15.657		Y	194			194
<i>Pass through from Others - see also pass through detail</i>							
Department of the Interior (non-specific)	15.000		Y	26,013			26,013
<i>Pass through from State of IL - see also pass through detail</i>							
Sport Fish Restoration Program	15.605		Y	2,158,115			2,158,115
Fish and Wildlife Management Assistance	15.608		Y	813,765			813,765
Wildlife Restoration and Basic Hunter Education	15.611		Y	1,315,981			1,315,981
Cooperative Endangered Species Conservation Fund	15.615		Y	11,399			11,399
State Wildlife Grants	15.634		Y	524,879			524,879
	Agency Totals			<u>5,051,946</u>			<u>5,051,946</u>

US Department of Justice (DOJ)

National Institute of Justice

Cluster: 1R&D

Major Agency: US Department of Justice (DOJ)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
<i>Direct Awards</i>							
Department of Justice (non-specific)	16.000		Y		92,490		92,490
National Institute of Justice Research, Evaluation, and Development Project Grants	16.560		Y		913,755		913,755
	Agency Totals				<u>1,006,245</u>		<u>1,006,245</u>
US Department of Justice (DOJ)							
<i>Direct Awards</i>							
Juvenile Mentoring Program	16.726		Y		28,387		28,387
<i>Pass through from Others - see also pass through detail</i>							
Juvenile Justice and Delinquency Prevention_Allocation to States	16.540		Y		5,850		5,850
<i>Pass through from State of IL - see also pass through detail</i>							
Juvenile Justice and Delinquency Prevention_Allocation to States	16.540		Y	74,908			74,908
Enforcing Underage Drinking Laws Program	16.727		Y	4,935			4,935
Edward Byrne Memorial Justice Assistance Grant Program	16.738		Y			5,920	5,920
	Agency Totals			<u>79,843</u>	<u>34,237</u>	<u>5,920</u>	<u>120,000</u>
<u>US Department of Labor (DOL)</u>							
US Department of Labor (DOL)							
<i>Pass through from Others - see also pass through detail</i>							
Department of Labor (non-specific)	17.000		Y	46,133			46,133
	Agency Totals			<u>46,133</u>			<u>46,133</u>
<u>US Department of Transportation (DOT)</u>							
FAA William J Hughes Technical Center							
<i>Direct Awards</i>							
Air Transportation Centers of Excellence	20.109		Y	2,346,240			2,346,240
	Agency Totals			<u>2,346,240</u>			<u>2,346,240</u>
Federal Aviation Administration (FAA)							
<i>Direct Awards</i>							
Department of Transportation (non-specific)	20.000		Y	291,266			291,266
Aviation Research Grants	20.108		Y	171,611			171,611
<i>Pass through from Others - see also pass through detail</i>							
Department of Transportation (non-specific)	20.000		Y		126,659		126,659
	Agency Totals			<u>462,877</u>	<u>126,659</u>		<u>589,536</u>

Cluster: 1R&D

Major Agency: US Department of Transportation (DOT)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
Federal Highway Administration (FHWA)							
<i>Direct Awards</i>							
Department of Transportation (non-specific)	20.000		Y	6,425			6,425
Highway Training and Education	20.215		Y		20,000		20,000
<i>Pass through from Others - see also pass through detail</i>							
Highway Research and Development Program	20.200		Y	47,823			47,823
<i>Pass through from State of IL - see also pass through detail</i>							
Highway Planning and Construction	20.205		Y		261,338	14,881	276,219
	Agency Totals			<u>54,248</u>	<u>281,338</u>	<u>14,881</u>	<u>350,467</u>
Federal Railroad Administration							
<i>Direct Awards</i>							
Department of Transportation (non-specific)	20.000		Y	30,274			30,274
Railroad Research and Development	20.313		Y		56,808		56,808
Railroad Development	20.314		Y		375,760		375,760
	Agency Totals			<u>30,274</u>	<u>432,568</u>		<u>462,842</u>
Federal Transit Administration (FTA)							
<i>Direct Awards</i>							
Job Access_Reverse Commute	20.516		Y		35,989		35,989
<i>Pass through from Others - see also pass through detail</i>							
Public Transportation Research	20.514		Y		25,220		25,220
<i>Pass through from State of IL - see also pass through detail</i>							
Metropolitan Transportation Planning	20.505		Y		95,747		95,747
Job Access_Reverse Commute	20.516		Y		12,550		12,550
	Agency Totals				<u>169,506</u>		<u>169,506</u>
TRB/IDEA Program Office							
<i>Direct Awards</i>							
Department of Transportation (non-specific)	20.000		Y	656			656
	Agency Totals			<u>656</u>			<u>656</u>
US Department of Transportation (DOT)							
<i>Direct Awards</i>							
Highway Training and Education	20.215		Y		3,246		3,246
Job Access_Reverse Commute	20.516		Y		61,277		61,277

Cluster: 1R&D

Major Agency: US Department of Transportation (DOT)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
<i>Pass through from Others - see also pass through detail</i>							
Department of Transportation (non-specific)	20.000		Y	423,452			423,452
Highway Research and Development Program	20.200		Y		93,628		93,628
Highway Planning and Construction	20.205		Y	55,041			55,041
Public Transportation Research	20.514		Y		1,271		1,271
Pipeline Safety Program Base Grants	20.700		Y		37,578		37,578
University Transportation Centers Program	20.701		Y		179,574		179,574
PHMSA Pipeline Safety Program One Call Grant	20.721		Y		24,079		24,079
University Transportation Centers (B)	20.760		Y		32,033		32,033
<i>Pass through from State of IL - see also pass through detail</i>							
Highway Planning and Construction	20.205		Y	3,141,308	1,973,932	43,004	5,158,244
Job Access_Reverse Commute	20.516		Y		-65		-65
State and Community Highway Safety	20.600		Y			248,452	248,452
Safety Belt Performance Grants	20.609		Y			-7	-7
Incentive Grant Program to Prohibit Racial Profiling	20.611		Y		127,061		127,061
			Agency Totals	<u>3,619,801</u>	<u>2,533,614</u>	<u>291,449</u>	<u>6,444,864</u>

US Environmental Protection Agency (EPA)

US Environmental Protection Agency (EPA)

Direct Awards

Regional Wetland Program Development Grants	66.461		Y	73,851			73,851
Great Lakes Program	66.469		Y	90,475	772,937		863,412
Science To Achieve Results (STAR) Research Program	66.509		Y	661,234	108,916		770,150
P3 Award: National Student Design Competition for Sustainability	66.516		Y	83,965			83,965
Regional Agricultural IPM Grants	66.714		Y	792			792
Research, Development, Monitoring, Public Education, Training, Demonstrations, and Studies	66.716		Y		-55		-55
Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements	66.814		Y	38,464	161,541		200,005
<i>Pass through from Others - see also pass through detail</i>							
Environmental Protection Agency (non-specific)	66.000		Y	90,300	-2,438		87,862
Targeted Watersheds Grants	66.439		Y	13,515			13,515
Great Lakes Program	66.469		Y	6,674	67,643		74,317
Science To Achieve Results (STAR) Research Program	66.509		Y		97,883		97,883
Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and	66.510		Y	9,998			9,998

Cluster: 1R&D

Major Agency: US Environmental Protection Agency (EPA)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
<i>Pass through from State of IL - see also pass through detail</i>							
Water Pollution Control State, Interstate, and Tribal Program Support	66.419		Y	12,158			12,158
Nonpoint Source Implementation Grants	66.460		Y	342,247			342,247
Beach Monitoring and Notification Program Implementation Grants	66.472		Y		1,246		1,246
Performance Partnership Grants	66.605		Y	37,389			37,389
Environmental Information Exchange Network Grant Program and Related Assistance	66.608		Y	41,167			41,167
Agency Totals				<u>1,502,229</u>	<u>1,207,673</u>		<u>2,709,902</u>
<u>US Health & Human Services (HHS)</u>							
Administration for Children and Families (ACF)							
<i>Pass through from Others - see also pass through detail</i>							
Welfare Reform Research, Evaluations and National Studies	93.595		Y		52,783		52,783
Head Start	93.600		Y	80,480			80,480
University Centers for Excellence in Developmental Disabilities Education, Research, and Servi	93.632		Y		101,855		101,855
Agency Totals				<u>80,480</u>	<u>154,638</u>		<u>235,118</u>
Administration on Aging (AOA)							
<i>Pass through from State of IL - see also pass through detail</i>							
Special Programs for the Aging_ Title IV_ and Title II_ Discretionary Projects	93.048		Y		1,812		1,812
Agency Totals					<u>1,812</u>		<u>1,812</u>
Agency for Healthcare Research and Quality (AHRQ)							
<i>Direct Awards</i>							
Department of Health and Human Services (non-specific)	93.000		Y		7,344		7,344
Research on Healthcare Costs, Quality and Outcomes	93.226		Y		1,960,621		1,960,621
<i>Pass through from Others - see also pass through detail</i>							
Research on Healthcare Costs, Quality and Outcomes	93.226		Y		74,313		74,313
ARRA - Trans-NIH Recovery Act Research Support	93.701	Y	Y		82,313		82,313
Agency Totals					<u>2,124,591</u>		<u>2,124,591</u>
Agency for Toxic Substances and Disease Registry (ATSDR)							
<i>Direct Awards</i>							
Great Lakes Human Health Effects Research	93.208		Y	192,714			192,714
Agency Totals				<u>192,714</u>			<u>192,714</u>
Center for Scientific Review							
<i>Direct Awards</i>							

Cluster: 1R&D

Major Agency: US Health & Human Services (HHS)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
Biomedical Research and Research Training	93.859		Y		225,981		225,981
	Agency Totals				<u>225,981</u>		<u>225,981</u>
Centers for Disease Control and Prevention (CDC)							
<i>Direct Awards</i>							
Department of Health and Human Services (non-specific)	93.000		Y		316,708		316,708
Innovations in Applied Public Health Research	93.061		Y		397,307		397,307
Disabilities Prevention	93.184		Y		5,441		5,441
Occupational Safety and Health Program	93.262		Y	-20,011	599,791		579,780
Occupational Safety and Health Training Grants	93.263		Y		-1,484		-1,484
Centers for Disease Control and Prevention_ Investigations and Technical Assistance	93.283		Y		2,356,446		2,356,446
<i>Pass through from Others - see also pass through detail</i>							
Department of Health and Human Services (non-specific)	93.000		Y		84,858		84,858
Innovations in Applied Public Health Research	93.061		Y		261,752		261,752
Injury Prevention and Control Research and State and Community Based Programs	93.136		Y		373,582		373,582
Occupational Safety and Health Program	93.262		Y		32,169		32,169
Centers for Disease Control and Prevention_ Investigations and Technical Assistance	93.283		Y		36,778		36,778
Centers for Disease Control and Prevention Affordable Care Act (ACA) Communities Puttin	93.520		Y		1,568		1,568
ARRA - Immunization	93.712	Y	Y		34,020		34,020
HIV Prevention Activities_Health Department Based	93.940		Y		43,376		43,376
HIV Demonstration, Research, Public and Professional Education Projects	93.941		Y		-2,843		-2,843
Assistance Programs for Chronic Disease Prevention and Control	93.945		Y		721		721
<i>Pass through from State of IL - see also pass through detail</i>							
Centers for Disease Control and Prevention_ Investigations and Technical Assistance	93.283		Y		26,736		26,736
	Agency Totals			<u>-20,011</u>	<u>4,566,926</u>		<u>4,546,915</u>
Centers for Medicare and Medicaid Services							
<i>Direct Awards</i>							
Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations	93.779		Y		84,828		84,828
	Agency Totals				<u>84,828</u>		<u>84,828</u>
Health Resources and Services Administration (HRSA)							
<i>Direct Awards</i>							
Maternal and Child Health Federal Consolidated Programs	93.110		Y	76,707	1,226,072		1,302,779
Grants to Increase Organ Donations	93.134		Y	182,221			182,221

Cluster: 1R&D

Major Agency: US Health & Human Services (HHS)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
AIDS Education and Training Centers	93.145		Y		-1,177		-1,177
Health Careers Opportunity Program	93.822		Y		1,021,078		1,021,078
Area Health Education Centers Infrastructure Development Awards	93.824		Y		131,614		131,614
Health Care and Other Facilities	93.887		Y		756,934		756,934
Special Projects of National Significance	93.928		Y		532,443		532,443
<i>Pass through from Others - see also pass through detail</i>							
Department of Health and Human Services (non-specific)	93.000		Y		24,656		24,656
Rural Health Care Services Outreach, Rural Health Network Development and Small Health Ca	93.912		Y		48,766		48,766
	Agency Totals			<u>258,928</u>	<u>3,740,386</u>		<u>3,999,314</u>
HHS Office of the Director							
<i>Direct Awards</i>							
Trans-NIH Research Support	93.310		Y	261,467			261,467
	Agency Totals			<u>261,467</u>			<u>261,467</u>
John E Fogarty International Center							
<i>Direct Awards</i>							
International Research and Research Training	93.989		Y		579,356		579,356
	Agency Totals				<u>579,356</u>		<u>579,356</u>
National Cancer Institute							
<i>Direct Awards</i>							
Department of Health and Human Services (non-specific)	93.000		Y		34,133		34,133
Cancer Cause and Prevention Research	93.393		Y	144,266	4,498,186		4,642,452
Cancer Detection and Diagnosis Research	93.394		Y	2,612,392	664,778		3,277,170
Cancer Treatment Research	93.395		Y	382,454	4,016,926		4,399,380
Cancer Biology Research	93.396		Y	143,396	1,893,036		2,036,432
Cancer Research Manpower	93.398		Y	427,451	610,075		1,037,526
Cancer Control	93.399		Y		3,030,133		3,030,133
ARRA - Trans-NIH Recovery Act Research Support	93.701	Y	Y	403,653	982,320		1,385,973
<i>Pass through from Others - see also pass through detail</i>							
Department of Health and Human Services (non-specific)	93.000		Y		91,243		91,243
Cancer Cause and Prevention Research	93.393		Y	187,077	254,842		441,919
Cancer Detection and Diagnosis Research	93.394		Y	193,385	68,741		262,126
Cancer Treatment Research	93.395		Y		259,939		259,939

Cluster: 1R&D

Major Agency: US Health & Human Services (HHS)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
Cancer Centers Support Grants	93.397		Y		10,834		10,834
Cancer Control	93.399		Y	56,361	305,674		362,035
ARRA - Trans-NIH Recovery Act Research Support	93.701	Y	Y	284,612	22,735		307,347
Cardiovascular Diseases Research	93.837		Y	11,852			11,852
Agency Totals				<u>4,846,899</u>	<u>16,743,595</u>		<u>21,590,494</u>
National Center for Complementary & Alternative Medicine							
<i>Direct Awards</i>							
Research and Training in Complementary and Alternative Medicine	93.213		Y	456,054	1,159,917		1,615,971
ARRA - Trans-NIH Recovery Act Research Support	93.701	Y	Y		650,630		650,630
<i>Pass through from Others - see also pass through detail</i>							
Research and Training in Complementary and Alternative Medicine	93.213		Y		115,415		115,415
Agency Totals				<u>456,054</u>	<u>1,925,962</u>		<u>2,382,016</u>
National Center for Injury Prevention and Control							
<i>Direct Awards</i>							
Injury Prevention and Control Research and State and Community Based Programs	93.136		Y	398,005	-21,983		376,022
Protection and Advocacy for Individuals with Mental Illness	93.138		Y		275,719		275,719
Agency Totals				<u>398,005</u>	<u>253,736</u>		<u>651,741</u>
National Center for Research Resources							
<i>Direct Awards</i>							
General Clinical Research Centers	93.333		Y		1,788		1,788
National Center for Research Resources	93.389		Y	3,735,825	5,996,731		9,732,556
ARRA - Trans-NIH Recovery Act Research Support	93.701	Y	Y	815,662	98,033		913,695
ARRA - National Center for Research Resources, Recovery Act Construction Support	93.702	Y	Y		790,239		790,239
<i>Pass through from Others - see also pass through detail</i>							
ARRA - Trans-NIH Recovery Act Research Support	93.701	Y	Y	53,751			53,751
Agency Totals				<u>4,605,238</u>	<u>6,886,791</u>		<u>11,492,029</u>
National Center on Minority Health & Health Disparities							
<i>Direct Awards</i>							
Minority Health and Health Disparities Research	93.307		Y		1,603,351		1,603,351
<i>Pass through from Others - see also pass through detail</i>							
Minority Health and Health Disparities Research	93.307		Y		44,795		44,795
Agency Totals					<u>1,648,146</u>		<u>1,648,146</u>

Cluster: 1R&D

Major Agency: US Health & Human Services (HHS)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
National Eye Institute							
<i>Direct Awards</i>							
Family Violence Prevention and Services/Grants for Battered Women's Shelters_Grants to Stat	93.671		Y		331,644		331,644
ARRA - Trans-NIH Recovery Act Research Support	93.701	Y	Y		447,795		447,795
Vision Research	93.867		Y	434,940	3,793,092		4,228,032
<i>Pass through from Others - see also pass through detail</i>							
ARRA - Trans-NIH Recovery Act Research Support	93.701	Y	Y		83,898		83,898
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847		Y		9,112		9,112
Vision Research	93.867		Y		-2,425		-2,425
	Agency Totals			<u>434,940</u>	<u>4,663,116</u>		<u>5,098,056</u>
National Heart Lung & Blood Institute							
<i>Direct Awards</i>							
Department of Health and Human Services (non-specific)	93.000		Y		-3,287		-3,287
Trans-NIH Research Support	93.310		Y	59,706			59,706
ARRA - Trans-NIH Recovery Act Research Support	93.701	Y	Y	926,410	2,625,089		3,551,499
Cardiovascular Diseases Research	93.837		Y	756,038	8,011,159		8,767,197
Lung Diseases Research	93.838		Y	540,781	11,550,958		12,091,739
Blood Diseases and Resources Research	93.839		Y	998,370	2,724,348		3,722,718
<i>Pass through from Others - see also pass through detail</i>							
Department of Health and Human Services (non-specific)	93.000		Y		14,832		14,832
Cardiovascular Diseases Research	93.837		Y	7,962	205,103		213,065
Lung Diseases Research	93.838		Y		29,632		29,632
Blood Diseases and Resources Research	93.839		Y		2,696		2,696
	Agency Totals			<u>3,289,267</u>	<u>25,160,530</u>		<u>28,449,797</u>
National Human Genome Research Institute							
<i>Direct Awards</i>							
Human Genome Research	93.172		Y	306,355			306,355
	Agency Totals			<u>306,355</u>			<u>306,355</u>
National Institute for Occupational Safety & Health							
<i>Direct Awards</i>							
Occupational Safety and Health Program	93.262		Y	139,505	2,610,656		2,750,161
<i>Pass through from Others - see also pass through detail</i>							

Cluster: 1R&D

Major Agency: US Health & Human Services (HHS)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
Occupational Safety and Health Program	93.262		Y		29,302		29,302
	Agency Totals			<u>139,505</u>	<u>2,639,958</u>		<u>2,779,463</u>
National Institute of Allergy & Infectious Diseases							
<i>Direct Awards</i>							
ARRA - Trans-NIH Recovery Act Research Support	93.701	Y	Y	700,232	2,347,494		3,047,726
Allergy, Immunology and Transplantation Research	93.855		Y	2,347,596	4,567,308		6,914,904
Microbiology and Infectious Diseases Research	93.856		Y	302,410	3,883,591		4,186,001
<i>Pass through from Others - see also pass through detail</i>							
Department of Health and Human Services (non-specific)	93.000		Y		23,486		23,486
ARRA - Trans-NIH Recovery Act Research Support	93.701	Y	Y	86,141			86,141
Allergy, Immunology and Transplantation Research	93.855		Y	558,611	1,369,608		1,928,219
Microbiology and Infectious Diseases Research	93.856		Y		-11,693		-11,693
	Agency Totals			<u>3,994,990</u>	<u>12,179,794</u>		<u>16,174,784</u>
National Institute of Biomedical Imaging & Bioengineering							
<i>Direct Awards</i>							
Discovery and Applied Research for Technological Innovations to Improve Human Health	93.286		Y	1,540,264	439,144		1,979,408
Child Health and Human Development Extramural Research	93.865		Y	103,062	168,979		272,041
<i>Pass through from Others - see also pass through detail</i>							
Discovery and Applied Research for Technological Innovations to Improve Human Health	93.286		Y	22,804			22,804
	Agency Totals			<u>1,666,130</u>	<u>608,123</u>		<u>2,274,253</u>
National Institute of Child Health & Human Development							
<i>Direct Awards</i>							
Department of Health and Human Services (non-specific)	93.000		Y	-72,328	714,306		641,978
ARRA - Trans-NIH Recovery Act Research Support	93.701	Y	Y	736,573	790,851		1,527,424
Population Research	93.864		Y	2	354		356
Child Health and Human Development Extramural Research	93.865		Y	3,649,463	3,626,090		7,275,553
<i>Pass through from Others - see also pass through detail</i>							
Department of Health and Human Services (non-specific)	93.000		Y		22,275		22,275
ARRA - Trans-NIH Recovery Act Research Support	93.701	Y	Y	8,293	67,862		76,155
Child Health and Human Development Extramural Research	93.865		Y	422,374	68,181		490,555
	Agency Totals			<u>4,744,377</u>	<u>5,289,919</u>		<u>10,034,296</u>
National Institute of Dental & Craniofacial Research							

Cluster: 1R&D

Major Agency: US Health & Human Services (HHS)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
<i>Direct Awards</i>							
Oral Diseases and Disorders Research	93.121		Y	311,665	2,287,333		2,598,998
ARRA - Trans-NIH Recovery Act Research Support	93.701	Y	Y		575,208		575,208
<i>Pass through from Others - see also pass through detail</i>							
Oral Diseases and Disorders Research	93.121		Y		-16		-16
	Agency Totals			<u>311,665</u>	<u>2,862,525</u>		<u>3,174,190</u>
National Institute of Diabetes & Digestive & Kidney Diseases							
<i>Direct Awards</i>							
ARRA - Trans-NIH Recovery Act Research Support	93.701	Y	Y	869,544	1,071,540		1,941,084
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847		Y	2,120,430	1,928,040		4,048,470
Digestive Diseases and Nutrition Research	93.848		Y	105,184	2,102,920		2,208,104
Kidney Diseases Urology and Hematology Research	93.849		Y		980,369		980,369
<i>Pass through from Others - see also pass through detail</i>							
Department of Health and Human Services (non-specific)	93.000		Y		1,788		1,788
ARRA - Trans-NIH Recovery Act Research Support	93.701	Y	Y		78,956		78,956
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847		Y	63,300	1,307,645		1,370,945
Digestive Diseases and Nutrition Research	93.848		Y		-6,962		-6,962
Kidney Diseases Urology and Hematology Research	93.849		Y		54,980		54,980
	Agency Totals			<u>3,158,458</u>	<u>7,519,276</u>		<u>10,677,734</u>
National Institute of Environmental & Health Sciences							
<i>Direct Awards</i>							
Environmental Health	93.113		Y	1,848,429	553,314		2,401,743
Biometry and Risk Estimation Health Risks from	93.115		Y		-117		-117
ARRA - Trans-NIH Recovery Act Research Support	93.701	Y	Y	5,158	944,679		949,837
<i>Pass through from Others - see also pass through detail</i>							
Environmental Health	93.113		Y		90,080		90,080
	Agency Totals			<u>1,853,587</u>	<u>1,587,956</u>		<u>3,441,543</u>
National Institute of General Medical Sciences							
<i>Direct Awards</i>							
Trans-NIH Research Support	93.310		Y	1,187,424			1,187,424
Academic Research Enhancement Award	93.390		Y	72,814			72,814
ARRA - Trans-NIH Recovery Act Research Support	93.701	Y	Y	2,040,486	345,273		2,385,759

Cluster: 1R&D

Major Agency: US Health & Human Services (HHS)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
Biomedical Research and Research Training	93.859		Y	17,889,622	5,661,416		23,551,038
Genetics and Developmental Biology Research and Research Training	93.862		Y		-816		-816
<i>Pass through from Others - see also pass through detail</i>							
ARRA - Trans-NIH Recovery Act Research Support	93.701	Y	Y	83,703	120,453		204,156
Trans-NIH Recovery Act Research Support	93.701		Y		32,628		32,628
Biomedical Research and Research Training	93.859		Y	41,258	581,753		623,011
Agency Totals				<u>21,315,307</u>	<u>6,740,707</u>		<u>28,056,014</u>
National Institute of Mental Health							
<i>Direct Awards</i>							
Mental Health Research Grants	93.242		Y	1,736,782	9,903,552		11,640,334
Mental Health Research Career/Scientist Development Awards	93.281		Y		762,780		762,780
Mental Health National Research Service Awards for Research Training	93.282		Y	-262	230,579		230,317
ARRA - Trans-NIH Recovery Act Research Support	93.701	Y	Y		480,543		480,543
<i>Pass through from Others - see also pass through detail</i>							
Mental Health Research Grants	93.242		Y	1	95,303		95,304
Agency Totals				<u>1,736,521</u>	<u>11,472,757</u>		<u>13,209,278</u>
National Institute of Neurological Disorders & Stroke							
<i>Direct Awards</i>							
ARRA - Trans-NIH Recovery Act Research Support	93.701	Y	Y	71,719	53,927		125,646
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853		Y	708,048	4,182,457		4,890,505
<i>Pass through from Others - see also pass through detail</i>							
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853		Y		10,314		10,314
Agency Totals				<u>779,767</u>	<u>4,246,698</u>		<u>5,026,465</u>
National Institute of Nursing Research							
<i>Direct Awards</i>							
Nursing Research	93.361		Y	291,817	2,228,635		2,520,452
ARRA - Trans-NIH Recovery Act Research Support	93.701	Y	Y		331,150		331,150
<i>Pass through from Others - see also pass through detail</i>							
Nursing Research	93.361		Y	15,955	71,693		87,648
Agency Totals				<u>307,772</u>	<u>2,631,478</u>		<u>2,939,250</u>
National Institute on Aging							
<i>Direct Awards</i>							

Cluster: 1R&D

Major Agency: US Health & Human Services (HHS)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
Cancer Cause and Prevention Research	93.393		Y		144,139		144,139
ARRA - Trans-NIH Recovery Act Research Support	93.701	Y	Y	280,631	57,736		338,367
Aging Research	93.866		Y	3,024,736	4,791,507		7,816,243
<i>Pass through from Others - see also pass through detail</i>							
Aging Research	93.866		Y		176,312		176,312
Agency Totals				<u>3,305,367</u>	<u>5,169,694</u>		<u>8,475,061</u>
National Institute on Alcohol Abuse & Alcoholism							
<i>Direct Awards</i>							
Alcohol National Research Service Awards for Research	93.272		Y		32,855		32,855
Alcohol Research Programs	93.273		Y	102,952	3,177,402		3,280,354
ARRA - Trans-NIH Recovery Act Research Support	93.701	Y	Y	967,906	566,758		1,534,664
Agency Totals				<u>1,070,858</u>	<u>3,777,015</u>		<u>4,847,873</u>
National Institute on Deafness & Other Hearing Disorders							
<i>Direct Awards</i>							
Research Related to Deafness and Communication Disorders	93.173		Y	290,734	342,544		633,278
<i>Pass through from Others - see also pass through detail</i>							
Research Related to Deafness and Communication Disorders	93.173		Y	108,477	6,280		114,757
Agency Totals				<u>399,211</u>	<u>348,824</u>		<u>748,035</u>
National Institute on Drug Abuse							
<i>Direct Awards</i>							
Drug Abuse and Addiction Research Programs	93.279		Y	1,543,081	2,348,020		3,891,101
ARRA - Trans-NIH Recovery Act Research Support	93.701	Y	Y		140,874		140,874
<i>Pass through from Others - see also pass through detail</i>							
Drug Abuse and Addiction Research Programs	93.279		Y		125,858		125,858
Agency Totals				<u>1,543,081</u>	<u>2,614,752</u>		<u>4,157,833</u>
National Institutes of Health (NIH)							
<i>Direct Awards</i>							
Department of Health and Human Services (non-specific)	93.000		Y		52,110		52,110
Innovations in Applied Public Health Research	93.061		Y		-2,449		-2,449
Oral Diseases and Disorders Research	93.121		Y	222,896	410,175		633,071
NIEHS Hazardous Waste Worker Health and Safety Training	93.142		Y		16,071		16,071
Human Genome Research	93.172		Y	1			1

Cluster: 1R&D

Major Agency: US Health & Human Services (HHS)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
Research Related to Deafness and Communication Disorders	93.173		Y		517		517
Research and Training in Complementary and Alternative Medicine	93.213		Y		106,423		106,423
Research on Healthcare Costs, Quality and Outcomes	93.226		Y		50,800		50,800
Mental Health Research Grants	93.242		Y	854,064	1,160,363		2,014,427
Alcohol Research Career Development Awards for Scientists	93.271		Y		33,622		33,622
Alcohol Research Programs	93.273		Y		520,880		520,880
Drug Abuse and Addiction Research Programs	93.279		Y	308,457	637,240	5,314	951,011
Mental Health Research Career/Scientist Development Awards	93.281		Y	25,020	293,121		318,141
Discovery and Applied Research for Technological Innovations to Improve Human Health	93.286		Y	885,549	538,296		1,423,845
Bioinformatics and Computational Biology Research (B)	93.309		Y	11			11
Trans-NIH Research Support	93.310		Y	465,799			465,799
Nursing Research	93.361		Y	425,194	589,902		1,015,096
National Center for Research Resources	93.389		Y	396,782	894,974		1,291,756
Cancer Cause and Prevention Research	93.393		Y	231,188	2,131,930		2,363,118
Cancer Detection and Diagnosis Research	93.394		Y	314,669	209,013		523,682
Cancer Treatment Research	93.395		Y	6	1,049,924		1,049,930
Cancer Biology Research	93.396		Y	323,007	996,382		1,319,389
Cancer Research Manpower	93.398		Y		547,915		547,915
Youth Initiative/Youth Gangs	93.660		Y	247,374			247,374
ARRA - Trans-NIH Recovery Act Research Support	93.701	Y	Y		7,616,463	56,953	7,673,416
ARRA - National Center for Research Resources, Recovery Act Construction Support	93.702	Y	Y		-589		-589
Cardiovascular Diseases Research	93.837		Y	654,936	2,273,646		2,928,582
Lung Diseases Research	93.838		Y		1,636,933		1,636,933
Blood Diseases and Resources Research	93.839		Y	64,022	1,402,010		1,466,032
Arthritis, Musculoskeletal and Skin Diseases Research	93.846		Y		18,720		18,720
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847		Y	754,724	702,954		1,457,678
Digestive Diseases and Nutrition Research	93.848		Y		427,206		427,206
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853		Y	327,806	1,310,427		1,638,233
Allergy, Immunology and Transplantation Research	93.855		Y	431,366	780,621		1,211,987
Biomedical Research and Research Training	93.859		Y	5,307,950	1,988,333		7,296,283
Population Research	93.864		Y		297,425		297,425
Child Health and Human Development Extramural Research	93.865		Y	561,424	2,879,479		3,440,903

Cluster: 1R&D

Major Agency: US Health & Human Services (HHS)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
Aging Research	93.866		Y	1,045,014	589,606		1,634,620
Vision Research	93.867		Y	718,843	273,182		992,025
<i>Pass through from Others - see also pass through detail</i>							
Department of Health and Human Services (non-specific)	93.000		Y	22,086	182,507		204,593
Environmental Health	93.113		Y	137,369	168,570		305,939
Oral Diseases and Disorders Research	93.121		Y		98,390		98,390
NIEHS Superfund Hazardous Substances_Basic Research and Education	93.143		Y	1,925	-2,907		-982
Human Genome Research	93.172		Y	406,882			406,882
Research Related to Deafness and Communication Disorders	93.173		Y	115,697	181,116		296,813
Research and Training in Complementary and Alternative Medicine	93.213		Y	80,803	35,784		116,587
Research on Healthcare Costs, Quality and Outcomes	93.226		Y		-1,484		-1,484
National Center on Sleep Disorders Research	93.233		Y		211,691		211,691
Mental Health Research Grants	93.242		Y	459,212	690,388		1,149,600
Substance Abuse and Mental Health Services_Projects of Regional and National Significance	93.243		Y		-129,943		-129,943
Alcohol National Research Service Awards for Research	93.272		Y		-7,547		-7,547
Alcohol Research Programs	93.273		Y		19,790		19,790
Drug Abuse and Addiction Research Programs	93.279		Y		438,847		438,847
Discovery and Applied Research for Technological Innovations to Improve Human Health	93.286		Y	87,353			87,353
Nursing Research	93.361		Y		10,010		10,010
National Center for Research Resources	93.389		Y	63,984			63,984
Cancer Cause and Prevention Research	93.393		Y	28,687	486,865		515,552
Cancer Detection and Diagnosis Research	93.394		Y	67,921	139,241		207,162
Cancer Treatment Research	93.395		Y		396,901		396,901
Cancer Biology Research	93.396		Y	298,432	83,235		381,667
Cancer Control	93.399		Y		63,002		63,002
ARRA - Trans-NIH Recovery Act Research Support	93.701	Y	Y	119,771	1,710,520		1,830,291
Trans-NIH Recovery Act Research Support	93.701		Y		29,660		29,660
ARRA - Prevention and Wellness Communities Putting Prevention to Work Funding Opportun	93.724	Y	Y		296,049		296,049
Cardiovascular Diseases Research	93.837		Y		108,008		108,008
Lung Diseases Research	93.838		Y		42,208		42,208
ARRA - Blood Diseases and Resources Research	93.839	Y	Y		46,718		46,718
Blood Diseases and Resources Research	93.839		Y	38,755	161,960		200,715

Cluster: 1R&D

Major Agency: US Health & Human Services (HHS)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847		Y	116,535	287,296		403,831
Kidney Diseases Urology and Hematology Research	93.849		Y		81,847		81,847
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853		Y	194,917	406,423		601,340
Allergy, Immunology and Transplantation Research	93.855		Y	128,497	207,404		335,901
Microbiology and Infectious Diseases Research	93.856		Y		158,751		158,751
Biomedical Research and Research Training	93.859		Y	644,963	478,779		1,123,742
Child Health and Human Development Extramural Research	93.865		Y	1,065,363	24,155		1,089,518
Aging Research	93.866		Y	41,406	17,786		59,192
Vision Research	93.867		Y	196,085	5,604		201,689
HIV Demonstration, Research, Public and Professional Education Projects	93.941		Y		14,236		14,236
<i>Pass through from State of IL - see also pass through detail</i>							
ARRA - Communities Putting Prevention to Work: Chronic Disease Self-Management Program	93.725	Y	Y		23,099		23,099
Agency Totals				<u>18,882,745</u>	<u>39,598,584</u>	<u>62,267</u>	<u>58,543,596</u>
National Library of Medicine							
<i>Direct Awards</i>							
ARRA - Trans-NIH Recovery Act Research Support	93.701	Y	Y		644,568		644,568
Medical Library Assistance	93.879		Y		344,444		344,444
<i>Pass through from Others - see also pass through detail</i>							
ARRA - Trans-NIH Recovery Act Research Support	93.701	Y	Y	12,136			12,136
Agency Totals				<u>12,136</u>	<u>989,012</u>		<u>1,001,148</u>
Natl Center for Chronic Disease Prev & Health Promotion							
<i>Direct Awards</i>							
Centers for Research and Demonstration for Health Promotion and Disease Prevention	93.135		Y		187,692		187,692
Agency Totals					<u>187,692</u>		<u>187,692</u>
Natl Inst of Arthritis & Musculoskeletal & Skin Diseases							
<i>Direct Awards</i>							
ARRA - Trans-NIH Recovery Act Research Support	93.701	Y	Y	38,835			38,835
Arthritis, Musculoskeletal and Skin Diseases Research	93.846		Y	1,377,072	2,479		1,379,551
Agency Totals				<u>1,415,907</u>	<u>2,479</u>		<u>1,418,386</u>
Substance Abuse & Mental Health Services Admin (SAMHSA)							
<i>Direct Awards</i>							
Substance Abuse and Mental Health Services_Projects of Regional and National Significance	93.243		Y		643,087		643,087

Cluster: 1R&D

Major Agency: US Health & Human Services (HHS)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
<i>Pass through from Others - see also pass through detail</i>							
Department of Health and Human Services (non-specific)	93.000		Y		73,140		73,140
Comprehensive Community Mental Health Services for Children with Serious Emotional Disturb	93.104		Y		-4,902		-4,902
Substance Abuse and Mental Health Services_Projects of Regional and National Significance	93.243		Y		294,904		294,904
<i>Pass through from State of IL - see also pass through detail</i>							
Substance Abuse and Mental Health Services_Projects of Regional and National Significance	93.243		Y		17,435		17,435
Agency Totals					<u>1,023,664</u>		<u>1,023,664</u>
US Health & Human Services (HHS)							
<i>Direct Awards</i>							
Department of Health and Human Services (non-specific)	93.000		Y		451,099		451,099
Advancing System Improvements to Support Targets for Healthy People 2010	93.088		Y		66,158		66,158
Drug Abuse and Addiction Research Programs	93.279		Y		426,387		426,387
University Centers for Excellence in Developmental Disabilities Education, Research, and Servi	93.632		Y		523,032		523,032
ARRA - Strategic Health IT Advanced Research Projects (SHARP)	93.728	Y	Y	2,101,605			2,101,605
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853		Y		181,081		181,081
<i>Pass through from Others - see also pass through detail</i>							
Department of Health and Human Services (non-specific)	93.000		Y	35,806	769,069		804,875
Innovations in Applied Public Health Research	93.061		Y		32,764		32,764
Maternal and Child Health Federal Consolidated Programs	93.110		Y		27,410		27,410
Childhood Lead Poisoning Prevention Projects_State and Local Childhood Lead Poisoning Pre	93.197		Y		21,693		21,693
Mental Health Research Grants	93.242		Y		-1,562		-1,562
Drug Abuse and Addiction Research Programs	93.279		Y		106,298		106,298
Discovery and Applied Research for Technological Innovations to Improve Human Health	93.286		Y		49,288		49,288
Minority Health and Health Disparities Research	93.307		Y		138,881		138,881
Cancer Cause and Prevention Research	93.393		Y		9,097		9,097
Cancer Treatment Research	93.395		Y		181,325		181,325
Cancer Control	93.399		Y		18,403		18,403
Developmental Disabilities Projects of National Significance	93.631		Y		89,736		89,736
ARRA - Trans-NIH Recovery Act Research Support	93.701	Y	Y		13,139		13,139
Arthritis, Musculoskeletal and Skin Diseases Research	93.846		Y		177,759		177,759
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847		Y		638,974		638,974
Kidney Diseases Urology and Hematology Research	93.849		Y		63,132		63,132

Cluster: 1R&D

Major Agency: US Health & Human Services (HHS)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
Allergy, Immunology and Transplantation Research	93.855		Y		810,607		810,607
Child Health and Human Development Extramural Research	93.865		Y		580,003		580,003
Vision Research	93.867		Y		31,637		31,637
Family and Community Violence Prevention Program	93.910		Y		38,323		38,323
ARRA-GW University 10-M80 ARRA	93.unk	Y	Y		22,233		22,233
<i>Pass through from State of IL - see also pass through detail</i>							
Maternal and Child Health Federal Consolidated Programs	93.110		Y		3,998		3,998
Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care	93.224		Y		13,220		13,220
Substance Abuse and Mental Health Services_Projects of Regional and National Significance	93.243		Y	308,801			308,801
Centers for Disease Control and Prevention_Investigations and Technical Assistance	93.283		Y		-13,920		-13,920
Child Welfare Research Training or Demonstration	93.648		Y	72,892			72,892
Block Grants for Prevention and Treatment of Substance Abuse	93.959		Y	871,034		19,455	890,489
Adolescent Family Life_Demonstration Projects	93.995		Y	2,722			2,722
Agency Totals				<u>3,392,860</u>	<u>5,469,264</u>	<u>19,455</u>	<u>8,881,579</u>

US Library of Congress

US Library of Congress

Direct Awards

Library of Congress (non-specific)	42.000		Y	1,863			1,863
Library of Congress Constituent and Collection Services	42.006		Y	16,197			16,197
Agency Totals				<u>18,060</u>			<u>18,060</u>

US NASA

Ames Research Center

Direct Awards

National Aeronautics and Space Administration (non-specific)	43.000		Y	102,521			102,521
Agency Totals				<u>102,521</u>			<u>102,521</u>

Glenn Research Center at Lewis Field

Direct Awards

National Aeronautics and Space Administration (non-specific)	43.000		Y	188,207			188,207
Agency Totals				<u>188,207</u>			<u>188,207</u>

Goddard Space Flight Center

Direct Awards

Cluster: 1R&D
Major Agency: US NASA

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
National Aeronautics and Space Administration (non-specific)	43.000		Y	636,856	39,298		676,154
Agency Totals				<u>636,856</u>	<u>39,298</u>		<u>676,154</u>
Jet Propulsion Laboratory							
<i>Direct Awards</i>							
National Aeronautics and Space Administration (non-specific)	43.000		Y	57,923			57,923
National Credit Union Administration (non-specific)	44.000		Y	54,945			54,945
Agency Totals				<u>112,868</u>			<u>112,868</u>
Langley Research Center							
<i>Direct Awards</i>							
National Aeronautics and Space Administration (non-specific)	43.000		Y	106,251			106,251
ARRA-NASA NNL10AA07C ARRA	43.unk	Y	Y	215,008			215,008
Agency Totals				<u>321,259</u>			<u>321,259</u>
NASA Headquarters							
<i>Pass through from Others - see also pass through detail</i>							
National Aeronautics and Space Administration (non-specific)	43.000		Y	247,378			247,378
Agency Totals				<u>247,378</u>			<u>247,378</u>
NASA Shared Services Center							
<i>Direct Awards</i>							
National Aeronautics and Space Administration (non-specific)	43.000		Y	1,500,665	195,953		1,696,618
Aeronautics	43.002		Y		197,575		197,575
<i>Pass through from Others - see also pass through detail</i>							
Science	43.001		Y		19,071		19,071
Agency Totals				<u>1,500,665</u>	<u>412,599</u>		<u>1,913,264</u>
US NASA							
<i>Direct Awards</i>							
National Aeronautics and Space Administration (non-specific)	43.000		Y	1,568,379	28,104		1,596,483
Science	43.001		Y	76,980			76,980
<i>Pass through from Others - see also pass through detail</i>							
Department of Defense (non-specific)	12.000		Y	6,823			6,823
National Aeronautics and Space Administration (non-specific)	43.000		Y	994,571	48,655		1,043,226
Science	43.001		Y			8,727	8,727
National Credit Union Administration (non-specific)	44.000		Y	81,246			81,246

Cluster: 1R&D
Major Agency: US NASA

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
ARRA-NASA Sub CU Aero NNX10RA54P ARRA	44.unk	Y	Y	29,000			29,000
ARRA-NASA Sub U VA ZG10001-136420 ARRA	44.unk	Y	Y	161,851			161,851
Agency Totals				<u>2,918,850</u>	<u>76,759</u>	<u>8,727</u>	<u>3,004,336</u>

US National Endowment for the Arts (NEA)

US National Endowment for the Arts (NEA)

Direct Awards

Promotion of the Arts_Grants to Organizations and Individuals	45.024		Y		25,000		25,000
Promotion of the Arts Leadership Initiatives	45.026		Y		6,754		6,754
Agency Totals					<u>31,754</u>		<u>31,754</u>

US National Endowment for the Humanities (NEH)

US National Endowment for the Humanities (NEH)

Direct Awards

Promotion of the Humanities_Fellowships and Stipends	45.160		Y	17,464			17,464
Promotion of the Humanities_Research	45.161		Y		38,103		38,103
Promotion of the Humanities_Office of Digital Humanities	45.169		Y	1,216			1,216
Agency Totals				<u>18,680</u>	<u>38,103</u>		<u>56,783</u>

US National Science Foundation (NSF)

US National Science Foundation (NSF)

Direct Awards

National Science Foundation (non-specific)	47.000		Y	524,047	171,009		695,056
Engineering Grants	47.041		Y	13,950,391	2,289,456		16,239,847
Mathematical and Physical Sciences	47.049		Y	17,647,989	3,735,077		21,383,066
Geosciences	47.050		Y	4,362,269	116,030		4,478,299
Computer and Information Science and Engineering	47.070		Y	16,102,682	2,710,066		18,812,748
Biological Sciences	47.074		Y	5,300,209	1,337,589	16,865	6,654,663
ARRA - Social, Behavioral, and Economic Sciences	47.075	Y	Y		122,753		122,753
Social, Behavioral, and Economic Sciences	47.075		Y	2,981,385	1,086,314	3,422	4,071,121
Education and Human Resources	47.076		Y	2,667,267	4,254,162		6,921,429
Polar Programs	47.078		Y	639,580			639,580
International Science and Engineering (OISE)	47.079		Y	4,422	156,849		161,271
Office of Cyberinfrastructure	47.080		Y	13,328,712			13,328,712

Cluster: 1R&D

Major Agency: US National Science Foundation (NSF)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
ARRA - Trans-NSF Recovery Act Reasearch Support	47.082	Y	Y	8,911,451	3,041,404		11,952,855
<i>Pass through from Others - see also pass through detail</i>							
National Science Foundation (non-specific)	47.000		Y	17,581	13,306		30,887
Engineering Grants	47.041		Y	3,226,440	150,311		3,376,751
Mathematical and Physical Sciences	47.049		Y	2,199,432	32,487		2,231,919
Geosciences	47.050		Y	281,170	7,614		288,784
Computer and Information Science and Engineering	47.070		Y	763,894			763,894
Biological Sciences	47.074		Y	686,856	1,445		688,301
Social, Behavioral, and Economic Sciences	47.075		Y	291,270	21,177		312,447
Education and Human Resources	47.076		Y	189,505	230,887	4,704	425,096
Polar Programs	47.078		Y	92,025	55,140		147,165
International Science and Engineering (OISE)	47.079		Y	3,885	115,675		119,560
Office of Cyberinfrastructure	47.080		Y	6,241,218	226,226		6,467,444
Office of Experimental Program to Stimulate Competitive Research	47.081		Y		107,207		107,207
ARRA - Trans-NSF Recovery Act Reasearch Support	47.082	Y	Y	576,966	18,173		595,139
Agency Totals				<u>100,990,646</u>	<u>20,000,357</u>	<u>24,991</u>	<u>121,015,994</u>

Veterans Administration (VA)

Jesse Brown VA Medical Center

Direct Awards

Department of Veterans' Affairs (non-specific)	64.000		Y		1,642,171		1,642,171
Agency Totals					<u>1,642,171</u>		<u>1,642,171</u>

VA Hines Hospital

Direct Awards

Department of Veterans' Affairs (non-specific)	64.000		Y		292,755		292,755
Agency Totals					<u>292,755</u>		<u>292,755</u>

VA Medical Center

Direct Awards

Department of Veterans' Affairs (non-specific)	64.000		Y		4,687		4,687
Agency Totals					<u>4,687</u>		<u>4,687</u>

Veterans Administration (VA)

Direct Awards

Department of Veterans' Affairs (non-specific)	64.000		Y		102,705		102,705
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Cluster: 1R&D

Major Agency: Veterans Administration (VA)

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See accompanying notes to the schedule of expenditures of federal awards and independent auitors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
Agency Totals					<u>102,705</u>		<u>102,705</u>
<u>Vietnam Education Foundation</u>							
Vietnam Education Foundation							
Direct Awards							
Other Awards	99.999		Y		3,063		3,063
Agency Totals					<u>3,063</u>		<u>3,063</u>
Cluster Totals				<u>330,044,618</u>	<u>250,387,443</u>	<u>460,414</u>	<u>580,892,475</u>
<u>Cluster: Aging</u>							
<u>US Health & Human Services (HHS)</u>							
US Health & Human Services (HHS)							
Pass through from State of IL - see also pass through detail							
Special Programs for the Aging_Title III, Part B_Grants for Supportive Services and Senior Cent	93.044		N		1,853,435		1,853,435
Agency Totals					<u>1,853,435</u>		<u>1,853,435</u>
Cluster Totals					<u>1,853,435</u>		<u>1,853,435</u>
<u>Cluster: CCDF</u>							
<u>US Health & Human Services (HHS)</u>							
US Health & Human Services (HHS)							
Pass through from State of IL - see also pass through detail							
Child Care and Development Block Grant	93.575		Y	908,512			908,512
Child Care Mandatory and Matching Funds of the Child Care and Development Fund	93.596		Y	945,596			945,596
ARRA Child Care and Development Block Grant	93.713	Y	Y	87,523			87,523
Agency Totals				<u>1,941,631</u>			<u>1,941,631</u>
Cluster Totals				<u>1,941,631</u>			<u>1,941,631</u>
<u>Cluster: CDBG - Entitlement Grants</u>							
<u>Housing & Urban Development (HUD)</u>							
Housing & Urban Development (HUD)							
Pass through from Others - see also pass through detail							
Community Development Block Grants/Entitlement Grants	14.218		N	9,712	19,275		28,987
Agency Totals				<u>9,712</u>	<u>19,275</u>		<u>28,987</u>

Cluster: CDBG - Entitlement Grants

Major Agency: Housing & Urban Development (HUD)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
Cluster Totals				<u>9,712</u>	<u>19,275</u>		<u>28,987</u>
<u>Cluster: Child Nutrition</u>							
<u>US Department of Agriculture (USDA)</u>							
US Department of Agriculture (USDA)							
<i>Pass through from State of IL - see also pass through detail</i>							
Special Milk Program for Children	10.556		N	7,984			7,984
Agency Totals				<u>7,984</u>			<u>7,984</u>
USDA Agricultural Research Service (ARS)							
<i>Pass through from State of IL - see also pass through detail</i>							
Summer Food Service Program for Children	10.559		N	6,873	9,159		16,032
Agency Totals				<u>6,873</u>	<u>9,159</u>		<u>16,032</u>
Cluster Totals				<u>14,857</u>	<u>9,159</u>		<u>24,016</u>
<u>Cluster: Educational Technology State Grants</u>							
<u>US Department of Education</u>							
US Department of Education							
<i>Pass through from Others - see also pass through detail</i>							
ARRA - Education Technology State Grants, Recovery Act	84.386	Y	N	46,104			46,104
Education Technology State Grants, Recovery Act	84.386		N	51,260			51,260
Agency Totals				<u>97,364</u>			<u>97,364</u>
Cluster Totals				<u>97,364</u>			<u>97,364</u>
<u>Cluster: Fish and Wildlife</u>							
<u>US Department of Interior</u>							
US Department of Interior							
<i>Pass through from Others - see also pass through detail</i>							
Sport Fish Restoration Program	15.605		N	17,120			17,120
Agency Totals				<u>17,120</u>			<u>17,120</u>
US Fish & Wildlife							
<i>Pass through from State of IL - see also pass through detail</i>							
Wildlife Restoration and Basic Hunter Education	15.611		N	60,984			60,984

Cluster: Fish and Wildlife
Major Agency: US Department of Interior

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
				Agency Totals			<u>60,984</u>
				Cluster Totals			<u>78,104</u>

Cluster: Head Start

US Health & Human Services (HHS)

National Institutes of Health (NIH)

Direct Awards

Head Start	93.600		N	-59			-59
<i>Pass through from Others - see also pass through detail</i>							
Head Start	93.600		N	189,598			189,598
				Agency Totals			<u>189,539</u>

US Health & Human Services (HHS)

Pass through from Others - see also pass through detail

Head Start	93.600		N		3,481,430		3,481,430
				Agency Totals			<u>3,481,430</u>
				Cluster Totals			<u>3,670,969</u>

Cluster: Highway Planning and Construction

US Department of Transportation (DOT)

Federal Highway Administration (FHWA)

Direct Awards

Highway Planning and Construction	20.205		N	20,400			20,400
				Agency Totals			<u>20,400</u>
				Cluster Totals			<u>20,400</u>

Cluster: Highway Safety

US Department of Transportation (DOT)

US Department of Transportation (DOT)

Pass through from State of IL - see also pass through detail

State and Community Highway Safety	20.600		N			1,341,101	1,341,101
Alcohol Impaired Driving Countermeasures Incentive Grants I	20.601		N			269,131	269,131
Safety Belt Performance Grants	20.609		N			39,677	39,677

Cluster: Highway Safety

Major Agency: US Department of Transportation (DOT)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
						<u>1,649,909</u>	<u>1,649,909</u>
						<u>1,649,909</u>	<u>1,649,909</u>

Cluster: Homeland Security

US Department of Homeland Security(USDHS)

US Department of Homeland Security(USDHS)

Pass through from Others - see also pass through detail

Homeland Security Grant Program	97.067		N	77,967	3,650		81,617
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Pass through from State of IL - see also pass through detail

Homeland Security Grant Program	97.067		N	2,172,758			2,172,758
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Agency Totals				<u>2,250,725</u>	<u>3,650</u>		<u>2,254,375</u>
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Cluster Totals				<u>2,250,725</u>	<u>3,650</u>		<u>2,254,375</u>
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Cluster: Other Programs

Corporation for National & Community Service

Corporation for National & Community Service

Pass through from Others - see also pass through detail

AmeriCorps	94.006		N		-8,020		-8,020
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Pass through from State of IL - see also pass through detail

AmeriCorps	94.006		N		214,338		214,338
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Agency Totals					<u>206,318</u>		<u>206,318</u>
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Housing & Urban Development (HUD)

Housing & Urban Development (HUD)

Pass through from Others - see also pass through detail

Supportive Housing Program	14.235		N		26,546		26,546
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Housing Opportunities for Persons with AIDS	14.241		N		62,933		62,933
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Homeless Prevention and Rapid Re-Housing Program Technical Assistance	14.262		N		17,336		17,336
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HUD Sub FPI C-DEN-02370	14.unk		N	26,647			26,647
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HUD Sub Normal 2011-04817	14.unk		N	1,289			1,289
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Pass through from State of IL - see also pass through detail

Housing Opportunities for Persons with AIDS	14.241		N		150,217		150,217
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Agency Totals				<u>27,936</u>	<u>257,032</u>		<u>284,968</u>
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Cluster: Other Programs

Major Agency: Housing & Urban Development (HUD)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
<u>Institute of Museum & Library Services (IMLS)</u>							
Institute of Museum & Library Services (IMLS)							
<i>Direct Awards</i>							
Museums for America	45.301		N		102,676		102,676
21st Century Museum Professionals	45.307		N	42,093			42,093
National Leadership Grants	45.312		N		531		531
Laura Bush 21st Century Librarian Program	45.313		N	1,557,279			1,557,279
<i>Pass through from Others - see also pass through detail</i>							
21st Century Museum Professionals	45.307		N	1,887			1,887
Laura Bush 21st Century Librarian Program	45.313		N		28,537		28,537
<i>Pass through from State of IL - see also pass through detail</i>							
Grants to States	45.310		N	187,161		35,110	222,271
Laura Bush 21st Century Librarian Program	45.313		N			145,651	145,651
				<u>1,788,420</u>	<u>131,744</u>	<u>180,761</u>	<u>2,100,925</u>
<u>National Council on Disability</u>							
National Council on Disability							
<i>Direct Awards</i>							
Natl Cnsl on Disability NCD 08 02	92.unk		N		3		3
					<u>3</u>		<u>3</u>
<u>Peace Corps</u>							
Peace Corps							
<i>Direct Awards</i>							
Other Awards	99.999		N	17,532			17,532
				<u>17,532</u>			<u>17,532</u>
<u>Smithsonian Institution</u>							
Smithsonian Institution							
<i>Direct Awards</i>							
Other Awards	99.999		N	8			8
				<u>8</u>			<u>8</u>
<u>Tennessee Valley Authority (TVA)</u>							
Tennessee Valley Authority (TVA)							

Cluster: Other Programs
Major Agency: Tennessee Valley Authority (TVA)

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
<i>Pass through from Others - see also pass through detail</i>							
TVA Energy Research and Technology Applications	62.001		N	2,846			2,846
	Agency Totals			<u>2,846</u>			<u>2,846</u>
<u>United States Agency for International Development (AID)</u>							
United States Agency for International Development (AID)							
<i>Direct Awards</i>							
USAID Foreign Assistance for Programs Overseas	98.001		N	177,886			177,886
<i>Pass through from Others - see also pass through detail</i>							
AID AZ Y772861	98.unk		N	-10,070			-10,070
AID NMSU Q01224	98.unk		N	116,758			116,758
	Agency Totals			<u>284,574</u>			<u>284,574</u>
<u>US Department of Agriculture (USDA)</u>							
National Institute of Food and Agriculture/USDA							
<i>Direct Awards</i>							
Grants for Agricultural Research, Special Research Grants	10.200		N	26,776			26,776
	Agency Totals			<u>26,776</u>			<u>26,776</u>
US Department of Agriculture (USDA)							
<i>Direct Awards</i>							
Plant and Animal Disease, Pest Control, and Animal Care	10.025		N	49,613			49,613
Food and Agricultural Sciences National Needs Graduate Fellowship Grants	10.210		N	73,500			73,500
Cooperative Extension Service	10.500		Y	8,754,444			8,754,444
AG DC 2010-01026	10.unk		N	7,778			7,778
<i>Pass through from Others - see also pass through detail</i>							
Agricultural Research_Basic and Applied Research	10.001		N	26,267			26,267
Grants for Agricultural Research, Special Research Grants	10.200		N	4,142			4,142
Payments to Agricultural Experiment Stations Under the Hatch Act	10.203		N	33,342			33,342
Grants for Agricultural Research_Competitive Research Grants	10.206		N	49,246			49,246
1890 Institution Capacity Building Grants	10.216		N	149			149
Organic Agriculture Research and Extension Initiative	10.307		N	22,637			22,637
Agriculture and Food Research Initiative (AFRI)	10.310		N	3,407			3,407
Cooperative Extension Service	10.500		Y	199,491			199,491

Cluster: Other Programs

Major Agency: US Department of Agriculture (USDA)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
Cochran Fellowship Program-International Training-Foreign Participant	10.962		N	24,314			24,314
AG OSU TTA 2010-04498	10.unk		N	4,135			4,135
AG Sub Freeport 2010-06358	10.unk		N	6,879			6,879
AG Sub TTA WVU URC09A&FUIUC	10.unk		N	58,603			58,603
AG Sub UNL BE 2011-04508 ANTC	10.unk		N	796			796
AG WVSU TTA LG-26519	10.unk		N	4,974			4,974
CAL AG 2010-NTN1-21	10.unk		N	1,674			1,674
CAL AG 2010-NTN1-33	10.unk		N	5,832			5,832
CAL AG 2010-NTN1-34	10.unk		N	5,836			5,836
CAL AG 2011-NTN1-21	10.unk		N	2,945			2,945
<i>Pass through from State of IL - see also pass through detail</i>							
Specialty Crop Block Grant Program - Farm Bill	10.170		N	8,397			8,397
Special Supplemental Nutrition Program for Women, Infants, and Children	10.557		N		148,000		148,000
Child and Adult Care Food Program	10.558		N		64,216		64,216
	Agency Totals			<u>9,348,401</u>	<u>212,216</u>		<u>9,560,617</u>
USDA Agricultural Research Service (ARS)							
<i>Direct Awards</i>							
Agricultural Research_Basic and Applied Research	10.001		N	60,879			60,879
AG TTA 2007-06407	10.unk		N	1,333			1,333
	Agency Totals			<u>62,212</u>			<u>62,212</u>
USDA Animal & Plant Health Inspection Serv (APHIS)							
<i>Direct Awards</i>							
Plant and Animal Disease, Pest Control, and Animal Care	10.025		N	17,556			17,556
AG-6395-B-09-0119	10.unk		N	1,980			1,980
	Agency Totals			<u>19,536</u>			<u>19,536</u>
USDA Coop State Rsrch Educ & Ext Serv (CSREES)							
<i>Direct Awards</i>							
Grants for Agricultural Research, Special Research Grants	10.200		N	109,807			109,807
Grants for Agricultural Research_Competitive Research Grants	10.206		N	29,542			29,542
Food and Agricultural Sciences National Needs Graduate Fellowship Grants	10.210		N	9,812			9,812
International Science and Education Grants	10.305		N	37,533			37,533
<i>Pass through from Others - see also pass through detail</i>							

Cluster: Other Programs

Major Agency: US Department of Agriculture (USDA)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
Payments to Agricultural Experiment Stations Under the Hatch Act	10.203		N	1,674			1,674
Grants for Agricultural Research_Competitive Research Grants	10.206		N	1,353			1,353
Integrated Programs	10.303		N	11,700			11,700
Organic Agriculture Research and Extension Initiative	10.307		N	1,793			1,793
Cooperative Extension Service	10.500		Y	62,730			62,730
Agency Totals				<u>265,944</u>			<u>265,944</u>
USDA Farm Service Agency (FSA)							
<i>Direct Awards</i>							
AG FSA 2009-06711	10.unk		N	2,764			2,764
Agency Totals				<u>2,764</u>			<u>2,764</u>
USDA Food and Nutrition Service (FNS)							
<i>Direct Awards</i>							
AG 2009-07254	10.unk		N	3,230			3,230
<i>Pass through from State of IL - see also pass through detail</i>							
Child and Adult Care Food Program	10.558		N			-1,857	-1,857
Agency Totals				<u>3,230</u>		<u>-1,857</u>	<u>1,373</u>
USDA Foreign Agricultural Service (FAS)							
<i>Direct Awards</i>							
Technical Agricultural Assistance	10.960		N	11,672			11,672
Cochran Fellowship Program-International Training-Foreign Participant	10.962		N	59,988			59,988
Agency Totals				<u>71,660</u>			<u>71,660</u>
USDA Forest Service (FS)							
<i>Direct Awards</i>							
AG 07-CS-11090800-036	10.unk		N	634			634
AG FS 05-PA-11090800-021	10.unk		N	6,128			6,128
CAL AG 2010-MDN2-05	10.unk		N	3,832			3,832
CAL AG 2010-MDN2-12	10.unk		N	6,600			6,600
CAL AG 2010-NTN1-50	10.unk		N	2,020			2,020
CAL AG 2010-NTN1-70 CA 28	10.unk		N	-2,357			-2,357
CAL AG 2010-NTN-WA98	10.unk		N	2,020			2,020
CAL AG 2011-MDN2-12	10.unk		N	2,768			2,768
CAL AG 2011-NTN1-50	10.unk		N	3,998			3,998

Cluster: Other Programs

Major Agency: US Department of Agriculture (USDA)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
CAL AG 2011-NTN-WA98	10.unk		N	3,998			3,998
<i>Pass through from State of IL - see also pass through detail</i>							
Cooperative Forestry Assistance	10.664		N	138,143			138,143
	Agency Totals			<u>167,784</u>			<u>167,784</u>
USDA National Agricultural Statistics Service (NASS)							
<i>Direct Awards</i>							
AG 09-OA-2090-063	10.unk		N	4,239			4,239
AG NASS 09OA2090012	10.unk		N	3,354			3,354
	Agency Totals			<u>7,593</u>			<u>7,593</u>
USDA Natural Resources Conservation Service (NRCS)							
<i>Direct Awards</i>							
Environmental Quality Incentives Program	10.912		N	221			221
	Agency Totals			<u>221</u>			<u>221</u>
USDA Risk Management Agency (RMA)							
<i>Direct Awards</i>							
AG 3151-P-10-0109	10.unk		N	15,000			15,000
	Agency Totals			<u>15,000</u>			<u>15,000</u>
USDA Rural Housing Service (RHS)							
<i>Direct Awards</i>							
AG OMB NO 0575-0180	10.unk		N	2			2
	Agency Totals			<u>2</u>			<u>2</u>
<u>US Department of Commerce</u>							
US Department of Commerce							
<i>Direct Awards</i>							
Sea Grant Support	11.417		N	486,082	83,413		569,495
ARRA - Broadband Technology Opportunities Program (BTOP)	11.557	Y	N	161,782			161,782
Measurement and Engineering Research and Standards	11.609		N	5,000			5,000
<i>Pass through from Others - see also pass through detail</i>							
Sea Grant Support	11.417		N	29,597			29,597
State Broadband Data and Development Grant Program	11.558		N	-5,784			-5,784
ARRA-Baxter & Woodman 2011-04181	11.unk	Y	N	8,348			8,348
<i>Pass through from State of IL - see also pass through detail</i>							

Cluster: Other Programs

Major Agency: US Department of Commerce

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
Public Safety Interoperable Communications Grant Program	11.555		N	12,730			12,730
	Agency Totals			<u>697,755</u>	<u>83,413</u>		<u>781,168</u>
US Dept of Commerce Natl Telecomm & Info Admin (NTIA)							
<i>Pass through from Others - see also pass through detail</i>							
COM SUB AWSCT 2010-06147	44.unk		N	18,874			18,874
	Agency Totals			<u>18,874</u>			<u>18,874</u>
US Dept of Commerce NOAA							
<i>Direct Awards</i>							
Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference	11.400		N	637,601			637,601
Sea Grant Support	11.417		N	36,230			36,230
COM EA133E07CN0085	11.unk		N	409,568			409,568
COM EA133E10CN0168	11.unk		N	321,415			321,415
COM NA10OAR4170018 Allen FLLW	11.unk		N	23,648			23,648
COM WE133R11SE0324	44.unk		N	15,930			15,930
<i>Pass through from Others - see also pass through detail</i>							
Sea Grant Support	11.417		N	286,301			286,301
Coastal Zone Management Estuarine Research Reserves	11.420		N	4,377			4,377
National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes	11.432		N	31,334			31,334
Special Oceanic and Atmospheric Projects	11.460		N	20,255			20,255
	Agency Totals			<u>1,786,659</u>			<u>1,786,659</u>
<u>US Department of Defense (DoD)</u>							
Air Force							
<i>Direct Awards</i>							
AF FA3300-10-P-0162	12.unk		N	3,240			3,240
	Agency Totals			<u>3,240</u>			<u>3,240</u>
Air Force Materiel Command							
<i>Direct Awards</i>							
AF 2011-02381 IPA	12.unk		N	62,628			62,628
	Agency Totals			<u>62,628</u>			<u>62,628</u>
Air Force Office of Scientific Research (AFOSR)							
<i>Direct Awards</i>							
Air Force Defense Research Sciences Program	12.800		N	7,457			7,457

Cluster: Other Programs

Major Agency: US Department of Defense (DoD)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
<i>Pass through from Others - see also pass through detail</i>							
AF Sub CWRU 2011-01671 TTA	12.unk		N	14,394			14,394
	Agency Totals			<u>21,851</u>			<u>21,851</u>
Army							
<i>Pass through from State of IL - see also pass through detail</i>							
National Guard Military Operations and Maintenance (O&M) Projects	12.401		N	20,111			20,111
	Agency Totals			<u>20,111</u>			<u>20,111</u>
Army Research Office (ARO)							
<i>Direct Awards</i>							
Basic Scientific Research	12.431		N	9,883			9,883
<i>Pass through from Others - see also pass through detail</i>							
Basic Scientific Research	12.431		N	16,411			16,411
Basic, Applied, and Advanced Research in Science and Engineering	12.630		N	11,239			11,239
	Agency Totals			<u>37,533</u>			<u>37,533</u>
CERL Champaign							
<i>Direct Awards</i>							
Basic, Applied, and Advanced Research in Science and Engineering	12.630		N	13,294			13,294
Army 2011-05107 IPA	12.unk		N	6,208			6,208
Army IPA W8IEWF82580556	12.unk		N	49,656			49,656
Army W9132T-05-D-0004-0009	12.unk		N	1,109			1,109
Army W9132T-05-D-0004-0010	12.unk		N	51,072			51,072
Army W9132T-09-P-0021 FUA	12.unk		N	9,781			9,781
Army W9132T-10-P-0055 TTA	12.unk		N	2,447			2,447
Army W9132T-10-P-0058 FUA	12.unk		N	1,842			1,842
Army W9132T-10-P-0079 FUA	12.unk		N	3,054			3,054
Army W9132T-10-P-0113 FUA	12.unk		N	216			216
Army W9132T-11-P-0025	12.unk		N	4,367			4,367
	Agency Totals			<u>143,046</u>			<u>143,046</u>
Defense Advanced Research Projects Agency (DARPA)							
<i>Pass through from Others - see also pass through detail</i>							
DARPA Sub DET 2010-04933 TTA	12.unk		N	14,216			14,216
	Agency Totals			<u>14,216</u>			<u>14,216</u>

Cluster: Other Programs

Major Agency: US Department of Defense (DoD)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
Defense Logistics Agency							
<i>Direct Awards</i>							
DLA DSCP SP4701-08-D-0015	12.unk		N	5,692			5,692
	Agency Totals			<u>5,692</u>			<u>5,692</u>
Defense Threat Reduction Agency (DTRA)							
<i>Pass through from Others - see also pass through detail</i>							
DTRA PSU 3516-UI-DTRA-0010	12.unk		N	408			408
	Agency Totals			<u>408</u>			<u>408</u>
High Performance Computing Modernization Program							
<i>Pass through from Others - see also pass through detail</i>							
HPCMP Sub UAF 2010-02127 FUA	12.unk		N	645,297			645,297
	Agency Totals			<u>645,297</u>			<u>645,297</u>
Navy							
<i>Pass through from Others - see also pass through detail</i>							
Navy Sub STI 2011-05089 TTA	12.unk		N	5,531			5,531
	Agency Totals			<u>5,531</u>			<u>5,531</u>
Office of Naval Research (ONR)							
<i>Direct Awards</i>							
Basic and Applied Scientific Research	12.300		N	361,477	-1,561		359,916
	Agency Totals			<u>361,477</u>	<u>-1,561</u>		<u>359,916</u>
US Army Corps of Engineers							
<i>Pass through from Others - see also pass through detail</i>							
ARRA-Army Sub URS 252654.US ARRA	12.unk	Y	N	15,788			15,788
	Agency Totals			<u>15,788</u>			<u>15,788</u>
US Army Engineering District							
<i>Direct Awards</i>							
Army W912P6-08-C-0029	12.unk		N	97,760			97,760
	Agency Totals			<u>97,760</u>			<u>97,760</u>
US Army Medical Research Acquisition							
<i>Direct Awards</i>							
Military Medical Research and Development	12.420		N	58,414			58,414
	Agency Totals			<u>58,414</u>			<u>58,414</u>

Cluster: Other Programs

Major Agency: US Department of Defense (DoD)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
US Department of Defense (DoD)							
<i>Pass through from Others - see also pass through detail</i>							
Henry Jackson Fdn 00000123596	12.unk		N		-437		-437
	Agency Totals				<u>-437</u>		<u>-437</u>
<u>US Department of Education</u>							
US Department of Education							
<i>Direct Awards</i>							
Civil Rights Training and Advisory Services	84.004		N	859,963			859,963
National Resource Centers Program for Foreign Language and Area Studies or Foreign Langua	84.015		N	3,252,991			3,252,991
Overseas Programs - Doctoral Dissertation Research Abroad	84.022		N	75,725			75,725
Higher Education_Institutional Aid	84.031		N		295,427		295,427
Fund for the Improvement of Postsecondary Education	84.116		N	204,506	216,048		420,554
Rehabilitation Long-Term Training	84.129		N		-18,317		-18,317
Safe and Drug-Free Schools and Communities_State Grants	84.186		N		-28,164		-28,164
Bilingual Education Training Grants	84.195		N		-5		-5
Graduate Assistance in Areas of National Need	84.200		N	381,458	9,666		391,124
Centers for International Business Education	84.220		N	367,357			367,357
Research in Special Education	84.324		N	34,979			34,979
Special Education - Personnel Development to Improve Services and Results for Children with	84.325		N	580,991	1,996,047		2,577,038
Gaining Early Awareness and Readiness for Undergraduate Programs	84.334		N	618,005			618,005
Child Care Access Means Parents in School	84.335		N	91,412			91,412
Early Reading First	84.359		N	1,141,961	939,586		2,081,547
English Language Acquisition Grants	84.365		N	275,995			275,995
DED T195N070300-10	84.unk		N		339,862		339,862
DED T195N070301-10	84.unk		N		199,494		199,494
DED T195N070309-10	84.unk		N		281,300		281,300
<i>Pass through from Others - see also pass through detail</i>							
Career and Technical Education -- Basic Grants to States	84.048		N	183			183
Fund for the Improvement of Postsecondary Education	84.116		N	11,689	3,160		14,849
Twenty-First Century Community Learning Centers	84.287		N	115,737			115,737
Special Education_Technical Assistance on State Data Collection	84.373		N	65,588			65,588
DE Sub CCUSD4 2010-04815	84.unk		N	34,993			34,993

Cluster: Other Programs
Major Agency: US Department of Education

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
DE Sub NWPC 08-IL07	84.unk		N	15,407			15,407
National Writing Project-07-IL04	84.unk		N		58,356		58,356
<i>Pass through from State of IL - see also pass through detail</i>							
Career and Technical Education -- Basic Grants to States	84.048		N	560,512			560,512
Rehabilitation Services_Vocational Rehabilitation Grants to States	84.126		N		85,208		85,208
Safe and Drug-Free Schools and Communities_State Grants	84.186		N	1,506			1,506
Twenty-First Century Community Learning Centers	84.287		N	162,521			162,521
Mathematics and Science Partnerships	84.366		N	265,114			265,114
Improving Teacher Quality State Grants	84.367		N	619,866			619,866
Grants for State Assessments and Related Activities	84.369		N	109,723			109,723
College Access Challenge Grant Program	84.378		N		443,811		443,811
Agency Totals				<u>9,848,182</u>	<u>4,821,479</u>		<u>14,669,661</u>

US Department of Energy (DOE)

Argonne National Lab

Direct awards from DOE lab - see also pass through detail

ANL 9J-30282 WO 9J-30282-0007A	81.unk		N		10,301		10,301
ANL 9J-30282 WO 9J-30282-0012A	81.unk		N		7,092		7,092
DOE ANL 2008-01228 7B-00201	81.unk		N	1,529			1,529
DOE ANL 2010-04907 FLLW	81.unk		N	5,000			5,000
DOE ANL 8F-00241	81.unk		N	31,214			31,214
DOE ANL FUA 2011-01253 PO 0A-56638	81.unk		N	1,992			1,992
DOE ANL FUA 8A-06231	81.unk		N	14,785			14,785
Agency Totals				<u>54,520</u>	<u>17,393</u>		<u>71,913</u>

Brookhaven National Lab

Direct awards from DOE lab - see also pass through detail

DOE BNL 170793	81.unk		N	193,100			193,100
Agency Totals				<u>193,100</u>			<u>193,100</u>

DOE Fellowships

Direct Awards

DOE OR FELLOW	81.unk		N	5,584			5,584
Agency Totals				<u>5,584</u>			<u>5,584</u>

DOE Idaho operations

Cluster: Other Programs

Major Agency: US Department of Energy (DOE)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
<i>Direct Awards</i>							
University Reactor Infrastructure and Education Support	81.114		N	90,000			90,000
	Agency Totals			<u>90,000</u>			<u>90,000</u>
Fermilab							
<i>Direct awards from DOE lab - see also pass through detail</i>							
DOE FERMI 594513 FLLW	81.unk		N	27,087			27,087
	Agency Totals			<u>27,087</u>			<u>27,087</u>
Idaho National Engr & Env Lab							
<i>Direct awards from DOE lab - see also pass through detail</i>							
DOE INL 00087327	81.unk		N	4,564			4,564
	Agency Totals			<u>4,564</u>			<u>4,564</u>
National Energy Technology Laboratory (NETL)							
<i>Direct awards from DOE lab - see also pass through detail</i>							
ARRA - Weatherization Assistance for Low-Income Persons	81.042	Y	N	38,563			38,563
Renewable Energy Research and Development	81.087		N		472,132		472,132
ARRA - Geologic Sequestration Training and Research Grant Program	81.133	Y	N	93,245			93,245
	Agency Totals			<u>131,808</u>	<u>472,132</u>		<u>603,940</u>
Oak Ridge Institute for Science and Education ORISE							
<i>Direct Awards</i>							
DOE ORISE 2010-04753 FLLW	81.unk		N	10,000			10,000
DOE ORISE 2010-05468 FLLW	81.unk		N	10,000			10,000
DOE ORISE 2011-00670 FLLW	81.unk		N	42,000			42,000
	Agency Totals			<u>62,000</u>			<u>62,000</u>
Pacific Northwest National Lab							
<i>Direct awards from DOE lab - see also pass through detail</i>							
DOE PNL 108664 TTA	81.unk		N	12,530			12,530
DOE PNL 118981 TTA	81.unk		N	19,415			19,415
	Agency Totals			<u>31,945</u>			<u>31,945</u>
Sandia National Lab							
<i>Direct awards from DOE lab - see also pass through detail</i>							
DOE SNL 1050027 FLLW	81.unk		N	38,443			38,443
DOE SNL 752262 FLLW	81.unk		N	12,222			12,222

Cluster: Other Programs

Major Agency: US Department of Energy (DOE)

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Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
DOE SNL 857942 FLLW	81.unk		N	3,500			3,500
	Agency Totals			<u>54,165</u>			<u>54,165</u>
US Department of Energy (DOE)							
<i>Direct Awards</i>							
Office of Science Financial Assistance Program	81.049		N	12,086			12,086
Conservation Research and Development	81.086		N		76,559		76,559
Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Te	81.117		N		308,441		308,441
Nuclear Energy Research, Development and Demonstration	81.121		N	93,226			93,226
US DOE DE-SC0005601	81.unk		N		42,172		42,172
<i>Pass through from Others - see also pass through detail</i>							
ARRA - Renewable Energy Research and Development	81.087	Y	N	71,959			71,959
ARRA - Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Trainin	81.117	Y	N	9,404			9,404
ARRA - Energy Efficiency and Conservation Block Grant Program (EECBG)	81.128	Y	N		38		38
DOE PITT 2009-07268 FUA	81.unk		N	-5,529			-5,529
DOE PTTC 09-002	81.unk		N	16,103			16,103
DOE Sub BTIPR 2010-01193 TTA	81.unk		N	8,527			8,527
DOE Sub Yale C09E10262	81.unk		N	497			497
Ohio Dept of Development 09-23	81.unk		N		15		15
UT-Batelle LLC 4000091186	81.unk		N		134,705		134,705
<i>Pass through from State of IL - see also pass through detail</i>							
ARRA - State Energy Program	81.041	Y	N		219,579		219,579
State Energy Program	81.041		N		232,762		232,762
ARRA - Weatherization Assistance for Low-Income Persons	81.042	Y	N	693,066			693,066
State Energy Program Special Projects	81.119		N		205,753		205,753
ARRA - Electricity Delivery and Energy Reliability, Research, Development and Analysis	81.122	Y	N		326,153		326,153
	Agency Totals			<u>899,339</u>	<u>1,546,177</u>		<u>2,445,516</u>
<u>US Department of Homeland Security(USDHS)</u>							
Federal Emergency Management Agency (FEMA)							
<i>Direct Awards</i>							
Cooperating Technical Partners	97.045		N	1,173,459			1,173,459
<i>Pass through from Others - see also pass through detail</i>							
DHS FEMA HC 2009-06073	97.unk		N	992			992

Cluster: Other Programs

Major Agency: US Department of Homeland Security(USDHS)

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Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
DHS FEMA PC 2009-06084	97.unk		N	33,107			33,107
<i>Pass through from State of IL - see also pass through detail</i>							
Cooperating Technical Partners	97.045		N	1,246,945			1,246,945
	Agency Totals			<u>2,454,503</u>			<u>2,454,503</u>
US Department of Homeland Security(USDHS)							
<i>Direct Awards</i>							
State Fire Training Systems Grants	97.043		N	30,443			30,443
Law Enforcement Officer Reimbursement Agreement Program	97.090		N	154,040			154,040
DHS 2006-GT-T6-K003	97.unk		N	239,122			239,122
<i>Pass through from Others - see also pass through detail</i>							
DHS FEMA CM 2009-06077	97.unk		N	1,863			1,863
DHS FEMA HC 2009-06076	97.unk		N	55			55
DHS Sub SAIC GS 2011-05728 ANT	97.unk		N	333			333
<i>Pass through from State of IL - see also pass through detail</i>							
Pilot Demonstration or Earmarked Projects	97.001		N	123,776			123,776
Non-Profit Security Program	97.008		N	278,497			278,497
	Agency Totals			<u>828,129</u>			<u>828,129</u>
<u>US Department of Interior</u>							
Acadia National Park							
<i>Direct Awards</i>							
CAL INT 2010-MDN2-11	15.unk		N	5,536			5,536
CAL INT 2011-MDN2-11	15.unk		N	4,684			4,684
	Agency Totals			<u>10,220</u>			<u>10,220</u>
Great Smoky Mountains National Park							
<i>Direct Awards</i>							
CAL INT 2010-MDN2-83	15.unk		N	2,550			2,550
	Agency Totals			<u>2,550</u>			<u>2,550</u>
United States Geological Survey							
<i>Direct Awards</i>							
Assistance to State Water Resources Research Institutes	15.805		N	16,760			16,760
U.S. Geological Survey_ Research and Data Collection	15.808		N	16,762			16,762
National Geological and Geophysical Data Preservation Program	15.814		N	24,935			24,935

Cluster: Other Programs
Major Agency: US Department of Interior

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
CAL INT 2010-MDN2-56	15.unk		N	13,022			13,022
CAL INT 2011-NTN1-03	15.unk		N	5,050			5,050
INT 10HQSA1723	15.unk		N	155,944			155,944
INT G10PX01787	15.unk		N	24,900			24,900
	Agency Totals			<u>257,373</u>			<u>257,373</u>
US Department of Interior							
<i>Direct Awards</i>							
Applied Science Program Cooperative Agreements Related to Coal Mining and Reclamation	15.255		N	28,455			28,455
U.S. Geological Survey_ Research and Data Collection	15.808		N	330,000			330,000
<i>Pass through from Others - see also pass through detail</i>							
Fish and Wildlife Management Assistance	15.608		N	58,732			58,732
National Spatial Data Infrastructure Cooperative Agreements Program	15.809		N	35,716			35,716
	Agency Totals			<u>452,903</u>			<u>452,903</u>
US Fish & Wildlife							
<i>Direct Awards</i>							
Wildlife Without Borders-Africa Program	15.651		N	266,986			266,986
CAL INT 2011-MDN4-07	15.unk		N	29,808			29,808
INT S000366 IPA	15.unk		N	20,896			20,896
<i>Pass through from State of IL - see also pass through detail</i>							
Cooperative Endangered Species Conservation Fund	15.615		N	4,547			4,547
	Agency Totals			<u>322,237</u>			<u>322,237</u>
<u>US Department of Justice (DOJ)</u>							
US Department of Justice (DOJ)							
<i>Direct Awards</i>							
Comprehensive Approaches to Sex Offender Management Discretionary Grant (CASOM)	16.203		N			70,504	70,504
Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campu	16.525		N		70,985		70,985
Part E - Developing, Testing and Demonstrating Promising New Programs	16.541		N		10,294		10,294
Community Capacity Development Office	16.595		N		245,822		245,822
Project Safe Neighborhoods	16.609		N	4,304	124,598		128,902
Public Safety Partnership and Community Policing Grants	16.710		N	173,371	75,201		248,572
Anti-Gang Initiative (B)	16.744		N	798,004	65,054		863,058
Congressionally Recommended Awards	16.753		N		688,640		688,640

Cluster: Other Programs

Major Agency: US Department of Justice (DOJ)

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DOJ 2008 DD BX 0591	16.unk		N		2,185		2,185
DOJ 2008 GP CX 4020	16.unk		N		89,179		89,179
DOJ 2010-MU-MU-K049	16.unk		N		166,185		166,185
JUST 2005CKWXK033	16.unk		N	-904			-904
JUST 2007-DN-BX-K186	16.unk		N	141,843			141,843
<i>Pass through from Others - see also pass through detail</i>							
Public Safety Partnership and Community Policing Grants	16.710		N		85,342		85,342
Juvenile Mentoring Program	16.726		N	54,233			54,233
Enforcing Underage Drinking Laws Program	16.727		N		-2,386		-2,386
Tribal Youth Program	16.731		N		17,046		17,046
DOJ Sub ICJI D3-8-1273	16.unk		N	105,314			105,314
DOJ Sub PCHS 2010-03981	16.unk		N	16,856			16,856
<i>Pass through from State of IL - see also pass through detail</i>							
Grants to Encourage Arrest Policies and Enforcement of Protection Orders Program	16.590		N	2,559			2,559
Project Safe Neighborhoods	16.609		N		43,572		43,572
Edward Byrne Memorial Justice Assistance Grant Program	16.738		N			38,828	38,828
Forensic DNA Backlog Reduction Program	16.741		N			122,517	122,517
Anti-Gang Initiative (B)	16.744		N		15,471		15,471
ARRA - Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program/ Grant	16.803	Y	N		220,291		220,291
	Agency Totals			<u>1,295,580</u>	<u>1,917,479</u>	<u>231,849</u>	<u>3,444,908</u>
<u>US Department of Labor (DOL)</u>							
US Department of Labor (DOL)							
<i>Direct Awards</i>							
DOL DOLB11EF22588	17.unk		N	1,179			1,179
US Department of Labor DOLM	17.unk		N		-2,393		-2,393
<i>Pass through from Others - see also pass through detail</i>							
Occupational Safety and Health_Susan Harwood Training Grants	17.502		N		21,899		21,899
<i>Pass through from State of IL - see also pass through detail</i>							
Incentive Grants - WIA Section 503	17.267		N	58,124			58,124
	Agency Totals			<u>59,303</u>	<u>19,506</u>		<u>78,809</u>
<u>US Department of Transportation (DOT)</u>							

Cluster: Other Programs

Major Agency: US Department of Transportation (DOT)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
Federal Highway Administration (FHWA)							
<i>Direct Awards</i>							
DOT DDEGRD-09-X-00419 FLLW	20.unk		N	1,250			1,250
DOT DTFH64-10-G-00022 FLLW	20.unk		N	4,863			4,863
	Agency Totals			<u>6,113</u>			<u>6,113</u>
TRB/IDEA Program Office							
<i>Direct Awards</i>							
DOT DTFH64-11-G-00006 FLLW	20.unk		N	2,800			2,800
	Agency Totals			<u>2,800</u>			<u>2,800</u>
US Department of Transportation (DOT)							
<i>Pass through from State of IL - see also pass through detail</i>							
Airport Improvement Program	20.106		N	510,296			510,296
Formula Grants for Other Than Urbanized Areas	20.509		N		107,609		107,609
Interagency Hazardous Materials Public Sector Training and Planning Grants	20.703		N	388,256			388,256
	Agency Totals			<u>898,552</u>	<u>107,609</u>		<u>1,006,161</u>
<u>US Environmental Protection Agency (EPA)</u>							
US Environmental Protection Agency (EPA)							
<i>Direct Awards</i>							
Congressionally Mandated Projects	66.202		N	213,460			213,460
Compliance Assistance Support for Services to the Regulated Community and Other Assistanc	66.305		N	39,244			39,244
Great Lakes Program	66.469		N	94,613			94,613
Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and	66.510		N	14,384	10,000		24,384
Science To Achieve Results (STAR) Fellowship Program	66.514		N	53,047			53,047
Environmental Policy and Innovation Grants	66.611		N	42,416			42,416
Pollution Prevention Grants Program	66.708		N	207,745			207,745
Source Reduction Assistance	66.717		N	33,992			33,992
<i>Pass through from Others - see also pass through detail</i>							
CAL EPA 2011-MDN-2-87	15.unk		N	7,452			7,452
Air Pollution Control Program Support	66.001		N	15,162			15,162
Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Rel	66.034		N	34,240	8,317		42,557
Training, Investigations, and Special Purpose Activities of Federally-Recognized Indian Tribes	66.038		N	11,258			11,258
Water Pollution Control State, Interstate, and Tribal Program Support	66.419		N	12,020			12,020

Cluster: Other Programs

Major Agency: US Environmental Protection Agency (EPA)

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Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
Targeted Watersheds Grants	66.439		N	3,580			3,580
ARRA - Water Quality Management Planning	66.454	Y	N	15,100			15,100
National Estuary Program	66.456		N	6,018			6,018
Nonpoint Source Implementation Grants	66.460		N	20,014			20,014
Great Lakes Program	66.469		N	13,165			13,165
Performance Partnership Grants	66.605		N	86,374			86,374
CAL EPA 2009-MDN2-66	66.unk		N	424			424
CAL EPA 2009-NTN1-64	66.unk		N	224			224
CAL EPA 2009-NTN-1-72	66.unk		N	1,567			1,567
CAL EPA 2010-AMT-1-004	66.unk		N	4,038			4,038
CAL EPA 2010-AMT-1-015	66.unk		N	4,038			4,038
CAL EPA 2010-MDN2-087	66.unk		N	5,749			5,749
CAL EPA 2010-MDN-4-10	66.unk		N	11,072			11,072
CAL EPA 2010-MDN-4-11	66.unk		N	9,654			9,654
CAL EPA 2010-MDN-4-32	66.unk		N	6,387			6,387
CAL EPA 2010-MDN-4-35	66.unk		N	16,607			16,607
CAL EPA 2010-NTN1-19	66.unk		N	2,020			2,020
CAL EPA 2010-NTN-1-72	66.unk		N	4,377			4,377
CAL EPA 2011-NTN-1-19	66.unk		N	3,998			3,998
EPA Sub MACTEC EP-W-09-028	66.unk		N	10,525			10,525
EPA Sub WI DDJ000491	66.unk		N	11,064			11,064
<i>Pass through from State of IL - see also pass through detail</i>							
Water Pollution Control State, Interstate, and Tribal Program Support	66.419		N	35,631			35,631
ARRA - Water Quality Management Planning	66.454	Y	N	179,606	22,906		202,512
Water Quality Management Planning	66.454		N	3,164			3,164
Nonpoint Source Implementation Grants	66.460		N			12,662	12,662
State Grants to Reimburse Operators of Small Water Systems for Training and Certification Cos	66.471		N			20,731	20,731
Water Protection Grants to the States	66.474		N			10,392	10,392
Performance Partnership Grants	66.605		N	25,974		52,228	78,202
Agency Totals				<u>1,259,403</u>	<u>41,223</u>	<u>96,013</u>	<u>1,396,639</u>

US Health & Human Services (HHS)

Administration for Children and Families (ACF)

Cluster: Other Programs

Major Agency: US Health & Human Services (HHS)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
<i>Direct Awards</i>							
Compassion Capital Fund	93.009		N		371,721		371,721
<i>Pass through from Others - see also pass through detail</i>							
University Centers for Excellence in Developmental Disabilities Education, Research, and Servi	93.632		N		5,525		5,525
	Agency Totals				<u>377,246</u>		<u>377,246</u>
Administration on Aging (AOA)							
<i>Pass through from Others - see also pass through detail</i>							
Special Programs for the Aging_Title III, Part D_Disease Prevention and Health Promotion Serv	93.043		N		1,960		1,960
	Agency Totals				<u>1,960</u>		<u>1,960</u>
Centers for Disease Control and Prevention (CDC)							
<i>Direct Awards</i>							
Public Health Emergency Preparedness	93.069		N	4,299	406,856		411,155
Centers for Disease Control and Prevention_Investigations and Technical Assistance	93.283		N		117,687		117,687
CDC 10IPA1004119 Salvatore Cali	93.unk		N		16,481		16,481
CDC 254-2009-M-31428	93.unk		N		-2,947		-2,947
NIH CDC 200-2009-M-29945	93.unk		N	13,248			13,248
<i>Pass through from Others - see also pass through detail</i>							
Human Health Studies_Applied Research and Development	93.206		N		73,048		73,048
Centers for Disease Control and Prevention_Investigations and Technical Assistance	93.283		N		35,775		35,775
ARRA - Prevention and Wellness-State, Territories and Pacific Islands	93.723		N		52,024		52,024
ARRA - Prevention and Wellness Communities Putting Prevention to Work Funding Opportun	93.724	Y	N		469,059		469,059
Preventive Health Services_Sexually Transmitted Diseases Control Grants	93.977		N		21,165		21,165
<i>Pass through from State of IL - see also pass through detail</i>							
Public Health Emergency Preparedness	93.069		N		17,175		17,175
HIV Care Formula Grants	93.917		N		263		263
	Agency Totals			<u>17,547</u>	<u>1,206,586</u>		<u>1,224,133</u>
Health Resources and Services Administration (HRSA)							
<i>Direct Awards</i>							
Maternal and Child Health Federal Consolidated Programs	93.110		N		540,567		540,567
Grants to Increase Organ Donations	93.134		N	11,287			11,287
AIDS Education and Training Centers	93.145		N		2,763,853		2,763,853
Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care	93.224		N		1,308,741		1,308,741

Cluster: Other Programs

Major Agency: US Health & Human Services (HHS)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
Advanced Nursing Education Grant Program	93.247		N		416,711		416,711
Public Health Training Centers Grant Program	93.249		N		343,100		343,100
Universal Newborn Hearing Screening	93.251		N		324,429		324,429
Advanced Nursing Education Traineeships	93.358		N		233,025		233,025
Nurse Education, Practice and Retention Grants	93.359		N		980,461		980,461
ARRA - Public Health Traineeship Program	93.405	Y	N		49,402		49,402
ARRA - Scholarships for Disadvantaged Students	93.407	Y	N	9,123	77,560		86,683
Affordable Care Act (ACA) Primary Care Residency Expansion Program	93.510		N		93		93
Affordable Care Act (ACA) Nurse-Managed Health Clinics	93.515		N		212,914		212,914
ARRA Grants to Health Center Programs	93.703	Y	N		522,010		522,010
Grants for Training in Primary Care Medicine and Dentistry	93.884		N		47,451		47,451
HIV Emergency Relief Project Grants	93.914		N		383,920		383,920
Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease	93.918		N		605,965		605,965
Ryan White HIV/AIDS Dental Reimbursements Community Based Dental Partnership	93.924		N		302,024		302,024
Special Projects of National Significance	93.928		N		10,767		10,767
Public Health Traineeships	93.964		N		85,302		85,302
<i>Pass through from Others - see also pass through detail</i>							
Maternal and Child Health Federal Consolidated Programs	93.110		N		-53		-53
HIV Emergency Relief Project Grants	93.914		N		374,679		374,679
<i>Pass through from State of IL - see also pass through detail</i>							
HIV Care Formula Grants	93.917		N		5		5
Maternal and Child Health Services Block Grant to the States	93.994		Y		-6,184		-6,184
	Agency Totals			<u>20,410</u>	<u>9,576,742</u>		<u>9,597,152</u>
HRSA/BHPR/DADPHP (Health Resources and Services)							
<i>Pass through from Others - see also pass through detail</i>							
AIDS Education and Training Centers	93.145		N		118,785		118,785
HIV Emergency Relief Project Grants	93.914		N		123,378		123,378
<i>Pass through from State of IL - see also pass through detail</i>							
HIV Care Formula Grants	93.917		N		98,760		98,760
	Agency Totals				<u>340,923</u>		<u>340,923</u>
National Cancer Institute							
<i>Direct Awards</i>							

Cluster: Other Programs

Major Agency: US Health & Human Services (HHS)

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Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
Cancer Research Manpower	93.398		N	133,526			133,526
	Agency Totals			<u>133,526</u>			<u>133,526</u>
National Center for Complementary & Alternative Medicine							
<i>Direct Awards</i>							
Research and Training in Complementary and Alternative Medicine	93.213		N	34,320			34,320
	Agency Totals			<u>34,320</u>			<u>34,320</u>
National Center for Research Resources							
<i>Direct Awards</i>							
National Center for Research Resources	93.389		N	235,061			235,061
	Agency Totals			<u>235,061</u>			<u>235,061</u>
National Hansens Disease Programs (NHDP)							
<i>Direct Awards</i>							
Trans-NIH Recovery Act Research Support	93.701		N		54,985		54,985
NHDP HSH258200730008C	93.unk		N		-6,153		-6,153
	Agency Totals				<u>48,832</u>		<u>48,832</u>
National Heart Lung & Blood Institute							
<i>Direct Awards</i>							
Cardiovascular Diseases Research	93.837		N	129,144	273,158		402,302
	Agency Totals			<u>129,144</u>	<u>273,158</u>		<u>402,302</u>
National Institute for Occupational Safety & Health							
<i>Direct Awards</i>							
Occupational Safety and Health Program	93.262		N		2,520		2,520
	Agency Totals				<u>2,520</u>		<u>2,520</u>
National Institute of Allergy & Infectious Diseases							
<i>Direct Awards</i>							
Allergy, Immunology and Transplantation Research	93.855		N	38,552			38,552
	Agency Totals			<u>38,552</u>			<u>38,552</u>
National Institute of Child Health & Human Development							
<i>Direct Awards</i>							
Child Health and Human Development Extramural Research	93.865		N	50,334			50,334
	Agency Totals			<u>50,334</u>			<u>50,334</u>
National Institute of Diabetes & Digestive & Kidney Diseases							

Cluster: Other Programs

Major Agency: US Health & Human Services (HHS)

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Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
<i>Direct Awards</i>							
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847		N	83,742			83,742
	Agency Totals			<u>83,742</u>			<u>83,742</u>
National Institute of Environmental & Health Sciences							
<i>Pass through from Others - see also pass through detail</i>							
ARRA - Trans-NIH Recovery Act Research Support	93.701	Y	N	27,673			27,673
	Agency Totals			<u>27,673</u>			<u>27,673</u>
National Institute of General Medical Sciences							
<i>Direct Awards</i>							
Biomedical Research and Research Training	93.859		N	225,777			225,777
	Agency Totals			<u>225,777</u>			<u>225,777</u>
National Institute of Mental Health							
<i>Direct Awards</i>							
Mental Health Research Grants	93.242		N	83,947			83,947
Mental Health National Research Service Awards for Research Training	93.282		N	45,872			45,872
Child Health and Human Development Extramural Research	93.865		N	48,306			48,306
	Agency Totals			<u>178,125</u>			<u>178,125</u>
National Institute on Aging							
<i>Direct Awards</i>							
Aging Research	93.866		N	83,192			83,192
	Agency Totals			<u>83,192</u>			<u>83,192</u>
National Institute on Drug Abuse							
<i>Direct Awards</i>							
Drug Abuse and Addiction Research Programs	93.279		N	46,398			46,398
	Agency Totals			<u>46,398</u>			<u>46,398</u>
National Institutes of Health (NIH)							
<i>Direct Awards</i>							
Environmental Health	93.113		N	46,330			46,330
Mental Health Research Grants	93.242		N	22,853			22,853
Drug Abuse and Addiction Research Programs	93.279		N	-2,622			-2,622
Mental Health National Research Service Awards for Research Training	93.282		N	-9			-9
Discovery and Applied Research for Technological Innovations to Improve Human Health	93.286		N	874			874

Cluster: Other Programs

Major Agency: US Health & Human Services (HHS)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
National Center for Research Resources	93.389		N	18,099	33,108		51,207
Cancer Research Manpower	93.398		N	72,155			72,155
Cardiovascular Diseases Research	93.837		N	6,900			6,900
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847		N	91,162			91,162
Kidney Diseases Urology and Hematology Research	93.849		N	46,008			46,008
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853		N	43,682			43,682
Biomedical Research and Research Training	93.859		N	69,031			69,031
Resource and Manpower Development in the Environmental	93.894		N	74,295			74,295
PHS MOU NCI Vet Med	93.unk		N	4,419			4,419
<i>Pass through from Others - see also pass through detail</i>							
NIEHS Hazardous Waste Worker Health and Safety Training	93.142		N	160,528			160,528
AIDS Education and Training Centers	93.145		N		173,892		173,892
Research Related to Deafness and Communication Disorders	93.173		N		35,500		35,500
National Center for Research Resources	93.389		N	13,695			13,695
ARRA - Trans-NIH Recovery Act Research Support	93.701	Y	N	27,303			27,303
Health Information Technology Regional Extension Centers Program	93.718		N		54,719		54,719
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847		N	2,757			2,757
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853		N	3,447			3,447
Allergy, Immunology and Transplantation Research	93.855		N	22,545			22,545
Child Health and Human Development Extramural Research	93.865		N		16,389		16,389
HIV Emergency Relief Project Grants	93.914		N		-32,415		-32,415
HIV Care Formula Grants	93.917		N		86,975		86,975
Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surv	93.944		N		23,531		23,531
NIH SUB TTA FHCRC 2010-00305	93.unk		N	36,776			36,776
NIH SUB TTA KSU 2010-01194	93.unk		N	4,154			4,154
NIH SUB TTA SIU 2011-02403-00-00	93.unk		N	7,374			7,374
NIH SUB TTA UAB 2011-01612	93.unk		N	1,084			1,084
NIH SUB TTA WNPRC 10-8860	93.unk		N	1,598			1,598
NIH Sub UI 2011-01333 FUA	93.unk		N	3,108			3,108
<i>Pass through from State of IL - see also pass through detail</i>							
Children's Justice Grants to States	93.643		N		-286		-286
Social Services Block Grant	93.667		N		-9,989		-9,989

Cluster: Other Programs

Major Agency: US Health & Human Services (HHS)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
HIV Care Formula Grants	93.917		N		627,538		627,538
Maternal and Child Health Services Block Grant to the States	93.994		Y		1,531,508		1,531,508
	Agency Totals			<u>777,546</u>	<u>2,540,470</u>		<u>3,318,016</u>
National Library of Medicine							
<i>Direct Awards</i>							
Medical Library Assistance	93.879		N		2,087,585		2,087,585
	Agency Totals				<u>2,087,585</u>		<u>2,087,585</u>
Substance Abuse & Mental Health Services Admin (SAMHSA)							
<i>Direct Awards</i>							
Consolidated Knowledge Development and Application (KDA) Program	93.230		N		1,793		1,793
Traumatic Brain Injury State Demonstration Grant Program	93.234		N		736,984		736,984
Substance Abuse and Mental Health Services_Projects of Regional and National Significance	93.243		N		341,229		341,229
<i>Pass through from Others - see also pass through detail</i>							
Substance Abuse and Mental Health Services_Projects of Regional and National Significance	93.243		N	26,563	12,576		39,139
Block Grants for Prevention and Treatment of Substance Abuse	93.959		N		28,230		28,230
	Agency Totals			<u>26,563</u>	<u>1,120,812</u>		<u>1,147,375</u>
US Health & Human Services (HHS)							
<i>Direct Awards</i>							
National Community Centers of Excellence in Women's Health	93.290		N		73,930		73,930
<i>Pass through from Others - see also pass through detail</i>							
Special Programs for the Aging_Title III, Part D_Disease Prevention and Health Promotion Serv	93.043		N		197,201		197,201
Innovations in Applied Public Health Research	93.061		N		-5,040		-5,040
Child Welfare Research Training or Demonstration	93.648		N		60,761		60,761
HIV Emergency Relief Project Grants	93.914		N		985,940		985,940
HIV Prevention Activities_Health Department Based	93.940		N		43,330		43,330
Avera Research Institute	93.unk		N	5,612	71,678		77,290
GS-23F-8144H	93.unk		N		63,396		63,396
HHS Sub ANTHC 2011-03673	93.unk		N	4,812			4,812
John Snow Inc 020109 to 033109	93.unk		N		1		1
John Snow Incorporated - HIV AIDS Awareness	93.unk		N		46		46
NIH Sub JSI 2009-04197	93.unk		N	29			29
<i>Pass through from State of IL - see also pass through detail</i>							

Cluster: Other Programs

Major Agency: US Health & Human Services (HHS)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
Public Health Emergency Preparedness	93.069		N		5,092		5,092
Comprehensive Community Mental Health Services for Children with Serious Emotional Disturb	93.104		N	251,245			251,245
Maternal and Child Health Federal Consolidated Programs	93.110		N		50,000		50,000
Family Planning_Services	93.217		N		106,658		106,658
Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care	93.224		N			-15,303	-15,303
State Rural Hospital Flexibility Program	93.241		N	2,997			2,997
Centers for Disease Control and Prevention_Investigations and Technical Assistance	93.283		N	8,468		589	9,057
Low-Income Home Energy Assistance	93.568		N			68,551	68,551
Child Care and Development Block Grant	93.575		N	15,931			15,931
State Court Improvement Program	93.586		N	146,439			146,439
Children's Justice Grants to States	93.643		N		187,949		187,949
Social Services Block Grant	93.667		N		97,602		97,602
Grants to States for Operation of Offices of Rural Health	93.913		N			20,731	20,731
HIV Care Formula Grants	93.917		N		51,226	-2,997	48,229
Block Grants for Prevention and Treatment of Substance Abuse	93.959		N		501,180		501,180
Maternal and Child Health Services Block Grant to the States	93.994		Y	18,621	4,772,178		4,790,799
Agency Totals				<u>454,154</u>	<u>7,263,128</u>	<u>71,571</u>	<u>7,788,853</u>

US NASA

NASA Shared Services Center

Direct Awards

NASA NNX08AT43H FLLW	43.unk		N	28,090			28,090
NASA NNX08AU92H FLLW	43.unk		N	4,000			4,000
NASA NNX08AY63H FLLW	43.unk		N	32,870			32,870
NASA NNX08AZ02H FLLW	43.unk		N	29,800			29,800
NASA NNX09AO64H FLLW	43.unk		N	26,429			26,429
NASA NNX09AV12H FLLW	43.unk		N	29,028			29,028
NASA NNX10AL24H FLLW	43.unk		N	27,000			27,000
NASA NNX10AP50H FLLW	43.unk		N	25,398			25,398
Agency Totals				<u>202,615</u>			<u>202,615</u>

US NASA

Direct Awards

NASA 2011-02274	43.unk		N	4,000			4,000
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Cluster: Other Programs

Major Agency: US NASA

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
<i>Pass through from Others - see also pass through detail</i>							
CAL NASA 2010-NTN1-05	43.unk		N	2,020			2,020
CAL NASA 2011-NTN-1-05	43.unk		N	3,998			3,998
NASA ASEE FLLW 2009-00254	43.unk		N	11,000			11,000
VCSI Polar Flight 90	43.unk		N		18,841		18,841
Von Braun Center NNM07AA13A	43.unk		N		75,121		75,121
Agency Totals				<u>21,018</u>	<u>93,962</u>		<u>114,980</u>

US National Endowment for the Arts (NEA)

US National Endowment for the Arts (NEA)

Direct Awards

Promotion of the Arts_Grants to Organizations and Individuals	45.024		N	43,107			43,107
<i>Pass through from Others - see also pass through detail</i>							
Promotion of the Arts_Partnership Agreements	45.025		N	8,200		8,600	16,800
Agency Totals				<u>51,307</u>		<u>8,600</u>	<u>59,907</u>

US National Endowment for the Humanities (NEH)

US National Endowment for the Humanities (NEH)

Direct Awards

Promotion of the Arts Design Arts	45.001		N	24,389			24,389
Promotion of the Humanities_Challenge Grants	45.130		N	483			483
Promotion of the Humanities_Division of Preservation and Access	45.149		N	249,057			249,057
Promotion of the Humanities_Public Programs	45.164		N		168,903		168,903
Promotion of the Humanities_Office of Digital Humanities	45.169		N	169,526			169,526
<i>Pass through from Others - see also pass through detail</i>							
Promotion of the Humanities_Federal/State Partnership	45.129		N		3,176	2,138	5,314
Agency Totals				<u>443,455</u>	<u>172,079</u>	<u>2,138</u>	<u>617,672</u>

US National Science Foundation (NSF)

US National Science Foundation (NSF)

Direct Awards

Engineering Grants	47.041		N	252,918	24,452		277,370
Mathematical and Physical Sciences	47.049		N	86,099	25,631		111,730
Computer and Information Science and Engineering	47.070		N	78,399			78,399

Cluster: Other Programs

Major Agency: US National Science Foundation (NSF)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
Biological Sciences	47.074		N	29,442			29,442
Social, Behavioral, and Economic Sciences	47.075		N	38,586			38,586
Education and Human Resources	47.076		Y	3,158,050	586,034		3,744,084
International Science and Engineering (OISE)	47.079		N	114,927			114,927
Office of Cyberinfrastructure	47.080		N	48,058			48,058
ARRA - Trans-NSF Recovery Act Reasearch Support	47.082	Y	N	877,724	767,466		1,645,190
NSF BCS 09-39868 IPA	47.unk		N	143,920			143,920
NSF CMMI 09-44085 IPA	47.unk		N	290,687			290,687
NSF DACSLPA07C1508	47.unk		N	105,237			105,237
NSF DMR 11-18477 IPA	47.unk		N	185,551			185,551
NSF DRL 10-61878 IPA	47.unk		N	101,938			101,938
NSF OCI 09-34149 IPA	47.unk		N	304,463			304,463
<i>Pass through from Others - see also pass through detail</i>							
Engineering Grants	47.041		N	1,072,379			1,072,379
Mathematical and Physical Sciences	47.049		N	2,931			2,931
Geosciences	47.050		N	15,527			15,527
Computer and Information Science and Engineering	47.070		N	18,122			18,122
Biological Sciences	47.074		N	29,216			29,216
Education and Human Resources	47.076		Y		268,672	57,001	325,673
ARRA - Trans-NSF Recovery Act Reasearch Support	47.082	Y	N	58,590			58,590
CAL NSF 2010-NTN1-66	47.unk		N	2,970			2,970
CAL NSF 2012-NTN1-01	47.unk		N	2,970			2,970
SBC Boise State TTA 2009-07137	47.unk		N	10,431			10,431
SBC Photonic PO-10-357 TTA	47.unk		N	921			921
Agency Totals				<u>7,030,056</u>	<u>1,672,255</u>	<u>57,001</u>	<u>8,759,312</u>
<u>US Nat'l Archives & Records Admin(NARA)</u>							
National Historical Publications & Records Commission NHPRC							
<i>Direct Awards</i>							
National Historical Publications and Records Grants	89.003		N	13,500	6,270		19,770
Agency Totals				<u>13,500</u>	<u>6,270</u>		<u>19,770</u>
<u>US Nuclear Regulatory Commission</u>							

Cluster: Other Programs

Major Agency: US Nuclear Regulatory Commission

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See accompanying notes to the schedule of expenditures of federal awards and independent auitors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
US Nuclear Regulatory Commission							
<i>Direct Awards</i>							
U. S. Nuclear Regulatory Commission Nuclear Education Grant Program	77.006		N	18,441			18,441
U.S. Nuclear Regulatory Commission Scholarship and Fellowship Program	77.008		N	60,000			60,000
NRC 38-09-915	77.unk		N	100,000			100,000
NRC NRC-38-08-967	77.unk		N	104,204			104,204
	Agency Totals			<u>282,645</u>			<u>282,645</u>
<u>US Small Business Administration</u>							
US Small Business Administration							
<i>Pass through from Others - see also pass through detail</i>							
7(j) Technical Assistance	59.007		N		16,059		16,059
IDCEO PCI 2010-02278	59.unk		N	2,754			2,754
IDCEO PCI 2010-04017	59.unk		N	4,825			4,825
<i>Pass through from State of IL - see also pass through detail</i>							
Small Business Development Centers	59.037		N		28,422		28,422
	Agency Totals			<u>7,579</u>	<u>44,481</u>		<u>52,060</u>
<u>US State Department</u>							
US State Department							
<i>Direct Awards</i>							
Program for Study of Eastern Europe and the Independent States of the Former Soviet Union	19.300		N	158,799			158,799
Academic Exchange Programs - Scholars	19.401		N		113,322		113,322
STATE S-FR-630-10-GR-199	19.unk		N	3,597			3,597
STATE S-LMAQM-07-GR-234	19.unk		N	80,052			80,052
STATE S-LMAQM-09-GR-042	19.unk		N	88,543			88,543
<i>Pass through from Others - see also pass through detail</i>							
International Programs to Support Democracy, Human Rights and Labor	19.345		N		24,576		24,576
The American Islamic Congress	19.unk		N		52,556		52,556
	Agency Totals			<u>330,991</u>	<u>190,454</u>		<u>521,445</u>
<u>Veterans Administration (VA)</u>							
Jesse Brown VA Medical Center							
<i>Direct Awards</i>							

Cluster: Other Programs

Major Agency: Veterans Administration (VA)

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
JBVAMC VA69D P 0888	64.unk		N		371,878		371,878
JBVAMC VA69D-P-1719	64.unk		N		57,700		57,700
	Agency Totals				<u>429,578</u>		<u>429,578</u>
Veterans Administration (VA)							
<i>Direct Awards</i>							
US Dept of Veterans Affairs	64.unk		N		20,000		20,000
Vet Admin Allowance	64.unk		N			2,135	2,135
	Agency Totals				<u>20,000</u>	<u>2,135</u>	<u>22,135</u>
<u>Vietnam Education Foundation</u>							
Vietnam Education Foundation							
<i>Direct Awards</i>							
Other Awards	99.999		N	1,301			1,301
	Agency Totals			<u>1,301</u>			<u>1,301</u>
	Cluster Totals			<u>46,307,210</u>	<u>37,300,767</u>	<u>648,211</u>	<u>84,256,188</u>
<u>Cluster: SNAP</u>							
<u>US Department of Agriculture (USDA)</u>							
US Department of Agriculture (USDA)							
<i>Pass through from State of IL - see also pass through detail</i>							
State Administrative Matching Grants for the Supplemental Nutrition Assistance Program	10.561		Y	5,221,974	2,063,351		7,285,325
	Agency Totals			<u>5,221,974</u>	<u>2,063,351</u>		<u>7,285,325</u>
	Cluster Totals			<u>5,221,974</u>	<u>2,063,351</u>		<u>7,285,325</u>
<u>Cluster: State Fiscal Stabilization Fund</u>							
<u>US Department of Education</u>							
US Department of Education							
<i>Pass through from State of IL - see also pass through detail</i>							
ARRA - State Fiscal Stabilization Fund (SFSF) - Education State Grants, Recovery Act	84.394	Y	N	168,306			168,306
	Agency Totals			<u>168,306</u>			<u>168,306</u>
	Cluster Totals			<u>168,306</u>			<u>168,306</u>

Cluster: Student Financial Assistance

Cluster: Student Financial Assistance
Major Agency: US Department of Education

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
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US Department of Education

Federal Work Study programs

Direct Awards

Federal Work-Study Program	84.033		Y	2,237,977	1,940,432	130,604	4,309,013
Agency Totals				<u>2,237,977</u>	<u>1,940,432</u>	<u>130,604</u>	<u>4,309,013</u>

US Department of Education

Direct Awards

Federal Supplemental Educational Opportunity Grants	84.007		Y	-476,508			-476,508
Federal Pell Grant Program	84.063		Y	27,254,525	37,181,898	4,908,696	69,345,119
Academic Competitiveness Grants	84.375		Y	1,802,478	1,564,342	84,084	3,450,904
National Science and Mathematics Access to Retain Talent (SMART) Grants	84.376		Y	2,362,166	2,190,579	86,358	4,639,103
Teacher Education Assistance for College and Higher Education Grants (TEACH Grants)	84.379		Y	71,000		18,500	89,500
Agency Totals				<u>31,013,661</u>	<u>40,936,819</u>	<u>5,097,638</u>	<u>77,048,118</u>

US Health & Human Services (HHS)

Health Resources and Services Administration (HRSA)

Direct Awards

Scholarships for Health Professions Students from Disadvantaged Backgrounds	93.925		Y	13,841	117,423		131,264
Agency Totals				<u>13,841</u>	<u>117,423</u>		<u>131,264</u>
Cluster Totals				<u>33,265,479</u>	<u>42,994,674</u>	<u>5,228,242</u>	<u>81,488,395</u>

Cluster: TANF

US Health & Human Services (HHS)

Administration for Children and Families (ACF)

Pass through from State of IL - see also pass through detail

Temporary Assistance for Needy Families	93.558		Y			333,364	333,364
Agency Totals						<u>333,364</u>	<u>333,364</u>
Cluster Totals						<u>333,364</u>	<u>333,364</u>

Cluster: Teacher Quality Partnership Grants

US Department of Education

US Department of Education

Cluster: Teacher Quality Partnership Grants

Major Agency: US Department of Education

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
<i>Direct Awards</i>							
Teacher Quality Partnership Grants	84.336		N		1,839,139		1,839,139
	Agency Totals				<u>1,839,139</u>		<u>1,839,139</u>
	Cluster Totals				<u>1,839,139</u>		<u>1,839,139</u>

Cluster: Title I, Part A

US Department of Education

US Department of Education

Pass through from Others - see also pass through detail

ARRA - Title I Grants to Local Educational Agencies, Recovery Act

84.389	Y	N		11,112		11,112
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Pass through from State of IL - see also pass through detail

Title I Grants to Local Educational Agencies

84.010		N	22,516			22,516
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Agency Totals			<u>22,516</u>	<u>11,112</u>		<u>33,628</u>
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Cluster Totals			<u>22,516</u>	<u>11,112</u>		<u>33,628</u>
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Cluster: TRIO

US Department of Education

US Department of Education

Direct Awards

TRIO_Student Support Services

84.042		N	235,571	251,813		487,384
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TRIO_Upward Bound

84.047		N	420,749	562,748		983,497
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TRIO_McNair Post-Baccalaureate Achievement

84.217		N	200,850	-40,660		160,190
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Agency Totals			<u>857,170</u>	<u>773,901</u>		<u>1,631,071</u>
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Cluster Totals			<u>857,170</u>	<u>773,901</u>		<u>1,631,071</u>
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Cluster: Vocational Rehabilitation

US Department of Education

US Department of Education

Pass through from State of IL - see also pass through detail

Rehabilitation Services_Vocational Rehabilitation Grants to States

84.126		N		-8,409		-8,409
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ARRA - Rehabilitation Services-Vocational Rehabilitation Grants to States, Recovery Act

84.390	Y	N		116,539		116,539
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Agency Totals				<u>108,130</u>		<u>108,130</u>
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Cluster: Vocational Rehabilitation
Major Agency: US Department of Education

Cluster/Major Agency/Federal Agency/ Award Type/CFDA Prog Title or Award Title	CFDA No.	ARRA	Maj Prog	Urbana	Chicago	Springfield	All campuses
Cluster Totals					<u>108,130</u>		<u>108,130</u>

Cluster: WIA

US Department of Labor (DOL)

US Department of Labor (DOL)

Pass through from Others - see also pass through detail

WIA Youth Activities	17.259	N	87,724			87,724
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Pass through from State of IL - see also pass through detail

WIA Adult Program	17.258	N	425,498		25,509	451,007
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WIA Youth Activities	17.259	N	597,950		28,362	626,312
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WIA Dislocated Workers	17.260	N	739,306		37,875	777,181
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Agency Totals			<u>1,850,478</u>		<u>91,746</u>	<u>1,942,224</u>
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Cluster Totals			<u>1,850,478</u>		<u>91,746</u>	<u>1,942,224</u>
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Major Program Codes:

Y - Cluster, program, or award tested as a major program

N - Not tested as a major program

	<u>Urbana</u>	<u>Chicago</u>	<u>Springfield</u>	<u>All campuses</u>
GRAND TOTAL	<u>422,340,083</u>	<u>340,845,466</u>	<u>8,411,886</u>	<u>771,597,435</u>

Cluster: WIA

Major Agency: US Department of Labor (DOL)

FY11 SEFA

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See accompanying notes to the schedule of expenditures of federal awards and independent auditors' report.

UNIVERSITY OF ILLINOIS
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
NON CASH FEDERAL AWARDS
FEDERAL LOANS DISBURSED AND CAPITAL CONTRIBUTIONS
Year ended June 30, 2011

	CFDA	<u>URBANA</u>	<u>Chicago</u>	<u>Springfield</u>	<u>Totals</u>
	<u>Number</u>				
Student Financial Aid					
U.S. Department of Education					
Federal Direct Student Loans					
Stafford (subsidized & unsubsidized)	84.268	143,441,186	180,746,669	21,672,879	345,860,734
Parent Loans for Undergraduate Students	84.268	70,620,539	14,363,491	1,969,161	86,953,191
Graduate PLUS Loans	84.268	16,917,537	27,647,147	96,179	<u>44,660,863</u>
Total Federal Direct Student Loans		<u>230,979,262</u>	<u>222,757,307</u>	<u>23,738,219</u>	<u>477,474,788</u>
Perkins Loans (<u>capital contributions</u>)	84.038	0	0	0	0
Total U.S. Department of Education		<u>230,979,262</u>	<u>222,757,307</u>	<u>23,738,219</u>	<u>477,474,788</u>
U.S. Department of Health and Human Services (<u>capital contributions</u>)					
Health Professions Student Loans	93.342	0	0	0	0
Loans to Disadvantaged Students	93.342	0	0	0	0
Nurse Faculty Loan Program (NFLP)	93.264	0	87,846	0	87,846
Nursing Student Loans	93.364	<u>0</u>	0	<u>0</u>	<u>0</u>
Total U.S. Department of Health and Human Services		<u>0</u>	<u>87,846</u>	<u>0</u>	<u>87,846</u>
Total Student Financial Aid		<u>230,979,262</u>	<u>222,845,153</u>	<u>23,738,219</u>	<u>477,562,634</u>
Total Noncash Federal Awards		<u>230,979,262</u>	<u>222,845,153</u>	<u>23,738,219</u>	<u>477,562,634</u>

SOURCE of data - UI Student Financial Aid Offices

UNIVERSITY OF ILLINOIS
SCHEDULE OF LOANS ISSUED AND OUTSTANDING BALANCES
Student Loan Disclosure
Year ended June 30, 2011

	<u>CFDA Number</u>	<u>URBANA</u>	<u>CHICAGO</u>	<u>SPRINGFIELD</u>	<u>Totals</u>
Value of Perkins loans administrative allowance	84.038	0	0	1,525	1,525
Value of new loans issued to students for Perkins	84.038	1,448,654	3,539,655	30,500	5,018,809
Value of new loans issued to students for HPSL	93.342	233,943	1,218,687	0	1,452,630
Value of new loans issued to students for NFLP	93.264	0	105,300	0	105,300
Value of new loans issued to students for Nursing	93.364	0	163,136	0	163,136
Value of new loans issued for LDS	93.342	35,000	0	0	35,000
Total value of new loans issued		<u>\$ 1,717,597</u>	<u>\$ 5,026,778</u>	<u>\$ 30,500</u>	<u>\$ 6,774,875</u>
Loan Balance Outstanding - Perkins	84.038	12,533,353	26,367,072	185,839	39,086,264
Loan Balance Outstanding - HPSL	93.342	1,619,283	5,864,221	0	7,483,504
Loan Balance Outstanding - NFLP	93.264	0	386,743	0	386,743
Loan Balance Outstanding - Nursing	93.364	0	22,180	0	22,180
Loan Balance Outstanding - LDS	93.342	364,443	142,387	0	506,830
Total balance outstanding		<u>\$ 14,517,079</u>	<u>\$ 32,782,603</u>	<u>\$ 185,839</u>	<u>\$ 47,485,521</u>

Perkins = Federal Perkins Loan Program

HPSL = Health Professions Student Loans

NFLP = Nurse Faculty Loan Program

Nursing = Nursing Student Loans Program

LDS = Loans to Disadvantaged Students

SOURCE of data - UI Student Financial Aid Offices

UNIVERSITY OF ILLINOIS
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
Details for Pass-Through Federal Funding Received by UI as Subawardee and Funding Received from DOE Labs
Year Ended June 30, 2011

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Academy for Educational Development</u>								
Cluster: Other Programs								
<i>Fed Agency: US Department of Labor (DOL)</i>								
		17.502 / G5394 / Academy for Education Development	N			21,899		21,899
<u>Pass-through entity total:</u>						<u>21,899</u>		<u>21,899</u>
<u>Academy of Applied Sciences</u>								
Cluster: 1R&D								
<i>Fed Agency: Army</i>								
		12.000 / A2395 / Army Sub AAS 09-103	Y		-372			-372
<i>Fed Agency: Army Research Office (ARO)</i>								
		12.000 / A1319 / Army AAS 2011-04674	Y		1,182			1,182
		12.431 / A1950 / Army Sub AAS 10-40	Y		2,600			2,600
		12.431 / A1967 / Army Sub AAS 10-83	Y		2,600			2,600
<u>Pass-through entity total:</u>						<u>6,010</u>		<u>6,010</u>
<u>Access Community Health Network</u>								
Cluster: 1R&D								
<i>Fed Agency: Centers for Disease Control and Prevention (CDC)</i>								
		93.945 / E6479 / Access Community Health Network	Y			721		721
Cluster: Other Programs								
<i>Fed Agency: Substance Abuse & Mental Health Services Admin (SAMHSA)</i>								
		93.243 / E4506 / Access Community Health Network	N			12,576		12,576
<u>Pass-through entity total:</u>						<u>13,297</u>		<u>13,297</u>
<u>Active Transportation Alliance</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Education</i>								
		84.000 / G6136 / Active Transportation Alliance	Y			9,761		9,761
<u>Pass-through entity total:</u>						<u>9,761</u>		<u>9,761</u>

Active Transportation Alliance

FY11 SEFA Pass-through details

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Acumen Scientific</u>								
Cluster: 1R&D								
<i>Fed Agency: Army</i>								
		12.431 / A4416 / Army Sub Acumen UIUC-001		Y	152,267			152,267
					<u>152,267</u>			<u>152,267</u>
<u>Administrative Offices of Illinois Courts</u>								
Cluster: Other Programs								
<i>Fed Agency: US Health & Human Services (HHS)</i>								
		93.586 / A1576 / NIH Sub AOIC G-0901		N	88,662			88,662
		93.586 / A2041 / NIH SUB AOIC G-0808		N	57,777			57,777
					<u>146,439</u>			<u>146,439</u>
<u>Administrators of the Tulane Ed Fund DBA Tulane Univ</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institute of Child Health & Human Development</i>								
		93.865 / E4186 / Tulane Ed Fund TUL HSC 220 10 11		Y		54,963		54,963
<i>Fed Agency: US Health & Human Services (HHS)</i>								
		93.865 / E4956 / Tulane Education Fund TULHSC1660910		Y		5,555		5,555
						<u>60,518</u>		<u>60,518</u>
<u>Advanced Diamond Technologies Inc</u>								
Cluster: 1R&D								
<i>Fed Agency: Defense Threat Reduction Agency (DTRA)</i>								
		12.000 / A3029 / DTRA ADT HDTRA1-09-C-0007-1		Y	136,891			136,891
					<u>136,891</u>			<u>136,891</u>
<u>Aerius Photonics, LLC</u>								
Cluster: 1R&D								
<i>Fed Agency: Defense Advanced Research Projects Agency (DARPA)</i>								
		12.000 / A2200 / DARPA Sub AP PO2006440		Y	18,112			18,112
					<u>18,112</u>			<u>18,112</u>
<u>Age Options</u>								
Cluster: Other Programs								
<i>Fed Agency: Administration on Aging (AOA)</i>								
		93.043 / G5623 / Age Options B888		N		1,960		1,960
						<u>1,960</u>		<u>1,960</u>

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>AIDS Foundation of Chicago</u>								
Cluster: Other Programs								
<i>Fed Agency: Health Resources and Services Administration (HRSA)</i>								
		93.914 / E5527 / AIDS Foundation of Chicago	N			276,395		276,395
<i>Fed Agency: HRSA/BHPR/DADPHP (Health Resources and Services)</i>								
		93.914 / E3969 / AIDS Foundation of Chicago	N			123,378		123,378
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.917 / E4452 / AIDS Foundation Of Chicago	N			86,975		86,975
						<u>486,748</u>		<u>486,748</u>
<u>Alaska Department of Environmental Conservation</u>								
Cluster: Other Programs								
<i>Fed Agency: US Environmental Protection Agency (EPA)</i>								
		66.605 / B9156 / CAL Alaska DEC 08-MDN2-78	N		10,670			10,670
					<u>10,670</u>			<u>10,670</u>
<u>Alaska Federation of Natives</u>								
Cluster: 1R&D								
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.078 / A1705 / SBC AFN ARC 1057728	Y		41,283			41,283
					<u>41,283</u>			<u>41,283</u>
<u>Alaska Native Tribal Health Consortium</u>								
Cluster: Other Programs								
<i>Fed Agency: US Health & Human Services (HHS)</i>								
		93.unk / A1392 / HHS Sub ANTHC 2011-03673	N		4,812			4,812
					<u>4,812</u>			<u>4,812</u>
<u>Alternatives Incorporated</u>								
Cluster: Other Programs								
<i>Fed Agency: Substance Abuse & Mental Health Services Admin (SAMHSA)</i>								
		93.243 / A1465 / NIH SAMHSA Alternatives 2011-03142	N		26,563			26,563
					<u>26,563</u>			<u>26,563</u>
<u>American Educational Research Assn(AERA)</u>								
Cluster: 1R&D								
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.000 / A3080 / SBC AERA 2009-03245	Y		17,581			17,581
					<u>17,581</u>			<u>17,581</u>

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>American International Health Alliance</u>								
Cluster: Other Programs								
<i>Fed Agency: HRSA/BHPR/DADPHP (Health Resources and Services)</i>								
		93.145 / E4266 / AIHA	N			35,324		35,324
		93.145 / E5398 / AIHA HF4ETH08PPTRJASW00	N			83,461		83,461
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.145 / E6111 / AIHA- Twinning Center Project	N			173,892		173,892
						<u>292,677</u>		<u>292,677</u>
<u>American Islamic Congress</u>								
Cluster: Other Programs								
<i>Fed Agency: US State Department</i>								
		19.345 / E3947 / American Islamic Congress	N			24,576		24,576
		19.unk / E5166 / The American Islamic Congress	N			52,556		52,556
						<u>77,132</u>		<u>77,132</u>
<u>American Society for Engineering Education (ASEE)</u>								
Cluster: Other Programs								
<i>Fed Agency: US NASA</i>								
		43.unk / A3322 / NASA ASEE FLLW 2009-00254	N		11,000			11,000
					<u>11,000</u>			<u>11,000</u>
<u>Anasys Instruments</u>								
Cluster: 1R&D								
<i>Fed Agency: Air Force</i>								
		12.000 / A2321 / AF Sub AIC 2010-00721	Y		5,283			5,283
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.041 / A2141 / SBC Anasys Instr 2010-04289 SBIR	Y		34,888			34,888
					<u>40,171</u>			<u>40,171</u>
<u>ANDalyze</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.113 / A3040 / NIH SUB DT 2008-02789	Y		137,369			137,369
					<u>137,369</u>			<u>137,369</u>
<u>Applied Public Policy Research Institute for Study and Evalu</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Energy (DOE)</i>								
		81.unk / A1403 / DOE ORNL Sub APPRISE 2011-01096 Ant	Y	Y	12,095			12,095

Applied Public Policy Research Institute for Study and Evalu

FY11 SEFA Pass-through details

Pass-Through Entity

Cluster			Major Program		ARRA	Urbana	Chicago	Springfield	All Campuses	
Fed Agency			CFDA Number / UI Grant Code / Award Title							
81.unk / A2014 / DOE ORNL Sub APPRISE 2010-02990ARRA			Y	Y		17,902			17,902	
<u>Pass-through entity total:</u>						<u>29,997</u>			<u>29,997</u>	
<u>Aptima</u>										
Cluster: 1R&D										
<i>Fed Agency: US Department of Defense (DoD)</i>										
12.000 / E4112 / Aptima Inc			Y				28,901		28,901	
<i>Fed Agency: US NASA</i>										
43.000 / A2603 / NASA Sub Aptima 0563-1497			Y			104,279			104,279	
<u>Pass-through entity total:</u>						<u>104,279</u>	<u>28,901</u>		<u>133,180</u>	
<u>Archer Daniels Midland Company</u>										
Cluster: 1R&D										
<i>Fed Agency: National Energy Technology Laboratory (NETL)</i>										
81.134 / A1346 / DOE Sub ADM DE-FE0001547 ARRA			Y	Y		53,348			53,348	
<u>Pass-through entity total:</u>						<u>53,348</u>			<u>53,348</u>	
<u>Argonne National Lab</u>										
Cluster: 1R&D										
<i>Fed Agency: Argonne National Lab</i>										
81.000 / A1361 / DOE ANL 9J-30281-0008A			Y			46,248			46,248	
81.000 / A1600 / DOE ANL 9J-30281-0006A			Y			15,382			15,382	
81.000 / A1606 / DOE ANL 9J-30281-0007A			Y			20,730			20,730	
81.000 / A1718 / DOE ANL 9J-30281-0005A			Y			7,000			7,000	
81.000 / A2003 / DOE ANL 9J-30281-0004A			Y			64,436			64,436	
81.000 / A2081 / DOE ANL 9J-30281-0003A			Y			32,250			32,250	
81.000 / A2337 / DOE ANL 9J-30281-0001A			Y			10,388			10,388	
81.000 / A2703 / DOE ANL 9F-31921			Y			791,106			791,106	
81.000 / A2972 / DOE ANL 9F-31061			Y			2,293			2,293	
81.000 / A3255 / DOE ANL 8F-02043			Y			28,047			28,047	
81.000 / A3812 / DOE ANL 4J-00181-0008A			Y			-4,647			-4,647	
81.000 / A3843 / DOE ANL 4J-00181-0007A			Y			11,344			11,344	
81.000 / A4093 / DOE ANL 7F-01341			Y			26,721			26,721	
81.000 / A4633 / DOE ANL 4J-00181-0004A			Y			41,482			41,482	
81.000 / E3920 / ANL 9J-30282- WO 9J-30282-0016A			Y				28		28	
81.000 / E3978 / BOA 9J-30282 WO9J-30282-0014A			Y				14,681		14,681	
81.000 / E3984 / ANL 9J-30282 WO 9J-30282-0015A			Y				12,679		12,679	
81.000 / E4020 / Argonne # 9J-30282-0013A			Y				44,146		44,146	

Pass-Through Entity

Cluster			Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
Fed Agency								
CFDA Number / UI Grant Code / Award Title								
81.000	/	E4034 / Argonne National Laboratory	Y			39,222		39,222
81.000	/	E4051 / BOA 9J-30282 WO 9J-30282-0008A	Y			99,558		99,558
81.000	/	E4175 / BO 9J-30282 WO 9J-30282-0006A CC 03	Y			84,568		84,568
81.000	/	E4261 / UCHICAGO Argonne LLC 0I-33101	Y			12,459		12,459
81.000	/	E4276 / BOA 9J-30282 WO 9J-30282-0009A	Y			29,907		29,907
81.000	/	E4293 / BOA 9J 30282 WO 9J-30282-0005A	Y			14,775		14,775
81.000	/	E4394 / BOA 9J-30282 WO 9J-30282-0004A	Y			12,414		12,414
81.000	/	E4400 / BOA 9J-30282 WO 9J-30282-0003A	Y			28,285		28,285
81.000	/	E4553 / Arg Work Order# 9J-30282-0001D	Y			37,719		37,719
81.000	/	E4646 / Argonne Nat Lab No. 0F-32561	Y			48,187		48,187
81.000	/	E4821 / BOA 4J-00161 WO 4J-00161-0015A	Y			6,633		6,633
81.000	/	E4987 / Argonne National Lab 0F-33781	Y			14,620		14,620
81.000	/	E4999 / Argonne Nat Lab 9F-31743	Y			-2,043		-2,043
81.000	/	E5016 / Argonne Nat Lab 9F-30721	Y			-1,175		-1,175
81.000	/	E5019 / BOA 4J-00161 WO 4J-00161-0014A	Y			10,968		10,968
81.000	/	E5094 / Argonne Nat Lab 9F-30761	Y			26,731		26,731
81.000	/	E5142 / Argonne Nat Lab 9F-30701	Y			22,157		22,157
81.000	/	E5643 / Argonne Nat. Lab No. 8F-00081	Y			116,849		116,849
81.000	/	E5897 / Argonne Nat Lab 7F-01143	Y			432		432
81.000	/	E6580 / ANL 6F-00004	Y			44,688		44,688
81.000	/	E7252 / ANL 4J-00161-0003A	Y			-8,071		-8,071
81.unk	/	E4073 / 9J-30282-0011A ARRA	Y	Y		40,204		40,204
81.unk	/	E4113 / ANL 9J-30282-0010A - ARRA	Y	Y		65,403		65,403
81.unk	/	E4811 / Arg Nat Lab ARRA 9J-302820002A	Y	Y		18,885		18,885
Cluster: Other Programs								
<i>Fed Agency: Argonne National Lab</i>								
81.unk	/	A1621 / DOE ANL FUA 2011-01253 PO 0A-56638	N		1,992			1,992
81.unk	/	A2198 / DOE ANL 2010-04907 FLLW	N		5,000			5,000
81.unk	/	A3748 / DOE ANL FUA 8A-06231	N		14,785			14,785
81.unk	/	A3896 / DOE ANL 8F-00241	N		31,214			31,214
81.unk	/	A3996 / DOE ANL 2008-01228 7B-00201	N		1,529			1,529
81.unk	/	E4040 / ANL 9J-30282 WO 9J-30282-0012A	N			7,092		7,092
81.unk	/	E4303 / ANL 9J-30282 WO 9J-30282-0007A	N			10,301		10,301
<u>Pass-through entity total:</u>						<u>1,147,300</u>		<u>852,302</u>
								<u>1,999,602</u>

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Arizona Department of Environmental Quality</u>								
Cluster: Other Programs								
<i>Fed Agency: US Environmental Protection Agency (EPA)</i>								
		66.419 / A1945 / CAL EPA 2011-MDN-2-73		N	12,020			12,020
		66.605 / A2260 / CAL EPA 2010-MDN-2-73		N	1,064			1,064
				<u>Pass-through entity total:</u>	<u>13,084</u>			<u>13,084</u>
<u>Arizona State University</u>								
Cluster: 1R&D								
<i>Fed Agency: Air Force Office of Scientific Research (AFOSR)</i>								
		12.800 / A4988 / AF Sub AZ State 07-750		Y	70,350			70,350
<i>Fed Agency: US Department of Energy (DOE)</i>								
		81.000 / A2822 / DOE Sub ASU 09-208		Y	98,979			98,979
<i>Fed Agency: US NASA</i>								
		43.000 / E5073 / Arizona State 09-179		Y		48,655		48,655
				<u>Pass-through entity total:</u>	<u>169,329</u>	<u>48,655</u>		<u>217,984</u>
<u>Arthritis Foundation</u>								
Cluster: 1R&D								
<i>Fed Agency: Centers for Disease Control and Prevention (CDC)</i>								
		93.000 / E5340 / Arthritis Foundation		Y		17,316		17,316
				<u>Pass-through entity total:</u>		<u>17,316</u>		<u>17,316</u>
<u>Arts Midwest</u>								
Cluster: Other Programs								
<i>Fed Agency: US National Endowment for the Arts (NEA)</i>								
		45.025 / A1470 / NEA Arts Midwest FY11-95916		N	3,200			3,200
		45.025 / A1546 / NEA Arts Midwest FY11-99547		N	5,000			5,000
		45.025 / J9421 / 390 NEA Arts Midwest King's Singers		N			5,000	5,000
		45.025 / J9424 / 390 NEA Arts Midwest Bayanihan		N			3,600	3,600
				<u>Pass-through entity total:</u>	<u>8,200</u>		<u>8,600</u>	<u>16,800</u>
<u>Associated Universities Inc</u>								
Cluster: 1R&D								
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.049 / A2094 / SBC Associated Univ #VAO_2010_7-(1)		Y	266,212			266,212
				<u>Pass-through entity total:</u>	<u>266,212</u>			<u>266,212</u>

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Association of American Medical Colleges</u>								
Cluster: 1R&D								
<i>Fed Agency: Centers for Disease Control and Prevention (CDC)</i>								
93.283 / E6094 / AAMC MM 0964 07 07								
			Y			25,894		25,894
			<u>Pass-through entity total:</u>			<u>25,894</u>		<u>25,894</u>
<u>Association of University Centers on Disability</u>								
Cluster: 1R&D								
<i>Fed Agency: US Health & Human Services (HHS)</i>								
93.000 / G5482 / Assn of Univ Ctrs on Disabilities								
			Y			19,258		19,258
Cluster: Other Programs								
<i>Fed Agency: Administration for Children and Families (ACF)</i>								
93.632 / E3945 / AUUCD Ref Number 824-								
			N			5,525		5,525
			<u>Pass-through entity total:</u>			<u>24,783</u>		<u>24,783</u>
<u>AT&T Corporation</u>								
Cluster: 1R&D								
<i>Fed Agency: Defense Advanced Research Projects Agency (DARPA)</i>								
12.000 / A1825 / DARPA Sub AT&T POS000004083								
			Y		35,393			35,393
			<u>Pass-through entity total:</u>			<u>35,393</u>		<u>35,393</u>
<u>Auburn University</u>								
Cluster: Other Programs								
<i>Fed Agency: US Department of Agriculture (USDA)</i>								
10.500 / A1890 / AG Sub AU 10-ACES-374584-UIUC								
			Y		5,245			5,245
			<u>Pass-through entity total:</u>			<u>5,245</u>		<u>5,245</u>
<u>Avera Research Institute</u>								
Cluster: Other Programs								
<i>Fed Agency: US Health & Human Services (HHS)</i>								
93.unk / E5362 / Avera Research Institute								
			N		5,612	71,678		77,290
			<u>Pass-through entity total:</u>			<u>5,612</u>	<u>71,678</u>	<u>77,290</u>
<u>AWS Convergence Technologies Inc/WeatherBug</u>								
Cluster: Other Programs								
<i>Fed Agency: US Dept of Commerce Natl Telecomm & Info Admin (NTIA)</i>								
44.unk / A1355 / COM SUB AWSCT 2010-06147								
			N		18,874			18,874
			<u>Pass-through entity total:</u>			<u>18,874</u>		<u>18,874</u>

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Azimuth Corporation

Cluster: 1R&D

Fed Agency: Air Force

12.000 / A1975 / AF Azimuth PO211-009-010

Y

56,431

56,431

12.800 / A1231 / AF Sub Azimuth 211-009-63

Y

8,536

8,536

Pass-through entity total:64,96764,967**BAE Systems**

Cluster: 1R&D

Fed Agency: Defense Advanced Research Projects Agency (DARPA)

12.910 / E5591 / BAE Systems 310768

Y

-2,686

-2,686

Fed Agency: Navy

12.000 / A2806 / Navy Sub BAE 668790

Y

32,246

32,246

Pass-through entity total:32,246-2,68629,560**Battelle Memorial Institute**

Cluster: 1R&D

Fed Agency: Army

12.000 / A2711 / Army Sub BCHO TCN 09076

Y

-2,084

-2,084

Fed Agency: Army Research Office (ARO)

12.000 / E4092 / Battelle TCN 10158

Y

72,367

72,367

Fed Agency: US Health & Human Services (HHS)

93.000 / E5532 / Battelle 216965 Mod 5

Y

185

185

Pass-through entity total:-2,08472,55270,468**Baxter & Woodman Consulting Engineers**

Cluster: Other Programs

Fed Agency: US Department of Commerce

11.unk / C5272 / Baxter & Woodman 2011-04181

N

Y

8,348

8,348

Pass-through entity total:8,3488,348**Baylor College of Medicine**

Cluster: 1R&D

Fed Agency: US NASA

43.000 / A3291 / NASA BCM RE01801 5600400553

Y

117,858

117,858

Pass-through entity total:117,858117,858

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

BBN Technologies Corporation

Cluster: 1R&D

Fed Agency: Air Force

12.000 / A3489 / AF Sub BBN PO 9500009703

Y

77,163

77,163

Fed Agency: Army

12.000 / A2436 / Army Sub BBN 13765003

Y

826,843

826,843

Fed Agency: Army Research Office (ARO)

12.630 / A1878 / Army Sub BBN 13765108

Y

172,920

172,920

Fed Agency: US National Science Foundation (NSF)

47.082 / A2459 / SBC BBN 1720 ARRA

Y

Y

87,456

87,456

Pass-through entity total:**1,164,382****1,164,382****Beardstown C.U.S.D. #15**

Cluster: 1R&D

Fed Agency: US Department of Education

84.287 / D7276 / ISBE BEARDSTOWN 15 2010-07575

Y

12,681

12,681

Pass-through entity total:**12,681****12,681****Beckman Research Institute City of Hope**

Cluster: 1R&D

Fed Agency: National Institute of Diabetes & Digestive & Kidney Diseases

93.847 / E4574 / BRICOH Sub# HHSN276200900006C

Y

84,816

84,816

Pass-through entity total:**84,816****84,816****Ben-Gurion University of the Negev**

Cluster: 1R&D

Fed Agency: United States Agency for International Development (AID)

98.000 / A1837 / AID Sub BGU 81469

Y

1,987

1,987

Pass-through entity total:**1,987****1,987****Big Thicket Association, Thicket of Diversity**

Cluster: 1R&D

Fed Agency: US Department of Interior

15.406 / C6034 / BTA 2009-03822

Y

1,540

1,540

Pass-through entity total:**1,540****1,540****Biotechnology Research & Development Corp**

Cluster: 1R&D

Fed Agency: US Department of Agriculture (USDA)

10.001 / A2288 / AG Sub BRDC 2010-00787

Y

43,653

43,653

Biotechnology Research & Development Corp

FY11 SEFA Pass-through details

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
					<u>43,653</u>			<u>43,653</u>
<u>Boise State University</u>								
Cluster: 1R&D								
	<i>Fed Agency: US Department of Education</i>							
	84.305 / E5845 / BSU 101G106216-A		Y			194,860		194,860
Cluster: Other Programs								
	<i>Fed Agency: US National Science Foundation (NSF)</i>							
	47.unk / A2712 / SBC Boise State TTA 2009-07137		N		10,431			10,431
					<u>10,431</u>	<u>194,860</u>		<u>205,291</u>
<u>Boston University</u>								
Cluster: 1R&D								
	<i>Fed Agency: National Institute of Diabetes & Digestive & Kidney Diseases</i>							
	93.847 / A2214 / NIH SUB BU GC207852NGC		Y		63,300			63,300
	<i>Fed Agency: National Institutes of Health (NIH)</i>							
	93.242 / E4797 / Boston U 3371-5 PO# RA206613NGM		Y			49,581		49,581
					<u>63,300</u>	<u>49,581</u>		<u>112,881</u>
<u>Boyce Thompson Institute for Plant Research</u>								
Cluster: 1R&D								
	<i>Fed Agency: US Department of Energy (DOE)</i>							
	81.000 / A3382 / DOE Sub BTI 08-02		Y		143,108			143,108
Cluster: Other Programs								
	<i>Fed Agency: US Department of Energy (DOE)</i>							
	81.unk / A2470 / DOE Sub BTIPR 2010-01193 TTA		N		8,527			8,527
					<u>151,635</u>			<u>151,635</u>
<u>Boys & Girls Club of Chicago</u>								
Cluster: 1R&D								
	<i>Fed Agency: US Department of Education</i>							
	84.287 / D7443 / ISBE BoysGirlsClub 2010-01582		Y		13,653			13,653
	84.287 / D7444 / ISBE BoysGirlsClub 2010-01583		Y		13,653			13,653
	84.287 / D7508 / ISBE BoysGirlsClub 2009-07162		Y		-341			-341
	84.287 / D7578 / ISBE BoysGirlsClub 2009-04309		Y		21,261			21,261
					<u>48,226</u>			<u>48,226</u>

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Boys and Girls Clubs of Central Illinois</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Education</i>								
		84.287 / D7492 / ISBE BoysGirlsClub 2010-00253		Y	16,223			16,223
					<u>Pass-through entity total:</u>	<u>16,223</u>		<u>16,223</u>
<u>Bradley-Bourbonnais Community Unit School District</u>								
Cluster: Educational Technology State Grants								
<i>Fed Agency: US Department of Education</i>								
		84.386 / D7345 / ISBE BBCHS 2010-04986		N	51,260			51,260
		84.386 / D7166 / ISBE BBCHS 2011-03317 ARRA		N	42,480			42,480
		84.386 / D7347 / ISBE BBCHS 2010-04988 ARRA		N	3,624			3,624
					<u>Pass-through entity total:</u>	<u>97,364</u>		<u>97,364</u>
<u>Brigham & Women Hospital Inc</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.393 / E4353 / Brigham&Womens Hosp 105765		Y		51,707		51,707
<i>Fed Agency: US Health & Human Services (HHS)</i>								
		93.855 / E5459 / Brgham & Women Hospital Inc		Y		8,330		8,330
					<u>Pass-through entity total:</u>	<u>60,037</u>		<u>60,037</u>
<u>Brookfield Zoo</u>								
Cluster: 1R&D								
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.076 / E4081 / Chicago Zoological Society		Y		125,426		125,426
					<u>Pass-through entity total:</u>	<u>125,426</u>		<u>125,426</u>
<u>Brookhaven National Lab</u>								
Cluster: 1R&D								
<i>Fed Agency: Brookhaven National Lab</i>								
		81.000 / A1250 / DOE BNL 189669		Y	31,948			31,948
		81.000 / A2779 / DOE BNL 150252		Y	1,333,444			1,333,444
Cluster: Other Programs								
<i>Fed Agency: Brookhaven National Lab</i>								
		81.unk / A2062 / DOE BNL 170793		N	193,100			193,100
					<u>Pass-through entity total:</u>	<u>1,558,492</u>		<u>1,558,492</u>

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Brown University

Cluster: 1R&D

Fed Agency: Army

12.431 / A4563 / Army Sub Brown 00000055 P992647

Y

118,835

118,835

Pass-through entity total:118,835118,835**Buckmaster Research**

Cluster: 1R&D

Fed Agency: Air Force

12.000 / A2268 / AF Sub Buckmaster 2010-02730

Y

223,352

223,352

Fed Agency: Air Force Office of Scientific Research (AFOSR)

12.000 / A2384 / AF Sub Buckmaster 2010-02842

Y

71,309

71,309

12.800 / A3872 / AF sub Buckmaster 2008-01163

Y

91

91

Pass-through entity total:294,752294,752**Cahokia Unit School District 187**

Cluster: Other Programs

Fed Agency: US Department of Education

84.287 / D7291 / ISBE Cahokia 2010-07366

N

70,651

70,651

84.287 / D7490 / ISBE Cahokia FY10

N

18,116

18,116

Pass-through entity total:88,76788,767**California Institute of Technology**

Cluster: 1R&D

Fed Agency: NASA Headquarters

43.000 / A6455 / NASA JPL 1260125

Y

247,378

247,378

Fed Agency: Office of Naval Research (ONR)

12.300 / A4623 / Navy Sub CIT 67F-1082217

Y

208,636

208,636

Fed Agency: US Department of Energy (DOE)

81.049 / A2780 / DOE Sub CIT 67N-1087758

Y

1,124,125

1,124,125

Fed Agency: US NASA

43.000 / A2869 / NASA JPL 1378784

Y

16,300

16,300

43.000 / A2870 / NASA JPL 1378722

Y

1,528

1,528

43.000 / A9167 / NASA JPL 1236748

Y

-5,513

-5,513

Pass-through entity total:1,592,4541,592,454

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Cantimer Incorporated</u>								
Cluster: 1R&D								
<i>Fed Agency: Army</i>								
		12.000 / A3303 / Army Sub Cantimer 2008-04904		Y	3,279			3,279
					<u>Pass-through entity total:</u>			<u>3,279</u>
<u>Carnegie Institution of Washington</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Energy (DOE)</i>								
		81.000 / A1954 / DOE Sub CIW 4-3253-19		Y	69,699			69,699
		81.000 / A3305 / DOE CIW 4-3253-13		Y	90,007			90,007
					<u>Pass-through entity total:</u>	<u>159,706</u>		<u>159,706</u>
<u>Carnegie Mellon University</u>								
Cluster: 1R&D								
<i>Fed Agency: Army</i>								
		12.431 / A3956 / Army Sub CM 1130077-199232		Y	70,156			70,156
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.286 / A3396 / NIH SUB CMU 1090224-218537		Y	45,360			45,360
<i>Fed Agency: Office of Naval Research (ONR)</i>								
		12.300 / A1460 / Navy Sub CM 1141221-258429		Y	61,838			61,838
		12.300 / A2368 / Navy Sub CM 1141207-236246		Y	158,258			158,258
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.070 / A2245 / SBC CMU 1121302-245218		Y	33,822			33,822
		47.070 / A2404 / SBC CMU 1121348-237413		Y	61,713			61,713
					<u>Pass-through entity total:</u>	<u>431,147</u>		<u>431,147</u>
<u>Case Western Reserve University</u>								
Cluster: 1R&D								
<i>Fed Agency: Army Research Office (ARO)</i>								
		12.431 / A6995 / Army CWRU 342-0273		Y	-11,909			-11,909
<i>Fed Agency: NASA Shared Services Center</i>								
		43.001 / E5199 / Case Western Res Univ RES503542		Y		19,071		19,071
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.000 / E4607 / Case Western HHSN275200503406C		Y		85,025		85,025
		93.000 / E6070 / Case Western U HHSN275200503406C		Y		-4,683		-4,683
Cluster: Other Programs								
<i>Fed Agency: Air Force Office of Scientific Research (AFOSR)</i>								

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
		12.unk / A1555 / AF Sub CWRU 2011-01671 TTA	N		14,394			14,394
					<u>2,485</u>	<u>99,413</u>		<u>101,898</u>
Cbana Labs Inc								
Cluster: 1R&D								
<i>Fed Agency: Army</i>								
		12.000 / A2144 / Army Sub Cbana 2010-00910	Y		95,723			95,723
		12.000 / A3321 / Army Cbana 2008-06639	Y		-32,872			-32,872
					<u>62,851</u>			<u>62,851</u>
Center for Rotorcraft Innovation Inc(CRI)								
Cluster: 1R&D								
<i>Fed Agency: Federal Aviation Administration (FAA)</i>								
		20.000 / E5071 / CRI W911W6-06-2-0002-1 Mod P16	Y			126,659		126,659
						<u>126,659</u>		<u>126,659</u>
Center To Protect Workers Rights								
Cluster: 1R&D								
<i>Fed Agency: National Institute for Occupational Safety & Health</i>								
		93.262 / E4311 / Ctr toProt Workers Rights	Y			29,302		29,302
						<u>29,302</u>		<u>29,302</u>
Central Illinois Friends of PWA Incorporated								
Cluster: Other Programs								
<i>Fed Agency: Housing & Urban Development (HUD)</i>								
		14.262 / E4783 / Central Illinois FRIENDS Of PWA	N			17,336		17,336
						<u>17,336</u>		<u>17,336</u>
Ceralink, Inc.								
Cluster: 1R&D								
<i>Fed Agency: US Department of Energy (DOE)</i>								
		81.086 / A1468 / DOE Sub Ceralink 2011-01320	Y		40,035			40,035
					<u>40,035</u>			<u>40,035</u>
CFD Research Corporation								
Cluster: 1R&D								
<i>Fed Agency: Air Force</i>								
		12.000 / A3015 / AF Sub CFDRC 1041	Y		1,860			1,860
					<u>1,860</u>			<u>1,860</u>

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Champaign Consortium

Cluster: WIA

Fed Agency: US Department of Labor (DOL)

17.259 / A1692 / DOL Sub CC 10-1Y-9050-YETP	N	26,508			26,508
17.259 / A2057 / DOL Sub CC 10-1Y-4050-YETP	N	56,820			56,820
17.259 / A2294 / DOL CC 09-1Y-9050-YETP	N	7,907			7,907
17.259 / A2953 / DOL CC 09-1Y-4050-YETP	N	788			788
17.259 / A3277 / DOL CC 08-1Y-9050-YETP	N	-4,103			-4,103
17.259 / A4003 / DOL CC 07-1Y 9050-YETP	N	-3,446			-3,446

Pass-through entity total: 84,474 84,474

Champaign CUSD 4

Cluster: Other Programs

Fed Agency: US Department of Education

84.unk / A2233 / DE Sub CCUSD4 2010-04815	N	34,993			34,993
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Pass-through entity total: 34,993 34,993

Cherokee Nation

Cluster: Other Programs

Fed Agency: US Environmental Protection Agency (EPA)

66.034 / A1591 / CAL EPA 2010-MDN2-51	N	7,452			7,452
66.034 / A2427 / CAL EPA 2009-MDN2-51	N	3,832			3,832
66.unk / A1482 / CAL EPA 2010-AMT-1-015	N	4,038			4,038

Pass-through entity total: 15,322 15,322

Chestnut Health Systems

Cluster: 1R&D

Fed Agency: Substance Abuse & Mental Health Services Admin (SAMHSA)

93.000 / G6104 / Chestnut Health Systems	Y		73,140		73,140
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Pass-through entity total: 73,140 73,140

Cheyenne River Sioux Tribe

Cluster: Other Programs

Fed Agency: US Environmental Protection Agency (EPA)

15.unk / A1475 / CAL EPA 2011-MDN-2-87	N	7,452			7,452
66.unk / A1454 / CAL EPA 2010-MDN2-087	N	5,749			5,749

Pass-through entity total: 13,201 13,201

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Chicago Association for Research and Education in Science</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.000 / G5914 / CARES	Y			12,453		12,453
Cluster: Other Programs								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.173 / E4219 / Chicago Assoc Rsch Educ Science	N			35,500		35,500
						<u>Pass-through entity total:</u>		<u>47,953</u>
<u>Chicago Board of Education</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.701 / F9073 / Chicago B of Ed ARRA 090624PR378	Y	Y		2,886		2,886
<i>Fed Agency: US Department of Education</i>								
		84.389 / F9074 / Chgo Board of Ed ARRA 09-0624PR378	Y	Y		15,311		15,311
						<u>Pass-through entity total:</u>		<u>18,197</u>
<u>Chicago Public Schools</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Education</i>								
		84.364 / F9091 / CPS	Y			-234		-234
Cluster: Title I, Part A								
<i>Fed Agency: US Department of Education</i>								
		84.389 / F9069 / Chicago Public Schools ARRA	N	Y		3,836		3,836
		84.389 / F9070 / Chicago Public Schools ARRA	N	Y		7,276		7,276
						<u>Pass-through entity total:</u>		<u>10,878</u>
<u>Chicago State University</u>								
Cluster: 1R&D								
<i>Fed Agency: US Health & Human Services (HHS)</i>								
		93.910 / E4508 / Chgo St Univ - 52737 Dr Harden	Y			38,323		38,323
Cluster: Other Programs								
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.076 / E3930 / Illinois LSAMP	Y			17,464		17,464
		47.076 / E4600 / CSU NSF No. HRD-0904024	Y			971		971
		47.076 / E5395 / Chicago State Univ 53636	Y			180,750		180,750
		47.076 / E5642 / Chgo St U HRD0413000	Y			3,845		3,845
		47.076 / E6261 / Chicago St U P23253 HRD 0413000	Y			2,704		2,704

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Pass-through entity total:</u>						<u>244,057</u>		<u>244,057</u>
<u>Children's Hospital of Philadelphia</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.847 / E4147 / Childrens Hosp Phil 950690RSUB		Y		5,231		5,231
<u>Pass-through entity total:</u>						<u>5,231</u>		<u>5,231</u>
<u>Childrens Memorial Hospital</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.701 / E4139 / CMH#2010 150CS1UIC-R.Garard		Y		13,964		13,964
<u>Pass-through entity total:</u>						<u>13,964</u>		<u>13,964</u>
<u>Children's Memorial Hospital</u>								
Cluster: 1R&D								
<i>Fed Agency: National Eye Institute</i>								
		93.701 / E4094 / CMH 2010-060-ARRA	Y	Y		78,581		78,581
<i>Fed Agency: National Institute of Mental Health</i>								
		93.242 / E5595 / Childrens Memorial Hospital		Y		-2,370		-2,370
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.000 / E5141 / Childrens Memorial Hospital		Y		-1		-1
		93.279 / E5051 / Child Memor Hosp 2009 021N UIC		Y		221,723		221,723
<u>Pass-through entity total:</u>						<u>297,933</u>		<u>297,933</u>
<u>City Colleges of Chicago</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institute of General Medical Sciences</i>								
		93.859 / F9205 / City Colleges of Chicago		Y		-384		-384
<u>Pass-through entity total:</u>						<u>-384</u>		<u>-384</u>
<u>City of Chicago</u>								
Cluster: 1R&D								
<i>Fed Agency: Centers for Disease Control and Prevention (CDC)</i>								
		93.000 / F9160 / Chicago Dept of Public Health 12152		Y		-18,160		-18,160
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.243 / F9064 / City of Chicag 24280		Y		12,447		12,447
		93.243 / F9210 / City of Chicago 14056		Y		2,311		2,311
<i>Fed Agency: US Department of Education</i>								
		84.004 / F9102 / Rockford Public Schools		Y		-3,200		-3,200

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<i>Fed Agency: US Department of Justice (DOJ)</i>								
		16.540 / F9189 / City of Chicago PO15144 57610	Y			5,850		5,850
<i>Fed Agency: US Environmental Protection Agency (EPA)</i>								
		66.469 / F9088 / City of Chicago EPA GL-00E00549	Y			67,643		67,643
<i>Fed Agency: US Health & Human Services (HHS)</i>								
		93.197 / F9121 / CDPH 19731	Y			21,693		21,693
Cluster: Head Start								
<i>Fed Agency: US Health & Human Services (HHS)</i>								
		93.600 / F9081 / City of Chicago PO 18404 3	N			1,920,896		1,920,896
		93.600 / F9108 / City of Chicago PO 18404/2	N			1,560,518		1,560,518
		93.600 / F9149 / City of Chicago PO No 18404	N			16		16
Cluster: Other Programs								
<i>Fed Agency: Centers for Disease Control and Prevention (CDC)</i>								
		93.206 / F9120 / Chicago Dept. of Public Health	N			73,048		73,048
		93.977 / F9106 / Chicago Dept of Public Health	N			21,165		21,165
<i>Fed Agency: Health Resources and Services Administration (HRSA)</i>								
		93.914 / F9118 / Chgo Dept of Public Health 17217	N			104,769		104,769
		93.914 / F9132 / CDPH 17217-2	N			-6,485		-6,485
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.914 / F9208 / CDPH 34578 PO 8483	N			-32,415		-32,415
<i>Fed Agency: US Health & Human Services (HHS)</i>								
		93.043 / F9080 / City of Chgo Dept on Aging PO23641	N			74,265		74,265
		93.043 / F9094 / City of Chicago PO21011	N			122,936		122,936
		93.914 / F9099 / CDPH #17217	N			985,940		985,940
						<u>4,913,237</u>		<u>4,913,237</u>
City of East St Louis								
Cluster: CDBG - Entitlement Grants								
<i>Fed Agency: Housing & Urban Development (HUD)</i>								
		14.218 / A1187 / HUD Sub ESL LJ 2011-03524 ANTC	N		5,060			5,060
		14.218 / A2056 / HUD Sub ESL 10-0629-89	N		4,652			4,652
						<u>9,712</u>		<u>9,712</u>
City of Freeport								
Cluster: Other Programs								
<i>Fed Agency: US Department of Agriculture (USDA)</i>								
		10.unk / A2089 / AG Sub Freeport 2010-06358	N		6,879			6,879
						<u>6,879</u>		<u>6,879</u>

City of Freeport

FY11 SEFA Pass-through details

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>City of Peoria</u>								
Cluster: CDBG - Entitlement Grants								
<i>Fed Agency: Housing & Urban Development (HUD)</i>								
14.218	/	F9075 / City of Peoria		N		8,796		8,796
14.218	/	F9104 / City of Peoria 10PS20		N		10,402		10,402
14.218	/	F9181 / City of Peoria AS 400 08PSI		N		77		77
<u>Pass-through entity total:</u>						<u>19,275</u>		<u>19,275</u>
<u>Clemson University</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
93.395	/	E4416 / Clemson Univ Sub 1411-209-2007818		Y		13,068		13,068
<i>Fed Agency: US National Science Foundation (NSF)</i>								
47.041	/	A1520 / SBC Clemson 1486-206-2008218		Y	37,844			37,844
47.050	/	A4266 / SBC CU 1140-7558-206-2005990		Y	-275			-275
<u>Pass-through entity total:</u>						<u>37,569</u>	<u>13,068</u>	<u>50,637</u>
<u>Cleveland BioLabs Inc</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Defense (DoD)</i>								
12.000	/	E4019 / Cleveland BioLabs Inc		Y		1,557,025		1,557,025
12.000	/	G5954 / Cleveland Biolabs Inc Primates		Y		-67,979		-67,979
<u>Pass-through entity total:</u>						<u>1,489,046</u>		<u>1,489,046</u>
<u>Cleveland Clinic Foundation</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
93.113	/	E4907 / 4572865 Clevelend Clinic		Y		15,715		15,715
93.847	/	E4484 / Cleveland Clinic 101SUB		Y		112,265		112,265
<i>Fed Agency: US Department of Defense (DoD)</i>								
12.420	/	E4736 / Cleveland Clinic 4290552		Y		16,467		16,467
<i>Fed Agency: US Health & Human Services (HHS)</i>								
93.849	/	E5537 / Cleveland Clinic 4255062		Y		24,525		24,525
<u>Pass-through entity total:</u>						<u>168,972</u>		<u>168,972</u>
<u>Colorado State Univ</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Agriculture (USDA)</i>								
10.200	/	A1409 / AG Sub CSU G-1474-8		Y	3,385			3,385

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

10.200 / A2689 / AG Sub CO G-14490-1

Y

15,865

15,865

10.206 / A3275 / AG COSU G-1469-1

Y

10,829

10,829

Fed Agency: US National Science Foundation (NSF)

47.078 / E4498 / CSU Sub 1547214 SPO 0000075121

Y

55,140

55,140

Cluster: Other Programs

Fed Agency: US National Science Foundation (NSF)

47.074 / A1547 / CAL NSF 2011-NTN-1-02

N

3,325

3,325

47.074 / A2455 / CAL Sub COSU 2010-NTN-1-02

N

1,674

1,674

Pass-through entity total:35,07855,14090,218**Columbia Univ**

Cluster: 1R&D

Fed Agency: National Institute of Neurological Disorders & Stroke

93.853 / E6807 / Columbia Univ 1

Y

7,317

7,317

Fed Agency: National Institute on Aging

93.866 / E3881 / Columbia University 5-30026

Y

15,296

15,296

93.866 / E4377 / CUofNY 2 acct no 5 35062 PO584828

Y

158,948

158,948

93.866 / E4997 / Subaward No: #5-35061

Y

2,068

2,068

Pass-through entity total:183,629183,629**Common Ground Publishing LLC**

Cluster: 1R&D

Fed Agency: US Department of Education

84.000 / A1533 / DE Sub CGP ED-IES-10-C-0018

Y

31,679

31,679

84.000 / A1708 / DE Sub CGP ED-IES-C-10-0021

Y

21,932

21,932

Pass-through entity total:53,61153,611**Community Oriented Policing Service**

Cluster: Other Programs

Fed Agency: US Department of Justice (DOJ)

16.710 / E5622 / COPS 2007CKWX0003

N

85,342

85,342

Pass-through entity total:85,34285,342**Community Transportation Association of America**

Cluster: 1R&D

Fed Agency: US Department of Transportation (DOT)

20.514 / F9117 / CTAA

Y

1,271

1,271

Pass-through entity total:1,2711,271

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Computing Research Association</u>								
Cluster: Other Programs								
<i>Fed Agency: US National Science Foundation (NSF)</i>								
47.070 / A2700 / SBC Computing Res Assn CIF-125								
			N		18,122			18,122
			<u>Pass-through entity total:</u>		<u>18,122</u>			<u>18,122</u>
<u>Consortium for Mathematics and Its Applications (COMAP)</u>								
Cluster: 1R&D								
<i>Fed Agency: US National Science Foundation (NSF)</i>								
47.076 / E7445 / COMAP								
			Y			-94,921		-94,921
			<u>Pass-through entity total:</u>			<u>-94,921</u>		<u>-94,921</u>
<u>Cook County Department of Environmental Control</u>								
Cluster: Other Programs								
<i>Fed Agency: US Department of Energy (DOE)</i>								
81.128 / F9067 / ARRA EECBG Program								
			N	Y		38		38
			<u>Pass-through entity total:</u>			<u>38</u>		<u>38</u>
<u>Cornell University</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
93.242 / E5116 / Cornell U 08121677 01 PO 4100047689								
			Y			52,528		52,528
<i>Fed Agency: US Department of Agriculture (USDA)</i>								
10.000 / A8793 / CAL AG 2009-NTN1-24								
			Y		-312			-312
10.219 / A3096 / AG Sub CU 56161-8856								
			Y		12,500			12,500
10.307 / A2357 / AG Sub CU 58821-9113								
			Y		66,856			66,856
<i>Fed Agency: US National Science Foundation (NSF)</i>								
47.049 / A4029 / SBC CORNELL 46514-8591								
			Y		217,309			217,309
47.075 / A5287 / SBC CRNL 48149-8032								
			Y		18,114			18,114
Cluster: Other Programs								
<i>Fed Agency: US Department of Agriculture (USDA)</i>								
10.203 / A1761 / CAL AG 2011-NTN-1-24 760708								
			N		3,325			3,325
<i>Fed Agency: USDA Coop State Rsrch Educ & Ext Serv (CSREES)</i>								
10.203 / A2391 / CAL AG 2010-NTN1-24								
			N		1,674			1,674
			<u>Pass-through entity total:</u>		<u>319,466</u>	<u>52,528</u>		<u>371,994</u>

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Council of State Governments</u>								
Cluster: Other Programs								
<i>Fed Agency: US Department of Justice (DOJ)</i>								
16.731 / E4203 / Council of State 10-SA-16-1646								
			N			17,046		17,046
			<u>Pass-through entity total:</u>			<u>17,046</u>		<u>17,046</u>
<u>County of Hancock</u>								
Cluster: Other Programs								
<i>Fed Agency: Federal Emergency Management Agency (FEMA)</i>								
97.unk / A2467 / DHS FEMA HC 2009-06073								
			N		992			992
			<u>Pass-through entity total:</u>		<u>992</u>			<u>992</u>
<u>County of Suffolk</u>								
Cluster: Other Programs								
<i>Fed Agency: US Environmental Protection Agency (EPA)</i>								
66.456 / A2231 / CAL EPA 2010-NTN1-56								
			N		6,018			6,018
			<u>Pass-through entity total:</u>		<u>6,018</u>			<u>6,018</u>
<u>CPBR - Consortium for Plant Biotechnology Research Inc</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Energy (DOE)</i>								
81.087 / A1492 / DOE Sub CPBR GO12026-328								
			Y		54,732			54,732
81.087 / A2086 / DOE Sub CPBR GO12026-327								
			Y		62,163			62,163
81.087 / A2173 / DOE Sub CPBR G012026-306								
			Y		152,108			152,108
81.087 / A3252 / DOE CPBR G012026-270								
			Y		-2,816			-2,816
			<u>Pass-through entity total:</u>		<u>266,187</u>			<u>266,187</u>
<u>Creative Thermal Solutions</u>								
Cluster: 1R&D								
<i>Fed Agency: Army</i>								
12.unk / A1523 / Army Sub CTS 2010-03088 ARRA								
			Y	Y	78,719			78,719
			<u>Pass-through entity total:</u>		<u>78,719</u>			<u>78,719</u>
<u>CU Aerospace LLC</u>								
Cluster: 1R&D								
<i>Fed Agency: Air Force Office of Scientific Research (AFOSR)</i>								
12.800 / A3897 / AF sub CU Aero 2007-04585								
			Y		-4,832			-4,832
<i>Fed Agency: Army</i>								
12.000 / A2443 / Army Sub CUAero 2009-07014								
			Y		-17,012			-17,012
<i>Fed Agency: Defense Advanced Research Projects Agency (DARPA)</i>								

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
		12.000 / A3253 / DARPA CUAerospace 2008-02865	Y		86,844			86,844
	<i>Fed Agency: Navy</i>							
		12.000 / A1641 / Navy CU Aerospace 2010-05403	Y		35,000			35,000
	<i>Fed Agency: US NASA</i>							
		43.000 / A3086 / NASA Sub CU Aerospace 2009-00269	Y		127,134			127,134
		44.unk / A1531 / NASA Sub CU Aero NNX10RA54P ARRA	Y	Y	29,000			29,000
		Pass-through entity total:			<u>256,134</u>			<u>256,134</u>
<u>Cyber Defense Agency, LLC</u>								
Cluster: 1R&D								
	<i>Fed Agency: Air Force</i>							
		12.000 / A3137 / AF Sub CDA 00048-001	Y		219,830			219,830
		Pass-through entity total:			<u>219,830</u>			<u>219,830</u>
<u>Dartmouth College</u>								
Cluster: 1R&D								
	<i>Fed Agency: US Department of Homeland Security(USDHS)</i>							
		97.001 / A3372 / DHS Sub DC FLLW 470	Y		-4,269			-4,269
		Pass-through entity total:			<u>-4,269</u>			<u>-4,269</u>
<u>DE Technologies, Inc</u>								
Cluster: 1R&D								
	<i>Fed Agency: Defense Advanced Research Projects Agency (DARPA)</i>							
		12.000 / A3037 / Army Sub DET PO-09-120	Y		-12,405			-12,405
Cluster: Other Programs								
	<i>Fed Agency: Defense Advanced Research Projects Agency (DARPA)</i>							
		12.unk / A2167 / DARPA Sub DET 2010-04933 TTA	N		14,216			14,216
		Pass-through entity total:			<u>1,811</u>			<u>1,811</u>
<u>DePaul Univ</u>								
Cluster: 1R&D								
	<i>Fed Agency: National Institutes of Health (NIH)</i>							
		93.279 / E5564 / DePaul University 500500SG035	Y			13,104		13,104
	<i>Fed Agency: US Department of Education</i>							
		84.305 / E5205 / DePaul University 500583SG046 2	Y			35,423		35,423
		Pass-through entity total:				<u>48,527</u>		<u>48,527</u>

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Diabetic Retinopathy Clinical Research Network</u>								
Cluster: 1R&D								
<i>Fed Agency: National Eye Institute</i>								
		93.847 / E4657 / diabetic Retinopathy Protocol Q	Y			7,777		7,777
<i>Fed Agency: National Institute of Diabetes & Digestive & Kidney Diseases</i>								
		93.847 / E4656 / DRCR	Y			1,075		1,075
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.000 / E4773 / Diabetic Retinopathy Clinical Rsch	Y			11,484		11,484
						<u>20,336</u>		<u>20,336</u>
<u>Dioxide Materials</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Energy (DOE)</i>								
		81.049 / A1592 / DOE Sub Dioxide 2010-06602	Y		31,910			31,910
					<u>31,910</u>			<u>31,910</u>
<u>Distributed Sensor Technologies</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institute of Standards & Technology</i>								
		11.612 / E5077 / DST/NIST JV 70NANB9H9010	Y			455,071		455,071
						<u>455,071</u>		<u>455,071</u>
<u>Drexel University</u>								
Cluster: 1R&D								
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.041 / E6135 / Drexel University 235433	Y			13,049		13,049
						<u>13,049</u>		<u>13,049</u>
<u>Duke University</u>								
Cluster: 1R&D								
<i>Fed Agency: Defense Advanced Research Projects Agency (DARPA)</i>								
		12.431 / A1773 / DARPA Sub Duke 11-DARPA-1025	Y		271,047			271,047
<i>Fed Agency: National Cancer Institute</i>								
		93.393 / E4296 / Duke U 203 0314	Y			223,249		223,249
<i>Fed Agency: National Institute of Nursing Research</i>								
		93.361 / E6390 / Duke U 136844/140717	Y			71,693		71,693
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.242 / E5629 / Duke Univ 6599 05 12R1 ER	Y			1,000		1,000
<i>Fed Agency: US National Science Foundation (NSF)</i>								

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
		47.049 / A3155 / SBC Duke 09-NSF-1075	Y		5,282			5,282
			<u>Pass-through entity total:</u>		<u>276,329</u>	<u>295,942</u>		<u>572,271</u>
<u>DuPage County</u>								
Cluster: Other Programs								
<i>Fed Agency: US Department of Interior</i>								
		15.809 / B9048 / Dupage County S15618a	N		35,716			35,716
			<u>Pass-through entity total:</u>		<u>35,716</u>			<u>35,716</u>
<u>E.O. Lawrence Berkeley National Laboratory</u>								
Cluster: 1R&D								
<i>Fed Agency: E.O. Lawrence Berkeley National Laboratory</i>								
		81.000 / A1565 / DOE LBNL 6930699	Y		140,798			140,798
			<u>Pass-through entity total:</u>		<u>140,798</u>			<u>140,798</u>
<u>Easter Seals</u>								
Cluster: 1R&D								
<i>Fed Agency: Federal Transit Administration (FTA)</i>								
		20.514 / E4026 / EasterSIs 460-459-6211-03-12012010	Y			25,220		25,220
			<u>Pass-through entity total:</u>			<u>25,220</u>		<u>25,220</u>
<u>Eastern Illinois University</u>								
Cluster: Other Programs								
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.074 / A1358 / SBC EIU TTA 2011-02974	N		8,571			8,571
			<u>Pass-through entity total:</u>		<u>8,571</u>			<u>8,571</u>
<u>ECONorthwest</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Transportation (DOT)</i>								
		20.000 / A1932 / DOT ECONW 2009-07246	Y		27,991			27,991
			<u>Pass-through entity total:</u>		<u>27,991</u>			<u>27,991</u>
<u>Eden Park Illumination</u>								
Cluster: 1R&D								
<i>Fed Agency: Air Force</i>								
		12.000 / A3505 / AF Sub EPI 2008-06491	Y		742			742
<i>Fed Agency: Air Force Office of Scientific Research (AFOSR)</i>								
		12.000 / A2379 / AF Sub EPI 2010-00936	Y		88,292			88,292
			<u>Pass-through entity total:</u>		<u>89,034</u>			<u>89,034</u>

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Education Development Center Inc</u>								
Cluster: 1R&D								
<i>Fed Agency: Substance Abuse & Mental Health Services Admin (SAMHSA)</i>								
		93.243 / E5281 / EDC 2HR1SM54865 No 5231		Y		-769		-769
						<u>-769</u>		<u>-769</u>
<u>ELORET Corporation</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Defense (DoD)</i>								
		12.000 / G5781 / ELORET Corporation		Y		-7,228		-7,228
						<u>-7,228</u>		<u>-7,228</u>
<u>Embry-Riddle Aeronautical University(ERAU)</u>								
Cluster: 1R&D								
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.050 / A1774 / SBC ERAU #61321-01		Y	52,334			52,334
					<u>52,334</u>			<u>52,334</u>
<u>Emmaus Medical, Inc.</u>								
Cluster: 1R&D								
<i>Fed Agency: US Health & Human Services (HHS)</i>								
		93.000 / E4407 / Emmaus REPLACED BY G5342		Y		-2,000		-2,000
						<u>-2,000</u>		<u>-2,000</u>
<u>EMMES Corporation</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institute of Child Health & Human Development</i>								
		93.000 / E5796 / EMMES 3216 002 2007		Y		15,050		15,050
<i>Fed Agency: National Institute of Diabetes & Digestive & Kidney Diseases</i>								
		93.000 / E6101 / THE EMMES Corporation 2004-0532		Y		1,788		1,788
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.867 / E6473 / EMMES Corporation		Y		4,356		4,356
						<u>21,194</u>		<u>21,194</u>
<u>Emory University</u>								
Cluster: 1R&D								
<i>Fed Agency: Air Force Office of Scientific Research (AFOSR)</i>								
		12.800 / A3992 / AF sub Emory S503654		Y	76,331			76,331
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.837 / E5009 / Emory Univ S395004		Y		-4,538		-4,538

Emory University

FY11 SEFA Pass-through details

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Fed Agency: US National Science Foundation (NSF)

47.049 / A2292 / SBC Emory Univ S337215

Y

67,992

67,992

Cluster: Other Programs

Fed Agency: National Institutes of Health (NIH)

93.855 / C6698 / Emory Univ TTA 2008-02532

N

14,877

14,877

Pass-through entity total:159,200-4,538154,662**Engineering Systems, Inc.**

Cluster: 1R&D

Fed Agency: US Department of Transportation (DOT)

20.000 / A2163 / DOT Sub ESI TRB HM-07

Y

99,546

99,546

Pass-through entity total:99,54699,546**Entergy Services, Inc.**

Cluster: 1R&D

Fed Agency: US Department of Energy (DOE)

81.122 / A1710 / DOE Sub Entergy #10285169 ARRA

Y

Y

191,171

191,171

Pass-through entity total:191,171191,171**EPIR Technologies**

Cluster: 1R&D

Fed Agency: Army

12.000 / E5238 / EPIR 0069-08-SUIC-0001

Y

45,775

45,775

Pass-through entity total:45,77545,775**Fermilab**

Cluster: 1R&D

Fed Agency: Fermilab

81.000 / A1259 / DOE FERMI 11-S-009

Y

4,305

4,305

81.000 / A1489 / DOE FERMI 10-F012

Y

7,863

7,863

81.000 / A1514 / DOE FERMI 596824

Y

8,154

8,154

81.000 / A2067 / DOE FERMI 592717

Y

21,794

21,794

81.000 / A2174 / DOE FERMI 592081

Y

177,658

177,658

81.000 / A2471 / DOE FERMI 588235

Y

22,796

22,796

81.000 / A4035 / DOE FERMI 577558

Y

23,584

23,584

81.000 / E4352 / Fermi Lab PO No. 582879

Y

171,623

171,623

81.000 / E5032 / Fermi Lab PO No 582701

Y

1,921

1,921

81.000 / E5143 / Fermi National Lab PO No 588169

Y

-57

-57

81.000 / E6362 / Fermi National Lab PO 570197

Y

18,442

18,442">

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
		81.000 / E6363 / Fermi National Lab. PO 570202	Y			44,102		44,102
Cluster: Other Programs								
<i>Fed Agency: Fermilab</i>								
		81.unk / A1717 / DOE FERMI 594513 FLLW	N		27,087			27,087
					<u>293,241</u>	<u>236,031</u>		<u>529,272</u>
Field Museum								
Cluster: 1R&D								
<i>Fed Agency: Institute of Museum & Library Services (IMLS)</i>								
		45.301 / E3878 / The Field Museum PO 43142	Y			1,290		1,290
						<u>1,290</u>		<u>1,290</u>
First Pic Inc								
Cluster: 1R&D								
<i>Fed Agency: Housing & Urban Development (HUD)</i>								
		14.000 / A1140 / HUD Sub FPI KH 2011-06342 ANTC	Y		14,343			14,343
Cluster: Other Programs								
<i>Fed Agency: Housing & Urban Development (HUD)</i>								
		14.unk / A1969 / HUD Sub FPI C-DEN-02370	N		26,647			26,647
					<u>40,990</u>			<u>40,990</u>
Fisher BioServices Inc								
Cluster: 1R&D								
<i>Fed Agency: National Cancer Institute</i>								
		93.000 / E3987 / Fisher BioServices FBS-43312-93	Y			87,798		87,798
						<u>87,798</u>		<u>87,798</u>
Forest County Potawatomi Community EPA								
Cluster: Other Programs								
<i>Fed Agency: US Environmental Protection Agency (EPA)</i>								
		66.unk / A2849 / CAL EPA 2009-NTN1-64	N		224			224
		66.unk / A2850 / CAL EPA 2009-MDN2-66	N		424			424
					<u>648</u>			<u>648</u>
Fort Peck Tribes								
Cluster: Other Programs								
<i>Fed Agency: US Environmental Protection Agency (EPA)</i>								
		66.unk / A1401 / CAL EPA 2011-NTN-1-19	N		3,998			3,998
		66.unk / A2354 / CAL EPA 2010-NTN1-19	N		2,020			2,020
					<u>6,018</u>			<u>6,018</u>

Fort Peck Tribes

FY11 SEFA Pass-through details

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Fred Hutchinson Cancer Research Center</u>								
Cluster: 1R&D								
<i>Fed Agency: Centers for Disease Control and Prevention (CDC)</i>								
		93.941 / E4790 / FHCR 0000678002	Y			-2,843		-2,843
<i>Fed Agency: National Institute of Allergy & Infectious Diseases</i>								
		93.855 / E4110 / Fred Hutchinson 0000704208	Y			10,716		10,716
		93.855 / E4366 / Fred Hutchinson 0000693472	Y			329,897		329,897
		93.855 / E4842 / Fred Hutchinson 0000676934	Y			-4,252		-4,252
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.941 / E3874 / Fred Hutchinson Cancer Research Cen	Y			9,342		9,342
		93.941 / E3875 / HVTN PIF FY 2012	Y			4,894		4,894
<i>Fed Agency: US Health & Human Services (HHS)</i>								
		93.855 / E4367 / Fred Hutchinson 0000694586	Y			692,776		692,776
Cluster: Other Programs								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.unk / A2553 / NIH SUB TTA FHCRC 2010-00305	N		36,776			36,776
					<u>36,776</u>	<u>1,040,530</u>		<u>1,077,306</u>
<u>FutureGen Industrial Alliance</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Energy (DOE)</i>								
		81.089 / A1508 / DOE Sub FutureGen #1.2-03 ARRA	Y	Y	173,998			173,998
					<u>173,998</u>			<u>173,998</u>
<u>G E Global Research</u>								
Cluster: 1R&D								
<i>Fed Agency: Navy</i>								
		12.000 / A3136 / Navy Sub GE 400019573	Y		95,858			95,858
					<u>95,858</u>			<u>95,858</u>
<u>Gallaudet University</u>								
Cluster: 1R&D								
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.075 / A1689 / SBC GU 0000019772	Y		49,845			49,845
		47.075 / A2272 / SBC Gallaudet #0000018434	Y		100,888			100,888
		47.075 / A2516 / SBC GU 0000018432	Y		75,730			75,730
		47.075 / A3467 / SBC GU 0000017110	Y		12,683			12,683
					<u>239,146</u>			<u>239,146</u>

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Gas Technology Institute

Cluster: 1R&D

Fed Agency: US Department of Energy (DOE)

81.117 / A1518 / DOE Sub GTI S00000206

Y

42,194

42,194

Pass-through entity total:42,19442,194**General Motors Corporation**

Cluster: 1R&D

Fed Agency: National Energy Technology Laboratory (NETL)

81.086 / A1897 / DOE Sub GM DE-EE0002217 ARRA

Y

Y

109,031

109,031

Pass-through entity total:109,031109,031**Genesic Semiconductor Inc**

Cluster: 1R&D

Fed Agency: US Department of Energy (DOE)

81.049 / E5219 / Genesic Semiconductor

Y

133,176

133,176

Pass-through entity total:133,176133,176**Geological Survey of Alabama**

Cluster: 1R&D

Fed Agency: US Fish & Wildlife

15.000 / A3927 / INT FWS sub GSA C8035004

Y

26,013

26,013

Pass-through entity total:26,01326,013**George Mason Univ**

Cluster: 1R&D

Fed Agency: National Institute of Standards & Technology

11.609 / E4476 / George Mason Uni E20024A2 ARRA

Y

Y

135,983

135,983

Pass-through entity total:135,983135,983**George Washington Univ**

Cluster: 1R&D

Fed Agency: US Health & Human Services (HHS)

93.unk / E3995 / GW University 10-M80 ARRA

Y

Y

22,233

22,233

Pass-through entity total:22,23322,233**Georgetown University**

Cluster: 1R&D

Fed Agency: National Institute of Mental Health

93.242 / E5170 / Georgetown University

Y

25,918

25,918

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
						<u>25,918</u>		<u>25,918</u>
<u>Georgia Institute of Technology</u>								
Cluster: 1R&D								
	<i>Fed Agency: Air Force Office of Scientific Research (AFOSR)</i>							
		12.630 / A5623 / AF GIT E-25-6MV-G1	Y		118,642			118,642
		12.800 / A3547 / AF Sub GIT R9595-G1	Y		60,754			60,754
	<i>Fed Agency: Army</i>							
		12.431 / A1978 / Army Sub GIT RA752-G3	Y		143,850			143,850
	<i>Fed Agency: US Department of Transportation (DOT)</i>							
		20.000 / A1687 / DOT Sub GIT RA932-S2	Y		10,784			10,784
	<i>Fed Agency: US National Science Foundation (NSF)</i>							
		47.041 / A2458 / SBC Ga Tech RA023-G1	Y		31,437			31,437
		47.041 / A2821 / SBC Georgia Tech E-20-L05-G8	Y		62,715			62,715
		47.076 / E4884 / Georgia Inst of Tech RA067-G2	Y			108,782		108,782
					<u>428,182</u>	<u>108,782</u>		<u>536,964</u>
<u>Georgia State Univ</u>								
Cluster: Other Programs								
	<i>Fed Agency: US National Science Foundation (NSF)</i>							
		47.076 / E4202 / Subaward SP000H3213-23	Y			18,158		18,158
						<u>18,158</u>		<u>18,158</u>
<u>Governors State University</u>								
Cluster: 1R&D								
	<i>Fed Agency: US Health & Human Services (HHS)</i>							
		93.307 / E6142 / Governors State U P20-MD001816 01	Y			138,881		138,881
						<u>138,881</u>		<u>138,881</u>
<u>Gramercy Research Group</u>								
Cluster: 1R&D								
	<i>Fed Agency: National Heart Lung & Blood Institute</i>							
		93.837 / A2320 / NIH SUB GRG 0005-S1	Y		7,962			7,962
					<u>7,962</u>			<u>7,962</u>
<u>Grid Protection Alliance</u>								
Cluster: 1R&D								
	<i>Fed Agency: US Department of Energy (DOE)</i>							
		81.000 / A1189 / DOE Sub GPAI HK 2011-00165 ANTC	Y		5,541			5,541
					<u>5,541</u>			<u>5,541</u>

Grid Protection Alliance

FY11 SEFA Pass-through details

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Gynecology Oncology Group</u>								
Cluster: 1R&D								
<i>Fed Agency: National Cancer Institute</i>								
		93.395 / E4482 / GOG Grant # CA27469-30	Y			4,147		4,147
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.395 / E3937 / Gyn Onc Group Grant CA27469-31	Y			1,232		1,232
		93.395 / E5042 / Gynecologic Oncology Group	Y			1		1
						<u>5,380</u>		<u>5,380</u>
<u>Gynuity Health Projects</u>								
Cluster: 1R&D								
<i>Fed Agency: United States Agency for International Development (AID)</i>								
		98.012 / E5014 / Gynuity Health Projects	Y			117,531		117,531
						<u>117,531</u>		<u>117,531</u>
<u>H. Lee Moffitt Cancer Center & Research Institute</u>								
Cluster: 1R&D								
<i>Fed Agency: National Cancer Institute</i>								
		93.395 / E4305 / H Lee Moffitt Ctr 10 15926 99 01 G1	Y			92,765		92,765
						<u>92,765</u>		<u>92,765</u>
<u>Harvard College</u>								
Cluster: 1R&D								
<i>Fed Agency: Defense Advanced Research Projects Agency (DARPA)</i>								
		12.431 / A3780 / DARPA HC 02-130130-00	Y		71,582			71,582
<i>Fed Agency: National Institute of Child Health & Human Development</i>								
		93.701 / E4420 / Harvard 114050 0010 ARRA	Y	Y		51,058		51,058
<i>Fed Agency: Space and Naval Warfare Systems Center</i>								
		12.910 / A1539 / DARPA Sub Harvard 123636	Y		129,397			129,397
<i>Fed Agency: US Health & Human Services (HHS)</i>								
		93.865 / E4957 / Harvard 5 U01 HD052102-05	Y			64,677		64,677
						<u>200,979</u>		<u>316,714</u>
<u>Harvard Pilgrim Health Care</u>								
Cluster: 1R&D								
<i>Fed Agency: US Health & Human Services (HHS)</i>								
		93.000 / E4430 / Harvard Pilgrim Health Care, Inc.	Y			20,000		20,000
						<u>20,000</u>		<u>20,000</u>

Harvard Pilgrim Health Care

FY11 SEFA Pass-through details

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Harvard School of Public Health</u>								
Cluster: 1R&D								
<i>Fed Agency: US Health & Human Services (HHS)</i>								
		93.865 / E4313 / Harvard SPH 1140740666	Y			509,771		509,771
			<u>Pass-through entity total:</u>			<u>509,771</u>		<u>509,771</u>
<u>Health Federation of Philadelphia</u>								
Cluster: Other Programs								
<i>Fed Agency: Corporation for National & Community Service</i>								
		94.006 / E4851 / HFP 07NDHPA003	N			-8,020		-8,020
			<u>Pass-through entity total:</u>			<u>-8,020</u>		<u>-8,020</u>
<u>Hektoen Institute LLC</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institute of Allergy & Infectious Diseases</i>								
		93.855 / E4099 / Hekt Inst 50609-324-UIC8-AI034993	Y			17,798		17,798
		93.855 / E4130 / Hektoen Institute 5UO1AI034993	Y			43,567		43,567
		93.855 / E5997 / Hekt Inst 50607-324-UIC1-A134993	Y			569		569
		93.855 / G5548 / Hektoen Inst for Medical Research	Y			78,984		78,984
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.000 / E5650 / Hektoen Inst 50606 324 UIC	Y			-2,683		-2,683
		93.701 / E4605 / Hektoen Inst ARRA	Y	Y		-17,504		-17,504
		93.855 / E3950 / Hektoen 50610-324-UIC9-AI034993	Y			9,114		9,114
		93.855 / E4382 / Hektoen Inst 2 UO1 AI034993	Y			29,873		29,873
		93.855 / E4918 / Hektoen Institute 50608-324	Y			-23,264		-23,264
		93.856 / E8210 / Hektoen Inst AI34993	Y			-34,978		-34,978
<i>Fed Agency: US Health & Human Services (HHS)</i>								
		93.000 / E6841 / Hektoen Inst Med Rsh 50604-324	Y			-8,837		-8,837
		93.855 / E4091 / Hektoen Institute 82035 R324 UIC 1	Y			11,902		11,902
		93.855 / E4588 / Hektoen 50609-324-UIC1-AI034993	Y			97,396		97,396
			<u>Pass-through entity total:</u>			<u>201,937</u>		<u>201,937</u>
<u>Henderson County</u>								
Cluster: Other Programs								
<i>Fed Agency: US Department of Homeland Security(USDHS)</i>								
		97.unk / A2518 / DHS FEMA HC 2009-06076	N		55			55
			<u>Pass-through entity total:</u>			<u>55</u>		<u>55</u>

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Henry M Jackson Fdn for the Advancement of Military Medicine

Cluster: Other Programs

Fed Agency: US Department of Defense (DoD)

12.unk / E6461 / Henry Jackson Fdn 00000123596

N

-437

-437

Pass-through entity total:-437-437**High Performance Technologies Inc**

Cluster: 1R&D

Fed Agency: Army

12.000 / A1367 / Army Sub HPTi 2353-177 Task 003

Y

68,936

68,936

12.000 / A2210 / DDR&E HPTi 2010-04591 TO 002

Y

44,110

44,110

12.431 / A5750 / Army HPTi 2005-UIUC-2001-001

Y

-201

-201

Pass-through entity total:112,845112,845**Honeywell**

Cluster: 1R&D

Fed Agency: National Energy Technology Laboratory (NETL)

81.000 / A1276 / DOE Sub HW 2011-00103 ANTC

Y

41,816

41,816

Pass-through entity total:41,81641,816**Housing Authority of Champaign County**

Cluster: 1R&D

Fed Agency: Housing & Urban Development (HUD)

14.000 / B8961 / HACC 2011-05459

Y

252

252

Pass-through entity total:252252**Howard Brown Health Center**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.395 / E6927 / Howard Brown Health Center

Y

-8,702

-8,702

Pass-through entity total:-8,702-8,702**Howard University**

Cluster: 1R&D

Fed Agency: US Dept of Commerce NOAA

11.481 / A4337 / COM Howard 631017-H0409050

Y

189,740

189,740

Pass-through entity total:189,740189,740

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

HRL Laboratories

Cluster: 1R&D

Fed Agency: Defense Advanced Research Projects Agency (DARPA)

12.000 / A2192 / DARPA Sub HRL 9060-000706

Y

407,378

407,378

Pass-through entity total:407,378407,378**Idaho National Engr & Env Lab**

Cluster: 1R&D

Fed Agency: Idaho National Engr & Env Lab

81.000 / A1619 / DOE INL 00104742

Y

14,099

14,099

81.000 / A2408 / DOE INL 00091210

Y

456,620

456,620

Cluster: Other Programs

Fed Agency: Idaho National Engr & Env Lab

81.unk / A2402 / DOE INL 00087327

N

4,564

4,564

Pass-through entity total:475,283475,283**IDAWY Acquisition Service Center**

Cluster: Other Programs

Fed Agency: US Department of Agriculture (USDA)

10.unk / A1880 / CAL AG 2010-NTN1-33

N

5,832

5,832

10.unk / A1881 / CAL AG 2010-NTN1-34

N

5,836

5,836

Pass-through entity total:11,66811,668**IDNR Division of Fisheries**

Cluster: 1R&D

Fed Agency: US Fish & Wildlife

15.605 / D7253 / IDNR FWS F-138-R-14

Y

96,020

96,020

15.605 / D7302 / IDNR FWS F-52-R-25

Y

142,176

142,176

15.605 / D7303 / IDNR FWS F-123-R-17

Y

197,643

197,643

15.634 / D8080 / IDNR FWS T-38-P-1

Y

39,311

39,311

Pass-through entity total:475,150475,150**IDNR Division of Wildlife Resources**

Cluster: 1R&D

Fed Agency: US Fish & Wildlife

15.634 / D8085 / IDNR FWS T-36-P-1

Y

480

480

Pass-through entity total:480480

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

IL Board of Higher Education (IBHE)

Cluster: Other Programs

Fed Agency: US Department of Education

84.367 / D7550 / IBHE NCLB 2009-03617

N

120,497

120,497

84.367 / D7553 / IBHE NCLB 2009-03694

N

103,900

103,900

Pass-through entity total:224,397224,397**IL Community College Board**

Cluster: Other Programs

Fed Agency: US Department of Education

84.048 / D7260 / ICCB CTEL11002

N

308,876

308,876

84.048 / D7467 / ICCB CTEL10002

N

70,332

70,332

Fed Agency: US Department of Labor (DOL)

17.267 / D7264 / ICCB AE WIA 11

N

45,278

45,278

17.267 / D7331 / ICCB POS PTR 10

N

5,570

5,570

17.267 / D7422 / ICCB AE WIA 10

N

7,276

7,276

Cluster: WIA

Fed Agency: US Department of Labor (DOL)

17.258 / D7263a / ICCB SG EBI

N

15,136

15,136

17.258 / D7460a / ICCB SG 2.02

N

40,908

40,908

17.258 / D7536a1 / ICCB SG 2.01

N

8,373

8,373

17.258 / D7536a2 / ICCB SG 2.01

N

-2,673

-2,673

17.259 / D7263b / ICCB SG EBI

N

16,145

16,145

17.259 / D7460b / ICCB SG 2.02

N

43,902

43,902

17.259 / D7536b1 / ICCB SG 2.01

N

9,091

9,091

17.259 / D7536b2 / ICCB SG 2.01

N

-2,902

-2,902

17.260 / D7263c / ICCB SG EBI

N

22,199

22,199

17.260 / D7460c / ICCB SG 2.02

N

58,868

58,868

17.260 / D7536c1 / ICCB SG 2.01

N

11,244

11,244

17.260 / D7536c2 / ICCB SG 2.01

N

-3,589

-3,589

Pass-through entity total:654,034654,034**IL Criminal Justice Information Authority**

Cluster: 1R&D

Fed Agency: US Department of Justice (DOJ)

16.738 / M9214 / 583 NIJ ICJIA MDT Evaluation

Y

5,920

5,920

Cluster: Other Programs

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<i>Fed Agency: US Department of Justice (DOJ)</i>								
		16.609 / H8324 / ICJIA #107080	N			43,572		43,572
		16.738 / M9221 / 583 DOJ ICJIA Innocence Project	N				38,828	38,828
		16.741 / M9217 / 583 NIJ ICJIA Bloodsworth 130001	N				122,517	122,517
		16.744 / E4301 / ICJIA Agreement #107067	N			10,191		10,191
		16.744 / H8571 / IL Criminal Justice #106067	N			5,280		5,280
		Pass-through entity total:				59,043	167,265	226,308
<u>IL Department of Children & Family Services (DCFS)</u>								
Cluster: 1R&D								
<i>Fed Agency: US Health & Human Services (HHS)</i>								
		93.648 / D7154 / IDCFS 2010919091	Y		72,892			72,892
Cluster: Other Programs								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.643 / H8463 / IDCFS 2285979010	N			-286		-286
		93.667 / H8462 / 2122872010 IDCFS	N			-9,989		-9,989
<i>Fed Agency: US Health & Human Services (HHS)</i>								
		93.643 / H8384 / IDCFS 2285979011	N			187,949		187,949
		Pass-through entity total:			72,892	177,674		250,566
<u>IL Department of Commerce & Economic Opportunity (DCEO)</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Energy (DOE)</i>								
		81.119 / E4457 / ILDCEO 09 455001 ARRA	Y	Y		180,882		180,882
Cluster: Other Programs								
<i>Fed Agency: US Department of Energy (DOE)</i>								
		81.041 / H8334 / IDCEO No. 08-437001	N			86,762		86,762
		81.041 / H8363 / ILDCEO 09-468025 ARRA	N			146,000		146,000
		81.041 / E4654 / IDCEO 09-466001 ARRA	N	Y		138,639		138,639
		81.041 / H8395 / DCEO ARRA 09-464002	N	Y		62,590		62,590
		81.041 / H8397 / ARRA IL DCEOGrant No 09 464006	N	Y		18,350		18,350
		81.042 / D7352 / IDCEO 10-46541 ARRA	N	Y	75,125			75,125
		81.042 / D7486 / IDCEO 10-45980 ARRA	N	Y	617,941			617,941
		81.119 / E4458 / IDCEO No. 09-572001	N			205,753		205,753
		81.122 / H8318 / ILDCEO ARRA 09 454001	N	Y		326,153		326,153
<i>Fed Agency: US Health & Human Services (HHS)</i>								
		93.568 / M9235e / 268 GPSI FY11	N				68,551	68,551
<i>Fed Agency: US Small Business Administration</i>								

Pass-Through Entity

Cluster			Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
Fed Agency								
CFDA Number / UI Grant Code / Award Title								
59.037	/	H8291 / IL DCEO 11-181105	N			3,422		3,422
59.037	/	H8364 / IL DCEO 10-561105	N			25,000		25,000
Cluster: WIA								
<i>Fed Agency: US Department of Labor (DOL)</i>								
17.258	/	D7153b / IDCEO 08-737001 ARRA	N		98,860			98,860
17.258	/	D7320a1 / IDCEO 2010-06693	N		2,484			2,484
17.258	/	D7320b1 / IDCEO 2010-06693	N		254,034			254,034
17.258	/	D7507b / IDCEO 2009-07346	N		8,376			8,376
17.258	/	M9235b / 268 GPSI FY11	N				25,509	25,509
17.259	/	D7153c / IDCEO 08-737001 ARRA	N		238,438			238,438
17.259	/	D7320a2 / IDCEO 2010-06693	N		2,750			2,750
17.259	/	D7320b2 / IDCEO 2010-06693	N		281,252			281,252
17.259	/	D7507c / IDCEO 2009-07346	N		9,274			9,274
17.259	/	M9235c / 268 GPSI FY11	N				28,362	28,362
17.260	/	D7153a / IDCEO 08-737001 ARRA	N		262,702			262,702
17.260	/	D7320a3 / IDCEO 2010-06693	N		3,638			3,638
17.260	/	D7320b3 / IDCEO 2010-06693	N		371,979			371,979
17.260	/	D7507a / IDCEO 2009-07346	N		12,265			12,265
17.260	/	M9235d / 268 GPSI FY11	N				37,875	37,875
<u>Pass-through entity total:</u>					<u>2,239,118</u>	<u>1,193,551</u>	<u>160,297</u>	<u>3,592,966</u>
<u>IL Department of Human Services (IDHS)</u>								
Cluster: 1R&D								
<i>Fed Agency: Substance Abuse & Mental Health Services Admin (SAMHSA)</i>								
93.243	/	H8525 / IDHS 40C6001747- FY10	Y			17,435		17,435
<i>Fed Agency: US Department of Education</i>								
84.334	/	D7310a / IDHS 11AM081000	Y		298,593			298,593
84.334	/	D7546c / IDHS 11AK081000 FY10	Y		2,860			2,860
84.390	/	H8369 / IDHS 40C6001747 ARRA 0002	Y	Y		266,840		266,840
84.390	/	H8370 / IDHS ARRA 40C6001747 FY2011	Y	Y		120,251		120,251
84.390	/	H8371 / IDHS 40C6001747 ARRA 0003	Y	Y		184,449		184,449
84.390	/	H8414 / IDHS 40C6001747 ARRA	Y	Y		-16,075		-16,075
84.390	/	H8441 / IDHS 40C6001747 ARRA	Y	Y		-21,720		-21,720
84.390	/	H8454 / IDHS 40C6001747 ARRA	Y	Y		-145		-145
<i>Fed Agency: US Department of Justice (DOJ)</i>								
16.540	/	D7301 / IDHS 11G6517000 Mulhall	Y		74,908			74,908
16.727	/	D7310f / IDHS 11AM081000	Y		4,935			4,935

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<i>Fed Agency: US Health & Human Services (HHS)</i>								
		93.110 / H8336 / IDHS 11G6518000	Y			3,998		3,998
		93.243 / D7310c / IDHS 11AM081000	Y		227,614			227,614
		93.243 / D7310d / IDHS 11AM081000	Y		81,000			81,000
		93.243 / D7546a / IDHS 11AK081000 FY10	Y		187			187
		93.959 / D7159 / IDHS 11AM078000	Y		91,171			91,171
		93.959 / D7268 / IDHS 11G6517000 Haight	Y		39,175		19,636	58,811
		93.959 / D7310b / IDHS 11AM081000	Y		742,982			742,982
		93.959 / D7491 / IDHS FY10 11G6517000 WH	Y		-251		-181	-432
		93.959 / D7546d / IDHS 11AK081000 FY10	Y		-2,043			-2,043
		93.995 / D7310e / IDHS 11AM081000	Y		2,722			2,722
Cluster: CCDF								
<i>Fed Agency: US Health & Human Services (HHS)</i>								
		93.575 / D7271a1 / IDHS 81X6522000 FY11	Y		36,061			36,061
		93.575 / D7271b1 / IDHS 81X6522000 FY11	Y		28,635			28,635
		93.575 / D7271c1 / IDHS 81X6522000 FY11	Y		493,705			493,705
		93.575 / D7271d1 / IDHS 81X6522000 FY11	Y		141,243			141,243
		93.575 / D7271e1 / IDHS 81X6522000 FY11	Y		208,868			208,868
		93.596 / D7271a2 / IDHS 81X6522000 FY11	Y		37,533			37,533
		93.596 / D7271b2 / IDHS 81X6522000 FY11	Y		29,804			29,804
		93.596 / D7271c2 / IDHS 81X6522000 FY11	Y		513,857			513,857
		93.596 / D7271d2 / IDHS 81X6522000 FY11	Y		147,008			147,008
		93.596 / D7271e2 / IDHS 81X6522000 FY11	Y		217,394			217,394
		93.713 / D7270 / IDHS 81X6522000ITP ARRA	Y	Y	84,550			84,550
		93.713 / D7401 / IDHS 81X6522000 FY10 ITP ARRA	Y	Y	2,973			2,973
Cluster: Other Programs								
<i>Fed Agency: Corporation for National & Community Service</i>								
		94.006 / H8359 / IDHS 11GM922000 FY 10-11	N			190,375		190,375
		94.006 / H8458 / IDHS 11G8922000 MORE	N			23,745		23,745
		94.006 / H8540 / IDHS 11G8922000 MORE	N			218		218
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.994 / H8443 / IDHS L11G6788000 FY10	Y			1,531,508		1,531,508
<i>Fed Agency: US Department of Agriculture (USDA)</i>								
		10.557 / IDHS01 / WIC ADMIN (52)	N			148,000		148,000
<i>Fed Agency: US Department of Education</i>								
		84.126 / H8380 / IDHS 40C6001747 FY11	N			85,208		85,208

Pass-Through Entity

Cluster		Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
Fed Agency	CFDA Number / UI Grant Code / Award Title						
<i>Fed Agency: US Health & Human Services (HHS)</i>							
	93.104 / D7333a / IDHS C&A PA 81X6287000 FY10-11	N		110,582			110,582
	93.104 / D7333b / IDHS C&A PA 81X6287000 FY10-11	N		140,663			140,663
	93.110 / H8382a / IDHS 11G6518000	N			50,000		50,000
	93.217 / H8346a / IDHS 11G6518000 -Family Planning	N			106,658		106,658
	93.575 / IDHS02 / CHILD CARE AND DEV BLK GRANT	N		15,931			15,931
	93.667 / H8346b / IDHS 11G6518000 -Family Planning	N			67,602		67,602
	93.667 / IDHS03 / TITLE XX BLOCK GRANT	N			30,000		30,000
	93.959 / H8374 / IDHS 40C6001747	N			489,596		489,596
	93.959 / H8492 / IDHS 40C6001747 FY10	N			11,584		11,584
	93.994 / D7222 / IDHS 11G6517000 Zhu	Y		8,458			8,458
	93.994 / D7512 / IDHS FY10 11G6517000 WZ	Y		10,163			10,163
	93.994 / H8338 / IDHS 11G6788000	Y			4,319,227		4,319,227
	93.994 / H8382b / IDHS 11G6518000	Y			10,000		10,000
	93.994 / H8383 / IDHS 11G6518000	Y			90,000		90,000
	93.994 / IDHS04 / MCH BLOCK	Y			1,344		1,344
Cluster: SNAP							
<i>Fed Agency: US Department of Agriculture (USDA)</i>							
	10.561 / D7323 / IDHS SNAP 81X6287000 FY11	Y		5,150,238			5,150,238
	10.561 / D7555 / IDHS SNAP 81X6287000 FY10	Y		71,736			71,736
	10.561 / H8399 / IDHS 81X6287000 FY11	Y			2,071,635		2,071,635
	10.561 / H8478 / IDHS81X6287000 FY10	Y			-1,146		-1,146
	10.561 / H8609 / IDHS 81X628700 FY09	Y			-7,138		-7,138
Cluster: TANF							
<i>Fed Agency: Administration for Children and Families (ACF)</i>							
	93.558 / M9232 / 831 HHS DHS TANF Prog FY11	Y				297,848	297,848
	93.558 / M9267 / 831 HHS IDHS TANF 2010 81X6957000	Y				35,516	35,516
Cluster: Vocational Rehabilitation							
<i>Fed Agency: US Department of Education</i>							
	84.126 / H8498a / IDHS DRS V Rehab FY10	N			-13,159		-13,159
	84.126 / H8498b / IDHS DRS V Rehab FY11	N			4,750		4,750
	84.390 / H8331 / IDHS 40C6001747 ARRA	N	Y		115,841		115,841
	84.390 / H8415 / IL-DHS DRS 40C6001747 ARRA	N	Y		163		163
	84.390 / H8436 / ARRA IDHS 40C6001747	N	Y		535		535
	Pass-through entity total:			9,013,255	9,881,579	352,819	19,247,653

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>IL Department of Military Affairs</u>								
Cluster: Other Programs								
<i>Fed Agency: Army</i>								
		12.401 / D7194 / IDMA 2010-06985		N	20,111			20,111
				<u>Pass-through entity total:</u>	<u>20,111</u>			<u>20,111</u>
<u>IL Department of Natural Resources (IDNR)</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Agriculture (USDA)</i>								
		10.500 / D7135 / IDNR 11RCCAFS80	Y		1,284			1,284
<i>Fed Agency: US Department of Interior</i>								
		15.250 / D7283 / IDNR OMMLRD11	Y		150,291			150,291
		15.250 / D7395 / IDNR OMMLRDUI10	Y		6,934			6,934
		15.250 / D7543 / IDNR OMMLRD10	Y		217			217
		15.611 / D7199 / IDNR FWS W-162-R-1	Y		43,012			43,012
<i>Fed Agency: US Fish & Wildlife</i>								
		15.605 / D7086 / IDNR FWS F-69-R-25	Y		27			27
		15.605 / D7120 / IDNR FWS F-135-R-14	Y		-807			-807
		15.605 / D7293 / IDNR FWS F-69-R-24	Y		583,914			583,914
		15.605 / D7296 / IDNR FWS F-101-R-22	Y		578,367			578,367
		15.605 / D7317 / IDNR FWS F-135-R-13	Y		392,182			392,182
		15.605 / D7318 / IDNR FWS F-151-R-9	Y		92,046			92,046
		15.605 / D7498 / IDNR FWS F-138-R-13	Y		20,041			20,041
		15.605 / D7516 / IDNR FWS F-101-R-21	Y		54,375			54,375
		15.605 / D7531 / IDNR FWS F-69-R-23	Y		1,513			1,513
		15.605 / D7540 / IDNR FWS F-123-R-16	Y		9,697			9,697
		15.605 / D7541 / IDNR FWS F-52-R-24	Y		-10,318			-10,318
		15.605 / D7564 / IDNR FWS F-151-R-08	Y		495			495
		15.605 / D7565 / IDNR FWS F-135-R-12	Y		744			744
		15.608 / D7233 / IDNR FWS CAFWS74 Suski	Y		315,634			315,634
		15.608 / D7284 / IDNR FWS CAFWS 74	Y		498,131			498,131
		15.611 / D7150 / IDNR FWS W-155-R-2	Y		61,967			61,967
		15.611 / D7197 / IDNR FWS W-112-R-20	Y		191,033			191,033
		15.611 / D7229 / IDNR FWS W-152-R-2	Y		25,099			25,099
		15.611 / D7242 / IDNR FWS W-161-R-1	Y		23,093			23,093
		15.611 / D7289 / IDNR FWS W-157-R-1	Y		74,471			74,471
		15.611 / D7294 / IDNR FWS W-146-R-6	Y		296,271			296,271

IL Department of Natural Resources (IDNR)

FY11 SEFA Pass-through details

Pass-Through Entity

Cluster		Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
Fed Agency	CFDA Number / UI Grant Code / Award Title						
	15.611 / D7311 / IDNR FWS W-43-R-58	Y		202,527			202,527
	15.611 / D7327 / IDNR FWS W-155-R-1	Y		216,885			216,885
	15.611 / D7383 / IDNR FWS W-154-R-2	Y		121,403			121,403
	15.611 / D7462 / IDNR FWS W-112-R-19	Y		97,009			97,009
	15.611 / D7547 / IDNR FWS W-146-R-5	Y		3,519			3,519
	15.611 / D7580 / IDNR FWS W-148-R-3	Y		2,704			2,704
	15.615 / D7193 / IDNR FWS E-52-R-1	Y		11,399			11,399
	15.634 / D7171 / IDNR FWS T-68-R-001	Y		36,424			36,424
	15.634 / D7455 / IDNR FWS T-35-P-1	Y		5,845			5,845
	15.634 / D7461 / IDNR FWS T-63-P-1	Y		140,693			140,693
	15.634 / D7703 / IDNR FWS T-53-D-1	Y		211,446			211,446
	15.634 / D7798 / IDNR FWS T-48-D-1	Y		-41			-41
	15.634 / D8353 / IDNR FWS T13 P01	Y		90,721			90,721
Cluster: Fish and Wildlife							
<i>Fed Agency: US Fish & Wildlife</i>							
	15.611 / D7329 / IDNR FWS W-147-T-4	N		60,984			60,984
Cluster: Other Programs							
<i>Fed Agency: Federal Emergency Management Agency (FEMA)</i>							
	97.045 / D7624 / IDNR OWRFEMA09	N		1,040,092			1,040,092
	97.045 / D7872 / IDNR OWRFEMA08	N		206,853			206,853
<i>Fed Agency: US Fish & Wildlife</i>							
	15.615 / D7221 / IDNR FWS E-46-R-1	N		4,547			4,547
<i>Fed Agency: USDA Forest Service (FS)</i>							
	10.664 / D7156 / IDNR RC11VFAIFS	N		102,713			102,713
	10.664 / D7415 / IDNR RC10670VFA	N		35,430			35,430
<u>Pass-through entity total:</u>				<u>6,000,866</u>			<u>6,000,866</u>
<u>IL Department of Transportation (DOT)</u>							
Cluster: 1R&D							
<i>Fed Agency: Federal Highway Administration (FHWA)</i>							
	20.205 / H8467 / IDOT METSI #09T0077 Amend 1	Y			261,338		261,338
	20.205 / M9261 / 830 IDOT Enhancement of PPW	Y				14,881	14,881
<i>Fed Agency: Federal Transit Administration (FTA)</i>							
	20.505 / E5091 / IDOT	Y			95,747		95,747
	20.516 / H8643 / IDOT 011 49401 1900 1000	Y			12,550		12,550
<i>Fed Agency: US Department of Transportation (DOT)</i>							
	20.205 / D7114 / IDOT R27-112	Y			34,736		34,736

**Pass-Through Entity
Cluster**

Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
20.205 / D7115 / IDOT R27-101	Y			3,775			3,775
20.205 / D7152 / IDOT R27-103	Y			46,910			46,910
20.205 / D7180 / IDOT R27-96	Y				42,065		42,065
20.205 / D7182 / IDOT R27-93	Y				26,733		26,733
20.205 / D7201 / IDOT R27-100	Y			55,965			55,965
20.205 / D7202 / IDOT R27-89	Y			113,583			113,583
20.205 / D7231 / IDOT R27-98	Y			90,189			90,189
20.205 / D7232 / IDOT R27-95	Y			47,510			47,510
20.205 / D7312 / IDOT R27-88A	Y			53,548			53,548
20.205 / D7326 / IDOT R27-81	Y			231,128			231,128
20.205 / D7336 / IDOT R27-84A	Y				24,239		24,239
20.205 / D7337 / IDOT R27-78	Y			152,691			152,691
20.205 / D7376 / IDOT R27-74	Y			38,941			38,941
20.205 / D7385 / IDOT R27-91	Y				23,012		23,012
20.205 / D7386 / IDOT R27-83	Y				94,322		94,322
20.205 / D7404 / IDOT R27-76	Y			95,399			95,399
20.205 / D7405 / IDOT R27-SP15	Y			16,399			16,399
20.205 / D7465 / IDOT R27-SP17	Y			1,109			1,109
20.205 / D7466 / IDOT R27-79A	Y			159,742			159,742
20.205 / D7469 / IDOT R27-82	Y			59,540			59,540
20.205 / D7470 / IDOT R27-80	Y			10,320			10,320
20.205 / D7471 / IDOT R27-SP16	Y			3,335			3,335
20.205 / D7544 / IDOT R27-SP13	Y			3,805			3,805
20.205 / D7570 / IDOT R27-73	Y			24,304		43,004	67,308
20.205 / D7581 / IDOT R27-71	Y				7,479		7,479
20.205 / D7584 / IDOT R27-54	Y			109,899			109,899
20.205 / D7585 / IDOT R27-61	Y			80,577			80,577
20.205 / D7611 / IDOT R27-69	Y			72,675			72,675
20.205 / D7615 / IDOT R27-70	Y			206,367			206,367
20.205 / D7623 / IDOT R27-48A	Y				5,775		5,775
20.205 / D7676 / IDOT R27-52	Y			11,351			11,351
20.205 / D7688 / IDOT R27-50	Y				4,514		4,514
20.205 / D7689 / IDOT R27-60	Y			64,903			64,903
20.205 / D7690 / IDOT R27-55	Y			56,579			56,579
20.205 / D7708 / IDOT R27-59	Y			33,226			33,226
20.205 / D7709 / IDOT R27-58	Y			55,164			55,164

Pass-Through Entity

Cluster			Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
Fed Agency								
CFDA Number / UI Grant Code / Award Title								
20.205 / D7710 / IDOT R27-56	Y			10,525				10,525
20.205 / D7733 / IDOT R27-42	Y			150,996				150,996
20.205 / D7734 / IDOT R27-38	Y			-529				-529
20.205 / D7735 / IDOT R27-37	Y			63,323				63,323
20.205 / D7737 / IDOT R27-33	Y			10,526				10,526
20.205 / D7741 / IDOT R27-1	Y			1,820				1,820
20.205 / D7746 / IDOT R27-46	Y			142,559				142,559
20.205 / D7747 / IDOT R27-45	Y			59,814				59,814
20.205 / D7748 / IDOT R27-44	Y			69,956				69,956
20.205 / D7749 / IDOT R27-28	Y			44,921				44,921
20.205 / D7752 / IDOT R-27	Y			688,545				688,545
20.205 / D7865 / IDOT R27-41	Y			-82				-82
20.205 / H8372 / IDOT SPR-PL-30000	Y				162,209			162,209
20.205 / H8522 / IDOT P 40 544 08 A	Y				13,899			13,899
20.205 / H8611 / IDOT 09T0038	Y				1,534,949			1,534,949
20.516 / H8819 / Idot 5T0242	Y				-65			-65
20.600 / M9219 / 752 IDOT SB/DUI Survey TR1 5480 152	Y					74,582		74,582
20.600 / M9224 / 583 IDOT EVAL FY11 TR1 5480 156	Y					105,097		105,097
20.600 / M9243 / 752 IDOT SB Survey TR0 5480 267	Y					17,120		17,120
20.600 / M9258 / 583 IDOT Eval 10	Y					51,653		51,653
20.609 / M9254 / 583 IDOT Crash Data	Y					-7		-7
20.611 / H8330 / IDOT RPI-1051-239	Y				119,402			119,402
20.611 / H8442 / IDOT RP0-1051-236	Y				7,659			7,659
Cluster: Highway Safety								
<i>Fed Agency: US Department of Transportation (DOT)</i>								
20.600 / M9225 / 583 IDOT ECCR FY11 TR1 5480 153	N					185,318		185,318
20.600 / M9226 / 583 IDOT LEL FY11 OP1 5480 155	N					853,162		853,162
20.600 / M9255 / 583 IDOT LEL 10	N					302,621		302,621
20.601 / M9223 / 583 IDOT Court FY11 AL1 5480 154	N					196,503		196,503
20.601 / M9260 / 583 IDOT Court 10	N					72,628		72,628
20.609 / M9257 / 583 IDOT MCR 10	N					39,677		39,677
Cluster: Other Programs								
<i>Fed Agency: US Department of Transportation (DOT)</i>								
20.106 / IDOT01 / Willard Airport Improvement Project	N			510,296				510,296
20.509 / H8433 / IDOT 10-BOBS-31	N				107,609			107,609
Pass-through entity total:				3,651,604	2,578,172	1,956,239	8,186,015	

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

IL Emergency Management Agency(IEMA)

Cluster: Homeland Security

Fed Agency: US Department of Homeland Security(USDHS)

97.067 / D7168 / IEMA ITTF FFY 2010	N		568,687			568,687
97.067 / D7183 / IEMA 11CPSJ911	N		23,461			23,461
97.067 / D7364a / IEMA 09RICP 2010-02975	N		216,608			216,608
97.067 / D7421 / IEMA 09 IFSI DTT	N		1,053,363			1,053,363
97.067 / D7647 / IEMA IFSI 2009-00904	N		289,634			289,634
97.067 / D7660 / IEMA 08RICP 2009-01112	N		21,005			21,005

Cluster: Other Programs

Fed Agency: US Department of Commerce

11.555 / D7151 / IEMA 07PSICIFSI	N		12,730			12,730
<i>Fed Agency: US Department of Homeland Security(USDHS)</i>						
97.001 / D7364b / IEMA 09RICP 2010-02975	N		123,776			123,776
97.008 / D7364c / IEMA 09RICP 2010-02975	N		278,497			278,497

Fed Agency: US Department of Transportation (DOT)

20.703 / D7196 / IEMA HMEP 2011-01660	N		246,151			246,151
20.703 / D7430 / IEMA HMEP 2010-01946	N		142,105			142,105

<u>Pass-through entity total:</u>			<u>2,976,017</u>			<u>2,976,017</u>
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IL Higher Ed Ctr for Alcohol Other Drug & Violence Prev

Cluster: Other Programs

Fed Agency: US Department of Justice (DOJ)

16.727 / E4599 / Illinois Higher Education Center	N			-2,386		-2,386
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<u>Pass-through entity total:</u>				<u>-2,386</u>		<u>-2,386</u>
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IL Humanities Council

Cluster: Other Programs

Fed Agency: US National Endowment for the Humanities (NEH)

45.129 / E4015 / IL Humanities Council IHC 4738	N			3,176		3,176
45.129 / J9423 / 446 NEH IHC HLHC in US 4753	N				2,138	2,138

<u>Pass-through entity total:</u>				<u>3,176</u>	<u>2,138</u>	<u>5,314</u>
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IL Law Enforcement Alarm System (ILEAS)

Cluster: Homeland Security

Fed Agency: US Department of Homeland Security(USDHS)

97.067 / D7209 / ILEAS 2010-07244	N		30,908			30,908
97.067 / D7450 / ILEAS 2009-05954	N		47,059	3,650		50,709

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
			<u>Pass-through entity total:</u>		<u>77,967</u>	<u>3,650</u>		<u>81,617</u>
<u>IL Office of the State Attorneys Appellate Prosecutor</u>								
Cluster: Other Programs								
<i>Fed Agency: US Department of Justice (DOJ)</i>								
16.590 / D7453 / IOSAAP 2009-03763								
			N		2,559			2,559
			<u>Pass-through entity total:</u>		<u>2,559</u>			<u>2,559</u>
<u>IL State Board of Education (ISBE)</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Education</i>								
84.027 / D7211 / ISBE 11-4630-00-09-010-5450-51			Y		105,282			105,282
84.027 / D7409 / ISBE 10-4630-00-09-010-5450-51			Y		14,438			14,438
84.366 / D7219 / ISBE 11-4936-00-09-010-5450-51			Y		56,153			56,153
84.366 / D7442 / ISBE 10-4936-00-09-010-5450-51			Y		141,323			141,323
Cluster: Child Nutrition								
<i>Fed Agency: US Department of Agriculture (USDA)</i>								
10.556 / D7234 / ISBE Milk 10-4215-00			N		5,424			5,424
10.556 / D7456 / ISBE Milk 09-4215-00			N		2,560			2,560
<i>Fed Agency: USDA Agricultural Research Service (ARS)</i>								
10.559 / H8319 / ISBE 0901054051			N		6,873	9,159		16,032
Cluster: Other Programs								
<i>Fed Agency: US Department of Agriculture (USDA)</i>								
10.558 / IBHE01 / Child & Adult Care Commodities			N			64,216		64,216
<i>Fed Agency: US Department of Education</i>								
84.048 / D7198 / ISBE 11-4720-00-09-010-5450-51			N		76,273			76,273
84.048 / D7259 / ISBE 11-4720-01-09-010-5450-51			N		50,852			50,852
84.048 / D7458 / ISBE 10-4720-00-09-010-5450-51			N		29,267			29,267
84.048 / D7481 / ISBE 10-4720-01-09-010-5450-51			N		24,912			24,912
84.186 / D7379 / ISBE 10-4400-00-65-108-9020-80			N		89			89
84.186 / D7600 / ISBE 09-4400 TITLE IV			N		294			294
84.186 / D7835 / ISBE 08-4400 TITLE IV			N		1,123			1,123
84.287 / D7218 / ISBE 11-4421-10-09-010-5450-51			N		119,319			119,319
84.287 / D7428 / ISBE 10-4421-10-09-010-5450-51			N		43,202			43,202
84.366 / D7210 / ISBE 11-4936-70-09-010-5450-51			N		185,555			185,555
84.366 / D7451 / ISBE 10-4936-70-09-010-5450-51			N		79,559			79,559
84.367 / D7185 / ISBE 11-4932 Title II			N		2,704			2,704
84.367 / D7380 / ISBE 10-4932-00-65-108-9020-80			N		-280			-280

IL State Board of Education (ISBE)

FY11 SEFA Pass-through details

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
		84.367 / D7601 / ISBE 09-4932 TITLE II	N		3,475			3,475
		84.367 / D7836 / ISBE 08-4932 TITLE II	N		5,570			5,570
		84.367 / D8173 / ISBE MY07711	N		384,000			384,000
		84.369 / D7815 / ISBE MY08642	N		109,723			109,723
	<i>Fed Agency: USDA Food and Nutrition Service (FNS)</i>							
		10.558 / M9496 / Child/Adult Food Prg	N				-1,857	-1,857
	Cluster: State Fiscal Stabilization Fund							
	<i>Fed Agency: US Department of Education</i>							
		84.394 / D7064 / ISBE 11-4880-00-65-9020-80 ARRA	N	Y	168,306			168,306
		Pass-through entity total:			<u>1,615,996</u>	<u>73,375</u>	<u>-1,857</u>	<u>1,687,514</u>
<u>IL State Library</u>								
	Cluster: 1R&D							
	<i>Fed Agency: Institute of Museum & Library Services (IMLS)</i>							
		45.310 / M9244 / 583 ISL LSTA ILEAD U Evaluation 10	Y				32,724	32,724
	Cluster: Other Programs							
	<i>Fed Agency: Institute of Museum & Library Services (IMLS)</i>							
		45.310 / D7251 / ISL LSTA 11SL488017	N		86,275			86,275
		45.310 / D7365 / ISL LSTA 10SL468132	N		71,764			71,764
		45.310 / D7410 / ISL LSTA 10SL468130	N		2			2
		45.310 / D7446 / ISL LSTA 10SL468131	N		29,120			29,120
		45.310 / M9247 / 967 ISL LSTA ILEAD U FY10 2246	N				32,748	32,748
		45.310 / M9253 / 967 ISL LSTA SPLMI 10-1010	N				2,362	2,362
		45.313 / M9216 / 967 ISL LSTA ILEAD U FY11 2253	N				86,813	86,813
		45.313 / M9237 / 446 ILEAD U Team Pandora	N				2,905	2,905
		45.313 / M9238 / 840 ISL ILEAD U 21st Century Act	N				4,724	4,724
		45.313 / M9246 / 967 ISL LSTA ILEAD U FY10 2253	N				51,209	51,209
		Pass-through entity total:			<u>187,161</u>		<u>213,485</u>	<u>400,646</u>
<u>IL Student Assistance Commission</u>								
	Cluster: Other Programs							
	<i>Fed Agency: US Department of Education</i>							
		84.378 / H8344 / ISAC	N			443,811		443,811
		Pass-through entity total:				<u>443,811</u>		<u>443,811</u>

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>IL Violence Prevention Authority</u>								
Cluster: Other Programs								
<i>Fed Agency: US Department of Justice (DOJ)</i>								
		16.803 / H8427 / ARRA IVPA Grant 10-CSF001-10	N	Y		220,291		220,291
						<u>220,291</u>		<u>220,291</u>
<u>Illinois Chapter of the American Academy of Pediatrics</u>								
Cluster: Other Programs								
<i>Fed Agency: Health Resources and Services Administration (HRSA)</i>								
		93.110 / G6983 / IL Chapter Of Amer Acad Of Ped	N			-53		-53
						<u>-53</u>		<u>-53</u>
<u>Illinois Critical Access Hospital Network (ICAHN)</u>								
Cluster: 1R&D								
<i>Fed Agency: Health Resources and Services Administration (HRSA)</i>								
		93.912 / E4138 / IL Critical Access Hospital Network		Y		48,766		48,766
						<u>48,766</u>		<u>48,766</u>
<u>Illinois Department of Agriculture</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Agriculture (USDA)</i>								
		10.025 / D7070 / IDOA Uofl-TCD 2011-05789	Y		3,446			3,446
		10.025 / D7179 / IDOA Uofl - CAPS 2011-01863	Y		43,103			43,103
		10.025 / D7335 / IDOA 2010-05231	Y		7,176			7,176
		10.025 / D7391 / IDOA U of I - CAPS 2010-02194	Y		68,695			68,695
		10.170 / D7163 / IDOA SC-11-20	Y		25,923			25,923
		10.170 / D7167 / IDOA SC-11-40	Y		7,589			7,589
		10.170 / D7334 / IDOA SC-10-36	Y		6,427			6,427
Cluster: Other Programs								
<i>Fed Agency: US Department of Agriculture (USDA)</i>								
		10.170 / D7158 / IDOA SC-11-11	N		8,397			8,397
<i>Fed Agency: US Environmental Protection Agency (EPA)</i>								
		66.605 / D7149 / IDOA 2011-04328	N		3,242			3,242
		66.605 / D7392 / IDOA 2010-02151	N		7,745			7,745
		66.605 / D7393 / IDOA 2010-02149	N		9,987			9,987
		66.605 / D7394 / IDOA 2010-02150	N		5,000			5,000
						<u>196,730</u>		<u>196,730</u>

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
Illinois Department of Public Health (IDPH)								
Cluster: 1R&D								
	<i>Fed Agency: Administration on Aging (AOA)</i>							
		93.048 / H8417 / IDPH 03209006	Y			1,812		1,812
	<i>Fed Agency: Centers for Disease Control and Prevention (CDC)</i>							
		93.283 / H8378 / IDPH 03282013	Y			8,656		8,656
		93.283 / H8455 / IDPH 03285002	Y			24,885		24,885
		93.283 / H8537 / IDPH 93285002	Y			-6,805		-6,805
	<i>Fed Agency: Housing & Urban Development (HUD)</i>							
		14.900 / D7635 / IDPH 95380481	Y		56,118			56,118
	<i>Fed Agency: National Institutes of Health (NIH)</i>							
		93.725 / H8353 / IDPH ARRA Award No 03208718	Y	Y		23,099		23,099
	<i>Fed Agency: US Environmental Protection Agency (EPA)</i>							
		66.472 / H8377 / IDPH 15380054	Y			1,030		1,030
		66.472 / H8439 / IDPH 05380387	Y			216		216
	<i>Fed Agency: US Health & Human Services (HHS)</i>							
		93.224 / H8310 / IDPH Centr For Rural Hlth12580297	Y			13,220		13,220
		93.283 / H8634 / IDPH 83401021	Y			-4		-4
		93.283 / H8746 / IL Department of Health	Y			-13,916		-13,916
Cluster: Other Programs								
	<i>Fed Agency: Centers for Disease Control and Prevention (CDC)</i>							
		93.069 / H8305 / IDPH 15181025	N			5,000		5,000
		93.069 / H8323 / IDPH 17080002	N			12,175		12,175
		93.917 / H8425 / IDPH Contract # 05780433	N			263		263
	<i>Fed Agency: Health Resources and Services Administration (HRSA)</i>							
		93.917 / H8531 / IDPH 95780594	N			5		5
		93.994 / H8449 / IDPH 03789004	Y			11,637		11,637
		93.994 / H8578 / IDPH 93789004	Y			-25		-25
		93.994 / H9099 / Idph 53789004	Y			-17,796		-17,796
	<i>Fed Agency: Housing & Urban Development (HUD)</i>							
		14.241 / H8308 / IDPH 15780163	N			22,518		22,518
		14.241 / H8445 / IDPH 05780436	N			127,699		127,699
	<i>Fed Agency: HRSA/BHPR/DADPHP (Health Resources and Services)</i>							
		93.917 / H8311 / IDPH 15780150	N			98,760		98,760
	<i>Fed Agency: National Institutes of Health (NIH)</i>							
		93.917 / H8428 / IDPH 05780436	N			627,598		627,598

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
		93.917 / H8516 / IDPH 95780769	N			-60		-60
		<i>Fed Agency: US Environmental Protection Agency (EPA)</i>						
		66.605 / M9235g / 268 GPSI FY11	N				20,784	20,784
		<i>Fed Agency: US Health & Human Services (HHS)</i>						
		93.069 / H8407 / IDPH Contract No 07080042	N			5,092		5,092
		93.224 / M9271b / 268 GPSI FY10	N				-15,303	-15,303
		93.241 / D7239 / IDPH ICAHN 1946A	N		2,997			2,997
		93.283 / D7307 / IDPH 05300481	N		4,690			4,690
		93.283 / D7920 / IDPH 85300099	N		3,778			3,778
		93.283 / M9271c / 268 GPSI FY10	N				589	589
		93.913 / M9235f / 268 GPSI FY11	N				20,731	20,731
		93.917 / H8405 / IDPH 05780452	N			51,567		51,567
		93.917 / H8421 / IDPH Contract # 05780423	N			-341		-341
		93.917 / M9271d / 268 GPSI FY10	N				-2,997	-2,997
		93.994 / H8347 / Illinois Department Of Public Health	Y			351,607		351,607
		Pass-through entity total:			67,583	1,347,892	23,804	1,439,279

Illinois Department on Aging

Cluster: Aging

Fed Agency: US Health & Human Services (HHS)

		93.044 / H8909 / IDoA u120612605	N			650,166		650,166
		93.044 / H8910 / IDoA U120612610	N			1,203,269		1,203,269
		Pass-through entity total:				1,853,435		1,853,435

Illinois Environmental Protection Agency (IL EPA)

Cluster: 1R&D

Fed Agency: US Environmental Protection Agency (EPA)

		66.419 / D7588 / IEPA 1061008	Y		12,158			12,158
		66.460 / D7208 / IEPA 3191001	Y		46,800			46,800
		66.460 / D7249 / IEPA FWN-10301	Y		90,005			90,005
		66.460 / D7286 / IEPA 3190717	Y		157,108			157,108
		66.460 / D7360 / IEPA 3190620	Y		8,522			8,522
		66.460 / D7505 / IEPA 3190813	Y		18,843			18,843
		66.460 / D7719 / IEPA 3190803	Y		20,969			20,969
		66.605 / D7628 / IEPA FW-9304	Y		37,389			37,389
		66.608 / D7349 / IEPA FW 10321	Y		41,167			41,167

Cluster: Other Programs

Fed Agency: US Environmental Protection Agency (EPA)

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
		66.419 / D7061 / IEPA FW-11307	N		35,631			35,631
		66.454 / H8481 / ARRA IL EPA FW 9309	N		3,164			3,164
		66.454 / D7537 / IEPA FWN-9301 ARRA	N	Y	179,606			179,606
		66.454 / H8481 / ARRA IL EPA FW 9309	N	Y		22,906		22,906
		66.460 / M9235h / 268 GPSI FY11	N				12,662	12,662
		66.471 / M9235i / 268 GPSI FY11	N				20,731	20,731
		66.474 / M9235j / 268 GPSI FY11	N				10,392	10,392
		66.605 / M9235k / 268 GPSI FY11	N				31,444	31,444
		<u>Pass-through entity total:</u>			<u>651,362</u>	<u>22,906</u>	<u>75,229</u>	<u>749,497</u>
<u>Illinois Institute of Technology</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.847 / E4466 / IIT SA393-1123-6520	Y			30,227		30,227
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.041 / E4434 / IIT Agreement SA422-0312-6809	Y			36,723		36,723
		<u>Pass-through entity total:</u>				<u>66,950</u>		<u>66,950</u>
<u>Illinois Manufacturing Extension Center (IMEC)</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institute of Standards & Technology</i>								
		11.611 / E4503 / IMEC	Y			246,060		246,060
		<u>Pass-through entity total:</u>				<u>246,060</u>		<u>246,060</u>
<u>Illinois Public Health Association</u>								
Cluster: Other Programs								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.944 / G5847 / IPHA 1075201	N			23,531		23,531
		<u>Pass-through entity total:</u>				<u>23,531</u>		<u>23,531</u>
<u>Illinois Public Health Institute</u>								
Cluster: 1R&D								
<i>Fed Agency: Centers for Disease Control and Prevention (CDC)</i>								
		93.520 / E3876 / Illinois Public Health Institute	Y			1,568		1,568
		<u>Pass-through entity total:</u>				<u>1,568</u>		<u>1,568</u>
<u>Illinois State Museum Society</u>								
Cluster: 1R&D								
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.074 / A4771 / SBC IL St Mus Society DEB-0613952	Y		10,736			10,736

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
					<u>10,736</u>			<u>10,736</u>
<u>Illinois State University</u>								
Cluster: 1R&D								
	<i>Fed Agency: National Institute on Deafness & Other Hearing Disorders</i>							
	93.173 / A2907 / NIH SUB ISU RSP08D26501		Y		108,477			108,477
Cluster: Other Programs								
	<i>Fed Agency: US Department of Education</i>							
	84.048 / D7388 / ISBE ISU 09D250.04		N		183			183
					<u>108,660</u>			<u>108,660</u>
<u>IllinoisRocstar LLC</u>								
Cluster: 1R&D								
	<i>Fed Agency: Army</i>							
	12.000 / A1279 / Army Sub ILRocstar 2010-03560		Y		33,210			33,210
	12.000 / A2997 / Army Sub ILRocstar 2009-03032		Y		-75			-75
	<i>Fed Agency: Navy</i>							
	12.000 / A3366 / Navy ILRocstar UIUC 2008-05021-00-		Y		61,001			61,001
	<i>Fed Agency: US NASA</i>							
	44.000 / A1637 / NASA Sub IL Rocstar 2010-0187		Y		30,000			30,000
					<u>124,136</u>			<u>124,136</u>
<u>Impact Research and Development Organization</u>								
Cluster: 1R&D								
	<i>Fed Agency: Centers for Disease Control and Prevention (CDC)</i>							
	93.000 / E5559 / Impact Res & Dev Org		Y			-2,352		-2,352
						<u>-2,352</u>		<u>-2,352</u>
<u>Indiana Latino Institute Inc</u>								
Cluster: Other Programs								
	<i>Fed Agency: Centers for Disease Control and Prevention (CDC)</i>							
	93.283 / E5325 / Indiana Latino Institute Inc		N			35,775		35,775
						<u>35,775</u>		<u>35,775</u>
<u>Indiana Regional Community Policing Institute</u>								
Cluster: Other Programs								
	<i>Fed Agency: US Department of Justice (DOJ)</i>							
	16.unk / A2492 / DOJ Sub ICJI D3-8-1273		N		105,314			105,314
					<u>105,314</u>			<u>105,314</u>

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Indiana University</u>								
Cluster: 1R&D								
<i>Fed Agency: Defense Threat Reduction Agency (DTRA)</i>								
		12.000 / A3444 / Navy Sub IU 552054	Y		17,035			17,035
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.080 / A1630 / SBC Indiana Univ #BL-4812459-UIUC	Y		18,965			18,965
					<u>36,000</u>			<u>36,000</u>
<u>Industrial Research Institute Inc.</u>								
Cluster: 1R&D								
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.041 / A1340 / SBC IRI 2010-07322	Y		115,037			115,037
					<u>115,037</u>			<u>115,037</u>
<u>Innovative Health Applications LLC</u>								
Cluster: Other Programs								
<i>Fed Agency: US NASA</i>								
		43.unk / A1538 / CAL NASA 2011-NTN-1-05	N		3,998			3,998
		43.unk / A2397 / CAL NASA 2010-NTN1-05	N		2,020			2,020
					<u>6,018</u>			<u>6,018</u>
<u>Innovative Scientific Sol Inc</u>								
Cluster: 1R&D								
<i>Fed Agency: Air Force</i>								
		12.000 / A2151 / AF Sub ISSI SB01610	Y		32,841			32,841
					<u>32,841</u>			<u>32,841</u>
<u>Institute for Clinical Research Inc.</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institute of Allergy & Infectious Diseases</i>								
		93.000 / E4843 / ICR M19CH00809073 Task Order 3	Y			11,448		11,448
						<u>11,448</u>		<u>11,448</u>
<u>Institute for Rehabilitation and Research</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Education</i>								
		84.133 / E6844 / Inst Rehab Rsch H133B03117 R6	Y			-1,647		-1,647
						<u>-1,647</u>		<u>-1,647</u>

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Institute for Systems Biology

Cluster: 1R&D

Fed Agency: Army

12.420 / A2834 / Army ISB 2009.0007-PO 27431

Y

50,657

50,657

12.420 / A3461 / Army Sub ISB 2008.0005-P022713

Y

60,115

60,115

Pass-through entity total:110,772110,772**Institute for Work and the Economy**

Cluster: 1R&D

Fed Agency: US Department of Labor (DOL)

17.000 / A1852 / DOL Sub IWE 2010-06352

Y

46,133

46,133

Pass-through entity total:46,13346,133**Intel Corp**

Cluster: 1R&D

Fed Agency: Defense Advanced Research Projects Agency (DARPA)

12.000 / A1459 / DARPA Sub Intel HR0011-10-3-0007

Y

50,173

50,173

Pass-through entity total:50,17350,173**Intelligent Automation Inc**

Cluster: 1R&D

Fed Agency: US Department of Defense (DoD)

12.000 / E4669 / IAI Subcontract No 750 1

Y

9,000

9,000

Fed Agency: US Department of Energy (DOE)

81.000 / A2172 / DOE Sub IAI 758-1

Y

191

191

Pass-through entity total:1919,0009,191**International Computer Science Institute**

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.080 / A1861 / SBC ICSI #OCI-1032889

Y

202,817

202,817

Pass-through entity total:202,817202,817**Iowa State University**

Cluster: 1R&D

Fed Agency: Air Force

12.800 / A2585 / AF Sub Iowa 421-20-15 PO76402 23

Y

145,841

145,841

Fed Agency: Federal Highway Administration (FHWA)

20.200 / A2359 / DOT ISU 436-17-02 PO IO 78592 23

Y

47,823

47,823

Fed Agency: US Department of Agriculture (USDA)

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
		93.unk / E5055 / John Snow Inc 020109 to 033109	N			1		1
			<u>Pass-through entity total:</u>		<u>29</u>	<u>47</u>		<u>76</u>
Johns Hopkins University								
Cluster: 1R&D								
<i>Fed Agency: National Institute of General Medical Sciences</i>								
		93.859 / E4552 / John Hopkins Univ 2000779148	Y			374,973		374,973
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.395 / E5062 / JHU PO No 2000403599	Y			13,565		13,565
		93.853 / E4583 / Johns Hopkins U 200764959	Y			6,325		6,325
<i>Fed Agency: US Environmental Protection Agency (EPA)</i>								
		66.509 / E4536 / Johns Hopkins RD83241701	Y			97,883		97,883
<i>Fed Agency: US Health & Human Services (HHS)</i>								
		93.847 / E3974 / John Hopkins U 2001253084	Y			23,842		23,842
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.080 / A2641 / SBC JHU OCI-0830976	Y		657,658			657,658
Cluster: Other Programs								
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.049 / A2836 / SBC JHU 200629733 FLLW	N		2,241			2,241
			<u>Pass-through entity total:</u>		<u>659,899</u>	<u>516,588</u>		<u>1,176,487</u>
Joslin Diabetes Center Inc								
Cluster: 1R&D								
<i>Fed Agency: National Institute of Diabetes & Digestive & Kidney Diseases</i>								
		93.847 / E6026 / Joslin Diabetes Center	Y			-42		-42
			<u>Pass-through entity total:</u>			<u>-42</u>		<u>-42</u>
Kansas State Univ								
Cluster: 1R&D								
<i>Fed Agency: US Department of Agriculture (USDA)</i>								
		10.206 / A2824 / AG Sub KS S09182	Y		108,144			108,144
Cluster: Other Programs								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.389 / A1192 / NIH SUB TTA KSU 2011-05234-00	N		13,695			13,695
		93.unk / A2398 / NIH SUB TTA KSU 2010-01194	N		4,154			4,154
<i>Fed Agency: US Department of Agriculture (USDA)</i>								
		10.500 / A1244 / AG Sub KSU S11164	Y		177			177
		10.500 / A2027 / AG Sub KSU S10179	Y		38,990			38,990

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Fed Agency: USDA Coop State Rsrch Educ & Ext Serv (CSREES)

10.500 / A3947 / AG KSU S08030

Y

48,698

48,698

10.500 / A3948 / AG KSU S08064

Y

6,263

6,263

Pass-through entity total:220,121220,121**Kent State University**

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.075 / E4240 / Subaward No 442274-UIC

Y

20,627

20,627

47.076 / J9441 / 442 NSF KSU Thinking With Data

Y

4,704

4,704

Pass-through entity total:20,6274,70425,331**Kurt J Lesker Co**

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.041 / A3822 / SBC Lesker IIP 07-40261

Y

-9,574

-9,574

47.082 / A2382 / SBC Lesker IIP 09-23843 ARRA

Y

Y

99,573

99,573

Pass-through entity total:89,99989,999**La Jolla Institute for Allergy & Immunology**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.396 / E5083 / La Jolla Institute 26208-03-331

Y

74,583

74,583

Pass-through entity total:74,58374,583**Lake County Forest Preserve**

Cluster: 1R&D

Fed Agency: US Department of Interior

15.608 / A1308 / INT Sub LCFPD 2011-04907

Y

407

407

Fed Agency: US Environmental Protection Agency (EPA)

66.469 / A1522 / EPA Sub LCFPD 2011-00557

Y

6,674

6,674

Pass-through entity total:7,0817,081**Lake Michigan Air Directors Consortium (LADCO)**

Cluster: Other Programs

Fed Agency: US Environmental Protection Agency (EPA)

66.unk / A1590 / CAL EPA 2010-MDN-4-35

N

16,607

16,607

Pass-through entity total:16,60716,607

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Lawrence Livermore National Lab

Cluster: 1R&D

Fed Agency: Lawrence Livermore National Lab

81.000 / A1309 / DOE LLNL B594769	Y		6,704			6,704
81.000 / A1362 / DOE LLNL B593338	Y		15,814			15,814
81.000 / A1424 / DOE LLNL B593618	Y		24,776			24,776
81.000 / A1425 / DOE LLNL B593496	Y		19,738			19,738
81.000 / A2295 / DOE LLNL B588559	Y		115,118			115,118
81.000 / A2417 / DOE LLNL B586089	Y		32,576			32,576
81.000 / A2432 / DOE LLNL B589070	Y		76,535			76,535
81.000 / A2589 / DOE LLNL B583843	Y		29,960			29,960
81.000 / A3042 / DOE LLNL B581955	Y		4,826			4,826
81.000 / A3043 / DOE LLNL B580664	Y		14,671			14,671
81.000 / A3046 / DOE LLNL B580662	Y		61,550			61,550
81.000 / A3224 / DOE LLNL B579132	Y		-7,945			-7,945
81.000 / A3225 / DOE LLNL B579006	Y		151,237			151,237
81.000 / A3256 / DOE LLNL B579007	Y		20,725			20,725
81.000 / A3630 / DOE LLNL B572722	Y		10,505			10,505
81.000 / A3750 / DOE LLNL B573269	Y		-29			-29
81.000 / A8805 / DOE LLNL B523819	Y		104,287			104,287
81.000 / E3972 / Subcontract No B593499	Y			4,016		4,016

Pass-through entity total:**681,048****4,016****685,064****LimnoTech**

Cluster: 1R&D

Fed Agency: US Environmental Protection Agency (EPA)

66.000 / A1726 / EPA Sub LimnoTech 2010-05077	Y		89,236			89,236
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Pass-through entity total:**89,236****89,236****Lockheed Martin Corp**

Cluster: 1R&D

Fed Agency: IARPA (Intelligence Advanced Research Projects Activity)

12.000 / A1365 / IARPA Lockheed PO 4100116964	Y		9,535			9,535
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Pass-through entity total:**9,535****9,535**

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Los Alamos National Lab

Cluster: 1R&D

Fed Agency: Los Alamos National Lab

81.000 / A1360 / DOE LANL 118507-1	Y		27,620			27,620
81.000 / A2082 / DOE LANL 82552-001-10	Y		330,243			330,243
81.000 / A2253 / DOE LANL 77196-001-10	Y		12,934			12,934
81.000 / A2261 / DOE LANL 76604-001-10	Y		218,993			218,993
81.000 / A3663 / DOE LANL 62568-001-08	Y		14,690			14,690
81.000 / A4699 / DOE LANL 53183-001-7	Y		13,299			13,299

Pass-through entity total: **617,779****617,779****Louisiana State University**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.847 / E4306 / Louisiana State U 149740218A	Y			33,268		33,268
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Fed Agency: US National Science Foundation (NSF)

47.080 / A2160 / SBC LSU 43965	Y		32,585			32,585
47.080 / A3712 / SBC LSU 22384	Y		-16			-16

Pass-through entity total: **32,569** **33,268****65,837****Loyola University Chicago**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.121 / E5520 / Loyola University 200243	Y			67,326		67,326
93.272 / E5677 / Loyola University AA013527-07	Y			-8,897		-8,897
93.837 / E8334 / Loyola 107028	Y			-246,738		-246,738

Fed Agency: US Department of Defense (DoD)

12.000 / E6497 / Loyola U	Y			-56,368		-56,368
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Fed Agency: US National Science Foundation (NSF)

47.076 / E4571 / Loyola University DRL 0935816	Y			6,615		6,615
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Pass-through entity total: **-238,062****-238,062****LSST Data Management Corporation**

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.049 / A5303 / SBC LSSTC C44006L	Y		319,694			319,694
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Pass-through entity total: **319,694****319,694**

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Lumiphore</u>								
Cluster: 1R&D								
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.041 / E4310 / Lumiphore		Y		49,976		49,976
						<u>49,976</u>		<u>49,976</u>
<u>Luna Innovations</u>								
Cluster: 1R&D								
<i>Fed Agency: Defense Advanced Research Projects Agency (DARPA)</i>								
		12.000 / A1753 / DARPA Luna 2115-DPA-2S/UIUC		Y	40,275			40,275
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.859 / E4411 / Luna innovations 1-R01 GM083274		Y		-2,475		-2,475
					<u>40,275</u>	<u>-2,475</u>		<u>37,800</u>
<u>MACTEC Engineering & Consulting</u>								
Cluster: Other Programs								
<i>Fed Agency: US Environmental Protection Agency (EPA)</i>								
		66.unk / A1385 / EPA Sub MACTEC EP-W-09-028		N	10,525			10,525
					<u>10,525</u>			<u>10,525</u>
<u>Madison Area Technical College</u>								
Cluster: 1R&D								
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.076 / E3999 / MATC		Y		10,356		10,356
		47.076 / F9092 / Madison Area Techn College 0903293		Y		374		374
						<u>10,730</u>		<u>10,730</u>
<u>Madison Community Unit School District</u>								
Cluster: Other Programs								
<i>Fed Agency: US Department of Education</i>								
		84.287 / D7292 / ISBE Madison 2010-07368		N	19,207			19,207
		84.287 / D7489 / ISBE Madison 2009-04571		N	3,137			3,137
					<u>22,344</u>			<u>22,344</u>
<u>Magee-Women's Research Institute and Foundation</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institute of Allergy & Infectious Diseases</i>								
		93.855 / E6008 / MWRIF 26 3301 4238		Y		422		422
						<u>422</u>		<u>422</u>

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Mandaree Enterprise Corporation</u>								
Cluster: 1R&D								
<i>Fed Agency: Army</i>								
		12.000 / A2774 / Army MEC W9132T-ILL-006	Y		251,999			251,999
Cluster: Other Programs								
<i>Fed Agency: Army Research Office (ARO)</i>								
		12.431 / A4703 / Army Sub MEC W9132T-ILL-003	N		16,411			16,411
					<u>268,410</u>			<u>268,410</u>
<u>March of Dimes</u>								
Cluster: 1R&D								
<i>Fed Agency: US Health & Human Services (HHS)</i>								
		93.110 / E6414 / MOD - Dr. Diane Ashton	Y			27,410		27,410
						<u>27,410</u>		<u>27,410</u>
<u>Marine Biological Laboratory</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.173 / G6032 / Marine Biological Lab 36544	Y			31,014		31,014
						<u>31,014</u>		<u>31,014</u>
<u>Marquette University</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.701 / E4528 / Marquette 1R01NS062982-01A2 ARRA	Y	Y		17,472		17,472
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.049 / A1925 / SBC Marquette CHE-1012075	Y		36,163			36,163
Cluster: Other Programs								
<i>Fed Agency: US Department of Education</i>								
		84.116 / E4146 / Marquette Univ. P116N100008	N			3,160		3,160
					<u>36,163</u>	<u>20,632</u>		<u>56,795</u>
<u>Massachusetts General Hospital</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institute of General Medical Sciences</i>								
		93.859 / E4693 / MA General Hospital No 215348	Y			162,837		162,837
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.286 / A1398 / NIH Sub MGH 217183	Y		39,694			39,694
					<u>39,694</u>	<u>162,837</u>		<u>202,531</u>

Massachusetts General Hospital

FY11 SEFA Pass-through details

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Massachusetts Institute of Technology</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.859 / A3240 / NIH SUB MIT 5710002579	Y		194,588			194,588
<i>Fed Agency: US Department of Energy (DOE)</i>								
		81.000 / A3953 / DOE MIT 5710002352	Y		127,927			127,927
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.041 / A1792 / SBC MIT #5710002905	Y		1,016,132			1,016,132
		47.041 / A4014 / SBC MIT 5710002318	Y		279,650			279,650
		<u>Pass-through entity total:</u>			<u>1,618,297</u>			<u>1,618,297</u>
<u>Mathematica Pollicy Research</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Education</i>								
		84.000 / E4619 / Mathematica 6692S00159	Y			48,669		48,669
		<u>Pass-through entity total:</u>				<u>48,669</u>		<u>48,669</u>
<u>Mayo Clinic</u>								
Cluster: 1R&D								
<i>Fed Agency: National Cancer Institute</i>								
		93.393 / G5953 / Mayo Subaward 1R21CA131792-01	Y			-5,326		-5,326
<i>Fed Agency: US Army Medical Research Acquisition</i>								
		12.420 / E3964 / Mayo Clinic W81XWH-11-2-0058	Y			53,112		53,112
		<u>Pass-through entity total:</u>				<u>47,786</u>		<u>47,786</u>
<u>MC10, Inc</u>								
Cluster: 1R&D								
<i>Fed Agency: Navy</i>								
		12.000 / A2021 / Navy Sub MC10 2009-04675	Y		28,000			28,000
		<u>Pass-through entity total:</u>			<u>28,000</u>			<u>28,000</u>
<u>McLean Hospital</u>								
Cluster: 1R&D								
<i>Fed Agency: US Health & Human Services (HHS)</i>								
		93.279 / E4405 / McLean Hospital 1R01DA026552-01A2	Y			106,298		106,298
		<u>Pass-through entity total:</u>				<u>106,298</u>		<u>106,298</u>

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Medical College of Georgia</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institute of Allergy & Infectious Diseases</i>								
		93.855 / A1445 / NIH SUB MCG 23069-2	Y		2,680			2,680
Cluster: Other Programs								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.701 / A1495 / NIH Sub GHSU 2011-02334 TTA ARRA	N	Y	27,303			27,303
					<u>Pass-through entity total:</u>	<u>29,983</u>		<u>29,983</u>
<u>Medical College of Wisconsin</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.837 / E4006 / Medical College of Wisconsin, Inc.	Y			118,156		118,156
					<u>Pass-through entity total:</u>	<u>118,156</u>		<u>118,156</u>
<u>Medical University of South Carolina</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.389 / A4166 / PHS Sub MUSC LM009153-01A1	Y		30			30
<i>Fed Agency: National Library of Medicine</i>								
		93.701 / A2339 / NIH SUB MUSC MUSC09-125 ARRA	Y	Y	12,136			12,136
					<u>Pass-through entity total:</u>	<u>12,166</u>		<u>12,166</u>
<u>Mercer County</u>								
Cluster: Other Programs								
<i>Fed Agency: US Department of Homeland Security(USDHS)</i>								
		97.unk / A2519 / DHS FEMA CM 2009-06077	N		1,863			1,863
					<u>Pass-through entity total:</u>	<u>1,863</u>		<u>1,863</u>
<u>Michigan State University</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institute of Child Health & Human Development</i>								
		93.701 / A1363 / NIH Sub MSU RC060957UIUC EQ ARRA	Y	Y	8,293			8,293
<i>Fed Agency: National Institute of General Medical Sciences</i>								
		93.701 / A2120 / NIH Sub MSU 61-0903UI ARRA	Y	Y	41,678			41,678
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.865 / A1390 / NIH SUB MSU 61-1001UIUC	Y		352,286			352,286
<i>Fed Agency: United States Agency for International Development (AID)</i>								
		98.001 / A3674 / AID MSU 61-2949	Y		405,460			405,460

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Fed Agency: US Department of Agriculture (USDA)

10.200 / A2630 / AG Sub MSU RC064268UI

Y

15,867

15,867

10.304 / A3804 / AG MSU 61-4135G

Y

30,456

30,456

Fed Agency: USDA Coop State Rsrch Educ & Ext Serv (CSREES)

10.200 / A3039 / AG Sub MSU 61-4117A

Y

8,794

8,794

Cluster: Other Programs

Fed Agency: US Department of Agriculture (USDA)

10.200 / A2507 / AG Sub MSU 61-4080R

N

50

50

10.203 / A1503 / CAL AG 2011-NTN1-16

N

6,650

6,650

10.203 / A1786 / CAL AG 2010-NTN1-16

N

9,672

9,672

Pass-through entity total:879,206879,206**Michigan Technological Univ**

Cluster: 1R&D

Fed Agency: US Department of Education

84.116 / A2475 / DE Sub MTU 090366Z1 P0081902

Y

6,511

6,511

Fed Agency: US Department of Energy (DOE)

81.000 / A3631 / DOE MTU 050516Z26 P0075816

Y

61,292

61,292

81.049 / A2934 / DOE Sub MTU 050516Z27

Y

130,600

130,600

81.049 / A4189 / DOE MTU 050516Z21

Y

-934

-934

Pass-through entity total:197,469197,469**Microbiotix Inc**

Cluster: 1R&D

Fed Agency: National Institute of Allergy & Infectious Diseases

93.855 / E4603 / Microbiotix Inc FLU2033

Y

40,321

40,321

Fed Agency: National Institutes of Health (NIH)

93.855 / E4135 / Microbiotix Inc.UIC001 R01 AI089590

Y

23,512

23,512

Pass-through entity total:63,83363,833**Microlution Inc**

Cluster: 1R&D

Fed Agency: Navy

12.300 / A4295 / Navy Sub Microlution 2007-05449

Y

87,582

87,582

Fed Agency: US National Science Foundation (NSF)

47.041 / A1380 / SBC Microlution IIP-1046496 SBIR

Y

50,231

50,231

Pass-through entity total:137,813137,813

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Mimosa Acoustics Inc

Cluster: 1R&D

Fed Agency: Navy

12.000 / A1509 / Navy Mimosa Acoustics 2010-05456

Y

29,902

29,902

Pass-through entity total:29,90229,902**Mississippi Department of Environmental Quality**

Cluster: Other Programs

Fed Agency: US Environmental Protection Agency (EPA)

66.439 / A2305 / CAL EPA 2009-MDN-4-11

N

3,580

3,580

66.unk / A1833 / CAL EPA 2010-NTN-1-72

N

4,377

4,377

66.unk / A1834 / CAL EPA 2010-MDN-4-11

N

9,654

9,654

66.unk / A2181 / CAL EPA 2009-NTN-1-72

N

1,567

1,567

Pass-through entity total:19,17819,178**Missouri Department of Natural Resources**

Cluster: 1R&D

Fed Agency: US Environmental Protection Agency (EPA)

66.000 / A4952 / CAL EPA 2008-MDN2-43

Y

1,064

1,064

Cluster: Other Programs

Fed Agency: US Environmental Protection Agency (EPA)

66.460 / A2022 / CAL EPA 2009-MDN2-43

N

20,014

20,014

Pass-through entity total:21,07821,078**Modine Manufacturing Co**

Cluster: 1R&D

Fed Agency: Army

12.000 / A1461 / Army Sub MMC PO4500047677

Y

147,654

147,654

12.000 / A3430 / Army Sub MMC 2008-03791

Y

-3,558

-3,558

Pass-through entity total:144,096144,096**Moraine Valley Community College**

Cluster: Other Programs

Fed Agency: US National Science Foundation (NSF)

47.076 / J9422 / 696 NSF MVCC CSSIA NRC DUE#1002746

Y

18,588

18,588

47.076 / J9452 / 696 NSF MVCC CSSIA 0702872

Y

38,413

38,413

Pass-through entity total:57,00157,001

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Morehouse School of Medicine</u>								
Cluster: 1R&D								
<i>Fed Agency: US Health & Human Services (HHS)</i>								
		93.399 / E6980 / MSM - NBLIC - III		Y		561		561
						<u>561</u>		<u>561</u>
<u>Moss Rehabilitation Research Institute</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.173 / A4890 / PHS Sub Moss Rehab 2R01 DC000191-25		Y	83,295			83,295
					<u>83,295</u>			<u>83,295</u>
<u>Mount Sinai School of Medicine</u>								
Cluster: 1R&D								
<i>Fed Agency: National Cancer Institute</i>								
		93.395 / E4777 / Mount Sinai SM 0254 7383 4609		Y		124,183		124,183
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.242 / E5225 / MSSM 0253 6141 4609		Y		21,039		21,039
		93.395 / E5300 / Mount Sinai School Of Medicine		Y		81		81
						<u>145,303</u>		<u>145,303</u>
<u>MulticoreWare, Inc.</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Energy (DOE)</i>								
		81.049 / A1378 / DOE Sub MWI 2010-02849		Y	40,855			40,855
					<u>40,855</u>			<u>40,855</u>
<u>Murray State University</u>								
Cluster: Other Programs								
<i>Fed Agency: Tennessee Valley Authority (TVA)</i>								
		62.001 / B9113 / CAL Murray State/TVA NTN2-37		N	2,846			2,846
					<u>2,846</u>			<u>2,846</u>
<u>Nanoprobes Inc</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.286 / A2285 / NIH SUB NP 1R 43EB008621-01A2		Y	2,299			2,299
					<u>2,299</u>			<u>2,299</u>

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>National 4-H Council</u>								
Cluster: Other Programs								
<i>Fed Agency: US Department of Justice (DOJ)</i>								
		16.726 / A1389 / DOJ UNITY 4-H 2011-02967		N	54,233			54,233
					<u>54,233</u>			<u>54,233</u>
<u>National Academy of Sciences</u>								
Cluster: 1R&D								
<i>Fed Agency: United States Agency for International Development (AID)</i>								
		98.000 / A3451 / AID NAS PGA-P280418		Y	44,228			44,228
		98.000 / A4503 / AID NAS PGA-P281472		Y	32,856			32,856
		98.000 / A4549 / AID NAS PGA-P281473		Y	62,348			62,348
<i>Fed Agency: US Department of Transportation (DOT)</i>								
		20.000 / A2863 / DOT NAS NCHRP-144		Y	37,317			37,317
					<u>176,749</u>			<u>176,749</u>
<u>National Center for Earth-Surface Dynamics</u>								
Cluster: 1R&D								
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.050 / A5637 / SBC MINN T5366216011		Y	65,287			65,287
					<u>65,287</u>			<u>65,287</u>
<u>National Childhood Cancer Foundation</u>								
Cluster: 1R&D								
<i>Fed Agency: National Cancer Institute</i>								
		93.395 / E5103 / NCCF 98543 1194		Y		18,796		18,796
		93.395 / E5168 / National Chdhd Cancer Fdn 985431135		Y		14,976		14,976
		93.395 / E5169 / Natl Childhood Cancer Fdtn 19757		Y		5,072		5,072
						<u>38,844</u>		<u>38,844</u>
<u>National Energy Management Institute (NEMI)</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Energy (DOE)</i>								
		81.087 / E7057 / Nemi CA-2005 Task 6 7 8 10 14		Y		-13,029		-13,029
						<u>-13,029</u>		<u>-13,029</u>
<u>National Energy Technology Laboratory (NETL)</u>								
Cluster: 1R&D								
<i>Fed Agency: National Energy Technology Laboratory (NETL)</i>								
		81.089 / A5507 / DOE DE-FC26-05NT42588		Y	13,097,772			13,097,772

National Energy Technology Laboratory (NETL)

FY11 SEFA Pass-through details

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

81.117 / A5434 / DOE DE-FC26-05NT42634

Y

90,162

90,162

81.117 / A5435 / DOE DE-FG26-05NT42622

Y

88,789

88,789

81.132 / A2349 / DOE NETL DE-FE0002068 ARRA

Y

Y

2,750,070

2,750,070

81.133 / A2366 / DOE NETL DE-FE0002421 ARRA

Y

Y

52,890

52,890

81.134 / A1662 / DOE NETL DE-FE0002068-2 ARRA

Y

Y

2,827,862

2,827,862

Cluster: Other Programs

Fed Agency: National Energy Technology Laboratory (NETL)

81.042 / A1709 / DOE NETL DE-EE0003858 ARRA

N

Y

38,563

38,563

81.087 / E4685 / DOE NETL DE-EE0001108

N

472,132

472,132

81.133 / A2348 / DOE NETL DE-FE0002462 ARRA

N

Y

93,245

93,245

Pass-through entity total:19,039,353472,13219,511,485**National Forum to Accelerate Mid-Grades Reform**

Cluster: 1R&D

Fed Agency: US Department of Education

84.396 / B8988 / Natl Forum U396C101182

Y

69,462

69,462

Pass-through entity total:69,46269,462**National Renewable Energy Lab**

Cluster: 1R&D

Fed Agency: National Renewable Energy Lab

81.000 / A1275 / DOE NREL ADC 1-40023-04

Y

11,296

11,296

Pass-through entity total:11,29611,296**National University of Health Sciences**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.213 / E4935 / National U of Health Sciences

Y

13,560

13,560

Pass-through entity total:13,56013,560**National Writing Project Corporation**

Cluster: Other Programs

Fed Agency: US Department of Education

84.unk / A3717 / DE Sub NWPC 08-IL07

N

15,407

15,407

Pass-through entity total:15,40715,407**Network of Illinois Learning Resources in Community Colleges**

Cluster: Other Programs

Fed Agency: Institute of Museum & Library Services (IMLS)

45.307 / A3908 / IMLS NILRC RE-01-07-0036-07

N

1,887

1,887

Network of Illinois Learning Resources in Community Colleges

FY11 SEFA Pass-through details

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
					<u>1,887</u>			<u>1,887</u>
Neupharma Inc								
Cluster: 1R&D								
<i>Fed Agency: US Department of Defense (DoD)</i>								
		12.000 / E6894 / Neupharma Inc		Y		7,521		7,521
						<u>7,521</u>		<u>7,521</u>
New England Research Institute (NERI)								
Cluster: 1R&D								
<i>Fed Agency: National Heart Lung & Blood Institute</i>								
		93.000 / E6185 / New England Research Inst		Y		14,832		14,832
		93.839 / E4589 / New England Research Inst		Y		2,696		2,696
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.839 / E4421 / New England Rsch Inst 2009-03148		Y		46,614		46,614
						<u>64,142</u>		<u>64,142</u>
New Jersey Institute of Technology								
Cluster: 1R&D								
<i>Fed Agency: Air Force Office of Scientific Research (AFOSR)</i>								
		12.800 / A3795 / AF Sub NJIT NP 992834		Y	109,271			109,271
					<u>109,271</u>			<u>109,271</u>
New Jersey Medical School								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.837 / E5620 / U of Med and Dent of NJ		Y		19,624		19,624
		93.837 / E6317 / UMDNJ Med School P0421408		Y		90,149		90,149
						<u>109,773</u>		<u>109,773</u>
New Mexico State Univ								
Cluster: Other Programs								
<i>Fed Agency: United States Agency for International Development (AID)</i>								
		98.unk / A3830 / AID NMSU Q01224		N	116,758			116,758
					<u>116,758</u>			<u>116,758</u>
New York State Department of Environmental Conservation								
Cluster: Other Programs								
<i>Fed Agency: US Environmental Protection Agency (EPA)</i>								
		66.034 / A2238 / CAL EPA 2010-MDN2-72		N	14,903			14,903
					<u>14,903</u>			<u>14,903</u>

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>New York State Museum Institute</u>								
Cluster: 1R&D								
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.074 / A4838 / SBC NYSMI 05-29679		Y	32,824			32,824
					<u>32,824</u>			<u>32,824</u>
<u>New York Univ</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.121 / E4312 / NYU F6570-06		Y		31,064		31,064
<i>Fed Agency: Office of Naval Research (ONR)</i>								
		12.300 / E4422 / New York University F6607-01		Y		34,179		34,179
						<u>65,243</u>		<u>65,243</u>
<u>NextGen Aeronautics Inc</u>								
Cluster: 1R&D								
<i>Fed Agency: Air Force</i>								
		12.000 / A3183 / AF Sub NextGen 08-15-02 UIUC100		Y	124,370			124,370
		12.000 / C7667 / Next Gen Aeronautics 05-0056		Y	5,364			5,364
<i>Fed Agency: Navy</i>								
		12.000 / A2001 / Navy Sub NextGen 10-6		Y	13,000			13,000
		12.300 / E4144 / NA Prime Contr N00014-10-M-0315		Y		8,418		8,418
					<u>142,734</u>	<u>8,418</u>		<u>151,152</u>
<u>NLight Corporation</u>								
Cluster: 1R&D								
<i>Fed Agency: Army</i>								
		12.000 / A2193 / Army Sub nLight 2010-02547		Y	1,054			1,054
		12.000 / A3672 / Army Sub nLIGHT 2008-06304		Y	35,686			35,686
<i>Fed Agency: US NASA</i>								
		43.000 / A3057 / NASA Sub nLight Corp PO 70552		Y	-3,476			-3,476
					<u>33,264</u>			<u>33,264</u>
<u>North Carolina Rural Economic Development Center, Inc.</u>								
Cluster: Other Programs								
<i>Fed Agency: US Department of Commerce</i>								
		11.558 / A2059 / COM Sub NCRED 2010-04607 Antic		N	-5,784			-5,784
					<u>-5,784</u>			<u>-5,784</u>

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>North Carolina State University</u>								
Cluster: 1R&D								
<i>Fed Agency: Army</i>								
		12.431 / A5767 / Army NCSU 2005-0725-02	Y		127,594			127,594
<i>Fed Agency: US Department of Agriculture (USDA)</i>								
		10.500 / A3637 / AG NCSU 2008-1004-12	Y		10,597			10,597
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.074 / A1657 / SBC NCSU 2010-1348-01	Y		119,697			119,697
		47.074 / A3861 / SBC NCSU 2007-1465-01	Y		9,976			9,976
					<u>267,864</u>			<u>267,864</u>
<u>Northeastern Ohio Universities College of Medicine</u>								
Cluster: 1R&D								
<i>Fed Agency: National Eye Institute</i>								
		93.701 / E4575 / NEOUCM 34342-A ARRA	Y	Y		5,317		5,317
<i>Fed Agency: US Health & Human Services (HHS)</i>								
		93.867 / E5194 / Northeastern Ohio Univ 34306-A	Y			31,637		31,637
						<u>36,954</u>		<u>36,954</u>
<u>Northeastern Univ IL</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Education</i>								
		84.031 / E4062 / Northeastern Univ IL PO015842	Y			10,000		10,000
						<u>10,000</u>		<u>10,000</u>
<u>Northern California Institute for Research & Education</u>								
Cluster: 1R&D								
<i>Fed Agency: National Center for Research Resources</i>								
		93.701 / A2387 / NIH Sub NCIRE 1441-02 ARRA	Y	Y	53,751			53,751
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.389 / A3214 / NIH SUB NCIRE 001323	Y		63,954			63,954
		93.849 / E6530 / NCIRE 000984 - Jette	Y			19,485		19,485
					<u>117,705</u>	<u>19,485</u>		<u>137,190</u>
<u>Northern Cheyenne Tribe</u>								
Cluster: Other Programs								
<i>Fed Agency: US Environmental Protection Agency (EPA)</i>								
		66.unk / A1502 / CAL EPA 2010-MDN-4-32	N		6,387			6,387
					<u>6,387</u>			<u>6,387</u>

Northern Cheyenne Tribe

FY11 SEFA Pass-through details

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Northern Illinois University</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Education</i>								
		84.184 / E4559 / NIU Q184T080040	Y			389		389
		84.287 / G5552 / Northern Illinois University	Y			62,164		62,164
		84.336 / E4093 / Northern Illinois University	Y			5,018		5,018
		84.366 / E3928 / Northern Illinois University	Y			1,874		1,874
		84.366 / E3956 / Northern Illinois University	Y			3,729		3,729
		84.366 / E3957 / Northern Illinois University	Y			1,547		1,547
		84.366 / E4194 / Northern Illinois University	Y			6,409		6,409
		84.366 / E4562 / Northern Illinois University	Y			4,149		4,149
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.041 / E4560 / Northern Illinois University	Y			4,770		4,770
		47.050 / E4561 / Northern Illinois University	Y			7,614		7,614
		47.076 / E4557 / Northern Illinois University#117495	Y			317		317
Cluster: Other Programs								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.718 / E4074 / Northern Illinois Univ 1100117085		N		54,719		54,719
						<u>Pass-through entity total:</u>		<u>152,699</u>
<u>Northrop Grumman Corp</u>								
Cluster: 1R&D								
<i>Fed Agency: Federal Emergency Management Agency (FEMA)</i>								
		97.000 / A2297 / DHS Sub NG 7500069504	Y		46,850			46,850
						<u>Pass-through entity total:</u>		<u>46,850</u>
<u>NorthWest Research Associates Inc</u>								
Cluster: 1R&D								
<i>Fed Agency: Air Force</i>								
		12.000 / A2515 / AF Sub NWRA-09-S-123	Y		28,660			28,660
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.050 / A2310 / SBC NWRA-09-S-126	Y		37,569			37,569
						<u>Pass-through entity total:</u>		<u>66,229</u>
<u>Northwestern University</u>								
Cluster: 1R&D								
<i>Fed Agency: Agency for Healthcare Research and Quality (AHRQ)</i>								
		93.226 / E4653 / NWU AHRQ 60021950	Y			9,871		9,871

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
	<i>Fed Agency: Army</i>	12.000 / A3119 / Army Sub NU SP0003153/PROJ0001027	Y		127,667			127,667
	<i>Fed Agency: National Cancer Institute</i>	93.394 / E4568 / NU SP0005944/PROJ0001912	Y			68,741		68,741
		93.397 / E4057 / Northwestern Univ 60027386UIC	Y			10,834		10,834
		93.399 / E6470 / NWU 0600 370 C827 1225	Y			90,920		90,920
	<i>Fed Agency: National Institute of Allergy & Infectious Diseases</i>	93.855 / E4350 / NU 60026364 UIC	Y			34,612		34,612
	<i>Fed Agency: National Institute of Child Health & Human Development</i>	93.000 / E3981 / NU 60028011-NCS-DuP-UIC-WA2	Y			7,225		7,225
		93.865 / A2528 / NIH SUB NU 60024810	Y		422,374			422,374
		93.865 / E3973 / Northwestern Univ Proj 0003685	Y			7,803		7,803
	<i>Fed Agency: National Institute of Diabetes & Digestive & Kidney Diseases</i>	93.849 / E5165 / Northwestern 60022283 UIC A02	Y			25,560		25,560
	<i>Fed Agency: National Institute of Mental Health</i>	93.242 / E4495 / SP0007896 Northwestern University	Y			15,637		15,637
	<i>Fed Agency: National Institute on Deafness & Other Hearing Disorders</i>	93.173 / E4137 / NWU 610461000060012876 PROJ0003088	Y			6,280		6,280
	<i>Fed Agency: National Institutes of Health (NIH)</i>	93.000 / E5757 / Northwestern U 0610 370 HD60-UIC	Y			15,345		15,345
		93.279 / E4469 / Northwestern Univ 60026131-A02	Y			122,621		122,621
		93.399 / E4017 / Northwestern Univ 60014349	Y			42,643		42,643
		93.399 / E5772 / NWU 1R01 CA12055 01A1	Y			20,359		20,359
		93.701 / E3988 / NW Univ 610 5421910 60014340 UIC	Y			15,696		15,696
		93.701 / E4316 / NWU ARRA SP0009272/60026063	Y	Y		17,613		17,613
		93.701 / E4642 / Northwestern Univ60024180 ARRA	Y	Y		21,461		21,461
		93.855 / A1344 / NIH Sub NU SP0010451/PROJ2898	Y		5,073			5,073
		93.855 / E5150 / Northwestern U 60022450 A01	Y			22,589		22,589
	<i>Fed Agency: Navy</i>	12.300 / A3118 / Navy Sub NU SP0004324/PROJ0001493	Y		233,804			233,804
	<i>Fed Agency: US Army Medical Research Office</i>	12.420 / E4070 / Northwestern U 60027631	Y			22,696		22,696
	<i>Fed Agency: US Department of Energy (DOE)</i>	81.049 / A2430 / DOE Sub NU PROJ0001537	Y		182,182			182,182
	<i>Fed Agency: US Health & Human Services (HHS)</i>	93.000 / E4108 / NU 60027918-NCS-UIC-Placenta	Y			98,183		98,183

Pass-Through Entity

Cluster			Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
Fed Agency								
CFDA Number	UI Grant Code	Award Title						
93.000	/ E4250	/ NU 60027922-NCS-UIC-WA1 R&R	Y			46,879		46,879
93.000	/ E5066	/ Northwestern Univ 0610 370 HD80	Y			14,877		14,877
93.000	/ E5095	/ NU 60022755 DUP UIC Amendment 2	Y			23,201		23,201
93.000	/ E5900	/ NWU 0600-370-D325-1428	Y			244,949		244,949
93.399	/ E5633	/ NWU 0600 370 C888 UIC	Y			17,842		17,842
93.847	/ E4214	/ Northwestern U 60027063-NCS-UIC-ARS	Y			615,132		615,132
93.855	/ E4285	/ NW Univ 60026344 UIC	Y			203		203
<i>Fed Agency: US National Science Foundation (NSF)</i>								
47.000	/ E4016	/ Northwestern University	Y			13,306		13,306
47.041	/ A2400	/ SBC NW EFRI-0938007	Y		50,185			50,185
47.041	/ A3218	/ SBC NW 0830-350-C830	Y		1,151			1,151
47.041	/ A4891	/ SBC NW PROJ0000395	Y		61,978			61,978
47.070	/ A3272	/ SBC NU PROJ0001351	Y		33,246			33,246
47.076	/ A4686	/ SBC NW PROJ0000077	Y		1,951			1,951
47.076	/ A5961	/ SBC NW #0830-350-NC18-1356	Y		77,887			77,887
47.079	/ E5766	/ NWU PROJ0000256	Y			42,272		42,272
47.082	/ E3979	/ NW Univ ARRA SP05926 PROJ1808	Y	Y		6,310		6,310
<u>Pass-through entity total:</u>					<u>1,197,498</u>	<u>1,701,660</u>		<u>2,899,158</u>
<u>NPL Associates Inc</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Energy (DOE)</i>								
81.064	/ A8515	/ DOE NPL 02-160	Y		-4,512			-4,512
<u>Pass-through entity total:</u>					<u>-4,512</u>			<u>-4,512</u>
<u>Oak Ridge National Lab</u>								
Cluster: 1R&D								
<i>Fed Agency: Oak Ridge National Lab</i>								
81.000	/ A1981	/ DOE ORNL 4000095089	Y		77,650			77,650
81.000	/ A1984	/ DOE ORNL 4000095143	Y		76,089			76,089
81.000	/ A2137	/ DOE ORNL 4000089027	Y		63,971			63,971
81.000	/ A2280	/ DOE ORNL 4000088734	Y		66,036			66,036
81.000	/ A4453	/ DOE ORNL BATT 4000058971	Y		40,993			40,993
81.000	/ A5726	/ DOE ORNL BATT 4000041499	Y		410			410
81.000	/ A8530	/ CAL DOE 2007-NTN1-29	Y		6,018			6,018
<u>Pass-through entity total:</u>					<u>331,167</u>			<u>331,167</u>

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
Ohio State Univ								
Cluster: 1R&D								
<i>Fed Agency: Army</i>								
		12.431 / A3541 / Army Sub OSU RF01135233	Y		214,952			214,952
<i>Fed Agency: Centers for Disease Control and Prevention (CDC)</i>								
		93.262 / E4499 / Ohio State University RF 01190097	Y			32,169		32,169
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.213 / A1575 / NIH Sub OSU 60022914	Y		78,490			78,490
<i>Fed Agency: US NASA</i>								
		43.000 / A4530 / NASA OSU RF01078900	Y		71,296			71,296
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.041 / A2383 / SBC OSU EEC-0914790	Y		115,598			115,598
Cluster: Other Programs								
<i>Fed Agency: US Department of Agriculture (USDA)</i>								
		10.206 / A1491 / AG Sub OSU PO RF01192476 TTA	N		15,575			15,575
<i>Fed Agency: US Department of Energy (DOE)</i>								
		81.117 / A1493 / DOE Sub OSU RF01192476 TTA ARRA	N	Y	9,404			9,404
					<u>Pass-through entity total:</u>	<u>505,315</u>	<u>32,169</u>	<u>537,484</u>
Ohio State Univ Research Foundation								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.395 / E5733 / PO RF01231986 Proj Num 60027718	Y			358,471		358,471
		93.865 / A4874 / PHS Sub OSU 60011063-PO#RF01084820	Y		27,637			27,637
<i>Fed Agency: US Department of Agriculture (USDA)</i>								
		10.500 / A2256 / AG Sub OSU 60023284 PO RF01186898	Y		2,958			2,958
		10.500 / A2257 / AG Sub OSU 60019432 PO RF01186910	Y		17,495			17,495
<i>Fed Agency: US Health & Human Services (HHS)</i>								
		93.395 / E5661 / OSU RF01232013 Proj No 60027720	Y			181,325		181,325
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.041 / A1784 / SBC OSU 60002999	Y		25,000			25,000
Cluster: Other Programs								
<i>Fed Agency: US Dept of Commerce NOAA</i>								
		11.417 / A5061 / COM OSURF RF01073927	N		27,558			27,558
					<u>Pass-through entity total:</u>	<u>100,648</u>	<u>539,796</u>	<u>640,444</u>

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Oregon Department of Environmental Quality</u>								
Cluster: Other Programs								
<i>Fed Agency: US Environmental Protection Agency (EPA)</i>								
66.454 / A2270 / CAL EPA 2008-MDN2-70 ARRA								
			N	Y	15,100			15,100
			<u>Pass-through entity total:</u>		<u>15,100</u>			<u>15,100</u>
<u>Oregon Health Sciences Univ</u>								
Cluster: 1R&D								
<i>Fed Agency: Centers for Disease Control and Prevention (CDC)</i>								
93.283 / E4409 / OHSU GCDRC0193								
			Y			10,884		10,884
<i>Fed Agency: National Institutes of Health (NIH)</i>								
93.859 / E7650 / GBIMO0073A- Sponsored Proj								
			Y			-440		-440
<i>Fed Agency: US Department of Education</i>								
84.133 / E6889 / OHSU GCDRCO139AC - Heller								
			Y			1,269		1,269
			<u>Pass-through entity total:</u>			<u>11,713</u>		<u>11,713</u>
<u>Oregon State University</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Education</i>								
84.305 / E5506 / Oregon State Univ ED124A A								
			Y			404,325		404,325
84.305 / E6709 / Oregon State University ED103B-A								
			Y			108		108
Cluster: Other Programs								
<i>Fed Agency: US Department of Agriculture (USDA)</i>								
10.307 / A2113 / AG Sub OSUC0402A-A								
			N		22,637			22,637
10.unk / A2106 / AG OSU TTA 2010-04498								
			N		4,135			4,135
<i>Fed Agency: US Department of Commerce</i>								
11.417 / A3298 / COM OSU NA197A-B								
			N		26,709			26,709
<i>Fed Agency: US Dept of Commerce NOAA</i>								
11.417 / A3217 / COM OSU NA197A-A								
			N		5,512			5,512
<i>Fed Agency: USDA Coop State Rsrch Educ & Ext Serv (CSREES)</i>								
10.307 / A4049 / AG OSU NO. C0357A-C								
			N		1,793			1,793
			<u>Pass-through entity total:</u>		<u>60,786</u>	<u>404,433</u>		<u>465,219</u>
<u>Ortho-McNeil Pharmaceutical</u>								
Cluster: 1R&D								
<i>Fed Agency: US Health & Human Services (HHS)</i>								
93.000 / G6158 / Ortho McNeil Janssen Scientific Aff								
			Y			1,090		1,090
			<u>Pass-through entity total:</u>			<u>1,090</u>		<u>1,090</u>

Ortho-McNeil Pharmaceutical

FY11 SEFA Pass-through details

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>OUS Portland State University</u>								
Cluster: 1R&D								
<i>Fed Agency: US Health & Human Services (HHS)</i>								
		93.000 / E4554 / Portland State Univ 209BOW161	Y			1,449		1,449
						<u>Pass-through entity total:</u>		<u>1,449</u>
<u>Pacific Institute for Research and Evaluation</u>								
Cluster: 1R&D								
<i>Fed Agency: National Center on Minority Health & Health Disparities</i>								
		93.307 / E4014 / Pacific Inst PIRE project#0509	Y			44,795		44,795
						<u>Pass-through entity total:</u>		<u>44,795</u>
<u>Pacific Northwest National Lab</u>								
Cluster: 1R&D								
<i>Fed Agency: Pacific Northwest National Lab</i>								
		81.000 / A1471 / DOE PNL 135076	Y		21,487			21,487
		81.000 / A2171 / DOE PNL 116087	Y		19,950			19,950
		81.000 / A2406 / DOE PNL 96852	Y		-8,911			-8,911
		81.000 / A2431 / DOE PNL 97142	Y		318			318
		81.000 / A2699 / DOE PNL 95635	Y		5,660			5,660
		81.unk / A1570 / DOE PNL 138240 ARRA	Y	Y	19,208			19,208
Cluster: Other Programs								
<i>Fed Agency: Pacific Northwest National Lab</i>								
		81.unk / A2038 / DOE PNL 118981 TTA	N		19,415			19,415
		81.unk / A2140 / DOE PNL 108664 TTA	N		12,530			12,530
						<u>Pass-through entity total:</u>		<u>89,657</u>
<u>Pennatek LLC</u>								
Cluster: 1R&D								
<i>Fed Agency: USDA Coop State Rsrch Educ & Ext Serv (CSREES)</i>								
		10.212 / A2477 / AG Sub Pennatek 2008-33610-19507	Y		8,496			8,496
						<u>Pass-through entity total:</u>		<u>8,496</u>
<u>Pennsylvania State Univ</u>								
Cluster: 1R&D								
<i>Fed Agency: USDA Coop State Rsrch Educ & Ext Serv (CSREES)</i>								
		10.309 / A3216 / AG PSU 3815-UIUC-USDA-9561	Y		54,060			54,060
Cluster: Other Programs								
<i>Fed Agency: Defense Threat Reduction Agency (DTRA)</i>								

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
		12.unk / A3871 / DTRA PSU 3516-UI-DTRA-0010	N		408			408
		<i>Fed Agency: US Environmental Protection Agency (EPA)</i>						
		66.469 / A1680 / EPA Sub PASU 4272-UIBT-EPA-0550	N		13,165			13,165
			<u>Pass-through entity total:</u>		<u>67,633</u>			<u>67,633</u>
<u>Pennsylvania State University</u>								
Cluster: 1R&D								
		<i>Fed Agency: Army</i>						
		12.431 / A6327 / Army PU 2777-UI-DOA-0178	Y		7,171			7,171
Cluster: Other Programs								
		<i>Fed Agency: USDA Coop State Rsrch Educ & Ext Serv (CSREES)</i>						
		10.206 / B9089 / Penn State TTA 2009-07002	N		1,353			1,353
			<u>Pass-through entity total:</u>		<u>8,524</u>			<u>8,524</u>
<u>Penobscot Indian Reservation</u>								
Cluster: Other Programs								
		<i>Fed Agency: US Environmental Protection Agency (EPA)</i>						
		66.034 / A2183 / CAL EPA 2009-MDN-2-97	N		8,053			8,053
			<u>Pass-through entity total:</u>		<u>8,053</u>			<u>8,053</u>
<u>Petroleum Technology Transfer Council (PTTC)</u>								
Cluster: Other Programs								
		<i>Fed Agency: US Department of Energy (DOE)</i>						
		81.unk / A2363 / DOE PTTC 09-002	N		16,103			16,103
			<u>Pass-through entity total:</u>		<u>16,103</u>			<u>16,103</u>
<u>PharmaIN Corporation</u>								
Cluster: 1R&D								
		<i>Fed Agency: National Institute of Allergy & Infectious Diseases</i>						
		93.855 / E4152 / PharmaIN Corp 1R43AI082723	Y			112,852		112,852
			<u>Pass-through entity total:</u>			<u>112,852</u>		<u>112,852</u>
<u>Photonics Systems Inc</u>								
Cluster: Other Programs								
		<i>Fed Agency: US National Science Foundation (NSF)</i>						
		47.unk / A1521 / SBC Photonic PO-10-357 TTA	N		921			921
			<u>Pass-through entity total:</u>		<u>921</u>			<u>921</u>

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Physical Acoustics Corporation</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institute of Standards & Technology</i>								
		11.612 / E4376 / PRIME AWARD NO 70NANB9H9007	Y			19,776		19,776
						<u>19,776</u>		<u>19,776</u>
<u>Physical Sciences Inc</u>								
Cluster: 1R&D								
<i>Fed Agency: Missile Defense Agency (MDA)</i>								
		12.000 / A3784 / MDA Sub PSI 1567-44234	Y		-10,234			-10,234
					<u>-10,234</u>			<u>-10,234</u>
<u>Pike County</u>								
Cluster: Other Programs								
<i>Fed Agency: Federal Emergency Management Agency (FEMA)</i>								
		97.unk / A2381 / DHS FEMA PC 2009-06084	N		33,107			33,107
					<u>33,107</u>			<u>33,107</u>
<u>Pillars</u>								
Cluster: 1R&D								
<i>Fed Agency: Substance Abuse & Mental Health Services Admin (SAMHSA)</i>								
		93.104 / E5906 / PILLARS	Y			-4,902		-4,902
						<u>-4,902</u>		<u>-4,902</u>
<u>Pioneer Civic Services Corporation</u>								
Cluster: Other Programs								
<i>Fed Agency: Housing & Urban Development (HUD)</i>								
		14.235 / E4237 / Pioneer Civic Service	N			22,307		22,307
		14.235 / E4929 / Pioneer Civic Services	N			4,239		4,239
		14.241 / E4488 / Pioeer Civic Services	N			62,933		62,933
						<u>89,479</u>		<u>89,479</u>
<u>Porifera, Inc</u>								
Cluster: 1R&D								
<i>Fed Agency: Army</i>								
		12.910 / A2444 / Army Sub Porifera 2009-00265	Y		362,726			362,726
					<u>362,726</u>			<u>362,726</u>

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Positive Outcomes Inc

Cluster: 1R&D

Fed Agency: Health Resources and Services Administration (HRSA)

93.000 / E4594 / Pos Outcomes HSH250200646033I

Y

25,142

25,142

93.000 / G6685 / Positive Outcomes HSH240G5808

Y

-486

-486

Pass-through entity total:24,65624,656**PPG Industries, Inc**

Cluster: 1R&D

Fed Agency: US Army Corps of Engineers

12.000 / A2158 / Army Sub PPG PO 429523

Y

193,171

193,171

Pass-through entity total:193,171193,171**Prairie Center Health System**

Cluster: Other Programs

Fed Agency: US Department of Justice (DOJ)

16.unk / A2235 / DOJ Sub PCHS 2010-03981

N

16,856

16,856

Pass-through entity total:16,85616,856**Princeton Univ**

Cluster: 1R&D

Fed Agency: US Department of Defense (DoD)

12.800 / E5791 / Princeton University No. 00001441

Y

236,754

236,754

Pass-through entity total:236,754236,754**Public Health Institute of Metropolitan Chicago**

Cluster: Other Programs

Fed Agency: Centers for Disease Control and Prevention (CDC)

93.724 / E4408 / Public Health Inst Metro Chi ARRA

N

Y

469,059

469,059

Fed Agency: US Health & Human Services (HHS)

93.940 / E3934 / Pub Health Inst of Metropolitan Chi

N

43,330

43,330

Pass-through entity total:512,389512,389**Pueblo of Jemez Tribe**

Cluster: Other Programs

Fed Agency: US Environmental Protection Agency (EPA)

66.unk / A2029 / CAL EPA 2010-MDN-4-10

N

11,072

11,072

Pass-through entity total:11,07211,072

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
Purdue University								
Cluster: 1R&D								
<i>Fed Agency: Air Force</i>								
		12.800 / A3608 / AF Sub Purdue 4104-23094	Y		35,739			35,739
<i>Fed Agency: Institute of Museum & Library Services (IMLS)</i>								
		45.307 / A3906 / IMLS PU 4112-21062	Y		27,889			27,889
<i>Fed Agency: National Cancer Institute</i>								
		93.701 / A2429 / NIH Sub Purdue 4102-32424 ARRA	Y	Y	284,612			284,612
		93.701 / E4713 / Purdue U 4102-31682 ARRA	Y	Y		22,735		22,735
<i>Fed Agency: National Institute of Biomedical Imaging & Bioengineering</i>								
		93.286 / A2149 / NIH SUB Purdue 4102-35228	Y		22,804			22,804
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.213 / A3022 / NIH SUB Purdue 4102-28495	Y		2,312			2,312
		93.213 / A4448 / NIH SUB Purdue 4102-28495	Y		1			1
		93.393 / E6901 / Purdue Univ 511-1665-01	Y			156,930		156,930
<i>Fed Agency: US Department of Agriculture (USDA)</i>								
		10.001 / A3735 / AG PU 8000023441-01	Y		164,400			164,400
		10.200 / A2634 / AG Sub PU 8000029133AG	Y		6,349			6,349
		10.312 / A2346 / AG PU 8000032789-AG	Y		76,666			76,666
<i>Fed Agency: US Department of Energy (DOE)</i>								
		81.086 / E6107 / Purdue University 541-0578-01	Y			78,968		78,968
		81.113 / A3602 / DOE PU 4105-23184	Y		173,865			173,865
<i>Fed Agency: US Department of Transportation (DOT)</i>								
		20.000 / A1372 / DOT PU 062IY03 Amend15	Y		21,088			21,088
		20.000 / A1373 / DOT PU 0721Y03 Amend#17	Y		50			50
		20.000 / A1374 / DOT PU 0731Y03 Amend14	Y		16,443			16,443
		20.000 / A1376 / DOT PU 0701Y03 Amend16	Y		30,676			30,676
		20.000 / A3785 / DOT PU 4108-21574	Y		140,098			140,098
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.041 / A1479 / SBC Purdue NEES -4101-38425	Y		86,475			86,475
		47.041 / A2370 / SBC Purdue 4101-33908	Y		48,218			48,218
		47.041 / A4213 / SBC Purdue 4101-19916	Y		478,479			478,479
		47.049 / E7182 / Purdue University 501-1325-01	Y			21,657		21,657
		47.070 / A2209 / SBC PURDUE 4101-38050	Y		122,535			122,535
Cluster: Other Programs								
<i>Fed Agency: US Department of Agriculture (USDA)</i>								

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

10.500 / A1208 / AG Sub PU 8000041655

Y

1,718

1,718

10.500 / A3242 / AG PU 8000026125-AG

Y

43,230

43,230

Fed Agency: US Department of Commerce

11.417 / A3407 / COM PU 4112-25369

N

2,888

2,888

Fed Agency: US Dept of Commerce NOAA

11.417 / A1944 / COM Sub PU 4112-37064

N

31,909

31,909

11.417 / A5339 / COM Purdue 643-1953-01

N

218,092

218,092

Fed Agency: US National Science Foundation (NSF)

47.041 / A2485 / SBC Purdue NEES - 4101-31874

N

1,075,769

1,075,769

Pass-through entity total:3,112,305280,2903,392,595**Quantlogic Corporation**

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.041 / A1813 / SBC QuantLogic IIP-0945850-Sub-UIUC

Y

33,686

33,686

Pass-through entity total:33,68633,686**Rand Corporation**

Cluster: 1R&D

Fed Agency: National Cancer Institute

93.393 / E5424 / Rand Corp 9920080112

Y

36,860

36,860

Fed Agency: National Institutes of Health (NIH)

93.279 / E6630 / RAND PO 125439

Y

-1

-1

Pass-through entity total:36,85936,859**Rector and Visitors of the University of Virginia**

Cluster: 1R&D

Fed Agency: Defense Advanced Research Projects Agency (DARPA)

12.910 / A1381 / DARPA VA GG11379-136862

Y

7,574

7,574

Fed Agency: National Institute on Drug Abuse

93.279 / E4474 / U of VA GC11952 135670 01

Y

125,858

125,858

Fed Agency: National Institutes of Health (NIH)

93.000 / A4792 / PHS Sub UV GC11451.126463

Y

-913

-913

93.859 / A3552 / PHS SUB UVA GC11704-130643

Y

53,048

53,048

93.859 / A4248 / PHS SUB VA GC11572-128507

Y

-13,157

-13,157

Fed Agency: Office of Naval Research (ONR)

12.300 / A4383 / Navy Sub U VA GG10919-127973

Y

264,714

264,714

Fed Agency: US NASA

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
		44.unk / A1436 / NASA Sub U VA ZG10001-136420 ARRA	Y	Y	161,851			161,851
			<u>Pass-through entity total:</u>		<u>473,117</u>	<u>125,858</u>		<u>598,975</u>
<u>Regents of the University of California (Los Angeles)</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.394 / E5222 / Univ of Calif LA 1350 G KC916	Y			139,241		139,241
		93.838 / E5154 / UCLA 1460 G KB112	Y			42,208		42,208
<i>Fed Agency: US Health & Human Services (HHS)</i>								
		93.393 / E4190 / Regents of UCLA 1900 G NA504	Y			9,097		9,097
			<u>Pass-through entity total:</u>			<u>190,546</u>		<u>190,546</u>
<u>Regents of the University of California (San Diego)</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.233 / E4024 / UCSD PO#10313246-SUB	Y			211,691		211,691
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.050 / A5759 / SBC UCSD P.O.#10246234	Y		42,171			42,171
		47.080 / E4258 / UCSD PO 10313545 SUB	Y			202,997		202,997
		47.082 / A2279 / SBC UCSD #10305700 ARRA	Y	Y	4,522			4,522
			<u>Pass-through entity total:</u>		<u>46,693</u>	<u>414,688</u>		<u>461,381</u>
<u>Regents of the University of California (San Francisco)</u>								
Cluster: 1R&D								
<i>Fed Agency: US Health & Human Services (HHS)</i>								
		93.849 / E6176 / U of Cali-San Francisco 4511sc	Y			38,607		38,607
			<u>Pass-through entity total:</u>			<u>38,607</u>		<u>38,607</u>
<u>Regents of the University of California (Santa Cruz)</u>								
Cluster: 1R&D								
<i>Fed Agency: Army</i>								
		12.431 / A5700 / Army UC Santa Cruz S0176939	Y		37,532			37,532
<i>Fed Agency: National Institute of Environmental & Health Sciences</i>								
		93.113 / E4080 / Snp 1R01ES018990 Subaward S0183058	Y			90,080		90,080
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.075 / A3469 / SBC UCSC S0182246	Y		34,010			34,010
			<u>Pass-through entity total:</u>		<u>71,542</u>	<u>90,080</u>		<u>161,622</u>

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Regents of the University of California Berkeley</u>								
Cluster: 1R&D								
<i>Fed Agency: Air Force</i>								
		12.800 / A2403 / AF Sub UCB 00006769 PO 1585126	Y		498,864			498,864
<i>Fed Agency: Air Force Office of Scientific Research (AFOSR)</i>								
		12.800 / A4238 / AF Sub UCB SA5612-11559	Y		-159			-159
<i>Fed Agency: Army</i>								
		12.431 / A3525 / Army Sub UCB 00006096 PO1453389	Y		132,766			132,766
		12.431 / A4460 / Army sub UCB SA5711-11687	Y		108,513			108,513
<i>Fed Agency: Army Research Office (ARO)</i>								
		12.431 / A2963 / Army Sub UCB 00006692 PO1571835	Y		666,394			666,394
<i>Fed Agency: US Department of Defense (DoD)</i>								
		12.114 / C8559 / UCA MARCO 2003-DT-660 PO 838817	Y		35			35
<i>Fed Agency: US Department of Energy (DOE)</i>								
		81.121 / A1429 / DOE Sub UCB 00007508	Y		23,667			23,667
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.041 / A1805 / SBC UC BERKELEY 00007256	Y		63,309			63,309
		47.074 / A4945 / SBC UCB SA5359-11291	Y		485			485
		47.074 / A8175 / SBC UCSB SA3375PG NS	Y		1			1
Cluster: Other Programs								
<i>Fed Agency: US Department of Education</i>								
		84.unk / E6129 / National Writing Project-07-IL04	N			58,356		58,356
					<u>Pass-through entity total:</u>	<u>1,493,875</u>	<u>58,356</u>	<u>1,552,231</u>
<u>Regional Office of Education #28</u>								
Cluster: Other Programs								
<i>Fed Agency: US Department of Education</i>								
		84.287 / D7181 / ISBE Leepertown 2011-01981	N		47			47
		84.287 / D7313 / ISBE Leepertown 2010-06282	N		822			822
		84.287 / D7314 / ISBE De Pue 2010-06284	N		626			626
					<u>Pass-through entity total:</u>	<u>1,495</u>		<u>1,495</u>
<u>Regional Office of Education St Clair County</u>								
Cluster: Title I, Part A								
<i>Fed Agency: US Department of Education</i>								
		84.010 / D7554 / ISBE St. Clair Co. ROE 2009-02521	N		22,516			22,516
					<u>Pass-through entity total:</u>	<u>22,516</u>		<u>22,516</u>

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Rehabilitation Institute Research Corporation</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institute of Child Health & Human Development</i>								
		93.701 / E3912 / Rehab Inst Rsch Corp ARRA	Y	Y		16,804		16,804
		93.865 / E4959 / RIRC R24HD050821 - DR. Zev Rymer	Y			5,415		5,415
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.853 / E4345 / RIRC CC #80922	Y			170,948		170,948
		93.853 / E4347 / RIRC CC 80922 - R01NS053606	Y			-18,184		-18,184
		93.853 / E5534 / Rehab Inst - Dr Kenyon CC 03.80922	Y			27,231		27,231
		93.865 / E4128 / RIRC R24 HD050821	Y			24,155		24,155
<i>Fed Agency: US Department of Education</i>								
		84.133 / E3916 / RIRC H133A080045	Y			10,354		10,354
		84.133 / E4103 / Rehab Inst Research Corp	Y			76,989		76,989
		84.133 / E4342 / RIRC No. H133E070013 CC80931	Y			121,549		121,549
		84.133 / E4343 / Rehabilitation Institut CC 03 82026	Y			38,409		38,409
		84.133 / E4344 / Rehabilitation Institute CC #80947	Y			5,930		5,930
		84.133 / E4346 / RIRC CC 80931 - H133E070013	Y			-10,607		-10,607
		84.133 / E4348 / RIRC CC 80933 - H133E070013	Y			4,231		4,231
		84.133 / E4550 / RIRC Hammel 1009 to 0911	Y			25,585		25,585
		84.133 / E5195 / RIRC 03-81019	Y			88,679		88,679
		84.133 / E5552 / Rehabilitation Inst CC 03 80932	Y			37,051		37,051
		84.133 / E6044 / Rehabilitation Inst Research Corp	Y			52,679		52,679
						<u>677,218</u>		<u>677,218</u>
<u>Rensselaer Polytechnic Institute</u>								
Cluster: 1R&D								
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.041 / A4698 / SBC RENSSELAER A11739	Y		196			196
		47.049 / A4888 / SBC RENSSELAER A11799	Y		629,484			629,484
					<u>629,680</u>			<u>629,680</u>
<u>Research Foundation for Mental Hygiene</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.866 / E8149 / R01 AG016381	Y			17,786		17,786
						<u>17,786</u>		<u>17,786</u>

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Research Foundation of SUNY</u>								
Cluster: 1R&D								
<i>Fed Agency: Administration for Children and Families (ACF)</i>								
		93.595 / E4608 / RF SUNY 1083232 15 52163 09 37	Y			52,783		52,783
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.701 / E4617 / SUNY 09-47 ARRA	Y	Y		19,993		19,993
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.050 / A2350 / SBC SUNY #43862	Y		46,062			46,062
Cluster: Other Programs								
<i>Fed Agency: US Health & Human Services (HHS)</i>								
		93.648 / E4049 / Rsch Fdn SUNY 1091186 15 55722	N			60,761		60,761
			<u>Pass-through entity total:</u>		<u>46,062</u>	<u>133,537</u>		<u>179,599</u>
<u>Respiratory Health Assn of Metropolitan Chic</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.724 / E4229 / Resp Health Assoc of Metro Chi ARRA	Y	Y		296,049		296,049
Cluster: Other Programs								
<i>Fed Agency: US Environmental Protection Agency (EPA)</i>								
		66.034 / E4133 / Respiratory Health Assoc Metro Chi	N			8,317		8,317
			<u>Pass-through entity total:</u>			<u>304,366</u>		<u>304,366</u>
<u>Rhode Island Hospital</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.242 / E8113 / Rhode Island 701-1963	Y			152,231		152,231
			<u>Pass-through entity total:</u>			<u>152,231</u>		<u>152,231</u>
<u>Rice Univ</u>								
Cluster: 1R&D								
<i>Fed Agency: Army Research Office (ARO)</i>								
		12.431 / A2789 / Army Sub Rice R16542	Y		417,178			417,178
<i>Fed Agency: Defense Advanced Research Projects Agency (DARPA)</i>								
		12.431 / A4373 / DARPA Rice U R15834	Y		304,552			304,552
<i>Fed Agency: Office of Naval Research (ONR)</i>								
		12.300 / A1287 / Navy Sub Rice R16981	Y		6,324			6,324
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.070 / A4069 / SBC RICE R3A59 D & F	Y		11,128			11,128

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
					<u>739,182</u>			<u>739,182</u>
Rockwell Collins Inc								
Cluster: 1R&D								
<i>Fed Agency: Defense Advanced Research Projects Agency (DARPA)</i>								
		12.000 / A1554 / DARPA Sub RC 4503857350	Y		85,902			85,902
					<u>85,902</u>			<u>85,902</u>
Rolling Hills Research Corporation								
Cluster: 1R&D								
<i>Fed Agency: US NASA</i>								
		12.000 / A1247 / NASA Rolling Hills 2011-01124	Y		6,823			6,823
		44.000 / A1778 / NASA Sub RHRC 10-DFRC-STTR-04	Y		51,246			51,246
					<u>58,069</u>			<u>58,069</u>
Rosalind Franklin University of Medicine and Science								
Cluster: 1R&D								
<i>Fed Agency: National Institute of Mental Health</i>								
		93.242 / E4980 / Rosalind Franklin University	Y			11,627		11,627
						<u>11,627</u>		<u>11,627</u>
Roswell Park Cancer Institute								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.393 / E4493 / Roswell Park Cancer Inst 55-7803-02	Y			8,097		8,097
						<u>8,097</u>		<u>8,097</u>
Rules-Based Medicine, Inc.								
Cluster: 1R&D								
<i>Fed Agency: National Institute of General Medical Sciences</i>								
		93.701 / E3976 / Rules Based Medicine	Y			32,628		32,628
		93.701 / E3977 / Rule Based ARRA 3R44GM08203803S1	Y	Y		10,415		10,415
						<u>43,043</u>		<u>43,043</u>
Rush University Medical Center								
Cluster: 1R&D								
<i>Fed Agency: National Institute of Allergy & Infectious Diseases</i>								
		93.855 / E4520 / Rush Univ 3P01AI082971-02S1	Y			488,210		488,210
		93.855 / E4911 / Rush U Med Center 1P30 AI082151-01	Y			215,170		215,170
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.000 / E4886 / Rush Presbyterian	Y			32,435		32,435

Rush University Medical Center

FY11 SEFA Pass-through details

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

93.226 / E6017 / Rush Univ Medical Center	Y			-1,484		-1,484
93.361 / E4616 / Subaward No 5R01NR004134-10	Y			10,010		10,010
<i>Fed Agency: US Army Medical Research Acquisition</i>						
12.420 / A1282 / Army Sub Rush W81XWH-10-1-0523	Y		3,771			3,771
<i>Fed Agency: US Health & Human Services (HHS)</i>						
93.000 / E4236 / Rush Medical Center	Y			293,946		293,946
Pass-through entity total:			<u>3,771</u>	<u>1,038,287</u>		<u>1,042,058</u>

Rutgers Univ

Cluster: 1R&D

Fed Agency: Air Force Office of Scientific Research (AFOSR)

12.800 / A2150 / AF Sub Rutgers 4095 S1416293	Y		108,086			108,086
<i>Fed Agency: National Institutes of Health (NIH)</i>						
93.242 / E4546 / Rutgers U PO S1299015 Org 10589	Y			51,992		51,992
93.272 / E5175 / Rutgers PO-S1122306	Y			1,350		1,350
<i>Fed Agency: US Department of Homeland Security(USDHS)</i>						
97.061 / A1435 / DHS Sub Rutgers 4242 432965-10199	Y		30,000			30,000
97.061 / A2369 / DHS Rutgers 3800 432316 10199	Y		496,224			496,224
Pass-through entity total:			<u>634,310</u>	<u>53,342</u>		<u>687,652</u>

SA Photonics

Cluster: 1R&D

Fed Agency: Missile Defense Agency (MDA)

12.000 / A1585 / MDA Sub SAP 7077-UI1008	Y		15,000			15,000
12.000 / A1586 / MDA Sub SAP 7076-UI1008	Y		15,000			15,000
Pass-through entity total:			<u>30,000</u>			<u>30,000</u>

Sandia National Lab

Cluster: 1R&D

Fed Agency: Sandia National Lab

81.000 / A1186 / DOE SNL 1138652	Y		14,694			14,694
81.000 / A1235 / DOE SNL 1125934	Y		1,392			1,392
81.000 / A1464 / DOE SNL 1092463	Y		61,796			61,796
81.000 / A1473 / DOE SNL 1089603	Y		16,823			16,823
81.000 / A1477 / DOE SNL 1086882	Y		25,221			25,221
81.000 / A1719 / DOE SNL 1053178	Y		42,307			42,307
81.000 / A1892 / DOE SNL 1023770	Y		187			187
81.000 / A2084 / DOE SNL 1017249	Y		111,037			111,037

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

81.000 / A2116 / DOE SNL 1018532	Y		13,844			13,844
81.000 / A2299 / DOE SNL 995433	Y		16,325			16,325
81.000 / A2303 / DOE SNL 987702	Y		36,219			36,219
81.000 / A2358 / DOE SNL 972752	Y		21,239			21,239
81.000 / A2652 / DOE SNL 947300	Y		8,189			8,189
81.000 / A3129 / DOE SNL 893804	Y		56,852			56,852
81.000 / A4606 / DOE SNL PECASE 728331	Y		7,101			7,101

Cluster: Other Programs

Fed Agency: Sandia National Lab

81.unk / A1830 / DOE SNL 1050027 FLLW	N		38,443			38,443
81.unk / A3379 / DOE SNL 857942 FLLW	N		3,500			3,500
81.unk / A4227 / DOE SNL 752262 FLLW	N		12,222			12,222

Pass-through entity total: 487,391 487,391

Santa Fe Institute

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.074 / A5334 / SBC SF-0526747 NSF	Y		203,620			203,620
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Pass-through entity total: 203,620 203,620

Science Applications International Corporation (SAIC)

Cluster: 1R&D

Fed Agency: Air Force

12.000 / A3005 / AF Sub SAIC 4400165409	Y		143,279			143,279
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Fed Agency: Defense Advanced Research Projects Agency (DARPA)

12.000 / A1712 / DARPA Sub SAIC P010053176	Y		146,250			146,250
12.000 / A1713 / DARPA Sub SAIC P010053287	Y		65,001			65,001

Cluster: Other Programs

Fed Agency: US Department of Homeland Security(USDHS)

97.unk / A1184 / DHS Sub SAIC GS 2011-05728 ANT	N		333			333
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Pass-through entity total: 354,863 354,863

Science Museum of Minnesota

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.076 / E4993 / SMM - NSF #06520 ISE	Y			63,721		63,721
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Pass-through entity total: 63,721 63,721

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Seattle's Children's Hospital</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.701 / E4423 / Seattle Childrens I 10157SUB ARRA	Y	Y		47,199		47,199
			<u>Pass-through entity total:</u>			<u>47,199</u>		<u>47,199</u>
<u>Semprius Incorporated</u>								
Cluster: 1R&D								
<i>Fed Agency: Air Force</i>								
		12.800 / A3686 / AF Sub Semprius 2008-03941	Y		5,589			5,589
			<u>Pass-through entity total:</u>		<u>5,589</u>			<u>5,589</u>
<u>Shock Transients, Inc.</u>								
Cluster: Other Programs								
<i>Fed Agency: Navy</i>								
		12.unk / A1280 / Navy Sub STI 2011-05089 TTA	N		5,531			5,531
			<u>Pass-through entity total:</u>		<u>5,531</u>			<u>5,531</u>
<u>Simbex LLC</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.000 / E5068 / Simbex LLC R44 AG023407	Y			42,606		42,606
			<u>Pass-through entity total:</u>			<u>42,606</u>		<u>42,606</u>
<u>Smithsonian Astrophysical Observatory</u>								
Cluster: 1R&D								
<i>Fed Agency: US NASA</i>								
		43.000 / A2090 / NASA SAO GO0-11025X	Y		12,368			12,368
		43.000 / A2266 / NASA SUB SAO ARO-11018B	Y		13,235			13,235
		43.000 / A3113 / NASA SAO AR9-0009A	Y		-61			-61
		43.000 / A3125 / NASA SAO G09-0114B	Y		8,050			8,050
			<u>Pass-through entity total:</u>		<u>33,592</u>			<u>33,592</u>
<u>Society of General Internal Medicine</u>								
Cluster: 1R&D								
<i>Fed Agency: Centers for Disease Control and Prevention (CDC)</i>								
		93.940 / E5720 / Society of General Internal Medicin	Y			43,376		43,376
			<u>Pass-through entity total:</u>			<u>43,376</u>		<u>43,376</u>

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>South Dakota Department of Education</u>								
Cluster: Other Programs								
<i>Fed Agency: US Department of Education</i>								
		84.373 / A2222 / DE Sub SDDE 2010C-732		N	65,588			65,588
					<u>65,588</u>			<u>65,588</u>
<u>South Dakota State University</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Energy (DOE)</i>								
		81.079 / A1515 / DOE Sub SDSU 3TL162		Y	12,082			12,082
		81.079 / A3436 / DOE SDSU 3TA152		Y	158,140			158,140
		81.079 / A3438 / DOE SDSU 3TA147		Y	38,319			38,319
					<u>208,541</u>			<u>208,541</u>
<u>Southern Illinois University Carbondale (SIU)</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.393 / A1446 / NIH SUB SIU 10-21		Y	12,530			12,530
<i>Fed Agency: US Department of Interior</i>								
		15.605 / A1542 / INT SUB SIUC 10-20		Y	129,251			129,251
		15.605 / A1543 / INT Sub SIUC 10-36		Y	122,597			122,597
Cluster: Fish and Wildlife								
<i>Fed Agency: US Department of Interior</i>								
		15.605 / A1544 / INT Sub SIUC 10-40		N	17,120			17,120
Cluster: Other Programs								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.unk / A1448 / NIH SUB TTA SIU 2011-02403-00-00		N	7,374			7,374
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.041 / A3010 / SBC SIU FUA 2009-04715		N	996			996
		47.049 / A1496 / SBC SIU 2011-02582 FUA		N	439			439
					<u>290,307</u>			<u>290,307</u>
<u>Southern Illinois University Edwardsville</u>								
Cluster: 1R&D								
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.076 / E4148 / SIU Edwardsville 6-21778		Y		8,723		8,723
						<u>8,723</u>		<u>8,723</u>

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Southern Illinois University School of Medicine Springfield</u>								
Cluster: 1R&D								
<i>Fed Agency: National Cancer Institute</i>								
		93.394 / A2896 / NIH SUB SIU 520321	Y		191,122			191,122
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.173 / A3562 / PHS SUB SIU RFA-DC-08-002	Y		31,376			31,376
		93.394 / A1577 / NIH Sub SIU 520339	Y		67,921			67,921
					<u>290,419</u>			<u>290,419</u>
<u>Southern Research Institute</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.701 / E4529 / Southern Research S09-009 ARRA	Y	Y		255,756		255,756
						<u>255,756</u>		<u>255,756</u>
<u>Southside Health Consortium</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.393 / E8358 / Southside Health	Y			-77,118		-77,118
						<u>-77,118</u>		<u>-77,118</u>
<u>Space Telescope Science Institute</u>								
Cluster: 1R&D								
<i>Fed Agency: US NASA</i>								
		43.000 / A3124 / NASA HST GO-11547.01-A	Y		10,208			10,208
		43.001 / J9442 / 703 STSI Eta Carinae 2009 Event	Y				8,727	8,727
					<u>10,208</u>		<u>8,727</u>	<u>18,935</u>
<u>Special Olympics International</u>								
Cluster: 1R&D								
<i>Fed Agency: Centers for Disease Control and Prevention (CDC)</i>								
		93.000 / G5753 / Special Olympics Inc	Y			49,481		49,481
						<u>49,481</u>		<u>49,481</u>
<u>Springfield Public Schools</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Education</i>								
		84.287 / D7278 / ISBE SPRINGFIELD 186 2010-07573	Y		15,282			15,282
		84.287 / D7280 / ISBE SPRINGFIELD 186 2010-07572	Y		17,755			17,755
					<u>33,037</u>			<u>33,037</u>

Springfield Public Schools

FY11 SEFA Pass-through details

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>SRI International</u>								
Cluster: 1R&D								
<i>Fed Agency: Air Force</i>								
		12.000 / A2898 / AF Sub SRI 27-001337	Y		479,365			479,365
<i>Fed Agency: Defense Advanced Research Projects Agency (DARPA)</i>								
		12.000 / A4423 / DARPA SRI 27-001253B Release 2	Y		346,464			346,464
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.701 / E4067 / SRI Internation 76-000036 ARRA	Y	Y		19,785		19,785
<i>Fed Agency: US Department of Education</i>								
		84.305 / E4061 / SRI International	Y			54,223		54,223
		<u>Pass-through entity total:</u>			<u>825,829</u>	<u>74,008</u>		<u>899,837</u>
<u>St Louis University</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institute of Allergy & Infectious Diseases</i>								
		93.000 / E7700 / St Louis U 208141-039	Y			12,038		12,038
		<u>Pass-through entity total:</u>				<u>12,038</u>		<u>12,038</u>
<u>St. Luke's Roosevelt Institute for Health Sciences</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.867 / E4252 / St Lukes Roosevelt Inst IIHTT	Y			717		717
		<u>Pass-through entity total:</u>				<u>717</u>		<u>717</u>
<u>Stanford University</u>								
Cluster: 1R&D								
<i>Fed Agency: Army</i>								
		12.630 / A4669 / Army Sub Stanford 18882430-37362-B	Y		228,678			228,678
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.867 / A2556 / NIH SUB SU 24977530-48055-A	Y		155,866			155,866
<i>Fed Agency: US Department of Energy (DOE)</i>								
		81.000 / A1335 / DOE Sub SLAC 96018	Y		51,859			51,859
<i>Fed Agency: US NASA</i>								
		43.000 / A4476 / NASA STF 19376500-37447-A	Y		77,426			77,426
		43.000 / A4477 / NASA Stanford 19996790-37431-A	Y		63,063			63,063
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.041 / A5391 / SBC Stanford 16045120-35022A	Y		4,719			4,719
		<u>Pass-through entity total:</u>			<u>581,611</u>			<u>581,611</u>

Stanford University

FY11 SEFA Pass-through details

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
State of Arizona								
Cluster: 1R&D								
<i>Fed Agency: US Department of Agriculture (USDA)</i>								
		10.200 / A1458 / AG Sub AZ Y560367	Y		10,528			10,528
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.074 / A1556 / SBC UNIV OF AZ Y560395	Y		34,916			34,916
Cluster: Other Programs								
<i>Fed Agency: United States Agency for International Development (AID)</i>								
		98.unk / A8633 / AID AZ Y772861	N		-10,070			-10,070
<i>Fed Agency: US Department of Energy (DOE)</i>								
		81.087 / A1869 / DOE Sub AGS IL-EE0002850 ARRA	N	Y	71,959			71,959
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.076 / E7206 / University Of Arizona Y410676	Y			44,780		44,780
		Pass-through entity total:			107,333	44,780		152,113
State of Maine								
Cluster: Other Programs								
<i>Fed Agency: US Environmental Protection Agency (EPA)</i>								
		66.605 / A1352 / CAL EPA 2011-MDN-2-40	N		14,052			14,052
		66.605 / A1353 / CAL EPA 2011-NTN1-44	N		10,052			10,052
		66.605 / A2182 / CAL EPA 2010-MDN-2-40	N		25,336			25,336
		66.605 / A2207 / CAL EPA 2010-NTN1-44	N		13,916			13,916
		Pass-through entity total:			63,356			63,356
State of Michigan								
Cluster: 1R&D								
<i>Fed Agency: US Environmental Protection Agency (EPA)</i>								
		66.000 / E5350 / MI Dept Env Qual PO 761P8200250	Y			-2,438		-2,438
		Pass-through entity total:				-2,438		-2,438
State of Ohio								
Cluster: Other Programs								
<i>Fed Agency: US Department of Energy (DOE)</i>								
		81.unk / E4388 / Ohio Dept of Development 09-23	N			15		15
		Pass-through entity total:				15		15

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>State of Utah</u>								
Cluster: 1R&D								
<i>Fed Agency: US Bureau of Reclamation</i>								
15.000 / A3899 / INT Sub State of UT 081865			Y		13,718			13,718
<u>Pass-through entity total:</u>					<u>13,718</u>			<u>13,718</u>
<u>State Univ of New York - Albany</u>								
Cluster: 1R&D								
<i>Fed Agency: Housing & Urban Development (HUD)</i>								
14.000 / E3919 / Award No 1092948-2-56624			Y			15,100		15,100
<u>Pass-through entity total:</u>						<u>15,100</u>		<u>15,100</u>
<u>State University of New York(SUNY)</u>								
Cluster: 1R&D								
<i>Fed Agency: US National Science Foundation (NSF)</i>								
47.050 / A4114 / SBC SUNY #43862			Y		15,078			15,078
<u>Pass-through entity total:</u>					<u>15,078</u>			<u>15,078</u>
<u>Synthecon Inc</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institute of Diabetes & Digestive & Kidney Diseases</i>								
93.847 / E5549 / Synthecon Inc			Y			-9,109		-9,109
<u>Pass-through entity total:</u>						<u>-9,109</u>		<u>-9,109</u>
<u>Syracuse University</u>								
Cluster: 1R&D								
<i>Fed Agency: Institute of Museum & Library Services (IMLS)</i>								
45.313 / A4649 / IMLS SU 21705-01343-S01			Y		-5,452			-5,452
<i>Fed Agency: US Department of Education</i>								
84.133 / A5161 / DE Sub Syracuse 21625-01300 S03			Y		66,007			66,007
<u>Pass-through entity total:</u>					<u>60,555</u>			<u>60,555</u>
<u>Teachers College</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
93.865 / A5364 / PHS Sub OSP 512138			Y		170,322			170,322
<u>Pass-through entity total:</u>					<u>170,322</u>			<u>170,322</u>

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Telcordia Technologies Inc</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Defense (DoD)</i>								
		12.000 / E4105 / Telcordia Contract No 20014060	Y			100,584		100,584
<i>Fed Agency: US Department of Energy (DOE)</i>								
		81.000 / A1249 / DOE Sub TTI 20014476	Y		8,594			8,594
					<u>8,594</u>	<u>100,584</u>		<u>109,178</u>
<u>Teska Associates, Inc</u>								
Cluster: Other Programs								
<i>Fed Agency: US Small Business Administration</i>								
		59.007 / E4385 / Teska Associates Inc	N			16,059		16,059
						<u>16,059</u>		<u>16,059</u>
<u>Texas A&M Research Foundation</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institute of General Medical Sciences</i>								
		93.859 / A2564 / NIH SUB TAMU S090077	Y		41,258			41,258
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.279 / E4637 / Texas AM Rsch Fdtn S090083	Y			111,190		111,190
					<u>41,258</u>	<u>111,190</u>		<u>152,448</u>
<u>Texas A&M University</u>								
Cluster: 1R&D								
<i>Fed Agency: Air Force Office of Scientific Research (AFOSR)</i>								
		12.800 / A2716 / AF Sub TEES A7752	Y		183,009			183,009
<i>Fed Agency: US Department of Agriculture (USDA)</i>								
		10.206 / A2510 / AG Sub Texas A&M S090086	Y		-138			-138
		10.310 / A2237 / AG Sub TXA&M 570625	Y		2,370			2,370
<i>Fed Agency: US Department of Energy (DOE)</i>								
		81.121 / E5728 / Texas A and M University A0312	Y			78,982		78,982
Cluster: Other Programs								
<i>Fed Agency: US Department of Agriculture (USDA)</i>								
		10.001 / A4333 / CAL AG 2008-NTN1-68 TX43	N		837			837
		10.200 / A1549 / CAL AG 2011-NTN1-68 TX43	N		4,092			4,092
					<u>190,170</u>	<u>78,982</u>		<u>269,152</u>

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Texas Tech University</u>								
Cluster: 1R&D								
<i>Fed Agency: US National Science Foundation (NSF)</i>								
47.050 / A2304 / SBC TX Tech 21P171-01								
			Y		2,950			2,950
			<u>Pass-through entity total:</u>		<u>2,950</u>			<u>2,950</u>
<u>The Agricultural Research Organization of Israel</u>								
Cluster: 1R&D								
<i>Fed Agency: United States Agency for International Development (AID)</i>								
98.000 / E4380 / BARD FG 9504 09								
			Y			10,248		10,248
			<u>Pass-through entity total:</u>			<u>10,248</u>		<u>10,248</u>
<u>The Charles Stark Draper Laboratory Inc</u>								
Cluster: 1R&D								
<i>Fed Agency: IARPA (Intelligence Advanced Research Projects Activity)</i>								
12.000 / A1315 / IARPA Draper SC001-549								
			Y		1,434			1,434
			<u>Pass-through entity total:</u>		<u>1,434</u>			<u>1,434</u>
<u>The Chicago Housing Authority</u>								
Cluster: WIA								
<i>Fed Agency: US Department of Labor (DOL)</i>								
17.259 / A1534 / DOL Sub CHA 1060								
			N		3,250			3,250
			<u>Pass-through entity total:</u>		<u>3,250</u>			<u>3,250</u>
<u>The Knopf Company</u>								
Cluster: Other Programs								
<i>Fed Agency: Substance Abuse & Mental Health Services Admin (SAMHSA)</i>								
93.959 / E4446 / The Knopf Company								
			N			28,230		28,230
			<u>Pass-through entity total:</u>			<u>28,230</u>		<u>28,230</u>
<u>The Night Ministry</u>								
Cluster: 1R&D								
<i>Fed Agency: US Health & Human Services (HHS)</i>								
93.000 / G6525 / The Night Ministry								
			Y			15,889		15,889
			<u>Pass-through entity total:</u>			<u>15,889</u>		<u>15,889</u>
<u>The Partnership for a Connected Illinois, Inc.</u>								
Cluster: Other Programs								
<i>Fed Agency: US Small Business Administration</i>								
59.unk / D7341 / IDCEO PCI 2010-02278								
			N		2,754			2,754

The Partnership for a Connected Illinois, Inc.

FY11 SEFA Pass-through details

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

59.unk / D7351 / IDCEO PCI 2010-04017

N

4,825

4,825

Pass-through entity total:7,5797,579**The Population Council**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.865 / A3757 / PHS SUB PC 4861-4863-4865

Y

75,550

75,550

Pass-through entity total:75,55075,550**The Wetlands Initiative**

Cluster: 1R&D

Fed Agency: US Environmental Protection Agency (EPA)

66.439 / A2289 / EPA TWI EPA-OW-OWOW-08-04

Y

13,515

13,515

Pass-through entity total:13,51513,515**Thermal Conservation Technologies**

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.041 / E4004 / Thermal Conservation Technologies

Y

31,871

31,871

Pass-through entity total:31,87131,871**Town of Normal**

Cluster: Other Programs

Fed Agency: Housing & Urban Development (HUD)

14.unk / A1311 / HUD Sub Normal 2011-04817

N

1,289

1,289

Pass-through entity total:1,2891,289**Toyota Technological Institute at Chicago**

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.082 / A1519 / SBC TTIC 0905633 ARRA

Y

Y

14,540

14,540

Pass-through entity total:14,54014,540**Triquint Semiconductor**

Cluster: 1R&D

Fed Agency: Defense Advanced Research Projects Agency (DARPA)

12.000 / A2457 / DARPA TriQuint PO 5073525

Y

93,509

93,509

Pass-through entity total:93,50993,509

Triquint Semiconductor

FY11 SEFA Pass-through details

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Trius Therapeutics</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Defense (DoD)</i>								
		12.420 / E4413 / Trius Therapeutics HDTRA1 10 C 004	Y			670,386		670,386
			<u>Pass-through entity total:</u>			<u>670,386</u>		<u>670,386</u>
<u>Tufts University</u>								
Cluster: 1R&D								
<i>Fed Agency: Defense Advanced Research Projects Agency (DARPA)</i>								
		12.431 / A3065 / Army Sub Tufts ARM168	Y		219,913			219,913
<i>Fed Agency: US Health & Human Services (HHS)</i>								
		93.846 / E5590 / Tufts Univ HS2209	Y			177,759		177,759
			<u>Pass-through entity total:</u>		<u>219,913</u>	<u>177,759</u>		<u>397,672</u>
<u>U of WI Platteville</u>								
Cluster: Other Programs								
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.049 / A1794 / SBC UW PLATT LD-10100 FUA	N		251			251
			<u>Pass-through entity total:</u>		<u>251</u>			<u>251</u>
<u>U.S. Livestock Genetics Export, Inc.</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Agriculture (USDA)</i>								
		10.000 / A2495 / AG Sub USLGE 2009-06	Y		51,849			51,849
			<u>Pass-through entity total:</u>		<u>51,849</u>			<u>51,849</u>
<u>UC Davis Center for Produce Safety</u>								
Cluster: 1R&D								
<i>Fed Agency: USDA Agricultural Research Service (ARS)</i>								
		10.000 / A2618 / AG HF 2009-03194 ANTC	Y		-26,123			-26,123
			<u>Pass-through entity total:</u>		<u>-26,123</u>			<u>-26,123</u>
<u>UES Inc</u>								
Cluster: 1R&D								
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.041 / A3340 / SBC UES S-856-000-001	Y		45,763			45,763
			<u>Pass-through entity total:</u>		<u>45,763</u>			<u>45,763</u>

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

United Egg Producers

Cluster: 1R&D

Fed Agency: US Department of Agriculture (USDA)

10.912 / A3284 / AG Sub NRCS 69-3A75-7-91

Y

11,387

11,387

Pass-through entity total:11,38711,387**United Technologies Corporation**

Cluster: 1R&D

Fed Agency: US Department of Energy (DOE)

81.086 / A1506 / DOE Sub UTRC #1168594 ARRA

Y

Y

74,131

74,131

81.087 / A1790 / DOE Sub UTRC #1166562 ARRA

Y

Y

187,583

187,583

Pass-through entity total:261,714261,714**United Tribes Technical College**

Cluster: 1R&D

Fed Agency: US Department of Agriculture (USDA)

10.227 / A2983 / AG Sub UTTC 2008-38424-19100

Y

1,312

1,312

Pass-through entity total:1,3121,312**Univ of Texas Hlth Science Ctr @ SA**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.701 / E4523 / Univ of Texas 129845/38 ARRA

Y

Y

83,620

83,620

Pass-through entity total:83,62083,620**Universal Technical Resource Services, Inc.**

Cluster: 1R&D

Fed Agency: Army

12.000 / A2472 / Army UTRS CR1766-02 09-292

Y

54,982

54,982

Pass-through entity total:54,98254,982**Universal Technology Corporation**

Cluster: 1R&D

Fed Agency: Air Force

12.000 / A1167 / AF Sub UTC 11-S2602-04-C13

Y

18,354

18,354

12.000 / A1526 / AF Sub UTC 10-S2601-03-C18

Y

80,906

80,906

12.800 / A3540 / AF Sub UTC 08-S587-032-01-C1

Y

-19,080

-19,080

Pass-through entity total:80,18080,180

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>University Corporation for Atmospheric Research</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Commerce</i>								
		11.467 / A3290 / COM UCAR S09-71437		Y	815			815
					<u>815</u>			<u>815</u>
<u>University of Alabama @ Birmingham</u>								
Cluster: Other Programs								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.853 / A1444 / NIH SUB FUA UAB 2011-02039		N	3,447			3,447
		93.unk / A1426 / NIH SUB TTA UAB 2011-01612		N	1,084			1,084
					<u>4,531</u>			<u>4,531</u>
<u>University of Alaska - Fairbanks</u>								
Cluster: Other Programs								
<i>Fed Agency: High Performance Computing Modernization Program</i>								
		12.unk / A2190 / HPCMP Sub UAF 2010-02127 FUA		N	645,297			645,297
					<u>645,297</u>			<u>645,297</u>
<u>University of Arizona</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institute of Nursing Research</i>								
		93.361 / A3149 / NIH SUB UA Y502636		Y	15,955			15,955
Cluster: Other Programs								
<i>Fed Agency: US Department of Agriculture (USDA)</i>								
		10.500 / A2145 / AG Sub UAZ Y553769		Y	25,646			25,646
		10.962 / A1500 / AG Sub UAZ Y560361		N	24,314			24,314
					<u>65,915</u>			<u>65,915</u>
<u>University of Arkansas</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.393 / E6718 / U of Arkansas Aria 31645 14572		Y		-1,303		-1,303
						<u>-1,303</u>		<u>-1,303</u>
<u>University of California - Davis</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.853 / E6474 / U Of Calif 11-981566-UI		Y		10,822		10,822
<i>Fed Agency: US Department of Energy (DOE)</i>								

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
		81.049 / A5087 / DOE UCD SUB 0600182	Y		137,732			137,732
		81.112 / E4612 / Univ of California 08-003689-01	Y			62,878		62,878
		<i>Fed Agency: USDA Coop State Rsrch Educ & Ext Serv (CSREES)</i>						
		10.200 / A2396 / AG UCD 200911201-ILLI1	Y		1,924			1,924
		Pass-through entity total:			139,656	73,700		213,356
University of California - Irvine								
Cluster: 1R&D								
		<i>Fed Agency: National Cancer Institute</i>						
		93.000 / E6174 / U Of California Irvine 2005-1618	Y			3,445		3,445
		<i>Fed Agency: US Department of Defense (DoD)</i>						
		12.431 / E4038 / Subaward No 2010-2513	Y			20,933		20,933
		Pass-through entity total:				24,378		24,378
University of California - Santa Barbara								
Cluster: 1R&D								
		<i>Fed Agency: US National Science Foundation (NSF)</i>						
		47.070 / A6057 / SBC UCSB-KK5135	Y		-3,843			-3,843
Cluster: Other Programs								
		<i>Fed Agency: US National Science Foundation (NSF)</i>						
		47.041 / A3289 / SBC UCSB KK9121	N		367			367
		Pass-through entity total:			-3,476			-3,476
University of California @ Riverside								
Cluster: 1R&D								
		<i>Fed Agency: US Department of Agriculture (USDA)</i>						
		10.025 / A1589 / AG Sub UCA S-000436	Y		19,534			19,534
		10.025 / A2447 / AG Sub UCA Riverside S000376	Y		15,609			15,609
		Pass-through entity total:			35,143			35,143
University of Central Florida								
Cluster: 1R&D								
		<i>Fed Agency: US Department of Energy (DOE)</i>						
		81.000 / A1304 / DOE Sub UCF 2011-04294 ANTC	Y		31,353			31,353
		81.000 / A4953 / DOE UCF 177097 Acct:20126036	Y		88,439			88,439
		Pass-through entity total:			119,792			119,792

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
University of Chicago								
Cluster: 1R&D								
<i>Fed Agency: Agency for Healthcare Research and Quality (AHRQ)</i>								
		93.226 / E5355 / University of Chicago 35712 2	Y			17,852		17,852
		93.226 / E5356 / UofC 35712 1	Y			46,590		46,590
		93.701 / E4045 / University of Chgo 45543-ARRA	Y	Y		82,313		82,313
<i>Fed Agency: Army</i>								
		12.420 / E4010 / U of Chgo Subaward 40815	Y			102,089		102,089
<i>Fed Agency: Centers for Disease Control and Prevention (CDC)</i>								
		93.136 / E4068 / Univesity of Chicago 46066 A	Y			128,523		128,523
		93.136 / E4364 / U of Chicago 43976 B	Y			182,619		182,619
		93.136 / E4372 / U of Chicago 43655	Y			42,610		42,610
		93.712 / E3975 / U of C CDC-CDPH 21681 ARRA	Y	Y		34,020		34,020
<i>Fed Agency: National Institute of Allergy & Infectious Diseases</i>								
		93.855 / A2179 / NIH SUB UC 39778-5-30974	Y		169,999			169,999
		93.856 / E5576 / U of Chicago 26020 5 30877	Y			-11,693		-11,693
<i>Fed Agency: National Institute of Diabetes & Digestive & Kidney Diseases</i>								
		93.849 / E4120 / Univ of Chgo 33337	Y			29,420		29,420
<i>Fed Agency: National Institute of General Medical Sciences</i>								
		93.701 / A2534 / NIH Sub U Chicago 37933 ARRA	Y	Y	42,025			42,025
		93.859 / E5159 / University of Chicago #38083	Y			1,597		1,597
		93.859 / E5533 / UC 35487 Dr. Raphael Lee	Y			42,730		42,730
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.000 / A3352 / PHS Sub UC HHSN266200400042C	Y		-100			-100
		93.113 / E4415 / U of C Sub43400 Kozikowski	Y			145,508		145,508
		93.173 / E4882 / U Chicago 40092-A	Y			122,407		122,407
		93.173 / E7913 / U Of Chic 24510	Y			-1,787		-1,787
		93.242 / E4679 / U Of Chicago DOM 38005 A	Y			51,543		51,543
		93.279 / E6467 / U of Chicago TRACS-29375	Y			-765		-765
		93.395 / E4339 / Univ of Chicago #32835	Y			19,185		19,185
		93.396 / A2848 / NIH SUB UC 39162	Y		1,251			1,251
		93.396 / A3280 / NIH SUB UC 36984 2	Y		284,020			284,020
		93.396 / A5001 / PHS Sub UC 31042	Y		13,161			13,161
		93.396 / E4338 / Univ. of Chicago Sub # 37316	Y			8,652		8,652
		93.701 / E4362 / U of Chicago ARRA 42817	Y	Y		241,167		241,167
		93.701 / E4573 / U of C ARRA 42989 C	Y	Y		287,853		287,853

Pass-Through Entity

Cluster			Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
Fed Agency								
CFDA Number / UI Grant Code / Award Title								
93.701 / E4632 / Univ of Chgo 35726 C ARRA			Y	Y		38,699		38,699
93.701 / E4674 / U of C 41863 ARRA			Y	Y		127,871		127,871
93.701 / E4785 / U of Chicago 42257 ARRA			Y	Y		33,148		33,148
93.849 / E6237 / Univ of Chicago TRACS 30004			Y			12,846		12,846
93.853 / E4450 / University of Chicago 43455			Y			120,486		120,486
93.855 / A1364 / NIH SUB UC 39778-5-30533			Y		61,824			61,824
93.856 / E5088 / Univ Chi 39992 A			Y			193,729		193,729
93.859 / A1394 / NIH SUB UC 43359-5-31488			Y		233,365			233,365
93.859 / A1397 / NIH Sub UC 43359-5-31488-8603			Y		105,137			105,137
93.859 / E5122 / Univ of Chicago 38618-02			Y			281,222		281,222
93.859 / E6758 / U Of C 29640 Dr. M. Ratain			Y			-1,222		-1,222
<i>Fed Agency: US Department of Agriculture (USDA)</i>								
10.206 / A5040 / AG UOC 31657-A			Y		-141			-141
<i>Fed Agency: US Department of Energy (DOE)</i>								
81.049 / A2425 / DOE Sub UC 42692			Y		56,860			56,860
<i>Fed Agency: US Health & Human Services (HHS)</i>								
93.242 / E6607 / U of Chicago 21820 MH62437			Y			-1,562		-1,562
93.701 / E4614 / U of C 35726 B ARRA			Y	Y		13,139		13,139
<i>Fed Agency: US National Science Foundation (NSF)</i>								
47.070 / A5752 / SBC CHICAGO 30085-I			Y		505,293			505,293
47.078 / A3943 / SBC UCHI 34252 E			Y		50,742			50,742
47.080 / A2948 / SBC Univ of Chicago 41994-D			Y		5,169,673			5,169,673
47.080 / A3976 / SBC UCHI 37130 C			Y		-118			-118
<u>Pass-through entity total:</u>					<u>6,692,991</u>	<u>2,390,789</u>		<u>9,083,780</u>
<u>University of Cincinnati</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
93.837 / E5632 / UC PO L09-4500050781			Y			131,369		131,369
93.853 / E4161 / Univ of Cincinnati 6883			Y			66,719		66,719
Cluster: Other Programs								
<i>Fed Agency: National Institute of Environmental & Health Sciences</i>								
93.701 / A2307 / NIH SUB UC 006476 ARRA			N	Y	27,673			27,673
<i>Fed Agency: National Institutes of Health (NIH)</i>								
93.142 / A1879 / NIH SUB UC7038			N		130,913			130,913
93.142 / A3021 / NIH SUB UC 003525			N		29,615			29,615
<u>Pass-through entity total:</u>					<u>188,201</u>	<u>198,088</u>		<u>386,289</u>

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
University of Colorado								
Cluster: 1R&D								
	<i>Fed Agency: National Institute of Allergy & Infectious Diseases</i>							
	93.855 / E5292 / UCD FY09 491 003 Amd 1 UIC Yr2		Y			742		742
	<i>Fed Agency: National Institute of Mental Health</i>							
	93.242 / A3738 / PHS SUB UCB 154-4626		Y		1			1
	<i>Fed Agency: National Institutes of Health (NIH)</i>							
	93.242 / A1490 / NIH SUB WH 2011-03307 ANTIC		Y		147,576			147,576
	93.242 / A2380 / NIH SUB UC 1547071		Y		195,424			195,424
	<i>Fed Agency: US Department of Education</i>							
	84.133 / E5483 / H133G070163-08		Y			838		838
	84.133 / E7066 / FY08 050 010 H133E040019 08		Y			-2,456		-2,456
	<i>Fed Agency: US Health & Human Services (HHS)</i>							
	93.631 / E5707 / UCD FY11 257 001 2 5 74518		Y			89,736		89,736
	<i>Fed Agency: US National Science Foundation (NSF)</i>							
	47.041 / E3948 / University of Colorado		Y			13,922		13,922
	47.082 / A2701 / SBC UCB 1546074 ARRA		Y	Y	109,054			109,054
Cluster: Other Programs								
	<i>Fed Agency: US National Science Foundation (NSF)</i>							
	47.074 / A2146 / CAL NSF 2009-NTN-1-66		N		3,021			3,021
	47.074 / A2147 / CAL NSF 2011-NTN1-01		N		3,021			3,021
	47.unk / A1336 / CAL NSF 2010-NTN1-66		N		2,970			2,970
	47.unk / A1337 / CAL NSF 2012-NTN1-01		N		2,970			2,970
					<u>Pass-through entity total:</u>	<u>464,037</u>	<u>102,782</u>	<u>566,819</u>
University of Connecticut								
Cluster: 1R&D								
	<i>Fed Agency: National Institutes of Health (NIH)</i>							
	93.242 / E4064 / U of Connecticut		Y			37,076		37,076
	<i>Fed Agency: US Department of Education</i>							
	84.305 / E5422 / Univ of Conn R305A080622 FRS 524833		Y			106,028		106,028
	<i>Fed Agency: US National Science Foundation (NSF)</i>							
	47.041 / A2385 / SBC UCT 6738		Y		5,000			5,000
	47.074 / E5979 / University of Connecticut 5759		Y			1,445		1,445
Cluster: Other Programs								
	<i>Fed Agency: US National Science Foundation (NSF)</i>							
	47.074 / A2032 / SBC Connecticut 2010-04761 TTA		N		6,634			6,634

University of Connecticut

FY11 SEFA Pass-through details

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
			<u>Pass-through entity total:</u>		<u>11,634</u>	<u>144,549</u>		<u>156,183</u>
University of Florida								
Cluster: 1R&D								
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.049 / A1512 / SBC Univ of FLA UF10189		Y	180,693			180,693
			<u>Pass-through entity total:</u>		<u>180,693</u>			<u>180,693</u>
University of Florida Research Foundation Inc								
Cluster: 1R&D								
<i>Fed Agency: Air Force</i>								
		12.800 / A1920 / AF Sub UF-EIES-1012009-UIL		Y	216,431			216,431
			<u>Pass-through entity total:</u>		<u>216,431</u>			<u>216,431</u>
University of Georgia								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.242 / E4429 / University of Georgia		Y		73,919		73,919
<i>Fed Agency: US Department of Agriculture (USDA)</i>								
		10.206 / A1683 / AG Sub UGA RR234-230/4785506		Y	35,717			35,717
		10.206 / A3120 / AG Sub UGA RC 293-359/4689568		Y	47,213			47,213
		10.310 / A2286 / AG Sub UGA R293-365/4692038		Y	22,451			22,451
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.074 / A3117 / SBC Georgia RR272-210/4689638		Y	10,535			10,535
Cluster: Other Programs								
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.074 / A1548 / CAL NSF 2011-NTN1-58A		N	2,970			2,970
			<u>Pass-through entity total:</u>		<u>118,886</u>	<u>73,919</u>		<u>192,805</u>
University of Iowa								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.143 / A1369 / NIH Sub Iowa 1000860502		Y	1,925			1,925
		93.143 / E6015 / University of Iowa 1000702067		Y		-61		-61
		93.143 / E6085 / U of Iowa 1000702053		Y		-174		-174
		93.143 / E6361 / Univ of Iowa 1000702428		Y		-2,672		-2,672
<i>Fed Agency: US Health & Human Services (HHS)</i>								
		93.286 / E5930 / U of IA PO1000603846		Y		49,288		49,288
Cluster: Other Programs								

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Fed Agency: National Institutes of Health (NIH)

93.847 / A1139 / NIH SUB IA 2011-05282 TTA

N

2,757

2,757

93.unk / A1578 / NIH Sub UI 2011-01333 FUA

N

3,108

3,108

Pass-through entity total:7,79046,38154,171**University of Kansas**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.847 / A1648 / NIH Sub UK FY2011-008

Y

116,535

116,535

Fed Agency: US Department of Interior

15.808 / A1484 / INT Sub UKS Res FY2011-066

Y

6,733

6,733

Fed Agency: US National Science Foundation (NSF)

47.079 / E5657 / U of KS FY2008-023 M3

Y

73,403

73,403

47.081 / E4854 / Univ of Kansas NSF0065501-M1

Y

107,207

107,207

47.082 / E4396 / Univ of Kansas FY2010-079 ARRA

Y

Y

11,863

11,863

Pass-through entity total:123,268192,473315,741**University of Kentucky**

Cluster: 1R&D

Fed Agency: US Department of Agriculture (USDA)

10.000 / A1598 / AG Sub KY 2011-00828 ANTC

Y

1,843

1,843

Pass-through entity total:1,8431,843**University of Louisville Research Foundation**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.393 / A1579 / NIH Sub ULRF OGMB 100625

Y

16,157

16,157

Pass-through entity total:16,15716,157**University of Maryland**

Cluster: 1R&D

Fed Agency: Air Force

12.630 / A3457 / AF Sub MD 0000005310

Y

216,923

216,923

Fed Agency: Air Force Office of Scientific Research (AFOSR)

12.800 / A2986 / AF Sub UMD Z806002

Y

44,137

44,137

Fed Agency: Army Research Office (ARO)

12.431 / A4316 / Army Sub U MD Z848402

Y

380,183

380,183

Fed Agency: National Institutes of Health (NIH)

93.213 / E5163 / Univ of Maryland PO#SR00000661

Y

1,002

1,002

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
University of Memphis								
Cluster: 1R&D								
<i>Fed Agency: United States Geological Survey</i>								
		15.808 / A1829 / INT Sub UMemphis 5-39589 P0064064	Y		7,357			7,357
					<u>Pass-through entity total:</u>	<u>7,357</u>		<u>7,357</u>
University of Miami								
Cluster: 1R&D								
<i>Fed Agency: National Institute of Diabetes & Digestive & Kidney Diseases</i>								
		93.847 / E4218 / Univ of Miami 66723W	Y			1,157,629		1,157,629
		93.847 / E4958 / Univ of Miami 66630Y	Y			43,703		43,703
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.701 / E4572 / Univ of Miami ARRA M153225	Y	Y		1,570		1,570
		93.853 / A3449 / PHS UM SUB C0800137-66472G	Y		194,917			194,917
					<u>Pass-through entity total:</u>	<u>194,917</u>	<u>1,202,902</u>	<u>1,397,819</u>
University of Michigan								
Cluster: 1R&D								
<i>Fed Agency: Army</i>								
		12.431 / A1387 / Army Sub UM 3001742760	Y		13,267			13,267
<i>Fed Agency: Army Research Office (ARO)</i>								
		12.431 / A5380 / Army Sub U of MI F014252	Y		89,632			89,632
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.113 / E4129 / U of Michigan Subaward 3001616191	Y			7,347		7,347
		93.242 / E5059 / Univ of Michigan 3001166656	Y			10,787		10,787
		93.839 / A4020 / PHS Sub Mich 3000786409	Y		38,755			38,755
		93.849 / E5137 / Univ of Michigan 3001131301	Y			52,231		52,231
<i>Fed Agency: US Department of Energy (DOE)</i>								
		81.049 / A3306 / DOE SUB UM 3001075989	Y		52,451			52,451
		81.049 / A4647 / DOE UM 3000611280	Y		6,290			6,290
<i>Fed Agency: US Department of Transportation (DOT)</i>								
		20.200 / E4122 / Univ of MI 3001567440	Y			93,628		93,628
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.041 / A5347 / SBC MI - F013925 NSF	Y		-12,731			-12,731
Cluster: Other Programs								
<i>Fed Agency: US Dept of Commerce NOAA</i>								
		11.432 / A1681 / COM Sub UM 3001596757	N		10,180			10,180

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
		11.432 / A2053 / COM Sub UM 3001597222	N		21,154			21,154
	<i>Fed Agency: US National Science Foundation (NSF)</i>							
		47.041 / A5133 / SBC TTA Mich 3000550835	N		-4,753			-4,753
		47.050 / A5385 / SBC MICH F014765	N		11,680			11,680
		Pass-through entity total:			225,925	163,993		389,918

University of Minnesota

Cluster: 1R&D

Fed Agency: Centers for Disease Control and Prevention (CDC)

93.000 / E4044 / University of Minnesota P001873801	Y				38,573			38,573
93.061 / E5307 / UMinn P0000725701 03	Y				261,752			261,752

Fed Agency: Office of Naval Research (ONR)

12.300 / E5530 / U of MN A530680101	Y				59,991			59,991
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Fed Agency: US Department of Agriculture (USDA)

10.206 / A4082 / AG UMN M6706470101	Y			47,073				47,073
10.215 / A1324 / AG Sub UMN H001911523 LNC10-321	Y			10,055				10,055
10.215 / A3285 / AG Univ. MN H 408626301	Y			8,863				8,863
10.215 / A3880 / AG UMN Q4089053118	Y			15,205				15,205
10.310 / A1232 / AG Sub UMN H001897202	Y			2,284				2,284

Fed Agency: US NASA

43.000 / A3781 / NASA UMN X5146296101	Y			135,237				135,237
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Fed Agency: US National Science Foundation (NSF)

47.041 / A4011 / SBC MINN T5306755502 REU	Y			-4,422				-4,422
47.041 / A4998 / SBC MINN T5306692501	Y			269,023				269,023
47.074 / A1243 / SBC MINN H002231901	Y			19,868				19,868

Cluster: Other Programs

Fed Agency: National Institutes of Health (NIH)

93.865 / E4365 / U of Minnesota N634619701	N				16,389			16,389
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Fed Agency: US Department of Agriculture (USDA)

10.310 / A2223 / AG Sub UMN H001131501	N			3,407				3,407
10.500 / A1413 / AG Sub UM H001911904	Y			5,822				5,822
10.500 / A2955 / AG Sub UMN H408906008	Y			6,395				6,395
10.500 / A3689 / AG UMN H408904313	Y			32,494				32,494

Fed Agency: US Department of Interior

15.608 / A1457 / INT Sub UMN D001882903	N			4,696				4,696
15.608 / A1684 / INT Sub UMN D001882902	N			52,584				52,584

Fed Agency: US Health & Human Services (HHS)

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
		93.061 / E4445 / U of MN P000080103 1	N			-5,040		-5,040
		<i>Fed Agency: USDA Coop State Rsrch Educ & Ext Serv (CSREES)</i>						
		10.500 / A2051 / AG UMN H001226812	Y		7,379			7,379
		Pass-through entity total:			615,963	371,665		987,628
University of Missouri								
Cluster: 1R&D								
		<i>Fed Agency: US Department of Agriculture (USDA)</i>						
		10.206 / A4753 / AG UOM E00011511-1	Y		17,335			17,335
		<i>Fed Agency: US National Science Foundation (NSF)</i>						
		47.074 / A6228 / SBC MO C00005034-2	Y		-1			-1
		Pass-through entity total:			17,334			17,334
University of Missouri - Kansas City								
Cluster: 1R&D								
		<i>Fed Agency: Administration for Children and Families (ACF)</i>						
		93.632 / E4079 / 29460 UMKC Proj ID 00032433	Y			68,552		68,552
		93.632 / E4578 / UMKC 00027859 sub no 21637	Y			33,303		33,303
		Pass-through entity total:				101,855		101,855
University of Montana								
Cluster: 1R&D								
		<i>Fed Agency: US Department of Education</i>						
		84.133 / E4449 / Univ of Montana PG102590305	Y			14,000		14,000
		Pass-through entity total:				14,000		14,000
University of Nebraska - Lincoln								
Cluster: 1R&D								
		<i>Fed Agency: US Department of Agriculture (USDA)</i>						
		10.200 / A2639 / AG Sub UNL 25-6231-0192-002	Y		-4,285			-4,285
		10.200 / A3161 / AG UNL 25-6231-0159-010	Y		20			20
		<i>Fed Agency: US Dept of Commerce NOAA</i>						
		11.431 / A3287 / COM UNL 25-6238-0409-002	Y		1,053			1,053
Cluster: Other Programs								
		<i>Fed Agency: US Department of Agriculture (USDA)</i>						
		10.500 / A1562 / AG Sub UN 25-6324-0097-008	Y		35,591			35,591
		10.500 / A1563 / AG Sub UN 25-6324-0097-020	Y		2,849			2,849
		10.unk / A1155 / AG Sub UNL BE 2011-04508 ANTC	N		796			796
		10.unk / A1561 / CAL AG 2011-NTN1-21	N		2,945			2,945

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
		10.unk / A2356 / CAL AG 2010-NTN1-21	N		1,674			1,674
		<i>Fed Agency: USDA Coop State Rsrch Educ & Ext Serv (CSREES)</i>						
		10.500 / A2667 / AG UNL 25-6324-0081-316	Y		390			390
		Pass-through entity total:			41,033			41,033
University of Nevada - Reno								
Cluster: Other Programs								
		<i>Fed Agency: US Department of Agriculture (USDA)</i>						
		10.203 / A1269 / AG Sub NV 2011-05097 TTA	N		13,695			13,695
		<i>Fed Agency: US National Science Foundation (NSF)</i>						
		47.082 / A1286 / SBC UNR 11ML179407 TTA ARRA	N	Y	13,695			13,695
		Pass-through entity total:			27,390			27,390
University of Nevada Las Vegas								
Cluster: 1R&D								
		<i>Fed Agency: Army</i>						
		12.431 / A3679 / Army Sub UNLV 08-672L-A-00	Y		56,027			56,027
		Pass-through entity total:			56,027			56,027
University of New Hampshire								
Cluster: 1R&D								
		<i>Fed Agency: US Dept of Commerce NOAA</i>						
		11.419 / A4239 / COM UNH 08-054	Y		652			652
Cluster: Other Programs								
		<i>Fed Agency: US Environmental Protection Agency (EPA)</i>						
		66.unk / A1400 / CAL EPA 2010-AMT-1-004	N		4,038			4,038
		Pass-through entity total:			4,690			4,690
University of New Mexico								
Cluster: 1R&D								
		<i>Fed Agency: Army</i>						
		12.431 / A3192 / Army Sub UNM 456258-874A	Y		100,670			100,670
		<i>Fed Agency: US National Science Foundation (NSF)</i>						
		47.080 / E4462 / U of NM 063014 874A	Y			23,229		23,229
		Pass-through entity total:			100,670	23,229		123,899
University of North Carolina								
Cluster: 1R&D								
		<i>Fed Agency: National Institute of Diabetes & Digestive & Kidney Diseases</i>						
		93.848 / E5158 / UNC 5-51149	Y			-6,962		-6,962

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

Fed Agency: National Institutes of Health (NIH)

93.279 / E4888 / U of NC 5 51256

Y

-28,168

-28,168

93.847 / E4643 / UNC Chapel Hill 5-30169

Y

98,189

98,189

93.847 / E4808 / U Of NC

Y

926

926

93.853 / E5351 / Univ of North Carolina NS 42167

Y

21,776

21,776

93.855 / E6494 / U Of NC 5-33976

Y

67,473

67,473

93.859 / E5936 / NIH 5 34841

Y

104,694

104,694

Pass-through entity total:**257,928****257,928****University of North Dakota**

Cluster: Other Programs

Fed Agency: US National Science Foundation (NSF)

47.082 / A2060 / SBC UND TTA 2010-04830 ARRA

N

Y

44,895

44,895

Pass-through entity total:**44,895****44,895****University of Notre Dame**

Cluster: 1R&D

Fed Agency: National Institutes of Health (NIH)

93.855 / E4291 / Subaward No. 201659-UIC

Y

78,107

78,107

Fed Agency: US Department of Commerce

11.609 / A3613 / COM UND 2008-NE-1806

Y

54,083

54,083

Fed Agency: US Department of Energy (DOE)

81.049 / A3933 / DOE ND 201242

Y

42,317

42,317

Fed Agency: US National Science Foundation (NSF)

47.049 / E5457 / U of Notre Dame PHY 0715396

Y

10,830

10,830

47.074 / A2219 / SBC ND 201523-UIUC

Y

38,610

38,610

Cluster: Other Programs

Fed Agency: US Department of Interior

15.608 / A1545 / INT Sub UND 201706

N

1,452

1,452

Pass-through entity total:**136,462****88,937****225,399****University of Oregon**

Cluster: 1R&D

Fed Agency: US National Science Foundation (NSF)

47.049 / A1688 / SBC Univ of Oregon 208671A

Y

22,921

22,921

47.080 / A4219 / SBC Univ of Oregon #207401A

Y

159,654

159,654

Cluster: Other Programs

Fed Agency: US National Science Foundation (NSF)

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
		47.050 / A1299 / SBC U of OR TTA 2011-04121	N		3,847			3,847
			<u>Pass-through entity total:</u>		<u>186,422</u>			<u>186,422</u>
University of Pennsylvania								
Cluster: 1R&D								
<i>Fed Agency: National Institute of Diabetes & Digestive & Kidney Diseases</i>								
		93.847 / E3943 / Univ of PA Subawd 555805	Y			29,573		29,573
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.701 / E4501 / Univ Pennsylvania 553520 ARRA	Y	Y		31,359		31,359
		93.849 / E6516 / U Of Penn 5-44131	Y			-2,715		-2,715
		93.859 / A1574 / NIH Sub U Penn 550517	Y		40,968			40,968
		93.859 / A2584 / NIH SUB UPENN 553069	Y		-29,052			-29,052
		93.859 / E4329 / Subaward No 553617 PO 2596886	Y			97,000		97,000
Cluster: Other Programs								
<i>Fed Agency: US Department of Education</i>								
		84.116 / C8178 / Univ Pa 5-40263-F PO# 1455095	N		-5,357			-5,357
			<u>Pass-through entity total:</u>		<u>6,559</u>	<u>155,217</u>		<u>161,776</u>
University of Pittsburgh								
Cluster: 1R&D								
<i>Fed Agency: National Cancer Institute</i>								
		93.393 / A2964 / NIH SUB UP 0006657	Y		187,077			187,077
<i>Fed Agency: National Heart Lung & Blood Institute</i>								
		93.838 / E4018 / University of Pittsburgh	Y			29,632		29,632
Cluster: Other Programs								
<i>Fed Agency: US Department of Energy (DOE)</i>								
		81.unk / A2826 / DOE PITT 2009-07268 FUA	N		-5,529			-5,529
			<u>Pass-through entity total:</u>		<u>181,548</u>	<u>29,632</u>		<u>211,180</u>
University of Rhode Island								
Cluster: 1R&D								
<i>Fed Agency: US Department of Homeland Security(USDHS)</i>								
		97.061 / A2464 / DHS Sub URI 080309/0002251	Y		79,451			79,451
			<u>Pass-through entity total:</u>		<u>79,451</u>			<u>79,451</u>
University of Rochester								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.173 / A1174 / NIH SUB UR 2011-05365-00	Y		1,026			1,026

Pass-Through Entity

Cluster		Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
Fed Agency							
CFDA Number / UI Grant Code / Award Title							
93.173	/ E4961 / University of Rochester 414632 G	Y			29,482		29,482
<u>Pass-through entity total:</u>				<u>1,026</u>	<u>29,482</u>		<u>30,508</u>
University of South Carolina							
Cluster: 1R&D							
<i>Fed Agency: Air Force Office of Scientific Research (AFOSR)</i>							
12.800	/ A2091 / AF Sub USC 10-1788 PO22246	Y		24,663			24,663
<i>Fed Agency: Army</i>							
12.000	/ A1478 / Army Sub USC 11-1942 PO32359	Y		28,443			28,443
<i>Fed Agency: National Cancer Institute</i>							
93.837	/ A2208 / NIH SUB USC 10-1782	Y		11,852			11,852
<i>Fed Agency: National Energy Technology Laboratory (NETL)</i>							
81.132	/ A2255 / DOE Sub SC 10-1778 ARRA	Y	Y	7,995			7,995
<i>Fed Agency: US Department of Energy (DOE)</i>							
81.049	/ A2263 / DOE Sub SC 10-1771 PO22030	Y		43,477			43,477
<u>Pass-through entity total:</u>				<u>116,430</u>			<u>116,430</u>
University of Southern California							
Cluster: 1R&D							
<i>Fed Agency: Air Force</i>							
12.000	/ A2407 / AF Sub USC 140830	Y		140,360			140,360
<i>Fed Agency: Defense Threat Reduction Agency (DTRA)</i>							
12.351	/ A1525 / DTRA Sub USC 147755	Y		17,771			17,771
<i>Fed Agency: National Institute of Allergy & Infectious Diseases</i>							
93.855	/ A1915 / NIH SUB USC H46091	Y		396,803			396,803
93.855	/ A2076 / NIH SUB USC 142302	Y		2,983			2,983
93.855	/ A2555 / NIH SUB USC 137405	Y		29,452			29,452
93.855	/ A2785 / NIH SUB USC H41031	Y		-53,301			-53,301
<i>Fed Agency: National Institutes of Health (NIH)</i>							
93.393	/ E4052 / USC Subaward H47298	Y			65,382		65,382
93.855	/ A1241 / NIH SUB USC H48692	Y		61,600			61,600
<i>Fed Agency: US Department of Energy (DOE)</i>							
81.049	/ A2772 / DOE Sub USC DE-SC0001013 ARRA	Y	Y	173,748			173,748
<i>Fed Agency: US National Science Foundation (NSF)</i>							
47.050	/ A1772 / SBC USC PO 145642	Y		19,994			19,994
<u>Pass-through entity total:</u>				<u>789,410</u>	<u>65,382</u>		<u>854,792</u>

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>University of Tennessee</u>								
Cluster: 1R&D								
<i>Fed Agency: Institute of Museum & Library Services (IMLS)</i>								
		45.307 / A2241 / IMLS Sub TN OR14003-001.02	Y		3,985			3,985
<i>Fed Agency: National Institute of Mental Health</i>								
		93.242 / E4547 / U of Tenn OR13614 001 02	Y			44,491		44,491
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.082 / A2389 / SBC U of TN OR13425-001.02 ARRA	Y	Y	151,446			151,446
					<u>Pass-through entity total:</u>	<u>44,491</u>		<u>199,922</u>
					<u>155,431</u>			
<u>University of Texas - Austin</u>								
Cluster: 1R&D								
<i>Fed Agency: Air Force Office of Scientific Research (AFOSR)</i>								
		12.800 / A3312 / AF Sub UofTX UTA08-815	Y		85,596			85,596
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.243 / E4991 / Univ of Texas UTA09 000049	Y			-144,701		-144,701
<i>Fed Agency: Substance Abuse & Mental Health Services Admin (SAMHSA)</i>								
		93.243 / E4245 / UTA 09-001029	Y			295,673		295,673
<i>Fed Agency: US Department of Commerce</i>								
		11.000 / A3611 / COM NIST UTA08-596	Y		40,970			40,970
<i>Fed Agency: US Department of Energy (DOE)</i>								
		81.049 / E4489 / Subaward UTA09-001008	Y			53,371		53,371
					<u>Pass-through entity total:</u>	<u>204,343</u>		<u>330,909</u>
					<u>126,566</u>			
<u>University of Texas - Dallas</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.866 / A4192 / PHS SUB UT-DALLAS SC 08-14	Y		41,406			41,406
<i>Fed Agency: Office of Naval Research (ONR)</i>								
		12.300 / A6999 / NAVY UTD SC 03-06	Y		-16,156			-16,156
					<u>Pass-through entity total:</u>			<u>25,250</u>
					<u>25,250</u>			
<u>University of Texas @ Houston</u>								
Cluster: 1R&D								
<i>Fed Agency: National Cancer Institute</i>								
		93.393 / E5623 / U of TX 21686/98412593	Y			59		59
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.000 / E5926 / University of Texas 21334/98025669	Y			-9,474		-9,474

Pass-Through Entity

Cluster			Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
Fed Agency								
CFDA Number / UI Grant Code / Award Title								
93.393	/	E5259 / MD Ander Cancer Ctr 24873 98412593	Y			17,442		17,442
93.867	/	E8310 / U Of TX 0004359	Y			376		376
<u>Pass-through entity total:</u>						<u>8,403</u>		<u>8,403</u>
<u>University of Texas MD Anderson Cancer Ctr</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
93.393	/	E4477 / MD Anderson 27064/98810590	Y			97,725		97,725
93.393	/	E4651 / U TX 28954/98412593	Y			140,569		140,569
93.701	/	A1553 / NIH Sub UT 33068-98013884 ARRA	Y	Y	85,050			85,050
93.701	/	A2734 / NIH SUB UT 24917-98013884 ARRA	Y	Y	34,721			34,721
93.859	/	A3233 / PHS SUB UT MDACC 21418-98010450	Y		60,066			60,066
<u>Pass-through entity total:</u>						<u>179,837</u>	<u>238,294</u>	<u>418,131</u>
<u>University of the State of New York</u>								
Cluster: 1R&D								
<i>Fed Agency: US National Science Foundation (NSF)</i>								
47.074	/	A3877 / SBC USNY RR Movebank 02	Y		48,646			48,646
<u>Pass-through entity total:</u>						<u>48,646</u>		<u>48,646</u>
<u>University of Toledo</u>								
Cluster: 1R&D								
<i>Fed Agency: Air Force Office of Scientific Research (AFOSR)</i>								
12.000	/	A3258 / AF Sub UT 2007-05425	Y		150,142			150,142
<u>Pass-through entity total:</u>						<u>150,142</u>		<u>150,142</u>
<u>University of Utah</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
93.837	/	E5098 / U of Utah	Y			-14		-14
93.839	/	E4165 / U of Utah 10009050-M07	Y			1,358		1,358
93.839	/	E4425 / U of Utah 10009050-07	Y			113,988		113,988
93.839	/	E4012 / Univ of Utah ARRA	Y	Y		46,718		46,718
<i>Fed Agency: US Department of Homeland Security(USDHS)</i>								
97.108	/	A1973 / DHS Sub Utah 10010324-IL PO143850	Y		182,414			182,414
<i>Fed Agency: US National Science Foundation (NSF)</i>								
47.041	/	A2893 / SBC UTAH 10010392-01	Y		57,705			57,705
47.074	/	A1306 / SBC Univ of Utah 10019930	Y		268			268
<u>Pass-through entity total:</u>						<u>240,387</u>	<u>162,050</u>	<u>402,437</u>

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
University of Vermont & State Agr College								
Cluster: Other Programs								
<i>Fed Agency: US Dept of Commerce NOAA</i>								
		11.460 / A2044 / CAL COM Sub UVM 23869		N	20,255			20,255
					<u>20,255</u>			<u>20,255</u>
University of Washington								
Cluster: 1R&D								
<i>Fed Agency: Administration for Children and Families (ACF)</i>								
		93.600 / A1399 / NIH SUB UW 706465	Y		80,480			80,480
<i>Fed Agency: Air Force Office of Scientific Research (AFOSR)</i>								
		12.300 / A1530 / AF Sub UW 708885	Y		44,323			44,323
<i>Fed Agency: National Cancer Institute</i>								
		93.394 / A2424 / NIH SUB UW 662964	Y		2,263			2,263
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.000 / A1210 / NIH SUB UW CC 2011-05025	Y		326			326
		93.000 / A1266 / NIH SUB UWA 712382	Y		22,773			22,773
		93.172 / A1787 / NIH SUB U of Wash 706802	Y		274,855			274,855
		93.172 / A2246 / NIH SUB UW 668862	Y		15,153			15,153
		93.273 / E4314 / U of WA No 692621	Y			19,790		19,790
		93.865 / A2148 / NIH SUB UW 708760	Y		208,949			208,949
		93.865 / A2614 / NIH SUB UW 659238	Y		-13,275			-13,275
		93.865 / A3825 / PHS SUB UWA 447817	Y		243,894			243,894
<i>Fed Agency: US Department of Education</i>								
		84.133 / A1517 / DE Sub UW 702399	Y		5,367			5,367
		84.133 / A2469 / DE Sub UW 662871	Y		-223			-223
<i>Fed Agency: US NASA</i>								
		43.000 / A3766 / NASA UW 485171	Y		69,911			69,911
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.041 / A2421 / SBC UNIV OF WA 657973	Y		55,242			55,242
		47.041 / A6019 / SBC U WASH -958919	Y		46			46
		47.049 / A4378 / SBC UW 431153	Y		313,088			313,088
		47.076 / E5213 / Univ of Washington 611721	Y			1,494		1,494
					<u>1,323,172</u>	<u>21,284</u>		<u>1,344,456</u>

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
University of Waterloo								
Cluster: 1R&D								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.393 / E4333 / U of Waterloo 114481	Y			9,110		9,110
		93.393 / E4464 / U of Waterloo NIH P01 CA138389	Y			18,324		18,324
						<u>27,434</u>		<u>27,434</u>
University of Wisconsin - Madison								
Cluster: 1R&D								
<i>Fed Agency: Air Force Office of Scientific Research (AFOSR)</i>								
		12.800 / A3314 / AF Sub UW 067K594	Y		183,613			183,613
<i>Fed Agency: Army Research Office (ARO)</i>								
		12.000 / A1597 / Army Sub UW 232K584	Y		25,120			25,120
<i>Fed Agency: National Cancer Institute</i>								
		93.399 / E4615 / U of WI Madison 310K295	Y			214,754		214,754
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.701 / E4648 / Univ of Wisc Madison 256K804 ARRA	Y	Y		480,572		480,572
		93.867 / A3984 / PHS SUB UW 055K753	Y		40,219			40,219
<i>Fed Agency: US Department of Agriculture (USDA)</i>								
		10.200 / A2844 / AG Sub UWI X377436	Y		12,759			12,759
		10.303 / A1957 / AG Sub UWI-Madison X378280	Y		43,530			43,530
		10.500 / A2840 / AG Sub UWI 101K931	Y		3,432			3,432
<i>Fed Agency: US Department of Energy (DOE)</i>								
		81.049 / A3751 / DOE UW 105K545	Y		106,046			106,046
<i>Fed Agency: US Department of Transportation (DOT)</i>								
		20.000 / A4073 / DOT sub U of WI 353H990	Y		39,459			39,459
		20.700 / E5649 / Midwest Regional Univ. Trans Ctr.	Y			37,578		37,578
		20.701 / E4001 / Univ of Wisconsin-Madison	Y			9,621		9,621
		20.701 / E4047 / Univ of Wisconsin 258K683	Y			12,765		12,765
		20.701 / E5070 / Univ Wisc 091K814	Y			99,690		99,690
		20.701 / E5171 / Univ of Wisc 086K004	Y			57,498		57,498
		20.721 / E4577 / RUWS 168K044	Y			9,737		9,737
		20.721 / G6771 / U of Wisc Mad 995B702	Y			14,342		14,342
		20.760 / E4581 / U of Wisconsin 168K033	Y			32,033		32,033
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.041 / A2466 / SBC Wisconsin #171K076	Y		152,775			152,775
		47.049 / A4310 / SBC UW 647F323	Y		140,594			140,594

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

47.074 / A3239 / SBC WI 088K981	Y		156,675			156,675
47.076 / A5273 / SBC WISC X256981	Y		90,243			90,243
Cluster: Other Programs						
<i>Fed Agency: National Institutes of Health (NIH)</i>						
93.855 / B9148 / WNPRC TTA PO B349705 012912	N		7,668			7,668
<i>Fed Agency: US Dept of Commerce NOAA</i>						
11.417 / A1623 / COM Sub UWI 256K233	N		3,230			3,230
<i>Fed Agency: USDA Coop State Rsrch Educ & Ext Serv (CSREES)</i>						
10.303 / A3024 / AG Sub WI 105K103	N		11,700			11,700
		<u>Pass-through entity total:</u>	<u>1,017,063</u>		<u>968,590</u>	<u>1,985,653</u>
<u>University of Wisconsin - Milwaukee</u>						
Cluster: 1R&D						
<i>Fed Agency: National Institutes of Health (NIH)</i>						
93.279 / E6572 / UW Milwaukee K069461 Mod 3	Y				-857	-857
		<u>Pass-through entity total:</u>			<u>-857</u>	<u>-857</u>
<u>University Texas Arlington</u>						
Cluster: 1R&D						
<i>Fed Agency: US National Science Foundation (NSF)</i>						
47.041 / A1604 / SBC UTA 26-1003-8261	Y		70,685			70,685
		<u>Pass-through entity total:</u>	<u>70,685</u>			<u>70,685</u>
<u>URS Corporation</u>						
Cluster: 1R&D						
<i>Fed Agency: US Department of Energy (DOE)</i>						
81.089 / A2322 / DOE Sub URS 235990.US	Y		362,606			362,606
		<u>Pass-through entity total:</u>	<u>362,606</u>			<u>362,606</u>
<u>URS Group Inc</u>						
Cluster: Other Programs						
<i>Fed Agency: US Army Corps of Engineers</i>						
12.unk / A1165 / Army Sub URS 252654.US ARRA	N	Y	15,788			15,788
		<u>Pass-through entity total:</u>	<u>15,788</u>			<u>15,788</u>
<u>US Civilian Research Development Foundation (CRDF)</u>						
Cluster: 1R&D						
<i>Fed Agency: US National Science Foundation (NSF)</i>						
47.079 / A1613 / CRDF RUE1-2983-TO-10	Y		427			427
47.079 / A2888 / CRDF RUG1-2948-MO-09	Y		3,458			3,458

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
					<u>3,885</u>			<u>3,885</u>
<u>US Hybrid Corporation</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Energy (DOE)</i>								
		81.086 / E5461 / US Hybrid Corp	Y			-6,960		-6,960
		81.086 / E5593 / US Hybrid Corporation	Y			-13,558		-13,558
						<u>-20,518</u>		<u>-20,518</u>
<u>US-Egypt Joint Science and Technology Board</u>								
Cluster: 1R&D								
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.075 / E6720 / US-Egypt Joint Science INF9-001-007	Y			550		550
						<u>550</u>		<u>550</u>
<u>Utah State University</u>								
Cluster: 1R&D								
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.076 / A6226 / SBC UTAH 041447004	Y		19,424			19,424
					<u>19,424</u>			<u>19,424</u>
<u>UT-Battelle LLC</u>								
Cluster: Other Programs								
<i>Fed Agency: US Department of Energy (DOE)</i>								
		81.unk / E4479 / UT-Batelle LLC 4000091186	N			134,705		134,705
						<u>134,705</u>		<u>134,705</u>
<u>Vanderbilt University</u>								
Cluster: 1R&D								
<i>Fed Agency: National Heart Lung & Blood Institute</i>								
		93.837 / E3961 / Vanderbilt UMC	Y			205,103		205,103
Cluster: Head Start								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.600 / A4781 / PHS Sub VU 19247	N		189,598			189,598
					<u>189,598</u>	<u>205,103</u>		<u>394,701</u>
<u>Virginia Commonwealth University</u>								
Cluster: 1R&D								
<i>Fed Agency: Centers for Disease Control and Prevention (CDC)</i>								
		93.136 / E5555 / Virginia PT101936-SC100481	Y			19,830		19,830
						<u>19,830</u>		<u>19,830</u>

Virginia Commonwealth University

FY11 SEFA Pass-through details

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Virginia Institute of Marine Science</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Commerce</i>								
		11.420 / A3270 / CAL COM 2010-NTN1-63	Y		1,566			1,566
Cluster: Other Programs								
<i>Fed Agency: US Dept of Commerce NOAA</i>								
		11.420 / A1676 / CAL COM 2012-NTN-1-63	N		4,377			4,377
					<u>Pass-through entity total:</u>			<u>5,943</u>
<u>Virginia Tech Institute & State University</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Agriculture (USDA)</i>								
		10.310 / A1268 / AG Sub VT 422183-19318	Y		1,273			1,273
<i>Fed Agency: US National Science Foundation (NSF)</i>								
		47.082 / A2500 / SBC VA Tech 478206-19318 ARRA	Y	Y	79,549			79,549
Cluster: Other Programs								
<i>Fed Agency: US Department of Agriculture (USDA)</i>								
		10.206 / A2985 / AG Sub VT 422050-19318	N		33,671			33,671
<i>Fed Agency: US Department of Education</i>								
		84.116 / A2338 / DE Sub VT 322261-19318	N		17,046			17,046
					<u>Pass-through entity total:</u>	<u>131,539</u>		<u>131,539</u>
<u>Virginia Transportation Research Council</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Transportation (DOT)</i>								
		20.205 / B9006 / VTRC VDOT 98160	Y		41,853			41,853
					<u>Pass-through entity total:</u>	<u>41,853</u>		<u>41,853</u>
<u>Virogenomics, Inc.</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institute of Allergy & Infectious Diseases</i>								
		93.855 / A1876 / NIH SUB VG 1R43AI082834-01A1	Y		9,995			9,995
					<u>Pass-through entity total:</u>	<u>9,995</u>		<u>9,995</u>
<u>Von Braun Center for Science and Innovation</u>								
Cluster: Other Programs								
<i>Fed Agency: US NASA</i>								
		43.unk / E4356 / VCSI Polar Flight 90	N			18,841		18,841
		43.unk / E5043 / Von Braun Center NNM07AA13A	N			75,121		75,121

Von Braun Center for Science and Innovation

FY11 SEFA Pass-through details

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
						<u>93,962</u>		<u>93,962</u>
<u>Vytrace</u>								
Cluster: 1R&D								
<i>Fed Agency: US Health & Human Services (HHS)</i>								
		93.000 / A1443 / NIH SUB Vytrace 2011-03045	Y		35,806			35,806
					<u>35,806</u>			<u>35,806</u>
<u>Wake Forest University</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institute of Dental & Craniofacial Research</i>								
		93.121 / E6976 / Wake Forest U WFUHS 50029	Y			-16		-16
						<u>-16</u>		<u>-16</u>
<u>Washington State Department of Ecology</u>								
Cluster: 1R&D								
<i>Fed Agency: US NASA</i>								
		43.000 / A2871 / NASA JPL 1378761	Y		4,796			4,796
Cluster: Other Programs								
<i>Fed Agency: US Environmental Protection Agency (EPA)</i>								
		66.605 / A2052 / CAL EPA 2010-MDN2-71	N		10,220			10,220
		66.605 / A2781 / HAL EPA 2010-MDN2-71	N		1,064			1,064
					<u>16,080</u>			<u>16,080</u>
<u>Washington State University</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institute of General Medical Sciences</i>								
		93.701 / E4569 / Washington 113068G002662 ARRA	Y	Y		110,038		110,038
						<u>110,038</u>		<u>110,038</u>
<u>Washington University</u>								
Cluster: 1R&D								
<i>Fed Agency: DOE Chicago operations</i>								
		81.049 / A3207 / DOE WU WU-09-139 2905314N	Y		-170			-170
<i>Fed Agency: National Cancer Institute</i>								
		93.399 / A2629 / NIH SUB WU-HT-10-25	Y		9,404			9,404
		93.399 / A2702 / NIH SUB WU-10-106/PO #2911311A	Y		46,957			46,957
<i>Fed Agency: National Institutes of Health (NIH)</i>								
		93.853 / E5844 / WU-08-01	Y			300		300
<i>Fed Agency: US Department of Energy (DOE)</i>								

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

81.049 / A1741 / DOE Sub WU WU-11-51 P02910748P	Y		111,216			111,216
81.049 / A2361 / DOE Sub WU WU-10-176 PO2911579A	Y		81,301			81,301
81.049 / A3307 / DOE WU-09-123 PO 2905269N	Y		20,216			20,216
81.049 / A3535 / DOE WU WU-09-127 2905315N	Y		23,699			23,699
<i>Fed Agency: US Environmental Protection Agency (EPA)</i>						
66.510 / A1204 / EPA Sub WU HT-11-29	Y		9,998			9,998
<i>Fed Agency: US NASA</i>						
43.000 / A1625 / NASA Sub WU-HT-11-06 PO#2910755P	Y		49,165			49,165
		<u>Pass-through entity total:</u>	<u>351,786</u>		<u>300</u>	<u>352,086</u>

Water Research Foundation

Cluster: 1R&D

Fed Agency: US Department of Energy (DOE)

81.049 / A3657 / DOE ARF PFA04201	Y		7,988			7,988
		<u>Pass-through entity total:</u>	<u>7,988</u>			<u>7,988</u>

Water Survey Research Center

Cluster: Other Programs

Fed Agency: US Environmental Protection Agency (EPA)

66.001 / B9100 / CAL New Mexico DAQ MDN4-08	N		7,940			7,940
66.001 / B9121 / CAL New Mexico DAQ NTN1-22	N		7,222			7,222
		<u>Pass-through entity total:</u>	<u>15,162</u>			<u>15,162</u>

Waukegan Public School 21st Century STARS

Cluster: Other Programs

Fed Agency: US Department of Education

84.287 / D7192 / ISBE Waukegan 2011-01795	N		3,131			3,131
		<u>Pass-through entity total:</u>	<u>3,131</u>			<u>3,131</u>

Wavefront Research Inc

Cluster: 1R&D

Fed Agency: Army

12.000 / A3111 / Army Sub WRI D-1546	Y		54,141			54,141
		<u>Pass-through entity total:</u>	<u>54,141</u>			<u>54,141</u>

Welborn Baptist Foundation, Inc.

Cluster: Other Programs

Fed Agency: Centers for Disease Control and Prevention (CDC)

93.723 / E3985 / Welborn Baptist Fdn Inc	N			52,024		52,024
		<u>Pass-through entity total:</u>		<u>52,024</u>		<u>52,024</u>

Welborn Baptist Foundation, Inc.

FY11 SEFA Pass-through details

Pass-Through Entity**Cluster****Fed Agency****CFDA Number / UI Grant Code / Award Title****Major
Program****ARRA****Urbana****Chicago****Springfield****All Campuses**

West Virginia State University

Cluster: Other Programs

Fed Agency: US Department of Agriculture (USDA)

10.216 / A1410 / AG Sub WVSU 2011-02978 TTA

N

149

149

10.unk / A3347 / AG WVSU TTA LG-26519

N

4,974

4,974

Pass-through entity total:5,1235,123**West Virginia University**

Cluster: Other Programs

Fed Agency: US Department of Agriculture (USDA)

10.001 / A1835 / AG Sub WVU PO 50061378 TTA

N

25,430

25,430

10.unk / A2843 / AG Sub TTA WVU URC09A&FUIUC

N

58,603

58,603

Pass-through entity total:84,03384,033**Westat**

Cluster: Other Programs

Fed Agency: US Health & Human Services (HHS)

93.unk / E3998 / GS-23F-8144H

N

63,396

63,396

Pass-through entity total:63,39663,396**WestEd**

Cluster: 1R&D

Fed Agency: US Department of Education

84.305 / E4281 / WestEd R305C100024

Y

79,648

79,648

Pass-through entity total:79,64879,648**Westinghouse Savannah River Co**

Cluster: 1R&D

Fed Agency: US Department of Energy (DOE)

81.000 / A4690 / CAL DOE 2007-MDN2-36

Y

11,284

11,284

Pass-through entity total:11,28411,284**Winnebago Tribe**

Cluster: Other Programs

Fed Agency: US Environmental Protection Agency (EPA)

66.038 / A1504 / CAL EPA 2010-MDN-4-31

N

5,536

5,536

66.038 / A2461 / CAL EPA 2009-MDN-4-31

N

5,722

5,722

Pass-through entity total:11,25811,258

Pass-Through Entity

Cluster	Fed Agency	CFDA Number / UI Grant Code / Award Title	Major Program	ARRA	Urbana	Chicago	Springfield	All Campuses
<u>Wisconsin Department of Commerce</u>								
Cluster: Other Programs								
<i>Fed Agency: US Environmental Protection Agency (EPA)</i>								
66.unk / A2284 / EPA Sub WI DDJ000491								
			N		11,064			11,064
			<u>Pass-through entity total:</u>		<u>11,064</u>			<u>11,064</u>
<u>Wisconsin Dept of Transportation</u>								
Cluster: 1R&D								
<i>Fed Agency: US Department of Transportation (DOT)</i>								
20.205 / B9039 / WisDOT 0092-10-09 USDOT								
			Y		13,188			13,188
			<u>Pass-through entity total:</u>		<u>13,188</u>			<u>13,188</u>
<u>Wisconsin National Primate Research Center</u>								
Cluster: Other Programs								
<i>Fed Agency: National Institutes of Health (NIH)</i>								
93.unk / A2744 / NIH SUB TTA WNPRC 10-8860								
			N		1,598			1,598
			<u>Pass-through entity total:</u>		<u>1,598</u>			<u>1,598</u>
<u>Woods Hole Oceanographic Institution</u>								
Cluster: 1R&D								
<i>Fed Agency: Navy</i>								
12.300 / A4436 / Navy Sub WHOI A100532								
			Y		34,771			34,771
			<u>Pass-through entity total:</u>		<u>34,771</u>			<u>34,771</u>
<u>Wrightwood Technologies Inc</u>								
Cluster: 1R&D								
<i>Fed Agency: National Center for Complementary & Alternative Medicine</i>								
93.213 / E4504 / Wrightwood Technology Inc								
			Y			115,415		115,415
			<u>Pass-through entity total:</u>			<u>115,415</u>		<u>115,415</u>
<u>Yale University</u>								
Cluster: 1R&D								
<i>Fed Agency: National Institute of Neurological Disorders & Stroke</i>								
93.853 / E5174 / Insulin Resistant Intervention Afte								
			Y			2,997		2,997
Cluster: Other Programs								
<i>Fed Agency: US Department of Energy (DOE)</i>								
81.unk / A2929 / DOE Sub Yale C09E10262								
			N		497			497
			<u>Pass-through entity total:</u>		<u>497</u>	<u>2,997</u>		<u>3,494</u>

STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS

Notes to Schedule of Expenditures of Federal Awards

Year ended June 30, 2011

(1) Basis of Presentation

The accompanying schedule of expenditures of federal awards includes the federal awards activity of the University of Illinois for the year ended June 30, 2011, and is presented on the accrual basis of accounting. The information in this schedule is presented in accordance with the requirements of OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Therefore, some amounts presented in this schedule may differ from amounts presented in, or used in the preparation of, the basic financial statements.

The schedule designates federal awards as direct and pass-through. Direct awards represent federal funding awarded directly to the University by a federal funding agency. Pass-through awards are federal awards passed through a non-federal entity.

(2) Student Loan Programs

As disclosed in the non-cash federal awards sections of the Schedule of Expenditures of Federal Awards, the Federal Direct Student Loans Program (CFDA 84.268) administered by the University awarded approximately \$231 million at the Urbana Campus, \$222.8 million at the Chicago Campus and \$23.7 million at the Springfield Campus.

There were no federal capital contributions received for the year ended June 30, 2011 under the Federal Perkins Loan Program (Perkins), the Health Professions Student Loans Program (HPSL), the Loans to Disadvantaged Students Program (LDS), or the Nursing Student Loans Program (Nursing). The Nurse Faculty Loan Program (NFLP) received \$87,846 in federal capital contributions for the year ended June 30, 2011.

The values of new loans issued to students for the year ended June 30, 2011 were approximately \$5 million for Perkins (CFDA 84.038), \$1.5 million for HPSL (CFDA 93.342), \$105,300 for NFLP (CFDA 93.264), \$163,136 for Nursing (CFDA 93.364, and \$35,000 for LDS (CFDA 93.342).

The total loan balances outstanding at June 30, 2011 were approximately \$39.1 million for Perkins, \$7.5 million for HPSL, \$386,743 for NFLP, \$22,180 for Nursing, and \$506,830 for LDS.

**STATE OF ILLINOIS
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Notes to Schedule of Expenditures of Federal Awards

Year ended June 30, 2011

(3) Non-cash Federal Assistance

The University of Illinois received a pass-through grant from Illinois Department of Human Services (IDHS) to review the applications of families seeking subsidized day care under the Temporary Assistance for Needy Families, Child Care Development Funds Cluster, and Social Services Block Grant programs. The applications were reviewed according to guidelines set by IDHS, who was the prime recipient of the federal funds. As a result of the University's application review, the State Comptroller distributed \$8,622,031 of federal funds to day care providers as follows:

	CFDA Number	Non-Cash Assistance
Temporary Assistance for Needy Families	93.558	\$ 5,437,417
Child Care Development Funds Cluster:		
Child Care and Development Block Grant	93.575	1,052,867
Child Care Mandatory and Matching Funds of the Child Care and Development Fund	93.596	2,083,565
Social Services Block Grant	93.667	48,182
Total non-cash federal assistance		\$ 8,622,031

These federal funds which are paid directly by the State to the providers are considered to be non-cash federal assistance to the University. Although these amounts are not reported on the schedule of expenditures of federal awards, they have been included for purposes of determination of major programs.

**STATE OF ILLINOIS
UNIVERSITY OF ILLINOIS**

Notes to Schedule of Expenditures of Federal Awards

Year ended June 30, 2011

(4) Subrecipient Payments

Of the federal expenditures presented in the Schedule, the university provided federal awards to subrecipients as follows during the year ended June 30, 2011:

Federal agency name	CFDA/ARRA/CFDA program name	Amount provided to subrecipients
Corporation for National & Community Service:		
94.006	AmeriCorps	\$ 203,867
Housing & Urban Development:		
14.241	Housing Opportunities for Persons with AIDS	65,988
14.251	Economic Development Initiative-Special Project, Neighborhood Initiative and Miscellaneous Grant	98,000
14.900	Lead-Based Paint Hazard Control in Privately-Owned Housing	8,131
14.910	Y ARRA - Healthy Homes Technical Studies Grants (Recovery Act Funded)	247,274
Institute of Museum & Library Services (IMLS):		
45.312	National Leadership Grants	35,117
45.313	Laura Bush 21st Century Librarian Program	17,118
United States Agency for International Development (AID):		
98.001	USAID Foreign Assistance for Programs Overseas	249,290
US Department of Agriculture (USDA):		
National Institute of Food and Agriculture/USDA		
10.309	Specialty Crop Research Initiative	219,990
10.310	Agriculture and Food Research Initiative (AFRI)	63,854
US Department of Agriculture (USDA)		
10.206	Grants for Agricultural Research_Competitive Research Grants	147,182
10.303	Integrated Programs	71,480
USDA Agricultural Research Services (ARS)		
10.001	Agricultural Research_Basic and Applied Research	4,764
USDA Coop State Research Education & Ext Serv (CSREES)		
10.200	Grants for Agricultural Research, Special Research Grants	259,390
10.206	Grants for Agricultural Research_Competitive Research Grants	359,236
10.303	Integrated Programs	460,815
USDA Economic Research (ERS)		
10.253	Food Assistance and Nutrition Research Programs (FANRP)	34,232
US Department of Commerce:		
US Department of Commerce		
11.417	Sea Grant Support	243,392
US Department of Commerce NOAA		
11.417	Sea Grant Support	298,493
Research and Technology Development		
US Department of Defense (DoD):		
Air Force:		
12.000	Department of Defense (non-specific)	61,408
12.800	Air Force Defense Research Sciences Program	1,733,820
Air Force Office of Scientific Research (AFOSR)		
12.630	Basic, Applied, and Advance Research in Science and Engineering	966,143
12.800	Air Force Defense Research Sciences Program	1,036,153
Army:		
12.000	Department of Defense (non-specific)	377,549
12.431	Basic Scientific Research	1,936
Army Research Office (ARO)		
12.000	Department of Defense (non-specific)	107,803
12.431	Basic Scientific Research	1,101,078

**STATE OF ILLINOIS
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Notes to Schedule of Expenditures of Federal Awards

Year ended June 30, 2011

(4) Subrecipient Payments (continued)

Federal agency name	CFDA/ARRA/CFDA program name	Amount provided to subrecipients
12.800	Boiling Air Force Base Air Force Defense Research Sciences Program	\$ 246,003
12.910	Defense Advanced Research Projects Agency (DARPA) Research and Technology Development	1,414,715
12.351	Defense Threat Reduction Agency (DTRA) Basic Scientific Research - Combating Weapons of Mass Destruction	335,923
12.300	Office of Naval Research (ONR) Basic and Applied Scientific Research	749,274
12.910	SPAWAR Systems Center Pacific Research and Technology Development	38,975
12.000	US Army Corps of Engineers Department of Defense (non-specific)	9,515
12.420	US Army Medical Research Acquisition Military Medical Research and Development	113,080
12.420	US Department of Defense (DoD) Military Medical Research and Development	40,000
US Department of Education:		
84.015	National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and I	815,865
84.116	Fund for the Improvement of Postsecondary Education	45,279
84.133	National Institute on Disability and Rehabilitation Research	628,766
84.305	Education Research, Development and Dissemination	1,578,296
84.324	Research in Special Education	233,082
84.336	Teacher Quality Partnership Grants	644,264
84.359	Early Reading First	382,716
84.367	Improving Teacher Quality State Grants	58,850
84.369	Grants for State Assessments and Related Activities	21,608
US Department of Energy (DOE):		
81.049	DOE Chicago Operations Office of Science Financial Assistance Program	104,130
81.000	Lawrence Livermore National Lab Department of Energy (non-specific)	20,492
81.087	National Energy Technology Laboratory (NETL) Renewable Energy Research and Development	66,500
81.089	Fossil Energy Research and Development	11,325,507
81.132	Y ARRA - Geologic Sequestration Site Characterization	2,368,449
81.134	Y ARRA - Industrial Carbon Capture and Storage (CCS) Application	2,736,322
US Department of Energy (DOE)		
81.049	Office of Science Financial Assistance Program	224,575
81.086	Conservation Research and Development	58,290
81.087	Renewable Energy Research and Development	101,140
81.089	Fossil Energy Research and Development	37,718
81.108	Epidemiology and Other Health Studies Financial Assistance Program	69,510
81.114	University Reactor Infrastructure and Education Support	13,209
81.117	Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical	54,000
81.119	Y ARRA - State Energy Program Special Projects	46,843
81.121	Nuclear Research, Development and Demonstration	182,703

**STATE OF ILLINOIS
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Notes to Schedule of Expenditures of Federal Awards

Year ended June 30, 2011

(4) Subrecipient Payments (continued)

Federal agency name	CFDA/ARRA/CFDA program name	Amount provided to subrecipients
81.122	Electricity Delivery and Energy Reliability, Research, Development and Analysis	\$ 552,879
US Department of Homeland Security (USDHS):		
97.044	Federal Emergency Management Agency (FEMA) Assistance Firefighters Grant	74,264
US Department of Interior:		
15.000	US Fish & Wildlife Department of the Interior (non-specific)	3,656
15.651	Wildlife Without Borders-Africa Program	59,643
US Department of Justice (DOJ):		
16.560	National Institute of Justice National Institute of Justice Research, Evaluation, and Development Project Grant	379,984
16.540	US Department of Justice (DOJ) Juvenile Justice and Delinquency Prevention_Allocation of State:	5,622
16.595	Community Capacity Development Office	214,447
16.609	Project Safe Neighborhoods	123,963
16.710	Public Safety Partnership and Community Policing Grants	45,028
16.744	Anti-Gang Initiative (B)	786,861
16.unk	DOJ 2008 GP CX 4020	77,210
US Department of Labor (DOL):		
17.502	Occupational Safety and Health_Susan Harwood Training Grants	6,556
US Department of Transportation (DOT):		
20.000	Federal Aviation Administration (FAA) Department of Transportation (non-specific)	48,251
20.205	Federal Highway Administration (FHWA) Highway Planning and Construction	4,950
20.314	Federal Railroad Administration Railroad Development	33,192
20.205	US Department of Transportation (DOT) Highway Planning and Construction	843,246
20.611	Incentive Grant Program to Prohibit Racial Profiling	80,100
20.701	University Transportation Centers Program	37,629
20.721	PHMSA Pipeline Safety Program One Call Grant	11,233
US Environmental Protection Agency (EPA):		
66.305	Compliance Assistance Support for Services to the Regulated Community and Other Assistance Provide	16,359
66.454	Y ARRA - Water Quality Management Planning	15,385
66.469	Great Lakes Program	106,227
66.509	Science To Achieve Results (STAR) Research Program	80,478
66.814	Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements	57,768
US Health & Human Services (HHS):		
93.009	Administration for Children and Families (ACF) Compassion Capital Fund	226,829
93.226	Agency for Healthcare Research and Quality (AHRQ) Research on Healthcare Costs, Quality and Outcomes	281,833
93.208	Agency for Toxic Substances and Disease Registry (ATSDR) Great Lakes Human Health Effects Research	25,717
93.262	Centers of Disease Control and Prevention (CDC) Occupational Safety and Health Program	199,125
93.283	Centers for Disease Control and Prevention_Investigations and Technical Assistance	355,533

**STATE OF ILLINOIS
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Notes to Schedule of Expenditures of Federal Awards

Year ended June 30, 2011

(4) Subrecipient Payments (continued)

Federal agency name	CFDA/ARRA/CFDA program name	Amount provided to subrecipients
Health Resources and Services Administration (HRSA):		
93.110	Maternal and Child Health Federal Consolidated Programs	\$ 99,097
93.134	Grants to Increase Organ Donations	32,714
93.145	AIDS Education and Training Centers	1,526,957
93.247	Advance Nursing Education Grant Program	12,000
93.251	Universal Newborn Hearing Screening	119,400
93.359	Nurse Education, Practice and Retention Grants	108,223
93.822	Health Careers Opportunity Program	116,463
93.824	Area Health Education Centers Infrastructure Development Awards	39,594
93.887	Health Care and Other Facilities	509,434
93.914	HIV Emergency Relief Project Grants	18,410
93.918	Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease	13,500
93.924	Ryan White HIV/AIDS Dental Reimbursements Community Based Dental Partnership	137,743
93.928	Special Projects of National Significance	156,798
HRSA/BHPR/DADPHP (Health Resources and Services):		
93.917	HIV Care Formula Grants	17,426
National Cancer Institute:		
93.393	Cancer Cause and Prevention Research	388,243
93.394	Cancer Detection and Diagnosis Research	732,670
93.395	Cancer Treatment Research	326,613
93.399	Cancer Control	350,526
93.701	Y ARRA - Trans-NIH Recover Act Research Support	170,563
National Center for Injury Prevention and Control:		
93.136	Injury Prevention and Control Research and State and Community Based Programs	129,480
National Center on Minority Health & Health Disparities:		
93.307	Minority Health and Health Disparities Research	47,716
National Eye Institute:		
93.701	Y ARRA - Trans-NIH Recovery Act Research Support	176,329
93.867	Vision Research	31,667
National Heart Lung & Blood Institute:		
93.701	Y ARRA - Trans-NIH Recovery Act Research Support	(167)
93.837	Cardiovascular Diseases Research	806,368
93.838	Lung Diseases Research	308,948
93.839	Blood Diseases and Resources Research	35,404
National Institute for Occupational Safety & Health:		
93.262	Occupational Safety and Health Program	130,437
National Institute of Allergy & Infectious Diseases:		
93.701	Y ARRA - Trans-NIH Recover Act Research Support	336,191
93.855	Allergy, Immunology and Transplantation Research	232,149
93.856	Microbiology and Infectious Diseases Research	667,040
National Institute of Biomedical Imaging & Bioengineering:		
93.286	Discovery and Applied Research for Technological Innovations to Improve Human Health	90,693
93.865	Child Health and Human Development Extramural Research	71,619
National Institute of Child Health & Human Development:		
93.000	Department of Health and Human Services (non-specific)	193,829
93.701	Y ARRA - NIH Recovery Act Research Support	169,085
93.865	Child Health and Human Development Extramural Research	909,584
National Institute of Diabetes & Digestive & Kidney Diseases:		
93.701	Y ARRA - Trans-NIH Recovery Act Research Support	187,828
93.849	Kidney Diseases Urology and Hematology Research	51,520
National Institute of Environmental Health Sciences:		
93.113	Environmental Health	146,017

**STATE OF ILLINOIS
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Notes to Schedule of Expenditures of Federal Awards

Year ended June 30, 2011

(4) Subrecipient Payments (continued)

Federal agency name	CFDA/ARRA/CFDA program name	Amount provided to subrecipients
93.701 Y	ARRA - Trans-NIH Recovery Act Research Support	\$ 545,501
National Institute of General Medical Sciences:		
93.310	Trans-NIH Research Support	90,745
93.701 Y	ARRA - Trans-NIH Recovery Act Research Support	195,837
93.859	Biomedical Research and Research Training	6,550,058
National Institute of Mental Health:		
93.242	Mental Health Research Grants	1,667,747
National Institute of Neurological Disorders & Stroke:		
93.853	Extramural Research Programs in the Neurosciences and Neurological Disorders	242,948
National Institute of Nursing Research:		
93.361	Nursing Research	480,319
93.701 Y	ARRA - Trans-NIH Recovery Act Research Support	147,263
National Institute on Aging:		
93.866	Aging Research	1,634,920
National Institute on Alcohol Abuse & Alcoholism:		
93.273	Alcohol Research Programs	81,645
93.701 Y	ARRA - Trans-NIH Recovery Act Research Support	351,924
National Institute on Drug Abuse:		
93.279	Drug Abuse and Addiction Research Programs	757,489
National Institutes of Health (NH):		
93.000	Department of Health and Human Services (non-specific)	39,370
93.242	Mental Health Research Grants	30,231
93.279	Drug Abuse and Addiction Research Programs	95,545
93.286	Discovery and Applied Research for Technological Innovations to Improve Human Health	45,817
93.361	Nursing Research	76,515
93.393	Cancer Cause and Prevention Research	299,970
93.394	Cancer Detection and Diagnosis Research	64,770
93.395	Cancer Treatment Research	204,013
93.600	Head Start	(59)
93.701 Y	ARRA - Trans-NIH Recovery Act Research Support	900,882
93.837	Cardiovascular Diseases Research	180,391
93.838	Lung Diseases Research	179,392
93.839	Blood Diseases and Resources Research	134,597
93.849	Kidney Diseases Urology and Hematology Research	12,036
93.853	Extramural Research Programs in the Neurosciences and Neurological Disorders	133,039
93.859	Biomedical Research and Research Training	32,378
93.865	Child Health and Human Development Extramural Research	298,108
93.866	Aging Research	50,782
93.867	Vision Research	376,870
93.917	HIV Care Formula Grants	263,357
National Library of Medicine:		
93.879	Medical Library Assistance	665,562
National Center for Chronic Disease Prev & Health Promotion:		
93.135	Centers for Research and Demonstration for Health Promotion and Disease Prevention	67,375
National Institute of Arthritis & Musculoskeletal & Skin Diseases:		
93.846	Arthritis, Musculoskeletal and Skin Diseases Research	36,984
Substance Abuse & Mental Health Services Admin (SAMHSA):		
93.234	Traumatic Brain Injury State Demonstration Grant Program	144,478
93.243	Substance Abuse and Mental Health Services_Projects of Regional and National Significance	86,691
93.959	Block Grants fro Prevention and Treatment of Substance Abuse	22,600

**STATE OF ILLINOIS
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Notes to Schedule of Expenditures of Federal Awards
Year ended June 30, 2011

(4) Subrecipient Payments (continued)

Federal agency name	CFDA/ARRA/CFDA program name	Amount provided to subrecipients
US Health & Human Services (HHS):		
93.088	Advancing System Improvements to Support Targets for Healthy People 2010	\$ 30,659
93.110	Maternal and Child Health Federal Consolidated Programs	23,661
93.279	Drug Abuse and Addiction Research Programs	6,370
93.290	National Community Centers of Excellence in Women's Health	20,893
93.600	Head Start	1,433,193
93.728	ARRA - Strategic health IT Advanced Research Projects (SHARP)	1,342,025
93.846	Arthritis, Musculoskeletal and Skin Diseases Research	4,582
93.994	Maternal and Child Health Services Block Grant in the States	60,497
US Library of Congress:		
42.000	Library of Congress (non-specific)	(6,763)
42.006	Library of Congress Constituent and Collection Services	11,635
US NASA:		
	Ames Research Center	
43.000	National Aeronautics and Space Administration (non-specific)	43,645
	Glenn Research Center at Lewis Field	
43.000	National Aeronautics and Space Administration (non-specific)	122,507
	Goddard Space Flight Center	
43.000	National Aeronautics and Space Administration (non-specific)	446,249
	Langley Research Center	
43.000	National Aeronautics and Space Administration (non-specific)	99,907
43.unk	ARRA-NASA NNL10AA07C ARRA	77,917
	NASA Shared Services Center	
43.000	National Aeronautics and Space Administration (non-specific)	243,067
43.002	Aeronautics	35,163
	US NASA	
43.000	National Aeronautics and Space Administration (non-specific)	475,958
43.unk	Von Braun Center NNM07AA13A	20,000
US National Endowment for the Humanities (NEH):		
45.169	Promotion of the Humanities Office of Digital Humanities	22,326
US National Science Foundation (NSF):		
47.041	Engineering Grants	1,612,476
47.049	Mathematical and Physical Sciences	479,268
47.050	Geosciences	1,108,172
47.070	Computer and information Science and Engineering	229,094
47.074	Biological Sciences	383,240
47.075	Social, Behavioral, and Economic Sciences	286,794
47.076	Education and Human Resources	827,852
47.078	Polar Programs	26,000
47.080	Office of Cyberinfrastructure	2,622,965
47.082	ARRA - Trans-NSF Recover Act Research Support	744,930
	Grand Total of Amount Provided to Subrecipients:	<u>\$ 77,613,933</u>