



**OFFICE OF THE  
AUDITOR GENERAL**

June 9, 2026  
Performance Audit

**Follow-Up Report**

**Christopher B. Meister** Auditor General

www.auditor.illinois.gov

Performance Audit of the  
**State Moneys Provided to the Illinois Violence Prevention Authority for the Neighborhood  
Recovery Initiative**  
**Audit Follow-Up**

The Office of the Auditor General conducted a performance audit of the State moneys provided to the Illinois Violence Prevention Authority for the Neighborhood Recovery Initiative (NRI) under contracts or grant agreements in Fiscal Year 2011 and in Fiscal Year 2012. The audit was conducted pursuant to House Resolution Number 1110, which was adopted on May 31, 2012. The audit was released in February 2014 and contained 19 recommendations directed to the Illinois Criminal Justice Information Authority (ICJIA). As **the NRI program ended prior to the beginning of our examination period**, as part of ICJIA’s Fiscal Years 2024 and 2025 compliance examination, we followed up on ICJIA's implementation of its corrective action plans as they pertained to the new and continuing programs administered by ICJIA during Fiscal Years 2024 and 2025 through its various individual grant agreements. As such, the terms in the original recommendations relating to lead agencies and partner agencies/community partners will now refer to grantees and subgrantees/subcontractors, respectively, when references are made in the recommendation implemented, partially implemented, or not implemented descriptions. The current status of the recommendations is shown in the table below. The testing for each recommendation that is not fully implemented is now included within the testing for two compliance findings (noted below); therefore, this will be the last separately released audit follow-up report for the performance audit.

**STATUS OF PERFORMANCE AUDIT RECOMMENDATIONS**

As of June 30, 2025

Rec. No.	Recommendation Description	Agency	Current Status		
			Implemented	Partially Implemented	Not Implemented
1	Implementation Schedule for the NRI	ICJIA	X		
2	Budget Process for the NRI	ICJIA	X		
3	Selection of NRI Agencies	ICJIA	X		
4	NRI Agency Proposal Evaluations	ICJIA	X		
5	NRI Agency Payment Method	ICJIA		X	
6	Evaluation Contract with the University of Illinois	ICJIA			X
7	Monitoring of Lead Agency Personnel	ICJIA		X	
8	Participation Rates – Monitoring Plus Jobs and Leadership Components	ICJIA		X	
9	Timesheets	ICJIA		X	
10	Staff Work Under Multiple Grants	ICJIA		X	
11	Support for Community Selection	ICJIA	X		
12	Approval for NRI Contracts	ICJIA		X	
13	Timely Submission of Quarterly Reports	ICJIA		X	
14	Reentry Services Participants	ICJIA	X		
15	Background Checks	ICJIA	X		
16	Budget Reallocation Approvals	ICJIA	X		
17	Capital Equipment	ICJIA	X		
18	Expense Monitoring	ICJIA		X	

## STATUS OF PERFORMANCE AUDIT RECOMMENDATIONS

As of June 30, 2025

Rec. No.	Recommendation Description	Agency	Current Status		
			Implemented	Partially Implemented	Not Implemented
19	Recovery of Unspent Grant Funds	ICJIA	X		

Note: Due to the NRI program ending, the testing for each recommendation that is not fully implemented is now included in ICJIA compliance findings 2025-001 and 2025-003.

Source: Summary of OAG follow-up.

### Recommendation 5: NRI Agency Payment Method

*ICJIA should utilize a payment method for NRI that is tied to actual expenditures of State dollars and not quarterly reports that are subsequently revised. Additionally, ICJIA should ensure that payments for NRI are only made pursuant to the contractual agreements.*

#### Current Status: Partially Implemented

We detail tested 60 grant agreements to ensure expenditures of the grantee were spent as originally budgeted for or were correctly paid if changes were made to any of the original budgets. No exceptions were noted. We also reviewed all quarterly fiscal reports for any budget revisions and discrepancies thereof. No exceptions were noted. However, during our review of ICJIA's site monitoring visits, which is one of ICJIA's control mechanisms for reviewing expenditure detail, we noted ICJIA did not perform all site visits required during the examination period. See Fiscal Years 2024 and 2025 Compliance Examination Finding 2025-001 for further details.

### Recommendation 6: Evaluation Contract with the University of Illinois

*ICJIA should take the steps necessary to enforce provisions of contractual agreements involving evaluation of the NRI program. Further, ICJIA should require community partners to comply with contractual agreements and submit the required data for evaluation or seek to remove the community partners from the program. ICJIA should also consider tying payments to contractual deliverables to ensure work is not only completed but also completed according to the agreed-upon dates. Given the investment the State has in the NRI program, ICJIA should conduct an evaluation of how effective the NRI program has been in reducing violence levels in the applicable communities that received funding.*

#### Current Status: Not Implemented

As this recommendation pertained to evaluation of deliverables required by the applicable agreement with the grantee, we followed up on this recommendation by detail testing 60 grant agreements to ensure compliance with the grant agreements' requirements. During our testing, we noted several instances of noncompliance, which we have noted in Fiscal Years 2024 and 2025 Compliance Examination Finding 2025-003. In addition, ICJIA's grant specialists participate in the subgrantees/subcontractors site visits with the grantees. However, during our review of ICJIA's site monitoring visits, which is one of ICJIA's control mechanisms for reviewing grant information at the grantee level, we noted (1) ICJIA did not perform all site visits required during the examination period, and (2) of the site visits conducted, site visit documentation was determined to be inadequate. See Fiscal Years 2024 and 2025 Compliance Examination Finding 2025-001 for further details.

### Recommendation 7: Monitoring of Lead Agency Personnel

*ICJIA should enforce provisions of the NRI contracts with lead agencies and ensure it is aware of the staff assigned to conduct NRI activities under the State grant.*

#### Current Status: Partially Implemented

We detail tested 60 grant agreements to ensure requirements of each grant were followed. ICJIA implemented a timekeeping requirement requiring grantees to track and maintain time certifications, signed by the staff and supervisor, for grant-funded personnel and exceptions were noted regarding this requirement. See Fiscal Years 2024 and 2025 Compliance Examination Finding 2025-003 for further details. In addition, ICJIA's grant specialists participate in the subgrantees/subcontractors site visits with the grantees. However, during our review of ICJIA's site monitoring visits,

which is one of ICJIA's control mechanisms for reviewing personnel timesheets, we noted (1) ICJIA did not perform all site visits required during the examination period, and (2) of the site visits conducted, site visit documentation was determined to be inadequate. See Fiscal Years 2024 and 2025 Compliance Examination Finding 2025-001 for further details.

### **Recommendation 8: Participation Rates – Monitoring Plus Jobs and Leadership Components**

*ICJIA should either ensure that providers hire the required number of positions for NRI or determine if other levels need to be memorialized in contractual agreements. Additionally, when quarterly reports show problems with hiring practices, ICJIA should document how those problems are resolved.*

#### **Current Status: Partially Implemented**

We detail tested 60 grant agreements to ensure requirements of each grant were followed. ICJIA implemented a timekeeping requirement requiring grantees to track and maintain time certifications, signed by the staff and supervisor, for grant-funded personnel and exceptions were noted regarding this requirement. See Fiscal Years 2024 and 2025 Compliance Examination Finding 2025-003 for further details. In addition, ICJIA's grant specialists participate in the subgrantees/subcontractors site visits with the grantees. However, during our review of ICJIA's site monitoring visits, which is one of ICJIA's control mechanisms for reviewing personnel timesheets, we noted (1) ICJIA did not perform all site visits required during the examination period, and (2) of the site visits conducted, site visit documentation was determined to be inadequate. See Fiscal Years 2024 and 2025 Compliance Examination Finding 2025-001 for further details.

### **Recommendation 9: Timesheets**

*ICJIA should ensure that NRI providers maintain contractually required timesheets on staff that perform NRI activities. Additionally, ICJIA should be consistent with respect to timesheets in all contractual agreements for NRI.*

#### **Current Status: Partially Implemented**

We detail tested 60 grant agreements to ensure requirements of each grant were followed. ICJIA implemented a timekeeping requirement requiring grantees to track and maintain time certifications, signed by the staff and supervisor, for grant-funded personnel and exceptions were noted regarding this requirement. See Fiscal Years 2024 and 2025 Compliance Examination Finding 2025-003 for further details. In addition, ICJIA's grant specialists participate in the subgrantees/subcontractors site visits with the grantees. However, during our review of ICJIA's site monitoring visits, which is one of ICJIA's control mechanisms for reviewing personnel timesheets, we noted (1) ICJIA did not perform all site visits required during the examination period, and (2) of the site visits conducted, site visit documentation was determined to be inadequate. See Fiscal Years 2024 and 2025 Compliance Examination Finding 2025-001 for further details.

### **Recommendation 10: Staff Work Under Multiple Grants**

*ICJIA should take the necessary steps to gather and monitor information to ensure that individuals are not paid in excess of 100 percent of their time for work on NRI and other State grant programs.*

#### **Current Status: Partially Implemented**

We detail tested 60 grant agreements to ensure requirements of each grant were followed. ICJIA implemented a timekeeping requirement requiring grantees to track and maintain time certifications, signed by the staff and supervisor, for grant-funded personnel and exceptions were noted regarding this requirement. See Fiscal Years 2024 and 2025 Compliance Examination Finding 2025-003 for further details. In addition, ICJIA's grant specialists participate in the subgrantees/subcontractors site visits with the grantees. However, during our review of ICJIA's site monitoring visits, which is one of ICJIA's control mechanisms for reviewing personnel timesheets, we noted (1) ICJIA did not perform all site visits required during the examination period, and (2) of the site visits conducted, site visit documentation was determined to be inadequate. See Fiscal Years 2024 and 2025 Compliance Examination Finding 2025-001 for further details.

### **Recommendation 12: Approval for NRI Contracts**

*ICJIA should ensure that approval of all contracts for NRI services is maintained and that timely approvals are completed. Additionally, ICJIA should only allow providers to initiate NRI services after an executed contract has been approved.*

#### **Current Status: Partially Implemented**

We detail tested 60 grant agreements to ensure each grant or subgrant/subcontract agreement was approved and signed prior to the start of services. Our testing results indicated (1) ICJIA and/or the grantee did not sign the grant agreement prior to the start date of the grant, and (2) ICJIA did not review or approve contracts with subcontractors. See Fiscal Years 2024 and 2025 Compliance Examination Finding 2025-003 for further details.

### **Recommendation 13: Timely Submission of Quarterly Reports**

*ICJIA should ensure that lead agencies are appropriately monitoring partner agencies. ICJIA should ensure that lead agencies require partner agencies to submit quarterly reports that are timely and accurately approved and certified. Additionally, ICJIA should consider collecting and reviewing all supporting documentation to ensure State resources are appropriately expended on the NRI program.*

#### **Current Status: Partially Implemented**

We detail tested 60 grant agreements to ensure requirements of each grant were followed. For 32 out of the 60 grants tested, the grantee used the services of subcontractors/subgrantees. Of those 32 subcontractors/subgrantees, our testing results indicated ICJIA did not review or approve the contracts for one grant. See Fiscal Years 2024 and 2025 Compliance Examination Finding 2025-003 for further details. In addition, ICJIA's grant specialists participate in the subgrantees/subcontractors site visits with the grantees. However, during our review of ICJIA's site monitoring visits, which is one of ICJIA's control mechanisms for reviewing information regarding subcontractors/subgrantees, we noted (1) ICJIA did not perform all site visits required during the examination period, and (2) of the site visits conducted, site visit documentation was determined to be inadequate. See Fiscal Years 2024 and 2025 Compliance Examination Finding 2025-001 for further details.

### **Recommendation 18: Expense Monitoring**

*ICJIA should develop procedures for its own review of expense support for NRI activities as well as procedures for lead agencies to utilize in monitoring expenses for NRI.*

#### **Current Status: Partially Implemented**

We detail tested 60 grant agreements to ensure expenditures of the grantee were spent as originally budgeted for or were correctly paid if changes were made to any of the original budgets. No exceptions were noted. We also reviewed all quarterly fiscal reports for any budget revisions and discrepancies thereof. No exceptions were noted. In addition, we noted ICJIA's grant specialists participate in the subgrantees/subcontractors site visits with the grantees. However, during our review of ICJIA's site monitoring visits, which is one of ICJIA's control mechanisms for reviewing expenditure detail, we noted (1) ICJIA did not perform all site visits required during the examination period, and (2) of the site visits conducted, site visit documentation was determined to be inadequate. See Fiscal Years 2024 and 2025 Compliance Examination Finding 2025-001 for further details.

*Follow-up was conducted as part of the Fiscal Years 2024 and 2025 State compliance examination by our special assistant auditors, West & Company, LLC. This was the sixth time follow-up has been done on the recommendations from the February 2014 performance audit.*