



STATE OF ILLINOIS
**OFFICE OF THE
AUDITOR GENERAL**

William G. Holland, Auditor General

SUMMARY REPORT DIGEST

ATTORNEY REGISTRATION AND DISCIPLINARY COMMISSION

**FINANCIAL AUDIT AND COMPLIANCE
EXAMINATION**
For the Two Years Ended: December 31, 2011
Release Date: May 17, 2012

Summary of Findings:
Total this audit: 0
Total last audit: 0
Repeated from last audit: 0

SYNOPSIS

There were no material findings of noncompliance disclosed during our audit tests.

AUDITORS' OPINION

Our special assistant auditors stated the December 31, 2011 and December 31, 2010 Attorney Registration and Disciplinary Commission financial statements are fairly presented in all material respects.

WILLIAM G. HOLLAND
- Auditor General

WGH:JC:rt

SPECIAL ASSISTANT AUDITORS

Our special assistant auditors for this audit were E.C. Ortiz & Co., LLP.

{Expenditures and Activity Measures are summarized on the reverse page.}

**ATTORNEY REGISTRATION AND DISCIPLINARY COMMISSION
FINANCIAL AUDIT AND COMPLIANCE EXAMINATION
For the Two Years Ended December 31, 2011**

| REVENUES AND EXPENSES | 2011 | 2010 | 2009 |
|---|-----------------------------|-----------------------------|-----------------------------|
| Total Revenue | | | |
| Investment income..... | \$ 245,414 | \$ 292,994 | \$ 342,602 |
| Registration and program fees and delinquent charges... | 17,121,917 | 16,937,490 | 16,595,386 |
| Cost reimbursements collected..... | 95,436 | 97,548 | 81,735 |
| Administrative expense reimbursement from CPP..... | 265,968 | 263,364 | 249,996 |
| Client Protection Program (CPP) reimbursements..... | 8,145 | 56,623 | 142,350 |
| Total Revenue..... | <u>\$ 17,736,880</u> | <u>\$ 17,648,019</u> | <u>\$ 17,412,069</u> |
| Total Expenses | | | |
| Salaries and related expenses..... | \$ 10,819,099 | \$ 10,693,313 | \$ 10,119,406 |
| Travel expenses..... | 125,743 | 135,371 | 112,305 |
| Library and continuing education..... | 242,598 | 256,472 | 238,514 |
| General expenses and office support..... | 2,359,722 | 1,975,722 | 1,751,832 |
| Computer expenses..... | 226,560 | 326,091 | 237,874 |
| Other professional and case-related expenses..... | 807,222 | 735,188 | 789,303 |
| CPP direct expenses, awards..... | 1,004,186 | 705,168 | 1,091,473 |
| CPP direct expenses, bank fees..... | 6,419 | 5,328 | 4,191 |
| Administrative expense reimbursement to ARDC..... | 265,968 | 263,364 | 249,996 |
| Depreciation and amortization expense..... | 466,075 | 405,025 | 186,105 |
| Total Expenses | <u>\$ 16,323,592</u> | <u>\$ 15,501,042</u> | <u>\$ 14,780,999</u> |

| SELECTED ACTIVITY MEASURES (Unaudited) | 2011 | 2010 | 2009 |
|--|----------------------|----------------------|----------------------|
| Attorneys admitted during current fiscal year..... | 3,004 | 3,225 | 3,164 |
| Attorneys admitted longer than one year but less than three years..... | 5,451 | 5,424 | 5,227 |
| Attorneys admitted for three years or longer..... | 65,211 | 63,803 | 62,387 |
| Serving: active military duty..... | 323 | 303 | 255 |
| Serving: judges or judicial clerk..... | 2,122 | 2,037 | 2,016 |
| Serving: birthday before 12/31/32, 12/31/31, 12/31/30... | 1,654 | 1,605 | 1,409 |
| Inactive Status..... | 10,752 | 10,789 | 10,313 |
| Total active and registered attorneys..... | <u>88,517</u> | <u>87,186</u> | <u>84,771</u> |
| Removed from master roll (arrear, deceased, inactive and disciplined attorneys) | 1,816 | 2,783 | 3,226 |

| AGENCY DIRECTOR | |
|----------------------------|------------------------------|
| During Examination Period: | Jerome Larkin, Administrator |
| Currently: | Jerome Larkin, Administrator |