



STATE OF ILLINOIS
**OFFICE OF THE
AUDITOR GENERAL**

William G. Holland, Auditor General

SUMMARY REPORT DIGEST

ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

**COMPLIANCE EXAMINATION
For the Two Years Ended: June 30, 2011**

Release Date: March 6, 2012

Summary of Findings:

Total this examination:	1
Total last examination:	3
Repeated from last examination:	1

SYNOPSIS

- The Illinois Criminal Justice Information Authority did not fully test its disaster contingency plan.

{Expenditures and Activity Measures are summarized on the reverse page.}

**ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2011**

EXPENDITURE STATISTICS	FY 2011	FY 2010	FY 2009
Total Expenditures (All Funds).....	\$ 53,432,792	\$ 58,949,486	\$ 41,569,794
OPERATIONS TOTAL.....	\$ 1,879,229	\$ 1,801,220	\$ 2,119,785
% of Total Expenditures.....	3.5%	3.1%	5.1%
AWARDS AND GRANTS.....	\$ 51,553,563	\$ 57,148,266	\$ 39,450,009
% of Total Expenditures.....	96.5%	96.9%	94.9%
Total Receipts.....	\$ 45,033,118	\$ 45,282,644	\$ 84,327,910
Average Number of Employees*.....	63	62	55

* Excludes contractual employees: FY11 - 9; FY10 - 6; and FY09 - 4

SELECTED ACTIVITY MEASURES (Not Examined)	FY 2011	FY 2010	FY 2009
CRIME CONTROL, CRIME PREVENTION, and VICTIM ASSISTANCE			
Operating Statistics			
Grants opened.....	221	433	461
Grants monitored.....	558	722	657
Technical assistance responses.....	**	12,827	13,212
Program/fiscal reports processed.....	3,032	5,031	3,717

** In FY11, technical assistance responses were no longer tracked.

EXECUTIVE DIRECTOR
During Examination Period: Mr. Jack Cutrone
Currently: Mr. Jack Cutrone

**FINDINGS, CONCLUSIONS, AND
RECOMMENDATIONS**

LACK OF DISASTER CONTINGENCY PLAN

Authority did not fully test its disaster recovery plan

The Illinois Criminal Justice Information Authority (Authority) did not fully test its disaster contingency plan.

The primary mission of the Authority is to "improve the administration of justice by enhancing the information tools available to State and local criminal justice agencies and administrators." To accomplish this, the Authority maintains several essential computer systems. **This finding has been repeated since 1987.**

The Authority moved the majority of its computer processing environment as well as its backup systems to an off-site facility. A recovery test of the Authority's new computing environment had not been performed. The Authority has not been able to execute a full test due to lack of available funding to purchase additional workstations, servers, and data circuits.

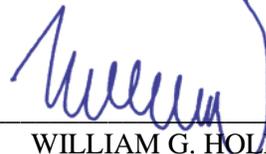
Tests of disaster recovery plans (and the associated documentation of the test results) verify that the plans, procedures and resources provide the capability to recover essential systems within the required timeframe. (Finding 1, pages 9-10)

Authority agrees with auditors

Authority officials accepted the finding. (For previous Authority response, see Digest Footnote #1)

AUDITORS' OPINION

Auditors conducted a compliance examination of the Illinois Criminal Justice Information Authority for the two years ended June 30, 2011 as required by the Illinois State Auditing Act. The Illinois Criminal Justice Information Authority has no funds that require an audit leading to an opinion on financial statements.



WILLIAM G. HOLLAND
Auditor General

WGH:JGR:rt

AUDITORS ASSIGNED

Our special assistant auditors were Bronner Professional Services.

DIGEST FOOTNOTES

#1 – LACK OF DISASTER CONTINGENCY PLAN – Previous Response

2009: The Authority accepts this finding and is currently working on completing the Disaster Recovery Plan.