

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPLIANCE EXAMINATION**

For the Two Years Ended June 30, 2010

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AGENCY OFFICIALS

DEPARTMENT OF STATE POLICE

Interim Director (effective 2/14/11)	Mr. Patrick Keen
Acting Director (3/22/09-2/13/11)	Mr. Jon Monken
Director (through 3/20/09)	Mr. Larry Trent
First Deputy Director (effective 1/1/10)	Mr. Luis Tigera
First Deputy Director (through 12/31/09)	Mr. Charles Brueggemann
Interim Chief Fiscal Officer	Mr. Michael Yokley
Interim Legal Counsel (through 1/1/11)	Mr. John Hosteny

Agency offices are located at:

801 South Seventh Street
Springfield, IL 62794



ILLINOIS STATE POLICE
Office of the Director

Pat Quinn
Governor

March 15, 2011

Patrick E. Keen
Interim Director

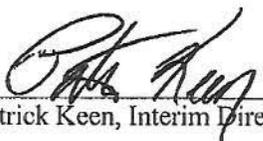
Honorable William G. Holland
Auditor General
State of Illinois
Hes Park Plaza
740 East Ash
Springfield, Illinois 62703-3154

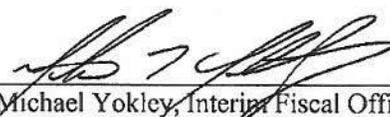
We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the Department of State Police (Agency). We are responsible for and we have established and maintained an effective system of internal controls over compliance requirements. We have performed an evaluation of the Agency's compliance with the following assertions during the two year period ended June 30, 2010. Based on this evaluation, we assert that during the years ended June 30, 2010, and June 30, 2009, the Agency has materially complied with the assertions below.

- A. The Agency has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The Agency has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The Agency has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the Agency are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate, and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the Agency on behalf of the State or held in trust by the Agency have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.

Sincerely,

Department of State Police


Patrick Keen, Interim Director


Michael Yokley, Interim Fiscal Officer

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COMPLIANCE REPORT

SUMMARY

The compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and in accordance with the Illinois State Auditing Act.

ACCOUNTANTS' REPORTS

The Independent Accountants' Report on State Compliance, on Internal Control Over Compliance and on Supplementary Information for State Compliance Purposes does not contain scope limitations, disclaimers, or other significant non-standard language.

SUMMARY OF FINDINGS

<u>Number of</u>	<u>Current</u>	<u>Prior</u>
<u>Findings</u>	<u>Report</u>	<u>Report</u>
	8	7
Repeated findings	1	4
Prior recommendations implemented or not repeated	6	8

SCHEDULE OF FINDINGS

<u>Item No.</u>	<u>Page</u>	<u>Description</u>	<u>Finding Type</u>
FINDINGS (STATE COMPLIANCE)			
10-1	8	Inadequate control over property and equipment	Significant Deficiency and Noncompliance
10-2	12	Lack of project management	Significant Deficiency and Noncompliance
10-3	15	Failure to maintain security controls over confidential information	Significant Deficiency and Noncompliance
10-4	18	Inadequate controls over leaves of absences	Significant Deficiency and Noncompliance

10-5	19	Voucher processing weaknesses	Significant Deficiency and Noncompliance
10-6	21	Inadequate monitoring of interagency agreements	Significant Deficiency and Noncompliance
10-7	22	Noncompliance with the Missing Persons Identification Act	Significant Deficiency and Noncompliance
10-8	24	Inadequate controls over accounts receivable reporting	Significant Deficiency and Noncompliance

PRIOR FINDINGS NOT REPEATED

A	26	Contract monitoring deficiencies
B	26	Internet gang crime units
C	26	Inadequate controls over contractual agreements
D	27	Noncompliance with Criminal Code of 1961
E	27	Noncompliance with grant agreements

EXIT CONFERENCE

The findings and recommendations appearing in this report were discussed with Agency personnel at an exit conference on March 3, 2011. Attending were:

Department of State Police

Patrick Keen, Interim Director
 Jessica Trame, Chief of Staff
 Luis Tigera, First Deputy Director
 Lt. Col. Lance Adams, Deputy Director
 Michael Yokely, Interim Chief Fiscal Officer

Office of the Auditor General

Jane Clark, Audit Manager
 Max Paller, Audit Supervisor
 Stephanie Wildhaber, Audit Supervisor
 Megan Green, Audit Staff
 Patrick Stump, Audit Staff

Responses to the recommendations were provided by Mr. Michael Yokley in correspondence dated March 8, 2011.

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OFFICE OF THE AUDITOR GENERAL
WILLIAM G. HOLLAND

INDEPENDENT ACCOUNTANTS' REPORT ON STATE COMPLIANCE,
ON INTERNAL CONTROL OVER COMPLIANCE, AND ON
SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

Honorable William G. Holland
Auditor General
State of Illinois

Compliance

We have examined the State of Illinois Department of State Police's compliance with the requirements listed below, as more fully described in the Audit Guide for Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (Audit Guide) as adopted by the Auditor General, during the two years ended June 30, 2010. The management of the State of Illinois Department of State Police is responsible for compliance with these requirements. Our responsibility is to express an opinion on the State of Illinois Department of State Police's compliance based on our examination.

- A. The State of Illinois Department of State Police has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The State of Illinois Department of State Police has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The State of Illinois Department of State Police has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the State of Illinois Department of State Police are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the State of Illinois Department of State Police on behalf of the State or held in trust by the State of

Illinois Department of State Police have been properly and legally administered and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.

We conducted our examination in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States; the Illinois State Auditing Act (Act); and the Audit Guide as adopted by the Auditor General pursuant to the Act; and, accordingly, included examining, on a test basis, evidence about the State of Illinois Department of State Police's compliance with those requirements listed in the first paragraph of this report and performing such other procedures as we considered necessary in the circumstances. We believe that our examination provides a reasonable basis for our opinion. Our examination does not provide a legal determination on the State of Illinois Department of State Police's compliance with specified requirements.

In our opinion, the State of Illinois Department of State Police complied, in all material respects, with the compliance requirements listed in the first paragraph of this report during the two years ended June 30, 2010. However, the results of our procedures disclosed instances of noncompliance, which are required to be reported in accordance with criteria established by the Audit Guide, issued by the Illinois Office of the Auditor General and which are described in the accompanying schedule of findings as items 10-1, 10-2, 10-3, 10-4, 10-5, 10-6, 10-7 and 10-8.

Internal Control

The management of the State of Illinois Department of State Police is responsible for establishing and maintaining effective internal control over compliance with the requirements listed in the first paragraph of this report. In planning and performing our examination, we considered the State of Illinois Department of State Police's internal control over compliance with the requirements listed in the first paragraph of this report as a basis for designing our examination procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with the Audit Guide issued by the Illinois Office of the Auditor General, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the State of Illinois Department of State Police's internal control over compliance.

A *deficiency* in an entity's internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct noncompliance with the requirements listed in the first paragraph of this report on a timely basis. A *material weakness over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance such that there is a reasonable possibility that material noncompliance with a requirement listed in the first paragraph of this report will not be prevented, or detected and corrected on a timely basis.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be deficiencies, significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above. However, we identified certain deficiencies in internal control over compliance that we considered to be significant deficiencies as described in the accompanying schedule of findings as items 10-1, 10-2, 10-3, 10-4, 10-5, 10-6, 10-7 and 10-8. A *significant deficiency over compliance* is a deficiency, or combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

As required by the Audit Guide, immaterial findings excluded from this report have been reported in a separate letter.

The State of Illinois Department of State Police's responses to the findings identified in our examination are described in the accompanying schedule of findings. We did not examine the State of Illinois Department of State Police's responses and, accordingly, we express no opinion on the responses.

Supplementary Information for State Compliance Purposes

Our examination was conducted for the purpose of forming an opinion on compliance with the requirements listed in the first paragraph of this report. The accompanying supplementary information as listed in the table of contents as Supplementary Information for State Compliance Purposes is presented for purposes of additional analysis. We have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General to the 2010 and 2009 Supplementary Information for State Compliance Purposes, except for Service Efforts and Accomplishments on which we did not perform any procedures. However, we do not express an opinion on the supplementary information.

We have not applied procedures to the 2008 Supplementary Information for State Compliance Purposes, and accordingly, we do not express an opinion thereon.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, agency management and is not intended to be and should not be used by anyone other than these specified parties.


BRUCE L. BULLARD, CPA
Director of Financial and Compliance Audits

March 15, 2011

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2010

10-1. **FINDING** (Inadequate control over property and equipment)

The Illinois Department of State Police (Department) did not exercise adequate control over the recording and reporting of its State property and equipment.

The Department maintained both individual transaction listings by category (i.e. equipment additions, building and building improvements, equipment transfers, etc.) and monthly transaction reports which totaled the individual transaction listings on a one page summary. The Department then used the monthly transaction amounts to determine the amounts to be reported to the State Comptroller's Office. However, we noted differences for at least one category between the individual transaction listing totals and the monthly transaction report totals for all eight quarters of our testing period which resulted in the following discrepancy:

- We noted six of eight (75%) Quarterly Reports of State Property (C-15s) prepared by the Department and submitted to the State Comptroller's Office did not accurately reflect Department equipment transactions. We noted the additions were understated by \$819,499 in FY09, deletions were understated by \$797,080 in FY09 and overstated by \$11,861 in FY10, and net transfers were overstated by \$719,452 in FY09 and by \$14,599 in FY10.

The Statewide Accounting Management System (SAMS) procedure 29.10.30 states the C-15 should present the total cost of State property, by category, reflected on the agency's records as of the reporting date. In addition, good business practices require an agency to review all reported information for accuracy before submission and require proper internal controls to be established to ensure the accuracy and reliability of accounting data.

We also noted the following issues over the recording and reporting of State property and equipment:

- Fifteen of 40 (38%) equipment items tested, totaling \$408,877, were not added to the Department's inventory records within 30 days of acquisition. These items were added between six and sixty days late.

The Illinois Administrative Code (Code) (44 Ill. Adm. Code 5010.400) requires agencies to adjust property records within 30 days of acquisition, change or deletion of equipment items. Inaccurate fixed asset reporting reduces the reliability of Statewide fixed asset information.

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- The Department did not record a discount for one equipment item until 35 days after the item was originally added to the inventory records. Also, the Department recorded an item on the inventory records including a trade-in allowance resulting in a \$50,000 understatement.

The Code (44 Ill. Adm. Code 5010.240) states agencies must report the purchase price of equipment inventoried and the value of trade-in items must not be used to reduce the purchase price.

- Three of 40 (8%) surplus items did not have the purchase date or purchase price filled out on the Department of Central Management Services (CMS) surplus property form. Also, one vehicle was demolished in an accident in 2005 but not removed from inventory records until March 2009.

CMS designed the forms to ensure agencies provide all relevant information for items being sent to surplus. Not providing all requested information does not allow CMS to capture all relevant data. The State Property Control Act (Act) (30 ILCS 605/4) requires the Department be accountable for the supervision, control and inventory of all property under its jurisdiction and control. In addition, the Act (30 ILCS 605/6.02) requires each responsible officer to maintain a permanent record of all items of property under his jurisdiction and control. Also, the State Records Act (5 ILCS 160/9) requires the Department preserve records containing adequate and proper documentation.

- Four of 25 (16%) Accounting for Leases-Lessee Forms (SCO-560s) tested were not filled out properly. One SCO-560 did not match the purchase agreement and multiple year lease report. Another did not have the correct fair value listed. Also, the fair value calculation was not broken out to include accessories on two SCO-560s.

SAMS (Procedure 27.20.60) requires SCO-560s to be filed with the Office of the Comptroller for each asset being leased with a fair market value greater than \$5,000 and for any installment purchase agreement. According to SAMS (Procedure 27.20.60), the purchase price of the leased property at inception is to be recorded on the SCO-560.

We also performed equipment testing at five district locations. We selected 10 items at each location to ensure equipment located at the District was included on the Department's property listing and we selected 10 items from the Department's property listing to ensure the property was where it was supposed to be. We noted the following at District 9:

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- One of 10 (10%) property items selected for testing at the District was a television which was located in an office and had a tag number but was not found on the Department's inventory listing. In addition, one of 10 (10%) property items, a printer totaling \$1,568, was located within District 9; however, the physical location differed from the location specified on the Department's inventory listing.

SAMS (Procedure 29.10.10) requires an agency to maintain current property information at a summary level, which includes a description of each asset and its location.

Department personnel stated the errors noted on the C-15s were due to competing priorities and lack of resources to perform monthly reconciliations between the individual transaction listings and the monthly transaction report totals. In addition, some changes were made by Shared Services for which no support was provided to the Department. Department personnel also stated competing priorities, clerical error and oversight resulted in items not being added to inventory records timely, items being recorded at the wrong amount, surplus forms not being completed properly and SCO-560 forms being inaccurate. Lastly, Department personnel stated the television was inadvertently not added to the Department's property records and the printer was listed at the wrong location due to miscommunication. Both items have since been corrected.

Failure to maintain accurate property and equipment records increases the potential for fraud and possible loss or theft of State property. In addition, inaccurate property reporting reduces the reliability of Statewide capital asset information. (Finding Code No.10-1, 08-1, 06-1, 04-1, 02-1)

RECOMMENDATION

We recommend the Department strengthen controls over the recording and reporting of its State property and equipment by reviewing their inventory and recordkeeping practices to ensure compliance with statutory and regulatory requirements. We also recommend the Department ensure all equipment is accurately and timely recorded on the Department's property records and properly valued. In addition, we recommend the Department thoroughly review all reports prepared from internal records for accuracy before submission to the State Comptroller and CMS.

DEPARTMENT RESPONSE

The ISP concurs. The Property Control Unit has continued to face issues related to insufficient staffing as well as system malfunctions. The ISP will work closely with

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the Public Safety Shared Services Center (PSSSC) to ensure property is added to the inventory system in a timely manner and required reporting to the IOC is completed accurately. The PSSSC has sent emails to all Property Custodians stating all information must be complete on surplus delivery forms.

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For the Two Years Ended June 30, 2010

10-2. **FINDING** (Lack of project management)

The Illinois Department of State Police (Department) did not have an adequate project management framework over the Illinois Citizen and Law Enforcement Analysis and Reporting system (ICLEAR) and the Incident Reporting and Case Management System (ICASE).

The Department was responsible for the project oversight, assignment of a project manager, and providing the contractor with development direction. However, the Department had not developed a project management framework or tools, which would help ensure the projects met the State's goals and objectives.

During our review, we requested from the Department the project methodology, project documentation, and cost analysis. Specifically:

- The Department could not provide complete and accurate project and development documents. For example, the Department provided a deliverable tracking list which indicated only 18 of the 45 deliverables (40%) had been completed. Additionally, the Department provided project documents which were marked draft or had sections which were incomplete.
- The documentation confirming the accuracy of data conversion was incomplete or lacking. The Department converted more than 40 million records and 6.1 million mug shots from Department legacy systems to ICLEAR; however, there was no documentation ensuring the accuracy or completeness of the conversion.
- The documentation of user testing over ICASE was incomplete or lacking. Additionally, the Department provided a "testing issues" spreadsheet which indicated 321 of the 552 issues (58%) had not been resolved.
- The projects were to be funded with the U.S. Department of Homeland Security funds; however, the Department was unable to provide documentation of the total cost associated with the projects.
- Per the requirements document, the systems were required to comply with the Department of Justice's accreditation and security standards. However, the Department was unable to provide documentation to demonstrate that the systems complied with the required standards.

Additionally, Department management stated over the life of the project, there had been multiple project managers, with limited transfer of knowledge. In addition, the

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
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For the Two Years Ended June 30, 2010

Department did not maintain a central repository of project and development documentation.

Per Department officials, during the reporting period, the Department continued to lose critical technical personnel. Consequently, the length and complexity of the I-CLEAR project has required 21 different project managers. This loss of resources serves to escalate the importance of the adherence to improved processes and utilization of a central project repository. This massive initiative to develop a criminal justice information sharing framework for the State of Illinois requires constant oversight, masses of documentation, and sound processes.

Generally accepted information technology guidance endorses the implementation of a process to ensure computer system development activities meet management's objectives. A defined process promotes the effective and efficient use of resources resulting in computer systems that meet expectations.

The lack of a defined process increases the likelihood of ineffective and inefficient use of resources resulting in IT systems that fail to meet expectations and requirements, and require additional costs. (Finding Code No. 10-2)

RECOMMENDATION

We recommend the Department develop and implement a project management framework and tools to ensure projects are adequately monitored and documented. The Department should also perform a detailed analysis of the systems to ensure data was converted properly, identified problems have been resolved, documentation is sufficient to maintain the systems, and the systems meets Department of Justice requirements.

DEPARTMENT RESPONSE

The ISP concurs. During the reporting period, the Department continued to lose critical technical personnel. Consequently, the length and complexity of the I-CLEAR project has required 21 different project managers. This loss of resources serves to escalate the importance of the adherence to improved processes and utilization of a central project repository. This massive initiative to develop a criminal justice information sharing framework for the State of Illinois requires constant oversight, masses of documentation, and sound processes. Although the Department supplied a great deal of documentation, it was found lacking.

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The Department will pursue the creation of a central repository for all project documentation to be stored. Subsequently, the Department will strive to adopt a full project management methodology, providing tools to ensure projects are adequately monitored and documented.

Additionally, as part of a program maintenance plan, the following will be scheduled and executed: a quality assurance process will be defined to ensure data is converted accurately; ongoing issues and enhancements will be identified, analyzed, prioritized, and resolved; and documentation is consistently updated to reflect changes and enhancements. Finally, the Department will continue to communicate with our federal partners to ensure our systems conform to national standards.

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10-3. **FINDING** (Failure to maintain security controls over confidential information)

The Illinois Department of State Police (Department) failed to maintain adequate safeguards over confidential information.

As a result of the Department's mission "to protect and serve the citizens of Illinois", the Department collected and maintained a significant amount of personal information.

During testing, we noted the following:

- The Department did not have a mechanism in place to ensure electronically transmitted information was secured or encrypted, other than LEADS information. The transmittal of unsecured confidential information puts the information at the risk of unauthorized disclosure from malicious or inadvertent acts.
- The Department had not implemented security controls (encryption) on laptops and backups to ensure the security over the information.
- The Department had not implemented available and generally accepted security controls for the ICLEAR and ICASE systems.
- The Department had not completed a risk assessment of its computing resources to identify confidential or personal information to ensure such information was protected from unauthorized disclosure.
- The Department had not developed policies and procedures regarding the Department's responsibilities, as stated in the Personal Information Protection Act, in the event of a breach of personal information.

Department officials stated the safeguarding of confidential information is critically important to the Illinois State Police. Recent reductions in funding combined with the loss of critical staff due to retirement, without the ability to replace them, has severely stretched the Department's technical resources. Department officials also stated the Department is committed to finding new ways to maintain and improve the security of confidential information.

Confidential, sensitive, and personally identifiable information collected and maintained by the Department should be adequately secured at all times. As such, it is the Department's responsibility to ensure procedures for safeguarding confidential

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information have been developed, effectively communicated to all personnel, and continually enforced.

The Personal Information Protection Act (815 ILCS 530/30) requires State agencies to properly dispose of information. The Act states, "Any State agency that collects personal data that is no longer needed or stored at the agency shall dispose of the personal data or written material it has collected in such a manner as to ensure the security and confidentiality of the material."

Failure to establish and maintain adequate procedures to handle and protect confidential and personally identifiable information could result in identity theft or other unintended use. (Finding Code No. 10-3)

RECOMMENDATION

We recommend the Department review the policies/procedures for protecting confidential information, focusing on security through proper application security settings, storage, disclosure, redaction, and encryption procedures. Specifically, we recommend the Department install automatic encryption software on all laptops.

Further, we recommend the Department complete the risk assessment to evaluate its computer environment and data maintained to ensure adequate security controls have been established. The Department should also develop comprehensive policies and procedures to ensure timely compliance with the requirements outlined in the Personal Information Protection Act (815 ILCS 530) in the event of a breach of confidential information.

DEPARTMENT RESPONSE

The ISP concurs. The safeguarding of confidential information is very important to the Department. The Department will evaluate the need to ensure electronic information is secured and encrypted. As part of this process, the Department will evaluate the use of PKI as a means of encrypting confidential information. The Department will meet with CMS to determine the best approach in ensuring electronically transmitted information between CMS and ISP is secured.

The Department agrees encryption is necessary for laptops, blackberries, USB drives, etc. As resources and funding are identified the Department will pursue purchasing and installing the necessary encryption software for the various computer/electronic resources.

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The Department is acutely aware of its responsibility to safeguard information from possible attack or inadvertent misuse. To that end, the I-CLEAR Team is actively pursuing additional security controls. These controls include local database encryption and tighter security policies. These policies include password length, format, duration, and history.

The Department has not completed a risk assessment of all computing resources. This will require staff and funding. As budget and resources allow, the Department will pursue a risk assessment of all ISP computing resources.

The Department is in the process of updating our personal information security policy. This policy will inform all employees on how to handle personal information, including procedures for safeguarding confidential information. The Department will ensure this meets the Personal Information Protection Act including communicating effectively to all personnel and ensuring this policy is enforced. Before desktops and laptops are surplussed the Department will ensure the hard drive is removed before sending to CMS.

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10-4. **FINDING** (Inadequate controls over leaves of absences)

The Illinois Department of State Police (Department) did not have adequate controls to ensure employees on leave of absence were removed from the payroll and were only paid for actual time worked. In addition, the Department did not have controls to review supporting documentation for persons on leave of absence to ensure any overpayments were recouped from the employee.

We noted 8 of 25 (32%) employees who went on a leave of absence were paid for time not worked. This resulted in an overpayment to these eight employees totaling \$4,581.

The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires agencies to establish and maintain a system, or systems, of internal fiscal and administrative controls to provide assurance that funds are safeguarded against waste, loss, unauthorized use, and misappropriation. In addition, good business practice requires proper internal controls, such as a supervisory review, be established to prevent improper payments to persons on a leave of absence and if overpayments occurred, a process to recoup those payments timely.

Department personnel stated the overpayments were made due to oversight.

A lack of controls over employees on leave of absence resulted in an overpayment to employees totaling \$4,581 for those in our test sample. Additional overpayments could have resulted from other employees on a leave of absence not selected for our sample. (Finding Code No. 10-4)

RECOMMENDATION

We recommend the Department ensure employees on leave of absence are removed from the payroll and are only paid for time actually worked. In addition, we recommend the Department ensure leave of absence documentation is accurate prior to payment and obtain repayment from all individuals who were overpaid.

DEPARTMENT RESPONSE

The ISP concurs. Employees are removed from payroll when the Public Safety Shared Services Center (PSSSC) receives the approved Officer Action Report (OAR)/Personnel Action Report (PAR). The Department will make every effort to have the OAR/PAR to the PSSSC in a timely manner.

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For the Two Years Ended June 30, 2010

10-5. **FINDING** (Voucher processing weaknesses)

The Illinois Department of State Police (Department) did not exercise adequate controls over voucher processing. We noted the following:

- Fourteen of 287 (4.88%) vouchers tested, totaling \$557,067, were approved for payment from three to 36 days late.
- Twenty-seven of 287 (9.41%) vouchers tested, totaling \$963,908, accrued a required interest payment of \$18,554 which was not paid by the Department.

The Illinois Administrative Code (74 Ill. Adm. Code 900.70) requires an agency to review a bill and either deny the bill in whole or in part, ask for more information necessary to review the bill, or approve the bill in whole or in part, within 30 days after physical receipt of the bill.

The State Prompt Payment Act (30 ILCS 540/3-2) requires State agencies to determine whether interest is due and automatically pay interest penalties amounting to \$50 or more to the appropriate vendor when payment is not issued within 60 days after receipt of a proper bill.

Department personnel state vouchers were approved late due to competing priorities within cost centers and reduction in cost center staffing agency wide. In addition, the Department failed to pay the required interest payments due to lack of staff to monitor the interest owed.

Failure to promptly approve vouchers may result in late payment of bills and could cause the State to pay interest penalties. Failure to pay interest charges is noncompliance with the State Prompt Payment Act. (Finding Code No. 10-5)

RECOMMENDATION

We recommend the Department comply with the Illinois Administrative Code and the State Prompt Payment Act to ensure vouchers are approved within the required time frame and the required interest is paid.

DEPARTMENT RESPONSE

The ISP concurs. We continue to struggle with the effects of reduced staffing. Specifically, many work units have been faced with a decrease or complete loss of administrative support staff. These administrative support staff are the individuals

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2010

responsible for review and initial preparation of vouchers for payment. The ISP will reinforce the importance of approving vouchers in a timely manner. Additionally, the responsibility of final submission of vouchers to the IOC was transferred to the Public Safety Shared Services Center (PSSSC) on October 1, 2008. The ISP will work with the PSSSC to ensure the review and approval of vouchers by the PSSSC occurs in a timely manner. Additionally, the ISP will continue to work with the PSSSC regarding payment of all prompt payment penalties owed.

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DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2010

10-6. **FINDING** (Inadequate monitoring of interagency agreements)

The Illinois Department of State Police (Department) did not have an adequate process to monitor interagency agreements.

During our review of eleven interagency agreements, the following deficiencies were noted:

- Two of eleven (18%) interagency agreements reviewed were not signed by all necessary parties before the effective date. The agreements were signed 68 and 217 days late.
- One of eleven (9%) interagency agreements reviewed was not signed by all the appropriate parties.

Prudent business practices require the approval of agreements prior to the effective date. In addition, the Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires agencies to establish and maintain a system, or systems, of internal fiscal and administrative controls to provide assurance that resources are used efficiently, effectively, and in compliance with applicable law.

Department personnel stated the agreements were not signed timely due to oversight.

In order to assess whether the agreements are reasonable, appropriate, and sufficiently document the responsibilities of the appropriate parties, the agreements need to be approved prior to the effective date. (Finding Code No. 10-6)

RECOMMENDATION

We recommend the Department ensure all interagency agreements are approved by an authorized signer prior to the effective date of the agreement.

DEPARTMENT RESPONSE

The ISP concurs. We agree only the appropriate parties should sign interagency agreements, and the agreements should be signed prior to the effective date. The ISP will provide direction and monitoring, as needed, throughout the Department to reinforce the importance of the appropriate handling of interagency agreements.

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DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2010

10-7. **FINDING** (Noncompliance with the Missing Persons Identification Act)

The Illinois Department of State Police (Department) did not comply with the Missing Persons Identification Act (Act) (50 ILCS 722/20(g)). We noted the following:

- The Department did not upload information for the National Crime Information Center within 72 hours for three of four (75%) cases tested.
- The Department did not enter DNA profiles and information in the National DNA Index System (NDIS) within five business days after the completion of the DNA analysis and procedures necessary for the entry of the DNA profile for two of four (50%) cases tested.
- The Department did not enter information sought by the Violent Criminal Apprehension Program database as soon as practicable for four of four (100%) cases tested.

The Act requires the Department to promptly enter information in federal and State databases that may aid in the identification of human remains. Specifically, information shall be entered into federal databases as follows:

- Information for the National Crime Information Center shall be entered within 72 hours;
- DNA profiles and information shall be entered into the National DNA Index System (NDIS) within 5 business days after the completion of the DNA analysis and procedures necessary for the entry of the DNA profile; and
- Information sought by the Violent Criminal Apprehension Program database shall be entered as soon as practicable.

Department personnel stated they were unable to meet the 72 hour requirement due to the time it took for the informational paperwork to get to the persons making the entries. In addition, Department personnel stated DNA profiles and information were not updated into the NDIS within five business days and did not enter information sought by the Violent Criminal Apprehension Program database due to oversight.

Failure to upload the information to the State and federal databases within the required time frames is noncompliance with a statutory mandate and could delay unidentified persons from being identified. (Finding Code No. 10-7)

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For the Two Years Ended June 30, 2010

RECOMMENDATION

We recommend the Department comply with the Missing Persons Identification Act by uploading the information to the state and federal databases within the required time frames.

DEPARTMENT RESPONSE

The ISP concurs. ISP personnel will work to comply by providing the necessary information within the allotted time frames for upload into the State and Federal databases as resources become available.

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DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2010

10-8. **FINDING** (Inadequate controls over accounts receivable reporting)

The Illinois Department of State Police (Department) did not accurately record and report accounts receivable noted on the Quarterly Summary of Accounts Receivable Reports (Reports). In addition, the Department did not timely submit required reports to the Office of the State Comptroller. We noted the following:

- Sixteen of 50 (32%) Reports were inaccurate and did not agree to the support provided by the Department. We noted differences in accounts receivable amounts (i.e current, past due and estimated uncollectible) and the number of accounts reported. Accounts receivable totaled \$3,094,000 in FY09 and \$3,827,000 in FY10.
- Six of 50 (12%) Reports were filed 10 days late.
- The Department began collecting funds for Fund 652, Over Dimensional Load Escort Fund, during the 4th Quarter of Fiscal Year 2009. However, the Department did not begin submitting a Report for Fund 652 until the 3rd Quarter of Fiscal Year 2010. Accounts receivable totaled \$18,000 in FY10.

Statewide Accounting Management System (SAMS) Procedure 26.20.10 states that accounts receivable should be recognized when the State's claim for future cash is reasonably estimable and the amount due becomes measurable. SAMS also states that detailed information should be maintained to facilitate the recognition and tracking of accounts receivable, including amounts due from individuals and organizations for the purchase of licenses and the payment of various statutorily or agency assessed fees. SAMS Procedure 26.30.10 states Reports must be received by the Comptroller no later than the last day of the month following the end of the quarter.

Department personnel stated reports were not filed timely due to competing priorities and errors were due to oversight.

Failure to maintain accurate accounts receivable records and accurately report accounts receivable balances could lead to the failure to properly collect amounts owed to the State and inaccuracies in statewide financial statement reporting. (Finding Code No. 10-8)

RECOMMENDATION

We recommend the Department keep detailed records of all billings and the corresponding collections to facilitate proper reporting of accounts receivable activity.

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For the Two Years Ended June 30, 2010

We also recommend the Department ensure all reports are submitted to the Office of the Comptroller on a timely basis. In addition, we recommend the Department thoroughly review all reports prepared from internal records for accuracy before submission to the State Comptroller's Office.

DEPARTMENT RESPONSE

The ISP concurs. The Public Safety Shared Services Center (PSSSC) has indicated to the ISP these errors were during a time of internal transition. The errors noted have since been corrected and reporting is filed promptly and accurately. The ISP will continue to monitor this compliance with the PSSSC.

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PRIOR YEAR FINDINGS NOT REPEATED
For the Two Years Ended June 30, 2010

A. **FINDING** (Contract monitoring deficiencies)

During the prior engagement, the Department had not established an approved project management framework to monitor the completion of tasks and receipt of deliverables for the enhancement to computer applications. The Department had awarded contracts for the development of IT systems; however, they were unable to support compliance with requirements for testing, management approvals, program specifications and technical support documentation.

During the current engagement, the Department continued to encounter project management weaknesses; however, the weaknesses were related to an internal project. See finding 10-2 for details. (Finding Code No. 08-2)

B. **FINDING** (Internet gang crime units)

During the prior engagement, the Department did not establish a pilot program from moneys available for the Internet Gang Crime Units.

During the current engagement, no money had been appropriated to the Department for this mandate. (Finding Code No. 08-3)

C. **FINDING** (Inadequate controls over contractual agreements)

During the prior engagement, the Department did not maintain adequate controls over its contractual agreements. We noted several issues as follows: 1) 6 of 25 EDP contracts and 2 of 25 other contracts reviewed were approved between 39 and 142 days after the start date of the contract period; 2) The Department did not disclose specifics of an aviation fuel purchase and did not have proper terminology for advance payments; and 3) One contract did not have the required financial interest statement included.

During the current engagement, the Department strengthened controls over its contractual agreements. For those contracts in our sample testing, we did not note any contracts which were approved after the start date of the contract and all proper terminology was included. However, we noted two contracts which did not have all required disclosure statements and therefore, this issue is reported in our letter of immaterial findings. (Finding Code 08-4)

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PRIOR YEAR FINDINGS NOT REPEATED
For the Two Years Ended June 30, 2010

D. **FINDING** (Noncompliance with Criminal Code of 1961)

During the prior engagement, the Department failed to coordinate law enforcement efforts relating to acts of insurance fraud in conjunction with all State licensing agencies and the Department of Financial and Professional Regulation, as outlined in the Code.

During the current engagement, the Department coordinated law enforcement efforts with all State licensing agencies and the Department of Financial and Professional Regulation in regards to acts of insurance fraud. The Department provided two cases that were referred to the Illinois Department of Financial and Professional Regulations (IDFPR) for licensing action during the examination period. (Finding Code No. 08-5)

E. **FINDING** (Noncompliance with grant agreements)

During the prior engagement, the Department did not comply with the terms of grant agreements. We noted the Department submitted 12 of 16 (75%) quarterly progress and financial reports between three and 65 days late and five of eight (63%) semi-annual progress reports were filed between one and 175 days late or not submitted.

During the current engagement, we noted the Department made improvements in the timely submission of required reports for those in our test sample. However, in our sample testing, we did note two final reports were submitted two and four days late and one quarterly report was submitted six days late. Therefore, this issue is reported in our letter of immaterial findings. (Finding Code No. 08-6)

SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

SUMMARY

Supplementary Information for State Compliance Purposes presented in this section of the report includes the following:

- Fiscal Schedules and Analysis:

- Schedule of Expenditures of Federal Awards
- Notes to the Schedule of Expenditures of Federal Awards
- Schedule of Appropriations, Expenditures and Lapsed Balances
- Comparative Schedule of Net Appropriations, Expenditures and Lapsed Balances
- Comparative Schedule of Receipts, Disbursements and Fund Balance (Cash Basis) - Locally Held Funds
- Schedule of Changes in State Property
- Comparative Schedule of Cash Receipts
- Reconciliation Schedule of Cash Receipts to Deposits Remitted to the State Comptroller
- Analysis of Significant Variations in Expenditures
- Analysis of Significant Variations in Receipts
- Analysis of Significant Lapse Period Spending
- Analysis of Accounts Receivable

- Analysis of Operations:

- Agency Functions and Planning Program
- Average Number of Employees
- Emergency Purchases
- Service Efforts and Accomplishments (Not Examined)
- Office of the Auditor General Performance Audit Recommendations Update

The accountants' report that covers the Supplementary Information for State Compliance Purposes presented in the Compliance Report Section states the auditors have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General, except for information on the Service Efforts and Accomplishments on which they did not perform any procedures. However, the accountants do not express an opinion on the supplementary information.

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DEPARTMENT OF STATE POLICE
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2010
(EXPRESSED IN THOUSANDS)

<u>FEDERAL GRANTOR/PROGRAM TITLE</u>	<u>Federal CFDA Number</u>	<u>Total Expenditures</u>	<u>Amount Provided to Subrecipients</u>
U.S. Department of Justice			
National Institute of Justice Research, Evaluation, and Development Project Grants	16.560	\$ 402	\$ 0
Crime Laboratory Improvement-Combined Offender DNA Index System Backlog Reduction	16.564	0	0
Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grant Program	16.580	48	0
Bulletproof Vest Partnership Program	16.607	7	0
Public Safety Partnership and Community Policing Grants	16.710	65	0
Forensic DNA Backlog Reduction Program	16.741	4,550	0
Forensic Casework DNA Backlog Reduction Program	16.743	0	0
Passed through programs from:			
Illinois Criminal Justice Information Authority			
Edward Byrne Memorial Justice Assistance Grant Program	16.738	160	0
Paul Coverdell Forensic Sciences Improvement Grant Program	16.742	436	0
Illinois Department of Human Services			
Enforcing Underage Drinking Laws Program	16.727	160	0
Total U.S. Department of Justice		<u>\$ 5,828</u>	<u>\$ 0</u>
U.S. Department of Health and Human Services			
State Medicaid Fraud Control Units	93.775	\$ 5,095	\$ 0
Total U.S. Department of Health and Human Services		<u>\$ 5,095</u>	<u>\$ 0</u>
U.S. Department of Homeland Security			
Passed through programs from:			
Illinois Emergency Management Agency			
Disaster Grants - Public Assistance (Presidentially Declared Disasters)	97.036	\$ 23	\$ 0
Homeland Security Grant Program	97.067	1,932	0
Total U.S. Department of Homeland Security		<u>\$ 1,955</u>	<u>\$ 0</u>
Total Expenditures of Federal Awards		<u>\$ 12,878</u>	<u>\$ 0</u>

Note: The accompanying notes to the Schedule of Expenditures of Federal Awards are an integral part of this schedule.

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DEPARTMENT OF STATE POLICE
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2009
(EXPRESSED IN THOUSANDS)

FEDERAL GRANTOR/PROGRAM TITLE	Federal CFDA Number	Total Expenditures	Amount Provided to Subrecipients
U.S. Department of Justice			
National Institute of Justice Research, Evaluation, and Development			
Project Grants	16.560	\$ 360	\$ 0
Crime Laboratory Improvement-Combined Offender DNA			
Index System Backlog Reduction	16.564	647	0
Edward Byrne Memorial State and Local Law Enforcement			
Assistance Discretionary Grant Program	16.580	51	0
Bulletproof Vest Partnership Program	16.607	15	0
Public Safety Partnership and Community Policing Grants	16.710	86	0
Forensic DNA Backlog Reduction Program	16.741	2,516	0
Forensic Casework DNA Backlog Reduction Program	16.743	503	0
Passed through programs from:			
Illinois Criminal Justice Information Authority			
Edward Byrne Memorial Justice Assistance Grant Program	16.738	92	0
Paul Coverdell Forensic Sciences Improvement Grant Program	16.742	384	0
Illinois Department of Human Services			
Enforcing Underage Drinking Laws Program	16.727	130	0
Total U.S. Department of Justice		<u>\$ 4,784</u>	<u>\$ 0</u>
U.S. Department of Health and Human Services			
State Medicaid Fraud Control Units	93.775	\$ 3,391	\$ 0
Total U.S. Department of Health and Human Services		<u>\$ 3,391</u>	<u>\$ 0</u>
U.S. Department of Homeland Security			
Passed through programs from:			
Illinois Emergency Management Agency			
Disaster Grants - Public Assistance (Presidentially Declared Disasters)	97.036	\$ 44	\$ 0
Homeland Security Grant Program	97.067	2,881	0
Total U.S. Department of Homeland Security		<u>\$ 2,925</u>	<u>\$ 0</u>
Total Expenditures of Federal Awards		<u><u>\$ 11,100</u></u>	<u><u>\$ 0</u></u>

Note: The accompanying notes to the Schedule of Expenditures of Federal Awards are an integral part of this schedule.

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DEPARTMENT OF STATE POLICE
NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
For the Two Years Ended June 30, 2010

Note 1 – General

The accompanying Schedule of Expenditures of Federal Awards includes all of the federal financial assistance programs of the Department of State Police (Department). Federal financial assistance received directly from federal agencies, as well as federal financial assistance passed through other government agencies, is included in these schedules.

Note 2 – Basis of Presentation

The Schedule of Expenditures of Federal Awards was prepared on the cash basis.

Note 3 – Description of Programs

The following is a brief description of the grant programs included in the Schedule of Expenditures of Federal Awards.

National Institute of Justice Research, Evaluation, and Development Project Grants CFDA #16.560

This program is funded by the U.S. Department of Justice to encourage and support research, development and evaluation to further understanding of the causes and correlates of crime and violence, methods of crime prevention and control, and criminal justice system responses to crime and violence and contribute to the improvement of the criminal justice system and its responses to crime, violence, and delinquency.

Crime Laboratory Improvement-Combined Offender DNA Index System Backlog Reduction CFDA #16.564

This program is funded by the U.S. Department of Justice to increase the capabilities and capacity of State and local crime laboratories in the United States to conduct state-of-the-art forensic evidence testing and to reduce the backlog of convicted offender DNA samples.

Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grant Program CFDA #16.580

This program is funded by the U.S. Department of Justice to provide leadership and direction in controlling the use and availability of illegal drugs and to improve the functioning of the criminal justice system, with emphasis on violent crime and serious offenders.

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NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
For the Two Years Ended June 30, 2010

Bulletproof Vest Partnership Program CFDA # 16.607

This program is funded by the U.S. Department of Justice to protect the lives of law enforcement officers by helping State, local, and tribal law enforcement agencies provide officers with armored vests.

Public Safety Partnership and Community Policing Grants CFDA #16.710

This program is funded by the U.S. Department of Justice to provide funding to increase police presence and expand and improve cooperative efforts between law enforcement officials and the community while addressing crime and enhancing public safety. The program funds up to 75 percent of the salaries and benefits of civilians and additional officers or the purchase of technology to expand and improve cooperative efforts to address crime and enhance public safety Statewide.

Enforcing Underage Drinking Laws Program CFDA #16.727

This program is funded by the U.S. Department of Justice, and passed through the Illinois Department of Human Services, to support and enhance efforts by States, in cooperation with local jurisdictions, to enforce underage drinking by prohibiting the sale of alcoholic beverages, or the consumption of alcoholic beverages to minors.

Edward Byrne Memorial Justice Assistance Grant Program CFDA # 16.738

This program is funded by the U.S. Department of Justice, and passed through the Illinois Criminal Justice Information Authority (ICJIA), to provide States and units of local government with funds to provide additional personnel, equipment, supplies, contractual support, training, technical assistance, and information systems for criminal justice.

Forensic DNA Backlog Reduction Program CFDA # 16.741

This program is funded by the U.S. Department of Justice to improve the infrastructure and analysis capacity of existing crime laboratories that conduct DNA analysis, so they can process DNA samples efficiently and cost-effectively.

Paul Coverdell Forensic Sciences Improvement Grant Program CFDA # 16.742

This program is funded by the U.S. Department of Justice, and passed through the Illinois Criminal Justice Information Authority (ICJIA), to improve the quality and timeliness of forensic science and medical examiner services and/or to eliminate backlogs in the analysis of forensic evidence, including controlled substances, firearms examination, forensic

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NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
For the Two Years Ended June 30, 2010

pathology, latent prints, questioned documents, toxicology, and trace evidence for criminal justice purposes.

Forensic Casework DNA Backlog Reduction Program CFDA # 16.743

This program is funded by the U.S. Department of Justice and provided to States with existing crime laboratories that conduct DNA analysis, and is to be used to identify and test backlogged forensic DNA casework samples as well as post conviction DNA testing.

State Medicaid Fraud Control Units CFDA #93.775

This program is funded by the U.S. Department of Health and Human Services to provide funds for investigation and persecution of fraud in Statewide Medicaid programs. The receiving agency must be separate and distinct from the State Medicaid agency. The Department is reimbursed for 75 percent of its cost.

Disaster Grants – Public Assistance (Presidentially Declared Disasters) CFDA # 97.036

This program is funded by the U.S. Department of Homeland Security, and is passed through the Illinois Emergency Management Agency, to assist State and local governments in recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, restoration, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Homeland Security Grant Program CFDA # 97.067

This program is funded by the U.S. Department of Homeland Security, and is passed through the Illinois Emergency Management Agency, to enhance the capacity of State and local emergency responders to prevent, respond to, and recover from weapons of mass destruction terrorism incident involving chemical, biological, radiological, nuclear, and explosive devices and cyber attacks.

Note 4 – Non-cash Awards

The Department did not receive any non-cash awards.

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SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
Appropriations for Fiscal Year 2010

Fourteen Months Ended August 31, 2010

P.A. 96-0042 FISCAL YEAR 2010	Appropriations (Net After Transfers)	Expenditures Through June 30	Approximate		Approximate Balances Lapsed
			Lapse Period Expenditures 7/1 - 8/31/10	Total Expenditures	
Appropriated Funds					
General Revenue Fund - 001					
Personal Services for Bargaining Unit Employees	\$ 223,651,000	\$ 207,425,768	\$ 3,557,355	\$ 210,983,123	\$ 12,667,877
State Contributions to Social Security for Bargaining Unit Employees	7,481,500	6,392,407	234,685	6,627,092	854,408
Personal Services for Non-Bargaining Unit Employees	12,516,000	11,441,280	304,656	11,745,936	770,064
State Contributions to Social Security for Non-Bargaining Unit Employees	769,000	521,551	20,619	542,170	226,830
Operational Expenses	37,016,800	27,715,249	3,421,639	31,136,888	5,879,912
Operational Expenses, Awards, Grants, and Permanent Improvements	5,931,500	3,397,328	441,821	3,839,149	2,092,351
Total General Revenue Fund	\$ 287,365,800	\$ 256,893,583	\$ 7,980,775	\$ 264,874,358	\$ 22,491,442
Road Fund - 011					
Division of Operations					
Personal Services	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
State Contribution to State Employees' Retirement System	0	0	0	0	0
State Contributions to Social Security	0	0	0	0	0
Total Road Fund	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Firearm Owner's Notification Fund - 071					
Division of Forensic Services and Identification					
Administration and Operation of the Firearm Owner's Identification Card Program	\$ 700,000	\$ 461,454	\$ 190,077	\$ 651,531	\$ 48,469
Capital Development Fund - 141					
Division of Administration					
Costs associated with a Statewide Voice Communication System	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
Appropriations for Fiscal Year 2010

Fourteen Months Ended August 31, 2010

P.A. 96-0042 FISCAL YEAR 2010	Appropriations (Net After Transfers)	Expenditures Through June 30	Approximate Lapse Period Expenditures 7/1 - 8/31/10	Approximate Total Expenditures	Approximate Balances Lapsed
State Crime Laboratory Fund - 152					
Division of Forensic Services and Identification					
Administration and Operation of State Crime Laboratories	\$ 750,000	\$ 392,024	\$ 70,648	\$ 462,672	\$ 287,328
State Police DUI Fund - 222					
Division of Forensic Services and Identification					
Administration and Operation of State Crime	\$ 1,150,000	\$ 879,294	\$ 105,890	\$ 985,184	\$ 164,816
Medicaid Fraud & Abuse Prevention Fund - 237					
Division of Operations - Financial Fraud and Forgery Unit					
Detection, Investigation, or Prosecution of Recipient or Vendor Fraud	\$ 250,000	\$ 10,269	\$ 107	\$ 10,376	\$ 239,624
State Police Vehicle Fund - 246					
Division of Administration					
Purchase of Vehicles and Accessories	\$ 12,000,000	\$ 5,599,084	\$ 2,246,872	\$ 7,845,956	\$ 4,154,044
State Police Vehicle Maintenance Fund - 328					
Division of Administration					
Operation of Auto Equipment	\$ 1,000,000	\$ 0	\$ 0	\$ 0	\$ 1,000,000
Motor Vehicle Theft Prevention Trust Fund - 376					
Division of Operations					
Payment of Expenses	\$ 1,200,000	\$ 437,765	\$ 18,986	\$ 456,751	\$ 743,249
Sex Offender Investigation Fund - 445					
Division of Operations					
Payment of Expenses for Sex Offender Investigations	\$ 50,000	\$ 0	\$ 0	\$ 0	\$ 50,000

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DEPARTMENT OF STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
Appropriations for Fiscal Year 2010

Fourteen Months Ended August 31, 2010

P.A. 96-0042 FISCAL YEAR 2010	Appropriations (Net After Transfers)	Expenditures Through June 30	Approximate		Approximate Balances Lapsed
			Lapse Period Expenditures 7/1 - 8/31/10	Approximate Total Expenditures	
Federal Civil Preparedness Administrative Fund - 497					
Division of Operations					
Terrorism Task Force Approved Purchases for Homeland Security	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
State Asset Forfeiture Fund - 514					
Division of Administration					
Payment of Expenses	\$ 4,500,000	\$ 1,880,679	\$ 89,640	\$ 1,970,319	\$ 2,529,681
Federal Asset Forfeiture Fund - 520					
Division of Administration					
Payment of Expenses	\$ 2,000,000	\$ 1,085,145	\$ 564,832	\$ 1,649,977	\$ 350,023
Sex Offender Registration Fund - 535					
Division of Operations					
Payment of Expenses for the Sex Offender Registration Program	\$ 20,000	\$ 0	\$ 0	\$ 0	\$ 20,000
LEADS Maintenance Fund - 536					
Information Services Bureau					
Payment of Expenses Related to LEADS System	\$ 3,500,000	\$ 1,710,777	\$ 26,058	\$ 1,736,835	\$ 1,763,165
State Offender DNA Identification System Fund - 537					
Division of Forensic Services and Identification					
Administration and Operation of State Crime Laboratories	\$ 3,423,500	\$ 2,433,729	\$ 74,666	\$ 2,508,395	\$ 915,105
State Police Wireless Service Emergency Fund - 637					
Division of Administration					
Costs associated with the Wireless Emergency Telephone Safety Act	\$ 1,800,000	\$ 565,139	\$ 113,028	\$ 678,167	\$ 1,121,833
Motor Carrier Safety Inspection Fund - 649					
Division of Operations					
Costs associated with the Enforcement of Federal and State Motor Carrier Safety Regulations and Laws	\$ 2,300,000	\$ 2,018,747	\$ 28,242	\$ 2,046,989	\$ 253,011

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DEPARTMENT OF STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
Appropriations for Fiscal Year 2010

Fourteen Months Ended August 31, 2010

P.A. 96-0042 FISCAL YEAR 2010	Appropriations (Net After Transfers)	Expenditures Through June 30	Approximate		Approximate Total Expenditures	Approximate Balances Lapsed
			Lapse Period Expenditures 7/1 - 8/31/10	Expenditures 7/1 - 8/31/10		
Over Dimensional Load Police Escort Fund - 652						
Division of Operations						
Costs associated with the providing police escorts for over-dimensional loads						
	\$ 125,000	\$ 68,304	\$ 11,782	\$ 80,086	\$ 44,914	
State Police Whistleblower Reward and Prevention Fund - 705						
Division of Operations						
Costs associated with the Enforcement of the State Whistleblower Protection Act						
	\$ 17,148,800	\$ 14,726,019	\$ 1,850,816	\$ 16,576,835	\$ 571,965	
Drug Traffic Prevention Fund - 878						
Division of Operations						
Grants to Metropolitan Enforcement Groups						
	\$ 150,000	\$ 105,000	\$ 0	\$ 105,000	\$ 45,000	
Traffic and Criminal Conviction Surcharge Fund - 879						
Division of Operations						
Personal Services						
State Contribution to State Employees' Retirement System	885,300	854,689	0	854,689	30,611	
State Contributions to Social Security	93,600	90,629	0	90,629	2,971	
Group Insurance	651,200	574,801	11,967	586,768	64,432	
Contractual Services	465,400	337,655	52,208	389,863	75,537	
Travel	38,300	32,355	5,696	38,051	249	
Commodities	174,600	112,887	7,387	120,274	54,326	
Printing	26,500	26,500	0	26,500	0	
Telecommunications	115,700	79,936	31,527	111,463	4,237	
Operation of Automotive Equipment	212,200	116,411	18,816	135,227	76,973	
Total Traffic and Criminal Conviction Surcharge Fund	\$ 5,782,600	\$ 5,232,521	\$ 127,601	\$ 5,360,122	\$ 422,478	

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
Appropriations for Fiscal Year 2010

Fourteen Months Ended August 31, 2010

P.A. 96-0042 FISCAL YEAR 2010	Appropriations (Net After Transfers)	Expenditures Through June 30	Approximate		Approximate Total Expenditures	Approximate Balances Lapsed
			Lapse Period Expenditures 7/1 - 8/31/10	Expenditures Through June 30		
Illinois State Police Federal Projects Fund - 904						
Division of Operations						
Payment of Expenses	\$ 20,000,000	\$ 11,096,360	\$ 1,218,600	\$ 12,314,960	\$ 7,685,040	
Federal Recovery - For Fed. Funded Prog. Expenses	20,000,000	0	0	0	20,000,000	
Cops Record Management Grant	0	0	0	0	0	
Refunds of Federal Grants	0	0	0	0	0	
Total State Police Federal Projects Fund	\$ 40,000,000	\$ 11,096,360	\$ 1,218,600	\$ 12,314,960	\$ 27,685,040	
State Police Services Fund - 906						
Division of Operations						
Payment of Expenses:						
Fingerprint Program	\$ 19,000,000	\$ 10,851,644	\$ 868,998	\$ 11,720,642	\$ 7,279,358	
Federal & IDOT Programs	7,400,000	2,622,859	469,383	3,092,242	4,307,758	
Riverboat Gambling	1,200,000	312,849	557,215	870,064	329,936	
Miscellaneous Programs	4,300,000	2,742,160	173,530	2,915,690	1,384,310	
Total State Police Services Fund	\$ 31,900,000	\$ 16,529,512	\$ 2,069,126	\$ 18,598,638	\$ 13,301,362	
Total All Appropriated Funds	\$ 417,115,700	\$ 322,125,405	\$ 16,787,746	\$ 338,913,151	\$ 78,202,549	
Non-Appropriated Funds						
Federal Projects Fund - 904						
Division of Operations						
COPS Record Management		\$ 0	\$ 269,370	\$ 269,370		
ICJIA AFIS Grant		0	0	0		
DNA Backlog Reduction Program		374,500	498	374,998		
Refunds of Federal Grants		374,500		644,368		
Total All Non-Appropriated Funds	\$ 417,115,700	\$ 322,499,905	\$ 17,057,614	\$ 339,557,519	\$ 78,202,549	
GRAND TOTAL ALL FUNDS						

Note 1: Appropriations, expenditures and lapsed balances were obtained from Agency records and have been reconciled to records of the State Comptroller.

Note 2: Expenditure amounts are vouchers approved for payment by the Agency and submitted to the State Comptroller for payment to the vendor.

Note 3: Approximate lapse period expenditures do not include interest payments approved for payment by the Agency and submitted to the Comptroller for payment after August.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
Appropriations for Fiscal Year 2009

Fourteen Months Ended August 31, 2009

P.A. 95-0731 FISCAL YEAR 2009	Appropriations (Net of Transfers)	Expenditures Through June 30	Lapse Period		Total Expenditures 14 Months Ended August 31	Balances Lapsed August 31
			Expenditures July 1 to August 31	Expenditures August 31		
Appropriated Funds						
General Revenue Fund - 001						
Division of Administration						
Operations						
Personal Services	\$ 5,967,400	\$ 5,660,983	\$ 216,725	\$	\$ 5,877,708	\$ 89,692
State Contribution to State Employees' Retirement System	1,062,000	1,061,473	116		1,061,589	411
State Contributions to Social Security	401,200	366,564	16,223		382,787	18,413
Contractual Services	1,886,700	1,338,015	532,567		1,870,582	16,118
Travel	33,600	29,487	2,803		32,290	1,310
Commodities	563,600	403,057	73,931		476,988	86,612
Printing	90,000	73,421	2,135		75,556	14,444
Equipment	34,700	668	0		668	34,032
Telecommunications	139,900	89,279	22,136		111,415	28,485
Operation of Automotive Equipment	350,000	307,320	33,740		341,060	8,940
Subtotal Operations	\$ 10,529,100	\$ 9,330,267	\$ 900,376		\$ 10,230,643	\$ 298,457
Other than Operations						
Tort Claims	\$ 128,000	\$ 116,501	\$ 424	\$	\$ 116,925	\$ 11,075
Refunds	2,000	770	0		770	1,230
Juvenile Justice Reform	0	0	0		0	0
Repairs & Maintenance and Permanent Improvements	0	0	0		0	0
Subtotal Other than Operations	\$ 130,000	\$ 117,271	\$ 424	\$	\$ 117,695	\$ 12,305
Total Division of Administration	\$ 10,659,100	\$ 9,447,538	\$ 900,800	\$	\$ 10,348,338	\$ 310,762
Information Services Bureau						
Personal Services	\$ 5,163,600	\$ 4,619,015	\$ 207,411	\$	\$ 4,826,426	\$ 337,174
State Contribution to State Employees' Retirement System	919,000	915,506	0		915,506	3,494
State Contributions to Social Security	387,300	346,112	15,554		361,666	25,634
Contractual Services	882,800	847,569	33,446		881,015	1,785
Travel	15,000	1,879	563		2,442	12,558
Commodities	29,000	17,078	3,769		20,847	8,153
Printing	30,200	19,431	5,000		24,431	5,769
Equipment	3,100	112	45		157	2,943
Electronic Data Processing	1,997,100	1,938,276	55,966		1,994,242	2,858
Telecommunications	439,000	414,062	24,928		438,990	10
Total Information Services Bureau	\$ 9,866,100	\$ 9,119,040	\$ 346,682	\$	\$ 9,465,722	\$ 400,378

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
Appropriations for Fiscal Year 2009

Fourteen Months Ended August 31, 2009

P.A. 95-0731 FISCAL YEAR 2009	Appropriations (Net of Transfers)	Lapse Period		Total Expenditures 14 Months Ended August 31	Balances Lapsed August 31
		Expenditures Through June 30	Expenditures July 1 to August 31		
Division of Operations					
Personal Services	\$ 88,171,700	\$ 79,133,344	\$ 1,894,269	\$ 81,027,613	\$ 7,144,087
State Contribution to State Employees' Retirement System	15,691,100	15,690,253	0	15,690,253	847
State Contributions to Social Security	2,935,100	2,590,497	105,999	2,696,496	238,604
Contractual Services	4,017,800	2,188,106	1,646,104	3,834,210	183,590
Travel	431,000	258,436	21,330	279,766	151,234
Commodities	656,900	477,484	116,625	594,109	62,791
Printing	120,700	101,693	3,787	105,480	15,220
Equipment	376,100	319,157	24,704	343,861	32,239
Electronic Data Processing	0	0	0	0	0
Telecommunications	5,672,100	4,748,100	650,262	5,398,362	273,738
Operation of Automotive Equipment	11,434,900	9,465,373	1,304,585	10,769,958	664,942
Total Division of Operations	\$ 129,507,400	\$ 114,972,443	\$ 5,767,665	\$ 120,740,108	\$ 8,767,292
Division of Operations - Financial Fraud and Forgery Unit					
Personal Services	\$ 4,276,800	\$ 3,416,311	\$ 101,837	\$ 3,518,148	\$ 758,652
State Contribution to State Employees' Retirement System	761,100	719,534	21,437	740,971	20,129
State Contributions to Social Security	75,300	53,099	1,206	54,305	20,995
Total Division of Operations Financial Fraud and Forgery Unit	\$ 5,113,200	\$ 4,188,944	\$ 124,480	\$ 4,313,424	\$ 799,776
Division of Forensic Services and Identification					
Personal Services	\$ 40,512,400	\$ 37,930,313	\$ 1,789,053	\$ 39,719,366	\$ 793,034
State Contribution to State Employees' Retirement System	7,209,600	7,090,850	110,000	7,200,850	8,750
State Contributions to Social Security	2,864,300	2,615,995	125,204	2,741,199	123,101
Contractual Services	4,515,600	3,852,692	610,472	4,463,164	52,436
Travel	56,000	27,136	5,433	32,569	23,431
Commodities	1,165,500	812,743	220,634	1,033,377	132,123
Printing	67,300	41,842	10,008	51,850	15,450
Equipment	1,128,600	733,392	52,887	786,279	342,321
Telecommunications	586,300	434,409	84,326	518,735	67,565
Operation of Automotive Equipment	97,800	63,359	11,801	75,160	22,640
Administration of a Statewide Sexual Assault Evidence Collection Program	87,300	33,969	53,170	87,139	161
Operational Expenses related to the Combined DNA Index System	3,448,000	2,741,793	582,912	3,324,705	123,295
Total Division of Forensic Services and Identification	\$ 61,738,700	\$ 56,378,493	\$ 3,655,900	\$ 60,034,393	\$ 1,704,307

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
Appropriations for Fiscal Year 2009

Fourteen Months Ended August 31, 2009

P.A. 95-0731 FISCAL YEAR 2009	Appropriations (Net of Transfers)	Expenditures Through June 30	Lapse Period		Total Expenditures 14 Months Ended August 31	Balances Lapsed August 31
			Expenditures July 1 to August 31	Expenditures August 31		
Division of Internal Investigation						
Personal Services	\$ 1,810,400	\$ 1,492,947	\$ 18,153	\$	\$ 1,511,100	\$ 299,300
State Contribution to State Employees' Retirement System	322,200	314,273	3,822		318,095	4,105
State Contributions to Social Security	35,500	31,651	1,180		32,831	2,669
Contractual Services	55,300	32,798	2,883		35,681	19,619
Travel	5,000	4,410	581		4,991	9
Commodities	12,600	5,894	661		6,555	6,045
Printing	3,200	3,193	0		3,193	7
Equipment	8,100	361	441		802	7,298
Telecommunications	76,900	54,311	10,298		64,609	12,291
Operation of Automotive Equipment	235,000	200,910	24,663		225,573	9,427
Total Division of Internal Investigator	\$ 2,564,200	\$ 2,140,748	\$ 62,682	\$	\$ 2,203,430	\$ 360,770
Public Safety Shared Services						
Costs associated to the Public Safety Shared Services Center	\$ 1,957,500	\$ 1,677,633	\$ 84,813	\$	\$ 1,762,446	\$ 195,054
Total General Revenue Fund	\$ 221,406,200	\$ 197,924,839	\$ 10,943,022	\$	\$ 208,867,861	\$ 12,538,339
Road Fund - 011						
Division of Operations						
Personal Services	\$ 96,549,900	\$ 96,549,850	\$ 0	\$	\$ 96,549,850	\$ 50
State Contribution to State Employees' Retirement System	17,182,100	17,182,056	0		17,182,056	44
State Contributions to Social Security	946,200	946,199	0		946,199	1
Total Road Fund	\$ 114,678,200	\$ 114,678,105	\$ 0	\$	\$ 114,678,105	\$ 95
Firearm Owner's Notification Fund - 071						
Division of Forensic Services and Identification						
Administration and Operation of the Firearm Owner's Identification Card Program	\$ 300,000	\$ 269,808	\$ 13,358	\$	\$ 283,166	\$ 16,834
State Crime Laboratory Fund - 152						
Division of Forensic Services and Identification						
Administration and Operation of State Crime Laboratories	\$ 750,000	\$ 303,819	\$ 51,619	\$	\$ 355,438	\$ 394,562
State Police DUI Fund - 222						
Division of Forensic Services and Identification						
Administration and Operation of State Crime Laboratories	\$ 950,000	\$ 932,076	\$ 11,587	\$	\$ 943,663	\$ 6,337

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
Appropriations for Fiscal Year 2009

Fourteen Months Ended August 31, 2009

P.A. 95-0731 FISCAL YEAR 2009	Appropriations (Net of Transfers)	Lapse Period		Total Expenditures 14 Months Ended August 31	Balances Lapsed August 31
		Expenditures Through June 30	Expenditures July 1 to August 31		
Medicaid Fraud & Abuse Prevention Fund - 237					
Division of Operations - Financial Fraud and Forgery Unit					
Detection, Investigation, or Prosecution of Recipient or Vendor Fraud	\$ 250,000	\$ 2,950	\$ 0	\$ 2,950	\$ 247,050
State Police Vehicle Fund - 246					
Division of Administration					
Purchase of Vehicles and Accessories	\$ 10,000,000	\$ 1,065,331	\$ 0	\$ 1,065,331	\$ 8,934,669
State Police Vehicle Maintenance Fund - 328					
Division of Administration					
Operation of Auto Equipment	\$ 1,000,000	\$ 0	\$ 0	\$ 0	\$ 1,000,000
Motor Vehicle Theft Prevention Trust Fund - 376					
Division of Operations					
Payment of Expenses	\$ 1,200,000	\$ 507,934	\$ 83,074	\$ 591,008	\$ 608,992
Sex Offender Investigation Fund - 445					
Division of Operations					
Payment of Expenses for Sex Offender Investigations	\$ 50,000	\$ 0	\$ 0	\$ 0	\$ 50,000
Federal Civil Preparedness Administrative Fund - 497					
Division of Operations					
Terrorism Task Force Approved Purchases for Homeland Security	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
State Asset Forfeiture Fund - 514					
Division of Administration					
Payment of Expenses	\$ 4,500,000	\$ 1,829,367	\$ 270,386	\$ 2,099,753	\$ 2,400,247
Federal Asset Forfeiture Fund - 520					
Division of Administration					
Payment of Expenses	\$ 2,000,000	\$ 1,129,014	\$ 647,279	\$ 1,776,293	\$ 223,707
Sex Offender Registration Fund - 535					
Division of Operations					
Payment of Expenses for the Sex Offender Registration Program	\$ 20,000	\$ 0	\$ 0	\$ 0	\$ 20,000

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
Appropriations for Fiscal Year 2009

Fourteen Months Ended August 31, 2009

P.A. 95-0731 FISCAL YEAR 2009	Appropriations (Net of Transfers)	Lapse Period		Total Expenditures 14 Months Ended August 31	Balances Lapsed August 31
		Expenditures Through June 30	Expenditures July 1 to August 31		
LEADS Maintenance Fund - 536					
Information Services Bureau					
Payment of Expenses Related to LEADS System	\$ 3,500,000	\$ 1,914,460	\$ 143,585	\$ 2,058,045	\$ 1,441,955
State Offender DNA Identification System Fund - 537					
Division of Forensic Services and Identification Administration and Operation of State Crime Laboratories	\$ 3,423,500	\$ 1,358,802	\$ 363,354	\$ 1,722,156	\$ 1,701,344
State Police Wireless Service Emergency Fund - 637					
Division of Administration					
Costs associated with the Wireless Emergency Telephone Safety Act	\$ 1,800,000	\$ 598,751	\$ 66,478	\$ 665,229	\$ 1,134,771
Motor Carrier Safety Inspection Fund - 649					
Division of Operations					
Costs associated with the Enforcement of Federal and State Motor Carrier Safety Regulations and Laws	\$ 2,300,000	\$ 1,956,175	\$ 0	\$ 1,956,175	\$ 343,825
State Police Whistleblower Reward and Prevention Fund - 705					
Division of Operations					
Costs associated with the Enforcement of the State Whistleblower Protection Act	\$ 2,250,000	\$ 1,777,700	\$ 411,091	\$ 2,188,791	\$ 61,209
Drug Traffic Prevention Fund - 878					
Division of Operations					
Grants to Metropolitan Enforcement Groups	\$ 150,000	\$ 105,000	\$ 0	\$ 105,000	\$ 45,000
Traffic and Criminal Conviction Surcharge Fund - 879					
Division of Operations					
Personal Services	\$ 3,203,800	\$ 3,160,778	\$ 0	\$ 3,160,778	\$ 43,022
State Contribution to State					
Employees' Retirement System	570,200	570,199	0	570,199	1
State Contributions to Social Security	96,100	70,355	0	70,355	25,745
Group Insurance	651,200	616,177	0	616,177	35,023
Contractual Services	465,400	376,319	67,237	443,556	21,844
Travel	38,300	36,556	1,744	38,300	0
Commodities	174,600	154,176	12,935	167,111	7,489
Printing	26,500	24,808	0	24,808	1,692
Telecommunications	115,700	96,756	16,785	113,541	2,159
Operation of Automotive Equipment	212,200	166,773	42,719	209,492	2,708
Total Traffic and Criminal Conviction Surcharge Fund	\$ 5,554,000	\$ 5,272,897	\$ 141,420	\$ 5,414,317	\$ 139,683

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
Appropriations for Fiscal Year 2009

Fourteen Months Ended August 31, 2009

P.A. 95-0731 FISCAL YEAR 2009	Appropriations (Net of Transfers)	Lapse Period Expenditures		Total Expenditures 14 Months Ended August 31	Balances Lapsed August 31
		Through June 30	July 1 to August 31		
Illinois State Police Federal Projects Fund - 904					
Division of Operations					
Payment of Expenses	\$ 20,000,000	\$ 9,570,505	\$ 1,587,989	\$ 11,158,494	\$ 8,841,506
State Police Services Fund - 906					
Division of Operations					
Payment of Expenses:					
Fingerprint Program	\$ 19,000,000	\$ 10,412,635	\$ 1,497,867	\$ 11,910,502	\$ 7,089,498
Federal & IDOT Programs	7,400,000	3,004,879	270,277	3,275,156	4,124,844
Riverboat Gambling	1,200,000	604,533	192,287	796,820	403,180
Miscellaneous Programs	4,300,000	2,820,616	122,892	2,943,508	1,356,492
Total State Police Services Fund	\$ 31,900,000	\$ 16,842,663	\$ 2,083,323	\$ 18,925,986	\$ 12,974,014
Total All Appropriated Funds	\$ 427,981,900	\$ 358,040,196	\$ 16,817,565	\$ 374,857,761	\$ 53,124,139
Non-Appropriated Funds					
Federal Projects Fund - 904					
Division of Operations					
COPS Record Management		\$ 3,323	\$ 118	\$ 3,441	
ICJIA AFIS Grant		0	0	0	
DNA Backlog Reduction Program		0	0	0	
Refunds of Federal Grants		104	13,273	13,377	
Total All Non-Appropriated Funds		\$ 3,427	\$ 13,391	\$ 16,818	
CONTINUING APPROPRIATED FUNDS					
General Revenue Fund - 001					
Division of Administration					
Pension Continuing Approp	N/A	\$ 130,577	\$ 45,517	\$ 176,094	N/A
Information Services Bureau					
Pension Continuing Approp	N/A	57,404	43,689	101,093	N/A
Division of Operations					
Pension Continuing Approp	N/A	3,916,548	398,809	4,315,357	N/A

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
Appropriations for Fiscal Year 2009

Fourteen Months Ended August 31, 2009

P.A. 95-0731 FISCAL YEAR 2009	Appropriations (Net of Transfers)	Expenditures Through June 30	Lapse Period		Balances Lapsed August 31
			Expenditures July 1 to August 31	Total Expenditures 14 Months Ended August 31	
Division of Forensic Services and Identification					
Pension Continuing Approp	N/A	897,341	266,698	1,164,039	N/A
Traffic and Criminal Conviction Surcharge Fund - 879					
Division of Operations					
Pension Continuing Approp	N/A	95,420	0	95,420	N/A
Total Continuing Appropriated Funds		<u>5,097,290</u>	<u>754,713</u>	<u>5,852,003</u>	
GRAND TOTAL ALL FUNDS	<u>\$ 427,981,900</u>	<u>\$ 363,140,913</u>	<u>\$ 17,585,669</u>	<u>\$ 380,726,582</u>	<u>\$ 53,124,139</u>

Note 1: Appropriations, expenditures and lapsed balances were obtained from Agency records and have been reconciled to records of the State Comptroller.

Note 2: Expenditure amounts are vouchers approved for payment by the Agency and submitted to the State Comptroller for payment to the vendor.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Fiscal Years Ended June 30,

	Fiscal Year		
	2010	2009	2008
	P.A. 96-0042	P.A. 95-0731	P.A. 95-0348
General Revenue Fund - 001			
Appropriations (Net of Transfers)	\$ 287,365,800	\$ 221,406,200	\$ 216,991,400
<u>Expenditures</u>			
Lump sum Expenditures			
Personal Services for Bargaining Unit Employees	\$ 210,983,123	\$ 0	\$ 0
State Contributions to Social Security for Bargaining Unit Employees	6,627,092	0	0
Personal Services for Non-Bargaining Unit Employees	11,745,936	0	0
State Contributions to Social Security for Non-Bargaining Unit Employees	542,170	0	0
Operational Expenses	31,136,888	0	0
Operational Expenses, Awards, Grants, and Permanent Improvements	3,839,149	0	0
Total Lump sum Expenditures	<u>\$ 264,874,358</u>	<u>\$ 0</u>	<u>\$ 0</u>
Division of Administration			
Operations			
Personal Services	\$ 0	\$ 5,877,708	\$ 5,559,084
State Contribution to State Employees' Retirement System	0	1,061,589	919,326
State Contributions to Social Security	0	382,787	364,080
Contractual Services	0	1,870,582	2,425,408
Travel	0	32,290	49,730
Commodities	0	476,988	566,277
Printing	0	75,556	88,203
Equipment	0	668	29,222
Telecommunications	0	111,415	105,814
Operation of Automotive Equipment	0	341,060	348,922
Subtotal Operations	<u>\$ 0</u>	<u>\$ 10,230,643</u>	<u>\$ 10,456,066</u>
Other than Operations			
Tort Claims	\$ 0	\$ 116,925	\$ 23,556
Refunds	0	770	327
Juvenile Justice Reform	0	0	0
Public Safety Shared Services Center	0	0	0
Repairs & Maintenance and Permanent Improvements	0	0	28,806
Grants to State's Attorneys for expenses incurred in the videotaping of interrogations	0	0	0
Subtotal Other than Operations	<u>\$ 0</u>	<u>\$ 117,695</u>	<u>\$ 52,689</u>
Total Division of Administration	<u>\$ 0</u>	<u>\$ 10,348,338</u>	<u>\$ 10,508,755</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Fiscal Years Ended June 30,

	Fiscal Year		
	2010	2009	2008
	P.A. 96-0042	P.A. 95-0731	P.A. 95-0348
Information Services Bureau			
Personal Services	\$ 0	\$ 4,826,426	\$ 4,870,099
State Contribution to State			
Employees' Retirement System	0	915,506	806,972
State Contributions to Social Security	0	361,666	364,519
Contractual Services	0	881,015	577,997
Travel	0	2,442	6,771
Commodities	0	20,847	23,588
Printing	0	24,431	11,393
Equipment	0	157	1,000
Electronic Data Processing	0	1,994,242	1,993,493
Telecommunications	0	438,990	439,000
Total Information Services Bureau	<u>\$ 0</u>	<u>\$ 9,465,722</u>	<u>\$ 9,094,832</u>
Division of Operations			
Personal Services	\$ 0	\$ 81,027,613	\$ 85,792,290
State Contribution to State			
Employees' Retirement System	0	15,690,253	14,023,125
State Contributions to Social Security	0	2,696,496	2,636,919
Contractual Services	0	3,834,210	3,320,537
Travel	0	279,766	421,840
Commodities	0	594,109	505,519
Printing	0	105,480	113,882
Equipment	0	343,861	267,059
Electronic Data Processing	0	0	0
Telecommunications	0	5,398,362	4,909,535
Operation of Automotive Equipment	0	10,769,958	12,150,142
Expenses related to the Hiring of 15 Forensic Scientists and 5 Telecommuters	0	0	33,447
Total Division of Operations	<u>\$ 0</u>	<u>\$ 120,740,108</u>	<u>\$ 124,174,295</u>
Division of Operations - Financial Fraud and Forgery Unit			
Personal Services	\$ 0	\$ 3,518,148	\$ 3,972,889
State Contribution to State			
Employees' Retirement System	0	740,971	658,337
State Contributions to Social Security	0	54,305	60,821
Total Division of Operations Financial Fraud and Forgery Unit	<u>\$ 0</u>	<u>\$ 4,313,424</u>	<u>\$ 4,692,047</u>
Division of Forensic Services and Identification			
Personal Services	\$ 0	\$ 39,719,366	\$ 38,025,482
State Contribution to State			
Employees' Retirement System	0	7,200,850	6,301,274
State Contributions to Social Security	0	2,741,199	2,609,873
Contractual Services	0	4,463,164	4,366,427
Travel	0	32,569	55,464
Commodities	0	1,033,377	1,023,431
Printing	0	51,850	53,652
Equipment	0	786,279	975,145
Electronic Data Processing	0	0	0
Telecommunications	0	518,735	539,503
Operation of Automotive Equipment	0	75,160	95,460

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Fiscal Years Ended June 30,

	Fiscal Year		
	2010	2009	2008
	P.A. 96-0042	P.A. 95-0731	P.A. 95-0348
Administration of a Statewide Sexual Assault Evidence Collection Program	0	87,139	87,300
Operational Expenses related to the Combined DNA Index System	0	3,324,705	3,447,558
Costs associated with the Expedition of DNA Backlog Reduction	0	0	0
Total Division of Forensic Services and Identification	<u>\$ 0</u>	<u>\$ 60,034,393</u>	<u>\$ 57,580,569</u>
Division of Internal Investigation			
Personal Services	\$ 0	\$ 1,511,100	\$ 1,395,613
State Contribution to State Employees' Retirement System	0	318,095	231,101
State Contributions to Social Security	0	32,831	26,243
Contractual Services	0	35,681	48,846
Travel	0	4,991	4,275
Commodities	0	6,555	7,573
Printing	0	3,193	3,186
Equipment	0	802	2,719
Telecommunications	0	64,609	71,046
Operation of Automotive Equipment	0	225,573	255,899
Total Division of Internal Investigation	<u>\$ 0</u>	<u>\$ 2,203,430</u>	<u>\$ 2,046,501</u>
Division of Shared Services			
Public Safety Shared Services Center	\$ 0	\$ 1,762,446	\$ 1,656,937
Total Division of Shared Services	<u>\$ 0</u>	<u>\$ 1,762,446</u>	<u>\$ 1,656,937</u>
Total General Revenue Fund	<u>\$ 264,874,358</u>	<u>\$ 208,867,861</u>	<u>\$ 209,753,936</u>
Lapsed Balances	<u>\$ 22,491,442</u>	<u>\$ 12,538,339</u>	<u>\$ 7,237,464</u>
Road Fund - 011			
Appropriations (Net of Transfers)	\$ 0	\$ 114,678,200	\$ 106,085,700
<u>Expenditures</u>			
Division of Operations			
Personal Services	\$ 0	\$ 96,549,850	\$ 90,224,145
State Contribution to State Employees' Retirement System	0	17,182,056	14,977,148
State Contributions to Social Security	0	946,199	884,173
Total Road Fund	<u>\$ 0</u>	<u>\$ 114,678,105</u>	<u>\$ 106,085,466</u>
Lapsed Balances	<u>\$ 0</u>	<u>\$ 95</u>	<u>\$ 234</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Fiscal Years Ended June 30,

	Fiscal Year		
	2010	2009	2008
	P.A. 96-0042	P.A. 95-0731	P.A. 95-0348
Firearm Owner's Notification Fund - 071			
Appropriations (Net of Transfers)	\$ 700,000	\$ 300,000	\$ 300,000
<u>Expenditures</u>			
Division of Forensic Services and Identification			
Administration and Operation of the Firearm Owner's Identification Card Program	\$ 651,531	\$ 283,166	\$ 213,575
Total Firearm Owner's Notification Fund	\$ 651,531	\$ 283,166	\$ 213,575
Lapsed Balances	\$ 48,469	\$ 16,834	\$ 86,425
Capital Development Fund - 141			
Appropriations (Net of Transfers)	\$ 0	\$ 0	\$ 3,018
<u>Expenditures</u>			
Division of Administration			
Costs associated with a Statewide Voice Communication System	\$ 0	\$ 0	\$ 3,017
Total Capital Development Fund	\$ 0	\$ 0	\$ 3,017
Lapsed Balances	\$ 0	\$ 0	\$ 1
State Crime Laboratory Fund - 152			
Appropriations (Net of Transfers)	\$ 750,000	\$ 750,000	\$ 750,000
<u>Expenditures</u>			
Division of Forensic Services and Identification			
Administration and Operation of State Crime Laboratories	\$ 462,672	\$ 355,438	\$ 708,942
Total State Crime Laboratory Fund	\$ 462,672	\$ 355,438	\$ 708,942
Lapsed Balances	\$ 287,328	\$ 394,562	\$ 41,058
State Police DUI Fund - 222			
Appropriations (Net of Transfers)	\$ 1,150,000	\$ 950,000	\$ 850,000
<u>Expenditures</u>			
Division of Forensic Services and Identification			
Administration and Operation of State Crime Laboratories	\$ 985,184	\$ 943,663	\$ 847,745
Total State Police DUI Fund	\$ 985,184	\$ 943,663	\$ 847,745
Lapsed Balances	\$ 164,816	\$ 6,337	\$ 2,255

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Fiscal Years Ended June 30,

	Fiscal Year		
	2010	2009	2008
	P.A. 96-0042	P.A. 95-0731	P.A. 95-0348
Medicaid Fraud & Abuse Prevention Fund - 237			
Appropriations (Net of Transfers)	\$ 250,000	\$ 250,000	\$ 250,000
<u>Expenditures</u>			
Division of Operations - Financial Fraud and Forgery Unit			
Detection, Investigation, or Prosecution of Recipient or Vendor Fraud	\$ 10,376	\$ 2,950	\$ 5,296
Total Medicaid Fraud & Abuse Prevention Fund	<u>\$ 10,376</u>	<u>\$ 2,950</u>	<u>\$ 5,296</u>
Lapsed Balances	<u>\$ 239,624</u>	<u>\$ 247,050</u>	<u>\$ 244,704</u>
State Police Vehicle Fund - 246			
Appropriations (Net of Transfers)	\$ 12,000,000	\$ 10,000,000	\$ 10,000,000
<u>Expenditures</u>			
Division of Administration			
Purchase of Vehicles and Accessories	\$ 7,845,956	\$ 1,065,331	\$ 1,053,131
Total State Police Vehicle Fund	<u>\$ 7,845,956</u>	<u>\$ 1,065,331</u>	<u>\$ 1,053,131</u>
Lapsed Balances	<u>\$ 4,154,044</u>	<u>\$ 8,934,669</u>	<u>\$ 8,946,869</u>
State Police Vehicle Maintenance Fund - 328			
Appropriations (Net of Transfers)	\$ 1,000,000	\$ 1,000,000	\$ 1,000,000
<u>Expenditures</u>			
Division of Administration			
Operation of Auto Equipment	\$ 0	\$ 0	\$ 0
Total State Police Vehicle Maintenance Fund	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>
Lapsed Balances	<u>\$ 1,000,000</u>	<u>\$ 1,000,000</u>	<u>\$ 1,000,000</u>
Motor Vehicle Theft Prevention Trust Fund - 376			
Appropriations (Net of Transfers)	\$ 1,200,000	\$ 1,200,000	\$ 1,200,000
<u>Expenditures</u>			
Division of Operations			
Payment of Expenses	\$ 456,751	\$ 591,008	\$ 528,606
Total Motor Vehicle Theft Prevention Trust Fund	<u>\$ 456,751</u>	<u>\$ 591,008</u>	<u>\$ 528,606</u>
Lapsed Balances	<u>\$ 743,249</u>	<u>\$ 608,992</u>	<u>\$ 671,394</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Fiscal Years Ended June 30,

	Fiscal Year		
	<u>2010</u>	<u>2009</u>	<u>2008</u>
	P.A. 96-0042	P.A. 95-0731	P.A. 95-0348
Sex Offender Investigation Fund - 445			
Appropriations (Net of Transfers)	\$ 50,000	\$ 50,000	\$ 0
<u>Expenditures</u>			
Division of Operations			
Payment of Expenses for Sex Offender Investigations	\$ 0	\$ 0	\$ 0
Total Sex Offender Investigation Fund	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>
Lapsed Balances	<u>\$ 50,000</u>	<u>\$ 50,000</u>	<u>\$ 0</u>
Federal Civil Preparedness Administrative Fund - 497			
Appropriations (Net of Transfers)	\$ 0	\$ 0	\$ 5,500,000
<u>Expenditures</u>			
Division of Operations			
Terrorism Task Force Approved Purchases for Homeland Security	\$ 0	\$ 0	\$ 0
Total Federal Civil Preparedness Administrative Fund	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>
Lapsed Balances	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 5,500,000</u>
State Asset Forfeiture Fund - 514			
Appropriations (Net of Transfers)	\$ 4,500,000	\$ 4,500,000	\$ 4,500,000
<u>Expenditures</u>			
Division of Administration			
Payment of Expenses	\$ 1,970,319	\$ 2,099,753	\$ 3,998,108
Total State Asset Forfeiture Fund	<u>\$ 1,970,319</u>	<u>\$ 2,099,753</u>	<u>\$ 3,998,108</u>
Lapsed Balances	<u>\$ 2,529,681</u>	<u>\$ 2,400,247</u>	<u>\$ 501,892</u>
Federal Asset Forfeiture Fund - 520			
Appropriations (Net of Transfers)	\$ 2,000,000	\$ 2,000,000	\$ 1,500,000
<u>Expenditures</u>			
Division of Administration			
Payment of Expenses	\$ 1,649,977	\$ 1,776,293	\$ 1,408,101
Total Federal Asset Forfeiture Fund	<u>\$ 1,649,977</u>	<u>\$ 1,776,293</u>	<u>\$ 1,408,101</u>
Lapsed Balances	<u>\$ 350,023</u>	<u>\$ 223,707</u>	<u>\$ 91,899</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Fiscal Years Ended June 30,

	Fiscal Year		
	2010	2009	2008
	P.A. 96-0042	P.A. 95-0731	P.A. 95-0348
	<u> </u>	<u> </u>	<u> </u>
Sex Offender Registration Fund - 535			
Appropriations (Net of Transfers)	\$ 20,000	\$ 20,000	\$ 20,000
<u>Expenditures</u>			
Division of Operations			
Payment of Expenses for the Sex Offender Registration Program	\$ 0	\$ 0	\$ 5,050
Total Sex Offender Registration Fund	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 5,050</u>
Lapsed Balances	<u>\$ 20,000</u>	<u>\$ 20,000</u>	<u>\$ 14,950</u>
LEADS Maintenance Fund - 536			
Appropriations (Net of Transfers)	\$ 3,500,000	\$ 3,500,000	\$ 3,500,000
<u>Expenditures</u>			
Information Services Bureau			
Payment of Expenses Related to LEADS System	\$ 1,736,835	\$ 2,058,045	\$ 2,172,064
Total LEADS Maintenance Fund	<u>\$ 1,736,835</u>	<u>\$ 2,058,045</u>	<u>\$ 2,172,064</u>
Lapsed Balances	<u>\$ 1,763,165</u>	<u>\$ 1,441,955</u>	<u>\$ 1,327,936</u>
State Offender DNA Identification System Fund - 537			
Appropriations (Net of Transfers)	\$ 3,423,500	\$ 3,423,500	\$ 3,423,500
<u>Expenditures</u>			
Division of Forensic Services and Identification			
Administration and Operation of State Crime Laboratories	\$ 2,508,395	\$ 1,722,156	\$ 2,405,772
Total State Offender DNA Identification System Fund	<u>\$ 2,508,395</u>	<u>\$ 1,722,156</u>	<u>\$ 2,405,772</u>
Lapsed Balances	<u>\$ 915,105</u>	<u>\$ 1,701,344</u>	<u>\$ 1,017,728</u>
State Police Wireless Service Emergency Fund - 637			
Appropriations (Net of Transfers)	\$ 1,800,000	\$ 1,800,000	\$ 1,800,000
<u>Expenditures</u>			
Division of Administration			
Costs associated with the Wireless Emergency Telephone Safety Act	\$ 678,167	\$ 665,229	\$ 781,978
Total State Police Wireless Service Emergency Fund	<u>\$ 678,167</u>	<u>\$ 665,229</u>	<u>\$ 781,978</u>
Lapsed Balances	<u>\$ 1,121,833</u>	<u>\$ 1,134,771</u>	<u>\$ 1,018,022</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Fiscal Years Ended June 30,

	Fiscal Year		
	<u>2010</u>	<u>2009</u>	<u>2008</u>
	P.A. 96-0042	P.A. 95-0731	P.A. 95-0348
Motor Carrier Safety Inspection Fund - 649			
Appropriations (Net of Transfers)	\$ 2,300,000	\$ 2,300,000	\$ 2,300,000
<u>Expenditures</u>			
Division of Operations			
Costs associated with the Enforcement of Federal and State Motor Carrier Safety Regulations and Laws	\$ 2,046,989	\$ 1,956,175	\$ 2,221,551
Total Motor Carrier Safety Inspection Fund	<u>\$ 2,046,989</u>	<u>\$ 1,956,175</u>	<u>\$ 2,221,551</u>
Lapsed Balances	<u>\$ 253,011</u>	<u>\$ 343,825</u>	<u>\$ 78,449</u>
Over Dimensional Load Police Escort Fund - 652			
Appropriations (Net of Transfers)	\$ 125,000	\$ 0	\$ 0
<u>Expenditures</u>			
Division of Operations			
Costs associated with providing police escorts for over-dimensionals loads	\$ 80,086	\$ 0	\$ 0
Total Over Dimensional Load Police Escort Fund	<u>\$ 80,086</u>	<u>\$ 0</u>	<u>\$ 0</u>
Lapsed Balances	<u>\$ 44,914</u>	<u>\$ 0</u>	<u>\$ 0</u>
State Police Whistleblower Reward and Prevention Fund - 705			
Appropriations (Net of Transfers)	\$ 17,148,800	\$ 2,250,000	\$ 1,750,000
<u>Expenditures</u>			
Division of Operations			
Costs associated with the Enforcement of the State Whistleblower Protection Act	\$ 16,576,835	\$ 2,188,791	\$ 1,566,326
Total State Police Whistleblower Reward and Prevention Fund	<u>\$ 16,576,835</u>	<u>\$ 2,188,791</u>	<u>\$ 1,566,326</u>
Lapsed Balances	<u>\$ 571,965</u>	<u>\$ 61,209</u>	<u>\$ 183,674</u>
Drug Traffic Prevention Fund - 878			
Appropriations (Net of Transfers)	\$ 150,000	\$ 150,000	\$ 150,000
<u>Expenditures</u>			
Division of Operations			
Grants to Metropolitan Enforcement Groups	\$ 105,000	\$ 105,000	\$ 105,000
Total Drug Traffic Prevention Fund	<u>\$ 105,000</u>	<u>\$ 105,000</u>	<u>\$ 105,000</u>
Lapsed Balances	<u>\$ 45,000</u>	<u>\$ 45,000</u>	<u>\$ 45,000</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Fiscal Years Ended June 30,

	Fiscal Year		
	2010	2009	2008
	P.A. 96-0042	P.A. 95-0731	P.A. 95-0348
Traffic and Criminal Conviction Surcharge Fund - 879			
Appropriations (Net of Transfers)	\$ 5,782,600	\$ 5,554,000	\$ 5,514,400
<u>Expenditures</u>			
Division of Operations			
Personal Services	\$ 3,006,658	\$ 3,160,778	\$ 3,121,465
Employee Retirement			
Contributions Paid by Employer	0	0	0
State Contribution to State			
Employees' Retirement System	854,689	570,199	517,617
State Contributions to Social Security	90,629	70,355	75,618
Group Insurance	586,768	616,177	599,596
Contractual Services	389,863	443,556	435,890
Travel	38,051	38,300	36,673
Commodities	120,274	167,111	145,509
Printing	26,500	24,808	26,500
Telecommunications	111,463	113,541	115,676
Operation of Automotive Equipment	135,227	209,492	209,973
	<u>\$ 5,360,122</u>	<u>\$ 5,414,317</u>	<u>\$ 5,284,517</u>
Total Traffic and Criminal Conviction Surcharge Fund			
Lapsed Balances	<u>\$ 422,478</u>	<u>\$ 139,683</u>	<u>\$ 229,883</u>
Illinois State Police Federal Projects Fund - 904			
Appropriations (Net of Transfers)	\$ 40,000,000	\$ 20,000,000	\$ 17,400,000
<u>Expenditures</u>			
Division of Operations			
Payment of Expenses	\$ 12,314,960	\$ 11,158,494	\$ 9,368,363
Federal Recovery - For Fed. Funded Prog. Expenses	0	0	0
Cops Record Management Grant	0	0	0
Refunds of Federal Grants	0	0	0
	<u>\$ 12,314,960</u>	<u>\$ 11,158,494</u>	<u>\$ 9,368,363</u>
Total Illinois State Police Federal Projects Fund			
Lapsed Balances	<u>\$ 27,685,040</u>	<u>\$ 8,841,506</u>	<u>\$ 8,031,637</u>
State Police Services Fund - 906			
Appropriations (Net of Transfers)	\$ 31,900,000	\$ 31,900,000	\$ 31,900,000
<u>Expenditures</u>			
Division of Operations			
Payment of Expenses:			
Fingerprint Program	\$ 11,720,642	\$ 11,910,502	\$ 11,953,706
Federal & IDOT Programs	3,092,242	3,275,156	4,128,090
Riverboat Gambling	870,064	796,820	531,146
Miscellaneous Programs	2,915,690	2,943,508	2,635,704
	<u>\$ 18,598,638</u>	<u>\$ 18,925,986</u>	<u>\$ 19,248,646</u>
Total State Police Services Fund			
Lapsed Balances	<u>\$ 13,301,362</u>	<u>\$ 12,974,014</u>	<u>\$ 12,651,354</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Fiscal Years Ended June 30,

	Fiscal Year		
	2010	2009	2008
	P.A. 96-0042	P.A. 95-0731	P.A. 95-0348
GRAND TOTAL - ALL APPROPRIATED FUNDS			
Appropriations (Net of Transfers)	<u>\$ 417,115,700</u>	<u>\$ 427,981,900</u>	<u>\$ 416,688,018</u>
Total Expenditures	<u>\$ 338,913,151</u>	<u>\$ 374,857,761</u>	<u>\$ 367,765,190</u>
Balances Reappropriated July 1	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>
Lapsed Balances	<u>\$ 78,202,549</u>	<u>\$ 53,124,139</u>	<u>\$ 48,922,828</u>
NON-APPROPRIATED FUNDS			
Federal Projects Fund - 904			
<u>Expenditures</u>			
Division of Operations			
COPS Record Management	\$ 269,370	\$ 3,441	\$ 8,895
ICJIA AFIS Grant	0	0	0
DNA Backlog Reduction Program	0	0	751,082
Refunds of Federal Grants	<u>374,998</u>	<u>13,377</u>	<u>12,752</u>
Total State Police Services Fund	<u>\$ 644,368</u>	<u>\$ 16,818</u>	<u>\$ 772,729</u>
GRAND TOTAL - ALL NON-APPROPRIATED FUNDS	<u>\$ 644,368</u>	<u>\$ 16,818</u>	<u>\$ 772,729</u>
CONTINUING APPROPRIATED FUNDS			
<u>Expenditures</u>			
General Revenue Fund - 001			
Division of Administration			
Pension Continuing Approp	\$ 0	\$ 176,094	\$ 0
Information Services Bureau			
Pension Continuing Approp	\$ 0	\$ 101,093	\$ 0
Division of Operations			
Pension Continuing Approp	\$ 0	\$ 4,315,357	\$ 0
Division of Forensic Services and Identification			
Pension Continuing Approp	\$ 0	\$ 1,164,039	\$ 0
Traffic and Criminal Conviction Surcharge Fund - 879			
Division of Operations			
Pension Continuing Approp	<u>\$ 0</u>	<u>\$ 95,420</u>	<u>\$ 0</u>
GRAND TOTAL - ALL CONTINUING APPROPRIATED FUNDS	<u>\$ 0</u>	<u>\$ 5,852,003</u>	<u>\$ 0</u>
GRAND TOTAL - ALL FUNDS	<u>\$ 339,557,519</u>	<u>\$ 380,726,582</u>	<u>\$ 368,537,919</u>
Salaries paid from the Comptroller's Executive Salary Appropriation			
Executive Director	<u>\$ 132,600</u>	<u>\$ 132,778</u>	<u>\$ 127,713</u>
Total Expenditures from Comptroller's Executive Salaries Appropriation	<u>\$ 132,600</u>	<u>\$ 132,778</u>	<u>\$ 127,713</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF RECEIPTS, DISBURSEMENTS AND FUND BALANCE
(CASH BASIS) - LOCALLY HELD FUNDS
For the Fiscal Years Ended June 30,

	<u>Fiscal Year</u>	
Official Advance Fund - 1199	<u>2010</u>	<u>2009</u>
Beginning Cash Balance	\$ 132,691	\$ 177,405
Cash Receipts:		
Investment Income	335	341
Fund Transfers - In	600,000	400,000
Miscellaneous	46,950	20,018
Cash Disbursements:		
Contractual Services	588,902	465,073
Ending Cash Balance	<u>\$ 191,074</u>	<u>\$ 132,691</u>
Seized Fund - 1241	<u>2010</u>	<u>2009</u>
Beginning Cash Balance	\$ 6,103,860	\$ 868,385
Cash Receipts:		
Fines, Penalties, or Violations	10,859,379	14,440,344
Investment Income	424	5,378
Fund Transfers - In	48,359	423,864
Miscellaneous	32,721	1,965
Cash Disbursements:		
Refunds	294,711	367,094
Cost of Sales	0	178
Fund Transfers - Out	13,106,154	9,268,804
Ending Cash Balance	<u>\$ 3,643,878</u>	<u>\$ 6,103,860</u>
Forfeiture Funds - 1242	<u>2010</u>	<u>2009</u>
Beginning Cash Balance	\$ 1,715,188	\$ 1,938,717
Cash Receipts:		
Fines, Penalties, or Violations	5,984,792	4,971,629
Investment Income	358	11,201
Fund Transfers - In	13,687,105	8,838,117
Cash Disbursements:		
Awards or Grants	13,731,403	10,566,894
Refunds	703	4,670
Cost of Sales	5,817	3,033
Fund Transfers - Out	5,285,172	3,469,879
Ending Cash Balance	<u>\$ 2,364,348</u>	<u>\$ 1,715,188</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF RECEIPTS, DISBURSEMENTS AND FUND BALANCE
(CASH BASIS) - LOCALLY HELD FUNDS
For the Fiscal Years Ended June 30,

	Fiscal Year	
Federal Forfeiture Pending Closure Fund - 1378	2010	2009
Beginning Cash Balance	\$ 0	\$ 76,311
Cash Receipts:		
Fines, Penalties, or Violations	4,136,235	1,898,989
Fund Transfers - In	0	10
Cash Disbursements:		
Refunds	1,224,560	840,784
Fund Transfers - Out	2,909,077	1,134,526
Ending Cash Balance	\$ 2,598	\$ 0
Federal Forfeiture Treasury Fund - 1379	2010	2009
Beginning Cash Balance	\$ 7,166	\$ 11,165
Cash Receipts:		
Fund Transfers - In	72,164	51,053
Cash Disbursements:		
Fund Transfers - Out	79,330	55,052
Ending Cash Balance	\$ 0	\$ 7,166
Money Laundering Seized Fund - 1380	2010	2009
Beginning Cash Balance	\$ 4,817	\$ 239,805
Cash Receipts:		
Fines, Penalties, or Violations	720,007	273,173
Investment Income	0	0
Fund Transfers - In	0	0
Cash Disbursements:		
Contractual Services	0	0
Fund Transfers - Out	562,022	508,161
Ending Cash Balance	\$ 162,802	\$ 4,817

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF RECEIPTS, DISBURSEMENTS AND FUND BALANCE
(CASH BASIS) - LOCALLY HELD FUNDS
For the Fiscal Years Ended June 30,

Money Laundering Forfeited Fund - 1381	<u>2010</u>	<u>Fiscal Year</u>	<u>2009</u>
Beginning Cash Balance	\$ 676,340		\$ 88,959
Cash Receipts:			
Fines, Penalties, or Violations	371,396		1,101,698
Investment Income	116		734
Fund Transfers - In	2,836,249		1,866,544
Cash Disbursements:			
Awards or Grants	2,831,142		2,380,897
Cost of Sales	0		198
Fund Transfers - Out	0		500
Ending Cash Balance	<u>\$ 1,052,959</u>		<u>\$ 676,340</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF CHANGES IN STATE PROPERTY
For the Two Fiscal Years Ended June 30, 2010

	Total	Land and Land Improvements	Buildings and Building Improvements	Equipment	Capital Leases - Bldgs & Improvements	Capital Leases - Equipment
Balance at July 1, 2008	\$ 282,435,237	\$ 1,617,667	\$ 57,716,117	\$ 215,770,919	\$ 5,947,501	\$ 1,383,033
Additions	8,472,821	0	2,110	8,401,929	68,782	0
Deletions	(3,015,689)	0	(413,256)	(2,589,941)	(12,492)	0
Net Transfers	297,403	114,889	3,496,615	(3,314,101)	0	0
Balance at June 30, 2009	<u>\$ 288,189,772</u>	<u>\$ 1,732,556</u>	<u>\$ 60,801,586</u>	<u>\$ 218,268,806</u>	<u>\$ 6,003,791</u>	<u>\$ 1,383,033</u>
Balance at July 1, 2009	\$ 288,189,772	\$ 1,732,556	\$ 60,801,586	\$ 218,268,806	\$ 6,003,791	\$ 1,383,033
Additions	11,160,305	0	357,481	10,802,824	0	0
Deletions	(9,887,747)	0	0	(9,887,747)	0	0
Net Transfers	(3,357,471)	0	1,332,942	(4,690,413)	0	0
Balance at June 30, 2010	<u>\$ 286,104,859</u>	<u>\$ 1,732,556</u>	<u>\$ 62,492,009</u>	<u>\$ 214,493,470</u>	<u>\$ 6,003,791</u>	<u>\$ 1,383,033</u>

Note: The above schedule has been derived from Agency records which have been reconciled to property reports submitted to the Office of the Comptroller.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF CASH RECEIPTS
For the Fiscal Years Ended June 30,

	<u>2010</u>	<u>2009</u>	<u>2008</u>
General Revenue Fund (001)			
Accident Reports	\$ 153,832	\$ 172,889	\$ 172,756
Federal Agencies	41,928	4,365	77
State Highway Police	328,464	216,101	146,562
Circuit Clerks	56,504	54,148	42,587
Reimbursements for Local Law Enforcement	0	0	732
Miscellaneous & Unclaimed Assets	300	10,621	8,424
Medicaid Fraud Grant	0	289,393	429,817
Other State Agencies	107,623	0	11
Total General Revenue Fund	<u>\$ 688,651</u>	<u>\$ 747,517</u>	<u>\$ 800,966</u>
Road Fund (011)			
Overweight Fines	<u>\$ 3,635,207</u>	<u>\$ 3,648,087</u>	<u>\$ 4,338,368</u>
Fish and Wildlife Fund (041)			
Firearms Owners' Identification Fees	<u>\$ 1,809,684</u>	<u>\$ 1,950,219</u>	<u>\$ 787,916</u>
Firearm Owners' Notification Fund (071)			
Registration Fees	<u>\$ 904,842</u>	<u>\$ 975,110</u>	<u>\$ 278,027</u>
State Crime Laboratory Fund (152)			
Laboratory Fees	<u>\$ 629,708</u>	<u>\$ 707,608</u>	<u>\$ 726,801</u>
State Crime Laboratory DUI Fund (222)			
Laboratory Fees	<u>\$ 666,063</u>	<u>\$ 799,405</u>	<u>\$ 1,200,272</u>
Medicaid Fraud and Abuse Fund (237)			
Medicaid Fraud Prevention	<u>\$ 0</u>	<u>\$ 50,629</u>	<u>\$ 0</u>
State Police Vehicle Fund (246)			
Sale of State Vehicles	<u>\$ 1,157,672</u>	<u>\$ 1,138,622</u>	<u>\$ 1,125,779</u>
State Police Motor Vehicle Theft Prevention Trust Fund (376)			
Local Government and Other State Agencies	<u>\$ 427,362</u>	<u>\$ 611,900</u>	<u>\$ 428,001</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF CASH RECEIPTS
For the Fiscal Years Ended June 30,

	<u>2010</u>	<u>2009</u>	<u>2008</u>
Illinois State Toll Highway Road Fund (455)			
Overweight Fines	<u>\$ 15,436</u>	<u>\$ 171,325</u>	<u>\$ 155,061</u>
State Asset Forfeiture Fund (514)			
Drug Asset Forfeiture	<u>\$ 2,696,271</u>	<u>\$ 2,125,938</u>	<u>\$ 3,142,884</u>
Federal Asset Forfeiture Fund (520)			
Drug Asset Forfeiture	<u>\$ 2,601,608</u>	<u>\$ 1,131,396</u>	<u>\$ 2,184,894</u>
Sex Offender Registration Fund (535)			
Registration Fees	<u>\$ 19,916</u>	<u>\$ 20,449</u>	<u>\$ 12,648</u>
State Offender DNA Identification Fund (537)			
DNA Testing for Sex Offenders	<u>\$ 2,384,456</u>	<u>\$ 2,751,440</u>	<u>\$ 2,643,191</u>
State Police Wireless Service Fund (637)			
Cellular 911 Services	<u>\$ 1,786,215</u>	<u>\$ 1,607,177</u>	<u>\$ 1,765,765</u>
Over Dimensional Load Police Escort Fund (652)			
Over Dimensional Load	<u>\$ 95,640</u>	<u>\$ 15,420</u>	<u>\$ 0</u>
Drug Traffic Prevention Fund (878)			
Court and Anti-Trust Distributions	<u>\$ 170,099</u>	<u>\$ 116,402</u>	<u>\$ 142,926</u>
Federal Projects Fund (904)			
Federal Projects	<u>\$ 12,818,259</u>	<u>\$ 10,847,579</u>	<u>\$ 10,313,346</u>
State Police Services Fund (906)			
Reimbursement from Other State Agencies and Local Governments	<u>\$ 20,155,695</u>	<u>\$ 21,042,075</u>	<u>\$ 24,380,590</u>
Total Receipts	<u><u>\$ 52,662,784</u></u>	<u><u>\$ 50,458,298</u></u>	<u><u>\$ 54,427,435</u></u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
**RECONCILIATION SCHEDULE OF CASH RECEIPTS TO DEPOSITS
REMITTED TO THE STATE COMPTROLLER**

For the Fiscal Year Ended June 30, 2010

	Combined	General Revenue 001	Road Fund 011	Fish and Wildlife Fund 041	Firearm Owners' Notification Fund 071	State Crime Lab Fund 152
Licenses and Fees	\$ 5,437,357	\$ 153,832	\$ 0	\$ 1,809,684	\$ 904,842	\$ 629,708
Federal Government	15,461,795	41,928	0	0	0	0
Fines, Penalties or Violations	3,979,107	328,464	3,635,207	0	0	0
Other Revenue	27,784,525	164,427	0	0	0	0
Total - Per Agency Records	\$ 52,662,784	\$ 688,651	\$ 3,635,207	\$ 1,809,684	\$ 904,842	\$ 629,708
PY Refunds	5,887	4,826	0	0	189	0
Adjustments In Transit	0	0	0	0	0	0
Prior Year Warrant Voids	1,340	0	0	0	0	0
Deposits in Transit to Comptroller						
Beginning of Period	1,920,474	34,068	280,571	101,040	50,520	44,269
End of Period	(2,109,910)	(25,047)	(359,056)	(108,114)	(54,057)	(45,768)
Total - Per State Comptroller Records	\$ 52,480,575	\$ 702,498	\$ 3,556,722	\$ 1,802,610	\$ 901,494	\$ 628,209

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
**RECONCILIATION SCHEDULE OF CASH RECEIPTS TO DEPOSITS
REMITTED TO THE STATE COMPTROLLER**

For the Fiscal Year Ended June 30, 2010

	State Crime Lab DUI Fund 222	Medicaid Fraud and Abuse Fund 237	State Police Vehicle Fund 246	State Police Prevention Trust Fund 376	Illinois State Toll Highway Road Fund 455	State Asset Forfeiture Fund 514
Licenses and Fees	\$ 666,063	\$ 0	\$ 1,157,672	\$ 0	\$ 0	\$ 0
Federal Government	0	0	0	0	0	0
Fines, Penalties or Violations	0	0	0	0	15,436	0
Other Revenue	0	0	0	427,362	0	2,696,271
Total - Per Agency Records	\$ 666,063	\$ 0	\$ 1,157,672	\$ 427,362	\$ 15,436	\$ 2,696,271
PY Refunds	0	0	0	0	0	0
Adjustments In Transit	0	0	0	0	0	0
Prior Year Warrant Voids	0	0	0	0	0	0
Deposits in Transit to Comptroller						
Beginning of Period	58,685	0	76,759	0	0	0
End of Period	(54,118)	0	(91,631)	0	0	0
Total - Per State Comptroller Records	\$ 670,630	\$ 0	\$ 1,142,800	\$ 427,362	\$ 15,436	\$ 2,696,271

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
**RECONCILIATION SCHEDULE OF CASH RECEIPTS TO DEPOSITS
REMITTED TO THE STATE COMPTROLLER**

For the Fiscal Year Ended June 30, 2010

	Federal Asset Forfeiture Fund 520	Sex Offender Registration Fund 535	Leads Maintenance 536	State Offender Registration Fund 537	State Police Wireless Service Fund 637	Over Dimensional Load Police Escort Fund 652
Licenses and Fees	\$ 0	\$ 19,916	\$ 0	\$ 0	\$ 0	\$ 95,640
Federal Government	2,601,608	0	0	0	0	0
Fines, Penalties or Violations	0	0	0	0	0	0
Other Revenue	0	0	0	2,384,456	1,786,215	0
Total - Per Agency Records	\$ 2,601,608	\$ 19,916	\$ 0	\$ 2,384,456	\$ 1,786,215	\$ 95,640
PY Refunds	0	0	0	0	0	0
Adjustments In Transit	0	0	0	0	0	0
Prior Year Warrant Voids	0	0	1,340	0	0	0
Deposits in Transit to Comptroller						
Beginning of Period	0	2,114	0	192,107	0	3,345
End of Period	0	(3,210)	0	(174,265)	0	(5,078)
Total - Per State Comptroller Records	\$ 2,601,608	\$ 18,820	\$ 1,340	\$ 2,402,298	\$ 1,786,215	\$ 93,907

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
**RECONCILIATION SCHEDULE OF CASH RECEIPTS TO DEPOSITS
REMITTED TO THE STATE COMPTROLLER**

For the Fiscal Year Ended June 30, 2010

	Drug Traffic Prevention Fund 878	Federal Projects Fund 904	State Police Services Fund 906
Licenses and Fees	\$ 0	\$ 0	\$ 0
Federal Government	0	12,818,259	0
Fines, Penalties or Violations	0	0	0
Other Revenue	170,099	0	20,155,695
Total - Per Agency Records	<u>\$ 170,099</u>	<u>\$ 12,818,259</u>	<u>\$ 20,155,695</u>
PY Refunds	0	585	287
Adjustments In Transit	0	0	0
Prior Year Warrant Voids	0	0	0
Deposits in Transit to Comptroller			
Beginning of Period	4,945	74,504	997,547
End of Period	(9,098)	(1,437)	(1,179,031)
Total - Per State Comptroller Records	<u>\$ 165,946</u>	<u>\$ 12,891,911</u>	<u>\$ 19,974,498</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
**RECONCILIATION SCHEDULE OF CASH RECEIPTS TO DEPOSITS
REMITTED TO THE STATE COMPTROLLER**

For the Fiscal Year Ended June 30, 2009

	Combined	General Revenue 001	Road Fund 011	Fish and Wildlife Fund 041	Firearm Owners' Notification Fund 071	State Crime Lab Fund 152
Licenses and Fees	\$ 5,779,722	\$ 172,889	\$ 0	\$ 1,950,219	\$ 975,110	\$ 707,608
Federal Government	11,983,340	4,365	0	0	0	0
Fines, Penalties or Violations	4,086,142	216,101	3,648,087	0	0	0
Other Revenue	28,609,094	354,162	0	0	0	0
Total - Per Agency Records	\$ 50,458,298	\$ 747,517	\$ 3,648,087	\$ 1,950,219	\$ 975,110	\$ 707,608
PY Refunds	311,805	311,026	743	0	0	0
Adjustments In Transit	1,608	605	(1,140)	0	0	0
Licenses and Fees Returned	(1,433)	(1,433)	0	0	0	0
Deposits in Transit to Comptroller						
Beginning of Period	667,987	10,712	86,623	31,614	15,807	4,044
End of Period	(1,920,475)	(34,069)	(280,571)	(101,040)	(50,520)	(44,269)
Total - Per State Comptroller Records	\$ 49,517,790	\$ 1,034,358	\$ 3,453,742	\$ 1,880,793	\$ 940,397	\$ 667,383

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
RECONCILIATION SCHEDULE OF CASH RECEIPTS TO DEPOSITS
REMITTED TO THE STATE COMPTROLLER

For the Fiscal Year Ended June 30, 2009

	State Crime Lab DUI Fund 222	Medicaid Fraud and Abuse Fund 237	State Police Vehicle Fund 246	State Police Prevention Trust Fund 376	Illinois State Toll Highway Road Fund 455	State Asset Forfeiture Fund 514
Licenses and Fees	\$ 799,405	\$ 0	\$ 1,138,622	\$ 0	\$ 0	\$ 0
Federal Government	0	0	0	0	0	0
Fines, Penalties or Violations	0	50,629	0	0	171,325	0
Other Revenue	0	0	0	611,900	-	2,125,938
Total - Per Agency Records	\$ 799,405	\$ 50,629	\$ 1,138,622	\$ 611,900	\$ 171,325	\$ 2,125,938
PY Refunds	0	0	0	0	0	0
Adjustments In Transit	0	0	0	38	1,140	0
Licenses and Fees Returned	0	0	0	0	0	0
Deposits in Transit to Comptroller						
Beginning of Period	20,804	0	22,682	0	8,611	0
End of Period	(58,685)	0	(76,759)	0	0	0
Total - Per State Comptroller Records	\$ 761,524	\$ 50,629	\$ 1,084,545	\$ 611,938	\$ 181,076	\$ 2,125,938

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
RECONCILIATION SCHEDULE OF CASH RECEIPTS TO DEPOSITS
REMITTED TO THE STATE COMPTROLLER

For the Fiscal Year Ended June 30, 2009

	Federal Asset Forfeiture Fund	Sex Offender Registration Fund	State Offender Registration Fund	State Police Wireless Service Fund	Over Dimensional Load Police Escort Fund	Drug Traffic Prevention Fund
Licenses and Fees	\$ 0	\$ 20,449	\$ 0	\$ 0	\$ 15,420	\$ 0
Federal Government	1,131,396	0	0	0	0	0
Fines, Penalties or Violations	0	0	0	0	0	0
Other Revenue	0	0	2,751,440	1,607,177	0	116,402
Total - Per Agency Records	\$ 1,131,396	\$ 20,449	\$ 2,751,440	\$ 1,607,177	\$ 15,420	\$ 116,402
PY Refunds	0	0	0	0	0	0
Adjustments In Transit	0	0	0	0	0	0
Licenses and Fees Returned	0	0	0	0	0	0
Deposits in Transit to Comptroller						
Beginning of Period	0	55	30,692	0	0	1,770
End of Period	0	(2,114)	(192,107)	0	(3,345)	(4,945)
Total - Per State Comptroller Records	\$ 1,131,396	\$ 18,390	\$ 2,590,025	\$ 1,607,177	\$ 12,075	\$ 113,227

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
RECONCILIATION SCHEDULE OF CASH RECEIPTS TO DEPOSITS
REMITTED TO THE STATE COMPTROLLER

For the Fiscal Year Ended June 30, 2009

	Federal Projects Fund 904	State Police Services Fund 906
Licenses and Fees	\$ 0	\$ 0
Federal Government	10,847,579	0
Fines, Penalties or Violations	0	0
Other Revenue		21,042,075
Total - Per Agency Records	\$ 10,847,579	\$ 21,042,075
PY Refunds	33	3
Adjustments In Transit	828	137
Licenses and Fees Returned	0	0
Deposits in Transit to Comptroller		
Beginning of Period	0	434,573
End of Period	(74,504)	(997,547)
Total - Per State Comptroller Records	\$ 10,773,936	\$ 20,479,241

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2010

**ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN
FISCAL YEARS 2009 AND 2010**

General Revenue Fund (001)

Lump Sum Expenditures

Personal Services for Bargaining Unit Employees
State Contributions to Social Security for Bargaining Unit Employees
Personal Services for Non-Bargaining Unit Employees
State Contributions to Social Security for Non-Bargaining Unit Employees
Operational Expenses
Operational Expenses, Awards, Grants, and Permanent Improvements

For Fiscal Year 2010, the appropriation process was changed for above line items that were paid from the General Revenue Fund. The Department of State Police received a lump sum appropriation for these line items, rather than individual appropriations designated for specific purposes.

Division of Administration
Information Services Bureau
Division of Operations
Division of Operations – Financial Fraud and Forgery Unit
Division of Forensic Services and Identification
Division of Internal Investigation

State Contribution to State Employees' Retirement System

The decrease in the State Contribution to State Employees' Retirement System was due to Public Act 96-0045, which did not require agencies to make the contribution during Fiscal Year 2010 for employees paid out of the General Revenue Fund.

Road Fund (011)

Personal Services
State Contributions to State Employees' Retirement System
State Contributions to Social Security

The decrease in the above line item expenditures in Fiscal Year 2010 was due to the Department's expenditure authority for Fiscal Year 2010 being removed in Public Act 96-0034.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2010

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2009 AND 2010 (continued)

Firearm Owner's Notification Fund (071)

Administration and Operation of the Firearm Owner's Identification Card Program

The increase in Administration and Operation of the Firearm Owner's Identification Card Program expenditures was due to expenditure authority being increased in Fiscal Year 2010 to coincide with the legislative fee increase.

State Crime Laboratory Fund (152)

Administration and Operation of State Crime Laboratories

The increase in Administration and Operation of State Crime Laboratories expenditures was due to increased expenditures in Fiscal Year 2010 for leases, personnel and administration, and utilities.

State Police Vehicle Fund (246)

Purchase of Vehicles and Accessories

The increase in Purchase of Vehicles and Accessories expenditures was due to expenditure authority being increased in Fiscal Year 2010 to coincide with new fee legislation for vehicle purchases.

Motor Vehicle Theft Prevention Trust Fund (376)

Payment of Expenses

The decrease in Payment of Expenses expenditures was due to decreased funding. The Northeastern Metro Auto Theft grant moved to a local agency during Fiscal Year 2010.

State Offender DNA Identification System Fund (537)

Administration and Operation of State Crime Laboratories

The increase in Administration and Operation of State Crime Laboratories expenditures was due to increased expenditures in Fiscal Year 2010 for Personal Services, leases, scientific supplies and equipment.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2010

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2009 AND 2010 (continued)

Over Dimensional Load Police Escort Fund (652)

Costs associated with Providing Police Escorts for Over-Dimensional Loads

The increase in Costs associated with Providing Police Escorts for Over-Dimensional Loads expenditures was due to increased expenditure authority in Fiscal Year 2010. The fund was new in Fiscal Year 2009 and had no expenditure authority until Fiscal Year 2010.

State Police Whistleblower Reward and Prevention Fund (705)

Costs associated with the Enforcement of the State Whistleblower Protection Act

The increase in Costs associated with the Enforcement of the State Whistleblower Protection Act expenditures was due to an increase in expenditure authority from \$2,250,000 in Fiscal Year 2009 to \$17,148,800 in Fiscal Year 2010. The increase was for personal services expenditures. The equivalent of 116 full-time employees were paid from the fund in Fiscal year 2010 compared to zero in Fiscal Year 2009.

Traffic and Criminal Conviction Surcharge Fund (879)

State Contribution to State Employees' Retirement System

The increase in State Contribution to State Employees' Retirement System expenditures was due to the contribution percentage increasing from 21.049% in Fiscal Year 2009 to 28.377% in Fiscal Year 2010.

State Contributions to Social Security

The increase in State Contributions to Social Security expenditures was due to an increased amount of code payrolls. Social Security expenditures vary based on the mix of sworn and code payrolls. Only Medicare (1.45%) is paid on sworn wages versus Social Security and Medicare on code wages (7.65 %).

Commodities

The decrease in Commodities expenditures was due to a vendor not being able to fill an Ammunition order in Fiscal Year 2010.

Operation of Automotive Equipment

The decrease in Operation of Automotive Equipment expenditures was due to fuel prices being higher in Fiscal Year 2009 and more repairs being performed during Fiscal Year 2009 due to the deteriorating condition of the fleet.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2010

**ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN
FISCAL YEARS 2009 AND 2010 (continued)**

Federal Projects Fund (904) – Non-Appropriated

COPS Record Management

The increase in COPS Record Management expenditures was due to the Department purchasing mobile data computer software and peripherals for the I-Case system. The Department had to request a change to their budget authority in original grant submission in order to purchase these items. This process took several months and was not finalized until Fiscal Year 2010.

Refunds of Federal Grants

The increase in Refunds of Federal Grants expenditures was due to an increased amount of grant refunds being required to CJIA, IEMA and the U.S. Department of Justice in Fiscal Year 2010.

General Revenue Fund (001) – Continuing Appropriation

Division of Administration
Information Services Bureau
Division of Operations
Division of Forensic Services and Identification

Pension Continuing Appropriation

The decrease in Pension Continuing Appropriation expenditures was due to a one time continuing appropriation in Fiscal Year 2009 to pay a shortfall in retirement. No such continuing appropriation was needed in Fiscal Year 2010.

Traffic and Criminal Conviction Surcharge Fund (879) – Continuing Appropriation

Division of Operations

Pension Continuing Appropriation

The decrease in Pension Continuing Appropriation expenditures was due to a one time continuing appropriation in Fiscal Year 2009 to pay a shortfall in retirement. No such continuing appropriation was needed in Fiscal Year 2010.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2010

**ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN
FISCAL YEARS 2008 AND 2009**

General Revenue Fund (001)

Division of Administration

Contractual Services

The decrease in Contractual Services expenditures was due to Facility Management Revolving Fund payments being reduced due to cancellation of leases in Fiscal Year 2009.

Equipment

The decrease in equipment expenditures was due to fewer Cadet equipment purchases made in Fiscal Year 2009. The Department purchased gas masks and safety helmets in Fiscal Year 2008.

Tort Claims

The increase in Tort Claims expenditures was due to more legal settlements in Fiscal Year 2009 than Fiscal Year 2008. These settlements fluctuate from year to year.

Repairs Maintenance and Permanent Improvements

The decrease in repairs, maintenance, and permanent improvement expenditures was due to lack of funding in Fiscal Year 2009. No funds were appropriated for this line item in Fiscal Year 2009.

Information Services Bureau

Contractual Services

The increase in Contractual Services expenditures was due to an increase in funding in Fiscal Year 2009. The budget authority for Contractual Services increased from \$578,800 in Fiscal Year 2008 to \$882,800 in Fiscal Year 2009. The increase was for computer software expenses.

Division of Operations

Travel

The decrease in Travel expenditures was due to travel restrictions being instituted during Fiscal Year 2009. The restrictions resulted in reduced travel expenditures.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2010

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2008 AND 2009 (continued)

Equipment

The increase in equipment expenditures was due to In-car digital camera equipment being purchased in Fiscal Year 2009.

Expenses related to the hiring of 15 Forensic Scientists and 5 Telecommuters

The decrease in expenses related to the hiring of 15 Forensic Scientists and 5 Telecommuters expenditures was due to a one time appropriation in Fiscal Year 2008 to hire forensic scientists and telecommuter trainees ending. These employees were paid from personal services lines in Fiscal Year 2009.

Division of Forensic Services and Identification

Travel

The decrease in Travel expenditures was due to travel restrictions being instituted during Fiscal Year 2009. The restrictions resulted in reduced travel expenditures.

Operation of Automotive Equipment

The decrease in operation of automotive equipment expenditures was due to lower gas prices in Fiscal Year 2009.

Division of Internal Investigation

State Contribution to State Employees' Retirement System

The increase in State Contribution to State Employees' Retirement System expenditures was due to the retirement percentage increasing from 16.561% in Fiscal Year 2008 to 21.049% in Fiscal Year 2009.

Firearm Owner's Notification Fund (071)

Administration and Operation of the Firearm Owner's Identification Card Program

The increase in Administration and Operation of the Firearm Owner's Identification Card Program expenditures was due to an increase in FOID applications processed which caused an increase in expenses for hard card outsourcing and postage.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2010

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2008 AND 2009 (continued)

State Crime Laboratory Fund (152)

Administration and Operation of State Crime Laboratories

The decrease in Administration and Operation of State Crime Laboratories expenditures was due to decreased spending on personal services, utilities, travel, printing, lab accreditation fees, and scientific commodities in Fiscal Year 2009. A one time purchase of crime scene vehicles was made in Fiscal Year 2008 and not repeated in Fiscal Year 2009.

State Asset Forfeiture Fund (514)

Payment of Expenses

The decrease in payment of expenses expenditures was due to fewer car purchases made in Fiscal Year 2009. Expenditures vary based on department/program needs and funding limitations.

Federal Asset Forfeiture Fund (520)

Payment of Expenses

The increase in payment of expenses expenditures was due to an increase in expenditure authority from \$1,500,000 in Fiscal Year 2008 to \$2,000,000 in Fiscal Year 2009. The increase was for production of FOID cards and for the purchase of body armor.

State Offender DNA Identification Fund (537)

Administration and Operation of State Crime Laboratories

The decrease in Administration and Operation of State Crime Laboratories expenditures was due to decreased spending on outsourcing, contractual help, scientific commodities and equipment, and EDP equipment in Fiscal Year 2009.

State Police Whistleblower Reward and Prevention Fund (705)

Costs associated with the Enforcement of the State Whistleblower Protection Act

The increase in Costs associated with the Enforcement of the State Whistleblower Protection Act expenditures was due to an increase in expenditure authority from \$1,750,000 in Fiscal Year 2008 to \$2,250,000 in Fiscal Year 2009. The increase was for facility maintenance expenses.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2010

**ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN
FISCAL YEARS 2008 AND 2009 (continued)**

State Police Services Fund (906)

Federal & IDOT Programs

The decrease in Federal & IDOT Programs expenditures was due to IDOT providing less funding in Fiscal Year 2009.

Riverboat Gambling

The increase in Riverboat Gambling expenditures was due to the Gaming Board instructing the Department of State Police to pay the second June sworn and code payrolls through Fund 906 in Fiscal Year 2009.

Federal Projects Fund (904) – Non-Appropriated

DNA Backlog Reduction Program

The decrease in DNA Backlog Reduction Program expenditures in Fiscal Year 2009 was due to the Grant ending in Fiscal Year 2008.

General Revenue Fund (001) – Continuing Appropriation

Division of Administration

Information Services Bureau

Division of Operations

Division of Forensic Services and Identification

Pension Continuing Appropriation

The increase in Pension Continuing Appropriation Expenditures was due to Fiscal Year 2009 retirement being appropriated at 17.796% but the retirement rate being set at 21.049%. The continued appropriation for retirement was used to pay the shortfall.

Traffic and Criminal Conviction Surcharge Fund (879) – Continuing Appropriation

Division of Operations

Pension Continuing Appropriation

The increase in Pension Continuing Appropriation Expenditures was due to Fiscal Year 2009 retirement being appropriated at 17.796% but the retirement rate being set at 21.049%. The continued appropriation for retirement was used to pay the shortfall.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SIGNIFICANT VARIATIONS IN RECEIPTS
For the Two Years Ended June 30, 2010

ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS BETWEEN FISCAL YEARS 2010 AND 2009

General Revenue Fund – 001

Federal Agencies

The increase in receipts was due to an increase in money received from federal agencies during emergencies.

State Highway Police

The increase in receipts was due to an increase in the number of traffic fines written by officers.

Miscellaneous and Unclaimed Assets

The decrease in receipts was due to the one time deposit in Fiscal Year 2009 of unclaimed monies recovered.

Medicaid Fraud Grant

The decrease in receipts was due to a decrease in Medicaid fraud settlements. Settlements vary between years.

Other State Agencies

The increase in receipts was due to two receipts from the Illinois Emergency Management Agency (IEMA) and the Illinois Criminal Justice Information Authority (ICJIA). The receipts were for reimbursement of a public assistance program and reimbursement for officers who worked security detail, respectively.

Medicaid Fraud and Abuse Fund – 237

The decrease in receipts was due to no over-match monies received during Fiscal Year 2010.

State Police Motor Vehicle Theft Prevention Trust Fund – 376

The decrease in receipts was due to the NEMAT (Northeast Metro Auto Theft) Task Force grant being moved to a local agency, Lynnwood Police Department. This reduced the amount of grant money received from ICJIA in Fiscal Year 2010.

Illinois State Toll Highway Road Fund – 455

The decrease in receipts was due to a decrease in the number of overweight fines written.

State Asset Forfeiture Fund – 514

The increase in receipts was due to an increase in the amount of dollars seized during criminal cases.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SIGNIFICANT VARIATIONS IN RECEIPTS
For the Two Years Ended June 30, 2010

ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS BETWEEN FISCAL YEARS 2010 AND 2009 (CONTINUED)

Federal Asset Forfeiture Fund – 520

The increase in receipts was due to an increase in the amount of dollars seized during criminal cases.

Over Load Dimensional Load Police Escort Fund – 652

The increase in receipts was due to an increase in the amount of fines written.

Drug Traffic Prevention Fund – 878

The increase in receipts was due to an increase in fines written for drug crimes.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SIGNIFICANT VARIATIONS IN RECEIPTS
For the Two Years Ended June 30, 2010

ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS BETWEEN FISCAL YEARS 2009 AND 2008

General Revenue Fund – 001

Federal Agencies

The increase in receipts was due to an increase in money received from federal agencies during emergencies.

State Highway Police

The increase in receipts was due to an increase in the number of traffic fines written by officers.

Reimbursements for Local Law Enforcement

The decrease in receipts was due to a single check being written in Fiscal Year 2008 for criminal restitution.

Medicaid Fraud Grant

The decrease in receipts was due to a new fund, Medicaid Fraud and Abuse Fund – 237, established in Fiscal Year 2009 for over-match amounts received from the grant.

Fish and Wildlife Fund – 041

The increase in receipts was due to an increase in the application fee from \$5 per application to \$10 per application.

Firearms Owners' Notification Fund – 071

The increase in receipts was due to an increase in the application fee from \$5 per application to \$10 per application.

State Crime Laboratory DUI Fund - 222

The decrease in receipts was due to a decrease in DUI tickets written.

Medicaid Fraud and Abuse Fund – 237

The increase in receipts was due to this being a new fund in Fiscal Year 2009. The fund was established for over-match amounts received from the grant.

State Police Motor Vehicle Theft Prevention Trust Fund – 376

The increase in receipts was due to an increase in grant money received for operating expenses and training.

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SIGNIFICANT VARIATIONS IN RECEIPTS
For the Two Years Ended June 30, 2010

ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS BETWEEN FISCAL YEARS 2009 AND 2008 (CONTINUED)

State Asset Forfeiture Fund – 514

The decrease in receipts was due to a decrease in the amount of dollars seized during criminal cases.

Federal Asset Forfeiture Fund – 520

The decrease in receipts was due to a decrease in the amount of dollars seized during criminal cases.

Over Load Dimensional Load Police Escort Fund – 652

The increase in receipts was due to the fund being established during Fiscal Year 2009.

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DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
For the Two Years Ended June 30, 2010

FISCAL YEAR 2010

Firearm Owner's Notification Fund (071)

Administration and Operation of the Firearm Owner's Identification Card Program
Programming consultants work and outsourcing invoices were received late in Fiscal Year 2010 for services provided prior to June 30. The related invoices were not processed until the lapse period.

State Police Vehicle Fund (246)

Purchase of Vehicles and Accessories
Vehicles were ordered late in Fiscal Year 2010. The related invoices were not received and processed until the lapse period.

Federal Asset Forfeiture Fund (520)

Payment of Expenses
Body armor and digital video for patrol cars were ordered late in Fiscal Year 2010. The related invoices were not received and processed until the lapse period. Also billings for the Statistical services revolving fund and Communications Revolving Fund were received late in Fiscal Year 2010 and were not processed until the lapse period.

Traffic and Criminal Conviction Surcharge Fund (879)

Telecommunications
CMS communication revolving fund invoices were not received and processed until the lapse period. Services were provided prior to June 30.

State Police Services Fund (906)

Riverboat Gambling
Vehicles were ordered late in Fiscal Year 2010. The related invoices were not received and processed until the lapse period.

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DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
For the Two Years Ended June 30, 2010

Federal Projects Fund (904) – Non-Appropriated

COPS Record Management

A budget adjustment for the grant was being requested and approved. Once the budget adjustment was approved purchasing was completed late in Fiscal Year 2010. The related invoices were not received and processed until the lapse period.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
For the Two Years Ended June 30, 2010

FISCAL YEAR 2009

General Revenue Fund (001)

Division of Administration

Contractual Services

Facility Management Revolving Fund, CMS catch-up billings and legal invoices were received and paid during the lapse period. Services were provided prior to June 30.

Division of Operations

Contractual Services

Facility Management Revolving Fund, CMS catch-up billings, contracted work, STARCOM software and goods/services invoices were received and paid during the lapse period. Services were provided prior to June 30.

Division of Forensic Services and Identification

Commodities

Scientific lab supplies were received late in Fiscal Year 2009. The related invoices were not received and processed until the lapse period.

Administration of a Statewide Sexual Assault Evidence Collection Program

May and June copier charges were paid for in July and August. Also, scientific lab supplies were ordered prior to June 30 but not billed until the lapse period.

Federal Asset Forfeiture Fund (520)

Payment of Expenses

May and June vouchers and a catch-up facility management payment were processed in the lapse period. Also, software maintenance and telecommunication charges paid to the revolving fund, FOID outsourcing costs and body armor invoices were received late in the fiscal year. The invoices were not processed until the lapse period.

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ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
For the Two Years Ended June 30, 2010

State Offender DNA Identification System Fund (537)

Administration and Operation of State Crime Laboratories

Utilities, travel related to DNA outsourced cases, and remodeling project invoices were received late in the fiscal year. The invoices were not processed until the lapse period.

Traffic and Criminal Conviction Surcharge Fund (879)

Operation of Automotive Equipment

Automotive expenses for gas, oil, and repair were ordered or performed late in Fiscal Year 2009. The related invoices were not received and processed until the lapse period. June invoices from CMS and Wright Express were not received and processed until the lapse period.

State Police Services Fund (906)

Riverboat Gambling

Automobiles were ordered late in Fiscal Year 2009. The related invoices were not received and processed until the lapse period.

General Revenue Fund (001) – Continuing Appropriation

Division of Administration

Information Services Bureau

Division of Forensic Services and Identification

Pension Continuing Appropriation

The continuing appropriation for Retirement was not used until late in Fiscal Year 2009. The payments were not made until the lapse period.

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DEPARTMENT OF STATE POLICE
ANALYSIS OF ACCOUNTS RECEIVABLE
For the Fiscal Year Ended June 30,

(Amounts expressed in thousands)

General Revenue Fund 001

Aging Schedule

	2010	2009
Current	\$ 0	\$ 0
1-30 days	0	0
31-90 days	6	0
91-180 days	0	0
181days to 1 year	0	0
Over 1 year	2	5
Accounts Receivable Gross Balance	\$ 8	\$ 5
Less: Estimated Uncollectibles	0	0
Accounts Receivable Net Balance	\$ 8	\$ 5

These amounts represent receivables related to miscellaneous revenue.

Road Fund 011

Aging Schedule

	2010	2009
Current	\$ 3,416	\$ 2,704
1-30 days	0	0
31-90 days	0	0
91-180 days	0	0
181days to 1 year	0	0
Over 1 year	0	0
Accounts Receivable Gross Balance	\$ 3,416	\$ 2,704
Less: Estimated Uncollectibles	0	0
Accounts Receivable Net Balance	\$ 3,416	\$ 2,704

These amounts represent receivables related to overweight fines.

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DEPARTMENT OF STATE POLICE
ANALYSIS OF ACCOUNTS RECEIVABLE

For the Fiscal Year Ended June 30,

(Amounts expressed in thousands)

State Garage Revolving Fund 303

Aging Schedule

	2010	2009
Current	\$ 0	\$ 0
1-30 days	0	0
31-90 days	0	0
91-180 days	0	0
181days to 1 year	0	25
Over 1 year	100	78
Accounts Receivable Gross Balance	\$ 100	\$ 103
Less: Estimated Uncollectibles	86	63
Accounts Receivable Net Balance	\$ 14	\$ 40

These amounts represent receivables related to property damage to State Police vehicles.

Illinois State Toll Highway Road Fund 455

Aging Schedule

	2010	2009
Current	\$ 25	\$ 23
1-30 days	0	0
31-90 days	0	0
91-180 days	0	0
181days to 1 year	0	0
Over 1 year	0	0
Accounts Receivable Gross Balance	\$ 25	\$ 23
Less: Estimated Uncollectibles	0	0
Accounts Receivable Net Balance	\$ 25	\$ 23

These amounts represent receivables related to overweight fines.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF ACCOUNTS RECEIVABLE

For the Fiscal Year Ended June 30,

(Amounts expressed in thousands)

Over Dimensional Load Fund 652

Aging Schedule

	2010	2009*
Current	\$ 3	\$ 0
1-30 days	0	0
31-90 days	14	0
91-180 days	1	0
181days to 1 year	0	0
Over 1 year	0	0
 Accounts Receivable Gross Balance	 \$ 18	 \$ 0
Less: Estimated Uncollectibles	0	0
Accounts Receivable Net Balance	\$ 18	\$ 0

These amounts represent receivables related to police escorts.

*This was a new fund established during Fiscal Year 2010.

Drug Prevention Fund 878

Aging Schedule

	2010	2009
Current	\$ 0	\$ 172
1-30 days	0	0
31-90 days	0	0
91-180 days	0	0
181days to 1 year	0	0
Over 1 year	314	0
 Accounts Receivable Gross Balance	 \$ 314	 \$ 172
Less: Estimated Uncollectibles	0	0
Accounts Receivable Net Balance	\$ 314	\$ 172

These amounts represent receivables related to drug fines.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF ACCOUNTS RECEIVABLE
For the Fiscal Year Ended June 30,

(Amounts expressed in thousands)

State Police Services Fund 906

Aging Schedule

	<u>2010</u>	<u>2009</u>
Current	\$ 1	\$ 0
1-30 days	0	0
31-90 days	11	10
91-180 days	6	30
181days to 1 year	18	76
Over 1 year	<u>5</u>	<u>34</u>
 Accounts Receivable Gross Balance	 \$ 41	 \$ 150
Less: Estimated Uncollectibles	<u>9</u>	<u>0</u>
Accounts Receivable Net Balance	<u><u>\$ 32</u></u>	<u><u>\$ 150</u></u>

These amounts represent receivables from Federal, State, and local agencies for services performed by the State Police.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF OPERATIONS
For the Two Years Ended June 30, 2010

AGENCY FUNCTIONS AND PLANNING PROGRAM

Agency Functions

The Department of State Police (Department) was established January 1, 1970 and was reorganized by Executive Order in 1977 and again in 1993. The Department's responsibility is to maintain order as mandated by Illinois Compiled Statutes, while safeguarding the rights and privileges of all citizens of the State.

In order to fulfill its responsibility, the Department has been vested with various powers, rights and duties. To best perform its duties, the Department has been divided into four divisions: Operations, Forensic Services, Administration and Internal Investigation, all under the direction of the Director of the Department. Each division is also under the direction of a deputy director, all appointed by the Director of the Department and subject to the control and supervision of the Director. Each of these divisions is charged with the exercise of specific functions as follows:

Director's Office

The Director's office exercises such duties as may be provided by law and those duties assigned by the Director in order to fulfill the responsibilities and achieve the purpose of the Department. Directly through the Director's office, functions related to the following are performed: Equal Employment Opportunity, Executive Protection, Governmental Affairs, Inspections, Labor Relations, Legal, Research and Development, Academy, Budget and Public Information.

Division of Operations

The Division of Operations (DOO) is comprised of a network of 21 district headquarters and seven investigative zone offices located throughout the State. The Division provides a full range of services to Illinois' citizens and the law enforcement community.

Over the years, the operational structure of the DOO has evolved, streamlining into four geographical based regional commands that take into account their areas' individual needs while carrying out the Department's mission. Each region consists of a number of Department districts responsible for patrol and traffic enforcement and Department zones charged with investigating crimes.

The DOO's patrol officers are tasked with enforcing traffic laws as set forth in the Illinois Vehicle Code and the Illinois State Police Act. Enforcement activities include speeding, distracted driving, occupant restraint, alcohol-related offenses, aggressive driving, and

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For the Two Years Ended June 30, 2010

various other traffic violations. DOO districts also conduct specialty details and partner with other law enforcement agencies on joint traffic initiatives during holidays and weekends when increased traffic volume is anticipated.

Patrol officers also work special assignments throughout the year, such as the Illinois State Fair and DuQuoin State Fair Details.

The seven DOO zone offices are responsible for providing investigative support to Department districts and other enforcement agencies statewide. Special Agents assigned to the Zones investigate a wide variety of both traditional and non-traditional crimes.

The DOO also comprises a variety of separate specialty units, which lend support to both the patrol and investigative components. These units include, but are not limited to the Critical Incident Response Command, the Office of Counter-Terrorism, the Medicaid Fraud Control Bureau, the Riverboat Gambling Unit, and the Air Operations Unit.

Division of Forensic Services

The Division of Forensic Services (DFS) provides evidence collection and scientific evidence analysis to assist with the identification and prosecution of offenders, or exoneration, for the Department and other state, federal and local law enforcement agencies. The Division also provides assistance to local law enforcement agencies through training, management, and consulting services.

The functions of the DFS include those powers and duties vested in the Department in relation to criminal identification and investigation, the procurement of fingerprints and descriptive information regarding persons arrested in the State, and the establishment and operation of general and field crime laboratories for evidence collection and analysis. Eight operational forensic science laboratories statewide and one research and development laboratory provide an array of specialty forensic services. Scientists can provide investigators with literally hundreds of leads through analysis of DNA, latent prints, weapons and other items collected at crime scenes. Labs are now utilizing databases including: Integrated Ballistics Information System (IBIS), CODIS, and AFIS. These databases develop leads through the identification of firearms, DNA, and latent prints.

Division of Administration

The Division of Administration (DOA) and the Division of Administration Support Services (DOA-SS) are responsible for carrying out the diverse administrative functions, which are vital to the successful operation of the Department. The DOA and DOA-SS are supported by

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the Logistics Bureau, the Administrative Services Bureau (ASB), the Firearms Services Bureau (FSB), and the Bureau of Identification (BOI) as described below.

- The Logistics Bureau administers facility management functions including the coordination of new construction, the management of Department leases, and the oversight of facility repair and maintenance projects. The Bureau is responsible for all hard-line and wireless phone systems within the Department, the acquisition and management of the Department's fleet, the purchase and distribution of supplies and uniforms, and the oversight the Statewide Evidence Vault which is responsible for storing long term evidence and destroying evidence that is no longer needed.
- The Administrative Services Bureau oversees the Drug Testing Program, Department Awards Program, and Employee Assistance Program. Through the Asset Seizure and Forfeiture Section, the Bureau oversees and administers the forfeiture provisions of State law. The Grant Compliance and Grant Reporting Sections administer Grant funds, end-of-year financial statements, and Generally Accepted Accounting Principal reports related to grant funding. The Strategic Sourcing and Procurement Section ensures purchases are in compliance with the related statutes and laws. The Bureau also works in coordination with the Public Safety Shared Services Center to maintain the fiscal integrity and accountability of the Department.
- The Firearms Services Bureau (FSB) determines the eligibility of applicants who wish to acquire, possess, or transfer firearms. The Bureau approves, denies, and revokes Firearm Owners Identification (FOID) cards and also administers a relief process for individual whose FOID card has been denied or revoked. In addition, the Bureau administers the Firearms Transfer Inquiry Program (FTIP). FTIP is by federally licensed firearm dealers to perform automated eligibility checks on potential firearm buyers at the point of purchase.
- The Bureau of Identification (BOI), located in Joliet, promotes public safety by collecting, maintaining, and providing accurate, timely, and complete criminal history information to the State. Through the use of the Automated Fingerprint Identification System (AFIS), records relating to over five million fingerprint files help to ensure the identification of individuals and potential suspects.

The Division of Administrative Technology Services (DOA-TS) encompasses the Program Administration Bureau and the Information Services Bureau which are responsible for information technology, data collection/analysis, information sharing, and knowledge development in an effort to provide complete, accurate, and timely information to those responsible for critical decisions affecting the safety and security of the State. Collaboratively the two Bureaus provide expertise to incorporate new technologies and increase efficiency and information that will reduce crime, improve quality of life, ensure officer safety, and prevent and respond to acts of terrorism.

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- The Program Administration Bureau monitors, audits, and provides training for both State and local law enforcement through the Law Enforcement Agencies Data System (LEADS), Criminal History Record Information System (CHRI), National Incident Based Reporting System (NIBRS), and AFIS. Also, the I-CLEAR unit is responsible for business process analysis, training, development, enhancement, and support for the Illinois Citizen and Law Enforcement Analysis and Reporting System (I-CLEAR).
- The Information Services Bureau develops and manages computer applications used by the Department, other law enforcement agencies, the judiciary, and the citizens of the State. The Bureau also supports the information technology infrastructure used by the Department and other criminal justice entities to process the approximately 54 million criminal justice transactions monthly. Also, the Bureau provides the foundation for controlled access, data storage, dissemination, and business continuity in the informational programs used daily by the officers in the squad car as well as other criminal justice agencies.

Division of Internal Investigation

The Division of Internal Investigation (DII) safeguards the integrity of the Department.

The functions of the DII include initiating internal Departmental investigations and, at the direction of the Governor, the investigation of complaints and the initiation of investigations of official misconduct by State officers and State employees. Among its diverse responsibilities are the investigations of financial crimes, theft of State property, and charges of abuse or neglect of inmates of State correctional and mental facilities. In addition, the DII ensures all Department employees are compliant with mandatory ethics training and are responsible for filing of Statements of Economic Interest.

Agency Planning

The Department has established a formalized, systematic planning program to ensure the efforts of the Department are coordinated to accomplish departmental goal and initiatives.

The State of Illinois, Governor's Office of Management and Budget, coordinates strategic management and performance measurement reporting for agencies across the State. The Department also reports performance data to the Illinois Office of the Comptroller for publishing in the Service Efforts and Accomplishments annual public accountability report.

The Director and senior command of the Department establish broad-based direction, goals and objectives of the Agency. Based on this direction, the focus of activity for the Department is established and the divisional planning efforts occur. The Planning Lead

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coordinates these activities. The overall coordination of the Department's plan and performance measurement is the responsibility of the Planning Coordinator in conjunction with a department wide Strategic Management Core Group.

Each Department Division designates a Core Group and Sub Core Group member. The Core Group member or in his/her absence, Sub Core Group member, act as the primary Division representative and actively work with Division command and the Research and Development Unit in the oversight and decision making capability to ensure strategic plan submissions and performance measures are timely, meaningful, and accurately reflect command expectations. The Core Group member from each Division analyzes, develops, and reports divisional direction and performance that support departmental goals.

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AVERAGE NUMBER OF EMPLOYEES

The following table, prepared from Agency records, presents the average number of employees, by function, for the Fiscal Years ended June 30,

<u>Division</u>	<u>2010</u>	<u>2009</u>	<u>2008</u>
Operations	2,027	1,926	1,899
Internal Investigations	53	59	62
Forensics	474	484	488
Administration	220	305	350
Information Technology	-	131	179
Academy	33	36	39
Shared Services	21	22	-
Special Funds	<u>323</u>	<u>300</u>	<u>305</u>
Total Average Full-Time Employees	<u><u>3,151</u></u>	<u><u>3,263</u></u>	<u><u>3,322</u></u>

On June 16, 2009, the Information and Technology Command was restructured into the Division of Administration. The Restructuring also included the Communications Bureau moving from the Division of Administration to the Department of Operations.

EMERGENCY PURCHASES

The Department reported the following emergency purchases to the Office of the Auditor General during FY09/10:

<u>DESCRIPTION OF EMERGENCY PURCHASE</u>	<u>AMOUNT</u>
District 22 Roof/Siding Repair	\$196,799
Joliet Lab Roof Repair	192,223
District 14 Roof Repair	<u>164,263</u>
TOTAL ACTUAL COST	<u><u>\$553,285</u></u>

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SERVICE EFFORTS AND ACCOMPLISHMENTS (Not Examined)

The Department’s mission centers on the basic premise that promoted its creation – “to promote public safety to improve the quality of life in Illinois.”

Division of Operations

The Division of Operations (DOO) works daily to safeguard the public by reducing the number and seriousness of vehicle crashes. Troopers regularly patrol over 138,000 miles of Illinois roadways, consisting of interstates, State highways, and secondary county roads. Although officers focus primarily upon enforcing Illinois’ motor vehicle laws, they also assist during major incidents such as civil disturbances, labor disputes, hostage situations, and natural disasters. Officers in specialty roles provide expertise in the areas of safety education, crash reconstruction, commercial vehicle enforcement, crime prevention, highway drug interdiction, and critical incident Special Weapons Tactics (SWAT) teams. The DOO also manages Department investigators who strive to provide impartial evidence in the successful prosecution of identified suspects. Department investigators assigned to seven investigative zones Statewide are charged with examining homicide, narcotics, and violent crime cases. Specialized investigative programs and task forces include child homicide, Medicaid fraud, clandestine methamphetamine laboratory dismantling, financial crime, criminal intelligence, and child exploitation.

<u>Output Indicators</u>	<u>2010</u>	<u>2009</u>	<u>2008</u>
Number of Impaired Driving/Zero Tolerance Citations	11,112	11,555	11,478
Number of Seatbelt Citations	105,588	116,483	115,541
Number of Speeding Citations	201,078	192,417	200,597
Number of Criminal Arrests			
From Investigations	6,138	5,832	7,170
Number of Investigative Cases			
Referred to Prosecution	333	491	462

Division of Forensic Services

The Division of Forensic Services (DFS) provides expert forensic analysis and witness testimony in various disciplines, including drug chemistry, latent prints, toxicology, forensic biology, firearms/tool marks, DNA analysis, trace evidence, microscopy, and questioned documents.

DFS provides a variety of services through the Crime Scene Services Command (CSSC) and the Forensic Sciences Command (FSC). Crime Scene Investigators (CSI), assigned to the CSSC, interpret the evidentiary value, collect, and process evidence from crash and crime scenes. In addition, CSIs respond to calls for crime scene processing 365 days a year, 24 hours a day, provide technical assistance on bloodstain pattern analysis, and offer free-hand

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composite drawings. Other integral functions in the CSSC include Forensic Diagramming and Animation, Imaging, and Polygraph services. The Forensic Diagramming and Animation Section provides two and three-dimensional computer-generated drawings of crash and crime scenes. The section also produces animated crime scene re-creations for courtroom presentation. The Imaging Section provides quick, confidential photographic imagine services to the Illinois State Police (ISP) as well as other federal, state, county, and municipal law enforcement agencies. The Polygraph Section conducts polygraph examinations of suspects, victims, and witnesses involved in criminal investigations. The Department's forensic science laboratory system is the third largest in the world and conducts scientific evidence analysis for the State's criminal justice community, including 1,200 local and county police departments. Ninety-eight percent of its scientific evidence analysis is conducted for outside agencies.

Output Indicators

	2010	2009	2008
Number of Original Crime Scene Cases			
Responded to	1,874	2,395	2,405
Number of Crime Scenes Processed	2,594	3,081	3,138
Number of Deoxyribonucleic Acid (DNA) Cases Worked	5,284	4,590	3,390
Number of Forensic Cases Worked In All Disciplines	111,669	115,044	112,644

Division of Internal Investigation

The Division of Internal Investigation (DII) acts as the “watchdog for integrity in State government.” The DII investigates charges of improper conduct or inappropriate behavior by Department employees and investigates alleged misconduct or wrongdoing by officials, members or employees of any agency, board, or commission in the executive branch of Illinois Government. To help prevent problems from occurring, DII also advises any agency that requests assistance in developing more effective internal control procedures. Whether an internal or external case, DII conducts thorough, impartial, and timely investigations to determine the validity of accusations and to provide a basis for criminal prosecutions or administrative action.

Output Indicators

	2010	2009	2008
Number of Investigative Hours			
Saved by Use of Retirees	0	1,035	5,780
Number of Ethics/Integrity Events			
Conducted	1	3	4
Total DII Cases Opened	528	523	569

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Office of the Auditor General Performance Audit Recommendations Update

In March 2009, the Office of the Auditor General released a management and program audit of the Illinois State Police's Division of Forensic Services. The audit contained 16 recommendations. As part of the compliance examination of the Illinois state Police for the period ending June 30, 2010, auditors followed-up on the status of the recommendations made in the March 2009 report.

Recommendation 1: The Illinois State Police should develop a comprehensive plan to address the environmental issues at its forensics labs.

Partially Implemented: Regarding initiating a Comprehensive Facilities Management Plan, ISP responded that a study of funding, facilities, and staffing needs to be conducted; however, no funds are available to contract a private vendor to conduct this study. The Division of Forensic Services (DFS) is currently seeking to fill vacancies within the FSC. As these positions are filled, they may be used to initiate this project in-house. ISP noted that in March 2006, the DFS created a facilities issues tracking document to address all lab/environmental issues as they occur. This is an ongoing process, which will continuously be updated as facilities issues arise and are resolved.

ISP noted that on May 27, 2009, Capital Project Requests were submitted for additions to the Chicago and CODIS laboratories, and to construct new facilities for the Carbondale and Metro-East laboratories. On March 24, 2010, the ISP was notified that capital funds were available for the new Metro-East laboratory.

Recommendation 2: The Illinois State Police should take the steps necessary to determine whether all fines levied for cases where fees should be collected are actually submitted to the Department. Additionally, the ISP should seek specific appropriation language when the DUI Fund is needed for expenditures for divisions outside the DFS.

Partially Implemented: The Department stated that each year, DFS will obtain copies of statutorily-required audit reports that have been submitted by circuit clerks. Available reports will be used to ensure fines and fees collected by circuit clerks have in fact been submitted to DFS. On September 1, 2009, DFS forwarded proposed legislation to ISP Governmental Affairs requesting new language to confirm all fees collected by the Circuit Clerks are received by the ISP. The Department noted that until such legislative language is enacted, there is no mechanism available to track the levied fines and the payments received.

The Department noted that in the FY11 budget, the State Police DUI Fund has been separated into two appropriations, one under DFS and one under the Division of Operations.

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Recommendation 3: The Illinois State Police should ensure that resources provided by the General Assembly are fully utilized for the mission of the Division of Forensic Services, including the reduction of case backlogs, rather than allowing this funding to transfer or lapse. Additionally, the ISP should take the steps necessary to determine the funding level needed to operate its lab system.

Partially Implemented: The Department noted that in FY10 it did not request any appropriation transfers out of forensic services appropriations through the Comptroller's Office. However, the Department lapsed over \$1.2 million in forensic services appropriation lines from three State fee funds in FY10, including \$915,105 from the DNA Identification Fund. Department officials stated that these funds were "rolled over" to personnel, facility, or contractual services needs that were identified for early FY11. The Department did not provide information on any GRF funds for forensic services that were lapsed in FY10.

Regarding determining the funding level needed to operate its lab system, the Department noted that it needs to map a process to determine the costs to work an average case (starting with DNA). This will require a person with a cost accounting background to take into account that some facilities are owned and some are leased; square footage; number of personnel factored against caseload, support staff, and other fixed costs. A study of funding, facilities, and staffing needs to be conducted; however, no funds are available to contract a private vendor to conduct this study. DFS is currently seeking to fill vacancies within the FSC. As these positions are filled, they may be used to initiate this project in-house.

Recommendation 4: The Illinois State Police should ensure that all grant funding is spent in accordance with the grant agreements and not allow this funding to lapse. The ISP should also ensure that the grant funds are spent in a timely manner to avoid having the grant funding discontinued.

Implemented: ISP provided auditors with an updated list of unspent grants which showed that of \$19.6 million in grants awarded over the past 8 years, \$226,421 (1.2%) was lapsed. ISP also noted it reorganized its grant program in May 2010 which streamlined the reporting structure between the grant administrator and the FSC, and the grant administrator duties were relocated to the central headquarters facility to enhance access to resources. ISP officials stated that regular review sessions are held in order to maintain the efficient and effective administration of the grant program.

Recommendation 5: The Illinois State Police should conduct a formal study of staffing levels to determine the appropriate staffing levels for the DFS.

Partially Implemented: ISP stated that various options are being explored to identify external funding sources. In the meantime, the ISP has been meeting to examine how this

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might be accomplished internally with ISP resources. A study of funding, facilities, and staffing needs to be conducted; however, no funds are available to contract a private vendor to conduct this study. DFS is currently seeking to fill vacancies within the FSC. As these positions are filled, they may be used to initiate this project in-house.

ISP stated that on September 25, 2009, a document was provided to ISP Governmental Affairs regarding ideas to help the labs, including suggested changes to hiring practices. However, no legislation has been introduced. Also, on September 1, 2009, a legislative proposal was submitted to ISP Governmental Affairs to include forensic scientists in the alternative retirement formula, which ISP concluded would increase the recruitment and retention of forensic scientists. However, no legislation was introduced. The proposal was re-submitted on September 1, 2010.

Recommendation 6: The Illinois State Police's Division of Forensic Services should ensure that information/backlog numbers reported to the General Assembly, Governor, and user agencies are accurate and not misleading. Additionally, the ISP should ensure that its labs do not institute procedures that would impede the working of DNA cases in order to keep the reported backlog low.

Implemented: The ISP reported it requested a major rewrite of CALMS (approximately \$3M) to improve the program's statistic reporting ability. No funds have been appropriated for a major rewrite; therefore, minor improvements continue to be made by FSC personnel as time and funding allow. Modifications to the Computer-Aided Laboratory Management System (CALMS) have been made to include outsourced cases in backlog reports, as well as the DNA Testing Accountability Report (as of FY08). The backlogged numbers that were on the June 30, 2009 and June 30, 2010 CALMS reports agreed with the number of backlogged cases reported in the DNA Accountability reports for those two years.

ISP officials stated that they do not allow laboratories to impede DNA casework, but encourages them to identify innovative ways to improve efficiency and increase productivity while maintaining quality. Overall, the laboratories have incorporated various innovations with demonstrated improvement of 117% in DNA case productivity per analyst from 2002 to 2007.

Recommendation 7: The Illinois State Police should develop a formal plan for reducing or eliminating backlogs of forensic services cases.

Partially Implemented: The Department noted that it routinely undertakes reviews of processes and suggestions for improvement within its various disciplines. The Department also said it is exploring mechanisms to initiate a comprehensive, multi-faceted needs assessment to foster better planning for future staffing needs, which will help address

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backlog and growth of services. DFS personnel have been meeting to examine how some or all might be accomplished internally with ISP resources. A study of funding, facilities, and staffing needs to be conducted; however, no funds are available to contract a private vendor to conduct this study. DFS is currently seeking to fill vacancies within the FSC. As these positions are filled, they may be used to initiate this project in-house.

Recommendation 8: The Illinois State Police's Division of Forensic Services should conduct site visits of forensic labs in accordance with its Quality Manual.

Implemented: The ISP reviewed this requirement, put in place at the onset of the FSC Quality Assurance (QA) program more than 25 years ago, and determined the number of other QA measures has significantly expanded since that time. The Quality Manual was revised on April 1, 2008 (before the end of the audit) to address this specific issue. The FSC Quality Manual has been changed to indicate these on-site visits will be conducted at the direction of the Director of QA as circumstances warrant. According to information provided by ISP, 1 site visit occurred in 2009 and none occurred in 2010.

Recommendation 9: The Illinois State Police's Division of Forensic Services should notify external agencies of the results of reviews in all cases where the review was initiated because of a question from an external agency. The Illinois State Police should consider revising the Quality Issue Report to ensure that this notification is made and documented.

Implemented: The ISP revised the Quality Manual and the Quality Issue Report form for implementation July 1, 2009 to specifically include a question whether the law enforcement agency has been notified.

Recommendation 10: The Illinois State Police's Division of Forensic Services should ensure that the Quality Assurance Questionnaire is utilized to obtain feedback from user agencies and that the Regional Advisory Boards meet at least annually as required in its Quality Manual.

Partially Implemented: The Department provided a copy of the Quality Assessment Survey but did not provide documentation as to the extent it was being used. According to ISP, it only has to be used at the direction of the Forensic Services Command when there is a perceived problem.

All but one of the Regional Advisory Boards met in 2010.

Recommendation 11: The Illinois State Police's Division of Forensic Services should ensure that the investigative entities it names to meet the requirements of the Paul

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Coverdell Forensic Improvement Grant Program are aware that they have been named as the investigative entities and are aware of and meet the investigative requirements.

Implemented: Forensic Services Command submitted a memo to DII in August 2009 informing them that they were designated by the FSC in the Coverdell Grant as being an entity to conduct independent, external investigations into allegations of negligence or official misconduct in the reporting or laboratory results, given their responsibility to conduct investigations of allegations of official misconduct by State Police officers and other officials. In August 2009, the State Police Director sent a similar letter to the Executive Inspector General.

Recommendation 12: The Illinois State Police's Division of Forensic Services should ensure that investigations into allegations of serious negligence or misconduct substantially affecting the integrity of forensic results are conducted by an external independent entity as required by the Paul Coverdell Forensic Science Improvement Grant Program.

Partially Implemented: ISP officials responded that at the time of the audit, neither the federal government nor the ICJIA had defined "serious negligence or misconduct." NIJ grant personnel clarified that future grant awards will include a requirement to report the number and nature of allegations of serious negligence or misconduct to NIJ as part of the grant reporting procedure. ISP was advised by ICJIA staff that this was a fairly new issue and that they were placing a call to their federal grant monitor for an interpretation. To date, ISP has not received any follow-up direction.

Recommendation 13: The Illinois State Police's Division of Forensic Services should refer cases involving suspected missing drug evidence to DII for investigation.

Implemented: ISP responded that the Command Directives ADM 14 - Laboratory Security, and EVH 9 - Case Analysis & Reporting Errors have been revised to require that such cases are referred to DII for investigation. ISP provided auditors with several cases where missing drug evidence was investigated by DII in 2009 and 2010.

Recommendation 14: The Illinois State Police's Division of Forensic Services should develop a procedure within its outsourcing policy for forensic analysis that delineates who is responsible for case selection so that cases sent for analysis are the most efficient use of State funds.

Implemented: ISP stated that Command Directive EVH 33 - Outsourcing of Cases was revised to require the laboratory director, or his/her designee to select bases for outsourcing. The Directive also delineates what types of cases should not be considered for outsourcing.

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Recommendation 15: The ISP needs a thorough reporting system in place for tracking outsourced cases. Additionally, the ISP should ensure the contract deadline of a 75-day turnaround is met. Finally, if it is not met, the ISP should either enforce the penalty contained in the contract or document that the penalty has been waived.

Implemented: ISP provided auditors with a CALMS report that shows the “Average Outsource Turnaround Time”, which is defined as the time the case is received by the DNA section of ISP until the ISP receives the outsource vendor report. For its outsourcing of DNA cases in March 2009, ISP also provided a report from its APPROACH system that showed the turnaround time from when the case was sent to the vendor to when it was returned to ISP (i.e., the length of time the vendor was taking to work the case). Regarding enforcing the deadlines in the contracts, ISP reported that the 75-day requirement was part of old contracts; current contracts have established different turnaround times. Associated with this outsourcing, ISP provided documentation showing that they were monitoring the timeliness of the vendor’s analysis of the outsourced cases.

Recommendation 16: The ISP should have proper documentation to support awards for small purchases and obtain the required number of bids for outsourcing of forensic services. Additionally, ISP should better document the decision on how procurement will be awarded, including documentation to justify sole source procurements.

Implemented: As part of the compliance examination for the period ending June 30, 2010, auditors found no significant exceptions related to this area.