



STATE OF ILLINOIS
**OFFICE OF THE
AUDITOR GENERAL**

William G. Holland, Auditor General

SUMMARY REPORT DIGEST

OFFICE OF THE ARCHITECT OF THE CAPITOL

**COMPLIANCE EXAMINATION
For the Two Years Ended: June 30, 2013**

Release Date: January 29, 2014

Summary of Findings:

Total this audit:	2
Total last audit:	1
Repeated from last audit:	1

SYNOPSIS

- The Office has not prepared and implemented a long-range master plan of development for the State Capitol Building and the remaining portion of the legislative complex.

{Expenditures and Activity Measures are summarized on the reverse page.}

**OFFICE OF THE ARCHITECT OF THE CAPITOL
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2013**

EXPENDITURE STATISTICS	2013	2012	2011
Total Expenditures.....	\$ 34,985,537	\$ 16,218,236	\$ 1,872,433
OPERATIONS TOTAL.....	\$ 1,241,170	\$ 1,196,663	\$ 1,100,346
% of Total Expenditures.....	3.5%	7.4%	58.8%
PERMANENT IMPROVEMENTS.....	\$ 33,744,367	\$ 15,021,573	\$ 772,087
% of Total Expenditures.....	96.5%	92.6%	41.2%
Total Receipts.....	\$ -	\$ 25	\$ -
Average Number of Employees.....	3	3	3

AGENCY DIRECTOR	
During Examination Period:	Mr. J. Richard Alsop, III
Currently:	Mr. J. Richard Alsop, III

**FINDINGS, CONCLUSIONS, AND
RECOMMENDATIONS**

**LONG-RANGE MASTER PLAN FOR THE STATE
CAPITOL BUILDING AND LEGISLATIVE
COMPLEX NOT PREPARED**

**Long range master plan not
prepared and implemented**

The Office has not prepared and implemented a long-range master plan of development for the State Capitol Building and the remaining portion of the legislative complex addressing the improvement, construction, historic preservation, restoration, maintenance, repair, and landscaping needs. (Finding 1, Page 9) **This finding was first reported in 2009.**

We recommended the Office take the appropriate actions to prepare and implement a long-range master plan as required by State statute.

Office accepts recommendation

Office officials stated they will continue to work with the executive branch of State government to complete the master plan. (For the previous Department response, See Digest Footnote #1)

OTHER FINDING

The other finding pertains to the Construction Evaluation Council and its failure to meet or perform duties. We will follow up on this finding during the next examination of the Office.

AUDITORS' OPINION

We conducted a compliance examination of the Office of the Architect of the Capitol as required by the Illinois State Auditing Act. The Office of the Architect of the Capitol has no funds that require an audit leading to an opinion of financial statements.



WILLIAM G. HOLLAND
Auditor General²

WGH:CD

AUDITORS ASSIGNED

The compliance examination was performed by the staff of the Office of the Auditor General.

DIGEST FOOTNOTES

#1 – NONCOMPLIANCE WITH LEGISLATIVE COMMISSION REORGANIZATION ACT OF 1984 – Previous Agency Response

2011: Although it is part of the Legislative Commission Reorganization Act that the Office of the Architect shall prepare, or more realistically have prepared by others under our jurisdiction, a long range master-plan of the Capitol Complex, the contract and funding source during the compliance examination period ending June 30, 2011 was not held with this Office and the approval processes do not lie solely with this Office but in cooperation with our Board and the Capital Development Board (CDB). The role of the Office of the Architect is as a spearhead or facilitator, not the final authority. The decision to move the master-plan project forward is also a multi-party decision, made up of CDB, the Office of the Architect Board and this Office. Preparation and implementation are also not necessarily a linear process as they can and do occur simultaneously as situations warrant.