



STATE OF ILLINOIS
**OFFICE OF THE
 AUDITOR GENERAL**

William G. Holland, Auditor General

SUMMARY REPORT DIGEST

OFFICE OF THE ARCHITECT OF THE CAPITOL

Compliance Examination
 For the Two Years Ended June 30, 2015

Release Date: December 17, 2015

FINDINGS THIS AUDIT: 1	AGING SCHEDULE OF REPEATED FINDINGS						
	New	Repeat	Total	Repeated Since	Category 1	Category 2	Category 3
Category 1:	0	0	0	2009		15-1	
Category 2:	0	1	1				
Category 3:	0	0	0				
TOTAL	0	1	1				
FINDINGS LAST AUDIT: 2							

SYNOPSIS

- (15-1) The Office has not implemented a long-range master plan of development for the State Capitol Building and remaining portions of the legislative complex.

Category 1: Findings that are **material weaknesses** in internal control and/or a **qualification** on compliance with State laws and regulations (material noncompliance).

Category 2: Findings that are **significant deficiencies** in internal control and **noncompliance** with State laws and regulations.

Category 3: Findings that have **no internal control issues but are in noncompliance** with State laws and regulations.

{Expenditures are summarized on next page.}

**OFFICE OF THE ARCHITECT OF THE CAPITOL
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2015**

EXPENDITURE STATISTICS	2015	2014	2013
Total Expenditures.....	\$ 1,834,668	\$ 9,981,645	\$ 34,985,537
OPERATIONS TOTAL.....	\$ 1,175,983	\$ 1,150,273	\$ 1,241,170
% of Total Expenditures.....	64.1%	11.5%	3.5%
PERMANENT IMPROVEMENTS.....	\$ 658,685	\$ 8,831,372	\$ 33,744,367
% of Total Expenditures.....	35.9%	88.5%	96.5%
Total Receipts.....	\$ 944	\$ 500	\$ -
Average Number of Employees (Not Examined).....	3	3	3

AGENCY DIRECTOR	
During Examination Period:	Mr. J. Richard Alsop, III (through 6/30/2015)
Currently:	Mr. Mark Flowers, Interim Director (effective 7/1/2015)

**FINDINGS, CONCLUSIONS, AND
RECOMMENDATIONS**

**NONCOMPLIANCE WITH LEGISLATIVE
COMMISSION REORGANIZATION ACT OF 1984**

**Long-range master plan not
implemented**

The Office has not implemented a long-range master plan of development for the State Capitol building and the remaining portions of the legislative complex that addresses the improvement, construction, historic preservation, restoration, maintenance, repair, and landscaping needs of the State Capitol Building and the remaining portions of the legislative complex. (Finding 1, pages 8-9) **This finding has been repeated since 2009.**

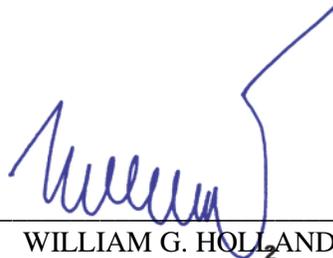
We recommended the Office take the appropriate actions to implement a long-range master plan as required by State statute.

Office accepts recommendation

The Office stated they will continue to take action to implement a long-range master plan when a capital budget is passed and once the Office has Board approval to move forward. *(For the previous Office response, see Digest Footnote #1.)*

ACCOUNTANT'S OPINION

We conducted a compliance examination of the Office for the two years ended June 30, 2015 as required by the Illinois State Auditing Act. The accountants stated the Office complied, in all material respects, with the requirements described in this report.



WILLIAM G. HOLLAND
Auditor General

WGH:cmd

AUDITORS ASSIGNED

This examination was performed by the Office of the Auditor General's staff.

DIGEST FOOTNOTES

**#1 – NONCOMPLIANCE WITH LEGISLATIVE
COMMISSION REORGANIZATION ACT OF 1984 –
Previous Office Response**

2013: OAC will continue to endeavor to work with the Executive Branch to complete the Capitol Complex master plan. Reference underlying cause.