

**FINDINGS, CONCLUSIONS, AND
RECOMMENDATIONS**

**NONCOMPLIANCE WITH INTERAGENCY
AGREEMENT**

The Office of the Architect of the Capitol (Office) did not comply with its interagency agreement with the Capital Development Board (CDB).

Per the interagency agreement signed on November 9, 2018, the Office was required to comply with the Illinois Procurement Code (Code) on all projects related to renovations of the North Wing of the State Capitol Building and the master plan for the legislative complex on which the CDB provided assistance. One contract was executed during the examination period related to the agreement.

Entered into an interagency agreement regarding Capitol Building renovations

We noted the following:

- Documentation of the Office’s vendor decisions and conclusions was not maintained, including the decision to award a contract without performing qualifications-based selection procedures during the examination period. Office personnel indicated they determined, in consultation with the CDB, it was in the best interest of the State to use the same vendor for this architectural and engineering contract as was used in prior renovations of the South and West Wings of the State Capitol Building, instead of reopening the project to prospective bidders and awarding the contract pursuant to qualifications-based selection procedures. However, these conclusions were not contemporaneously documented, nor were the cost factors or other information used in making this determination.
- The Office did not report any communications relating to this contract to the Illinois Procurement Policy Board (PPB). (Finding 1, pages 7-8)

Contract awarded without performing qualifications-based selection procedures

Procurement related communications not reported to the Procurement Policy Board

We recommended the Office contemporaneously document all procurement-related decisions and conclusions drawn. We also recommended the Office document and report all written and oral communications received regarding an active procurement matter to the PPB.

Office accepts recommendation

Office officials accepted our recommendation, noting they will work to amend the interagency agreement between CDB and the Office.

ACCOUNTANT'S OPINION

The accountants conducted a State compliance examination of the Office for the two years ended June 30, 2021, as required by the Illinois State Auditing Act. The accountants stated the Office complied, in all material respects, with the requirements describe in the report.

This State compliance examination was conducted by the Office of the Auditor General's staff.

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JANE CLARK
Division Director

This report is transmitted in accordance with Section 3-14 of the Illinois State Auditing Act.

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FRANK J. MAUTINO
Auditor General

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