



**ADELFA LLC**  
CERTIFIED PUBLIC ACCOUNTANTS

400 E. RANDOLPH STREET, SUITE 705  
CHICAGO, IL 60601  
TEL (312) 240-9500 FAX (312) 240-0295  
[www.adelfiacpas.com](http://www.adelfiacpas.com)

**STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY  
COMPLIANCE EXAMINATION**

**For the Two Years Ended June 30, 2013**

**Performed as Special Assistant Auditors  
For the Auditor General, State of Illinois**

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

COMPLIANCE EXAMINATION  
For the Two Years Ended June 30, 2013

**TABLE OF CONTENTS**

		<b><u>Page(s)</u></b>
Agency Officials		1
Management Assertion Letter		2-3
Compliance Report:		
Summary		4-5
Independent Accountant's Report on State Compliance, on Internal Control Over Compliance, and on Supplementary Information for State Compliance Purposes		6-8
Schedule of Findings		
Current Findings - State Compliance		9-17
Supplementary Information for State Compliance Purposes:	<b><u>Schedule</u></b>	
Summary		18
Fiscal Schedules and Analysis		
Schedule of Appropriations, Expenditures and Lapsed Balances Fiscal Year 2013	1	19-21
Schedule of Appropriations, Expenditures and Lapsed Balances Fiscal Year 2012	2	22
Comparative Schedule of Net Appropriations, Expenditures and Lapsed Balances	3	23-26
Schedule of Changes in State Property	4	27
Comparative Schedule of Cash Receipts	5	28
Reconciliation Schedule of Cash Receipts to Deposits Remitted to the State Comptroller	6	29
Analysis of Significant Variations in Expenditures	7	30-31
Analysis of Significant Variations in Receipts	8	32-33
Analysis of Significant Lapse Period Spending	9	34-35
Analysis of Operations (Not Examined)		
Agency Functions and Planning Program (Not Examined)		36-38
Average Number of Employees (Not Examined)		39
Public Safety Shared Services Center (Not Examined)		40
Service Efforts and Accomplishments (Not Examined)		41-43

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

COMPLIANCE EXAMINATION  
For the Two Years Ended June 30, 2013

**AUTHORITY OFFICIALS**

Director	Mr. Jack Cutrone
Associate Director, Fiscal Management Unit (03/22/12 to current) (07/01/11 to 03/21/12)	Mr. Ronald Litwin (Acting) Ms. Dreena Jones
Associate Director, Human Resources (12/16/13 to current) (02/01/13 to 12/15/13) (07/01/11 to 01/31/13)	Ms. Luz Agosto Vacant Ms. Edith Feliciano
Associate Director, Administrative Services	Mr. Henry Anthony
Associate Director, Research & Analysis	Mr. Mark Myrent
Associate Director, Federal & State Grants Unit (07/16/12 to current) (07/01/11 to 07/15/12)	Ms. Wendy McCambridge Vacant
Associate Director, Information Systems Unit	Mr. Anthony Jenkins
General Counsel	Ms. Lisa Stephens

Authority offices are located at:

300 W. Adams St., Suite 200  
Chicago, Illinois 60606



**ILLINOIS  
CRIMINAL JUSTICE  
INFORMATION AUTHORITY**

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300 W. Adams Street • Suite 200 • Chicago, Illinois 60606 • (312) 793-8550

STATE COMPLIANCE EXAMINATION  
MANAGEMENT ASSERTION LETTER

January 23, 2014

Adelfia LLC  
400 East Randolph Street  
Suite 705  
Chicago, Illinois 60601

Ladies and Gentlemen:

We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the State of Illinois, Illinois Criminal Justice Information Authority (Authority). We are responsible for and we have established and maintained an effective system of, internal controls over compliance requirements. We have performed an evaluation of the Authority's compliance with the following assertions during the two-year period ended June 30, 2013. Based on this evaluation, we assert that during the years ended June 30, 2012 and June 30, 2013, the Authority has materially complied with the assertions below.

- A. The Authority has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The Authority has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The Authority has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the Authority are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.

- E. Money or negotiable securities or similar assets handled by the Authority on behalf of the State or held in trust by the Authority have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate and in accordance with law.

Yours very truly,

Illinois Criminal Justice Information Authority



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Jack Cutrone, Executive Director



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Ronald Litwin, Acting Chief Fiscal Officer



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Lisa Stephens, General Counsel

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

COMPLIANCE EXAMINATION  
For the Two Years Ended June 30, 2013

**COMPLIANCE REPORT**

**SUMMARY**

The compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and in accordance with the Illinois State Auditing Act.

**ACCOUNTANT'S REPORT**

The Independent Accountant's Report on State Compliance, on Internal Control Over Compliance and on Supplementary Information for State Compliance Purposes does not contain scope limitations, disclaimers, or other significant non-standard language.

**SUMMARY OF FINDINGS**

<u>Number of</u>	<u>Current</u> <u>Report</u>	<u>Prior</u> <u>Report</u>
Findings	4	1
Repeated finding	1	1
Prior recommendations implemented or not repeated	0	2

**SCHEDULE OF FINDINGS**

FINDINGS (STATE COMPLIANCE)

<u>Item No.</u>	<u>Pages</u>	<u>Description</u>	<u>Finding Type</u>
2013-001	9-10	Performance Evaluations not Completed or not Completed Timely	Noncompliance and Significant Deficiency
2013-002	11-12	Inadequate Maintenance of Personnel and Payroll Files	Noncompliance and Significant Deficiency
2013-003	13-15	Lack of Contingency Testing to Ensure Recovery of Computer Systems	Noncompliance and Significant Deficiency

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

COMPLIANCE EXAMINATION  
For the Two Years Ended June 30, 2013

**COMPLIANCE REPORT**

**SCHEDULE OF FINDINGS (Continued)**

FINDINGS (STATE COMPLIANCE)

<u>Item No.</u>	<u>Pages</u>	<u>Description</u>	<u>Finding Type</u>
2013-004	16-17	Computer Security Weaknesses	Noncompliance and Significant Deficiency

PRIOR FINDINGS NOT REPEATED

The finding noted during the compliance examination for the two years ended June 30, 2011 was repeated during the current examination.

**EXIT CONFERENCE**

The Authority waived holding an exit conference in correspondence from Jack Cutrone, Executive Director, dated January 8, 2014.

The responses to the recommendations were provided by Ronald Litwin, Acting Associate Director, Fiscal Management Unit, in a letter dated January 21, 2014.



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**INDEPENDENT ACCOUNTANT'S REPORT ON STATE COMPLIANCE,  
ON INTERNAL CONTROL OVER COMPLIANCE, AND ON  
SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES**

Honorable William G. Holland  
Auditor General  
State of Illinois

**Compliance**

As Special Assistant Auditors for the Auditor General, we have examined the State of Illinois Criminal Justice Information Authority's (Authority) compliance with the requirements listed below, as more fully described in the Audit Guide for Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (Audit Guide) as adopted by the Auditor General, during the two years ended June 30, 2013. The management of the Authority is responsible for compliance with these requirements. Our responsibility is to express an opinion on the Authority's compliance based on our examination.

- A. The Authority has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The Authority has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The Authority has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the Authority are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the Authority on behalf of the State or held in trust by the Authority have been properly and legally administered and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.

Our examination was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States; the Illinois State Auditing Act (Act); and the Audit Guide as adopted by the Auditor General pursuant to the Act; and, accordingly, included examining, on a test basis, evidence about the Authority's compliance with those requirements listed in the first paragraph of this report and performing such other procedures as we considered necessary in the circumstances. We believe that our examination provides a reasonable basis for our opinion. Our examination does not provide a legal determination on the Authority's compliance with specified requirements.

In our opinion, the Authority complied, in all material respects, with the compliance requirements listed in the first paragraph of this report during the two years ended June 30, 2013. However, the results of our procedures disclosed instances of noncompliance with the requirements, which are required to be reported in accordance with criteria established by the Audit Guide, issued by the Illinois Office of the Auditor General and which are described in the accompanying schedule of findings as items 2013-001, 2013-002, 2013-003, and 2013-004.

### **Internal Control**

Management of the Authority is responsible for establishing and maintaining effective internal control over compliance with the requirements listed in the first paragraph of this report. In planning and performing our examination, we considered the Authority's internal control over compliance with the requirements listed in the first paragraph of this report to determine the examination procedures that are appropriate in the circumstances for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with the Audit Guide, issued by the Illinois Office of the Auditor General, but not for the purpose of expressing an opinion on the effectiveness of the Authority's internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the Authority's internal control over compliance.

*A deficiency in internal control over compliance* exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with the requirements listed in the first paragraph of this report on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a requirement listed in the first paragraph of this report will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies and therefore, material weaknesses or significant deficiencies may exist that were not identified. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, we identified certain deficiencies in internal control over compliance, as described in the accompanying schedule of findings as items 2013-001, 2013-002, 2013-003, and 2013-004, that we consider to be significant deficiencies.

As required by the Audit Guide, immaterial findings excluded from this report have been reported in a separate letter to your office.

The Authority's responses to the findings identified in our examination are described in the accompanying schedule of findings. We did not examine the Authority's responses and, accordingly, we express no opinion on the responses.

### **Supplementary Information for State Compliance Purposes**

Our examination was conducted for the purpose of forming an opinion on compliance with the requirements listed in the first paragraph of this report. The accompanying supplementary information for the years ended June 30, 2013 and June 30, 2012 in Schedules 1 through 9 and the Analysis of Operations Section is presented for purposes of additional analysis. We have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General to the June 30, 2013 and June 30, 2012 accompanying supplementary information in Schedules 1 through 9. However, we do not express an opinion on the accompanying supplementary information.

We have not applied procedures to the June 30, 2011 accompanying supplementary information in Schedules 3, 4, 5, 6, 7, and 8 and in the Analysis of Operations Section, and accordingly, we do not express an opinion or provide any assurance on it.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, the Authority management, and the Authority Board and is not intended to be and should not be used by anyone other than these specified parties.

*Adelfia LLC*

Adelfia LLC  
Chicago, Illinois  
January 23, 2014

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

COMPLIANCE EXAMINATION  
For the Two Years Ended June 30, 2013

CURRENT FINDINGS – STATE COMPLIANCE

2013-001 **FINDING** (Performance Evaluations not Completed or not Completed Timely)

The Illinois Criminal Justice Information Authority (Authority) did not complete performance evaluations for its employees or did not complete performance evaluations for its employees timely.

During our sample testing of employees, we noted the following:

- Annual performance evaluations for 7 of 30 (23%) employees tested were completed later than 3 months after the due date;
- Annual performance evaluations for 3 of 30 (10%) employees tested were not completed for fiscal year 2012;
- Annual performance evaluations for 10 of 30 (33%) employees tested were not completed for fiscal year 2013; and
- Annual performance evaluation for 1 of 30 (3%) employees tested was completed for fiscal year 2012 but the signed copy could not be located.

The Illinois Administrative Code (80 Ill. Adm. Code 302.270) requires performance records to include an evaluation of employee performance prepared by each agency with such evaluation performed not less often than annually. In addition, prudent business practices require employee performance evaluations to be performed to communicate to employees the strengths and deficiencies in meeting their job responsibilities.

Authority management stated that annual performance evaluations were not completed or not completed timely due to a vacant position in the human resources/payroll department.

Employee performance evaluations are a systematic and uniform approach used for the development of employees and communication of performance expectations to employees and serve as a foundation for salary adjustments, promotion, demotion, discharge, layoff, and recall and reinstatement decisions. Without timely completion of an employee performance evaluation, the employee would not be provided with feedback or assessment of his or her performance. Areas for improvements and current year's performance goals and objectives may not be identified and communicated in a timely manner. (Finding Code No. 2013-001)

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

COMPLIANCE EXAMINATION  
For the Two Years Ended June 30, 2013

CURRENT FINDINGS – STATE COMPLIANCE

2013-001 **FINDING** (Performance Evaluations not Completed or not Completed Timely)  
(Continued)

**RECOMMENDATION**

We recommend the Authority evaluate its procedures for monitoring performance evaluations to ensure performance appraisals are completed timely.

**AUTHORITY RESPONSE**

The Authority agrees with this finding and will be able to comply with the requirements to complete Performance Evaluations in a timely manner now that the previous vacant position in human resources/payroll department has been filled.

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

COMPLIANCE EXAMINATION  
For the Two Years Ended June 30, 2013

CURRENT FINDINGS – STATE COMPLIANCE

2013-002 **FINDING** (Inadequate Maintenance of Personnel and Payroll Files)

The Illinois Criminal Justice Information Authority (Authority) did not adequately maintain personnel and payroll files.

During our sample testing of employees, the following documents could not be located by the Authority and provided to the auditors:

- Vacation or sick leave approvals for 6 of 30 (20%) employees tested; and
- Supporting documents for the information presented in the Agency Workforce Report.

We also noted the following inaccuracies in the payroll process:

- 1 of 30 (3%) employees tested was paid for an extra day amounting to \$256; and
- 1 of 6 (17%) employees' hours tested while on leave of absence were not reported.

The Fiscal Control and Internal Auditing Act (30 ILCS 10/1002) states that each chief executive officer of every State agency is responsible for effectively and efficiently managing the agency and establishing and maintaining an effective system of internal control. Good business practice dictates adequate maintenance of personnel documents to support payroll transactions.

The State Records Act (5 ILCS 160/8) requires the head of each agency ensure records are prepared and maintained which contain documentation of the agency's essential transactions.

In addition, prudent business practice requires the Agency to maintain effective controls over the accuracy of the data reported to all outside sources, including reporting to other State entities.

Authority management stated that the deficiencies occurred due to a vacant position in the human resources/payroll department. Proper turnover from the previous staff did not occur, thus, some of the documents could not be located.

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

COMPLIANCE EXAMINATION  
For the Two Years Ended June 30, 2013

CURRENT FINDINGS – STATE COMPLIANCE

2013-002      **FINDING**      (Inadequate Maintenance of Personnel and Payroll Files) (Continued)

Failure to maintain supporting documentation and reconcile leave balances to payroll expenditures increases the risk that the Agency could pay for services or benefits not earned by employees. (Finding Code No. 2013-002)

**RECOMMENDATION**

We recommend the Authority adequately maintain personnel and payroll files. In addition, routine comparison of accrued leave balances and time records to payroll expenditures should be performed to ensure employees are not compensated for unearned time. The Authority should collect the overpayment from the former employee and deduct the used leave hours from the current employee's leave balance.

**AUTHORITY RESPONSE**

The Authority agrees with this finding and will be able to comply with the requirements to adequately maintain personnel and payroll files now that the previous vacant position in human resources/payroll department has been filled.

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

COMPLIANCE EXAMINATION  
For the Two Years Ended June 30, 2013

CURRENT FINDINGS – STATE COMPLIANCE

2013-003 **FINDING** (Lack of Contingency Testing to Ensure Recovery of Computer Systems)

The Illinois Criminal Justice Information Authority (Authority) enhanced its disaster recovery capabilities; however, several weaknesses continued to exist.

The primary mission of the Authority is to improve the administration of justice by enhancing the information tools available to State and local criminal justice agencies and administrators. To accomplish this, the Authority maintains several essential computer systems. One of the systems gathers and reports information regarding domestic violence, while another system assists law enforcement in its efforts to eradicate the production and use of methamphetamines in Illinois.

The disaster recovery plan was updated in May 2013; however, the plan did not address some key areas such as support agreements with vendors, or detailed recovery steps. The Authority leased an off-site facility in close proximity that housed backup tapes.

In June 2013, the Authority performed a simulated walk-through to recover their remote telecommunication capabilities; however, a comprehensive recovery test was not performed during the examination period.

Information technology guidance (including the National Institute of Standards and Technology and Government Accountability Office) endorse the formal development and testing of disaster recovery plans. Tests of disaster recovery plans (and the associated documentation of the test results) verify that the plan, procedures, and resources provide the capability to recover critical systems within the required timeframe.

Authority officials stated they had established a comprehensive disaster recovery plan; however, these items were not addressed in the disaster recovery version provided to the auditors due to an oversight. Some testing had been performed of its ability to recreate its network and working environment to allow staff to work offsite if the main office was destroyed or rendered uninhabitable. However, additional IT equipment is needed to complete a comprehensive recovery test, which requires additional funding. In addition, Authority officials stated the offsite facility, located approximately one-half mile from its office, was deemed adequate based on the likelihood of a disaster occurring that would destroy or affect the stored information being extremely remote. The Authority is investigating the possibility of storing some backup material at its Springfield office and will do so if not cost prohibitive.

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

COMPLIANCE EXAMINATION  
For the Two Years Ended June 30, 2013

CURRENT FINDINGS – STATE COMPLIANCE

2013-003 **FINDING** (Lack of Contingency Testing to Ensure Recovery of Computer Systems)  
(Continued)

Failure to adequately update and test the disaster recovery plans leaves the Authority exposed to the possibility of major disruptions of services. A comprehensive test of the plan across all platforms utilized will assist management in identifying weaknesses to ensure recovery procedures are adequate in the event of a disaster. (Finding Code No. 2013-003, 11-1, 09-1, 07-1, 05-1, 03-1, 01-1, 99-2, 97-1, 95-1, 93-1, 91-1, 89-1, 87-6)

**RECOMMENDATION**

We recommend the Authority continue to update its disaster recovery plan to ensure recovery capabilities meet its needs. In addition, a comprehensive test of the plan should be performed at least annually. The plan should be continuously updated to reflect environmental changes and improvements identified from testing. Due to the proximity of the off-site facility, the Authority should ensure some backup tapes are stored elsewhere to ensure availability in the event of a regional disaster.

**AUTHORITY RESPONSE**

The Authority agrees that contingency planning is important; however disagrees on some points of the finding.

The Authority has consistently worked on improving its contingency plans for system recovery in the event of a disaster.

- **Support agreements with vendors.** The Authority avails itself of the statewide master contract for the procurement of IT equipment and services, so in the Authority's view, we do have support agreements with vendors.
- **Comprehensive test of the plan should be performed at least annually.** The Authority Disaster Recovery Plan will be tested to ensure that the Authority has the ability to continue the critical business processes in the event of a disaster. We also understand that the important issue is not that the test succeeded without problems, but, that the test results and problems encountered are reviewed and used to update or revise the current Disaster Recovery Plan procedures.

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

COMPLIANCE EXAMINATION  
For the Two Years Ended June 30, 2013

CURRENT FINDINGS – STATE COMPLIANCE

- **The plan should be continuously updated to reflect environmental changes and improvements identified from testing.** The Authority will continuously update our plan, we understand our IT environments constantly fluctuate, and we should change our disaster recovery plan accordingly, so that our hardware, software codes, and staff are up-to-date with any changes.
- **Due to the proximity of the off-site facility, the Authority should ensure some backup tapes are stored elsewhere to ensure availability in the event of a regional disaster.** Respectfully, the Authority disagrees with this recommendation. The off-site facility is the James R. Thompson Center which is at least a half mile away from ICJIA's office. The chance that some disaster would destroy both sets of back-up tapes, one at each facility, is infinitesimally small and beyond the planned use of a vault at JRTC, it is not necessary to establish and pay for a third location.

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

COMPLIANCE EXAMINATION  
For the Two Years Ended June 30, 2013

CURRENT FINDINGS – STATE COMPLIANCE

2013-004 **FINDING** (Computer Security Weaknesses)

The Illinois Criminal Justice Information Authority (Authority) had not established adequate controls for its computing environment.

The primary mission of the Authority is to improve the administration of justice by enhancing the information tools available to State and local criminal justice agencies and administrators. To accomplish this, the Authority maintains several essential computer systems, including those that contain financial and sensitive information.

During our testing we noted the following weaknesses related to the Authority's Computer environment:

- Powerful administrative rights were assigned to staff members who did not require such rights to perform their job duties.
- Periodic reviews of system access rights were not performed.
- Password histories were not maintained; therefore the same password could be re-used.
- Sufficient password length and content requirements were not enforced.

We reviewed user accounts with access to the grant management system, noting an excessive number of user accounts with inappropriate access rights.

Generally accepted information technology guidance endorses the use of well-designed and well-managed controls to protect computer systems and data. Effective computer security controls provide for safeguarding, securing, and controlling access to hardware, software, and the information stored in the computer system.

Authority officials stated that some employees were granted powerful administrative rights during the internal testing of their new web-based database which replaced a 20-year old grant management system and was rolled out on May 11, 2011. The software was released with system access rights utilized during testing and were no longer required by some of the users.

Without the implementation of adequate controls, there is an increased risk that the confidentiality, integrity, and availability of data will be compromised. (Finding Code 2013-004)

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

COMPLIANCE EXAMINATION  
For the Two Years Ended June 30, 2013

CURRENT FINDINGS – STATE COMPLIANCE

2013-004 **FINDING** (Computer Security Weaknesses) (Continued)

**RECOMMENDATION**

We recommend the Authority:

- Perform periodic reviews of system access rights and ensure access rights are appropriately aligned with job duties. In particular, administrative rights should be limited to select staff that requires such rights to perform job duties.
- Implement strong password change interval, content and length requirements.

**AUTHORITY RESPONSE**

The Authority agrees with this finding with implementation partially completed and partially pending for the following:

Management has or is making the suggested enhancements to procedures and internal controls.

**Perform periodic reviews of system access rights and ensure access rights are appropriately aligned with job duties. In particular, administrative rights should be limited to select staff that requires such rights to perform job duties.**

ICJIA has reviewed and removed access rights which were established during beta testing of the eGMIS system for those users who were part of the testing process but would not normally have such rights and is revising and amending its IT policies / Standard Operating Procedure (SOP) to describe the procedure for reviewing Illinois Criminal Justice Information Authority's user accounts for the application data management systems on a regular basis.

**Implement strong password change interval, content and length requirements.**

ICJIA has implemented change of password change interval, content and length requirements to its eGMIS system. On the remainder of our systems, ICJIA is in the process of implementing those changes. Thus for all ICJIA's systems, we will add a password history to the systems and start using 15 character passwords. Passwords will contain special characters, numbers, and small and capital letters. The Password expiration will be on the fifteenth of every odd numbered month, so passwords will change every 90 days.

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

COMPLIANCE EXAMINATION  
For the Two Years Ended June 30, 2013

**SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES**

**SUMMARY**

Supplementary Information for State Compliance Purposes presented in this section of the report includes the following:

- Fiscal Schedules and Analysis:
  - Schedule of Appropriations, Expenditures and Lapsed Balances  
Fiscal Year 2013
  - Schedule of Appropriations, Expenditures and Lapsed Balances  
Fiscal Year 2012
  - Comparative Schedule of Net Appropriations, Expenditures and Lapsed  
Balances
  - Schedule of Changes in State Property
  - Comparative Schedule of Cash Receipts
  - Reconciliation Schedule of Cash Receipts to Deposits Remitted to the State  
Comptroller
  - Analysis of Significant Variations in Expenditures
  - Analysis of Significant Variations in Receipts
  - Analysis of Significant Lapse Period Spending
  
- Analysis of Operations (Not Examined):
  - Agency Functions and Planning Program (Not Examined)
  - Average Number of Employees (Not Examined)
  - Public Safety Shared Services Center (Not Examined)
  - Service Efforts and Accomplishments (Not Examined)

The accountant's report that covers the Supplementary Information for State Compliance Purposes presented in the Compliance Report Section states the accountants have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General to the June 30, 2013 and June 30, 2012 in Schedules 1 through 9. However, the accountants do not express an opinion on the supplementary information. The accountant's report also states that they have not applied procedures to the Analysis of Operations Section, and accordingly, they do not express an opinion or provide any assurance on it.

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

SCHEDULE OF APPROPRIATIONS,  
EXPENDITURES AND LAPSED BALANCES  
Appropriations for Fiscal Year 2013

Fourteen Months Ended August 31, 2013

	Appropriations (Net After Transfers)	Expenditures Through 06/30/13	Lapse Period Expenditures 07/01/13 - 08/30/13	Total Expenditures	Balances Lapsed
<u>Public Act 97-731, 97-1151</u>					
<u>APPROPRIATED FUNDS</u>					
<u>General Revenue Fund - 001</u>					
Personal Services	\$ 1,217,900	\$ 1,079,274	\$ 50,217	\$ 1,129,491	\$ 88,409
State Contribution to Social Security	93,200	80,351	3,741	84,092	9,108
Contractual Services	422,600	232,300	96,389	328,689	93,911
Travel	5,000	4,764	-	4,764	236
Commodities	1,700	1,654	-	1,654	46
Printing	5,000	3,459	-	3,459	1,541
Equipment	1	-	-	-	1
Electronic Data Processing	31,500	7,124	15	7,139	24,361
Telecommunication	30,000	28,590	1,410	30,000	-
Operation of Auto Equipment	2,300	1,904	251	2,155	145
Grants to Community-Based Organizations for Violence Prevention Program	15,000,000	9,209,697	4,534,579	13,744,276	1,255,724
Grants to the Chicago Area Project	5,000,000	3,750,000	416,667	4,166,667	833,333
Operational Expenses and Awards	193,500	192,311	-	192,311	1,189
Grants for Adult Redeployment Program	2,000,000	1,194,121	151,698	1,345,819	654,181
Illinois Violence Prevention Authority:					
Contractual Services	12,542	3,418	2,350	5,768	6,774
Illinois Family Violence Coordinating Council	160,759	30,056	124,551	154,607	6,152
Costs Associated With Bullying Prevention	273,000	-	234,000	234,000	39,000
Grants per Violence Prevention Act of 1995	1,142,479	230,262	847,199	1,077,461	65,018
Subtotal - Fund 001	<u>25,591,481</u>	<u>16,049,285</u>	<u>6,463,067</u>	<u>22,512,352</u>	<u>3,079,129</u>
<u>Motor Vehicle Theft Prevention Trust Fund - 156</u>					
Personal Services	238,700	234,040	3,375	237,415	1,285
Lump Sum and Other Purposes	249,600	216,612	4,323	220,935	28,665
Awards and Grants	6,500,000	5,709,445	787,353	6,496,798	3,202
Refunds	75,000	-	-	-	75,000
Subtotal - Fund 156	<u>7,063,300</u>	<u>6,160,097</u>	<u>795,051</u>	<u>6,955,148</u>	<u>108,152</u>
<u>ICJIA Violence Prevention Fund - 184 (See Note 2)</u>					
Personal Services	246,379	126,975	16,033	143,008	103,371
State Contributions to State Employees' Retirement System	93,569	48,265	6,095	54,360	39,209
State Contribution to Social Security	19,760	9,215	1,160	10,375	9,385
Group Insurance	83,282	53,698	6,712	60,410	22,872
Contractual Services	5,907	451	2,216	2,667	3,240
Travel	4,815	641	189	830	3,985
Commodities	1,335	650	-	650	685
Printing	1,000	-	-	-	1,000
Equipment	1,000	-	-	-	1,000
Electronic Data Processing	2,314	25	-	25	2,289
Telecommunication	7,933	1,552	3,980	5,532	2,401
Awards and Grants	459,083	202,075	23,550	225,625	233,458
Subtotal - Fund 184	<u>926,377</u>	<u>443,547</u>	<u>59,935</u>	<u>503,482</u>	<u>422,895</u>

Note 1: Information was obtained from Authority records and reconciled to the records of the State Comptroller. Expenditure amounts are vouchers approved for payment by the Authority and submitted to the State Comptroller for payment to the vendor.

Note 2: P.A. 97-1151 was enacted transferring the Illinois Violence Prevention Authority (IVPA) to the Authority. Appropriations reported in this schedule for Fund 184 represent unexpended balances at the time of the transfer of the IVPA operations to the Authority. Expenditures reported in this schedule for Fund 184 and Fund 318 represent expenditures from the time of the transfer of the IVPA operations to the Authority.

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

SCHEDULE OF APPROPRIATIONS,  
EXPENDITURES AND LAPSED BALANCES  
Appropriations for Fiscal Year 2013

Fourteen Months Ended August 31, 2013

	Appropriations (Net After Transfers)	Expenditures Through 06/30/13	Lapse Period Expenditures 07/01/13 - 08/30/13	Total Expenditures	Balances Lapsed
<b>Public Act 97-731, 97-1151</b>					
<b>Criminal Justice Information Projects Fund - 335</b>					
Lump Sum and Other Purposes	400,000	94,196	15,125	109,321	290,679
Subtotal - Fund 335	400,000	94,196	15,125	109,321	290,679
<b>Criminal Justice Trust Fund - 488</b>					
Support of Investigative Issues	1,700,000	134,081	2,872	136,953	1,563,047
Support of Federal Assistance Programs	5,800,000	3,410,986	348,982	3,759,968	2,040,032
Local Awards and Grants	40,000,000	23,873,870	2,687,582	26,561,452	13,438,548
State Awards and Grants	12,000,000	3,915,543	(69,737)	3,845,806	8,154,194
Support of Federal Assistance Programs - ARRA	14,300,000	1,761,385	55,852	1,817,237	12,482,763
Local Awards and Grants - ARRA	15,291,900	5,209,239	686,942	5,896,181	9,395,719
State Awards and Grants - ARRA	8,900,000	2,241,165	58,012	2,299,177	6,600,823
Subtotal - Fund 488	97,991,900	40,546,269	3,770,505	44,316,774	53,675,126
<b>Crime Stoppers Association Fund - 513</b>					
Grants to Crime Stoppers programs	150,000	-	-	-	150,000
Subtotal - Fund 513	150,000	-	-	-	150,000
<b>Death Penalty Abolition Fund - 539</b>					
Personal Services	388,500	-	-	-	388,500
Lump Sum and Other Purposes	920,600	2,251	-	2,251	918,349
Local Awards and Grants	13,912,800	-	-	-	13,912,800
State Awards and Grants	3,478,200	55,375	-	55,375	3,422,825
Subtotal - Fund 539	18,700,100	57,626	-	57,626	18,642,474
<b>Juvenile Accountability Incentive Block Grants Fund - 581</b>					
Awards and Grants	4,500,000	2,666,896	1,179,431	3,846,327	653,673
Subtotal - Fund 581	4,500,000	2,666,896	1,179,431	3,846,327	653,673
<b>Prescription Pill and Drug Disposal Fund - 665</b>					
Lump Sum and Other Purposes	200,000	-	-	-	200,000
Subtotal - Fund 665	200,000	-	-	-	200,000
<b>TOTALS - ALL APPROPRIATED FUNDS</b>	<b>\$ 155,523,158</b>	<b>66,017,916</b>	<b>12,283,114</b>	<b>78,301,030</b>	<b>\$ 77,222,128</b>

Note 1: Information was obtained from Authority records and reconciled to the records of the State Comptroller. Expenditure amounts are vouchers approved for payment by the Authority and submitted to the State Comptroller for payment to the vendor.

Note 2: P.A. 97-1151 was enacted transferring the Illinois Violence Prevention Authority (IVPA) to the Authority. Appropriations reported in this schedule for Fund 184 represent unexpended balances at the time of the transfer of the IVPA operations to the Authority. Expenditures reported in this schedule for Fund 184 and Fund 318 represent expenditures from the time of the transfer of the IVPA operations to the Authority.

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

SCHEDULE OF APPROPRIATIONS,  
EXPENDITURES AND LAPSED BALANCES  
Appropriations for Fiscal Year 2013

Fourteen Months Ended August 31, 2013

	Appropriations (Net After Transfers)	Expenditures Through 06/30/13	Lapse Period Expenditures 07/01/13 - 08/30/13	Total Expenditures	Balances Lapsed
Public Act 97-731, 97-1151					
<b>NON-APPROPRIATED FUND</b>					
<b>ICJIA Violence Prevention Special Projects Fund - 318</b>					
<b>(See Note 2)</b>					
Governor's Neighborhood Recovery Initiative		461,505	107,385	568,890	
Grants and Operations		2,030,916	624,677	2,655,593	
U.S. Department of Justice Violence Against Women		114,166	19,210	133,376	
Subtotal - Fund 318		<u>2,606,587</u>	<u>751,272</u>	<u>3,357,859</u>	
TOTALS - ALL NON-APPROPRIATED FUND		<u>2,606,587</u>	<u>751,272</u>	<u>3,357,859</u>	
GRAND TOTAL - ALL FUNDS	<u>\$ 155,523,158</u>	<u>\$ 68,624,503</u>	<u>\$ 13,034,386</u>	<u>\$ 81,658,889</u>	<u>\$ 77,222,128</u>

*Note 1: Information was obtained from Authority records and reconciled to the records of the State Comptroller. Expenditure amounts are vouchers approved for payment by the Authority and submitted to the State Comptroller for payment to the vendor.*

*Note 2: P.A. 97-1151 was enacted transferring the Illinois Violence Prevention Authority (IVPA) to the Authority. Appropriations reported in this schedule for Fund 184 represent unexpended balances at the time of the transfer of the IVPA operations to the Authority. Expenditures reported in this schedule for Fund 184 and Fund 318 represent expenditures from the time of the transfer of the IVPA operations to the Authority.*

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

SCHEDULE OF APPROPRIATIONS,  
EXPENDITURES AND LAPSED BALANCES  
Appropriations for Fiscal Year 2012

Eighteen Months Ended December 31, 2012

	Appropriations (Net After Transfers)	Expenditures Through 06/30/12	Lapse Period Expenditures 07/01/12 - 12/31/12	Total Expenditures	Balances Lapsed
<b>Public Act 97-063</b>					
<b>APPROPRIATED FUNDS</b>					
<b>General Revenue Fund - 001</b>					
Personal Services	\$ 1,228,800	\$ 1,127,911	\$ 68,475	\$ 1,196,386	\$ 32,414
State Contribution to Social Security	94,000	83,915	5,151	89,066	4,934
Contractual Services	326,219	225,021	57,392	282,413	43,806
Travel	7,381	6,650	707	7,357	24
Commodities	10,000	3,883	-	3,883	6,117
Printing	10,000	4,010	33	4,043	5,957
Equipment	1	-	-	-	1
Electronic Data Processing	67,500	5,392	328	5,720	61,780
Telecommunication	45,000	43,110	1,834	44,944	56
Operation of Auto Equipment	5,000	4,972	28	5,000	-
Subtotal - Fund 001	<u>1,793,901</u>	<u>1,504,864</u>	<u>133,948</u>	<u>1,638,812</u>	<u>155,089</u>
<b>Motor Vehicle Theft Prevention Trust Fund - 156</b>					
Personal Services	191,100	163,628	5,343	168,971	22,129
Lump Sum and Other Purposes	187,100	145,254	6,518	151,772	35,328
Awards and Grants	6,500,000	5,494,692	581,832	6,076,524	423,476
Refunds	75,000	-	-	-	75,000
Subtotal - Fund 156	<u>6,953,200</u>	<u>5,803,574</u>	<u>593,693</u>	<u>6,397,267</u>	<u>555,933</u>
<b>Criminal Justice Information Projects Fund - 335</b>					
Lump Sum and Other Purposes	400,000	83,670	85,264	168,934	231,066
Subtotal - Fund 335	<u>400,000</u>	<u>83,670</u>	<u>85,264</u>	<u>168,934</u>	<u>231,066</u>
<b>Criminal Justice Trust Fund - 488</b>					
Support of Investigative Issues	1,700,000	119,567	24,691	144,258	1,555,742
Support of Federal Assistance Programs	5,800,000	4,271,576	458,660	4,730,236	1,069,764
Local Awards and Grants	40,000,000	24,155,251	2,362,542	26,517,793	13,482,207
State Awards and Grants	12,000,000	4,668,017	377,195	5,045,212	6,954,788
Support of Federal Assistance Programs - ARRA	4,300,000	1,168,257	93,219	1,261,476	3,038,524
Local Awards and Grants - ARRA	28,100,000	7,148,838	526,513	7,675,351	20,424,649
State Awards and Grants - ARRA	15,500,000	2,970,913	146,666	3,117,579	12,382,421
Subtotal - Fund 488	<u>107,400,000</u>	<u>44,502,419</u>	<u>3,989,486</u>	<u>48,491,905</u>	<u>58,908,095</u>
<b>Juvenile Accountability Incentive Block Grants Fund - 581</b>					
Awards and Grants	4,500,000	1,648,373	122,641	1,771,014	2,728,986
Subtotal - Fund 581	<u>4,500,000</u>	<u>1,648,373</u>	<u>122,641</u>	<u>1,771,014</u>	<u>2,728,986</u>
TOTALS - ALL APPROPRIATED FUNDS	<u>121,047,101</u>	<u>53,542,900</u>	<u>4,925,032</u>	<u>58,467,932</u>	<u>62,579,169</u>
GRAND TOTAL - ALL FUNDS	<u>\$ 121,047,101</u>	<u>\$ 53,542,900</u>	<u>\$ 4,925,032</u>	<u>\$ 58,467,932</u>	<u>\$ 62,579,169</u>

Note: Information was obtained from Authority records and reconciled to the records of the State Comptroller. Expenditure amounts are vouchers approved for payment by the Authority and submitted to the State Comptroller for payment to the vendor.

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES  
AND LAPSED BALANCES

For the Fiscal Years Ended June 20, 2013, 2012 and 2011

	<u>FISCAL YEAR</u>		
	2013 <u>PA 97-731,</u> <u>97-1151</u>	2012 <u>PA 97-063</u>	2011 <u>PA 96-956</u>
<b><u>General Revenue Fund - 001</u></b>			
<b><u>Appropriations (Net After Transfers)</u></b>	\$ 25,591,481	\$ 1,793,901	\$ 2,524,600
<b><u>Expenditures</u></b>			
Personal Services	\$ 1,129,491	\$ 1,196,386	\$ -
State Contribution to Social Security	84,092	89,066	-
Contractual Services	328,689	282,413	-
Travel	4,764	7,357	-
Commodities	1,654	3,883	-
Printing	3,459	4,043	-
Equipment	-	-	-
Electronic Data Processing	7,139	5,720	-
Telecommunication	30,000	44,944	-
Operation of Auto Equipment	2,155	5,000	-
Grants to Community-Based Organizations for Violence Prevention Program	13,744,276	-	-
Grants to the Chicago Area Project	4,166,667	-	-
Operational Expenses and Awards	192,311	-	2,383,155
Grants for Adult Redeployment Program	1,345,819	-	-
Illinois Violence Prevention Authority:			
Contractual Services	5,768	-	-
Illinois Family Violence Coordinating Council	154,607	-	-
Costs Associated With Bullying Prevention	234,000	-	-
Grants per Violence Prevention Act of 1995	1,077,461	-	-
<b>Total Expenditures</b>	<b>\$ 22,512,352</b>	<b>\$ 1,638,812</b>	<b>\$ 2,383,155</b>
<b><u>Lapsed Balances</u></b>	<b>\$ 3,079,129</b>	<b>\$ 155,089</b>	<b>\$ 141,445</b>
<b><u>Motor Vehicle Theft Prevention Trust Fund - 156</u></b>			
<b><u>Appropriations (Net After Transfers)</u></b>	<b>\$ 7,063,300</b>	<b>\$ 6,953,200</b>	<b>\$ 6,953,200</b>
<b><u>Expenditures</u></b>			
Personal Services	\$ 237,415	\$ 168,971	\$ 136,614
Lump Sum and Other Purposes	220,935	151,772	119,132
Awards and Grants	6,496,798	6,076,524	5,271,483
Refunds	-	-	150
<b>Total Expenditures</b>	<b>\$ 6,955,148</b>	<b>\$ 6,397,267</b>	<b>\$ 5,527,379</b>
<b><u>Lapsed Balances</u></b>	<b>\$ 108,152</b>	<b>\$ 555,933</b>	<b>\$ 1,425,821</b>

*Note: Fiscal Year 2011 expenditures and lapsed balances do not reflect interest payments approved for payment by the Authority and submitted to the Comptroller for payment after August.*

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES  
AND LAPSED BALANCES

For the Fiscal Years Ended June 20, 2013, 2012 and 2011

	<u>FISCAL YEAR</u>		
	2013 <u>PA 97-731,</u> <u>97-1151</u>	2012 <u>PA 97-063</u>	2011 <u>PA 96-956</u>
<b><u>ICJIA Violence Prevention Fund - 184</u></b>			
<b><u>Appropriations (Net After Transfers)</u></b>	\$ 926,377	\$ -	\$ -
<b><u>Expenditures</u></b>			
Personal Services	\$ 143,008	\$ -	\$ -
State Contributions to State Employees' Retirement System	54,360		
State Contribution to Social Security	10,375	-	-
Group Insurance	60,410	-	-
Contractual Services	2,667	-	-
Travel	830	-	-
Commodities	650	-	-
Printing	-	-	-
Equipment	-	-	-
Electronic Data Processing	25	-	-
Telecommunication	5,532	-	-
Awards and Grants	225,625	-	-
Total Expenditures	<u>\$ 503,482</u>	<u>\$ -</u>	<u>\$ -</u>
<b><u>Lapsed Balances</u></b>	<u>\$ 422,895</u>	<u>\$ -</u>	<u>\$ -</u>
<b><u>Criminal Justice Information Projects Fund - 335</u></b>			
<b><u>Appropriations (Net After Transfers)</u></b>	\$ 400,000	\$ 400,000	\$ 400,000
<b><u>Expenditures</u></b>			
Lump Sum and Other Purposes	\$ 109,321	\$ 168,934	\$ 19,577
Total Expenditures	<u>\$ 109,321</u>	<u>\$ 168,934</u>	<u>\$ 19,577</u>
<b><u>Lapsed Balances</u></b>	<u>\$ 290,679</u>	<u>\$ 231,066</u>	<u>\$ 380,423</u>
<b><u>Criminal Justice Trust Fund - 488</u></b>			
<b><u>Appropriations (Net After Transfers)</u></b>	\$ 97,991,900	\$ 107,400,000	\$ 107,400,000
<b><u>Expenditures</u></b>			
Support of Investigative Issues	\$ 136,953	\$ 144,258	\$ -
Support of Federal Assistance Programs	3,759,968	4,730,236	-
Local Awards and Grants	26,561,452	26,517,793	33,709,460
State Awards and Grants	3,845,806	5,045,212	5,065,811
Lump Sum and Other Purposes (Admin)	-	-	5,079,841
Lump Sum and Other Purposes (In House Grants)	-	-	194,426
Support of Federal Assistance Programs - ARRA	1,817,237	1,261,476	-
Local Awards and Grants - ARRA	5,896,181	7,675,351	-
State Awards and Grants - ARRA	2,299,177	3,117,579	-
Total Expenditures	<u>\$ 44,316,774</u>	<u>\$ 48,491,905</u>	<u>\$ 44,049,538</u>
<b><u>Lapsed Balances</u></b>	<u>\$ 53,675,126</u>	<u>\$ 58,908,095</u>	<u>\$ 63,350,462</u>

Note: Fiscal Year 2011 expenditures and lapsed balances do not reflect interest payments approved for payment by the Authority and submitted to the Comptroller for payment after August.

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY  
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES  
AND LAPSED BALANCES  
For the Fiscal Years Ended June 20, 2013, 2012 and 2011

	<u>FISCAL YEAR</u>		
	2013 <u>PA 97-731, 97-1151</u>	2012 <u>PA 97-063</u>	2011 <u>PA 96-956</u>
<b><u>Crime Stoppers Association Fund - 513</u></b>			
<u>Appropriations (Net After Transfers)</u>	\$ 150,000	\$ -	\$ -
<u>Expenditures</u>			
Grants to Crime Stoppers programs	\$ -	\$ -	\$ -
Total Expenditures	\$ -	\$ -	\$ -
<u>Lapsed Balances</u>	<u>\$ 150,000</u>	<u>\$ -</u>	<u>\$ -</u>
<b><u>Death Penalty Abolition Fund - 539</u></b>			
<u>Appropriations (Net After Transfers)</u>	\$ 18,700,100	\$ -	\$ -
<u>Expenditures</u>			
Personal Services	\$ -	\$ -	\$ -
Lump Sum and Other Purposes	2,251	-	-
Local Awards and Grants	-	-	-
State Awards and Grants	55,375	-	-
Total Expenditures	\$ 57,626	\$ -	\$ -
<u>Lapsed Balances</u>	<u>\$ 18,642,474</u>	<u>\$ -</u>	<u>\$ -</u>
<b><u>Juvenile Accountability Incentive Block Grants Fund - 581</u></b>			
<u>Appropriations (Net After Transfers)</u>	\$ 4,500,000	\$ 4,500,000	\$ 4,500,000
<u>Expenditures</u>			
Awards and Grants	\$ 3,846,327	\$ 1,771,014	\$ 1,453,143
Total Expenditures	\$ 3,846,327	\$ 1,771,014	\$ 1,453,143
<u>Lapsed Balances</u>	<u>\$ 653,673</u>	<u>\$ 2,728,986</u>	<u>\$ 3,046,857</u>
<b><u>Prescription Pill and Drug Disposal Fund - 665</u></b>			
<u>Appropriations (Net After Transfers)</u>	\$ 200,000	\$ -	\$ -
<u>Expenditures</u>			
Lump Sum and Other Purposes	\$ -	\$ -	\$ -
Total Expenditures	\$ -	\$ -	\$ -
<u>Lapsed Balances</u>	<u>\$ 200,000</u>	<u>\$ -</u>	<u>\$ -</u>

*Note: Fiscal Year 2011 expenditures and lapsed balances do not reflect interest payments approved for payment by the Authority and submitted to the Comptroller for payment after August.*

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY  
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES  
AND LAPSED BALANCES  
For the Fiscal Years Ended June 20, 2013, 2012 and 2011

	<u>FISCAL YEAR</u>		
	2013 <u>PA 97-731,</u> <u>97-1151</u>	2012 <u>PA 97-063</u>	2011 <u>PA 96-956</u>
<u>Total - All Appropriated Funds</u>			
Appropriations (Net After Transfers)	\$ 155,523,158	\$ 121,047,101	\$ 121,777,800
Total Expenditures	<u>78,301,030</u>	<u>58,467,932</u>	<u>53,432,792</u>
Lapsed Balances	<u>\$ 77,222,128</u>	<u>\$ 62,579,169</u>	<u>\$ 68,345,008</u>
<u>Non-Appropriated Funds</u>			
<u>ICJIA Violence Prevention Special Projects Fund - 318</u>			
<u>Expenditures</u>			
Governor's Neighborhood Recovery Initiative	\$ 568,890	\$ -	\$ -
Grants and Operations	2,655,593	-	-
U.S. Department of Justice Violence Against Women	<u>133,376</u>	<u>-</u>	<u>-</u>
Total Expenditures	<u>\$ 3,357,859</u>	<u>\$ -</u>	<u>\$ -</u>
<u>Total - All Non-appropriated Funds</u>			
Total Expenditures	<u>\$ 3,357,859</u>	<u>\$ -</u>	<u>\$ -</u>
<u>GRAND TOTAL, ALL FUNDS</u>			
Total Expenditures	<u>\$ 81,658,889</u>	<u>\$ 58,467,932</u>	<u>\$ 53,432,792</u>

*Note: Fiscal Year 2011 expenditures and lapsed balances do not reflect interest payments approved for payment by the Authority and submitted to the Comptroller for payment after August.*

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

SCHEDULE OF CHANGES IN STATE PROPERTY  
For the Fiscal Years Ended June 30, 2013 and 2012

June 30, 2013				
Balance July 1, 2012	Additions	Deletions	Transfers	Balance June 30, 2013
\$ 831,602	\$ 8,008	\$ (129,839)	\$ 169,919	\$ 879,690

  

June 30, 2012				
Balance July 1, 2011	Additions	Deletions	Transfers	Balance June 30, 2012
\$ 1,025,165	\$ 16,644	\$ (210,207)	\$ -	\$ 831,602

*Note: Property and Equipment information was obtained from Authority records and has been reconciled to the "Agency Report of State Property" submitted to the State Comptroller.*

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

COMPARATIVE SCHEDULE OF CASH RECEIPTS  
For the Fiscal Years Ended June 30, 2013, 2012, and 2011

	2013	2012	2011
<b><u>General Revenue Fund - 001</u></b>			
Jury Duty	\$ 376	\$ 52	\$ 142
Prior Year Refunds	7,574	20,982	178
Total Fund 001	<u>\$ 7,950</u>	<u>\$ 21,034</u>	<u>\$ 320</u>
<b><u>Motor Vehicle Theft Prevention Trust Fund - 156</u></b>			
Insurers Fees	\$ 6,524,017	\$ 6,604,393	\$ 6,313,100
Prior Year Refunds	834	22,249	
Total Fund 156	<u>\$ 6,524,851</u>	<u>\$ 6,626,642</u>	<u>\$ 6,313,100</u>
<b><u>ICJIA Violence Prevention Fund - 184</u></b>			
Jury Duty	\$ 17	\$ -	\$ -
Refunds	1,037	-	-
Total Fund 184	<u>\$ 1,054</u>	<u>\$ -</u>	<u>\$ -</u>
<b><u>ICJIA Violence Prevention Special Projects Fund - 318</u></b>			
Violence Against Women Act- Arrest Grant	\$ 263,289	\$ -	\$ -
Total Fund 335	<u>\$ 263,289</u>	<u>\$ -</u>	<u>\$ -</u>
<b><u>Criminal Justice Information Projects Fund - 335</u></b>			
Criminal Justice Information Projects	\$ 256,842	\$ 100,697	\$ 9,617
Total Fund 335	<u>\$ 256,842</u>	<u>\$ 100,697</u>	<u>\$ 9,617</u>
<b><u>Criminal Justice Trust Fund - 488</u></b>			
National Criminal History Improvement Program	\$ 160,050	\$ 45,958	\$ 229,785
Victims of Crime Act	16,705,923	17,583,974	14,994,416
Byrne Justice Assistance Grant Program	7,403,292	9,517,027	11,877,102
Violence Against Women Act	5,107,034	5,598,219	7,436,289
ARRA Violence Against Women Act	316,502	1,898,975	-
Sexual Assault Services Program	364,706	257,910	224,421
Residential Substance Abuse	567,003	774,348	599,259
Rural Domestic Violence	-	-	142,651
Sex Offender Reporting and Notification Act Improvement	25,000	-	-
State Justice Statistics Program	82,499	74,433	40,956
Project Safe Neighborhoods	94,976	42,953	-
National Instant Criminal Background Check System Act	725,256	520,393	45,400
National Forensic Science Program	803,099	775,276	843,143
Post Conviction DNA Testing Assistance Program	271,009	303,527	33,450
Congressionally Mandated Awards	-	-	99,548
Community Prosecution (Project Safe Neighborhoods)	-	-	178,350
Anti-Gang Initiative	-	-	33,654
IJIS Summit Exhibitor Fees	11,800	-	-
DHS Subgrants	84,567	43,477	161,134
Prior Year Refunds	12,980	78,203	109,823
Total - Fund 488	<u>\$ 32,735,696</u>	<u>\$ 37,514,673</u>	<u>\$ 37,049,381</u>
<b><u>Juvenile Accountability Incentive Block Grants Fund - 581</u></b>			
Juvenile Accountability Incentive Block Grant	\$ 771,463	\$ 1,293,577	1,660,700
Total 581	<u>\$ 771,463</u>	<u>\$ 1,293,577</u>	<u>\$ 1,660,700</u>
<b>Total All Funds Per Authority Records</b>	<u><u>\$ 40,561,145</u></u>	<u><u>\$ 45,556,623</u></u>	<u><u>\$ 45,033,118</u></u>

Note: The information reflected in this schedule was taken from Authority records and reconciled to the State Comptroller's records.

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

RECONCILIATION SCHEDULE OF CASH RECEIPTS TO DEPOSITS  
REMITTED TO THE STATE COMPTROLLER

For the Fiscal Years Ended June 30, 2013, 2012, and 2011

	<u>2013</u>	<u>2012</u>	<u>2011</u>
<b>Total All Funds Per Authority Records</b>			
General Revenue Fund - 001	\$ 7,950	\$ 21,034	\$ 320
Motor Vehicle Theft Prevention Trust Fund - 156	6,524,851	6,626,642	6,313,100
ICJIA Violence Prevention Fund - 184	1,054	-	-
ICJIA Violence Prevention Special Projects Fund - 318	263,289	-	-
Criminal Justice Information Projects Fund - 335	256,842	100,697	9,617
Criminal Justice Trust Fund - 488	32,735,696	37,514,673	37,049,381
Juvenile Accountability Incentive Block Grants Fund - 581	771,463	1,293,577	1,660,700
	<u>40,561,145</u>	<u>45,556,623</u>	<u>45,033,118</u>
 Add: Deposits in transit, beginning of year			
Criminal Justice Information Projects Fund - 335	38	-	-
 Deduct: deposits in transit, end of year			
General Revenue Fund - 001	(7,574)	-	-
ICJIA Violence Prevention Special Projects Fund - 318	(160,096)	-	-
Criminal Justice Information Projects Fund - 335	(131)	(38)	-
	<u>(167,791)</u>	<u>(38)</u>	<u>-</u>
 <b>Total All Funds Per State Comptroller's Records</b>	 <u>\$ 40,393,382</u>	 <u>\$ 45,556,585</u>	 <u>\$ 45,033,118</u>

**STATE OF ILLINOIS**  
**ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY**  
**ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES**  
**For the Two Years Ended June 30, 2013**

Following are the explanations for significant variations in expenditures (20% and \$15,000) noted between fiscal years ended June 30, 2013 and 2012:

	FISCAL YEAR ENDED JUNE 30		INCREASE (DECREASE)		EXPLANATIONS
	2013	2012	AMOUNT	PERCENTAGE	
<b><u>General Revenue Fund - 001</u></b>					
Grants to Community Based Organizations for Violence Prevention Program	\$ 13,744,276	\$ -	\$ 13,744,276	100.00%	New Appropriation in Fiscal Year 2013
Grants to the Chicago Area Project	\$ 4,166,667	\$ -	\$ 4,166,667	100.00%	New Appropriation in Fiscal Year 2013
Operational Expenses and Awards	\$ 192,311	\$ -	\$ 192,311	100.00%	Assumption of Former IVPA Appropriation
Grants for Adult Redeployment Program	\$ 1,345,819	\$ -	\$ 1,345,819	100.00%	New Appropriation in Fiscal Year 2013
Illinois Violence Prevention Authority Illinois Family Violence Coordinating Council Program	\$ 154,607	\$ -	\$ 154,607	100.00%	Assumption of Former Illinois Violence Prevention Authority (IVPA) Appropriation
Costs Associated with Bullying Prevention	\$ 234,000	\$ -	\$ 234,000	100.00%	Assumption of Former IVPA Appropriation
Grants per Violence Prevention Act of 1995	\$ 1,077,461	\$ -	\$ 1,077,461	100.00%	Assumption of Former IVPA Appropriation
<b><u>Motor Vehicle Theft Prevention Trust Fund - 156</u></b>					
Personal Services	\$ 237,415	\$ 168,971	\$ 68,444	40.51%	Collective bargaining increases wages
Lump Sum and Other Purposes	\$ 220,935	\$ 151,772	\$ 69,163	45.57%	Pension cost increases
<b><u>ICJIA Violence Prevention Fund - 184</u></b>					
Personal Services	\$ 143,008	\$ -	\$ 143,008	100.00%	Assumption of Former IVPA Appropriation
State Contributions to State Employees' Retirement System	\$ 54,360	\$ -	\$ 54,360	100.00%	Assumption of Former IVPA Appropriation
Group Insurance	\$ 60,410	\$ -	\$ 60,410	100.00%	Assumption of Former IVPA Appropriation
Awards and Grants	\$ 225,625	\$ -	\$ 225,625	100.00%	Assumption of Former IVPA Appropriation
<b><u>ICJIA Violence Prevention Special Projects Fund - 318</u></b>					
Governor's Neighborhood Recovery Initiative	\$ 568,890	\$ -	\$ 568,890	100.00%	Assumption of Former IVPA Operations
Grants and Operations	\$ 2,655,593	\$ -	\$ 2,655,593	100.00%	Assumption of Former IVPA Operations
U.S. Department of Justice - Violence Against Women	\$ 133,376	\$ -	\$ 133,376	100.00%	Assumption of Former IVPA Operations
<b><u>Criminal Justice Information Projects Fund - 335</u></b>					
Lump Sum and Other Purposes	\$ 109,321	\$ 168,934	\$ (59,613)	-35.29%	Refunds to Illinois State's Attorney Appellate Prosecutor was processed in Fiscal Year 2012
<b><u>Criminal Justice Trust Fund - 488</u></b>					
Support of Federal Assistance Programs	\$ 3,759,968	\$ 4,730,236	\$ (970,268)	-20.51%	Decrease in Fiscal Year 2013 due to ARRA spending
State Awards and Grants	\$ 3,845,806	\$ 5,045,212	\$ (1,199,406)	-23.77%	Less grants awarded in Fiscal Year 2013
Support of Federal Assistance Programs - ARRA	\$ 1,817,237	\$ 1,261,476	\$ 555,761	44.06%	Increase in ARRA funding and spending
Local Awards and Grants - ARRA	\$ 5,896,181	\$ 7,675,351	\$ (1,779,170)	-23.18%	Decrease in ARRA funding and spending
State Awards and Grants - ARRA	\$ 2,299,177	\$ 3,117,579	\$ (818,402)	-26.25%	Decrease in ARRA funding and spending

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY  
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES  
For the Two Years Ended June 30, 2013

Following are the explanations for significant variations in expenditures (20% and \$15,000) noted between fiscal years ended June 30, 2013 and 2012:

	FISCAL YEAR ENDED JUNE 30		INCREASE (DECREASE)		EXPLANATIONS
	2013	2012	AMOUNT	PERCENTAGE	
<b><u>Death Penalty Abolition Fund - 539</u></b>					
State Awards and Grants	\$ 55,375	\$ -	\$ 55,375	100.00%	New Appropriation in Fiscal Year 2013
<b><u>Juvenile Accountability Incentive Block Grants Fund - 581</u></b>					
Awards and Grants	\$ 3,846,327	\$ 1,771,014	\$ 2,075,313	117.18%	Refunds to U.S. Department of Justice grants

Following are the explanations for significant variations in expenditures (20% and \$15,000) noted between fiscal years ended June 30, 2012 and 2011:

	FISCAL YEAR ENDED JUNE 30		INCREASE (DECREASE)		EXPLANATIONS
	2012	2011	AMOUNT	PERCENTAGE	
<b><u>General Revenue Fund - 001</u></b>					
Personal Services	\$ 1,196,386	\$ -	\$ 1,196,386	100.00%	Fiscal Year 2011 appropriation was lump sum
State Contribution to Social Security	\$ 89,066	\$ -	\$ 89,066	100.00%	Fiscal Year 2011 appropriation was lump sum
Contractual Services	\$ 282,413	\$ -	\$ 282,413	100.00%	Fiscal Year 2011 appropriation was lump sum
Telecommunication	\$ 44,944	\$ -	\$ 44,944	100.00%	Fiscal Year 2011 appropriation was lump sum
Lump Sum and Other Purposes	\$ -	\$ 2,383,155	\$ (2,383,155)	-100.00%	Fiscal Year 2011 appropriation was lump sum
<b><u>Motor Vehicle Theft Prevention Trust Fund - 156</u></b>					
Personal Services	\$ 168,971	\$ 136,614	\$ 32,357	23.68%	Collective bargaining increases wages
Lump Sum and Other Purposes	\$ 151,772	\$ 119,132	\$ 32,640	27.40%	Pension cost increases
<b><u>Criminal Justice Information Projects Fund - 335</u></b>					
Lump Sum and Other Purposes	\$ 168,934	\$ 19,577	\$ 149,357	762.92%	Increase due to additional funding from State of Illinois Department of Human Services for InfoNet system
<b><u>Criminal Justice Trust Fund - 488</u></b>					
Support of Investigation Issues	\$ 144,258	\$ -	\$ 144,258	100.00%	Increase of Federal funding
Support of Federal Assistance Programs	\$ 4,730,236	\$ -	\$ 4,730,236	100.00%	Increase of Federal funding
Local Awards and Grants	\$ 26,517,793	\$ 33,709,460	\$ (7,191,667)	-21.33%	Decrease in Fiscal Year 2012 due to ARRA spending
Lump Sum and Other Purposes (Admin)	\$ -	\$ 5,079,841	\$ (5,079,841)	-100.00%	No appropriation in Fiscal Year 2012
Lump Sum and Other Purposes (In House Grants)	\$ -	\$ 194,426	\$ (194,426)	-100.00%	No appropriation in Fiscal Year 2012
Support of Federal Assistance Programs - ARRA	\$ 1,261,476	\$ -	\$ 1,261,476	100.00%	New Appropriation in Fiscal Year 2012
Local Awards and Grants - ARRA	\$ 7,675,351	\$ -	\$ 7,675,351	100.00%	New Appropriation in Fiscal Year 2012
State Awards and Grants - ARRA	\$ 3,117,579	\$ -	\$ 3,117,579	100.00%	New Appropriation in Fiscal Year 2012
<b><u>Juvenile Accountability Incentive Block Grants Fund - 581</u></b>					
Awards and Grants	\$ 1,771,014	\$ 1,453,143	\$ 317,871	21.87%	More grants in this program for Fiscal Year 2012

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS  
For the Two Years Ended June 30, 2013

Following are the explanations for significant variations in cash receipts (20% and \$15,000) noted between fiscal years ended June 30, 2013 and 2012:

	FISCAL YEAR ENDED JUNE 30		INCREASE (DECREASE)		EXPLANATIONS
	2013	2012	AMOUNT	PERCENTAGE	
<b><u>Motor Vehicle Theft Prevention Trust Fund - 156</u></b>					
Prior Year Refunds	\$ 834	\$ 22,249	\$ (21,416)	-96.25%	Less overpayments by insurance companies
<b><u>ICJIA Violence Prevention Special Projects Fund - 318</u></b>					
Violence Against Women Act- Arrest Grant	\$ 263,289	\$ -	\$ 263,289	100.00%	New Federal funding
<b><u>Criminal Justice Information Projects Fund - 335</u></b>					
Criminal Justice Information Projects	\$ 256,872	\$ 100,697	\$ 156,175	155.09%	Additional funding sources from Illinois State's Attorney Appellate Prosecutor
<b><u>Criminal Justice Trust Fund - 488</u></b>					
National Criminal History Improvement Program	\$ 160,050	\$ 45,958	\$ 114,092	248.26%	Additional Federal Funding
Byrne Justice Assistance Grant Program	\$ 7,403,292	\$ 9,517,027	\$ (2,113,735)	-22.21%	Reduction of Federal Funding
ARRA Violence Against Women Act	\$ 316,502	\$ 1,898,975	\$ (1,582,473)	-83.33%	Reduction of Federal Funding
Sexual Assault Services Program	\$ 364,706	\$ 257,910	\$ 106,796	41.41%	Additional Federal Funding
Residential Substance Abuse	\$ 567,003	\$ 774,348	\$ (207,346)	-26.78%	Reduction of Federal Funding
Sex Offender Reporting and Notification Act Improvement	\$ 25,000	\$ -	\$ 25,000	100.00%	New Federal grant
Project Safe Neighborhoods	\$ 94,976	\$ 42,953	\$ 52,023	121.12%	Additional Federal Funding
National Instant Criminal Background Check System Act	\$ 725,256	\$ 520,393	\$ 204,863	39.37%	Additional Federal Funding
DHS Subgrants	\$ 84,567	\$ 43,477	\$ 41,090	94.51%	Additional pass-through of Federal funds
Prior Year Refunds	\$ 12,980	\$ 78,203	\$ (65,223)	-83.40%	Fiscal Year 2013 refunds end date was August
<b><u>Juvenile Accountability Incentive Block Grants Fund - 581</u></b>					
Juvenile Accountability Incentive Block Grant	\$ 771,463	\$ 1,293,577	\$ (522,114)	-40.36%	Less Federal funding due to transfer to DHS of grants

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS  
For the Two Years Ended June 30, 2013

Following are the explanations for significant variations in cash receipts (20% and \$15,000) noted between fiscal years ended June 30, 2012 and 2011:

CASH RECEIPTS	FISCAL YEAR ENDED JUNE 30		INCREASE (DECREASE)		EXPLANATIONS
	2012	2011	AMOUNT	PERCENTAGE	
<b><u>General Revenue Fund - 001</u></b>					
Prior Year Refunds	\$ 20,982	\$ 178	\$ 20,804	11,687.79%	Refund of real estate tax and payroll in Fiscal Year 2012
<b><u>Motor Vehicle Theft Prevention Trust Fund - 156</u></b>					
Prior Year Refunds	\$ 22,249	\$ -	\$ 22,249	100.00%	Refunds to insurance companies for overpayments
<b><u>Criminal Justice Information Projects Fund - 335</u></b>					
Criminal Justice Information Projects	\$ 100,697	\$ 9,617	\$ 91,080	947.07%	Additional funding sources from State of Illinois Department of Human Services
<b><u>Criminal Justice Trust Fund - 488</u></b>					
National Criminal History Improvement Program	\$ 45,958	\$ 229,785	\$ (183,827)	-80.00%	Reduction of Federal Funding
Violence Against Women Act	\$ 5,598,219	\$ 7,436,289	\$ (1,838,070)	-24.72%	Reduction of Federal Funding
ARRA Violence Against Women Act	\$ 1,898,975	\$ -	\$ 1,898,975	100.00%	New Federal grant -ARRA
Residential Substance Abuse	\$ 774,348	\$ 599,259	\$ 175,089	29.22%	Additional Federal Funding
Rural Domestic Violence	\$ -	\$ 142,651	\$ (142,651)	-100.00%	No Federal grant in Fiscal Year 2012
State Justice Statistics Program	\$ 74,433	\$ 40,956	\$ 33,477	100.00%	Additional Federal Funding
Project Safe Neighborhoods	\$ 42,953	\$ -	\$ 42,953	100.00%	New Federal grant
National Instant Criminal Background Check System Act	\$ 520,393	\$ 45,400	\$ 474,993	1,046.24%	Additional Federal Funding
Post Conviction DNA Testing Assistance Program	\$ 303,527	\$ 33,450	\$ 270,077	807.41%	Additional Federal Funding
Congressionally Mandated Awards	\$ -	\$ 99,548	\$ (99,548)	-100.00%	No Federal grant in Fiscal Year 2012
Community Prosecution (Project Safe Neighborhoods)	\$ -	\$ 178,350	\$ (178,350)	-100.00%	No Federal grant in Fiscal Year 2012
Anti-Gang Initiative	\$ -	\$ 33,654	\$ (33,654)	-100.00%	No Federal grant in Fiscal Year 2012
DHS Subgrants	\$ 43,477	\$ 161,134	\$ (117,657)	-73.02%	Less pass-through of Federal funds
Prior Year Refunds	\$ 78,203	\$ 109,823	\$ (31,620)	-28.79%	Fiscal Year 2012 refunds end date was December
<b><u>Juvenile Accountability Incentive Block Grants Fund - 581</u></b>					
Juvenile Accountability Incentive Block Grant	\$ 1,293,577	\$ 1,660,700	\$ (367,123)	-22.11%	Reduction of Federal Funding

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING  
For the Two Years Ended June 30, 2013

Our review of lapse period expenditures for fiscal year ended June 30, 2013 disclosed appropriation line items with significant (greater than 20%) lapse period expenditures, as scheduled below:

	Fiscal Year Ended June 30, 2013			EXPLANATIONS
	TOTAL EXPENDITURES	LAPSE PERIOD EXPENDITURES	PERCENTAGE	
<b><u>General Revenue Fund - 001</u></b>				
Contractual Services	\$ 328,689	\$ 96,389	29.33%	CMS Late Invoicing for Rent, Telecom, SSRF
Grants to Community Based Organizations for Violence Prevention Program	\$ 13,744,276	\$ 4,534,579	32.99%	Payment Schedule on former IVPA grants
Illinois Violence Prevention Authority				
Contractual Services	\$ 5,768	\$ 2,350	40.74%	CMS Late Invoicing for Rent, Telecom, SSRF
Illinois Family Violence Coordinating Council Program	\$ 154,607	\$ 124,551	80.56%	Payment Schedule on former IVPA grants
Costs Associated with Bullying Prevention	\$ 234,000	\$ 234,000	100.00%	Payment Schedule on former IVPA grants
Grants per Violence Prevention Act of 1995	\$ 1,077,461	\$ 847,199	78.63%	Payment Schedule on former IVPA grants
<b><u>ICJIA Violence Prevention Fund - 184</u></b>				
Contractual Services	\$ 2,667	\$ 2,216	83.08%	CMS Late Invoicing for Rent, Telecom, SSRF
Travel	\$ 830	\$ 189	22.73%	Timing of travel for training sessions
Telecommunication	\$ 5,532	\$ 3,980	71.95%	CMS Late Invoicing for Rent, Telecom, SSRF
<b><u>ICJIA Violence Prevention Special Projects Fund - 318</u></b>				
Grants and Operations- Special Projects	\$ 2,655,593	\$ 624,677	23.52%	Payment Schedule on former IVPA grants
<b><u>Juvenile Accountability Incentive Block Grants Fund - 581</u></b>				
Awards and Grants	\$ 3,846,327	\$ 1,179,431	30.66%	Refunds to U.S. Department of Justice grants

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING  
For the Two Years Ended June 30, 2013

Our review of lapse period expenditures for fiscal year ended June 30, 2012 disclosed appropriation line items with significant (greater than 20%) lapse period expenditures, as scheduled below:

	Fiscal Year Ended June 30, 2012			EXPLANATIONS
	TOTAL EXPENDITURES	LAPSE PERIOD EXPENDITURES	PERCENTAGE	
<b><u>General Revenue Fund - 001</u></b>				
Contractual Services	\$282,413	\$57,392	20.32%	CMS Late Invoicing for Rent, Telecom, SSRF
<b><u>Criminal Justice Information Projects Fund - 335</u></b>				
Lump Sum and Other purposes	\$168,934	\$85,264	50.47%	Payments for payroll and Contractual services

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY  
COMPLIANCE EXAMINATION

AGENCY FUNCTIONS AND PLANNING PROGRAM (NOT EXAMINED)  
For the Two Years Ended June 30, 2013

**Authority Functions**

The Illinois Criminal Justice Information Authority was created in 1983 as a State agency dedicated to improving the administration of criminal justice. The Authority brings together key leaders from the justice system and the public to identify critical issues facing the criminal justice system in Illinois, and to propose and evaluate policies, programs, and legislation that address those issues. The agency also works to ensure the criminal justice system in Illinois is efficient and effective.

The Authority has several statutorily mandated duties including the following:

- To coordinate the use of information within Illinois' criminal justice system;
- To promulgate effective criminal justice information policy;
- To improve criminal justice agency procedures and practices with respect to information and to improve new information technologies;
- To stimulate research and develop new methods and uses of criminal justice data for the improvement of the criminal justice system and reduction of crime;
- To protect the integrity of criminal history record information, while safeguarding the privacy rights of Illinois citizens; and
- To provide staff support for the Illinois Motor Vehicle Theft Prevention Council.

The Authority's specific powers and duties are detailed in the Illinois Criminal Justice Information Act (20 ILCS 3930). In addition to State guidelines, the Authority operates under the rules and guidelines of the Federal Crime Control Act of 1973, as amended.

The statutory responsibilities of the Authority fit into four areas: grants administration; research and analysis; policy and planning; and information systems and technology.

**Grants Administration**

- Implements and funds victim assistance and law enforcement programs under the Edward Byrne Memorial Justice Assistance Grant program, Juvenile Accountability Block Grant program, Victims of Crime Act, Violence Against Women Act, and other grant programs as they become available;
- Monitors program activity and provides technical assistance to grantees;
- Provides staff support to the Illinois Motor Vehicle Theft Prevention Council, an 11-member board working to curb motor vehicle theft; and
- Coordinates the Juvenile Crime Enforcement Coalition, charged with evaluating statewide juvenile crime prevention needs and overseeing juvenile crime prevention planning initiatives.

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY  
COMPLIANCE EXAMINATION

AGENCY FUNCTIONS AND PLANNING PROGRAM (NOT EXAMINED) (Continued)  
For the Two Years Ended June 30, 2013

Research and Analysis

- Publishes research studies on a variety of crime trends and criminal justice issues;
- Acts as a clearinghouse for information and research on crime and the criminal justice system;
- Audits the state central repositories of criminal history record information for data accuracy and completeness; and
- Develops and tests statistical methodologies and provides statistical advice and interpretation to support criminal justice decision-making.

Policy and Planning

- Develops and implements comprehensive strategies for drug and violent crime law enforcement, crime control and prevention, and assistance to crime victims using federal funds awarded to Illinois;
- Advises the governor and the General Assembly on criminal justice policies and legislation;
- Coordinates policymaking groups to learn about ongoing concerns of criminal justice officials; and
- Develops and evaluates state and local programs for improving law enforcement and the administration of criminal justice.

Information Systems and Technology

- Designs, develops, and supports systems which enhance the quality of victim service data;
- Serves as the sole administrative appeal body for determining citizen challenges to the accuracy of their criminal history records;
- Monitors the operation of existing criminal justice information systems to protect the constitutional rights and privacy of citizens; and
- Supports the development of an integrated criminal justice information network in Illinois.

The Authority Board consists of the following 25 members:

- The Illinois Attorney General, or his or her designee,
- The Director of Corrections,
- The Director of State Police,
- The Director of Public Health
- The Director of Children and Family Services

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY  
COMPLIANCE EXAMINATION

AGENCY FUNCTIONS AND PLANNING PROGRAM (NOT EXAMINED) (Continued)  
For the Two Years Ended June 30, 2013

- The Sheriff of Cook County,
- The State's Attorney of Cook County,
- The Clerk of the Circuit Court of Cook County
- The President of the Cook County Board of Commissioner
- The Superintendent of the Chicago Police Department
- The Director of the Office of the State's Attorneys Appellate Prosecutor,
- The Executive Director of the Illinois Law Enforcement Training Standards Board,
- The State Appellate Defender,
- A Cook County Public Defender,
- The following additional members, each of whom shall be appointed by the Governor:
  - A circuit court clerk,
  - A sheriff, and
  - A Public defender from a county other than Cook County, and
  - A State's Attorney of a county other than Cook,
  - A chief of police, and
  - Six members of the general public.

The Authority is chaired by Mr. Peter M. Ellis.

**Authority Planning Program**

The Authority's planning process identifies problems/needs consistent with its statutory mandates. Strategy objectives and program proposals are developed to address the identified needs. The Authority has developed long-range goals and short-term objectives that are updated annually as part of its planning and budget development process.

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY  
COMPLIANCE EXAMINATION

AVERAGE NUMBER OF EMPLOYEES (NOT EXAMINED)  
For the Two Years Ended June 30, 2013

Authority members are supported by a professional staff trained in information technology, data analysis and criminal justice information administration. Staff functions include: developing and operating automated information systems, analyzing criminal justice policies and crime trends, provided training and technical assistance to other criminal justice professionals and administering Federal and State grants.

The following table prepared from the Authority records, presents the average number of persons (rounded to the nearest whole number) employed by the Authority for the fiscal years ended June 30, 2011, 2012, and 2013.

<u>FUNCTION JOB CLASSIFICATIONS</u>	<u>2013</u>	<u>2012</u>	<u>2011</u>
Fund 001 – General Revenue Fund	16	16	18
Fund 156 – Motor Vehicle Theft Prevention Trust Fund	4	4	4
Fund 184 – ICJIA Violence Prevention Fund	7	0	0
Fund 318 – ICJIA Violence Prevention Special Projects Fund	10	0	0
Fund 488 – Criminal Justice Trust Fund	40	41	40
Fund 581 – Juvenile Accountability Incentive Block Fund	1	1	1
Total Employees	<u>78</u>	<u>62</u>	<u>63</u>

In addition, the Authority had the following contractual employees.

<u>FUNCTION JOB CLASSIFICATIONS</u>	<u>2013</u>	<u>2012</u>	<u>2011</u>
Fund 001 – General Revenue Fund	0	1	1
Fund 318 – ICJIA Violence Prevention Special Projects Fund	1	0	0
Fund 488 – Criminal Justice Trust Fund	4	4	8
Total Contractual Employees	<u>5</u>	<u>5</u>	<u>9</u>
Total Employed	<u>83</u>	<u>67</u>	<u>72</u>

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY  
COMPLIANCE EXAMINATION

PUBLIC SAFETY SHARED SERVICES CENTER (NOT EXAMINED)  
For the Two Years Ended June 30, 2013

To implement the Executive Order 2006-6, the Department of Corrections Public Safety Shared Services Center (PSSSC) was established to consolidate certain common administrative functions, such as human resources, personnel, payroll, timekeeping, procurement, and financial processes currently being performed by individual agencies. The stated purpose for this consolidation was to improve the ability of all State agencies to share management knowledge and capitalize on synergies and economies of scale. Certain timekeeping and payroll functions were transferred from the Illinois Criminal Justice Information Authority (Authority) to the Department of Corrections pursuant to a July 2007 Interagency Agreement. While a more substantial sharing of administrative services was originally planned, during the examination period, the PSSSC provided timekeeping and payroll services to the Authority. Under the shared services approach, timekeeping and payroll information was initially compiled and verified by the Authority before being submitted to the PSSSC for processing into systems maintained by the Department of Central Management Services.

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY  
COMPLIANCE EXAMINATION

SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED)  
For the Two Years Ended June 30, 2013

**INTRODUCTION**

Created in 1983, the Illinois Criminal Justice Information Authority (Authority) is a State agency dedicated to improving the administration of criminal justice. The Authority works to identify critical issues facing the criminal justice system in Illinois, and to propose and evaluate policies, programs, and legislation that address those issues. The Authority also works to ensure the criminal justice system is as efficient and effective as possible. The Authority accomplishes its goals through effort in four major areas: 1) administration of grants for crime control, crime prevention, and victim assistance; 2) information systems, technology and support; 3) research, planning and coordination; and, 4) violence prevention.

<b><u>Program:</u></b>	<b><u>Expenditures (thousands)</u></b>		
	<b><u>2013</u></b>	<b><u>2012</u></b>	<b><u>2011</u></b>
Crime Control, Crime Prevention, and Victim Assistance	\$54,738.8	\$56,342.7	\$51,091.8
Information Systems, Technology and Support	735.1	783.2	817.3
Research, Planning and Coordination	1,189.1	1,342.0	1,523.7
Violence Prevention	24,995.9	0	0
Authority Totals	\$81,658.9	\$58,467.9	\$53,432.8

**CRIME CONTROL, CRIME PREVENTION, AND VICTIM ASSISTANCE**

**Mission Statement:**

To help improve the administration of justice in this State, the Authority develops, coordinates, administers, implements, and evaluates programs, designed to enhance and improve Illinois' criminal justice, juvenile justice, and victim service systems with several major federal assistance programs and state grants.

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY  
COMPLIANCE EXAMINATION

SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED) (Continued)  
For the Two Years Ended June 30, 2013

Project goals and objectives:

1. Utilize federal grant funds to promote the improvement of Illinois' criminal and juvenile justice and victim service systems.
2. Ensure compliance with Federal and State requirements.
3. Ensure efficient and effective administration of Federal and State grant funds.
4. Reduce motor vehicle theft through the use of Illinois Motor Vehicle Theft Prevention Act funds.

Operating Statistics:	2013	2012	2011
Grants opened	327	319	221
Grants monitored	609	649	558
Program/fiscal reports processed	3,586	4,667	3,032

**INFORMATION SYSTEMS, TECHNOLOGY AND SUPPORT**

Mission Statement:

To improve the protection of citizens' lives and property in Illinois by designing, developing, and operating advanced technology that can be used to help Illinois law enforcement, victim services, and other criminal justice agencies collect and share data.

Project goals and objectives:

1. Provide information technology to law enforcement agencies that enable them to enhance public safety.
2. Maintain systems that enhance the quality of victim services data
3. Promote the accuracy of criminal history and other justice-related records.
4. Improve operational efficiencies and data quality by supporting the development of a criminal justice information network in Illinois.

STATE OF ILLINOIS  
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY  
COMPLIANCE EXAMINATION

SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED) (Continued)  
For the Two Years Ended June 30, 2013

**RESEARCH, PLANNING AND COORDINATION**

Mission Statement:

To help improvement the administration of justice in this State, the Authority: 1) identifies and analyzes critical issues facing the justice system; 2) proposes and evaluates policies, programs and legislation that address those issues; 3) advised the Governor, Illinois General Assembly, State and local officials, and member of the general public on the implication such research, evaluation and analysis; 4) functions as a repository of research data and other information; and, 5) disseminates information, develops tools, and provides technical assistance which supports State and local crime problem solving.

Project goals and objectives:

1. Identify, research and address issues, affecting the justice system in Illinois.
2. Promote the effectiveness of the justice system through research, planning and coordination.
3. Coordinate and implement multi-year comprehensive statewide criminal justice plan.

<b>Operating Statistics:</b>	<b><u>2013</u></b>	<b><u>2012</u></b>	<b><u>2011</u></b>
Reports published	28	12	35
Information requests handled	132	135	191
Publications mailed/downloaded*	2,267,108	2,239,288	620,161
CJ Dispatch (Email) Subscribers	3,420	3,122	3,281
Website "hits"***	8,267,314	8,445,924	4,168,414
Website visitors**	261,275	260,841	135,511

\*-significant increases due to shift in the use of website for reporting purposes

\*\*-significant increases due to improved usage tracking

**VIOLENCE PREVENTION**

Mission Statement:

To reduce the crime rate through violence prevention programs by planning, funding, coordination, assisting, and evaluating violence prevention efforts in Illinois. This program addresses all forms of interpersonal violence, family violence, youth violence and community safety applying evidence based practices.