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**STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
COMPLIANCE EXAMINATION**

For the Two Years Ended June 30, 2015

**Performed as Special Assistant Auditors
For the Auditor General, State of Illinois**

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2015

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AGENCY OFFICIALS

Executive Director (01/20/15 to current) (Up to 01/19/15)	Mr. John Maki, Esq. Mr. Jack Cutrone
Deputy Director (new position effective 02/14/15) (02/14/15 to current)	Mr. Randolph Kurtz
Chief Financial Officer (new position effective 04/16/14) (02/14/15 to current) (04/16/14 to 02/13/15)	Mr. Randolph Kurtz Mr. Dorence Lorenzo Padron
Associate Director, Fiscal Management Unit (position eliminated after 04/15/14) (Up to 04/15/14)	Mr. Ronald Litwin (Acting)
Associate Director, Human Resources (12/16/13 to current) (07/01/13 to 12/15/13)	Ms. Luz Agosto Vacant
Associate Director, Administrative Services (position eliminated after 06/30/15) (07/16/14 to 06/30/15) (01/16/14 to 07/15/14) (Up to 01/15/14)	Mr. Alvin Washington Vacant Mr. Henry Anthony
Associate Director, Research & Analysis (05/08/15 to current) (03/01/15 to 05/07/15) (Up to 02/28/15)	Ms. Megan Alderden, Ph.D. Ms. Christine Devitt (Acting) Mr. Mark Myrent
Associate Director, Federal & State Grants Unit (09/01/15 to current) (06/19/15 to 08/31/15) (07/01/13 to 06/18/15)	Kevin Givens Vacant Ms. Wendy McCambridge
Associate Director, Information Systems Unit	Mr. Anthony Jenkins (Acting)
General Counsel (04/11/16 to current) (01/09/16 to 04/10/16) (Up to 01/08/16)	Ms. Angie Weis Mr. Junaid Afeef (Acting) Ms. Lisa Stephens, Esq.

Authority offices are located at:
300 West Adams Street, Suite 200
Chicago, Illinois 60606



**ILLINOIS
CRIMINAL JUSTICE
INFORMATION AUTHORITY**

300 W. Adams Street • Suite 200 • Chicago, Illinois 60606 • (312) 793-8550

STATE COMPLIANCE EXAMINATION

MANAGEMENT ASSERTION LETTER

April 6, 2016

Adelfia LLC
400 East Randolph Street
Suite 705
Chicago, Illinois 60601

Ladies and Gentlemen:

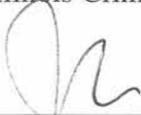
We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the Illinois Criminal Justice Information Authority (Authority). We are responsible for and we have established and maintained an effective system of internal controls over compliance requirements. We have performed an evaluation of the Authority's compliance with the following assertions during the two-year period ended June 30, 2015. Based on this evaluation, we assert that during the years ended June 30, 2015 and June 30, 2014, the Authority has materially complied with the assertions below.

- A. The Authority has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The Authority has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The Authority has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the Authority are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.

E. Money or negotiable securities or similar assets handled by the Authority on behalf of the State or held in trust by the Authority have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate and in accordance with law.

Yours truly,

Illinois Criminal Justice Information Authority



John Maki, Executive Director



Randy Kurtz, Deputy Director/Chief Fiscal Officer



Junaid Afeef, Acting General Counsel

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
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COMPLIANCE REPORT

SUMMARY

The compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and in accordance with the Illinois State Auditing Act.

ACCOUNTANT'S REPORT

The Independent Accountant's Report on State Compliance, on Internal Control Over Compliance and on Supplementary Information for State Compliance Purposes does not contain scope limitations, disclaimers, or other significant non-standard language.

SUMMARY OF FINDINGS

<u>Number of</u>	<u>Current</u>	<u>Prior</u>
Findings	Report	Report
	4	4
Repeated finding	3	1
Prior recommendations implemented or not repeated	1	0

SCHEDULE OF FINDINGS

FINDINGS (STATE COMPLIANCE)

<u>Item No.</u>	<u>Page(s)</u>	<u>Description</u>	<u>Finding Type</u>
2015-001	9	Performance Evaluations not Completed Timely	Noncompliance and Significant Deficiency
2015-002	10-11	Inadequate Maintenance of Personnel and Payroll Files	Noncompliance and Significant Deficiency
2015-003	12-13	Inadequate Documentation of Disaster Recovery Plan	Noncompliance and Significant Deficiency

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
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COMPLIANCE REPORT

SCHEDULE OF FINDINGS (Continued)

FINDINGS (STATE COMPLIANCE) (CONTINUED)

<u>Item No.</u>	<u>Pages</u>	<u>Description</u>	<u>Finding Type</u>
2015-004	14	Noncompliance with Gang Crime Witness Protection Act of 2013	Noncompliance and Significant Deficiency

PRIOR FINDINGS NOT REPEATED

A	15	Computer Security Weakness
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EXIT CONFERENCE

The Authority waived holding an exit conference in correspondence from Randy Kurtz, Deputy Director/Chief Financial Officer, dated April 1, 2016.

The responses to the recommendations were provided by Randy Kurtz, Deputy Director/Chief Financial Officer, in a letter dated April 6, 2016.



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INDEPENDENT ACCOUNTANT’S REPORT ON STATE COMPLIANCE,
ON INTERNAL CONTROL OVER COMPLIANCE, AND ON
SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

Honorable Frank J. Mautino
Auditor General
State of Illinois

Compliance

As Special Assistant Auditors for the Auditor General, we have examined the State of Illinois Criminal Justice Information Authority’s (Authority) compliance with the requirements listed below, as more fully described in the Audit Guide for Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (Audit Guide) as adopted by the Auditor General, during the two years ended June 30, 2015. The management of the Authority is responsible for compliance with these requirements. Our responsibility is to express an opinion on the Authority’s compliance based on our examination.

- A. The Authority has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The Authority has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The Authority has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the Authority are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.

- E. Money or negotiable securities or similar assets handled by the Authority on behalf of the State or held in trust by the Authority have been properly and legally administered and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.

Our examination was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in Government Auditing Standards issued by the Comptroller General of the United States; the Illinois State Auditing Act (Act); and the Audit Guide as adopted by the Auditor General pursuant to the Act; and, accordingly, included examining, on a test basis, evidence about the Authority's compliance with those requirements listed in the first paragraph of this report and performing such other procedures as we considered necessary in the circumstances. We believe that our examination provides a reasonable basis for our opinion. Our examination does not provide a legal determination on the Authority's compliance with specified requirements.

In our opinion, the Authority complied, in all material respects, with the compliance requirements listed in the first paragraph of this report during the two years ended June 30, 2015. However, the results of our procedures disclosed instances of noncompliance with the requirements, which are required to be reported in accordance with criteria established by the Audit Guide, issued by the Illinois Office of the Auditor General and which are described in the accompanying schedule of findings as items 2015-001, 2015-002, 2015-003, and 2015-004.

Internal Control

Management of the Authority is responsible for establishing and maintaining effective internal control over compliance with the requirements listed in the first paragraph of this report. In planning and performing our examination, we considered the Authority's internal control over compliance with the requirements listed in the first paragraph of this report to determine the examination procedures that are appropriate in the circumstances for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with the Audit Guide, issued by the Illinois Office of the Auditor General, but not for the purpose of expressing an opinion on the effectiveness of the Authority's internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the Authority's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with the requirements listed in the first paragraph of this report on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a requirement listed in the first paragraph of this report will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control

over compliance that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies and therefore, material weaknesses or significant deficiencies may exist that were not identified. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, we identified certain deficiencies in internal control over compliance, as described in the accompanying schedule of findings as items 2015-001, 2015-002, 2015-003, and 2015-004, that we consider to be significant deficiencies.

As required by the Audit Guide, immaterial findings excluded from this report have been reported in a separate letter to your office.

The Authority's responses to the findings identified in our examination are described in the accompanying schedule of findings. We did not examine the Authority's responses and, accordingly, we express no opinion on the responses.

Supplementary Information for State Compliance Purposes

Our examination was conducted for the purpose of forming an opinion on compliance with the requirements listed in the first paragraph of this report. The accompanying supplementary information for the years ended June 30, 2015 and June 30, 2014 in Schedules 1 through 9 and the Analysis of Operations Section is presented for purposes of additional analysis. We have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General to the June 30, 2015 and June 30, 2014 accompanying supplementary information in Schedules 1 through 9. However, we do not express an opinion on the accompanying supplementary information.

We have not applied procedures to the June 30, 2013 accompanying supplementary information in Schedules 3, 4, 5, 6, 7, and 8 and in the Analysis of Operations Section, and accordingly, we do not express an opinion or provide any assurance on it.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, the Authority management, and the Authority Board and is not intended to be and should not be used by anyone other than these specified parties.

Adelfia LLC

Adelfia LLC
Chicago, Illinois
April 6, 2016

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY

COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2015

CURRENT FINDINGS- STATE COMPLIANCE

2015-001. **FINDING** (Performance Evaluations not Completed Timely)

The Illinois Criminal Justice Information Authority (Authority) did not complete performance evaluations for its employees timely.

During our sample testing of 25 employees, we noted the following:

- Annual performance evaluations for seven (28%) employees tested were completed 168 to 518 days late for fiscal year 2014.
- Annual performance evaluations for four (16%) employees tested were completed 107 to 168 days late for fiscal year 2015.

The Illinois Administrative Code (80 Ill. Adm. Code 302.270) requires performance records to include an evaluation of employee performance prepared by each agency with such evaluation performed not less often than annually. In addition, prudent business practices require employee performance evaluations to be performed to communicate to employees the strengths and deficiencies in meeting their job responsibilities.

Authority management stated that annual performance evaluations were not completed or not completed timely due to a vacant position in the human resources/payroll department.

Employee performance evaluations are a systematic and uniform approach used for the development of employees and communication of performance expectations to employees and serve as a foundation for salary adjustments, promotion, demotion, discharge, layoff, and recall and reinstatement decisions. Without timely completion of an employee performance evaluation, the employee would not be provided with feedback or assessment of his or her performance. Areas for improvements and current year's performance goals and objectives may not be identified and communicated in a timely manner. (Finding Code No. 2015-001, 2013-001)

RECOMMENDATION

We recommend the Authority evaluate its procedures for monitoring performance evaluations to ensure performance appraisals are completed timely.

AUTHORITY RESPONSE

The Authority agrees with this finding and has implemented the necessary procedures to ensure the timely completion of annual performance evaluations for its employees.

STATE OF ILLINOIS
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CURRENT FINDINGS- STATE COMPLIANCE

2015-002. **FINDING** (Inadequate Maintenance of Personnel and Payroll Files)

The Illinois Criminal Justice Information Authority (Authority) did not adequately maintain personnel and payroll files.

During our sample testing of 25 employees, we noted the following:

- Four (16%) employees did not have acknowledgement of receipt of the employee handbook on file.
- Employee deductions were different from the authorizations on file:
 - Four (16%) employees had deductions for union dues different from the authorizations on file.
 - One (4%) employee had retirement deferrals different from the authorization on file by \$2,376 during the examination period.
 - Two (8%) employees had health insurance deductions different from the authorizations on file.

In all instances, the Authority stated the signed forms were not updated but the correct amounts were deducted from the employee.

We also noted the following inaccuracies in the payroll process:

- Three of 10 (30%) separated employees' final gross salaries were computed incorrectly resulting in total underpayment of \$406 and total overpayment of \$492. The Authority has not paid out the underpayment and has not received reimbursement for the overpayment.
- One of 10 (10%) separated employees was overpaid by \$2,025.

The State Records Act (5 ILCS 160/8) requires the head of each agency ensure records are prepared and maintained which contain documentation of the agency's essential transactions.

The Fiscal Control and Internal Auditing Act (30 ILCS 10/1002) states that each chief executive officer of every State agency is responsible for effectively and efficiently managing the agency and establishing and maintaining an effective system of internal control. Good business practice dictates adequate maintenance of personnel documents to support payroll transactions.

The Comptroller's Statewide Accounting Management System (SAMS) (Procedure 23.10.30) indicates that the agencies are responsible for attesting to the employee's rate of pay, gross earnings, deductions, net pay, and other required information on the voucher and tape.

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CURRENT FINDINGS- STATE COMPLIANCE

Authority management stated that the exceptions noted were due to a vacant position in the human resources/payroll department. Computational inaccuracies in the payroll process noted are the responsibilities of Shared Services.

Inadequate maintenance of personnel and payroll files could result in inaccuracies not being detected timely. (Finding Code No. Finding Code 2015-002, 2013-002)

RECOMMENDATION

We recommend the Authority adequately maintain accurate personnel and payroll files, calculate payroll correctly, and recoup any overpayments.

AUTHORITY RESPONSE

The Authority agrees with this finding and has addressed the recommendations by converting its paper-generated Payroll/timekeeping process to eTime, an electronic timekeeping system administered by CMS. The system allows the Authority to be fully compliant concerning personnel documents that support payroll transactions. This information is electronically completed and submitted to Shared Services Payroll to validate payroll transactions. Shared Services is responsible for recouping any overpayments.

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CURRENT FINDINGS- STATE COMPLIANCE

2015-003. **FINDING** (Inadequate Documentation of Disaster Recovery Plan)

The Illinois Criminal Justice Information Authority (Authority) enhanced its disaster recovery capabilities; however, several weaknesses continued to exist.

The primary mission of the Authority is to improve the administration of justice by enhancing the information tools available to State and local justice agencies and administrators. To accomplish this, the Authority maintains several essential systems. One of the systems gathers and reports information regarding domestic violence, while another system assists law enforcement in its efforts to eradicate the production and use of methamphetamines in Illinois.

The disaster recovery plan was updated in October 2014. We reviewed the Authority's disaster recovery Plan and noted the following weaknesses:

- The Plan is not consistent in accordance with its table of contents and attachments.
- The Plan refers to procedures which are located on a file server and would not be accessible in the event of a disaster.
- The names of the disaster recovery team members are not current.
- Although the Authority performed simulated walk-throughs to recover some backup data and data entry, there was a lack of proper documentation of the walkthrough exercises.
- A comprehensive recovery test was not performed during the examination period.

Information technology guidance (including the National Institute of Standards and Technology and Government Accountability Office) endorses the formal development and testing of disaster recovery plans. Tests of disaster recovery plans (and the associated documentation of the test results) verify that the plan, procedures, and resources provide the capability to recover critical systems within the required timeframe.

Authority management stated that while the walk-throughs were adequate, the documentation of the walkthroughs could be more complete.

Failure to adequately update and test the disaster recovery plans leaves the Authority exposed to the possibility of major disruptions of services. A comprehensive test of the plan across all platforms utilized that addresses all scenarios listed will assist management in identifying weaknesses to ensure recovery procedures are adequate

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CURRENT FINDINGS- STATE COMPLIANCE

in the event of a disaster (Finding Code No. 2015-003, 2013-003, 11-1, 09-1, 07-1, 05-1, 03-1, 01-1, 99-2, 97-1, 95-1, 93-1, 91-1, 89-1, 87-6)

RECOMMENDATION

We recommend the Authority continue to update its disaster recovery plan to ensure recovery capabilities meet its needs. In addition, a comprehensive test of the plan should be performed and adequately documented at least annually. The plan should be continuously updated to reflect environmental and personnel changes, and improvements identified from testing.

AUTHORITY RESPONSE

The Authority agrees with this finding and will update and simplify its Disaster Recovery Plan and include in it diagrams of system recovery. We will reorganize disaster recovery testing by groups of either servers or applications, and ensure that each group is tested annually and we maintain documentation thereof.

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CURRENT FINDINGS- STATE COMPLIANCE

2015-004. **FINDING** (Noncompliance with Gang Crime Witness Protection Act of 2013)

The Illinois Criminal Justice Information Authority (Authority) did not carry out the function or purpose of the Gang Crime Witness Protection Act of 2013.

During our testing, we noted the following:

- The Authority did not establish or administer a program to assist victims and witnesses who are actively aiding in the prosecution of perpetrators of gang crime, and appropriate related persons in regards to the Gang Crime Witness Protection Act of 2013.
- The Authority did not adopt rules for the implementation of the Gang Crime Witness Protection Program.
- The Authority did not assist State's Attorneys and the Attorney General in protecting victims and witnesses who are aiding in the prosecution of perpetrators of gang crime, and appropriate related persons.

The Gang Crime Witness Protection Act of 2013 (Act) (725 ILCS 173/10) requires the Authority to establish and administer a program to assist victims and witnesses who are actively aiding in the prosecution of perpetrators of gang crime, and appropriate related persons. The Act (725 ILCS 173/15) requires the Authority to adopt rules for the implementation of the Gang Crime Witness Protection Program. The Act (725 ILCS 173/20) also requires the Authority to assist the State's Attorneys and the Attorney General in protecting victims and witnesses who are aiding in the prosecution of perpetrators of gang crime, and appropriate related persons.

Authority management stated that this mandate was never funded upon inception of the program under the Authority's administration.

Failure to establish and administer the program, adopt rules for implementation, and assist State's Attorneys and Attorney General is noncompliance with the State mandate. (Finding Code No. 2015-004)

RECOMMENDATION

We recommend the Authority comply with requirements of the Act or seek legislative remedy.

AUTHORITY RESPONSE

The Authority agrees with this finding.

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PRIOR FINDING NOT REPEATED

A. **FINDING** (Computer Security Weakness)

During the prior examination period, Illinois Criminal Justice Information Authority (Authority) had not established adequate controls for its computing environment.

The following weaknesses related to the Authority's computer environment were noted:

- Powerful administrative rights were assigned to staff members who did not require such rights to perform their job duties.
- Periodic reviews of system access rights were not performed.
- Password histories were not maintained; therefore the same password could be re-used.
- Sufficient password length and content requirements were not enforced.

During the current examination period, our testing indicated the Authority established reasonable controls over its computing environment. The Authority performs quarterly review of system access rights and whether those access rights are appropriate to perform their job duties, and implemented password change interval, content, and length requirements to its Grant Management System. (Finding Code No. 2013-004)

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ILLINOIS VIOLENCE PREVENTION AUTHORITY PRIOR FINDINGS FOLLOW-UP

Effective January 25, 2013, Public Act 97-1151 (Act) transferred the powers, duties, rights, and responsibilities of the Illinois Violence Prevention Authority (IVPA) to the Illinois Criminal Justice Information Authority (Authority). The Act required the transfer of personnel, records, property, contracts, pending business, unexpended appropriations, balances and funds to the Illinois Criminal Justice Information Authority as soon as practicable after the effective date of the Act.

A compliance examination for the IVPA for the period of July 1, 2012 through January 24, 2013 reported 5 findings. The 5 findings were followed up during the examination for the Authority for the two years ended June 30, 2015. A summary of our follow-up is as follows:

2013-001 **FINDING** (Governor’s Discretionary Appropriation Noncompliance)

During the prior examination period, the IVPA did not comply with fiscal year expenditure limitations for \$8,749,128 of Governor’s discretionary appropriations paid from the General Revenue Fund in Fiscal Year 2013.

The IVPA’s remaining balance of Governor’s discretionary appropriations of \$5,797,896 was transferred to the Authority in FY13.

RECOMMENDATION

We recommended the Authority ensure all payments comply with any fiscal year limitations.

Status: During the current compliance examination, based on our testing, it appears the Authority processed payments within the fiscal year limitations. (IVPA Report Finding Code No. 2013-001, 12-1)

2013-002 **FINDING** (Inadequate Controls over the Grant Awarding Process)

The IVPA did not ensure adequate internal controls and compliance over the grant awarding process.

A summary of the following weaknesses related to grant awarding was noted:

- The IVPA did not maintain documentation of grant procurement postings;
- The IVPA awarded grants to entities which submitted grant applications late;
- Scoring sheets were not prepared or maintained for grant agreements;

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ILLINOIS VIOLENCE PREVENTION AUTHORITY PRIOR FINDINGS FOLLOW-UP

- Grants were not properly approved by the IVPA's Board;
- Request for Proposals did not specify all information required to be submitted;
- Initial grant awards exceeded the required 12-month grant term; and
- Late or no grant award notification.

RECOMMENDATION

We recommended the Authority maintain strong internal controls over the grant awarding process. Specifically, the Authority should:

- maintain documentation regarding website grant award postings;
- timely review applications and notify applicants of award decisions;
- prepare and maintain support for grant award, evaluation, decisions, and related dates; and
- comply with statutory and administrative rules for grant application, submission, evaluation and approval, or seek statutory or rule changes.

Status: Our Office conducted detailed testing of specific grants as directed by House Resolution 888. The results of that testing are contained in the performance audit of State Moneys Provided by or through the Illinois Criminal Justice Information Authority to All Community Based Violence Prevention Programs, the After-School Program, and the Chicago Area Project. During the current compliance examination, we sampled three grants issued as a result of a Request for Proposal process. Our compliance testing of those three grants did not disclose deficiencies in the grant award process. (IVPA Report Finding Code No. 2013-002, 12-2)

2013-003 **FINDING** (Inadequate Controls over Grant Administration)

The IVPA did not demonstrate adequate control over the grant administration and monitoring processes.

A summary of the following weaknesses related to grant administration and monitoring was noted:

- Grant agreements were not entered into in a timely manner;
- Grant payments did not comply with grant agreement payment schedules;

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ILLINOIS VIOLENCE PREVENTION AUTHORITY PRIOR FINDINGS FOLLOW-UP

- The IVPA did not enforce or document enforcement of reporting provisions of grant agreements;
- The IVPA did not timely recover or repay unspent grant funds; and
- The IVPA did not perform site visits.

RECOMMENDATION

We recommended the Authority strengthen controls over the grant administration and monitoring processes. Specifically, we recommended the Authority adhere to adopted grant administration rules, policies, and procedures; comply with provisions of grant agreements; and maintain documentation to demonstrate compliance with grant administration and monitoring. Grant agreements should be executed prior to the beginning of the grant period and commencement of services. Further, the Authority should monitor grantees by timely performing and documenting follow-up on delinquent programmatic and financial reports, site visits, and recovery or return of unspent grant funds, including limiting future funding until grant agreement terms are met.

Status: Our Office conducted detailed testing of specific grants as directed by House Resolution 888. The results of that testing are contained in the performance audit of State Moneys Provided by or through the Illinois Criminal Justice Information Authority to All Community Based Violence Prevention Programs, the After-School Program, and the Chicago Area Project. During the current compliance examination, we sampled 25 grants. Based on our sample testing of these grants, it appears that the Authority improved control over the grant administration and monitoring process. However, it was noted the Authority did not perform some required site visits. See Immaterial Finding No. 2015-009. (IVPA Report Finding Code No. 2013-003, 12-3)

2013-004 **FINDING** (Inadequate Planning, Implementation and Management of the Neighborhood Recovery Initiative)

The Illinois Violence Prevention Authority (Authority) had pervasive deficiencies in the planning, implementation, and management of the Neighborhood Recovery Initiative (NRI) program.

The Office of the Auditor General conducted an in-depth Performance Audit of the NRI program, for which the resulting report was released in conjunction with the compliance examination report for the IVPA. The performance audit noted

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pervasive deficiencies in the planning, implementation, and management of the NRI program. The report contained 19 recommendations for the Authority.

RECOMMENDATION

We recommended the Authority implement the recommendations of the Performance Audit of State Moneys Provided Through the Illinois Violence Prevention Authority to the Neighborhood Recovery Initiative.

Status: As a follow-up to the Performance Audit of State Moneys Provided Through the Illinois Violence Prevention Authority to the Neighborhood Recovery Initiative, our Office conducted detailed testing of specific grants as directed by House Resolution 888. The results of that testing are contained in the performance audit of State Moneys Provided by or through the Illinois Criminal Justice Information Authority to All Community Based Violence Prevention Programs, the After-School Program, and the Chicago Area Project. (IVPA Report Finding Code No. 2013-004, 12-4)

2013-005 **FINDING** (Inadequate Controls over Disposition, Recording, and Reporting of State Property)

The IVPA did not maintain adequate controls over the disposition, recording, and reporting of State property.

It was noted:

- The IVPA did not conduct a timely inventory of property and equipment prior to its closure;
- A property item was not recorded as a transfer;
- Property records did not identify the individual and location to whom two laptop computers were assigned by the IVPA; and
- The Agency Report of State Property (Form C-15) reports filed with the Office of the Comptroller contained several inaccuracies and discrepancies.

RECOMMENDATION

We recommended the Authority maintain and timely report accurate property information and ensure an annual inventory is conducted.

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2015

ILLINOIS VIOLENCE PREVENTION AUTHORITY PRIOR FINDINGS FOLLOW-UP

Status: During the current examination period, based on our testing it appears the Authority maintained reasonable controls over the disposition, recording, and reporting of State property. (IVPA Report Finding Code No. 2013-005, 12-6)

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2015

SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

SUMMARY

Supplementary Information for State Compliance Purposes presented in this section of the report includes the following:

- Fiscal Schedules and Analysis:
 - Schedule of Appropriations, Expenditures and Lapsed Balances
Fiscal Year 2015
 - Schedule of Appropriations, Expenditures and Lapsed Balances
Fiscal Year 2014
 - Comparative Schedule of Net Appropriations, Expenditures and Lapsed
Balances
 - Schedule of Changes in State Property
 - Comparative Schedule of Cash Receipts
 - Reconciliation Schedule of Cash Receipts to Deposits Remitted to the
State Comptroller
 - Analysis of Significant Variations in Expenditures
 - Analysis of Significant Variations in Receipts
 - Analysis of Significant Lapse Period Spending

- Analysis of Operations (Not Examined):
 - Agency Functions and Planning Program (Not Examined)
 - Average Number of Employees (Not Examined)
 - Public Safety Shared Services Center (Not Examined)
 - Service Efforts and Accomplishments (Not Examined)

The accountant's report that covers the Supplementary Information for State Compliance Purposes presented in the Compliance Report Section states the accountants have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General to the June 30, 2015 and June 30, 2014 in Schedules 1 through 9. However, the accountants do not express an opinion on the supplementary information. The accountant's report also states that they have not applied procedures to the Analysis of Operations Section, and accordingly, they do not express an opinion or provide any assurance on it.

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
SCHEDULE OF APPROPRIATIONS, EXPENDITURES, AND LAPSED BALANCES
Appropriations for Fiscal Year 2015

Fourteen Months Ended August 31, 2015

	Appropriations (Net After Transfers)	Expenditures Through 06/30/15	Lapse Period Expenditures 07/01/15 - 08/31/15	Total Expenditures	Balances Lapsed
<u>Public Act 98-0681</u>					
<u>APPROPRIATED FUNDS</u>					
<u>GENERAL REVENUE FUND - 001</u>					
Personal Services	\$ 1,155,000	\$ 1,095,357	\$ 45,061	\$ 1,140,418	\$ 14,582
State Contribution to Social Security	88,400	83,197	3,329	86,526	1,874
Contractual Services	378,000	334,367	19,217	353,584	24,416
Travel	6,700	5,952	745	6,697	3
Commodities	1,600	1,585	-	1,585	15
Printing	4,800	4,800	-	4,800	-
Electronic Data Processing	29,900	7,874	11,879	19,753	10,147
Telecommunication Services	28,400	26,669	1,731	28,400	-
Operation of Auto Equipment	2,200	1,815	233	2,048	152
Major Crimes Task Force	94,800	-	-	-	94,800
Operational Expenses and Awards	620,600	588,293	31,666	619,959	641
Grants for Adult Redeployment Program	6,842,500	5,597,659	628,723	6,226,382	616,118
Operation Ceasefire	4,594,300	1,661,671	3,208	1,664,879	2,929,421
Methamphetamine Pilot Program	1,173,000	852,172	-	852,172	320,828
IL Violence Prevention Authority:					
Illinois Family Violence Coordinating Council	516,400	486,721	(1,194)	485,527	30,873
Costs Associated with Bullying Prevention	454,400	258,292	29,253	287,545	166,855
Subtotal - Fund 001	<u>15,991,000</u>	<u>11,006,424</u>	<u>773,851</u>	<u>11,780,275</u>	<u>4,210,725</u>
<u>MOTOR VEHICLE THEFT PREVENTION FUND - 156</u>					
Personal Services	291,300	243,862	4,667	248,529	42,771
Lump Sum and Other Purposes	288,700	217,437	2,945	220,382	68,318
Awards and Grants	7,000,000	3,278,531	-	3,278,531	3,721,469
Refunds	75,000	1,136	-	1,136	73,864
Subtotal - Fund 156	<u>7,655,000</u>	<u>3,740,966</u>	<u>7,612</u>	<u>3,748,578</u>	<u>3,906,422</u>
<u>VIOLENCE PREVENTION FUND - 184</u>					
Personal Services	498,200	188,516	26	188,542	309,658
State Contribution to State Employee's Retirement System	210,900	79,826	11	79,837	131,063
State Contribution to Social Security	38,200	13,242	2	13,244	24,956
Group Insurance	185,100	73,265	-	73,265	111,835
Contractual Services	7,000	623	-	623	6,377
Travel	6,000	203	-	203	5,797
Commodities	3,000	-	-	-	3,000
Printing	1,000	-	-	-	1,000
Equipment	1,000	-	-	-	1,000
Electronic Data Processing	3,000	-	-	-	3,000
Telecommunication Services	10,000	-	-	-	10,000
Awards and Grants	1,200,000	516,394	18,342	534,736	665,264
Subtotal - Fund 184	<u>2,163,400</u>	<u>872,069</u>	<u>18,381</u>	<u>890,450</u>	<u>1,272,950</u>
<u>CRIMINAL JUSTICE INFORMATION PROJECTS FUND - 335</u>					
Lump Sum and Other Purposes	1,000,000	100,741	5,185	105,926	894,074
Subtotal - Fund 335	<u>1,000,000</u>	<u>100,741</u>	<u>5,185</u>	<u>105,926</u>	<u>894,074</u>
<u>CRIMINAL JUSTICE TRUST FUND - 488</u>					
Support of Investigative Issues	1,700,000	118,041	11,275	129,316	1,570,684
Support of Federal Assistance Programs	5,800,000	3,130,557	196,074	3,326,631	2,473,369
Local Awards and Grants	42,500,000	23,363,829	2,855,601	26,219,430	16,280,570
State Awards and Grants	15,000,000	3,308,982	298,572	3,607,554	11,392,446
Subtotal - Fund 488	<u>65,000,000</u>	<u>29,921,409</u>	<u>3,361,522</u>	<u>33,282,931</u>	<u>31,717,069</u>
<u>ILLINOIS STATE CRIME STOPPERS ASSOCIATION FUND - 513</u>					
Grants to Crime Stoppers Programs	10,000	-	7,706	7,706	2,294
Subtotal - Fund 513	<u>10,000</u>	<u>-</u>	<u>7,706</u>	<u>7,706</u>	<u>2,294</u>

Note: The information reflected in this schedule was taken from the State Comptroller's records. Expenditure amounts are vouchers approved for payment by the Authority and submitted to the State Comptroller for payment to the vendor.

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
SCHEDULE OF APPROPRIATIONS, EXPENDITURES, AND LAPSED BALANCES
Appropriations for Fiscal Year 2015

Fourteen Months Ended August 31, 2015

	Appropriations (Net After Transfers)	Expenditures Through 06/30/15	Lapse Period Expenditures 07/01/15 - 08/31/15	Total Expenditures	Balances Lapsed
<u>Public Act 98-0681</u>					
<u>DEATH PENALTY ABOLITION FUND - 539</u>					
Personal Services	291,400	286,025	3,842	289,867	1,533
Lump Sum and Other Purposes	690,500	252,616	3,253	255,869	434,631
Local Awards and Grants	5,000,000	280,311	-	280,311	4,719,689
State Awards and Grants	5,000,000	408,760	-	408,760	4,591,240
Subtotal - Fund 539	<u>10,981,900</u>	<u>1,227,712</u>	<u>7,095</u>	<u>1,234,807</u>	<u>9,747,093</u>
<u>PRESCRIPTION PILL AND DRUG DISPOSAL FUND - 665</u>					
Lump Sum and Other Purposes	150,000	-	-	-	150,000
Subtotal - Fund 665	<u>150,000</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>150,000</u>
TOTALS - ALL APPROPRIATED FUNDS	<u>\$ 102,951,300</u>	<u>46,869,321</u>	<u>4,181,352</u>	<u>51,050,673</u>	<u>\$ 51,900,627</u>
<u>NON-APPROPRIATED FUNDS</u>					
<u>ILLINOIS VIOLENCE PREVENTION AUTHORITY SALE TRUST</u>					
<u>FUND - 318</u>					
Community Violence Prevention Program		5,534,783	107,429	5,642,212	
Subtotal - Fund 318		<u>5,534,783</u>	<u>107,429</u>	<u>5,642,212</u>	
TOTALS - ALL NON-APPROPRIATED FUNDS		<u>5,534,783</u>	<u>107,429</u>	<u>5,642,212</u>	
GRAND TOTAL - ALL FUNDS	<u>\$ 102,951,300</u>	<u>\$ 52,404,104</u>	<u>\$ 4,288,781</u>	<u>\$ 56,692,885</u>	<u>\$ 51,900,627</u>

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STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
SCHEDULE OF APPROPRIATIONS, EXPENDITURES, AND LAPSED BALANCES
Appropriations for Fiscal Year 2014

Fourteen Months Ended August 31, 2014

	Appropriations (Net After Transfers)	Expenditures Through 06/30/14	Lapse Period Expenditures 07/01/14 - 08/31/14	Total Expenditures	Balances Lapsed
<u>Public Act 98-0050</u>					
<u>APPROPRIATED FUNDS</u>					
<u>GENERAL REVENUE FUND - 001</u>					
Personal Services	\$ 1,181,600	\$ 987,272	\$ 56,574	\$ 1,043,846	\$ 137,754
State Contribution to Social Security	90,400	73,463	4,411	77,874	12,526
Contractual Services	388,700	281,032	50,790	331,822	56,878
Travel	4,800	4,602	169	4,771	29
Commodities	1,600	1,600	-	1,600	-
Printing	4,800	4,250	-	4,250	550
Electronic Data Processing	30,600	7,762	-	7,762	22,838
Telecommunication Services	29,100	25,845	3,135	28,980	120
Operation of Auto Equipment	2,200	2,097	103	2,200	-
Operational Expenses and Awards	634,900	603,679	31,175	634,854	46
Grants for Adult Redeployment Program	7,000,000	5,099,910	827,925	5,927,835	1,072,165
Operation Ceasefire	4,700,000	1,355,832	2,219,063	3,574,895	1,125,105
Methamphetamine Pilot Program	1,200,000	1,199,928	-	1,199,928	72
Violence Prevention Programs	15,000,000	8,251,658	824,012	9,075,670	5,924,330
After School Programs	10,000,000	5,320,075	1,090,977	6,411,052	3,588,948
Grants for Chicago Area Project - Lump Sum	5,000,000	3,832,694	-	3,832,694	1,167,306
Grants for Programs to Reduce Recidivism	177,000	110,625	-	110,625	66,375
Grants to Award to Violence Prevention Programs	1,000,000	135,951	637,734	773,685	226,315
IL Violence Prevention Authority:					
Illinois Family Violence Coordinating Council	528,300	465,964	31,922	497,886	30,414
Costs Associated with Bullying Prevention	264,900	62,514	143,085	205,599	59,301
Subtotal - Fund 001	<u>47,238,900</u>	<u>27,826,753</u>	<u>5,921,075</u>	<u>33,747,828</u>	<u>13,491,072</u>
<u>MOTOR VEHICLE THEFT PREVENTION FUND - 156</u>					
Personal Services	253,600	246,352	6,652	253,004	596
Lump Sum and Other Purposes	265,200	226,950	5,605	232,555	32,645
Awards and Grants	6,500,000	5,899,268	344,700	6,243,968	256,032
Refunds	75,000	-	-	-	75,000
Subtotal - Fund 156	<u>7,093,800</u>	<u>6,372,570</u>	<u>356,957</u>	<u>6,729,527</u>	<u>364,273</u>
<u>VIOLENCE PREVENTION FUND - 184</u>					
Personal Services	498,200	332,674	14,952	347,626	150,574
State Contribution to State Employee's Retirement System	200,800	134,142	6,028	140,170	60,630
State Contribution to Social Security	38,200	23,368	1,040	24,408	13,792
Group Insurance	195,200	132,481	8,736	141,217	53,983
Contractual Services	7,000	650	20	670	6,330
Travel	6,000	5,836	36	5,872	128
Commodities	3,000	369	-	369	2,631
Printing	1,000	-	-	-	1,000
Equipment	1,000	-	-	-	1,000
Electronic Data Processing	3,000	-	-	-	3,000
Telecommunication Services	10,000	10,000	-	10,000	-
Awards and Grants - IVPA	1,200,000	864,796	25,269	890,065	309,935
Subtotal - Fund 184	<u>2,163,400</u>	<u>1,504,316</u>	<u>56,081</u>	<u>1,560,397</u>	<u>603,003</u>
<u>CRIMINAL JUSTICE INFORMATION PROJECTS FUND - 335</u>					
Lump Sums and Other Purposes	400,000	185,546	-	185,546	214,454
Subtotal - Fund 335	<u>400,000</u>	<u>185,546</u>	<u>-</u>	<u>185,546</u>	<u>214,454</u>
<u>CRIMINAL JUSTICE TRUST FUND - 488</u>					
Support of Investigative Issues	1,700,000	138,078	67	138,145	1,561,855
Support of Federal Assistance Programs	5,800,000	3,724,767	140,913	3,865,680	1,934,320
Local Awards and Grants	47,000,000	22,745,608	3,666,092	26,411,700	20,588,300
State Awards and Grants	15,000,000	3,100,106	306,798	3,406,904	11,593,096
Support of Federal Assistance Programs - ARRA	700,000	673,162	-	673,162	26,838
Local Awards and Grants - ARRA	16,700,000	3,837,923	-	3,837,923	12,862,077
State Awards and Grants - ARRA	3,000,000	109,460	-	109,460	2,890,540
Refunds - ARRA	1,200,000	1,141,112	-	1,141,112	58,888
Subtotal - Fund 488	<u>91,100,000</u>	<u>35,470,216</u>	<u>4,113,870</u>	<u>39,584,086</u>	<u>51,515,914</u>

Note: The information reflected in this schedule was taken from the State Comptroller's records. Expenditure amounts are vouchers approved for payment by the Authority and submitted to the State Comptroller for payment to the vendor.

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
SCHEDULE OF APPROPRIATIONS, EXPENDITURES, AND LAPSED BALANCES
Appropriations for Fiscal Year 2014

Fourteen Months Ended August 31, 2014

	Appropriations (Net After Transfers)	Expenditures Through 06/30/14	Lapse Period Expenditures 07/01/14 - 08/31/14	Total Expenditures	Balances Lapsed
<u>Public Act 98-0050</u>					
<u>ILLINOIS STATE CRIME STOPPERS ASSOCIATION FUND - 513</u>					
Grants to Crime Stoppers Programs	25,000	-	-	-	25,000
Subtotal - Fund 513	<u>25,000</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>25,000</u>
<u>DEATH PENALTY ABOLITION FUND - 539</u>					
Personal Services	291,400	103,368	6,461	109,829	181,571
Lump Sum and Other Purposes	690,500	103,330	5,343	108,673	581,827
Local Awards and Grants	13,312,800	-	-	-	13,312,800
State Awards and Grants	3,478,200	124,049	226,174	350,223	3,127,977
Subtotal - Fund 539	<u>17,772,900</u>	<u>330,747</u>	<u>237,978</u>	<u>568,725</u>	<u>17,204,175</u>
<u>JUVENILE ACCOUNTABILITY BLOCK GRANT FUND - 581</u>					
Awards and Grants	4,500,000	1,560,352	-	1,560,352	2,939,648
Subtotal - Fund 581	<u>4,500,000</u>	<u>1,560,352</u>	<u>-</u>	<u>1,560,352</u>	<u>2,939,648</u>
<u>PRESCRIPTION PILL AND DRUG DISPOSAL FUND - 665</u>					
Lump Sum and Other Purposes	150,000	-	-	-	150,000
Subtotal - Fund 665	<u>150,000</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>150,000</u>
TOTALS - ALL APPROPRIATED FUNDS	<u>\$ 170,444,000</u>	<u>73,250,500</u>	<u>10,685,961</u>	<u>83,936,461</u>	<u>\$ 86,507,539</u>
<u>NON-APPROPRIATED FUNDS</u>					
<u>ILLINOIS VIOLENCE PREVENTION AUTHORITY SALE TRUST</u>					
<u>FUND - 318</u>					
Governor's Neighborhood Recovery Initiative		527,766	193,987	721,753	
Grants and Operations		490,484	351,202	841,686	
U.S. Department of Justice Violence Against Women		384,890	203,722	588,612	
Subtotal - Fund 318		<u>1,403,140</u>	<u>748,911</u>	<u>2,152,051</u>	
TOTALS - ALL NON-APPROPRIATED FUNDS		<u>1,403,140</u>	<u>748,911</u>	<u>2,152,051</u>	
GRAND TOTAL - ALL FUNDS	<u>\$ 170,444,000</u>	<u>\$ 74,653,640</u>	<u>\$ 11,434,872</u>	<u>\$ 86,088,512</u>	<u>\$ 86,507,539</u>

Note: The information reflected in this schedule was taken from the State Comptroller's records. Expenditure amounts are vouchers approved for payment by the Authority and submitted to the State Comptroller for payment to the vendor.

STATE OF ILLNOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES
AND LAPSED BALANCES

For the Fiscal Years Ended June 30 2015, 2014, and 2013

	Fiscal Year		
	2015	2014	2013
	PA 98-0681	PA 98-0050	PA 97-731, 97-1151
General Revenue Fund - 001			
Appropriations (Net After Transfers)	\$ 15,991,000	\$ 47,238,900	\$ 25,591,481
Expenditures			
Personal Services	\$ 1,140,418	\$ 1,043,846	\$ 1,129,491
State Contribution to Social Security	86,526	77,874	84,092
Contractual Services	353,584	331,822	328,689
Travel	6,697	4,771	4,764
Commodities	1,585	1,600	1,654
Printing	4,800	4,250	3,459
Electronic Data Processing	19,753	7,762	7,139
Telecommunication Services	28,400	28,980	30,000
Operation of Auto Equipment	2,048	2,200	2,155
Grants to Community-Based Organizations for Violence Prevention Program	-	-	13,744,276
Grants to Chicago Area Project	-	-	4,166,667
Operational Expenses and Awards	619,959	634,854	192,311
Grants for Adult Redeployment Program	6,226,382	5,927,835	1,345,819
Operation Ceasefire	1,664,879	3,574,895	-
Methamphetamine Pilot Program	852,172	1,199,928	-
Violence Prevention Programs	-	9,075,670	-
After School Programs	-	6,411,052	-
Grants for Chicago Area Project - Lump Sum	-	3,832,694	-
Grants for Programs to Reduce Recidivism	-	110,625	-
Grants to Award to Violence Prevention Programs	-	773,685	-
IL Violence Prevention Authority:			
Contractual Services	-	-	5,768
Illinois Family Violence Coordinating Council	485,527	497,886	154,607
Costs Associated with Bullying Prevention	287,545	205,599	234,000
Grants per Violence Prevention Act of 1995	-	-	1,077,461
Total Expenditures	\$ 11,780,275	\$ 33,747,828	\$ 22,512,352
Lapsed Balances	\$ 4,210,725	\$ 13,491,072	\$ 3,079,129
Motor Vehicle Theft Prevention Fund - 156			
Appropriations (Net After Transfers)	\$ 7,655,000	\$ 7,093,800	\$ 7,063,300
Expenditures			
Personal Services	\$ 248,529	\$ 253,004	\$ 237,415
Lump Sum, excluding Personal Services	220,382	232,555	220,935
Awards and Grants	3,278,531	6,243,968	6,496,798
Refunds	1,136	-	-
Total Expenditures	\$ 3,748,578	\$ 6,729,527	\$ 6,955,148
Lapsed Balances	\$ 3,906,422	\$ 364,273	\$ 108,152

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES
AND LAPSED BALANCES
For the Fiscal Years Ended June 30 2015, 2014, and 2013

	Fiscal Year		
	2015	2014	2013
	PA 98-0681	PA 98-0050	PA 97-731, 97-1151
ICJIA Violence Prevention Fund - 184			
Appropriations (Net After Transfers)	\$ 2,163,400	\$ 2,163,400	\$ 926,377
Expenditures			
Personal Services	\$ 188,542	\$ 347,626	\$ 143,008
State Contribution to State Employee's Retirement System	79,837	140,170	54,360
State Contribution to Social Security	13,244	24,408	10,375
Group Insurance	73,265	141,217	60,410
Contractual Services	623	670	2,667
Travel	203	5,872	830
Commodities	-	369	650
Electronic Data Processing	-	-	25
Telecommunication Services	-	10,000	5,532
Awards and Grants	534,736	890,065	225,625
Total Expenditures	\$ 890,450	\$ 1,560,397	\$ 503,482
Lapsed Balances	\$ 1,272,950	\$ 603,003	\$ 422,895
Criminal Justice Information Projects Fund - 335			
Appropriations (Net After Transfers)	\$ 1,000,000	\$ 400,000	\$ 400,000
Expenditures			
Lump Sum and Other Purposes	\$ 105,926	\$ 185,546	\$ 109,321
Total Expenditures	\$ 105,926	\$ 185,546	\$ 109,321
Lapsed Balances	\$ 894,074	\$ 214,454	\$ 290,679

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES
AND LAPSED BALANCES

For the Fiscal Years Ended June 30 2015, 2014, and 2013

	Fiscal Year		
	2015	2014	2013
	PA 98-0681	PA 98-0050	PA 97-731, 97-1151
Criminal Justice Trust Fund - 488			
Appropriations (Net After Transfers)	\$ 65,000,000	\$ 91,100,000	\$ 97,991,900
Expenditures			
Support of Investigative Issues	\$ 129,316	\$ 138,145	\$ 136,953
Support of Federal Assistance Programs	3,326,631	3,865,680	3,759,968
Local Awards and Grants	26,219,430	26,411,700	26,561,452
State Awards and Grants	3,607,554	3,406,904	3,845,806
Support of Federal Assistance Programs - ARRA	-	673,162	1,817,237
Local Awards and Grants - ARRA	-	3,837,923	5,896,181
State Awards and Grants - ARRA	-	109,460	2,299,177
Refunds - ARRA	-	1,141,112	-
Total Expenditures	\$ 33,282,931	\$ 39,584,086	\$ 44,316,774
Lapsed Balances	\$ 31,717,069	\$ 51,515,914	\$ 53,675,126
Crime Stoppers Association Fund - 513			
Appropriations (Net After Transfers)	\$ 10,000	\$ 25,000	\$ 150,000
Expenditures			
Grants to Crime Stoppers Programs	\$ 7,706	\$ -	\$ -
Total Expenditures	\$ 7,706	\$ -	\$ -
Lapsed Balances	\$ 2,294	\$ 25,000	\$ 150,000
Death Penalty Abolition Fund - 539			
Appropriations (Net After Transfers)	\$ 10,981,900	\$ 17,772,900	\$ 18,700,100
Expenditures			
Personal Services	\$ 289,867	\$ 109,829	\$ -
Lump Sum and Other Purposes	255,869	108,673	2,251
Local Awards and Grants	280,311	-	-
State Awards and Grants	408,760	350,223	55,375
Total Expenditures	\$ 1,234,807	\$ 568,725	\$ 57,626
Lapsed Balances	\$ 9,747,093	\$ 17,204,175	\$ 18,642,474

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES
AND LAPSED BALANCES
For the Fiscal Years Ended June 30 2015, 2014, and 2013

	Fiscal Year		
	2015	2014	2013
	PA 98-0681	PA 98-0050	PA 97-731, 97-1151
Juvenile Accountability Block Grant Fund - 581			
Appropriations (Net After Transfers)	\$ -	\$ 4,500,000	\$ 4,500,000
Expenditures			
Awards and Grants	\$ -	\$ 1,560,352	\$ 3,846,327
Total Expenditures	\$ -	\$ 1,560,352	\$ 3,846,327
Lapsed Balances	\$ -	\$ 2,939,648	\$ 653,673
Prescription Pill and Drug Disposal Fund - 665			
Appropriations (Net After Transfers)	\$ 150,000	\$ 150,000	\$ 200,000
Expenditures			
Lump Sum and Other Purposes	\$ -	\$ -	\$ -
Total Expenditures	\$ -	\$ -	\$ -
Lapsed Balances	\$ 150,000	\$ 150,000	\$ 200,000
TOTAL - ALL APPROPRIATED FUNDS			
Appropriations (Net After Transfers)	\$ 102,951,300	\$ 170,444,000	\$ 155,523,158
Total Expenditures	51,050,673	83,936,461	78,301,030
Lapsed Balances	\$ 51,900,627	\$ 86,507,539	\$ 77,222,128
<u>Non-Appropriated Funds</u>			
ICJIA Violence Prevention Special Projects Fund - 318			
Expenditures			
Governor's Neighborhood Recovery Initiative	\$ -	\$ 721,753	\$ 568,890
Grants and Operations	-	841,686	2,655,593
U.S. Department of Justice Violence Against Women	-	588,612	133,376
Community Violence Prevention Program	5,642,212	-	-
Total Expenditures	\$ 5,642,212	\$ 2,152,051	\$ 3,357,859
TOTAL - ALL NON-APPROPRIATED FUNDS			
Total Expenditures	\$ 5,642,212	\$ 2,152,051	\$ 3,357,859
GRAND TOTAL - ALL FUNDS			
Total Expenditures	\$ 56,692,885	\$ 86,088,512	\$ 81,658,889

STATE OF ILLNOIS
 ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
 SCHEDULE OF CHANGES IN PROPERTY AND EQUIPMENT
 For the Fiscal Years Ended June 30 2015, 2014, and 2013

June 30, 2014				
Balance July 1, 2013	Additions	Deletions	Transfers	Balance June 30, 2014
\$ 879,690	\$ 29,978	\$ (24,127)	\$ -	\$ 885,541
June 30, 2015				
Balance July 1, 2014	Additions	Deletions	Transfers	Balance June 30, 2015
\$ 885,541	\$ 3,408	\$ (3,395)	\$ -	\$ 885,554

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
COMPARATIVE SCHEDULE OF CASH RECEIPTS
For the Fiscal Years Ended June 30, 2015, 2014, and 2013

	2015	2014	2013
General Revenue Fund - 001			
Jury Duty	\$ 86	\$ -	\$ 376
Prior Year Refunds	1,556,226	760,023	7,574
Total Fund 001	<u>\$ 1,556,312</u>	<u>\$ 760,023</u>	<u>\$ 7,950</u>
Motor Vehicle Theft Prevention Trust Fund - 156			
Insurers Fees	\$ 6,737,178	\$ 6,620,438	\$ 6,524,017
State Police	226	-	-
Prior Year Refunds	-	1,149	834
Total Fund 156	<u>\$ 6,737,404</u>	<u>\$ 6,621,587</u>	<u>\$ 6,524,851</u>
ICJIA Violence Prevention Fund - 184			
Jury Duty	\$ -	\$ -	\$ 17
Prior Year Refunds	18,527	16,174	1,037
Total Fund 184	<u>\$ 18,527</u>	<u>\$ 16,174</u>	<u>\$ 1,054</u>
ICJIA Violence Prevention Special Projects Fund - 318			
Violence Against Women Act - Arrest Grant	\$ 191,724	\$ 386,716	\$ 263,289
Human Services	7,300,000	-	-
Prior Year Refunds	217,023	1,368,257	-
Total Fund 335	<u>\$ 7,708,747</u>	<u>\$ 1,754,973</u>	<u>\$ 263,289</u>
Criminal Justice Information Projects Fund - 335			
Criminal Justice Information Projects	\$ 114,690	\$ 114,611	\$ 256,842
Total Fund 335	<u>\$ 114,690</u>	<u>\$ 114,611</u>	<u>\$ 256,842</u>
Criminal Justice Trust Fund - 488			
National Criminal History Improvement Program	\$ -	\$ 113,510	\$ 160,050
Victims of Crime Act	16,801,103	15,850,572	16,705,923
Byrne Justice Assistance Grant Program	7,339,504	7,334,602	7,403,292
Violence Against Women Act	5,010,082	5,192,657	5,107,034
Sexual Assault Services Program	416,684	369,530	364,706
Office on Violence Against Women Arrest Program	53,326	-	-
Residential Substance Abuse	435,646	320,687	567,003
Sex Offender Reporting and Notification Act Improvement	260,159	370,938	25,000
State Justice Statistics Program	65,348	79,845	82,499
Project Safe Neighborhoods	382,115	321,924	94,976
National Instant Criminal Background Check System Act	926,429	140,007	725,256
National Forensic Science Program	264,048	719,905	803,099
Post Conviction DNA Testing Assistance Program	398,813	260,233	271,009
DHS Subgrants	72,037	51,192	84,567
IJIS Summit Exhibitor Fees	-	-	11,800
Federal Program income	1,585	-	-
ARRA Violence Against Women Act	-	-	316,502
Prior Year Refunds	175,358	167,045	12,980
Total Fund 488	<u>\$ 32,602,237</u>	<u>\$ 31,292,647</u>	<u>\$ 32,735,696</u>
Juvenile Accountability Incentive Block Grants Fund - 581			
Juvenile Accountability Incentive Block Grant	\$ -	\$ -	\$ 771,463
Prior Year Refunds	-	1,716	-
Total Fund 581	<u>\$ -</u>	<u>\$ 1,716</u>	<u>\$ 771,463</u>
Total Cash Receipts Recorded By Authority	<u>\$ 48,737,917</u>	<u>\$ 40,561,731</u>	<u>\$ 40,561,145</u>

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
RECONCILIATION OF CASH RECEIPTS TO DEPOSITS
REMITTED TO THE STATE COMPTROLLER
For the Fiscal Years Ended June 30, 2015, 2014, and 2013

	2015	2014	2013
Total All Funds Per Authority Records			
General Revenue Fund - 001	\$ 1,556,312	\$ 760,023	\$ 7,950
Motor Vehicle Theft Prevention Trust Fund - 156	6,737,404	6,621,587	6,524,851
ICJIA Violence Prevention Fund Fund - 0184	18,527	16,174	1,054
ICJIA Special Projects Fund - 318	7,708,747	1,754,973	263,289
Criminal Justice Information Projects Fund - 335	114,690	114,611	256,842
Criminal Justice Trust Fund - 488	32,602,237	31,292,647	32,735,696
Juvenile Accountability Incentive Block Grants Fund - 581	-	1,716	771,463
Total Cash Receipts Recorded by Authority	48,737,917	40,561,731	40,561,145
Add deposits in transit, beginning of year			
General Revenue Fund - 001	-	7,574	-
Motor Vehicle Theft Prevention Trust Fund - 156	5,250	-	-
ICJIA Violence Prevention Special Projects Fund - 318	49,579	160,096	-
Criminal Justice Information Projects Fund - 335	1,404	131	38
Criminal Justice Trust Fund - 488	121	-	-
Less deposits in transit, end of year			
General Revenue Fund - 001	-	-	(7,574)
Motor Vehicle Theft Prevention Trust Fund - 156	(9,239)	(5,250)	-
ICJIA Violence Prevention Special Projects Fund - 318	-	(49,579)	(160,096)
Criminal Justice Information Projects Fund - 335	(212)	(1,404)	(131)
Criminal Justice Trust Fund - 488	-	(121)	-
Total All Funds Per State Comptroller's Records	\$ 48,784,820	\$ 40,673,178	\$ 40,393,382

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2015

Following are the explanations for significant variations in expenditures (20% and \$100,000) noted between fiscal years ended June 30, 2015 and 2014:

	FISCAL YEAR ENDED JUNE 30		AMOUNT	INCREASE (DECREASE)	PERCENTAGE	EXPLANATIONS
	2015	2014				
<u>General Revenue Fund - 001</u>						
Operation Ceasefire	\$ 1,664,879	\$ 3,574,895	\$ (1,910,016)		-53.43%	Decrease in grant spending in Fiscal Year 2015
Methamphetamine Pilot Program	\$ 852,172	\$ 1,199,928	\$ (347,756)		-28.98%	Decrease in grant spending in Fiscal Year 2015
Violence Prevention Programs	\$ -	\$ 9,075,670	\$ (9,075,670)		-100.00%	No appropriation in Fiscal Year 2015
After School Programs	\$ -	\$ 6,411,052	\$ (6,411,052)		-100.00%	No appropriation in Fiscal Year 2015
Grants for Chicago Area Project - Lump sum	\$ -	\$ 3,832,694	\$ (3,832,694)		-100.00%	No appropriation in Fiscal Year 2015
Grants for Programs to Reduce Recidivism	\$ -	\$ 110,625	\$ (110,625)		-100.00%	No appropriation in Fiscal Year 2015
Grants to Award to Violence Prevention Programs	\$ -	\$ 773,685	\$ (773,685)		-100.00%	No appropriation in Fiscal Year 2015
<u>Motor Vehicle Theft Prevention Trust Fund - 156</u>						
Awards and Grants	\$ 3,278,531	\$ 6,243,968	\$ (2,965,437)		-47.49%	Decrease in grants awarded during Fiscal Year 2015
<u>ICJIA Violence Prevention Fund - 184</u>						
Personal Services	\$ 188,542	\$ 347,626	\$ (159,084)		-45.76%	former Illinois Violence Prevention Agency (IVPA) employees
Awards and Grants	\$ 534,736	\$ 890,065	\$ (355,329)		-39.92%	Decrease in Governor's Budget Implementation Bill (BIMP) funding in Fiscal Year 2015
<u>ICJIA Violence Prevention Special Projects Fund - 318</u>						
Governor's Neighborhood Recovery Initiative	\$ -	\$ 721,753	\$ (721,753)		-100.00%	Grants from IVPA ended in Fiscal Year 2014
Grants and Operations	\$ -	\$ 841,686	\$ (841,686)		-100.00%	Grants from IVPA ended in Fiscal Year 2014
U.S. Department of Justice Violence Against Women	\$ -	\$ 588,612	\$ (588,612)		-100.00%	Grants from IVPA ended in Fiscal Year 2014
Community Violence Prevention Program	\$ 5,642,212	\$ -	\$ 5,642,212		100.00%	Two new one-year grants were received during Fiscal Year 2015
<u>Criminal Justice Trust Fund - 488</u>						
Support of Federal Assistance Programs - ARRA	\$ -	\$ 673,162	\$ (673,162)		-100.00%	No appropriation in Fiscal Year 2015
Local Awards and Grants - ARRA	\$ -	\$ 3,837,923	\$ (3,837,923)		-100.00%	No appropriation in Fiscal Year 2015
State Awards and Grants - ARRA	\$ -	\$ 109,460	\$ (109,460)		-100.00%	No appropriation in Fiscal Year 2015
Refunds - ARRA	\$ -	\$ 1,141,112	\$ (1,141,112)		-100.00%	No appropriation in Fiscal Year 2015
<u>Death Penalty Abolition Fund - 539</u>						
Personal Services	\$ 289,867	\$ 109,829	\$ 180,038		163.93%	New Fund in Fiscal Year 2013, was not fully staffed until Fiscal Year 2015
Lump Sum and Other Purposes	\$ 255,869	\$ 108,673	\$ 147,196		135.45%	Increased activities in Fiscal Year 2015
Local Awards and Grants	\$ 280,311	\$ -	\$ 280,311		100.00%	No appropriation in Fiscal Year 2014
<u>Juvenile Accountability Incentive Block Grants Fund - 581</u>						
Awards and Grants	\$ -	\$ 1,560,352	\$ (1,560,352)		-100.00%	Fund 581 was transferred to the DHS

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2015

Following are the explanations for significant variations in expenditures (20% and \$100,000) noted between fiscal years ended June 30, 2014 and 2013:

	FISCAL YEAR		INCREASE (DECREASE)	PERCENTAGE	EXPLANATIONS
	2014	2013			
<u>General Revenue Fund - 001</u>					
Grants to Community-Based Organizations for Violence Prevention Program	\$ -	\$ 13,744,276	\$ (13,744,276)	-100.00%	No appropriation in Fiscal Year 2014
Grants to Chicago Area Project	\$ -	\$ 4,166,667	\$ (4,166,667)	-100.00%	No appropriation in Fiscal Year 2014
Operational Expenses and Awards	\$ 634,854	\$ 192,311	\$ 442,543	230.12%	Increased activities in Fiscal Year 2014
Grants for Adult Redeployment Program	\$ 5,927,835	\$ 1,345,819	\$ 4,582,016	340.46%	Increased activities in Fiscal Year 2014
Operation Ceasefire	\$ 3,574,895	-	\$ 3,574,895	100.00%	No appropriation in Fiscal Year 2013
Methamphetamine Pilot Program	\$ 1,199,928	-	\$ 1,199,928	100.00%	No appropriation in Fiscal Year 2013
Violence Prevention Programs	\$ 9,075,670	-	\$ 9,075,670	100.00%	No appropriation in Fiscal Year 2013
After School Programs	\$ 6,411,052	-	\$ 6,411,052	100.00%	No appropriation in Fiscal Year 2013
Grants for Chicago Area Project - Lump sum	\$ 3,832,694	-	\$ 3,832,694	100.00%	No appropriation in Fiscal Year 2013
Grants for Programs to Reduce Recidivism	\$ 110,625	-	\$ 110,625	100.00%	No appropriation in Fiscal Year 2013
Grants to Award to Violence Prevention Programs	\$ 773,685	-	\$ 773,685	100.00%	No appropriation in Fiscal Year 2013
IL Violence Prevention Authority:					
Illinois Family Violence Coordinating Council	\$ 497,886	\$ 154,607	\$ 343,279	222.03%	Increased activities in Fiscal Year 2014
Grants per Violence Prevention Act of 1995	\$ -	\$ 1,077,461	\$ (1,077,461)	100.00%	No appropriation in Fiscal Year 2014
<u>ICJIA Violence Prevention Fund - 184</u>					
Personal Services	\$ 347,626	\$ 143,008	\$ 204,618	143.08%	Staff turnover and shift between Funds 184 and 318 of former IVPA employees
Awards and Grants	\$ 890,065	\$ 225,625	\$ 664,440	294.49%	Assumption of Former IVPA Appropriation and Governor's BIMP bill
<u>ICJIA Violence Prevention Special Projects Fund - 318</u>					
Governor's Neighborhood Recovery Initiative	\$ 721,753	\$ 568,890	\$ 152,863	26.87%	Increased activities in Fiscal Year 2014
Grants and Operations	\$ 841,686	\$ 2,655,593	\$ (1,813,907)	-68.31%	Grants transferred from IVPA ended in Fiscal Year 2014
U.S. Department of Justice Violence Against Women	\$ 588,612	\$ 133,376	\$ 455,236	341.32%	Increased activities in Fiscal Year 2014
<u>Criminal Justice Trust Fund - 488</u>					
Support of Federal Assistance Programs - ARRA	\$ 673,162	\$ 1,817,237	\$ (1,144,075)	-62.96%	Reallocation of awards and grants - lump sum appropriations
Local Awards and Grants - ARRA	\$ 3,837,923	\$ 5,896,181	\$ (2,058,258)	-34.91%	Decrease in funding and spending in Fiscal Year 2014
State Awards and Grants - ARRA	\$ 109,460	\$ 2,299,177	\$ (2,189,717)	-95.24%	Decrease in funding and spending in Fiscal Year 2014
Refunds - ARRA	\$ 1,141,112	-	\$ 1,141,112	100.00%	No appropriation in Fiscal Year 2013
<u>Death Penalty Abolition Fund - 539</u>					
Personal Services	\$ 109,829	\$ -	\$ 109,829	100.00%	No appropriation in Fiscal Year 2013
Lump Sum and Other Purposes	\$ 108,672	\$ 2,251	\$ 106,421	4727.72%	New Fund in Fiscal Year 2013, began implementing program in Fiscal Year 2014
State Awards and Grants	\$ 350,223	\$ 55,375	\$ 294,848	532.46%	New Fund in Fiscal Year 2013, began implementing program in Fiscal Year 2014
<u>Juvenile Accountability Incentive Block Grants Fund - 581</u>					
Awards and Grants	\$ 1,560,352	\$ 3,846,327	\$ (2,285,975)	-59.43%	Fund 581 was transferred to DHS in Fiscal Year 2014

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS
For the Two Years Ended June 30, 2015

Following are the explanations for significant variations in cash receipts (20% and \$100,000) noted between fiscal years ended June 30, 2015 and 2014:

	FISCAL YEAR ENDED JUNE 30		INCREASE (DECREASE) AMOUNT	PERCENTAGE	EXPLANATIONS
	2015	2014			
<u>General Revenue Fund - 001</u>					
Prior Year Refunds	\$ 1,556,226	\$ 760,023	\$ 796,203	104.76%	Increase in grant appropriations during Fiscal Year 2014
<u>ICJIA Violence Prevention Special Projects Fund - 318</u>					
Violence Against Women Act - Arrest Grant	\$ 191,724	\$ 386,716	\$ (194,992)	-50.42%	Reduction of Federal funding in Fiscal Year 2015
Illinois Department of Human Services	\$ 7,300,000	\$ -	\$ 7,300,000	100.00%	New grant in Fiscal Year 2015
Prior Year Refunds	\$ 217,023	\$ 1,368,257	\$ (1,151,234)	-84.14%	Decrease in refunds owed to grantees during Fiscal Year 2015

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS
For the Two Years Ended June 30, 2015

Following are the explanations for significant variations in cash receipts (20% and \$100,000) noted between fiscal years ended June 30, 2014 and 2013:

	FISCAL YEAR ENDED JUNE 30		INCREASE (DECREASE)	PERCENTAGE	EXPLANATIONS
	2014	2013			
<u>General Revenue Fund - 001</u>					
Prior Year Refunds	\$ 760,023	\$ 7,574	\$ 752,449	9934.63%	Increase in prior year refunds during Fiscal Year 2014
<u>ICJIA Violence Prevention Special Projects Fund - 318</u>					
Violence Against Women Act - Arrest Grant	\$ 386,716	\$ 263,289	\$ 123,427	46.88%	Additional Federal funding in Fiscal Year 2014
Prior Year Refunds	\$ 1,368,257	\$ -	\$ 1,368,257	100.00%	No prior year refunds during Fiscal Year 2013
<u>Criminal Justice Information Projects Fund - 335</u>					
Criminal Justice Information Projects	\$ 114,611	\$ 256,842	\$ (142,231)	-55.38%	Reduction of Federal funding in Fiscal Year 2014
<u>Criminal Justice Trust Fund - 488</u>					
ARRA Violence Against Women Act	\$ -	\$ 316,502	\$ (316,502)	-100.00%	No Federal funding in Fiscal Year 2014
Prior Year Refunds	\$ 167,045	\$ 12,980	\$ 154,065	1186.94%	Increase in prior year refunds during Fiscal Year 2014
<u>Juvenile Accountability Incentive Block Grants Fund - 581</u>					
U.S. Department of Justice	\$ -	\$ 771,463	\$ (771,463)	-100.00%	No Federal grant in Fiscal Year 2014

STATE OF ILLINOIS
 ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
 ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
 For the Two Years Ended June 30, 2015

Our review of lapse period expenditures for fiscal year ended June 30, 2015 disclosed appropriation line items with significant (greater than 20%) lapse period expenditures, as scheduled below:

Fiscal Year Ended June 30, 2015			
TOTAL EXPENDITURES	LAPSE PERIOD EXPENDITURES	PERCENTAGE	EXPLANATIONS
\$19,753	\$11,879	60.14%	Hard drives and training software purchased during lapse period
7,706	7,706	100.00%	Grantee submits one time payment per year that occurred in lapse period

General Revenue Fund - 001
 Electronic Data Processing

Illinois State Crime Stoppers Association Fund - 513
 Grants to Crime Stoppers Programs

STATE OF ILLINOIS
 ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
 ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
 For the Two Years Ended June 30, 2015

Our review of lapse period expenditures for fiscal year ended June 30, 2014 disclosed appropriation line items with significant (greater than 20%) lapse period expenditures, as scheduled below:

	Fiscal Year Ended June 30, 2014			
	TOTAL EXPENDITURES	LAPSE PERIOD EXPENDITURES	PERCENTAGE	EXPLANATIONS
<u>General Revenue Fund - 001</u>				
Operation Ceasefire	\$ 3,574,895	\$ 2,219,063	62.07%	Payments to Grantees for fourth quarter of Fiscal Year 2014
Grants to Award to Violence Prevention Programs	\$ 773,685	\$ 637,734	82.43%	Payments to Grantees for fourth quarter of Fiscal Year 2014
IL Violence Prevention Authority: Costs Associated with Bullying Prevention	\$ 205,599	\$ 143,085	69.59%	Payments to Grantees for fourth quarter of Fiscal Year 2014
<u>Death Penalty Abolition Fund - 539</u>				
State Awards and Grants	\$ 350,223	\$ 226,175	64.58%	Payments to Grantees for fourth quarter of Fiscal Year 2014
<u>ICJIA Violence Prevention Special Projects Fund - 318</u>				
Governor's Neighborhood Recovery Initiative	\$ 721,753	\$ 193,987	26.88%	Payments to Grantees for fourth quarter of Fiscal Year 2014
Grants and Operations	\$ 841,686	\$ 351,202	41.73%	Payments to Grantees for fourth quarter of Fiscal Year 2014
U.S. Department of Justice Violence Against Women	\$ 588,612	\$ 203,722	34.61%	Payments to Grantees for fourth quarter of Fiscal Year 2014

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
COMPLIANCE EXAMINATION

AGENCY FUNCTIONS AND PLANNING PROGRAM (NOT EXAMINED)
For the Two Years Ended June 30, 2015

Authority Functions

The Illinois Criminal Justice Information Authority (Authority) was created in 1983 as a State agency dedicated to improving the administration of criminal justice. The Authority brings together key leaders from the justice system and the public to identify critical issues facing the criminal justice system in Illinois, and to propose and evaluate policies, programs, and legislation that address those issues. The agency also works to ensure the criminal justice system in Illinois is efficient and effective.

The Authority has several statutorily mandated duties including the following:

- To coordinate the use of information within Illinois' criminal justice system;
- To promulgate effective criminal justice information policy;
- To improve criminal justice agency procedures and practices with respect to information and to improve new information technologies;
- To stimulate research and develop new methods and uses of criminal justice data for the improvement of the criminal justice system and reduction of crime;
- To protect the integrity of criminal history record information, while safeguarding the privacy rights of Illinois citizens; and
- To provide staff support for the Illinois Motor Vehicle Theft Prevention Council.

The Authority's specific powers and duties are detailed in the Illinois Criminal Justice Information Act (20 ILCS 3930). In addition to State guidelines, the Authority operates under the rules and guidelines of the Federal Crime Control Act of 1973, as amended.

The statutory responsibilities of the Authority fit into four areas: grants administration; research and analysis; policy and planning; and information systems and technology.

Grants Administration

- Implements and funds victim assistance and law enforcement programs under the Edward Byrne Memorial Justice Assistance Grant program, Victims of Crime Act, Violence Against Women Act, and other grant programs as they become available;
- Monitors program activity and provides technical assistance to grantees; and
- Provides staff support to the Illinois Motor Vehicle Theft Prevention Council, an 11-member board working to curb motor vehicle theft.

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
COMPLIANCE EXAMINATION

AGENCY FUNCTIONS AND PLANNING PROGRAM (NOT EXAMINED) (Continued)
For the Two Years Ended June 30, 2015

Research and Analysis

- Publishes research studies on a variety of crime trends and criminal justice issues;
- Acts as a clearinghouse for information and research on crime and the criminal justice system;
- Audits the state central repositories of criminal history record information for data accuracy and completeness; and
- Develops and tests statistical methodologies and provides statistical advice and interpretation to support criminal justice decision-making.

Policy and Planning

- Develops and implements comprehensive strategies for drug and violent crime law enforcement, crime control and prevention, and assistance to crime victims using federal funds awarded to Illinois;
- Advises the governor and the General Assembly on criminal justice policies and legislation;
- Coordinates policymaking groups to learn about ongoing concerns of criminal justice officials; and
- Develops and evaluates state and local programs for improving law enforcement and the administration of criminal justice.

Information Systems and Technology

- Designs, develops, and supports systems which enhance the quality of victim service data;
- Serves as the sole administrative appeal body for determining citizen challenges to the accuracy of their criminal history records;
- Monitors the operation of existing criminal justice information systems to protect the constitutional rights and privacy of citizens; and
- Supports the development of an integrated criminal justice information network in Illinois.

The Authority Board consists of the following 25 members:

- The Illinois Attorney General, or his or her designee,
- The Director of Corrections,

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
COMPLIANCE EXAMINATION

AGENCY FUNCTIONS AND PLANNING PROGRAM (NOT EXAMINED) (Continued)
For the Two Years Ended June 30, 2015

- The Director of State Police,
- The Director of Public Health,
- The Director of Children and Family Services,
- The Sheriff of Cook County,
- The State's Attorney of Cook County,
- The Clerk of the Circuit Court of Cook County,
- The President of the Cook County Board of Commissioner,
- The Superintendent of the Chicago Police Department,
- The Director of the Office of the State's Attorneys Appellate Prosecutor,
- The Executive Director of the Illinois Law Enforcement Training Standards Board,
- The State Appellate Defender,
- A Cook County Public Defender,
- The following additional members, each of whom shall be appointed by the Governor:
 - A circuit court clerk,
 - A sheriff,
 - A Public defender from a county other than Cook County,
 - A State's Attorney of a county other than Cook,
 - A chief of police, and
 - Six members of the general public.

The Authority is chaired by Judge Elizabeth Robb.

Authority Planning Program

The Authority's planning process identifies problems/needs consistent with its statutory mandates. Strategy objectives and program proposals are developed to address the identified needs. The Authority has developed long-range goals and short-term objectives that are updated annually as part of its planning and budget development process.

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
COMPLIANCE EXAMINATION

AVERAGE NUMBER OF EMPLOYEES (NOT EXAMINED)
For the Two Years Ended June 30, 2015

Authority members are supported by a professional staff trained in information technology, data analysis and criminal justice information administration. Staff functions include: developing and operating automated information systems, analyzing criminal justice policies and crime trends, provided training and technical assistance to other criminal justice professionals and administering Federal and State grants.

The following table prepared from the Authority records, presents the average number of persons (rounded to the nearest whole number) employed by the Authority for the fiscal years ended June 30, 2015, 2014, and 2013.

<u>FUNCTION JOB CLASSIFICATIONS</u>	<u>2015</u>	<u>2014</u>	<u>2013</u>
Fund 001 – General Revenue Fund	24	20	16
Fund 156 – Motor Vehicle Theft Prevention Trust Fund	3	3	4
Fund 184 – ICJIA Violence Prevention Fund	4	7	7
Fund 318 – ICJIA Violence Prevention Special Projects Fund	3	6	10
Fund 488 – Criminal Justice Trust Fund	29	31	40
Fund 539 – Death Penalty Abolition	2	1	0
Fund 581 – Juvenile Accountability Incentive Block Fund	0	0	1
Total Employees	65	68	78

In addition, the Authority had the following contractual employees.

<u>FUNCTION JOB CLASSIFICATIONS</u>	<u>2015</u>	<u>2014</u>	<u>2013</u>
Fund 001 – General Revenue Fund	2	4	0
Fund 318 – ICJIA Violence Prevention Special Projects Fund	0	2	1
Fund 488 – Criminal Justice Trust Fund	1	0	4
Total Contractual Employees	3	6	5
Total Employed	68	74	83

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
COMPLIANCE EXAMINATION

PUBLIC SAFETY SHARED SERVICES CENTER (NOT EXAMINED)
For the Two Years Ended June 30, 2015

To implement the Executive Order 2006-6, the Department of Corrections Public Safety Shared Services Center (PSSSC) was established to consolidate certain common administrative functions, such as human resources, personnel, payroll, timekeeping, procurement, and financial processes currently being performed by individual agencies. The stated purpose for this consolidation was to improve the ability of all State agencies to share management knowledge and capitalize on synergies and economies of scale. Certain timekeeping and payroll functions were transferred from the Illinois Criminal Justice Information Authority (Authority) to the Department of Corrections pursuant to a July 2007 Interagency Agreement. While a more substantial sharing of administrative services was originally planned, during the examination period, the PSSSC provided timekeeping and payroll services to the Authority. Under the shared services approach, timekeeping and payroll information was initially compiled and verified by the Authority before being submitted to the PSSSC for processing into systems maintained by the Department of Central Management Services.

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
COMPLIANCE EXAMINATION

SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED)
For the Two Years Ended June 30, 2015

INTRODUCTION

Created in 1983, the Illinois Criminal Justice Information Authority (Authority) is a State agency dedicated to improving the administration of criminal justice. The Authority works to identify critical issues facing the criminal justice system in Illinois, and to propose and evaluate policies, programs, and legislation that address those issues. The Authority also works to ensure the criminal justice system is as efficient and effective as possible. The Authority accomplishes its goals through effort in four major areas: 1) administration of grants for crime control, crime prevention, and victim assistance; 2) information systems, technology and support; 3) research, planning and coordination; and, 4) violence prevention.

<u>Program:</u>	<u>Expenditures (thousands)</u>		
	<u>2015</u>	<u>2014</u>	<u>2013</u>
Crime Control, Crime Prevention, and Victim Assistance	\$41,569.0	\$50,636.0	\$54,738.8
Information Systems, Technology and Support	2,128.0	2,126.0	735.1
Research, Planning and Coordination	1,532.0	1,699.0	1,189.1
Violence Prevention	5,821.0	28,334.0	24,995.9
Authority Totals	<u>\$51,050.0</u>	<u>\$82,795.0</u>	<u>\$81,658.9</u>

CRIME CONTROL, CRIME PREVENTION, AND VICTIM ASSISTANCE

Mission Statement:

To help improve the administration of justice in this State, the Authority develops, coordinates, administers, implements, and evaluates programs, designed to enhance and improve Illinois' criminal justice, juvenile justice, and victim service systems with several major federal assistance programs and state grants.

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
COMPLIANCE EXAMINATION

SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED) (Continued)
For the Two Years Ended June 30, 2015

Project goals and objectives:

1. Utilize federal and state grant funds to promote the improvement of Illinois' criminal and juvenile justice and victim service systems.
2. Ensure compliance with Federal and State requirements.
3. Ensure efficient and effective administration of Federal and State grant funds.
4. Reduce motor vehicle theft through the use of Illinois Motor Vehicle Theft Prevention Act funds.

Operating Statistics:	2015	2014	2013
Grants opened	303	385	327
Grants monitored	786	554	609
Program/fiscal reports processed	2,631	3,331	3,586

INFORMATION SYSTEMS, TECHNOLOGY AND SUPPORT

Mission Statement:

To improve the protection of citizens' lives and property in Illinois by designing, developing, and operating advanced technology that can be used to help Illinois law enforcement, victim services, and other criminal justice agencies collect and share data.

Project goals and objectives:

1. Provide information technology to law enforcement agencies that enable them to enhance public safety.
2. Maintain systems that enhance the quality of victim services data
3. Promote the accuracy of criminal history and other justice-related records.
4. Improve operational efficiencies and data quality by supporting the development of a criminal justice information network in Illinois.

STATE OF ILLINOIS
ILLINOIS CRIMINAL JUSTICE INFORMATION AUTHORITY
COMPLIANCE EXAMINATION

SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED) (Continued)
For the Two Years Ended June 30, 2015

RESEARCH, PLANNING AND COORDINATION

Mission Statement:

To help improvement the administration of justice in this State, the Authority: 1) identifies and analyzes critical issues facing the justice system; 2) proposes and evaluates policies, programs and legislation that address those issues; 3) advised the Governor, Illinois General Assembly, State and local officials, and member of the general public on the implication such research, evaluation and analysis; 4) functions as a repository of research data and other information; and, 5) disseminates information, develops tools, and provides technical assistance which supports State and local crime problem solving.

Project goals and objectives:

1. Identify, research and address issues, affecting the justice system in Illinois.
2. Promote the effectiveness of the justice system through research, planning and coordination.
3. Coordinate and implement multi-year comprehensive statewide criminal justice plan.

Operating Statistics:	<u>2015</u>	<u>2014</u>	<u>2013</u>
Reports published	8	7	28
Information requests handled	140	136	132
Publications mailed/downloaded	3,086,314	3,063,239	2,267,108
CJ Dispatch (Email) Subscribers	5,700	4,370	3,420
Website "hits"	8,733,513	9,284,887	8,267,314
Website visitors	367,064	413,285	261,275

VIOLENCE PREVENTION

Mission Statement:

To reduce the crime rate through violence prevention programs by planning, funding, coordination, assisting, and evaluating violence prevention efforts in Illinois. This program addresses all forms of interpersonal violence, family violence, youth violence and community safety applying evidence based practices.