



STATE OF ILLINOIS  
**OFFICE OF THE  
AUDITOR GENERAL**

William G. Holland, Auditor General

**SUMMARY REPORT DIGEST**

**EXECUTIVE ETHICS COMMISSION**

**Compliance Examination  
For the Year Ended June 30, 2014**

**Release Date: May 14, 2015**

<b>FINDINGS THIS AUDIT:</b>	<b>0</b>
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<b>FINDINGS LAST AUDIT:</b>	<b>2</b>
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**INTRODUCTION**

The Executive Ethics Commission (Commission) was created by the State Officials and Employees Ethics Act. The Commission consists of nine commissioners appointed by the five executive branch constitutional officers. The jurisdiction of the Commission extends to all officers and employees of State agencies other than the General Assembly, the Senate, the House of Representatives, the President and Minority Leader of the Senate, the Speaker and Minority Leader of the House of Representatives, the Senate Operations Commission, the legislative support services agencies, and the Office of the Auditor General. The Commission also has jurisdiction over all board members and employees of Regional Transit Boards and the Illinois Power Agency and its staff.

There were no material findings of noncompliance disclosed during our examination.

**ACCOUNTANT'S OPINION**

We conducted a compliance examination of the Commission for the year ended June 30, 2014, as required by the Illinois State Auditing Act. The auditors stated the Commission complied, in all material respects, with the requirements described in the report.

A handwritten signature in blue ink, appearing to read "William G. Holland", written over a horizontal line.

WILLIAM G. HOLLAND  
Auditor General <sup>2</sup>

WGH:ph

**AUDITORS ASSIGNED**

This examination was performed by the Office of the Auditor General's staff.

{Expenditures and Activity Measures are summarized on next page.}

**EXECUTIVE ETHICS COMMISSION  
COMPLIANCE EXAMINATION  
For the Year Ended June 30, 2014**

<b>EXPENDITURE STATISTICS</b>	<b>2014</b>	<b>2013</b>
<b>Total Expenditures</b> .....	\$ 6,555,173	\$ 6,569,377
<b>OPERATIONS TOTAL</b> .....	\$ 6,555,173	\$ 6,569,377
% of Total Expenditures.....	100.0%	100.0%
<b>Total Receipts</b> .....	\$ 98,134	\$ 24,656
<b>Average Number of Employees (Not Examined)</b> .....	<b>78</b>	<b>78</b>

<b>SELECTED ACTIVITY MEASURES (Not Examined)</b>	<b>2014</b>	<b>2013</b>
<b><u>Chief Procurement Officers</u></b>		
Number of procurements procured.....	9,715	8,740
Total dollar value of procurements procured.....	\$ 14,786,000,000	\$ 19,317,200,000
<b><u>Procurement Compliance Monitors</u></b>		
Number of procurements reviewed.....	1,318	672
Total dollar value of procurements reviewed.....	\$ 22,717,600,000	\$ 2,423,800,000
Number of issues reviewed.....	285	186

<b>EXECUTIVE DIRECTOR</b>	
During Examination Period:	Mr. Chad Fornoff
Currently:	Mr. Chad Fornoff