

**STATE OF ILLINOIS
EXECUTIVE ETHICS COMMISSION
COMPLIANCE EXAMINATION**

For the Year Ended June 30, 2015

STATE OF ILLINOIS
EXECUTIVE ETHICS COMMISSION
COMPLIANCE EXAMINATION
For the Year Ended June 30, 2015

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STATE OF ILLINOIS
EXECUTIVE ETHICS COMMISSION
COMPLIANCE EXAMINATION
For the Year Ended June 30, 2015

COMMISSION OFFICIALS

Executive Director:	Chad Fornoff
Deputy Executive Director:	Whitney Wagner Rosen
Office Manager:	Jeris Gordley
Chief Financial Officer:	Timothy R. Burch
Chief Information / Compliance Officer:	Craig P. Williams II

COMMISSION MEMBERS

James Nowlan, Chair
Maria Kuzas, Vice Chair
James Faught, Secretary
Teresa Bartels
Matthew Berns
Shawn Denney
Ryan Ruskin
James Schink
Stephen Schnorf

Commission office is located at:

401 South Spring Street
513 Wm. Stratton Building
Springfield, Il 62706



EXECUTIVE ETHICS COMMISSION

STATE OF ILLINOIS

401 S. Spring Street
513 William Stratton Building
Springfield, Illinois 62706

Phone: (217) 558-1393
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Honorable Frank J. Mautino
Auditor General
740 East Ash Street
Springfield, IL 62703

February 18, 2016

Dear Mr. Mautino:

We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the Illinois Executive Ethics Commission (Commission). We are responsible for and we have established and maintained an effective system of internal controls over compliance requirements. We have performed an evaluation of the Commission's compliance with the following assertions during the year ended June 30, 2015. Based on this evaluation, we assert that during the year June 30, 2015, the Commission has materially complied with the assertions below.

- A. The Commission has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The Commission has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The Commission has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the Commission are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.

Yours truly,

Executive Ethics Commission

Chad Fornoff, Executive Director

Whitney Rosen, Deputy Executive Director/
Chief Legal Counsel

Timothy Burch, Chief Financial Officer

STATE OF ILLINOIS
EXECUTIVE ETHICS COMMISSION
COMPLIANCE EXAMINATION
For the Year Ended June 30, 2015

COMPLIANCE REPORT

SUMMARY

The compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and in accordance with the Illinois State Auditing Act.

ACCOUNTANT'S REPORT

The Independent Accountant's Report on State Compliance, on Internal Control Over Compliance and on Supplementary Information for State Compliance Purposes does not contain scope limitations, disclaimers, or other significant non-standard language.

SUMMARY OF FINDINGS

<u>Number of</u>	<u>Current Report</u>	<u>Prior Report</u>
Findings	0	0
Repeated findings	0	0
Prior recommendations implemented or not repeated	0	2

EXIT CONFERENCE

The Commission waived an exit conference in correspondence dated February 18, 2016.

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OFFICE OF THE AUDITOR GENERAL
FRANK J. MAUTINO

INDEPENDENT ACCOUNTANT'S REPORT ON STATE COMPLIANCE,
ON INTERNAL CONTROL OVER COMPLIANCE, AND ON
SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

Honorable Frank J. Mautino
Auditor General
State of Illinois

Compliance

We have examined the State of Illinois, Executive Ethics Commission's compliance with the requirements listed below, as more fully described in the Audit Guide for Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (Audit Guide) as adopted by the Auditor General, during the year ended June 30, 2015. The management of the State of Illinois, Executive Ethics Commission is responsible for compliance with these requirements. Our responsibility is to express an opinion on the State of Illinois, Executive Ethics Commission's compliance based on our examination.

- A. The State of Illinois, Executive Ethics Commission has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The State of Illinois, Executive Ethics Commission has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The State of Illinois, Executive Ethics Commission has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the State of Illinois, Executive Ethics Commission are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.

Our examination was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States; the Illinois State Auditing Act (Act); and the Audit Guide as adopted by the Auditor General pursuant to the Act; and, accordingly, included examining, on a test basis, evidence about the State of Illinois, Executive Ethics Commission's compliance with those

requirements listed in the first paragraph of this report and performing such other procedures as we considered necessary in the circumstances. We believe that our examination provides a reasonable basis for our opinion. Our examination does not provide a legal determination on the State of Illinois, Executive Ethics Commission's compliance with specified requirements.

In our opinion, the State of Illinois, Executive Ethics Commission complied, in all material respects, with the compliance requirements listed in the first paragraph of this report during the year ended June 30, 2015.

Internal Control

Management of the State of Illinois, Executive Ethics Commission is responsible for establishing and maintaining effective internal control over compliance with the requirements listed in the first paragraph of this report. In planning and performing our examination, we considered the State of Illinois, Executive Ethics Commission's internal control over compliance with the requirements listed in the first paragraph of this report to determine the examination procedures that are appropriate in the circumstances for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with the Audit Guide, issued by the Illinois Office of the Auditor General, but not for the purpose of expressing an opinion on the effectiveness of the State of Illinois, Executive Ethics Commission's internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the State of Illinois, Executive Ethics Commission's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with the requirements listed in the first paragraph of this report on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a requirement listed in the first paragraph of this report will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

There were no immaterial findings that have been excluded from this report.

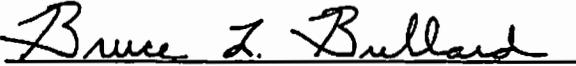
Supplementary Information for State Compliance Purposes

Our examination was conducted for the purpose of forming an opinion on compliance with the requirements listed in the first paragraph of this report. The accompanying supplementary information for the year ended June 30, 2015 in Schedules 1 through 8 and the Analysis of Operations Section is presented for purposes of additional analysis. We have applied certain

limited procedures as prescribed by the Audit Guide as adopted by the Auditor General to the June 30, 2015 accompanying supplementary information in Schedules 1 through 8. However, we do not express an opinion on the accompanying supplementary information.

We have not applied procedures to the June 30, 2014 accompanying supplementary information in Schedules 2, 3, 4, 5, 6, and 8 and in the Analysis of Operations Section, and accordingly, we do not express an opinion or provide any assurance on it.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, agency management, and is not intended to be and should not be used by anyone other than these specified parties.


BRUCE L. BULLARD, CPA
Director of Financial and Compliance Audits

Springfield, IL
February 18, 2016

STATE OF ILLINOIS
EXECUTIVE ETHICS COMMISSION
OAG MANAGEMENT AUDIT RECOMMENDATIONS UPDATE
For the Year Ended June 30, 2015

Office of the Auditor General Management Audit Recommendations Update

In March 2012, the Office of the Auditor General released a management audit of the State's Procurement of Health Insurance Vendors for the State's Group Health Insurance Program. The audit contained 15 recommendations directed at various State agencies, including the Executive Ethics Commission.

As part of the compliance examination of the Executive Ethics Commission for the period ended June 30, 2015, auditors followed up on the status of the not fully implemented recommendations and reported the following:

Recommendation 7	Implemented
Recommendation 13	Partially Implemented
Recommendation 15	Partially Implemented

Recommendation 7: The Commission should require its staff to review whether policies and procedures regarding scoring were followed before approving the award of State procurements.

Implemented: The Chief Procurement Officer for General Services updated the Procurement Manual with guidelines for review of the evaluation committee's work. There is a section addressing the review/tallying of evaluators score sheets. The comparison is done in part to see if there were major deviations in scores. The Illinois Administrative Code (44 Ill. Admin. Code Section 1.2015 f(3)) discusses the evaluation and whether the State Purchasing Officer determines whether the evaluation committee should meet to confirm the individual scores.

Recommendation 13: The Commission should ensure that if its staff questions whether requirements were satisfied, those questions should be answered and documented prior to approving the award of State health insurance contracts.

Partially Implemented: The Chief Procurement Officer for General Services has revised its Procurement Manual to require an instructional meeting to be conducted with all committee members. In addition to the detailed instructions on the evaluation and scoring process, it further states the meetings may be to discuss any questions or clarification an evaluator may have with all committee members present; however, there is no requirement for questions and answers to be documented.

STATE OF ILLINOIS
EXECUTIVE ETHICS COMMISSION
OAG MANAGEMENT AUDIT RECOMMENDATIONS UPDATE
For the Year Ended June 30, 2015

Recommendation 15: The Commission should, in instances where consultants have major roles in procurement activity, ensure its staff has an understanding of the work the consultant conducts prior to approving the award of State contracts.

Partially Implemented: While the Chief Procurement Officer for General Services has conducted a number of team meetings and trainings with staff, the documentation noted the topic of consultants' involvement in procurement activity was discussed in general terms. We did not note any documentation indicating the involvement of consultants in procurement activities was discussed in detail.

STATE OF ILLINOIS
EXECUTIVE ETHICS COMMISSION
OAG MANAGEMENT AUDIT RECOMMENDATIONS UPDATE
For the Year Ended June 30, 2015

Office of the Auditor General Management Audit Recommendations Update

In August 2014, the Office of the Auditor General released a management audit of the State's Procurement of Inmate Telephone Service Vendors. The audit contained six recommendations directed at various State agencies, including the Executive Ethics Commission with regards to the Chief Procurement Officer (CPO).

As part of the compliance examination of the Executive Ethics Commission for the period ended June 30, 2015, auditors followed up on the status of the recommendations related to the CPO and reported the following:

Recommendation 2	Not Implemented
Recommendation 3	Not Implemented
Recommendation 4	Not Implemented
Recommendation 5	Not Implemented

Recommendation 2: The Department of Central Management Services and the Chief Procurement Officer for General Services should ensure that the solicitation document specifies, for procurements that include mandatory site visits, whose attendance is required to meet the mandatory attendance requirement.

Not Implemented: The solicitation template provides for identification of whether attendance is mandatory and that a bidder will be disqualified and considered non-responsive if a bidder does not attend, is not on time, leaves early or fails to sign the attendance sheet. The CPO believes that specifying attendance at mandatory vendor conferences could lead to procurement delays, protests and disqualifications, and the CPO says that the State is not in a position to determine what level of participation should be required. No further specification of attendance requirements has been added to the solicitation documents.

Recommendation 3: The Department of Central Management Services and the Chief Procurement Officer for General Services should evaluate the different options available, determine the appropriate procurement method to use, and document the reasons the procurement method was selected.

Not Implemented: While the State Purchasing Officer (SPO) Determination Forms do verify which procurement methods are used, the forms lack documentation or explanations for the reason(s) the procurement method was selected. In addition, for some of the solicitations reviewed during the period, the solicitation documents were posted to the Illinois Procurement Bulletin on the same day that the SPO Determination Form was approved by the SPO. The SPO Determination Form should be approved in a timely manner prior to the posting of solicitation documents to the Illinois Procurement Bulletin.

STATE OF ILLINOIS
EXECUTIVE ETHICS COMMISSION
OAG MANAGEMENT AUDIT RECOMMENDATIONS UPDATE
For the Year Ended June 30, 2015

Recommendation 4: For future solicitations involving inmate collect calling services, the Department of Central Management Services and the Chief Procurement Officer for General Services should ensure that the factors used to evaluate pricing are developed in a timely fashion and adequately tested to avoid flaws in the pricing table.

Not Implemented: The CPO of General Services stated that there were no solicitations involving inmate collect calling services since the release of the management audit.

Recommendation 5: The Department of Central Management Services and the Chief Procurement Officer for General Services should fully document the rationale for amending the contract rates as well as its effect on the original competitive procurement. Should CMS and the Chief Procurement Officer determine that the contract amendment significantly altered the terms of the competitive procurement, they should take the necessary actions to restore the contract to its original economic balance.

For future contract amendments, CMS and the Chief Procurement Officer should ensure that decisions to change contract terms and conditions are adequately supported and documented.

Finally, the Chief Procurement Officer should avoid situations where CPO employees make decisions on procurements in which they were previously involved on behalf of the procuring agency.

Not Implemented: While the Chief Procurement Officer for General Services has stated that the contract amendment associated with this procurement do include the rationale for the amendments, the auditors did not receive any additional documentation showing the effect on the original competitive procurement. The amendment significantly reduced the amount of commission revenue received by the State, and significantly increased the revenue received by the vendor; however, no documentation or justification was provided to support the rationale for not adjusting the rates to maintain a similar economic balance to the procurement. No additional amendments were made to this procurement since the release of the management audit. In addition, we did not receive any additional documentation showing that the CPO's office implemented steps to avoid situations where CPO employees make decisions on procurements in which they were previously involved on behalf of the procuring agency.

STATE OF ILLINOIS
EXECUTIVE ETHICS COMMISSION
COMPLIANCE EXAMINATION
For the Year Ended June 30, 2015

SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

SUMMARY

Supplementary Information for State Compliance Purposes presented in this section of the report includes the following:

- Fiscal Schedules and Analysis:
 - Schedule of Appropriations, Expenditures and Lapsed Balances
Fiscal Year 2015
 - Comparative Schedule of Net Appropriations, Expenditures and Lapsed
Balances
 - Schedule of Changes in State Property
 - Comparative Schedule of Cash Receipts and Reconciliation of Cash
Receipts to Deposits Remitted to the State Comptroller
 - Analysis of Significant Variations in Expenditures
 - Analysis of Significant Variations in Receipts
 - Analysis of Significant Lapse Period Spending
 - Analysis of Accounts Receivable

- Analysis of Operations (Not Examined):
 - Agency Functions and Planning Program (Not Examined)
 - Average Number of Employees (Not Examined)
 - Service Efforts and Accomplishments (Not Examined)

The accountant's report that covers the Supplementary Information for State Compliance Purposes presented in the Compliance Report Section states the accountants have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General to the June 30, 2015 accompanying supplementary information in Schedules 1 through 8. However, the accountants do not express an opinion on the supplementary information. The accountant's report also states that they have not applied procedures to the Analysis of Operations Section, and accordingly, they do not express an opinion or provide any assurance on it.

Schedule 1

STATE OF ILLINOIS
EXECUTIVE ETHICS COMMISSION
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
Appropriations for Fiscal Year 2015

Fourteen Months Ended August 31, 2015

	Appropriations	Expenditures Through 6/30/15	Lapse Period Expenditures 7/01 - 8/31/15	Total Expenditures	Balances Lapsed
Public Act 98-0679					
Public Act 99-0001	\$ 6,440,900	\$ 6,364,375	\$ 34,081	\$ 6,398,456	\$ 42,444
APPROPRIATED FUNDS					
<u>GENERAL REVENUE FUND - 001</u>					
Lump Sum - Operational Expenses	\$ 6,440,900	\$ 6,364,375	\$ 34,081	\$ 6,398,456	\$ 42,444
GRAND TOTAL - ALL FUNDS	<u>\$ 6,440,900</u>	<u>\$ 6,364,375</u>	<u>\$ 34,081</u>	<u>\$ 6,398,456</u>	<u>\$ 42,444</u>

Note 1: Appropriations, expenditures, and lapsed balances were obtained from State Comptroller records and have been reconciled to records of the Commission.
Note 2: Expenditure amounts are vouchers approved for payment by the Commission and submitted to the State Comptroller for payment to the vendor.

STATE OF ILLINOIS
EXECUTIVE ETHICS COMMISSION
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS,
EXPENDITURES AND LAPSED BALANCES**
For the Fiscal Years Ended June 30, 2015 and 2014

	Fiscal Year	
	2015	2014
	P.A. 98-0679	
	P.A. 99-0001	P.A. 98-0064
General Revenue Fund - 001		
Appropriations	\$ 6,440,900	\$ 6,589,200
Expenditures		
Lump Sum - Operational Expenses	\$ 6,398,456	\$ 6,555,173
Total Expenditures	\$ 6,398,456	\$ 6,555,173
Lapsed Balances	\$ 42,444	\$ 34,027

STATE OF ILLINOIS
EXECUTIVE ETHICS COMMISSION
SCHEDULE OF CHANGES IN STATE PROPERTY
For the Year Ended June 30, 2015

		Equipment
Balance at July 1, 2014	\$	239,489
Additions		9,357
Deletions		-
Net Transfers		(4,275)
Balance at June 30, 2015	\$	244,571

Note: The above schedule has been derived from Commission records which have been reconciled to property reports submitted to the Office of the Comptroller.

STATE OF ILLINOIS
EXECUTIVE ETHICS COMMISSION
**COMPARATIVE SCHEDULE OF CASH RECEIPTS AND
RECONCILIATION OF CASH RECEIPTS TO DEPOSITS
REMITTED TO THE STATE COMPTROLLER**

For the Fiscal Years Ended June 30,

<u>General Revenue Fund - 001</u>	<u>2015</u>	<u>2014</u>
Administrative Fines	\$ 53,246	\$ 65,634
Miscellaneous	30,677	32,500
Total Cash Receipts per Commission Records	<u>83,923</u>	<u>98,134</u>
Plus - In Transit at Beginning of Year	15,023	-
Less - In Transit at End of Year	(421)	(15,023)
Total Cash Receipts per State Comptroller's Records	<u><u>\$ 98,525</u></u>	<u><u>\$ 83,111</u></u>

STATE OF ILLINOIS
EXECUTIVE ETHICS COMMISSION
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Year Ended June 30, 2015

There were no significant variations in expenditures between Fiscal Years 2015 and 2014.

**STATE OF ILLINOIS
EXECUTIVE ETHICS COMMISSION
ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS
For the Year Ended June 30, 2015**

There were no significant variations in receipts between Fiscal Years 2015 and 2014.

STATE OF ILLINOIS
EXECUTIVE ETHICS COMMISSION
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
For the Year Ended June 30, 2015

There was no significant lapse period spending noted during Fiscal Year 2015.

STATE OF ILLINOIS
EXECUTIVE ETHICS COMMISSION
ANALYSIS OF ACCOUNTS RECEIVABLE
For the Fiscal Years Ended June 30,

<u>General Revenue Fund - Fund 001</u>	<u>2015</u>	<u>2014</u>
<u>Age</u>		
Current	\$ 5,250	\$ 43,200
1-30 days	-	25
31-90 days	-	50
91-180 days	-	3,555
181+ days past due	<u>5,714</u>	<u>6,511</u>
Total	<u>\$ 10,964</u>	<u>\$ 53,341</u>

These amounts represent receivables related to administrative fines and prohibited political contributions.

Note: Amounts have been obtained from the Quarterly Summary of Accounts Receivables as reported to the Illinois Office of the Comptroller by the Commission.

STATE OF ILLINOIS
EXECUTIVE ETHICS COMMISSION
AGENCY FUNCTIONS AND PLANNING PROGRAM
For the Year Ended June 30, 2015
(Not Examined)

AGENCY FUNCTIONS

The Executive Ethics Commission (Commission) was originally created by the State Officials and Employees Ethics Act (Ethics Act) (5 ILCS 430/et seq.). The Commission consists of nine commissioners appointed by the five executive branch constitutional officers. The Governor appoints five of the nine Commissioners, and the Attorney General, Secretary of State, Treasurer and Comptroller each appoint one.

The jurisdiction of the Commission extends to all officers and employees of State agencies other than the General Assembly, the Senate, the House of Representatives, the President and Minority Leader of the Senate, the Speaker and Minority Leader of the House of Representatives, the Senate Operations Commission, the legislative support services agencies, and the Office of the Auditor General. The Commission also has jurisdiction over all board members and employees of Regional Transit Boards and the Illinois Power Agency and its staff.

The Ethics Act charges the Commission with the following duties:

- Promulgate rules governing the performance of Commission duties and governing the investigations of the Executive Inspectors General;
- Conduct administrative hearings on investigations brought before the Commission by an Executive Inspector General;
- Receive periodic reports from the Executive Inspectors General and the Attorney General regarding ongoing and completed investigations;
- Prepare and publish manuals and guides and oversee training of executive agency employees;
- Prepare public information materials to facilitate compliance, implementation, and enforcement of the Ethics Act;
- Make rulings, issue recommendations, and impose administrative fines on ethics cases brought before it;
- Issue subpoenas with respect to matters pending before the Commission;
- Appoint Special Executive Inspectors General to investigate Executive Inspectors General or to pursue investigations of executive agency ethical misconduct allegations that have failed to be resolved within six months;
- Receive reports of ex parte communications that each agency and constitutional officer is required to file with the Commission;

STATE OF ILLINOIS
EXECUTIVE ETHICS COMMISSION
AGENCY FUNCTIONS AND PLANNING PROGRAM
For the Year Ended June 30, 2015
(Not Examined)

- Liaise with Ethics Officers of various Executive Branch offices and agencies;
- Appoint and establish the salaries of Chief Procurement Officers (CPOs) and Procurement Compliance Monitors (PCMs) to oversee and review procurements and the procurement process;
- Conduct administrative hearings on a complaint, or possible removal, of a Chief Internal Auditor, PCM, or State Purchasing Officer (SPO) brought before the Commission by a CPO or an Executive Officer of a State Agency;
- Grant exemptions requested by the CPOs to remove named individuals from conflict of interest prohibitions; and
- Responsible for oversight of the Illinois Power Agency and authority for the appointment of and removal, if necessary, of its Director.

AGENCY PLANNING

The Commission is an adjudicative and monitoring body with its powers and duties detailed in the State Officials and Employees Ethics Act, the Illinois Procurement Code, and the Fiscal Control and Internal Auditing Act. The Commission operates to fulfill the statutory responsibilities in a timely and efficient manner. The Commission documents its long-term and short-term goals in the Public Accountability Report (PAR) and the Budgeting for Results Report.

The Commission's administrative rules help implement and communicate the responsibilities set forth in the State Officials and Employees Ethics Act.

STATE OF ILLINOIS
EXECUTIVE ETHICS COMMISSION
AVERAGE NUMBER OF EMPLOYEES
For the Year Ended June 30, 2015
(Not Examined)

The following table, prepared from Commission records, presents the average number of employees by function, for the Fiscal Years ended June 30,

<u>Division</u>	<u>2015</u>	<u>2014</u>
Administration	11	12
Procurement Compliance Monitoring	13	15
Capital Development Board	6	6
Illinois Department of Transportation	3	4
General Services	29	31
Higher Education	12	10
Total Average Full-Time Employees	<u>74</u>	<u>78</u>

STATE OF ILLINOIS
EXECUTIVE ETHICS COMMISSION
SERVICE EFFORTS AND ACCOMPLISHMENTS
For the Year Ended June 30, 2015
(Not Examined)

The Executive Ethics Commission (Commission) maintained a website for State employees and the general public. This website outlines information about the Commission and staff, publications, decisions reached by the Commission, statutes and rules governing the Commission, frequently asked questions, other ethics organizations, and quarterly reports. In addition, the website provides contact information for the Commission as well as information on contacting the Office of Executive Inspector General. The website also contains redacted reports from the Office of the Inspector General regarding certain allegations and investigations. The website describes the role of the Commission and the Chief Procurement Officers in the procurement process.

The Commission hosted its annual ethics officer conference on March 6, 2015 for Fiscal Year 2015, inviting ethics officers and general counsels from each agency under its jurisdiction to attend. Over 100 attendees participated in sessions on ethics-related topics, including ex parte communication reporting, the revolving door process, ethics officer 101, gift ban, prohibited political activity, statements of economic interest, the lawyers' assistance program, whistle blowing rights and duties, and an ethics quiz that followed the training.

Statistical information regarding activities of Chief Procurement Officers and Procurement Compliance Monitors appears below:

<u>Chief Procurement Officers</u>	<u>Fiscal Year</u> <u>2015</u>	<u>Fiscal Year</u> <u>2014</u>
Number of procurements procured	7,905	9,715
Total dollar value of procurements procured	\$12,832,200,000	\$14,786,000,000
<u>Procurement Compliance Monitors</u>		
Number of procurements reviewed	1,335	1,318
Total dollar value of procurements reviewed	\$6,651,700,000	\$22,717,600,000
Number of issues reviewed	131	285