



STATE OF ILLINOIS  
OFFICE OF THE  
**AUDITOR GENERAL**

Frank J. Mautino, Auditor General

**SUMMARY REPORT DIGEST**

**EXECUTIVE ETHICS COMMISSION**

**Compliance Examination  
For the Year Ended June 30, 2019**

**Release Date: December 8, 2020**

<b>FINDINGS THIS AUDIT:</b>	<b>0</b>
<b>FINDINGS LAST AUDIT:</b>	<b>0</b>

**INTRODUCTION**

The Executive Ethics Commission (Commission) was created by the State Officials and Employees Ethics Act (5 ILCS 430/1-1 et seq.). The Commission consists of nine commissioners appointed by the five executive branch constitutional officers. The jurisdiction of the Commission extends to all officers and employees of State agencies other than the General Assembly, the Senate, the House of Representatives, the President and Minority Leader of the Senate, the Speaker and Minority Leader of the House of Representatives, the Senate Operations Commission, the legislative support services agencies, and the Office of the Auditor General. The Commission also has jurisdiction over all board members and employees of Regional Transit Boards and the Illinois Power Agency and its staff.

There were no material findings of noncompliance disclosed during our examination.

**ACCOUNTANT'S OPINION**

The accountants conducted a compliance examination of the Commission for the year ended June 30, 2018, as required by the Illinois State Auditing Act. The accountants stated the Commission complied, in all material respects, with the requirements described in the report.

This compliance examination was conducted by the Office of the Auditor General's staff.

**SIGNED ORIGINAL ON FILE**

JANE CLARK  
Division Director

This report is transmitted in accordance with Section 3-14 of the Illinois State Auditing Act.

**SIGNED ORIGINAL ON FILE**

FRANK J. MAUTINO  
Auditor General

FJM:CD

{Expenditures and Activity Measures are summarized on next page.}

**EXECUTIVE ETHICS COMMISSION  
COMPLIANCE EXAMINATION  
For the Year Ended June 30, 2019**

<b>EXPENDITURE STATISTICS</b>	<b>2019</b>	<b>2018</b>
<b>Total Expenditures.....</b>	<b>\$ 5,614,998</b>	<b>\$ 5,901,486</b>
OPERATIONS TOTAL.....	\$ 5,614,998	\$ 5,901,486
% of Total Expenditures.....	100.0%	100.0%
Personal Services.....	4,773,772	4,801,257
Other Payroll Costs (FICA, Retirement).....	345,656	345,886
All Other Operating Expenditures.....	495,570	754,343
<b>Total Receipts.....</b>	<b>\$ 15,031</b>	<b>\$ 51,265</b>
<b>Average Number of Employees.....</b>	<b>64</b>	<b>63</b>

<b>EXECUTIVE DIRECTOR</b>
During Examination Period: Mr. Chad Fornoff (7/1/18 - 10/15/19) and Ms. Michelle Casey (10/18/19 - 12/19/19)(Interim)
Currently: Ms. Michelle Casey (effective 12/20/19)