

**STATE OF ILLINOIS  
GENERAL ASSEMBLY**

**HOUSE OF REPRESENTATIVES**

**COMPLIANCE EXAMINATION**

**For the Two Years Ended June 30, 2015**

**Performed as Special Assistant Auditors  
For the Auditor General, State of Illinois**

**STATE OF ILLINOIS**  
**GENERAL ASSEMBLY**  
**HOUSE OF REPRESENTATIVES**  
**COMPLIANCE EXAMINATION**  
**For the Two Years Ended June 30, 2015**

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**STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
COMPLIANCE EXAMINATION  
For the Two Years Ended June 30, 2015**

**AGENCY OFFICIALS**

Speaker of the House	Representative Michael J. Madigan
Minority Leader (Current) Minority Leader (07/01/13 to 09/20/13)	Representative Jim Durkin Representative Tom Cross
Clerk of the House	Timothy D. Mapes
Assistant Clerk of the House	Bradley S. Bolin

Agency offices are located at:

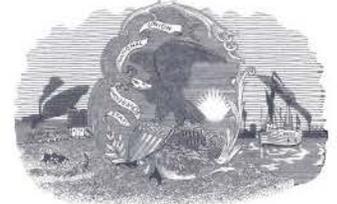
Office of the Speaker of the House  
300 State House  
Springfield, Illinois 62706

Office of the Minority Leader  
405 State House  
Springfield, Illinois 62706

Office of the Clerk of the House  
420 State House  
Springfield, Illinois 62706



ILLINOIS HOUSE OF REPRESENTATIVES  
**OFFICE OF THE CLERK**



TIMOTHY D. MAPES  
CLERK

MICHAEL J. MADIGAN  
SPEAKER

BRAD BOLIN  
ASSISTANT CLERK

December 17, 2015

West & Company, LLC  
Certified Public Accountant  
919 E. Harris Avenue  
Greenville, IL 62246

We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the State of Illinois, House of Representatives - Office of the Clerk. We are responsible for and we have established and maintained an effective system of internal controls over compliance requirements. We have performed an evaluation of the State of Illinois, House of Representatives - Office of the Clerk's compliance with the following assertions during the two-year period ended June 30, 2015. Based on this evaluation, we assert that during the years ended June 30, 2014 and June 30, 2015, the State of Illinois, House of Representatives – Office of the Clerk has materially complied with the assertions below.

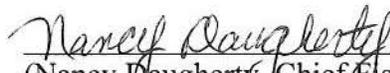
- A. The State of Illinois, House of Representatives – Office of the Clerk has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The State of Illinois, House of Representatives – Office of the Clerk has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The State of Illinois, House of Representatives – Office of the Clerk has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the State of Illinois, House of Representatives – Office of the Clerk are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.

- E. Money or negotiable securities or similar assets handled by the State of Illinois, House of Representatives – Office of the Clerk on behalf of the State or held in trust by the State of Illinois, House of Representatives – Office of the Clerk have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.

Yours truly,

State of Illinois, House of Representatives – Office of the Clerk

  
\_\_\_\_\_  
(Timothy D. Mapes, Clerk of the House)

  
\_\_\_\_\_  
(Nancy Daugherty, Chief Fiscal Officer)



**GENERAL ASSEMBLY**  
**STATE OF ILLINOIS**

**MICHAEL J. MADIGAN**  
SPEAKER  
HOUSE OF REPRESENTATIVES

ROOM 300  
STATE HOUSE  
SPRINGFIELD, ILLINOIS 62706

December 17, 2015

West & Company, LLC  
Certified Public Accountant  
919 E. Harris Avenue  
Greenville, IL 62246

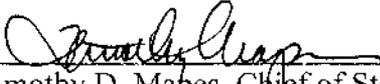
We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the State of Illinois, House of Representatives - Democratic Leadership. We are responsible for and we have established and maintained an effective system of internal controls over compliance requirements. We have performed an evaluation of the State of Illinois, House of Representatives - Democratic Leadership compliance with the following assertions during the two-year period ended June 30, 2015. Based on this evaluation, we assert that during the years ended June 30, 2014 and June 30, 2015, the State of Illinois, House of Representatives - Democratic Leadership has materially complied with the assertions below.

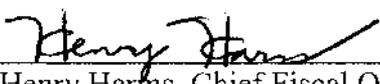
- A. The State of Illinois, House of Representatives - Democratic Leadership has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The State of Illinois, House of Representatives - Democratic Leadership has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The State of Illinois, House of Representatives - Democratic Leadership has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the State of Illinois, House of Representatives - Democratic Leadership are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.

- E. Money or negotiable securities or similar assets handled by the State of Illinois, House of Representatives – Democratic Leadership on behalf of the State or held in trust by the State of Illinois, House of Representatives – Democratic Leadership have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.

Yours truly,

State of Illinois, House of Representatives – Democratic Leadership

  
\_\_\_\_\_  
(Timothy D. Mapes, Chief of Staff)

  
\_\_\_\_\_  
(Henry Harms, Chief Fiscal Officer)



ILLINOIS HOUSE OF REPRESENTATIVES  
**HOUSE REPUBLICAN LEADERSHIP  
FISCAL OFFICE**

December 17, 2015

West & Company, LLC  
Certified Public Accountant  
919 E. Harris Avenue  
Greenville, IL 62246

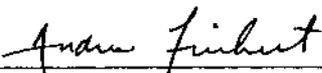
We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the State of Illinois, House of Representatives – Republican Leadership. We are responsible for and we have established and maintained an effective system of internal controls over compliance requirements. We have performed an evaluation of the State of Illinois, House of Representatives – Republican Leadership compliance with the following assertions during the two-year period ended June 30, 2015. Based on this evaluation, we assert that during the years ended June 30, 2014 and June 30, 2015, the State of Illinois, House of Representatives – Republican Leadership has materially complied with the assertions below.

- A. The State of Illinois, House of Representatives – Republican Leadership has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The State of Illinois, House of Representatives – Republican Leadership has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The State of Illinois, House of Representatives – Republican Leadership has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the State of Illinois, House of Representatives – Republican Leadership are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.

- E. Money or negotiable securities or similar assets handled by the State of Illinois, House of Representatives – Republican Leadership on behalf of the State or held in trust by the State of Illinois, House of Representatives – Republican Leadership have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.

Yours truly,

State of Illinois, House of Representatives – Republican Leadership

  
\_\_\_\_\_  
(Andrew Freiheit, Chief of Staff)

  
\_\_\_\_\_  
(Kathy Miller, Chief Fiscal Officer)

**STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
COMPLIANCE EXAMINATION  
For the Two Years Ended June 30, 2015**

**COMPLIANCE REPORT**

**SUMMARY**

The compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and in accordance with the Illinois State Auditing Act.

**ACCOUNTANT'S REPORT**

The Independent Accountant's Report on State Compliance, on Internal Control Over Compliance and on Supplementary Information for State Compliance Purposes does not contain scope limitations, disclaimers, or other significant non-standard language.

**SUMMARY OF FINDINGS**

<u>Number of</u>	<u>Current Report</u>	<u>Prior Report</u>
Findings	—	—
Repeated findings	—	—
Prior recommendations implemented or not repeated	—	—

**EXIT CONFERENCE**

The results of this examination were discussed with Agency personnel at an exit conference on December 17, 2015. Attending were:

Illinois General Assembly – Office of the Clerk

Mr. Timothy Mapes, House Clerk  
Ms. Nancy Daugherty, Fiscal Officer  
Mr. John Hollman, Reading Clerk

Illinois General Assembly – House Democratic Leadership

Mr. Henry Harms, Fiscal Officer

**STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
COMPLIANCE EXAMINATION  
For the Two Years Ended June 30, 2015**

**COMPLIANCE REPORT (Continued)**

**EXIT CONFERENCE (Continued)**

Office of the Auditor General

Ms. Jane Clark, Statewide Single Audit Manager

West & Company, LLC – Special Assistant Auditors

Ms. Janice Romack, Partner

Mr. Michael Lawler, Manager

House Republican Leadership declined an exit conference in correspondence dated December 10, 2015.

**WEST & COMPANY, LLC**

CERTIFIED PUBLIC ACCOUNTANTS  
&  
CONSULTANTS

919 EAST HARRIS AVENUE  
GREENVILLE, IL 62246

(618) 664-4848  
www.westcpa.com

MEMBERS

RICHARD C. WEST  
BRIAN E. DANIELL  
JANICE K. ROMACK  
DIANA R. SMITH  
D. RAIF PERRY  
JOHN H. VOGT  
JOSHUA D. LOWE

OFFICES

EDWARDSVILLE  
EFFINGHAM  
GREENVILLE  
MATTOON  
SULLIVAN

**INDEPENDENT ACCOUNTANT'S REPORT ON STATE COMPLIANCE,  
ON INTERNAL CONTROL OVER COMPLIANCE, AND ON  
SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES**

Honorable William G. Holland  
Auditor General  
State of Illinois

**Compliance**

As Special Assistant Auditors for the Auditor General, we have examined the State of Illinois, General Assembly – House of Representatives' compliance with the requirements listed below, as more fully described in the Audit Guide for Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (Audit Guide) as adopted by the Auditor General, during the two years ended June 30, 2015. The management of the State of Illinois, General Assembly – House of Representatives is responsible for compliance with these requirements. Our responsibility is to express an opinion on the State of Illinois, General Assembly – House of Representatives' compliance based on our examination.

- A. The State of Illinois, General Assembly – House of Representatives has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The State of Illinois, General Assembly – House of Representatives has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The State of Illinois, General Assembly – House of Representatives has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the State of Illinois, General Assembly – House of Representatives are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.

- E. Money or negotiable securities or similar assets handled by the State of Illinois, General Assembly – House of Representatives on behalf of the State or held in trust by the State of Illinois, General Assembly – House of Representatives have been properly and legally administered and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.

Our examination was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States; the Illinois State Auditing Act (Act); and the Audit Guide as adopted by the Auditor General pursuant to the Act; and, accordingly, included examining, on a test basis, evidence about the State of Illinois, General Assembly – House of Representatives’ compliance with those requirements listed in the first paragraph of this report and performing such other procedures as we considered necessary in the circumstances. We believe that our examination provides a reasonable basis for our opinion. Our examination does not provide a legal determination on the State of Illinois, General Assembly – House of Representatives’ compliance with specified requirements.

In our opinion, the State of Illinois, General Assembly – House of Representatives complied, in all material respects, with the compliance requirements listed in the first paragraph of this report during the two years ended June 30, 2015.

### **Internal Control**

Management of the State of Illinois, General Assembly – House of Representatives is responsible for establishing and maintaining effective internal control over compliance with the requirements listed in the first paragraph of this report. In planning and performing our examination, we considered the State of Illinois, General Assembly – House of Representatives’ internal control over compliance with the requirements listed in the first paragraph of this report to determine the examination procedures that are appropriate in the circumstances for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with the Audit Guide, issued by the Illinois Office of the Auditor General, but not for the purpose of expressing an opinion on the effectiveness of the State of Illinois, General Assembly – House of Representatives’ internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the State of Illinois, General Assembly – House of Representatives’ internal control over compliance.

*A deficiency in internal control over compliance* exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with the requirements listed in the first paragraph of this report on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a requirement listed in the first paragraph of this report will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

As required by the Audit Guide, immaterial findings excluded from this report have been reported in a separate letter to your office.

### **Supplementary Information for State Compliance Purposes**

Our examination was conducted for the purpose of forming an opinion on compliance with the requirements listed in the first paragraph of this report. The accompanying supplementary information for the years ended June 30, 2015 and June 30, 2014 in schedules 1 through 9 and the Analysis of Operations Section is presented for purposes of additional analysis. We have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General, to the June 30, 2015 and the June 30, 2014 accompanying supplementary information in Schedules 1 through 9. However, we do not express an opinion on the accompanying supplementary information.

We have not applied procedures to the June 30, 2013 accompanying supplementary information in Schedules 3 through 8 and in the Analysis of Operations Section, and accordingly, we do not express an opinion or provide any assurance on it.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, and State of Illinois, General Assembly – House of Representatives management, and is not intended to be and should not be used by anyone other than these specified parties.

*West & Company, LLC*

Greenville, Illinois  
December 17, 2015

**STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
COMPLIANCE EXAMINATION  
For the Two Years Ended June 30, 2015**

**SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES**

**SUMMARY**

Supplementary Information for State Compliance Purposes presented in this section of the report includes the following:

- Fiscal Schedules and Analysis:

- Schedule of Appropriations, Expenditures and Lapsed Balances
- Comparative Schedule of Net Appropriations, Expenditures and Lapsed Balances
- Comparative Schedule of Net Appropriations, Expenditures and Lapsed Balances by Major Object Code
- Schedule of Changes in State Property
- Comparative Schedule of Cash Receipts and Reconciliation of Cash Receipts to Deposits Remitted to the State Comptroller
- Analysis of Significant Variations in Expenditures
- Analysis of Significant Variations in Receipts
- Analysis of Significant Lapse Period Spending

- Analysis of Operations (Not Examined):

- Agency Functions and Planning Program (Not Examined)
- Average Number of Employees (Not Examined)
- Service Efforts and Accomplishments (Not Examined)

The accountant's report that covers the Supplementary Information for State Compliance Purposes presented in the Compliance Report Section states the accountants have applied certain limited procedures as prescribed by the Audit Guide, as adopted by the Auditor General to the June 30, 2015 and June 30, 2014 accompanying supplementary information in Schedules 1 through 9. However, the accountants do not express an opinion on the supplementary information. The accountant's report also states that they have not applied procedures to the Analysis of Operations Section, and accordingly, they do not express an opinion or provide any assurance on it.

**STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES  
Appropriations for Fiscal Year 2015**

Fourteen Months Ended August 31, 2015

<u>PUBLIC ACT 98-0679</u>	<u>Appropriations (Net After Transfers)</u>	<u>Expenditures Through 6/30/15</u>	<u>Lapse Period Expenditures 7/01-8/31/15</u>	<u>Total Expenditures</u>	<u>Balances Lapsed</u>
<u>GENERAL REVENUE FUND - 001</u>					
For ordinary and incidental expenses of leadership staff and office operations					
Speaker	\$ 5,109,643	\$ 3,937,285	\$ 749,596	\$ 4,686,881	\$ 422,762
Minority Leader	4,903,609	4,744,372	85,856	4,830,228	73,381
For the ordinary and incidental expenses of committees, the general staff and operations, per diem employees, special and standing committees of the House, and expenses incurred in transcribing and printing of House debates	5,631,018	4,444,065	263,473	4,707,538	923,480
For the ordinary and incidental expenses of the House also including the purchase on contract as required by law of printing, binding, printing paper, stationary, and office supplies, no part of which shall be expended for expenses of purchasing, handling, or distributing such supplies and against which no indebtedness shall be incurred without the written approval of the Speaker of the House of Representatives	94,996	29,271	34,588	63,859	31,137
For travel expenses to Springfield of members on official legislative business during weeks when the General Assembly is not in session	30,394	11,785	-	11,785	18,609

**STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES (Continued)  
Appropriations for Fiscal Year 2015**

Fourteen Months Ended August 31, 2015

<u>PUBLIC ACT 98-0679</u>	<u>Appropriations (Net After Transfers)</u>	<u>Expenditures Through 6/30/15</u>	<u>Lapse Period Expenditures 7/01-8/31/15</u>	<u>Total Expenditures</u>	<u>Balances Lapsed</u>
<u>GENERAL REVENUE FUND - 001 (Continued)</u>					
For furnishing the items to members throughout the year in connection with their legislative duties and responsibilities and not in connection with any political campaign	8,190,285	7,426,107	357,211	7,783,318	406,967
For use of House standing committees for expert witnesses, technical services, consulting assistance, and other research assistance associated with special studies and long range research projects which may be requested by the standing committees	3,444,963	2,871,061	86,982	2,958,043	486,920
For expenses in connection with the planning and preparation of redistricting of legislative and representative districts as required by Article IV, section 3 of the Illinois Constitution of 1970:					
Speaker	441,600	-	-	-	441,600
For expenses in connection with the planning and preparation of redistricting of legislative and representative districts as required by Article IV, section 3 of the Illinois Constitution of 1970:					
Speaker	478,903	1,400	-	1,400	477,503
Minority Leader	472,307	-	-	-	472,307
Subtotal fund - 001	<u>\$ 28,797,718</u>	<u>\$23,465,346</u>	<u>\$ 1,577,706</u>	<u>\$ 25,043,052</u>	<u>\$ 3,754,666</u>

**STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES (Continued)  
Appropriations for Fiscal Year 2015**

Fourteen Months Ended August 31, 2015

	Appropriations (Net After Transfers)	Expenditures Through 6/30/15	Lapse Period Expenditures 7/01-8/31/15	Total Expenditures	Balances Lapsed
<u>PUBLIC ACT 98-0679</u>					
<u>GENERAL ASSEMBLY OPERATIONS</u>					
<u>REVOLVING FUND - 196</u>					
For ordinary and contingent expenses	\$ 250,000	\$ 11,000	\$ -	\$ 11,000	\$ 239,000
GRAND TOTAL - ALL FUNDS	<u>\$ 29,047,718</u>	<u>\$23,476,346</u>	<u>\$ 1,577,706</u>	<u>\$ 25,054,052</u>	<u>\$ 3,993,666</u>

Note 1: The information reflected in this schedule was taken directly from the records of the State Comptroller and reconciled to the records of the Agency.

Note 2: Expenditure amounts are vouchers approved for payment by the Agency and submitted to the State Comptroller for payment to the vendor.

**STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES  
Appropriations for Fiscal Year 2014**

Fourteen Months Ended August 31, 2014

	<u>Appropriations (Net After Transfers)</u>	<u>Expenditures Through 6/30/14</u>	<u>Lapse Period Expenditures 7/01-8/31/14</u>	<u>Total Expenditures</u>	<u>Balances Reappropriated July 1</u>	<u>Balances Lapsed</u>
<u>PUBLIC ACT 98-0064</u>						
<u>GENERAL REVENUE FUND - 001</u>						
For ordinary and incidental expenses of leadership staff and office operations						
17 Speaker	\$ 5,109,643	\$ 4,050,053	\$ 578,910	\$ 4,628,963	\$ -	\$ 480,680
Minority Leader	4,903,609	4,527,143	159,100	4,686,243	-	217,366
For the ordinary and incidental expenses of committees, the general staff and operations, per diem employees, special and standing committees of the House, and expenses incurred in transcribing and printing of House debates	5,631,018	4,487,547	124,674	4,612,221	-	1,018,797
For the ordinary and incidental expenses of the House also including the purchase on contract as required by law of printing, binding, printing paper, stationary, and office supplies, no part of which shall be expended for expenses of purchasing, handling, or distributing such supplies and against which no indebtedness shall be incurred without the written approval of the Speaker of the House of Representatives	94,996	11,619	46,366	57,985	-	37,011
For travel expenses to Springfield of members on official legislative business during weeks when the General Assembly is not in session	30,394	4,629	-	4,629	-	25,765

**STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES (Continued)  
Appropriations for Fiscal Year 2014**

Fourteen Months Ended August 31, 2014

	Appropriations (Net After Transfers)	Expenditures Through 6/30/14	Lapse Period Expenditures 7/01-8/31/14	Total Expenditures	Balances Reappropriated July 1	Balances Lapsed
<u>PUBLIC ACT 98-0064</u>						
<u>GENERAL REVENUE FUND - 001 (Continued)</u>						
For furnishing the items to members throughout the year in connection with their legislative duties and responsibilities and not in connection with any political campaign	8,190,285	7,344,030	383,763	7,727,793	-	462,492
For use of House standing committees for expert witnesses, technical services, consulting assistance, and other research assistance associated with special studies and long range research projects which may be requested by the standing committees	3,444,963	2,980,356	73,892	3,054,248	-	390,715
For expenses in connection with the planning and preparation of redistricting of legislative and representative districts as required by Article IV, section 3 of the Illinois Constitution of 1970:						
Speaker	441,600	-	-	-	441,600	-
For expenses in connection with the planning and preparation of redistricting of legislative and representative districts as required by Article IV, section 3 of the Illinois Constitution of 1970:						
Speaker	478,903	-	-	-	478,903	-
Minority Leader	476,118	3,811	-	3,811	472,307	-
Subtotal fund - 001	<u>\$ 28,801,529</u>	<u>\$23,409,188</u>	<u>\$ 1,366,705</u>	<u>\$ 24,775,893</u>	<u>\$ 1,392,810</u>	<u>\$2,632,826</u>

**STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES (Continued)  
Appropriations for Fiscal Year 2014**

Fourteen Months Ended August 31, 2014

	<u>Appropriations (Net After Transfers)</u>	<u>Expenditures Through 6/30/14</u>	<u>Lapse Period Expenditures 7/01-8/31/14</u>	<u>Total Expenditures</u>	<u>Balances Reappropriated July 1</u>	<u>Balances Lapsed</u>
<u>PUBLIC ACT 98-0064</u>						
<u>GENERAL ASSEMBLY OPERATIONS</u>						
<u>REVOLVING FUND - 196</u>						
For ordinary and contingent expenses	\$ 250,000	\$ 11,000	\$ -	\$ 11,000	\$ -	\$ 239,000
GRAND TOTAL - ALL FUNDS	<u>\$ 29,051,529</u>	<u>\$23,420,188</u>	<u>\$ 1,366,705</u>	<u>\$ 24,786,893</u>	<u>\$ 1,392,810</u>	<u>\$2,871,826</u>

Note 1: The information reflected in this schedule was taken directly from the records of the State Comptroller and reconciled to the records of the Agency.

Note 2: Expenditure amounts are vouchers approved for payment by the Agency and submitted to the State Comptroller for payment to the vendor.

**STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS,  
EXPENDITURES AND LAPSED BALANCES  
For the Fiscal Years Ended June 30, 2015, 2014, and 2013**

	FISCAL YEAR		
	2015	2014	2013
<u>GENERAL REVENUE FUND - 001</u>	<u>P.A.98-0679</u>	<u>P.A.98-0064</u>	<u>P.A. 97-0726</u>
Appropriations (net after transfers)	\$ 28,797,718	\$ 28,801,529	\$ 28,846,508
<b>EXPENDITURES</b>			
For ordinary and incidental expenses of leadership staff and office operations			
Speaker	4,686,881	4,628,963	4,815,270
Minority Leader	4,830,228	4,686,243	4,800,516
For the ordinary and incidental expenses of committees, the general staff and operations, per diem employees, special and standing committees of the House, and expenses incurred in transcribing and printing of House	4,707,538	4,612,221	4,904,577
For the ordinary and incidental expenses of the House also including the purchase on contract as required by law of printing, binding, printing paper, stationary, and office supplies, no part of which shall be expended for expenses of purchasing, handling, or distributing such supplies and against which no indebtedness shall be incurred without the written approval of the Speaker of the House of Representatives	63,859	57,985	70,898
For travel expenses to Springfield of members on official legislative business during weeks when the General Assembly is not in session	11,785	4,629	21,928
For furnishing the items to members throughout the year in connection with their legislative duties and responsibilities and not in connection with any political campaign	7,783,318	7,727,793	7,532,681
For use of House standing committees for expert witnesses, technical services, consulting assistance, and other research assistance associated with special studies and long range research projects which may be requested by the standing committees	2,958,043	3,054,248	2,922,760
For expenses in connection with the planning and preparation of redistricting of legislative and representative districts as required by Article IV, section 3 of the Illinois Constitution of 1970:			
Speaker	1,400	-	21,097
Minority Leader	-	3,811	23,882
Total expenditures	25,043,052	24,775,893	25,113,609
Balances reappropriated July 1	-	1,392,810	1,396,621
Lapsed balances fund - 001	\$ 3,754,666	\$ 2,632,826	\$ 2,336,278

**STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS,  
EXPENDITURES AND LAPSED BALANCES (Continued)  
For the Fiscal Years Ended June 30, 2015, 2014, and 2013**

	FISCAL YEAR		
	2015	2014	2013
<u>GENERAL ASSEMBLY OPERATIONS REVOLVING FUND - 196</u>	P.A.98-0679	P.A.98-0064	P.A. 97-0726
Appropriations (net after transfers)	\$ 250,000	\$ 250,000	\$ 250,000
EXPENDITURES			
For ordinary and contingent expenses	11,000	11,000	-
Lapsed balances fund - 196	\$ 239,000	\$ 239,000	\$ 250,000
<u>GRAND TOTAL - ALL FUNDS</u>			
Appropriations (net after transfers)	\$ 29,047,718	\$ 29,051,529	\$ 29,096,508
Total expenditures	25,054,052	24,786,893	25,113,609
Balances reappropriated July 1	-	1,392,810	1,396,621
Lapsed balances all funds	\$ 3,993,666	\$ 2,871,826	\$ 2,586,278

**STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS,  
EXPENDITURES AND LAPSED BALANCES (Continued)  
For the Fiscal Years Ended June 30, 2015, 2014, and 2013**

	FISCAL YEAR		
	2015	2014	2013
<u>STATE OFFICERS' SALARIES</u>	P.A.98-0679	P.A.98-0064	P.A. 97-0726
Appropriations to the State Comptroller's office for House of Representatives members' salaries	\$ 9,325,310	\$ 9,064,150	\$ 9,064,150
<b>EXPENDITURES</b>			
For the Speaker of the House and Minority Leader	54,954	52,428	52,428
For the Majority Leader of the House	23,230	22,162	22,162
For the 12 Assistant Majority and Minority Leaders in the House	210,781	205,399	198,218
For the Majority and Minority Conference Chairmen in the House	15,056	17,236	17,236
For the two Deputy Majority and the two Deputy Minority Leaders in the House	79,164	75,525	75,525
For the Chairmen and Minority Spokesmen of Standing and Select Committees in the House	561,098	533,657	533,657
For Salaries, 118 Members of the House of Representatives	8,015,954	7,647,402	7,653,758
Total expenditures	8,960,237	8,553,809	8,552,984
Lapsed balances state officers' salaries	\$ 365,073	\$ 510,341	\$ 511,166

**STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS,  
EXPENDITURES AND LAPSED BALANCES BY MAJOR OBJECT CODE  
For the Fiscal Years Ended June 30, 2015, 2014, and 2013**

	FISCAL YEAR		
	2015	2014	2013
<u>GENERAL REVENUE FUND - 001</u>	<u>P.A.98-0679</u>	<u>P.A.98-0064</u>	<u>P.A. 97-0726</u>
Appropriations (net after transfers)	<u>\$ 28,797,718</u>	<u>\$ 28,801,529</u>	<u>\$ 28,846,508</u>
<b>EXPENDITURES</b>			
Personal services	\$ 15,331,821	\$ 15,379,902	\$ 14,950,282
State contribution to social security	1,107,321	1,107,335	1,091,932
Personal services - contractual	2,947,859	2,995,109	3,059,903
Social security - contractual employees	216,302	220,334	222,583
Contractual services	3,328,099	3,124,524	3,192,772
Travel	314,973	242,494	399,670
Printing	110,265	136,574	134,434
Commodities	245,832	259,873	339,530
Equipment	667,790	609,331	832,279
Electronic data processing	24,220	59,058	84,627
Telecommunications	633,109	577,868	680,866
Operation of automobile equipment	23,060	29,688	25,843
Lump sums and other purposes	404	169	21,124
Permanent improvements	<u>91,997</u>	<u>33,634</u>	<u>77,764</u>
Total expenditures	25,043,052	24,775,893	25,113,609
Balances reappropriated July 1	<u>-</u>	<u>1,392,810</u>	<u>1,396,621</u>
Lapsed balances fund - 001	<u>\$ 3,754,666</u>	<u>\$ 2,632,826</u>	<u>\$ 2,336,278</u>

**STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS,  
EXPENDITURES AND LAPSED BALANCES BY MAJOR OBJECT CODE (Continued)  
For the Fiscal Years Ended June 30, 2015, 2014, and 2013**

	FISCAL YEAR		
	2015	2014	2013
<u>GENERAL REVENUE FUND - 196</u>	P.A.98-0679	P.A.98-0064	P.A. 97-0726
Appropriations (net after transfers)	\$ 250,000	\$ 250,000	\$ 250,000
Contractual services	11,000	11,000	-
Lapsed balances fund - 196	<u>\$ 239,000</u>	<u>\$ 239,000</u>	<u>\$ 250,000</u>
<u>GRAND TOTAL - ALL FUNDS</u>			
Appropriations (net after transfers)	\$ 29,047,718	\$ 29,051,529	\$ 29,096,508
Expenditures	25,054,052	24,786,893	25,113,609
Balances reappropriated July 1	<u>-</u>	<u>1,392,810</u>	<u>1,396,621</u>
Lapsed balances all funds	<u>\$ 3,993,666</u>	<u>\$ 2,871,826</u>	<u>\$ 2,586,278</u>

**STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
SCHEDULE OF CHANGES IN STATE PROPERTY  
For the Two Years Ended June 30, 2015**

	<u>Equipment</u>
Balance at July 1, 2013	\$ 6,484,905
Additions	1,334,972
Deletions	(114,935)
Net transfers	<u>19,969</u>
Balance at June 30, 2014	<u>\$ 7,724,911</u>
Balance at July 1, 2014	\$ 7,724,911
Additions	547,685
Deletions	(11,318)
Net transfers	<u>(99,894)</u>
Balance at June 30, 2015	<u>\$ 8,161,384</u>

Note: The above schedule has been derived from Agency records which have been reconciled to the property reports submitted to the State Comptroller.

**STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
COMPARATIVE SCHEDULE OF CASH RECEIPTS  
AND RECONCILIATION OF CASH RECEIPTS TO  
DEPOSITS REMITTED TO THE STATE COMPTROLLER  
For the Fiscal Years Ended June 30, 2015, 2014, and 2013**

	FISCAL YEAR		
	2015	2014	2013
<u>GENERAL REVENUE FUND - 001</u>			
Majority Leadership	\$ 146	\$ 132	\$ 94
Minority Leadership	18	39	123
Clerk of the House	114	258	78
Prior year refunds	2,008	8,449	17,674
	<u>2,286</u>	<u>8,878</u>	<u>17,969</u>
Total cash receipts per Agency	2,286	8,878	17,969
Less - In transit at End of Year	-	-	-
Plus - In transit at Beginning of Year	-	-	-
	<u>-</u>	<u>-</u>	<u>-</u>
Total cash receipts per State Comptroller's Records	<u>\$ 2,286</u>	<u>\$ 8,878</u>	<u>\$ 17,969</u>

**STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
ANALYSIS OF SIGNIFICANT VARIATIONS  
For the Two Years Ended June 30, 2015**

Schedule 7

**ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES**

In fiscal year 2015 and fiscal year 2014, there were no significant variations in expenditures for the House of Representatives which differed by at least \$25,000 and by more than 20% from the previous year.

Schedule 8

**ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS**

The House of Representatives receives minimal receipts. The receipts consist of refunds and various reimbursements for jury duty, photographs and telephone calls. These receipts are expected to fluctuate between fiscal years. In fiscal year 2013, the House of Representatives received \$16,317 of prior year refunds from a vendor for overpayment of services provided, due to a misunderstanding of contract terms. In fiscal year 2014, the House of Representatives received \$7,488 of prior year refunds from a vendor proclaiming that the House of Representatives' account was over stated.

**STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING  
For the Two Years Ended June 30, 2015**

For the fiscal year ended June 30, 2015, significant lapse period spending greater than 20% was:

<u>Expenditure Item</u>	<u>Fund</u>	<u>Total Expenditures</u>	<u>Lapse Period Expenditures</u>	<u>Percent</u>
For the ordinary and incidental expenses of the House also including the purchase on contract as required by law of printing, binding, printing paper, stationary, and office supplies, no part of which shall be expended for expenses of purchasing, handling, or distributing such supplies and against which no indebtedness shall be incurred without the written approval of the Speaker of the House of Representatives	001	\$ 63,859	\$ 34,588	54%

Lapse period spending was due to the purchase of office supplies prior to June 30, 2015, which was not paid until lapse period. Office supplies are normally purchased at this time of the year for the upcoming year.

For the fiscal year ended June 30, 2014, significant lapse period spending greater than 20% was:

<u>Expenditure Item</u>	<u>Fund</u>	<u>Total Expenditures</u>	<u>Lapse Period Expenditures</u>	<u>Percent</u>
For the ordinary and incidental expenses of the House also including the purchase on contract as required by law of printing, binding, printing paper, stationary, and office supplies, no part of which shall be expended for expenses of purchasing, handling, or distributing such supplies and against which no indebtedness shall be incurred without the written approval of the Speaker of the House of Representatives	001	\$ 57,985	\$ 46,366	80%

Lapse period spending was due to the purchase of office supplies prior to June 30, 2014, which was not paid until lapse period. Office supplies are normally purchased at this time of the year for the upcoming year.

**STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
ANALYSIS OF OPERATIONS  
For the Two Years Ended June 30, 2015**

AGENCY FUNCTIONS AND PLANNING PROGRAM (NOT EXAMINED)

Agency Functions

The Legislative Power of the State of Illinois is vested in the General Assembly, which is composed of a 59-member Senate and a 118-member House of Representatives. Its principal activities are enacting, amending or repealing laws, passing resolutions, adopting appropriation bills and conducting inquiries on proposed legislation.

As of 1982, each Legislative district is comprised of one Senate district, which is divided into two representative districts. Every two years, one representative is elected from each representative district for a term of two years.

On the first day of January session of the General Assembly in odd-numbered years, the Secretary of State shall convene the House of Representatives to elect from its membership a Speaker of the House of Representatives as presiding officer. The House shall also elect a Minority Leader, a Clerk of the House, and Assistant Clerk of the House and a Doorkeeper.

Speaker of the House

Speaker of the House of Representatives – Representative Michael J. Madigan

The Speaker has those powers conferred upon him or her by the constitution, the laws of Illinois, and any other motions or resolutions adopted by the House or jointly by the House and Senate. The Speaker is the chief administrative officer of the House and has those powers necessary to carry out those functions. Various duties and responsibilities are outlined in House Rule 4.

Minority Leader

Minority Leader – Representative Jim Durkin

The Minority Leader has those powers conferred upon him or her by the constitution, the laws of Illinois, and any other motions or resolutions adopted by the House or jointly by the House and Senate. For purposes of powers of appointment conferred by the Constitution, the Minority Leader of either house is a member of the numerically strongest political party other than the party to which the Speaker belongs. The Minority Leader has general supervision of the minority caucus staff.

**STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
ANALYSIS OF OPERATIONS  
For the Two Years Ended June 30, 2015**

AGENCY FUNCTIONS AND PLANNING PROGRAM (NOT EXAMINED) (Continued)

Clerk of the House and Assistant Clerk of the House

Clerk of the House – Timothy D. Mapes

Assistant Clerk of the House – Bradley Bolin

The Clerk of the House may adopt policies and procedures for the conduct of his or her office. Various duties and responsibilities are outlined in House Rule 6. The Speaker is the final arbiter of any dispute arising in connection with the operation of the Office of the Clerk.

The Assistant Clerk of the House shall perform those duties as assigned by the Clerk.

Doorkeeper

Doorkeeper – Lee Crawford

The Doorkeeper shall perform those duties assigned by law, or as ordered by the Speaker, Presiding Officer, or Clerk. The various duties are outlined in House Rule 8.

Planning Program

The House of Representatives does not have a formal planning program. A long range planning program is difficult to formulate for a legislative body, whose purpose is to legislate rather than to provide a governmental service. The powers and duties of the House or Representatives are detailed in the State of Illinois Constitution, the laws of Illinois, other motions or resolutions adopted by the House or jointly by the House and the Senate, and by the House Rules.

**STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
ANALYSIS OF OPERATIONS  
For Two Years Ended June 30, 2015**

AVERAGE NUMBER OF EMPLOYEES (NOT EXAMINED)

The following table, prepared from Agency records, presents the average number of employees, by function, for the past three years.

	Fiscal Year		
	2015	2014	2013
Regular full-time employees			
Speaker of the House	74	73	69
Minority Leader	96	96	97
Office of the Clerk	181	185	179
Total average full-time employees	351	354	345
Contractual full-time employees			
Speaker of the House	33	35	32
Minority Leader	12	13	5
Office of the Clerk	90	87	93
Total average contractual full-time employees	135	135	130
Total average employees	486	489	475

**STATE OF ILLINOIS  
GENERAL ASSEMBLY  
HOUSE OF REPRESENTATIVES  
ANALYSIS OF OPERATIONS  
For the Two Years Ended June 30, 2015**

SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED)

2015 Session 99<sup>th</sup> General Assembly  
January 2015 – October 2015

4,322 House Bills Introduced  
1,695 House Bills Passed House Committees  
404 House Bills Passed the House  
306 House Bills Passed Both House and Senate  
32 House Bills Vetoed or Amendatorially Vetoed  
273 House Bills Signed into Law

2014 Session 98<sup>th</sup> General Assembly  
January 2014 – January 2015

2,545 House Bills Introduced  
938 House Bills Passed House Committees  
433 House Bills Passed the House  
283 House Bills Passed Both House and Senate  
6 House Bills Vetoed or Amendatorially Vetoed  
272 House Bills Signed into Law

2013 Session 98<sup>th</sup> General Assembly  
January 2013 – December 2013

3,765 House Bills Introduced  
741 House Bills Passed House Committees  
469 House Bills Passed the House  
367 House Bills Passed Both House and Senate  
7 House Bills Vetoed or Amendatorially Vetoed  
361 House Bills Signed into Law