

**STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION**

For the Two Years Ended June 30, 2019

STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2019

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STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2019

AGENCY OFFICIALS

Speaker of the House	Representative Michael J. Madigan
Minority Leader	Representative Jim Durkin
Clerk of the House Through 6/6/18 Acting 6/7/18 - 11/12/18 Effective 11/13/18	Timothy D. Mapes John Hollman John Hollman
Assistant Clerk of the House	Bradley S. Bolin

Agency offices are located at:

Office of the Speaker of the House
300 State House
Springfield, IL 62706

Office of the Minority Leader
316 State House
Springfield, IL 62706

Office of the Clerk of the House
402 Stratton Building
Springfield, IL 62706

401 SOUTH SPRING STREET
ROOM 400 NORTH
SPRINGFIELD, ILLINOIS 62706
TELEPHONE 217-782-2041
FAX 217-782-0800



ILLINOIS HOUSE OF REPRESENTATIVES

**HOUSE REPUBLICAN LEADERSHIP
FISCAL OFFICE**

January 9, 2020

Kerber, Eck & Braeckel LLP
3200 Robbins Road, Suite 200A
Springfield, IL 62704

We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the State of Illinois, House of Representatives – Republican Leadership. We are responsible for and we have established and maintained an effective system of internal controls over compliance requirements. We have performed an evaluation of the State of Illinois, House of Representatives – Republican Leadership’s compliance with the following specified requirements during the two-year period ended June 30, 2019. Based on this evaluation, we assert that during the years ended June 30, 2018 and June 30, 2019, the State of Illinois, House of Representatives – Republican Leadership has materially complied with the specified requirements listed below.

- A. The State of Illinois, House of Representatives – Republican Leadership has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The State of Illinois, House of Representatives – Republican Leadership has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The State of Illinois, House of Representatives – Republican Leadership has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the State of Illinois, House of Representatives – Republican Leadership are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.

- E. Money or negotiable securities or similar assets handled by the State of Illinois, House of Representatives – Republican Leadership on behalf of the State or held in trust by the State of Illinois, House of Representatives – Republican Leadership have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.

Yours truly,

State of Illinois, House of Representatives – Republican Leadership

SIGNED ORIGINAL ON FILE

(Andrew Freiheit, Chief of Staff)

SIGNED ORIGINAL ON FILE

(Bev Marshall, Fiscal Officer)



**GENERAL ASSEMBLY
STATE OF ILLINOIS**

MICHAEL J. MADIGAN
SPEAKER
HOUSE OF REPRESENTATIVES

ROOM 300
STATE HOUSE
SPRINGFIELD, ILLINOIS 62706

January 9, 2020

Kerber, Eck & Braeckel LLP
3200 Robbins Road, Suite 200A
Springfield, IL 62704

We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the State of Illinois, House of Representatives – Democratic Leadership. We are responsible for and we have established and maintained an effective system of internal controls over compliance requirements. We have performed an evaluation of the State of Illinois, House of Representatives – Democratic Leadership’s compliance with the following specified requirements during the two-year period ended June 30, 2019. Based on this evaluation, we assert that during the years ended June 30, 2018 and June 30, 2019, the State of Illinois, House of Representatives – Democratic Leadership has materially complied with the specified requirements listed below.

- A. The State of Illinois, House of Representatives – Democratic Leadership has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The State of Illinois, House of Representatives – Democratic Leadership has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The State of Illinois, House of Representatives – Democratic Leadership has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the State of Illinois, House of Representatives – Democratic Leadership are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.

- E. Money or negotiable securities or similar assets handled by the State of Illinois, House of Representatives – Democratic Leadership on behalf of the State or held in trust by the State of Illinois, House of Representatives – Democratic Leadership have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.

Yours truly,

State of Illinois, House of Representatives – Democratic Leadership

SIGNED ORIGINAL ON FILE

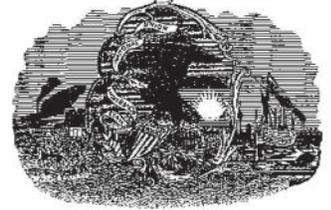
(Jessica Basham, Chief of Staff)

SIGNED ORIGINAL ON FILE

(Henry Harms, Chief Fiscal Officer)



ILLINOIS HOUSE OF REPRESENTATIVES
OFFICE OF THE CLERK



JOHN W. HOLLMAN
CLERK

MICHAEL J. MADIGAN
SPEAKER

BRAD BOLIN
ASSISTANT CLERK

January 9, 2020

Kerber, Eck & Braeckel LLP
3200 Robbins Road, Suite 200A
Springfield, IL 62704

We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the State of Illinois, House of Representatives – Office of the Clerk. We are responsible for and we have established and maintained an effective system of internal controls over compliance requirements. We have performed an evaluation of the State of Illinois, House of Representatives - Office of the Clerk's compliance with the following specified requirements during the two-year period ended June 30, 2019. Based on this evaluation, we assert that during the years ended June 30, 2018 and June 30, 2019, the State of Illinois, House of Representatives – Office of the Clerk has materially complied with the specified requirements listed below.

- A. The State of Illinois, House of Representatives – Office of the Clerk has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The State of Illinois, House of Representatives – Office of the Clerk has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The State of Illinois, House of Representatives – Office of the Clerk has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the State of Illinois, House of Representatives – Office of the Clerk are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.

- E. Money or negotiable securities or similar assets handled by the State of Illinois, House of Representatives – Office of the Clerk on behalf of the State or held in trust by the State of Illinois, House of Representatives – Office of the Clerk have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.

Yours truly,

State of Illinois, House of Representatives – Office of the Clerk

SIGNED ORIGINAL ON FILE

(John Hollman, Clerk of the House)

SIGNED ORIGINAL ON FILE

(Nancy Daugherty, Chief Fiscal Officer)

STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2019

COMPLIANCE REPORT

SUMMARY

The compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and the Illinois State Auditing Act.

ACCOUNTANT’S REPORTS

The Independent Accountant’s Report on State Compliance, on Internal Control Over Compliance, and on Supplementary Information for State Compliance Purposes does not contain scope limitations, disclaimers, or other significant non-standard language.

SUMMARY OF FINDINGS

<u>Number of</u>	<u>Current Report</u>	<u>Prior Report</u>
Findings	-	-
Repeated Findings	-	-
Prior recommendations implemented or not repeated	-	-

EXIT CONFERENCE

Clerk of the House personnel waived an exit conference in correspondence from Nancy Daugherty, Fiscal Officer, dated December 17, 2019.

House Republican Leadership personnel waived an exit conference in correspondence from Bev Marshall, Fiscal Officer, dated December 10, 2019.

House Democratic Leadership personnel waived an exit conference in correspondence from Henry Harms, Fiscal Officer, dated December 9, 2019.

INDEPENDENT ACCOUNTANT’S REPORT ON STATE COMPLIANCE,
ON INTERNAL CONTROL OVER COMPLIANCE, AND ON
SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

Legislative Audit Commission
State of Illinois
Springfield, Illinois

Compliance

We have examined compliance by the State of Illinois, General Assembly - House of Representatives with the specified requirements listed below, as more fully described in the Audit Guide for Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (Audit Guide) as adopted by the Auditor General, during the two years ended June 30, 2019. Management of the State of Illinois, General Assembly - House of Representatives is responsible for compliance with the specified requirements. Our responsibility is to express an opinion on the State of Illinois, General Assembly - House of Representatives’ compliance with the specific requirements based on our examination.

The specified requirements are:

- A. The State of Illinois, General Assembly - House of Representatives has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The State of Illinois, General Assembly - House of Representatives has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The State of Illinois, General Assembly - House of Representatives has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the State of Illinois, General Assembly - House of Representatives are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the State of Illinois, General Assembly - House of Representatives on behalf of the State or held in trust by the State of Illinois, General Assembly - House of Representatives have been properly and legally administered and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.

Our examination was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States; the Illinois State Auditing Act (Act); and the *Audit Guide*. Those standards, the Act, and the *Audit Guide* require that we plan and perform the examination to obtain reasonable assurance about whether the State of Illinois, General Assembly - House of Representatives complied with the specified requirements in all material aspects. An examination involves performing procedures to obtain evidence about whether the State of Illinois, General Assembly - House of Representatives complied with the specified requirements. The nature, timing and extent of the procedures selected depend on our judgement, including an assessment of the risks of material noncompliance with the specified requirements, whether due to fraud or error. We believe that the evidence we obtained is sufficient and appropriate to provide a reasonable basis for our opinion.

Our examination does not provide a legal determination on the State of Illinois, Illinois General Assembly - House of Representatives' compliance with specified requirements.

In our opinion, the State of Illinois, General Assembly - House of Representatives complied with the specified requirements during the two years ended June 30, 2019, in all material aspects.

The purpose of this report is solely to describe the scope of our testing and the results of that testing in accordance with the requirements of the *Audit Guide*. Accordingly, this report is not suitable for any other purpose.

Internal Control Over Compliance

Management of the State of Illinois, General Assembly - House of Representatives is responsible for establishing and maintaining effective internal control over compliance with the specified requirements (internal control).

In planning and performing our examination, we considered the State of Illinois, General Assembly - House of Representatives' internal control to determine the examination procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the State of Illinois, General Assembly - House of Representatives' compliance with the specified requirements and to test and report on the State of Illinois, General Assembly - House of Representatives' internal control in accordance with the *Audit Guide*, but not for the purpose of expressing an opinion on the effectiveness of the State of Illinois, General Assembly - House of Representatives' internal control. Accordingly, we do not express an opinion on the effectiveness of the State of Illinois, General Assembly - House of Representatives' internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with the specified requirements on a timely basis. *A material weakness* is a deficiency, or combination of deficiencies, in internal control, such that there is a reasonable possibility that material noncompliance with the specified requirements will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our examination, we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

As required by the *Audit Guide*, immaterial findings excluded from this report have been reported in a separate letter.

The purpose of this report is solely to describe the scope of our testing of internal control and the results of that testing based on the requirements of the *Audit Guide*. Accordingly, this report is not suitable for any other purpose.

Supplementary Information for State Compliance Purposes

Our examination was conducted for the purpose of forming an opinion on the State of Illinois, General Assembly - House of Representatives' compliance with the specified requirements. The accompanying supplementary information for the years ended June 30, 2018 and June 30, 2019 in Schedules 1 through 6 and the Analysis of Operations Section are presented for purposes of additional analysis. Such information is the responsibility of State of Illinois, General Assembly - House of Representatives' management. We have applied certain limited procedures as prescribed by the *Audit Guide* to the accompanying supplementary information for the years ended June 30, 2018 and June 30, 2019, in Schedules 1 through 6. We have not applied procedures to the accompanying supplementary information for the year ended June 30, 2017, in Schedules 3 through 6 and in the Analysis of Operations Section. We do not express an opinion, a conclusion, nor provide any assurance on the accompanying supplementary information in Schedules 3 through 6 or the Analysis of Operations Section.

Springfield, Illinois
January 9, 2020

STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND REAPPROPRIATED / LAPSED BALANCES
Appropriations for Fiscal Year 2019
Sixteen Months Ended October 31, 2019

<u>Public Act 100-0586</u>	<u>Appropriations (Net After Transfers)</u>	<u>Expenditures Through 6/30/2019</u>	<u>Lapse Period Expenditures 7/01-10/31/19</u>	<u>Total Expenditures</u>	<u>Balances Reappropriated July 1</u>	<u>Balances Lapsed</u>
<u>GENERAL REVENUE FUND - 001</u>						
For the ordinary, incidental, and contingent expenses of leadership staff and office operations:						
Speaker	\$ 5,109,643	\$ 4,219,544	\$ 653,000	\$ 4,872,544	\$ -	\$ 237,099
Minority Leader	4,903,609	4,620,957	133,549	4,754,506	-	149,103
For the ordinary and incidental expenses of the general staff, operations, and special and standing committees, for per diem employees and for expenses incurred in transcribing and printing of House debates	5,631,018	4,256,740	168,085	4,424,825	-	1,206,193
For the purchasing on contract as required by law of printing, binding, printing paper, stationery, and office supplies, no part of which shall be expended for expenses of purchasing, handling, or distributing such supplies and against which no indebtedness shall be incurred without the written approval of the Speaker of the House of Representatives	94,996	10,426	54,148	64,574	-	30,422
For travel expenses to Springfield of members on official legislative business during weeks when the General Assembly is not in session	30,394	9,532	304	9,836	-	20,558
For furnishing the items to members throughout the year in connection with their legislative duties and responsibilities and not in connection with any political campaign	8,190,285	7,328,268	391,851	7,720,119	-	470,166

STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND REAPPROPRIATED / LAPSED BALANCES - CONTINUED
Appropriations for Fiscal Year 2019
Sixteen Months Ended October 31, 2019

<u>Public Act 100-0586</u>	<u>Appropriations (Net After Transfers)</u>	<u>Expenditures Through 6/30/2019</u>	<u>Lapse Period Expenditures 7/01-10/31/19</u>	<u>Total Expenditures</u>	<u>Balances Reappropriated July 1</u>	<u>Balances Lapsed</u>
<u>GENERAL REVENUE FUND - 001 - Continued</u>						
For expenses of Standing House Committees	\$ 3,444,963	\$ 2,896,598	\$ 90,973	\$ 2,987,571	\$ -	\$ 457,392
For the ordinary and contingent expenses, including, but not limited to, the replacement of audio system equipment for the House Chamber.	365,000	331,405	-	331,405	33,595	-
For expenses in connection with the planning and preparation of redistricting of legislative and representative districts as required by Article IV, Section 3 of the Illinois Constitution of 1970:						
Speaker	419,370	23,563	-	23,563	395,807	-
Minority Leader	414,174	12,553	-	12,553	401,621	-
For expenses in connection with the planning and preparation of redistricting of legislative and representative districts as required by Article IV, Section 3 of the Illinois Constitution of 1970:						
Speaker	250,000	-	-	-	250,000	-
Minority Leader	250,000	-	-	-	250,000	-
Sub-Total Fund - 001	29,103,452	23,709,586	1,491,910	25,201,496	1,331,023	2,570,933
<u>GENERAL ASSEMBLY OPERATIONS</u>						
<u>REVOLVING FUND - 196</u>						
For ordinary and contingent expenses	250,000	11,000	-	11,000	-	239,000
GRAND TOTAL - ALL FUNDS	<u>\$ 29,353,452</u>	<u>\$ 23,720,586</u>	<u>\$ 1,491,910</u>	<u>\$ 25,212,496</u>	<u>\$ 1,331,023</u>	<u>\$ 2,809,933</u>

Note 1: The information reflected in this schedule was taken directly from the records of the State Comptroller and reconciled to the records of the Agency.

Note 2: Expenditures amounts are vouchers approved for payment by the Agency and submitted to the State Comptroller for payment to the vendor.

STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND REAPPROPRIATED / LAPSED BALANCES
Appropriations for Fiscal Year 2018
Sixteen Months Ended October 31, 2018

<u>Public Act 100-0021</u>	<u>Appropriations (Net After Transfers)</u>	<u>Expenditures Through 6/30/2018</u>	<u>Lapse Period Expenditures 7/01-10/31/2018</u>	<u>Total Expenditures</u>	<u>Balances Reappropriated July 1</u>	<u>Balances Lapsed</u>
<u>GENERAL REVENUE FUND - 001</u>						
For the ordinary, incidental, and contingent expenses of leadership staff and office operations:						
Speaker	\$ 5,109,643	\$ 3,901,423	\$ 208,212	\$ 4,109,635	\$ -	\$ 1,000,008
Minority Leader	4,903,609	4,456,960	189,392	4,646,352	-	257,257
For the ordinary and incidental expenses of the general staff, operations, and special and standing committees, for per diem employees and for expenses incurred in transcribing and printing of House debates	5,631,018	4,254,642	49,365	4,304,007	-	1,327,011
For the purchasing on contract as required by law of printing, binding, printing paper, stationery, and office supplies, no part of which shall be expended for expenses of purchasing, handling, or distributing such supplies and against which no indebtedness shall be incurred without the written approval of the Speaker of the House of Representatives	94,996	18,103	38,239	56,342	-	38,654
For travel expenses to Springfield of members on official legislative business during weeks when the General Assembly is not in session	30,394	4,386	196	4,582	-	25,812
For furnishing the items to members throughout the year in connection with their legislative duties and responsibilities and not in connection with any political campaign	8,190,285	7,306,724	375,683	7,682,407	-	507,878

STATE OF ILLINOIS
 GENERAL ASSEMBLY
 HOUSE OF REPRESENTATIVES
 COMPLIANCE EXAMINATION
 SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND REAPPROPRIATED / LAPSED BALANCES - CONTINUED
 Appropriations for Fiscal Year 2018
 Sixteen Months Ended October 31, 2018

<u>Public Act 100-0021</u>	<u>Appropriations (Net After Transfers)</u>	<u>Expenditures Through 6/30/2018</u>	<u>Lapse Period Expenditures 7/01-10/31/2018</u>	<u>Total Expenditures</u>	<u>Balances Reappropriated July 1</u>	<u>Balances Lapsed</u>
<u>GENERAL REVENUE FUND - 001 - Continued</u>						
For expenses of Standing House Committees	\$ 3,444,963	\$ 3,096,126	\$ 105,485	\$ 3,201,611	\$ -	\$ 243,352
For the ordinary and contingent expenses, including, but not limited to, the replacement of audio system equipment for the House Chamber.	365,000	-	-	-	365,000	-
For expenses in connection with the planning and preparation of redistricting of legislative and representative districts as required by Article IV, Section 3 of the Illinois Constitution of 1970:						
Speaker	477,503	58,133	-	58,133	419,370	-
Minority Leader	<u>472,307</u>	<u>58,133</u>	<u>-</u>	<u>58,133</u>	<u>414,174</u>	<u>-</u>
Sub-Total Fund - 001	28,719,718	23,154,630	966,572	24,121,202	1,198,544	3,399,972
<u>GENERAL ASSEMBLY OPERATIONS</u>						
<u>REVOLVING FUND - 196</u>						
For ordinary and contingent expenses	<u>250,000</u>	<u>11,000</u>	<u>-</u>	<u>11,000</u>	<u>-</u>	<u>239,000</u>
GRAND TOTAL - ALL FUNDS	<u>\$ 28,969,718</u>	<u>\$ 23,165,630</u>	<u>\$ 966,572</u>	<u>\$ 24,132,202</u>	<u>\$ 1,198,544</u>	<u>\$ 3,638,972</u>

Note 1: The information reflected in this schedule was taken directly from the records of the State Comptroller and reconciled to the records of the Agency.

Note 2: Expenditures amounts are vouchers approved for payment by the Agency and submitted to the State Comptroller for payment to the vendor.

STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND REAPPROPRIATED / LAPSED BALANCES
For the Fiscal Years Ended June 30, 2019, 2018 and 2017

	FISCAL YEAR		
	2019	2018	2017
	<u>PA 100-0586</u>	<u>PA 100-0021</u>	<u>PA 98-0679</u>
<u>GENERAL REVENUE FUND - 001</u>			
Appropriations (net after transfers)	\$ 29,103,452	\$ 28,719,718	\$ 27,404,908
Expenditures			
For the ordinary, incidental, and contingent expenses of leadership staff and staff and office operations:			
Speaker	4,872,544	4,109,635	4,322,914
Minority Leader	4,754,506	4,646,352	4,753,526
For the ordinary and incidental expenses of the general staff, operations, and special and standing committees, for per diem employees and for expenses incurred in transcribing and printing of House debates	4,424,825	4,304,007	4,477,653
For the purchasing on contract as required by law of printing, binding, printing paper, stationery, and office supplies, no part of which shall be expended for expenses of purchasing, handling, or distributing such supplies and against which no indebtedness shall be incurred without the written approval of the Speaker of the House of Representatives	64,574	56,342	56,759
For travel expenses to Springfield of members on official legislative business during weeks when the General Assembly is not in session	9,836	4,582	13,599
For furnishing the items to members throughout the year in connection with their legislative duties and responsibilities and not in connection with any political campaign	7,720,119	7,682,407	7,612,932
For expenses of Standing House Committees	2,987,571	3,201,611	3,212,060

STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND REAPPROPRIATED / LAPSED BALANCES - CONTINUED
For the Fiscal Years Ended June 30, 2019, 2018 and 2017

	FISCAL YEAR		
	2019	2018	2017
	PA 100-0586	PA 100-0021	PA 98-0679
<u>GENERAL REVENUE FUND - 001 - Continued</u>			
For the ordinary and contingent expenses, including, but not limited to, the replacement of audio system equipment for the House Chamber.	\$ 331,405	\$ -	\$ -
For expenses in connection with the planning and preparation of redistricting of legislative and representative districts as required by Article IV, Section 3 of the Illinois Constitution of 1970:			
Speaker	23,563	58,133	-
Minority Leader	12,553	58,133	-
Total expenditures	25,201,496	24,121,202	24,449,443
Balances reappropriated July 1	1,331,023	1,198,544	-
Lapsed balances fund - 001	<u>\$ 2,570,933</u>	<u>\$ 3,399,972</u>	<u>\$ 2,955,465</u>
<u>GENERAL ASSEMBLY OPERATIONS REVOLVING FUND - 196</u>			
Appropriations (net after transfers)	\$ 250,000	\$ 250,000	\$ 250,000
Expenditures			
For ordinary and contingent expenses	11,000	11,000	11,000
Lapsed balances fund - 196	<u>\$ 239,000</u>	<u>\$ 239,000</u>	<u>\$ 239,000</u>
<u>GRAND TOTAL - ALL FUNDS</u>			
Appropriations (net after transfers)	\$ 29,353,452	\$ 28,969,718	\$ 27,654,908
Expenditures	25,212,496	24,132,202	24,460,443
Balances reappropriated July 1	1,331,023	1,198,544	-
Lapsed balances all funds	<u>\$ 2,809,933</u>	<u>\$ 3,638,972</u>	<u>\$ 3,194,465</u>

STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND REAPPROPRIATED / LAPSED BALANCES - CONTINUED
For the Fiscal Years Ended June 30, 2019, 2018 and 2017

	FISCAL YEAR		
	2019	2018	2017
	<u>PA 100-0586</u>	<u>PA 100-0021</u>	<u>PA 98-0679</u>
<u>State Officers' Salaries</u>			
Appropriations to Comptroller's office for House of Representatives members' salaries	\$ 9,624,450	\$ 9,590,550	\$ 9,319,750
Expenditures			
For the Speaker of the House and Minority Leader	54,954	54,954	54,954
For the Majority Leader of the House	23,230	23,230	23,230
For the 12 Assistant Majority and Minority Leaders in the House	237,021	231,859	218,309
For the Majority and Minority Conference Chairmen in the House	18,067	22,584	28,606
For the two Deputy Majority and the two Deputy Minority Leaders in the House	70,918	77,515	69,269
For the Chairmen and Minority Spokesmen of Standing and Select Committees in the House	542,165	625,642	610,151
For salaries, 118 members of the House of Representatives	<u>8,055,525</u>	<u>8,021,607</u>	<u>8,021,607</u>
Total expenditures	<u>9,001,880</u>	<u>9,057,391</u>	<u>9,026,126</u>
Lapsed balances - state officers' salaries	<u>\$ 622,570</u>	<u>\$ 533,159</u>	<u>\$ 293,624</u>

STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS,
EXPENDITURES AND REAPPROPRIATED / LAPSED BALANCES BY MAJOR OBJECT CODE
For the Fiscal Years Ended June 30, 2019, 2018 and 2017

	FISCAL YEAR		
	2019	2018	2017
<u>GENERAL REVENUE FUND - 001</u>	P.A. 100-0586	P.A. 100-0021	P.A. 98-0679
Appropriations (net after transfers)	\$ 29,103,452	\$ 28,719,718	\$ 27,404,908
Expenditures			
Personal services	15,149,479	15,050,717	14,982,388
State contribution to social security	1,102,629	1,085,665	1,090,730
Personal services - contractual	3,009,882	3,424,373	3,286,268
Social security - contractual employees	222,049	250,052	239,232
Contractual services	3,871,123	2,916,723	3,458,346
Travel	342,568	283,939	322,305
Printing	63,045	117,385	69,741
Commodities	211,890	144,793	186,831
Equipment	769,183	162,655	271,366
Electronic data processing	42,267	109,311	122,918
Telecommunications	378,597	340,237	372,543
Operation of automobile equipment	17,037	16,171	10,977
Permanent improvements	6,710	118,614	5,000
Lump sums and other purposes	15,037	100,567	30,798
Total expenditures	25,201,496	24,121,202	24,449,443
Balances reappropriated fund - 001	1,331,023	1,198,544	-
Lapsed balances fund - 001	\$ 2,570,933	\$ 3,399,972	\$ 2,955,465
<u>GENERAL ASSEMBLY OPERATIONS REVOLVING FUND - 196</u>			
Appropriations (net after transfers)	\$ 250,000	\$ 250,000	\$ 250,000
Expenditures			
Contractual services	11,000	11,000	11,000
Lapsed balances fund - 196	\$ 239,000	\$ 239,000	\$ 239,000
<u>GRAND TOTAL - ALL FUNDS</u>			
Appropriations (net after transfers)	\$ 29,353,452	\$ 28,969,718	\$ 27,654,908
Expenditures	25,212,496	24,132,202	24,460,443
Balances reappropriated	1,331,023	1,198,544	-
Lapsed balances all funds	\$ 2,809,933	\$ 3,638,972	\$ 3,194,465

STATE OF ILLINOIS
 GENERAL ASSEMBLY
 HOUSE OF REPRESENTATIVES
 COMPLIANCE EXAMINATION
 SCHEDULE OF CHANGES IN STATE PROPERTY
 For the Two Years Ended June 30, 2019

	<u>Equipment</u>
Balance at July 1, 2017	\$ 8,130,868
Additions	222,675
Deletions	(33,669)
Net Transfers	<u>(16,330)</u>
Balance at June 30, 2018	<u>\$ 8,303,544</u>
Balance at July 1, 2018	\$ 8,303,544
Additions	334,933
Deletions	(179,592)
Net Transfers	<u>(23,974)</u>
Balance at June 30, 2019	<u>\$ 8,434,911</u>

Note: The above schedule has been derived from Agency records which have been reconciled to property reports submitted to the State Comptroller.

STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
COMPARATIVE SCHEDULE OF CASH RECEIPTS AND
RECONCILIATION OF CASH RECEIPTS TO
DEPOSITS REMITTED TO THE STATE COMPTROLLER
For the Years Ended June 30, 2019, 2018 and 2017

	FISCAL YEAR		
	2019	2018	2017
<u>GENERAL REVENUE FUND - 001</u>			
Majority Leadership	\$ 66	\$ 181	\$ 25
Minority Leadership	23	12	61
Clerk of the House	17	301	1,069
Prior Year Refunds	795	6,861	6,927
	<hr/>	<hr/>	<hr/>
Total cash receipts per Agency	901	7,355	8,082
Less - In transit at End of Year	-	-	-
Plus - In transit at Beginning of Year	-	-	-
	<hr/>	<hr/>	<hr/>
Total cash receipts per State Comptroller's Records	<u>\$ 901</u>	<u>\$ 7,355</u>	<u>\$ 8,082</u>

STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
AGENCY FUNCTIONS AND PLANNING PROGRAM
For the Two Years Ended June 30, 2019

Agency Functions

The Legislative Power of the State of Illinois is vested in the General Assembly, which is composed of a 59-member Senate and a 118-member House of Representatives. Its principal activities are enacting, amending or repealing laws, passing resolutions, adopting appropriation bills and conducting inquiries on proposed legislation.

As of 1982, each Legislative district is comprised of one Senate district, which is divided into two representative districts. Every two years, one representative is elected from each representative district for a term of two years.

On the first day of the January session of the General Assembly in odd-numbered years, the Secretary of State shall convene the House of Representatives to elect from its membership a Speaker of the House of Representatives as presiding officer. The House shall also elect a Minority Leader, a Clerk of the House, an Assistant Clerk of the House and a Doorkeeper.

Speaker of the House

Speaker of the House of Representatives - Representative Michael J. Madigan

The Speaker has those powers conferred upon him or her by the Constitution, the laws of Illinois, and any other motions or resolutions adopted by the House or jointly by the House and Senate. The Speaker is the chief administrative officer of the House and has those powers necessary to carry out those functions. Various duties and responsibilities are outlined in House Rule 4.

The office of the Speaker of the House is located at 300 State House, Springfield, Illinois.

Minority Leader

Minority Leader - Representative Jim Durkin

The Minority Leader has those powers conferred upon him or her by the Constitution, the laws of Illinois, and any other motions or resolutions adopted by the House or jointly by the House and Senate. For purposes of powers of appointment conferred by the Constitution, the Minority Leader is a member of the numerically strongest political party other than the party to which the Speaker belongs. The Minority leader has general supervision of the minority caucus staff.

The office of the Minority Leader is located at 316 State House, Springfield, Illinois.

STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
AGENCY FUNCTIONS AND PLANNING PROGRAM - CONTINUED
For the Two Years Ended June 30, 2019

Clerk of the House and Assistant Clerk of the House

Clerk of the House	
Through 6/6/18	Timothy D. Mapes
Acting 6/7/18 - 11/12/18	John Hollman
Effective 11/13/18	John Hollman
Assistant Clerk of the House	Bradley Bolin

The Clerk of the House may adopt policies and procedures for the conduct of his or her office. Various duties and responsibilities are outlined in House Rule 6. The Speaker is the final arbiter of any dispute arising in connection with the operation of the Office of the Clerk.

The Assistant Clerk of the House shall perform those duties as assigned by the Clerk.

The office of the Clerk of the House is located at 402 Stratton Building, Springfield, Illinois.

Doorkeeper

Doorkeeper - Lee A. Crawford

The Doorkeeper shall perform those duties assigned by law, or as ordered by the Speaker, Presiding Officer, or Clerk. The various duties are outlined in House Rule 8.

Planning Program

The House of Representatives does not have a formal planning program. A long range planning program is difficult to formulate for a legislative body, whose purpose is to legislate rather than to provide a governmental service. The powers and duties of the House of Representatives are detailed in the State of Illinois Constitution, the laws of Illinois, other motions or resolutions adopted by the House or jointly by the House and the Senate, and by the House Rules.

STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
ANALYSIS OF SIGNIFICANT VARIATIONS
For the Two Years Ended June 30, 2019

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES

The following are explanations for expenditures which differed by at least \$ 50,000 and by more than 20% from the previous year.

Fiscal Year 2017 to 2018

General Revenue Fund

Increase of \$ 58,133 or 100% (Speaker) and \$ 58,133 or 100% (Minority Leader) in expenditures in connection with the planning and preparation of redistricting of legislative and representative districts as required by Article IV, Section 3 of the Illinois Constitution of 1970 was due to funds expended for the purposes of mailing, providing, or delivering any item, notice, or property as set forth in a contractual agreement with a vendor. No funds were expended in FY17.

Fiscal Year 2018 to 2019

General Revenue Fund

Increase of \$ 331,405 or 100% in expenditures for the ordinary and contingent expenses, including, but not limited to, the replacement of audio system equipment for the House Chamber was due to audio system upgrades for the House Floor located in the Illinois State Capitol. No such funds were expended in FY18.

ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS

In fiscal year 2019 and fiscal year 2018, there were no significant variations in receipts for the General Assembly - House of Representatives which differed by at least \$ 50,000 and by more than 20 percent from the previous year.

STATE OF ILLINOIS
 GENERAL ASSEMBLY
 HOUSE OF REPRESENTATIVES
 COMPLIANCE EXAMINATION
 ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
 For the Two Years Ended June 30, 2019

Significant lapse period spending greater than \$ 50,000 and 20% are as follows:

Expenditure Item	Fund	Total Expenditures	Lapse Period Expenditures	Percent	Explanation of Significant Lapse Period Expenditures
<u>Fiscal Year 2019</u>					
For the purchasing on contract as required by law of printing, binding, printing paper, stationery, and office supplies, no part of which shall be expended for expenses of purchasing, handling, or distributing such supplies and against which no indebtedness shall be incurred without the written approval of the Speaker of the House of Representatives	001	\$ 64,574	\$ 54,148	84%	Lapse period spending was due to the purchase of office supplies prior to June 30, 2019, which were not paid until lapse period.

Fiscal Year 2018

There were no funds for Fiscal Year 2018 in which more than 20 percent and at least \$ 50,000 of the total expenditures were made during lapse period.

STATE OF ILLINOIS
GENERAL ASSEMBLY
HOUSE OF REPRESENTATIVES
COMPLIANCE EXAMINATION
AVERAGE NUMBER OF EMPLOYEES
For the Years Ended June 30, 2019, 2018 and 2017

The following schedule was prepared from Office records and presents the average number of employees on a full-time equivalent basis for the Fiscal Years ended June 30, 2019, 2018 and 2017.

	Fiscal Year		
	2019	2018	2017
Regular full-time employees			
Speaker of the House	70	67	70
Minority Leader	78	77	86
Office of the Clerk	173	168	198
Total average full-time employees	321	312	354
Contractual full-time employees			
Speaker of the House	35	49	13
Minority Leader	7	7	7
Office of the Clerk	109	124	94
Total average contractual employees	151	180	114
Total average employees	472	492	468