

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPLIANCE EXAMINATION**

For the Two Years Ended June 30, 2014

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2014

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AGENCY OFFICIALS

Director (effective 2/4/15)	Mr. Leo Schmitz
Director (2/1/15 through 2/3/15)	Vacant
Director (through 1/31/15)	Mr. Hiram Grau
First Deputy Director (effective 1/1/13)	Mr. Brian Ley
First Deputy Director (1/1/12 through 12/31/12)	Mr. Greg Muller
Chief Fiscal Officer (effective 11/1/12)	Mr. Michael Yokley
Interim Chief Fiscal Officer (7/16/12 through 10/31/12)	Mr. Carl Weitzel
Interim Chief Fiscal Officer (through 7/15/12)	Mr. Michael Yokley
Chief Legal Counsel (effective 3/1/15)	Mr. Matthew Rentschler
Chief Legal Counsel (through 2/28/15)	Ms. Suzanne Bond

Agency offices are located at:
801 South Seventh Street
Springfield, IL 62703



ILLINOIS STATE POLICE
Office of the Director

March 23, 2015

Bruce Rauner
Governor

Leo P. Schmitz
Director

Honorable William G. Holland
Auditor General
740 East Ash Street
Springfield, IL 62703

Auditor General Holland:

We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the Department of State Police. We are responsible for and we have established and maintained an effective system of internal controls over compliance requirements. We have performed an evaluation of the Department of State Police's compliance with the following assertions during the two-year period ended June 30, 2014. Based on this evaluation, we assert that during the years ended June 30, 2014 and June 30, 2013, the Department of State Police has materially complied with the assertions below.

- A. The Department of State Police has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The Department of State Police has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The Department of State Police has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the Department of State Police are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.

Honorable William G. Holland
March 23, 2015

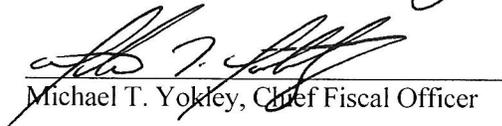
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E. Money or negotiable securities or similar assets handled by the Department of State Police on behalf of the State or held in trust by the Department of State Police have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate and in accordance with law.

Yours truly,

Department of State Police


Leo P. Schmitz, Director


Michael T. Yokley, Chief Fiscal Officer


Matthew Rentschler, Chief Legal Counsel

STATE OF ILLINOIS
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COMPLIANCE REPORT

SUMMARY

The compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and in accordance with the Illinois State Auditing Act.

ACCOUNTANT’S REPORT

The Independent Accountant’s Report on State Compliance, on Internal Control Over Compliance and on Supplementary Information for State Compliance Purposes does not contain scope limitations, disclaimers, or other significant non-standard language.

SUMMARY OF FINDINGS

<u>Number of Findings</u>	<u>Current Report</u>	<u>Prior Report</u>
Findings	11	14
Repeated findings	8	7
Prior recommendations implemented or not repeated	6	1

SCHEDULE OF FINDINGS

<u>Item No.</u>	<u>Page</u>	<u>Description</u>	<u>Finding Type</u>
FINDINGS (STATE COMPLIANCE)			
2014-001	10	Inadequate control over property and equipment	Significant Deficiency and Noncompliance
2014-002	13	Inadequate controls over accounts receivable reporting	Significant Deficiency and Noncompliance
2014-003	15	Delinquent accounts not pursued	Significant Deficiency and Noncompliance
2014-004	17	Lack of Project Management	Significant Deficiency and Noncompliance

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2014-005	19	Failure to maintain security controls over computer systems and confidential information	Significant Deficiency and Noncompliance
2014-006	21	Weaknesses in Change Management of Computer Systems	Significant Deficiency and Noncompliance
2014-007	24	Inadequate control over commodities inventory	Significant Deficiency and Noncompliance
2014-008	26	Noncompliance with State Officials and Employees Ethics Act	Significant Deficiency and Noncompliance
2014-009	27	Voucher processing weakness	Significant Deficiency and Noncompliance
2014-010	28	Treasurer drafts not submitted timely	Significant Deficiency and Noncompliance
2014-011	29	Incorrect GAAP Reporting	Significant Deficiency and Noncompliance

PRIOR FINDINGS NOT REPEATED

A	30	Inadequate documentation to support payroll expenditures
B	30	Inadequate controls over Agency Fee Imposition Reports
C	30	Inaccurate Agency Workforce Reports
D	31	Inadequate monitoring of interagency agreements
E	31	Noncompliance with the Missing Persons Identification Act
F	31	Lack of documentation in Criminal History Reports

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EXIT CONFERENCE

The findings and recommendations appearing in this report were discussed with Department personnel at an exit conference on March 9, 2015. Attending were:

Department of State Police

Eric Murphy, Chief of Staff
Brian Ley, First Deputy
Michael Yokley, Chief Fiscal Officer
Kelly Walton, Lt. Colonel, DOA
Cody Goldsboro, Staff Officer, DOA

Office of the Auditor General

Jane Clark, Audit Manager
Kathy Lovejoy, IS Audit Manager
Stephanie Wildhaber, Audit Supervisor
Adam Ausmus, Audit Supervisor
Clay Murphy, Audit Staff
Heath Woodcock, Audit Staff

The responses to the recommendations were provided by Mr. Michael Yokley in correspondence dated March 18, 2015.

SPRINGFIELD OFFICE:
ILES PARK PLAZA
740 EAST ASH • 62703-3154
PHONE: 217/782-6046
FAX: 217/785-8222 • TTY: 888/261-2887
FRAUD HOTLINE: 1-855-217-1895



CHICAGO OFFICE:
MICHAEL A. BILANDIC BLDG. • SUITE S-900
160 NORTH LASALLE • 60601-3103
PHONE: 312/814-4000
FAX: 312/814-4006
FRAUD HOTLINE: 1-855-217-1895

OFFICE OF THE AUDITOR GENERAL
WILLIAM G. HOLLAND

INDEPENDENT ACCOUNTANT'S REPORT ON STATE COMPLIANCE,
ON INTERNAL CONTROL OVER COMPLIANCE, AND ON
SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

Honorable William G. Holland
Auditor General
State of Illinois

Compliance

We have examined the State of Illinois, Department of State Police's compliance with the requirements listed below, as more fully described in the Audit Guide for Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (Audit Guide) as adopted by the Auditor General, during the two years ended June 30, 2014. The management of the State of Illinois, Department of State Police is responsible for compliance with these requirements. Our responsibility is to express an opinion on the State of Illinois, Department of State Police's compliance based on our examination.

- A. The State of Illinois, Department of State Police has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The State of Illinois, Department of State Police has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The State of Illinois, Department of State Police has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the State of Illinois, Department of State Police are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the State of Illinois, Department of State Police on behalf of the State or held in trust by the State of Illinois, Department of State Police have been properly and legally administered and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.

Our examination was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States; the Illinois State Auditing Act (Act); and the Audit Guide as adopted by the Auditor General pursuant to the Act; and, accordingly, included examining, on a test basis, evidence about the State of Illinois, Department of State Police's compliance with those requirements listed in the first paragraph of this report and performing such other procedures as we considered necessary in the circumstances. We believe that our examination provides a reasonable basis for our opinion. Our examination does not provide a legal determination on the State of Illinois, Department of State Police's compliance with specified requirements.

In our opinion, the State of Illinois, Department of State Police complied, in all material respects, with the compliance requirements listed in the first paragraph of this report during the two years ended June 30, 2014. However, the results of our procedures disclosed instances of noncompliance with the requirements, which are required to be reported in accordance with criteria established by the Audit Guide, issued by the Illinois Office of the Auditor General and which are described in the accompanying schedule of findings as items 2014-001 through 2014-011.

Internal Control

Management of the State of Illinois, Department of State Police is responsible for establishing and maintaining effective internal control over compliance with the requirements listed in the first paragraph of this report. In planning and performing our examination, we considered the State of Illinois, Department of State Police's internal control over compliance with the requirements listed in the first paragraph of this report to determine the examination procedures that are appropriate in the circumstances for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with the Audit Guide, issued by the Illinois Office of the Auditor General, but not for the purpose of expressing an opinion on the effectiveness of the State of Illinois, Department of State Police's internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the State of Illinois, Department of State Police's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with the requirements listed in the first paragraph of this report on a timely basis. A *material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a requirement listed in the first paragraph of this report will not be prevented, or detected and corrected, on a timely basis. A *significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies and therefore, material weaknesses or significant deficiencies may exist that were not identified. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, we identified certain deficiencies in internal control over compliance, as described in the accompanying schedule of findings as items 2014-001 through 2014-011 that we consider to be significant deficiencies.

As required by the Audit Guide, immaterial findings excluded from this report have been reported in a separate letter.

The State of Illinois, Department of State Police's responses to the findings identified in our examination are described in the accompanying schedule of findings. We did not examine the State of Illinois, Department of State Police's responses and, accordingly, we express no opinion on the responses.

Supplementary Information for State Compliance Purposes

Our examination was conducted for the purpose of forming an opinion on compliance with the requirements listed in the first paragraph of this report. The accompanying supplementary information for the years ended June 30, 2014 and June 30, 2013 in Schedules 1 through 12 and the Analysis of Operations Section is presented for purposes of additional analysis. We have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General to the June 30, 2014 and June 30, 2013 accompanying supplementary information in Schedules 1 through 12. However, we do not express an opinion on the accompanying supplementary information.

We have not applied procedures to the June 30, 2012 accompanying supplementary information in Schedules 3, 4, 5, 6, 8, 9, and 10 and in the Analysis of Operations Section, and accordingly, we do not express an opinion or provide any assurance on it.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, agency management and is not intended to be and should not be used by anyone other than these specified parties.



BRUCE L. BULLARD, CPA
Director of Financial and Compliance Audits

Springfield, Illinois

March 23, 2015

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2014

2014-001. **FINDING** (Inadequate control over property and equipment)

The Illinois Department of State Police (Department) did not exercise adequate control over the recording and reporting of its State property and equipment. We noted the following during our testing:

- The Department did not have adequate controls over lost or missing property. We noted 39 of 57 (68%) items listed as lost or missing could possibly have confidential information stored on them.

The State Property Control Act (30 ILCS 605/4 and 6.02) requires the Department be accountable for the supervision, control and inventory of all items under its jurisdiction and control. In addition, the Department had the responsibility to ensure that confidential information is protected from disclosure and that provisions in the Personal Information Protection Act (815 ILCS 530) are followed. Department personnel stated they did not keep a listing of what information would have been stored on those items. Therefore, there is no way of knowing if the items included confidential data.

- The Department was unable to reconcile the differences noted between the Object Expense/Expenditures by Quarter Report (SA02) and the C-15 reports. Differences totaled (\$1,287,199) in Fiscal Year 2013 and \$675,853 in Fiscal Year 2014.

The Statewide Accounting Management System (SAMS) (Procedure 07.30.20) states the effectiveness of any accounting and financial information system is very much dependent on the accuracy of data submitted and the confidence of its users that the system handled that data properly. Agency reconciliation is the primary control that insures these requirements are being satisfied. SAMS (Procedure 07.30.21) also establishes processes for the monthly reconciliation of the SA02 reports generated by the Comptroller. Department personnel stated they do not reconcile the property system with the SA02. Reconciliations are done monthly between the Department's property system and the report FIS2901A from FOCUS.

- Forty of 60 (67%) items, totaling \$189,282, were added to the Department's inventory records between 2 and 674 days late.
- Fifteen of 60 (25%) items, totaling \$17,233, were deleted from the Department's inventory records between 31 and 255 days late.
- Eight of 66 (12%) vouchers, totaling \$148,822, included items that were not added to the Department's inventory records.

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- Two of 60 (3%) items, totaling \$1,167, were deleted from Department records. However, the Department did not maintain documentation to support the date the items were deleted. Therefore, auditors were unable to determine if items were timely removed from the property records.
- Two of 60 (3%) purchases, totaling \$4,908, did not include documentation of date received. Therefore, auditors were unable to determine if items were timely added to the property records.

The Illinois Administrative Code (44 Ill. Admin. Code 5010.400) states Agencies shall adjust property records within 30 days of acquisition, change, or deletion of equipment items. In the prior audit, the Department stated they could not provide a reason why items were not updated timely and stated they would work to correct this. Currently, Department personnel stated oversight and employee error contributed to the errors noted.

- Five of 60 (8%) equipment items, totaling \$4,092, were not reported with an accurate value on the deletion/transfer document. Differences between the amounts reported on the deletion/transfer document and Department inventory records ranged from \$3 to (\$1,177).

The State Records Act (5 ILCS 160/9) requires agencies to establish and maintain an active, continuing program for the economical and efficient management of the records of the agency. Such program shall provide for effective controls over the creation, maintenance, and use of records. Department personnel stated oversight and employee error contributed to the errors noted.

- Three of 30 (10%) items were found in a different location than indicated on the equipment listing.

The Statewide Accounting Management System (SAMS) (Procedure 29.10.10) requires an agency to maintain current property information at a summary level which includes the physical location of the asset. Department personnel stated oversight and employee error contributed to the errors noted.

Failure to identify information stored on missing or lost computer equipment resulted in a lack of control over State property and the risk associated with the potential exposure of confidential information. In addition, failure to maintain accurate property and equipment records increases the potential for fraud and possible loss or theft of State property. Lastly, inaccurate property reporting reduces the reliability of Statewide capital asset information.

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In addition, during the prior examination period, the Department did not maintain documentation to support the cost of equipment added to its inventory records and was not able to locate property. The Department also failed to require its employees to complete quarterly property verification sheets and did not properly complete the Accounting for Leases-Lessee Forms. During the current examination period, the Department provided documentation to support the cost of equipment added to its inventory record and was able to locate property for items selected for testing. Also, the Department completed quarterly property verification sheets and Accounting for Leases-Lessee Forms. (Finding Code No. 2014-001, 12-1, 10-1, 08-1, 06-1, 04-1, 02-1)

RECOMMENDATION

We recommend the Department develop procedures to immediately assess if a computer may have contained confidential information whenever it is reported lost, stolen, or missing during the annual physical inventory, and document the results of the assessment. We also recommend the Department ensure all equipment is accurately and timely recorded or removed from the Department's property records. Lastly, we recommend the Department continue to strengthen controls over the recording and reporting of its State property and equipment by reviewing their inventory and recordkeeping practices to ensure compliance with statutory and regulatory requirements.

DEPARTMENT RESPONSE

The ISP concurs. The Public Safety Shared Services Center (PSSSC) will continue to work to process property transactions within the allowable timeframes and ensure accurate information is entered into the system. ISP will need to ensure that all requested documentation is provided to Property Control in a timely manner so new items may be added to the system. The ISP continues to struggle with the effects of the central property control unit being located outside of the agency within the PSSSC therefore delaying processing of paperwork as well as removing property control subject matter experts from the agency.

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SCHEDULE OF FINDINGS
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2014-002. **FINDING** (Inadequate controls over accounts receivable reporting)

The Illinois Department of State Police (Department) did not accurately record and report accounts receivable noted on the Quarterly Summary of Accounts Receivable Reports (Reports) to the Office of the State Comptroller.

During testing, we noted 30 of 112 (27%) Reports were inaccurate and did not agree to the support provided by the Department. We noted differences in accounts receivable amounts (i.e payments, adjustments, beginning, and ending balances). Gross accounts receivable totaled \$2,885,000 in Fiscal Year 2013 and \$2,848,000 in Fiscal Year 2014.

The Statewide Accounting Management System (SAMS) (Procedure 26.20.10) states accounts receivable should be recognized when the State's claim for future cash is reasonably estimable and the amount due becomes measurable. SAMS also states detailed information should be maintained to facilitate the recognition and tracking of accounts receivable, including amounts due from individuals and organizations for the purchase of licenses and the payment of various statutorily or agency assessed fees.

Department personnel stated payments have not been entered into the system since 2008, when a staff position became vacant. This position is no longer funded and, therefore, accounts receivable continues to grow rapidly due to a lack of payment postings. Department personnel also stated charges and payments were entered as adjustments to correct prior period balances and then they used net amounts. In the prior year, Department personnel attributed errors to staffing changes and estimates used because of an error made during the posting of payments.

Failure to maintain accurate accounts receivable records and accurately report accounts receivable balances could lead to the failure to properly collect amounts owed to the State and inaccuracies in Statewide financial statement reporting. (Finding Code No. 2014-002, 12-3, 10-8)

RECOMMENDATION

We recommend the Department keep accurate and detailed records of all billings and the corresponding collections to facilitate proper reporting of accounts receivable activity. We also recommend the Department strengthen procedures and allocate necessary resources to properly post payments.

STATE OF ILLINOIS
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DEPARTMENT RESPONSE

The ISP concurs. Accounts receivable reporting is a function of PSSSC. We will continue to work to ensure accurate and timely reporting of accounts receivable.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2014

2014-003. **FINDING** (Delinquent accounts not pursued)

The Illinois Department of State Police (Department) did not aggressively pursue the collection of accounts receivable and did not properly refer delinquent accounts receivable to the Office of the State Comptroller's (Comptroller) Offset System.

The Department is owed money from various individuals and companies for items such as drug fines, over-dimensional load police escorts, property vehicles, forfeited items and other miscellaneous items. We tested 12 receivable accounts with a balance of \$158,058. We noted eleven accounts had balances greater than \$250 and one account had a balance less than \$250. The last activity dates (i.e. last time Department attempted to collect) for these twelve accounts ranged from October 31, 2008 through December 16, 2013.

The Illinois State Collection Act of 1986 (30 ILCS 210/3) requires agencies to aggressively pursue the collection of accounts receivable through all reasonable means. The Statewide Accounting Management System (SAMS) (Procedure 26.20.10) states accounts receivable should be recognized when the State's claim for future cash is reasonably estimable and the amount due becomes measurable. In addition, SAMS (Procedure 26.40.20) requires agencies place all debts over \$250 and more than 90 days past due in the Comptroller's Offset System unless certain conditions are met.

Department personnel stated the write-offs have not been accomplished due to shortage of staff. The position responsible for write offs has been vacant since 2008 and is no longer funded. Department personnel determined this to be the cause in the prior engagement as well.

Failure to maintain accurate accounts receivable records and accurately report accounts receivable balances could lead to the failure to properly collect amounts owed to the State and inaccuracies in Statewide financial statement reporting. In addition, failure to aggressively pursue the collection of accounts receivable through all reasonable means is noncompliance of the Illinois State Collection Act of 1986 and failure to refer all eligible delinquent accounts to the Comptroller's Offset System is noncompliance with SAMS. (Finding Code No. 2014-003, 12-6)

RECOMMENDATION

We recommend the Department strengthen procedures and allocate necessary resources to properly report and fully pursue collections on delinquent accounts receivable. We further recommend all eligible delinquent accounts be referred to the Comptroller's Offset System.

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DEPARTMENT RESPONSE

The ISP concurs. The position responsible for this function has not been filled by the PSSSC for many years. The current staff attempt to work on collections as often as possible, but the resources are not available to pursue this effort fully.

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2014-004. **FINDING** (Lack of Project Management)

The Illinois Department of State Police (Department) lacked a project management framework and tools to ensure adequate control over Information Technology (IT) projects.

Since 2010, the auditors have noted that the Department did not have a project management framework or tools to ensure the projects meet the State's and Department's goals and objectives. Although the Department had a system development methodology, dated June 2002, it was not utilized.

During the audit period, the Department contracted for the development of the Concealed Carry License system and completed the development of the Criminal History Inquiry Record Process system in-house. However, without a project management framework or the utilization of a system development methodology, there were no formal and documented requirements to assist in the planning, development, testing, implementation, and overall project management.

Department management stated that a Project Management framework and methodology has been very difficult to achieve due to other Departmental priorities, lack of funding, and lack of resources dedicated to a formal Project Management Office.

Generally accepted information technology guidance endorses the implementation of a process to ensure computer system development activities meet management's objectives. A defined process increases the likelihood of effective and efficient use of resources resulting in computer systems that meet expectations.

The lack of a defined process increases the likelihood of ineffective and inefficient use of resources resulting in IT systems that fail to meet expectations and requirements, and require additional costs. (Finding Code No. 2014-004, 12-7, 10-2)

RECOMMENDATION

We recommend the Department develop and implement a project management framework and tools to provide adequate oversight of IT projects. In addition, the Department should update and require utilization of the system development methodology over the development of Department IT projects.

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DEPARTMENT RESPONSE

The ISP concurs. The ISP will explore possibilities to improve the Department's project management framework in areas that are financially feasible. In instances when a lack of funding inhibits future improvement to the Department's project management framework the ISP will consider viable alternatives that ensure adequate control over Information Technology (IT) projects.

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2014-005. **FINDING** (Failure to maintain security controls over computer systems and confidential information)

The Illinois Department of State Police (Department) did not maintain adequate security controls over computer systems or safeguards over confidential information.

As a result of the Department's mission to "promote public safety to improve the quality of life in Illinois," the Department collected and maintained a significant amount of personal information.

During testing, we noted the Department:

- Did not have a mechanism in place to ensure electronically transmitted information was secured or encrypted, other than LEADS information.
- Had not completed a risk assessment of its computing resources to identify confidential or personal information to ensure such information was protected from unauthorized disclosure.
- Had not deployed encryption software on all laptops.
- Did not maintain certification of overwriting or destruction of computers or surplus EDP equipment as required by the Data on State Computers Act (20 ILCS 450).
- Had not effectively implemented available security controls. For example, password content and change interval settings did not conform to policy requirements, a powerful default administrator account had not been disabled, and documentation supporting the assignment of powerful administrative accounts was not available.

Department management communicated their commitment to privacy and security with regard to sensitive information. According to the Department, the security measures discussed above would require software solutions and support resources the Department does not currently have. Department officials stated the acquisition of additional hardware, software, and support resources to expand the Department's security capabilities is not currently funded.

Generally accepted information technology guidance endorses the development of well-designed and well-managed controls to protect computer systems and data.

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The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires all State agencies to establish and maintain a system, or systems, of internal fiscal and administrative controls to provide assurance funds, property, and other assets and resources are safeguarded against waste, loss, unauthorized use and misappropriation and maintain accountability over the State's resources.

The Data Security on State Computers Act (20 ILCS 450) requires State agencies to certify in writing indicating the overwriting process had been completed on computers and surplus EDP equipment prior to being sold, donated or transferred.

Failure to establish and maintain adequate security controls and procedures to handle and protect confidential and personally identifiable information could result in identity theft or other unintended use. (Finding Code No. 2014-005, 12-8, 10-3)

RECOMMENDATION

We recommend the Department:

- Review the policies/procedures for protecting confidential information, focusing on security through proper application security settings, storage, disclosure, redaction, and encryption procedures.
- Install automatic encryption software on all laptops and secure and encrypt confidential data transmitted through the network.
- Implement procedures to ensure the certification of overwriting of computers and surplus EDP equipment prior to being sold, donated or transferred.
- Complete a risk assessment to evaluate its computer environment and data maintained to ensure adequate security controls have been established.
- Ensure password security content and change interval settings conform to policy requirements.
- Disable the default administrator account.
- Routinely review administrator accounts to ensure appropriateness and maintain documentation supporting the need for such access.

DEPARTMENT RESPONSE

The ISP concurs. The ISP will explore possibilities to upgrade software solutions and support resources to strengthen security controls over computer systems. The ISP will work to ensure all Departmental policies regarding security controls and safeguards over confidential information are adhered to.

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2014-006. **FINDING** (Weaknesses in Change Management of Computer Systems)

The Illinois Department of State Police's (Department) change management policies and procedures did not ensure all changes were initiated, planned, developed, tested, and implemented in a controlled environment. In addition, programmers developing and making changes to computer systems had access to the production environment and the capability to implement changes.

The Department had established computer systems in order to meet its mission and mandate. The Department processed and maintained critical, confidential and sensitive information on its computer systems.

To establish requirements addressing changes to information technology resources utilized by the Department's various bureaus; the Department established a Change Management Procedure, along with a Change Request Form and Instructions. However, the procedures did not provide sufficient guidance to ensure changes were properly controlled and documented.

During testing, we reviewed 25 changes noting:

- 11 of the 25 changes were moved to the production environment by the developer. The documentation supporting the remaining 14 change requests did not provide sufficient detail to determine if duties were properly segregated.
- Documentation detailing testing performed and associated approvals was not available.
- Documentation indicating changes were approved before being moved to production was not available.

Additionally, the Procedure required the Office of Inspections and Audit to perform semi-annual reviews of the change management practices and provide management any findings and recommendations resulting from the review; however, the Department indicated such reviews were not performed during the audit period.

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The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires all State agencies to establish and maintain a system, or systems, of internal fiscal and administrative controls. In addition, generally accepted information technology guidance endorses the development and endorses the implementation of suitable change management procedures to control changes to computer systems. These procedures include restricting programmers/analysts from making a change and moving it into the production to ensure all changes have been independently authorized and moved to production.

Department officials stated that most of the Department's systems have a Development, Test, and Production environment. Procedures currently support going from the Test environment directly to Production without deployment and stabilization in a Staging environment. According to Department officials, the cost to implement and maintain a Staging environment across the multitude of diverse computing environments at the Department is cost prohibitive in terms of Hardware, Software, and Human Resources. The Department is attempting to standardize all applications onto a single platform. According to the Department, once completed the cost to acquire, implement and maintain a Staging environment would be more obtainable.

Without adequate change management procedures, there is a greater risk of unauthorized, improper, or erroneous changes to computer systems. The lack of separation of duties in the computer environment increases the risk that the confidentiality, integrity, and availability of data will be compromised. (Finding Code No. 2014-006, 12-9)

RECOMMENDATION

We recommend the Department update its procedures to ensure it adequately provides for computer system changes to be initiated, planned, developed, tested, and implemented in a controlled environment. Specifically, we recommend the Department enhance its procedures and requirements for:

- System testing, test scripts, and approval;
- User testing, test scripts, and approval;
- Requesting and receiving approval to migrate changes into production; and
- Segregating duties between individuals requesting changes, programming changes, testing changes and moving changes to production. If the Department determines that programmer access to the production environment is necessary in some situations, it should establish and enforce compensating controls to ensure appropriate management oversight and approval of changes.

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The Department should fully implement and consistently follow its change management procedures for all changes.

Additionally, the Department should ensure semi-annual reviews of the Department's change management practices are performed by the Office of Inspections and Audit.

DEPARTMENT RESPONSE

The ISP concurs. The ISP will work to ensure future processes regarding the Change Management Procedure and the Change Request Form and Instructions are adhered to and all proper documentation is completed.

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2014-007. **FINDING** (Inadequate control over commodities inventory)

The Illinois Department of State Police (Department) did not maintain sufficient controls over the recording of State-owned commodities inventory. During testing, we noted the following:

- 12 of 40 (30%) commodities items counted did not match the Department's Inventory Records.

The Statewide Accounting Management Systems (SAMS) (Procedure 03.60.20) requires the Department perform an annual physical count of inventory on hand and to reconcile the results to inventory records to ensure the completeness and accuracy of those records.

- 2 of 40 (5%) commodities items were surplus over one year and were still on the Department's Inventory Records.

The Illinois Procurement Code (30 ILCS 500/50-55) requires every State agency to stock no more than a 12 month supply of inventory.

- The Department's Communication Lab did not maintain records of adjustments made to inventory records during year-end physical counts. Since adjustments were not maintained we could not determine the reason for the differences noted between our test counts and the Department's test counts.

The State Records Act (5 ILCS 160/9) requires the head of each agency establish and maintain an active, continuing program for the economical and efficient management of the records of the agency.

The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires the Department establish and maintain a system of internal fiscal and administrative controls to provide assurance revenues, expenditures, and transfers of assets, resources, or funds applicable to operations are properly recorded and accounted for to permit the preparation of accounts and reliable financial and statistical reports and to maintain accountability over the State's resources.

Department personnel stated the differences are attributable to items being sent to surplus and documentation not retained, items miscounted and new items not yet added to inventory records. Department personnel also stated they were unaware of the requirement to maintain adjustment records.

Failure to exercise adequate control over inventory increases the likelihood of

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inaccurate commodity inventory records and the potential for fraud and possible loss or theft of State property. (Finding Code No. 2014-007)

RECOMMENDATION

We recommend the Department ensure commodities transactions and adjustments are timely and accurately recorded and sufficient documentation is maintained for these transactions and adjustments.

DEPARTMENT RESPONSE

The ISP concurs. The State Police Radio Lab stock room, unlike most state stock rooms, maintains a multitude of very small electronic components for repair services and hardware for new vehicle installations. Consequently counts will at times be off when receiving/shipping orders and updating existing inventory counts due to human error when counting these discrete items. However, continued effort will be made to ensure counts are accurate and records updated going forward. In addition, ISP staff having access to inventory related systems will be reminded of the importance of ensuring inventory records are complete and accurate.

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2014-008. **FINDING** (Noncompliance with State Officials and Employees Ethics Act)

The Illinois Department of State Police (Department) did not maintain time sheets in compliance with the State Officials and Employees Ethics Act.

The Department required Code employees to submit time sheets during the examination period. However, the time sheets did not document the time spent each day on official State business to the nearest quarter hour.

The State Officials and Employee Ethics Act (5 ILCS 430/5-5(c)) (Act) requires the Department to adopt personnel policies consistent with the Act. The Act states, “the policies shall require State employees to periodically submit time sheets documenting the time spent each day on official State business to the nearest quarter hour.”

Department personnel stated the Department is using outdated timekeeping systems. The Department is a part of the Public Safety Shared Services Center (PSSSC) along with the Department of Corrections (IDOC). According to the Department, there had been movement for a Statewide timekeeping solution and IDOC was going to be the pilot agency. The Department, as part of PSSSC, was planning to join the pilot; however, the project has not yet reached the pilot stage. In the prior engagement, the Department noted a similar reason for not maintaining time sheets in compliance with the Act.

Failure to maintain accurate time sheets is non-compliance with the State Officials and Employees Ethics Act. (Failure Code No. 2014-008, 12-11)

RECOMMENDATION

We recommend the Department amend its policies to require all Code employees to maintain time sheets in accordance with the State Officials and Employees Ethics Act.

DEPARTMENT RESPONSE

The ISP concurs. The ISP is working with the Department of Central Management Services (CMS) to convert code employees to their Timekeeping System which is in compliance with the State Officials and Employees Ethics Act. The implementation date is April 6, 2015. ISP policies will be updated to reflect this change. Once code employees successfully transition to the CMS Timekeeping System, the feasibility of moving sworn officers to this system will be evaluated.

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2014-009. **FINDING** (Voucher processing weakness)

The Illinois Department of State Police (Department) did not exercise adequate controls over voucher processing. During testing, we noted the following:

- Ninety of 310 (29%) vouchers tested, totaling \$3,616,776, were approved for payment from two to 156 days late.

The Illinois Administrative Code (74 Ill. Adm. Code 900.70) requires an agency to review a bill and either deny the bill in whole or in part, ask for more information necessary to review the bill, or approve the bill in whole or in part, within 30 days after physical receipt of the bill.

- Seven of 310 (2%) vouchers tested, totaling \$338,699, accrued a required interest payment of \$4,298 which was not paid by the Department.

The State Prompt Payment Act (30 ILCS 540/3-2) requires States agencies to determine whether interest is due and automatically pay interest penalties to the appropriate vendor when payment is not issued within 90 days.

The Department stated lack of personnel and oversight contributed to the deficiencies noted above. In the prior engagement, Department personnel attributed these issues to insufficient cost center staffing and competing priorities.

Failure to promptly approve vouchers may result in late payment of bills and cause the State to pay interest penalties. Failure to pay interest charges is noncompliance with the State Prompt Payment Act. (Finding Code No. 2014-009, 12-14, 10-5, 08-07, 06-07, 04-10)

RECOMMENDATION

We recommend the Department comply with the Illinois Administrative Code and the State Prompt Payment Act to ensure vouchers are approved within the required time frame and the required interest is paid.

DEPARTMENT RESPONSE

The ISP concurs. The PSSSC will continue to work to process vouchers in a timely manner. There was significant staff turnover in the vouchering division that contributed to the untimely processing. ISP will need to ensure that cost center staff are submitting vouchers to the PSSSC in a timely manner for processing.

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2014-010. **FINDING** (Treasurer drafts not submitted timely)

The Illinois Department of State Police (Department) did not submit treasurer's drafts to the Office of the Comptroller (Comptroller) in a timely manner. During testing, we noted 23 of 60 (38%) receipts tested, totaling \$71,516, were submitted between 2 and 18 days late.

The State Officers and Employees Money Disposition Act (30 ILCS 230/2(a)) requires treasurer's drafts be provided to the State Comptroller to allow deposit into the appropriate fund. Good business practices would require deposits be processed timely to increase the balance of funds available for expenditure. Auditors considered 30 days to be timely.

Department personnel stated the treasurer's drafts were not submitted timely due to staff turnover and conflicting priorities.

Untimely submission of treasurer's drafts to the Comptroller delays the State's access and usage of funds. (Finding Code No. 2014-010)

RECOMMENDATION

We recommend the Department strengthen its controls to ensure timely submission of treasurer's drafts to the Comptroller.

DEPARTMENT RESPONSE

The ISP concurs. The PSSSC will continue to work to process treasurer's drafts in a timely manner.

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2014-011. **FINDING** (Incorrect GAAP Reporting)

The Illinois Department of State Police (Department) did not provide the auditors or the Office of the State Comptroller (Comptroller) with accurate Grant/Contract Analysis (SCO-563) Forms, Interfund Activity-Grantee Agency (SCO-567) Forms, and Interfund Activity-Grantor Agency (SCO-568) Forms.

We noted the Department used the wrong Catalog of Federal Domestic Assistance (CFDA) number for one program in four different funds. The expenditures for this program totaled \$710,000.

The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires the Department to establish and maintain a system, or systems, of internal fiscal and administrative controls, which shall provide assurance that revenues, expenditures, and transfers of assets, resources, or funds applicable to operations are properly recorded and accounted for to permit the preparation of accounts and reliable financial and statistical reports and to maintain accountability over the State's resources. Effective internal controls should include a process to ensure financial reports are accurate. The Statewide Accounting Management Systems (SAMS) (Procedures 27.20.63, 27.20.67, and 27.20.68) states the Forms provide information needed to prepare the Statewide Schedule of Expenditures of Federal Awards for the primary government portion of the State of Illinois Reporting Entity in accordance with the Federal Single Audit Act. This procedure requires fields related to the CFDA number be complete and accurate.

Department personnel stated the incorrect CFDA was used due to employee error.

Failure to report accurate financial information to the Comptroller increases the risk that the Schedule of Expenditures of Federal Awards will not be fairly stated. (Finding Code No. 2014-011)

RECOMMENDATION

We recommend the Department comply with SAMS Procedures to ensure accurate financial information is reported to the Comptroller. Further, we recommend the Department review its current process for the preparation and review of the SCO-563, SCO-567, and SCO-568 Forms and allocate resources necessary to ensure a thorough review.

DEPARTMENT RESPONSE

The ISP concurs. The ISP will thoroughly review all GAAP forms in the future to ensure the correct CFDA numbers are utilized.

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A. **FINDING** (Inadequate documentation to support payroll expenditures)

During the prior examination period, the Illinois Department of State Police (Department) could not provide support for payroll expenditures as required under a contract dated April 20, 2007 with the Illinois Toll Highway Authority (Tollway). Each Department employee assigned to the Tollway was paid \$16 more per pay period than the salary amount per the employee as a uniform and food per diem. However, the Department did not maintain and could not provide the Tollway with adequate support to justify the pay.

During the current examination period, our testing results indicated the Department provided documentation reflecting the additional \$16 per pay period was discontinued by the Department. (Finding Code No. 12-2)

B. **FINDING** (Inadequate controls over Agency Fee Imposition Reports)

During the prior examination period, the Illinois Department of State Police (Department) had inadequate controls over the filing of the Agency Fee Imposition Report (Report). The Department could not provide sufficient documentation to support the amounts reported on its Reports prepared for Fiscal Year 2011 and Fiscal Year 2012. In addition, the Fiscal Year 2011 Report was submitted to the Illinois Office of the Comptroller (Comptroller) 15 days late.

During the current examination period, our testing results indicated the Department provided sufficient documentation and timely submitted the Reports to the Comptroller. However, the Department incorrectly reported fees collected on the Fiscal Year 2013 and Fiscal Year 2014 Reports for one of 17 (6%) fees collected. Therefore, this issue has been reported in the Immaterial Letter. (Finding Code No. 12-4)

C. **FINDING** (Inaccurate Agency Workforce Reports)

During the prior examination period, the Illinois Department of State Police (Department) submitted inaccurate workforce reports during the examination period. The reports submitted for the Fiscal Years ended June 30, 2010 and 2011 used data that was inconsistent with headcount data as well as salary data provided by the Department.

During the current examination period, our testing results indicated the Department submitted complete workforce reports. However, the Department did not file corrected reports as required. Therefore, this issue has been reported in the Immaterial Letter. (Finding Code No. 12-5)

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D. **FINDING** (Inadequate monitoring of interagency agreements)

During the prior examination period, the Illinois Department of State Police (Department) did not submit quarterly reports to the grantor in a timely manner. In addition, the Department also did not sign all agreements before the effective date of the agreement.

During the current examination period, our testing results indicated the Department submitted quarterly reports to the grantor prior to the due date for the submission of the reports. The Department also signed the interagency agreements prior to the effective dates. (Finding Code No. 12-10, 10-6)

E. **FINDING** (Noncompliance with the Missing Persons Identification Act)

During the prior examination period, the Illinois Department of State Police (Department) did not comply with the Missing Persons Identification Act (Act). The Department could not provide proper documentation showing information was uploaded into the National Crime Information Center (NCIC) within 72 hours. In addition, the Department did not enter information sought by the Violent Criminal Apprehension Program (ViCAP) database as soon as practicable.

During the current examination period, our testing results indicated the Department provided documentation showing the information was uploaded into NCIC when applicable. In addition, the Department entered information sought by the ViCAP database as soon as it was practicable. (Finding Code No. 12-12, 10-7)

F. **FINDING** (Lack of documentation in Criminal History Reports)

During the prior examination period, the Illinois Department of State Police (Department) did not comply with the ID/DD Community Care Act (Act) (210 ILCS 47/2-201.6(a) thru 47/2-201.6(c)(4)) or the Specialized Mental Health Rehabilitation Act (Act) (210 ILCS 48/2-201.6(a) thru 48/2-201.6(d)). Specifically, the Department could not locate copies of the parole and/or probation orders for four of 10 (40%) Criminal History Reports (reports) tested.

During the current examination period, our testing results indicated the Department was able to locate documentation for the reports tested. (Finding Code No. 12-13)

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Office of the Auditor General Performance Audit Recommendations Update

DIVISION OF FORENSIC SERVICES

In March 2009, the Office of the Auditor General released a management and program audit of the Illinois State Police's Division of Forensic Services. The audit contained 16 recommendations. As part of the compliance examination of the Illinois Department of State Police (Department) for the period ended June 30, 2012, auditors followed up on the status of the recommendations made in the March 2009 report and reported the following:

Recommendation 1	Partially Implemented
Recommendation 2	Partially Implemented
Recommendation 3	Partially Implemented
Recommendation 4	Implemented
Recommendation 5	Partially Implemented
Recommendation 6	Implemented
Recommendation 7	Partially Implemented
Recommendation 8	Implemented
Recommendation 9	Implemented
Recommendation 10	Implemented
Recommendation 11	Implemented
Recommendation 12	Implemented
Recommendation 13	Implemented
Recommendation 14	Implemented
Recommendation 15	Implemented
Recommendation 16	Implemented

As part of the compliance examination of the Department for the period ending June 30, 2014, auditors followed-up on the status of the partially implemented recommendations reported in the June 30, 2012 report and noted the following.

Recommendation 1: The Illinois State Police should develop a comprehensive plan to address the environmental issues at its forensic labs.

Partially Implemented: The Department continues to actively address all identified environmental issues at its forensic laboratories. The Division of Forensic Services (DFS) personnel work closely with the Division of Administration, which is tasked with oversight of the Department's facilities. Issues are prioritized to ensure immediate attention is given to facility issues which may impact the safety and security of employees, equipment, and evidence.

A study of funding, facilities, and staffing could be helpful; however, no funds are available to contract a private vendor to conduct an in-depth study, which the Department

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believes would be the best approach to obtain a comprehensive plan addressing these inter-related needs. Due to the number of critical staffing vacancies and the lack of personnel qualified to perform such a study, the Department is unable to perform such a study in-house. However, alternative approaches are being utilized to fulfill the intent of this recommendation. The DFS continues to plan for and address facility projects in accordance with established mechanisms within the state government system such as through annual budget requests and capitol development requests. One recent example of this is the construction of a new Metro-East Forensic Science Laboratory facility in Belleville, which replaced an aging insufficient facility in Fairview Heights. Part of that initiative included closing the Southern Illinois Forensic Science Centre in Carbondale, which suffered from a number of environmental issues and infrastructure deficiencies, and consolidating its operations with those of the Metro-East Laboratory. This initiative was completed this summer and operations were moved to the new consolidated Metro-East Forensic Science Laboratory in June. Planning for similar major projects to address environmental and space issues at other laboratory facilities continues.

Recommendation 2: The Illinois State Police should take the steps necessary to determine whether all fines levied for cases where fees should be collected are actually submitted to the Department. Additionally, the ISP should seek specific appropriation language when the DUI Fund is needed for expenditures for divisions outside the DFS.

Implemented: The DFS had initiated and completed a project which focused on developing a consistent manner of collecting these fee/fines with the cooperation of the counties who collect them. Actions taken included sending a letter to each county circuit clerk's office asking for their cooperation in collecting these fees and the attendance at the Circuit and County Clerks Association annual meeting during which the Department representatives discussed the importance of these fees funds and asked for continued collections by these individuals. It was later learned that some of these statutory fee funds are enumerated in a section of the Clerks of Courts Act (705 ILCS 105/27.6) which allows for those counties with a population under 2 million people to opt out of paying these fees by enacting a county ordinance. Ultimately, however, there still remains no consistent mechanism by which each of the 102 Illinois counties assess and collect eligible fees/fines. Without such a mechanism, there is no means to identify which cases had fees/fines levied, nor whether these fees were actually collected or submitted to the Department. During the project, the Department discussed the concerns with State Police Merit Board Executive Director Ron Cooley, who subsequently began working with legislators to consider changes to the current statute which would help address the concerns with the collection of at least one of the fees impacting the Department. There is no indication of when this might occur.

The Department did take steps to try to address the issue, as recommended by the Office of the Auditor General; however, this issue remains beyond the control of the Department, which has now exhausted all options within its authority to try to address

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this. Although the Department has no authority to address the collection/submission issues, the Department does continue to provide annually all pertinent statutory information to each Circuit Clerk and State's Attorney's Office in the state. This is done to remind these entities of the statutory provisions and to provide current instructions for submission of collected fees.

Recommendation 3: The Illinois State Police should ensure that resources provided by the General Assembly are fully utilized for the mission of the Division of DFS, including the reduction of case backlogs, rather than allowing this funding to transfer or lapse. The ISP should take the steps necessary to determine the funding level needed to operate its lab system.

Partially Implemented: The Department's Budget Office continually strives to make the best use of funds it is appropriated, within the guidelines received by the Governor's Office and limitations of the procurement process.

A study of funding, facilities, and staffing could be helpful; however, no funds are available to contract a private vendor to conduct an in-depth study, which the Department believes would be the best approach to obtain a comprehensive plan addressing these inter-related needs. Due to the number of critical staffing vacancies and the lack of personnel qualified to perform such a study, the Department is unable to perform such a study in-house. However, alternative approaches are being utilized to fulfill the intent of this recommendation. The DFS continues to plan for funding needs in accordance with established mechanisms within the state government system such as through annual budget requests and federal grant solicitations.

Recommendation 5: The Illinois State Police should conduct a formal study of staffing levels to determine the appropriate staffing levels for the DFS.

Partially Implemented: A study of funding, facilities, and staffing could be helpful; however, no funds are available to contract a private vendor to conduct an in-depth study, which the Department believes would be the best approach to obtain a comprehensive plan addressing these inter-related needs. Due to the number of critical staffing vacancies and the lack of personnel qualified to perform such a study, the Department is unable to perform such a study in-house. However, alternative approaches are being utilized to fulfill the intent of this recommendation. The DFS continues to plan for staffing needs in accordance with established mechanisms within the state government system such as through annual budget requests and other personnel processes (e.g., ePARs).

Recommendation 7: The Illinois State Police should develop a formal plan for reducing or eliminating backlogs of forensic services cases.

Partially Implemented: In late 2013, DFS received authorization to proceed with permanently filling the three (3) Program Manager positions. The Program Managers

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provide administrative oversight to the forensic disciplines of Forensic Biology/DNA, Patterned Evidence (i.e., Latent Prints, Firearms/Toolmarks, Footwear/Tiretrack), and Toxicology, respectively. These are the forensic disciplines with the highest backlogs and/or most complex analysis. Included in the responsibilities of each of these positions is the function of identifying feasible mechanisms for reducing backlogs and making improvements within the various disciplines (e.g., identifying and addressing obstacles to reducing backlogs, identifying and implementing efficiency measures, etc.). Even in the short amount of time these positions have been filled permanently, each of the Program Managers have initiated actions within their respective areas which have increased analytical efficiency and/or productivity, which in turn has decreased backlogs in these sections. While there is still a long road ahead, and many additional measures continue to be explored and implemented, the impact has already been quite positive. Examples are listed in the table below.

SECTION	CASE BACKLOG – FY13 (JUNE 30, 2013)	CASE BACKLOG – LATEST (MAY 31, 2014)	PERCENT CHANGE
DNA	4,112	3,804	-7.5%
FIREARMS/TOOLMARKS	4,416	3,665	- 17.0%
LATENT PRINTS	4,285	3,351	- 21.8%
TOXICOLOGY	934	532	- 43.0%

FIREARM OWNER’S IDENTIFICATION CARD ACT

In April 2012, the Office of the Auditor General released a management audit of the Department of State Police’s Administration of the Firearm Owner’s Identification Card Act. The audit contained 12 recommendations. As part of the compliance examination of the Illinois Department of State Police (Department) for the period ending June 30, 2012, auditors followed-up on the status of the recommendation made in the April 2012 report and reported the following:

- | | |
|-------------------|-----------------------|
| Recommendation 1 | Partially Implemented |
| Recommendation 2 | Partially Implemented |
| Recommendation 3 | Repeated |
| Recommendation 4 | Partially Implemented |
| Recommendation 5 | Implemented |
| Recommendation 6 | Partially Implemented |
| Recommendation 7 | Implemented |
| Recommendation 8 | Repeated |
| Recommendation 9 | Implemented |
| Recommendation 10 | Implemented |

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Recommendation 11	Repeated
Recommendation 12	Implemented

As part of the compliance examination of the Illinois State Police for the period ending June 30, 2014, auditors followed-up on the status of the repeated and partially implemented recommendations reported in the June 30, 2012 report and noted the following.

Recommendation 1: The Department of State Police should update its FOID Card Program Policy and Procedures Manual, provide and document formal training to its Firearms Services Bureau staff; and update its administrative rules relating to the Firearm Owners Identification Card Act.

Partially Implemented: The Firearms Services Bureau (FSB) is in the process of addressing each of the three areas identified in the recommendation. A FOID Procedures Manual remains a work in progress. The FOID system is currently being rewritten and converted to an electronic application process. The procedures manual has been placed on hold and will be implemented as the Department issues the new system. In November 2013 a formal training program was developed for new Firearm Eligibility Analysts. All employees also received on-going training throughout the year and this is documented with dated sign-in logs.

Recommendation 2: The Department of State Police should work with Circuit Courts and Circuit Court Clerks to ensure that the necessary prohibiting mental health information is submitted to the Department, as required by the Firearm Owners Identification Card Act, so it can be used by the Department in the FOID eligibility process, as well as submitted to the FBI's National Instant Criminal Background Check System.

Partially Implemented: Throughout calendar year 2013, the Department command attended several meetings with the Illinois Administrative Office of the Courts (AOIC) and Circuit Clerk's Association as well as individual visits throughout the State upon Clerk's request. Three of 102 circuit clerks were initially submitting mental health court orders in 2010. Currently, 68 circuit clerks have submitted mental health orders. The remaining counties are not necessarily non-compliant. They indicate they are compliant in that 2 factors are met; 1) they either do not have any mental health adjudications or 2) the Judge has not ordered them to submit documents to the Department. The Department is working with the AOIC to encourage those Clerks not reporting to submit an annual statement indicating they are in compliance with the statute.

Recommendation 3: The Department of State Police and the Department of Human Services should work with hospitals, nursing homes, clinicians, and other entities required to report prohibiting mental health information to the DHS-FOID system, to ensure that: all required reports are filed; the information is timely, complete

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and accurate; and voluntary and involuntary admissions are differentiated. In addition, ISP should review its' controls to ensure that all mental health matches sent by DHS are properly worked by eligibility staff.

Repeated: Legislation was strengthened regarding the reporting of voluntary admissions and reporting individuals deemed to be a clear and present danger. Effective September 1, 2012, DHS mandated the private facilities to accurately report by requiring the facility to identify the admission category (i.e. involuntary or voluntary). Unfortunately, there is no recourse for facilities that do not comply with this reporting mandate. DHS has taken an active role in communicating with the healthcare community through a conference, e-mails, and mailings.

Recommendation 4: The Department of State Police should ensure that information reported to the National Instant Criminal Background Check system is submitted completely, accurately, and timely, and should update it as necessary. The Department should work with DHS to identify individuals with NICS prohibitors prior to June 2008 and should report them to NICS as required.

Implemented: Several components to this recommendation have been implemented. 46,843 involuntary admission records identified by DHS dating back to 1980 have been submitted to the National Institute Check System (NICS). On September 30, 2012, Phase I of the NICS Act Record Improvement Program (NARIP) Grant/FOID rewrite was completed. This included a comprehensive clean-up of the mental health records at DHS and improved the match process between DHS data and FOID applicant/cardholder data. DHS MIS Clinical Unit has added physical description fields that all reporting entities need to supply so that the data can be passed on to NICS. Changes have been made to the Unified Health System FOID Reporting website to alert users of the new fields.

Recommendation 6: The Department of State Police should work with its vendor to ensure that FOID cards are forwarded to the correct mailing address; and ensure that it has enough Customer Service Representatives to answer the questions of FOID card applicants.

Partially Implemented: All FOID cards are now being forwarded to applicants that have registered a forwarding address with the US Postal Office. The Department has hired additional staff for the Customer Service Support Line. In addition, a menu option phone system was implemented during calendar year 2014.

Recommendation 8: Since existing management controls over FOID card processing timeliness do not identify applications that are not approved or denied until after the 30 day requirement, the Department of State Police should establish additional management controls to identify FOID applications that are not being processed timely.

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Repeated: The Department stated it received a FFY12 NARIP grant for \$1.65 million which will be used for the development of a new case management system to specifically address the recommendation. The functionality will be available in the new Appriss supported solution. The new FOID system is expected to be functional very soon.

Recommendation 9: The Department of State Police should maintain procurement documentation and ensure that it is available for review as required by the Illinois Procurement Code.

Implemented: The Department stated it maintains procurement documentation and it is available for review as required by the Illinois Procurement Code. Auditors verified documentation was being maintained and available for review including the current FOID contract.

Recommendation 10: The Department of State Police should ensure that FOID cards are approved or denied within 30 days from the date received as required by 430 ILCS 65/5.

Implemented: The Department stated it is currently in compliance with the mandate. The Department streamlined the processing of applications and hired an additional seven firearm eligibility analysts to reach the compliance with the mandate.

Recommendation 11: The Department of State Police should ensure that the FOID card program numbers it reports in its Annual Report are accurate and reliable.

Repeated: The Department stands by the numbers submitted in the Department's Annual Report; however, the Department recognizes there may be other methodologies for reporting some statistics. As the Department continues to rewrite the FOID system, a better accounting system will be built into the case management system to provide accurate and reliable numbers.

Recommendation 12: The Department of State Police should evaluate its staffing needs and determine whether it should hire additional staff to assist with the FOID card process instead of supplementing staff hours through the use of costly overtime. Additionally, ISP should review and strengthen its controls over requests for overtime payment.

Implemented: Controls have been implemented in regards to overtime. All employees must have overtime pre-approved and provide written documentation of the work performed to both supervisors and the timekeeper. A supervisor must be on-site if overtime is being worked. Throughout 2013, the Department instituted mandatory overtime to work a backlog of FOID applications. Once the 30 day turnaround mandate was reached, overtime was greatly reduced.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2014

SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

SUMMARY

Supplementary Information for State Compliance Purposes presented in this section of the report includes the following:

- Fiscal Schedules and Analysis:
 - Schedule of Appropriations, Expenditures and Lapsed Balances
 - Comparative Schedule of Net Appropriations, Expenditures and Lapsed Balances
 - Comparative Schedule of Receipts, Disbursements and Fund Balance (Cash Basis) – Locally Held Funds
 - Schedule of Changes in State Property
 - Comparative Schedule of Cash Receipts
 - Reconciliation Schedule of Cash Receipts to Deposits Remitted to the State Comptroller
 - Analysis of Significant Variations in Expenditures
 - Analysis of Significant Variations in Receipts
 - Analysis of Significant Lapse Period Spending
 - Analysis of Accounts Receivable

- Analysis of Operations (Not Examined):
 - Agency Functions and Planning Program (Not Examined)
 - Average Number of Employees (Not Examined)
 - Emergency Purchases (Not Examined)
 - Service Efforts and Accomplishments (Not Examined)

The accountant's report that covers the Supplementary Information for State Compliance Purposes presented in the Compliance Report Section states the accountants have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General to the June 30, 2014 and June 30, 2013 accompanying supplementary information in Schedules 1 through 12. However, the accountants do not express an opinion on the supplementary information. The accountant's report also states that they have not applied procedures to the Analysis of Operations Section, and accordingly, they do not express an opinion or provide any assurance on it.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAFSED BALANCES
Appropriations for Fiscal Year 2014

Fourteen Months Ended August 31, 2014

P.A. 98-0050	Appropriations (Net After Transfers)	Expenditures Through 6/30/14	Lapse Period Expenditures 7/01 - 8/31/14	Total Expenditures	Balances Lapsed
APPROPRIATED FUNDS					
<u>General Revenue Fund - 001</u>					
General Operations - Lump Sum					
Operational Costs and Expenses	\$ 240,483,700	\$ 228,810,059	\$ 11,156,757	\$ 239,966,816	\$ 516,884
Tort Claims	40,000,000	-	40,000,000	40,000,000	-
Total General Operations - Lump Sum	<u>\$ 280,483,700</u>	<u>\$ 228,810,059</u>	<u>\$ 51,156,757</u>	<u>\$ 279,966,816</u>	<u>\$ 516,884</u>
Division of Operations					
South Suburban Major Crimes Task Force	\$ 97,000	-	\$ 97,000	\$ 97,000	-
Total Division of Operations	<u>\$ 97,000</u>	<u>-</u>	<u>\$ 97,000</u>	<u>\$ 97,000</u>	<u>-</u>
Division of Forensic Services and Identification					
Administration of a Statewide Sexual Assault Evidence Collection Program	\$ 58,200	\$ 5,387	\$ 52,289	\$ 57,676	\$ 524
Operational Expenses Related to the Combined DNA Index System	2,254,800	1,359,880	775,271	2,135,151	119,649
Expenses Related to the Implementation of Conceal and Carry Permits	2,600,000	2,593,174	6,047	2,599,221	779
Total Division of Forensic Services and Identification	<u>\$ 4,913,000</u>	<u>\$ 3,958,441</u>	<u>\$ 833,607</u>	<u>\$ 4,792,048</u>	<u>\$ 120,952</u>
Division of Internal Investigation					
Ordinary and Contingent Expenses Incurred While Operating the Nursing Home Identified Offender Program	\$ 717,900	\$ 717,216	-	\$ 717,216	\$ 684
Total Division of Internal Investigation	<u>\$ 717,900</u>	<u>\$ 717,216</u>	<u>-</u>	<u>\$ 717,216</u>	<u>\$ 684</u>
Total - General Revenue Fund	<u>\$ 286,211,600</u>	<u>\$ 233,485,716</u>	<u>\$ 52,087,364</u>	<u>\$ 285,573,080</u>	<u>\$ 638,520</u>
<u>Mental Health Reporting Fund - 148</u>					
Division of Forensic Services and Identification					
Expense of Conceal Carry	\$ 6,250,000	-	-	\$ -	\$ 6,250,000
Total - Mental Health Reporting Fund	<u>\$ 6,250,000</u>	<u>-</u>	<u>-</u>	<u>\$ -</u>	<u>\$ 6,250,000</u>
<u>State Crime Laboratory Fund - 152</u>					
Division of Forensic Services and Identification					
Payable from State Crime Laboratory Fund	\$ 3,000,000	\$ 427,883	\$ 65,399	\$ 493,282	\$ 2,506,718
Total - State Crime Laboratory Fund	<u>\$ 3,000,000</u>	<u>\$ 427,883</u>	<u>\$ 65,399</u>	<u>\$ 493,282</u>	<u>\$ 2,506,718</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
Appropriations for Fiscal Year 2014

Fourteen Months Ended August 31, 2014

P.A. 98-0050	Appropriations (Net After Transfers)	Expenditures Through 6/30/14	Lapse Period Expenditures 7/01 - 8/31/14	Total Expenditures	Balances Lapsed
<u>State Police Firearm Services Fund - 209</u>					
Division of Forensic Services and Identification					
Expense of Conceal Carry	\$ 17,000,000	\$ 1,523,867	\$ 558,812	\$ 2,082,679	\$ 14,917,321
Operation and Administration of Conceal Carry	153,400	142,037	662	142,699	10,701
Total - State Police Firearm Services Fund	<u>\$ 17,153,400</u>	<u>\$ 1,665,904</u>	<u>\$ 559,474</u>	<u>\$ 2,225,378</u>	<u>\$ 14,928,022</u>
<u>State Police DUI Fund - 222</u>					
Division of Forensic Services and Identification					
Administration and Operation of State Crime Laboratories	\$ 150,000	\$ 58,287	\$ 21,579	\$ 79,866	\$ 70,134
Subtotal - Division of Forensic Services and Identification	<u>\$ 150,000</u>	<u>\$ 58,287</u>	<u>\$ 21,579</u>	<u>\$ 79,866</u>	<u>\$ 70,134</u>
Division of Operations					
Equipment Purchases to Assist in the Prevention of Driving While Under the Influence of Alcohol, Drugs or Intoxication Compounds	\$ 1,300,000	\$ 488,652	\$ 786,138	\$ 1,274,790	\$ 25,210
Subtotal - Division of Operations	<u>\$ 1,300,000</u>	<u>\$ 488,652</u>	<u>\$ 786,138</u>	<u>\$ 1,274,790</u>	<u>\$ 25,210</u>
Total - State Police DUI Fund	<u>\$ 1,450,000</u>	<u>\$ 546,939</u>	<u>\$ 807,717</u>	<u>\$ 1,354,656</u>	<u>\$ 95,344</u>
<u>Medicaid Fraud and Abuse Prevention Fund - 237</u>					
Division of Operations - Financial Fraud and Forgery Unit					
Detection, Investigation or Prosecution of Recipient or Vendor Fraud	\$ 250,000	-	-	-	\$ 250,000
Total - Medicaid Fraud and Abuse Prevention Fund	<u>\$ 250,000</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>\$ 250,000</u>
<u>State Police Vehicle Fund - 246</u>					
Division of Administration					
Purchase of Vehicles and Accessories	\$ 12,000,000	\$ 1,627,577	\$ 8,036,177	\$ 9,663,754	\$ 2,336,246
Total - State Police Vehicle Fund	<u>\$ 12,000,000</u>	<u>\$ 1,627,577</u>	<u>\$ 8,036,177</u>	<u>\$ 9,663,754</u>	<u>\$ 2,336,246</u>
<u>State Police Vehicle Maintenance Fund - 328</u>					
Division of Administration					
Operation of Automotive Equipment	\$ 700,000	\$ 500,000	-	\$ 500,000	\$ 200,000
Total - State Police Vehicle Maintenance Fund	<u>\$ 700,000</u>	<u>\$ 500,000</u>	<u>-</u>	<u>\$ 500,000</u>	<u>\$ 200,000</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAFSED BALANCES
Appropriations for Fiscal Year 2014

Fourteen Months Ended August 31, 2014

P.A. 98-0050	Appropriations (Net After Transfers)	Expenditures Through 6/30/14	Lapse Period Expenditures 7/01 - 8/31/14	Total Expenditures	Balances Lapsed
<u>Motor Vehicle Theft Prevention Trust Fund - 376</u>					
Division of Operations					
Payment of Expenses	\$ 600,000	\$ 330,986	\$ 24,076	\$ 355,062	\$ 244,938
Total - Motor Vehicle Theft Prevention Trust Fund	<u>\$ 600,000</u>	<u>\$ 330,986</u>	<u>\$ 24,076</u>	<u>\$ 355,062</u>	<u>\$ 244,938</u>
<u>Sex Offender Investigation Fund - 445</u>					
Division of Operations					
Expenses Related to Sex Offender Investigations	\$ 100,000	\$ 97,033	\$ 188	\$ 97,221	\$ 2,779
Total - Sex Offender Investigation Fund	<u>\$ 100,000</u>	<u>\$ 97,033</u>	<u>\$ 188</u>	<u>\$ 97,221</u>	<u>\$ 2,779</u>
<u>State Asset Forfeiture Fund - 514</u>					
Division of Administration					
Payment of Expenditures	\$ 4,500,000	\$ 1,884,352	\$ 1,451,239	\$ 3,335,591	\$ 1,164,409
Total - State Asset Forfeiture Fund	<u>\$ 4,500,000</u>	<u>\$ 1,884,352</u>	<u>\$ 1,451,239</u>	<u>\$ 3,335,591</u>	<u>\$ 1,164,409</u>
<u>Federal Asset Forfeiture Fund - 520</u>					
Division of Administration					
Payment of Expenditures	\$ 2,500,000	\$ 600,267	\$ 799,379	\$ 1,399,646	\$ 1,100,354
Total - Federal Asset Forfeiture Fund	<u>\$ 2,500,000</u>	<u>\$ 600,267</u>	<u>\$ 799,379</u>	<u>\$ 1,399,646</u>	<u>\$ 1,100,354</u>
<u>Sex Offender Registration Fund - 535</u>					
Division of Operations					
Payment of Expenses for the Sex Offender Registration Program	\$ 100,000	\$ 84,524	\$ 14,171	\$ 98,695	\$ 1,305
Total - Sex Offender Registration Fund	<u>\$ 100,000</u>	<u>\$ 84,524</u>	<u>\$ 14,171</u>	<u>\$ 98,695</u>	<u>\$ 1,305</u>
<u>LEADS Maintenance Fund - 536</u>					
Information Services Bureau					
Payment of Expenses Related to LEADS System	\$ 3,500,000	\$ 1,475,657	\$ 185,819	\$ 1,661,476	\$ 1,838,524
Total - LEADS Maintenance Fund	<u>\$ 3,500,000</u>	<u>\$ 1,475,657</u>	<u>\$ 185,819</u>	<u>\$ 1,661,476</u>	<u>\$ 1,838,524</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
Appropriations for Fiscal Year 2014

Fourteen Months Ended August 31, 2014

P.A. 98-0050	Appropriations (Net After Transfers)	Expenditures Through 6/30/14	Lapse Period Expenditures 7/01 - 8/31/14	Total Expenditures	Balances Lapsed
<u>State Offender DNA Identification System Fund - 537</u>					
Division of Forensic Services and Identification					
Payable from State Offender DNA Identification System Fund	\$ 3,423,500	\$ 2,406,314	\$ 107,948	\$ 2,514,262	\$ 909,238
Total - State Offender DNA Identification System Fund	<u>\$ 3,423,500</u>	<u>\$ 2,406,314</u>	<u>\$ 107,948</u>	<u>\$ 2,514,262</u>	<u>\$ 909,238</u>
<u>State Police Wireless Service Emergency Fund - 637</u>					
Division of Administration					
Costs Associated with the Wireless Emergency Telephone Safety Act	\$ 1,800,000	\$ 668,593	\$ 158,821	\$ 827,414	\$ 972,586
Total - State Police Wireless Service Emergency Fund	<u>\$ 1,800,000</u>	<u>\$ 668,593</u>	<u>\$ 158,821</u>	<u>\$ 827,414</u>	<u>\$ 972,586</u>
<u>Motor Carrier Safety Inspection Fund - 649</u>					
Division of Operations					
Expenses Associated with the Enforcement of Federal and State Motor Carrier Safety Regulations and Laws	\$ 2,600,000	\$ 2,275,466	\$ 6,557	\$ 2,282,023	\$ 317,977
Total - Motor Carrier Safety Inspection Fund	<u>\$ 2,600,000</u>	<u>\$ 2,275,466</u>	<u>\$ 6,557</u>	<u>\$ 2,282,023</u>	<u>\$ 317,977</u>
<u>Over Dimensional Load Police Escort Fund - 652</u>					
Division of Operations					
Expenses Incurred for Providing Police Escorts for Over-Dimensional Loads	\$ 125,000	\$ 104,372	\$ 17,617	\$ 121,989	\$ 3,011
Total - Over Dimensional Load Police Escort Fund	<u>\$ 125,000</u>	<u>\$ 104,372</u>	<u>\$ 17,617</u>	<u>\$ 121,989</u>	<u>\$ 3,011</u>
<u>State Police Whistleblower Reward and Protection Fund - 705</u>					
Division of Operations					
Payment of Expenditures for State Law Enforcement Purposes	\$ 14,000,000	\$ 8,392,525	\$ 237,255	\$ 8,629,780	\$ 5,370,220
Total - State Police Whistleblower Reward and Protection Fund	<u>\$ 14,000,000</u>	<u>\$ 8,392,525</u>	<u>\$ 237,255</u>	<u>\$ 8,629,780</u>	<u>\$ 5,370,220</u>
<u>Money Laundering Asset Recovery Fund - 816</u>					
Division of Administration					
Ordinary and Contingent Expenses	\$ 2,000,000	-	\$ 1,345,811	\$ 1,345,811	\$ 654,189
Total - Money Laundering Asset Recovery Fund	<u>\$ 2,000,000</u>	<u>-</u>	<u>\$ 1,345,811</u>	<u>\$ 1,345,811</u>	<u>\$ 654,189</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
Appropriations for Fiscal Year 2014

Fourteen Months Ended August 31, 2014

P.A. 98-0050	Appropriations (Net After Transfers)	Expenditures Through 6/30/14	Lapse Period Expenditures 7/01 - 8/31/14	Total Expenditures	Balances Lapsed
<u>State Police Operations Assistance Fund - 817</u>					
Division of Operations					
Ordinary and Contingent Expenses	\$ 22,000,000	\$ 13,908,312	\$ 674,261	\$ 14,582,573	\$ 7,417,427
Total - State Police Operations Assistance Fund	<u>\$ 22,000,000</u>	<u>\$ 13,908,312</u>	<u>\$ 674,261</u>	<u>\$ 14,582,573</u>	<u>\$ 7,417,427</u>
<u>State Police Streeting-Related Crime Fund - 846</u>					
Division of Operations					
Operations Related to Streeting-Related Crime Initiatives	\$ 10,000	-	-	\$ -	\$ 10,000
Total - State Police Streeting-Related Crime Fund	<u>\$ 10,000</u>	<u>-</u>	<u>-</u>	<u>\$ -</u>	<u>\$ 10,000</u>
<u>Drug Traffic Prevention Fund - 878</u>					
Division of Operations					
Grants to Metropolitan Enforcement Groups	\$ 500,000	\$ 150,000	-	\$ 150,000	\$ 350,000
Total - Drug Traffic Prevention Fund	<u>\$ 500,000</u>	<u>\$ 150,000</u>	<u>-</u>	<u>\$ 150,000</u>	<u>\$ 350,000</u>
<u>Traffic and Criminal Conviction Surcharge Fund - 879</u>					
Division of Operations					
Personal Services	\$ 502,500	\$ 499,312	-	\$ 499,312	\$ 3,188
State Contribution to State Employees' Retirement System	202,600	201,398	-	201,398	1,202
State Contributions to Social Security	7,200	6,964	-	6,964	236
Group Insurance	155,000	139,005	3,995	143,000	12,000
Contractual Services	465,400	317,750	127,733	445,483	19,917
Travel	38,300	2,946	10,767	13,713	24,587
Commodities	174,600	11,287	137,532	148,819	25,781
Printing	26,500	-	26,500	26,500	-
Telecommunications Services	1,665,700	1,615,475	30,690	1,646,165	19,535
Operation of Automotive Equipment	1,762,200	1,708,084	24,748	1,732,832	29,368
Total - Traffic and Criminal Conviction Surcharge Fund	<u>\$ 5,000,000</u>	<u>\$ 4,502,221</u>	<u>\$ 361,965</u>	<u>\$ 4,864,186</u>	<u>\$ 135,814</u>
<u>Illinois State Police Federal Projects Fund - 904</u>					
Division of Operations					
Payment of Expenses	\$ 20,000,000	\$ 11,235,803	\$ 1,706,819	\$ 12,942,622	\$ 7,057,378
Total - Illinois State Police Federal Projects Fund	<u>\$ 20,000,000</u>	<u>\$ 11,235,803</u>	<u>\$ 1,706,819</u>	<u>\$ 12,942,622</u>	<u>\$ 7,057,378</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
Appropriations for Fiscal Year 2014

Fourteen Months Ended August 31, 2014

P.A. 98-0050	Appropriations (Net After Transfers)	Expenditures Through 6/30/14	Lapse Period Expenditures 7/01 - 8/31/14	Total Expenditures	Balances Lapsed
State Police Services Fund - 906					
Division of Operations					
Payment of Expenses for:					
Fingerprint Program	\$ 25,000,000	\$ 11,954,650	\$ 1,233,789	\$ 13,188,439	\$ 11,811,561
Federal & IDOT Programs	8,400,000	2,303,424	177,571	2,480,995	5,919,005
Riverboat Gambling	1,500,000	107,333	592,834	700,167	799,833
Miscellaneous Programs	4,300,000	2,637,696	437,400	3,075,096	1,224,904
Total - State Police Services Fund	\$ 39,200,000	\$ 17,003,103	\$ 2,441,594	\$ 19,444,697	\$ 19,755,303
Total All Appropriated Funds	\$ 448,973,500	\$ 303,373,547	\$ 71,089,651	\$ 374,463,198	\$ 74,510,302
NON-APPROPRIATED FUNDS					
Federal Projects Fund - 904					
Division of Operations					
Grant from ICJIA for Crime Scanner		\$ 100,000	-	\$ 100,000	
Refunds of Federal Grants		542,390	278	542,668	
Total - Federal Projects Fund		\$ 642,390	\$ 278	\$ 642,668	
Grand Total All Funds	\$ 448,973,500	\$ 304,015,937	\$ 71,089,929	\$ 375,105,866	\$ 74,510,302

Note 1: Appropriations, expenditures, and lapsed balances were obtained from State Comptroller records and have been reconciled to Agency records.

Note 2: Expenditure amounts are vouchers approved for payment by the Agency and submitted to the State Comptroller for payment to the vendor.

STATE OF ILLINOIS
ILLINOIS STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
Appropriations for Fiscal Year 2013

Fourteen Months Ended August 31, 2013

P.A. 97-0731	Appropriations (Net After Transfers)	Expenditures Through 6/30/13	Lapse Period Expenditures 7/01 - 8/31/13	Total Expenditures	Balances Lapsed
APPROPRIATED FUNDS					
General Revenue Fund - 001					
Division of Administration					
Operations:					
Personal Services	\$ 6,414,000	\$ 6,139,251	\$ 272,257	\$ 6,411,508	\$ 2,492
State Contributions to Social Security	398,900	373,003	20,370	393,373	5,527
Contractual Services	1,436,900	909,404	432,503	1,341,907	94,993
Travel	28,400	16,588	4,772	21,360	7,040
Commodities	313,100	118,296	63,533	181,829	131,271
Printing	50,700	22,399	270	22,669	28,031
Telecommunications Services	105,900	66,896	30,975	97,871	8,029
Operation of Automotive Equipment	270,000	192,650	52,976	245,626	24,374
Subtotal Operations	<u>\$ 9,017,900</u>	<u>\$ 7,838,487</u>	<u>\$ 877,656</u>	<u>\$ 8,716,143</u>	<u>\$ 301,757</u>
Other than Operations:					
Tort Claims	\$ 50,000	\$ 4,326	\$ 247	\$ 4,573	\$ 45,427
Refunds	2,000	414	-	414	1,586
Subtotal Other than Operations	<u>\$ 52,000</u>	<u>\$ 4,740</u>	<u>\$ 247</u>	<u>\$ 4,987</u>	<u>\$ 47,013</u>
Total Division of Administration	<u>\$ 9,069,900</u>	<u>\$ 7,843,227</u>	<u>\$ 877,903</u>	<u>\$ 8,721,130</u>	<u>\$ 348,770</u>
Information Services Bureau					
Personal Services	\$ 4,699,800	\$ 4,471,137	\$ 213,851	\$ 4,684,988	\$ 14,812
State Contributions to Social Security	357,200	335,974	16,099	352,073	5,127
Contractual Services	975,700	860,363	95,243	955,606	20,094
Travel	1,700	724	443	1,167	533
Commodities	20,000	9,761	5,082	14,843	5,157
Printing	13,500	6,589	4,989	11,578	1,922
Electronic Data Processing	2,172,000	1,605,023	536,433	2,141,456	30,544
Telecommunications Services	458,300	318,962	134,650	453,612	4,688
Operation of Auto Equipment	20,000	16,328	2,801	19,129	871
Total Information Services Bureau	<u>\$ 8,718,200</u>	<u>\$ 7,624,861</u>	<u>\$ 1,009,591</u>	<u>\$ 8,634,452</u>	<u>\$ 83,748</u>

STATE OF ILLINOIS
ILLINOIS STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
Appropriations for Fiscal Year 2013

Fourteen Months Ended August 31, 2013

P.A. 97-0731	Appropriations (Net After Transfers)	Expenditures Through 6/30/13	Lapse Period		Balances Lapsed
			Expenditures 7/01 - 8/31/13	Total Expenditures	
Division of Operations					
Personal Services	\$ 144,648,900	\$ 142,383,624	\$ 1,985,486	\$ 144,369,110	\$ 279,790
State Contributions to Social Security	3,522,200	3,297,132	92,417	3,389,549	132,651
Contractual Services	2,979,200	2,037,840	750,696	2,788,536	190,664
Travel	274,500	234,656	22,449	257,105	17,395
Commodities	473,400	258,929	117,104	376,033	97,367
Printing	106,000	19,754	57,320	77,074	28,926
Equipment	15,800	1,420	13,986	15,406	394
Telecommunications Services	4,225,400	2,276,218	1,079,946	3,356,164	869,236
Operation of Automotive Equipment	8,226,700	6,792,281	1,277,341	8,069,622	157,078
Ordinary & Contingent Expenses	3,100,000	2,993,590	58,853	3,052,443	47,557
Cadet Class Expenses	2,898,000	2,460,298	341,797	2,802,095	95,905
South Suburban Major Crimes Task Force	100,000	100,000	-	100,000	-
Total Division of Operations	\$ 170,570,100	\$ 162,855,742	\$ 5,797,395	\$ 168,653,137	\$ 1,916,963
Division of Operations - Financial Fraud and Forgery Unit					
Personal Services	\$ 2,757,700	\$ 2,729,036	\$ 13,937	\$ 2,742,973	\$ 14,727
State Contributions to Social Security	54,600	52,444	1,034	53,478	1,122
Total Division of Operations Financial Fraud and Forgery Unit	\$ 2,812,300	\$ 2,781,480	\$ 14,971	\$ 2,796,451	\$ 15,849
Division of Forensic Services and Identification					
Personal Services	\$ 41,584,300	\$ 39,533,806	\$ 1,954,744	\$ 41,488,550	\$ 95,750
State Contributions to Social Security	2,925,400	2,702,891	133,846	2,836,737	88,663
Contractual Services	4,059,600	3,564,278	458,526	4,022,804	36,796
Travel	20,300	8,605	6,771	15,376	4,924
Commodities	993,100	608,106	356,230	964,336	28,764
Printing	63,900	48,942	6,977	55,919	7,981
Equipment	889,700	115,591	748,724	864,315	25,385
Telecommunications Services	454,400	301,649	121,830	423,479	30,921
Operation of Automotive Equipment	74,800	69,199	4,960	74,159	641
Administration of a Statewide Sexual Assault Evidence Collection Program	60,000	51,260	7,639	58,899	1,101
Operational Expenses Related to the Combined DNA Index System	2,324,100	2,052,917	252,183	2,305,100	19,000
Total Division of Forensic Services and Identification	\$ 53,449,600	\$ 49,057,244	\$ 4,052,430	\$ 53,109,674	\$ 339,926

STATE OF ILLINOIS
ILLINOIS STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
Appropriations for Fiscal Year 2013

Fourteen Months Ended August 31, 2013

P.A. 97-0731	Appropriations (Net After Transfers)	Expenditures Through 6/30/13	Lapse Period Expenditures 7/01 - 8/31/13	Total Expenditures	Balances Lapsed
Division of Internal Investigation					
Personal Services	\$ 2,230,600	\$ 2,203,189	\$ 22,113	\$ 2,225,302	\$ 5,298
State Contributions to Social Security	69,700	64,870	1,657	66,527	3,173
Contractual Services	32,400	18,453	5,771	24,224	8,176
Travel	5,000	2,085	2,190	4,275	725
Commodities	11,400	4,631	4,490	9,121	2,279
Printing	3,200	3,181	-	3,181	19
Equipment	500	338	-	338	162
Telecommunications Services	66,900	40,959	14,665	55,624	11,276
Operation of Automotive Equipment	255,000	211,804	38,607	250,411	4,589
Ordinary and Contingent Expenses Incurred While Operating the Nursing Home Identified Offender Program	740,000	643,115	39,326	682,441	57,559
Total Division of Internal Investigation	<u>\$ 3,414,700</u>	<u>\$ 3,192,625</u>	<u>\$ 128,819</u>	<u>\$ 3,321,444</u>	<u>\$ 93,256</u>
Total - General Revenue Fund	<u>\$ 248,034,800</u>	<u>\$ 233,355,179</u>	<u>\$ 11,881,109</u>	<u>\$ 245,236,288</u>	<u>\$ 2,798,512</u>
Firearm Owner's Notification Fund - 071					
Division of Forensic Services and Identification					
Administration and Operation of the Firearm Owner's Identification Card Program	\$ 1,000,000	\$ 868,758	\$ 130,512	\$ 999,270	\$ 730
Total - Firearm Owner's Notification Fund	<u>\$ 1,000,000</u>	<u>\$ 868,758</u>	<u>\$ 130,512</u>	<u>\$ 999,270</u>	<u>\$ 730</u>
State Crime Laboratory Fund - 152					
Division of Forensic Services and Identification					
Payable from State Crime Laboratory Fund	\$ 1,000,000	\$ 478,300	\$ 79,229	\$ 557,529	\$ 442,471
Total - State Crime Laboratory Fund	<u>\$ 1,000,000</u>	<u>\$ 478,300</u>	<u>\$ 79,229</u>	<u>\$ 557,529</u>	<u>\$ 442,471</u>
State Police DUI Fund - 222					
Division of Forensic Services and Identification					
Administration and Operation of State Crime Laboratories	\$ 150,000	\$ 50,633	\$ 22,646	\$ 73,279	\$ 76,721
Subtotal - Division of Forensic Services and Identification	<u>\$ 150,000</u>	<u>\$ 50,633</u>	<u>\$ 22,646</u>	<u>\$ 73,279</u>	<u>\$ 76,721</u>
Division of Operations					
Equipment Purchases to Assist in the Prevention of Driving While Under the Influence of Alcohol, Drugs or Intoxication Compounds	\$ 1,000,000	\$ 248,664	\$ 717,254	\$ 965,918	\$ 34,082
Subtotal - Division of Operations	<u>\$ 1,000,000</u>	<u>\$ 248,664</u>	<u>\$ 717,254</u>	<u>\$ 965,918</u>	<u>\$ 34,082</u>
Total - State Police DUI Fund	<u>\$ 1,150,000</u>	<u>\$ 299,297</u>	<u>\$ 739,900</u>	<u>\$ 1,039,197</u>	<u>\$ 110,803</u>

STATE OF ILLINOIS
ILLINOIS STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
Appropriations for Fiscal Year 2013

Fourteen Months Ended August 31, 2013

P.A. 97-0731	Appropriations (Net After Transfers)	Expenditures Through 6/30/13	Lapse Period Expenditures 7/01 - 8/31/13	Total Expenditures	Balances Lapsed
<u>Medicaid Fraud and Abuse Prevention Fund - 237</u>					
Division of Operations - Financial Fraud and Forgery Unit					
	\$ 250,000	-	-	\$ -	\$ 250,000
	\$ 250,000	-	-	\$ -	\$ 250,000
Total - Medicaid Fraud and Abuse Prevention Fund					
<u>State Police Vehicle Fund - 246</u>					
Division of Administration					
	\$ 12,000,000	\$ 1,642,021	\$ 8,246,215	\$ 9,888,236	\$ 2,111,764
	\$ 12,000,000	\$ 1,642,021	\$ 8,246,215	\$ 9,888,236	\$ 2,111,764
Total - State Police Vehicle Fund					
<u>State Police Vehicle Maintenance Fund - 328</u>					
Division of Administration					
	\$ 500,000	-	-	\$ -	\$ 500,000
	\$ 500,000	-	-	\$ -	\$ 500,000
Total - State Police Vehicle Maintenance Fund					
<u>Motor Vehicle Theft Prevention Trust Fund - 376</u>					
Division of Operations					
	\$ 600,000	\$ 275,451	\$ 54,420	\$ 329,871	\$ 270,129
	\$ 600,000	\$ 275,451	\$ 54,420	\$ 329,871	\$ 270,129
Total - Motor Vehicle Theft Prevention Trust Fund					
<u>Sex Offender Investigation Fund - 445</u>					
Division of Operations					
	\$ 100,000	\$ 16,568	-	\$ 16,568	\$ 83,432
	\$ 100,000	\$ 16,568	-	\$ 16,568	\$ 83,432
Total - Sex Offender Investigation Fund					
<u>State Asset Forfeiture Fund - 514</u>					
Division of Administration					
	\$ 4,500,000	\$ 1,621,069	\$ 885,956	\$ 2,507,025	\$ 1,992,975
	\$ 4,500,000	\$ 1,621,069	\$ 885,956	\$ 2,507,025	\$ 1,992,975
Total - State Asset Forfeiture Fund					

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ILLINOIS STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
Appropriations for Fiscal Year 2013

Fourteen Months Ended August 31, 2013

P.A. 97-0731	Appropriations (Net After Transfers)	Expenditures Through 6/30/13	Lapse Period Expenditures 7/01 - 8/31/13	Total Expenditures	Balances Lapsed
<u>Federal Asset Forfeiture Fund - 520</u>					
Division of Administration					
Payment of Expenditures	\$ 2,000,000	\$ 1,206,744	\$ 623,753	\$ 1,830,497	\$ 169,503
Total - Federal Asset Forfeiture Fund	\$ 2,000,000	\$ 1,206,744	\$ 623,753	\$ 1,830,497	\$ 169,503
<u>Sex Offender Registration Fund - 535</u>					
Division of Operations					
Payment of Expenses for the Sex Offender Registration Program	\$ 100,000	-	-	\$ -	\$ 100,000
Total - Sex Offender Registration Fund	\$ 100,000	-	-	\$ -	\$ 100,000
<u>LEADS Maintenance Fund - 536</u>					
Information Services Bureau					
Payment of Expenses Related to LEADS System	\$ 3,500,000	\$ 1,519,047	\$ 34,328	\$ 1,553,375	\$ 1,946,625
Total - LEADS Maintenance Fund	\$ 3,500,000	\$ 1,519,047	\$ 34,328	\$ 1,553,375	\$ 1,946,625
<u>State Offender DNA Identification System Fund - 537</u>					
Division of Forensic Services and Identification					
Payable from State Offender DNA Identification System Fund	\$ 3,423,500	\$ 2,344,312	\$ 495,913	\$ 2,840,225	\$ 583,275
Total - State Offender DNA Identification System Fund	\$ 3,423,500	\$ 2,344,312	\$ 495,913	\$ 2,840,225	\$ 583,275
<u>State Police Wireless Service Emergency Fund - 637</u>					
Division of Administration					
Costs Associated with the Wireless Emergency Telephone Safety Act	\$ 1,800,000	\$ 494,874	\$ 102,465	\$ 597,339	\$ 1,202,661
Total - State Police Wireless Service Emergency Fund	\$ 1,800,000	\$ 494,874	\$ 102,465	\$ 597,339	\$ 1,202,661
<u>Motor Carrier Safety Inspection Fund - 649</u>					
Division of Operations					
Expenses Associated with the Enforcement of Federal and State Motor Carrier Safety Regulations and Laws	\$ 2,600,000	\$ 2,440,038	\$ -	\$ 2,440,038	\$ 159,962
Total - Motor Carrier Safety Inspection Fund	\$ 2,600,000	\$ 2,440,038	\$ -	\$ 2,440,038	\$ 159,962

STATE OF ILLINOIS
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Appropriations for Fiscal Year 2013

Fourteen Months Ended August 31, 2013

P.A. 97-0731	Appropriations (Net After Transfers)	Expenditures Through 6/30/13	Lapse Period Expenditures 7/01 - 8/31/13	Total Expenditures	Balances Lapsed
<u>Over Dimensional Load Police Escort Fund - 652</u>					
Division of Operations					
Expenses Incurred for Providing Police Escorts for Over-Dimensional Loads	\$ 125,000	\$ 101,693	\$ 6,740	\$ 108,433	\$ 16,567
Total - Over Dimensional Load Police Escort Fund	\$ 125,000	\$ 101,693	\$ 6,740	\$ 108,433	\$ 16,567
<u>State Police Whistleblower Reward and Protection Fund - 705</u>					
Division of Operations					
Payment of Expenditures for State Law Enforcement Purposes	\$ 14,000,000	\$ 11,637,620	\$ 9,579	\$ 11,647,199	\$ 2,352,801
Total - State Police Whistleblower Reward and Protection Fund	\$ 14,000,000	\$ 11,637,620	\$ 9,579	\$ 11,647,199	\$ 2,352,801
<u>Money Laundering Asset Recovery Fund - 816</u>					
Division of Administration					
Ordinary and Contingent Expenses	\$ 2,000,000	\$ 1,350,865	\$ 610,255	\$ 1,961,120	\$ 38,880
Total - Money Laundering Asset Recovery Fund	\$ 2,000,000	\$ 1,350,865	\$ 610,255	\$ 1,961,120	\$ 38,880
<u>State Police Operations Assistance Fund - 817</u>					
Division of Operations					
Ordinary and Contingent Expenses	\$ 22,000,000	\$ 14,380,252	\$ 41,083	\$ 14,421,335	\$ 7,578,665
Total - State Police Operations Assistance Fund	\$ 22,000,000	\$ 14,380,252	\$ 41,083	\$ 14,421,335	\$ 7,578,665
<u>State Police Streetgang-Related Crime Fund - 846</u>					
Division of Operations					
Operations Related to Streetgang-Related Crime Initiatives	\$ 10,000	-	\$ -	\$ -	\$ 10,000
Total - State Police Streetgang-Related Crime Fund	\$ 10,000	\$ -	\$ -	\$ -	\$ 10,000
<u>Drug Traffic Prevention Fund - 878</u>					
Division of Operations					
Grants to Metropolitan Enforcement Groups	\$ 500,000	\$ 276,098	\$ 7,900	\$ 283,998	\$ 216,002
Total - Drug Traffic Prevention Fund	\$ 500,000	\$ 276,098	\$ 7,900	\$ 283,998	\$ 216,002

STATE OF ILLINOIS
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SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
Appropriations for Fiscal Year 2013

Fourteen Months Ended August 31, 2013

P.A. 97-0731	Appropriations (Net After Transfers)	Expenditures Through 6/30/13	Lapse Period		Balances Lapsed
			Expenditures 7/01 - 8/31/13	Total Expenditures	
Traffic and Criminal Conviction Surcharge Fund - 879					
Division of Operations					
Personal Services	\$ 3,119,800	\$ 3,073,335	\$ -	\$ 3,073,335	\$ 46,465
State Contribution to State Employees' Retirement System	1,185,100	1,168,065	-	1,168,065	17,035
State Contributions to Social Security	45,600	43,426	-	43,426	2,174
Group Insurance	898,600	883,127	-	883,127	15,473
Contractual Services	465,400	309,989	84,657	394,646	70,754
Travel	38,300	28,858	6,812	35,670	2,630
Commodities	174,600	145,815	19,994	165,809	8,791
Printing	26,500	-	26,385	26,385	115
Telecommunications Services	1,620,700	1,604,472	(25,720)	1,578,752	41,948
Operation of Automotive Equipment	1,762,200	1,578,344	73,345	1,651,689	110,511
Total - Traffic and Criminal Conviction Surcharge Fund	\$ 9,336,800	\$ 8,835,431	\$ 185,473	\$ 9,020,904	\$ 315,896
Illinois State Police Federal Projects Fund - 904					
Division of Operations					
Payment of Expenses	\$ 20,000,000	\$ 13,255,055	\$ 2,008,618	\$ 15,263,673	\$ 4,736,327
Federal Recovery - Federally Funded Program Expenses	100,000	-	-	-	100,000
Total - Illinois State Police Federal Projects Fund	\$ 20,100,000	\$ 13,255,055	\$ 2,008,618	\$ 15,263,673	\$ 4,836,327
State Police Services Fund - 906					
Division of Operations					
Payment of Expenses for:					
Fingerprint Program	\$ 19,000,000	\$ 11,619,237	\$ 792,569	\$ 12,411,806	\$ 6,588,194
Federal & IDOT Programs	8,400,000	1,759,995	80,384	1,840,379	6,559,621
Riverboat Gambling	1,500,000	71,120	864	71,984	1,428,016
Miscellaneous Programs	4,300,000	1,996,075	247,623	2,243,698	2,056,302
Total - State Police Services Fund	\$ 33,200,000	\$ 15,446,427	\$ 1,121,440	\$ 16,567,867	\$ 16,632,133
Total All Appropriated Funds	\$ 383,830,100	\$ 311,845,099	\$ 27,264,888	\$ 339,109,987	\$ 44,720,113

STATE OF ILLINOIS
ILLINOIS STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
Appropriations for Fiscal Year 2013

Fourteen Months Ended August 31, 2013

P.A. 97-0731	Appropriations (Net After Transfers)	Expenditures Through 6/30/13	Lapse Period		Balances Lapsed
			Expenditures 7/01 - 8/31/13	Total Expenditures	
NON-APPROPRIATED FUNDS					
<u>Drug Traffic Prevention Fund - 878</u>					
Division of Operations					
Refund to Dupage County Clerk					
		\$ 4,092	\$ -	\$ 4,092	
	Total - Drug Traffic Prevention Fund	\$ 4,092	\$ -	\$ 4,092	
<u>Federal Projects Fund - 904</u>					
Division of Operations					
Refunds of Federal Grants					
		\$ 161,957	\$ 200	\$ 162,157	
	Total - Federal Projects Fund	\$ 161,957	\$ 200	\$ 162,157	
	Grand Total All Funds	\$ 383,830,100	\$ 27,265,088	\$ 339,276,236	\$ 44,720,113

Note 1: Appropriations, expenditures, and lapsed balances were obtained from State Comptroller records and have been reconciled to Agency records.

Note 2: Expenditure amounts are vouchers approved for payment by the Agency and submitted to the State Comptroller for payment to the vendor.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS,
EXPENDITURES AND LAPSED BALANCES**
For the Fiscal Years Ended June 30, 2014, 2013, and 2012

	Fiscal Year		
	2014	2013	2012
	P.A. 98-0050	P.A. 97-0731	P.A. 97-0065
APPROPRIATED FUNDS			
General Revenue Fund - 001			
Appropriations (Net After Transfers)	\$ 286,211,600	\$ 248,034,800	\$ 274,141,700
Expenditures:			
General Operations - Lump Sum			
Operational Costs and Expenses	\$ 239,966,816	\$ -	\$ -
Tort Claims	40,000,000	-	-
Total General Operations - Lump Sum	<u>\$ 279,966,816</u>	<u>\$ -</u>	<u>\$ -</u>
Division of Administration			
Operations:			
Personal Services	\$ -	\$ 6,411,508	\$ 6,398,566
State Contributions to Social Security	-	393,373	391,400
Contractual Services	-	1,341,907	1,306,826
Travel	-	21,360	16,871
Commodities	-	181,829	215,788
Printing	-	22,669	23,556
Telecommunications Services	-	97,871	88,789
Operation of Automotive Equipment	-	245,626	250,923
Subtotal Operations	<u>\$ -</u>	<u>\$ 8,716,143</u>	<u>\$ 8,692,719</u>
Other than Operations:			
Tort Claims	\$ -	\$ 4,573	\$ 23,375
Refunds	-	414	300
Subtotal Other than Operations	<u>\$ -</u>	<u>\$ 4,987</u>	<u>\$ 23,675</u>
Total Division of Administration	<u>\$ -</u>	<u>\$ 8,721,130</u>	<u>\$ 8,716,394</u>
Information Services Bureau			
Personal Services	\$ -	\$ 4,684,988	\$ 4,695,577
State Contributions to Social Security	-	352,073	352,106
Contractual Services	-	955,606	944,058
Travel	-	1,167	1,543
Commodities	-	14,843	19,201
Printing	-	11,578	11,589
Electronic Data Processing	-	2,141,456	2,051,468
Telecommunications Services	-	453,612	451,992
Operation of Automotive Equipment	-	19,129	7,195
Total Information Services Bureau	<u>\$ -</u>	<u>\$ 8,634,452</u>	<u>\$ 8,534,729</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS,
EXPENDITURES AND LAPSED BALANCES**
For the Fiscal Years Ended June 30, 2014, 2013, and 2012

	Fiscal Year		
	2014 P.A. 98-0050	2013 P.A. 97-0731	2012 P.A. 97-0065
Division of Operations			
Personal Services	\$ -	\$ 144,369,110	\$ 168,722,846
State Contributions to Social Security	-	3,389,549	3,813,407
Contractual Services	-	2,788,536	2,855,844
Travel	-	257,105	268,346
Commodities	-	376,033	409,962
Printing	-	77,074	83,358
Equipment	-	15,406	13,509
Telecommunications Services	-	3,356,164	4,927,611
Operation of Automotive Equipment	-	8,069,622	9,514,285
Cadet Class Expenses	-	2,802,095	-
South Suburban Major Crimes Task Force	97,000	100,000	-
Ordinary and Contingent Expenses	-	3,052,443	-
Settlement Steidl vs State	-	-	2,500,000
Total Division of Operations	\$ 97,000	\$ 168,653,137	\$ 193,109,168
Division of Operations - Financial Fraud and Forgery Unit			
Personal Services	\$ -	\$ 2,742,973	\$ 3,014,982
State Contributions to Social Security	-	53,478	56,556
Total Division of Operations - Financial Fraud and Forgery Unit	\$ -	\$ 2,796,451	\$ 3,071,538
Division of Forensic Services and Identification			
Personal Services	\$ -	\$ 41,488,550	\$ 41,623,146
State Contributions to Social Security	-	2,836,737	2,835,964
Contractual Services	-	4,022,804	3,989,120
Travel	-	15,376	15,467
Commodities	-	964,336	940,676
Printing	-	55,919	63,409
Equipment	-	864,315	798,157
Telecommunications Services	-	423,479	421,607
Operation of Automotive Equipment	-	74,159	74,800
Administration of a Statewide Sexual Assault Evidence Collection Program	57,676	58,899	58,819
Operational Expenses Related to the Combined DNA Index System	2,135,151	2,305,100	2,283,561
Expenses Related to the Implementation of Conceal and Carry Permits	2,599,221	-	-
Total Division of Forensic Services and Identification	\$ 4,792,048	\$ 53,109,674	\$ 53,104,726

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EXPENDITURES AND LAPSED BALANCES**
For the Fiscal Years Ended June 30, 2014, 2013, and 2012

	Fiscal Year		
	2014	2013	2012
	P.A. 98-0050	P.A. 97-0731	P.A. 97-0065
Division of Internal Investigation			
Personal Services	\$ -	\$ 2,225,302	\$ 2,221,693
State Contributions to Social Security	-	66,527	66,542
Contractual Services	-	24,224	26,489
Travel	-	4,275	1,577
Commodities	-	9,121	5,488
Printing	-	3,181	3,196
Equipment	-	338	-
Telecommunications Services	-	55,624	54,984
Operation of Automotive Equipment	-	250,411	219,618
Ordinary and Contingent Expenses Incurred While Operating the Nursing Home Identified Offender Program	717,216	682,441	634,634
Total Division of Internal Investigation	<u>\$ 717,216</u>	<u>\$ 3,321,444</u>	<u>\$ 3,234,221</u>
Public Safety Shared Services			
Costs and Expenses Related to the Public Safety Shared Services Center	\$ -	\$ -	\$ 1,506,456
Total Public Safety Shared Services	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 1,506,456</u>
Total - General Revenue Fund	<u>\$ 285,573,080</u>	<u>\$ 245,236,288</u>	<u>\$ 271,277,232</u>
Lapsed Balances	<u>\$ 638,520</u>	<u>\$ 2,798,512</u>	<u>\$ 2,864,468</u>
Firearm Owner's Notification Fund - 071			
Appropriations (Net After Transfers)	<u>\$ -</u>	<u>\$ 1,000,000</u>	<u>\$ 1,000,000</u>
Expenditures:			
Division of Forensic Services and Identification			
Administration and Operation of the Firearm Owner's Identification Card Program	\$ -	\$ 999,270	\$ 925,283
Total - Firearm Owner's Notification Fund	<u>\$ -</u>	<u>\$ 999,270</u>	<u>\$ 925,283</u>
Lapsed Balances	<u>\$ -</u>	<u>\$ 730</u>	<u>\$ 74,717</u>
Mental Health Reporting Fund - 148			
Appropriations (Net After Transfers)	<u>\$ 6,250,000</u>	<u>\$ -</u>	<u>\$ -</u>
Expenditures:			
Division of Forensic Services and Identification			
Expense of Conceal Carry	\$ -	\$ -	\$ -
Total - Mental Health Reporting Fund	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
Lapsed Balances	<u>\$ 6,250,000</u>	<u>\$ -</u>	<u>\$ -</u>

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**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS,
EXPENDITURES AND LAPSED BALANCES**
For the Fiscal Years Ended June 30, 2014, 2013, and 2012

	Fiscal Year		
	2014 P.A. 98-0050	2013 P.A. 97-0731	2012 P.A. 97-0065
State Crime Laboratory Fund - 152			
Appropriations (Net After Transfers)	\$ 3,000,000	\$ 1,000,000	\$ 750,000
Expenditures:			
Division of Forensic Services and Identification			
Payable from State Crime Laboratory Fund	\$ 493,282	\$ 557,529	\$ 637,496
Total - State Crime Laboratory Fund	\$ 493,282	\$ 557,529	\$ 637,496
Lapsed Balances	\$ 2,506,718	\$ 442,471	\$ 112,504
State Police Firearm Services Fund - 209			
Appropriations (Net After Transfers)	\$ 17,153,400	\$ -	\$ -
Expenditures:			
Division of Forensic Services and Identification			
Expense of Conceal Carry	\$ 2,082,679	\$ -	\$ -
Operation and Administration of Conceal Carry	142,699	-	-
Total - State Police Firearm Services Fund	\$ 2,225,378	\$ -	\$ -
Lapsed Balances	\$ 14,928,022	\$ -	\$ -
State Police DUI Fund - 222			
Appropriations (Net After Transfers)	\$ 1,450,000	\$ 1,150,000	\$ 1,150,000
Expenditures:			
Division of Forensic Services and Identification			
Administration and Operation of State Crime Laboratories	\$ 79,866	\$ 73,279	\$ 98,964
Subtotal - Division of Forensic Services and Identification	\$ 79,866	\$ 73,279	\$ 98,964
Division of Operations			
Equipment Purchases to Assist in the Prevention of Driving While Under the Influence of Alcohol, Drugs or Intoxication Compounds	\$ 1,274,790	\$ 965,918	\$ 829,096
Subtotal - Division of Operations	\$ 1,274,790	\$ 965,918	\$ 829,096
Total - State Police DUI Fund	\$ 1,354,656	\$ 1,039,197	\$ 928,060
Lapsed Balances	\$ 95,344	\$ 110,803	\$ 221,940
Medicaid Fraud and Abuse Prevention Fund - 237			
Appropriations (Net After Transfers)	\$ 250,000	\$ 250,000	\$ 250,000
Expenditures:			
Division of Operations - Financial Fraud and Forgery Unit			
Detection, Investigation, or Prosecution of Recipient or Vendor Fraud	\$ -	\$ -	\$ 47
Total - Medicaid Fraud and Abuse Prevention Fund	\$ -	\$ -	\$ 47
Lapsed Balances	\$ 250,000	\$ 250,000	\$ 249,953

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**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS,
EXPENDITURES AND LAPSED BALANCES**
For the Fiscal Years Ended June 30, 2014, 2013, and 2012

	Fiscal Year		
	2014 P.A. 98-0050	2013 P.A. 97-0731	2012 P.A. 97-0065
State Police Vehicle Fund - 246			
Appropriations (Net After Transfers)	\$ 12,000,000	\$ 12,000,000	\$ 12,000,000
Expenditures:			
Division of Administration			
Purchase of Vehicles and Accessories	\$ 9,663,754	\$ 9,888,236	\$ 7,679,602
Total - State Police Vehicle Fund	<u>\$ 9,663,754</u>	<u>\$ 9,888,236</u>	<u>\$ 7,679,602</u>
Lapsed Balances	<u>\$ 2,336,246</u>	<u>\$ 2,111,764</u>	<u>\$ 4,320,398</u>
 State Police Vehicle Maintenance Fund - 328			
Appropriations (Net After Transfers)	\$ 700,000	\$ 500,000	\$ 500,000
Expenditures:			
Division of Administration			
Operation of Automotive Equipment	\$ 500,000	\$ -	\$ 242,689
Total - State Police Vehicle Maintenance Fund	<u>\$ 500,000</u>	<u>\$ -</u>	<u>\$ 242,689</u>
Lapsed Balances	<u>\$ 200,000</u>	<u>\$ 500,000</u>	<u>\$ 257,311</u>
 Motor Vehicle Theft Prevention Trust Fund - 376			
Appropriations (Net After Transfers)	\$ 600,000	\$ 600,000	\$ 600,000
Expenditures:			
Division of Operations			
Payment of Expenses	\$ 355,062	\$ 329,871	\$ 363,132
Total - Motor Vehicle Theft Prevention Trust Fund	<u>\$ 355,062</u>	<u>\$ 329,871</u>	<u>\$ 363,132</u>
Lapsed Balances	<u>\$ 244,938</u>	<u>\$ 270,129</u>	<u>\$ 236,868</u>
 Sex Offender Investigation Fund - 445			
Appropriations (Net After Transfers)	\$ 100,000	\$ 100,000	\$ 50,000
Expenditures:			
Division of Operations			
Expenses Related to Sex Offender Investigations	\$ 97,221	\$ 16,568	\$ 15,000
Total - Sex Offender Investigation Fund	<u>\$ 97,221</u>	<u>\$ 16,568</u>	<u>\$ 15,000</u>
Lapsed Balances	<u>\$ 2,779</u>	<u>\$ 83,432</u>	<u>\$ 35,000</u>
 State Asset Forfeiture Fund - 514			
Appropriations (Net After Transfers)	\$ 4,500,000	\$ 4,500,000	\$ 4,500,000
Expenditures:			
Division of Administration			
Payment of Expenditures	\$ 3,335,591	\$ 2,507,025	\$ 1,682,171
Total - State Asset Forfeiture Fund	<u>\$ 3,335,591</u>	<u>\$ 2,507,025</u>	<u>\$ 1,682,171</u>
Lapsed Balances	<u>\$ 1,164,409</u>	<u>\$ 1,992,975</u>	<u>\$ 2,817,829</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS,
EXPENDITURES AND LAPSED BALANCES**
For the Fiscal Years Ended June 30, 2014, 2013, and 2012

	Fiscal Year		
	2014	2013	2012
	P.A. 98-0050	P.A. 97-0731	P.A. 97-0065
Federal Asset Forfeiture Fund - 520			
Appropriations (Net After Transfers)	\$ 2,500,000	\$ 2,000,000	\$ 2,000,000
Expenditures:			
Division of Administration			
Payment of Expenditures	\$ 1,399,646	\$ 1,830,497	\$ 1,290,707
Total - Federal Asset Forfeiture Fund	<u>\$ 1,399,646</u>	<u>\$ 1,830,497</u>	<u>\$ 1,290,707</u>
Lapsed Balances	<u>\$ 1,100,354</u>	<u>\$ 169,503</u>	<u>\$ 709,293</u>
Sex Offender Registration Fund - 535			
Appropriations (Net After Transfers)	\$ 100,000	\$ 100,000	\$ 20,000
Expenditures:			
Division of Operations			
Payment of Expenses for the Sex Offender Registration Program	\$ 98,695	\$ -	\$ -
Total - Sex Offender Registration Fund	<u>\$ 98,695</u>	<u>\$ -</u>	<u>\$ -</u>
Lapsed Balances	<u>\$ 1,305</u>	<u>\$ 100,000</u>	<u>\$ 20,000</u>
LEADS Maintenance Fund - 536			
Appropriations (Net After Transfers)	\$ 3,500,000	\$ 3,500,000	\$ 3,500,000
Expenditures:			
Information Services Bureau			
Payment of Expenses Related to LEADS System	\$ 1,661,476	\$ 1,553,375	\$ 1,933,694
Total - LEADS Maintenance Fund	<u>\$ 1,661,476</u>	<u>\$ 1,553,375</u>	<u>\$ 1,933,694</u>
Lapsed Balances	<u>\$ 1,838,524</u>	<u>\$ 1,946,625</u>	<u>\$ 1,566,306</u>
State Offender DNA Identification System Fund - 537			
Appropriations (Net After Transfers)	\$ 3,423,500	\$ 3,423,500	\$ 3,423,500
Expenditures:			
Division of Forensic Services and Identification			
Payable from State Offender DNA Identification System Fund	\$ 2,514,262	\$ 2,840,225	\$ 2,654,415
Total - State Offender DNA Identification System Fund	<u>\$ 2,514,262</u>	<u>\$ 2,840,225</u>	<u>\$ 2,654,415</u>
Lapsed Balances	<u>\$ 909,238</u>	<u>\$ 583,275</u>	<u>\$ 769,085</u>
State Police Wireless Service Emergency Fund - 637			
Appropriations (Net After Transfers)	\$ 1,800,000	\$ 1,800,000	\$ 1,800,000
Expenditures:			
Division of Administration			
Costs Associated with the Wireless Emergency Telephone Safety Act	\$ 827,414	\$ 597,339	\$ 461,646
Total - State Police Wireless Service Emergency Fund	<u>\$ 827,414</u>	<u>\$ 597,339</u>	<u>\$ 461,646</u>
Lapsed Balances	<u>\$ 972,586</u>	<u>\$ 1,202,661</u>	<u>\$ 1,338,354</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS,
EXPENDITURES AND LAPSED BALANCES**
For the Fiscal Years Ended June 30, 2014, 2013, and 2012

	Fiscal Year		
	2014	2013	2012
	P.A. 98-0050	P.A. 97-0731	P.A. 97-0065
Motor Carrier Safety Inspection Fund - 649			
Appropriations (Net After Transfers)	\$ 2,600,000	\$ 2,600,000	\$ 2,300,000
Expenditures:			
Division of Operations			
Expenses Associated with the Enforcement of Federal and State Motor Carrier Safety Regulations and Laws	\$ 2,282,023	\$ 2,440,038	\$ 2,227,467
Total - Motor Carrier Safety Inspection Fund	<u>\$ 2,282,023</u>	<u>\$ 2,440,038</u>	<u>\$ 2,227,467</u>
Lapsed Balances	<u>\$ 317,977</u>	<u>\$ 159,962</u>	<u>\$ 72,533</u>
Over Dimensional Load Police Escort Fund - 652			
Appropriations (Net After Transfers)	\$ 125,000	\$ 125,000	\$ 125,000
Expenditures:			
Division of Operations			
Expenses Incurred for Providing Police Escorts for Over-Dimensional Loads	\$ 121,989	\$ 108,433	\$ 83,111
Total - Over Dimensional Load Police Escort Fund	<u>\$ 121,989</u>	<u>\$ 108,433</u>	<u>\$ 83,111</u>
Lapsed Balances	<u>\$ 3,011</u>	<u>\$ 16,567</u>	<u>\$ 41,889</u>
State Police Whistleblower Reward and Protection Fund - 705			
Appropriations (Net After Transfers)	\$ 14,000,000	\$ 14,000,000	\$ 8,250,000
Expenditures:			
Division of Operations			
Payment of Expenditures for State Law Enforcement Purposes	\$ 8,629,780	\$ 11,647,199	\$ 1,022,618
Total - State Police Whistleblower Reward and Protection Fund	<u>\$ 8,629,780</u>	<u>\$ 11,647,199</u>	<u>\$ 1,022,618</u>
Lapsed Balances	<u>\$ 5,370,220</u>	<u>\$ 2,352,801</u>	<u>\$ 7,227,382</u>
Money Laundering Asset Recovery Fund - 816			
Appropriations (Net After Transfers)	\$ 2,000,000	\$ 2,000,000	\$ 1,500,000
Expenditures:			
Division of Administration			
Ordinary and Contingent Expenses	\$ 1,345,811	\$ 1,961,120	\$ 509,398
Total - Money Laundering Asset Recovery Fund	<u>\$ 1,345,811</u>	<u>\$ 1,961,120</u>	<u>\$ 509,398</u>
Lapsed Balances	<u>\$ 654,189</u>	<u>\$ 38,880</u>	<u>\$ 990,602</u>
State Police Operations Assistance Fund - 817			
Appropriations (Net After Transfers)	\$ 22,000,000	\$ 22,000,000	\$ 22,000,000
Expenditures:			
Division of Operations			
Personal Services and Related Lines	\$ 14,582,573	\$ 14,421,335	\$ 12,931,435
Total - State Police Operations Assistance Fund	<u>\$ 14,582,573</u>	<u>\$ 14,421,335</u>	<u>\$ 12,931,435</u>
Lapsed Balances	<u>\$ 7,417,427</u>	<u>\$ 7,578,665</u>	<u>\$ 9,068,565</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS,
EXPENDITURES AND LAPSED BALANCES**
For the Fiscal Years Ended June 30, 2014, 2013, and 2012

	Fiscal Year		
	2014 P.A. 98-0050	2013 P.A. 97-0731	2012 P.A. 97-0065
State Police Streetgang-Related Crime Fund - 846			
Appropriations (Net After Transfers)	\$ 10,000	\$ 10,000	\$ 100,000
Expenditures:			
Division of Operations			
Operations Related to Streetgang-Related Crime Initiatives	\$ -	\$ -	\$ -
Total - State Police Streetgang-Related Crime Fund	\$ -	\$ -	\$ -
Lapsed Balances	<u>\$ 10,000</u>	<u>\$ 10,000</u>	<u>\$ 100,000</u>
Drug Traffic Prevention Fund - 878			
Appropriations (Net After Transfers)	\$ 500,000	\$ 500,000	\$ 500,000
Expenditures:			
Division of Operations			
Grants to Metropolitan Enforcement Groups	\$ 150,000	\$ 283,998	\$ 150,000
Total - Drug Traffic Prevention Fund	\$ 150,000	\$ 283,998	\$ 150,000
Lapsed Balances	<u>\$ 350,000</u>	<u>\$ 216,002</u>	<u>\$ 350,000</u>
Traffic and Criminal Conviction Surcharge Fund - 879			
Appropriations (Net After Transfers)	\$ 5,000,000	\$ 9,336,800	\$ 5,964,000
Expenditures:			
Division of Operations			
Personal Services	\$ 499,312	\$ 3,073,335	\$ 2,961,417
State Contribution to State Employees' Retirement System	201,398	1,168,065	1,013,168
State Contributions to Social Security	6,964	43,426	84,149
Group Insurance	143,000	883,127	664,486
Contractual Services	445,483	394,646	358,302
Travel	13,713	35,670	7,638
Commodities	148,819	165,809	154,365
Printing	26,500	26,385	26,500
Telecommunications Services	1,646,165	1,578,752	109,933
Operation of Automotive Equipment	1,732,832	1,651,689	151,418
Total - Traffic and Criminal Conviction Surcharge Fund	\$ 4,864,186	\$ 9,020,904	\$ 5,531,376
Lapsed Balances	<u>\$ 135,814</u>	<u>\$ 315,896</u>	<u>\$ 432,624</u>
Illinois State Police Federal Projects Fund - 904			
Appropriations (Net After Transfers)	\$ 20,000,000	\$ 20,100,000	\$ 20,550,000
Expenditures:			
Division of Operations			
Payment of Expenses	\$ 12,942,622	\$ 15,263,673	\$ 14,645,665
Federal Recovery- Federally Funded Program Expenses	-	-	451,071
Total - Illinois State Police Federal Projects Fund	\$ 12,942,622	\$ 15,263,673	\$ 15,096,736
Lapsed Balances	<u>\$ 7,057,378</u>	<u>\$ 4,836,327</u>	<u>\$ 5,453,264</u>
State Police Services Fund - 906			
Appropriations (Net After Transfers)	\$ 39,200,000	\$ 33,200,000	\$ 34,200,000

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS,
EXPENDITURES AND LAPSED BALANCES**
For the Fiscal Years Ended June 30, 2014, 2013, and 2012

	Fiscal Year		
	2014 P.A. 98-0050	2013 P.A. 97-0731	2012 P.A. 97-0065
Expenditures:			
Division of Operations			
Payment of Expenses for:			
Fingerprint Program	\$ 13,188,439	\$ 12,411,806	\$ 13,159,884
Federal & IDOT Programs	2,480,995	1,840,379	2,595,937
Riverboat Gambling	700,167	71,984	239,673
Miscellaneous Programs	3,075,096	2,243,698	2,471,254
Total - State Police Services Fund	<u>\$ 19,444,697</u>	<u>\$ 16,567,867</u>	<u>\$ 18,466,748</u>
Lapsed Balances	<u>\$ 19,755,303</u>	<u>\$ 16,632,133</u>	<u>\$ 15,733,252</u>
GRAND TOTAL - ALL APPROPRIATED FUNDS			
Appropriations (Net After Transfers)	<u>\$ 448,973,500</u>	<u>\$ 383,830,100</u>	<u>\$ 401,174,200</u>
Total Expenditures	<u>\$ 374,463,198</u>	<u>\$ 339,109,987</u>	<u>\$ 346,110,063</u>
Lapsed Balances	<u>\$ 74,510,302</u>	<u>\$ 44,720,113</u>	<u>\$ 55,064,137</u>
NON-APPROPRIATED FUNDS			
Drug Traffic Prevention Fund - 878			
Expenditures:			
Division of Operations			
Refund to Depage County Clerk	\$ -	\$ 4,092	\$ 10,000
Total - Drug Traffic Prevention Fund	<u>\$ -</u>	<u>\$ 4,092</u>	<u>\$ 10,000</u>
Federal Projects Fund - 904			
Expenditures:			
Division of Operations			
COPS Record Management	\$ -	\$ -	\$ -
Grant from ICJIA for Crime Scanner	100,000	-	-
Refunds of Federal Grants	542,668	162,157	67,097
Total - Federal Projects Fund	<u>\$ 642,668</u>	<u>\$ 162,157</u>	<u>\$ 67,097</u>
GRAND TOTAL - ALL NON-APPROPRIATED FUNDS	<u>\$ 642,668</u>	<u>\$ 166,249</u>	<u>\$ 77,097</u>
GRAND TOTAL - ALL FUNDS	<u>\$ 375,105,866</u>	<u>\$ 339,276,236</u>	<u>\$ 346,187,160</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS,
EXPENDITURES AND LAPSED BALANCES**
For the Fiscal Years Ended June 30, 2014, 2013, and 2012

	Fiscal Year		
	2014	2013	2012
	P.A. 98-0050	P.A. 97-0731	P.A. 97-0065
State Officers Salaries			
Appropriations	\$ 245,800	\$ 245,800	\$ 245,800
Expenditures			
Director	\$ 132,566	\$ 132,566	\$ 132,566
Assistant Director	-	-	-
Total Expenditures	\$ 132,566	\$ 132,566	\$ 132,566
Lapsed Balances	\$ 113,234	\$ 113,234	\$ 113,234

Note: For Fiscal Year 2012, expenditures and related lapsed balances do not reflect any interest payments approved by the Agency and submitted to the State Comptroller for payment after August 2012.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF RECEIPTS, DISBURSEMENTS AND FUND BALANCE
(CASH BASIS) - LOCALLY HELD FUNDS
For the Fiscal Years Ended June 30,

	Fiscal Year	
	2014	2013
Official Advance Fund - 1199		
Beginning Cash Balance	\$ 169,544	\$ 187,376
Cash Receipts:		
Investment Income		
Fund Transfers - In	400,000	250,000
Miscellaneous	17,768	24,660
Cash Disbursements:		
Contractual Services	379,047	290,783
Cost of Sales	2,327	1,709
Other - Specify: Adjustments to Cash on Hand	40	-
Ending Cash Balance	<u>\$ 205,898</u>	<u>\$ 169,544</u>
Seized Fund - 1241		
Beginning Cash Balance	\$ 3,387,691	\$ 5,055,818
Cash Receipts:		
Fines, Penalties, or Violations	15,750,852	5,040,563
Investment Income	426	514
Fund Transfers - In	48,389	163,148
Miscellaneous	302	19,000
Cash Disbursements:		
Refunds	254,022	539,214
Fund Transfers - Out	13,026,148	6,352,138
Ending Cash Balance	<u>\$ 5,907,490</u>	<u>\$ 3,387,691</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF RECEIPTS, DISBURSEMENTS AND FUND BALANCE
(CASH BASIS) - LOCALLY HELD FUNDS
For the Fiscal Years Ended June 30,

	Fiscal Year	
	2014	2013
Forfeiture Funds - 1242		
Beginning Cash Balance	\$ 2,452,335	\$ 2,161,506
Cash Receipts:		
Fines, Penalties, or Violations	12,502,131	8,717,821
Investment Income	538	296
Fund Transfers - In	12,514,340	5,888,226
Cash Disbursements:		
Awards or Grants	19,746,585	10,962,866
Cost of Sales	15,967	16,477
Fund Transfers - Out	3,688,532	3,336,171
Ending Cash Balance	<u>\$ 4,018,260</u>	<u>\$ 2,452,335</u>
Federal Forfeiture Pending Closure Fund - 1378		
Beginning Cash Balance	\$ 312,885	\$ 221,070
Cash Receipts:		
Fines, Penalties, or Violations	873,219	2,472,963
Fund Transfers - In	-	121,626
Miscellaneous	-	2,600
Cash Disbursements:		
Refunds	593,829	877,624
Fund Transfers - Out	592,275	1,627,750
Ending Cash Balance	<u>\$ -</u>	<u>\$ 312,885</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF RECEIPTS, DISBURSEMENTS AND FUND BALANCE
(CASH BASIS) - LOCALLY HELD FUNDS
For the Fiscal Years Ended June 30,

	Fiscal Year	
	2014	2013
Federal Forfeiture Treasury Fund - 1379		
Beginning Cash Balance	\$ -	\$ -
Cash Receipts:		
Fund Transfers - In	32,637	518,587
Cash Disbursements:		
Fund Transfers - Out	32,637	518,587
Ending Cash Balance	<u>\$ -</u>	<u>\$ -</u>
 Money Laundering Seized Fund - 1380		
Beginning Cash Balance	\$ -	\$ 49,000
Cash Receipts:		
Fines, Penalties, or Violations	690,347	1,952,642
Fund Transfers - In	17	-
Cash Disbursements:		
Cost of Sales	17	-
Fund Transfers - Out	690,347	2,001,642
Ending Cash Balance	<u>\$ -</u>	<u>\$ -</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF RECEIPTS, DISBURSEMENTS AND FUND BALANCE
(CASH BASIS) - LOCALLY HELD FUNDS
For the Fiscal Years Ended June 30,

	Fiscal Year	
	2014	2013
Money Laundering Forfeited Fund - 1381		
Beginning Cash Balance	\$ 272,620	\$ 591,700
Cash Receipts:		
Fines, Penalties, or Violations	1,009,362	2,839,694
Investment Income	82	86
Fund Transfers - In	1,804,519	3,769,846
Cash Disbursements:		
Awards or Grants	2,066,343	5,372,927
Refunds	-	100,000
Fund Transfers - Out	711,733	1,455,779
Cost of Sales	46	-
Ending Cash Balance	<u>\$ 308,461</u>	<u>\$ 272,620</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF CHANGES IN STATE PROPERTY
For the Two Years Ended June 30, 2014

	Total	Land and Land Improvements	Buildings and Building Improvements	Equipment	Capital Leases - Bldgs & Improvements	Capital Leases - Equipment
Balance at July 1, 2012	\$ 281,907,779	\$ 1,732,556	\$ 62,545,461	\$ 209,595,602	\$ 6,707,417	\$ 1,326,743
Additions	9,546,010	-	-	9,392,010	-	154,000
Deletions	(3,484,485)	-	-	(3,484,485)	-	-
Net Transfers	(6,507,345)	-	272,284	(6,779,629)	-	-
Balance at June 30, 2013	<u>\$ 281,461,959</u>	<u>\$ 1,732,556</u>	<u>\$ 62,817,745</u>	<u>\$ 208,723,498</u>	<u>\$ 6,707,417</u>	<u>\$ 1,480,743</u>
Balance at July 1, 2013	\$ 281,461,959	\$ 1,732,556	\$ 62,817,745	\$ 208,723,498	\$ 6,707,417	\$ 1,480,743
Additions	15,725,305	-	7,250	15,718,055	-	-
Deletions	(1,664,451)	-	-	(1,616,451)	-	(48,000)
Net Transfers	(16,020,795)	-	407,331	(16,428,126)	-	-
Balance at June 30, 2014	<u>\$ 279,502,018</u>	<u>\$ 1,732,556</u>	<u>\$ 63,232,326</u>	<u>\$ 206,396,976</u>	<u>\$ 6,707,417</u>	<u>\$ 1,432,743</u>

Note: The above schedule has been derived from Agency records which have been reconciled to property reports submitted to the Office of the Comptroller. See Finding 2014-001 for errors noted during the reconciliation of Agency records.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF CASH RECEIPTS
For the Fiscal Years Ended June 30,

	<u>2014</u>	<u>2013</u>	<u>2012</u>
General Revenue Fund - 001			
Accident Reports	\$ 165,017	\$ 150,831	\$ 178,382
Federal Agencies	397	481	280
State Highway Police	374,648	586,366	425,320
Circuit Clerks	17,809	70,951	214,286
Reimbursements for Local Law Enforcement	-	-	26
Miscellaneous & Unclaimed Assets	-	600	9,082
Other State Agencies	293,851	4,716	270,305
Total General Revenue Fund	<u>\$ 851,722</u>	<u>\$ 813,945</u>	<u>\$ 1,097,681</u>
Road Fund- 011			
Overweight Fines	<u>\$ 4,028,093</u>	<u>\$ 3,274,900</u>	<u>\$ 3,421,050</u>
Fish and Wildlife Fund - 041			
Firearms Owners' Identification Fees	<u>\$ 1,120,038</u>	<u>\$ 2,294,982</u>	<u>\$ 2,062,134</u>
Firearm Owners' Notification Fund - 071			
Registration Fees	<u>\$ 76,793</u>	<u>\$ 1,182,061</u>	<u>\$ 1,031,067</u>
Mental Health Reporting Fund - 148			
Mental Health Reporting	<u>\$ 1,257,552</u>	<u>\$ -</u>	<u>\$ -</u>
State Crime Laboratory Fund - 152			
Laboratory Fees	<u>\$ 1,173,096</u>	<u>\$ 546,331</u>	<u>\$ 586,731</u>
State Police Firearms Services Fund - 209			
Firearms Owners and Concealed Carry Fees	<u>\$ 8,146,057</u>	<u>\$ -</u>	<u>\$ -</u>
State Crime Laboratory DUI Fund - 222			
Laboratory Fees	<u>\$ 1,760,937</u>	<u>\$ 1,581,407</u>	<u>\$ 1,169,442</u>
Medicaid Fraud and Abuse Fund - 237			
Medicaid Fraud Prevention	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 81,769</u>
State Police Vehicle Fund - 246			
Sale of State Vehicles	<u>\$ 1,057,977</u>	<u>\$ 1,114,138</u>	<u>\$ 1,028,807</u>
State Police Motor Vehicle Theft Prevention Trust Fund - 376			
Local Government and Other State Agencies	<u>\$ 435,396</u>	<u>\$ 302,637</u>	<u>\$ 300,127</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF CASH RECEIPTS
For the Fiscal Years Ended June 30,

	<u>2014</u>	<u>2013</u>	<u>2012</u>
Murder and Violent Offender Against Youth Fund - 400			
Fines and Penalties	\$ 10	\$ 923	\$ 55
State Asset Forfeiture Fund - 514			
Drug Asset Forfeiture	\$ 2,942,333	\$ 2,119,287	\$ 2,928,331
Federal Asset Forfeiture Fund - 520			
Drug Asset Forfeiture	\$ 709,200	\$ 1,329,810	\$ 2,641,663
Sex Offender Registration Fund - 535			
Registration Fees	\$ 122,404	\$ 148,830	\$ 90,989
State Offender DNA Identification Fund - 537			
DNA Testing for Sex Offenders	\$ 2,439,376	\$ 2,320,565	\$ 2,361,857
State Police Wireless Service Fund - 637			
Cellular 911 Services	\$ 586,915	\$ 773,905	\$ 963,408
Over Dimensional Load Police Escort Fund - 652			
Over Dimensional Load	\$ 116,460	\$ 105,300	\$ 65,640
Capital Projects Fund - 694			
Capital Projects	\$ 1,698,885	\$ 1,398,709	\$ 1,617,792
Money Laundering Asset Recovery Fund - 816			
Money Laundering - State	\$ 691,323	\$ 1,577,081	\$ 1,160,496
State Police Operations Assistance Fund - 817			
Functions of State Police Monies	\$ 90,000	\$ 2,110,042	\$ -
State Police Streetgang Related Crime Fund - 846			
Streetgang Crimes	\$ 1,199	\$ 765	\$ 1,216
Drug Traffic Prevention Fund - 878			
Court and Anti-Trust Distributions	\$ 176,872	\$ 146,417	\$ 250,284
Traffic & Criminal Conviction Surcharge Fund - 879			
Criminal or Traffic Offense Fines	\$ 9,685	\$ 7,512	\$ -

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF CASH RECEIPTS
For the Fiscal Years Ended June 30,

	<u>2014</u>	<u>2013</u>	<u>2012</u>
Federal Projects Fund - 904			
Federal Projects	<u>\$ 13,020,473</u>	<u>\$ 15,936,350</u>	<u>\$ 15,171,201</u>
State Police Services Fund - 906			
Reimbursement from Other State Agencies and Local Governments	<u>\$ 22,277,718</u>	<u>\$ 20,220,780</u>	<u>\$ 21,839,298</u>
Total Receipts	<u><u>\$ 64,790,514</u></u>	<u><u>\$ 59,306,677</u></u>	<u><u>\$ 59,871,038</u></u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
**RECONCILIATION SCHEDULE OF CASH RECEIPTS TO DEPOSITS
REMITTED TO THE STATE COMPTROLLER**

For the Fiscal Year Ended June 30, 2014

	Combined	General Revenue Fund 001	Road Fund 011	Fish and Wildlife Fund 041	Firearm Owners' Notification Fund 071	Mental Health Reporting Fund 148
Licenses and Fees	\$ 14,996,331	\$ 165,017	\$ -	\$ 1,120,038	\$ 76,793	\$ 1,257,552
Federal Government	15,428,955	397	-	-	-	-
Fines, Penalties or Violations	5,104,958	374,648	4,028,093	-	-	-
Other Revenue	29,260,270	311,660	-	-	-	-
Total - Per Agency Records	\$ 64,790,514	\$ 851,722	\$ 4,028,093	\$ 1,120,038	\$ 76,793	\$ 1,257,552
PY Refunds	14,731	14,731	-	-	-	-
Prior Year Warrant Voids	194	-	-	-	-	-
Miscellaneous Dept Adj.	218,113	(4,672)	-	-	(7,916)	-
Deposits in Transit to Comptroller						
Beginning of Period	2,249,833	15,970	276,393	71,172	40,226	-
End of Period	(3,085,362)	(9,509)	(213,524)	(36,540)	-	(220,853)
Total - Per State Comptroller Records	\$ 64,188,023	\$ 868,242	\$ 4,090,962	\$ 1,154,670	\$ 109,103	\$ 1,036,699

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
RECONCILIATION SCHEDULE OF CASH RECEIPTS TO DEPOSITS
REMITTED TO THE STATE COMPTROLLER

For the Fiscal Year Ended June 30, 2014

	State Crime Lab Fund	Firearm Owner's Notification Fund	State Crime Lab DUI Fund	State Police Vehicle Fund	State Police Motor Vehicle Theft Prevention Trust Fund	Murder and Violent Offender Against Youth Fund	State Asset Forfeiture Fund
Licenses and Fees	\$ 1,173,096	\$ 8,146,057	\$ 1,760,937	\$ 246	\$ 376	\$ 400	\$ 514
Federal Government	-	-	-	1,057,977	-	-	-
Fines, Penalties or Violations	-	-	-	-	-	10	-
Other Revenue	-	-	-	-	435,396	-	2,942,333
Total - Per Agency Records	\$ 1,173,096	\$ 8,146,057	\$ 1,760,937	\$ 1,057,977	\$ 435,396	\$ 10	\$ 2,942,333
PY Refunds	-	-	-	-	-	-	-
Prior Year Warrant Voids	-	-	-	-	-	-	-
Miscellaneous Dept Adj.	(3,651)	7,916	-	-	-	-	-
Deposits in Transit to Comptroller	40,356	-	132,426	84,655	-	-	-
Beginning of Period	(126,222)	(1,357,506)	(42,664)	(36,142)	-	-	-
Total - Per State Comptroller Records	\$ 1,083,579	\$ 6,796,467	\$ 1,850,699	\$ 1,106,490	\$ 435,396	\$ 10	\$ 2,942,333

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
**RECONCILIATION SCHEDULE OF CASH RECEIPTS TO DEPOSITS
REMITTED TO THE STATE COMPTROLLER**

For the Fiscal Year Ended June 30, 2014

	Sex Offender Registration Fund	State Offender Registration Fund	State Police Wireless Service Fund	Over Dimensional Load Police Escort Fund	Capital Projects Fund	Money Laundering Asset Recovery Fund
Licenses and Fees	535	537	637	652	694	816
Federal Government	\$ 122,404	\$ -	\$ -	\$ 116,460	\$ -	\$ -
Fines, Penalties or Violations	-	-	-	-	1,698,885	-
Other Revenue	-	2,439,376	586,915	-	-	691,323
Total - Per Agency Records	\$ 122,404	\$ 2,439,376	\$ 586,915	\$ 116,460	\$ 1,698,885	\$ 691,323
PY Refunds	-	-	-	-	-	-
Prior Year Warrant Voids	-	-	-	-	-	-
Miscellaneous Dept Adj.	-	-	-	-	-	-
Deposits in Transit to Comptroller						
Beginning of Period	5,485	176,016	-	1,200	85,432	-
End of Period	(1,869)	(70,298)	-	(5,280)	(57,570)	-
Total - Per State Comptroller Records	\$ 126,020	\$ 2,545,094	\$ 586,915	\$ 112,380	\$ 1,726,747	\$ 691,323

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
**RECONCILIATION SCHEDULE OF CASH RECEIPTS TO DEPOSITS
REMITTED TO THE STATE COMPTROLLER**

For the Fiscal Year Ended June 30, 2014

	State Police Operations Assistance Fund 817	State Police Streetgang Crime Fund 846	Drug Traffic Prevention Fund 878	Traffic & Crime Conviction Fund 879	Federal Projects Fund 904	State Police Services Fund 906
Licenses and Fees	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Federal Government	-	-	-	-	13,020,473	-
Fines, Penalties or Violations	-	1,199	-	9,685	-	-
Other Revenue	90,000	-	176,872	-	-	22,277,718
Total - Per Agency Records	\$ 90,000	\$ 1,199	\$ 176,872	\$ 9,685	\$ 13,020,473	\$ 22,277,718
PY Refunds	-	-	-	-	-	-
Prior Year Warrant Voids	-	-	-	-	194	-
Miscellaneous Dept Adj.	-	-	-	-	-	226,436
Deposits in Transit to Comptroller	-	95	9,805	1,236	41,921	1,267,445
Beginning of Period	-	-	(408)	(530)	(3,821)	(902,626)
Total - Per State Comptroller Records	\$ 90,000	\$ 1,294	\$ 186,269	\$ 10,391	\$ 13,058,767	\$ 22,868,973

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
RECONCILIATION SCHEDULE OF CASH RECEIPTS TO DEPOSITS
REMITTED TO THE STATE COMPTROLLER
For the Fiscal Year Ended June 30, 2013

	Combined	General Revenue Fund 001	Road Fund 011	Fish and Wildlife Fund 041	Firearm Owners' Notification Fund 071	State Crime Lab Fund 152
Licenses and Fees	\$ 7,123,880	\$ 150,831	-	\$ 2,294,982	\$ 1,182,061	\$ 546,331
Federal Government	18,665,350	481	-	-	-	-
Fines, Penalties or Violations	5,447,547	586,366	3,274,900	-	-	-
Other Revenue	28,069,900	76,267	-	-	-	-
Total - Per Agency Records	\$ 59,306,677	\$ 813,945	\$ 3,274,900	\$ 2,294,982	\$ 1,182,061	\$ 546,331
PY Refunds	1,177	797	-	-	-	-
PY Warrant Voids	729	-	-	-	-	-
Miscellaneous Dept Adj.	(920)	-	-	-	-	-
Deposits in Transit to Comptroller						
Beginning of Period	1,553,693	111,257	131,754	71,286	35,643	24,552
End of Period	(2,249,833)	(15,970)	(276,393)	(71,172)	(40,226)	(40,356)
Total - Per State Comptroller Records	\$ 58,611,523	\$ 910,029	\$ 3,130,261	\$ 2,295,096	\$ 1,177,478	\$ 530,527

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
**RECONCILIATION SCHEDULE OF CASH RECEIPTS TO DEPOSITS
REMITTED TO THE STATE COMPTROLLER**

For the Fiscal Year Ended June 30, 2013

	State Crime Lab DUI Fund 222	State Police Vehicle Fund 246	State Police Motor Vehicle Theft Prevention Trust Fund 376	Murder and Violent Offender Against Youth 400	State Asset Forfeiture Fund 514	Federal Asset Forfeiture Fund 520
Licenses and Fees	\$ 1,581,407	\$ 1,114,138	\$ -	\$ -	\$ -	\$ -
Federal Government	-	-	-	-	-	1,329,810
Fines, Penalties or Violations	-	-	-	923	-	-
Other Revenue	-	-	302,637	-	2,119,287	-
Total - Per Agency Records	\$ 1,581,407	\$ 1,114,138	\$ 302,637	\$ 923	\$ 2,119,287	\$ 1,329,810
PY Refunds	-	-	380	-	-	-
PY Warrant Voids	-	-	-	-	289	-
Miscellaneous Dept Adj.	-	-	-	-	-	-
Deposits in Transit to Comptroller						
Beginning of Period	64,194	37,913	-	-	-	-
End of Period	(132,426)	(84,655)	-	-	-	-
Total - Per State Comptroller Records	\$ 1,513,175	\$ 1,067,396	\$ 303,017	\$ 923	\$ 2,119,576	\$ 1,329,810

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
RECONCILIATION SCHEDULE OF CASH RECEIPTS TO DEPOSITS
REMITTED TO THE STATE COMPTROLLER
For the Fiscal Year Ended June 30, 2013

	Sex Offender Registration Fund 535	State Offender Registration Fund 537	State Police Wireless Service Fund 637	Over Dimensional Load Police Escort Fund 652	Capital Projects Fund 694	Money Laundering Asset Recovery Fund 816
Licenses and Fees	\$ 148,830	-	\$ -	\$ 105,300	\$ -	\$ -
Federal Government	-	-	-	-	1,398,709	-
Fines, Penalties or Violations	-	-	-	-	-	1,577,081
Other Revenue	-	2,320,565	773,905	-	-	-
Total - Per Agency Records	\$ 148,830	\$ 2,320,565	\$ 773,905	\$ 105,300	\$ 1,398,709	\$ 1,577,081
PY Refunds	-	-	-	-	-	-
PY Warrant Voids	-	-	-	-	-	-
Miscellaneous Dept Adj.	-	-	-	-	-	-
Deposits in Transit to Comptroller						
Beginning of Period	1,502	107,212	-	600	35,049	-
End of Period	(5,485)	(176,016)	-	(1,200)	(85,432)	-
Total - Per State Comptroller Records	\$ 144,847	\$ 2,251,761	\$ 773,905	\$ 104,700	\$ 1,348,326	\$ 1,577,081

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
**RECONCILIATION SCHEDULE OF CASH RECEIPTS TO DEPOSITS
REMITTED TO THE STATE COMPTROLLER**

For the Fiscal Year Ended June 30, 2013

	State Police Operations Assistance Fund 817	State Police Streetgang Crime Fund 846	Drug Traffic Prevention Fund 878	Traffic & Crime Conviction Fund 879	Federal Projects Fund 904	State Police Services Fund 906
Licenses and Fees	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Federal Government	-	-	-	-	15,936,350	-
Fines, Penalties or Violations	-	765	-	7,512	-	-
Other Revenue	2,110,042	-	146,417	-	-	20,220,780
Total - Per Agency Records	\$ 2,110,042	\$ 765	\$ 146,417	\$ 7,512	\$ 15,936,350	\$ 20,220,780
PY Refunds	-	-	-	-	-	-
PY Warrant Voids	-	-	-	-	235	205
Miscellaneous Dept Adj.	-	-	-	-	-	(920)
Deposits in Transit to Comptroller						
Beginning of Period	-	-	10,291	-	156,974	765,466
End of Period	-	(95)	(9,805)	(1,236)	(41,921)	(1,267,445)
Total - Per State Comptroller Records	\$ 2,110,042	\$ 670	\$ 146,903	\$ 6,276	\$ 16,051,638	\$ 19,718,086

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2014

Significant variances were determined to be changes of at least \$20,000 and 20% between fiscal years as reported in the Schedule of Appropriations, Expenditures and Lapsed Balances, and are explained below.

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2014 AND 2013

General Revenue Fund (001)

Operational Expenses

For Fiscal Year 2014, the appropriation process was changed for expenses paid from the General Revenue Fund. The Department of State Police (Department) received a lump sum appropriation for operational expenses, including personal service expenditures, rather than individual appropriations designated for specific purposes.

Tort Claims

The Department paid out a legal settlement which required a special appropriation from the General Assembly. This did not occur in Fiscal Year 2013.

Firearm Owner's Notification Fund (071)

Administration and Operations of the Firearm Owner's Identification Card Program

The decrease in Administration and Operations of the Firearm Owner's Identification Card Program expenditures was due to the fund being eliminated and merged with the State Police Firearm Services Fund (209) during Fiscal Year 2014.

State Police Firearm Services Fund (209)

Expense of Conceal Carry

The increase in Expense of Conceal Carry expenditures was due to this being a new fund for Fiscal Year 2014. In addition, expenditures previously processed through the Firearm Owner's Notification Fund (071) were now processed through Fund 209 as Fund 071 was eliminated during Fiscal Year 2014. Public Act 098-0063 created this fund with the purpose of paying expenses related to the operation of the Firearms Concealed Carry Act and the Firearm Owners Identification Card Act. Expenses included personal services and operating lines to create and operate this program.

Operation and Administration of Conceal Carry

The increase in Operation of Administration of Conceal Carry expenditures was due to this being a new fund for Fiscal Year 2014. PA 098-0063 created this fund with the purpose of paying expenses related to the operation of the Firearms Concealed Carry Act and the Firearm Owners Identification Card Act. Expenses included personal services and operating lines related to the Concealed and Carry Board.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2014

**ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN
FISCAL YEARS 2014 AND 2013 (continued)**

State Police DUI Fund (222)

Equipment Purchases to Assist in the Prevention of Driving While Under the Influence of Alcohol, Drugs, or Intoxication Compounds

The increase in Equipment Purchases to Assist in the Prevention of Driving While Under the Influence of Alcohol, Drugs, or Intoxication Compounds expenditures was due to the purchase of additional in-car recording equipment.

State Police Vehicle Maintenance Fund (328)

Operation of Automotive Equipment

The increase in Operation of Automotive expenditures was due to higher repair and maintenance costs and fuel expenses in Fiscal Year 2014. In Fiscal Year 2013, these expenses were covered by the General Revenue Fund (Fund 001) and the Traffic & Criminal Conviction Surcharge Fund (Fund 879) appropriations.

Sex Offender Investigation Fund (445)

Expenses Related to Sex Offender Investigations

The increase in Expenses Related to Sex Offender Investigations was due to payroll expenditures being paid during Fiscal Year 2014. No salary expenses were paid in Fiscal Year 2013.

State Police Asset Forfeiture Fund (514)

Payment of Expenditures

The increase in Payment of Expenditures was due to outdated mobile data computers being replaced during Fiscal Year 2014.

Federal Asset Forfeiture Fund (520)

Payment of Expenditures

The decrease in Payment of Expenditures was due to fewer revolving fund payments and supplies replaced during Fiscal Year 2014.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2014

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2014 AND 2013 (continued)

Sex Offender Registration Fund (535)

Payment of Expenses for the Sex Offender Registration Program

The increase in Payment of Expenses for the Sex Offender Registration Program expenditures was due to salary expenses being paid in Fiscal Year 2014. The fund balance allowed for salary expenses to be paid.

State Police Wireless Service Emergency Fund (637)

Costs Associated with the Wireless Emergency Telephone Safety Act

The increase in Costs Associated with the Wireless Emergency Telephone Safety Act expenditures was due to an increase in Central Management Services (CMS) revolving fund invoices in Fiscal Year 2014. Expenses related to maintaining and operating the wireless 911 districts increased; CMS determines the charges.

State Police Whistleblower Rewards and Protection Fund (705)

Payment of Expenditures for State Law Enforcement Purposes

The decrease in Payment of Expenditures for State Law Enforcement Purposes expenditures was due to less personal services paid during Fiscal Year 2014. In addition, tort claims were paid during Fiscal Year 2013 and no such expenditures were paid during Fiscal Year 2014.

Money Laundering Asset Recovery Fund (816)

Ordinary and Contingent Purposes

The decrease in Ordinary and Contingent Purposes expenditures was due to fewer supplies and equipment purchased during Fiscal Year 2014.

Drug Traffic Prevention Fund (878)

Grants to Metropolitan Enforcement Groups

The decrease in Grants to Metropolitan Enforcement Groups expenditures was due to fewer grants being processed in Fiscal Year 2014 due to paperwork delays.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2014

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2014 AND 2013 (continued)

Traffic & Criminal Conviction Surcharge Fund (879)

Personal Services

The decrease in Personal Services expenditures was due to expenditure authority decreasing in Fiscal Year 2014. This appropriation was reduced in the legislative process. Expenses were paid from the General Revenue Fund.

State Contribution to Social Security

The decrease in State Contribution to Social Security expenditures was due to expenditure authority decreasing. This appropriation was reduced in the legislative process. Expenses were paid from the General Revenue Fund.

Group Insurance

The decrease in Group Insurance expenditures was due to expenditure authority decreasing. This appropriation was reduced in the legislative process. Expenses were paid from the General Revenue Fund.

Contractual Services

The increase in Contractual Services expenditures was due to an increase in utilities and cadet medical expenses during Fiscal Year 2014.

Travel

The decrease in Travel expenditures was due to fewer travel expenses for training in Fiscal Year 2014. Training in FY14 was completed locally, not requiring lodging or per diem to be paid by the Department.

State Police Services Fund (906)

Federal and IDOT Program

The increase in Federal and Illinois Department of Transportation (IDOT) Program expenditures was due to additional construction zone patrols by officers during Fiscal Year 2014.

Riverboat Gambling

The increase in Riverboat Gambling expenditures was due to vehicles being purchased during Fiscal Year 2014.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2014

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2014 AND 2013 (continued)

State Police Services Fund (906) (continued)

Miscellaneous Programs

The increase in Miscellaneous Programs expenditures was due to the State Police Merit Board providing funding for cadet class expenses in Fiscal Year 2014. In addition, there were more special project patrol requests during Fiscal Year 2014 than Fiscal Year 2013. Also, uniforms were purchased for officers during Fiscal Year 2014.

Drug Traffic Prevention Fund (878) – Non-Appropriated

Refund to DuPage County Clerk

The decrease in Refund to DuPage County Clerk expenditures was due to the refund being completed in Fiscal Year 2013.

Illinois State Police Federal Projects Fund (904) – Non-Appropriated

Grant from ICJIA for Crime Scanner

The increase in Grant from Illinois Criminal Justice Information Authority (ICJIA) for Crime Scanner expenditures was due to this being a new grant through American Recovery and Reinvestment Act (ARRA) funding in Fiscal Year 2014. The grant was used to purchase a Crime Scene Scanner.

Refunds of Federal Grants

The increase in Refunds of Federal Grants expenditures was due to funding projected to be needed; however it was not used in a timely manner due to purchasing delays. Therefore, the money was refunded to the granting agency.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2014

Significant variances were determined to be changes of at least \$20,000 and 20% between fiscal years as reported in the Schedule of Appropriations, Expenditures and Lapsed Balances, and are explained below.

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2013 AND 2012

General Revenue Fund (001)

Division of Operations

Telecommunications Services

The decrease in Telecommunication Services expenditures was due to the appropriation being reduced during Fiscal Year 2013. In Fiscal Year 2013, \$1.5 million in appropriation was moved from the General Revenue Fund to the Traffic and Criminal Conviction Surcharge Fund during the legislative process.

Cadet Class Expenses

The increase in Cadet Class Expenses expenditures was due to the legislature approving a one-time appropriation in Fiscal Year 2013 to pay expenses related to training a cadet class.

South Suburban Major Crimes Task Force

The increase in South Suburban Major Crimes Task Force expenditures was due to this being a new grant provided to the Department to give to the task force during Fiscal Year 2013. The Task Force requested fiscal assistance through the legislative process. It was spent on operating expenses of the Task Force.

Ordinary and Contingent Expenses

The increase in Ordinary and Contingent Expenses expenditures was due to this being a onetime appropriation by the legislature to help pay the Department's expenses. In addition, salaries were paid from this appropriation.

Settlement Steidl vs. State

The decrease in Settlement Steidl vs. State expenditures was due to this being a onetime legal settlement expense during Fiscal Year 2012.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2014

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2013 AND 2012 (continued)

General Revenue Fund (001) (continued)

Public Safety Shared Services

Costs and Expenses Related to the Public Safety Shared Services Center

The decrease in Costs and Expenses Related to the Public Safety Shared Services Center expenditures was due to this appropriation being eliminated in Fiscal Year 2013. Public Safety Shared Services was moved into the Division of Administration. Expenses were paid from the Division of Administration appropriation line.

State Police DUI Fund (222)

Administration and Operation of State Crime Laboratories

The decrease in Administration of Operation of State Crime Laboratories expenditures was due to fewer scientific commodities purchased during Fiscal Year 2013.

State Police Vehicle Fund (246)

Purchase of Vehicle and Accessories

The increase in Purchase of Vehicle and Accessories expenditures was due to an increase in vehicles and related equipment purchased during Fiscal Year 2013.

State Police Vehicle Maintenance Fund (328)

Operation of Automotive Equipment

The decrease in Operation of Auto expenditures was due to higher repair & maintenance costs and fuel expenses during Fiscal Year 2012. Fewer expenditures were expended in Fiscal Year 2013 because the appropriations under the General Revenue Fund (001) and the Traffic and Criminal Conviction Surcharge Fund (879) were sufficient to cover expenses and therefore Fund 328 was not used.

State Police Asset Forfeiture Fund (514)

Payment of Expenditures

The increase in Payment of Expenditures was due to mobile data computers purchased and payroll expenditures paid during Fiscal Year 2013.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2014

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2013 AND 2012 (continued)

Federal Asset Forfeiture Fund (520)

Payment of Expenditures

The increase in Payment of Expenditures was due to mobile data computer purchased and a large number of body armor replaced during Fiscal Year 2013.

State Police Wireless Service Emergency Fund (637)

Costs Associated with the Wireless Emergency Telephone Safety Act

The increase in Costs Associated with the Wireless Emergency Telephone Safety Act expenditures was due to an increase in Central Management Services (CMS) revolving Fund invoices in Fiscal Year 2013. Expenses related to maintaining and operating the wireless 911 districts increased; CMS determines the charges.

Over Dimensional Load Police Escort Fund (652)

Expenses Incurred for Providing Police Escorts for Over-Dimensional Loads

The increase in Expenses Incurred for Providing Police Escorts for Over-Dimensional Loads expenditures was due to an increase in personal services expenditures for employees who provide over-dimensional load escorts.

State Police Whistleblower Reward & Protection Fund (705)

Payment of Expenditures for State Police Law Enforcement Purposes

The increase in Payment of Expenditures for State Police Law Enforcement Purposes expenditures was due to Fiscal Year 2013 salary expenses being paid from this fund. During Fiscal Year 2012, the Department made a spending decision in concurrence with the Governor's Office of Management and Budget to pay salary expenses from the State Police Operations Assistance Fund (817).

Money Laundering Asset Recovery Fund (816)

Ordinary and Contingent Expenses

The increase in Ordinary and Contingent Expenses expenditures was due to the purchase of tasers for officers and in-car printers during Fiscal Year 2013.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2014

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2013 AND 2012 (continued)

Drug Traffic Prevention Fund (878)

Grants to Metropolitan Enforcement Groups

The increase in Grants to Metropolitan Enforcement Groups (MEG) expenditures was due to a new \$25 fine imposed that is deposited into this fund. Grants were to be given to Task Forces and MEG's with these funds.

Traffic and Criminal Conviction Surcharge Fund (879)

State Contributions to Social Security

The decrease in State Contributions to Social Security expenditures was due to Social Security expenses being paid for only sworn employee salaries in Fiscal Year 2013.

Group Insurance

The increase in Group Insurance expenditures was due to health insurance expenses being increased in FY13. The increase was due to the higher cost of insurance in Fiscal Year 2013.

Travel

The increase in Travel expenditures was due to additional travel expenses for training incurred during Fiscal Year 2013. Expenses were related to crime scene training, communications consolidation and legal cases.

Telecommunications Services

The increase in Telecommunication Services expenditures was due to \$1.5 million in appropriation moved from the General Revenue Fund to this fund during the legislative process.

Operation of Automotive Equipment

The increase in Operation of Automotive Equipment expenditures was due to \$1.5 million in appropriation moved from the General Revenue Fund to this fund during the legislative process.

Illinois State Police Federal Projects Fund (904)

Federal Recovery - Federally Funded Program Expenses

The decrease in Federal Recovery - Federally Funded Program Expenses was due to no American Recovery and Reinvestment Act (ARRA) grants received during Fiscal Year 2013, therefore, no expenditures were incurred.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2014

**ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN
FISCAL YEARS 2013 AND 2012 (continued)**

State Police Services Fund (906)

Federal and IDOT Programs

The decrease in Federal and Illinois Department of Transportation (IDOT) Programs expenditures was due to less overtime incurred by employees during Fiscal Year 2013.

Riverboat Gambling

The decrease in Riverboat Gambling expenditures was due to the purchase of vehicles during Fiscal Year 2012. No vehicles were purchased during Fiscal Year 2013.

Illinois State Police Federal Projects Fund (904) – Non-Appropriated

Refunds of Federal Grants

The increase in Refunds of Federal Grants expenditures was due to funding projected to be needed; however, it was not used in a timely manner due to purchasing delays. Therefore, the money was refunded to the granting agency.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS
For the Two Years Ended June 30, 2014

Significant variances were determined to be changes of at least \$20,000 and 20% between fiscal years as reported in the Comparative Schedule of Cash Receipts, and are explained below.

ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS BETWEEN FISCAL YEARS 2014 AND 2013

General Revenue Fund - 001

State Highway Police

The decrease in State Highway Police receipts was due to a decrease in the number of traffic fines written by officers. These receipts are expected to fluctuate from year to year.

Circuit Clerks

The decrease in Circuit Clerk receipts was due to a decrease in the number of witness fees, restitutions, and e-citation fees collected, all of which are expected to fluctuate from year to year.

Miscellaneous and Unclaimed Assets

The decrease in Miscellaneous and Unclaimed Assets receipts was due to the closing of the remaining petty cash funds during Fiscal Year 2013. The funds were deposited back into the General Revenue Fund during Fiscal Year 2013.

Other State Agencies

The increase in receipts from Other State Agencies was due to reimbursements from the Illinois Emergency Management Agency (IEMA) for providing law enforcement presence during natural disasters. The duration and frequency of natural disasters is never consistent.

Road Fund - 011

The increase in Road Fund receipts was due to an increase in overweight truck fines written during Fiscal Year 2014. These receipts are expected to fluctuate from year to year.

Fish and Wildlife Fund - 041

The decrease in Fish and Wildlife Fund receipts was due to a decrease in citizen Firearm Owners Identification (FOID) card applications during Fiscal Year 2014.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS
For the Two Years Ended June 30, 2014

ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS BETWEEN FISCAL YEARS 2014 AND 2013 (continued)

Firearms Owners' Notification Fund - 071

The decrease in Firearms Owners' Notification Fund receipts was due to the fund being eliminated and merged with the State Police Firearms Services Fund (Fund 209).

Mental Health Reporting Fund - 148

The increase in Mental Health Reporting Fund receipts was due to this being a new fund in Fiscal Year 2014. The new legislation (30 ILCS 105/6Z-99) was established to receive revenue from grants, pass-through grants, donations, appropriations, and any other legal source of revenue. The moneys collected are to be used to finance the Department's duty of collecting and reporting data on mental health records and ensuring that mental health firearm possession prohibitors are enforced.

State Crime Laboratory Fund - 152

The increase in State Crime Laboratory Fund receipts was due to new legislation (430 ILCS 66/60) authorizing \$10 from every concealed carry application to be apportioned to the State Crime Laboratory Fund.

State Police Firearms Services Fund - 209

The increase in State Police Firearms Services Fund receipts was due to this being a new fund during Fiscal Year 2014. The new legislation (20 ILCS 2605/2605-595) was established to receive revenue from grants, pass-through grants, donations, appropriations, and any other legal source of revenue. The moneys in the fund are to be used by the Department to finance its lawful purposes, mandates, functions, and duties under the Firearm Owners Identification Card Act and the Firearm Concealed Carry Act, including the cost of sending notices of expiration to Firearm Owner's Identification Cards, concealed carry licenses, the prompt and efficient processing of applications under the Firearms Owners Identification Card Act and the Firearm Concealed Carry Act, the improved efficiency and reporting of the LEADS and federal NICS law enforcement data systems, and support for investigations required under these Acts and law.

State Police Motor Vehicle Theft Prevention Trust Fund - 376

The increase in State Police Motor Vehicle Theft Prevention Trust fund receipts was due to an increase in grant money authorized for expenditure by the Motor Vehicle Theft Prevention Council as well as the timing of deposits.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS
For the Two Years Ended June 30, 2014

**ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS BETWEEN
FISCAL YEARS 2014 AND 2013 (continued)**

Murder and Violent Offender Against Youth Fund - 400

The decrease in Murder and Violent Offender Against Youth Fund receipts was due to a decrease in the number of individuals required to register during Fiscal Year 2014. Fees deposited into this fund also may be waived for inability to pay.

State Asset Forfeiture Fund - 514

The increase in State Asset Forfeiture Fund receipts was due to an increase in assets seized, forfeited, and adjudicated during Fiscal Year 2014. These receipts are expected to fluctuate from year to year.

Federal Asset Forfeiture Fund - 520

The decrease in Federal Asset Forfeiture Fund receipts was due to a decrease in assets seized, forfeited, and adjudicated during Fiscal Year 2014. These receipts are expected to fluctuate from year to year.

State Police Wireless Services Fund - 637

The decrease in State Police Wireless Services Fund receipts was due to counties taking over wireless 9-1-1 responsibilities from the Department during Fiscal Year 2014.

Capital Projects Fund - 694

The increase in Capital Projects Fund receipts was due to additional fine monies collected on overweight citations per 625 ILCS5/15-113. The legislation imposed a progressive fine structure for overweight fines depending on the amount of weight over the limit. In addition, there are additional fines imposed for any person, firm, or corporation that have more than four convictions within a 12 month period. These receipts are expected to fluctuate from year to year.

Money Laundering Asset Recovery Fund - 816

The decrease in Money Laundering Asset Recovery Fund receipts was due to a decrease in assets seized, forfeited, and adjudicated during Fiscal Year 2014. These receipts are expected to fluctuate from year to year.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS
For the Two Years Ended June 30, 2014

**ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS BETWEEN
FISCAL YEARS 2014 AND 2013 (continued)**

State Police Operations Assistance Fund - 817

The decrease in State Police Operations Assistance Fund receipts was due to the Department receiving only two small donations from State Farm for Safety Education Programs during Fiscal Year 2014.

Drug Traffic Prevention Fund - 878

The increase in Drug Traffic Prevention Fund receipts was due to an increase in the number of fines collected during Fiscal Year 2014. These receipts are expected to fluctuate from year to year.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS
For the Two Years Ended June 30, 2014

ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS BETWEEN FISCAL YEARS 2013 AND 2012

General Revenue Fund - 001

State Highway Police

The increase in State Highway Police receipts was due to an increase in the number of fines and fees collected during Fiscal Year 2013, all of which are expected to fluctuate from year to year.

Circuit Clerks

The decrease in Circuit Clerk receipts was due to a decrease in the number of witness fees, restitutions, and e-citation fees collected during Fiscal Year 2013, all of which are expected to fluctuate from year to year.

Reimbursements from Local Law Enforcement

The decrease in Reimbursements from Local Law Enforcement receipts was due to no reimbursements received in Fiscal Year 2013.

Other State Agencies

The decrease in Other State Agencies receipts was due to a reimbursement from the Illinois Emergency Management Agency (IEMA) for providing law enforcement presence during natural disasters in Fiscal Year 2012. No such law enforcement presence was needed in Fiscal Year 2013.

State Crime Laboratory DUI Fund - 222

The increase in State Crime Laboratory DUI Fund receipts was due to an increase in the number of DUI convictions based on tickets issued by the Department during Fiscal Year 2013. These receipts are expected to fluctuate based on convictions of driving under the influence (DUI) tickets written by State Troopers.

Medicaid Fraud and Abuse Fund - 237

The decrease in Medicaid Fraud and Abuse Fund receipts was due to a settlement from public aid fraud in Fiscal Year 2012. There were no such cases in Fiscal Year 2013.

State Asset Forfeiture Fund - 514

The decrease in State Asset Forfeiture Fund receipts was due to a decrease in the amount of assets seized, forfeited, and adjudicated during criminal cases during Fiscal Year 2013. These receipts are expected to fluctuate from year to year.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS
For the Two Years Ended June 30, 2014

ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS BETWEEN FISCAL YEARS 2013 AND 2012 (continued)

Federal Asset Forfeiture Fund - 520

The decrease in Federal Asset Forfeiture Fund receipts was due to a decrease in the amount of assets seized, forfeited, and adjudicated during criminal cases during Fiscal Year 2013. These receipts are expected to fluctuate from year to year.

Sex Offender Registration Fund - 535

The increase in Sex Offender Registration Fund receipts was due to the Department waiving less of the fees associated with sex offender registration during Fiscal Year 2013.

State Police Wireless Services Fund - 637

The decrease in State Police Wireless Services Fund receipts was due to counties taking over wireless 9-1-1 responsibilities from the Department during Fiscal Year 2013.

Over Load Dimensional Load Police Escort Fund - 652

The increase in Over Load Dimensional Load Police Escort Fund receipts was due to an increase in the number of police escorts during Fiscal Year 2013. The number of escorts is expected to fluctuate from year to year.

Money Laundering Asset Recovery Fund - 816

The increase in Money Laundering Asset Recovery Fund receipts was due to an increase in assets seized, forfeited, and adjudicated during Fiscal Year 2013. These receipts are expected to fluctuate from year to year.

State Police Operations Assistance Fund - 817

The increase in State Police Operations Assistance Fund receipts was due to a large deposit for communications consolidation during Fiscal Year 2013.

Drug Traffic Prevention Fund - 878

The decrease in Drug Traffic Prevention Fund receipts was due to a decrease in the number of fines received during Fiscal Year 2013. These receipts are expected to fluctuate from year to year.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS
For the Two Years Ended June 30, 2014

**ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS BETWEEN
FISCAL YEARS 2013 AND 2012 (continued)**

Traffic and Criminal Conviction Surcharge Fund - 879

The increase in Traffic and Criminal Conviction Surcharge Fund receipts was due to an increase in the number of fines received during Fiscal Year 2013. These receipts are expected to fluctuate from year to year.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
For the Two Years Ended June 30, 2014

Significant variances were determined to be changes of at least \$20,000 and 20% as reported in the Schedule of Appropriations, Expenditures and Lapsed Balances, and are explained below.

FISCAL YEAR 2014

General Revenue Fund - 001

General Operations - Lump Sum

Tort Claims

A legal settlement was not received and processed until the lapse period.

Division of Operations

South Suburban Major Crimes Task Force

Due to paperwork delays, all of the funds were expended during lapse period.

Division of Forensic Services and Identification

Administration of Statewide Sexual Assault Evidence Collection Program

Scientific commodities invoices were not received and processed until the lapse period.

Operational Expenses Related to the Combine DNA Indexing System

Scientific commodities, equipment and contractual outsourcing were not received and processed until the lapse period.

State Police Firearm Services Fund - 209

Expense of Conceal Carry

Personal services and other related and contractual outsourcing invoices were not received and processed until the lapse period.

State Police DUI Fund - 222

Division of Forensic Services and Identification - Administration of Operations of State
Crime Laboratories

Scientific equipment repairs and commodities were not received and processed until the lapse period.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
For the Two Years Ended June 30, 2014

FISCAL YEAR 2014 (continued)

State Police DUI Fund - 222 (continued)

Division of Operations - Equipment Purchases to Assist in the Prevention of Driving While Under the Influence of Alcohol, Drugs or Intoxication Compounds

Invoices for total stations, in-car recording equipment, and radars were not received and processed until the lapse period.

State Police Vehicle Fund - 246

Purchase of Vehicles and Accessories

Vehicles and related equipment vouchers were not received and processed until the lapse period.

State Police Asset Forfeiture Fund - 514

Payment of Expenditures

Invoices for Mobile Data Computers and K-9 unit expenses were not received and processed until the lapse period.

Federal Asset Forfeiture Fund - 520

Payment of Expenditures

Mobile Data Computers, body armor, and revolving fund invoices were not received and processed until the lapse period.

Money Laundering Asset Recovery Fund - 816

Ordinary and Contingent Expenses

Invoices for Mobile data computers and tasers were not received and processed until the lapse period.

Traffic & Criminal Conviction Surcharge Fund - 879

Contractual Services

Utilities, repair and maintenance, and food services invoices were not received and processed until the lapse period.

Travel

Travel reimbursements to employees and vendors were not received and processed until the lapse period.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
For the Two Years Ended June 30, 2014

FISCAL YEAR 2014 (continued)

Traffic & Criminal Conviction Surcharge Fund - 879 (continued)

Commodities

Ammo, training supplies, and office supplies invoices were not received and processed until the lapse period.

Printing

Invoices for bound compiled statutory mandates were not received and processed until the lapse period.

State Police Services Fund - 906

Riverboat Gambling

Vehicles were purchased late in the fiscal year and invoices were not received and processed until the lapse period.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
For the Two Years Ended June 30, 2014

Significant variances were determined to be changes of at least \$20,000 and 20% as reported in the Schedule of Appropriations, Expenditures and Lapsed Balances, and are explained below.

FISCAL YEAR 2013

General Revenue Fund - 001

Division of Administration

Contractual Services

Repair and maintenance invoices and revolving fund invoices were not received and processed until the lapse period.

Commodities

Invoices for officer uniform items and supplies were not received and processed until the lapse period.

Telecommunications

Revolving fund invoices were not received and processed until the lapse period.

Operation of Automotive Equipment

Fuel and revolving fund invoices were not received and processed until the lapse period.

Information Services Bureau

Electronic Data Processing

Revolving fund, hardware repairs, and desk top computers invoices were not received and processed until the lapse period.

Telecommunications Services

Revolving fund invoices were not received and processed until the lapse period.

Division of Operations

Contractual Services

UPS replacements, motorcycle repairs, OSHA physicals, utilities, and revolving fund invoices were not received and processed until the lapse period.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
For the Two Years Ended June 30, 2014

FISCAL YEAR 2013 (continued)

General Revenue Fund - 001 (continued)

Commodities

Ammo, DVD's for in-car cameras, aviation fuel, and office supplies were not received and processed until the lapse period.

Printing

Uniform citations complaint forms were not received and processed until the lapse period.

Telecommunication Services

Phone system replacements, communication tower repair and maintenance, battery replacement, STARCOM user fees, Communication Revolving Fund invoices were not received and processed until the lapse period.

Division of Forensic Services & Identification

Commodities

Invoices for office supplies and scientific commodities were not received and processed until the lapse period.

Equipment

Computers and scientific and crime scene equipment invoices were not received and processed until the lapse period.

Telecommunications Services

Network router and switch upgrades and Communication Revolving Fund invoices were not received and processed until the lapse period.

State Police DUI Fund - 222

Division of Forensic Services and Identification - Administration of Operation of State Crime Laboratories

Invoices for scientific equipment repairs and commodities were not received and processed until the lapse period.

Division of Operations - Equipment Purchases to Assist in the Prevention of Driving While Under the Influence of Alcohol, Drugs, or Intoxication Compounds

Intoximeters and total stations invoices were not received and processed until the lapse period.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
For the Two Years Ended June 30, 2014

FISCAL YEAR 2013 (continued)

State Police Vehicle Fund - 246

Purpose of Vehicles and Accessories

Invoices for vehicles and related equipment were not received and processed until the lapse period.

State Police Asset Forfeiture Fund - 514

Payment of Expenditures

Invoices for Mobile Data Computers, MEG audit, drugs testing, and K-9 unit expenses were not received and processed until the lapse period.

Federal Asset Forfeiture Fund - 520

Payment of Expenditures

Mobile Data Computers, body armor, tower dismantling, and revolving fund invoices were not received and processed until the lapse period.

Money Laundering Asset Recovery Fund - 816

Ordinary and Contingent Expenses

Mobile Data Computer invoices were not received and processed until the lapse period.

Traffic & Criminal Conviction Surcharge Fund - 879

Contractual Services

Utilities, repairs and maintenance, and food service invoices were not received and processed until the lapse period.

Printing

One invoice for the purchase of Illinois Criminal & Traffic manuals was not received and processed until the lapse period.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF ACCOUNTS RECEIVABLE
For the Two Years Ended June 30, 2014

(Amounts expressed in thousands)

General Revenue Fund - 001

<u>Aging Schedule</u>	<u>2014</u>	<u>2013</u>
Current	\$ 5	\$ 6
1-30 days	-	-
31-90 days	-	-
91-180 days	-	-
181days to 1 year	-	-
Over 1 year	-	-
Accounts Receivable Gross Balance	\$ 5	\$ 6
Less: Estimated Uncollectibles	5	2
Accounts Receivable Net Balance	<u>\$ -</u>	<u>\$ 4</u>

These amounts represent receivables related to miscellaneous revenue.
Note: See Finding 2014-002.

Road Fund - 011

<u>Aging Schedule</u>	<u>2014</u>	<u>2013</u>
Current	\$ 2,389	\$ 2,216
1-30 days	1,028	935
31-90 days	-	-
91-180 days	-	-
181days to 1 year	-	-
Over 1 year	<u>(1,075)</u>	<u>(808)</u>
Accounts Receivable Gross Balance	\$ 2,342	\$ 2,343
Less: Estimated Uncollectibles	-	-
Accounts Receivable Net Balance	<u>\$ 2,342</u>	<u>\$ 2,343</u>

These amounts represent receivables related to overweight fines.
Note: See Finding 2014-002.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF ACCOUNTS RECEIVABLE
For the Two Years Ended June 30, 2014

(Amounts expressed in thousands)

State Garage Revolving Fund - 303

<u>Aging Schedule</u>	<u>2014</u>	<u>2013</u>
Current	\$ 94	\$ 100
1-30 days	-	-
31-90 days	-	-
91-180 days	-	-
181days to 1 year	-	-
Over 1 year	-	-
Accounts Receivable Gross Balance	\$ 94	\$ 100
Less: Estimated Uncollectibles	94	99
Accounts Receivable Net Balance	<u>\$ -</u>	<u>\$ 1</u>

These amounts represent receivables related to property damage to State Police vehicles.
Note: See Finding 2014-002.

Illinois State Toll Highway Road Fund - 455

<u>Aging Schedule</u>	<u>2014</u>	<u>2013</u>
Current	\$ 80	\$ 80
1-30 days	1	-
31-90 days	-	-
91-180 days	-	-
181days to 1 year	-	-
Over 1 year	-	-
Accounts Receivable Gross Balance	\$ 81	\$ 80
Less: Estimated Uncollectibles	79	-
Accounts Receivable Net Balance	<u>\$ 2</u>	<u>\$ 80</u>

These amounts represent receivables related to overweight fines.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF ACCOUNTS RECEIVABLE
For the Two Years Ended June 30, 2014

(Amounts expressed in thousands)

Over Dimensional Load Police Escort Fund - 652

<u>Aging Schedule</u>	<u>2014</u>	<u>2013</u>
Current	\$ 17	\$ 21
1-30 days	-	-
31-90 days	-	-
91-180 days	-	-
181days to 1 year	-	-
Over 1 year	-	-
Accounts Receivable Gross Balance	\$ 17	\$ 21
Less: Estimated Uncollectibles	17	21
Accounts Receivable Net Balance	<u>\$ -</u>	<u>\$ -</u>

These amounts represent receivables related to police escorts.

Note: See Finding 2014-002.

Drug Traffic Prevention Fund - 878

<u>Aging Schedule</u>	<u>2014</u>	<u>2013</u>
Current	\$ 309	\$ 311
1-30 days	-	-
31-90 days	-	-
91-180 days	-	-
181days to 1 year	-	-
Over 1 year	-	-
Accounts Receivable Gross Balance	\$ 309	\$ 311
Less: Estimated Uncollectibles	292	226
Accounts Receivable Net Balance	<u>\$ 17</u>	<u>\$ 85</u>

These amounts represent receivables related to drug fines.

Note: See Finding 2014-002.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF ACCOUNTS RECEIVABLE
For the Two Years Ended June 30, 2014

(Amounts expressed in thousands)

State Police Services Fund - 906

<u>Aging Schedule</u>	<u>2014</u>	<u>2013</u>
Current	\$ -	\$ 24
1-30 days	-	-
31-90 days	-	-
91-180 days	-	-
181days to 1 year	-	-
Over 1 year	-	-
Accounts Receivable Gross Balance	\$ -	\$ 24
Less: Estimated Uncollectibles	-	24
Accounts Receivable Net Balance	<u>\$ -</u>	<u>\$ -</u>

These amounts represent receivables from Federal, State, and local agencies for services performed by the State Police.

Note: See Finding 2014-002.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
AGENCY FUNCTIONS AND PLANNING PROGRAM
For the Two Years Ended June 30, 2014
(Not Examined)

Agency Functions

The Department of State Police (Department) was established January 1, 1970 and was reorganized by Executive Order in 1977 and again in 1993. The Department's responsibility is to maintain order as mandated by Illinois Compiled Statutes, while safeguarding the rights and privileges of all citizens of the State.

In order to fulfill its responsibility, the Department has been vested with various powers, rights and duties. To best perform its duties, the Department has been divided into four divisions: Operations, Forensic Services, Administration and Internal Investigation, all under the direction of the Director of the Department. Each division is also under the direction of a deputy director, all appointed by the Director of the Department and subject to the control and supervision of the Director. Each of these divisions is charged with the exercise of specific functions as follows:

Director's Office

The Director's office exercises such duties as may be provided by law and those duties assigned by the Director in order to fulfill the responsibilities and achieve the purpose of the Department. Directly through the Director's office, functions related to the following are performed: Budget, Equal Employment Opportunity, Executive Protection, Governmental Affairs, Inspections and Audits, Labor Relations, Legal, Public Information and Research and Development, and Human Resources.

Division of Operations

The Division of Operations (DOO) is comprised of a network of 21 district headquarters and seven investigative zone offices located throughout the State. The division provides a full range of enforcement, educational and support services to Illinois' citizens and the law enforcement community.

Over the years, the operational structure of DOO has evolved into two commands, Field Operations and Field Support. The Field Operations Command consists of four geographic-based regional commands that account for the individual needs of their service area while fulfilling the Department's mission. Each region consists of districts, responsible for patrol and traffic enforcement, and zones, responsible for criminal investigations. The Field Support Command includes specialty units and other support functions that provide statewide services.

STATE OF ILLINOIS
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AGENCY FUNCTIONS AND PLANNING PROGRAM
For the Two Years Ended June 30, 2014
(Not Examined)

DOO patrol personnel are tasked with enforcing traffic laws as set forth in the Illinois Vehicle Code and the Illinois State Police Act. Enforcement activities focus on traffic crash reduction and traffic safety strategies, with particular attention to speeding, distracted driving, occupant restraint, aggressive driving, and alcohol-related violations. Districts also conduct criminal interdiction and patrols to reduce violent crime and combat criminal enterprises. Districts conduct special enforcement details and partner with other law enforcement agencies on joint traffic safety and criminal patrol initiatives throughout the year.

Patrol personnel also staff special assignments and details, such as the Illinois and DuQuoin State Fairs.

Investigative personnel are assigned to the Zone offices and are responsible for providing investigative support to the Districts and other law enforcement agencies. Zones investigate a wide variety of both traditional and non-traditional crimes, such as homicide, sex offenses, drug trafficking, public integrity, terrorism and cargo theft. The Division actively participates in numerous drug, auto theft and major crime task forces and Metropolitan Enforcement Groups, partnering with other law enforcement agencies in joint criminal investigations and initiatives.

DOO also includes a variety of specialty units, which lend support to both the patrol and investigative components. These units include, but are not limited to, the Special Operations Command, Intelligence Command, Medicaid Fraud Control Bureau, Riverboat Gaming Command and Air Operations Bureau. Recent initiatives include the formation of a statewide Traffic Crash Reconstruction Unit and Clandestine Laboratory and Medical Marijuana Programs Unit. The Department's Special Weapons and Tactics Team and Statewide Terrorism and Intelligence Center provide support to special details and enforcement efforts throughout the state and serve as valuable resources to other local, county, state and federal agencies.

Division of Forensic Services

The Division of Forensic Services consists of two commands, the Forensic Sciences Command and Crime Scene Services Command. Crime Scene Services Command investigators provide crime scene processing services to federal, state, county and local law enforcement agencies. Additional specialized services are offered in blood-stain pattern analysis, forensic artistry and Scene documentation using a 3 dimensional laser scanner. The Illinois State Police is one of only a few law enforcement agencies in the country using two-dimensional and three-dimensional computer-generated drawings of crime and crash scenes. A variety of services are offered in the fully equipped imaging

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
AGENCY FUNCTIONS AND PLANNING PROGRAM
For the Two Years Ended June 30, 2014
(Not Examined)

section, and polygraph examinations are conducted by experienced polygraph examiners. The Forensic Sciences Command employs approximately 400 forensic scientists, evidence technicians and forensic managerial and support staff in seven operational laboratories, the statewide training program and the research and development laboratory. The operational laboratories provide scientific analysis of evidence for law enforcement agencies throughout Illinois. The Combined Offender DNA Index System (CODIS) compares DNA from evidence with profiles from convicted offenders or other forensic cases. The Integrated Bullet Identification System (IBIS) database can link cartridge cases and bullets from different crime scenes as well as a bullet or cartridge to a particular weapon. The Automated Fingerprint Identification System (AFIS) compares unknown fingerprints from crime scenes with known fingerprints of more than five million people who have been fingerprinted in Illinois as a result of an arrest, a background check or an application process.

Division of Administration

The Division of Administration (DOA), Support Services (DOA-SS), is responsible for carrying out the diverse administrative functions vital to the successful operation of the Department. The public's calls for service could not be answered without the communications, the facilities, and the fleet of vehicles operated by DOA. The Division supports department operations through the Logistics Bureau, the Fiscal Management Bureau (FMB), the Firearms Services Bureau (FSB), the Bureau of Identification (BOI) - Technology Services (DOA-TS), Program Administration Bureau, and the Information Services Bureau, as described below.

- The Logistics Bureau administers facility management functions including the coordination of new construction, the management of department leases, and the oversight of facility repair and maintenance projects. The Bureau is responsible for the ordering, repair and maintenance of all hardline and wireless phone systems within the agency. The Bureau also oversees the acquisition and management of the ISP fleet and the purchase, distribution, and storage of supplies and uniforms. The Bureau also oversees the Statewide Evidence Vault which is responsible for storing long term evidence exhibits for all ISP vaults and for destroying exhibits that are no longer needed for evidentiary purposes.
- The Fiscal Management Bureau maintains the fiscal integrity and accountability of the Department in coordination with the Public Safety Shared Services Center. Through the Asset Seizure and Forfeiture Section, the Bureau oversees and administers the forfeiture provisions of State law. The Grant Compliance and Grant Reporting Sections administer Grant funds, end-of-year financial statements, and Generally Accepted Accounting Principles reports related to grant

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
AGENCY FUNCTIONS AND PLANNING PROGRAM
For the Two Years Ended June 30, 2014
(Not Examined)

funding. The Strategic Sourcing and Procurement Section ensures purchases are in compliance with the related statutes and rules.

- The Firearms Services Bureau (FSB) determines the eligibility of applicants who wish to acquire, possess, or transfer firearms. The bureau approves, denies, and revokes Firearm Owners Identification (FOID) cards and administers the Firearms Transfer Inquiry Program (FTIP). Through FTIP inquires, federally-licensed firearm dealers are able to perform automated (dial-up) eligibility checks on potential firearm buyers at the point of purchase. The Bureau also administers a Relief Process for individuals whose FOID card has been denied or revoked. The FOID program is mandated by the State of Illinois and was established in 1968; FTIP was added to the legislation in 1991.
- The Bureau of Identification (BOI), located in Joliet, promotes public safety by collecting, maintaining, and providing accurate, timely, and complete criminal history information to the entire Illinois community. Records relating to more than five million fingerprint files help to ensure the identification of individuals and potential suspects through the use of the advanced Automated Fingerprint Identification System (AFIS).

The Division of Administration - Technology Services (DOA-TS) encompasses two bureaus responsible for information technology, data collection/analysis, information sharing, and knowledge development in an effort to provide complete, accurate, and timely information to those responsible for making critical decisions affecting the safety and security of Illinois citizens. By incorporating advanced technologies, new systems are being implemented and others redesigned to allow for greater efficiency and information sharing that will reduce crime, improve quality of life, ensure officer safety, and to prevent and respond to acts of terrorism. Collaboratively, the two bureaus provide the expertise necessary to achieve those goals:

- The Program Administration Bureau monitors, audits, and provides training for both State and local law enforcement through the Law Enforcement Agencies Data System (LEADS), Criminal History Record Information System (CHRI), National Incident Based Reporting System (NIBRS), and AFIS. Also, the I-CLEAR unit is responsible for business process analysis, training, development, enhancement, and support for the Illinois Law Enforcement Analysis and Reporting System (I-CLEAR).
- The Information Services Bureau develops and manages computer applications used by the Department, other law enforcement agencies, the judiciary, and the citizens of Illinois. The Bureau also supports the information technology infrastructure used by the Illinois State Police and other criminal justice entities. The Bureau provides the foundation for controlled access, data storage,

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
AGENCY FUNCTIONS AND PLANNING PROGRAM
For the Two Years Ended June 30, 2014
(Not Examined)

dissemination, and business continuity of the informational programs used daily by the ISP Trooper in the squad car, as well as by all other local, state, and federal criminal justice agencies. Approximately 54 million criminal justice transactions traverse the ISP and Law Enforcement Agencies Data System (LEADS) networks each month.

Division of Internal Investigation

The Division of Internal Investigation (DII) safeguards the integrity of the Department.

The functions of DII include initiating internal departmental investigations and, at the direction of the Governor, the investigation of complaints and the initiation of investigations of official misconduct by State officers and State employees. Among its diverse responsibilities are the investigations of financial crimes, theft of State property, and charges of abuse or neglect of inmates of State correctional and mental facilities.

The Division also performs employee background investigations on all State Police cadet applicants, ISP code and contractual employees, applicants of state agencies subject to the Governor's authority, and individuals who wish to volunteer with various human services agencies. The Identified Offender Program responsibility is to conduct backgrounds of residents in nursing care facilities who have been convicted of an offense enumerated in Public Act 94-0752.

Agency Planning

The Department has established a formalized, systematic planning program to ensure the efforts of the Department are coordinated to accomplish departmental goal and initiatives.

The State of Illinois, Governor's Office of Management and Budget, coordinates strategic management and performance measurement reporting for agencies across the State. The Department also reports performance data to the Illinois Office of the Comptroller for publishing in the Service Efforts and Accomplishments annual public accountability report.

The Director and senior command of the Department establish broad-based direction, goals and objectives of the Agency. Based on this direction, the focus of activity for the Department is established and the divisional planning efforts occur. The Planning Leader coordinates these activities.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
AGENCY FUNCTIONS AND PLANNING PROGRAM
For the Two Years Ended June 30, 2014
(Not Examined)

The overall coordination of the Department's plan and performance measurement is the responsibility of the Planning Coordinator in conjunction with a department wide Strategic Management Core Group.

Each ISP Division designates a Core Group and Sub-Core Group member. The Core Group person acts as the primary division representative and actively works with division command and the Research and Development Unit in an oversight and decision-making capability to ensure strategic plan submissions and performance measures are timely, meaningful, and accurately reflect command expectations. The Sub-Core Group member acts as the alternate contact in the absence of the Core Group member.

The Core Group member from each division analyzes, develops, and reports divisional direction and performance that support departmental goals.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
AVERAGE NUMBER OF EMPLOYEES
For the Years Ended June 30,
(Not Examined)

The following table, prepared from Agency records, presents the average number of employees, by function, for the Fiscal Years ended June 30,

	<u>2014</u>	<u>2013</u>	<u>2012</u>
Operations	1,600	1,601	1,720
Internal Investigations	61	54	52
Forensics	419	438	458
Administration	217	192	196
Academy	60	80	28
Shared Services	17	16	20
Special Funds	<u>345</u>	<u>352</u>	<u>386</u>
 Total Average Full-Time Employees	 <u><u>2,718</u></u>	 <u><u>2,733</u></u>	 <u><u>2,860</u></u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
EMERGENCY PURCHASES
For the Two Years Ended June 30, 2014
(Not Examined)

The Department reported the following emergency purchases to the Office of the Auditor General during Fiscal Year 2013 and Fiscal Year 2014:

<u>DESCRIPTION OF EMERGENCY PURCHASE</u>	<u>AMOUNT</u>
Three month extension of contract for FOID fee remittance	\$ 26,363
Supplies for Division of Forensic Services laboratories	186,380
Contract for keying and scanning of FOID card applications	53,455
Contractual resources to automate the identification and entry of prohibited persons into the National Instant Criminal Background Check System (NICS) and to assist with implementation of Firearm Concealed Carry Act.	349,000 *
Contractual resources for NICS Act Record Improvement Program (NARIP) Phase 2	193,762
Contract for psychological testing of Cadet Candidates pursuant to ISP's Occupational Medical Standards	17,250
Airplane maintenance - FAA mandated removal and repair of exhaust system	6,977
Contract for fiscal technology resources	109,200 *
Contract for keying and scanning of FOID card applications	<u>526,000 *</u>
TOTAL APPROXIMATE COST	<u><u>\$ 1,468,387</u></u>

*Estimated Amounts

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SERVICE EFFORTS AND ACCOMPLISHMENTS
For the Two Years Ended June 30, 2014
(Not Examined)

The Department’s mission centers on the basic premise of promoting public safety with integrity, service and pride to improve the quality of life in Illinois.

Division of Operations

The Division of Operations (DOO) works daily to safeguard the public by reducing the number and seriousness of vehicle crashes. Troopers regularly patrol over 138,000 miles of Illinois roadways, consisting of interstates, State highways, and secondary county roads. Officers in specialty roles provide expertise in the areas of safety education, crash reconstruction, commercial vehicle enforcement, crime prevention, highway drug interdiction, and critical incident tactical response teams. Department investigators assigned to seven investigative zones Statewide are charged with examining homicide, narcotics, and violent crime cases. Specialized investigative programs and task forces include child homicide, Medicaid fraud, clandestine methamphetamine laboratory dismantling, financial crime, criminal intelligence, and child exploitation.

<u>Output Indicators</u>	<u>2014</u>	<u>2013</u>	<u>2012</u>
Number of Impaired Driving/Zero Tolerance Citations	12,782	10,229	9,919
Number of Speeding Citations	133,315	143,886	153,241
Number of Motor Carrier Inspections	86,727	46,945	46,848
Number of Criminal Investigative Cases Open	5,205	5,391	5,919
Number of Criminal Investigative Cases Closed	2,042	2,590	2,701

Division of Forensic Services

The Division of Forensic Services (DFS) provides crime scene services and forensic analysis for Illinois’ criminal justice community. The Crime Scene Investigators in the Crime Scene Services Command interpret the evidentiary value of items and collect and process evidence from crash and crime scenes. The Department forensic science laboratory system is the third largest in the world and provides expert forensic analysis and witness testimony in the following disciplines: drug chemistry, latent prints, toxicology, forensic biology, firearms/tool marks, DNA, trace evidence, microscopy and questioned documents. One of the biggest challenges faced by the Department forensic laboratory system is its ability to address the ever increasing case submissions in various

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SERVICE EFFORTS AND ACCOMPLISHMENTS
For the Two Years Ended June 30, 2014
(Not Examined)

forensic disciplines. The Department continues to utilize improved technology to meet these challenges.

<u>Output Indicators</u>	<u>2014</u>	<u>2013</u>	<u>2012</u>
Number of Deoxyribonucleic Acid (DNA) Cases Worked	6,086	4,790	4,982
Number of Forensic Cases Worked In All Disciplines	91,878	94,550	101,076
Number of Crime Scenes Processed	3,736	2,337	2,328

Division of Administration

The Division of Administration (DOA) provides administrative and technical support to the employees of the Illinois State Police and law enforcement agencies throughout the State via various programs, i.e., Firearms Owners Identification (FOID) Program, the Law Enforcement Agency Data System (LEADS) as well as information technology support, facility and fleet management, and human resource management. The primary challenge for DOA is the need to address the influx of FOID card applications in a timely manner and to implement the newly passed Conceal Carry legislation. Efforts are underway to develop technology-based systems that will assist in operating these two programs in an efficient and effective manner.

<u>Output Indicators</u>	<u>2014</u>	<u>2013</u>	<u>2012</u>
Number of Inquiry Transactions Processed (LEADS)	94,549,443	92,472,316	91,810,697
Number of FOID Applications Processed	186,302	434,312	303,154
Number of Identification Inquiries	928,126	881,834	868,088

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SERVICE EFFORTS AND ACCOMPLISHMENTS
For the Two Years Ended June 30, 2014
(Not Examined)

Division of Internal Investigation

The Division of Internal Investigation (DII) acts as the “watchdog for integrity in State government.” The DII investigates charges of improper conduct or inappropriate behavior by Department employees and investigates alleged misconduct or wrongdoing by officials, members or employees of any agency, board, or commission in the executive branch of Illinois Government.

<u>Output Indicators</u>	<u>2014</u>	<u>2013</u>	<u>2012</u>
Total DII Cases Opened	211	265	285
Number of Employment Background Checks completed	1,001	757	0
Criminal History Analyses Conducted At Nursing Homes	2,573	2,796	0