

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE**

COMPLIANCE EXAMINATION

For the Two Years Ended June 30, 2016

**Performed as Special Assistant Auditors
for the Auditor General, State of Illinois**

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2016**

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**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2016**

AGENCY OFFICIALS

Director (2/4/15 to Present)	Mr. Leo Schmitz
Director (2/1/15 to 2/3/15)	Vacant
Director (through 1/31/15)	Mr. Hiram Grau
First Deputy Director (7/1/15 to Present)	Mr. Chad Peterson
First Deputy Director (through 6/30/15)	Mr. Brian Ley
Chief Fiscal Officer	Mr. Michael Yokley
Chief Legal Counsel (3/1/15 to Present)	Mr. Matthew Rentschler
Chief Legal Counsel (through 2/28/15)	Ms. Suzanne Bond

Agency offices are located at:

801 South Seventh Street
Springfield, IL 62703



ILLINOIS STATE POLICE
Office of the Director

March 20, 2017

Bruce Rauner
Governor

Leo P. Schmitz
Director

West & Company, LLC
Certified Public Accountant
919 East Harris Avenue
Greenville, Illinois 62246

Ladies and Gentlemen:

We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the State of Illinois, Department of State Police. We are responsible for and we have established and maintained an effective system of internal controls over compliance requirements. We have performed an evaluation of the State of Illinois, Department of State Police's compliance with the following assertions during the two-year period ended June 30, 2016. Based on this evaluation, we assert that during the years ended June 30, 2015 and June 30, 2016, the State of Illinois, Department of State Police has materially complied with the assertions below.

- A. The State of Illinois, Department of State Police has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The State of Illinois, Department of State Police has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The State of Illinois, Department of State Police has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the State of Illinois, Department of State Police are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the State of Illinois, Department of State Police on behalf of the State or held in trust by the State of Illinois, Department of State Police have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate and in accordance with law.

Respectfully,

SIGNED ORIGINAL ON FILE

Leo P. Schmitz, Director
Department of State Police

SIGNED ORIGINAL ON FILE

Matthew Rentschler, Chief Legal Counsel

SIGNED ORIGINAL ON FILE

Michael T. Yorkley, Chief Fiscal Officer

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2016**

COMPLIANCE REPORT

SUMMARY

The compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and in accordance with the Illinois State Auditing Act.

ACCOUNTANT’S REPORT

The Independent Accountant’s Report on State Compliance, on Internal Control Over Compliance and on Supplementary Information for State Compliance Purposes does not contain scope limitations, disclaimers, but does contain a qualified opinion on compliance and material weaknesses over internal control.

SUMMARY OF FINDINGS

<u>Number of</u>	<u>Current Report</u>	<u>Prior Report</u>
Findings	13	11
Repeated findings	7	8
Prior recommendations implemented or not repeated	4	6

SCHEDULE OF FINDINGS

<u>Item No.</u>	<u>Page</u>	<u>Description</u>	<u>Finding Type</u>
CURRENT FINDINGS (STATE COMPLIANCE)			
2016-001	9	Inadequate control over property and equipment	Material Weakness and Material Noncompliance
2016-002	14	Inadequate controls over accounts receivable	Material Weakness and Material Noncompliance
2016-003	16	Delinquent accounts not pursued	Significant Deficiency and Noncompliance
2016-004	18	Lack of project management	Significant Deficiency and Noncompliance
2016-005	20	Failure to maintain security controls over computer systems	Significant Deficiency and Noncompliance

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
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For the Two Years Ended June 30, 2016**

SCHEDULE OF FINDINGS (Continued)

<u>Item No.</u>	<u>Page</u>	<u>Description</u>	<u>Finding Type</u>
2016-006	22	Weaknesses in change management of computer systems	Significant Deficiency and Noncompliance
2016-007	25	Noncompliance with specific statutory mandates	Significant Deficiency and Noncompliance
2016-008	28	Voucher processing weakness	Significant Deficiency and Noncompliance
2016-009	30	Inadequate controls over monthly reconciliations	Significant Deficiency and Noncompliance
2016-010	32	Failure to timely process cash receipts	Significant Deficiency and Noncompliance
2016-011	33	Failure to follow policies and procedures over asset seizures and forfeitures	Significant Deficiency and Noncompliance
2016-012	35	Inadequate controls over contracts	Significant Deficiency and Noncompliance
2016-013	37	Contingency planning weaknesses	Significant Deficiency and Noncompliance

PRIOR FINDINGS NOT REPEATED

A	39	Inadequate control over commodities inventory
B	39	Noncompliance with State Officials and Employees Ethics Act
C	39	Treasurers drafts not submitted timely
D	39	Incorrect GAAP reporting

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2016**

EXIT CONFERENCE

The findings and recommendations appearing in the report were discussed with Department personnel at an exit conference on March 13, 2017. Participating were:

Department of State Police

Mr. Chad Peterson, First Deputy Director
Mr. Michael Yokley, Chief Fiscal Officer
Ms. Kelly Walter, Colonel, Division of Administration
Mr. Sean Cormier, Lieutenant Colonel Division of Administration
Mr. Steve Lyddan, Chief of Staff

Office of the Auditor General

Ms. Stephanie Wildhaber, Audit Manager
Ms. Kathy Lovejoy, Information Systems Audit Manager

West & Company, LLC – Special Assistant Auditors

Ms. Janice Romack, Partner
Mr. Michael Lawler, Manager

The responses to the recommendations were provided by Mr. Michael Yokley in an e-mail dated March 20, 2017.

MEMBERS

RICHARD C. WEST
BRIAN E. DANIELL
JANICE K. ROMACK
DIANA R. SMITH
D. RAIF PERRY
JOHN H. VOGT
JOSHUA D. LOWE
DAVID W. FALLER

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**INDEPENDENT ACCOUNTANT'S REPORT ON STATE COMPLIANCE,
ON INTERNAL CONTROL OVER COMPLIANCE, AND ON
SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES**

Honorable Frank J. Mautino
Auditor General
State of Illinois

Compliance

As Special Assistant Auditors for the Auditor General, we have examined the State of Illinois, Department of State Police's compliance with the requirements listed below, as more fully described in the Audit Guide for Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (Audit Guide) as adopted by the Auditor General, during the two years ended June 30, 2016. The management of the State of Illinois, Department of State Police is responsible for compliance with these requirements. Our responsibility is to express an opinion on the State of Illinois, Department of State Police's compliance based on our examination.

- A. The State of Illinois, Department of State Police has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The State of Illinois, Department of State Police has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The State of Illinois, Department of State Police has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the State of Illinois, Department of State Police are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the State of Illinois, Department of State Police on behalf of the State or held in trust by the State of Illinois, Department of State Police have been properly and legally administered and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.

Our examination was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States; the Illinois State Auditing Act (Act); and the Audit Guide as adopted by the Auditor General pursuant to the Act; and, accordingly, included examining, on a test basis, evidence about the State of Illinois, Department of State Police's compliance with those requirements listed in the first paragraph of this report and performing such other procedures as we considered necessary in the circumstances. We believe that our examination provides a reasonable basis for our opinion. Our examination does not provide a legal determination on the State of Illinois, Department of State Police's compliance with specified requirements.

As described in items 2016-001 and 2016-002 in the accompanying schedule of findings, the State of Illinois, Department of State Police did not comply with requirements regarding adequate control over property and equipment and adequate controls over accounts receivable. Compliance with such requirements is necessary, in our opinion, for the State of Illinois, Department of State Police to comply with the requirements listed in the first paragraph of this report.

In our opinion, except for the noncompliance described in the preceding paragraph, the State of Illinois, Department of State Police complied, in all material respects, with the compliance requirements listed in the first paragraph of this report during the two years ended June 30, 2016. However, the results of our procedures disclosed other instances of noncompliance with the requirements, which are required to be reported in accordance with criteria established by the Audit Guide, issued by the Illinois Office of the Auditor General and which are described in the accompanying schedule of findings as items 2016-003 through 2016-013.

Internal Control

Management of the State of Illinois, Department of State Police is responsible for establishing and maintaining effective internal control over compliance with the requirements listed in the first paragraph of this report. In planning and performing our examination, we considered the State of Illinois, Department of State Police's internal control over compliance with the requirements listed in the first paragraph of this report to determine the examination procedures that are appropriate in the circumstances for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with the Audit Guide, issued by the Illinois Office of the Auditor General, but not for the purpose of expressing an opinion on the effectiveness of the State of Illinois, Department of State Police's internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the State of Illinois, Department of State Police's internal control over compliance.

Our consideration of internal control over compliance was for the limited purpose described in the preceding paragraph and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies and therefore, material weaknesses or significant deficiencies may exist that were not identified. However, as discussed below, we identified certain deficiencies in internal control over compliance that we consider to be material weaknesses and significant deficiencies.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with the requirements listed in the first paragraph of this report on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that a material noncompliance with a requirement listed in the first paragraph of this report will not be prevented, or detected and corrected, on a timely basis. We consider the

deficiencies in internal control over compliance described in the accompanying schedule of findings as items 2016-001 and 2016-002 to be material weaknesses.

A *significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance. We consider the deficiencies in internal control over compliance described in the accompanying schedule of findings as items 2016-003 through 2016-013 to be significant deficiencies.

As required by the Audit Guide, immaterial findings excluded from this report have been reported in a separate letter to your office.

The State of Illinois, Department of State Police's responses to the findings identified in our examination are described in the accompanying schedule of findings. We did not examine the State of Illinois, Department of State Police's responses and, accordingly, we express no opinion on the responses.

Supplementary Information for State Compliance Purposes

Our examination was conducted for the purpose of forming an opinion on compliance with the requirements listed in the first paragraph of this report. The accompanying supplementary information for the years ended June 30, 2016 and June 30, 2015 in Schedules 1 through 11 and the Analysis of Operations Section is presented for purposes of additional analysis. We have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General to the June 30, 2016 and June 30, 2015 accompanying supplementary information in Schedules 1 through 5 and Schedules 7 through 10. However, we do not express an opinion on the accompanying supplementary information.

We have not applied procedures to the June 30, 2014 accompanying supplementary information in Schedules 3, 7, 8, and 9 and in the Analysis of Operations Section, and accordingly, we do not express an opinion or provide any assurance on it.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, and the State of Illinois, Department of State Police management and is not intended to be and should not be used by anyone other than these specified parties.

SIGNED ORIGINAL ON FILE

Greenville, Illinois
March 20, 2017

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2016**

CURRENT FINDINGS (STATE COMPLIANCE)

2016-001 **FINDING** (Inadequate control over property and equipment)

The Illinois Department of State Police (Department) did not exercise adequate control over the recording and reporting of its State property and equipment. We noted the following during our testing:

- The Department did not have adequate controls over lost or missing property. We noted 60 of 60 (100%) items listed as lost or missing could possibly have confidential information stored on them. Items included computers and laptops.

The State Property Control Act (30 ILCS 605/4 and 6.02) requires the Department be accountable for the supervision, control and inventory of all items under its jurisdiction and control. In addition, the Department had the responsibility to ensure confidential information is protected from disclosure and provisions in the Personal Information Protection Act (815 ILCS 530) are followed. Department personnel stated they are unable to identify what type of data was stored on the lost or missing equipment. Therefore, there is no way of knowing if the items included confidential data. During the previous engagement, Department personnel stated they did not keep a listing of what information would have been stored on lost or missing equipment.

- The Department was unable to reconcile the differences noted between the Object Expense/Expenditures by Quarter Report (SA02) and the Agency's Report of State Property (C-15) reports. During the engagement period, the Department had \$26,362,169 in gross equipment and electronic data processing expenditures. Although not all of the gross expenditures would be added to the Department's property listing, only \$22,837,420 was reported as being added during the engagement period.

The Statewide Accounting Management System (SAMS) (Procedure 07.30.20) states the effectiveness of any accounting and financial information system is very much dependent on the accuracy of data submitted and the confidence of its users that the system handled that data properly. Agency reconciliation is the primary control that insures these requirements are being satisfied. SAMS (Procedure 07.30.21) also establishes processes for the monthly reconciliation of the SA02 reports generated by the Office of the State Comptroller (Comptroller). Department personnel stated monthly reconciliations of inventory entries to equipment expenditures were not performed due to lack of resources. During the previous engagement, Department personnel stated they did not reconcile the property system with the SA02. Reconciliations were done monthly between the Department's property system and the report FIS2901A from FOCUS.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2016**

CURRENT FINDINGS (STATE COMPLIANCE)

2016-001 **FINDING** (Inadequate control over property and equipment) (Continued)

- The Department's property records at June 30, 2016 and 2015 did not agree to the C-15 reports reported to the Comptroller. Management's reconciliation attempt, allowing for the remaining Fiscal Year 2016 and 2015 adjustments for items not entered into the inventory system of approximately \$8,545,000 for Fiscal Year 2016 and \$40,469,000 for Fiscal Year 2015, resulted in a remaining variance of approximately \$2 million each year. Therefore, the reported property amounts on the reports were determined to be inaccurate.
- Eighteen of 18 (100%) capital lease copiers tested, totaling \$95,013, were not reported on the C-15 reports.

SAMS (Chapter 29) establishes the procedures for how each agency is to prepare and complete its quarterly reports. SAMS (Procedure 29.10.30) states when the report is properly completed, it should present the total cost of State property, by category, reflected on the agency's records as of the reporting date and reconcile the beginning balance of State property to the ending balance. In addition, capital lease assets are to be included on the report for the quarter in which the lease was executed. Good business practices require an agency to review all reported information for accuracy before submission and require proper internal controls to be established to ensure the accuracy and reliability of accounting data. Department personnel stated the differences between the Department's property records and the reports were caused by reconciliations not being performed due to a lack of resources. In addition, Department personnel stated the capital leases were not recorded to the Department's inventory records due to clerical error.

- Thirty of 60 (50%) vouchers, totaling \$2,474,050, included items that were not added to the Department's inventory records.
- Forty-four of 60 (73%) equipment items, totaling \$31,639,604, were added to the Department's inventory records between 2 and 358 days late.
- One of 60 (2%) equipment items, totaling \$2,521, was deleted from the Department's inventory records 37 days late.

The Illinois Administrative Code (Code) (44 Ill. Admin. Code 5010.400) states Agencies shall adjust property records within 30 days of acquisition, change, or deletion of equipment items. Department personnel stated oversight and employee error contributed to the errors noted. Department personnel determined this to be the cause in the prior engagement as well.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2016**

CURRENT FINDINGS (STATE COMPLIANCE)

2016-001 **FINDING** (Inadequate control over property and equipment) (Continued)

- One of 60 (2%) equipment items, totaling \$102,886, was not reported with an accurate value and description on the Surplus Property Delivery form. The difference between the amount reported on the form and the Department's inventory records was \$97,684.

The Code (44 Ill. Admin. Code 5010.310(d)) establishes the rules for proper recording of transfers, including purchase price information that is required to be furnished to the Property Control Division. Department personnel stated oversight was the cause for the error noted.

- The Fiscal Year 2016 and Fiscal Year 2015 Annual Certification of Inventory could be inaccurate based upon failure to perform reconciliations of the Department's property records. The Fiscal Year 2016 Annual Certification of Inventory reported 523 missing items totaling \$998,411 or 0.58% of the total inventoried items. The Fiscal Year 2015 Annual Certification of Inventory reported 584 missing items totaling \$1,224,107 or 0.67% of the total inventoried items.
- Sixteen of 60 (27%) equipment items, totaling \$33,760, were reported on both Fiscal Year 2016 and Fiscal Year 2015 Annual Certification of Inventory as being unable to be located. The items were not removed from the Department's property records.

The Code (44 Ill. Admin. Code 5010.460) states each State Agency shall maintain control over all property under the jurisdiction of the agency, regardless of acquisition cost, including property not required to be carried on the inventory and reported to Central Management Services, sufficient to meet the requirements of the agency's internal auditor. Department personnel stated inaccuracies in the Annual Certifications of Inventory were caused by reconciliations not being performed and not removing items from property records, which were both due to a lack of resources.

- Seventeen of 30 (57%) Accounting for Leases-lessee Forms (SCO-560) tested, totaling \$93,726, included maintenance cost in the rent per period input on the SCO-560 form.
- One of 30 (3%) SCO-560 forms tested was not properly completed. The SCO-560 form did not have the fair value at inception input completed.

SAMS (Procedure 27.20.60) states the goal of the SCO-560 is to provide information on leases to determine if the lease is an operating lease or a capital lease, and to assist in the financial reporting of leases per Financial Accounting Standards Board, Statement No. 13. The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires obligations and costs to be in compliance with applicable laws, and that expenditures and resources be properly recorded and accounted for to permit the preparation of accounts and reliable financial and statistical reports and to maintain accountability over the State's resources. Department personnel stated oversight was the cause of the improperly completed SCO-560 forms.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2016**

CURRENT FINDINGS (STATE COMPLIANCE)

2016-001 **FINDING** (Inadequate control over property and equipment) (Continued)

- One of 60 (2%) vouchers, totaling \$147,131, was not recorded on the property records net of order discount. The total discount was applied to only two of the four items on the voucher.
- Two of 60 (3%) vouchers, totaling \$152,218, did not accurately include shipping cost on the property records. One voucher allocated all of the shipping cost to only two of the four items on the voucher. The other voucher had a total of \$275 in shipping cost that was not recorded on the property records.

The Code (44 Ill. Admin. Code 5010.240) states Agencies must report the purchases price of equipment inventoried. The purchase price is the price of the equipment delivered and installed, including delivery and installation cost, if any. Department personnel stated the property was not properly recorded due to clerical error.

- One of 60 (2%) equipment items was unable to be located within the Department. The item was a computer monitor which cost \$165.
- Four of 60 (7%) equipment items located within the Department were not found on the Department's property records. Items included two computers, a projector screen, and a router. Since the items were not recorded in the Department's records, their values could not be determined.
- Two of 60 (3%) equipment items were found in a different location than indicated on the Department's property records.

SAMS (Procedure 29.10.10) requires an agency to maintain current property information at a summary level which includes the physical location of the asset. Department personnel stated oversight was the cause for the errors noted. Department personnel determined this to be the cause in the prior engagement as well.

- The Department's property control manual does not reference the services that the Public Safety Shared Services Center (PSSSC) performs for the Department. The manual was last updated on September 1, 2000.

The State Records Act (5 ILCS 160/8) requires the Department make and preserve records containing adequate and proper documentation of the organization, functions, policies, decisions, procedures, and essential transactions of the Department. In addition, the Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires State agencies to establish and maintain a system, or systems, of internal fiscal and administrative controls to provide assurance that resources are utilized efficiently, effectively, and in compliance with applicable laws. Department personnel stated the manual had not been updated due to a lack of resources available to complete the task.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2016**

CURRENT FINDINGS (STATE COMPLIANCE)

2016-001 **FINDING** (Inadequate control over property and equipment) (Continued)

Failure to implement and maintain proper internal controls over property and equipment represents noncompliance with SAMS and the Code and could expose the Department to a breach of confidential information. Additionally, failure to maintain accurate records and to submit proper reports increases the potential for theft or misappropriation of assets, reduces the reliability of Statewide information and could lead to inefficient or ineffective procedures.

In addition, during the previous engagement, the Department did not maintain documentation to support the date items were deleted or received. Therefore, auditors were unable to determine if items were timely removed or added to property records. During the current examination, the Department provided sufficient documentation to support the date items were deleted and added to property records. (Finding Code No. 2016-001, 2014-001, 12-1, 10-1, 08-1, 06-1, 04-1, 02-1)

RECOMMENDATION

We recommend the Department develop procedures to immediately assess if a computer may have contained confidential information whenever it is reported lost, stolen, or missing during the annual physical inventory, and document the results of the assessment. We also recommend the Department ensure all equipment is accurately and timely recorded or removed from the Department's property records and ensure accurate reports are submitted to the Comptroller. Additionally, we recommend the Department update its property control manual and continue to strengthen controls over the recording and reporting of its State property and equipment by reviewing their inventory and recordkeeping practices to ensure compliance with statutory and regulatory requirements.

DEPARTMENT RESPONSE

The Department concurs. The PSSSC will continue to work to process property transactions within the allowable timeframes and ensure accurate information is entered into the system. The Department will need to ensure that all requested documentation is provided to Property Control in a timely manner so new items may be added to the system. The Department will work with the PSSSC to update procedures related to property control and disseminate those procedures to the field. The Department continues to struggle with the effects of the central property control unit being located outside of the agency within the PSSSC therefore delaying processing of paperwork as well as removing property control subject matter experts from the agency.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2016**

CURRENT FINDINGS (STATE COMPLIANCE)

2016-002 **FINDING** (Inadequate controls over accounts receivable)

The Illinois Department of State Police (Department) did not properly maintain accounts receivable records for the Road Fund and failed to accurately report accounts receivable on the Quarterly Summary of Accounts Receivable Reports (Reports) to the Office of the State Comptroller.

During testing, we noted accounts receivable records for the Road Fund, Fund 011, (Fund) were incomplete. The Department did not post all new billings or payments received against the receivable balances which resulted in the accounts receivable listing being inaccurate. During the period under examination, the Department collected \$9,251,269 for the Fund which exceeded the recorded billings of \$6,463,595 and the July 1, 2014 accounts receivable balance of \$2,342,000 by \$445,674. The Department's estimate of accounts receivable for the Fund of \$1,020,000 at June 30, 2015 and \$0 at June 30, 2016 are deemed unreliable. Additionally, the Department submitted Reports for the Fund which did not agree to the support provided by the Department, whereas, we noted differences in accounts receivable amounts (i.e. payments, adjustments, beginning, and ending balances).

The Statewide Accounting Management System (SAMS) (Procedure 26.20.10) states accounts receivable should be recognized when the State's claim for future cash is reasonably estimable and the amount due becomes measurable. SAMS also states detailed information should be maintained to facilitate the recognition and tracking of accounts receivable, including amounts due from individuals and organizations for the purchase of licenses and the payment of various statutorily or agency assessed fees.

Department personnel stated the incomplete records and inaccurate Reports were due to payments not being entered into the system since 2008, when a staff position became vacant and was no longer funded. Department personnel determined this to be the cause in the prior engagement as well.

Failure to maintain accurate accounts receivable records and accurately report accounts receivable balances could lead to the failure to properly collect amounts owed to the State and inaccuracies in Statewide financial statement reporting. (Finding Code No. 2016-002, 2014-002, 12-3, 10-8)

RECOMMENDATION

We recommend the Department keep accurate and detailed records of all billings and the corresponding collections to facilitate proper reporting of accounts receivable activity. We also recommend the Department strengthen procedures and allocate necessary resources to properly post payments.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2016**

CURRENT FINDINGS (STATE COMPLIANCE)

2016-002 **FINDING** (Inadequate controls over accounts receivable) (Continued)

DEPARTMENT RESPONSE

The Department concurs. Accounts receivable reporting is a function of the Public Safety Shared Services Center (PSSSC). The Department will work with the PSSSC to develop a plan to address the ongoing issues. Together we will continue to work to ensure accurate and timely reporting of accounts receivable.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2016**

CURRENT FINDINGS (STATE COMPLIANCE)

2016-003 **FINDING** (Delinquent accounts not pursued)

The Illinois Department of State Police (Department) did not aggressively pursue the collection of accounts receivable and did not properly refer delinquent accounts receivable to the Office of the State Comptroller's (Comptroller) Offset System.

The Department is owed money from various individuals and companies for items such as drug fines, over-dimensional load police escorts, property vehicles, forfeited items and other miscellaneous items. We tested seven receivable accounts with a balance of \$151,951. We noted all seven accounts had balances greater than \$250. The last activity dates (i.e. last time the Department attempted to collect) for these seven accounts ranged from February 22, 2008 through October 31, 2012.

Total accounts receivable balances over one year old per the Department's records were approximately \$500,000 at June 30, 2016 and 2015.

The Illinois State Collection Act of 1986 (30 ILCS 210/3) requires agencies to aggressively pursue the collection of accounts receivable through all reasonable means. The Statewide Accounting Management System (SAMS) (Procedure 26.20.10) states accounts receivable should be recognized when the State's claim for future cash is reasonably estimable and the amount due becomes measurable. In addition, SAMS (Procedure 26.40.30) requires agencies place all debts over \$250 and more than 90 days past due in the Comptroller's Offset System unless certain conditions are met.

Department personnel stated the collection attempts have not been accomplished due to shortage of staff. The position responsible for collection attempts has been vacant since 2008 and is no longer funded. Department personnel determined this to be the cause in the prior engagement as well.

Failure to maintain accurate accounts receivable records and accurately report accounts receivable balances could lead to the failure to properly collect amounts owed to the State and inaccuracies in Statewide financial statement reporting. In addition, failure to aggressively pursue the collection of accounts receivable through all reasonable means is noncompliance of the Illinois State Collection Act of 1986 and failure to refer all eligible delinquent accounts to the Comptroller's Offset System is noncompliance with SAMS. (Finding Code No. 2016-003, 2014-003, 12-6)

RECOMMENDATION

We recommend the Department strengthen procedures and allocate necessary resources to properly report and fully pursue collections on delinquent accounts receivable. We further recommend all eligible delinquent accounts be referred to the Comptroller's Offset System.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2016**

CURRENT FINDINGS (STATE COMPLIANCE)

2016-003 **FINDING** (Delinquent accounts not pursued) (Continued)

DEPARTMENT RESPONSE

The Department concurs. The position responsible for this function has not been filled by the Public Safety Shared Services Center (PSSSC) for many years. The current staff attempt to work on collections as often as possible, but the resources are not available to pursue this effort fully. The Department will work with the PSSSC to establish a plan to address this ongoing issue.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2016**

CURRENT FINDINGS (STATE COMPLIANCE)

2016-004 **FINDING** (Lack of project management)

The Illinois Department of State Police (Department) lacked a project management framework, tools, and a system development methodology to ensure controls over Information Technology (IT) projects.

Since 2010, the auditors have noted the Department had not implemented a project management framework or tools to ensure the State's and Department's project goals and objectives were met. In addition, the Department had not developed a system development methodology to ensure development projects were properly controlled and met the project's objectives.

During the examination period, the Department was responsible for the development of 14 applications. However, the Department did not utilize a project management framework or system development methodology, which would document planning, documentation, testing, and implementation requirements.

Department management stated the weakness was due to insufficient resources to create a project management office to coordinate projects Department wide.

Generally accepted information technology guidance endorses the implementation of a process to ensure computer system development activities meet management's objectives. A defined process increases the likelihood of effective and efficient use of resources resulting in computer systems that meet expectations.

The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) states all agencies are to establish and maintain a system of internal fiscal and administrative controls to provide assurance that resources are utilized efficiently, effectively, and in compliance with applicable law and obligations and costs are in compliance with applicable laws.

The lack of a defined project management standard and system development methodology increases the likelihood of ineffective and inefficient use of resources resulting in IT systems failure to meet objectives and goals and require additional costs. (Finding Code 2016-004, 2014-004, 12-7, 10-2)

RECOMMENDATION

We recommend the Department develop and implement a project management framework and tools and a system development methodology to control and provide oversight of IT projects.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2016**

CURRENT FINDINGS (STATE COMPLIANCE)

2016-004 **FINDING** (Lack of project management) (Continued)

DEPARTMENT RESPONSE

The Department concurs. The Department has a repeatable process for project management it has been using for the last year on development of the TraCS system. The intent was for this repeatable process to become the basis of a formalized process. The new Department of Innovation and Technology (DoIT) is responsible for defining project management across the enterprise, and as the Department moves forward on IT projects in the future, DoIT's project management templates will be utilized to ensure appropriate project management on all projects.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2016**

CURRENT FINDINGS (STATE COMPLIANCE)

2016-005 **FINDING** (Failure to maintain security controls over computer systems)

The Illinois Department of State Police (Department) did not maintain adequate security controls over computer systems to safeguard confidential information.

As a result of the Department's mission to "promote public safety to improve the quality of life in Illinois", the Department collected and maintained a significant amount of confidential and personal information.

During testing, we noted the Department:

- Did not have a mechanism in place to ensure electronically transmitted information was secured or encrypted, other than LEADS information.
- Had not deployed encryption software on all laptops and data at rest.
- Had not ensured surplus equipment was secured and tracked prior to disposal. Additionally, the Department had not ensured leased equipment was properly wiped prior to returning it to the vendor.
- Had not ensured servers, switches and firewalls were running on supported hardware, current operating systems, and current antivirus software.
- Had not effectively implemented available security controls; password content and change interval settings did not conform to policy requirements, a powerful default administrator account had not been disabled, and individuals' network access was not timely deactivated.

Department personnel stated the lack of security controls was due to insufficient funds to update the infrastructure and controls to adequately monitor and protect the Department's assets.

Generally accepted information technology guidance endorses the development of well-designed and well-managed controls to protect computer systems and data.

The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires all State agencies to establish and maintain a system, or systems, of internal fiscal and administrative controls to provide assurance funds, property, and other assets and resources are safeguarded against waste, loss, unauthorized use and misappropriation and maintain accountability over the State's resources.

Failure to establish and maintain adequate computer security controls and procedures to handle and protect confidential and personally identifiable information could result in identity theft or other unintended use. (Finding Code 2016-005, 2014-005, 12-8, 10-3)

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2016**

CURRENT FINDINGS (STATE COMPLIANCE)

2016-006 **FINDING** (Weaknesses in change management of computer systems)

The Illinois Department of State Police's (Department) change management policies and procedures did not ensure all changes were initiated, planned, developed, tested, and implemented in a controlled environment. In addition, programmers developing and making changes to computer systems had access to the production environment and the capability to implement changes.

The Department had established computer systems in order to meet its mission and mandate. The Department processed and maintained critical, confidential and sensitive information on its computer systems.

To establish requirements addressing changes to information technology resources utilized by the Department's various bureaus, the Department established a Change Management Procedure, along with a Change Request Form and Instructions. However, the procedures did not provide sufficient guidance to ensure changes were properly controlled and documented.

During the audit, we reviewed 25 changes, noting:

- Seven of the 25 changes were moved to the production environment by the developer. In addition, the documentation supporting 13 of the 18 remaining change requests did not provide sufficient detail to determine if duties were properly segregated.
- Documentation detailing testing performed and associated approvals was not available.
- Documentation indicating changes were approved before being moved to production was not available.

Additionally, the Procedure required the Department's Office of Inspections and Audit to perform semi-annual reviews of the change management practices and provide management any findings and recommendations resulting from the review; however, the Department indicated such reviews were not performed during the audit period.

The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires all State agencies to establish and maintain a system, or systems, of internal fiscal and administrative controls. In addition, generally accepted information technology guidance endorses the development and endorses the implementation of suitable change management procedures to control changes to computer systems. These procedures include restricting programmers/analysts from making a change and moving it into the production to ensure all changes have been independently authorized and moved to production.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2016**

CURRENT FINDINGS (STATE COMPLIANCE)

2016-007 **FINDING** (Noncompliance with specific statutory mandates)

The Illinois Department of State Police (Department) was not in compliance with specific statutory mandates during the examination period. During testing, we noted the following:

- The Department did not keep a record of all vehicles that were reported stolen and report such information directly to the Secretary of State in accordance with the Illinois Vehicle Code.
- The Department did not provide training to local law enforcement agencies in Fiscal Year 2016 pertaining to portions of the Electronic Criminal Surveillance Officer (ECSO) III Certification program in accordance with the Civil Administrative Code of Illinois.
- The Department did not comply with various reporting and training requirements of the following newly enacted legislative mandates:
 - Uniform Crime Reporting Act (50 ILCS 709/5-10 through 709/5-30)
 - Alcoholism and Other Drug Abuse and Dependency Act (20 ILCS 301/5-23(e)(1))
 - Civil Administrative Code of Illinois (20 ILCS 2605/2605-97)
 - Civil Administrative Code of Illinois (20 ILCS 2605/2605-390)

The Illinois Vehicle Code (625 ILCS 5/4-107(d)-(e)) requires the Department to keep a complete record of all reports of stolen vehicles and to furnish such reports to the Illinois Secretary of State. The Civil Administrative Code of Illinois (Code) (20 ILCS 2605/2605-435) requires the Department to promulgate rules pertaining to the certification, revocation of certification, and training of law enforcement officers as electronic criminal surveillance officers. In addition, the Code requires the Department to provide training and technical assistance to local law enforcement agencies pertaining to the interception of private oral communications. The Uniform Crime Reporting Act (50 ILCS 709/5-12(1)) requires the Department to submit on a quarterly basis, all information collected from law enforcement agencies regarding arrest-related deaths, to the Illinois Criminal Justice Information Authority (ICJIA). The Alcoholism and Other Drug Abuse and Dependency Act (20 ILCS 301/5-23(e)(1)) requires every State agency that employs law enforcement officers to possess opioid antagonists and must establish policy to control acquisition, storage, transportation, and administration of opioid antagonists and provide training in administration of the drug. In addition, the Code (20 ILCS 2605/2605-97) requires the Department to conduct or approve a training program for State Police officers in administration of opioid antagonists. The Code (20 ILCS 2605/2605-390) requires the Department to provide training for State Police officers in identifying, responding to, and reporting all hate crimes.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2016**

CURRENT FINDINGS (STATE COMPLIANCE)

2016-007 **FINDING** (Noncompliance with specific statutory mandates) (Continued)

Department personnel stated due to the Fiscal Year 2016 budget impasse, the Auto Theft Task Force was shut down, which resulted in an inadequate number of personnel to keep track of the stolen vehicle reports. Due to the lack of personnel, reports of vehicle theft or conversions were also not furnished to the Illinois Secretary of State. The Department also stated that due to the Fiscal Year 2016 budget impasse, they were not able to procure a certified locksmith to teach portions of the Electronic Criminal Surveillance Officer (ECSO) III training. No ECSO III certification or recertification courses were offered during Fiscal Year 2016. Department personnel stated the lack of Fiscal Year 2016 funding prevented the posting and filling of vacant positions in the Illinois Uniform Crime Reporting Program, which resulted in insufficient staff to satisfy the new reporting requirements. The Department also stated the limited funding received during Fiscal Year 2016 prevented the purchase of opioid antagonists and limited the amount of training provided to Officers. In addition, the Department stated funds have not been identified in order to develop training for its officers in identifying, responding to, and reporting all hate crimes.

Failure to track reports of stolen vehicles and furnish notice to the Illinois Secretary of State results in noncompliance of the Illinois Vehicle Code. In addition, failure to submit reports could result in failure to quantify the achievements of the Department. Additionally, noncompliance with training in accordance with current statutory mandates could put the individuals at risk of injury or lead to legal proceedings. (Finding Code No. 2016-007)

RECOMMENDATION

We recommend the Department comply with specific statutory mandates and explore options to utilize the Department's financial resources to fund existing and new mandated responsibilities.

DEPARTMENT RESPONSE

The Department concurs.

The Department believes a legal opinion on the current Department mechanism of reporting stolen vehicles to the Secretary of State would be helpful. Law enforcement agencies use Law Enforcement Agency Data Systems as their primary mechanism to report stolen motor vehicles. Furthermore, a clarification in statute to reflect the new technology of reporting would be helpful and would accurately reflect the current practice. The Department will work towards clarifying these practices in the legislation.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2016**

CURRENT FINDINGS (STATE COMPLIANCE)

2016-007 **FINDING** (Noncompliance with specific statutory mandates) (Continued)

ECISO III training requires the procurement of a certified locksmith vendor to teach officers who are authorized by the courts to unobtrusively enter into vehicles, structures, or property of another for the purpose of installing, maintaining, or removing electronic criminal surveillance devices. Due to the State budget impasse during Fiscal Year 2016, the Department was unable to procure the required locksmith vendor. The Department will continue to provide ECISO III training as funding becomes available.

The Department could not purchase opioid antagonists for all Department Officers. The Department was able to purchase 1,290 doses and provided 2 doses for 645 Department officers (field troopers and sergeants only) from Fiscal Year 2015 funds in order to support the bill. These officers were trained in the administration of the drug. The Department has purchased additional doses for field officers to replace expiring doses but has been unable to purchase the drug for officers who are not assigned to the field. However, all officers are receiving training in the administration of the drug during annual first responder training beginning in Fiscal Year 2017. Additionally, changes were drafted to OPS-040, Emergency Medical Services/First Responder/Basic Life Support for Healthcare Providers, which is the Department directive that will establish procedures to control the acquisition, storage, transportation, and administration of opioid antagonists and provide for training in the administration of such opioid antagonists. The recommended policy changes are in full staffing during Fiscal Year 2017.

The Department's Academy has prepared a shift briefing on identifying, responding to and reporting of all hate crimes. This document has been approved and was delivered to all officers throughout the Department in January 2017.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2016**

CURRENT FINDINGS (STATE COMPLIANCE)

2016-008 **FINDING** (Voucher processing weakness)

The Illinois Department of State Police (Department) did not exercise adequate controls over voucher processing. During testing, we noted the following:

- Forty-seven of 238 (20%) vouchers tested for Fiscal Year 2015, totaling \$5,800,978, were approved for payment from 1 to 254 days late.
- Fifty-one of 215 (24%) vouchers tested for Fiscal Year 2016 for which the Department had expenditure authority, totaling \$2,246,074, were approved for payment from 4 to 277 days late.

The Illinois Administrative Code (Code) (74 Ill. Admin. Code 900.70) requires an agency to review bills and either deny bills with defects in whole or in part, ask for more information necessary to review the bill, or approve proper bills in whole or in part, within 30 days after physical receipt of the bill.

- Eleven of 196 (6%) vouchers tested for Fiscal Year 2015, totaling \$415,161, accrued required interest charges of \$2,933 which were not paid by the Department.
- Eighteen of 177 (10%) vouchers tested for Fiscal Year 2016 for which the Department had expenditure authority, totaling \$1,254,358, accrued required interest charges of \$16,467 which were not paid by the Department.

The State Prompt Payment Act (Act) (30 ILCS 540/3-2) requires State agencies to determine whether interest is due and automatically pay interest penalties to the appropriate vendor when payment is not issued within 90 days.

- Four of 473 (1%) vouchers tested, totaling \$136,195, were not coded to the correct Statewide Accounting Management System (SAMS) object code.

SAMS (Procedure 17.20.20) requires each State agency enter the correct SAMS detail object code for which the expenditure should be expensed.

Department personnel stated late approvals were due to lack of sufficient staffing in the Administration division. Department personnel determined this to be the cause during the prior engagement as well. In addition, the Department received limited appropriations prior to the passing of the State budget at the end of Fiscal Year 2016. This forced the Department to prioritize the order in which vouchers would be processed, many of which were beyond 30 days. Department personnel stated the Fiscal Year 2015 prompt payments not made were due to oversight and federal grants not allowing for the payment of interest. Department

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2016**

CURRENT FINDINGS (STATE COMPLIANCE)

2016-008 **FINDING** (Voucher processing weakness) (Continued)

personnel stated they are unable to pay Fiscal Year 2016 prompt pay interest until a full appropriation is received and it is uncertain when they will have funding for this. Department personnel also stated the incorrect SAMS detail object codes were due to clerical error.

Failure to promptly approve vouchers may result in late payments of bills and cause the State to pay interest penalties. Failure to pay interest charges is noncompliance with the Act. Inaccurate detail object codes reduce the overall control over expenditures and could lead to inaccurate State reporting. (Finding Code No. 2016-008, 2014-009, 12-14, 10-5, 08-07, 06-07, 04-10)

RECOMMENDATION

We recommend the Department comply with the Code and the Act to ensure vouchers are approved within the required time frame and the required interest is paid. In addition, we recommend the Department process vouchers in accordance with SAMS to ensure accurate reporting.

DEPARTMENT RESPONSE

The Department concurs. Voucher processing begins within the Department and is finalized at the Public Safety Shared Services Center (PSSSC). The PSSSC processed vouchers as quickly as possible given the available staffing resources. The Department will also need to ensure that cost center staff are submitting payments to PSSSC in a timely manner. The Fiscal Year 2016 budget impasse also impacted the ability to process payments in a timely manner. The Department will work towards the processing of required prompt payment penalties as resources are available.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2016**

CURRENT FINDINGS (STATE COMPLIANCE)

2016-009 **FINDING** (Inadequate controls over monthly reconciliations)

The Illinois Department of State Police (Department) did not have adequate controls over monthly appropriation, cash receipt, and cash balance reconciliations.

During testing of Fiscal Year 2016 and 2015 reconciliations between the Office of the State Comptroller (Comptroller) records and the Department's records, we noted the following:

- Thirty-two of 32 (100%) Monthly Appropriation Status Reports (SB01) and Cash Reports (SB05) did not include documentation of the dates reconciliations were performed, as well as who prepared or reviewed the reconciliations. Accordingly, it could not be determined if the SB01 and SB05 reconciliations were performed or reviewed timely or by the appropriate individuals.
- Monthly Revenue Status Report (SB04) reconciliations for the periods of January through June of 2016 were not performed timely for Fund 612. On January 1, 2016, Fund 612 was transferred from the Illinois Commerce Commission to the Department. The monthly reconciliations for the Fund were not performed by the Department until October 3, 2016.
- Two of 32 (6%) monthly reconciliations of the SB01 disclosed the Department did not timely record items on the Department's records. As a result, the Department required additional time to correct and reconcile the records after the Comptroller had completed processing items. Following the close of the lapse period, the Fiscal Year 2015 (November 30, 2015) and Fiscal Year 2016 (September 30, 2016) SB01 reconciliations showed expenditures of \$7,020 and \$5,047, respectively, recorded on the Comptroller records but not yet recorded on the Department's records.
- The June 30, 2016 SB05 monthly reconciliation for Fund 612 showed seventeen outstanding items, totaling \$10,520,969, were not entered timely in the Department's records.

The Department expended \$349,870,190 and \$337,607,758 and received \$111,210,815 and \$65,263,608 in Fiscal Year 2016 and 2015, respectively.

The Statewide Accounting Management System (SAMS) (Procedure 11.40.20) requires the SB01 issued by the Comptroller to be reconciled to the agency's expenditure records on a timely basis each month to ensure the early detection and correction of errors. In addition, SAMS (Procedure 25.40.20) requires the Agency to perform a monthly reconciliation of receipt account balances and notify the Comptroller of any irreconcilable differences. Lastly, the Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires all State agencies to establish and maintain a system, or systems, of internal fiscal and administrative controls.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2016**

CURRENT FINDINGS (STATE COMPLIANCE)

2016-009 **FINDING** (Inadequate controls over monthly reconciliations) (Continued)

Good internal controls require sufficient and properly designed accounting records be maintained to adequately control fiscal operations and provide reliable data for necessary management reports.

Department personnel stated SAMS does not specify that the preparer and reviewer needs to document who and when the work is performed. Department personnel also stated the information to perform the SB04 reconciliations was not routed to the appropriate personnel timely. Additionally, Department personnel stated the transfer of Fund 612 required the Department to implement policies and procedures that took time to implement.

Failure to document who and when reconciliations are prepared and reviewed leads to an incomplete audit trail and limits the Agency's ability to support their compliance. In addition, failure to timely reconcile monthly appropriation, cash receipt, and cash balance could lead to unresolved differences between the Agency and Comptroller records, inaccurate financial reporting, and undetected loss or theft. (Finding Code No. 2016-009)

RECOMMENDATION

We recommend the Department implement controls to ensure that appropriation, cash receipt, and cash balance reconciliations are all performed and reviewed in a timely manner, in accordance with SAMS. In addition, we further recommend the Department maintain adequate documentation to support the timely completion and review of the reconciliations.

DEPARTMENT RESPONSE

The Department concurs. The Department will document the personnel who complete reconciliations and the date they are completed. The Department has also put in place the necessary policies and procedures for recording activity in the 612 fund. The Department will also take steps to ensure all activity for all funds is recorded in a timely manner.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2016**

CURRENT FINDINGS (STATE COMPLIANCE)

2016-010 **FINDING** (Failure to timely process cash receipts)

The Illinois Department of State Police (Department) did not pay into the State treasury the gross amount of money received and did not record the receipts to Department records on a timely basis as required by State law.

During our testing, we noted \$2,377,350 of concealed carry receipts received during the period January through June 2014, were not deposited until July 2014. Further, the receipts were not recorded into Department records until the receipts were deposited and the receipts were then backdated in Department records to Fiscal Year 2014 into the following funds respectively: Fund 148 – Mental Health Reporting \$316,215, Fund 152 – State Crime Laboratory \$159,255, and Fund 209 – State Police Firearm Services \$1,901,880.

The State Officers and Employees Money Disposition Act (Act) (30 ILCS 230/2(a)) requires State agencies to “pay into the State treasury the gross amount of money so received on the day of actual physical receipt with respect to any single item of receipt exceeding \$10,000, within 24 hours of actual physical receipt with respect to an accumulation of receipts exceeding \$10,000 or more, or within 48 hours of actual physical receipt with respect to an accumulation of receipts exceeding \$500 but less than \$10,000...”. The Firearm Concealed Carry Act (430 ILCS 66/60) requires the Department to deposit concealed carry license fees as prescribed to the Mental Health Reporting Fund, the State Crime Laboratory Fund, and the State Police Firearm Services Fund. The Fiscal Control and Internal Auditing Act (FCIAA) (30 ILCS 10/3001) requires each State agency to establish and maintain a system, or systems, of internal fiscal and administrative controls, which shall provide assurance revenues, expenditures, and transfers of assets, resources, or funds applicable to operations are properly recorded and accounted for to permit the preparation of accounts and reliable financial and statistical reports and to maintain accountability over the State’s resources.

Department personnel stated the receipts initially could not be deposited due to not knowing the breakdown of how much to deposit in each of the three funds involved.

Failure to process, deposit, and properly record receipts in a timely manner results in noncompliance with the Act and FCIAA. (Finding Code No. 2016-010)

RECOMMENDATION

We recommend the Department implement controls to ensure cash receipts are deposited and processed in a timely manner.

DEPARTMENT RESPONSE

The Department concurs. The Department has established procedures for the proper handling of receipts related to concealed carry. The Department will monitor to ensure those procedures continue to be followed.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2016**

CURRENT FINDINGS (STATE COMPLIANCE)

2016-011 **FINDING** (Failure to follow policies and procedures over asset seizures and forfeitures)

The Illinois Department of State Police (Department) failed to comply with its policies and procedures over asset seizures and forfeitures.

During our testing of asset seizures and forfeitures cases at five district locations, we noted the following:

- One of 15 (7%) asset seizure cases did not contain documentation that Illinois State Police Notice/Inventory of Seized Property (Form 4-64) was distributed to the Department's Assets Forfeiture Section of the Fiscal Management Bureau. The seized property was a vehicle and trailer with a total gross value of \$50,000.
- Three of six (50%) vehicle seizure cases did not contain documentation of Form 4-64 being sent to the Illinois Secretary of State immediately following the seizure. The vehicles, including other personal items, had a total gross value of \$126,739.
- One of six (17%) vehicle seizure cases was not distributed to the Illinois Secretary of State in a timely manner. Form 4-64 was sent 14 days after seizure. The vehicle had a total gross value of \$5,000.
- Three of 10 (30%) currency seizures, totaling \$4,809, were not timely deposited into the Department's Seized Funds Account, ranging from 88 to 118 days late.
- Two of 14 (14%) seized assets, which were forfeited, did not contain documentation of approval from the States Attorney on the Department's required Form 4-64. The seized assets included two vehicles, a trailer, and currency, with asset gross value of \$69,512.

During our testing of 10 forfeited assets sold by the Department, we noted the following:

- Two of 10 (20%) forfeited seized property items tested, which were sold by the Department, did not include approval from the States Attorney on the Department's required Form 4-64. The two items sold were vehicles with a total gross value of \$1,500.

The Department's Operating Procedure Directive OPS-055, State Asset Seizure and Forfeiture (Section V.B.1.e) requires the Department's District/Zone Commanders or their designee to complete and distribute the applicable Form 4-64 within 35 calendar days of the date of signature by the State's Attorney to the Department's Assets Forfeiture Section. In addition, Section V.B.h.3 requires currency seized by the Department units that is not required to be held as evidence, to be deposited into the Department's Seized Funds Account at a financial institution approved by the Department's Chief Fiscal Officer within 10 business days of seizure. Furthermore, Section V.B.a.2 requires the Department's

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2016**

CURRENT FINDINGS (STATE COMPLIANCE)

2016-011 **FINDING** (Failure to follow policies and procedures over asset seizures and forfeitures) (Continued)

Assets Forfeiture Section to be notified, in writing, of forfeitures/final dispositions of all assets seized under the Act or Articles, which should include one of the following; a Declaration of Forfeiture (issued by the State's Attorney), a Court Order, a Property Seizure Notice form signed as declined by the State's Attorney, written documentation from the State's Attorney authorizing release of the asset, or an Order of Disposition issued by the court or State's Attorney having jurisdiction over the items seized. Lastly, the Drug Asset Forfeiture Procedure Act (Act) (725 ILCS 150) requires any law enforcement agency seizing property to immediately notify the Secretary of State following the seizure if the seized property is a vehicle.

Department personnel stated the missing documentation and untimely distributed forms were likely due to clerical error or oversight. Department personnel also stated the untimely seized cash deposits were all deposited by a District in which there is no local branch of the bank used by the Department, therefore the District does not make the deposit until they are sure the cash will not need to be returned.

Failure to properly complete and timely distribute applicable Seized Property Forms in accordance with Illinois State Police Directive OPS-055 results in noncompliance with Department Policy and the Act. Failure to timely deposit cash results in noncompliance with Department Policy and increases the risk of theft or misappropriation. (Finding Code No. 2016-011)

RECOMMENDATION

We recommend the Department follow its policies and procedures over asset seizures and forfeitures to ensure compliance with Department Directives and the Act.

DEPARTMENT RESPONSE

The Department concurs. Additional training for personnel handling seizures in the field is warranted. The Asset Forfeiture section (AFS) has recently revised the seizure and forfeiture checklist and sent a reminder to the Division of Operations (DOO). AFS will seek permission for a legislative proposal asking language be changed to reflect current practices. In the meantime a reminder will be sent to Districts and Zones.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2016**

CURRENT FINDINGS (STATE COMPLIANCE)

2016-012 **FINDING** (Inadequate controls over contracts)

The Illinois Department of State Police (Department) did not establish adequate internal controls over contracts. During testing, we found the following:

- One of 60 (2%) contracts, totaling \$3,618,909, was signed 79 days after the effective date. The contract required three signatures but two were signed 79 days after the effective date.
- Two of 60 (3%) contracts tested, totaling \$4,772,369, were not timely filed with the Office of the State Comptroller (Comptroller). These contracts were filed 85 and 229 days late.
- Four of 60 (7%) contracts tested, totaling \$517,546, included improper award codes as other governments and small purchases on the Contract Obligation Document (COD). All four of the contracts with improper award codes on the COD should have been coded as sole source.

The Department expended \$13,320,888 and \$10,171,064 for contractual services tested in Fiscal Year 2015 and Fiscal Year 2016, respectively.

Per the Statewide Accounting Management System (SAMS) (Procedure 15.10.40), for filing purposes, “execution” occurs when all required elements of an agreement have been written and formally approved (in writing) by all parties as required by law for filing with the Comptroller. No document may be filed with the Comptroller, nor may any authorization for payment pursuant to such documents be filed with the Comptroller, if the required signatures or approvals are lacking. The State Finance Act (Act) (30 ILCS 105/9.02(a)(1)) states any new contract or contract renewal in the amount of \$250,000 or more in a fiscal year shall be signed or approved in writing by the Chief Executive Officer of the agency, and shall also be signed or approved in writing by the agency’s Chief Legal Counsel and Chief Fiscal Officer. SAMS (Procedure 15.10.40) states Agencies shall file with the Comptroller a copy of a contract, purchase order, grant, lease, cancellation or modification thereto within 30 days of execution. SAMS (Procedure 15.20.10) states the award code identifies the method used to select the vendor associated with the contract/grant. Agencies under the jurisdiction of the Illinois Procurement Code (30 ILCS 500) that have new contracts/grants with beginning dates after June 30, 2007 must enter an award code.

Department personnel stated the contracts were not signed and filed timely due to administrative oversight. Additionally, Department personnel stated the award codes on the contract obligation documents have been carried forward each year and have never been rejected by the Comptroller.

Inadequate controls in processing contracts in accordance with the Act and SAMS could result in unauthorized services being incurred. (Finding Code No. 2016-012)

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2016**

CURRENT FINDINGS (STATE COMPLIANCE)

2016-012 **FINDING** (Inadequate controls over contracts) (Continued)

RECOMMENDATION

We recommend the Department implement controls to ensure contracts are properly signed and timely filed with the Comptroller in accordance with SAMS. In addition, we recommend the Department ensure proper award codes are used on the COD in accordance with SAMS.

DEPARTMENT RESPONSE

The Department concurs. The Department will remind staff all contracts must be signed prior to the effective date and ensure contracts are filed in a timely manner with the Comptroller. The Department continues to struggle with a lack of sufficient administrative support staff to ensure these tasks are completed timely. Additionally, the Department will work with the Public Safety Shared Services Center (PSSSC) to ensure all contracts are properly coded when filed with the Comptroller.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2016**

CURRENT FINDINGS (STATE COMPLIANCE)

2016-013 **FINDING** (Contingency planning weaknesses)

The Illinois Department of State Police (Department) had not conducted adequate planning or testing for the recovery of its computer systems.

The Department carries out its mission through the use of Information Technology. Computer systems that support the Department's mission include, among others: Criminal History System (CHRI), ICASE, ICLEAR, Firearms Owner Identification System (FOID), and Violent Crime and Gang Tracking System (VITAL).

The Department maintained the Illinois State Police, Information Services Bureau, Data Center Disaster Action Plan, which appeared to establish a suitable frame work for recovery of the Department's systems and data. However, the Plan had not been updated since July 2010 and did not depict the current environment.

The Department had not conducted disaster recovery testing since September 2014. Additionally, the Department did not maintain documentation supporting the testing goals, processes, and results of the testing in 2014.

Information technology guidance (including the National Institute of Standards and Technology and Government Accountability Office) endorse the formal development and testing of disaster recovery plans. Tests of disaster recovery plans (and the associated documentation of the test results) verify that the plan, procedures, and resources provide the capability to recover critical systems within the required timeframe.

Department personnel stated the weakness was due to insufficient resources and lack of travel funds to send personnel offsite to perform the testing.

Failure to adequately develop and test a disaster contingency plan leaves the Department exposed to the possibility of major disruptions of services. (Finding Code No. 2016-013)

RECOMMENDATION

We recommend the Department continuously review, update, and approve its disaster recovery plan to ensure it reflects the current environment and contains sufficient detail to support the Department's recovery efforts. Additionally, the Department should perform disaster recovery testing at least annually and maintain sufficient documentation supporting the goals, processes, and results.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2016**

CURRENT FINDINGS (STATE COMPLIANCE)

2016-013 **FINDING** (Contingency planning weaknesses) (Continued)

DEPARTMENT RESPONSE

The Department concurs. The Department recognizes the need to conduct adequate disaster recovery testing, and the Department provided documentation showing the last test was conducted in 2014. Additionally, previously conducted yearly testing was impacted by budget constraints the last two years.

The Department is currently working with the Department of Innovation and Technology's management to correct these deficiencies and change the dynamic of how disaster recovery is performed. The Law Enforcement Agency Data Systems modernization has a significant impact on disaster recovery, due to the fact that the recovery is specified in the contract with the vendor.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2016**

PRIOR FINDINGS NOT REPEATED

A. Finding: (Inadequate control over commodities inventory)

During the prior examination period, the Illinois Department of State Police (Department) did not maintain sufficient controls over the recording of State-owned commodities inventory.

During the current examination period, our sample testing did not disclose inaccuracies with commodities and related documentation. (Finding Code No. 2014-007)

B. Finding: (Noncompliance with State Officials and Employees Ethics Act)

During the prior examination period, the Illinois Department of State Police (Department) did not maintain time sheets in compliance with the State Officials and Employees Ethics Act.

During the current examination period, our sample testing indicated the Department updated their timekeeping system and policy to require State employees to submit timesheets in accordance with the State Officials and Employees Ethics Act. (Finding Code No. 2014-008, 12-11)

C. Finding: (Treasurers drafts not submitted timely)

During the prior examination period, the Illinois Department of State Police (Department) did not submit treasurer's drafts to the Office of the State Comptroller (Comptroller) in a timely manner.

During the current examination period, our sample testing indicated the Department timely submitted treasurer's drafts to the Comptroller. (Finding Code No. 2014-010)

D. Finding: (Incorrect GAAP Reporting)

During the prior examination period, the Illinois Department of State Police (Department) did not provide the auditors or the Office of the State Comptroller (Comptroller) with accurate Grant/Contract Analysis (SCO-563) Forms, Interfund Activity-Grantee Agency (SCO-567) Forms, and Interfund Activity-Grantor Agency (SCO 568) Forms. Specifically, the Department used the wrong Catalog of Federal Domestic Assistance (CFDA) number for a program.

During the current examination period, testing showed the Department prepared GAAP forms using the correct CFDA numbers. (Finding Code No. 2014-011)

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
OFFICE OF THE AUDITOR GENERAL PERFORMANCE
AUDIT RECOMMENDATIONS UPDATE
For the Two Years Ended June 30, 2016**

OFFICE OF THE AUDITOR GENERAL PERFORMANCE AUDIT RECOMMENDATIONS UPDATE

Division of Forensic Services

In March 2009, the Office of the Auditor General released a management and program audit of the Illinois State Police's Division of Forensic Services. The audit contained 16 recommendations. As part of the compliance examination of the Illinois Department of State Police (Department) for the period ended June 30, 2014, auditors followed-up on the status of the recommendations made in the March 2009 report and reported the following:

Recommendation 1	Partially Implemented
Recommendation 2	Implemented
Recommendation 3	Partially Implemented
Recommendation 4	Implemented
Recommendation 5	Partially Implemented
Recommendation 6	Implemented
Recommendation 7	Partially Implemented
Recommendation 8	Implemented
Recommendation 9	Implemented
Recommendation 10	Implemented
Recommendation 11	Implemented
Recommendation 12	Implemented
Recommendation 13	Implemented
Recommendation 14	Implemented
Recommendation 15	Implemented
Recommendation 16	Implemented

As part of the compliance examination of the Department for the period ending June 30, 2016, auditors followed-up on the status of the partially implemented recommendations reported in the June 30, 2014 report and noted the following.

Recommendation 1: The Illinois State Police should develop a comprehensive plan to address the environmental issues at its forensic labs.

Partially Implemented: The Department's Division of Forensic Services (DFS) continues to actively address all identified environmental issues at its forensic laboratories; this is accomplished either through planning via General Revenue budget requests or through remediation as urgent environmental issues are identified. The DFS personnel work closely with the Division of Administration, which is tasked with oversight of the Department's facilities. As noted previously, the DFS continues to plan for and address facility projects in accordance with established mechanisms within the State government system such as through annual budget requests and capital development requests. Issues are prioritized to ensure immediate attention is given to facility issues which may impact the safety and security of employees, equipment, and evidence. Given the fiscal restrictions of Fiscal Year 2016 and 2017 resulting from the budget impasse, no funds have been available to perform planned, non-urgent facility repairs and maintenance but emergency funds are identified as necessary to address urgent needs.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
OFFICE OF THE AUDITOR GENERAL PERFORMANCE
AUDIT RECOMMENDATIONS UPDATE
For the Two Years Ended June 30, 2016**

OFFICE OF THE AUDITOR GENERAL PERFORMANCE AUDIT RECOMMENDATIONS UPDATE
(CONTINUED)

Recommendation 3: The Illinois State Police should ensure that resources provided by the General Assembly are fully utilized for the mission of the Division of DFS, including the reduction of case backlogs, rather than allowing this funding to transfer or lapse. The Illinois State Police should take the steps necessary to determine the funding level needed to operate its lab system.

Partially Implemented: The Department's Budget Office continually strives to make the best use of funds it is appropriated, within the guidelines received by the Governor's Office and limitations of the procurement process. Given the fiscal restrictions for Fiscal Year 2016 and 2017, caused by the budget impasse, DFS has addressed unique challenges in keeping the laboratory system operational, which could not have been included in any long-term planning process. The DFS continues to plan for and address funding needs in accordance with established mechanisms within the State government system such as through annual budget requests, appropriation legislation, and federal grant solicitations.

Recommendation 5: The Illinois State Police should conduct a formal study of staffing levels to determine the appropriate staffing levels for the DFS.

Implemented: A formal comprehensive study of staffing levels was conducted in late March and early April 2016 as part of the preparation for the Fiscal Year 2017 budget process. This study noted current staffing in the eight major forensic disciplines, and included a timeline for hiring additional needed forensic scientists through early 2020. The main focus of the study was to identify appropriate staffing levels that would enable the DFS to reduce backlogs, taking into consideration current and anticipated technology, current and anticipated legislative mandates, and case submission trends. As noted previously, the DFS continues to plan for staffing needs in accordance with established mechanisms within the State government system such as through annual budget requests and other personnel processes (e.g., ePARs).

Recommendation 7: The Illinois State Police should develop a formal plan for reducing or eliminating backlogs of forensic services cases.

Implemented: In 2013, DFS had developed a long-term plan, outlined in State budget requests, federal grant applications, and other documents, to help reduce backlogs of forensic cases. The plan included the use of overtime, outsourcing Forensic Biology/DNA cases, implementing new efficiencies in procedures and technology, hiring/training additional scientists, establishing/filling new manager positions, and refilling a number of critical vacant manager and support positions. In late 2013, DFS received authorization to proceed with permanently filling the three new Program Manager positions. The Program Managers provide administrative oversight to the forensic disciplines of Forensic Biology/DNA, Patterned Evidence (i.e., Latent Prints, Firearms/Toolmarks, Footwear/Tiretrack), and Toxicology, respectively. These are the forensic disciplines with the highest backlogs and/or most complex analysis. Included in the responsibilities of each of these positions is the function of identifying feasible mechanisms for reducing backlogs and making improvements within the various disciplines (e.g., identifying and addressing obstacles to reducing backlogs, identifying and implementing efficiency measures, etc.).

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
OFFICE OF THE AUDITOR GENERAL PERFORMANCE
AUDIT RECOMMENDATIONS UPDATE
For the Two Years Ended June 30, 2016**

OFFICE OF THE AUDITOR GENERAL PERFORMANCE AUDIT RECOMMENDATIONS UPDATE
(CONTINUED)

Since 2013, each of the Program Managers have initiated actions within their respective areas which have increased analytical efficiency and/or productivity, which in turn has decreased backlogs in these sections.

Examples in the table below were provided by the Division of Forensic Services and are presented as not examined.

SECTION	CASE BACKLOG – FY13 (JUNE 30, 2013)	CASE BACKLOG – LATEST (AUGUST 31, 2016)	PERCENT CHANGE
DNA	4,112	2,137	- 48.0%
FIREARMS/TOOLMARKS	4,416	1,724	- 60.9%
LATENT PRINTS	4,285	2,010	- 53.0%
TOXICOLOGY	934	411	- 55.9%

In addition, a new outsourcing contract was established in early Fiscal Year 2016 and DFS is shipping approximately 400 sexual assault cases per quarter to the vendor laboratory for analysis; this has already, and will continue to further help, reduce the backlog of these cases. As part of the plan, DFS had hired 20 new forensic scientists in early 2015 and is in the process of hiring 18 additional forensic scientists (anticipated start in late 2016/early 2017). The current staffing plan has projected hiring into 2020. Additionally, in Fiscal Year 2016 alone, DFS received approval to proceed with refilling 11 key manager vacancies and more than 10 critical support positions; these are currently in progress. Overtime, through federal grant and fee funds, is being utilized in all laboratories. A number of procedural and policy changes have been implemented in various forensic disciplines to maximize efficiency in case receiving, analysis, and reporting results to user agencies. Additional steps are currently underway to utilize process mapping to further identify mechanisms to enhance forensic services, in conjunction with the development and implementation of a new Laboratory Management Information System (LIMS). The plan is to continue with all these listed mechanisms and explore additional tools to aid in this effort, while working in accordance with established mechanisms within the State government system such as through annual budget requests and other processes.

Firearm Owner’s Identification Card Act

In April 2012, the Office of the Auditor General released a management audit of the Department of State Police’s Administration of the Firearm Owner’s Identification Card Act. The audit contained 12 recommendations. As part of the compliance examination of the Department for the period ending June 30, 2014, auditors followed-up on the status of the recommendations made in the April 2012 report and reported the following:

- Recommendation 1 Partially Implemented
- Recommendation 2 Partially Implemented

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
OFFICE OF THE AUDITOR GENERAL PERFORMANCE
AUDIT RECOMMENDATIONS UPDATE
For the Two Years Ended June 30, 2016**

OFFICE OF THE AUDITOR GENERAL PERFORMANCE AUDIT RECOMMENDATIONS UPDATE
(CONTINUED)

Recommendation 3	Repeated
Recommendation 4	Implemented
Recommendation 5	Implemented
Recommendation 6	Partially Implemented
Recommendation 7	Implemented
Recommendation 8	Repeated
Recommendation 9	Implemented
Recommendation 10	Implemented
Recommendation 11	Repeated
Recommendation 12	Implemented

As part of the compliance examination of the Department for the period ending June 30, 2016, auditors followed-up on the status of the repeated and partially implemented recommendations reported in the June 30, 2014 report and noted the following.

Recommendation 1: The Department of State Police should update its FOID Card Program Policy and Procedures Manual, provide and document formal training to its Firearms Services Bureau staff; and update its administrative rules relating to the Firearm Owners Identification Card Act.

Partially Implemented: The Firearms Services Bureau (FSB) continues to implement a Directives Manual. System and legislative changes are regular occurrences and the manual will be an ever evolving process. Employees have received a training manual and employees receive annual training from the Federal Bureau of Investigation (FBI), National Instant Criminal Background Check System (NICS), as well as on-going Bureau updates in the form of policies, emails, handouts and training.

Recommendation 2: The Department of State Police should work with Circuit Courts and Circuit Court Clerks to ensure that the necessary prohibiting mental health information is submitted to the Department, as required by the Firearm Owners Identification Card Act, so it can be used by the Department in the FOID eligibility process, as well as submitted to the FBI's National Instant Criminal Background Check System.

Implemented: Public Act 99-0696 was signed by Governor Rauner on July 29, 2016. This legislation will require Circuit Clerks to report to the Illinois State Police on July 1 and December 30 of each year for the preceding six months if no person has been adjudicated as a person with mental disability within their county or if no person has been involuntarily admitted. This new requirement should alleviate the reporting compliance concerns for those counties that have not previously reported.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
OFFICE OF THE AUDITOR GENERAL PERFORMANCE
AUDIT RECOMMENDATIONS UPDATE
For the Two Years Ended June 30, 2016**

OFFICE OF THE AUDITOR GENERAL PERFORMANCE AUDIT RECOMMENDATIONS UPDATE
(CONTINUED)

Recommendation 3: The Department of State Police and the Department of Human Services (DHS) should work with hospitals, nursing homes, clinicians, and other entities required to report prohibiting mental health information to the DHS-FOID system, to ensure that: all required reports are filed; the information is timely, complete and accurate; and voluntary and involuntary admissions are differentiated. In addition, the Department should review its controls to ensure that all mental health matches sent by DHS are properly worked by eligibility staff.

Implemented: Legislation was strengthened regarding the reporting of voluntary admissions and reporting individuals deemed to be a clear and present danger. Effective September 1, 2012, DHS mandated the private facilities to accurately report by requiring the facility to identify the admission category (i.e. involuntary or voluntary). Effective July 1, 2013, DHS mandated all physicians, clinical psychologists, or qualified examiners at public or private mental health facilities to provide notice directly to DHS within 24 hours after determining that a patient poses a present danger to his or herself or others. Reports that are submitted to DHS are now either indicated as voluntary or involuntary mental health admission, a developmentally/intellectually disabled, or clear and present danger. All cases are reported to the NICS. Involuntary mental health admissions are sent to NICS as a federal prohibitor to comply with federal law, and all other cases are reported as State prohibitors.

Recommendation 6: The Department of State Police should work with its vendor to ensure that FOID cards are forwarded to the correct mailing address, and ensure that it has enough Customer Service Representatives to answer the questions of FOID card applicants.

Partially Implemented: All FOID cards are now being forwarded to applicants that have registered a forwarding address with the United States Postal Service. The Department has hired additional staff for the Customer Service Support Line. As of September 30, 2016, there are currently 7 call takers and one supervisor within the Customer Service Call Center. The Illinois Department of Central Management Service (CMS) has enhanced the call system allowing for menu options. FSB continues to work with CMS for the implementation of a VOIP Call System.

Recommendation 8: Since existing management controls over FOID card processing timeliness do not identify applications that are not approved or denied until after the 30 day requirement, the Department of State Police should establish additional management controls to identify FOID applications that are not being processed timely.

Implemented: On March 16, 2016, the Department's Firearms Services Bureau launched a new FOID system. Within the new system, each application has a count-down timer attached to it showing the remaining days allotted by the statute to either approve or deny the application. This timer is tracked through each step of the application process from payment to the approval/denial. If an application has not been approved or denied within 20 days, the application is sent to a warning box. This box is monitored by the supervisors on a daily basis.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
OFFICE OF THE AUDITOR GENERAL PERFORMANCE
AUDIT RECOMMENDATIONS UPDATE
For the Two Years Ended June 30, 2016**

OFFICE OF THE AUDITOR GENERAL PERFORMANCE AUDIT RECOMMENDATIONS UPDATE
(CONTINUED)

Recommendation 11: The Department of State Police should ensure that the FOID card program numbers it reports in its Annual Report are accurate and reliable.

Implemented: The Department stands by the numbers submitted in the Department's Annual Report; however, the Department has not published an annual report since 2013 containing FOID application statistics. Additionally, in March 2016, a vendor developed and implemented a new FOID system with available canned reports and dashboards. A vendor also provides data/statistics on a monthly basis or upon request by the Department.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2016**

SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

SUMMARY

Supplementary Information for State Compliance Purposes presented in this section of the report includes the following:

- Fiscal Schedules and Analysis:
 - Schedule of Appropriations, Expenditures and Lapsed Balances
 - Comparative Schedule of Net Appropriations, Expenditures and Lapsed Balances
 - Comparative Schedule of Receipts, Disbursements and Fund Balance
(Cash Basis) – Locally Held Funds
 - Schedule of Receipts, Disbursements, and Fund Balances (Cash Basis) – Statewide
9-1-1 (Fund 612)
 - Schedule of Changes in State Property (Not Examined)
 - Comparative Schedule of Cash Receipts and Reconciliation of Cash Receipts
to Deposits Remitted to the State Comptroller
 - Analysis of Significant Variations in Expenditures
 - Analysis of Significant Variations in Receipts
 - Analysis of Significant Lapse Period Spending
 - Analysis of Accounts Receivable (Not Examined)

- Analysis of Operations (Not Examined):
 - Agency Functions and Planning Program (Not Examined)
 - Budget Impasse Disclosures (Not Examined)
 - Alternative Financing in Lieu of Appropriations and Programs to
Address Untimely Payments to Vendors (Not Examined)
 - Interest Costs on Fiscal Year 2016 Invoices (Not Examined)
 - Average Number of Employees (Not Examined)
 - Emergency Purchases (Not Examined)
 - Service Efforts and Accomplishments (Not Examined)
 - Status of Implementation of Wireless 9-1-1 and E9-1-1 Systems (Not Examined)
 - Schedule of Provider Disbursements from the Statewide 9-1-1 Fund
(Fund 612) (Not Examined)

The accountant's report that covers the Supplementary Information for State Compliance Purposes presented in the Compliance Report Section states the accountants have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General to the June 30, 2016 and June 30, 2015 accompanying supplementary information in Schedules 1 through 5 and Schedules 7 through 10. However, the accountants do not express an opinion on the supplementary information.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
Expenditure Authority for Fiscal Year 2016

Fourteen Months Ended August 31, 2016

Public Act 99-0409, Public Act 99-0491, Public Act 99-0524, and Court-Ordered Expenditures FISCAL YEAR 2016	Expenditure Authority (Net After Transfers)	Expenditures Through June 30	Lapse Period Expenditures July 1 to August 31	Total Expenditures 14 Months Ended August 31	Balances Lapsed August 31
<u>APPROPRIATED FUNDS</u>					
<u>GENERAL REVENUE FUND - 001</u>					
General support and operations					
Court-ordered expenditures		\$ 217,298,103	\$ 4,217,638	\$ 221,515,741	
Division of Forensic Services and Identification					
Court-ordered expenditures		445,827	19,305	465,132	
Division of Internal Investigation					
Court-ordered expenditures		<u>710,150</u>	<u>-</u>	<u>710,150</u>	
Total Fund - 001		<u>218,454,080</u>	<u>4,236,943</u>	<u>222,691,023</u>	
<u>COMPASSIONATE USE MEDICAL CANNABIS FUND - 075</u>					
Division of Operations					
Direct and indirect costs associated with implementation, administration, and enforcement of the Compassionate Use of Medical Cannabis Pilot Program Act	\$ 1,000,000	<u>99,847</u>	<u>16,026</u>	<u>115,873</u>	\$ <u>884,127</u>

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
Expenditure Authority for Fiscal Year 2016**

Fourteen Months Ended August 31, 2016

Public Act 99-0409, Public Act 99-0491, Public Act 99-0524, and Court-Ordered Expenditures FISCAL YEAR 2016	Expenditure Authority (Net After Transfers)	Expenditures Through June 30	Lapse Period Expenditures July 1 to August 31	Total Expenditures 14 Months Ended August 31	Balances Lapsed August 31
<u>APPROPRIATED FUNDS (Continued)</u>					
<u>MENTAL HEALTH REPORTING FUND - 148</u>					
Division of Forensic Services and Identification Expenses outlined in the Firearm Concealed Carry Act and the Firearm Owners Identification Card Act	<u>\$ 6,250,000</u>	<u>\$ 37,904</u>	<u>\$ 4,245</u>	<u>\$ 42,149</u>	<u>\$ 6,207,851</u>
<u>STATE CRIME LABORATORY FUND - 152</u>					
Division of Forensic Services and Identification Administration and operation of State crime laboratories	<u>11,000,000</u>	<u>169,650</u>	<u>540,849</u>	<u>710,499</u>	<u>10,289,501</u>
<u>STATE POLICE FIREARM SERVICES FUND - 209</u>					
Division of Forensic Services and Identification Expenses outlined in the Firearm Concealed Carry Act and the Firearm Owners Identification Card Act	<u>22,000,000</u>	<u>4,741,114</u>	<u>2,942,342</u>	<u>7,683,456</u>	<u>14,316,544</u>

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
Expenditure Authority for Fiscal Year 2016

Fourteen Months Ended August 31, 2016

Public Act 99-0409, Public Act 99-0491, Public Act 99-0524, and Court-Ordered Expenditures FISCAL YEAR 2016	Expenditure Authority (Net After Transfers)	Expenditures Through June 30	Lapse Period Expenditures July 1 to August 31	Total Expenditures 14 Months Ended August 31	Balances Lapsed August 31
<u>APPROPRIATED FUNDS (Continued)</u>					
<u>STATE POLICE DUI FUND - 222</u>					
Division of Forensic Services and Identification					
Administration and operation of State crime laboratories	\$ 150,000	\$ -	\$ 145,345	\$ 145,345	\$ 4,655
Division of Operations					
Equipment purchases to assist in the prevention of driving while under the influence of alcohol, drugs or intoxication compounds	1,850,000	-	-	-	1,850,000
Total Fund - 222	2,000,000	-	145,345	145,345	1,854,655
<u>MEDICAID FRAUD AND ABUSE PREVENTION FUND - 237</u>					
Division of Operations - Financial Fraud and Forgery Unit					
Detection, investigation or prosecution of recipient or vendor fraud	100,000	-	29,051	29,051	70,949
<u>STATE POLICE VEHICLE MAINTENANCE FUND - 328</u>					
Division of Administration					
Operation of automotive equipment	700,000	-	697,798	697,798	2,202

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
Expenditure Authority for Fiscal Year 2016

Fourteen Months Ended August 31, 2016

Public Act 99-0409, Public Act 99-0491, Public Act 99-0524, and Court-Ordered Expenditures FISCAL YEAR 2016	Expenditure Authority (Net After Transfers)	Expenditures Through June 30	Lapse Period Expenditures July 1 to August 31	Total Expenditures 14 Months Ended August 31	Balances Lapsed August 31
<u>APPROPRIATED FUNDS (Continued)</u>					
<u>SEX OFFENDER INVESTIGATION FUND - 445</u>					
Division of Operations					
Expenses related to sex offender investigations	\$ 150,000	\$ 102,848	\$ -	\$ 102,848	\$ 47,152
<u>STATE ASSET FORFEITURE FUND - 514</u>					
Division of Administration					
Payment of expenditures	4,000,000	122,617	1,147,845	1,270,462	2,729,538
<u>FEDERAL ASSET FORFEITURE FUND - 520</u>					
Division of Administration					
Payment of expenditures	2,500,000	-	1,077,320	1,077,320	1,422,680
<u>SEX OFFENDER REGISTRATION FUND - 535</u>					
Division of Operations					
Payment of expenses for Sex Offender Registration Program	250,000	134,081	4,240	138,321	111,679

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
Expenditure Authority for Fiscal Year 2016

Fourteen Months Ended August 31, 2016

Public Act 99-0409, Public Act 99-0491, Public Act 99-0524, and Court-Ordered Expenditures FISCAL YEAR 2016	Expenditure Authority (Net After Transfers)	Expenditures Through June 30	Lapse Period Expenditures July 1 to August 31	Total Expenditures 14 Months Ended August 31	Balances Lapsed August 31
<u>APPROPRIATED FUNDS (Continued)</u>					
<u>LEADS MAINTENANCE FUND - 536</u>					
Information Services Bureau					
Payment of expenses related to LEADS system	\$ 2,600,000	\$ 661,402	\$ 1,091,014	\$ 1,752,416	\$ 847,584
<u>STATE OFFENDER DNA IDENTIFICATION SYSTEM FUND - 537</u>					
Division of Forensic Services and Identification					
Administration and operation of State crime laboratories	3,400,000	1,327,660	1,252,217	2,579,877	820,123
<u>STATEWIDE 9-1-1 FUND - 612</u>					
Division of Administration					
Payment of expenses incurred for the Statewide 9-1-1					
Administrator Program	2,013,600	317,537	47,636	365,173	1,648,427
Costs pursuant to the Emergency Telephone Act	75,000,000	16,919,288	21,473,473	38,392,761	36,607,239
Administrative costs and grants to Emergency Telephone System Boards, qualified government entities, or Department of State Police for wireless 9-1-1 or E9-1-1	54,976,262	25,178,270	-	25,178,270	29,797,992
Total Fund - 612	131,989,862	42,415,095	21,521,109	63,936,204	68,053,658

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
Expenditure Authority for Fiscal Year 2016**

Fourteen Months Ended August 31, 2016

Public Act 99-0409, Public Act 99-0491, Public Act 99-0524, and Court-Ordered Expenditures FISCAL YEAR 2016	Expenditure Authority (Net After Transfers)	Expenditures Through June 30	Lapse Period Expenditures July 1 to August 31	Total Expenditures 14 Months Ended August 31	Balances Lapsed August 31
<u>APPROPRIATED FUNDS (Continued)</u>					
<u>STATE POLICE WIRELESS SERVICE EMERGENCY FUND - 637</u>					
Division of Administration					
Costs associated with the Wireless Emergency Telephone Safety Act	\$ 1,500,000	\$ -	\$ 811,943	\$ 811,943	\$ 688,057
<u>MOTOR CARRIER SAFETY INSPECTION FUND - 649</u>					
Division of Operations					
Expenses associated with the enforcement of federal and State motor carrier safety regulations and laws	2,600,000	2,142,575	-	2,142,575	457,425
<u>OVER DIMENSIONAL LOAD POLICE ESCORT FUND - 652</u>					
Division of Operations					
Expenses incurred for providing police escorts for over-dimensional loads	125,000	124,885	-	124,885	115
<u>STATE POLICE WHISTLEBLOWER REWARD AND PROTECTION FUND - 705</u>					
Division of Operations					
Payment of expenditures for State law enforcement purposes	14,000,000	3,946	1,921,853	1,925,799	12,074,201

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Fourteen Months Ended August 31, 2016

Public Act 99-0409, Public Act 99-0491, Public Act 99-0524, and Court-Ordered Expenditures FISCAL YEAR 2016	Expenditure Authority (Net After Transfers)	Expenditures Through June 30	Lapse Period Expenditures July 1 to August 31	Total Expenditures 14 Months Ended August 31	Balances Lapsed August 31
<u>APPROPRIATED FUNDS (Continued)</u>					
<u>MONEY LAUNDERING ASSET RECOVERY FUND - 816</u>					
Division of Administration					
Ordinary and contingent expenses	\$ 2,000,000	\$ -	\$ 1,273,225	\$ 1,273,225	\$ 726,775
<u>STATE POLICE OPERATIONS ASSISTANCE FUND - 817</u>					
Division of Operations					
Ordinary and contingent expenses	22,000,000	5,719,635	1,019,651	6,739,286	15,260,714
<u>STATE POLICE STREETGANG - RELATED CRIME FUND - 846</u>					
Division of Operations					
Operations related to streetgang - related crime initiatives	10,000	-	2,850	2,850	7,150
<u>DRUG TRAFFIC PREVENTION FUND - 878</u>					
Division of Operations					
Grants to metropolitan enforcement groups	500,000	-	270,000	270,000	230,000

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Fourteen Months Ended August 31, 2016

Public Act 99-0409, Public Act 99-0491, Public Act 99-0524, and Court-Ordered Expenditures FISCAL YEAR 2016	Expenditure Authority (Net After Transfers)	Expenditures Through June 30	Lapse Period Expenditures July 1 to August 31	Total Expenditures 14 Months Ended August 31	Balances Lapsed August 31
<u>APPROPRIATED FUNDS (Continued)</u>					
<u>TRAFFIC AND CRIMINAL CONVICTION SURCHARGE FUND - 879</u>					
Division of Operations					
Personal services	\$ 495,600	\$ 492,798	\$ -	\$ 492,798	\$ 2,802
State contribution to State employees' retirement system	226,000	224,838	-	224,838	1,162
State contribution to Social Security	6,900	6,878	-	6,878	22
Group insurance	155,000	122,069	-	122,069	32,931
Contractual services	465,400	-	439,637	439,637	25,763
Travel	38,300	-	24,915	24,915	13,385
Commodities	174,600	-	156,292	156,292	18,308
Printing	26,500	-	21,376	21,376	5,124
Telecommunications services	1,665,700	-	1,659,484	1,659,484	6,216
Operation of automotive equipment	1,762,000	-	1,183,443	1,183,443	578,557
Total Fund - 879	<u>5,016,000</u>	<u>846,583</u>	<u>3,485,147</u>	<u>4,331,730</u>	<u>684,270</u>
<u>ILLINOIS STATE POLICE FEDERAL PROJECTS FUND - 904</u>					
Division of Operations					
Payment of expenses	<u>20,000,000</u>	<u>9,114,381</u>	<u>1,498,616</u>	<u>10,612,997</u>	<u>9,387,003</u>

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Expenditure Authority for Fiscal Year 2016

Fourteen Months Ended August 31, 2016

Public Act 99-0409, Public Act 99-0491, Public Act 99-0524, and Court-Ordered Expenditures FISCAL YEAR 2016	Expenditure Authority (Net After Transfers)	Expenditures Through June 30	Lapse Period Expenditures July 1 to August 31	Total Expenditures 14 Months Ended August 31	Balances Lapsed August 31
<u>APPROPRIATED FUNDS (Continued)</u>					
<u>STATE POLICE SERVICES FUND - 906</u>					
Division of Operations					
Payment of expenses for:					
Fingerprint program	\$ 25,000,000	\$ 6,859,182	\$ 5,479,030	\$ 12,338,212	\$ 12,661,788
Federal & IDOT programs	8,400,000	4,065,274	405,164	4,470,438	3,929,562
Riverboat gambling	1,500,000	-	-	-	1,500,000
Miscellaneous programs	5,000,000	1,329,567	407,630	1,737,197	3,262,803
	<u>\$ 39,900,000</u>	<u>12,254,023</u>	<u>6,291,824</u>	<u>18,545,847</u>	<u>\$ 21,354,153</u>
Total Fund - 906					
		<u>\$ 298,472,326</u>	<u>\$ 51,281,453</u>	<u>\$ 349,753,779</u>	
<u>TOTAL - ALL APPROPRIATED FUNDS</u>					
<u>NON-APPROPRIATED FUNDS</u>					
<u>DRUG TRAFFIC PREVENTION FUND - 878</u>					
Division of Operations					
Refunds		<u>\$ -</u>	<u>\$ 8,750</u>	<u>\$ 8,750</u>	

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DEPARTMENT OF STATE POLICE
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Expenditure Authority for Fiscal Year 2016**

Fourteen Months Ended August 31, 2016

Public Act 99-0409, Public Act 99-0491, Public Act 99-0524, and Court-Ordered Expenditures FISCAL YEAR 2016	Expenditure Authority (Net After Transfers)	Expenditures Through June 30	Lapse Period Expenditures July 1 to August 31	Total Expenditures 14 Months Ended August 31	Balances Lapsed August 31
<u>NON-APPROPRIATED FUNDS (Continued)</u>					
<u>FEDERAL PROJECTS FUND - 904</u>					
Division of Operations					
Refunds of federal grants		\$ 70,568	\$ 37,093	\$ 107,661	
TOTAL - ALL NON-APPROPRIATED FUNDS		<u>70,568</u>	<u>45,843</u>	<u>116,411</u>	
GRAND TOTAL - ALL FUNDS		<u>\$298,542,894</u>	<u>\$ 51,327,296</u>	<u>\$ 349,870,190</u>	

Note 1: Expenditure authority, appropriations, expenditures, and lapsed balances were obtained from the State Comptroller's records as of September 30, 2016, and have been reconciled to Department records.

Note 2: Expenditure amounts are vouchers approved for payment by the Department and submitted to the State Comptroller for payment to the vendor.

Note 3: The Circuit Court of St. Clair County in *AFSCME Council 31 v. Munger* (15 CH 475) ordered the State Comptroller, in the absence of enacted annual appropriations, to "draw and issue warrants accomplishing payment of wages [for all State employees] at their normal rates of pay." As the Department never received enacted personal services appropriations for Fund 001, the Department was able to submit vouchers to pay its employees in full without a maximum expenditure limit for personal service costs during Fiscal Year 2016.

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Expenditure Authority for Fiscal Year 2016

Fourteen Months Ended August 31, 2016

- Note 4: During Fiscal Year 2016, the Department operated without enacted appropriations until Public Act 99-0409, Public Act 99-0491, and Public Act 99-0524 were signed into law on August 20, 2015, December 7, 2015, and June 30, 2016, respectively. During the impasse, the Circuit Court of St. Clair County in *AFSCME Council 31 v. Munger* (15 CH 475) ordered the State Comptroller, in the absence of enacted annual appropriations, to “draw and issue warrants accomplishing payment of wages [for all State employees] at their normal rates of pay.” As such, the Department's court-ordered payroll payments were merged into the enacted appropriation for Funds 075, 148, 152, 209, 445, 514, 535, 536, 537, 649, 652, 705, 817, 904, and 906. Further, the Department incurred non-payroll obligations, which the Department was unable to pay until the passage of Public Act 99-0409, Public Act 99-0491, and Public Act 99-0524.
- Note 5: Public Act 99-524 authorizes the Department to pay Fiscal Year 2016 costs using its Fiscal Year 2017 appropriations for non-payroll expenditures. The Analysis of Operations section of this report at page 128 includes information from Department management about the number of invoices and the total dollar amount of invoices held by the Department to be submitted against its Fiscal Year 2017 appropriation.
- Note 6: During Fiscal Year 2016, the Statewide 9-1-1 Fund 612 was transferred from the State of Illinois, Illinois Commerce Commission to the Department effective January 1, 2016. Therefore, the expenditures for Fund 612 are for the six months ended June 30, 2016, including lapse period.

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DEPARTMENT OF STATE POLICE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
Appropriations for Fiscal Year 2015

Fourteen Months Ended August 31, 2015

Public Act 98-0681 and Public Act 99-0001	Appropriations (Net After Transfers)	Expenditures Through June 30	Lapse Period Expenditures July 1 to August 31	Total Expenditures 14 Months Ended August 31	Balances Lapsed August 31
FISCAL YEAR 2015					
<u>APPROPRIATED FUNDS</u>					
<u>GENERAL REVENUE FUND - 001</u>					
Division of Administration					
Personal services	\$ 7,113,500	\$ 6,839,227	\$ 271,842	\$ 7,111,069	\$ 2,431
State contributions to Social Security	472,200	450,421	19,840	470,261	1,939
Contractual services	1,415,400	1,005,471	368,522	1,373,993	41,407
Travel	52,500	44,982	4,593	49,575	2,925
Commodities	292,900	114,016	158,307	272,323	20,577
Printing	78,000	19,385	55,807	75,192	2,808
Telecommunication	110,700	79,072	20,645	99,717	10,983
Operation of automotive equipment	138,600	128,063	7,664	135,727	2,873
Payment of tort claims	798,900	2,004	794,063	796,067	2,833
Refunds	2,000	992	177	1,169	831
Subtotal Division of Administration	<u>10,474,700</u>	<u>8,683,633</u>	<u>1,701,460</u>	<u>10,385,093</u>	<u>89,607</u>

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Fourteen Months Ended August 31, 2015

Public Act 98-0681 and Public Act 99-0001 FISCAL YEAR 2015	Appropriations (Net After Transfers)	Expenditures Through June 30	Lapse Period Expenditures July 1 to August 31	Total Expenditures 14 Months Ended August 31	Balances Lapsed August 31
<u>APPROPRIATED FUNDS (Continued)</u>					
Information Services Bureau					
Personal services	\$ 4,763,800	\$ 4,582,664	\$ 176,635	\$ 4,759,299	\$ 4,501
State contributions to Social Security	355,500	339,642	13,090	352,732	2,768
Contractual services	953,700	834,532	70,247	904,779	48,921
Travel	1,700	702	37	739	961
Commodities	19,600	6,622	8,018	14,640	4,960
Printing	13,200	4,934	2,374	7,308	5,892
Electronic data processing	2,443,800	2,276,889	166,600	2,443,489	311
Telecommunication	448,000	436,237	10,595	446,832	1,168
Operation of automotive equipment	1,800	1,169	114	1,283	517
Subtotal Information Services Bureau	<u>9,001,100</u>	<u>8,483,391</u>	<u>447,710</u>	<u>8,931,101</u>	<u>69,999</u>

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Appropriations for Fiscal Year 2015**

Fourteen Months Ended August 31, 2015

Public Act 98-0681 and Public Act 99-0001 FISCAL YEAR 2015	Appropriations (Net After Transfers)	Expenditures Through June 30	Lapse Period Expenditures July 1 to August 31	Total Expenditures 14 Months Ended August 31	Balances Lapsed August 31
<u>APPROPRIATED FUNDS (Continued)</u>					
Division of Operations					
Personal services	\$ 141,517,900	\$ 139,927,727	\$ 1,549,325	\$ 141,477,052	\$ 40,848
State contributions to Social Security	3,312,800	3,214,613	86,969	3,301,582	11,218
Contractual services	2,907,800	2,559,304	294,646	2,853,950	53,850
Travel	278,100	259,094	7,383	266,477	11,623
Commodities	452,200	312,496	71,663	384,159	68,041
Printing	14,700	2,374	7,402	9,776	4,924
Equipment	146,400	28,253	97,348	125,601	20,799
Telecommunication	2,785,200	1,998,449	693,178	2,691,627	93,573
Operation of automotive equipment	6,816,700	6,216,668	563,231	6,779,899	36,801
Ordinary and contingent expenses	4,141,300	3,193,614	941,680	4,135,294	6,006
Subtotal Division of Operations	<u>162,373,100</u>	<u>157,712,592</u>	<u>4,312,825</u>	<u>162,025,417</u>	<u>347,683</u>

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Fourteen Months Ended August 31, 2015

Public Act 98-0681 and Public Act 99-0001 FISCAL YEAR 2015	Appropriations (Net After Transfers)	Expenditures Through June 30	Lapse Period Expenditures July 1 to August 31	Total Expenditures 14 Months Ended August 31	Balances Lapsed August 31
APPROPRIATED FUNDS (Continued)					
Division of Operations - Financial Fraud and Forgery Unit					
Personal services	\$ 3,390,500	\$ 3,289,066	\$ 100,404	\$ 3,389,470	\$ 1,030
State contributions to Social Security	111,700	108,883	888	109,771	1,929
Contractual services	1,400	500	-	500	900
Travel	4,999	4,998	-	4,998	1
Telecommunication	2,800	850	150	1,000	1,800
Operation of automotive equipment	10,500	10,497	-	10,497	3
Subtotal Division of Operations - Financial Fraud and Forgery Unit	<u>3,521,899</u>	<u>3,414,794</u>	<u>101,442</u>	<u>3,516,236</u>	<u>5,663</u>

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Fourteen Months Ended August 31, 2015

Public Act 98-0681 and Public Act 99-0001	Appropriations (Net After Transfers)	Expenditures Through June 30	Lapse Period Expenditures July 1 to August 31	Total Expenditures 14 Months Ended August 31	Balances Lapsed August 31
FISCAL YEAR 2015					
<u>APPROPRIATED FUNDS (Continued)</u>					
Division of Forensic Services and Identification					
Personal services	\$ 42,251,200	\$ 40,394,578	\$ 1,830,452	\$ 42,225,030	\$ 26,170
State contributions to Social Security	2,808,100	2,676,711	126,943	2,803,654	4,446
Contractual services	3,657,200	3,086,554	513,831	3,600,385	56,815
Travel	19,800	8,544	2,605	11,149	8,651
Commodities	958,400	607,801	252,082	859,883	98,517
Printing	62,500	48,234	149	48,383	14,117
Equipment	737,900	402,990	326,212	729,202	8,698
Telecommunication	403,800	308,748	52,474	361,222	42,578
Operation of automotive equipment	49,100	37,712	6,361	44,073	5,027
Administration of Statewide Sexual Assault Evidence					
Collection Program	56,900	51,486	4,961	56,447	453
Operational expenses related to the combined DNA index system	2,104,800	1,950,244	154,541	2,104,785	15
Subtotal Division of Forensic Services and Identification	<u>53,109,700</u>	<u>49,573,602</u>	<u>3,270,611</u>	<u>52,844,213</u>	<u>265,487</u>

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Fourteen Months Ended August 31, 2015

Public Act 98-0681 and Public Act 99-0001 FISCAL YEAR 2015	Appropriations (Net After Transfers)	Expenditures Through June 30	Lapse Period Expenditures July 1 to August 31	Total Expenditures 14 Months Ended August 31	Balances Lapsed August 31
APPROPRIATED FUNDS (Continued)					
Division of Internal Investigation					
Personal services	\$ 2,656,900	\$ 2,591,279	\$ 63,774	\$ 2,655,053	\$ 1,847
State contributions to Social Security	100,100	92,546	4,724	97,270	2,830
Contractual services	31,700	17,915	2,751	20,666	11,034
Travel	4,400	2,217	603	2,820	1,580
Commodities	11,100	2,901	1,494	4,395	6,705
Printing	3,600	1,456	-	1,456	2,144
Equipment	500	-	-	-	500
Telecommunication	65,400	45,866	14,572	60,438	4,962
Operation of automotive equipment	121,400	93,947	25,122	119,069	2,331
Ordinary and contingent expenses incurred while operating the Nursing Home Identified Offender Program	716,389	716,389	-	716,389	-
Subtotal Division of Internal Investigation	<u>3,711,489</u>	<u>3,564,516</u>	<u>113,040</u>	<u>3,677,556</u>	<u>33,933</u>
Total Fund - 001	<u>242,191,988</u>	<u>231,432,528</u>	<u>9,947,088</u>	<u>241,379,616</u>	<u>812,372</u>

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Fourteen Months Ended August 31, 2015

Public Act 98-0681 and Public Act 99-0001 FISCAL YEAR 2015	Appropriations (Net After Transfers)	Expenditures Through June 30	Lapse Period Expenditures July 1 to August 31	Total Expenditures 14 Months Ended August 31	Balances Lapsed August 31
<u>APPROPRIATED FUNDS (Continued)</u>					
<u>COMPASSIONATE USE MEDICAL CANNABIS FUND - 075</u>					
Division of Operations					
Direct and indirect costs associated with implementation, administration, and enforcement of the Compassionate Use of Medical Cannabis Pilot Program Act	\$ 1,000,000	\$ 217,721	\$ 15,754	\$ 233,475	\$ 766,525
<u>MENTAL HEALTH REPORTING FUND - 148</u>					
Division of Forensic Services and Identification					
Expenses outlined in the Firearm Concealed Carry Act and the Firearm Owners Identification Card Act	6,250,000	-	-	-	6,250,000
<u>STATE CRIME LABORATORY FUND - 152</u>					
Division of Forensic Services and Identification					
Administration and operation of State crime laboratories	5,000,000	548,551	785	549,336	4,450,664
<u>STATE POLICE FIREARM SERVICES FUND - 209</u>					
Division of Forensic Services and Identification					
Expenses outlined in the Firearm Concealed Carry Act and the Firearm Owners Identification Card Act	22,000,000	5,531,653	435,248	5,966,901	16,033,099

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Public Act 98-0681 and Public Act 99-0001 FISCAL YEAR 2015	Appropriations (Net After Transfers)	Expenditures Through June 30	Lapse Period Expenditures July 1 to August 31	Total Expenditures 14 Months Ended August 31	Balances Lapsed August 31
<u>APPROPRIATED FUNDS (Continued)</u>					
<u>STATE POLICE DUI FUND - 222</u>					
Division of Forensic Services and Identification					
Administration and operational expenses of State crime laboratories	\$ 150,000	\$ 57,784	\$ -	\$ 57,784	\$ 92,216
Division of Operations					
Equipment purchases to assist in the prevention of driving while under the influence of alcohol, drugs, or intoxication compounds	1,850,000	639,166	816,370	1,455,536	394,464
Total Fund - 222	2,000,000	696,950	816,370	1,513,320	486,680
<u>MEDICAID FRAUD AND ABUSE PREVENTION FUND - 237</u>					
Division of Operations - Financial Fraud and Forgery Unit					
Detection, investigation or prosecution of recipient or vendor fraud	100,000	34,097	-	34,097	65,903
<u>STATE POLICE VEHICLE FUND - 246</u>					
Division of Administration					
Purchase of vehicles and accessories	12,000,000	4,615,065	2,165,291	6,780,356	5,219,644

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Fourteen Months Ended August 31, 2015

Public Act 98-0681 and Public Act 99-0001 FISCAL YEAR 2015	Appropriations (Net After Transfers)	Expenditures Through June 30	Lapse Period Expenditures July 1 to August 31	Total Expenditures 14 Months Ended August 31	Balances Lapsed August 31
<u>APPROPRIATED FUNDS (Continued)</u>					
<u>STATE POLICE VEHICLE MAINTENANCE FUND - 328</u>					
Division of Administration					
Operation of automotive equipment	\$ 700,000	\$ -	\$ -	\$ -	\$ 700,000
<u>MOTOR VEHICLE THEFT PREVENTION TRUST FUND - 376</u>					
Division of Operations					
Payment of expenses	600,000	263,148	12	263,160	336,840
<u>SEX OFFENDER INVESTIGATION FUND - 445</u>					
Division of Operations					
Expenses related to sex offender investigations	150,000	113,371	-	113,371	36,629
<u>STATE ASSET FORFEITURE FUND - 514</u>					
Division of Administration					
Payment of expenditures	4,000,000	1,713,595	173,236	1,886,831	2,113,169
<u>FEDERAL ASSET FORFEITURE FUND - 520</u>					
Division of Administration					
Payment of expenditures	2,500,000	810,188	251,176	1,061,364	1,438,636

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Appropriations for Fiscal Year 2015**

Fourteen Months Ended August 31, 2015

Public Act 98-0681 and Public Act 99-0001 FISCAL YEAR 2015	Appropriations (Net After Transfers)	Expenditures Through June 30	Lapse Period Expenditures July 1 to August 31	Total Expenditures 14 Months Ended August 31	Balances Lapsed August 31
<u>APPROPRIATED FUNDS (Continued)</u>					
<u>SEX OFFENDER REGISTRATION FUND - 535</u>					
Division of Operations					
Payment of expenses for Sex Offender Registration Program	\$ 350,000	\$ 249,200	\$ 8,074	\$ 257,274	\$ 92,726
<u>LEADS MAINTENANCE FUND - 536</u>					
Information Services Bureau					
Payment of expenses related to LEADS system	3,000,000	1,132,026	54,213	1,186,239	1,813,761
<u>STATE OFFENDER DNA IDENTIFICATION SYSTEM FUND - 537</u>					
Division of Forensic Services and Identification					
Administration and operation of State crime laboratories	3,400,000	1,996,432	181,534	2,177,966	1,222,034
<u>STATE POLICE WIRELESS SERVICE EMERGENCY FUND - 637</u>					
Division of Administration					
Costs associated with the Wireless Emergency Telephone Safety Act	1,500,000	725,694	141,718	867,412	632,588

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Fourteen Months Ended August 31, 2015

Public Act 98-0681 and Public Act 99-0001 FISCAL YEAR 2015	Appropriations (Net After Transfers)	Expenditures Through June 30	Lapse Period Expenditures July 1 to August 31	Total Expenditures 14 Months Ended August 31	Balances Lapsed August 31
<u>APPROPRIATED FUNDS (Continued)</u>					
<u>MOTOR CARRIER SAFETY INSPECTION FUND - 649</u>					
Division of Operations					
Expenses associated with the enforcement of federal and State motor carrier safety regulations and laws	\$ 2,600,000	\$ 2,122,361	\$ -	\$ 2,122,361	\$ 477,639
<u>OVER DIMENSIONAL LOAD POLICE ESCORT FUND - 652</u>					
Division of Operations					
Expenses incurred for providing police escorts for over-dimensional loads	125,000	123,958	-	123,958	1,042
<u>STATE POLICE WHISTLEBLOWER REWARD AND PROTECTION FUND - 705</u>					
Division of Operations					
Payment of expenditures for State law enforcement purposes	14,000,000	4,287,288	9,381	4,296,669	9,703,331
<u>MONEY LAUNDERING ASSET RECOVERY FUND - 816</u>					
Division of Administration					
Ordinary and contingent expenses	2,000,000	59,488	309,243	368,731	1,631,269

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
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Appropriations for Fiscal Year 2015**

Fourteen Months Ended August 31, 2015

Public Act 98-0681 and Public Act 99-0001 FISCAL YEAR 2015	Appropriations (Net After Transfers)	Expenditures Through June 30	Lapse Period Expenditures July 1 to August 31	Total Expenditures 14 Months Ended August 31	Balances Lapsed August 31
<u>APPROPRIATED FUNDS (Continued)</u>					
<u>STATE POLICE OPERATIONS ASSISTANCE FUND - 817</u>					
Division of Operations					
Ordinary and contingent expenses	<u>\$ 22,000,000</u>	<u>\$ 14,623,516</u>	<u>\$ 2,762,660</u>	<u>\$ 17,386,176</u>	<u>\$ 4,613,824</u>
<u>STATE POLICE STREETGANG - RELATED CRIME FUND - 846</u>					
Division of Operations					
Operations related to streetgang-related crime initiatives	<u>10,000</u>	<u>-</u>	<u>2,863</u>	<u>2,863</u>	<u>7,137</u>
<u>DRUG TRAFFIC PREVENTION FUND - 878</u>					
Division of Operations					
Grants to metropolitan enforcement groups	<u>500,000</u>	<u>296,160</u>	<u>-</u>	<u>296,160</u>	<u>203,840</u>

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Appropriations for Fiscal Year 2015**

Fourteen Months Ended August 31, 2015

Public Act 98-0681 and Public Act 99-0001 FISCAL YEAR 2015	Appropriations (Net After Transfers)	Expenditures Through June 30	Lapse Period Expenditures July 1 to August 31	Total Expenditures 14 Months Ended August 31	Balances Lapsed August 31
<u>APPROPRIATED FUNDS (Continued)</u>					
<u>TRAFFIC AND CRIMINAL CONVICTION SURCHARGE FUND - 879</u>					
Division of Operations					
Personal services	\$ 495,600	\$ 488,140	\$ -	\$ 488,140	\$ 7,460
State contribution to State employees' retirement system	209,800	206,804	-	206,804	2,996
State contribution to Social Security	6,900	6,845	-	6,845	55
Group insurance	155,000	99,530	-	99,530	55,470
Contractual services	465,400	315,913	81,715	397,628	67,772
Travel	38,300	12,556	3,818	16,374	21,926
Commodities	174,600	133,298	30,699	163,997	10,603
Printing	26,500	-	26,500	26,500	-
Telecommunications services	1,665,700	1,619,938	32,484	1,652,422	13,278
Operation of automotive equipment	1,762,200	1,626,341	53,143	1,679,484	82,716
Total Fund - 879	<u>5,000,000</u>	<u>4,509,365</u>	<u>228,359</u>	<u>4,737,724</u>	<u>262,276</u>
<u>ILLINOIS STATE POLICE FEDERAL PROJECTS FUND - 904</u>					
Division of Operations					
Payment of expenses	<u>20,000,000</u>	<u>11,306,365</u>	<u>1,400,451</u>	<u>12,706,816</u>	<u>7,293,184</u>

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Fourteen Months Ended August 31, 2015

Public Act 98-0681 and Public Act 99-0001 FISCAL YEAR 2015	Appropriations (Net After Transfers)	Expenditures Through June 30	Lapse Period Expenditures July 1 to August 31	Total Expenditures 14 Months Ended August 31	Balances Lapsed August 31
<u>APPROPRIATED FUNDS (Continued)</u>					
<u>STATE POLICE SERVICES FUND - 906</u>					
Division of Operations					
Payment of expenses for:					
Fingerprint program	\$ 25,000,000	\$ 11,994,573	\$ 901,064	\$ 12,895,637	\$ 12,104,363
Federal & IDOT programs	8,400,000	2,924,226	219,226	3,143,452	5,256,548
Riverboat gambling	1,500,000	-	87	87	1,499,913
Miscellaneous programs	6,300,000	2,405,772	394,317	2,800,089	3,499,911
Total Fund - 906	<u>41,200,000</u>	<u>17,324,571</u>	<u>1,514,694</u>	<u>18,839,265</u>	<u>22,360,735</u>
TOTAL - ALL APPROPRIATED FUNDS	<u>\$414,176,988</u>	<u>\$304,733,291</u>	<u>\$ 20,418,150</u>	<u>\$ 325,151,441</u>	<u>\$ 89,025,547</u>
<u>NON-APPROPRIATED FUNDS</u>					
<u>GENERAL REVENUE FUND - 001</u>					
Division of Operations - Governors Discretionary Appropriation					
Ordinary and contingent expenses incurred while operating the Governors discretionary appropriation		<u>\$ 5,369,609</u>	<u>\$ 6,963,640</u>	<u>\$ 12,333,249</u>	

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Appropriations for Fiscal Year 2015**

Fourteen Months Ended August 31, 2015

Public Act 98-0681 and Public Act 99-0001 FISCAL YEAR 2015	Appropriations (Net After Transfers)	Expenditures Through June 30	Lapse Period Expenditures July 1 to August 31	Total Expenditures 14 Months Ended August 31	Balances Lapsed August 31
<u>NON-APPROPRIATED FUNDS (Continued)</u>					
<u>DRUG TRAFFIC PREVENTION FUND - 878</u>					
Division of Operations					
Refunds		\$ 24	\$ -	\$ 24	
<u>FEDERAL PROJECTS FUND - 904</u>					
Division of Operations					
Refunds of federal grants		122,793	251	123,044	
TOTAL - ALL NON-APPROPRIATED FUNDS		\$ 5,492,426	\$ 6,963,891	\$ 12,456,317	
GRAND TOTAL - ALL FUNDS	<u>\$414,176,988</u>	<u>\$310,225,717</u>	<u>\$ 27,382,041</u>	<u>\$ 337,607,758</u>	<u>\$ 89,025,547</u>

Note 1: Appropriations, expenditures, and lapsed balances were obtained from the State Comptroller records as of September 30, 2015, and have been reconciled to Department records.

Note 2: Expenditures amounts are vouchers approved for payment by the Department and submitted to the State Comptroller for payment to the vendor.

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	FISCAL YEAR		
	2016	2015	2014
	P.A. 99-0409, P.A. 99-0491, P.A. 99-0524, and Court-Ordered Expenditures	P.A. 98-0681, P.A. 99-0001	P.A. 98-0050
<u>APPROPRIATED FUNDS</u>			
<u>GENERAL REVENUE FUND - 001</u>			
Expenditure Authority (Net After Transfers)		\$ 242,191,988	\$ 286,211,600
Expenditures			
Court-ordered expenditures	\$ 221,515,741	-	-
General operations - lump sum			
Operational costs and expenses	-	-	239,966,816
Tort claims	-	-	40,000,000
Subtotal general operations - lump sum	-	-	279,966,816
Division of Administration			
Operations			
Personal services	-	7,111,069	-
State contributions to Social Security	-	470,261	-
Contractual services	-	1,373,993	-
Travel	-	49,575	-
Commodities	-	272,323	-
Printing	-	75,192	-
Telecommunication	-	99,717	-
Operation of auto equipment	-	135,727	-
Payment of tort claims	-	796,067	-
Refunds	-	1,169	-
Subtotal Division of Administration	-	10,385,093	-

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	FISCAL YEAR		
	2016	2015	2014
	P.A. 99-0409, P.A. 99-0491, P.A. 99-0524, and Court-Ordered Expenditures	P.A. 98-0681, P.A. 99-0001	P.A. 98-0050
Information Services Bureau			
Personal services	\$ -	\$ 4,759,299	\$ -
State contributions to Social Security	-	352,732	-
Contractual services	-	904,779	-
Travel	-	739	-
Commodities	-	14,640	-
Printing	-	7,308	-
Electronic data processing	-	2,443,489	-
Telecommunications services	-	446,832	-
Operation of automotive equipment	-	1,283	-
Subtotal Information Services Bureau	-	8,931,101	-
Division of Operations			
Personal services	-	141,477,052	-
State contributions to Social Security	-	3,301,582	-
Contractual services	-	2,853,950	-
Travel	-	266,477	-
Commodities	-	384,159	-
Printing	-	9,776	-
Equipment	-	125,601	-
Telecommunications services	-	2,691,627	-
Operation of automotive equipment	-	6,779,899	-
South Suburban Major Crimes Task Force	-	-	97,000
Ordinary and contingent expenses	-	4,135,294	-
Subtotal Division of Operations	-	162,025,417	97,000

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	FISCAL YEAR		
	2016	2015	2014
	P.A. 99-0409, P.A. 99-0491, P.A. 99-0524, and Court-Ordered Expenditures	P.A. 98-0681, P.A. 99-0001	P.A. 98-0050
Division of Operations - Financial Fraud and Forgery Unit			
Personal services	\$ -	\$ 3,389,470	\$ -
State contributions to Social Security	-	109,771	-
Contractual services	-	500	-
Travel	-	4,998	-
Telecommunication	-	1,000	-
Operation of automotive equipment	-	10,497	-
Subtotal Division of Operations - Financial Fraud and Forgery Unit	-	3,516,236	-
Division of Forensic Services and Identification			
Personal services	-	42,225,030	-
State contributions to Social Security	-	2,803,654	-
Contractual services	-	3,600,385	-
Travel	-	11,149	-
Commodities	-	859,883	-
Printing	-	48,383	-
Equipment	-	729,202	-
Telecommunications services	-	361,222	-
Operation of automotive equipment	-	44,073	-
Administration of a Statewide Sexual Assault Evidence Collection Program	-	56,447	57,676
Operational expenses related to the combined DNA index system	-	2,104,785	2,135,151
Expenses related to the implementation of conceal and carry permits	-	-	2,599,221
Court-ordered expenditures	465,132	-	-
Subtotal Division of Forensic Services and Identification	465,132	52,844,213	4,792,048

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	FISCAL YEAR		
	2016	2015	2014
	P.A. 99-0409, P.A. 99-0491, P.A. 99-0524, and Court-Ordered Expenditures	P.A. 98-0681, P.A. 99-0001	P.A. 98-0050
Division of Internal Investigation			
Personal services	\$ -	\$ 2,655,053	\$ -
State contributions to Social Security	-	97,270	-
Contractual services	-	20,666	-
Travel	-	2,820	-
Commodities	-	4,395	-
Printing	-	1,456	-
Equipment	-	-	-
Telecommunications services	-	60,438	-
Operation of automotive equipment	-	119,069	-
Ordinary and contingent expenses incurred while operating the Nursing Home Identified Offender Program	-	716,389	717,216
Court-ordered expenditures	710,150	-	-
Subtotal Division of Internal Investigation	710,150	3,677,556	717,216
Total expenditures	<u>\$ 222,691,023</u>	<u>241,379,616</u>	<u>285,573,080</u>
Lapsed balances		<u>\$ 812,372</u>	<u>\$ 638,520</u>

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	FISCAL YEAR		
	2016	2015	2014
	P.A. 99-0409, P.A. 99-0491, P.A. 99-0524, and Court-Ordered Expenditures	P.A. 98-0681, P.A. 99-0001	P.A. 98-0050
<u>COMPASSIONATE USE MEDICAL CANNABIS</u>			
<u>FUND - 075</u>			
Expenditure Authority (Net After Transfers)	\$ 1,000,000	\$ 1,000,000	\$ -
Expenditures			
Division of Operations			
Direct and indirect costs associated with implementation, administration, and enforcement of the Compassionate Use of Medical Cannabis Pilot Program Act	115,873	233,475	-
Lapsed balances	\$ 884,127	\$ 766,525	\$ -
<u>MENTAL HEALTH REPORTING FUND - 148</u>			
Expenditure Authority (Net After Transfers)	\$ 6,250,000	\$ 6,250,000	\$ 6,250,000
Expenditures			
Division of Forensic Services and Identification			
Expenses outlined in the Firearm Concealed Carry Act and the Firearm Owners Identification Card Act	42,149	-	-
Lapsed balances	\$ 6,207,851	\$ 6,250,000	\$ 6,250,000

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	FISCAL YEAR		
	2016	2015	2014
	P.A. 99-0409, P.A. 99-0491, P.A. 99-0524, and Court-Ordered Expenditures	P.A. 98-0681, P.A. 99-0001	P.A. 98-0050
<u>STATE CRIME LABORATORY FUND - 152</u>			
Expenditure Authority (Net After Transfers)	\$ 11,000,000	\$ 5,000,000	\$ 3,000,000
Expenditures			
Division of Forensic Services and Identification			
Administration and operation of State crime laboratories	710,499	549,336	493,282
Lapsed balances	<u>\$ 10,289,501</u>	<u>\$ 4,450,664</u>	<u>\$ 2,506,718</u>
<u>STATE POLICE FIREARM SERVICE FUND - 209</u>			
Expenditure Authority (Net After Transfers)	<u>\$ 22,000,000</u>	<u>\$ 22,000,000</u>	<u>\$ 17,153,400</u>
Expenditures			
Division of Forensic Services and Identification			
Expenses outlined in the Firearm Concealed Carry Act and the Firearm Owners Identification Card Act	7,683,456	5,966,901	2,082,679
Operation and administration of conceal carry	-	-	142,699
Total expenditures	<u>7,683,456</u>	<u>5,966,901</u>	<u>2,225,378</u>
Lapsed balances	<u>\$ 14,316,544</u>	<u>\$ 16,033,099</u>	<u>\$ 14,928,022</u>

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	FISCAL YEAR		
	2016	2015	2014
	P.A. 99-0409, P.A. 99-0491, P.A. 99-0524, and Court-Ordered Expenditures	P.A. 98-0681, P.A. 99-0001	P.A. 98-0050
<u>STATE POLICE DUI FUND - 222</u>			
Expenditure Authority (Net After Transfers)	\$ 2,000,000	\$ 2,000,000	\$ 1,450,000
Expenditures			
Division of Forensic Services and Identification			
Administration and operation of State crime laboratories	145,345	57,784	79,866
Division of Operations			
Equipment purchases to assist in the prevention of driving while under the influence of alcohol, drugs or intoxication compounds	-	1,455,536	1,274,790
Total expenditures	145,345	1,513,320	1,354,656
Lapsed balances	\$ 1,854,655	\$ 486,680	\$ 95,344
<u>MEDICAID FRAUD AND ABUSE PREVENTION FUND - 237</u>			
Expenditure Authority (Net After Transfers)	\$ 100,000	\$ 100,000	\$ 250,000
Expenditures			
Division of Operations - Financial Fraud and Forgery Unit			
Detection, investigation, or prosecution of recipient or vendor fraud	29,051	34,097	-
Lapsed balances	\$ 70,949	\$ 65,903	\$ 250,000

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	2016	2015	2014
	P.A. 99-0409, P.A. 99-0491, P.A. 99-0524, and Court-Ordered Expenditures	P.A. 98-0681, P.A. 99-0001	P.A. 98-0050
<u>STATE POLICE VEHICLE FUND - 246</u>			
Expenditure Authority (Net After Transfers)	\$ -	\$ 12,000,000	\$ 12,000,000
Expenditures			
Division of Administration			
Purchase of vehicles and accessories	-	6,780,356	9,663,754
Lapsed balances	<u>\$ -</u>	<u>\$ 5,219,644</u>	<u>\$ 2,336,246</u>
<u>STATE POLICE VEHICLE MAINTENANCE FUND - 328</u>			
Expenditure Authority (Net After Transfers)	\$ 700,000	\$ 700,000	\$ 700,000
Expenditures			
Division of Administration			
Operation of automotive equipment	697,798	-	500,000
Lapsed balances	<u>\$ 2,202</u>	<u>\$ 700,000</u>	<u>\$ 200,000</u>
<u>MOTOR VEHICLE THEFT PREVENTION TRUST FUND - 376</u>			
Expenditure Authority (Net After Transfers)	\$ -	\$ 600,000	\$ 600,000
Expenditures			
Division of Operations			
Payment of expenses	-	263,160	355,062
Lapsed balances	<u>\$ -</u>	<u>\$ 336,840</u>	<u>\$ 244,938</u>

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	2016	2015	2014
	P.A. 99-0409, P.A. 99-0491, P.A. 99-0524, and Court-Ordered Expenditures	P.A. 98-0681, P.A. 99-0001	P.A. 98-0050
<u>SEX OFFENDER INVESTIGATION FUND - 445</u>			
Expenditure Authority (Net After Transfers)	\$ 150,000	\$ 150,000	\$ 100,000
Expenditures			
Division of Operations			
Expenses related to sex offender investigations	102,848	113,371	97,221
Lapsed balances	<u>\$ 47,152</u>	<u>\$ 36,629</u>	<u>\$ 2,779</u>
<u>STATE ASSET FORFEITURE FUND - 514</u>			
Expenditure Authority (Net After Transfers)	\$ 4,000,000	\$ 4,000,000	\$ 4,500,000
Expenditures			
Division of Administration			
Payment of expenditures	1,270,462	1,886,831	3,335,591
Lapsed balances	<u>\$ 2,729,538</u>	<u>\$ 2,113,169</u>	<u>\$ 1,164,409</u>
<u>FEDERAL ASSET FORFEITURE FUND - 520</u>			
Expenditure Authority (Net After Transfers)	\$ 2,500,000	\$ 2,500,000	\$ 2,500,000
Expenditures			
Division of Administration			
Payment of expenditures	1,077,320	1,061,364	1,399,646
Lapsed balances	<u>\$ 1,422,680</u>	<u>\$ 1,438,636</u>	<u>\$ 1,100,354</u>

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	2016	2015	2014
	P.A. 99-0409, P.A. 99-0491, P.A. 99-0524, and Court-Ordered Expenditures	P.A. 98-0681, P.A. 99-0001	P.A. 98-0050
<u>SEX OFFENDER REGISTRATION FUND - 535</u>			
Expenditure Authority (Net After Transfers)	\$ 250,000	\$ 350,000	\$ 100,000
Expenditures			
Division of Operations			
Payment of expenses for the Sex Offender Registration Program	138,321	257,274	98,695
Lapsed balances	\$ 111,679	\$ 92,726	\$ 1,305
<u>LEADS MAINTENANCE FUND - 536</u>			
Expenditure Authority (Net After Transfers)	\$ 2,600,000	\$ 3,000,000	\$ 3,500,000
Expenditures			
Information Services Bureau			
Payment of expenses related to LEADS system	1,752,416	1,186,239	1,661,476
Lapsed balances	\$ 847,584	\$ 1,813,761	\$ 1,838,524
<u>STATE OFFENDER DNA IDENTIFICATION SYSTEM FUND - 537</u>			
Expenditure Authority (Net After Transfers)	\$ 3,400,000	\$ 3,400,000	\$ 3,423,500
Expenditures			
Division of Forensic Services and Identification			
Administration and operation of State crime laboratories	2,579,877	2,177,966	2,514,262
Lapsed balances	\$ 820,123	\$ 1,222,034	\$ 909,238

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	2016	2015	2014
	P.A. 99-0409, P.A. 99-0491, P.A. 99-0524, and Court-Ordered Expenditures	P.A. 98-0681, P.A. 99-0001	P.A. 98-0050
<u>STATEWIDE 9-1-1 FUND - 612</u>			
Expenditure Authority (Net After Transfers)	\$ 131,989,862	\$ -	\$ -
Expenditures			
Division of Administration			
Payment of expenses incurred for the Statewide 9-1-1 Administrator Program	365,173	-	-
Costs pursuant to the Emergency Telephone Act	38,392,761	-	-
Administrative costs and grants to Emergency Telephone System Boards, qualified government entities, or Department of State Police for wireless 9-1-1 or E9-1-1	25,178,270	-	-
Total expenditures	63,936,204	-	-
Lapsed balances	\$ 68,053,658	\$ -	\$ -
<u>STATE POLICE WIRELESS SERVICE EMERGENCY FUND - 637</u>			
Expenditure Authority (Net After Transfers)	\$ 1,500,000	\$ 1,500,000	\$ 1,800,000
Expenditures			
Division of Administration			
Costs associated with the Wireless Emergency Telephone Safety Act	811,943	867,412	827,414
Lapsed balances	\$ 688,057	\$ 632,588	\$ 972,586

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	2016	2015	2014
	P.A. 99-0409, P.A. 99-0491, P.A. 99-0524, and Court-Ordered Expenditures	P.A. 98-0681, P.A. 99-0001	P.A. 98-0050
<u>MOTOR CARRIER SAFETY INSPECTION FUND - 649</u>			
Expenditure Authority (Net After Transfers)	\$ 2,600,000	\$ 2,600,000	\$ 2,600,000
Expenditures			
Division of Operations			
Expenses associated with the enforcement of federal and State motor carrier safety regulations and laws	<u>2,142,575</u>	<u>2,122,361</u>	<u>2,282,023</u>
Lapsed balances	<u>\$ 457,425</u>	<u>\$ 477,639</u>	<u>\$ 317,977</u>
<u>OVER DIMENSIONAL LOAD POLICE ESCORT FUND - 652</u>			
Expenditure Authority (Net After Transfers)	\$ 125,000	\$ 125,000	\$ 125,000
Expenditures			
Division of Operations			
Expenses incurred for providing police escorts for over-dimensional loads	<u>124,885</u>	<u>123,958</u>	<u>121,989</u>
Lapsed balances	<u>\$ 115</u>	<u>\$ 1,042</u>	<u>\$ 3,011</u>

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	2016	2015	2014
	P.A. 99-0409, P.A. 99-0491, P.A. 99-0524, and Court-Ordered Expenditures	P.A. 98-0681, P.A. 99-0001	P.A. 98-0050
<u>STATE POLICE WHISTLEBLOWER REWARD AND PROTECTION FUND - 705</u>			
Expenditure Authority (Net After Transfers)	\$ 14,000,000	\$ 14,000,000	\$ 14,000,000
Expenditures			
Division of Operations			
Payment of expenditures for State law enforcement purposes	1,925,799	4,296,669	8,629,780
Lapsed balances	<u>\$ 12,074,201</u>	<u>\$ 9,703,331</u>	<u>\$ 5,370,220</u>
<u>MONEY LAUNDERING ASSET RECOVERY FUND - 816</u>			
Expenditure Authority (Net After Transfers)	\$ 2,000,000	\$ 2,000,000	\$ 2,000,000
Expenditures			
Division of Administration			
Ordinary and contingent expenses	1,273,225	368,731	1,345,811
Lapsed balances	<u>\$ 726,775</u>	<u>\$ 1,631,269</u>	<u>\$ 654,189</u>

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS,
EXPENDITURES AND LAPSED BALANCES
For the Fiscal Years Ended June 30, 2016, 2015, and 2014**

	FISCAL YEAR		
	2016	2015	2014
	P.A. 99-0409, P.A. 99-0491, P.A. 99-0524, and Court-Ordered Expenditures	P.A. 98-0681, P.A. 99-0001	P.A. 98-0050
<u>STATE POLICE OPERATIONS ASSISTANCE</u>			
<u>FUND - 817</u>			
Expenditure Authority (Net After Transfers)	\$ 22,000,000	\$ 22,000,000	\$ 22,000,000
Expenditures			
Division of Operations			
Ordinary and contingent expenses	<u>6,739,286</u>	<u>17,386,176</u>	<u>14,582,573</u>
Lapsed balances	<u>\$ 15,260,714</u>	<u>\$ 4,613,824</u>	<u>\$ 7,417,427</u>
<u>STATE POLICE STREETGANG - RELATED CRIME</u>			
<u>FUND - 846</u>			
Expenditure Authority (Net After Transfers)	\$ 10,000	\$ 10,000	\$ 10,000
Expenditures			
Division of Operations			
Operations related to streetgang-related crime initiatives	<u>2,850</u>	<u>2,863</u>	<u>-</u>
Lapsed balances	<u>\$ 7,150</u>	<u>\$ 7,137</u>	<u>\$ 10,000</u>
<u>DRUG TRAFFIC PREVENTION FUND - 878</u>			
Expenditure Authority (Net After Transfers)	\$ 500,000	\$ 500,000	\$ 500,000
Expenditures			
Division of Operations			
Grants to metropolitan enforcement groups	<u>270,000</u>	<u>296,160</u>	<u>150,000</u>
Lapsed balances	<u>\$ 230,000</u>	<u>\$ 203,840</u>	<u>\$ 350,000</u>

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS,
EXPENDITURES AND LAPSED BALANCES
For the Fiscal Years Ended June 30, 2016, 2015, and 2014**

	FISCAL YEAR		
	2016	2015	2014
	P.A. 99-0409, P.A. 99-0491, P.A. 99-0524, and Court-Ordered Expenditures	P.A. 98-0681, P.A. 99-0001	P.A. 98-0050
<u>TRAFFIC AND CRIMINAL CONVICTION</u>			
<u>SURCHARGE FUND - 879</u>			
Expenditure Authority (Net After Transfers)	\$ 5,016,000	\$ 5,000,000	\$ 5,000,000
Expenditures			
Division of Operations			
Personal services	492,798	488,140	499,312
State contribution to State employees' retirement system	224,838	206,804	201,398
State contributions to Social Security	6,878	6,845	6,964
Group insurance	122,069	99,530	143,000
Contractual services	439,637	397,628	445,483
Travel	24,915	16,374	13,713
Commodities	156,292	163,997	148,819
Printing	21,376	26,500	26,500
Telecommunications services	1,659,484	1,652,422	1,646,165
Operation of automotive equipment	1,183,443	1,679,484	1,732,832
Total expenditures	4,331,730	4,737,724	4,864,186
Lapsed balances	\$ 684,270	\$ 262,276	\$ 135,814

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS,
EXPENDITURES AND LAPSED BALANCES
For the Fiscal Years Ended June 30, 2016, 2015, and 2014**

	FISCAL YEAR		
	2016	2015	2014
	P.A. 99-0409, P.A. 99-0491, P.A. 99-0524, and Court-Ordered Expenditures	P.A. 98-0681, P.A. 99-0001	P.A. 98-0050
<u>ILLINOIS STATE POLICE FEDERAL PROJECTS</u>			
<u>FUND - 904</u>			
Expenditure Authority (Net After Transfers)	\$ 20,000,000	\$ 20,000,000	\$ 20,000,000
Expenditures			
Division of Operations			
Payment of expenses	10,612,997	12,706,816	12,942,622
Lapsed balances	\$ 9,387,003	\$ 7,293,184	\$ 7,057,378
<u>STATE POLICE SERVICES FUND - 906</u>			
Expenditure Authority (Net After Transfers)	\$ 39,900,000	\$ 41,200,000	\$ 39,200,000
Expenditures			
Division of Operations			
Payment of expenses for:			
Fingerprint program	12,338,212	12,895,637	13,188,439
Federal & IDOT programs	4,470,438	3,143,452	2,480,995
Riverboat gambling	-	87	700,167
Miscellaneous programs	1,737,197	2,800,089	3,075,096
Total expenditures	18,545,847	18,839,265	19,444,697
Lapsed balances	\$ 21,354,153	\$ 22,360,735	\$ 19,755,303

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS,
EXPENDITURES AND LAPSED BALANCES
For the Fiscal Years Ended June 30, 2016, 2015, and 2014**

	FISCAL YEAR		
	2016	2015	2014
	P.A. 99-0409, P.A. 99-0491, P.A. 99-0524, and Court-Ordered Expenditures	P.A. 98-0681, P.A. 99-0001	P.A. 98-0050
<u>TOTAL - ALL APPROPRIATED FUNDS</u>			
Expenditure Authority (Net After Transfers)		\$ 414,176,988	\$ 448,973,500
Total appropriated funds expenditures	\$ 349,753,779	325,151,441	374,463,198
Lapsed balances appropriated funds		\$ 89,025,547	\$ 74,510,302
<u>NON-APPROPRIATED FUNDS</u>			
<u>GENERAL REVENUE FUND - 001</u>			
Expenditures			
Division of Operations			
Ordinary and contingent expenses incurred while operating the Governors discretionary appropriation	\$ -	\$ 12,333,249	\$ -
<u>DRUG TRAFFIC PREVENTION FUND - 878</u>			
Expenditures			
Division of Operations			
Refunds	8,750	24	-
<u>FEDERAL PROJECTS FUND - 904</u>			
Expenditures			
Division of Operations			
Grant from ICJIA for crime scanner	-	-	100,000
Refunds of federal grants	107,661	123,044	542,668
TOTAL - ALL NON-APPROPRIATED FUNDS	\$ 116,411	\$ 12,456,317	\$ 642,668
<u>GRAND TOTAL - ALL FUNDS</u>			
Total expenditures	\$ 349,870,190	\$ 337,607,758	\$ 375,105,866

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS,
EXPENDITURES AND LAPSED BALANCES
For the Fiscal Years Ended June 30, 2016, 2015, and 2014**

	FISCAL YEAR		
	2016	2015	2014
P.A. 99-0409, P.A. 99-0491, P.A. 99-0524, and Court-Ordered Expenditures		P.A. 98-0681, P.A. 99-0001	P.A. 98-0050
<u>STATE OFFICERS SALARIES</u>			
Expenditure Authority (Net After Transfers)		\$ 245,800	\$ 245,800
Expenditures			
Director	\$ 132,566	132,566	132,566
Total expenditures	<u>\$ 132,566</u>	<u>132,566</u>	<u>132,566</u>
Lapsed balances		<u>\$ 113,234</u>	<u>\$ 113,234</u>

Note 1: For Fiscal Year 2016, expenditure authority, appropriations, expenditures, and lapsed balances were obtained from the State Comptroller's records as of September 30, 2016, and have been reconciled to Department records. For Fiscal Year 2015, appropriations, expenditures, and lapsed balances were obtained from the State Comptroller's records as of September 30, 2015, and have been reconciled to Department records. For Fiscal Year 2014, appropriations, expenditures, and lapsed balances were obtained from Department records and have been reconciled to records of the State Comptroller.

Note 2: Expenditure amounts are vouchers approved for payment by the Department and submitted to the State Comptroller for payment to the vendor.

Note 3: During Fiscal Year 2016, the Circuit Court of St. Clair County in *AFSCME Council 31 v. Munger* (15 CH 475) ordered the State Comptroller, in the absence of enacted annual appropriations, to "draw and issue warrants accomplishing payment of wages [for all State employees] at their normal rates of pay." As the Department never received enacted personal services appropriations for Fund 001, the Department was able to submit vouchers to pay its employees in full without a maximum expenditure limit for personal service costs during Fiscal Year 2016.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS,
EXPENDITURES AND LAPSED BALANCES
For the Fiscal Years Ended June 30, 2016, 2015, and 2014**

- Note 4: During Fiscal Year 2016, the Department operated without enacted appropriations until Public Act 99-0409, Public Act 99-0491, and Public Act 99-0524 were signed into law on August 20, 2015, December 7, 2015, and June 30, 2016, respectively. During the impasse, the Circuit Court of St. Clair County in *AFSCME Council 31 v. Munger* (15 CH 475) ordered the State Comptroller, in the absence of enacted annual appropriations, to “draw and issue warrants accomplishing payment of wages [for all State employees] at their normal rates of pay.” As such, the Department's court-ordered payroll payments were merged into the enacted appropriation for Funds 075, 148 152, 209, 445, 514, 535, 536, 537, 649, 652, 705, 817, 904, and 906. Further, the Department incurred non-payroll obligations, which the Department was unable to pay until the passage of Public Act 99-0409, Public Act 99-0491, and Public Act 99-0524.
- Note 5: Public Act 99-524 authorizes the Department to pay Fiscal Year 2016 costs using its Fiscal Year 2017 appropriations for non-payroll expenditures. The Analysis of Operations section of this report at page 128 includes information from Department management about the number of invoices and the total dollar amount of invoices held by the Department to be submitted against its Fiscal Year 2017 appropriation.
- Note 6: During Fiscal Year 2016, the Statewide 9-1-1 Fund - 612 was transferred from the State of Illinois, Illinois Commerce Commission to the Department effective January 1, 2016. Therefore, no expenditures are shown for Fiscal Year 2014 or 2015. Further, Fiscal Year 2016 expenditures are for the six months ended June 30, 2016, including lapse period.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF RECEIPTS, DISBURSEMENTS AND
FUND BALANCES (CASH BASIS) – LOCALLY HELD FUNDS
For the Fiscal Years Ended June 30,**

	FISCAL YEAR	
	2016	2015
<u>OFFICIAL ADVANCE FUND - 1199</u>		
Beginning cash balance	\$ 134,625	\$ 205,898
Cash receipts:		
Fund transfers - in	70,000	300,000
Miscellaneous	-	22,999
Official Advance Funds redeposited	35,940	-
Cash disbursements:		
Contractual services	195,005	392,062
Cost of sales	1,062	2,210
Ending cash balance	<u>\$ 44,498</u>	<u>\$ 134,625</u>
<u>SEIZED FUND - 1241</u>		
Beginning cash balance	\$ 4,766,353	\$ 5,907,490
Cash receipts:		
Fines, penalties, or violations	10,185,130	12,709,771
Investment income	524	466
Fund transfers - in	157,779	90,213
Miscellaneous	-	811
Cash disbursements:		
Refunds	277,039	269,304
Fund transfers - out	9,363,890	13,673,094
Ending cash balance	<u>\$ 5,468,857</u>	<u>\$ 4,766,353</u>

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF RECEIPTS, DISBURSEMENTS AND
FUND BALANCES (CASH BASIS) – LOCALLY HELD FUNDS
For the Fiscal Years Ended June 30,**

	FISCAL YEAR	
	2016	2015
<u>FORFEITURE FUNDS - 1242</u>		
Beginning cash balance*	\$ 4,839,324	\$ 4,002,714
Cash receipts:		
Fines, penalties, or violations	12,161,739	8,268,050
Investment income	498	511
Fund transfers - in	6,291,534	11,273,118
Miscellaneous	-	1,482
Cash Disbursements:		
Awards or grants	17,089,671	17,033,646
Refunds	12,247	-
Cost of sales	16,664	71,603
Fund transfers - out	-	1,601,302
Ending cash balance	<u>\$ 6,174,513</u>	<u>\$ 4,839,324</u>
<u>FEDERAL FORFEITURE PENDING CLOSURE FUND - 1378</u>		
Beginning cash balance	\$ 168,354	\$ -
Cash receipts:		
Fines, penalties, or violations	485,775	1,621,901
Cash disbursements:		
Refunds	79,465	196,262
Fund transfers - out	497,709	1,257,285
Ending cash balance	<u>\$ 76,955</u>	<u>\$ 168,354</u>

* Beginning cash balance for Fiscal Year 2015 has been adjusted to agree to a subsequently amended quarterly report filed with the State Comptroller.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF RECEIPTS, DISBURSEMENTS AND
FUND BALANCES (CASH BASIS) – LOCALLY HELD FUNDS
For the Fiscal Years Ended June 30,**

	FISCAL YEAR	
	2016	2015
<u>FEDERAL FORFEITURE TREASURY FUND - 1379</u>		
Beginning cash balance	\$ -	\$ -
Cash receipts:		
Fund transfers - in	-	-
Cash disbursements:		
Fund transfers - out	-	-
Ending cash balance	<u>\$ -</u>	<u>\$ -</u>
<u>MONEY LAUNDERING SEIZED FUND - 1380</u>		
Beginning cash balance	\$ -	\$ -
Cash receipts:		
Fines, penalties, or violations	495,128	700,347
Cash disbursements:		
Fund transfers - out	180,134	700,347
Ending cash balance	<u>\$ 314,994</u>	<u>\$ -</u>

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF RECEIPTS, DISBURSEMENTS AND
FUND BALANCES (CASH BASIS) – LOCALLY HELD FUNDS
For the Fiscal Years Ended June 30,**

	FISCAL YEAR	
	2016	2015
<u>MONEY LAUNDERING FORFEITED FUND - 1381</u>		
Beginning cash balance	\$ 1,277,982	\$ 308,461
Cash receipts:		
Fines, penalties, or violations	3,796,745	2,360,166
Investment income	128	105
Fund transfers - in	3,674,653	4,354,858
Cash disbursements:		
Awards or grants	6,873,398	5,336,329
Fund transfers - out	1,425,870	409,279
Ending cash balance	<u>\$ 450,240</u>	<u>\$ 1,277,982</u>

Note 1: Amounts for these funds were taken from quarterly reports submitted to the State Comptroller.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF RECEIPTS, DISBURSEMENTS, AND FUND
BALANCES (CASH BASIS) – STATEWIDE 9-1-1 FUND (FUND 612)
For the Six Months Ended June 30, 2016**

	Statewide 9-1-1 Fund (Fund 612)
Balance - January 1, 2016	\$ 2,907,162
Receipts:	
Carrier Deposits	
Pre-Paid Deposits	5,995,008
9-1-1 Surcharge Deposits	46,490,679
Total receipts	52,485,687
Disbursements:	
Administration, 9-1-1 administrator, and Advisory	
Board expenses	392,203
Disbursements under Emergency Telephone	
Systems Act to 9-1-1 authorities	14,687,763
Disbursements under Wireless Emergency	
Telephone Safety Act to 9-1-1 authorities	25,178,270
Prepaid administration charge (IDOR)	114,869
9-1-1 network disbursements	2,156,859
Total disbursements	42,529,964
Balance - June 30, 2016	\$ 12,862,885

Note 1: This schedule was prepared from the State Comptroller records, which have been reconciled to the Department's records. This schedule was prepared on the cash basis to include all transactions between January 1, 2016 and June 30, 2016. Therefore, Fiscal Year 2016 lapse expenditures processed by the Comptroller from Fund 612 of \$21,521,109 have been excluded.

Note 2: Effective January 1, 2016, the Emergency Telephone System Act (50 ILCS 750) was established whereby wireless carrier surcharges authorized by the Act shall not apply to prepaid wireless telecommunication services. Surcharges imposed on prepaid wireless telecommunication services are instead subject to the Prepaid Wireless 9-1-1 Surcharge Act (50 ILCS 753), effective January 1, 2012. Surcharges imposed by the Prepaid Wireless 9-1-1 Surcharge Act are collected by the Illinois Department of Revenue (IDOR) and are remitted to the Commission for disbursement to 9-1-1 Providers from Fund 612.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF CHANGES IN STATE PROPERTY (NOT EXAMINED)
For the Two Years Ended June 30, 2016
(Not Examined)

	<u>Total</u>	<u>Land and Land Improvements</u>	<u>Buildings and Building Improvements</u>	<u>Equipment</u>	<u>Capital Leases - Buildings & Improvements</u>	<u>Capital Leases - Equipment</u>
Balance at July 1, 2014	\$ 279,502,018	\$ 1,732,556	\$ 63,232,326	\$ 206,396,976	\$ 6,707,417	\$ 1,432,743
Additions	13,910,819	-	-	13,910,819	-	-
Deletions	(1,334,502)	-	-	(1,324,502)	-	(10,000)
Net transfers	<u>(24,132,891)</u>	<u>-</u>	<u>-</u>	<u>(24,132,891)</u>	<u>-</u>	<u>-</u>
Balance at June 30, 2015	<u>\$ 267,945,444</u>	<u>\$ 1,732,556</u>	<u>\$ 63,232,326</u>	<u>\$ 194,850,402</u>	<u>\$ 6,707,417</u>	<u>\$ 1,422,743</u>
Balance at July 1, 2015	\$ 267,945,444	\$ 1,732,556	\$ 63,232,326	\$ 194,850,402	\$ 6,707,417	\$ 1,422,743
Additions	4,256,391	-	-	4,256,391	-	-
Deletions	(1,360,134)	-	-	(560,134)	-	(800,000)
Net transfers	<u>20,990,726</u>	<u>-</u>	<u>31,034,779</u>	<u>(10,044,053)</u>	<u>-</u>	<u>-</u>
Balance at June 30, 2016	<u>\$ 291,832,427</u>	<u>\$ 1,732,556</u>	<u>\$ 94,267,105</u>	<u>\$ 188,502,606</u>	<u>\$ 6,707,417</u>	<u>\$ 622,743</u>

Note 1: Amounts in the above schedule were derived from Agency records.

Note 2: The above schedule is presented as Not Examined because of the significance of the exceptions noted in finding 2016-001.

STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF CASH RECEIPTS AND RECONCILIATION OF
CASH RECEIPTS TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
For the Fiscal Years Ended June 30, 2016, 2015, and 2014

	FISCAL YEAR		
	2016	2015	2014
<u>GENERAL REVENUE FUND - 001</u>			
Accident reports	\$ 205,969	\$ 187,509	\$ 165,017
Federal agencies	-	-	397
State highway police	143,817	378,554	374,648
Circuit Clerks	14,268	22,318	17,809
Miscellaneous & unclaimed assets	95,503	15,513	10,059
Other State agencies	-	-	293,851
	<hr/>	<hr/>	<hr/>
Total cash receipts per Agency	459,557	603,894	861,781
Less - In transit at End of Year	9,767	7,108	9,509
Plus - In transit at Beginning of Year	7,108	9,509	15,970
	<hr/>	<hr/>	<hr/>
Total cash receipts per State Comptroller's records	<u>\$ 456,898</u>	<u>\$ 606,295</u>	<u>\$ 868,242</u>
<u>ROAD FUND - 011</u>			
Overweight fines	<u>\$ 4,742,891</u>	<u>\$ 4,508,378</u>	<u>\$ 4,028,093</u>
Total cash receipts per Agency	4,742,891	4,508,378	4,028,093
Less - In transit at End of Year	211,861	142,473	213,524
Plus - In transit at Beginning of Year	142,473	213,524	276,393
	<hr/>	<hr/>	<hr/>
Total cash receipts per State Comptroller's records	<u>\$ 4,673,503</u>	<u>\$ 4,579,429</u>	<u>\$ 4,090,962</u>
<u>FISH AND WILDLIFE FUND - 041</u>			
Firearms owners' identification fees	<u>\$ 1,155,537</u>	<u>\$ 945,270</u>	<u>\$ 1,120,038</u>
Total cash receipts per Agency	1,155,537	945,270	1,120,038
Less - In transit at End of Year	47,244	22,344	36,540
Plus - In transit at Beginning of Year	22,344	36,540	71,172
	<hr/>	<hr/>	<hr/>
Total cash receipts per State Comptroller's records	<u>\$ 1,130,637</u>	<u>\$ 959,466</u>	<u>\$ 1,154,670</u>

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF CASH RECEIPTS AND RECONCILIATION OF
CASH RECEIPTS TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
For the Fiscal Years Ended June 30, 2016, 2015, and 2014**

	FISCAL YEAR		
	2016	2015	2014
<u>FIREARM OWNERS' NOTIFICATION FUND - 071</u>			
Registration fees	\$ -	\$ -	\$ 68,877
Total cash receipts per Agency	-	-	68,877
Less - In transit at End of Year	-	-	-
Plus - In transit at Beginning of Year	-	-	40,226
Total cash receipts per State Comptroller's records	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 109,103</u>
<u>ARSONIST REGISTRATION FUND - 087</u>			
Registration fees	\$ 500	\$ -	\$ -
Total cash receipts per Agency	500	-	-
Less - In transit at End of Year	-	-	-
Plus - In transit at Beginning of Year	-	-	-
Total cash receipts per State Comptroller's records	<u>\$ 500</u>	<u>\$ -</u>	<u>\$ -</u>
<u>MENTAL HEALTH REPORTING FUND - 148</u>			
Mental health reporting	\$ 1,505,380	\$ 1,462,423	\$ 1,257,552
Total cash receipts per Agency	1,505,380	1,462,423	1,257,552
Less - In transit at End of Year	47,105	25,530	220,853
Plus - In transit at Beginning of Year	25,530	220,853	-
Total cash receipts per State Comptroller's records	<u>\$ 1,483,805</u>	<u>\$ 1,657,746</u>	<u>\$ 1,036,699</u>
<u>STATE CRIME LABORATORY FUND - 152</u>			
Laboratory fees	\$ 1,307,299	\$ 1,322,485	\$ 1,169,445
Total cash receipts per Agency	1,307,299	1,322,485	1,169,445
Less - In transit at End of Year	40,406	28,714	126,222
Plus - In transit at Beginning of Year	28,714	126,222	40,356
Total cash receipts per State Comptroller's records	<u>\$ 1,295,607</u>	<u>\$ 1,419,993</u>	<u>\$ 1,083,579</u>

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF CASH RECEIPTS AND RECONCILIATION OF
CASH RECEIPTS TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
For the Fiscal Years Ended June 30, 2016, 2015, and 2014**

	FISCAL YEAR		
	2016	2015	2014
<u>STATE POLICE FIREARMS SERVICES FUND - 209</u>			
Firearms owners and concealed carry fees	\$ 9,944,294	\$ 9,460,873	\$ 8,153,973
Total cash receipts per Agency	9,944,294	9,460,873	8,153,973
Less - In transit at End of Year	321,517	172,047	1,357,506
Plus - In transit at Beginning of Year	172,047	1,357,506	-
Total cash receipts per State Comptroller's records	<u>\$ 9,794,824</u>	<u>\$ 10,646,332</u>	<u>\$ 6,796,467</u>
<u>STATE CRIME LABORATORY DUI FUND - 222</u>			
Laboratory fees	\$ 1,262,129	\$ 1,517,651	\$ 1,760,937
Total cash receipts per Agency	1,262,129	1,517,651	1,760,937
Less - In transit at End of Year	47,321	25,427	42,664
Plus - In transit at Beginning of Year	25,427	42,664	132,426
Total cash receipts per State Comptroller's records	<u>\$ 1,240,235</u>	<u>\$ 1,534,888</u>	<u>\$ 1,850,699</u>
<u>MEDICAID FRAUD AND ABUSE FUND - 237</u>			
Medicaid fraud prevention	\$ 2,752	\$ -	\$ -
Total cash receipts per Agency	2,752	-	-
Less - In transit at End of Year	-	-	-
Plus - In transit at Beginning of Year	-	-	-
Total cash receipts per State Comptroller's records	<u>\$ 2,752</u>	<u>\$ -</u>	<u>\$ -</u>
<u>STATE POLICE VEHICLE FUND - 246</u>			
Sale of State vehicles	\$ 1,043,708	\$ 1,124,561	\$ 1,057,977
Total cash receipts per Agency	1,043,708	1,124,561	1,057,977
Less - In transit at End of Year	32,905	39,465	36,142
Plus - In transit at Beginning of Year	39,465	36,142	84,655
Total cash receipts per State Comptroller's records	<u>\$ 1,050,268</u>	<u>\$ 1,121,238</u>	<u>\$ 1,106,490</u>

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF CASH RECEIPTS AND RECONCILIATION OF
CASH RECEIPTS TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
For the Fiscal Years Ended June 30, 2016, 2015, and 2014**

	FISCAL YEAR		
	2016	2015	2014
<u>STATE POLICE MOTOR VEHICLE THEFT PREVENTION</u>			
<u>TRUST FUND - 376</u>			
Local Government and other State agencies	\$ -	\$ 211,338	\$ 435,396
Total cash receipts per Agency	-	211,338	435,396
Less - In transit at End of Year	-	-	-
Plus - In transit at Beginning of Year	-	-	-
Total cash receipts per State Comptroller's records	<u>\$ -</u>	<u>\$ 211,338</u>	<u>\$ 435,396</u>
<u>MURDER AND VIOLENT OFFENDER AGAINST YOUTH</u>			
<u>REGISTRATION FUND - 400</u>			
Fines and penalties	\$ 1,848	\$ 1,397	\$ 10
Total cash receipts per Agency	1,848	1,397	10
Less - In transit at End of Year	-	-	-
Plus - In transit at Beginning of Year	-	-	-
Total cash receipts per State Comptroller's records	<u>\$ 1,848</u>	<u>\$ 1,397</u>	<u>\$ 10</u>
<u>STATE ASSET FORFEITURE FUND - 514</u>			
Drug asset forfeiture	\$ 2,751,993	\$ 1,277,946	\$ 2,942,333
Total cash receipts per Agency	2,751,993	1,277,946	2,942,333
Less - In transit at End of Year	-	-	-
Plus - In transit at Beginning of Year	-	-	-
Total cash receipts per State Comptroller's records	<u>\$ 2,751,993</u>	<u>\$ 1,277,946</u>	<u>\$ 2,942,333</u>
<u>FEDERAL ASSET FORFEITURE FUND - 520</u>			
Drug asset forfeiture	\$ 1,388,696	\$ 236,124	\$ 709,200
Total cash receipts per Agency	1,388,696	236,124	709,200
Less - In transit at End of Year	-	-	-
Plus - In transit at Beginning of Year	-	-	-
Total cash receipts per State Comptroller's records	<u>\$ 1,388,696</u>	<u>\$ 236,124</u>	<u>\$ 709,200</u>

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF CASH RECEIPTS AND RECONCILIATION OF
CASH RECEIPTS TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
For the Fiscal Years Ended June 30, 2016, 2015, and 2014**

	FISCAL YEAR		
	2016	2015	2014
<u>SEX OFFENDER REGISTRATION FUND - 535</u>			
Registration fees	\$ 142,670	\$ 141,077	\$ 122,404
Total cash receipts per Agency	142,670	141,077	122,404
Less - In transit at End of Year	1,613	2,763	1,869
Plus - In transit at Beginning of Year	2,763	1,869	5,485
Total cash receipts per State Comptroller's records	<u>\$ 143,820</u>	<u>\$ 140,183</u>	<u>\$ 126,020</u>
<u>LEADS MAINTENANCE FUND - 536</u>			
Miscellaneous	\$ 123	\$ -	\$ -
Total cash receipts per Agency	123	-	-
Less - In transit at End of Year	-	-	-
Plus - In transit at Beginning of Year	-	-	-
Total cash receipts per State Comptroller's records	<u>\$ 123</u>	<u>\$ -</u>	<u>\$ -</u>
<u>STATE OFFENDER DNA IDENTIFICATION FUND - 537</u>			
DNA testing for sex offenders	\$ 2,350,699	\$ 2,545,015	\$ 2,439,376
Miscellaneous	5,359	-	-
Total cash receipts per Agency	2,356,058	2,545,015	2,439,376
Less - In transit at End of Year	57,181	91,298	70,298
Plus - In transit at Beginning of Year	91,298	70,298	176,016
Total cash receipts per State Comptroller's records	<u>\$ 2,390,175</u>	<u>\$ 2,524,015</u>	<u>\$ 2,545,094</u>
<u>STATEWIDE 9-1-1 FUND - 612</u>			
Wireless carrier reimbursement	\$ 43,137,051	\$ -	\$ -
Total cash receipts per Agency	43,137,051	-	-
Less - In transit at End of Year	536,697	-	-
Plus - In transit at Beginning of Year	-	-	-
Total cash receipts per State Comptroller's records	<u>\$ 42,600,354</u>	<u>\$ -</u>	<u>\$ -</u>

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF CASH RECEIPTS AND RECONCILIATION OF
CASH RECEIPTS TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
For the Fiscal Years Ended June 30, 2016, 2015, and 2014**

	FISCAL YEAR		
	2016	2015	2014
<u>WIRELESS CARRIER REIMBURSEMENT FUND - 613</u>			
Wireless 911 surcharge	\$ 1,930,487	\$ -	\$ -
Total cash receipts per Agency	1,930,487	-	-
Less - In transit at End of Year	21,462	-	-
Plus - In transit at Beginning of Year	-	-	-
Total cash receipts per State Comptroller's records	<u>\$ 1,909,025</u>	<u>\$ -</u>	<u>\$ -</u>
<u>STATE POLICE WIRELESS SERVICE FUND - 637</u>			
Cellular 911 Services	\$ 389,145	\$ 510,973	\$ 586,915
Total cash receipts per Agency	389,145	510,973	586,915
Less - In transit at End of Year	-	-	-
Plus - In transit at Beginning of Year	-	-	-
Total cash receipts per State Comptroller's records	<u>\$ 389,145</u>	<u>\$ 510,973</u>	<u>\$ 586,915</u>
<u>OVER DIMENSIONAL LOAD POLICE ESCORT FUND - 652</u>			
Over dimensional load	\$ 186,180	\$ 130,320	\$ 116,460
Total cash receipts per Agency	186,180	130,320	116,460
Less - In transit at End of Year	720	3,840	5,280
Plus - In transit at Beginning of Year	3,840	5,280	1,200
Total cash receipts per State Comptroller's records	<u>\$ 189,300</u>	<u>\$ 131,760</u>	<u>\$ 112,380</u>
<u>CAPITAL PROJECTS FUND - 694</u>			
Capital projects	\$ 1,579,358	\$ 1,831,732	\$ 1,698,885
Total cash receipts per Agency	1,579,358	1,831,732	1,698,885
Less - In transit at End of Year	23,937	32,839	57,570
Plus - In transit at Beginning of Year	32,839	57,570	85,432
Total cash receipts per State Comptroller's records	<u>\$ 1,588,260</u>	<u>\$ 1,856,463</u>	<u>\$ 1,726,747</u>

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF CASH RECEIPTS AND RECONCILIATION OF
CASH RECEIPTS TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
For the Fiscal Years Ended June 30, 2016, 2015, and 2014**

	FISCAL YEAR		
	2016	2015	2014
<u>STATE POLICE WHISTLEBLOWER REWARD AND PROTECTION FUND - 705</u>			
Money laundering - State	\$ 31,381	\$ -	\$ -
Total cash receipts per Agency	31,381	-	-
Less - In transit at End of Year	-	-	-
Plus - In transit at Beginning of Year	-	-	-
Total cash receipts per State Comptroller's records	<u>\$ 31,381</u>	<u>\$ -</u>	<u>\$ -</u>
<u>MONEY LAUNDERING ASSET RECOVERY FUND - 816</u>			
Money laundering - State	\$ 1,415,287	\$ 409,048	\$ 691,323
Total cash receipts per Agency	1,415,287	409,048	691,323
Less - In transit at End of Year	-	-	-
Plus - In transit at Beginning of Year	-	-	-
Total cash receipts per State Comptroller's records	<u>\$ 1,415,287</u>	<u>\$ 409,048</u>	<u>\$ 691,323</u>
<u>STATE POLICE OPERATIONS ASSISTANCE FUND - 817</u>			
Functions of State Police monies	\$ 120,000	\$ 35,615	\$ 90,000
Total cash receipts per Agency	120,000	35,615	90,000
Less - In transit at End of Year	-	-	-
Plus - In transit at Beginning of Year	-	-	-
Total cash receipts per State Comptroller's records	<u>\$ 120,000</u>	<u>\$ 35,615</u>	<u>\$ 90,000</u>
<u>STATE POLICE STREETGANG RELATED CRIME FUND - 846</u>			
Streetgang crimes	\$ 1,117	\$ 1,505	\$ 1,199
Total cash receipts per Agency	1,117	1,505	1,199
Less - In transit at End of Year	95	190	-
Plus - In transit at Beginning of Year	190	-	95
Total cash receipts per State Comptroller's records	<u>\$ 1,212</u>	<u>\$ 1,315</u>	<u>\$ 1,294</u>

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF CASH RECEIPTS AND RECONCILIATION OF
CASH RECEIPTS TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
For the Fiscal Years Ended June 30, 2016, 2015, and 2014**

	FISCAL YEAR		
	2016	2015	2014
<u>DRUG TRAFFIC PREVENTION FUND - 878</u>			
Court and anti-trust distributions	\$ 88,873	\$ 123,359	\$ 176,872
Total cash receipts per Agency	88,873	123,359	176,872
Less - In transit at End of Year	399	8,212	408
Plus - In transit at Beginning of Year	8,212	408	9,805
Total cash receipts per State Comptroller's records	<u>\$ 96,686</u>	<u>\$ 115,555</u>	<u>\$ 186,269</u>
<u>TRAFFIC & CRIMINAL CONVICTION SURCHARGE FUND - 879</u>			
Criminal or traffic offense fines	\$ 6,339	\$ 12,137	\$ 9,685
Total cash receipts per Agency	6,339	12,137	9,685
Less - In transit at End of Year	-	50	530
Plus - In transit at Beginning of Year	50	530	1,236
Total cash receipts per State Comptroller's records	<u>\$ 6,389</u>	<u>\$ 12,617</u>	<u>\$ 10,391</u>
<u>FEDERAL PROJECTS FUND - 904</u>			
Federal projects	\$ 10,665,821	\$ 13,244,141	\$ 13,020,473
Miscellaneous	240	94	194
Total cash receipts per Agency	10,666,061	13,244,235	13,020,667
Less - In transit at End of Year	169,016	56,056	3,821
Plus - In transit at Beginning of Year	56,056	3,821	41,921
Total cash receipts per State Comptroller's records	<u>\$ 10,553,101</u>	<u>\$ 13,192,000</u>	<u>\$ 13,058,767</u>
<u>STATE POLICE SERVICES FUND - 906</u>			
Reimbursement from other State agencies and local Governments	\$ 23,593,701	\$ 23,598,028	\$ 22,504,154
Miscellaneous	400	8,224	-
Total cash receipts per Agency	23,594,101	23,606,252	22,504,154
Less - In transit at End of Year	1,000,195	414,419	902,626
Plus - In transit at Beginning of Year	414,419	902,626	1,267,445
Total cash receipts per State Comptroller's records	<u>\$ 23,008,325</u>	<u>\$ 24,094,459</u>	<u>\$ 22,868,973</u>

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
COMPARATIVE SCHEDULE OF CASH RECEIPTS AND RECONCILIATION OF
CASH RECEIPTS TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
For the Fiscal Years Ended June 30, 2016, 2015, and 2014**

	FISCAL YEAR		
	2016	2015	2014
<u>GRAND TOTAL - ALL FUNDS</u>			
Total cash receipts per Agency	\$111,210,815	\$ 65,263,608	\$ 65,023,552
Less - In transit at End of Year	2,569,441	1,072,775	3,085,362
Plus - In transit at Beginning of Year	<u>1,072,775</u>	<u>3,085,362</u>	<u>2,249,833</u>
Total cash receipts per State Comptroller's records - all funds	<u><u>\$109,714,149</u></u>	<u><u>\$ 67,276,195</u></u>	<u><u>\$ 64,188,023</u></u>

Note 1: During Fiscal Year 2016, the Statewide 9-1-1 Fund 612 was transferred from the State of Illinois, Illinois Commerce Commission to the Department effective January 1, 2016. Therefore, represented in this schedule, no receipts are shown for Fiscal Years 2014 or 2015. Further, Fiscal Year 2016 receipts for Fund 612 are for the six months ended June 30, 2016.

Note 2: During Fiscal Year 2016, Public Act 99-6 moved all statutory responsibility for the deposit of funds into the Wireless Carrier Reimbursement Fund - 613 from the State of Illinois, Illinois Commerce Commission to the Department in the last half of Fiscal Year 2016. Therefore, represented in this schedule, no receipts are shown for Fiscal Years 2014 or 2015.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2016**

Significant variances were determined to be changes of at least \$20,000 and 20% between the fiscal years as reported in the Schedule of Appropriations, Expenditures and Lapsed Balances, and are explained below.

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2016 AND 2015

GENERAL REVENUE FUND – 001

Division Operational Expenses

For Fiscal Year 2016, no appropriation was issued to the Department's Divisions for operational expenses from the General Revenue Fund (GRF). Therefore, the only expenditures paid during Fiscal Year 2016 from the GRF were court-ordered expenditures. See Budget Impasse disclosure at page 128.

COMPASSIONATE USE MEDICAL CANNABIS FUND – 075

Medical Cannabis Pilot Program

The decrease in Medical Cannabis Pilot Program expenditures was due to the Department not hiring contractual investigators in Fiscal Year 2016 due to a lack of a Fiscal Year 2016 budget appropriation.

MENTAL HEALTH REPORTING FUND – 148

Expenses outlined in the Firearm Concealed Carry Act and the Firearm Owners Identification Card Act

The increase in expenses outlined in the Firearm Concealed Carry Act and the Firearm Owners Identification Card Act was due to implementing the Acts' requirements and incurring salary expenses in Fiscal Year 2016.

STATE CRIME LABORATORY FUND – 152

Administration and operation of State crime laboratories

The increase in administration and operation of State crime laboratories expenditures was due to the increase in overtime paid during Fiscal Year 2016 due to staffing shortfalls.

STATE POLICE FIREARM SERVICE FUND – 209

Expenses outlined in the Firearm Concealed Carry Act and the Firearm Owners Identification Card Act

The increase in expenses outlined in the Firearm Concealed Carry Act and the Firearm Owners Identification Card Act was due to implementing the Acts' requirements and incurring increases in salary expenses paid, application processing costs, and tort settlements in Fiscal Year 2016.

STATE POLICE DUI FUND – 222

Administration and operation of State crime laboratories

The increase in administration and operation of State crime laboratories expenditures was due to the increased need of toxicology supplies and repairs completed in Fiscal Year 2016.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2016**

**ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL
YEARS 2016 AND 2015 (Continued)**

Equipment purchases to assist in the prevention of driving while under the influence of alcohol, drugs, or intoxication compounds

The decrease in equipment purchases to assist in the prevention of driving while under the influence of alcohol, drugs, or intoxication compounds was due to equipment purchases being delayed until Fiscal Year 2017 due to the Fiscal Year 2016 budget impasse. See Budget Impasse disclosure at page 128.

STATE POLICE VEHICLE FUND – 246

Purchase of vehicles and accessories

The decrease in purchase of vehicles and accessories expenditures was due to vehicles and accessories purchases being delayed until Fiscal Year 2017 due to the Fiscal Year 2016 budget impasse. See Budget Impasse disclosure at page 128.

STATE POLICE VEHICLE MAINTENANCE FUND – 328

Operation of automotive equipment

The increase in operation of automotive equipment expenditures was due to automotive expenses being paid during Fiscal Year 2016 in absence of a General Revenue Fund appropriation. No automotive expenses were paid for this Fund in Fiscal Year 2015.

MOTOR VEHICLE THEFT PREVENTION TRUST FUND – 376

Payment of expenses

The decrease in payment of expenses was due to the Illinois Criminal Justice Information Authority discontinuing funding for the Motor Vehicle Theft Prevention Council.

STATE ASSET FORFEITURE FUND – 514

Payment of expenditures

The decrease in payment of expenditures was due to random drug testing being paid from alternate funding, canine purchases being delayed, and Metropolitan Enforcement Group payments being lower in Fiscal Year 2016.

SEX OFFENDER REGISTRATION FUND – 535

Payment of expenses for the Sex Offender Registration Program

The decrease in payment of expenses for the Sex Offender Registration Program expenditures was due to decreased salary expenses caused by a decrease in personnel in Fiscal Year 2016.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2016**

**ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL
YEARS 2016 AND 2015 (Continued)**

LEADS MAINTENANCE FUND – 536

Payment of expenses related to LEADS system

The increase in payment of expenses related to LEADS system was due to the increase in salary expenses, information technology hardware, and information technology software expenses in Fiscal Year 2016. In addition, a onetime purchase of software occurred in Fiscal Year 2016.

STATEWIDE 9-1-1 FUND – 612

Payment of expenses incurred for the Statewide 9-1-1 Administrator Program

The increase in payment of expenses incurred for the Statewide 9-1-1 Administrator Program was due to this Fund being transferred in from the Illinois Commerce Commission effective January 1, 2016.

Cost pursuant to the Emergency Telephone Act

The increase in cost pursuant to the Emergency Telephone Act was due to this Fund being transferred in from the Illinois Commerce Commission effective January 1, 2016.

Administration costs and grants to emergency telephone system boards, qualified government entities, or Department of State Police for wireless 9-1-1 or E9-1-1

The increase in administration costs and grants to emergency telephone system boards, qualified government entities, or Department of State Police for wireless 9-1-1 or E9-1-1 was due to this Fund being transferred in from the Illinois Commerce Commission effective January 1, 2016.

STATE POLICE WHISTLEBLOWER REWARD AND PROTECTION FUND – 705

Payment of expenditures for State law enforcement purposes

The decrease in payment of expenditures for State law enforcement purposes was due to lower tort claims in Fiscal Year 2016.

MONEY LAUNDERING ASSET RECOVERY FUND - 816

Ordinary and contingent expenses

The increase in ordinary and contingent expenses was due to an installment payment for enterprise resource planning software in Fiscal Year 2016.

STATE POLICE OPERATIONS ASSISTANCE FUND – 817

Ordinary and contingent expenses

The decrease in ordinary and contingent expenses was due to less salary expenses paid in Fiscal Year 2016 due to court-ordered payroll out of the General Revenue Fund.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2016**

**ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL
YEARS 2016 AND 2015 (Continued)**

TRAFFIC AND CRIMINAL SURCHARGE FUND – 879

Group insurance

The increase in group insurance costs was due to the increase in individuals being paid from this Fund in Fiscal Year 2016.

Operation of automotive equipment

The decrease in operation of automotive equipment expenditures was due to the decrease in automotive purchases in Fiscal Year 2016. Due to the budget impasse, the Department was not able to make needed additions to the operations fleet.

STATE POLICE SERVICES FUND – 906

Federal & IDOT programs

The increase in Federal and Illinois Department of Transportation program expenditures was due to the increase in funding requested and expenditures for the Chicago Area Projects (CAPS) and Give 'Em a Brake Zone (GABZ) programs.

Miscellaneous programs

The decrease in miscellaneous programs expenditures was due to other State agencies not being able to provide funding to the Department because of the Fiscal Year 2016 budget impasse. Additionally, the decrease was due to salaries being expended under court-ordered payroll which was considered to be paid under other Funds.

NON-APPROPRIATED FUNDS

GENERAL REVENUE FUND – 001

Ordinary and contingent expenses incurred while operating the Governors discretionary appropriation

The decrease in ordinary and contingent expenses incurred while operating the Governors discretionary appropriation was due to funding received from the Governor's Office for personal services and sworn back pay liability in Fiscal Year 2015.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2016**

Significant variances were determined to be changes of at least \$20,000 and 20% between the fiscal years as reported in the Schedule of Appropriations, Expenditures and Lapsed Balances, and are explained below.

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2015 AND 2014

GENERAL REVENUE FUND – 001

General operations – lump sum/division expenses

For Fiscal Year 2015, the appropriation process was changed for expenses paid from the General Revenue Fund. The Department received individual appropriations designated for specific purposes in Fiscal Year 2015, rather than a lump sum appropriation as received in Fiscal Year 2014.

Tort claims

In Fiscal Year 2014, the Department paid out a legal settlement which required a special appropriation from the General Assembly. This did not occur in Fiscal Year 2015.

South Suburban Major Crimes Task Force

The decrease in South Suburban Major Crimes Task Force expenditures was due to the Department not receiving an appropriation in Fiscal Year 2015.

COMPASSIONATE USE MEDICAL CANNABIS FUND – 075

Direct and indirect costs associated with implementation, administration, and enforcement of the Compassionate Use of Medical Cannabis Pilot Program Act (Act)

The increase in Medical Cannabis Pilot Program (Program) was due to the Department implementing the Program in Fiscal Year 2015. The Department did not receive appropriations for the Program in Fiscal Year 2014, which is when the Act originated.

STATE POLICE FIREARM SERVICES FUND – 209

Expenses outlined in the Firearm Concealed Carry Act and the Firearm Owners Identification Card Act

The increase in expenses outlined in the Firearm Concealed Carry Act and the Firearm Owners Identification Card Act was due to the program beginning on January 1, 2014; whereby, Fiscal Year 2015 represents a full year for the program.

Operation and administration of conceal carry

The decrease in operation and administration of conceal carry expenditures was due to the Department finishing the previous Concealed Carry Program in Fiscal Year 2014.

STATE POLICE DUI FUND – 222

Administration and operation of State crime laboratories

The decrease in administration and operation of State crime laboratories expenditures was due to less repair and maintenance expenses required in Fiscal Year 2015.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2016**

**ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL
YEARS 2015 AND 2014 (Continued)**

MEDICAID FRAUD AND ABUSE PREVENTION FUND – 237

Detection, investigation, or prosecution of recipient of vendor fraud

The increase in detection, investigation, or prosecution of recipient of vendor fraud expenditures was due to funding the Medicaid Fraud Unit beginning in Fiscal Year 2015, whereby Fiscal Year 2014 did not have any expenditures.

STATE POLICE VEHICLE FUND – 246

Purchase of vehicles and accessories

The decrease in purchase of vehicles and accessories expenditures was due to expenditures being limited to available cash in Fiscal Year 2015.

STATE POLICE VEHICLE MAINTENANCE FUND – 328

Operation of automotive equipment

The decrease in operation of automotive equipment expenditures was due to Fiscal Year 2015 expenses being expended out of the General Revenue Fund and Traffic and Criminal Conviction Surcharge Fund.

MOTOR VEHICLE THEFT PREVENTION TRUST FUND – 376

Payment of expenses

The decrease in payment of expenses was due to the Illinois Criminal Justice Information Authority discontinuing funding for the Motor Vehicle Theft Prevention Council during Fiscal Year 2015.

STATE ASSET FORFEITURE FUND – 514

Payment of expenditures

The decrease in payment of expenditures was due to the Department not purchasing and replacing mobile data computers and a decrease in the Official Advance Fund expenses in Fiscal Year 2015.

FEDERAL ASSET FORFEITURE FUND – 520

Payment of expenditures

The decrease in the payment of expenditures was due to expenditures being limited to available cash in Fiscal Year 2015.

SEX OFFENDER REGISTRATION FUND – 535

Payment of expenses for the Sex Offender Registration Program

The increase in payment of expenses for the Sex Offender Registration Program was due to Fiscal Year 2015 having a full year of payroll expenses. In Fiscal Year 2014, payroll expenses were only for a partial year.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2016**

**ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL
YEARS 2015 AND 2014 (Continued)**

LEADS MAINTENANCE FUND – 536

Payment of expenses related to LEADS system

The decrease in payment of expenses related to LEADS system was due to a mainframe computer lease ending in Fiscal Year 2014 and a reduction in telecommunication expenses in Fiscal Year 2015.

STATE POLICE WHISTLEBLOWER REWARD AND PROTECTION FUND – 705

Payment of expenditures for State law enforcement purposes

The decrease in payment of expenditures for State law enforcement purposes was due to less personal services and facilities maintenance fees paid in Fiscal Year 2015.

MONEY LAUNDERING ASSET RECOVERY FUND – 816

Ordinary and contingent expenses

The decrease in ordinary and contingent expenses was due to the decrease in purchases of tasers and mobile data computers in Fiscal Year 2015.

DRUG TRAFFIC PREVENTION FUND – 878

Grants to metropolitan enforcement groups

The increase in grants to metropolitan enforcement groups expenditures was due to additional grants issued due to new revenue from fines.

TRAFFIC AND CRIMINAL CONVICTION SURCHARGE FUND – 879

Group insurance

The decrease in group insurance expenditures was due to less employees being paid from this Fund, therefore less health insurance cost in Fiscal Year 2015.

STATE POLICE SERVICES FUND – 906

Federal and IDOT programs

The increase in Federal and Illinois Department of Transportation program expenditures was due to IDOT having the Department conduct more Chicago Area Projects (CAPS) and Give 'Em a Brake Zone (GABZ) hire back patrols in construction zones in Fiscal Year 2015.

Riverboat gambling

The decrease in riverboat gambling expenditures was due to the Department not having a cadet class and not purchasing vehicles in Fiscal Year 2015.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2016**

**ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL
YEARS 2015 AND 2014 (Continued)**

NON-APPROPRIATED FUNDS

GENERAL REVENUE FUND – 001

Ordinary and contingent expenses incurred while operating the Governors discretionary appropriation

The increase in ordinary and contingent expenses incurred while operating the Governors discretionary appropriation was due to funding received from the Governor's Office for personal services and sworn back pay liability in Fiscal Year 2015.

FEDERAL PROJECTS FUND – 904

Grant from ICJIA for crime scanner

The decrease in grant from Illinois Criminal Justice Information Authority for crime scanner expenditures was due to this being a new grant through American Recovery and Reinvestment Act funding in Fiscal Year 2014. The grant was used to purchase a Crime Scene Scanner in Fiscal Year 2014, whereby Fiscal Year 2015 did not have expenditures.

Refunds of federal grants

The decrease in refunds of federal grants was due to decreased refunds of federal grant balances to the Illinois Criminal Justice Information Authority in Fiscal Year 2015.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS
For the Two Years Ended June 30, 2016**

Significant variances were determined to be changes of at least \$20,000 and more than 20% between fiscal years as reported in the Comparative Schedule of Cash Receipts and Reconciliation of Cash Receipts to Deposits Remitted to the State Comptroller, and are explained below.

ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS BETWEEN FISCAL YEARS 2016 AND 2015

GENERAL REVENUE FUND – 001

State Highway Police

The decrease in State Highway Police receipts was due to the proceeds of seizure and forfeiture of vessels, vehicles, and aircrafts being deposited into the State Asset Forfeiture Fund – 514 and no longer being deposited into the General Revenue Fund – 001 in Fiscal Year 2016, in accordance with the Criminal Code of 2012 (720 ILCS 5/36).

FISH AND WILDLIFE FUND – 041

The increase in the Fish and Wildlife Fund receipts was due to an increase in Citizen Firearm Owners Identification (FOID) card applications during Fiscal Year 2016.

STATE POLICE MOTOR VEHICLE THEFT PREVENTION TRUST FUND – 376

The decrease in the State Police Motor Vehicle Theft Prevention Trust Fund receipts was due to the Motor Vehicle Theft Prevention Council being discontinued in Fiscal Year 2015.

STATE ASSET FORFEITURE FUND – 514

The increase in State Asset Forfeiture Fund receipts was due to an increase in assets seized, forfeited, and adjudicated during Fiscal Year 2016. In addition, proceeds of seizure and forfeiture of vessels, vehicles, and aircrafts were deposited into the State Asset Forfeiture Fund – 514 and no longer being deposited into the General Revenue Fund – 001 in Fiscal Year 2016, in accordance with the Criminal Code of 2012 (720 ILCS 5/36). The receipts are expected to fluctuate from year to year.

FEDERAL ASSET FORFEITURE FUND – 520

The increase in the Federal Asset Forfeiture Fund receipts was due to an increase in assets seized, forfeited, and adjudicated during Fiscal Year 2016. The receipts are expected to fluctuate from year to year.

STATEWIDE 9-1-1 FUND – 612

The increase in the Statewide 9-1-1 Fund receipts was due to the Fund being transferred from the State of Illinois, Illinois Commerce Commission to the Department effective January 1, 2016. Therefore, the Department had no receipts in Fiscal Year 2015.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS
For the Two Years Ended June 30, 2016**

**ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS BETWEEN FISCAL
YEARS 2016 AND 2015 (Continued)**

WIRELESS CARRIER REIMBURSEMENT FUND – 613

The increase in the Wireless Carrier Reimbursement Fund receipts was due to Public Act 99-0642 moving all statutory responsibility for the deposit of funds from the State of Illinois, Illinois Commerce Commission to the Department in the last six months of Fiscal Year 2016. Therefore, the Department had no receipts in Fiscal Year 2015.

STATE POLICE WIRELESS SERVICE FUND – 637

The decrease in State Police Wireless Service Fund receipts was due to revenue declines as counties take over the 9-1-1 responsibilities.

OVER DIMENSIONAL LOAD POLICE ESCORT FUND – 652

The increase in the Over Dimensional Load Police Escort Fund receipts was due to an increase in the number of police escorts during Fiscal Year 2016. The number of escorts is expected to fluctuate from year to year.

STATE POLICE WHISTLEBLOWER REWARD AND PROTECTION FUND – 705

The increase in the State Police Whistleblower Reward and Protection Fund receipts was due to a correction of a deposit error in Fiscal Year 2016.

MONEY LAUNDERING ASSET RECOVERY FUND – 816

The increase in the Money Laundering Asset Recovery Fund receipts was due to an increase in assets seized, forfeited, and adjudicated during Fiscal Year 2016. The receipts are expected to fluctuate from year to year.

STATE POLICE OPERATIONS ASSISTANCE FUND – 817

The increase in the State Police Operations Assistance Fund receipts was due to the Department receiving an increase in private donations in Fiscal Year 2016.

DRUG TRAFFIC PREVENTION FUND – 878

The decrease in court and anti-trust distributions receipts was due to a decrease in the number of fines collected during Fiscal Year 2016. These receipts are expected to fluctuate from year to year.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS
For the Two Years Ended June 30, 2016**

**ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS BETWEEN FISCAL
YEARS 2015 AND 2014**

GENERAL REVENUE FUND – 001

Other State agencies

The decrease in receipts from other State agencies was due to no reimbursements being received from the Illinois Emergency Management Agency for providing enforcement presence during natural disasters. The duration and frequency of natural disasters is never consistent.

FIREARM OWNERS' NOTIFICATION FUND – 071

The decrease in the Firearm Owners' Notification Fund receipts was due to the Fund being eliminated and merged with the State Police Firearm Services Fund – 209.

STATE POLICE MOTOR VEHICLE THEFT PREVENTION TRUST FUND – 376

The decrease in the State Police Motor Vehicle Theft Prevention Trust Fund receipts was due to the Motor Vehicle Theft Prevention Council being discontinued in Fiscal Year 2015.

STATE ASSET FORFEITURE FUND – 514

The decrease in State Asset Forfeiture Fund receipts was due to a decrease in assets seized, forfeited, and adjudicated during Fiscal Year 2015. The receipts are expected to fluctuate from year to year.

FEDERAL ASSET FORFEITURE FUND – 520

The decrease in Federal Asset Forfeiture Fund receipts was due to a decrease in assets seized, forfeited, and adjudicated during Fiscal Year 2015. The receipts are expected to fluctuate from year to year.

MONEY LAUNDERING ASSET RECOVERY FUND – 816

The decrease in the Money Laundering Asset Recovery Fund receipts was due to a decrease in assets seized, forfeited, and adjudicated during Fiscal Year 2015. The receipts are expected to fluctuate from year to year.

STATE POLICE OPERATIONS ASSISTANCE FUND – 817

The decrease in the State Police Operations Assistance Fund receipts was due to the Department receiving fewer private donations in Fiscal Year 2015.

DRUG TRAFFIC PREVENTION FUND – 878

The decrease in the Drug Traffic Prevention Fund receipts was due to a decrease in the number of fines collected during Fiscal Year 2015. These receipts are expected to fluctuate from year to year.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
For the Two Years Ended June 30, 2016**

ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING

Significant variances were determined to be changes of at least \$20,000 and more than 20% as reported in the Schedule of Appropriations, Expenditures, and Lapsed Balances, and are explained below.

FISCAL YEAR 2016

On June 30, 2016, Public Act 99-0524 established Fiscal Year 2016 appropriated spending authority for Funds 152, 209, 222, 237, 328, 514, 520, 536, 537, 637, 705, 816, 878, 879, and 906 . This caused significant spending to occur in lapse period in Fiscal Year 2016.

STATEWIDE 9-1-1 FUND - 612

Costs pursuant to the Emergency Telephone Act

Significant lapse period spending for costs pursuant to the Emergency Telephone Act was due to the Department making two out of four main distributions in Fiscal Year 2016 to the telecommunication carriers during lapse period. The two main distributions out of lapse period were caused by the amount of time it takes for the customer to pay their telecommunication carriers, the telecommunications carrier to pay the Department, and the Department to distribute those funds.

THE FEDERAL PROJECTS FUND – 904

Refunds of federal grants

Significant lapse period spending for refunds of federal grants was due to the Department correcting a voucher in the Fiscal Year 2016 lapse period that was posted to an incorrect appropriation in April.

FISCAL YEAR 2015

GENERAL REVENUE FUND – 001

Division of Administration

Contractual services

Invoices for facility maintenance fees, attorneys, and repairs and maintenance were not received and processed until the lapse period.

Commodities

Invoices for taser cartridges and uniform supplies were not received until the end of June and were processed during lapse period.

Printing

Invoices for thermal paper and warning tickets were not received and processed until the lapse period.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
For the Two Years Ended June 30, 2016**

ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING (Continued)

Telecommunication

Invoices for revolving funds were not received and processed until the lapse period.

Payment of tort claims

Due to the nature of litigation, a legal settlement was not agreed to and signed until the end of June and the payment was not made until lapse period.

Division of Operations

Equipment

Interview room video system installations invoices were received and processed during the lapse period.

Telecommunication

Invoices for Starcom user fees and console maintenance were not received and processed until the lapse period.

Ordinary and contingent expenses

Facilities maintenance fees, IWIN monthly user fees, software maintenance fees and data line usage fees were not processed until the lapse period due to staffing shortages. In addition, a tort payment was not made until the lapse period due to the terms of the settlement requiring a portion of the payment to be at a later date.

Division of Forensic Services and Identification

Commodities

Invoices for scientific commodities were not received and processed until the lapse period.

Equipment

Invoices for scientific equipment were not received and processed until the lapse period.

Division of Internal Investigation

Operation of auto equipment

May and June fuel expenses were not processed until the lapse period due to staffing shortages and invoices that were not received until the lapse period.

STATE POLICE DUI FUND – 222

Equipment purchases to assist in the prevention of driving while under the influence of alcohol, drugs, or intoxication compounds

Final shipment of radar/lidar was not received and the order invoice was not processed until the lapse period.

STATE POLICE VEHICLE FUND – 246

Purchase of vehicles and accessories

Vehicles and related equipment invoices were not received and processed until the lapse period.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
For the Two Years Ended June 30, 2016**

ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING (Continued)

FEDERAL ASSET FORFEITURE FUND – 520

Payment of expenditures

Body armor, data line fees, computer floor space rental, and software license invoices were not processed until the lapse period due to staffing shortages.

MONEY LAUNDERING ASSET RECOVERY FUND – 816

Ordinary and contingent expenses

Airplane engine replacement installation invoices were not received and processed until the lapse period and Secretary of State digital driver's license and signature access invoices were not processed until the lapse period due to vendor status issues at the Comptroller's Office.

TRAFFIC AND CRIMINAL CONVICTION SURCHARGE FUND – 879

Contractual services

Utilities, repairs, and maintenance invoices were not received and processed until the lapse period.

Printing

Invoices for bound compiled statutory mandates were not received and processed until the lapse period.

NON-APPROPRIATED FUNDS

GENERAL REVUE FUND – 001

Ordinary and contingent expenses incurred while operating the Governors discretionary appropriation

Fraternal Order of Police sworn back pay was not processed until the lapse period due to the intergovernmental agreement not being signed until June.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF ACCOUNTS RECEIVABLE
For the Two Years Ended June 30, 2016
(Not Examined)**

(amounts expressed in thousands)

GENERAL REVENUE FUND - 001

Aging Schedule	2016	2015
Current	\$ -	\$ -
1-30 days	-	-
31-90 days	-	-
91-180 days	-	-
181 days to 1 year	-	-
Over 1 year	4	5
Accounts receivable gross balance	4	5
Less: estimated uncollectibles	2	2
Accounts receivable net balance	\$ 2	\$ 3

These amounts represent receivables related to miscellaneous revenue.

ROAD FUND - 011

Aging Schedule	2016	2015
Current	\$ -	\$ 1,020
1-30 days	-	-
31-90 days	-	-
91-180 days	-	-
181 days to 1 year	-	-
Over 1 year	-	-
Accounts receivable gross balance	-	1,020
Less: estimated uncollectibles	-	-
Accounts receivable net balance	\$ -	\$ 1,020

These amounts represent receivables related to overweight fines.

Note: The above schedule is presented as Not Examined because of the significance of the exceptions noted in finding 2016-002.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF ACCOUNTS RECEIVABLE
For the Two Years Ended June 30, 2016
(Not Examined)**

(amounts expressed in thousands)

STATE GARAGE REVOLVING FUND - 303

<u>Aging Schedule</u>	<u>2016</u>	<u>2015</u>
Current	\$ -	\$ -
1-30 days	-	-
31-90 days	-	-
91-180 days	-	-
181 days to 1 year	-	-
Over 1 year	<u>88</u>	<u>88</u>
Accounts receivable gross balance	88	88
Less: estimated uncollectibles	<u>77</u>	<u>77</u>
Accounts receivable net balance	<u>\$ 11</u>	<u>\$ 11</u>

These amounts represent receivables related to property damage to State Police vehicles.

ILLINOIS STATE TOLL HIGHWAY ROAD FUND - 455

<u>Aging Schedule</u>	<u>2016</u>	<u>2015</u>
Current	\$ -	\$ -
1-30 days	-	-
31-90 days	-	-
91-180 days	-	-
181 days to 1 year	1	-
Over 1 year	<u>86</u>	<u>86</u>
Accounts receivable gross balance	87	86
Less: estimated uncollectibles	<u>86</u>	<u>86</u>
Accounts receivable net balance	<u>\$ 1</u>	<u>\$ -</u>

These amounts represent receivables related to overweight fines.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ANALYSIS OF ACCOUNTS RECEIVABLE
For the Two Years Ended June 30, 2016
(Not Examined)**

(amounts expressed in thousands)

OVER DIMENSIONAL LOAD POLICE ESCORT FUND - 652

Aging Schedule	2016	2015
Current	\$ -	\$ -
1-30 days	-	-
31-90 days	-	-
91-180 days	-	-
181 days to 1 year	-	-
Over 1 year	16	17
Accounts receivable gross balance	16	17
Less: estimated uncollectibles	16	17
Accounts receivable net balance	\$ -	\$ -

These amounts represent receivables related to police escorts.

DRUG TRAFFIC PREVENTION FUND - 878

Aging Schedule	2016	2015
Current	\$ -	\$ -
1-30 days	-	-
31-90 days	-	-
91-180 days	-	-
181 days to 1 year	-	-
Over 1 year	306	307
Accounts receivable gross balance	306	307
Less: estimated uncollectibles	234	228
Accounts receivable net balance fund	\$ 72	\$ 79

These amounts represent receivables related to drug fines.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
AGENCY FUNCTIONS AND PLANNING PROGRAM (NOT EXAMINED)
For the Two Years Ended June 30, 2016**

AGENCY FUNCTIONS

The Department of State Police (Department) was established January 1, 1970 and was reorganized by Executive Order in 1977 and again in 1993. The Department's responsibility is to maintain order as mandated by Illinois Compiled Statutes, while safeguarding the rights and privileges of all citizens of the State.

In order to fulfill its responsibility, the Department has been vested with various powers, rights and duties. To best perform its duties, the Department has been divided into four divisions: Operations, Forensic Services, Administration and Internal Investigation, all under the direction of the Director of the Department. Each division is also under the direction of a deputy director, all appointed by the Director of the Department and subject to the control and supervision of the Director. Each of these divisions is charged with the exercise of specific functions as follows:

Director's Office

The Director's office exercises such duties as may be provided by law and those duties assigned by the Director in order to fulfill the responsibilities and achieve the purpose of the Department. Directly through the Director's office, functions related to the following are performed: Budget, Equal Employment Opportunity, Executive Protection, Governmental Affairs, Inspections and Audits, Labor Relations, Legal, Public Information and Research and Development, and Human Resources.

Division of Operations

The Division of Operations (DOO) is comprised of a network of 21 district headquarters and seven investigative zone offices located throughout the State. The division provides a full range of enforcement, educational and support services to Illinois' citizens and the law enforcement community.

Over the years, the operational structure of DOO has evolved into two commands, Field Operations and Field Support. The Field Operations Command consists of four geographic-based regional commands that account for the individual needs of their service area while fulfilling the Department's mission. Each region consists of districts, responsible for patrol and traffic enforcement, and zones, responsible for criminal investigations. The Field Support Command includes specialty units and other support functions that provide statewide services.

DOO patrol personnel are tasked with enforcing traffic laws as set forth in the Illinois Vehicle Code and the Illinois State Police Act. Enforcement activities focus on traffic crash reduction and traffic safety strategies, with particular attention to speeding, distracted driving, occupant restraint, aggressive driving, and alcohol-related violations. Districts also conduct criminal interdiction and patrols to reduce violent crime and combat criminal enterprises. Districts conduct special enforcement details and partner with other law enforcement agencies on joint traffic safety and criminal patrol initiatives throughout the year.

Patrol personnel also staff special assignments and details, such as the Illinois and DuQuoin State Fairs.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
AGENCY FUNCTIONS AND PLANNING PROGRAM (NOT EXAMINED)
For the Two Years Ended June 30, 2016**

AGENCY FUNCTIONS (Continued)

Investigative personnel are assigned to the Zone offices and are responsible for providing investigative support to the Districts and other law enforcement agencies. Zones investigate a wide variety of both traditional and non-traditional crimes, such as homicide, sex offenses, drug trafficking, public integrity, terrorism and cargo theft. The Division actively participates in numerous drug, auto theft and major crime task forces and Metropolitan Enforcement Groups, partnering with other law enforcement agencies in joint criminal investigations and initiatives.

DOO also includes a variety of specialty units, which lend support to both the patrol and investigative components. These units include, but are not limited to, the Special Operations Command, Intelligence Command, Medicaid Fraud Control Bureau, Riverboat Gaming Command and Air Operations Bureau. Recent initiatives include the formation of a statewide Traffic Crash Reconstruction Unit and Clandestine Laboratory and Medical Marijuana Programs Unit. The DOO has recently implemented an innovative strategy designed to maximize impacts on crime and traffic safety while at the same time employing on-the-job officer development strategies that are designed to reinvest knowledge, experience, and motivation back into the field. Activating a restructure of the Field Support Command, DOO has packaged the Criminal Patrol Section (CRIMPAT) along with the Motorcycle Enforcement Bureau (MEB) and the DOO Canine Supervisor within one reporting structure. Each of these disciplines are tactically situated to accomplish the goals and objectives of the Division while at the same time establishing a foundation to enable continued success over the course of time. DOO has designed a low impact approach built to achieve high yields in terms of activity, on-the-job continued education, and motivation. The CRIMPAT Section strives to develop well-trained and motivated officers who patrol and interact with the public in a manner to reduce fatality rates, build and maintain positive relationships with citizens, and detect/disrupt criminal activity. The Department's Special Weapons and Tactics Team and Statewide Terrorism and Intelligence Center provide support to special details and enforcement efforts throughout the state and serve as valuable resources to other local, county, state and federal agencies.

Division of Forensic Services

The Division of Forensic Services consists of two commands, the Forensic Sciences Command and Crime Scene Services Command (CSSC). CSSC's Crime Scene Investigators (CSIs) provide crime scene processing services to federal, state, county and local law enforcement agencies throughout Illinois. Additional specialized services are offered in blood-stain pattern analysis, bullet trajectory analysis, crime scene mapping, and unmanned aircraft system (UAS) operations. The CSSC administers a Quality Assurance Program which supports the delivery of scientifically sound techniques, testing, and interpretations. Two polygraph examiners are also part of the CSSC and conducted examinations throughout the state. The Forensic Sciences Command employs approximately 400 forensic scientists, evidence technicians and forensic managerial and support staff in six operational laboratories, the statewide training program and the research and development laboratory. The operational laboratories provide scientific analysis of evidence for law enforcement agencies throughout Illinois. The Combined Offender DNA Index System (CODIS) compares DNA from evidence with profiles from convicted offenders or other forensic cases. The Integrated Bullet Identification System (IBIS) database can link cartridge cases and bullets from different crime scenes as well as a bullet or cartridge to a particular weapon. The Automated Fingerprint Identification System (AFIS) compares unknown fingerprints from crime scenes with known fingerprints of more than five million people who have been fingerprinted in Illinois as a result of an arrest, a background check or an application process.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
AGENCY FUNCTIONS AND PLANNING PROGRAM (NOT EXAMINED)
For the Two Years Ended June 30, 2016**

AGENCY FUNCTIONS (Continued)

Division of Administration

The Division of Administration consists of two commands and provides administrative support for the Department, as well as support for certain state, local and federal law enforcement entities. The Administrative Support Command houses the Statewide 9-1-1 Bureau, Illinois State Police Academy, Bureau of Identification, Communications Services Bureau, Fiscal Management Bureau, and Logistics Bureau. The Information Technology Command houses the Firearms Services Bureau, and Program Administration Bureau. Through June 30, 2016, information technology functions were handled by the Information Services Bureau which was housed under the Information Technology Command. Effective July 1, 2016, the Information Services Bureau became a part of the new Department of Innovation and Technology and continues to support the Department as well as other state, local and federal law enforcement entities.

- The Statewide 9-1-1 Bureau is responsible for developing, implementing, and overseeing a uniform statewide 9-1-1 system for all areas of the State outside of municipalities having a population over 500,000, and for collecting surcharge monies which are distributed according to statute.
- The Illinois State Police Academy prepared new officers for a career in law enforcement.
- The Bureau of Identification serves as the repository for all criminal history records in Illinois.
- The Communication Services Bureau is responsible for facilitating open communication between state, county, and local government agencies in Illinois.
- The Fiscal Management Bureau manages the Department's fiscal operations in an efficient and effective manner while maintaining fiscal integrity as defined by state and federal law, administrative rule, and Department policy.
- The Logistics Bureau is responsible for facility management, which includes the coordination of new construction and the oversight of facility repair and maintenance projects. Additionally, the Bureau is responsible for the acquisition and management of the Department's fleet, as well as the purchase, distribution, and storage of supplies and uniforms.
- The Firearms Services Bureau is responsible for the administration of the Firearm Owner's Identification (FOID) Program, Firearm Concealed Carry Act (FCCL) and the Firearm Transfer Inquiry Program (FTIP), and manages the application, denial, issuance, revocation, and suspension of FOID and FCCLs as well as an administrative appeals process. The Firearms Transfer Inquiry Program (FTIP) program facilitates background checks on persons who are attempting to acquire a firearm from an Illinois firearms dealer. The Information Services Bureau is charged with developing, implementing, and maintaining the information applications, systems, and infrastructure, which support the core mission of the Illinois State Police, and well as other state, local and federal entities.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
AGENCY FUNCTIONS AND PLANNING PROGRAM (NOT EXAMINED)
For the Two Years Ended June 30, 2016**

AGENCY FUNCTIONS (Continued)

- The Program Administration Bureau is charged with administrative and operational oversight of four statewide criminal justice information systems including the Law Enforcement Agency Data Systems (LEADS), Illinois Law Enforcement Analysis and Reporting System (ICLEAR), which includes I-Case and the Data Warehouse, the Learning Management System (LMS), which is a statewide web-based system for delivering, tracking, and managing training and required certifications, and the Illinois Uniform Crime Reporting (I-UCR) system which is the collection of federally compliant crime statistics from Illinois law enforcement agencies.

Division of Internal Investigation

The Division of Internal Investigation (DII) safeguards the integrity of the Department.

The functions of DII include initiating internal departmental investigations and the investigation of complaints and the initiation of investigations of official misconduct by State officers and State employees. Among its diverse responsibilities are the investigations of financial crimes, theft of State property, and charges of abuse or neglect of inmates of State correctional and mental facilities.

The Division also performs employee background investigations on all State Police cadet applicants, Department's code and contractual employees, and applicants of criminal justice agencies. The Identified Offender Program responsibility is to conduct backgrounds of residents in nursing care facilities who have been convicted of an offense enumerated in Public Act 94-0752.

AGENCY PLANNING

The Department has established a formalized, systematic planning program to ensure the efforts of the Department are coordinated to accomplish departmental goal and initiatives.

The State of Illinois, Governor's Office of Management and Budget, coordinates strategic management and performance measurement reporting for agencies across the State. The Department also reports performance data to the Illinois Office of the Comptroller for publishing in the Service Efforts and Accomplishments annual public accountability report.

The Director and senior command of the Department establish broad-based direction, goals and objectives of the Agency. Based on this direction, the focus of activity for the Department is established and the divisional planning efforts occur. The Planning Leader coordinates these activities.

The overall coordination of the Department's plan and performance measurement is the responsibility of the Planning Coordinator in conjunction with a department wide Strategic Management Core Group. Each Department Division designates a Core Group and Sub-Core Group member. The Core Group person acts as the primary division representative and actively works with division command and the Research and Development Unit in an oversight and decision-making capability to ensure strategic plan submissions and performance measures are timely, meaningful, and accurately reflect command expectations. The Sub-Core Group member acts as the alternate contact in the absence of the Core Group member. The Core Group member from each division analyzes, develops, and reports divisional direction and performance that support departmental goals.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
BUDGET IMPASSE DISCLOSURES (NOT EXAMINED)
For the Two Years Ended June 30, 2016**

PAYMENT OF FISCAL YEAR 2016 COSTS IN FUTURE FISCAL YEARS

The Department lacked sufficient expenditure authority, from either court-ordered expenditures or enacted appropriations, to cover its Fiscal Year 2016 costs. The Department, as of the end of fieldwork, was holding 7,322 Fiscal Year 2016 invoices, totaling \$17,216,542. Critical vendor payments for Fiscal Year 2016 services are being made, as of the end of fieldwork, under Article 74 of Public Act 99-0524 which authorized the Department to pay Fiscal Year 2016 costs using the Department's Fiscal Year 2017 appropriations for non-payroll expenditures.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ALTERNATIVE FINANCING IN LIEU OR APPROPRIATIONS AND PROGRAMS
TO ADDRESS UNTIMELY PAYMENTS TO VENDORS (NOT EXAMINED)
For the Two Years Ended June 30, 2016**

TRANSACTIONS INVOLVING THE ILLINOIS FINANCE AUTHORITY

The Department and its vendors did not participate in alternative financing in lieu of enacted appropriations involving the Illinois Finance Authority during Fiscal Year 2016.

TRANSACTIONS INVOLVING THE VENDOR PAYMENT PROGRAM AND VENDOR SUPPORT INITIATIVE PROGRAM

Vendor Payment Program (VPP)

In 2011, the State of Illinois (State) created the voluntary VPP in response to delays in payments for goods and services provided by the State's vendors arising from the State's cash flow deficit. The Department of Central Management Services (CMS) approved third party financing entities to act as "qualified purchasers" of accounts receivable from "participating vendors" who had submitted invoices which had not been paid by the State.

A participating vendor's accounts receivable is eligible for the VPP if it is from an invoice unpaid by the State that is (1) not for medical assistance payments (2) where 90 days have passed since the proper bill date, which is (3) entitled to interest under the State Prompt Payment Act (Act) (30 ILCS 540) and (4) free of any liens or encumbrances. Under the terms of an agreement between a qualified purchaser and the participating vendor, the participating vendor receives payment for 90% of the receivable balance. The participating vendor, in turn, assigns its rights to the interest due under the Act to the qualified purchaser. When the State Comptroller ultimately pays the invoice, the participating vendor receives the remaining 10% due (less any offsets).

Notably, while CMS approved the qualified purchasers and provided information to vendors about VPP, neither CMS nor the State are parties to the assignment agreements.

During Fiscal Year 2015 and Fiscal Year 2016, none of the Department's vendors participated in the Vendor Payment Program (VPP).

Vendor Support Initiative Program (VSI)

During Fiscal Year 2016, the State created the voluntary VSI as an alternative to the VPP for cases where the Department lacked an enacted appropriation or other legal expenditure authority to present invoices to the State Comptroller for payment. The VSI operated similarly to the VPP, although the Department was required to determine a participating vendor's invoice (1) would have met the requirements of the VPP and (2) provided the proper bill date of invoice prior to the qualified purchaser and participating vendor entering into an agreement where the participating vendor received payment for 90% of the receivable balance. The participating vendor, in turn, assigned its rights to the interest due under the Act to the qualified purchaser. After the State Comptroller ultimately paid/pays the invoice after the Department receives/received appropriations or other legal expenditure authority to pay the invoice, the participating vendor receives/received the remaining 10% due (less any offsets).

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
ALTERNATIVE FINANCING IN LIEU OR APPROPRIATIONS AND PROGRAMS
TO ADDRESS UNTIMELY PAYMENTS TO VENDORS (NOT EXAMINED)
For the Two Years Ended June 30, 2016**

TRANSACTIONS INVOLVING THE VENDOR PAYMENT PROGRAM AND VENDOR SUPPORT INITIATIVE PROGRAM (Continued)

During Fiscal Year 2016, the Department had 7 vendors participate in VSI for 312 invoices, totaling \$3,773,479. A summary of the amount of transactions by qualified purchaser follows:

TRANSACTIONS BY QUALIFIED PURCHASER	
Qualified Purchaser	Total
VAP Funding Master Note Trust	\$ 3,773,479

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
INTEREST COSTS ON FISCAL YEAR 2016 INVOICES (NOT EXAMINED)
For the Two Years Ended June 30, 2016**

PROMPT PAYMENT INTEREST COST

The Department was unable to pay all of the Fiscal Year 2016 expenditures. Therefore, if the Department was able to pay all Fiscal Year 2016 expenditures, the Department would have calculated prompt payment interest due to vendors under the State Prompt Payment Act (Act) (30 ILCS 540) by using the vendor's proper bill date through the date the State Comptroller issued a warrant to the vendor, regardless of when and if an enacted appropriation existed during Fiscal Year 2016. The Act (30 ILCS 540/3-2) and the Illinois Administrative Code (74 Ill. Admin. Code 900.100) require interest to be paid under a daily simple interest rate of .033% (1% over a 30-day period) for every day elapsed following the 90th day after a vendor submits an eligible proper bill to the Department. The following chart shows the Department's approximate timely payment interest incurred related to Fiscal Year 2016 invoices, calculated on the accrual basis of accounting, through June 30, 2016, by fund:

PROMPT PAYMENT INTEREST INCURRED
Year Ended June 30, 2016

Fund #	Fund Name	Approximate Invoices	Approximate Dollar Value
001	General Revenue Fund	5,800	\$ 850,000

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
AVERAGE NUMBER OF EMPLOYEES (NOT EXAMINED)
For the Years Ended June 30,**

The following table, prepared from Agency records, presents the average number of employees, by function, for the Fiscal Years ended June 30,

	<u>2016</u>	<u>2015</u>	<u>2014</u>
Operations	1,552	1,569	1,600
Internal Investigations	51	59	61
Forensics	392	417	419
Administration	189	202	217
Academy	31	53	60
Shared Services	-	-	17
Special Funds	<u>351</u>	<u>377</u>	<u>344</u>
Total average number of employees	<u><u>2,566</u></u>	<u><u>2,677</u></u>	<u><u>2,718</u></u>

Note: For Fiscal Year 2016 and Fiscal Year 2015, Shared Services employees were combined with administration due to appropriations.

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
EMERGENCY PURCHASES (NOT EXAMINED)
For the Two Years Ended June 30, 2016**

The Department reported the following emergency purchases to the Office of the Auditor General during Fiscal Year 2016 and Fiscal Year 2015:

<u>DESCRIPTION OF EMERGENCY PURCHASE</u>	<u>AMOUNT</u>
Contract for fiscal technology resources	\$ 218,400
Keying and scanning FOID card applications	181,676
Update fingerprint examiner workstations	204,612
Overhaul and replace aircraft engine	69,243
FOID card application supplies	<u>63,424</u>
TOTAL COST	<u><u>\$ 737,355</u></u>

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED)
For the Two Years Ended June 30, 2016**

The Department's mission centers on the basic premise of promoting public safety with integrity, service and pride to improve the quality of life in Illinois.

Division of Operations

The Division of Operations (DOO) works daily to safeguard the public by reducing the number and seriousness of vehicle crashes. Troopers regularly patrol over 138,000 miles of Illinois roadways, consisting of interstates, State highways, and secondary county roads. Officers in specialty roles provide expertise in the areas of safety education, crash reconstruction, commercial vehicle enforcement, crime prevention, highway drug interdiction, and critical incident tactical response teams. Department investigators assigned to seven investigative zones Statewide are charged with examining homicide, narcotics, and violent crime cases. Specialized investigative programs and task forces include child homicide, Medicaid fraud, clandestine methamphetamine laboratory dismantling, financial crime, criminal intelligence, and child exploitation.

<u>Output indicators</u>	<u>2016</u>	<u>2015</u>	<u>2014</u>
Number of impaired driving/zero tolerance citations	11,538	9,150	12,782
Number of speeding citations	110,792	131,268	133,315
Number of motor carrier inspections	89,712	82,109	86,727
Number of criminal investigative cases open	7,422	8,151	5,205
Number of criminal investigative cases closed	5,680	6,439	2,042

Division of Forensic Services

The Division of Forensic Services (DFS) provides crime scene services and forensic analysis for Illinois' criminal justice community. The Crime Scene Investigators in the Crime Scene Services Command interpret the evidentiary value of items and collect and process evidence from crash and crime scenes. The Department forensic science laboratory system is one of the largest in the world and provides expert forensic analysis and witness testimony in the following disciplines: drug chemistry, latent prints, toxicology, forensic biology, firearms/tool marks, DNA, trace evidence, microscopy and footwear/tiretracks. One of the biggest challenges faced by the Department forensic laboratory system is its ability to reduce backlogs and turnaround times while facing increased demands in some forensic disciplines. The Department continues to utilize improved technology to meet these challenges.

<u>Output indicators</u>	<u>2016</u>	<u>2015</u>	<u>2014</u>
Number of Deoxyribonucleic Acid (DNA) cases worked	5,450	5,704	6,086
Number of forensic cases worked in all disciplines	83,157	83,594	91,878
Number of crime scenes processed	4,003	3,583	3,736

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED)
For the Two Years Ended June 30, 2016**

Division of Administration

The Division of Administration (DOA) provides administrative and technical support to the employees of the Illinois State Police and law enforcement agencies throughout the State via various programs, i.e., Firearms Owners Identification (FOID) Program, Firearm Concealed Carry (FCCL) program, the Law Enforcement Agency Data System (LEADS) as well as information technology support, facility and fleet management, and human resource management. The primary challenge for DOA is the need to address the influx of FOID card applications in a timely manner and to implement the newly passed Conceal Carry legislation. Efforts are underway to develop technology-based systems that will assist in operating these two programs in an efficient and effective manner.

<u>Output indicators</u>	<u>2016</u>	<u>2015</u>	<u>2014</u>
Number of inquiry transactions processed (LEADS)	81,293,629	95,206,994	94,549,443
Number of FOID applications processed	225,448	165,083	186,302
Number of Concealed Carry License applications processed	119,288	61,558	80,394
Number of identification inquiries	1,007,162	1,012,709	928,126

Division of Internal Investigation

The Division of Internal Investigation (DII) acts as the “watchdog for integrity in State government.” The DII investigates charges of improper conduct or inappropriate behavior by Department employees and investigates alleged misconduct or wrongdoing by officials, members or employees of any agency, board, or commission in the executive branch of Illinois Government.

<u>Output indicators</u>	<u>2016</u>	<u>2015</u>	<u>2014</u>
Total DII cases opened	191	184	211
Number of employment background checks completed	790	830	1,001
Criminal history analyses conducted at nursing homes	3,579	2,914	2,573

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
STATUS OF IMPLEMENTATION OF WIRELESS 9-1-1
AND E9-1-1 SYSTEMS (NOT EXAMINED)
For the Six Months Ended June 30, 2016**

Wireless 9-1-1 systems report annually to the Department of State Police the status of implementation of wireless enhanced 9-1-1 systems. Some systems reflect multiple phases, since the phases of the implementation are reported by wireless telephone carriers within each system which may be in different stages of implementation. Implementation phases are defined by the Federal Communications Commission as:

Phase 0 – No information is received with the wireless call.

Phase 1 – The telephone number of the caller and the location of the cell site or base station is received with the wireless call.

Phase 2 – The telephone number of the caller and the location of the 9-1-1 calls by longitude and latitude is received with the wireless call.

NR – A status of implementation report was not filed for the fiscal year.

<u>Wireless 9-1-1/E-9-1-1 System</u>	<u>Phase¹</u>
Adams County	2
Alexander County (see Pulaski County)	
Alsip	2
Barrington (see CenCom)	
Bedford Park	NR
Bellwood	2
Berkeley	2
Berwyn	2
Blue Island	1/2 ²
Bond County	2
Boone County	1/2 ²
Bridgeview (see Oak Lawn)	
Broadview	2
Brookfield	1/2 ²
Brown County (see Cass)	
Bureau County	2
Burr Ridge (see Southwest Central)	
Calhoun County (see Jersey County)	
Calumet City/Burnham	2
Calumet Park	2
Carroll County	NR
Cass County	2
Cencom	1/2 ²
Champaign County	2
Chicago Heights	1

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
STATUS OF IMPLEMENTATION OF WIRELESS 9-1-1
AND E9-1-1 SYSTEMS (NOT EXAMINED)
For the Six Months Ended June 30, 2016**

<u>Wireless 9-1-1/E-9-1-1 System (Continued)</u>	<u>Phase¹</u>
Chicago Office of Emerg. Comm.	2
Christian County	2
Cicero	1/2 ²
Clark County	2
Clay County	2
Clinton County	2
Coles County	2
Cook County	1/2 ²
Country Club Hills	1/2 ²
Countryside	1/2 ²
Crawford County	2
Cumberland County	2
Deerfield/Bannockburn	2
DeKalb County	2
Des Plaines	1/2 ²
DeWitt County	1/2 ²
Dolton (see Cook County)	
Douglas County	2
DuPage County	2
E-COM (includes Flossmoor, Glenwood, Homewood, Hazelcrest, Riverdale, and South Holland)	2
Edgar County	2
Edwards County	1/2 ²
Effingham County	2
Elgin	1/2 ²
Elmwood Park	2
Evanston	2
Ford County	2
Forest Park	2
Fox Lake (see Glenview)	
Franklin County	2
Franklin Park	2
Fulton County	2
Galesburg/Knox County	1/2 ²
Gallatin County	2

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
STATUS OF IMPLEMENTATION OF WIRELESS 9-1-1
AND E9-1-1 SYSTEMS (NOT EXAMINED)
For the Six Months Ended June 30, 2016**

<u>Wireless 9-1-1/E-9-1-1 System (Continued)</u>	<u>Phase¹</u>
Glencoe	2
Glenview	2
Grayslake (see Glenview)	
Greene County (see Jersey County)	
Grundy County	2
Gurnee	2
Hamilton County (see Saline & White Counties)	
Hancock County	2
Hardin County (see Saline County)	
Harvey	NR
Harwood Heights	1/2 ²
Henderson County (see Macomb/McDonough)	
Henry County	2
Hickory Hills	1/2 ²
Highland Park	2
Highwood	NR
Hillside	2
Hometown	2
Illinois State Police	0
Fayette County	
Forest View	
Vandalia	
Indian Head Park (see Southwest Central)	
Iroquois County	1/2 ²
Jackson County	2
Jasper County	2
Jefferson County	2
Jersey County	2
Jo Daviess County	2
Johnson County	1/2 ²
Justice	NR
Kane County	2
Kankakee County	2
Kendall County	2
Kenilworth	2

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
STATUS OF IMPLEMENTATION OF WIRELESS 9-1-1
AND E9-1-1 SYSTEMS (NOT EXAMINED)
For the Six Months Ended June 30, 2016**

<u>Wireless 9-1-1/E-9-1-1 System (Continued)</u>	<u>Phase¹</u>
LaGrange	1/2 ²
LaGrange Park	2
Lake Bluff	2
Lake County	2
Lake Forest	2
Lansing	1/2 ²
LaSalle, City of	2
LaSalle County	2
Lawrence County	NR
Lee County	2
Libertyville	2
Lincolnshire	2
Lincolnwood	2
Livingston County	2
Logan County	2
Lynwood Combined Dispatch (Lynwood/Thornton/East Hazelcrest)	2
Lyons	1/2 ²
Macomb/McDonough County	2
Macon County	1/2 ²
Macoupin County	2
Madison County	1/2 ²
Marion County	2
Markham	1/2 ²
Marseilles	2
Marshall County	2
Mason County	1/2 ²
Massac County	2
Maywood	1/2 ²
McCook	2
McHenry County	2
McLean County	2
Melrose Park	1/2 ²
Menard County	1/2 ²
Mendota	2
Mercer County	2

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
STATUS OF IMPLEMENTATION OF WIRELESS 9-1-1
AND E9-1-1 SYSTEMS (NOT EXAMINED)
For the Six Months Ended June 30, 2016**

<u>Wireless 9-1-1/E-9-1-1 System (Continued)</u>	<u>Phase¹</u>
Merrionette Park	2
Midlothian	1/2 ²
Monroe County	2
Montgomery County	2
Morgan County	2
Morton Grove	2
Moultrie County (see Coles County)	
Mundelein	1/2 ²
Naperville	2
Niles	2
Norridge	2
North Chicago	2
North Riverside	1/2 ²
Northbrook	1/2 ²
Northfield	2
Northwest Central	2
Oak Forest	1/2 ²
Oak Lawn	1/2 ²
Oak Park	1/2 ²
Ogle County	2
Oglesby	2
Orland	2
Ottawa	1/2 ²
Park City (see Waukegan)	
Park Forest (see SouthCom)	
Park Ridge	1/2 ²
Peoria County	2
Perry County	2
Peru	2
Piatt County	2
Pike County	2
Pope County (see Saline & Johnson Counties)	
Pulaski County	1/2 ²
Putnam County	2
QuadCom	2

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
STATUS OF IMPLEMENTATION OF WIRELESS 9-1-1
AND E9-1-1 SYSTEMS (NOT EXAMINED)
For the Six Months Ended June 30, 2016**

<u>Wireless 9-1-1/E-9-1-1 System (Continued)</u>	<u>Phase¹</u>
Randolph County	2
Richland County	1/2 ²
River Forest	2
River Grove	1/2 ²
Riverside	1/2 ²
Rock Island County	2
Rosemont	1/2 ²
Saline County	2
Sangamon County	2
Sauk Village	2
Schiller Park	NR
Schuyler County (see Macomb/McDonough)	
Scott County	1/2 ²
Seneca (see Marseilles)	
Shelby County (see Coles County)	
Skokie	1/2 ²
South Chicago Heights (see Chicago Heights)	
South Elgin	1/2 ²
SouthCom (includes Matteson, Richton Park, Olympia, Fields, Park Forest)	2
Southwest Central	2
Stark County (see Marshall County)	
St. Clair County	1/2 ²
Stephenson County	2
Stickney	1/2 ²
Summit	2
Tazewell County	2
Tinley Park	NR
Union County	2
Vermilion County	1/2 ²
Vernon Hills	2
Wabash County	2
Warren County	1/2 ²
Washington County	2

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
STATUS OF IMPLEMENTATION OF WIRELESS 9-1-1
AND E9-1-1 SYSTEMS (NOT EXAMINED)
For the Six Months Ended June 30, 2016**

<u>Wireless 9-1-1/E-9-1-1 System (Continued)</u>	<u>Phase¹</u>
Waukegan	1/2 ²
Wayne County	2
Westchester	1/2 ²
Western Springs	2
Wheeling	1/2 ²
White County	2
Whiteside County	2
Will County	2
Williamson County	2
Willow Springs	NR
Wilmette	NR
Winnebago County	2
Winnetka	2
Winthrop Harbor	1/2 ²
Woodford County	1/2 ²
Zion	2

¹Status of implementation reported on the annual report due on January 31, 2016.

²Denotes wireless systems where the wireless carriers are in various phases of implementation.

	<u>Total</u>
Phase 0	1
Phase 1	1
Phase 2	122
Combination of Phases	53
NR	<u>10</u>
Total Wireless 911 systems	<u><u>187</u></u>

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF PROVIDER DISBURSEMENTS FROM THE
STATEWIDE 9-1-1 FUND (FUND 612) (NOT EXAMINED)
For the Six Months Ended June 30, 2016**

The following schedule of disbursements to wireless 9-1-1 system providers was derived from the Department's records which include expenditures from January 1, 2016 through the end of Fiscal Year 2016 lapse period. The schedule does not include administrative costs paid by the Department as allowed by the Act.

<u>Provider Name</u>	<u>Total Disbursements</u>
Alexander County ETSB	\$ 22,003
ALSIP 911 ETSB	109,925
Barrington 911	94,595
Bedford Park Police Department	111,778
Bellwood ETSB	93,699
Berkeley 911	42,249
Berwyn Public Safety Center	228,100
Blue Island ETSB	97,394
Blue Island/Calumet Park	16,431
Blue Island/Posen ETSB	18,909
Bond County	104,118
Boone County	257,341
Bridgeview ETSB	45,706
Broadview ETSB	63,810
Brookfield, Village of	152,861
Brown County 911	30,321
Bureau County 911	225,738
Burr Ridge ETSB	19,228
Calumet City 911 ETSB	204,865
Calumet Park ETSB	3,042
Carroll County ETSB	121,812
Cass County ETSB	93,228
Cass/Brown County ETSB	20,018
Cencom E911 Round Lake	234,811
Champaign County METCAD 911	1,007,273
Chicago Heights 911	217,469
Christian County 911	236,404
Cicero 911 ETSB	294,113
City of LaSalle	49,630
Clark County 911	135,297
Clay County E911	113,711
Clinton County ETSB	228,099
Coles County / Moultrie	58,308

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF PROVIDER DISBURSEMENTS FROM THE
STATEWIDE 9-1-1 FUND (FUND 612) (NOT EXAMINED)
For the Six Months Ended June 30, 2016**

<u>Provider Name (Continued)</u>	<u>Total Disbursements</u>
Coles County / Shelby	\$ 63,376
Coles County ETSB	326,032
Cook County ETSB	1,350,973
Country Club Hills Police Department	114,668
Countryside ETSB	50,485
Crawford County ETSB	182,643
Cumberland County 911	83,630
Deerfield Police Department 911	191,372
DeKalb 911	461,682
Des Plaines ETSB	509,934
DeWitt County 911	113,632
Douglas County 911	125,497
DuPage County ETSB 911	4,749,211
East Hazel Crest 911	7,295
ECOM Dispatch - Flossmoor	158,030
ECOM Dispatch - Glenwood	35,271
ECOM Dispatch - Homewood	92,845
ECOM Dispatch - South Holland	98,334
ECOM Dispatch - Village of Hazel Crest	51,954
ECOM Dispatch - Riverdale	47,272
Edgar County 911	128,574
Edwards County E911 System	57,935
Effingham County 911	307,151
Elgin ETSB	489,923
Elmwood Park ETSB	181,279
Evanston ETSB	492,939
Fayette County 911	89,715
Ford County ETSB	97,520
Forest Park ETSB	85,862
Fox Lake 911	61,440
Franklin County 911	198,641
Franklin Park ETSB	136,244
Fulton County ETSB	229,266
Gallatin County E-911	48,696

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF PROVIDER DISBURSEMENTS FROM THE
STATEWIDE 9-1-1 FUND (FUND 612) (NOT EXAMINED)
For the Six Months Ended June 30, 2016**

<u>Provider Name (Continued)</u>	<u>Total Disbursements</u>
Glencoe 911	\$ 71,021
Glenview Wireless 911	339,278
Grayslake ETSB	149,912
Grundy County ETSB	347,511
Gurnee ETSB	196,791
Hancock County ETSB	141,055
Harwood Heights Police Department	55,801
Henry County 911	274,363
Hickory Hills ETSB	59,414
Highland Park 911	227,739
Highwood Police 911	24,172
Hillside ETSB	55,922
Hometown ETSB	26,001
Indian Head Park 911	18,355
Iroquois County ETSB	195,721
Illinois State Police E911	215,962
Jackson County ETSB	271,456
Jasper County E911	68,198
Jefferson County ETSB	196,890
Jersey County ETSB/E911	220,688
Jo Daviess County ETSB	180,594
Johnson County ETSB	95,990
Justice ETSB	55,721
Kane County ETSB	2,028,112
Kankakee County ETSB	548,379
Kendall County ETSB	627,771
Kenilworth 911	27,980
Knox County ETSB	287,842
Lagrange Park 911	75,408
Lagrange Police 911	83,820
Lake Bluff 911	47,803
Lake County ETSB	1,311,358
Lake Forest ETSB	150,922
Lansing ETSB	133,861

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF PROVIDER DISBURSEMENTS FROM THE
STATEWIDE 9-1-1 FUND (FUND 612) (NOT EXAMINED)
For the Six Months Ended June 30, 2016**

<u>Provider Name (Continued)</u>	<u>Total Disbursements</u>
LaSalle County ETSB	\$ 155,066
Lawrence County 911	61,017
Lee County ETSB	216,794
Libertyville ETSB	196,663
Lincolnshire 911	139,310
Lincolnwood ETSB	93,645
Livingston County ETSB	228,944
Logan County ETSB	182,011
Lynwood ETSB	38,638
Lyons 911	57,087
Macon County 911	521,257
Macoupin County ETSB	315,860
Madison County ETSB	1,296,257
Marion County ETSB	206,839
Markham ETSB	72,550
Marseilles ETSB	53,251
Marshall County ETSB	138,013
Mason County ETSB	107,847
Massac County E911	96,314
Maywood ETSB 911	54,283
McCook ETSB	24,136
McDonough County 911	172,439
McDonough/Henderson County 911	26,073
McDonough/Schuyler County 911	26,271
McHenry County ETSB	1,573,019
McLean County ETSB	944,483
Melrose PARK 911	83,691
Menard County 911	119,981
Mendota E911	61,552
Mercer County ETSB	120,669
Merrionette Park Police Department	10,031
Midlothian ETSB	87,406
Monroe County ETSB	214,176
Montgomery County 911	204,311

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF PROVIDER DISBURSEMENTS FROM THE
STATEWIDE 9-1-1 FUND (FUND 612) (NOT EXAMINED)
For the Six Months Ended June 30, 2016**

<u>Provider Name (Continued)</u>	<u>Total Disbursements</u>
Morgan County E911	\$ 198,807
Morton Grove	204,773
Mundelein Police Department ETSB	179,652
Naperville ETSB	1,056,541
Niles ETSB	200,216
Norridge 911	63,561
North Chicago ETSB	113,952
North Riverside 911	42,843
Northbrook 911	187,643
Northfield Police Department	92,034
Northwest Central 911	3,313,856
Oak Forest E911	135,964
Oak Lawn ETSB	338,983
Oak Lawn ETSB Bridgeview	67,968
Oak Lawn ETSB Burbank	109,981
Oak Lawn ETSB Evergreen Park	85,234
Oak Park ETSB	306,212
Ogle County ETSB	311,933
Oglesby 911	26,082
Orland Joint ETSB	413,264
Ottawa ETSB	94,858
Park City 911	3,678
Park Ridge 911	240,430
Peoria County ETSB	937,315
Perry County ETSB	119,996
Peru ETSB	65,094
Piatt County ETSB	123,061
Pike County ETSB	121,504
Pulaski County E911	57,424
Pulaski County/Alexander County E911	14,889
Putnam County ETSB	77,059
Quadcom Joint ETSB	318,491
Quincy/Adams County 911 Center	346,598
Randolph County E911	160,697

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF PROVIDER DISBURSEMENTS FROM THE
STATEWIDE 9-1-1 FUND (FUND 612) (NOT EXAMINED)
For the Six Months Ended June 30, 2016**

<u>Provider Name (Continued)</u>	<u>Total Disbursements</u>
Richland County 911	\$ 109,483
River Forest ETSB	77,898
River Grove 911	85,020
Riverside, Village of	59,895
Rock Island County ETSB	670,970
Rosemont ETSB	260,345
Saline County 911	141,122
Sangamon County ETSB	1,045,959
Sauk Village 9-1-1	30,654
Schiller Park ETSB	101,177
Schuyler County ETSB	27,059
Scott County 911	55,302
Seneca ETSB	4,919
Skokie 911	316,679
South Chicago Heights 911	2,147
South Elgin PSAP	3,187
Southcom - Park Forest Dispatch	161,035
Southcom - Matteson Dispatch	82,472
Southcom - Olympia Fields Dispatch	23,465
Southcom - Richton Park Dispatch	51,825
Southwest Central 911	592,592
St Clair County ETSB	1,251,485
Stephenson County ETSB	273,119
Stickney 9-1-1	38,142
Streator ETSB	80,012
Summit ETSB	48,562
Tazewell County ETSB	680,983
Thornton ETSB	11,953
Tinley Park 911	334,285
Union County ETSB	118,112
Vermilion County ETSB	357,758
Vernon Hills Police Department	184,877
Wabash County ETSB	98,259
Warren County 911	112,426

**STATE OF ILLINOIS
DEPARTMENT OF STATE POLICE
SCHEDULE OF PROVIDER DISBURSEMENTS FROM THE
STATEWIDE 9-1-1 FUND (FUND 612) (NOT EXAMINED)
For the Six Months Ended June 30, 2016**

<u>Provider Name (Continued)</u>	<u>Total Disbursements</u>
Washington County ETSB	\$ 102,742
Waukegan Police Department	500,854
Wayne County ETSB	143,993
Westchester Police 911	176,577
Western Springs ETSB	79,016
Wheeling 911	205,619
White County 911	127,197
Whiteside County ETSB	297,113
Will County 911	2,946,091
Will County 911 - Bolingbrook	326,333
Williamson County 911	322,275
Wilmette 911	209,804
Winnebago County 911	1,256,495
Winnetka 911	56,658
Winthrop Harbor ETSB	49,758
Woodford County ETSB	237,073
Zion E911	90,239
	<hr/>
Total disbursements - all providers	\$ 57,634,910
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