

State of Illinois  
State Police Merit Board

COMPLIANCE EXAMINATION

For The Two Years Ended June 30, 2014

Performed as Special Assistant Auditors  
for the Auditor General, State of Illinois

Kyle E. McGinnis  
Certified Public Accountant  
200 East Pine  
Springfield, Illinois 62704

STATE OF ILLINOIS  
STATE POLICE MERIT BOARD  
COMPLIANCE EXAMINATION  
For the Two Years Ended June 30, 2014

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STATE OF ILLINOIS  
STATE POLICE MERIT BOARD  
COMPLIANCE EXAMINATION  
For the Two Years Ended June 30, 2014

**AGENCY OFFICIALS**

Executive Director

Mr. Ronald P. Cooley

Fiscal Officer (07/01/2012 – 11/30/13)  
(12/01/2013 – 05/15/14)  
(05/16/2014 – Present)

Ms. Melinda Gutierrez  
Vacant  
Ms. Jenny Thornley

Board office is located at:

531 Sangamon Avenue East  
Springfield, Illinois 62702



## ILLINOIS STATE POLICE MERIT BOARD

531 Sangamon Avenue East  
Springfield, Illinois 62702

Office # (217) 786-6240  
Fax # (217) 786-0181

### MANAGEMENT ASSERTION LETTER

Kyle E. McGinnis, CPA  
200 East Pine Street  
Springfield, Illinois 62704

January 28, 2015

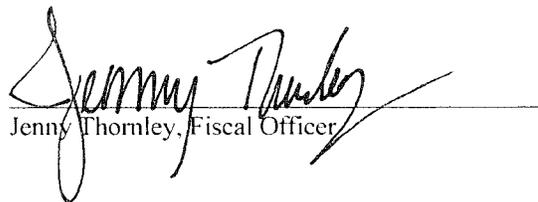
Ladies and Gentlemen:

We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the Agency. We are responsible for and we have established and maintained an effective system of internal controls over compliance requirements. We have performed an evaluation of the Agency's compliance with the following assertions during the two-year period ended June 30, 2014. Based on this evaluation, we assert that during the years ended June 30, 2013 and June 30, 2014, the Agency has materially complied with the assertions below.

- A. The Agency has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The Agency has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The Agency has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.

Yours truly,  
Illinois State Police Merit Board

  
Ronald Cooley, Director

  
Jenny Thornley, Fiscal Officer

STATE OF ILLINOIS  
STATE POLICE MERIT BOARD  
COMPLIANCE EXAMINATION  
For the Two Years Ended June 30, 2014

**COMPLIANCE REPORT**

**SUMMARY**

The compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and in accordance with the Illinois State Auditing Act.

**ACCOUNTANTS' REPORT**

The Independent Accountant's Report on State Compliance, on Internal Control Over Compliance and on Supplementary Information for State Compliance Purposes does not contain scope limitations, disclaimers, or other significant non-standard language.

**SUMMARY OF FINDINGS**

<u>Number of</u>	<u>Current</u>	<u>Prior</u>
Findings	0	2
Repeated findings	0	1
Prior recommendations implemented or not repeated	2	0

**SCHEDULE OF FINDINGS**

<u>Item No.</u>	<u>Page</u>	<u>Description</u>	<u>Finding Type</u>
		FINDINGS (STATE COMPLIANCE)	
		None	
		PRIOR FINDINGS NOT REPEATED	
A	8	Voucher processing weaknesses	
B	8	Failure to comply with the Identity Protection Act	

STATE OF ILLINOIS  
STATE POLICE MERIT BOARD  
COMPLIANCE EXAMINATION  
For the Two Years Ended June 30, 2014

**EXIT CONFERENCE**

The State Police Merit Board waived an exit conference in correspondence dated January 23, 2015.

**KYLE E. McGINNIS**

Certified Public Accountant

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**MEMBER OF:**

**AMERICAN INSTITUTE OF CERTIFIED PUBLIC ACCOUNTANTS**

**ILLINOIS SOCIETY OF CERTIFIED PUBLIC ACCOUNTANTS**

**200 EAST PINE \* SPRINGFIELD, ILLINOIS 62704**

**TELEPHONE: (217) 753-3377 FAX: (217) 753-8922**

www.kyleemcginnis.com

**INDEPENDENT ACCOUNTANT'S REPORT ON STATE COMPLIANCE,  
ON INTERNAL CONTROL OVER COMPLIANCE, AND ON  
SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES**

Honorable William G. Holland  
Auditor General  
State of Illinois

**Compliance**

As Special Assistant Auditors for the Auditor General, we have examined the State of Illinois State Police Merit Board's compliance with the requirements listed below, as more fully described in the Audit Guide for Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (Audit Guide) as adopted by the Auditor General, during the two years ended June 30, 2014. The management of the State of Illinois State Police Merit Board is responsible for compliance with these requirements. Our responsibility is to express an opinion on the State of Illinois State Police Merit Board's compliance based on our examination.

- A. The State of Illinois State Police Merit Board has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The State of Illinois State Police Merit Board has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The State of Illinois State Police Merit Board has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.

Our examination was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States; the Illinois State Auditing Act (Act); and the Audit Guide as adopted by the Auditor General pursuant to the Act; and, accordingly, included examining, on a test basis, evidence about the State of Illinois State Police Merit Board's compliance with those requirements listed in the first paragraph of this report and performing such other procedures as we considered necessary in the circumstances. We believe that our examination provides a reasonable basis for our opinion. Our examination does not provide a legal determination on the State of Illinois State Police Merit Board's compliance with specified requirements.

In our opinion, the State of Illinois State Police Merit Board complied, in all material respects, with the compliance requirements listed in the first paragraph of this report during the two years ended June 30, 2014.

### **Internal Control**

Management of the State of Illinois State Police Merit Board is responsible for establishing and maintaining effective internal control over compliance with the requirements listed in the first paragraph of this report. In planning and performing our examination, we considered the State of Illinois State Police Merit Board's internal control over compliance with the requirements listed in the first paragraph of this report to determine the examination procedures that are appropriate in the circumstances for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with the Audit Guide, issued by the Illinois Office of the Auditor General, but not for the purpose of expressing an opinion on the effectiveness of the State of Illinois State Police Merit Board's internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the State of Illinois State Police Merit Board's internal control over compliance.

*A deficiency in internal control over compliance* exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with the requirements listed in the first paragraph of this report on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a requirement listed in the first paragraph of this report will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

As required by the Audit Guide, immaterial findings excluded from this report have been reported in a separate letter.

## **Supplementary Information for State Compliance Purposes**

Our examination was conducted for the purpose of forming an opinion on compliance with the requirements listed in the first paragraph of this report. The accompanying supplementary information for the years ended June 30, 2014 and June 30, 2013 in Schedules 1 through 9 and the Analysis of Operations Section is presented for purposes of additional analysis. We have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General to the June 30, 2014 and June 30, 2013 accompanying supplementary information in Schedules 1 through 9. However, we do not express an opinion on the accompanying supplementary information.

We have not applied procedures to the June 30, 2012 accompanying supplementary information in Schedules 3 through 8 and in the Analysis of Operations Section, and accordingly, we do not express an opinion or provide any assurance on it.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, agency management, and the Illinois State Police Merit Board Members and is not intended to be and should not be used by anyone other than these specified parties.

A handwritten signature in black ink that reads "Kyle E. McGinnis". The signature is written in a cursive style with a large initial "K" and "M".

Kyle E. McGinnis, CPA  
Springfield, Illinois

January 28, 2015

STATE OF ILLINOIS  
STATE POLICE MERIT BOARD  
**PRIOR FINDINGS NOT REPEATED (STATE COMPLIANCE)**  
For the Two Years Ended June 30, 2014

A. **FINDING:** Voucher Processing Weaknesses

During the prior examination period, the State Police Merit Board (Board) did not exercise adequate controls over voucher processing.

During the current examination period, the Board paid interest payments, approved vouchers in a timely manner, documented received date of vouchers, used proper detail object codes and refrained from making payments in advance. (Finding Code No. 12-1)

B. **FINDING:** Failure to comply with the Identity Protection Act

During the prior examination period, the Board failed to implement the provisions of the Identity Protection Act.

During the current examination period, the Board issued and implemented an identity protection policy. (Finding Code No. 12-2)

STATE OF ILLINOIS  
STATE POLICE MERIT BOARD  
**SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES**  
For the Two Years Ended June 30, 2014

**SUMMARY**

Supplementary Information for State Compliance Purposes presented in this section of the report includes the following:

- Fiscal Schedules and Analysis:
  - Schedule of Appropriations, Expenditures and Lapsed Balances
  - Comparative Schedule of Net Appropriations, Expenditures and Lapsed Balances
  - Schedule of Changes in State Property
  - Comparative Schedule of Cash Receipts
  - Reconciliation Schedule of Cash Receipts to Deposits Remitted to the State Comptroller
  - Analysis of Significant Variations in Expenditures
  - Analysis of Significant Variations in Receipts
  - Analysis of Significant Lapse Period Spending
  
- Analysis of Operations (Not Examined):
  - Agency Functions and Planning Program (Not Examined)
  - Average Number of Employees and Board Members (Not Examined)
  - Service Efforts and Accomplishments (Not Examined)

The accountant's report that covers the Supplementary Information for State Compliance Purposes presented in the Compliance Report Section states the auditors have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General to the June 30, 2014 and June 30, 2013 accompanying supplementary information in Schedules 1 through 9. However, the accountants do not express an opinion on the supplementary information. The accountant's report also states that they have not applied procedures to the Analysis of Operations Section, and accordingly, they do not express an opinion or provide any assurance on it.

STATE OF ILLINOIS  
STATE POLICE MERIT BOARD  
**SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES**  
Appropriations For Fiscal Year 2014

Fourteen Months Ended August 31, 2014

P.A. 98-0050	Appropriations		Lapse Period	Balances	
FISCAL YEAR 2014	(Net of Transfers)	Expenditures Through June 30	Expenditures July 1 to August 31	Total Expenditures	Lapsed
<b>General Revenue Fund - 001</b>					
Personal Services	\$ 422,500	\$ 349,066	\$ 21,232	\$ 370,298	\$ 52,202
State Contributions to Social Security	32,400	23,445	1,580	25,025	7,375
Contractual Services	343,852	271,346	71,058	342,404	1,448
Travel	10,000	9,202	223	9,425	575
Commodities	8,748	8,578	(29)	8,549	199
Printing	6,000	458	2,048	2,506	3,494
Equipment	300	272	0	272	28
Electronic Data Processing	13,300	12,959	245	13,204	96
Telecommunications Services	10,300	9,176	659	9,835	465
Operation of Automotive Equipment	5,000	4,293	417	4,710	290
<b>Total General Revenue Fund</b>	<u>\$ 852,400</u>	<u>\$ 688,795</u>	<u>\$ 97,433</u>	<u>\$ 786,228</u>	<u>\$ 66,172</u>
<b>State Police Merit Board Public Safety Fund - 166</b>					
Ordinary and Contingent Expense	\$ 500,000	\$ 353,706	\$ 2,056	\$ 355,762	\$ 144,238
Cadet Program for State Police	4,300,000	1,185,378	270,101	1,455,479	2,844,521
<b>Total State Police Merit Board Public Safety Fund</b>	<u>\$ 4,800,000</u>	<u>\$ 1,539,084</u>	<u>\$ 272,157</u>	<u>\$ 1,811,241</u>	<u>\$ 2,988,759</u>
<b>Grand Total - All Funds</b>	<u>\$ 5,652,400</u>	<u>\$ 2,227,879</u>	<u>\$ 369,590</u>	<u>\$ 2,597,469</u>	<u>\$ 3,054,931</u>

Note 1: Appropriations, expenditures, and lapsed balances were obtained from Agency records and have been reconciled to records of the State Comptroller.

Note 2: Expenditure amounts are vouchers approved for payment by the Agency and submitted to the State Comptroller for payment to the vendor.

STATE OF ILLINOIS  
STATE POLICE MERIT BOARD  
**SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES**  
Appropriations For Fiscal Year 2013

Fourteen Months Ended August 31, 2013

P.A. 97-0731	Appropriations (Net of Transfers)	Expenditures Through June 30	Lapse Period Expenditures July 1 to August 31	Total Expenditures	Balances Lapsed
<b>General Revenue Fund - 001</b>					
Personal Services	\$ 422,500	\$ 339,329	\$ 17,798	\$ 357,127	\$ 65,373
State Contributions to Social Security	32,400	25,566	1,351	26,917	5,483
Contractual Services	366,079	232,192	64,814	297,006	69,073
Travel	15,375	14,535	839	15,374	1
Commodities	6,404	5,891	512	6,403	1
Printing	2,550	1,027	75	1,102	1,448
Equipment	750	731	16	747	3
Electronic Data Processing	15,532	15,000	531	15,531	1
Telecommunications Services	12,000	10,184	1,340	11,524	476
Operation of Automotive Equipment	5,010	4,524	486	5,010	0
<b>Total General Revenue Fund</b>	<b>\$ 878,600</b>	<b>\$ 648,979</b>	<b>\$ 87,762</b>	<b>\$ 736,741</b>	<b>\$ 141,859</b>

Note 1: Appropriations, expenditures, and lapsed balances were obtained from Agency records and have been reconciled to records of the State Comptroller.

Note 2: Expenditure amounts are vouchers approved for payment by the Agency and submitted to the State Comptroller for payment to the vendor.

STATE OF ILLINOIS  
STATE POLICE MERIT BOARD  
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES  
AND LAPSED BALANCES**

	FISCAL YEAR		
	2014	2013	2012
	PA 98-005	PA 97-0731	PA 97-0063 PA 97-0076
<b>APPROPRIATED FUNDS</b>			
<b>General Revenue Fund - 001</b>			
Appropriations (Net of Transfers)	\$ 852,400	\$ 878,600	\$ 879,400
<u>Expenditures</u>			
Personal Services	\$ 370,298	\$ 357,127	\$ 399,204
State Contributions to Social Security	25,025	26,917	30,405
Contractual Services	342,404	297,006	264,780
Travel	9,425	15,374	7,545
Commodities	8,549	6,403	2,938
Printing	2,506	1,102	653
Equipment	272	747	113
Electronic Data Processing	13,204	15,531	17,386
Telecommunications Services	9,835	11,524	8,316
Operation of Automotive Equipment	4,710	5,010	8,526
Total Expenditures	<u>\$ 786,228</u>	<u>\$ 736,741</u>	<u>\$ 739,866</u>
<u>Lapsed Balances</u>	<u>\$ 66,172</u>	<u>\$ 141,859</u>	<u>\$ 139,534</u>
<b>State Police Merit Board Public Safety Fund - 166</b>			
Appropriations (Net of Transfers)	\$ 4,800,000	\$ 0	\$ 0
<u>Expenditures</u>			
Ordinary and Contingent Expense	\$ 355,762	\$ 0	\$ 0
Cadet Program for State Police	1,455,479		
Total Expenditures	<u>\$ 1,811,241</u>	<u>\$ 0</u>	<u>\$ 0</u>
<u>Lapsed Balances</u>	<u>\$ 2,988,759</u>	<u>\$ 0</u>	<u>\$ 0</u>
<b>Grand Total - All Funds</b>			
Appropriations (Net of Transfers)	\$ 5,652,400	\$ 878,600	\$ 879,400
Total Expenditures	<u>\$ 2,597,469</u>	<u>\$ 736,741</u>	<u>\$ 739,866</u>
<u>Lapsed Balances</u>	<u>\$ 3,054,931</u>	<u>\$ 141,859</u>	<u>\$ 139,534</u>
Appropriation to Comptroller's Office for Merit Board Member's Salaries	\$ 118,500	\$ 118,500	\$ 118,500
Board Member Salaries	<u>103,806</u>	<u>116,604</u>	<u>112,812</u>
<u>Lapse Balances</u>	<u>\$ 14,694</u>	<u>\$ 1,896</u>	<u>\$ 5,688</u>

Note 1: The FY12 expenditures and related lapsed balances do not reflect any interest payments approved for payment by the Agency and submitted to the Comptroller for payment after August 12

STATE OF ILLINOIS  
STATE POLICE MERIT BOARD  
**SCHEDULE OF CHANGES IN STATE PROPERTY**  
For the Two Years Ended June 30, 2014

	<u>Equipment</u>
Balance at July 1, 2012	\$ 156,953
Additions	15,434
Deletions	-
Net Transfers	<u>(23,054)</u>
Balance at June 30, 2013	<u>\$ 149,333</u>
Balance at July 1, 2013	\$ 149,333
Additions	49,186
Deletions	(1,580)
Net Transfers	<u>-</u>
Balance at June 30, 2014	<u>\$ 196,939</u>

Note: The above schedule has been derived from Board records which have been reconciled to property reports submitted to the Office of the State Comptroller.

STATE OF ILLINOIS  
STATE POLICE MERIT BOARD  
**COMPARATIVE SCHEDULE OF CASH RECEIPTS**  
For the Fiscal Year Ended June 30,

	<u>2014</u>	<u>2013</u>	<u>2012</u>
<b><u>General Revenue Fund - 001</u></b>			
Telephone Usage/Pager Usage	\$ -	\$ -	\$ 129
Total Receipts	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 129</u>

STATE OF ILLINOIS  
STATE POLICE MERIT BOARD  
**RECONCILIATION OF CASH RECEIPTS  
TO DEPOSITS REMITTED TO THE STATE COMPTROLLER**  
For the Fiscal Year Ended June 30,

	<u>2014</u>	<u>2013</u>	<u>2012</u>
<b><u>General Revenue Fund - 001</u></b>			
Receipts per Board Records	\$ -	\$ -	\$ 129
Add: Deposits in Transit, Beginning of Year	-	-	-
Less: Deposits in Transit, End of Year	<u>-</u>	<u>-</u>	<u>-</u>
Deposits Recorded by the State Comptroller	<u><u>\$ -</u></u>	<u><u>\$ -</u></u>	<u><u>\$ 129</u></u>

STATE OF ILLINOIS  
STATE POLICE MERIT BOARD  
**ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES**  
For the Two Years Ended June 30, 2014

**ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2013 AND 2014**

**General Revenue Fund - 001**

Travel

For Fiscal Year 2014, travel expenditures decreased \$5,949 or 38.69%. Travel expenditures decreased due to fewer travel expenses for promotional testing.

Commodities

For Fiscal Year 2014, commodities expenditures increased \$2,146 or 33.52%. This increase was due to an increase in the purchase of cleaning supplies.

**State Police Merit Board Public Safety Fund – 166**

For Fiscal Year 2014, the State Police Merit Board Public Safety Fund increased \$1,811,241 or 100%. This was the first full year for this fund and was used for Cadet Class 123 payroll and other academy expenses.

STATE OF ILLINOIS  
STATE POLICE MERIT BOARD  
**ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES**  
For the Two Years Ended June 30, 2014

**ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2012 AND 2013**

**General Revenue Fund -001**

Travel

For Fiscal Year 2013, travel expenditures increased \$7,829 or 103.77%. Travel expenditures increased due to an increase in cadet testing, promotional testing, out of office meetings and overnight travel along with paying travel for testing of applicants through the Board.

Commodities

For Fiscal Year 2013, commodities expenditures increased \$3,465 or 117.95%. This increase was due to an increase in the demand for office supplies.

Telecommunications

For Fiscal Year 2013, telecommunications increased \$3,208 or 38.58%. This increase was due to the purchase of new blackberries to replace outdated phones and for data usage.

Operation of Automotive Equipment

For Fiscal Year 2013, operation of automotive equipment expenditures decreased \$3,516 or 41.24%. This decrease was caused by a reduction in the need for repairs, in Fiscal Year 2013 CMA took over the leasing system for the Boards vehicle.

STATE OF ILLINOIS  
STATE POLICE MERIT BOARD  
**ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS**  
For the Two Years Ended June 30, 2014

**ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS BETWEEN FISCAL YEARS 2012 AND 2013**

**General Revenue Fund – 001**

The State Police Merit Board receives minimal receipts. The receipts consist of copy charges, refunds, reimbursement for jury duty, and texting charges. These receipts are expected to fluctuate between fiscal years. The Board received one receipt for texting charges during Fiscal Year 2012. There were no receipts collected by the Board during Fiscal Years 2014 and 2013.

STATE OF ILLINOIS  
STATE POLICE MERIT BOARD  
**ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING**  
For the Year Ended June 30, 2014

**FISCAL YEAR 2014**

Contractual Services

Contractual services expenditures of \$71,058 (20.75%) were expended during the lapse period. This was caused by the purchase of a generator for the State Police Merit Board office. This expenditure was incurred before the end of the fiscal year, the invoice was received and paid during the lapse period.

Commodities

Commodities expenditures of \$2,019 (23.62%) were expended during the lapse period. Printing supplies and copier paper were purchased late in the fiscal year. The related invoices were not received and processed until the lapse period.

**FISCAL YEAR 2013**

Contractual Services

Contractual services expenditures of \$64,814 (21.82%) were expended during the lapse period. This was caused by the payments made to hearing officers, Board attorney and contractual year end service fees. The related invoices were not received and processed until the lapse period.

STATE OF ILLINOIS  
STATE POLICE MERIT BOARD  
**AGENCY FUNCTIONS AND PLANNING PROGRAM (NOT EXAMINED)**  
For the Two Years Ended June 30, 2014

**Board Functions**

The Illinois State Police Merit Board (Board) was established in 1949 by the State Police Act (20 ILCS 2610/3), and reorganized on July 1, 1977 to consist of five members appointed by the Governor and approved by the Senate for six-year terms. Its mission “is to remove political influence and provide a fair and equitable merit process for the selection of Illinois State trooper candidates and the promotion and discipline of Illinois State Police Officers”.

The Board operates to certify Illinois State Police Officers for appointment and promotion. The Board was also created to act as a hearing agency over issues related to discipline, removal, demotion, and suspension of Illinois State Police Officers.

The members of the Board as of June 30, 2014 were:

- Arthur J. Smith, Sr., Chairman
- A. George Pradel, Secretary
- Earl A. Hernandez
- John A. Spring
- James V. Riley

**Board Planning Program**

The Board has established a Strategic Plan to address the three functions of the State Police Merit Board: certification for appointment, promotion and discipline. The Board’s Strategic Plan emphasizes higher standards in performing its three functions.

The Board continues to study methods to maintain professionalism in law enforcement. Foremost in the Board’s set of priorities is the safety and welfare of the citizens of Illinois, particularly as they travel across the State’s network of highways.

STATE OF ILLINOIS  
STATE POLICE MERIT BOARD  
**AVERAGE NUMBER OF EMPLOYEES AND BOARD MEMBERS (NOT EXAMINED)**  
For the Years Ended June 30, 2014

The following table, prepared from Board records, presents the average number of employees and Board members for the Fiscal Years ended June 30,

	<u>2014</u>	<u>2013</u>	<u>2012</u>
Employees	5	4	5
Board Members	5	5	5

STATE OF ILLINOIS  
STATE POLICE MERIT BOARD  
**SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED)**  
For the Two Years Ended June 30, 2014

The Board is responsible for the processes of discipline, removal, demotion and suspension of Illinois State Police sworn officers who violate the rules and regulations of the Illinois State Police. A three-year comparison of the status of cases brought before the Board as of June 30 follows:

	<u>2014</u>	<u>2013</u>	<u>2012</u>
New Cases brought before the Board	8	9	6
Cases settled without a hearing	6	8	10
Cases settled with a formal hearing	2	1	0
Cases pending at year end	5	5	5

The Board collects applications of all interested persons through their website, [www.illinoistrooper.com](http://www.illinoistrooper.com). The Board establishes time periods for accepting applications and applications are accepted only during these particular time periods. If an applicant meets the qualifications (cannot have been convicted of a felony, education, citizenship, etc.) and submits necessary documentation by the respective deadlines he or she is then scheduled for the recruitment test. The recruitment test includes: an orientation session, fitness test, and written test. Successful applicants are placed in an eligibility pool until either a cadet class is scheduled and the selection process is initiated, or their eligibility expires, whichever occurs first.

A comparison of the number of acceptable applications, the number of applicants certified to each cadet class, and the number of graduating applicants certified to each cadet class conducted during the Fiscal Years 2014, 2013 and 2012 follows:

	<u>2014</u>	<u>2013</u>	<u>2012</u>
Number of acceptable applications received	*	1,503	*
Number of applicants certified to cadet class #120	-	71	**
Graduating applicants certified to cadet class #120	-	56	**
Number of applicants certified to cadet class #121	-	65	**
Graduating applicants certified to cadet class #121	-	43	**
Number of applicants certified to cadet class #122	-	89	**
Graduating applicants certified to cadet class #122	-	53	**

STATE OF ILLINOIS  
STATE POLICE MERIT BOARD  
**SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED)**  
For the Two Years Ended June 30, 2014

	<u>2014</u>	<u>2013</u>	<u>2012</u>
Number of applicants certified to cadet class #123	72	-	**
Graduating applicants certified to cadet class #123	42	-	**
Number of applicants certified to cadet class #124	73	-	**
Graduating applicants certified to cadet class #124	37	-	**
Number of applicants certified to cadet class #125	81	-	**
Graduating applicants certified to cadet class #125	***	-	**

\*The Board did not accept applications in Fiscal Year 2014 and 2012. The Board tested applicants in June 2011 and had enough applicants for two cadet classes. Since there are costs involved with accepting applications, the Board decided it was not feasible to accept any additional applications.

\*\*The Board generates a potential cadet list for the Department of State Police only upon request from the Department of State Police. The Department of State Police did not request a cadet class throughout Fiscal Year 2012.

\*\*\*This class is in progress as of the report release date. The final number of graduating applicants will not be available until March 2015.