

**STATE OF ILLINOIS
LEGISLATIVE INFORMATION SYSTEM**

COMPLIANCE EXAMINATION

For the Two Years Ended June 30, 2016

STATE OF ILLINOIS
 LEGISLATIVE INFORMATION SYSTEM
 COMPLIANCE EXAMINATION
 For the Two Years Ended June 30, 2016

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STATE OF ILLINOIS
LEGISLATIVE INFORMATION SYSTEM
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2016

AGENCY OFFICIALS

Executive Director	Mark Wenda (01/01/16 to present) Tim Rice (07/01/14 to 12/31/15)
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Administrative Services Manager	Pat McLean
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The System's office is located at:

705 Stratton Office Building
Springfield, Illinois 62706

705 Stratton Office Building • Springfield, IL 62706
217 782 3944 TEL • 217 524 6059 FAX



Honorable Frank J. Mautino
Auditor General
State of Illinois
Iles Park Plaza
740 East Ash Street
Springfield, Illinois 62703

April 27, 2017

Auditor General Mautino:

We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the State of Illinois, Legislative Information System. We are responsible for and we have established and maintained an effective system of internal controls over compliance requirements. We have performed an evaluation of the State of Illinois, Legislative Information System's compliance with the following assertions during the two-year period ended June 30, 2016. Based on this evaluation, we assert that during the years ended June 30, 2015, and June 30, 2016, the State of Illinois, Legislative Information System has materially complied with the assertions below.

- A. The State of Illinois, Legislative Information System has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The State of Illinois, Legislative Information System has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions, or mandatory directions imposed by law upon such obligation, expenditure, receipt, or use.
- C. The State of Illinois, Legislative Information System has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the State of Illinois, Legislative Information System are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate, and in accordance with law.

Yours truly,

State of Illinois, Legislative Information System

SIGNED ORIGINAL ON FILE

Mark Wenda, Executive Director

SIGNED ORIGINAL ON FILE

Pat McLean, Administrative Services Manager

STATE OF ILLINOIS
LEGISLATIVE INFORMATION SYSTEM
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2016

COMPLIANCE REPORT

SUMMARY

The compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and in accordance with the Illinois State Auditing Act.

ACCOUNTANT'S REPORT

The Independent Accountant's Report on State Compliance, on Internal Control Over Compliance, and on Supplementary Information for State Compliance Purposes does not contain scope limitations, disclaimers, or other significant non-standard language.

SUMMARY OF FINDINGS

<u>Number of</u>	<u>Current Report</u>	<u>Prior Report</u>
Findings	0	0
Repeated findings	0	0
Prior recommendations implemented or not repeated	0	0

EXIT CONFERENCE

The Legislative Information System waived an exit conference in correspondence dated April 25, 2017.

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OFFICE OF THE AUDITOR GENERAL
FRANK J. MAUTINO

INDEPENDENT ACCOUNTANT'S REPORT ON STATE COMPLIANCE,
ON INTERNAL CONTROL OVER COMPLIANCE, AND ON
SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

Honorable Frank J. Mautino
Auditor General
State of Illinois

Compliance

We have examined the State of Illinois, Legislative Information System's compliance with the requirements listed below, as more fully described in the Audit Guide for Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (Audit Guide) as adopted by the Auditor General, during the two years ended June 30, 2016. The management of the State of Illinois, Legislative Information System is responsible for compliance with these requirements. Our responsibility is to express an opinion on the State of Illinois, Legislative Information System's compliance based on our examination.

- A. The State of Illinois, Legislative Information System has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The State of Illinois, Legislative Information System has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions, or mandatory directions imposed by law upon such obligation, expenditure, receipt, or use.
- C. The State of Illinois, Legislative Information System has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the State of Illinois, Legislative Information System are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate, and in accordance with law.

Our examination was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of

the United States; the Illinois State Auditing Act (Act); and the Audit Guide as adopted by the Auditor General pursuant to the Act; and, accordingly, included examining, on a test basis, evidence about the State of Illinois, Legislative Information System's compliance with those requirements listed in the first paragraph of this report and performing such other procedures as we considered necessary in the circumstances. We believe that our examination provides a reasonable basis for our opinion. Our examination does not provide a legal determination on the State of Illinois, Legislative Information System's compliance with specified requirements.

In our opinion, the State of Illinois, Legislative Information System complied, in all material respects, with the compliance requirements listed in the first paragraph of this report during the two years ended June 30, 2016.

Internal Control

Management of the State of Illinois, Legislative Information System is responsible for establishing and maintaining effective internal control over compliance with the requirements listed in the first paragraph of this report. In planning and performing our examination, we considered the State of Illinois, Legislative Information System's internal control over compliance with the requirements listed in the first paragraph of this report to determine the examination procedures that are appropriate in the circumstances for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with the Audit Guide, issued by the Illinois Office of the Auditor General, but not for the purpose of expressing an opinion on the effectiveness of the State of Illinois, Legislative Information System's internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the State of Illinois, Legislative Information System's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with the requirements listed in the first paragraph of this report on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a requirement listed in the first paragraph of this report will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

There were no immaterial findings that have been excluded from this report.

Supplementary Information for State Compliance Purposes

Our examination was conducted for the purpose of forming an opinion on compliance with the requirements listed in the first paragraph of this report. The accompanying supplementary information for the years ended June 30, 2016, and June 30, 2015, in Schedules 1 through 8 and the Analysis of Operations Section is presented for purposes of additional analysis. We have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General to the June 30, 2016, and June 30, 2015, accompanying supplementary information in Schedules 1 through 8. However, we do not express an opinion on the accompanying supplementary information.

We have not applied procedures to the June 30, 2014, accompanying supplementary information in Schedules 3 through 7 and in the Analysis of Operations Section, and accordingly, we do not express an opinion or provide any assurance on it.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, and the State of Illinois, Legislative Information System's management, and is not intended to be and should not be used by anyone other than these specified parties.

SIGNED ORIGINAL ON FILE

JANE S. CLARK, CPA
Assistant Director of Financial and Compliance Audits

Springfield, Illinois
April 27, 2017

STATE OF ILLINOIS
LEGISLATIVE INFORMATION SYSTEM
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2016

SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

SUMMARY

Supplementary Information for State Compliance Purposes presented in this section of the report includes the following:

- Fiscal Schedules and Analysis:

- Schedule of Appropriations, Expenditures, and Lapsed Balances – Fiscal Year 2016

- Schedule of Appropriations, Expenditures, and Lapsed Balances – Fiscal Year 2015

- Comparative Schedule of Net Appropriations, Expenditures, and Lapsed Balances

- Schedule of Changes in State Property

- Comparative Schedule of Cash Receipts and Reconciliation of Cash Receipts to Deposits Remitted to the State Comptroller

- Analysis of Significant Variations in Expenditures

- Analysis of Significant Variations in Receipts

- Analysis of Significant Lapse Period Spending

- Analysis of Operations (Not Examined):

- Agency Functions and Planning Program (Not Examined)

- Budget Impasse Disclosures (Not Examined)

- Alternative Financing in Lieu of Appropriations and Programs to Address Untimely Payments to Vendors (Not Examined)

- Interest Costs on Fiscal Year 2016 Invoices (Not Examined)

- Average Number of Employees (Not Examined)

- Service Efforts and Accomplishments (Not Examined)

The accountant's report that covers the Supplementary Information for State Compliance Purposes presented in the Compliance Report Section states the accountants have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General to the June 30, 2016, and June 30, 2015, accompanying supplementary information in Schedules 1 through 8. However, the accountants do not express an opinion on the supplementary information. The accountant's report also states that they have not applied procedures to the Analysis of Operations Section, and accordingly, they do not express an opinion or provide any assurance on it.

STATE OF ILLINOIS
LEGISLATIVE INFORMATION SYSTEM
SCHEDULE OF APPROPRIATIONS, EXPENDITURES, AND LAPSED BALANCES
Expenditure Authority for Fiscal Year 2016

For the Fourteen Months Ended August 31, 2016

Continuing Appropriations FISCAL YEAR 2016	Expenditure Authority (Net of Transfers)	Lapse Period Expenditures		Total Expenditures 14 Months Ended August 31	Balances Lapsed August 31
		Expenditures Through June 30	Expenditures July 1 to August 31		
<u>GENERAL REVENUE FUND - 001</u>					
Operational Expenses	\$ 5,166,700	\$ 4,034,866	\$ 707,886	\$ 4,742,752	\$ 423,948
Subtotal - Fund 001	<u>\$ 5,166,700</u>	<u>\$ 4,034,866</u>	<u>\$ 707,886</u>	<u>\$ 4,742,752</u>	<u>\$ 423,948</u>
<u>GENERAL ASSEMBLY COMPUTER EQUIPMENT REVOLVING FUND - 155</u>					
Purchase, Maintenance, and Rental of General Assembly Electronic Data Processing Equipment and Other Operational Purposes of the General Assembly	\$ 1,600,000	\$ 20,236	\$ 986	\$ 21,222	\$ 1,578,778
Subtotal - Fund 155	<u>\$ 1,600,000</u>	<u>\$ 20,236</u>	<u>\$ 986</u>	<u>\$ 21,222</u>	<u>\$ 1,578,778</u>
GRAND TOTAL - ALL FUNDS	<u><u>\$ 6,766,700</u></u>	<u><u>\$ 4,055,102</u></u>	<u><u>\$ 708,872</u></u>	<u><u>\$ 4,763,974</u></u>	<u><u>\$ 2,002,726</u></u>

Note 1: Expenditure authority, appropriations, expenditures, and lapsed balances were obtained from the State Comptroller's records as of September 30, 2016, and have been reconciled to the System's records.

Note 2: Expenditure amounts are vouchers approved for payment by the System and submitted to the State Comptroller for payment to the vendor.

Note 3: The Civil Administrative Code (State Budget Law) (15 ILCS 20/50-22(b)) provides aggregate appropriations available for legislative operations for all funds for each fiscal year shall not be less than the aggregate appropriations made available for legislative operations during the immediately preceding fiscal year. The Circuit Court of Cook County in *People v. Munger* (15 CH 10243) ordered the State Comptroller, in the absence of enacted annual appropriations, to process and pay certified invoice vouchers from the State's legislative branch agencies pursuant to this statute. Therefore, the System's Fiscal Year 2015 appropriation for Fund 001 and Fund 155 was carried forward to become the System's Fiscal Year 2016 expenditure authority for Fund 001 and Fund 155.

STATE OF ILLINOIS
 LEGISLATIVE INFORMATION SYSTEM
SCHEDULE OF APPROPRIATIONS, EXPENDITURES, AND LAPSED BALANCES
 Appropriations for Fiscal Year 2015

For the Fourteen Months Ended August 31, 2015

	Appropriations (Net of Transfers)	Expenditures Through June 30	Lapse Period		Total Expenditures 14 Months Ended August 31	Balances Lapsed August 31
			Expenditures July 1 to August 31	Expenditures August 31		
P.A 098-0679	\$ 5,166,700	\$ 4,315,963	\$ 623,151	\$ 4,939,114	\$ 227,586	
FISCAL YEAR 2015	\$ 5,166,700	\$ 4,315,963	\$ 623,151	\$ 4,939,114	\$ 227,586	
<u>GENERAL REVENUE FUND - 001</u>						
Operational Expenses	\$ 5,166,700	\$ 4,315,963	\$ 623,151	\$ 4,939,114	\$ 227,586	
Subtotal - Fund 001	\$ 5,166,700	\$ 4,315,963	\$ 623,151	\$ 4,939,114	\$ 227,586	
<u>GENERAL ASSEMBLY COMPUTER EQUIPMENT REVOLVING FUND - 155</u>						
Purchase, Maintenance, and Rental of General Assembly Electronic Data Processing Equipment and Other Operational Purposes of the General Assembly	\$ 1,600,000	\$ 14,126	\$ 278	\$ 14,404	\$ 1,585,596	
Subtotal - Fund 155	\$ 1,600,000	\$ 14,126	\$ 278	\$ 14,404	\$ 1,585,596	
GRAND TOTAL - ALL FUNDS	\$ 6,766,700	\$ 4,330,089	\$ 623,429	\$ 4,953,518	\$ 1,813,182	

Note 1: Appropriations, expenditures, and lapsed balances were obtained from the System's records as of August 31, 2015, and have been reconciled to the State Comptroller's records.

Note 2: Expenditure amounts are vouchers approved for payment by the System and submitted to the State Comptroller for payment to the vendor.

STATE OF ILLINOIS
LEGISLATIVE INFORMATION SYSTEM
**COMPARATIVE SCHEDULE OF NET APPROPRIATIONS,
EXPENDITURES, AND LAPSED BALANCES**
For the Fiscal Year Ended June 30,

	FISCAL YEAR		
	2016	2015	2014
	Continuing Appropriations	P.A. 098-0679	P.A. 098-0064
GENERAL REVENUE FUND - 001			
Appropriations (Net of Transfers)	\$ 5,166,700	\$ 5,166,700	\$ 5,166,700
Expenditures			
Operational Expenses	\$ 4,742,752	\$ 4,939,114	\$ 4,760,209
Total Expenditures	\$ 4,742,752	\$ 4,939,114	\$ 4,760,209
Lapsed Balances	\$ 423,948	\$ 227,586	\$ 406,491
GENERAL ASSEMBLY COMPUTER EQUIPMENT REVOLVING FUND - 155			
Appropriations (Net of Transfers)	\$ 1,600,000	\$ 1,600,000	\$ 1,600,000
Expenditures			
Purchase, Maintenance, and Rental of General Assembly Electronic Data Processing Equipment and Other Operational Purposes of the General Assembly	\$ 21,222	\$ 14,404	\$ 20,625
Total Expenditures	\$ 21,222	\$ 14,404	\$ 20,625
Lapsed Balances	\$ 1,578,778	\$ 1,585,596	\$ 1,579,375
GRAND TOTAL - ALL FUNDS			
Appropriations (Net of Transfers)	\$ 6,766,700	\$ 6,766,700	\$ 6,766,700
Total Expenditures	4,763,974	4,953,518	4,780,834
Lapsed Balances	\$ 2,002,726	\$ 1,813,182	\$ 1,985,866

Note 1: For Fiscal Year 2016, expenditure authority, appropriations, expenditures, and lapsed balances were obtained from the State Comptroller's records as of September 30, 2016, and have been reconciled to the System's records.

Note 2: For Fiscal Year 2015, appropriations, expenditures, and lapsed balances were obtained from the System's records as of August 31, 2015, and have been reconciled to the State Comptroller's records.

Note 3: Expenditure amounts are vouchers approved for payment by the System and submitted to the State Comptroller for payment to the vendor.

Note 4: The Civil Administrative Code (State Budget Law) (15 ILCS 20/50-22(b)) provides aggregate appropriations available for legislative operations for all funds for each fiscal year shall not be less than the aggregate appropriations made available for legislative operations during the immediately preceding fiscal year. The Circuit Court of Cook County in *People v. Munger* (15 CH 10243) ordered the State Comptroller, in the absence of enacted annual appropriations, to process and pay certified invoice vouchers from the State's legislative branch agencies pursuant to this statute. Therefore, the System's Fiscal Year 2015 appropriation for Fund 001 and Fund 155 was carried forward to become the System's Fiscal Year 2016 expenditure authority for Fund 001 and Fund 155.

STATE OF ILLINOIS
 LEGISLATIVE INFORMATION SYSTEM
SCHEDULE OF CHANGES IN STATE PROPERTY
 For the Two Years Ended June 30, 2016

Balance at July 1, 2014	\$ 6,879,873
Additions	878,893
Deletions	(43,064)
Net Transfers	<u>(1,500,143)</u>
Balance at June 30, 2015	\$ 6,215,559
Additions	554,699
Deletions	(118,585)
Net Transfers	<u>(441,643)</u>
Balance at June 30, 2016	<u><u>\$ 6,210,030</u></u>

Note: This schedule has been derived from the System's records which have been reconciled to property reports submitted to the Office of the State Comptroller.

STATE OF ILLINOIS
 LEGISLATIVE INFORMATION SYSTEM
**COMPARATIVE SCHEDULE OF CASH RECEIPTS AND
 RECONCILIATION OF CASH RECEIPTS TO DEPOSITS
 REMITTED TO THE STATE COMPTROLLER**
 For the Fiscal Year Ended June 30,

	<u>2016</u>	Fiscal Year <u>2015</u>	<u>2014</u>
<u>General Revenue Fund - 001</u>			
Reimbursements, Jury Duty, and Recoveries	<u>\$ 4,322</u>	<u>\$ 498</u>	<u>\$ 15</u>
Total Cash Receipts per System records	<u>\$ 4,322</u>	<u>\$ 498</u>	<u>\$ 15</u>
Add: Deposits in Transit, Beginning of Year	-	-	-
Less: Deposits in Transit, End of Year	<u>-</u>	<u>-</u>	<u>-</u>
Deposits Recorded by the State Comptroller	<u>\$ 4,322</u>	<u>\$ 498</u>	<u>\$ 15</u>

STATE OF ILLINOIS
LEGISLATIVE INFORMATION SYSTEM
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2016

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2016 AND 2015

General Assembly Computer Equipment Revolving Fund – 155

Purchase, Maintenance, and Rental of General Assembly Electronic Data Processing Equipment and Other Operational Purposes of the General Assembly

The increase in expenditures was due to the four legislative leaders requesting necessary equipment and supplies during Fiscal Year 2016. The items purchased included updated computers, software updates, and travel deemed necessary based on General Assembly needs.

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2015 AND 2014

General Assembly Computer Equipment Revolving Fund - 155

Purchase, Maintenance, and Rental of General Assembly Electronic Data Processing Equipment and Other Operational Purposes of the General Assembly

The decrease was due to a decrease in the equipment and supplies requested by the four legislative leaders. These expenditures are expected to fluctuate, as the four legislative leaders deem necessary, between fiscal years.

STATE OF ILLINOIS
LEGISLATIVE INFORMATION SYSTEM
ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS
For the Two Years Ended June 30, 2016

General Revenue Fund - 001

The System receives minimal receipts. The System received one unclaimed property receipt from the Treasurer's Office during Fiscal Year 2016 and two receipts related to a lawsuit settlement from unsolicited faxes during Fiscal Year 2015. There was one jury duty receipt during Fiscal Year 2014. Receipts are expected to fluctuate between fiscal years due to the nature of the receipts processed.

STATE OF ILLINOIS
LEGISLATIVE INFORMATION SYSTEM
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
For the Two Years Ended June 30, 2016

FISCAL YEAR 2016

There was no significant Lapse Period spending during Fiscal Year 2016.

FISCAL YEAR 2015

There was no significant Lapse Period spending during Fiscal Year 2015.

STATE OF ILLINOIS
LEGISLATIVE INFORMATION SYSTEM
AGENCY FUNCTIONS AND PLANNING PROGRAM
For the Two Years Ended June 30, 2016
(Not Examined)

AGENCY FUNCTIONS

The System, in accordance with the Legislative Commission Reorganization Act of 1984 (25 ILCS 130/5-1), is under the direction of the Joint Committee on Legislative Support Services as a legislative support services agency. The System's primary responsibility is to provide information technology services to the General Assembly and its committees, commissions, and agencies.

The duties and responsibilities of the System consist of:

1. Establishing offices in the State's Capitol Complex and providing such services as are required by the General Assembly;
2. Studying and making recommendations concerning the application of information technology to the varied services required by the General Assembly;
3. Implementing information technology solutions as directed by the General Assembly;
4. Maintaining the complete text of the administrative rules in electronic form and cooperating with the Joint Committee on Administrative Rules and the Secretary of State in making that text available for use in publication of the Illinois Register and the Illinois Administrative Code;
5. Providing information technology services for the Legislative Reference Bureau, the Legislative Research Unit, the Clerk of the House of Representatives, and the Secretary of the Senate;
6. Providing information technology services to General Assembly's other committees, boards, agencies, and commissions within the resources available to the System; and,
7. Making legislative information available to the public via the Internet.

STATE OF ILLINOIS
LEGISLATIVE INFORMATION SYSTEM
AGENCY FUNCTIONS AND PLANNING PROGRAM
For the Two Years Ended June 30, 2016
(Not Examined)

AGENCY FUNCTIONS (continued)

The System is made up of the following areas:

1. Administrative Services: Responsible for all the fiscal and personnel operations of the System. This section also works closely with other fiscal operations in the legislative branch and other agencies to coordinate accounting systems and reporting. Administrative Services is also responsible for the receipts and disbursements of the General Assembly Computer Equipment Revolving Fund.
2. Application Services: Develops, maintains, and supports the various applications used by the General Assembly. This includes analyzing needs and requests, proposing solutions, and providing training.
3. Systems Services: Installs, maintains, and supports the operating systems, back-end software, networks, and all hardware that supports other functions of the System. This includes monitoring performance and providing security.

PLANNING PROGRAM

The System's plan consists of a mission statement that provides a general statement of the System's goals. From this statement, general objectives have been developed that put the mission statement into specific productive outputs resulting in a multi-year work plan.

The major focus of the System is on operating and maintaining the existing applications and networks while implementing enhancements and upgrades. All applications and networks are constantly evaluated for effectiveness and performance. Communication is maintained with users to ensure that all needed and desired services are being provided. Change requests are acknowledged, investigated, and evaluated; if accepted, resources are scheduled leading to development and implementation of the request. Hardware and software are constantly monitored and evaluated as well; if changes or upgrades are needed, they are implemented according to available resources and in conjunction with ongoing operations.

All implementations are prioritized and scheduled around the legislative session to ensure the least likelihood of negative impact. Priorities and schedules are constantly in a state of flux and continually updated due to the sporadic schedule and changing needs of the General Assembly.

STATE OF ILLINOIS
LEGISLATIVE INFORMATION SYSTEM
BUDGET IMPASSE DISCLOSURES
For the Two Years Ended June 30, 2016
(Not Examined)

Payment of Fiscal Year 2016 Costs in Future Fiscal Years

All of the System's Fiscal Year 2016 costs were paid pursuant to continuing appropriations. The System did not have any outstanding invoices from Fiscal Year 2016 unpaid after the closure of the Fiscal Year 2016 Lapse Period on August 31, 2016.

STATE OF ILLINOIS
LEGISLATIVE INFORMATION SYSTEM
**ALTERNATIVE FINANCING IN LIEU OF APPROPRIATIONS AND
PROGRAMS TO ADDRESS UNTIMELY PAYMENTS TO VENDORS**
For the Two Years Ended June 30, 2016
(Not Examined)

Transactions Involving the Illinois Finance Authority

The System and its vendors did not participate in alternative financing in lieu of enacted appropriations involving the Illinois Finance Authority during Fiscal Year 2016.

Transactions Involving the Vendor Payment Program and Vendor Support Initiative Program

None of the System's vendors participated in the Vendor Payment Program (VPP) or the Vendor Support Initiative Program (VSI) during Fiscal Year 2015 and Fiscal Year 2016.

STATE OF ILLINOIS
 LEGISLATIVE INFORMATION SYSTEM
INTEREST COSTS ON FISCAL YEAR 2016 INVOICES
 For the Two Years Ended June 30, 2016
 (Not Examined)

Prompt Payment Interest Costs

The System plans to calculate prompt payment interest due to vendors under the State Prompt Payment Act (Act) (30 ILCS 540) using the vendor's proper bill date through the date the State Comptroller issues a warrant to the vendor, regardless of when and if an enacted appropriation existed during Fiscal Year 2016. The Act (30 ILCS 540/3-2) and the Illinois Administrative Code (74 Ill. Admin. Code 900.100) require interest to be paid under a daily simple interest rate of .033% (1% over a 30-day period) for every day elapsed following the 90th day after a vendor submits an eligible proper bill to the System. The following chart shows the System's prompt payment interest incurred related to Fiscal Year 2016 invoices, calculated on the accrual basis of accounting, through June 30, 2016, by fund:

PROMPT PAYMENT INTEREST INCURRED

Year Ended June 30, 2016

Fund #	Fund Name	Invoices	Vendors	Dollar Value
001	General Revenue Fund	42	28	\$ 4,121
		42	28	\$ 4,121

STATE OF ILLINOIS
 LEGISLATIVE INFORMATION SYSTEM
AVERAGE NUMBER OF EMPLOYEES
 For the Two Years Ended June 30, 2016
 (Not Examined)

The following table, prepared from System records, presents the average number of System employees, by function, for the Fiscal Year Ended June 30,

	<u>2016</u>	<u>2015</u>	<u>2014</u>
Administration	6	6	7
Application System Support	6	7	7
Application System Development	8	10	11
System Support	<u>8</u>	<u>7</u>	<u>8</u>
Total average full-time employees	<u><u>28</u></u>	<u><u>30</u></u>	<u><u>33</u></u>

STATE OF ILLINOIS
LEGISLATIVE INFORMATION SYSTEM
SERVICE EFFORTS AND ACCOMPLISHMENTS
For the Two Years Ended June 30, 2016
(Not Examined)

The System provides information technology solutions to the General Assembly, including its members, staff, and agencies. Services provided include:

- Desktop and laptop hardware and software;
- Server hardware and software;
- Network infrastructure, hardware, and software;
- Printers;
- Legislative application systems, including:
 - Drafting of bills, amendments, and resolutions;
 - Minutes of floor proceedings;
 - Tracking of legislation and bill status;
 - Engrossing and enrolling of legislation;
 - Maintenance of the Illinois Compiled Statutes database;
 - Committee postings;
 - Daily calendar preparation;
 - Journal preparation;
 - Transcription preparation;
 - Appointment messages;
 - Member laptops;
 - Chamber voting;
 - Committee operations;
 - Access to information on previous sessions of the General Assembly; and,
 - Maintenance of the Illinois Administrative Code database;
- Maintaining the General Assembly's www.ilga.gov website;
- Audio/video support;
- Ethics training;
- Accounting;
- Property control;
- Timekeeping; and,
- Training.

In the interests of effectively and efficiently meeting the needs of the General Assembly, the System focuses on operating and maintaining existing systems and infrastructure while implementing necessary and appropriate enhancements and upgrades. The network infrastructure and all systems are constantly evaluated for effectiveness, performance, and security. Communication is maintained with users to ensure that all needed and desired services are being provided. Change requests are acknowledged, investigated, and evaluated; upon acceptance, resources are scheduled leading to development and implementation of the request. Hardware and software are constantly monitored and evaluated as well; if changes or upgrades are needed, they are implemented according to available resources and in conjunction with ongoing operations. Research is continual

STATE OF ILLINOIS
LEGISLATIVE INFORMATION SYSTEM
SERVICE EFFORTS AND ACCOMPLISHMENTS
For the Two Years Ended June 30, 2016
(Not Examined)

into new developments in information technology and the possible benefits for the General Assembly.

Specific efforts and accomplishments during the examination period include:

- Implementation of Microsoft Hyper-V virtual services to reduce the System's physical footprint;
- Regular hardware and software maintenance and upgrades;
- Implemented the Chamber Member Tracking application;
- Implemented the new web search tool that replaced Google Search;
- Transitioned the Legislative Research Unit from an Apple/Mac environment to a Windows/PC environment;
- Upgraded the 3B2 publishing engine utilizing virtual servers;
- Implemented the new phone system;
- Implemented the rewrite of the Bill Drafting application;
- Implemented the rewrite of the Member Legislation System that is used by the members in the chambers and in committees;
- Implemented the rewrite of the Appointment Message Tracking application;
- Rewrote the Minutes application;
- Implemented the Legislative Research Unit Appointment Tracking application;
- Implemented the Office of the Architect Of the Capitol Work Order Tracking application;
- Rewrote the Appropriation Drafting applications;
- Upgraded the Senate chamber audio/video system;
- Upgraded the Senate chamber vote display boards; and,
- Implemented a new disaster recovery site in Chicago.