



STATE OF ILLINOIS  
**OFFICE OF THE  
 AUDITOR GENERAL**

Frank J. Mautino, Auditor General

**SUMMARY REPORT DIGEST**

**LEGISLATIVE INFORMATION SYSTEM**

Compliance Examination  
 For the Two Years Ended June 30, 2020

Release Date: November 16, 2021

FINDINGS THIS AUDIT: 2				AGING SCHEDULE OF REPEATED FINDINGS			
	<u>New</u>	<u>Repeat</u>	<u>Total</u>	<u>Repeated Since</u>	<u>Category 1</u>	<u>Category 2</u>	<u>Category 3</u>
<b>Category 1:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>No Repeat Findings</b>			
<b>Category 2:</b>	2	0	2				
<b>Category 3:</b>	<u>0</u>	<u>0</u>	<u>0</u>				
<b>TOTAL</b>	2	0	2				
<b>FINDINGS LAST AUDIT: 0</b>							

**SYNOPSIS**

- (20-1) The System did not comply with certain procedural requirements established by State laws and regulations.

**Category 1:** Findings that are **material weaknesses** in internal control and/or a **qualification** on compliance with State laws and regulations (material noncompliance).  
**Category 2:** Findings that are **significant deficiencies** in internal control and **noncompliance** with State laws and regulations.  
**Category 3:** Findings that have **no internal control issues but are in noncompliance** with State laws and regulations.

**LEGISLATIVE INFORMATION SYSTEM  
COMPLIANCE EXAMINATION  
For the Two Years Ended June 30, 2020**

<b>EXPENDITURE STATISTICS</b>	<b>2020</b>	<b>2019</b>	<b>2018</b>
<b>Total Expenditures.....</b>	<b>\$ 4,945,241</b>	<b>\$ 5,043,299</b>	<b>\$ 5,081,598</b>
OPERATIONS TOTAL.....	\$ 4,945,241	\$ 5,043,299	\$ 5,081,598
% of Total Expenditures.....	100.0%	100.0%	100.0%
Personal Services.....	2,558,812	2,525,288	2,436,676
Other Payroll Costs (FICA, Retirement).....	290,388	286,003	275,863
All Other Operating Expenditures.....	2,096,041	2,232,008	2,369,059
<b>Total Receipts.....</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 20</b>
<b>Average Number of Employees.....</b>	<b>29</b>	<b>29</b>	<b>28</b>

<b>AGENCY DIRECTOR</b>
During Examination Period: Mark Wenda
Currently: Mark Wenda

## FINDINGS, CONCLUSIONS, AND RECOMMENDATIONS

### PROCEDURAL DEFICIENCIES

The Legislative Information System (System) did not comply with certain procedural requirements established by State laws and regulations.

During testing, we noted the following:

**State Library was not informed of the person responsible for distribution**

- The System did not inform the Government Documents Section of the State Library in writing of its person(s) responsible for the distribution of documents annually by January 15 in Fiscal Year 2019, and July 15 in Fiscal Year 2020, and within two weeks after any changes to the annual filing.

**Contact information was not listed on the System website to be translated**

- The contact information for the Legislative Ethics Commission and Legislative Inspector General were not listed on the website, and therefore, not able to be translated with the other offices of the Capitol Complex. (Finding 1, pages 9-10)

We recommended the System inform the Government Documents Section of the State Library in writing of its person(s) responsible for the distribution of documents annually by July 15 and within two weeks after any changes to the annual filing. In addition, we recommended the System maintain the contact information for the Legislative Ethics Commission and Legislative Inspector General on the website to ensure translation with the other offices of the Capitol Complex.

**System agreed with recommendation**

The System agreed with our recommendation.

### OTHER FINDINGS

The remaining finding pertains to weaknesses in cybersecurity programs and practices. We will review the System's progress towards the implementation of our recommendations in our next compliance examination.

### ACCOUNTANT'S OPINION

The accountants conducted a compliance examination of the System for the two years ended June 30, 2020, as required by the Illinois State Auditing Act. The accountants stated the System complied, in all material respects, with the requirements described in the report.

This compliance examination was conducted by the Office of the Auditor General's staff.

**SIGNED ORIGINAL ON FILE**

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JANE CLARK  
Division Director

This report is transmitted in accordance with Section 3-14 of the Illinois State Auditing Act.

**SIGNED ORIGINAL ON FILE**

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FRANK J. MAUTINO  
Auditor General

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