

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

FINANCIAL AUDIT
For the Year Ended June 30, 2013

COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2013

Performed as Special Assistant Auditors
for the Auditor General, State of Illinois

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

FINANCIAL AUDIT
For the Year Ended June 30, 2013

COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2013

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OFFICE OF THE SECRETARY OF STATE

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STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

AGENCY OFFICIALS

For the Year Ended June 30, 2013

Secretary of State	The Honorable Jesse White
Deputy Secretary of State and Chief of Staff	Thomas N. Benigno
Acting Director of Internal Audit	Stell Mallios
General Counsel	Irene Lyons
Inspector General	James B. Burns
Director of Budget and Fiscal Management – Chief Fiscal Officer	Jacqueline Price
Chief Deputy Director/Acting Director of Accounting Revenue	John Grzymiski

The Secretary of State offices are located throughout the State of Illinois. The Secretary of State financial records are processed and maintained in the Howlett Building, Springfield, Illinois 62756. Executive Offices of the Secretary of State are located at Room 213, Capitol Building, Springfield, Illinois, 62756 and Suite 5-400, 100 W. Randolph, Chicago, Illinois 60601.



OFFICE OF THE SECRETARY OF STATE

JESSE WHITE • Secretary of State

MANAGEMENT ASSERTION LETTER

February 13, 2014

Kerber, Eck and Braeckel, LLP
1000 Myers Building
1 West Old State Capitol Plaza
Springfield, IL 62701

Ladies and Gentlemen:

We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the Illinois Office of the Secretary of State. We are responsible for and we have established and maintained an effective system of internal controls over compliance requirements. We have performed an evaluation of the Office's compliance with the following assertions during the two-year period ended June 30, 2013. Based on this evaluation, we assert that during the years ended June 30, 2013 and June 30, 2012, the Office has materially complied with the assertions below.

- A. The Office has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The Office has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The Office has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the Office are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the Office on behalf of the State or held in trust by the Office have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate and in accordance with law.

Yours very truly,

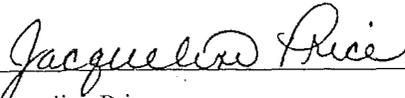
Illinois Office of the Secretary of State



Jesse White, Secretary of State



Irene Lyons, Legal Counsel



Jacqueline Price,

Director - Budget and Fiscal Management

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPLIANCE REPORT

For the Two Years Ended June 30, 2013

Summary

The compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and in accordance with the Illinois State Auditing Act.

ACCOUNTANT’S REPORT

The Independent Accountant’s Report on State Compliance, on Internal Control Over Compliance and on Supplementary Information for State Compliance Purposes does not contain scope limitations, disclaimers, or other significant non-standard language.

The Office of the Auditor General assisted Kerber, Eck & Braeckel LLP with the compliance examination procedures in relation to the Securities Department of the Office of the Secretary of State. Kerber, Eck & Braeckel LLP has accepted responsibility for the work performed by the Office of the Auditor General.

SUMMARY OF FINDINGS

<u>Number of Findings</u>	<u>Current Report</u>	<u>Prior Reports</u>
Findings	8	10
Repeated findings	3	3
Prior recommendations implemented or not repeated	7	5

SCHEDULE OF FINDINGS

<u>Item No.</u>	<u>Page</u>	<u>Description</u>	<u>Finding Type</u>
FINDINGS (<i>GOVERNMENT AUDITING STANDARDS</i>)			
No matters were noted.			
FINDINGS (STATE COMPLIANCE)			
2013-001	12	Failure to Submit Quarterly Business Report	Significant Deficiency and Noncompliance
2013-002	13	Inadequate Control of Cash at Drivers License Facilities	Significant Deficiency and Noncompliance
2013-003	15	Inadequate Change Fund Controls	Significant Deficiency and Noncompliance
2013-004	17	Inaccurate Agency Fee Imposition Report	Significant Deficiency and Noncompliance

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPLIANCE REPORT

For the Two Years Ended June 30, 2013

SCHEDULE OF FINDINGS - Continued

<u>Item No.</u>	<u>Page</u>	<u>Description</u>	<u>Finding Type</u>
2013-005	18	Excess Balances in Division of Corporations Limited Liability Partnership Fund	Significant Deficiency and Noncompliance
2013-006	19	Lack of Executed Agreements and Independent Review of Internal Control of Outsourced Information Systems or Operations	Significant Deficiency and Noncompliance
2013-007	21	PCI Compliance Weaknesses	Significant Deficiency and Noncompliance
2013-008	23	Inadequate Procedures for Disposal of Confidential Information	Significant Deficiency and Noncompliance

PRIOR FINDINGS NOT REPEATED

<u>Item No.</u>	<u>Page</u>	<u>Description</u>
A	25	Failure to Identify Financial Statement Report Errors
B	25	Inadequate Review of Quarterly Property Reports
C	25	Noncompliance with the Uniform Commercial Code
D	25	Representative Not Appointed to Task Force
E	26	Failure to Comply with Information Technology Systems Development Standards
F	26	Noncompliance with the State Employment Records Act
G	26	Insufficient Physical Security of Computer Resources

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPLIANCE REPORT

For the Two Years Ended June 30, 2013

EXIT CONFERENCE

The findings and recommendations appearing in this report were discussed with Agency personnel at an exit conference on December 19, 2013.

Attending were:

Office of the Secretary of State

Terri Coombes	Deputy Chief of Staff
Amanda Trimmer	Chief Deputy Director of Budget and Fiscal Management
Jacqueline Price	Director – Budget & Fiscal Management – Chief Fiscal Officer
Joseph McDonald	Assistant to Director – Budget & Fiscal Management
Stell Mallios	Acting Director of Internal Audit
Ernest Dannenberger	Director – Vehicle Services Department
Jeff Carter	IT Director – Information Technology

Office of the Auditor General

Peggy Hartson	Audit Manager
Joseph Gudgel	IT Audit Manager

Kerber, Eck & Braeckel LLP

Deborah J. Ringer	Partner
Kate Ward	Senior Audit Manager
Shawn Taylor	Audit Manager
Marci Petropoulos	Audit Manager
Nic Atkinson	Senior Accountant
Amanda Wells	Senior Accountant

The responses to the recommendations were provided by Joseph McDonald in correspondence dated December 30, 2013.

INDEPENDENT ACCOUNTANT'S REPORT ON STATE COMPLIANCE AND
ON INTERNAL CONTROL OVER COMPLIANCE

Honorable William G. Holland
Auditor General
State of Illinois

Compliance

As Special Assistant Auditors for the Auditor General, we have examined the State of Illinois, Office of the Secretary of State's compliance with the requirements listed below, as more fully described in the Audit Guide for Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (Audit Guide) as adopted by the Auditor General, during the two years ended June 30, 2013. The management of the State of Illinois, Office of the Secretary of State is responsible for compliance with these requirements. Our responsibility is to express an opinion on the State of Illinois, Office of the Secretary of State's compliance based on our examination.

- A. The State of Illinois, Office of the Secretary of State has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The State of Illinois, Office of the Secretary of State has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The State of Illinois, Office of the Secretary of State has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the State of Illinois, Office of the Secretary of State are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the State of Illinois, Office of the Secretary of State on behalf of the State or held in trust by the State of Illinois, Office of the Secretary of State have been properly and legally administered and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.

Our examination was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States; the Illinois State Auditing Act (Act); and the Audit Guide as adopted by the Auditor General pursuant to the Act; and, accordingly, included examining, on a test basis, evidence about the State of Illinois, Office of the Secretary of State's compliance with those requirements listed in the first paragraph of this report and performing such other procedures as we considered necessary in the circumstances. We believe that our examination provides a reasonable basis for our opinion. Our examination does not provide a legal determination on the State of Illinois, Office of the Secretary of State's compliance with specified requirements.

In our opinion, the State of Illinois, Office of the Secretary of State complied, in all material respects, with the compliance requirements listed in the first paragraph of this report during the two years ended June 30, 2013. However, the results of our procedures disclosed instances of noncompliance with the requirements, which are required to be reported in accordance with criteria established by the Audit Guide, issued by the Illinois Office of the Auditor General and which are described in the accompanying schedule of findings as items 2013-001 through 2013-008.

Internal Control

Management of the State of Illinois, Office of the Secretary of State is responsible for establishing and maintaining effective internal control over compliance with the requirements listed in the first paragraph of this report. In planning and performing our examination, we considered the State of Illinois, Office of the Secretary of State's internal control over compliance with the requirements listed in the first paragraph of this report to determine the examination procedures that are appropriate in the circumstances for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with the Audit Guide, issued by the Illinois Office of the Auditor General, but not for the purpose of expressing an opinion on the effectiveness of the State of Illinois, Office of the Secretary of State's internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the State of Illinois, Office of the Secretary of State's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with the requirements listed in the first paragraph of this report on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a requirement listed in the first paragraph of this report will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies and therefore, material weaknesses or significant deficiencies may exist that were not identified. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, we identified certain deficiencies in internal control over compliance, as described in the accompanying schedule of findings as items 2013-001 through 2013-008, that we consider to be significant deficiencies.

As required by the Audit Guide, immaterial findings excluded from this report have been reported in a separate letter to your office.

The State of Illinois, Office of the Secretary of State's responses to the findings identified in our examination are described in the accompanying schedule of findings. We did not examine the State of Illinois, Office of the Secretary of State's responses and, accordingly, we express no opinion on the responses.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, agency management and is not intended to be and should not be used by anyone other than these specified parties.

Kuhn, Eck & Brantel LLP

Springfield, Illinois
February 13, 2014



INDEPENDENT AUDITOR'S REPORT ON INTERNAL CONTROL OVER FINANCIAL
REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF
FINANCIAL STATEMENTS
PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS*

Honorable William G. Holland
Auditor General
State of Illinois

As Special Assistant Auditors for the Auditor General, we have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of the governmental activities, each major fund, and the aggregate remaining fund information of the State of Illinois, Office of the Secretary of State, as of and for the year ended June 30, 2013, and the related notes to the financial statements, which collectively comprise the State of Illinois, Office of the Secretary of State's basic financial statements and have issued our report thereon dated February 13, 2014.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered the State of Illinois, Office of the Secretary of State's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the State of Illinois, Office of the Secretary of State's internal control. Accordingly, we do not express an opinion on the effectiveness of the State of Illinois, Office of the Secretary of State's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. *A material weakness* is a deficiency, or a combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. *A significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the State of Illinois, Office of the Secretary of State's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the State of Illinois, Office of the Secretary of State's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the State of Illinois, Office of the Secretary of State's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

Kuhn, Eck & Brantford LLP

Springfield, Illinois
February 13, 2014

State of Illinois
Office of the Secretary of State

SCHEDULE OF FINDINGS
CURRENT FINDINGS - STATE COMPLIANCE

For the Two Years Ended June 30, 2013

2013-001 Finding: Failure to Submit Quarterly Business Report

The Office of the Secretary of State (Office) did not submit quarterly business reports to the General Assembly.

During our testing, we noted the Office did not submit quarterly business reports to the General Assembly during fiscal years 2012 and 2013. Additionally, we noted the Office did not publish on their website these required reports.

The Secretary of State Act (15 ILCS 305/16) was amended August 23, 2011 to require the Office to issue a quarterly report to the General Assembly detailing the number of businesses registered, the number of new businesses incorporating in Illinois and the number of businesses renewing registrations in Illinois by category. The report was required to include comparable data from the corresponding quarter of the previous calendar year and shall reflect any increases or decreases. Additionally, this Act states that the Office “shall publish the report on its website.”

According to Office personnel, the failure to file the quarterly reports with the Illinois General Assembly and failure to publish the reports on the Office website was due to oversight on the part of the Office personnel responsible for the filings. Once the responsible personnel were made aware of the requirements, the appropriate filings were made and the information was published on the Office website.

Failure to file the quarterly business reports and failure to publish the business reports on the Office website is a violation of State statute. (Finding Code No. 2013-001)

Recommendation

We recommend the Office timely file the required quarterly business reports with the Illinois General Assembly. Additionally, we recommend the responsible personnel ensure these reports are properly published on the Office website.

Agency Response

The Office accepts this finding and the recommendation has been partially implemented. The Office has submitted the 1st quarter 2014 reports with the General Assembly which included the fiscal year 2012 and 2013 information and has implemented procedures to ensure timely submission of these reports going forward. The Office is in the process of implementing procedures to ensure the information is published on the Office website as required.

State of Illinois
Office of the Secretary of State

SCHEDULE OF FINDINGS - STATE COMPLIANCE

For the two years ended June 30, 2013

CURRENT FINDINGS

2013-002 Finding: Inadequate Control of Cash at Drivers License Facilities

The Office of the Secretary of State (Office) permitted multiple employees to have access to the same cash drawers at the Drivers License Facilities (facilities).

During our testing, we visited 6 of the Office's 138 facilities. At all 6 of the facilities visited, we noted that multiple employees could access the same cash drawer.

Office personnel indicated, as they did during the prior examination, the excessive access to the cash drawers is a byproduct of the emphasis placed on prompt service and minimal customer wait time. Some of the smaller facilities have only two employees working, and one employee may be required to attend to the customers while the other employee administers a driver's exam. By permitting employees to access more than one cash drawer, the Office is reducing the wait time that could occur when employees leave their terminals for any reason, thus permitting another employee to continue processing at the terminal without switching out the cash drawers and restarting the terminal. The Office has installed new technology equipment, which includes cameras at the facilities as well as computer log-in controls, in an attempt to strengthen controls at these facilities. However, during our testing it was noted this technology does not prevent multiple employees from accessing the same cash drawer. Individuals are not logging out of the system prior to allowing another employee use of the cash drawer.

The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires all State agencies to establish and maintain internal controls to safeguard assets against loss, unauthorized use, and misappropriation. Good business practice dictates that the Office maintain adequate control over the receipts processed at the facilities, which includes restricting the access to cash drawers. A substantial portion of receipts is collected at the Drivers License Facilities.

Although we did not identify specific cases where receipts were stolen, failure to restrict access to the cash drawers produces an environment where the potential for loss from fraud or theft is enhanced and hinders the resolutions of potential discrepancies between facility sales and the related receipts. (Finding Code No. 2013-002, 11-1, 09-4, 07-4, 05-4)

Recommendation

We recommend the Office continue to develop procedures to ensure that each employee utilizes an individual cash drawer and not be permitted to access the drawer of other employees at the Drivers License Facilities.

State of Illinois
Office of the Secretary of State

SCHEDULE OF FINDINGS - STATE COMPLIANCE

For the two years ended June 30, 2013

CURRENT FINDINGS

2013-002 Finding: Inadequate Controls of Cash at Drivers License Facilities - Continued

Agency Response

The Office accepts this finding. The Office is in the process of centralizing the issuance of individual drivers' licenses. The Office will be redesigning the physical layout of all facilities to accommodate this change. The Office will address the above recommendation where multiple employees have access to an individual cash drawer as the facilities are updated.

State of Illinois
Office of the Secretary of State

SCHEDULE OF FINDINGS - STATE COMPLIANCE

For the two years ended June 30, 2013

CURRENT FINDINGS

2013-003 Finding: Inadequate Change Fund Controls

The Office of the Secretary of State (Office) did not maintain adequate records of the custodians of change funds and did not keep safes containing change funds locked at all times.

During our testing of the Office's change funds, we noted the following:

- The Office did not maintain adequate records of the custodians of change funds. For 3 of 25 (12%) change funds tested, the Office did not obtain the proper signatures from the former custodian on the C-85 form Change of Custodian or Location Petty Cash or Change Fund (C-85 form) filed with the Office of the Comptroller. Additionally, for 6 of 25 (24%) change funds tested, the C-85 form was not filed in a timely manner with the Office of the Comptroller. On average, it took 120 days to file the C-85 form.
- We visited 6 of the Office's 138 Drivers License Facilities (facilities). At three of the six (50%) facilities tested, we noted the safes used to store the change funds and daily work were left unlocked during business hours. Additionally, at one of the six (17%) facilities tested, we noted the change funds and daily work were kept in a filing cabinet that did not have a locking mechanism.

When a change in custodian occurs (i.e., an employee's termination, transfer of employment, or leave of absence), both the former and successor custodian should properly and promptly complete the C-85 form, and the Office should provide such copy to the Office of the Comptroller, as required by the Statewide Accounting Management System (SAMS) Procedure (09.10.40). The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires all State agencies to establish and maintain internal controls to safeguard assets against loss, unauthorized use, and misappropriation. In addition, good business practice requires access to change funds be secured at all times.

Office personnel indicated the failure to obtain the proper signatures on the C-85 forms was due to oversight on the part of responsible personnel. Additionally, the delay in the filing of the C-85 forms was due to responsible personnel accumulating all change fund forms for filing at one time versus remitting each C-85 form as it was completed and as the change was made. Office personnel indicated the primary reason for the failure to lock the safes containing the change funds during operating hours was due to an operational need. The employees frequently need access to the safes and leaving the safes unlocked helps in keeping the daily operations efficient.

State of Illinois
Office of the Secretary of State

SCHEDULE OF FINDINGS - STATE COMPLIANCE

For the two years ended June 30, 2013

CURRENT FINDINGS

2013-003 Finding: Inadequate Change Fund Controls - Continued

Failure to maintain adequate procedures to timely update custodial information and secure change funds could lead to misappropriation of State funds. (Finding Code No. 2013-003, 11-4)

Recommendation

We recommend the Office implement procedures to ensure timely and accurate reporting of information concerning the custodians of change funds and that change funds be maintained in a locked safe at all times.

Agency Response

The Office accepts this finding and the recommendation has been partially implemented. Procedures have been implemented to ensure the timely and accurate reporting of changes in the custodians of change funds. Procedures exist that require safes to be locked at all times. The Office will retrain employees in our facilities to ensure that safes should be locked when not in use.

State of Illinois
Office of the Secretary of State

SCHEDULE OF FINDINGS - STATE COMPLIANCE

For the two years ended June 30, 2013

CURRENT FINDINGS

2013-004 Finding: Inaccurate Agency Fee Imposition Report

The Office of the Secretary of State (Office) reported inaccurate information on the Agency Fee Imposition Report.

During our testing, we noted the Office incorrectly reported the fees collected related to the foreign international registration plan on the fiscal year 2012 Agency Fee Imposition Report. The amount reported was understated by approximately \$60 million. Additionally, the amount reported for Annual Franchise Tax was overstated by \$6 million on the fiscal year 2012 Fee Imposition Report.

According to the State Comptroller's Act (15 ILCS 405/16), each agency that imposes fees shall file the Agency Fee Imposition Report with the Illinois Office of the Comptroller. The Statewide Accounting Management System (SAMS) Procedure (33.16.20) requires agencies to enter the total amount generated by fees during the fiscal year.

According to Office personnel, the fees related to the foreign international registration plan do not go through the standard sales validation process and, as such, were inadvertently omitted from the Agency Fee Imposition Report. The overstatement of the Annual Franchise Tax fees was due to an input error not detected in the Agency Fee Imposition Report review process.

Failure to accurately report information on the Agency Fee Imposition Report results in incorrect information available for use by the Illinois Office of the Comptroller, the Illinois General Assembly and any other entities utilizing this information. (Finding Code No. 2013-004)

Recommendation

We recommend the Office implement internal control procedures to ensure the accurate reporting of information included in the Agency Fee Imposition Report as well as management review procedures to identify any inaccuracies occurring during the preparation of this report.

Agency Response

The Office accepts this finding and has implemented the recommendation. The Office has implemented procedures to ensure foreign international registration plan sales receipts are properly categorized and reported on the Agency Fee Imposition Report (Report). The Office has also implemented review and verification procedures to ensure the accuracy of the Report.

State of Illinois
Office of the Secretary of State

SCHEDULE OF FINDINGS - STATE COMPLIANCE

For the two years ended June 30, 2013

CURRENT FINDINGS

2013-005 Finding: Excess Balances in Division of Corporations Limited Liability Partnership Fund

The Office of the Secretary of State (Office) did not timely transfer excess fund balance amounts out of the Division of Corporations Limited Liability Partnership Fund.

During our testing, we noted the balance in the Division of Corporations Limited Liability Partnership Fund as of the end of both fiscal years 2013 and 2012 exceeded \$200,000, which is the mandated maximum amount. The fund balance as of June 30, 2013 and 2012, was approximately \$520,000 and \$243,000, respectively. The excess funds for fiscal year 2012 were transferred in March of 2013. The excess funds for fiscal year 2013 were transferred in November of 2013.

According to the Uniform Partnership Act (1997) (805 ILCS 206/108(d)), the balance at the end of any fiscal year in the Division of Corporations Limited Liability Partnership Fund shall not exceed \$200,000. Additionally, any amount in excess thereof shall be transferred to the General Revenue Fund.

According to Office personnel, the excess fund balance was caused by timing issues. The Office attempts to project the fiscal year-end balance, but does not make the transfer of the funds until after the end of the fiscal year.

Failure to maintain the mandated balance in the Division of Corporations Limited Liability Partnership Fund is a violation of State statute and results in an improper fund balance. (Finding Code No. 2013-005)

Recommendation

We recommend every reasonable effort be made to transfer excess fund balances prior to the end of each fiscal year in order to ensure the Office is complying with statutory requirements.

Agency Response

The Office accepts this finding. The Office currently utilizes procedures to initiate this transfer after the fiscal year lapse period is completed in order to ensure there are sufficient funds available to meet current operational needs. The Office will seek a change to its administrative rules to define a reasonable time frame to initiate future transfers.

State of Illinois
Office of the Secretary of State

SCHEDULE OF FINDINGS - STATE COMPLIANCE

For the two years ended June 30, 2013

CURRENT FINDINGS

2013-006 Finding: Lack of Executed Agreements and Independent Review of Internal Control of Outsourced Information Systems or Operations

The Office of the Secretary of State (Office) did not obtain Service Organization Control (SOC) reports or conduct independent internal control reviews of outsourced information systems or operations controlled by third party service providers. In addition, we found the Office did not have current signed agreements for two third-party service providers.

The Office used multiple service providers in the Office's Electronic Registration and Titling Program for the purposes of, among other things, renewing vehicle registrations.

Although the signed agreements with external service providers outlined the Office's authority to review internal controls in place by the provider, we found the Office did not conduct or contract independent review of internal controls relating to its outsourced information systems or operations.

The Office is responsible for the design, implementation, and maintenance of internal controls related to information systems and operations to assure its critical and confidential systems and data are adequately safeguarded. This responsibility is not limited because the process is outsourced.

We obtained 7 agreements with active third party service providers and noted one agreement expired in May 2009 and was not renewed until September 2013. A second agreement was not fully executed until after June 30, 2013.

The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires all State agencies to establish and maintain a system, or systems, of internal fiscal and administrative controls to provide assurance funds, property, and other assets and resources are safeguarded against waste, loss, unauthorized use and misappropriation and maintain accountability over the State's resources. In addition, generally accepted information technology guidance endorses the review and assessment of internal controls related to security of systems and data, including outsourced information systems or operations controlled by external parties.

Office management stated that it was unaware that an independent review of outsourced information systems controlled by external parties was needed. Onsite visits have not been conducted due to budget and personnel restrictions. With regard to the expired third-party service agreements, one agreement was not executed because it was inadvertently sent to the Information Technology Department and filed with the network assessment instead of being sent to legal. In addition, the employee responsible for assuring the agreements were current had resigned from the Office, and Office management was unaware of the expired agreements.

State of Illinois
Office of the Secretary of State

SCHEDULE OF FINDINGS - STATE COMPLIANCE

For the two years ended June 30, 2013

CURRENT FINDINGS

2013-006 Finding: Lack of Executed Agreements and Independent Review of Internal Control of Outsourced Information Systems or Operations - Continued

Lack of executed agreements and independent reviews of internal controls associate with outsourced system or operations could result in internal control weaknesses which could impact the reliability and security of critical systems and confidential data. (Finding Code No. 2013-006)

Recommendation

We recommend the Office review all third party agreements to verify they have not expired and have been properly executed.

We also recommend the Office obtain or perform independent reviews of internal controls associated with outsourced systems or operations at least annually.

The independent reviews should include an assessment of the following five key system attributes, as applicable:

- Security - The system is protected against both physical and logical unauthorized access.
- Availability - The system is available for operation and use as committed or agreed.
- Processing integrity - System processing is complete, accurate, timely and authorized.
- Confidentiality - Information designated as confidential is protected as committed or agreed.
- Privacy - Personal information is collected, used, retained, disclosed and disposed of in conformity with the commitments in the Office's privacy notice.

Agency Response

The Office accepts this finding. The Office is in the process of developing a new Security Policy which addresses information technology (IT) related vendor management issues that will become part of all new IT related contracts/agreements. The Office also will investigate the possibility of other changes to our standard contract language to ensure external service providers are held accountable for the five key attributes: Security, Availability, Processing Integrity, Confidentiality and Privacy as described above in the Recommendation. The Office will review its approval process for the execution of third party service agreements to ensure they are properly and timely executed.

State of Illinois
Office of the Secretary of State

SCHEDULE OF FINDINGS - STATE COMPLIANCE

For the two years ended June 30, 2013

CURRENT FINDINGS

2013-007 Finding: PCI Compliance Weaknesses

The Office of the Secretary of State (Office) had not completed all requirements to demonstrate full compliance with the Payment Card Industry Data Security Standards (PCI DSS).

The Office accepts credit card payments for various services including license and title registration, articles of incorporation, and annual statements. The Office accepts credit card payments at multiple locations using several different payment methods. In fiscal year 2013, the Office handled over 2.2 million transactions totaling approximately \$217.7 million in revenues.

Based on our review of the Office's compliance with PCI DSS, we noted they had not:

- Formally assessed each program accepting credit card payments, the methods in which payments could be made at least annually, matched these methods to the appropriate Self-Assessment Questionnaire (SAQ), and contacted service providers and obtained relevant information and guidance as deemed appropriate.
- Completed a SAQ addressing all elements of its environment utilized to store, process, and transmit cardholder data.
- Submitted compliance documentation to the Treasurer's E-Pay program vendor.

In addition, during our review the Office became aware that routine vulnerability scans would also be required by PCI DSS due to the Office's transaction and dollar volume.

PCI DSS was developed to detail security requirements for entities that store, process or transmit cardholder data. Cardholder data is any personally identifiable data associated with a cardholder. In addition, PCI requires all members, merchants and service providers, who store, process, and/or transmit cardholder data to become PCI compliant. The PCI Standards require merchants to assess their environment and validate compliance with PCI on an annual basis.

To assist merchants in the assessments of their environment, the PCI Council has established five Self-Assessment Questionnaires (SAQ) for validating compliance with PCI's core requirements. At minimum, PCI DSS required completion of SAQ A; which highlights specific requirements to restrict access to paper and electronic media containing cardholder data, destruction of such media when it is no longer needed, and requirements for managing service providers. As additional elements, such as face-to-face acceptance of credit cards and point-of-sale solutions are introduced into the credit card environment being assessed, additional PCI DSS requirements apply.

State of Illinois
Office of the Secretary of State

SCHEDULE OF FINDINGS - STATE COMPLIANCE

For the two years ended June 30, 2013

CURRENT FINDINGS

2013-007 Finding: PCI Compliance Weaknesses - Continued

Office management stated they did not formally assess compliance with PCI Standards for each program/service accepting credit card payments at least annually; however, the Office believed its level of compliance was reasonable.

Failure to establish and maintain adequate procedures to handle and protect confidential and personally identifiable information could lead to the loss of credit card data or loss of the right to utilize certain credit card providers if not in compliance with current PCI Standards. Additionally, loss of confidential data could result in identity theft or other unauthorized use, as well as unnecessary costs and liability to the Office. (Finding Code No. 2013-007)

Recommendation

We recommend the Office:

- At least annually, assess each program accepting credit card payments, the methods in which payments can be made, and match these methods to the appropriate SAQ.
- Complete the appropriate SAQ(s) for its environment and submit documentation supporting its validation efforts to the Treasurer's Office and the E-Pay program vendor at least annually.
- Maintain contact with the Treasurer's Office to ensure sufficient knowledge and awareness of issues and guidance surrounding the E-Pay program.
- Ensure that all divisions within the Office are adhering to established procedures to adequately protect hard copy credit information.

Agency Response

The Office accepts this finding. The Treasurer's Office administers the contract with the State's credit card processor and E-Pay program vendor. Due to this fact, there are certain aspects of PCI Compliance that are out of this Office's control. The current contract is still being finalized, and upon completion, a Qualified Security Assessor (QSA) will review our compliance with PCI and make recommendations. At that time, the Office will work towards correcting the issues that are identified by the QSA.

State of Illinois
Office of the Secretary of State

SCHEDULE OF FINDINGS - STATE COMPLIANCE

For the two years ended June 30, 2013

CURRENT FINDINGS

2013-008 - Finding: Inadequate Procedures for Disposal of Confidential Information

The Office of the Secretary of State (Office) had not assured adequate Office-wide procedures existed for disposal of confidential information.

Although the Office had established policies relating to the security of confidential information, the Office failed to establish and implement Office-wide procedures for adequately disposing of confidential information. We found informal procedures existed for shredding confidential documentation and confidential information was not always secured prior to disposal. While performing walkthroughs at the Office we noted the following:

- Rooms containing an incinerator and an industrial shredder used for disposing confidential information were not secured. Within the rooms we found several pallets of boxes containing personal and confidential information such as driver's license information, social security numbers, and insurance information.
- Lockable bins were not always used to store personal and confidential information prior to disposal.

Additionally, we found the Office had not performed a risk assessment to identify all confidential information in an effort to assure it is adequately secured.

Confidential and personal information collected and maintained by the Office should be adequately secured at all times. As such, it is the Office's responsibility to ensure adequate procedures for safeguarding all confidential information have been established, effectively communicated to all personnel, and continually enforced. Inherent within this responsibility is the requirement of adequate disposition of all confidential information that is no longer needed.

Office management stated that a formal risk assessment had not been completed due to lack of resources. In addition, management stated they were unaware the shredding rooms were not locked when unattended. With regard to lockable bins, the Office currently does not have enough lockable bins to distribute through the entire office.

Failure to protect and timely dispose of confidential information can lead to such information being compromised. (Finding Code No. 2013-008, 11-8, 9-7)

State of Illinois
Office of the Secretary of State

SCHEDULE OF FINDINGS - STATE COMPLIANCE

For the two years ended June 30, 2013

CURRENT FINDINGS

2013-008 - Finding: Inadequate Procedures for Disposal of Confidential Information -
Continued

Recommendation

We recommend the Office assure all confidential information is adequately safeguarded and promptly disposed when no longer needed. Office-wide procedures for properly disposing confidential information should be established. Once established, the Office should effectively communicate the procedures to Office personnel, and enforce compliance with its procedures ensuring all confidential information is kept secured until no longer needed, and then properly disposed.

In addition, we recommend the Office perform a comprehensive risk assessment to identify all confidential information in electronic and hardcopy form and ensure it is adequately safeguarded.

Agency Response

The Office accepts this finding and has partially implemented the recommendation. The Office has made changes to the room containing the incinerator and industrial shredder to limit access in order to secure any personal and confidential information prior to its destruction. The Office will conduct a comprehensive risk assessment to identify all confidential information in both electronic and hardcopy format to ensure adequate safeguards are implemented and department employees are instructed in proper procedures.

State of Illinois
Office of the Secretary of State

SCHEDULE OF FINDINGS
PRIOR FINDINGS NOT REPEATED

For the Two Years Ended June 30, 2013

A. FINDING (Failure to Identify Financial Statement Report Errors)

The Illinois Office of the Secretary of State (Office) did not identify required adjustments necessary to ensure the Office's audited financial statements were accurately prepared for the year ended June 30, 2012.

During our audit of the financial statements for the year ended June 30, 2013, no such reporting errors were noted. The Office had implemented procedures to ensure the financial statements were internally reviewed to identify misstatements during the financial statement preparation process. (Finding Code No. 12-1)

B. FINDING (Inadequate Review of Quarterly Property Reports)

The Office of the Secretary of State (Office) submitted inaccurate quarterly reports of State property to the Comptroller during fiscal years 2010 and 2011.

During our compliance examination for the two years ended June 30, 2013, our sample testing did not note any discrepancies within the quarterly reports of State property submitted to the Comptroller during fiscal years 2012 and 2013. (Finding Code No. 11-2)

C. FINDING (Noncompliance with the Uniform Commercial Code)

The Office of the Secretary of State (Office) did not prepare or submit an annual report required by the Uniform Commercial Code during fiscal years 2011 and 2010.

During our compliance examination for the two years ended June 30, 2013, we noted the annual report required by the Uniform Commercial Code was submitted timely to the Governor and Legislature for fiscal years 2012 and 2013. (Finding Code No. 11-3)

D. FINDING (Representative Not Appointed to Task Force)

During the prior examination, the Office of the Secretary of State (Office) did not appoint a representative to serve on a task force as required by the Civil Administrative Code of Illinois.

During our compliance examination for the two years ended June 30, 2013, we noted the task force as required by the Civil Administrative Code of Illinois was required to report to the General Assembly by January 1, 2011. Subsequent to this reporting, the task force was dissolved and a member from the Office of the Secretary of State was no longer required. (Finding Code No. 11-5)

State of Illinois
Office of the Secretary of State

SCHEDULE OF FINDINGS
PRIOR FINDINGS NOT REPEATED - Continued

For the Two Years Ended June 30, 2013

E. FINDING (Failure to Comply with Information Technology Systems Development Standards)

During the prior examination, the Office did not comply with its development standards and procedures, including obtaining required sign-offs, before placing its new Office-wide Payroll System, Paycheque, into production.

During our compliance examination for the year ended June 30, 2013, we sampled new system developments and significant changes and found the Office complied with its development standards and procedures. (Finding Code No. 11-9)

F. FINDING (Noncompliance with the State Employment Records Act)

The Office did not submit its 2010 Agency Workforce Report to the Office of the Governor.

During our compliance examination for the two years ended June 30, 2013, we noted the Office properly submitted the 2011 and 2012 Agency Workforce Reports to the Office of the Governor. However, we noted discrepancies during our sample testing of the reports filed during the current examination period, and those issues were addressed in our Letter of Immaterial Findings. (Finding Code No. 11-6)

G. FINDING (Insufficient Physical Security of Computer Resources)

During the prior examination, the Office continued to have physical security weakness over access to its Data Center. We noted excessive access was permitted to employees, including many who did not have computer operations responsibilities.

During the current examination, we noted the Office was in the process of re-evaluating access to the Data Center and had reduced the number of employees having access to the Data Center. Office management stated they planned to further reduce access as well as relocate Help Desk personnel from the Data Center. Therefore, these issues were reported in our Letter of Immaterial Findings. (Finding Code No. 11-7, 09-6, 07-6)

State of Illinois
Office of the Secretary of State

FINANCIAL STATEMENT REPORT

For the Year Ended June 30, 2013

Summary

The audit of the accompanying financial statements of the State of Illinois, Office of the Secretary of State was performed by Kerber, Eck, & Braeckel LLP.

Based on their audit, the auditors expressed an unqualified opinion on the agency's basic financial statements.

EXIT CONFERENCE

The information appearing in the financial statements was discussed with Agency personnel at an exit conference on December 19, 2013.

Attending were:

Office of the Secretary of State

Terri Coombes	Deputy Chief of Staff
Amanda Trimmer	Chief Deputy Director of Budget and Fiscal Management
Jacqueline Price	Director – Budget & Fiscal Management – Chief Fiscal Officer
Joseph McDonald	Assistant to Director – Budget & Fiscal Management
Stell Mallios	Acting Director of Internal Audit
Ernest Dannenberger	Director – Vehicle Services Department
Jeff Carter	IT Director – Information Technology

Office of the Auditor General

Peggy Hartson	Audit Manager
Joseph Gudgel	IT Audit Manager

Kerber, Eck & Braeckel LLP

Deborah J. Ringer	Partner
Kate Ward	Senior Audit Manager
Shawn Taylor	Audit Manager
Marci Petropoulos	Audit Manager
Nic Atkinson	Senior Accountant
Amanda Wells	Senior Accountant

The responses to the recommendations were provided by Joseph McDonald in correspondence dated December 30, 2013.

INDEPENDENT AUDITOR'S REPORT

Honorable William G. Holland
Auditor General
State of Illinois

Report on the Financial Statements

As Special Assistant Auditors for the Auditor General, we have audited the accompanying financial statements of the governmental activities, each major fund, and the aggregate remaining fund information of the State of Illinois, Office of the Secretary of State, as of and for the year ended June 30, 2013, and the related notes to the financial statements, which collectively comprise the State of Illinois, Office of the Secretary of State's basic financial statements as listed in the table of contents.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express opinions on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an

opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinions.

Opinions

In our opinion, the financial statements referred to above present fairly, in all material respects, the respective financial position of the governmental activities, each major fund, and the aggregate remaining fund information for the State of Illinois, Office of the Secretary of State, as of June 30, 2013, and the respective changes in financial position thereof for the year then ended in accordance with accounting principles generally accepted in the United States of America.

Emphasis of Matter

As discussed in Note 2, the financial statements of the State of Illinois, Office of the Secretary of State are intended to present the financial position and the changes in financial position of only that portion of the governmental activities, each major fund, and the aggregate remaining fund information of the State that is attributable to the transactions of the State of Illinois, Office of the Secretary of State. They do not purport to, and do not, present fairly the financial position of the State of Illinois as of June 30, 2013, and the changes in its financial position for the year then ended in conformity with accounting principles generally accepted in the United States of America. Our opinion is not modified with respect to this matter.

Other Matters

Required Supplementary Information

Management has omitted management's discussion and analysis and budgetary comparison information for the General Fund, Road Fund, and the State Construction Account that accounting principles generally accepted in the United States of America require to be presented to supplement the basic financial statements. Such missing information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board who considers it to be an essential part of the financial reporting for placing the basic financial statements in an appropriate economic, or historical context. Our opinion on the basic financial statements is not affected by this missing information.

Other Information

Our audit for the year ended June 30, 2013 was conducted for the purpose of forming opinions on the financial statements that collectively comprise the State of Illinois, Office of the Secretary of State's basic financial statements. The accompanying supplementary information in the combining general fund schedules and combining nonmajor and agency fund financial statements, the State Compliance Schedules 1 through 17, and the Analysis of Operations Section are presented for purposes of additional analysis and are not a required part of the basic financial statements.

The accompanying supplementary information for the year ended June 30, 2013, in the combining general fund schedules and combining nonmajor and agency fund financial statements and the State Compliance Schedules 1 through 17, is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the basic financial statements. The accompanying supplementary information for the year ended June 30, 2013, in the combining general fund schedules and combining nonmajor and agency fund financial statements and the State Compliance Schedules 1 through 17, has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the accompanying supplementary information for the year ended June 30, 2013, in the combining general fund schedules and combining nonmajor and agency fund financial statements and the State Compliance Schedules 1 through 17, is fairly stated, in all material respects, in relation to the basic financial statements as a whole.

We also previously audited, in accordance with auditing standards generally accepted in the United States of America, the State of Illinois, Office of the Secretary of State's basic financial statements as of and for the year ended June 30, 2012 (not presented herein), and have issued our report thereon dated April 8, 2013, which contained unmodified opinions on the respective financial statements of the governmental activities, each major fund, and the aggregate remaining fund information. The accompanying supplementary information for the year ended June 30, 2012, in Schedules 2, 4 through 14, 16 and 17, is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the June 30, 2012, financial statements. The accompanying supplementary information for the year ended June 30, 2012, in Schedules 2, 4 through 14, 16 and 17, has been subjected to the auditing procedures applied in the audit of the June 30, 2012, basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare those basic financial statements or to those basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the accompanying supplementary information for the year ended June 30, 2012, in Schedules 2, 4 through 14, 16 and 17, is fairly stated in all material respects in relation to the basic financial statements as a whole from which it has been derived.

The State of Illinois, Office of the Secretary of State's basic financial statements for the year ended June 30, 2011 (not presented herein), were audited by other auditors whose report thereon dated May 15, 2012, expressed unmodified opinions on the respective financial statements of the governmental activities, each major fund, and the aggregate remaining fund information. The report of the other auditors dated May 15, 2012, stated that the supplementary information for the year ended June 30, 2011 in Schedules 5, 6, 10, and 12 through 14, was subjected to the auditing procedures applied in the audit of the 2011 basic financial statements and certain additional auditing procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare those basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of

America and, in their opinion, was fairly stated in all material respects in relation to the basic financial statements as a whole for the year ended June 30, 2011.

The accompanying supplementary information in the Analysis of Operations Section has not been subjected to the auditing procedures applied in the audit of the basic financial statements, and accordingly, we do not express an opinion or provide any assurance on it.

Other Reporting Required by *Government Auditing Standards*

In accordance with *Government Auditing Standards*, we have also issued our report dated February 13, 2014 on our consideration of the State of Illinois, Office of the Secretary of State's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the State of Illinois, Office of the Secretary of State's internal control over financial reporting and compliance.

Restricted Use of this Auditor's Report

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, the Comptroller, and agency management and is not intended to be and should not be used by anyone other than these specified parties.

Kuhn, Eck & Brantel LLP

Springfield, Illinois
February 13, 2014

State of Illinois
Office of the Secretary of State

Statement of Net Position and Governmental Funds Balance Sheet

June 30, 2013 (Expressed in Thousands)

	General Fund	Road Fund	State Construction Account	Other Nonmajor Funds	Total Governmental Funds	Adjustments	Statement of Net Position
ASSETS							
Unexpended appropriations	\$ 19,088	\$ 182	\$ -	\$ 14,780	\$ 34,050	\$ -	\$ 34,050
Cash equity with State Treasurer	59,246	50,447	66,398	133,338	309,429	-	309,429
Cash and cash equivalents	89	-	-	10	99	-	99
Securities lending collateral of State Treasurer	-	-	-	10,968	10,968	-	10,968
Taxes receivable, net	2,177	-	-	-	2,177	-	2,177
Intergovernmental receivables, net	-	-	-	26	26	-	26
Other receivables, net	106	3,268	1,916	60	5,350	-	5,350
Due from other State funds	-	-	-	67	67	-	67
Due from other Office funds	4,341	-	2,188	-	6,529	(6,529)	-
Inventories	249	-	-	2,687	2,936	-	2,936
Prepaid expenses	-	-	-	-	-	2,940	2,940
Capital assets not being depreciated	-	-	-	-	-	6,737	6,737
Capital assets being depreciated, net	-	-	-	-	-	163,427	163,427
Total assets	\$ 85,296	\$ 53,897	\$ 70,502	\$ 161,936	\$ 371,631	166,575	538,206
LIABILITIES							
Accounts payable and accrued liabilities	\$ 17,351	\$ 183	\$ -	\$ 4,512	\$ 22,046	-	22,046
Intergovernmental payables	9,610	-	-	74	9,684	-	9,684
Due to other State fiduciary funds	248	-	-	163	411	-	411
Due to other Office funds	-	3,036	-	3,493	6,529	(6,529)	-
Due to other State funds	315	-	-	202	517	-	517
Due to State of Illinois component units	5	-	-	96	101	-	101
Unearned revenue	3,873	9,715	5,585	225	19,398	-	19,398
Obligations under securities lending of State Treasurer	-	-	-	10,968	10,968	-	10,968
Long-term obligations:							
Due within one year	-	-	-	-	-	1,597	1,597
Due subsequent to one year	-	-	-	-	-	18,215	18,215
Total liabilities	31,402	12,934	5,585	19,733	69,654	13,283	82,937
FUND BALANCES/NET POSITION							
Nonspendable:							
Inventories	249	-	-	2,687	2,936	(2,936)	-
Restricted for:							
General government	5	-	-	3,522	3,527	(3,527)	-
Committed to:							
General government	3,315	40,963	64,917	119,225	228,420	(228,420)	-
Capital projects fund	-	-	-	14,780	14,780	(14,780)	-
Assigned to:							
General government	-	-	-	975	975	(975)	-
Unassigned	50,325	-	-	1,014	51,339	(51,339)	-
Net investment in capital assets	-	-	-	-	-	170,164	170,164
Restricted assets	-	-	-	-	-	3,609	3,609
Unrestricted net position	-	-	-	-	-	281,496	281,496
Total fund balances/net position	53,894	40,963	64,917	142,203	301,977	\$ 153,292	\$ 455,269
Total liabilities and fund balances	\$ 85,296	\$ 53,897	\$ 70,502	\$ 161,936	\$ 371,631		

The accompanying notes to the financial statements are an integral part of this statement.

State of Illinois
Office of the Secretary of State
Reconciliation of Governmental Funds Balance Sheet
to Statement of Net Position
June 30, 2013
(Expressed in Thousands)

Total fund balances-governmental funds	\$	301,977
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Amounts reported for governmental activities in the Statement of Net Position are different because:

Capital assets used in governmental activities are not financial resources and therefore are not reported in the funds.		170,164
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Prepaid expenses for governmental activities are current uses of financial resources for funds.		2,940
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Some liabilities reported in the Statement of Net Position do not require the use of current financial resources and therefore are not reported as liabilities in governmental funds. These liabilities consist of:

Compensated absences		(19,812)
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Net position of governmental activities	\$	<u>455,269</u>
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The accompanying notes to the financial statements are an integral part of this statement.

State of Illinois
Office of the Secretary of State

Statement of Activities and Governmental Revenues,
Expenditures, and Changes in Fund Balances

For the Year Ended June 30, 2013 (Expressed in Thousands)

	General Fund	Road Fund	State Construction Account	Other Nonmajor Funds	Total Governmental Funds	Adjustments	Statement of Activities
Expenditures/expenses:							
General government	\$ 270,748	\$ -	\$ -	\$ 71,567	\$ 342,315	\$ 14,667	\$ 356,982
Capital outlays	1,904	-	-	1,596	3,500	(3,500)	-
Total expenditures/expenses	272,652	-	-	73,163	345,815	11,167	356,982
Program revenues:							
Charges for services:							
Licenses and fees	541,529	822,335	486,812	86,545	1,937,221	-	1,937,221
Other	7	-	-	115	122	-	122
Total charges for services	541,536	822,335	486,812	86,660	1,937,343	-	1,937,343
Operating grant revenue:							
Federal	14	-	-	7,482	7,496	(1)	7,495
Other	-	-	-	2	2	-	2
Total operating grant revenue	14	-	-	7,484	7,498	(1)	7,497
Net program revenues	268,898	822,335	486,812	20,981	1,599,026	(11,168)	1,587,858
General revenues:							
Interest and investment income	-	-	-	57	57	-	57
Other taxes	205,871	-	-	-	205,871	-	205,871
Other	120	-	-	4,512	4,632	-	4,632
Total general revenues	205,991	-	-	4,569	210,560	-	210,560
Other sources (uses):							
Appropriations from State resources	259,320	2,500	-	18,301	280,121	-	280,121
Lapsed appropriations	(6,531)	-	-	(952)	(7,483)	-	(7,483)
Receipts collected and transmitted to State Treasury	(748,546)	(820,957)	(486,996)	(18,494)	(2,074,993)	-	(2,074,993)
Capital transfers from other State agencies	-	-	-	-	-	3,489	3,489
Amount of SAMS transfers-in	(7,338)	-	-	-	(7,338)	-	(7,338)
Amount of SAMS transfers-out	26,130	-	-	-	26,130	-	26,130
Transfers-in	2,983	-	-	56	3,039	(2,983)	56
Transfers-out	-	-	-	(2,983)	(2,983)	2,983	-
Transfers of administration of funds to other State Agencies	-	-	-	(66)	(66)	-	(66)
Total other sources (uses)	(473,982)	(818,457)	(486,996)	(4,138)	(1,783,573)	3,489	(1,780,084)
Change in fund balance/net position	907	3,878	(184)	21,412	26,013	(7,679)	18,334
Fund balance/net position, July 1, 2012	52,945	37,085	65,101	122,891	278,022	158,913	436,935
Increase (decrease) for changes in inventories	42	-	-	(2,100)	(2,058)	2,058	-
Fund balance/net position, June 30, 2013	\$ 53,894	\$ 40,963	\$ 64,917	\$ 142,203	\$ 301,977	\$ 153,292	\$ 455,269

The accompanying notes to the financial statements are an integral part of this statement.

State of Illinois
Office of the Secretary of State
Reconciliation of Statement of Revenues, Expenditures and Changes in
Fund Balances of Governmental Funds to Statement of Activities
For the Year Ended June 30, 2013
(Expressed in Thousands)

Net change in fund balances	\$	26,013
Change in inventories		<u>(2,058)</u>
		23,955
Amounts reported for governmental activities in the Statement of Activities are different because:		
Governmental funds report capital outlays as expenditures while governmental activities report depreciation expense to allocate those expenditures over the life of the assets. This is the amount by which depreciation in the current period exceeded capital outlays.		(9,750)
Some capital assets were transferred in from other State agencies and therefore, were received at no cost.		3,489
Revenues in the Statement of Activities that do not provide current financial resources are not reported as revenues in the funds. This amount represents the decrease in unavailable revenue over the prior year.		(1)
Prepaid expenses in the Statement of Activities are not reported as expenses in governmental funds. This amount represents the decrease in prepaid expenses over the prior year.		(819)
Some expenses reported in the Statement of Activities do not require the use of current financial resources and therefore are not reported as expenditures in governmental funds. Below are such activities:		
Decrease in compensated absences obligation		<u>1,460</u>
Change in net position of governmental activities	\$	<u><u>18,334</u></u>

The accompanying notes to the financial statements are an integral part of this statement.

State of Illinois
Office of the Secretary of State

Statement of Fiduciary Net Position

June 30, 2013 (Expressed in Thousands)

	<u>Agency Funds</u>
ASSETS	
Cash equity with State Treasurer	\$ 1,134
Cash and cash equivalents	146
Investments	707
Total assets	<u>\$ 1,987</u>
LIABILITIES	
Other liabilities	\$ 1,987
Total liabilities	<u>\$ 1,987</u>

The accompanying notes to the financial statements are an integral part of this statement.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

Notes to the Financial Statements

June 30, 2013

(1) Organization

The Office of the Secretary of State (the Office) is a part of the executive branch of government of the State of Illinois (State) and operates under the authority of and review by the Illinois General Assembly. The Office operates under a budget approved by the General Assembly in which resources primarily from the General Revenue Fund are appropriated for the use of the Office. Activities of the Office are subject to the authority of the Office of the Governor, the State's chief executive officer, and other departments of the executive and legislative branches of government (such as the Department of Central Management Services, the Governor's Office of Management and Budget, the State Treasurer's Office, and the State Comptroller's Office) as defined by the Illinois General Assembly. All funds appropriated to the Office and all other cash received are under the custody and control of the State Treasurer, with the exception of the Secretary of State Antique Vehicle Show fund, a nonmajor special governmental fund, the Go-Back Fund and the Safekeeping Fund, fiduciary funds, and the Special Advance Fund, a subaccount of the General Revenue Fund, which are all locally held funds, and various petty cash funds, which are under the direct control of the Office.

The Office has a broad range of responsibilities for the maintenance of official records of the acts of the General Assembly and of the Executive Branch as provided by law as well as to perform other duties as may be prescribed by law, including administration of the Illinois Vehicle Code. The Office is organized into twenty-five departments under three broad operating divisions to carry out its responsibilities. The operating divisions of the Office are the Executive, General and Administrative, and Motor Vehicle divisions.

(2) Summary of Significant Accounting Policies

The financial statements of the Office have been prepared in accordance with accounting principles generally accepted in the United States of America (GAAP), as prescribed by the Governmental Accounting Standards Board (GASB). To facilitate the understanding of data included in the financial statements, summarized below are the more significant accounting policies.

(a) Financial Reporting Entity

As defined by GAAP, the financial reporting entity consists of a primary government, as well as its component units, which are legally separate organizations for which the elected officials of the primary government are financially accountable. Financial accountability is defined as:

- 1) Appointment of a voting majority of the component unit's board and either (a) the primary government's ability to impose its will, or (b) the possibility that the component unit will provide a financial benefit to or impose a financial burden on the primary government; or
- 2) Fiscal dependency on the primary government.

Based upon the required criteria, the Office has no component units and is not a component unit of any other entity. However, because the Office is not legally separate from the State of Illinois, the financial statements of the Office are included in the financial statements of the State of Illinois. The State of Illinois' Comprehensive Annual Financial Report may be obtained by writing to the State Comptroller's Office, Division of Financial Reporting, 325 West Adams Street, Springfield, Illinois, 62704-1871.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

Notes to the Financial Statements

June 30, 2013

(2) Summary of Significant Accounting Policies - Continued

(b) Basis of Presentation

The financial statements of the State of Illinois, Office of the Secretary of State, are intended to present the financial position and the changes in financial position of only that portion of the governmental activities, each major fund of the State of Illinois, and the aggregate remaining fund information of the State of Illinois that is attributable to the transactions of the Office. They do not purport to, and do not, present fairly the financial position of the State of Illinois as of June 30, 2013 and the changes in financial position for the year then ended in conformity with accounting principles generally accepted in the United States of America.

The financial activities of the Office, which consist only of governmental activities, are reported under the general government function in the State of Illinois' Comprehensive Annual Financial Report. For reporting purposes, the Office has combined the fund and government-wide financial statements using a columnar format that reconciles individual line items of fund financial data to government-wide data in a separate column. A brief description of the Office's government-wide and fund financial statements is as follows:

Government-wide Statements. The government-wide statement of net position and statement of activities report the overall financial activity of the Office, excluding fiduciary activities. Eliminations have been made to minimize the double-counting of internal activities of the Office. The financial activities of the Office consist only of governmental activities, which are primarily supported by taxes, charges for services, and other nonexchange transactions.

The statement of net position presents the assets and liabilities of the Office's governmental activities with the difference being reported as net position. The assets and liabilities are presented in order of their relative liquidity by class of asset or liability with liabilities whose average maturities are greater than one year reported in two components - the amount due within one year and the amount due in more than one year.

The statement of activities presents a comparison between direct expenses and program revenues for the general government function of the Office's governmental activities. Direct expenses are those that are clearly identifiable with a specific function. Program revenues include (a) charges paid by the recipients of goods or services offered by the programs and (b) grants and contributions that are restricted to meeting the operational or capital requirements of a particular program. Revenues that are not classified as program revenues, including all taxes, are presented as general revenues.

Fund Financial Statements. The fund financial statements provide information about the Office's funds, including fiduciary funds. Separate statements for each fund category - governmental and fiduciary - are presented. The emphasis on fund financial statements is on major governmental funds, each displayed in a separate column. All remaining governmental funds are aggregated and reported as nonmajor funds.

The Office administers the following major governmental funds (or portions thereof in the case of shared funds – see note 2(d)) of the State:

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

Notes to the Financial Statements

June 30, 2013

(2) **Summary of Significant Accounting Policies - Continued**

(b) Basis of Presentation - Continued

General – This is the State’s primary operating fund. It accounts for all financial resources of the general government, except those required to be accounted for in another fund. The services which are administered by the Office and accounted for in the general fund include, among others, the operations of various Executive and General and Administrative departments throughout the Office. Certain resources obtained from federal grants and used to support general governmental activities are accounted for in the General Fund consistent with applicable legal requirements. The Office’s portion of the General Fund is composed of two primary sub-accounts (General Revenue and Common School) and five secondary sub-accounts (Live and Learn, Corporate Franchise Tax Refund, Capital Projects, Vehicle Inspection and Special Advance).

Road – This fund accounts for the activities of the State highway programs including highway maintenance and construction, traffic control and safety, and administering motor vehicle laws and regulations. Funding sources include federal aid, State motor fuel taxes and various license and fee charges.

State Construction Account – This fund accounts for the construction, reconstruction, and maintenance of the State maintained highway system. Funding sources include a portion of motor vehicle registration fees, weight taxes, and transfers from the Motor Fuel Tax Fund.

Additionally, the Office administers the following fund types:

Governmental Funds:

Special Revenue – These funds account for resources obtained from specific revenue sources that are legally restricted to expenditures for specified purposes. Special revenue funds account for, among other things, federal grant programs, taxes levied with statutorily defined distributions and other resources restricted as to purpose.

Debt Service – These funds account for governmental resources obtained and accumulated to pay interest and principal on general long-term debt (other than capital leases, workers’ compensation and unfunded retirement costs).

Capital Projects – These funds account for resources obtained and used for the acquisition or construction of major capital facilities. Such resources are derived principally from proceeds of general and special obligation bond issues and certificates of participation.

Fiduciary Funds:

Agency – These funds account for the following activities in which the Office acts in the capacity of an agent and distributes any revenue collected to other governmental units or designated beneficiaries: collection of registration fees from truckers of other states and disbursement of funds to other states under reciprocity agreements; collection of deposits from uninsured motorists as proof of financial responsibility and reimbursement of those funds in the absence of a court judgment; and the repayment of fees collected by the Office in excess of the required fees.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

Notes to the Financial Statements

June 30, 2013

(2) Summary of Significant Accounting Policies - Continued

(c) Measurement Focus and Basis of Accounting

The government-wide and fiduciary fund financial statements are reported using the economic resources measurement focus and the accrual basis of accounting. Revenues are recorded when earned and expenses are recorded at the time liabilities are incurred, regardless of when the related cash flow takes place. Nonexchange transactions, in which the Office gives (or receives) value without directly receiving (or giving) equal value in exchange, include corporate taxes and intergovernmental grants. Revenue from grants, entitlements, and similar items are recognized in the fiscal year in which all eligibility requirements imposed by the provider have been met.

Governmental funds are reported using the current financial resources measurement focus and the modified accrual basis of accounting. Revenues are recognized as soon as they are both measurable and available. Revenues are considered to be available when they are collectible within the current period or soon enough thereafter to pay liabilities of the current period. For this purpose, the State considers revenues to be available if they are collected within 60 days of the end of the current fiscal year. Expenditures generally are recorded when the liability is incurred, as under accrual accounting. However, principal and interest on formal debt issues, claims and judgments, and compensated absences are recorded only when payment is due. Capital asset acquisitions are reported as expenditures in governmental funds. Proceeds of general long-term debt and acquisitions under capital leases are reported as other financing sources.

Significant revenue sources which are susceptible to accrual include corporate taxes and certain fees. All other revenue sources including licenses, fines, and certain fees, are considered to be measurable and available only when cash is received.

(d) Shared Fund Presentation

The financial statement presentation for the General Revenue Account, Common School Account and Capital Projects Account of the General Fund and the Road Fund, Motor Fuel Tax Fund, Drivers Education Fund, General Obligation Bond Retirement and Interest Fund, Capital Development Fund, State College and University Trust Fund, Alternative Fuels Fund, Off Highway Vehicle Trails Fund, State Parking Facility Maintenance Fund, Cycle Rider Safety Training Fund, Vehicle Inspection Fund, State Construction Account Fund, and Build Illinois Bond Fund represents only the portion of the shared fund that can be directly attributed to the operations of the Office. Financial statements for total fund operations of the shared State funds are presented in the State of Illinois' Comprehensive Annual Financial Report.

In presenting these financial statements, certain unique accounts are used for the presentation of shared funds. The following accounts are used in these financial statements to present the Office's portion of shared funds:

Unexpended Appropriations

This "asset" account represents lapse period warrants issued between July and September for fiscal year 2013 in accordance with the Statewide Accounting Management System (SAMS) records plus any liabilities relating to obligations re-appropriated to the subsequent fiscal year.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

Notes to the Financial Statements

June 30, 2013

(2) Summary of Significant Accounting Policies - Continued

(d) Shared Fund Presentation - Continued

Appropriations from State Resources

This “other financing source” account represents the final legally adopted appropriation according to SAMS records.

Reappropriations to Future Year(s)

This contra revenue account reduces current year appropriations by the amount of the reappropriation to reflect the State’s realignment of the budgetary needs to the subsequent year and avoid double counting of a portion of the appropriation in more than one fiscal year.

Lapsed Appropriations

Lapsed appropriations are the legally adopted appropriations less net warrants issued during the 15 month period from July to September of the following year and re-appropriations to subsequent years according to SAMS records.

Receipts Collected and Transmitted to State Treasury

This “other financing use” account represents all cash receipts received during the fiscal year from SAMS records.

Amount of SAMS Transfers-In

This “other financing use” account represents cash transfers made by the Office of the Comptroller in accordance with statutory provisions to the corresponding fund during the fiscal year per SAMS records in which the Office did not make a deposit into the State Treasury.

Amount of SAMS Transfers-Out

This “other financing source” account represents cash transfers made by the Office of the Comptroller in accordance with statutory provision from the corresponding fund during the fiscal year per SAMS records in which a legally adopted appropriation was not charged.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

Notes to the Financial Statements

June 30, 2013

(2) **Summary of Significant Accounting Policies - Continued**

(e) ***Eliminations***

Eliminations have been made in the government-wide statement of net position to minimize the “grossing-up” effect on assets and liabilities within the governmental activities column of the Office. As a result, amounts reported in the governmental funds balance sheet as interdepartmental interfund receivables and payables have been eliminated in the government-wide statement of net assets. Amounts reported in the governmental funds balance sheet as receivable from or payable to fiduciary funds have been included in the government-wide statement of net assets as receivable from and payable to external parties, rather than as internal balances.

(f) ***Cash and Cash Equivalents***

Cash equivalents are defined as short-term, highly liquid investments readily convertible to cash with maturities of less than 90 days at the time of purchase. Cash and cash equivalents consist of cash on hand and cash in banks for locally held funds.

(g) ***Inventories***

Inventories, consisting primarily of license plates, are valued at cost, principally on the first-in, first-out (FIFO) method. The cost of inventories is recognized as expenditures when purchased. Significant inventories balances in governmental funds are reported on the balance sheet.

(h) ***Interfund Transactions***

The Office has the following types of interfund transactions between Office funds and funds of other State agencies:

Services provided and used—sales and purchases of goods and services between funds for a price approximating their external exchange value. Interfund services provided and used are reported as revenues in seller funds and expenditures or expenses in purchaser funds. Unpaid amounts are reported as interfund receivables and payables in the governmental fund balance sheets or the government-wide statements of net assets.

Reimbursements—repayments from the funds responsible for particular expenditures or expenses to the funds that initially paid for them. Reimbursements are reported as expenditures in the reimbursing fund and as a reduction of expenditures in the reimbursed fund.

Transfers—flows of assets (such as cash or goods) without equivalent flows of assets in return and without a requirement for repayment. In governmental funds, transfers are reported as other financing uses in the funds making transfers and as other financing sources in the funds receiving transfers.

The Office also has activity with various component units of the State of Illinois for professional services received and payments of State and Federal programs.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

Notes to the Financial Statements

June 30, 2013

(2) Summary of Significant Accounting Policies - Continued

(i) Capital Assets

Capital assets, which include property, plant, equipment and software are reported at cost or estimated historical cost based on appraisals. Contributed assets are reported at estimated fair value at the time received. Capital assets are depreciated or amortized using the straight-line method.

Capitalization thresholds and the estimated useful lives are as follows:

Capital Asset Category	Capitalization Threshold	Estimated Useful Life (in Years)
Land	\$100,000	N/A
Land Improvements	25,000	N/A
Site Improvements	25,000	5-20
Buildings	100,000	30-75
Building Improvements	25,000	10-25
Equipment	5,000	3-10
Software	25,000	3-10
Works of Art, Historical Treasures	5,000	N/A

(j) Compensated Absences

The liability for compensated absences reported in the government-wide statement of net position consists of unpaid, accumulated vacation and sick leave balances for Office employees. A liability for these amounts is reported in governmental funds only if they have matured, for example, as a result of employee resignations and retirements. The liability has been calculated using the vesting method, in which leave amounts for both employees who currently are eligible to receive termination payments and other employees who are expected to become eligible in the future to receive such payments upon termination are included. The liability has been calculated based on the employees' current salary level and includes salary related costs (e.g., Social Security and Medicare taxes).

Legislation that became effective January 1, 1998 capped the paid sick leave for all State Employees' Retirement System members at December 31, 1997. Employees continue to accrue twelve sick days per year, but will not receive monetary compensation for any additional time earned after December 31, 1997. Sick days earned between 1984 and December 31, 1997 (with a 50% cash value) would only be used after all days with no cash value are depleted. Any sick days earned and unused after December 31, 1997 will be converted to service time for purposes of calculating employee pension benefits.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

Notes to the Financial Statements

June 30, 2013

(2) **Summary of Significant Accounting Policies - Continued**

(k) ***Fund Balances***

Fund balance is divided into five classifications based primarily on the extent to which the Office is bound to observe constraints imposed upon the use of the resources in the governmental funds. The classifications are as follows:

Nonspendable – The nonspendable fund balance category includes amounts that cannot be spent because they are not in a spendable form, or legally or contractually required to be maintained intact. The “not in spendable form” criterion includes items that are not expected to be converted to cash.

Restricted – Fund balance is reported as restricted when constraints placed on the use of resources are either externally imposed by creditors (such as through debt covenants), grantors, contributors, or laws or regulations of other governments or is imposed by law through constitutional provisions or enabling legislation.

Committed – The committed fund balance classification includes amounts that can be used only for the specific purposes imposed by a formal action of the State legislature and signed into law by the governor. Those committed amounts cannot be used for any other purpose unless the State legislature and governor removes or changes the specified use by taking the same type of action it employed to previously commit those amounts. In contrast to fund balance that is restricted by enabling legislation, committed fund balance classification may be redeployed for other purposes with appropriate due process.

Assigned – Amounts in the assigned fund balance classification are intended to be used by the Office for specific purposes but do not meet the criteria to be classified as restricted or committed. In the General Fund, assigned amounts represent intended uses established by the State legislature.

Unassigned – The unassigned fund balance classification is the residual classification for the government’s general fund and includes all spendable amounts not contained in the other classifications.

The Office applies restricted resources first when expenditures are incurred for purposes for which either restricted or unrestricted (committed, assigned, and unassigned) amounts are available. Similarly, within unrestricted fund balance, committed amounts are reduced first followed by assigned, and then unassigned amounts when expenditures are incurred for purposes for which amounts in any of the unrestricted fund balance classifications could be used.

(l) ***Net Position***

In the government-wide statement of net position, equity is displayed in three components as follows:

Net Investment in Capital Assets – This consists of capital assets, net of accumulated depreciation, less the outstanding balances of any bonds, mortgages, notes, or other borrowings that are attributable to the acquisition, construction, or improvement of those assets.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

Notes to the Financial Statements

June 30, 2013

(2) Summary of Significant Accounting Policies - Continued

(l) Net Position - Continued

Restricted – This consists of net position that is legally restricted by outside parties or by law through constitutional provisions or enabling legislation. When both restricted and unrestricted resources are available for use, generally it is the State’s policy to use restricted resources first, then unrestricted resources when they are needed. As of June 30, 2013, \$ 3.609 million is restricted by enabling legislation.

Unrestricted – This consists of net position that does not meet the definition of “restricted” or “net investment in capital assets.”

(m) Use of Estimates

The preparation of financial statements in conformity with GAAP requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

(n) New Accounting Pronouncements

Effective for the year ending June 30, 2013, the Office adopted GASB Statement No. 63, *Financial Reporting of Deferred Outflows of Resources, Deferred Inflows of Resources and Net Position*, which establishes guidance for reporting deferred outflows of resources, deferred inflows of resources, and net position in a statement of financial position. There was no significant impact on the Office's financial statements as a result of adopting this statement.

(3) Deposits and Investments

(a) Deposits

The State Treasurer is the custodian of the State’s deposits and investments for funds maintained in the State Treasury. The Office independently manages deposits and investments maintained outside the State Treasury.

Deposits in the custody of the State Treasurer are pooled and invested with other State funds in accordance with the Deposit of State Moneys Act of the Illinois Compiled Statutes (15 ILCS 520/11). Funds held by the State Treasurer have not been categorized as to credit risk because the Office does not own individual securities. Detail on the nature of these deposits and investments is available within the State of Illinois’ Comprehensive Annual Financial Report.

Deposits for locally-held funds of governmental activities had a carrying amount and a bank balance of \$15 thousand at June 30, 2013, respectively. Deposits of locally-held funds of fiduciary funds had a carrying amount and a bank balance of \$146 thousand at June 30, 2013.

Cash on hand totaled \$84 thousand at June 30, 2013.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

Notes to the Financial Statements

June 30, 2013

(3) Deposits and Investments - Continued

(b) Investments

As of June 30, 2013, the Office had the following investments outside of the State Treasury:

	Fair Value (Thousands)	Weighted Average Maturity (Years)
<i>Fiduciary Funds</i>		
U.S. Treasury Notes	\$ 50	0.88

On the fiduciary statement of net position, the Office has an additional amount of \$ 657 thousand in certificates of deposit which are recorded as investments since their maturity dates were greater than 90 days at the time of purchase.

Interest Rate Risk: The Office does not have a formal investment policy that limits investment maturities as a means of managing its exposure to fair value losses arising from increasing interest rates.

The Office does not have a formal investment policy that limits investment choices. The investments held by the Office are made by customers and held by the Office as collateral/security per the Vehicle Code (625 ILCS 5/3-109, 625 ILCS 5/3-816 and 625 ILCS 5/7-323) and the Illinois Security Law of 1953 (815 ILCS 5/6). The Vehicle Code and Illinois Securities Law of 1953 set the limits of acceptable investments.

(c) Securities Lending Collateral

The State Treasurer lends securities to broker-dealers and other entities for collateral that will be returned for the same securities in the future. The State Treasurer has, through a Securities Lending Agreement, authorized Deutsche Bank AG to lend the State Treasurer's securities to broker-dealers and banks pursuant to a form of loan agreement.

During fiscal year 2013, Deutsche Bank AG lent U.S. Treasury and U.S. agency securities and received as collateral U.S. dollar denominated cash. Borrowers were required to deliver collateral for each loan equal to at least 100% of the aggregate fair value of the loaned securities. Loans are marked to market daily. If the market value of collateral falls below 100%, the borrower must provide additional collateral to raise the fair value to 100%.

The State Treasurer did not impose any restrictions during the fiscal year on the amount of the loans available or the eligible securities. In the event of borrower default, Deutsche Bank AG provides the State Treasurer with counterparty default indemnification. In addition, Deutsche Bank AG is obligated to indemnify the State Treasurer if Deutsche Bank AG loses any securities, collateral or investments of the State Treasurer in Deutsche Bank AG's custody. Moreover, there were no losses during the fiscal year resulting from a default of the borrowers or Deutsche Bank AG.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

Notes to the Financial Statements

June 30, 2013

(3) Deposits and Investments - Continued

(c) Securities Lending Collateral - Continued

During fiscal year 2013, the State Treasurer and the borrowers maintained the right to terminate all securities lending transactions on demand. The cash collateral received on each loan was invested in repurchase agreements with approved counterparties collateralized with securities approved by Deutsche Bank AG and marked to market daily at no less than 102%. Because the loans are terminable at will, their duration did not generally match the duration of the investments made with cash collateral. The State Treasurer had no credit risk as a result of its securities lending program as the collateral held exceeded the fair value of the securities lent.

In accordance with GASB Statement No. 28, paragraph 9, the Office of the State Treasurer has allocated the assets and obligations at June 30, 2013 arising from securities lending agreements to the various funds of the State. The total allocated to the Office of the Secretary of State was \$10.968 million at June 30, 2013.

(4) Taxes and other Receivables

Taxes and other receivables (amounts expressed in thousands) at June 30, 2013 are as follows:

	<u>Governmental Activities</u>				<u>Total</u>
	<u>General Fund</u>	<u>Road Fund</u>	<u>State Construction Account</u>	<u>Other Nonmajor Funds</u>	
Taxes receivable:					
Other	\$ 2,982	\$ -	\$ -	\$ -	\$ 2,982
Less: allowance for uncollectible amounts	<u>(805)</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>(805)</u>
Total taxes receivable, net	<u>\$ 2,177</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 2,177</u>
Other receivables:					
Licenses and fees receivable	\$ 510	\$ 4,609	\$ 2,704	\$ 1,840	\$ 9,663
Less: allowance for uncollectible amounts	<u>(404)</u>	<u>(1,341)</u>	<u>(788)</u>	<u>(1,785)</u>	<u>(4,318)</u>
Licenses and fees receivable, net	<u>106</u>	<u>3,268</u>	<u>1,916</u>	<u>55</u>	<u>5,345</u>
Interest and other investment income	<u>-</u>	<u>-</u>	<u>-</u>	<u>5</u>	<u>5</u>
Total other receivables, net	<u>\$ 106</u>	<u>\$ 3,268</u>	<u>\$ 1,916</u>	<u>\$ 60</u>	<u>\$ 5,350</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

Notes to the Financial Statements

June 30, 2013

(5) Interfund Balances and Activity

(a) Balances Due to/from Other Funds

The following balances (amounts expressed in thousands) at June 30, 2013 represent amounts due from other Office and State funds.

<u>Fund</u>	<u>Due from</u>		<u>Description/Purpose</u>
	<u>Other State Funds</u>	<u>Other Office Funds</u>	
General	\$ -	\$ 4,341	Due from other Office funds for transfers of excess balances, statutorily required transfers, repayment from various grant activity and accrual of undistributed International Registration Plan (IRP) fees.
State Construction Account	-	2,188	Due from other Office funds for the accrual of undistributed IRP fees.
Nonmajor governmental funds	67	-	Due from other State funds for interfund borrowing and repayment from various grant activities.
	<u>\$ 67</u>	<u>\$ 6,529</u>	

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

Notes to the Financial Statements

June 30, 2013

(5) Interfund Balances and Activity - Continued

(a) Balances Due to/from Other Funds - Continued

The following balances (amounts expressed in thousands) at June 30, 2013 represent amounts owed to other Office and State of Illinois funds.

<u>Fund</u>	<u>Due to</u>			<u>Description/Purpose</u>
	<u>Other Office Funds</u>	<u>Other State Funds</u>	<u>Other State Fiduciary Funds</u>	
General	\$ -	\$ 315	\$ 248	Due to other State funds for purchases of services and Court of Claims awards and to other State fiduciary funds for payment of retirement and health insurance costs.
Road	3,036	-	-	Due to other Office Funds for the accrual of undistributed IRP fee.
Nonmajor governmental funds	3,493	202	163	Due to other Office Funds for transfers of excess balances, statutorily required transfers and repayment of grant activity; to other State funds for Court of Claims awards, repayment of grant activity and purchases of services; and to other State fiduciary funds for payment of retirement and health insurance costs.
	<u>\$ 6,529</u>	<u>\$ 517</u>	<u>\$ 411</u>	

(b) Transfers to/from Other Funds

Interfund transfers in (amounts expressed in thousands) for the year ended June 30, 2013, were as follows:

<u>Fund</u>	<u>Transfers in from</u>		<u>Description/Purpose</u>
	<u>Other Office Funds</u>	<u>Other State Funds</u>	
General	\$ 2,983	\$ -	Transfer from other Office funds of excess balances.
Nonmajor governmental funds	-	56	Transfer from other State funds pursuant to State statute.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

Notes to the Financial Statements

June 30, 2013

(5) **Interfund Balances and Activity - Continued**

\$ 2,983 \$ 56

(b) Transfers to/from Other Funds - Continued

Interfund transfers out (amounts expressed in thousands) for the year ended June 30, 2013, were as follows:

<u>Fund</u>	<u>Transfers out to</u>		<u>Description/Purpose</u>
	<u>Other Office Funds</u>	<u>Other State Funds</u>	
Nonmajor governmental funds	<u>\$ 2,983</u>	<u>\$ -</u>	Transfer to other Office funds and other State funds pursuant to State statute.

(c) Balances due from/to State of Illinois Component Units

The following balances (amounts expressed in thousands) at June 30, 2013 represent amounts due to State of Illinois Component Units for reimbursement of expenses incurred.

<u>Due to Component Units</u>	<u>General Fund</u>	<u>Nonmajor Governmental Funds</u>
Toll Highway Authority	\$ 4	\$ -
Illinois State University	1	-
University of Illinois	-	96
	<u>\$ 5</u>	<u>\$ 96</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

Notes to the Financial Statements

June 30, 2013

(6) Capital Assets

Capital asset activity (amounts expressed in thousands) for the year ended June 30, 2013 was as follows:

	<u>Balance June 30, 2012</u>	<u>Additions</u>	<u>Deletions</u>	<u>Net Transfers</u>	<u>Balance June 30, 2013</u>
Governmental activities:					
Capital assets not being depreciated:					
Land and land improvements	\$ 6,637	\$ -	\$ -	\$ -	\$ 6,637
Historical treasures & works of art	100	-	-	-	100
Total capital assets not being depreciated	<u>6,737</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>6,737</u>
Capital assets being depreciated:					
Buildings and building improvements	429,579	609	-	3,588	433,776
Equipment	51,144	2,891	2,552	19	51,502
Software	29	-	-	-	29
Total capital assets being depreciated	<u>480,752</u>	<u>3,500</u>	<u>2,552</u>	<u>3,607</u>	<u>485,307</u>
Less accumulated depreciation/amortization:					
Buildings and building improvements	265,129	10,218	-	99	275,446
Equipment	45,915	2,960	2,489	19	46,405
Software	20	9	-	-	29
Total accumulated depreciation/amortization	<u>311,064</u>	<u>13,187</u>	<u>2,489</u>	<u>118</u>	<u>321,880</u>
Total capital assets being depreciated/amortized, net	<u>169,688</u>	<u>(9,687)</u>	<u>63</u>	<u>3,489</u>	<u>163,427</u>
Governmental activity capital assets, net	<u>\$ 176,425</u>	<u>\$ (9,687)</u>	<u>\$ 63</u>	<u>\$ 3,489</u>	<u>\$ 170,164</u>

Depreciation and amortization expense for governmental activities (amounts expressed in thousands) for the year ended June 30, 2013 was charged as follows:

General government \$ 13,187

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

Notes to the Financial Statements

June 30, 2013

(7) Changes in Long-Term Obligations

Changes in long-term obligations (amounts expressed in thousands) for the year ended June 30, 2013 were as follows:

	Balance July 1, <u>2012</u>	<u>Additions</u>	<u>Deletions</u>	Balance June 30, <u>2013</u>	Amounts Due Within <u>One Year</u>
Governmental activities:					
Compensated absences	<u>\$ 21,272</u>	<u>\$ 14,444</u>	<u>\$ 15,904</u>	<u>\$ 19,812</u>	<u>\$ 1,597</u>

Compensated absences will be liquidated by the applicable governmental funds that account for the salaries and wages of the related employees.

(8) Pension Plan

Substantially all of the Office's full-time employees who are not eligible for participation in another state-sponsored retirement plan participate in the State Employees' Retirement System (SERS), which is a pension trust fund in the State of Illinois reporting entity. The SERS is a single-employer defined benefit public employee retirement system (PERS) in which State employees participate, except those covered by the State Universities, Teachers', General Assembly, and Judges' Retirement Systems. The financial position and results of operations of the SERS for fiscal year 2013 are included in the State of Illinois' Comprehensive Annual Financial Report (CAFR) for the year ended June 30, 2013. The SERS issues a separate CAFR that may be obtained by writing to the SERS, 2101 South Veterans Parkway, Springfield, Illinois, 62794-9255.

A summary of SERS benefit provisions, changes in benefit provisions, employee eligibility requirements including eligibility for vesting, and the authority under which benefit provisions are established are included as an integral part of the SERS' CAFR. Also included is a discussion of employer and employee obligations to contribute and the authority under which those obligations are established.

The Office pays employer retirement contributions based upon an actuarially determined percentage of their payrolls. For fiscal year 2013, the employer contribution rate was 37.987%. Effective for pay periods beginning after December 31, 1991, the State opted to pay the employee portion of retirement for most State agencies (including the Office) with employees covered by the State Employees' and Teachers' Retirement Systems. However, effective with the fiscal year 2004 budget, the State opted to stop paying the portion or a part of the portion of retirement for many State agencies (including the Office) for certain classes of employees covered by the State Employees' and Teachers' Retirement Systems. The pickup, when applicable, is subject to sufficient annual appropriations and those employees covered may vary across employee groups and State agencies.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

Notes to the Financial Statements

June 30, 2013

(9) Post-employment Benefits

The State provides health, dental, vision, and life insurance benefits for retirees and their dependents in a program administered by the Department of Central Management Services. Substantially all State employees become eligible for post-employment benefits if they eventually become annuitants of one of the State sponsored pension plans. Health, dental, and vision benefits include basic benefits for annuitants and dependents under the State's self-insurance plan and insurance contracts currently in force. Annuitants may be required to contribute towards health, dental, and vision benefits with the amount based on factors such as date of retirement, years of credited service with the State, whether the annuitant is covered by Medicare, and whether the annuitant has chosen a managed health care plan. The remainder of the cost of coverage shall be the responsibility of the annuitant. Annuitants also receive life insurance coverage equal to the annual salary of the last day of employment until age 60, at which time the benefit becomes \$5,000.

The total cost of the State's portion of health, dental, vision, and life insurance benefits of all members, including post-employment health, dental, vision, and life insurance benefits, is recognized as an expense by the State in the Illinois Comprehensive Annual Financial Report. The State finances the costs on a pay-as-you-go basis. The total costs incurred for health, dental, vision, and life insurance benefits are not separated by department or component unit for annuitants and their dependents nor active employees and their dependents.

A summary of post-employment benefit provisions, changes in benefit provisions, employee eligibility requirements including eligibility for vesting, and the authority under which benefit provisions are established are included as an integral part of the financial statements of the Department of Healthcare and Family Services. A copy of the financial statements of the Department of Healthcare and Family Services may be obtained by writing to the Department of Healthcare and Family Services, 201 South Grand Ave., Springfield, Illinois, 62763-3838.

(10) Risk Management

The Office is exposed to various risks of loss related to torts; theft of, damage to, and destruction of assets; errors and omissions; workers compensation and natural disasters. The State retains the risk of loss (i.e. self insured) for these risks.

The Office's risk management activities for workers' compensation, self-insurance and unemployment insurance are financed through appropriations to the Illinois Department of Central Management Services and are accounted for in the General Fund of the State. The claims are not considered to be a liability of the Office; and accordingly, have not been reported in the Office's financial statements for the year ended June 30, 2013.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

Notes to the Financial Statements

June 30, 2013

(11) Commitments and Contingencies

(a) Operating leases

The Office leases certain office facilities and equipment under the terms of noncancelable operating lease agreements that require the Office to make minimum lease payments plus pay a pro rata share of certain operating costs. Rent expense under operating leases was \$13.081 million for the year ended June 30, 2013.

The following is a schedule of future minimum lease payments under the operating lease (amounts expressed in thousands):

<u>Year ending June 30,</u>	<u>Amount</u>
2014	\$ 7,957
2015	6,182
2016	5,227
2017	3,840
2018	2,043
2019-2023	5,117
	<u>\$30,366</u>

(b) Federal Funding

The Office receives federal grants which are subject to review and audit by federal grantor agencies. Certain costs could be questioned as not being an eligible expenditure under the terms of the grants. At June 30, 2013, there were no material questioned costs that have not been resolved with the federal awarding agencies. However, questioned costs could still be identified during audits to be conducted in the future. Management of the Office believes there will be no material adjustments to the federal grants and, accordingly, has not recorded a provision for possible repayment.

(c) Litigation

The Office is routinely involved in a number of legal proceedings and claims that cover a wide range of matters. In the opinion of management, the outcome of these other matters is not expected to have any material adverse effect on the financial position or operations of the Office.

State of Illinois
Office of the Secretary of State

Combining Schedule of Accounts

General Fund

June 30, 2013 (Expressed in Thousands)

	General Revenue 0001	Live and Learn 0026	Corporate Franchise Tax Refund 0380	Common School 0412	Capital Projects 0694	Vehicle Inspection 0963	Special Advance 1198	Eliminations	Total
ASSETS									
Unexpended appropriations	\$ 18,977	\$ -	\$ -	\$ -	\$ -	\$ 111	\$ -	\$ -	\$ 19,088
Cash equity with State Treasurer	27,339	702	3,595	38	27,572	-	-	-	59,246
Cash and cash equivalents	84	-	-	-	-	-	5	-	89
Taxes receivable, net	2,177	-	-	-	-	-	-	-	2,177
Other receivables, net	106	-	-	-	-	-	-	-	106
Due from other Office funds	3,577	12,194	-	1,044	-	-	-	(12,474)	4,341
Inventories	249	-	-	-	-	-	-	-	249
Total assets	\$ 52,509	\$ 12,896	\$ 3,595	\$ 1,082	\$ 27,572	\$ 111	\$ 5	\$ (12,474)	\$ 85,296
LIABILITIES									
Accounts payable and accrued liabilities	\$ 13,960	\$ 3,340	\$ -	\$ -	\$ -	\$ 51	\$ -	\$ -	\$ 17,351
Intergovernmental payables	2,404	7,202	-	-	-	4	-	-	9,610
Due to other State fiduciary funds	203	8	-	-	-	37	-	-	248
Due to other Office funds	12,194	-	280	-	-	-	-	(12,474)	-
Due to other State funds	300	12	-	-	-	3	-	-	315
Due to State of Illinois component units	5	-	-	-	-	-	-	-	5
Unearned revenue	170	-	-	-	3,703	-	-	-	3,873
Total liabilities	29,236	10,562	280	-	3,703	95	-	(12,474)	31,402
FUND BALANCES									
Nonspendable:									
Inventories	249	-	-	-	-	-	-	-	249
Restricted for:									
General government	-	-	-	-	-	-	5	-	5
Committed to:									
General government	-	-	3,315	-	-	-	-	-	3,315
Unassigned	23,024	2,334	-	1,082	23,869	16	-	-	50,325
Total fund balances	23,273	2,334	3,315	1,082	23,869	16	5	-	53,894
Total liabilities and fund balances	\$ 52,509	\$ 12,896	\$ 3,595	\$ 1,082	\$ 27,572	\$ 111	\$ 5	\$ (12,474)	\$ 85,296

State of Illinois
Office of the Secretary of State

**Combining Schedule of Revenues,
Expenditures and Changes in Fund Balance -
General Fund**

For the Year Ended June 30, 2013 (Expressed in Thousands)

	General Revenue 0001	Live and Learn 0026	Corporate Franchise Tax Refund 0380	Common School 0412	Capital Projects 0694	Vehicle Inspection 0963	Special Advance 1198	Eliminations	Total
REVENUES									
Licenses and fees	\$ 244,290	\$ -	\$ -	\$ 466	\$ 296,773	\$ -	\$ -	\$ -	\$ 541,529
Federal government	14	-	-	-	-	-	-	-	14
Other charges for services	7	-	-	-	-	-	-	-	7
Other taxes	202,453	-	3,418	-	-	-	-	-	205,871
Other revenue	120	-	-	-	-	-	-	-	120
Total revenues	446,884	-	3,418	466	296,773	-	-	-	747,541
EXPENDITURES									
General government	247,193	20,528	1	-	-	3,026	-	-	270,748
Capital outlays	1,814	90	-	-	-	-	-	-	1,904
Total expenditures	249,007	20,618	1	-	-	3,026	-	-	272,652
Excess (deficiency) of revenues over (under) expenditures	197,877	(20,618)	3,417	466	296,773	(3,026)	-	-	474,889
OTHER SOURCES (USES) OF FINANCIAL RESOURCES									
Appropriations from State resources	255,309	-	-	-	-	4,011	-	-	259,320
Lapsed appropriations	(5,562)	-	-	-	-	(969)	-	-	(6,531)
Receipts collected and transmitted to State Treasury	(451,043)	-	-	(463)	(297,040)	-	-	-	(748,546)
Amount of SAMS Transfers-in	(7,338)	-	-	-	-	-	-	-	(7,338)
Amount of SAMS Transfers-out	26,130	-	-	-	-	-	-	-	26,130
Transfers-in	2,604	20,904	-	659	-	-	(5)	(21,179)	2,983
Transfers-out	(20,899)	-	(280)	-	-	-	-	21,179	-
Net other sources (uses) of financial resources	(200,799)	20,904	(280)	196	(297,040)	3,042	(5)	-	(473,982)
Net change in fund balances	(2,922)	286	3,137	662	(267)	16	(5)	-	907
Fund balances, July 1, 2012	26,153	2,048	178	420	24,136	-	10	-	52,945
Increase for changes in inventories	42	-	-	-	-	-	-	-	42
FUND BALANCES, JUNE 30, 2013	\$ 23,273	\$ 2,334	\$ 3,315	\$ 1,082	\$ 23,869	\$ 16	\$ 5	\$ -	\$ 53,894

State of Illinois
Office of the Secretary of State
Combining Balance Sheet -
Non-major Governmental Funds
June 30, 2013 (Expressed in Thousands)

	Special Revenue							
	Motor Fuel Tax 0012	Drivers Education 0031	Lobbyist Registration Administration 0044	Accessible Electronic Information Services 0106	CDLIS/ AAMVA Net Trust 0109	Registered Limited Liability Partnership 0167	Secretary of State Federal Projects 0176	Secretary of State Special License Plate 0185
ASSETS								
Unexpended appropriations	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Cash equity with State Treasurer	-	1,019	1,982	92	126	686	70	4,003
Cash and cash equivalents	-	-	-	-	-	-	-	-
Securities lending collateral of State Treasurer	-	-	-	-	-	-	-	-
Intergovernmental receivables, net	-	-	-	-	-	-	-	-
Other receivables, net	-	3	-	-	-	-	-	-
Due from other State funds	-	-	-	-	-	-	-	7
Inventories	-	-	-	-	-	-	-	1,078
Total assets	\$ -	\$ 1,022	\$ 1,982	\$ 92	\$ 126	\$ 686	\$ 70	\$ 5,088
LIABILITIES								
Accounts payable and accrued liabilities	\$ -	\$ -	\$ 1	\$ 14	\$ 76	\$ 2	\$ 2	\$ 91
Intergovernmental payables	-	-	-	-	-	-	-	-
Due to other State fiduciary funds	-	-	-	-	-	2	-	-
Due to other Office funds	-	-	-	-	-	162	-	-
Due to other State funds	-	-	1	-	-	-	-	-
Due to State of Illinois component units	-	-	-	-	-	-	-	-
Unearned revenue	-	-	-	-	-	-	-	81
Obligations under securities lending of State Treasurer	-	-	-	-	-	-	-	-
Total liabilities	-	-	2	14	76	166	2	172
FUND BALANCES (DEFICITS)								
Nonspendable								
Inventories	-	-	-	-	-	-	-	1,078
Restricted for:								
General government	-	-	-	-	-	-	-	-
Committed to:								
General government	-	-	1,980	78	50	520	-	3,838
Capital projects	-	-	-	-	-	-	-	-
Assigned to:								
General government	-	-	-	-	-	-	68	-
Unassigned	-	1,022	-	-	-	-	-	-
Total fund balances (deficits)	-	1,022	1,980	78	50	520	68	4,916
Total liabilities and fund balances (deficits)	\$ -	\$ 1,022	\$ 1,982	\$ 92	\$ 126	\$ 686	\$ 70	\$ 5,088

State of Illinois
Office of the Secretary of State

Combining Balance Sheet -
Non-major Governmental Funds

June 30, 2013 (Expressed in Thousands)

	Special Revenue							
	Securities Investors Education 0292	Interagency Grant 0295	Family Financial Responsibility 0322	Motor Vehicle Review Board 0323	Securities Audit and Enforcement 0362	Department of Business Services Special Operations 0363	Secretary of State Evidence 0374	State College and University Trust 0417
ASSETS								
Unexpended appropriations	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Cash equity with State Treasurer	20,466	458	421	139	15,890	6,574	3	27
Cash and cash equivalents	-	-	-	-	-	-	-	-
Securities lending collateral of State Treasurer	-	-	-	-	10,966	-	-	-
Intergovernmental receivables, net	-	-	-	-	-	-	-	-
Other receivables, net	-	-	-	-	41	-	-	-
Due from other State funds	-	60	-	-	-	-	-	-
Inventories	-	-	-	-	-	-	-	-
Total assets	\$ 20,466	\$ 518	\$ 421	\$ 139	\$ 26,897	\$ 6,574	\$ 3	\$ 27
LIABILITIES								
Accounts payable and accrued liabilities	\$ 813	\$ 69	\$ 4	\$ 8	\$ 217	\$ 2	\$ -	\$ -
Intergovernmental payables	-	2	-	1	12	-	-	-
Due to other State fiduciary funds	2	22	-	2	134	-	-	-
Due to other Office funds	-	125	-	-	-	2,162	-	-
Due to other State funds	-	2	-	-	6	5	-	-
Due to State of Illinois component units	-	-	-	-	-	-	-	-
Unearned revenue	-	-	-	-	-	-	-	10
Obligations under securities lending of State Treasurer	-	-	-	-	10,966	-	-	-
Total liabilities	815	220	4	11	11,335	2,169	-	10
FUND BALANCES (DEFICITS)								
Nonspendable								
Inventories	-	-	-	-	-	-	-	-
Restricted for:								
General government	-	-	-	-	-	-	3	-
Committed to:								
General government	19,651	-	417	128	15,562	4,405	-	17
Capital projects	-	-	-	-	-	-	-	-
Assigned to:								
General government	-	298	-	-	-	-	-	-
Unassigned	-	-	-	-	-	-	-	-
Total fund balances (deficits)	19,651	298	417	128	15,562	4,405	3	17
Total liabilities and fund balances (deficits)	\$ 20,466	\$ 518	\$ 421	\$ 139	\$ 26,897	\$ 6,574	\$ 3	\$ 27

State of Illinois
Office of the Secretary of State

Combining Balance Sheet -
Non-major Governmental Funds

June 30, 2013 (Expressed in Thousands)

	Special Revenue							
	Alternative Fuels	Indigent	Monitoring Device Driving Permit Administration	Rotary Club Fund	Autism Awareness	Ovarian Cancer Awareness Fund	Illinois Professional Golfers Association Junior Golf	Boy Scout and Girl Scout Fund
	0422	0451	0453	0454	0458	0459	0463	0464
ASSETS								
Unexpended appropriations	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Cash equity with State Treasurer	433	46	2,744	5	-	9	44	37
Cash and cash equivalents	-	-	-	-	-	-	-	-
Securities lending collateral of State Treasurer	-	-	-	-	-	-	-	-
Intergovernmental receivables, net	-	-	-	-	-	-	-	-
Other receivables, net	-	16	-	-	-	-	-	-
Due from other State funds	-	-	-	-	-	-	-	-
Inventories	-	-	-	-	-	-	-	-
Total assets	\$ 433	\$ 62	\$ 2,744	\$ 5	\$ -	\$ 9	\$ 44	\$ 37
LIABILITIES								
Accounts payable and accrued liabilities	\$ -	\$ 62	\$ 10	\$ 4	\$ -	\$ -	\$ 13	\$ -
Intergovernmental payables	-	-	1	-	-	-	-	-
Due to other State fiduciary funds	-	-	-	-	-	-	-	-
Due to other Office funds	-	-	-	-	-	-	-	-
Due to other State funds	-	-	1	-	-	-	-	-
Due to State of Illinois component units	-	-	-	-	-	-	-	-
Unearned revenue	-	-	-	-	-	-	2	-
Obligations under securities lending of State Treasurer	-	-	-	-	-	-	-	-
Total liabilities	-	62	12	4	-	-	15	-
FUND BALANCES (DEFICITS)								
Nonspendable								
Inventories	-	-	-	-	-	-	-	-
Restricted for:								
General government	-	-	2,732	1	-	9	29	37
Committed to:								
General government	433	-	-	-	-	-	-	-
Capital projects	-	-	-	-	-	-	-	-
Assigned to:								
General government	-	-	-	-	-	-	-	-
Unassigned	-	-	-	-	-	-	-	-
Total fund balances (deficits)	433	-	2,732	1	-	9	29	37
Total liabilities and fund balances (deficits)	\$ 433	\$ 62	\$ 2,744	\$ 5	\$ -	\$ 9	\$ 44	\$ 37

State of Illinois
Office of the Secretary of State

Combining Balance Sheet -
Non-major Governmental Funds

June 30, 2013 (Expressed in Thousands)

	Special Revenue							
	Agriculture in the Classroom 0466	Sheet Metal Workers Int'l Assoc. of Illinois Fund 0468	Library Services 0470	State Library 0471	Secretary of State Identification and Theft Prevention 0480	Secretary of State Special Services 0483	Support Our Troops Fund 0496	Master Mason 0508
ASSETS								
Unexpended appropriations	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Cash equity with State Treasurer	68	7	117	25	41,761	15,522	50	19
Cash and cash equivalents	-	-	-	-	-	-	-	-
Securities lending collateral of State Treasurer	-	-	-	-	-	-	-	-
Intergovernmental receivables, net	-	-	6	-	-	20	-	-
Other receivables, net	-	-	-	-	-	-	-	-
Due from other State funds	-	-	-	-	-	-	-	-
Inventories	-	-	-	-	-	-	-	-
Total assets	\$ 68	\$ 7	\$ 123	\$ 25	\$ 41,761	\$ 15,542	\$ 50	\$ 19
LIABILITIES								
Accounts payable and accrued liabilities	\$ 18	\$ -	\$ 3	\$ 1	\$ 18	\$ 1,910	\$ -	\$ 10
Intergovernmental payables	-	-	18	-	-	31	-	-
Due to other State fiduciary funds	-	-	-	-	-	-	-	-
Due to other Office funds	-	-	-	-	-	-	-	-
Due to other State funds	-	-	-	-	-	139	-	-
Due to State of Illinois component units	-	-	92	-	-	4	-	-
Unearned revenue	2	-	18	-	-	-	-	1
Obligations under securities lending of State Treasurer	-	-	-	-	-	-	-	-
Total liabilities	20	-	131	1	18	2,084	-	11
FUND BALANCES (DEFICITS)								
Nonspendable								
Inventories	-	-	-	-	-	-	-	-
Restricted for:								
General government	48	7	-	-	-	-	50	8
Committed to:								
General government	-	-	-	24	41,743	13,458	-	-
Capital projects	-	-	-	-	-	-	-	-
Assigned to:								
General government	-	-	-	-	-	-	-	-
Unassigned	-	-	(8)	-	-	-	-	-
Total fund balances (deficits)	48	7	(8)	24	41,743	13,458	50	8
Total liabilities and fund balances (deficits)	\$ 68	\$ 7	\$ 123	\$ 25	\$ 41,761	\$ 15,542	\$ 50	\$ 19

State of Illinois
Office of the Secretary of State
Combining Balance Sheet -
Non-major Governmental Funds
June 30, 2013 (Expressed in Thousands)

	Special Revenue							
	Illinois and Michigan Canal 0570	Off Highway Vehicle Trails 0574	Pan Hellenic Trust 0584	Park District Youth Program 0585	Professional Sports Team Education 0587	Illinois Route 66 Heritage Project 0594	Police Memorial Committee 0598	Mammogram 0599
ASSETS								
Unexpended appropriations	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Cash equity with State Treasurer	103	24	73	14	1,058	123	111	77
Cash and cash equivalents	-	-	-	-	-	-	-	-
Securities lending collateral of State Treasurer	-	-	-	-	-	-	-	-
Intergovernmental receivables, net	-	-	-	-	-	-	-	-
Other receivables, net	-	-	-	-	-	-	-	-
Due from other State funds	-	-	-	-	-	-	-	-
Inventories	-	-	-	-	-	-	-	-
Total assets	\$ 103	\$ 24	\$ 73	\$ 14	\$ 1,058	\$ 123	\$ 111	\$ 77
LIABILITIES								
Accounts payable and accrued liabilities	\$ -	\$ -	\$ -	\$ 9	\$ -	\$ -	\$ 50	\$ 35
Intergovernmental payables	-	-	-	-	-	-	-	-
Due to other State fiduciary funds	-	-	-	-	-	-	-	-
Due to other Office funds	-	-	-	-	1,044	-	-	-
Due to other State funds	-	-	-	-	-	-	-	-
Due to State of Illinois component units	-	-	-	-	-	-	-	-
Unearned revenue	-	-	1	1	14	4	3	3
Obligations under securities lending of State Treasurer	-	-	-	-	-	-	-	-
Total liabilities	-	-	1	10	1,058	4	53	38
FUND BALANCES (DEFICITS)								
Nonspendable								
Inventories	-	-	-	-	-	-	-	-
Restricted for:								
General government	103	-	72	4	-	119	58	39
Committed to:								
General government	-	24	-	-	-	-	-	-
Capital projects	-	-	-	-	-	-	-	-
Assigned to:								
General government	-	-	-	-	-	-	-	-
Unassigned	-	-	-	-	-	-	-	-
Total fund balances (deficits)	103	24	72	4	-	119	58	39
Total liabilities and fund balances (deficits)	\$ 103	\$ 24	\$ 73	\$ 14	\$ 1,058	\$ 123	\$ 111	\$ 77

State of Illinois
Office of the Secretary of State

Combining Balance Sheet -
Non-major Governmental Funds

June 30, 2013 (Expressed in Thousands)

	Special Revenue						
	Motor Vehicle License Plate 0622	Special Olympics Illinois Fund 623	Chicago Police Memorial Foundation Fund 0639	Illinois Police Association Fund 0655	Organ Donor Awareness 0716	Secretary of State DUI Administration 0732	Secretary of State DUI 0758
ASSETS							
Unexpended appropriations	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Cash equity with State Treasurer	13,376	-	17	71	90	3,572	19
Cash and cash equivalents	-	-	-	-	-	-	-
Securities lending collateral of State Treasurer	-	-	-	-	-	-	-
Intergovernmental receivables, net	-	-	-	-	-	-	-
Other receivables, net	-	-	-	-	-	-	-
Due from other State funds	-	-	-	-	-	-	-
Inventories	1,609	-	-	-	-	-	-
Total assets	\$ 14,985	\$ -	\$ 17	\$ 71	\$ 90	\$ 3,572	\$ 19
LIABILITIES							
Accounts payable and accrued liabilities	\$ 869	\$ -	\$ -	\$ -	\$ 56	\$ 107	\$ -
Intergovernmental payables	9	-	-	-	-	-	-
Due to other State fiduciary funds	-	-	-	-	-	-	-
Due to other Office funds	-	-	-	-	-	-	-
Due to other State funds	44	-	-	-	-	4	-
Due to State of Illinois component units	-	-	-	-	-	-	-
Unearned revenue	-	-	-	1	4	-	-
Obligations under securities lending of State Treasurer	-	-	-	-	-	-	-
Total liabilities	922	-	-	1	60	111	-
FUND BALANCES (DEFICITS)							
Nonspendable							
Inventories	1,609	-	-	-	-	-	-
Restricted for:							
General government	-	-	17	70	-	-	19
Committed to:							
General government	12,454	-	-	-	30	3,461	-
Capital projects	-	-	-	-	-	-	-
Assigned to:							
General government	-	-	-	-	-	-	-
Unassigned	-	-	-	-	-	-	-
Total fund balances (deficits)	14,063	-	17	70	30	3,461	19
Total liabilities and fund balances (deficits)	\$ 14,985	\$ -	\$ 17	\$ 71	\$ 90	\$ 3,572	\$ 19

State of Illinois
Office of the Secretary of State
Combining Balance Sheet -
Non-major Governmental Funds
June 30, 2013 (Expressed in Thousands)

	Special Revenue							
	Secretary of State Police Services 0759	Marine Corps Scholarship 0760	State Parking Facility Maintenance 0782	Illinois EMS Memorial Scholarship and Training Fund 0800	Share the Road 0854	Cycle Rider Safety Training 0863	Fraternal Order of Police Fund 0867	St. Jude Children's Research 0899
ASSETS								
Unexpended appropriations	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Cash equity with State Treasurer	464	93	-	4	23	507	18	3
Cash and cash equivalents	-	-	-	-	-	-	-	-
Securities lending collateral of State Treasurer	-	-	-	-	-	-	-	2
Intergovernmental receivables, net	-	-	-	-	-	-	-	-
Other receivables, net	-	-	-	-	-	-	-	-
Due from other State funds	-	-	-	-	-	-	-	-
Inventories	-	-	-	-	-	-	-	-
Total assets	\$ 464	\$ 93	\$ -	\$ 4	\$ 23	\$ 507	\$ 18	\$ 5
LIABILITIES								
Accounts payable and accrued liabilities	\$ 8	\$ 25	\$ -	\$ -	\$ 5	\$ -	\$ -	\$ -
Intergovernmental payables	-	-	-	-	-	-	-	-
Due to other State fiduciary funds	1	-	-	-	-	-	-	-
Due to other Office funds	-	-	-	-	-	-	-	-
Due to other State funds	-	-	-	-	-	-	-	-
Due to State of Illinois component units	-	-	-	-	-	-	-	-
Unearned revenue	-	2	-	-	1	76	-	-
Obligations under securities lending of State Treasurer	-	-	-	-	-	-	-	2
Total liabilities	9	27	-	-	6	76	-	2
FUND BALANCES (DEFICITS)								
Nonspendable								
Inventories	-	-	-	-	-	-	-	-
Restricted for:								
General government	-	-	-	4	17	-	18	3
Committed to:								
General government	455	66	-	-	-	431	-	-
Capital projects	-	-	-	-	-	-	-	-
Assigned to:								
General government	-	-	-	-	-	-	-	-
Unassigned	-	-	-	-	-	-	-	-
Total fund balances (deficits)	455	66	-	4	17	431	18	3
Total liabilities and fund balances (deficits)	\$ 464	\$ 93	\$ -	\$ 4	\$ 23	\$ 507	\$ 18	\$ 5

State of Illinois
Office of the Secretary of State
Combining Balance Sheet -
Non-major Governmental Funds
June 30, 2013 (Expressed in Thousands)

	Special Revenue				Capital Projects	
	4-H 0915	Ducks Unlimited 0918	Secretary of State Grant 0948	Secretary of State Antique Vehicle Show 1390	Capital Development 0141	Build Illinois Bond 0971
ASSETS						
Unexpended appropriations	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 14,780
Cash equity with State Treasurer	7	38	610	-	-	-
Cash and cash equivalents	-	-	-	10	-	-
Securities lending collateral of State Treasurer	-	-	-	-	-	-
Intergovernmental receivables, net	-	-	-	-	-	-
Other receivables, net	-	-	-	-	-	-
Due from other State funds	-	-	-	-	-	-
Inventories	-	-	-	-	-	-
Total assets	\$ 7	\$ 38	\$ 610	\$ 10	\$ -	\$ 14,780
LIABILITIES						
Accounts payable and accrued liabilities	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Intergovernmental payables	-	-	-	-	-	-
Due to other State fiduciary funds	-	-	-	-	-	-
Due to other Office funds	-	-	-	-	-	-
Due to other State funds	-	-	-	-	-	-
Due to State of Illinois component units	-	-	-	-	-	-
Unearned revenue	-	-	1	-	-	-
Obligations under securities lending of State Treasurer	-	-	-	-	-	-
Total liabilities	-	-	1	-	-	-
FUND BALANCES (DEFICITS)						
Nonspendable						
Inventories	-	-	-	-	-	-
Restricted for:						
General government	7	38	-	10	-	-
Committed to:						
General government	-	-	-	-	-	-
Capital projects	-	-	-	-	-	14,780
Assigned to:						
General government	-	-	609	-	-	-
Unassigned	-	-	-	-	-	-
Total fund balances (deficits)	7	38	609	10	-	14,780
Total liabilities and fund balances (deficits)	\$ 7	\$ 38	\$ 610	\$ 10	\$ -	\$ 14,780

State of Illinois
Office of the Secretary of State

Combining Balance Sheet -
Non-major Governmental Funds

June 30, 2013 (Expressed in Thousands)

	<u>Debt Service</u>	
	General	
	Obligation	
	Bond	
	Retirement	
	and Interest	
	0101	Total
ASSETS		
Unexpended appropriations	\$ -	\$ 14,780
Cash equity with State Treasurer	-	133,338
Cash and cash equivalents	-	10
Securities lending collateral of State Treasurer	-	10,968
Intergovernmental receivables, net	-	26
Other receivables, net	-	60
Due from other State funds	-	67
Inventories	-	2,687
Total assets	<u>\$ -</u>	<u>\$ 161,936</u>
LIABILITIES		
Accounts payable and accrued liabilities	\$ -	\$ 4,512
Intergovernmental payables	-	74
Due to other State fiduciary funds	-	163
Due to other Office funds	-	3,493
Due to other State funds	-	202
Due to State of Illinois component units	-	96
Unearned revenue	-	225
Obligations under securities lending of State Treasurer	-	10,968
Total liabilities	<u>-</u>	<u>19,733</u>
FUND BALANCES (DEFICITS)		
Nonspendable		
Inventories	-	2,687
Restricted for:		
General government	-	3,522
Committed to:		
General government	-	119,225
Capital projects	-	14,780
Assigned to:		
General government	-	975
Unassigned	-	1,014
Total fund balances (deficits)	<u>-</u>	<u>142,203</u>
Total liabilities and fund balances (deficits)	<u>\$ -</u>	<u>\$ 161,936</u>

State of Illinois
Office of the Secretary of State

Combining Statement of Revenues,
Expenditures and Changes in Fund Balance -
Non-major Governmental Funds

For the Year Ended June 30, 2013 (Expressed in Thousands)

	Special Revenue						
	Motor Fuel Tax 0012	Drivers Education 0031	Lobbyist Registration Administration 0044	Accessible Electronic Information Services 0106	CDLIS/ AAMVA Net Trust 0109	Registered Limited Liability Partnership 0167	Secretary of State Federal Projects 0176
REVENUES							
Federal government	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 55
Licenses and fees	-	11,751	1,067	-	667	531	-
Interest and other investment income	-	-	-	-	-	-	-
Other charges for services	-	-	105	-	-	-	-
Other revenues	-	-	-	-	-	-	-
Other operating grants	-	-	-	-	-	-	-
Total revenues	-	11,751	1,172	-	667	531	55
EXPENDITURES							
General government	1,300	-	897	56	651	92	55
Capital outlays	-	-	-	-	-	-	-
Total expenditures	1,300	-	897	56	651	92	55
Excess (deficiency) of revenues over (under) expenditures	(1,300)	11,751	275	(56)	16	439	-
OTHER SOURCES (USES) OF FINANCIAL RESOURCES							
Appropriations from State resources	1,300	-	-	-	-	-	-
Lapsed appropriations	-	-	-	-	-	-	-
Receipts collected and transmitted to State Treasury	-	(11,751)	-	-	-	-	-
Transfers-in	-	-	-	56	-	-	-
Transfers-out	-	-	-	-	-	(162)	-
Transfers of administration of funds to other State Agencies	-	-	-	-	-	-	-
Net other sources (uses) of financial resources	1,300	(11,751)	-	56	-	(162)	-
Net change in fund balances	-	-	275	-	16	277	-
Fund balances (deficits), July 1, 2012	-	1,022	1,705	78	34	243	68
Decrease for changes in inventories	-	-	-	-	-	-	-
FUND BALANCES (DEFICITS), JUNE 30, 2013	\$ -	\$ 1,022	\$ 1,980	\$ 78	\$ 50	\$ 520	\$ 68

State of Illinois
Office of the Secretary of State

Combining Statement of Revenues,
Expenditures and Changes in Fund Balance -
Non-major Governmental Funds

For the Year Ended June 30, 2013 (Expressed in Thousands)

	Special Revenue					
	Secretary of State Special License Plate 0185	Securities Investors Education 0292	Interagency Grant 0295	Family Financial Responsibility 0322	Motor Vehicle Review Board 0323	Securities Audit and Enforcement 0362
REVENUES						
Federal government	\$ -	\$ -	\$ 391	\$ -	\$ -	\$ -
Licenses and fees	4,300	-	-	151	238	9,147
Interest and other investment income	-	-	-	-	-	57
Other charges for services	-	-	-	-	-	-
Other revenues	-	4,222	-	-	-	52
Other operating grants	-	-	-	-	-	-
Total revenues	<u>4,300</u>	<u>4,222</u>	<u>391</u>	<u>151</u>	<u>238</u>	<u>9,256</u>
EXPENDITURES						
General government	3,970	1,121	150	30	268	8,811
Capital outlays	-	-	243	-	-	31
Total expenditures	<u>3,970</u>	<u>1,121</u>	<u>393</u>	<u>30</u>	<u>268</u>	<u>8,842</u>
Excess (deficiency) of revenues over (under) expenditures	330	3,101	(2)	121	(30)	414
OTHER SOURCES (USES) OF FINANCIAL RESOURCES						
Appropriations from State resources	-	-	-	-	-	-
Lapsed appropriations	-	-	-	-	-	-
Receipts collected and transmitted to State Treasury	-	-	-	-	-	-
Transfers-in	-	-	-	-	-	-
Transfers-out	-	-	-	-	-	-
Transfers of administration of funds to other State Agencies	-	-	-	-	-	-
Net other sources (uses) of financial resources	-	-	-	-	-	-
Net change in fund balances	<u>330</u>	<u>3,101</u>	<u>(2)</u>	<u>121</u>	<u>(30)</u>	<u>414</u>
Fund balances (deficits), July 1, 2012	5,603	16,550	300	296	158	15,148
Decrease for changes in inventories	(1,017)	-	-	-	-	-
FUND BALANCES (DEFICITS), JUNE 30, 2013	<u>\$ 4,916</u>	<u>\$ 19,651</u>	<u>\$ 298</u>	<u>\$ 417</u>	<u>\$ 128</u>	<u>\$ 15,562</u>

State of Illinois
Office of the Secretary of State

Combining Statement of Revenues,
Expenditures and Changes in Fund Balance -
Non-major Governmental Funds

For the Year Ended June 30, 2013 (Expressed in Thousands)

	Special Revenue					
	Department of Business Services Special Operations 0363	Secretary of State Evidence 0374	State College and University Trust 0417	Alternative Fuels 0422	Indigent BAIID Fund 0451	Monitoring Device Driving Permit Administration 0453
REVENUES						
Federal government	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Licenses and fees	13,037	-	265	1,672	191	1,693
Interest and other investment income	-	-	-	-	-	-
Other charges for services	-	-	-	-	-	-
Other revenues	-	2	-	-	-	-
Other operating grants	-	-	-	-	-	-
Total revenues	13,037	2	265	1,672	191	1,693
EXPENDITURES						
General government	8,602	1	-	225	191	1,440
Capital outlays	-	-	-	-	-	6
Total expenditures	8,602	1	-	225	191	1,446
Excess (deficiency) of revenues over (under) expenditures	4,435	1	265	1,447	-	247
OTHER SOURCES (USES) OF FINANCIAL RESOURCES						
Appropriations from State resources	-	-	-	225	-	-
Lapsed appropriations	-	-	-	-	-	-
Receipts collected and transmitted to State Treasury	-	-	(266)	(1,642)	-	-
Transfers-in	-	-	-	-	-	-
Transfers-out	(2,162)	-	-	-	-	-
Transfers of administration of funds to other State Agencies	-	-	-	-	-	-
Net other sources (uses) of financial resources	(2,162)	-	(266)	(1,417)	-	-
Net change in fund balances	2,273	1	(1)	30	-	247
Fund balances (deficits), July 1, 2012	2,132	2	18	403	-	2,485
Decrease for changes in inventories	-	-	-	-	-	-
FUND BALANCES (DEFICITS), JUNE 30, 2013	\$ 4,405	\$ 3	\$ 17	\$ 433	\$ -	\$ 2,732

State of Illinois
Office of the Secretary of State

Combining Statement of Revenues,
Expenditures and Changes in Fund Balance -
Non-major Governmental Funds

For the Year Ended June 30, 2013 (Expressed in Thousands)

	Special Revenue						
	Rotary Club Fund 0454	Autism Awareness 0458	Ovarian Cancer Awareness Fund 0459	Illinois Professional Golfers Association Junior Golf 0463	Boy Scout and Girl Scout Fund 0464	Agriculture in the Classroom 0466	Sheet Metal Workers Int'l Assoc. of Illinois Fund 0468
REVENUES							
Federal government	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Licenses and fees	5	-	10	39	15	75	2
Interest and other investment income	-	-	-	-	-	-	-
Other charges for services	-	-	-	-	-	-	-
Other revenues	-	-	-	-	-	-	-
Other operating grants	-	-	-	-	-	-	-
Total revenues	5	-	10	39	15	75	2
EXPENDITURES							
General government	6	-	10	51	3	71	-
Capital outlays	-	-	-	-	-	-	-
Total expenditures	6	-	10	51	3	71	-
Excess (deficiency) of revenues over (under) expenditures	(1)	-	-	(12)	12	4	2
OTHER SOURCES (USES) OF FINANCIAL RESOURCES							
Appropriations from State resources	-	-	-	-	-	-	-
Lapsed appropriations	-	-	-	-	-	-	-
Receipts collected and transmitted to State Treasury	-	-	-	-	-	-	-
Transfers-in	-	-	-	-	-	-	-
Transfers-out	-	-	-	-	-	-	-
Transfers of administration of funds to other State Agencies	-	(16)	-	-	-	-	-
Net other sources (uses) of financial resources	-	(16)	-	-	-	-	-
Net change in fund balances	(1)	(16)	-	(12)	12	4	2
Fund balances (deficits), July 1, 2012	2	16	9	41	25	44	5
Decrease for changes in inventories	-	-	-	-	-	-	-
FUND BALANCES (DEFICITS), JUNE 30, 2013	\$ 1	\$ -	\$ 9	\$ 29	\$ 37	\$ 48	\$ 7

State of Illinois
Office of the Secretary of State

Combining Statement of Revenues,
Expenditures and Changes in Fund Balance -
Non-major Governmental Funds

For the Year Ended June 30, 2013 (Expressed in Thousands)

	Special Revenue						
	Library Services 0470	State Library 0471	Secretary of State Identification and Theft Prevention 0480	Secretary of State Special Services 0483	Support Our Troops Fund 0496	Master Mason 0508	Illinois and Michigan Canal 0570
REVENUES							
Federal government	\$ 6,607	\$ -	\$ 292	\$ 137	\$ -	\$ -	\$ -
Licenses and fees	-	-	-	20,763	21	44	8
Interest and other investment income	-	-	-	-	-	-	-
Other charges for services	-	1	-	-	-	-	-
Other revenues	-	4	-	11	-	-	-
Other operating grants	-	2	-	-	-	-	-
Total revenues	6,607	7	292	20,911	21	44	8
EXPENDITURES							
General government	6,525	5	1,424	22,455	-	40	-
Capital outlays	90	-	-	341	-	-	-
Total expenditures	6,615	5	1,424	22,796	-	40	-
Excess (deficiency) of revenues over (under) expenditures	(8)	2	(1,132)	(1,885)	21	4	8
OTHER SOURCES (USES) OF FINANCIAL RESOURCES							
Appropriations from State resources	-	-	-	-	-	-	-
Lapsed appropriations	-	-	-	-	-	-	-
Receipts collected and transmitted to State Treasury	-	-	-	-	-	-	-
Transfers-in	-	-	-	-	-	-	-
Transfers-out	-	-	-	-	-	-	-
Transfers of administration of funds to other State Agencies	-	-	-	-	-	-	-
Net other sources (uses) of financial resources	-	-	-	-	-	-	-
Net change in fund balances	(8)	2	(1,132)	(1,885)	21	4	8
Fund balances (deficits), July 1, 2012	-	22	42,875	15,343	29	4	95
Decrease for changes in inventories	-	-	-	-	-	-	-
FUND BALANCES (DEFICITS), JUNE 30, 2013	\$ (8)	\$ 24	\$ 41,743	\$ 13,458	\$ 50	\$ 8	\$ 103

State of Illinois
Office of the Secretary of State

Combining Statement of Revenues,
Expenditures and Changes in Fund Balance -
Non-major Governmental Funds

For the Year Ended June 30, 2013 (Expressed in Thousands)

	Special Revenue						
	Off Highway Vehicle Trails 0574	Pan Hellenic Trust 0584	Park District Youth Program 0585	Professional Sports Team Education 0587	Illinois Route 66 Heritage Project 0594	Police Memorial Committee 0598	Mammogram 0599
REVENUES							
Federal government	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Licenses and fees	277	48	26	659	149	170	130
Interest and other investment income	-	-	-	-	-	-	-
Other charges for services	-	-	-	-	-	-	-
Other revenues	-	-	-	-	-	-	-
Other operating grants	-	-	-	-	-	-	-
Total revenues	277	48	26	659	149	170	130
EXPENDITURES							
General government	-	40	35	-	130	200	140
Capital outlays	-	-	-	-	-	-	-
Total expenditures	-	40	35	-	130	200	140
Excess (deficiency) of revenues over (under) expenditures	277	8	(9)	659	19	(30)	(10)
OTHER SOURCES (USES) OF FINANCIAL RESOURCES							
Appropriations from State resources	-	-	-	-	-	-	-
Lapsed appropriations	-	-	-	-	-	-	-
Receipts collected and transmitted to State Treasury	(277)	-	-	-	-	-	-
Transfers-in	-	-	-	-	-	-	-
Transfers-out	-	-	-	(659)	-	-	-
Transfers of administration of funds to other State Agencies	-	-	-	-	-	-	-
Net other sources (uses) of financial resources	(277)	-	-	(659)	-	-	-
Net change in fund balances	-	8	(9)	-	19	(30)	(10)
Fund balances (deficits), July 1, 2012	24	64	13	-	100	88	49
Decrease for changes in inventories	-	-	-	-	-	-	-
FUND BALANCES (DEFICITS), JUNE 30, 2013	\$ 24	\$ 72	\$ 4	\$ -	\$ 119	\$ 58	\$ 39

State of Illinois
Office of the Secretary of State

Combining Statement of Revenues,
Expenditures and Changes in Fund Balance -
Non-major Governmental Funds

For the Year Ended June 30, 2013 (Expressed in Thousands)

	Special Revenue					
	Motor Vehicle License Plate 0622	Special Olympics Illinois Fund 623	Chicago Police Memorial Foundation Fund 0639	Illinois Police Association Fund 0655	Organ Donor Awareness 0716	Secretary of State DUI Administration 0732
REVENUES						
Federal government	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Licenses and fees	11,879	-	17	61	177	2,136
Interest and other investment income	-	-	-	-	-	-
Other charges for services	-	-	-	-	-	-
Other revenues	209	-	-	-	-	-
Other operating grants	-	-	-	-	-	-
Total revenues	12,088	-	17	61	177	2,136
EXPENDITURES						
General government	9,878	-	10	40	225	1,693
Capital outlays	18	-	-	-	-	-
Total expenditures	9,896	-	10	40	225	1,693
Excess (deficiency) of revenues over (under) expenditures	2,192	-	7	21	(48)	443
OTHER SOURCES (USES) OF FINANCIAL RESOURCES						
Appropriations from State resources	-	-	-	-	-	-
Lapsed appropriations	-	-	-	-	-	-
Receipts collected and transmitted to State Treasury	-	-	-	-	-	-
Transfers-in	-	-	-	-	-	-
Transfers-out	-	-	-	-	-	-
Transfers of administration of funds to other State Agencies	-	(50)	-	-	-	-
Net other sources (uses) of financial resources	-	(50)	-	-	-	-
Net change in fund balances	2,192	(50)	7	21	(48)	443
Fund balances (deficits), July 1, 2012	12,954	50	10	49	78	3,018
Decrease for changes in inventories	(1,083)	-	-	-	-	-
FUND BALANCES (DEFICITS), JUNE 30, 2013	\$ 14,063	\$ -	\$ 17	\$ 70	\$ 30	\$ 3,461

State of Illinois
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**Combining Statement of Revenues,
Expenditures and Changes in Fund Balance -
Non-major Governmental Funds**

For the Year Ended June 30, 2013 (Expressed in Thousands)

	Special Revenue						
	Secretary of State DUI 0758	Secretary of State Police Services 0759	Marine Corps Scholarship 0760	State Parking Facility Maintenance 0782	Illinois EMS Memorial Scholarship and Training Fund 0800	Share the Road 0854	Cycle Rider Safety Training 0863
REVENUES							
Federal government	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Licenses and fees	-	399	101	2	-	27	4,535
Interest and other investment income	-	-	-	-	-	-	-
Other charges for services	9	-	-	-	-	-	-
Other revenues	-	-	-	-	-	-	-
Other operating grants	-	-	-	-	-	-	-
Total revenues	<u>9</u>	<u>399</u>	<u>101</u>	<u>2</u>	<u>-</u>	<u>27</u>	<u>4,535</u>
EXPENDITURES							
General government	23	109	100	-	-	20	-
Capital outlays	-	258	-	-	-	-	-
Total expenditures	<u>23</u>	<u>367</u>	<u>100</u>	<u>-</u>	<u>-</u>	<u>20</u>	<u>-</u>
Excess (deficiency) of revenues over (under) expenditures	<u>(14)</u>	<u>32</u>	<u>1</u>	<u>2</u>	<u>-</u>	<u>7</u>	<u>4,535</u>
OTHER SOURCES (USES) OF FINANCIAL RESOURCES							
Appropriations from State resources	-	-	-	40	-	-	-
Lapsed appropriations	-	-	-	(40)	-	-	-
Receipts collected and transmitted to State Treasury	-	-	-	(2)	-	-	(4,546)
Transfers-in	-	-	-	-	-	-	-
Transfers-out	-	-	-	-	-	-	-
Transfers of administration of funds to other State Agencies	-	-	-	-	-	-	-
Net other sources (uses) of financial resources	<u>-</u>	<u>-</u>	<u>-</u>	<u>(2)</u>	<u>-</u>	<u>-</u>	<u>(4,546)</u>
Net change in fund balances	<u>(14)</u>	<u>32</u>	<u>1</u>	<u>-</u>	<u>-</u>	<u>7</u>	<u>(11)</u>
Fund balances (deficits), July 1, 2012	33	423	65	-	4	10	442
Decrease for changes in inventories	-	-	-	-	-	-	-
FUND BALANCES (DEFICITS), JUNE 30, 2013	<u>\$ 19</u>	<u>\$ 455</u>	<u>\$ 66</u>	<u>\$ -</u>	<u>\$ 4</u>	<u>\$ 17</u>	<u>\$ 431</u>

State of Illinois
Office of the Secretary of State

**Combining Statement of Revenues,
Expenditures and Changes in Fund Balance -
Non-major Governmental Funds**

For the Year Ended June 30, 2013 (Expressed in Thousands)

	Special Revenue					
	Fraternal Order of Police Fund 0867	St. Jude Children's Research 0899	4-H 0915	Ducks Unlimited 0918	Secretary of State Grant 0948	Secretary of State Antique Vehicle Show 1390
REVENUES						
Federal government	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Licenses and fees	11	-	-	30	-	9
Interest and other investment income	-	-	-	-	-	-
Other charges for services	-	-	-	-	-	-
Other revenues	-	-	-	-	12	-
Other operating grants	-	-	-	-	-	-
Total revenues	<u>11</u>	<u>-</u>	<u>-</u>	<u>30</u>	<u>12</u>	<u>9</u>
EXPENDITURES						
General government	-	-	-	-	31	8
Capital outlays	-	-	-	-	-	-
Total expenditures	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>31</u>	<u>8</u>
Excess (deficiency) of revenues over (under) expenditures	<u>11</u>	<u>-</u>	<u>-</u>	<u>30</u>	<u>(19)</u>	<u>1</u>
OTHER SOURCES (USES) OF FINANCIAL RESOURCES						
Appropriations from State resources	-	-	-	-	-	-
Lapsed appropriations	-	-	-	-	-	-
Receipts collected and transmitted to State Treasury	-	-	-	-	-	-
Transfers-in	-	-	-	-	-	-
Transfers-out	-	-	-	-	-	-
Transfers of administration of funds to other State Agencies	-	-	-	-	-	-
Net other sources (uses) of financial resources	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Net change in fund balances	<u>11</u>	<u>-</u>	<u>-</u>	<u>30</u>	<u>(19)</u>	<u>1</u>
Fund balances (deficits), July 1, 2012	7	3	7	8	628	9
Decrease for changes in inventories	-	-	-	-	-	-
FUND BALANCES (DEFICITS), JUNE 30, 2013	<u>\$ 18</u>	<u>\$ 3</u>	<u>\$ 7</u>	<u>\$ 38</u>	<u>\$ 609</u>	<u>\$ 10</u>

State of Illinois
Office of the Secretary of State

Combining Statement of Revenues,
Expenditures and Changes in Fund Balance -
Non-major Governmental Funds

For the Year Ended June 30, 2013 (Expressed in Thousands)

	Capital Projects		Debt Service	Total
	Capital Development 0141	Build Illinois Bond 0971	General Obligation Bond Retirement and Interest 0101	
REVENUES				
Federal government	\$ -	\$ -	\$ -	\$ 7,482
Licenses and fees	-	-	10	86,545
Interest and other investment income	-	-	-	57
Other charges for services	-	-	-	115
Other revenues	-	-	-	4,512
Other operating grants	-	-	-	2
Total revenues	<u>-</u>	<u>-</u>	<u>10</u>	<u>98,713</u>
EXPENDITURES				
General government	215	220	-	71,567
Capital outlays	609	-	-	1,596
Total expenditures	<u>824</u>	<u>220</u>	<u>-</u>	<u>73,163</u>
Excess (deficiency) of revenues over (under) expenditures	<u>(824)</u>	<u>(220)</u>	<u>10</u>	<u>25,550</u>
OTHER SOURCES (USES) OF FINANCIAL RESOURCES				
Appropriations from State resources	1,736	15,000	-	18,301
Lapsed appropriations	(912)	-	-	(952)
Receipts collected and transmitted to State Treasury	-	-	(10)	(18,494)
Transfers-in	-	-	-	56
Transfers-out	-	-	-	(2,983)
Transfers of administration of funds to other State Agencies	-	-	-	(66)
Net other sources (uses) of financial resources	<u>824</u>	<u>15,000</u>	<u>(10)</u>	<u>(4,138)</u>
Net change in fund balances	<u>-</u>	<u>14,780</u>	<u>-</u>	<u>21,412</u>
Fund balances (deficits), July 1, 2012	-	-	-	122,891
Decrease for changes in inventories	-	-	-	(2,100)
FUND BALANCES (DEFICITS), JUNE 30, 2013	<u>\$ -</u>	<u>\$ 14,780</u>	<u>\$ -</u>	<u>\$ 142,203</u>

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**Combining Statement of Fiduciary Net Position -
Agency Funds**

June 30, 2013 (Expressed in Thousands)

	Safety Responsibility 0436	International Registration Plan 0890	Go-Back 1110	Safekeeping 1344	Total
ASSETS					
Cash equity with State Treasurer	\$ 1,086	\$ 48	\$ -	\$ -	\$ 1,134
Cash and cash equivalents	-	-	-	146	146
Investments	-	-	-	707	707
Total assets	\$ 1,086	\$ 48	\$ -	\$ 853	\$ 1,987
LIABILITIES					
Other liabilities	\$ 1,086	\$ 48	\$ -	\$ 853	\$ 1,987
Total liabilities	\$ 1,086	\$ 48	\$ -	\$ 853	\$ 1,987

State of Illinois
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Combining Statement of Changes in Assets and Liabilities -
Agency Funds

For the Year Ended June 30, 2013 (Expressed in Thousands)

	Balance at June 30, 2012	Additions	Deductions	Balance at June 30, 2013
Safety Responsibility (0436)				
ASSETS				
Cash equity with State Treasurer	\$ 1,231	\$ (145)	\$ -	\$ 1,086
Total assets	\$ 1,231	\$ (145)	\$ -	\$ 1,086
LIABILITIES				
Other liabilities	\$ 1,231	\$ (145)	\$ -	\$ 1,086
Total liabilities	\$ 1,231	\$ (145)	\$ -	\$ 1,086
International Registration Plan (0890)				
ASSETS				
Cash equity with State Treasurer	\$ 4,209	\$ 93,239	\$ 97,400	\$ 48
Total assets	\$ 4,209	\$ 93,239	\$ 97,400	\$ 48
LIABILITIES				
Other liabilities	\$ 4,209	\$ 93,239	\$ 97,400	\$ 48
Total liabilities	\$ 4,209	\$ 93,239	\$ 97,400	\$ 48
Go-Back (1110)				
ASSETS				
Cash and cash equivalents	\$ -	\$ 66	\$ 66	\$ -
Total assets	\$ -	\$ 66	\$ 66	\$ -
LIABILITIES				
Other liabilities	\$ -	\$ 66	\$ 66	\$ -
Total liabilities	\$ -	\$ 66	\$ 66	\$ -
Safekeeping (1344)				
ASSETS				
Cash and cash equivalents	83	81	18	146
Investments	900	505	698	707
Total assets	\$ 983	\$ 586	\$ 716	\$ 853
LIABILITIES				
Other liabilities	\$ 983	\$ 586	\$ 716	\$ 853
Total liabilities	\$ 983	\$ 586	\$ 716	\$ 853
Total - All Agency Funds				
ASSETS				
Cash equity with State Treasurer	\$ 5,440	\$ 93,094	\$ 97,400	\$ 1,134
Cash and cash equivalents	83	147	84	146
Investments	900	505	698	707
Total assets	\$ 6,423	\$ 93,746	\$ 98,182	\$ 1,987
LIABILITIES				
Other liabilities	\$ 6,423	\$ 93,746	\$ 98,182	\$ 1,987
Total liabilities	\$ 6,423	\$ 93,746	\$ 98,182	\$ 1,987

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SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

SUMMARY

Supplementary Information for State Compliance Purposes presented in this section of the report includes the following:

Fiscal Schedules and Analysis

Schedule of Appropriations, Expenditures and Lapsed Balances - Operating
Group by Fund

Fiscal Year 2013

Fiscal Year 2012

Schedule of Appropriations, Expenditures and Lapsed Balances - Total by Fund

Fiscal Year 2013

Fiscal Year 2012

Comparative Schedule of Net Appropriations, Expenditures and Lapsed Balances

Schedule of Expenditures by Major Object Code

Comparative Schedule of Receipts, Disbursements, and Fund Balances

(Cash Basis) - Locally Held Funds

Schedule of Changes in State Property

Year Ended June 30, 2013

Year Ended June 30, 2012

Comparative Schedule of Cash Receipts - By Departments and Source

Reconciliation Schedule of Cash Receipts by Department, Source and Fund
to Deposits Remitted to the State Comptroller

Year Ended June 30, 2013

Year Ended June 30, 2012

Analysis of Significant Variations in Expenditures

Analysis of Significant Variations in Receipts

Analysis of Significant Lapse Period Spending

Year Ended June 30, 2013

Year Ended June 30, 2012

Analysis of Accounts Receivable

State of Illinois
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SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES - CONTINUED

SUMMARY - CONTINUED

Analysis of Operations

- Agency Functions and Planning Program (Unaudited)
- Analysis of Personal Services (Unaudited)
- Accounting Revenue Sales Analysis as Reported by Vehicle Services and Drivers Services (Unaudited)
- Accounting Revenue Sales Analysis as Reported by Vehicle Services and Drivers Services - Volume of Transactions (Unaudited)
- Analysis of Cash Receipts (Unaudited)
- Service Efforts and Accomplishments (Unaudited)

The accountant's report that covers the Supplementary Information for State Compliance Purposes presented in the Compliance Report Section states that it has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in the auditor's opinion, it is fairly stated, in all material respects, in relation to the basic financial statements as a whole from which it has been derived. The accountant's report also states the Analysis of Operations Section has not been subjected to the auditing procedures applied in the audit of the basic financial statements, and accordingly, they do not express an opinion or provide any assurance on it.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2013 - OPERATING GROUP BY FUND
FOURTEEN MONTHS ENDED AUGUST 31, 2013

	Appropriations (Net after Transfers)	Expenditures Through 6/30/2013	Lapse Period Expenditures 7/01 - 8/31/13	Total Expenditures	Reappropriated Balances	Lapsed Balances
<u>Public Acts 097-0725; 97-0726</u>						
<u>APPROPRIATED FUNDS</u>						
Executive Group						
General Revenue Fund - 001	\$ 8,061,042	\$ 7,499,338	\$ 533,307	\$ 8,032,645	\$ -	\$ 28,397
Total Executive Group	<u>8,061,042</u>	<u>7,499,338</u>	<u>533,307</u>	<u>8,032,645</u>	<u>-</u>	<u>28,397</u>
General Administrative Group						
General Revenue Fund - 001	93,787,224	85,296,053	6,611,144	91,907,197	-	1,880,027
Road Fund - 011	2,500,000	2,317,486	181,982	2,499,468	-	532
Motor Fuel Tax Fund - 012	1,300,000	1,300,000	-	1,300,000	-	-
Lobbyist Registration Fund - 044	1,118,300	883,205	2,072	885,277	-	233,023
Registered Limited Liability Partnership Fund - 167	191,300	83,316	4,192	87,508	-	103,792
Securities Audit & Enforcement Fund - 362	13,101,700	8,339,372	391,067	8,730,439	-	4,371,261
Department of Business Services Special Operations Fund -363	9,870,200	8,524,855	7,249	8,532,104	-	1,338,096
Secretary of State Special Services Fund - 483	29,250,000	20,399,163	1,985,498	22,384,661	-	6,865,339
Build Illinois Bond Fund - 971	50,000,000	219,599	-	219,599	49,780,401	-
Other Appropriated Funds	<u>33,730,116</u>	<u>29,290,623</u>	<u>1,300,686</u>	<u>30,591,309</u>	<u>911,239</u>	<u>2,227,568</u>
Total General Administrative Group	<u>234,848,840</u>	<u>156,653,672</u>	<u>10,483,890</u>	<u>167,137,562</u>	<u>50,691,640</u>	<u>17,019,638</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2013 - OPERATING GROUP BY FUND - CONTINUED
FOURTEEN MONTHS ENDED AUGUST 31, 2013

	Appropriations (Net after Transfers)	Expenditures Through 6/30/2013	Lapse Period Expenditures 7/01 - 8/31/13	Total Expenditures	Reappropriated Balances	Lapsed Balances
<u>Public Acts 097-0725; 97-0726</u>						
<u>APPROPRIATED FUNDS - Continued</u>						
Motor Vehicle Group						
General Revenue Fund - 001	\$ 153,459,634	\$ 140,944,569	\$ 8,838,649	\$ 149,783,218	\$ -	\$ 3,676,416
CDLIS/AAMVA Net Trust Fund - 109	900,000	574,727	76,248	650,975	-	249,025
Secretary of State Federal Projects Fund - 176	700,000	52,332	2,096	54,428	-	645,572
Secretary of State Special License Plate Fund - 185	6,950,000	3,818,810	91,005	3,909,815	-	3,040,185
SOS Identity Security & Theft Prevention Fund - 480	8,800,000	1,402,610	20,694	1,423,304	-	7,376,696
Motor Vehicle License Plate Fund - 622	15,561,600	8,631,410	1,422,863	10,054,273	-	5,507,327
Vehicle Inspection Fund - 963	4,011,000	2,929,647	111,413	3,041,060	-	969,940
Other Appropriated Funds	7,114,500	3,998,732	197,969	4,196,701	-	2,917,799
	<u>197,496,734</u>	<u>162,352,837</u>	<u>10,760,937</u>	<u>173,113,774</u>	<u>-</u>	<u>24,382,960</u>
Total Motor Vehicle Group						
TOTAL APPROPRIATED FUNDS	<u>\$ 440,406,616</u>	<u>326,505,847</u>	<u>21,778,134</u>	<u>348,283,981</u>	<u>\$ 50,691,640</u>	<u>\$ 41,430,995</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2013 - OPERATING GROUP BY FUND - CONTINUED
FOURTEEN MONTHS ENDED AUGUST 31, 2013

	Appropriations (Net after Transfers)	Expenditures Through 6/30/2013	Lapse Period Expenditures 7/01 - 8/31/13	Total Expenditures	Reappropriated Balances	Lapsed Balances
<u>Public Acts 097-0725; 97-0726</u>						
<u>NON-APPROPRIATED FUNDS</u>						
General Administration Group						
Interagency Grant Fund - 295	\$ 373,920		\$ 62	\$ 373,982		
Corporate Franchise Tax Refund - 380		106,404	-	106,404		
Total General Administration Group		480,324	62	480,386		
Motor Vehicle Group						
Interagency Grant Fund - 295		1,516,557	161,823	1,678,380		
Safety Responsibility Fund - 436		629,304	-	629,304		
Secretary of State International Registration Plan Fund - 890		97,343,955	48,442	97,392,397		
Total Motor Vehicle Group		99,489,816	210,265	99,700,081		
TOTAL NON-APPROPRIATED FUNDS		99,970,140	210,327	100,180,467		
GRAND TOTAL ALL FUNDS	\$ 426,475,987		\$ 21,988,461	\$ 448,464,448		

Note 1: The above information was taken directly from the records of the State Comptroller, which have been reconciled to those of the Secretary of State.

Note 2: Expenditure amounts are vouchers approved for payment by the Agency and submitted to the State Comptroller for payment to the Vendor.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2012 - OPERATING GROUP BY FUND
EIGHTEEN MONTHS ENDED DECEMBER 31, 2012

	Appropriations (Net after Transfers)	Expenditures Through 6/30/2012	Lapse Period Expenditures 7/01 - 12/31/12	Total Expenditures	Reappropriated Balances	Lapsed Balances
<u>Public Acts 097-0056: 97-0076</u>						
<u>APPROPRIATED FUNDS</u>						
Executive Group						
General Revenue Fund - 001	\$ 6,631,444	\$ 6,011,875	\$ 516,036	\$ 6,527,911	\$ -	\$ 103,533
Total Executive Group	<u>6,631,444</u>	<u>6,011,875</u>	<u>516,036</u>	<u>6,527,911</u>	<u>-</u>	<u>103,533</u>
General Administrative Group						
General Revenue Fund - 001	97,758,344	90,228,199	6,853,837	97,082,036	-	676,308
Road Fund - 011	2,500,000	2,414,605	74,170	2,488,775	-	11,225
Motor Fuel Tax Fund - 012	1,300,000	1,300,000	-	1,300,000	-	-
Lobbyist Registration Fund - 044	1,064,200	897,342	40,632	937,974	-	126,226
Registered Limited Liability Partnership Fund - 167	169,400	128,890	3,299	132,189	-	37,211
Securities Audit & Enforcement Fund - 362	12,786,600	9,641,743	443,663	10,085,406	-	2,701,194
Department of Business Services Special Operations Fund -363	6,622,800	4,790,385	194,396	4,984,781	-	1,638,019
Secretary of State Special Services Fund - 483	29,250,000	20,056,766	2,441,822	22,498,588	-	6,751,412
Build Illinois Bond Fund - 971	50,000,000	-	-	-	50,000,000	-
Other Appropriated Funds	34,411,531	28,816,022	543,967	29,359,989	736,715	4,314,827
Total General Administrative Group	<u>235,862,875</u>	<u>158,273,952</u>	<u>10,595,786</u>	<u>168,869,738</u>	<u>50,736,715</u>	<u>16,256,422</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2012 - OPERATING GROUP BY FUND - CONTINUED
EIGHTEEN MONTHS ENDED DECEMBER 31, 2012

	Appropriations (Net after Transfers)	Expenditures Through 6/30/2012	Lapse Period Expenditures 7/01 - 12/31/12	Total Expenditures	Reappropriated Balances	Lapsed Balances
<u>Public Acts 097-0056; 97-0076</u>						
<u>APPROPRIATED FUNDS - Continued</u>						
Motor Vehicle Group						
General Revenue Fund - 001	\$ 155,886,812	\$ 146,446,399	\$ 7,770,679	\$ 154,217,078	\$ -	\$ 1,669,734
CDLIS/AAMVA Net Trust Fund - 109	1,063,800	608,965	57,476	666,441	-	397,359
Secretary of State Federal Projects Fund - 176	700,000	53,301	-	53,301	-	646,699
Secretary of State Special License Plate Fund - 185	6,950,000	3,436,774	1,269	3,438,043	-	3,511,957
SOS Identity Security & Theft Prevention Fund - 480	15,000,000	2,104,648	399,177	2,503,825	-	12,496,175
Motor Vehicle License Plate Fund - 622	15,561,600	8,625,369	981,756	9,607,125	-	5,954,475
Vehicle Inspection Fund - 963	3,898,200	2,796,437	89,533	2,885,970	-	1,012,230
Other Appropriated Funds	6,965,100	3,874,800	275,671	4,150,471	-	2,814,629
	<u>206,025,512</u>	<u>167,946,693</u>	<u>9,575,561</u>	<u>177,522,254</u>	<u>-</u>	<u>28,503,258</u>
Total Motor Vehicle Group						
TOTAL APPROPRIATED FUNDS	<u>\$ 448,519,831</u>	<u>332,232,520</u>	<u>20,687,383</u>	<u>352,919,903</u>	<u>\$ 50,736,715</u>	<u>\$ 44,863,213</u>

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SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2012 - OPERATING GROUP BY FUND - CONTINUED
EIGHTEEN MONTHS ENDED DECEMBER 31, 2012

	Appropriations (Net after Transfers)	Expenditures Through 6/30/2012	Lapse Period Expenditures 7/01 - 12/31/12	Total Expenditures	Reappropriated Balances	Lapsed Balances
<u>Public Acts 097-0056; 97-0076</u>						
<u>NON-APPROPRIATED FUNDS</u>						
General Administration Group						
Interagency Grant Fund - 295		\$ 19,292	\$ 52,303	\$ 71,595		
Corporate Franchise Tax Refund - 380		996,425	-	996,425		
Total General Administration Group		<u>1,015,717</u>	<u>52,303</u>	<u>1,068,020</u>		
Motor Vehicle Group						
Interagency Grant Fund - 295		1,476,780	225,550	1,702,330		
Safety Responsibility Fund - 436		641,148	(1,390)	639,758		
Secretary of State International Registration Plan Fund - 890		97,423,698	56,493	97,480,191		
Total Motor Vehicle Group		<u>99,541,626</u>	<u>280,653</u>	<u>99,822,279</u>		
TOTAL NON-APPROPRIATED FUNDS		<u>100,557,343</u>	<u>332,956</u>	<u>100,890,299</u>		
GRAND TOTAL ALL FUNDS		<u>\$ 432,789,863</u>	<u>\$ 21,020,339</u>	<u>\$ 453,810,202</u>		

Note 1: The above information was taken directly from the records of the State Comptroller, which have been reconciled to those of the Secretary of State.

Note 2: Expenditure amounts are vouchers approved for payment by the Agency and submitted to the State Comptroller for payment to the Vendor.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2013 - TOTAL BY FUND
FOURTEEN MONTHS ENDED AUGUST 31, 2013

	Appropriations (Net after Transfers)	Expenditures Through 06/30/13	Lapse Period Expenditures 7/01 - 8/31/13	Total Expenditures	Reappropriated Balances	Lapsed Balances
<u>Public Acts 097-0725; 97-0726</u>						
<u>APPROPRIATED FUNDS</u>						
General Revenue Fund - 001	\$ 255,307,900	\$ 233,739,960	\$ 15,983,100	\$ 249,723,060	\$ -	\$ 5,584,840
Road Fund - 011	2,500,000	2,317,486	181,982	2,499,468	-	532
Motor Fuel Tax Fund - 012	1,300,000	1,300,000	-	1,300,000	-	-
Live & Learn Fund - 026	20,900,000	20,481,123	132,930	20,614,053	-	285,947
Lobbyist Registration Fund - 044	1,118,300	883,205	2,072	885,277	-	233,023
Accessible Electronic Information Service Fund - 106	77,000	42,056	14,019	56,075	-	20,925
CDLIS/AAMVA Net Trust Fund - 109	900,000	574,727	76,248	650,975	-	249,025
Capital Development Fund - 141	1,736,716	825,477	-	825,477	911,239	-
Registered Limited Liability Partnership Fund - 167	191,300	83,316	4,192	87,508	-	103,792
Secretary of State Federal Projects Fund - 176	700,000	52,332	2,096	54,428	-	645,572
Secretary of State Special License Plate Fund - 185	7,000,000	3,868,810	91,005	3,959,815	-	3,040,185
Securities Investor Education Fund - 292	1,291,100	303,710	813,964	1,117,674	-	173,426
Family Responsibility Fund - 322	200,000	26,232	4,399	30,631	-	169,369
Motor Vehicle Review Board Fund - 323	354,500	248,480	15,544	264,024	-	90,476
Securities Audit & Enforcement Fund - 362	13,101,700	8,339,372	391,067	8,730,439	-	4,371,261
Department of Business Services Special Operations Fund -363	9,870,200	8,524,855	7,249	8,532,104	-	1,338,096
Secretary of State Evidence Fund - 374	5,000	1,365	-	1,365	-	3,635
Alternative Fuels Fund - 422	225,000	225,000	-	225,000	-	-
Indigent BAIID Fund - 451	500,000	142,483	48,910	191,393	-	308,607
MDDP Administration Fund - 453	3,000,000	1,427,531	11,599	1,439,130	-	1,560,870
Rotary Club Fund - 454	5,000	1,250	3,750	5,000	-	-
Autism Awareness Fund - 458	10,000	-	-	-	-	10,000
Ovarian Cancer Awareness Fund - 459	10,000	10,000	-	10,000	-	-
Illinois Professional Golfers Association Junior Golf - 463	50,000	37,500	12,500	50,000	-	-

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2013 - TOTAL BY FUND - CONTINUED
FOURTEEN MONTHS ENDED AUGUST 31, 2013

	Appropriations (Net after Transfers)	Expenditures Through 06/30/13	Lapse Period Expenditures 7/01 - 8/31/13	Total Expenditures	Reappropriated Balances	Lapsed Balances
<u>Public Acts 097-0725; 97-0726</u>						
<u>APPROPRIATED FUNDS - Continued</u>						
Boy Scout and Girl Scout Fund - 464	\$ 20,000	\$ 3,250	\$ -	\$ 3,250	\$ -	\$ 16,750
Agriculture in the Classroom Fund - 466	70,000	52,500	17,500	70,000	-	-
Sheet Metal Workers Intl Fund - 468	3,000	-	-	-	-	3,000
Library Services Fund - 470	7,000,000	6,633,712	110,374	6,744,086	-	255,914
State Library Fund - 471	24,300	2,567	1,250	3,817	-	20,483
SOS Identity Security & Theft Prevention Fund - 480	8,800,000	1,402,610	20,694	1,423,304	-	7,376,696
Secretary of State Special Services Fund - 483	29,250,000	20,399,163	1,985,498	22,384,661	-	6,865,339
Support Our Troops - 496	15,000	-	-	-	-	15,000
Master Mason Fund - 508	40,000	30,000	10,000	40,000	-	-
Illinois Pan Hellenic Trust Fund - 584	75,000	40,032	-	40,032	-	34,968
Park District Youth Program Fund - 585	35,000	26,250	8,750	35,000	-	-
Professional Sports Team Education Fund - 587	700,000	-	-	-	-	700,000
Illinois Route 66 Heritage Project Fund - 594	130,000	130,000	-	130,000	-	-
Police Memorial Committee Fund - 598	200,000	150,000	50,000	200,000	-	-
Mammogram Fund - 599	140,000	105,000	35,000	140,000	-	-
Motor Vehicle License Plate Fund - 622	15,561,600	8,631,410	1,422,863	10,054,273	-	5,507,327
Chicago Police Memorial Foundation - 639	10,000	10,000	-	10,000	-	-
IL Police Association Fund - 655	40,000	40,000	-	40,000	-	-
Octave Chanute Aero Heritage - 662	5,000	-	-	-	-	5,000
Organ Donor Awareness Fund - 716	225,000	168,750	56,250	225,000	-	-
Secretary of State DUI Administration Fund - 732	2,500,000	1,572,982	112,756	1,685,738	-	814,262

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2013 - TOTAL BY FUND - CONTINUED
FOURTEEN MONTHS ENDED AUGUST 31, 2013

	Appropriations (Net after Transfers)	Expenditures Through 06/30/13	Lapse Period Expenditures 7/01 - 8/31/13	Total Expenditures	Reappropriated Balances	Lapsed Balances
<u>Public Acts 097-0725; 97-0726</u>						
<u>APPROPRIATED FUNDS - Continued</u>						
Secretary of State Police DUI Fund - 758	\$ 30,000	\$ 23,476	\$ -	\$ 23,476	\$ -	\$ 6,524
Secretary of State Police Services Fund - 759	500,000	357,415	9,160	366,575	-	133,425
U.S. Marine Corps Scholarship Fund - 760	100,000	75,000	25,000	100,000	-	-
State Parking Facility Maintenance Fund - 782	40,000	-	-	-	-	40,000
IL EMS Memorial Scholarship Fund - 800	5,000	-	-	-	-	5,000
International Brotherhood of Teamsters Fund - 803	3,000	-	-	-	-	3,000
Share the Road Fund - 854	20,000	15,000	5,000	20,000	-	-
Secretary of State Grant Fund - 948	500,000	31,214	-	31,214	-	468,786
Vehicle Inspection Fund - 963	4,011,000	2,929,647	111,413	3,041,060	-	969,940
Build Illinois Bond Fund - 971	50,000,000	219,599	-	219,599	49,780,401	-
TOTAL APPROPRIATED FUNDS	\$ 440,406,616	326,505,847	21,778,134	348,283,981	\$ 50,691,640	\$ 41,430,995
<u>NON-APPROPRIATED FUNDS</u>						
Interagency Grant Fund - 295		1,890,477	161,885	2,052,362		
Corporate Franchise Tax Refund - 380		106,404	-	106,404		
Safety Responsibility Fund - 436		629,304	-	629,304		
Secretary of State International Registration Plan Fund - 890		97,343,955	48,442	97,392,397		
TOTAL NON-APPROPRIATED FUNDS		99,970,140	210,327	100,180,467		
GRAND TOTAL		\$ 426,475,987	\$ 21,988,461	\$ 448,464,448		

Note: The above information was taken directly from the records of the State Comptroller, which have been reconciled to those of the Secretary of State.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2012 - TOTAL BY FUND
EIGHTEEN MONTHS ENDED DECEMBER 31, 2012

	Appropriations (Net after Transfers)	Expenditures Through 06/30/12	Lapse Period Expenditures 7/01 - 12/31/12	Total Expenditures	Reappropriated Balances	Lapsed Balances
<u>Public Acts 097-0056; 97-0076</u>						
<u>APPROPRIATED FUNDS</u>						
General Revenue Fund - 001	\$ 260,276,600	\$ 242,686,473	\$ 15,140,552	\$ 257,827,025	\$ -	\$ 2,449,575
Road Fund - 011	2,500,000	2,414,605	74,170	2,488,775	-	11,225
Motor Fuel Tax Fund - 012	1,300,000	1,300,000	-	1,300,000	-	-
Live & Learn Fund - 026	20,900,000	20,135,608	335,452	20,471,060	-	428,940
Lobbyist Registration Fund - 044	1,064,200	897,342	40,632	937,974	-	126,226
Accessible Electronic Information Service Fund - 106	77,000	40,517	13,306	53,823	-	23,177
CDLIS/AAMVA Net Trust Fund - 109	1,063,800	608,965	57,476	666,441	-	397,359
Capital Development Fund - 141	1,918,731	1,182,016	-	1,182,016	736,715	-
Registered Limited Liability Partnership Fund - 167	169,400	128,890	3,299	132,189	-	37,211
Secretary of State Federal Projects Fund - 176	700,000	53,301	-	53,301	-	646,699
Secretary of State Special License Plate Fund - 185	7,000,000	3,486,774	(4,283)	3,482,491	-	3,517,509
Securities Investor Education Fund - 292	2,333,500	186,585	3,284	189,869	-	2,143,631
Motor Vehicle Review Board Fund - 323	355,100	306,392	26,373	332,765	-	22,335
Securities Audit & Enforcement Fund - 362	12,786,600	9,641,743	443,663	10,085,406	-	2,701,194
Department of Business Services Special Operations Fund -363	6,622,800	4,790,385	194,396	4,984,781	-	1,638,019
Secretary of State Evidence Fund - 374	5,000	4,016	-	4,016	-	984
Alternative Fuels Fund - 422	225,000	190,000	-	190,000	-	35,000
Indigent BAID Fund - 451	500,000	144,967	52,321	197,288	-	302,712
MDDP Administration Fund - 453	3,000,000	1,501,389	70,390	1,571,779	-	1,428,221
Rotary Club Fund - 454	5,000	3,750	1,250	5,000	-	-
Autism Awareness Fund - 458	5,000	-	-	-	-	5,000
Ovarian Cancer Awareness Fund - 459	5,000	5,000	-	5,000	-	-
Illinois Professional Golfers Association Junior Golf - 463	35,000	35,000	-	35,000	-	-

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2012 - TOTAL BY FUND - CONTINUED
EIGHTEEN MONTHS ENDED DECEMBER 31, 2012

	Appropriations (Net after Transfers)	Expenditures Through 06/30/12	Lapse Period Expenditures 7/01 - 12/31/12	Total Expenditures	Reappropriated Balances	Lapsed Balances
<u>Public Acts 097-0056: 97-0076</u>						
<u>APPROPRIATED FUNDS - Continued</u>						
Boy Scout and Girl Scout Fund - 464	\$ 10,000	\$ -	\$ 5,875	\$ 5,875	\$ -	\$ 4,125
Agriculture in the Classroom Fund - 466	60,000	45,000	15,000	60,000	-	-
Sheet Metal Workers Intl Fund - 468	3,000	-	-	-	-	3,000
Library Services Fund - 470	7,000,000	5,851,321	101,779	5,953,100	-	1,046,900
State Library Fund - 471	24,300	5,934	632	6,566	-	17,734
SOS Identity Security & Theft Prevention Fund - 480	15,000,000	2,104,648	399,177	2,503,825	-	12,496,175
Secretary of State Special Services Fund - 483	29,250,000	20,056,766	2,441,822	22,498,588	-	6,751,412
Franchise Tax Amnesty Admin Fund - 490	-	-	-	-	-	-
Support Our Troops - 496	5,000	-	-	-	-	5,000
Master Mason Fund - 508	50,000	37,500	10,445	47,945	-	2,055
Illinois Pan Hellenic Trust Fund - 584	75,000	60,411	-	60,411	-	14,589
Park District Youth Program Fund - 585	35,000	26,250	8,750	35,000	-	-
Professional Sports Team Education Fund - 587	500,000	400,000	-	400,000	-	100,000
Illinois Route 66 Heritage Project Fund - 594	110,000	110,000	-	110,000	-	-
Police Memorial Committee Fund - 598	200,000	200,000	-	200,000	-	-
Mammogram Fund - 599	140,000	105,000	35,000	140,000	-	-
Motor Vehicle License Plate Fund - 622	15,561,600	8,625,369	981,756	9,607,125	-	5,954,475
IL Police Association Fund - 655	30,000	30,000	-	30,000	-	-
Organ Donor Awareness Fund - 716	200,000	200,000	-	200,000	-	-
Secretary of State DUI Administration Fund - 732	2,500,000	1,428,506	121,410	1,549,916	-	950,084
Chicago and Northeast Illinois District Council Of Carpenters Fund - 756	500	-	-	-	-	500

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2012 - TOTAL BY FUND - CONTINUED
EIGHTEEN MONTHS ENDED DECEMBER 31, 2012

	Appropriations (Net after Transfers)	Expenditures Through 06/30/12	Lapse Period Expenditures 7/01 - 12/31/12	Total Expenditures	Reappropriated Balances	Lapsed Balances
Public Acts 097-0056: 97-0076						
<u>APPROPRIATED FUNDS - Continued</u>						
Secretary of State Police DUI Fund - 758	\$ 30,000	\$ 16,812	\$ -	\$ 16,812	\$ -	\$ 13,188
Secretary of State Police Services Fund - 759	350,000	282,716	5,178	287,894	-	62,106
U.S. Marine Corps Scholarship Fund - 760	70,000	52,500	17,500	70,000	-	-
State Parking Facility Maintenance Fund - 782	40,000	-	-	-	-	40,000
IL EMS Memorial Scholarship Fund - 800	5,000	-	-	-	-	5,000
International Brotherhood of Teamsters Fund - 803	4,000	2,475	-	2,475	-	1,525
United Auto Workers' Fund - 804	500	-	245	245	-	255
Share the Road Fund - 805	20,000	20,000	-	20,000	-	-
Secretary of State Grant Fund - 948	500,000	31,157	1,000	32,157	-	467,843
Vehicle Inspection Fund - 963	3,898,200	2,796,437	89,533	2,885,970	-	1,012,230
Build Illinois Bond Fund - 971	50,000,000	-	-	-	50,000,000	-
TOTAL APPROPRIATED FUNDS	\$ 448,519,831	332,232,520	20,687,383	352,919,903	\$ 50,736,715	\$ 44,863,213
<u>NON-APPROPRIATED FUNDS</u>						
Interagency Grant Fund - 295		1,496,072	277,853	1,773,925		
Corporate Franchise Tax Refund - 380		996,425	-	996,425		
Safety Responsibility Fund - 436		641,148	(1,390)	639,758		
Secretary of State International Registration Plan Fund - 890		97,423,698	56,493	97,480,191		
TOTAL NON-APPROPRIATED FUNDS		100,557,343	332,956	100,890,299		
GRAND TOTAL		\$ 432,789,863	\$ 21,020,339	\$ 453,810,202		

Note: The above information was taken directly from the records of the State Comptroller, which have been reconciled to those of the Secretary of State.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES

	Fiscal Year		
	2013	2012	2011
	P.A. 97-0726, 97-0725	P.A. 97-0056, 97-0076	P.A. 96-0956, 96-0957
EXECUTIVE GROUP:			
General Revenue Fund - 001:			
Appropriations (Net After Transfers)	\$ 7,061,042	\$ 6,631,444	\$ -
Expenditures:			
Personal Services:			
Regular Positions	5,561,855	5,302,615	-
Employee Retirement Contributions	111,339	107,146	-
Extra Help	54,680	56,576	-
Social Security Contributions	402,189	378,158	-
Contractual Services	645,564	431,441	-
Travel	45,672	30,118	-
Printing	6,319	1,701	-
Commodities	24,840	39,159	-
Equipment	86,391	83,908	-
Telecommunications	93,796	97,089	-
Total Expenditures	<u>7,032,645</u>	<u>6,527,911</u>	<u>-</u>
Lapsed Balances	<u>\$ 28,397</u>	<u>\$ 103,533</u>	<u>\$ -</u>
General Revenue Fund - 001:			
Appropriations (Net After Transfers)	\$ 1,000,000	\$ -	\$ 260,276,600
Expenditures:			
Operational Expenses	-	-	243,287,581
Constitutional Amendment	1,000,000	-	-
Operational, awards, grants, and permanent improvements	-	-	15,634,860
Total Expenditures	<u>1,000,000</u>	<u>-</u>	<u>258,922,441</u>
Lapsed Balances	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 1,354,159</u>
EXECUTIVE GROUP TOTALS:			
Appropriations (Net After Transfers)	\$ 8,061,042	6,631,444	\$ 260,276,600
Total Expenditures	<u>8,032,645</u>	<u>6,527,911</u>	<u>258,922,441</u>
Lapsed Balances	<u>\$ 28,397</u>	<u>\$ 103,533</u>	<u>\$ 1,354,159</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2013 P.A. 97-0726, 97-0725	2012 P.A. 97-0056, 97-0076	2011 P.A. 96-0956, 96-0957
GENERAL ADMINISTRATIVE GROUP:			
General Revenue Fund - 001:			
Appropriations (Net After Transfers)	\$ 78,457,624	\$ 81,665,544	\$ -
Expenditures:			
Personal Services:			
Regular Positions	50,491,910	54,584,213	-
Extra Help	497,650	711,896	-
Employee Retirement Contributions	1,009,102	1,089,435	-
Social Security Contributions	3,868,215	4,175,194	-
Contractual Services	17,020,409	16,964,052	-
Travel	84,615	98,262	-
Commodities	887,341	880,893	-
Printing	445,292	356,706	-
Equipment	1,595,428	1,477,578	-
Telecommunications	262,109	275,074	-
Operation of Auto Equipment	429,549	433,790	-
Refund of Fees and Taxes	11,462	12,877	-
Total Expenditures	76,603,082	81,059,970	-
Lapsed Balances	\$ 1,854,542	\$ 605,574	\$ -
General Revenue Fund - 001:			
Establishment grants, annual per capita and area grants, and equalization grants pursuant to Section 8 of the Illinois Library System Act:			
Appropriations (Net After Transfers)	\$ 8,782,400	\$ 8,782,400	\$ -
Expenditures	8,782,399	8,781,560	-
Lapsed Balances	\$ 1	\$ 840	\$ -
Annual library technology:			
Appropriations (Net After Transfers)	\$ 35,000	\$ 35,000	\$ -
Expenditures	30,452	15,295	-
Lapsed Balances	\$ 4,548	\$ 19,705	\$ -

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2013 P.A. 97-0726, 97-0725	2012 P.A. 97-0056, 97-0076	2011 P.A. 96-0956, 96-0957
Library services for the blind and physically handicapped:			
Appropriations (Net After Transfers)	\$ 865,400	\$ 865,400	\$ -
Expenditures	858,814	848,859	-
Lapsed Balances	\$ 6,586	\$ 16,541	\$ -
Tuition and fees for Illinois Regional Archival Depository system interns:			
Appropriations (Net After Transfers)	\$ -	\$ 45,000	\$ -
Expenditures	-	45,000	-
Lapsed Balances	\$ -	\$ -	\$ -
Literacy:			
Appropriations (Net After Transfers)	\$ 3,718,300	\$ 3,718,300	\$ -
Expenditures	3,704,164	3,692,333	-
Lapsed Balances	\$ 14,136	\$ 25,967	\$ -
Annual per capita - school district:			
Appropriations (Net After Transfers)	\$ 214,700	\$ 214,700	\$ -
Expenditures	214,683	214,699	-
Lapsed Balances	\$ 17	\$ 1	\$ -
Miscellaneous permanent improvements - buildings:			
Appropriations (Net After Transfers)	\$ 425,000	\$ 425,000	\$ -
Expenditures	424,803	419,063	-
Lapsed Balances	\$ 197	\$ 5,937	\$ -
Chicago Public Library:			
Appropriations (Net After Transfers)	\$ 1,288,800	\$ 1,432,000	\$ -
Expenditures	1,288,800	1,432,000	-
Lapsed Balances	\$ -	\$ -	\$ -
Severns Summer Family Literacy:			
Appropriations (Net After Transfers)	\$ -	\$ 250,000	\$ -
Expenditures	-	248,257	-
Lapsed Balances	\$ -	\$ 1,743	\$ -

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2013	2012	2011
	P.A. 97-0726, 97-0725	P.A. 97-0056, 97-0076	P.A. 96-0956, 96-0957
Project Next Generation Program:			
Appropriations (Net After Transfers)	\$ -	\$ 325,000	\$ -
Expenditures	-	325,000	-
Lapsed Balances	\$ -	\$ -	\$ -
General Revenue Fund - 001 Totals:			
Appropriations (Net After Transfers)	\$ 93,787,224	\$ 97,758,344	\$ -
Expenditures	91,907,197	97,082,036	-
Lapsed Balances	\$ 1,880,027	\$ 676,308	\$ -
Road Fund - 011:			
Appropriations (Net After Transfers)	\$ 2,500,000	\$ 2,500,000	\$ 2,284,200
Expenditures:			
Refund of Fees and Taxes	2,499,468	2,488,775	2,283,513
Total Expenditures	2,499,468	2,488,775	2,283,513
Lapsed Balances	\$ 532	\$ 11,225	\$ 687
Motor Fuel Tax Fund - 012:			
Appropriations (Net After Transfers)	\$ 1,300,000	\$ 1,300,000	\$ 1,300,000
Expenditures:			
Contractual Services	1,300,000	1,300,000	1,300,000
Lapsed Balances	\$ -	\$ -	\$ -

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2013	2012	2011
	P.A. 97-0726, 97-0725	P.A. 97-0056, 97-0076	P.A. 96-0956, 96-0957
Lobbyist Registration Fund - 044:			
Appropriations (Net After Transfers)	\$ 1,118,300	\$ 1,064,200	\$ 586,900
Expenditures:			
Personal Services:			
Regular Positions	467,753	498,573	327,437
Employee Retirement Contributions	9,376	9,984	6,561
Group Insurance	140,643	119,684	75,591
State Retirement Fund Contributions	178,100	170,919	91,818
Social Security Contributions	36,187	41,369	25,804
Contractual Services	39,667	78,789	40,006
Travel	1,582	3,817	1,086
Commodities	2,272	2,000	750
Printing	-	3,820	2,500
Equipment	4,178	3,513	-
Telecommunications	5,519	5,506	3,413
Total Expenditures	885,277	937,974	574,966
Lapsed Balances	\$ 233,023	\$ 126,226	\$ 11,934
Lobbyist Registration Fund - 044:			
Administering the Lobbyist Registration Act and the Secretary of State Act per PA 96-0555:			
Appropriations (Net After Transfers)	\$ -	\$ -	\$ 300,000
Expenditures	-	-	298,914
Lapsed Balances	\$ -	\$ -	\$ 1,086
Lobbyist Registration Fund - 044:			
Appropriations (Net After Transfers)	\$ 1,118,300	\$ 1,064,200	\$ 886,900
Expenditures	885,277	937,974	873,880
Lapsed Balances	\$ 233,023	\$ 126,226	\$ 13,020
Registered Limited Liability Partnership Fund - 167:			
Appropriations (Net After Transfers)	\$ 191,300	\$ 169,400	\$ 145,500
Expenditures:			
Personal Services:			
Regular Positions	42,504	73,366	60,400
Employee Retirement Contributions	850	1,372	1,208
Group Insurance	23,745	25,699	14,406

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2013 P.A. 97-0726, 97-0725	2012 P.A. 97-0056, 97-0076	2011 P.A. 96-0956, 96-0957
State Retirement Fund Contributions	\$ 16,146	\$ 25,159	\$ 16,912
Social Security Contributions	3,101	5,438	4,541
Contractual Services	298	276	496
Commodities	864	879	601
 Total Expenditures	 87,508	 132,189	 98,564
Lapsed Balances	\$ 103,792	\$ 37,211	\$ 46,936
 Securities Audit and Enforcement Fund - 362:			
Appropriations (Net After Transfers)	\$ 13,101,700	\$ 12,786,600	\$ 12,425,300
Expenditures:			
Personal Services:			
Regular Positions	4,097,381	5,100,743	3,404,022
Employee Retirement Contributions	85,686	104,853	70,545
Extra Help	6,743	25,211	7,615
Group Insurance	1,437,562	1,314,909	768,553
State Retirement Fund Contributions	1,560,664	1,757,086	955,921
Social Security Contributions	277,579	352,191	240,376
Contractual Services	1,013,455	1,160,036	1,183,498
Travel	6,222	8,721	11,951
Commodities	6,032	10,550	7,746
Printing	-	1,630	-
Equipment	16,398	19,210	853
Telecommunications	30,218	37,766	39,525
Operation of Auto Equipment	192,499	192,500	175,000
 Total Expenditures	 8,730,439	 10,085,406	 6,865,605
Lapsed Balances	\$ 4,371,261	\$ 2,701,194	\$ 5,559,695
 Department of Business Services			
Special Operations Fund - 363:			
Appropriations (Net After Transfers)	\$ 9,870,200	\$ 6,622,800	\$ 7,244,800
Expenditures:			
Personal Services:			
Regular Positions	4,274,311	2,448,151	1,704,990
Employee Retirement Contributions	84,953	48,327	34,032
Group Insurance	1,440,280	663,360	420,997
State Retirement Fund Contributions	1,627,046	839,492	477,813
Social Security Contributions	318,193	181,521	125,836

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2013	2012	2011
	P.A. 97-0726, 97-0725	P.A. 97-0056, 97-0076	P.A. 96-0956, 96-0957
Contractual Services	\$ 588,778	\$ 608,575	\$ 1,296,680
Travel	3,144	3,422	2,354
Commodities	8,263	8,213	6,781
Printing	42,736	28,977	36,738
Equipment	2,973	12,597	-
Telecommunications	47,927	48,646	48,959
Operation of Auto Equipment	93,500	93,500	85,000
Total Expenditures	8,532,104	4,984,781	4,240,180
Lapsed Balances	\$ <u>1,338,096</u>	\$ <u>1,638,019</u>	\$ <u>3,004,620</u>
Secretary of State Special Services Fund - 483:			
Appropriations (Net After Transfers)	\$ <u>29,250,000</u>	\$ <u>29,250,000</u>	\$ <u>29,250,000</u>
Expenditures:			
Electronic Data Processing	8,660,690	8,537,179	8,267,653
Office Automation & Technology	10,529,708	10,909,320	9,871,517
Promote & Improve Interlibrary Cooperation	217,512	170,800	225,337
Library Technology	1,588,577	1,585,359	1,597,548
Support & Expansion of Family Literacy	1,299,987	1,295,930	1,300,000
CDLIS Modernization Grant	-	-	153,374
CDL Improvement Grant	88,187	-	69,885
Total Expenditures	22,384,661	22,498,588	21,485,314
Lapsed Balances	\$ <u>6,865,339</u>	\$ <u>6,751,412</u>	\$ <u>7,764,686</u>
Live and Learn Fund - 026:			
Annual per capita grants to school:			
Appropriations (Net After Transfers)	\$ <u>1,145,000</u>	\$ <u>1,145,000</u>	\$ <u>1,145,000</u>
Expenditures	1,144,905	1,144,498	1,144,589
Lapsed Balances	\$ <u>95</u>	\$ <u>502</u>	\$ <u>411</u>
Local library per capita:			
Appropriations (Net After Transfers)	\$ <u>16,004,200</u>	\$ <u>16,004,200</u>	\$ <u>16,004,200</u>
Expenditures	16,004,200	16,003,490	16,004,172
Lapsed Balances	\$ <u>-</u>	\$ <u>710</u>	\$ <u>28</u>

STATE OF ILLINOIS
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COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2013 P.A. 97-0726, 97-0725	2012 P.A. 97-0056, 97-0076	2011 P.A. 96-0956, 96-0957
System automation grants:			
Appropriations (Net After Transfers)	\$ 274,000	\$ 274,000	\$ 274,000
Expenditures	274,000	196,377	69,013
Lapsed Balances	\$ -	\$ 77,623	\$ 204,987
Research and reference:			
Appropriations (Net After Transfers)	\$ 306,000	\$ 306,000	\$ 306,000
Expenditures	305,984	298,848	306,000
Lapsed Balances	\$ 16	\$ 7,152	\$ -
Blind and physically handicapped:			
Appropriations (Net After Transfers)	\$ 300,000	\$ 300,000	\$ 300,000
Expenditures	299,861	294,420	287,247
Lapsed Balances	\$ 139	\$ 5,580	\$ 12,753
Construction:			
Appropriations (Net After Transfers)	\$ 620,800	\$ 620,800	\$ 810,800
Expenditures	620,800	620,800	810,800
Lapsed Balances	\$ -	\$ -	\$ -
Family literacy:			
Appropriations (Net After Transfers)	\$ 500,000	\$ 500,000	\$ 500,000
Expenditures	499,786	499,432	500,000
Lapsed Balances	\$ 214	\$ 568	\$ -
Organ donor:			
Appropriations (Net After Transfers)	\$ 1,750,000	\$ 1,750,000	\$ 1,750,000
Expenditures	1,464,517	1,413,195	1,226,134
Lapsed Balances	\$ 285,483	\$ 336,805	\$ 523,866
Live and Learn Fund - 026 Totals:			
Appropriations (Net After Transfers)	\$ 20,900,000	\$ 20,900,000	\$ 21,090,000
Expenditures	20,614,053	20,471,060	20,347,955
Lapsed Balances	\$ 285,947	\$ 428,940	\$ 742,045
Accessible Electronic Information Service Fund - 106			
Appropriations (Net After Transfers)	\$ 77,000	\$ 77,000	\$ 77,000
Expenditures	56,075	53,822	70,299
Lapsed Balances	\$ 20,925	\$ 23,178	\$ 6,701

STATE OF ILLINOIS
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COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2013 P.A. 97-0726, 97-0725	2012 P.A. 97-0056, 97-0076	2011 P.A. 96-0956, 96-0957
Capital Development Fund - 141:			
Rehabilitation of Various Facilities:			
Appropriations (Net After Transfers)	\$ 1,736,716	\$ 1,918,731	\$ 1,818,350
Expenditures	825,477	1,182,016	474,619
Reappropriations	911,239	736,715	1,343,731
Lapsed Balances	\$ -	\$ -	\$ -
Secretary of State Special			
License Plate Fund - 185:			
Illinois Veterans Home Libraries:			
Appropriations (Net After Transfers)	\$ 50,000	\$ 50,000	\$ 50,000
Expenditures	50,000	44,448	50,000
Lapsed Balances	\$ -	\$ 5,552	\$ -
Securities Investors' Education Fund - 292:			
Appropriations (Net After Transfers)	\$ 1,291,100	\$ 2,333,500	\$ 2,333,500
Expenditures	1,117,674	189,869	158,696
Lapsed Balances	\$ 173,426	\$ 2,143,631	\$ 2,174,804
Rotary Club - 454:			
Appropriations (Net After Transfers)	\$ 5,000	\$ 5,000	\$ 5,000
Expenditures	5,000	5,000	5,000
Lapsed Balances	\$ -	\$ -	\$ -
Autism Awareness - 458:			
Appropriations (Net After Transfers)	\$ 10,000	\$ 5,000	\$ -
Expenditures	-	-	-
Lapsed Balances	\$ 10,000	\$ 5,000	\$ -

STATE OF ILLINOIS
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COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2013 P.A. 97-0726, 97-0725	2012 P.A. 97-0056, 97-0076	2011 P.A. 96-0956, 96-0957
Ovarian Cancer Awareness - 459:			
Appropriations (Net After Transfers)	\$ 10,000	\$ 5,000	\$ 5,000
Expenditures	10,000	5,000	5,000
Lapsed Balances	\$ -	\$ -	\$ -
IL Professional Golfers Assn. Foundation- 463:			
Appropriations (Net After Transfers)	\$ 50,000	\$ 35,000	\$ 25,000
Expenditures	50,000	35,000	25,000
Lapsed Balances	\$ -	\$ -	\$ -
Boy Scouts and Girl Scouts - 464			
Appropriations (Net After Transfers)	\$ 20,000	\$ 10,000	\$ 5,000
Expenditures	3,250	5,875	3,975
Lapsed Balances	\$ 16,750	\$ 4,125	\$ 1,025
Agriculture In The Classroom - 466			
Appropriations (Net After Transfers)	\$ 70,000	\$ 60,000	\$ 50,000
Expenditures	70,000	60,000	50,000
Lapsed Balances	\$ -	\$ -	\$ -
Sheet Metal Workers - 468:			
Appropriations (Net After Transfers)	\$ 3,000	\$ 3,000	\$ 3,000
Expenditures	-	-	-
Lapsed Balances	\$ 3,000	\$ 3,000	\$ 3,000
Library Services Fund - 470:			
Secretary of State for library services pursuant to the Federal Library Services and Construction Act, P.L. 84-594 and P.L. 104-208, as amended, Title IA-0000:			
Appropriations (Net After Transfers)	\$ 7,000,000	\$ 7,000,000	\$ 7,000,000
Expenditures	6,744,087	5,953,099	5,138,189
Lapsed Balances	\$ 255,913	\$ 1,046,901	\$ 1,861,811
State Library Fund - 471:			
Appropriations (Net After Transfers)	\$ 24,300	\$ 24,300	\$ 12,000
Expenditures	3,817	6,567	4,873
Lapsed Balances	\$ 20,483	\$ 17,733	\$ 7,127

STATE OF ILLINOIS
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COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2013 P.A. 97-0726, 97-0725	2012 P.A. 97-0056, 97-0076	2011 P.A. 96-0956, 96-0957
Support our Troops - 496:			
Appropriations (Net After Transfers)	\$ 15,000	\$ 5,000	\$ 5,000
Expenditures	-	-	-
Lapsed Balances	<u>\$ 15,000</u>	<u>\$ 5,000</u>	<u>\$ 5,000</u>
Master Mason Fund - 508:			
Masonic Foundation Grants:			
Appropriations (Net After Transfers)	\$ 40,000	\$ 50,000	\$ 50,000
Expenditures	40,000	47,945	50,000
Lapsed Balances	<u>\$ -</u>	<u>\$ 2,055</u>	<u>\$ -</u>
Illinois Pan Hellenic Trust Fund - 584:			
Appropriations (Net After Transfers)	\$ 75,000	\$ 75,000	\$ 50,000
Expenditures	40,032	60,411	28,304
Lapsed Balances	<u>\$ 34,968</u>	<u>\$ 14,589</u>	<u>\$ 21,696</u>
Park District Youth Program Fund - 585:			
Appropriations (Net After Transfers)	\$ 35,000	\$ 35,000	\$ 25,000
Expenditures	35,000	35,000	25,000
Lapsed Balances	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
Professional Sports Team Education Fund - 587			
Appropriations (Net After Transfers)	\$ 700,000	\$ 500,000	\$ -
Expenditures	-	400,000	-
Lapsed Balances	<u>\$ 700,000</u>	<u>\$ 100,000</u>	<u>\$ -</u>
Illinois Route 66 Heritage Project Fund - 594:			
Appropriations (Net After Transfers)	\$ 130,000	\$ 110,000	\$ 100,000
Expenditures	130,000	110,000	100,000
Lapsed Balances	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>

STATE OF ILLINOIS
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COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2013 P.A. 97-0726, 97-0725	2012 P.A. 97-0056, 97-0076	2011 P.A. 96-0956, 96-0957
Police Memorial Committee Fund - 598:			
Appropriations (Net After Transfers)	\$ 200,000	\$ 200,000	\$ 200,000
Expenditures	200,000	200,000	200,000
Lapsed Balances	\$ -	\$ -	\$ -
Mammogram Fund - 599:			
Susan G. Komen Foundation:			
Appropriations (Net After Transfers)	\$ 140,000	\$ 140,000	\$ 130,000
Expenditures	140,000	140,000	130,000
Lapsed Balances	\$ -	\$ -	\$ -
Chicago Police Memorial Fund - 0639			
Susan G. Komen Foundation:			
Appropriations (Net After Transfers)	\$ 10,000	\$ -	\$ -
Expenditures	10,000	-	-
Lapsed Balances	\$ -	\$ -	\$ -
IL Police Association Fund - 655:			
Appropriations (Net After Transfers)	\$ 40,000	\$ 30,000	\$ 20,000
Expenditures	40,000	30,000	20,000
Lapsed Balances	\$ -	\$ -	\$ -
Octave Chanute Aero Heritage Fund - 662:			
Appropriations (Net After Transfers)	\$ 5,000	\$ -	\$ -
Expenditures	-	-	-
Lapsed Balances	\$ 5,000	\$ -	\$ -
Organ Donor Awareness Fund - 716:			
Appropriations (Net After Transfers)	\$ 225,000	\$ 200,000	\$ 100,000
Expenditures	225,000	200,000	100,000
Lapsed Balances	\$ -	\$ -	\$ -
Chicago and Northeastern Illinois			
District Council Fund - 756:			
Appropriations (Net After Transfers)	\$ -	\$ 500	\$ 500
Expenditures	-	-	-
Lapsed Balances	\$ -	\$ 500	\$ 500

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AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2013 P.A. 97-0726, 97-0725	2012 P.A. 97-0056, 97-0076	2011 P.A. 96-0956, 96-0957
U.S. Marine Corps Scholarship Fund - 760:			
Appropriations (Net After Transfers)	\$ 100,000	\$ 70,000	\$ 60,000
Expenditures	100,000	70,000	60,000
Lapsed Balances	\$ -	\$ -	\$ -
State Park Facility Maintenance Fund - 782:			
Appropriations (Net After Transfers)	\$ 40,000	\$ 40,000	\$ 40,000
Expenditures	-	-	-
Lapsed Balances	\$ 40,000	\$ 40,000	\$ 40,000
Illinois EMS Memorial Scholarship and Training Fund - 800			
Appropriations (Net After Transfers)	\$ 5,000	\$ 5,000	\$ -
Expenditures	-	-	-
Lapsed Balances	\$ 5,000	\$ 5,000	\$ -
International Brotherhood of Teamsters Fund - 803			
Appropriations (Net After Transfers)	\$ 3,000	\$ 4,000	\$ -
Expenditures	-	2,475	-
Lapsed Balances	\$ 3,000	\$ 1,525	\$ -
United Auto Workers' Fund - 804			
Appropriations (Net After Transfers)	\$ -	\$ 500	\$ -
Expenditures	-	245	-
Lapsed Balances	\$ -	\$ 255	\$ -
Share the Road Fund - 854			
Appropriations (Net After Transfers)	\$ 20,000	\$ 20,000	\$ -
Expenditures	20,000	20,000	-
Lapsed Balances	\$ -	\$ -	\$ -
Secretary of State Grant Fund - 948:			
Appropriations (Net After Transfers)	\$ 500,000	\$ 500,000	\$ 500,000
Expenditures	31,214	32,157	66,138
Lapsed Balances	\$ 468,786	\$ 467,843	\$ 433,862
Family Responsibility Fund - 322			
Appropriations (Net After Transfers)	\$ 200,000	\$ -	\$ -
Expenditures	30,630	-	-
Lapsed Balances	\$ 169,370	\$ -	\$ -

STATE OF ILLINOIS
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COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2013	2012	2011
	P.A. 97-0726, 97-0725	P.A. 97-0056, 97-0076	P.A. 96-0956, 96-0957
Build IL Bond Fund - 971:			
Appropriations (Net After Transfers)	\$ 50,000,000	\$ 50,000,000	\$ 50,000,000
Expenditures	219,599	-	-
Reappropriations	49,780,401	50,000,000	50,000,000
Lapsed Balances	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
GENERAL ADMINISTRATIVE			
GROUP TOTALS:			
Appropriations (Net After Transfers)	\$ 234,848,840	\$ 235,862,875	\$ 137,291,050
Expenditures	167,137,562	168,869,738	64,260,104
Reappropriations	50,691,640	50,736,715	51,343,731
Lapsed Balances	<u>\$ 17,019,638</u>	<u>\$ 16,256,422</u>	<u>\$ 21,687,215</u>
MOTOR VEHICLE GROUP:			
General Revenue Fund - 001:			
Appropriations (Net After Transfers)	\$ 153,459,634	\$ 155,886,812	\$ -
Expenditures:			
Personal Services:			
Regular Positions	113,013,845	116,791,495	-
Extra Help	5,824,731	6,427,591	-
Employee Retirement Contributions	2,403,633	2,485,639	-
Social Security Contributions	8,499,422	8,770,795	-
Contractual Services	13,345,439	12,715,668	-
Travel	208,118	246,812	-
Commodities	302,842	195,709	-
Printing	783,847	1,154,616	-
Equipment	921,953	561,286	-
Telecommunications	1,313,396	1,288,781	-
Operation of Auto Equipment	547,826	563,901	-
Security Measures Capitol	2,618,166	3,014,785	-
Total Expenditures	<u>149,783,218</u>	<u>154,217,078</u>	<u>-</u>
Lapsed Balances	<u>\$ 3,676,416</u>	<u>\$ 1,669,734</u>	<u>\$ -</u>

STATE OF ILLINOIS
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COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2013 P.A. 97-0726, 97-0725	2012 P.A. 97-0056, 97-0076	2011 P.A. 96-0956, 96-0957
CDLIS/AAMVA Net Trust Fund - 109:			
Appropriations (Net After Transfers)	\$ 900,000	\$ 1,063,800	\$ 1,063,800
Expenditures:			
Contractual Services	650,975	666,441	695,026
Total Expenditures	650,975	666,441	695,026
Lapsed Balances	\$ 249,025	\$ 397,359	\$ 368,774
Secretary of State Federal Projects Fund - 176:			
Appropriations (Net After Transfers)	\$ 700,000	\$ 700,000	\$ 700,000
Expenditures	54,428	53,301	88,795
Lapsed Balances	\$ 645,572	\$ 646,699	\$ 611,205
Secretary of State Special License Plate Fund - 185:			
Appropriations (Net After Transfers)	\$ 6,950,000	\$ 6,950,000	\$ 6,950,000
Expenditures:			
Personal Services			
Regular Positions	431,538	481,695	469,825
Employee Retirement Contributions	8,633	9,322	9,398
Group Insurance	225,521	183,845	158,531
State Retirement Fund Contributions	163,975	165,091	131,515
Social Security Contributions	33,139	37,815	40,458
Contractual Services	640,575	249,524	696,147
Travel	13,494	9,986	9,988
Commodities	1,999,999	2,000,000	2,000,000
Printing	92,995	774	1,068,357
Telecommunications	299,946	299,991	299,996
Total Expenditures	3,909,815	3,438,043	4,884,215
Lapsed Balances	\$ 3,040,185	\$ 3,511,957	\$ 2,065,785
Motor Vehicle Review Board Fund - 323:			
Appropriations (Net After Transfers)	\$ 354,500	\$ 355,100	\$ 355,700
Expenditures:			
Personal Services:			
Regular Positions	153,668	194,352	126,510
Employee Retirement Contributions	3,072	3,886	2,531
Group Insurance	4,524	13,837	7,203

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COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2013 P.A. 97-0726, 97-0725	2012 P.A. 97-0056, 97-0076	2011 P.A. 96-0956, 96-0957
State Retirement Fund Contributions	\$ 59,007	\$ 66,540	\$ 35,426
Social Security Contributions	11,707	14,696	9,595
Contractual Services	31,588	38,999	28,441
Commodities	-	-	492
Printing	-	-	1,307
Telecommunications	458	455	469
Total Expenditures	264,024	332,765	211,974
Lapsed Balances	\$ 90,476	\$ 22,335	\$ 143,726
Vehicle Inspection Fund - 963:			
Appropriations (Net After Transfers)	\$ 4,011,000	\$ 3,898,200	\$ 3,772,300
Expenditures:			
Personal Services:			
Regular Positions	1,023,045	969,050	932,923
Employee Retirement Contributions	20,927	20,090	19,316
Extra Help	23,935	35,485	40,053
Group Insurance	512,038	389,000	336,863
State Retirement Fund Contributions	397,779	344,090	272,397
Social Security Contributions	83,172	78,993	79,780
Contractual Services	932,262	1,002,670	1,044,445
Commodities	28,000	28,000	25,000
Equipment	-	-	37,844
Telecommunications	19,902	18,592	29,653
Total Expenditures	3,041,060	2,885,970	2,818,274
Lapsed Balances	\$ 969,940	\$ 1,012,230	\$ 954,026
Secretary of State Evidence Fund - 374:			
Appropriations (Net After Transfers)	\$ 5,000	\$ 5,000	\$ 5,000
Expenditures	1,365	4,016	4,910
Lapsed Balances	\$ 3,635	\$ 984	\$ 90

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AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2013 P.A. 97-0726, 97-0725	2012 P.A. 97-0056, 97-0076	2011 P.A. 96-0956, 96-0957
Alternate Fuels Fund - 422:			
Administering Alternate Fuels Act:			
Appropriations (Net After Transfers)	\$ 225,000	\$ 225,000	\$ 225,000
Expenditures	225,000	190,000	164,991
Lapsed Balances	\$ -	\$ 35,000	\$ 60,009
Indigent BAIID Fund- 451			
Appropriations (Net After Transfers)	\$ 500,000	\$ 500,000	\$ 500,000
Expenditures	191,393	197,288	221,141
Lapsed Balances	\$ 308,607	\$ 302,712	\$ 278,859
Monitoring Device Driving Permit Fund - 453			
Appropriations (Net After Transfers)	\$ 3,000,000	\$ 3,000,000	\$ 3,000,000
Expenditures	1,439,130	1,571,780	762,207
Lapsed Balances	\$ 1,560,870	\$ 1,428,220	\$ 2,237,793
SOS Identity Security & Theft Prevention Fund - 480			
Appropriations (Net After Transfers)	\$ 8,800,000	\$ 15,000,000	\$ 15,000,000
Expenditures	1,423,304	2,503,825	3,756,855
Lapsed Balances	\$ 7,376,696	\$ 12,496,175	\$ 11,243,145
Motor Vehicle License Plate Fund - 622:			
New or replacement license plates:			
Appropriations (Net After Transfers)	\$ 15,561,600	\$ 15,561,600	\$ 16,000,000
Expenditures	10,054,273	9,607,125	10,184,109
Lapsed Balances	\$ 5,507,327	\$ 5,954,475	\$ 5,815,891
Secretary of State DUI			
Administration Fund - 732:			
Administrative hearings:			
Appropriations (Net After Transfers)	\$ 2,500,000	\$ 2,500,000	\$ 2,500,000
Expenditures	1,685,738	1,549,916	1,636,622
Lapsed Balances	\$ 814,262	\$ 950,084	\$ 863,378
Secretary of State Police DUI Fund - 758:			
Appropriations (Net After Transfers)	\$ 30,000	\$ 30,000	\$ 30,000
Expenditures	23,476	16,812	-
Lapsed Balances	\$ 6,524	\$ 13,188	\$ 30,000

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2013 P.A. 97-0726, 97-0725	2012 P.A. 97-0056, 97-0076	2011 P.A. 96-0956, 96-0957
Secretary of State Police Services Fund - 759:			
Appropriations (Net After Transfers)	\$ 500,000	\$ 350,000	\$ 250,000
Expenditures	366,575	287,894	217,607
Lapsed Balances	<u>\$ 133,425</u>	<u>\$ 62,106</u>	<u>\$ 32,393</u>
MOTOR VEHICLE GROUP TOTALS:			
Appropriations (Net After Transfers)	\$ 197,496,734	\$ 206,025,512	\$ 50,351,800
Expenditures	173,113,774	177,522,254	25,646,726
Lapsed Balances	<u>\$ 24,382,960</u>	<u>\$ 28,503,258</u>	<u>\$ 24,705,074</u>
GRAND TOTALS - ALL GROUPS:			
Appropriations (Net After Transfers)	\$ 440,406,616	\$ 448,519,831	\$ 447,919,450
Expenditures	348,283,981	352,919,903	348,829,271
Reappropriations	50,691,640	50,736,715	51,343,731
Lapsed balances	<u>\$ 41,430,995</u>	<u>\$ 44,863,213</u>	<u>\$ 47,746,448</u>

Note 1: The comparative schedule of net appropriations, expenditures and lapsed balanced does not include the Secretary of State's salary. The Secretary of State's salary is appropriated to the Comptroller's Office for payment and, for fiscal year 2013, 2012 and 2011 totaled \$156,600.

Note 2: The fiscal year 2011 expenditures and lapsed balances do not reflect interest payments approved and submitted after August.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF EXPENDITURES BY MAJOR OBJECT CODE

	Year ended June 30,			Difference		Difference			
	2013	2012	2011	2013 - 2012	Percent	2012 - 2011	Percent		
Operations:									
Personal services:									
Regular positions	\$ 179,557,809	\$ 186,444,252	\$ 7,026,107	\$ (6,886,443)	(3.69) %	\$ 179,418,145	2,553.59	%	1
Extra help	6,407,739	7,256,758	47,668	(849,019)	(11.70) %	7,209,090	15,123.54	%	2
Employee retirement contributions	3,737,571	3,880,055	143,591	(142,484)	(3.67) %	3,736,464	2,602.16	%	3
Group insurance	3,784,313	2,710,334	1,782,144	1,073,979	39.63 %	928,190	52.08	%	4
State retirement fund contributions	4,002,718	3,368,377	1,981,802	634,341	18.83 %	1,386,575	69.97	%	5
Social security contributions	13,532,905	14,036,171	526,389	(503,266)	(3.59) %	13,509,782	2,566.50	%	6
Contractual services	36,209,007	35,216,471	6,284,739	992,536	2.82 %	28,931,732	460.35	%	7
Travel	362,848	401,139	25,379	(38,291)	(9.55) %	375,760	1,480.59	%	8
Printing	1,371,190	1,548,223	1,108,903	(177,033)	(11.43) %	439,320	39.62	%	9
Commodities	3,260,453	3,165,402	2,041,370	95,051	3.00 %	1,124,032	55.06	%	10
Equipment	2,627,321	2,158,091	38,697	469,230	21.74 %	2,119,394	5,476.89	%	11
Electronic data processing	8,660,690	8,537,179	8,267,653	123,511	1.45 %	269,526	3.26	%	
Telecommunications	2,073,272	2,071,901	422,016	1,371	0.07 %	1,649,885	390.95	%	12
Operation of automotive equipment	1,263,374	1,283,691	259,999	(20,317)	(1.58) %	1,023,692	393.73	%	13
Constitutional amendment	1,000,000	-	-	1,000,000	100.00 %	-	-	%	26
Operational expenses	-	-	243,336,170	-	- %	(243,336,170)	(100.00)	%	14
CDL Improvement	88,187	-	-	88,187	100.00 %	-	-	%	
Lobbyist Registration Administration	-	-	298,915	-	- %	(298,915)	(100.00)	%	15
Family responsibility	30,630	-	-	30,630	100.00 %	-	-	%	
Interagency grant fund - non appropriated	2,052,362	1,702,330	2,712,939	350,032	20.56 %	(1,010,609)	(37.25)	%	16
Secretary of State grant fund	31,214	32,157	66,137	(943)	(2.93) %	(33,980)	(51.38)	%	
Securities investors' education fund	1,117,674	189,869	158,696	927,805	488.66 %	31,173	19.64	%	17
Alternate fuels fund	225,000	190,000	164,991	35,000	18.42 %	25,009	15.16	%	
Indigent BAID	191,394	197,287	221,141	(5,893)	(2.99) %	(23,854)	(10.79)	%	
Monitoring Device Driving Permit (MDDP)	1,439,130	1,571,780	762,206	(132,650)	(8.44) %	809,574	106.21	%	18
Secretary of State evidence fund	1,365	4,016	4,910	(2,651)	(66.01) %	(894)	(18.21)	%	
Motor vehicle license plate fund	10,054,273	9,607,125	10,183,885	447,148	4.65 %	(576,760)	(5.66)	%	
Secretary of State DUI administration fund	1,685,737	1,549,916	1,636,622	135,821	8.76 %	(86,706)	(5.30)	%	
Secretary of State police DUI fund	23,476	16,812	-	6,664	39.64 %	16,812	100.00	%	
Secretary of State police services fund	366,575	287,894	217,607	78,681	27.33 %	70,287	32.30	%	
Secretary of State ID security	1,423,304	2,503,825	3,756,855	(1,080,521)	(43.15) %	(1,253,030)	(33.35)	%	19
Secretary of State federal projects fund	54,428	53,301	88,795	1,127	2.11 %	(35,494)	(39.97)	%	
Transfer to the Common School Fund	-	400,000	-	(400,000)	(100.00) %	400,000	100.00	%	20

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF EXPENDITURES BY MAJOR OBJECT CODE - CONTINUED

	Year ended June 30,			Difference		Difference	
	2013	2012	2011	2013 - 2012	Percent	2012 - 2011	Percent
Operations: - Continued							
State library fund	\$ 3,817	\$ 6,567	\$ 4,873	\$ (2,750)	(41.88) %	\$ 1,694	34.76 %
Secretary of State special services fund	10,529,709	10,909,320	10,094,776	(379,611)	(3.48) %	814,544	8.07 %
	<u>297,169,485</u>	<u>301,300,243</u>	<u>303,665,975</u>	<u>(4,130,758)</u>	<u>(1.37) %</u>	<u>(2,365,732)</u>	<u>(0.78) %</u>
Awards and Grants:							
Awards and grants	21,610,453	21,263,816	24,770,148	346,637	1.63 %	(3,506,332)	(14.16) %
Live and learn fund	20,614,053	20,471,060	20,347,955	142,993	0.70 %	123,105	0.60 %
Literacy program	3,704,164	3,692,333	-	11,831	0.32 %	3,692,333	100.00 % 21
Library service to blind	858,813	848,859	-	9,954	1.17 %	848,859	100.00 % 22
	<u>46,787,483</u>	<u>46,276,068</u>	<u>45,118,103</u>	<u>511,415</u>	<u>1.11 %</u>	<u>1,157,965</u>	<u>0.03 %</u>
Lump sums and other purposes:							
Capitol Police	2,618,165	-	-	2,618,165	- % 23	-	- %
International registration	97,392,396	97,480,192	94,455,256	(87,796)	(0.09) %	3,024,936	3.20 %
	<u>100,010,561</u>	<u>97,480,192</u>	<u>94,455,256</u>	<u>2,530,369</u>	<u>2.60 %</u>	<u>3,024,936</u>	<u>3.20 %</u>
	<u>146,798,044</u>	<u>143,756,260</u>	<u>139,573,359</u>	<u>3,041,784</u>	<u>2.12 %</u>	<u>4,182,901</u>	<u>3.00 %</u>
Permanent Improvements:							
Permanent improvements	1,250,281	4,615,864	474,619	(3,365,583)	(72.91) % 24	4,141,245	872.54 % 24
	<u>1,250,281</u>	<u>4,615,864</u>	<u>474,619</u>	<u>(3,365,583)</u>	<u>(72.91) %</u>	<u>4,141,245</u>	<u>872.54 %</u>
Refunds:							
Refunds	2,510,930	2,501,652	2,283,513	9,278	0.37 %	218,139	9.55 %
Corporate franchise tax refund fund	106,404	996,425	1,136,784	(890,021)	(89.32) % 25	(140,359)	(12.35) %
Lump sums and other purposes:							
Safety responsibility - non approp	629,304	639,758	553,053	(10,454)	(1.63) %	86,705	15.68 %
	<u>3,246,638</u>	<u>4,137,835</u>	<u>3,973,350</u>	<u>(891,197)</u>	<u>(21.54) %</u>	<u>164,485</u>	<u>4.14 %</u>
Total	<u>\$ 448,464,448</u>	<u>\$ 453,810,202</u>	<u>\$ 447,687,303</u>	<u>\$ (5,345,754)</u>	<u>(1.18) %</u>	<u>\$ 6,122,899</u>	<u>1.37 %</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF RECEIPTS, DISBURSEMENTS,
AND FUND BALANCES (CASH BASIS) - LOCALLY HELD FUNDS

For the Two Years Ended June 30, 2013
(Expressed in thousands)

	<u>2013</u>	<u>2012</u>
<u>Go-Back Fund - 1110</u>		
Balance, beginning of year	\$ -	\$ -
Receipts and additions		
License, Fees or Registration	66	139
Disbursements and deletions		
Refunds	<u>66</u>	<u>139</u>
Balance, end of year	<u>\$ -</u>	<u>\$ -</u>

The Go-Back Fund consists of an account maintained at Bank One.

Special Advance Fund - 1198

Balance, beginning of year	\$ 9	\$ 9
Receipts and additions		
Operating transfers in	<u>(4)</u>	<u>-</u>
Balance, end of year	<u>\$ 5</u>	<u>\$ 9</u>

The Special Advance Fund consists of funds on deposit with three banks throughout the State of Illinois.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF RECEIPTS, DISBURSEMENTS,
AND FUND BALANCES (CASH BASIS) - LOCALLY HELD FUNDS - CONTINUED

For the Two Years Ended June 30, 2013
(Expressed in thousands)

	<u>2013</u>	<u>2012</u>
<u>Safe-Keeping Fund - 1344</u>		
Balance, beginning of year	\$ 983	\$ 965
Receipts and additions		
License, Fees or Registrations	586	923
Disbursements and deletions		
Refunds	<u>716</u>	<u>905</u>
Balance, end of year	<u>\$ 853</u>	<u>\$ 983</u>
The Safe-Keeping Fund consists of surety bonds and certificates of deposit held by the State Treasurer.		
<u>Antique Vehicle Show - 1390</u>		
Balance, beginning of year	\$ 9	\$ 7
Receipts and additions		
License, Fees or Registrations	9	9
Disbursements and deletions		
General government	<u>8</u>	<u>7</u>
Balance, end of year	<u>\$ 10</u>	<u>\$ 9</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF CHANGES IN STATE PROPERTY

Year Ended June 30, 2013

	<u>Land and Land Improvements</u>	<u>Buildings and Building Improvements</u>	<u>Equipment</u>	<u>Capital Leases</u>	<u>Total</u>
PROPERTY AND EQUIPMENT, July 1, 2012	\$ 7,087,247	\$ 434,795,809	\$ 75,948,528	\$ -	\$ 517,831,584
ADDITIONS					
Purchases	-	1,037,241	3,953,497	-	4,990,738
Library books	-	-	224,418	-	224,418
Previously omitted from inventory	-	-	106,928	-	106,928
Transfer from Capital Development Board and other agencies	-	3,587,739	10,308	-	3,598,047
Adjustments	-	-	192,917	-	192,917
Total additions	-	4,624,980	4,488,068	-	9,113,048

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF CHANGES IN STATE PROPERTY - CONTINUED

Year Ended June 30, 2013

	<u>Land and Land Improvements</u>	<u>Buildings and Building Improvements</u>	<u>Equipment</u>	<u>Capital Leases</u>	<u>Total</u>
DELETIONS					
Cost of equipment traded-in	\$ -	\$ -	\$ 956,212	\$ -	\$ 956,212
Transfers to Department of Central Management Services	-	-	2,277,809	-	2,277,809
Scrapped items	-	-	1,924	-	1,924
Other deletions	-	221,497	90,402	-	311,899
Total deletions	<u>-</u>	<u>221,497</u>	<u>3,326,347</u>	<u>-</u>	<u>3,547,844</u>
PROPERTY AND EQUIPMENT, June 30, 2013	\$ <u>7,087,247</u>	\$ <u>439,199,292</u>	\$ <u>77,110,249</u>	\$ <u>-</u>	\$ <u>523,396,788</u>

Note: This schedule was prepared from Secretary of State records and was reconciled to property reports submitted to the State Comptroller. This summary schedule was prepared using State property records required by the Illinois Administrative Code. The capitalization policy in the Code is different than the capitalization policy established by the Office of the Comptroller for financial reporting in accordance with generally accepted accounting principles.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF CHANGES IN STATE PROPERTY

Year Ended June 30, 2012

	<u>Land and Land Improvements</u>	<u>Buildings and Building Improvements</u>	<u>Equipment</u>	<u>Capital Leases</u>	<u>Total</u>
PROPERTY AND EQUIPMENT, July 1, 2011	\$ 7,087,247	\$ 427,464,220	\$ 75,710,078	\$ -	\$ 510,261,545
ADDITIONS					
Purchases	-	1,493,893	5,880,714	-	7,374,607
Library books	-	-	276,184	-	276,184
Previously omitted from inventory	-	-	146,128	-	146,128
Transfer from Capital Development Board and other agencies	-	6,173,805	97,091	-	6,270,896
Adjustments	-	-	300,363	-	300,363
Total additions	-	7,667,698	6,700,480	-	14,368,178

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF CHANGES IN STATE PROPERTY - CONTINUED

Year Ended June 30, 2012

	<u>Land and Land Improvements</u>	<u>Buildings and Building Improvements</u>	<u>Equipment</u>	<u>Capital Leases</u>	<u>Total</u>
DELETIONS					
Cost of equipment traded-in	\$ -	\$ -	\$ 2,174,876	\$ -	\$ 2,174,876
Transfers to Department of Central Management Services	-	-	4,023,732	-	4,023,732
Transfers to other agencies	-	-	224,381	-	224,381
Scrapped items	-	-	2,567	-	2,567
Other deletions	-	336,109	36,474	-	372,583
	<u>-</u>	<u>336,109</u>	<u>6,462,030</u>	<u>-</u>	<u>6,798,139</u>
Total deletions	-	336,109	6,462,030	-	6,798,139
PROPERTY AND EQUIPMENT, June 30, 2012	\$ <u>7,087,247</u>	\$ <u>434,795,809</u>	\$ <u>75,948,528</u>	\$ <u>-</u>	\$ <u>517,831,584</u>

Note: This schedule was prepared from Secretary of State records and was reconciled to property reports submitted to the State Comptroller. This summary schedule was prepared using State property records required by the Illinois Administrative Code. The capitalization policy in the Code is different than the capitalization policy established by the Office of the Comptroller for financial reporting in accordance with generally accepted accounting principles.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF CASH RECEIPTS - BY DEPARTMENT AND SOURCE
Years ended June 30

Department and Source	2013		2012		2011
Business Services					
Corporation taxes and fees	\$ 228,714,364		\$ 211,881,978		\$ 226,477,636
Uniform Limited Partnership	1,422,089	1	906,122	1	1,923,985
Uniform Commercial Code	3,013,930		3,180,866		3,195,086
Limited Liability Co. Act	65,585,771		60,505,571		55,920,251
Limited Liability Partnership	536,350		465,462		491,857
Securities					
Forfeited or seized property	-		100		11,153
License fees or registration	52,940,080		52,443,383		53,453,326
Library					
Copy fees and book dues	3,852		5,121		6,980
Federal government	6,759,314		6,366,030	2	4,655,537
Monetary gift or bequests	2,150		3,050		1,455
Lost or damaged books	1,128		1,475		1,255
Archives					
Copy and recording fees	6,545		8,952		12,240
Index					
License fees or registration	1,761,244		1,747,963	3	2,706,236
Subscriptions of publication sales	1,740		1,460		580
Lobbyist registration fines	105,200		131,900		91,650
Physical Services					
Parking fees	12,120		14,040		7,830
All departments					
Miscellaneous	95,542		27,532		26,404
Employee reimbursements	11,089		3,768		5,633
Check Write Off/Go Back	5,513		5,779		5,476
Commissions/concessions	-		37		-
Other state agencies	373,982	4	-	4	1,000,000
Federal government	950,563	5	390,690		239,347
Private organizations or individuals	-		-	6	360,951
Unclaimed assets	1,586		23,364		42,060
Driver Services					
Operators' license and related fees	97,120,860		98,713,395		99,621,460
Sale of individual driving record	28,228,002		29,415,456		30,646,716
Automotive dealer fees	4,341,849		4,418,828		4,431,860
Reinstate operators license	10,321,120		10,564,550		11,214,330
Sale of vehicle or driver data	938,096		825,286		916,404
Standard Illinois identification card	9,715,040		9,529,372		9,391,864
Federal government	322,432	7	773,098	7	501,103
Installation fees - Indigent BAIID	192,286		186,460		248,233
Private organizations or individuals	559,835		660,079		660,025
Vehicle Services					
Commercial distribution fee	48,251,733		41,070,862		39,827,557
Short term trip permits	776,788		835,832		791,376
Personalized license plates	7,120,916		7,442,564		7,704,395
Certificate of title	249,503,609	8	204,221,840		194,711,106

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF CASH RECEIPTS - BY DEPARTMENT AND SOURCE - CONTINUED
Years ended June 30

<u>Department and Source</u>	<u>2013</u>	<u>2012</u>	<u>2011</u>
Vehicle Services - Continued			
Misplaced license plates or titles	\$ 209,000	\$ 250,516	\$ 90,500
Delinquent vehicle registration renewal	7,823,920	8,001,080	7,823,260
License fees or registration	1,461,037,470	1,494,708,927	1,482,357,454
SOS Police			
License fees or registration	605,768	692,690	677,396
Motor Vehicle Theft Prevention Council	1,400,353	1,284,169	9 1,067,004
Fines, penalties or violations	29,922	18,911	21,468
Prior period adjustments	23,221	42,198	10 243,167
	<u>2,290,826,372</u>	<u>2,251,770,756</u>	<u>2,243,583,606</u>
Total cash receipts per Comptroller			

Note: The Secretary of State's explanations for significant variance in cash receipts are documented in the Analysis of Significant Variations in Receipts later in this report.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
Year Ended June 30, 2013

	Multiple Department Sourced Funds	Vehicle Services Sourced Funds	Drivers Services Sourced Funds	Business Services Sourced Funds	Other Department Sourced Funds	ALL Funds Summary
<u>Department and Source</u>						
Business Services						
Corporation taxes and fees	\$ 212,158,427	\$ -	\$ -	\$ 16,555,937	\$ -	\$ 228,714,364
Uniform Limited Partnership	1,422,089	-	-	-	-	1,422,089
Uniform Commercial Code	3,013,930	-	-	-	-	3,013,930
Limited Liability Co. Act	65,585,771	-	-	-	-	65,585,771
Limited Liability Partnership	-	-	-	536,350	-	536,350
Securities						
License fees or registration	37,928,910	-	-	-	15,011,170	52,940,080
Library						
Copy fees and book dues	-	-	-	-	3,852	3,852
Federal government	-	-	-	-	6,759,314	6,759,314
Monetary gift or bequests	-	-	-	-	2,150	2,150
Lost or damaged books	-	-	-	-	1,128	1,128
Archives						
Copy and recording fees	6,545	-	-	-	-	6,545
Index						
License fees or registration	678,544	-	-	-	1,082,700	1,761,244
Subscriptions of publication sales	-	-	-	-	1,740	1,740
Lobbyist registration fines	-	-	-	-	105,200	105,200
Physical Services						
Parking fees	-	-	-	-	12,120	12,120
Driver Services						
Operators' license and related fees	74,094,583	-	23,026,277	-	-	97,120,860
Sale of individual driving record	28,228,002	-	-	-	-	28,228,002
Automotive dealer fees	4,105,115	-	236,734	-	-	4,341,849
Reinstate operators license	8,289,930	-	2,031,190	-	-	10,321,120
Sale of vehicle or driver data	938,096	-	-	-	-	938,096
Standard IL ID card	9,715,040	-	-	-	-	9,715,040
Federal government	-	-	322,432	-	-	322,432
Installation fees-Indigent BAIID	-	-	192,286	-	-	192,286
Private organizations or individuals	-	-	559,835	-	-	559,835
Vehicle Services						
Commercial distribution fee	48,251,733	-	-	-	-	48,251,733
Short term trip permits	776,788	-	-	-	-	776,788
Personalized license plates	3,560,458	3,560,458	-	-	-	7,120,916
Certificate of title	184,560,288	64,943,321	-	-	-	249,503,609
Delinquent vehicle register renewal	7,823,920	-	-	-	-	7,823,920

STATE OF ILLINOIS
 OFFICE OF THE SECRETARY OF STATE
 RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
 Year Ended June 30, 2013

<u>Department and Source</u>	Multiple Department Sourced Funds	Vehicle Services Sourced Funds	Drivers Services Sourced Funds	Business Services Sourced Funds	Other Department Sourced Funds	ALL Funds Summary
Vehicle Services - Continued						
Misplaced license plates and stickers	\$ -	\$ 209,000	\$ -	\$ -	\$ -	\$ 209,000
License fees or registration	900,968,284	560,069,186	-	-	-	1,461,037,470
SOS Police						
License fees or registration	251,567	-	-	-	354,201	605,768
Motor Vehicle Theft Prevention Council	1,400,353	-	-	-	-	1,400,353
Fines, penalties or violations	10,865	-	-	-	19,057	29,922
All departments						
Miscellaneous	95,542	-	-	-	-	95,542
Employee reimbursements	11,089	-	-	-	-	11,089
Check Write Off/GO Back	5,513	-	-	-	-	5,513
Commerce & Economic Development	373,982	-	-	-	-	373,982
Federal government through IEMA	482,780	-	-	-	-	482,780
Federal government through Other Office Funds	141,827	-	-	-	-	141,827
Federal government	325,956	-	-	-	-	325,956
Unclaimed assets	1,586	-	-	-	-	1,586
Prior period adjustments	3,735	-	327	-	19,159	23,221
Total cash receipts per Comptroller	1,595,211,248	628,781,965	26,369,081	-	17,092,287	2,290,826,372
Receipts in transit to State Treasurer						
June 30, 2012	63,025,895	-	-	-	-	63,025,895
June 30, 2013	(58,441,961)	-	-	-	-	(58,441,961)
Receipts in transit to State Comptroller						
June 30, 2012	(106,271,934)	(72,616,648)	(2,309,865)	(642,094)	(1,613,712)	(183,454,253)
June 30, 2013	100,482,087	72,327,928	2,266,117	1,086,033	99,203	176,261,368
Prior period and other adjustments	(3,735)	-	(327)	-	(19,159)	(23,221)
Total Agency Receipts, fiscal year 2013	\$ 1,594,001,600	\$ 628,493,245	\$ 26,325,006	\$ 17,536,226	\$ 21,838,123	\$ 2,288,194,200

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
Year Ended June 30, 2013
Multiple Department Sourced Funds

	General Revenue Fund #0001	Road Fund #0011	SOS Federal Projects Fund #0176	SOS Inter- Agency Grant Fund #0295	SOS Special Services Fund #0483	Capital Projects Fund #694	Protest Fund #401	Total
<u>Department and Source</u>								
Business Services								
Corporation taxes and fees	\$ 204,570,388	\$ -	\$ -	\$ -	\$ 4,919,820	\$ -	\$ 2,668,219	\$ 212,158,427
Uniform Limited Partnership	1,422,089	-	-	-	-	-	-	1,422,089
Uniform Commercial Code	1,283,314	-	-	-	1,730,616	-	-	3,013,930
Limited Liability Co. Act	65,585,771	-	-	-	-	-	-	65,585,771
Securities								
License fees or registration	37,928,910	-	-	-	-	-	-	37,928,910
Archives								
Copy and recording fees	6,545	-	-	-	-	-	-	6,545
Index								
License fees or registration	677,074	-	-	-	1,470	-	-	678,544
Driver Services								
Operators' license and related fees	-	26,468,360	-	-	14,113,983	33,512,240	-	74,094,583
Sale of individual driving record	28,228,002	-	-	-	-	-	-	28,228,002
Automotive dealer fees	4,105,115	-	-	-	-	-	-	4,105,115
Reinstate operators license	8,289,930	-	-	-	-	-	-	8,289,930
Sale of vehicle or driver data	938,096	-	-	-	-	-	-	938,096
Standard IL ID card	9,715,040	-	-	-	-	-	-	9,715,040
Vehicle Services								
Commercial distribution fee	48,251,733	-	-	-	-	-	-	48,251,733
Short term trip permits	776,788	-	-	-	-	-	-	776,788
Personalized license plates	3,560,458	-	-	-	-	-	-	3,560,458
Certificate of title	27,763,119	78,712,119	-	-	-	78,085,050	-	184,560,288
Delinquent vehicle register renewal	7,823,920	-	-	-	-	-	-	7,823,920
License fees or registration	-	715,525,234	-	-	-	185,443,050	-	900,968,284
SOS Police								
License fees or registration	-	251,567	-	-	-	-	-	251,567
Motor Vehicle Theft Prevention Council	-	-	-	1,400,353	-	-	-	1,400,353
Fines, penalties or violations	-	-	-	-	10,865	-	-	10,865

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
Year Ended June 30, 2013
Multiple Department Sourced Funds

	General Revenue Fund #0001	Road Fund #0011	SOS Federal Projects Fund #0176	SOS Inter- Agency Grant Fund #0295	SOS Special Services Fund #0483	Capital Projects Fund #694	Protest Fund #401	Total
<u>Department and Source</u>								
All departments								
Miscellaneous	\$ 95,542	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	95,542
Employee reimbursements	11,089	-	-	-	-	-	-	11,089
Check Write Off/GO Back	5,513	-	-	-	-	-	-	5,513
Commerce & Economic Opportunity	-	-	-	373,982	-	-	-	373,982
Federal government through IEMA	-	-	-	482,780	-	-	-	482,780
Federal government through Other Office Funds	-	-	-	-	141,827	-	-	141,827
Federal government	-	-	50,000	-	275,956	-	-	325,956
Unclaimed assets	1,586	-	-	-	-	-	-	1,586
Prior period adjustments	3,169	-	-	-	566	-	-	3,735
Total cash receipts per Comptroller	451,043,191	820,957,280	50,000	2,257,115	21,195,103	297,040,340	2,668,219	1,595,211,248
Receipts in transit to State Treasurer								
June 30, 2012	1,646,509	61,379,386	-	-	-	-	-	63,025,895
June 30, 2013	(2,652,279)	(55,789,682)	-	-	-	-	-	(58,441,961)
Receipts in transit to State Comptroller								
June 30, 2012	(11,968,493)	(64,585,945)	-	-	(1,644,696)	(28,072,800)	-	(106,271,934)
June 30, 2013	7,548,797	63,825,922	-	-	1,548,365	27,559,003	-	100,482,087
Prior period and other adjustments	(3,169)	-	-	-	(566)	-	-	(3,735)
Total Agency Receipts, fiscal year 2013	\$ 445,614,556	\$ 825,786,961	\$ 50,000	\$ 2,257,115	\$ 21,098,206	\$ 296,526,543	\$ 2,668,219	\$ 1,594,001,600

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
Year Ended June 30, 2013
Vehicle Services Sourced Funds

Fund	Source	Personalized	Certificate of	Misplaced license	License fees	Total Cash	Receipts In Transit To		Total Agency
		license plates	title	plates and stickers	or registration	Receipts per Comptroller	Comptroller		Receipts, FY2013
							6/30/12	6/30/13	
State Parks #0040		\$ -	\$ -	\$ -	\$ 997,525	\$ 997,525	\$ (106,825)	\$ 99,275	\$ 989,975
Korean War Memorial Construction #0164		-	-	-	86	86	(12)	6	80
Violence Prevention #0184		-	-	-	389,800	389,800	(41,100)	37,100	385,800
SOS Special License Plate #0185		3,560,458	-	-	782,664	4,343,122	(487,355)	449,001	4,304,768
Illinois Fisheries Management #0199		-	722,918	-	-	722,918	-	154,788	877,706
State Police Vehicle #0246		-	-	-	9,342,329	9,342,329	(938,267)	903,398	9,307,460
Illinois Habitat #0391		-	-	-	251,500	251,500	(33,350)	30,225	248,375
Common School #0412		-	-	-	463,224	463,224	(35,416)	38,260	466,068
State College & University Trust #0417		-	-	-	265,850	265,850	(28,325)	27,200	264,725
University Grant #0418		-	-	-	87,450	87,450	(8,375)	8,850	87,925
Alternate Fuels #0422		-	-	-	1,642,440	1,642,440	(402,680)	432,900	1,672,660
Rotary Club #0454		-	-	-	4,651	4,651	(559)	592	4,684
Autism Awareness #0458		-	-	-	12,175	12,175	(925)	1,250	12,500
Ovarian Cancer Awareness #0459		-	-	-	9,966	9,966	(757)	918	10,127
Illinois Professional Golfers Assoc Jr Golf #0463		-	-	-	39,827	39,827	(3,664)	4,366	40,529
Boy Scout and Girl Scout #0464		-	-	-	14,550	14,550	(1,400)	1,425	14,575
Agriculture in the Classroom # 466		-	-	-	75,275	75,275	(6,725)	6,600	75,150
Sheet Metal Workers International #0468		-	-	-	2,376	2,376	(181)	135	2,330
Support Our Troops #0496		-	-	-	20,775	20,775	(1,775)	2,275	21,275
Wildlife Prairie Park #0504		-	-	-	18,650	18,650	(1,800)	1,950	18,800
Master Mason #0508		-	-	-	43,502	43,502	(5,919)	5,613	43,196
Illinois Fire Fighters Memorial #0510		-	-	-	517,623	517,623	(54,318)	53,958	517,263
Illinois & Michigan Canal #0570		-	-	-	7,800	7,800	(875)	875	7,800
Off-Highway Vehicle Trail #0574		-	277,032	-	-	277,032	(24,004)	24,174	277,202
Illinois Pan Hellenic Trust #0584		-	-	-	48,775	48,775	(4,625)	4,550	48,700
Park District Youth Program #0585		-	-	-	26,225	26,225	(2,750)	3,275	26,750
Hospice #0586		-	-	-	3,497	3,497	(378)	319	3,438
Professional Sports Team #0587		-	-	-	644,375	644,375	(54,500)	77,950	667,825
September 11th #0588		-	-	-	129,450	129,450	(12,300)	11,550	128,700
Illinois Route 66 Heritage Project #0594		-	-	-	148,975	148,975	(15,225)	14,700	148,450
Police Memorial Committee #0598		-	-	-	170,648	170,648	(15,582)	15,475	170,541
Mammogram #0599		-	-	-	131,046	131,046	(13,121)	12,511	130,436
Motor Vehicle License Plate #0622		-	11,832,696	209,000	-	12,041,696	(1,033,258)	1,075,820	12,084,258
Special Olympics #0623		-	-	-	14,975	14,975	(1,855)	1,770	14,890
Chicago Police Memorial #0639		-	-	-	16,972	16,972	(1,196)	1,342	17,118
II Police Association #0655		-	-	-	60,328	60,328	(5,233)	5,706	60,801
Organ Donor Awareness #0716		-	-	-	178,499	178,499	(17,153)	15,904	177,250
Illinois Future Teachers Corps Scholarship #0753		-	-	-	47,221	47,221	(4,555)	4,380	47,046
Marine Corps Scholarship #0760		-	-	-	100,061	100,061	(9,248)	10,041	100,854
Pet Overpopulation #0764		-	-	-	148,301	148,301	(15,300)	14,425	147,426
Share the Road #0854		-	-	-	25,417	25,417	1,848	3,422	30,687
Fraternal Order of Police #0867		-	-	-	10,647	10,647	(861)	1,129	10,915
International Registration Plan #0890		-	-	-	97,304,612	97,304,612	-	-	97,304,612
Soil and Water Conservation #0895		-	-	-	400	400	-	-	400
State Construction #0902		-	46,237,538	-	440,758,865	486,996,403	(68,765,845)	66,380,440	484,610,998
Ducks Unlimited #0918		-	-	-	30,476	30,476	-	-	30,476
Park and Conservation #0962		-	5,873,137	-	5,079,383	10,952,520	(460,904)	2,388,085	12,879,701
		\$ 3,560,458	\$ 64,943,321	\$ 209,000	\$ 560,069,186	\$ 628,781,965	\$ (72,616,648)	\$ 72,327,928	\$ 628,493,245

STATE OF ILLINOIS
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RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
Year Ended June 30, 2013
Drivers Services Sourced Funds

Fund	Source	Operators'	Automotive	Reinstate	Installation	Federal	Private	Prior period	Total Cash	Receipts In Transit		Prior period	Total Agency
		license and related fees	dealer fees	operators license	fees-Indigent BAIID	government	organizations or individuals	adjustments	Receipts per Comptroller	6/30/12	6/30/13	adjustments	Receipts, FY2013
Drivers Education #0031		\$ 11,750,698	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 11,750,698	\$ (1,014,924)	\$ 1,017,661	\$ -	\$ 11,753,435
CDLIS/AAMVA Net Trust #0109		665,892	-	-	-	-	-	-	665,892	(52,140)	53,022	-	666,774
Drunk & Drugged Driving Prevention #0276		-	-	1,882,810	-	-	-	-	1,882,810	(165,100)	139,790	-	1,857,500
Family Responsibility #0322		-	-	148,380	-	-	-	-	148,380	(11,190)	13,800	-	150,990
Motor Vehicle Review Board #0323		-	236,734	-	-	-	-	-	236,734	(300)	1,211	-	237,645
Safety Responsibility #0436		-	-	-	-	-	547,987	-	547,987	(25,450)	23,425	-	545,962
Indigent BAIID #0451		-	-	-	192,286	-	-	327	192,613	(16,504)	16,512	(327)	192,294
Monitoring Device Driving Permit #0453		1,691,231	-	-	-	-	-	-	1,691,231	(142,060)	144,060	-	1,693,231
Secretary of State ID Security #0480		-	-	-	-	322,432	-	-	322,432	-	-	-	322,432
Motor Carrier Safety Inspection #0649		2,219,680	-	-	-	-	-	-	2,219,680	(173,780)	176,720	-	2,222,620
Secretary of State DUI Administration #0732		2,152,590	-	-	-	-	-	-	2,152,590	(190,140)	172,720	-	2,135,170
Cycle Rider Safety Training #0863		4,546,186	-	-	-	-	-	-	4,546,186	(518,277)	507,196	-	4,535,105
Secretary of State's Grant #0948		-	-	-	-	-	11,848	-	11,848	-	-	-	11,848
		<u>\$ 23,026,277</u>	<u>\$ 236,734</u>	<u>\$ 2,031,190</u>	<u>\$ 192,286</u>	<u>\$ 322,432</u>	<u>\$ 559,835</u>	<u>\$ 327</u>	<u>\$ 26,369,081</u>	<u>\$ (2,309,865)</u>	<u>\$ 2,266,117</u>	<u>\$ (327)</u>	<u>\$ 26,325,006</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
Year Ended June 30, 2013
Business Services Sourced Funds

Fund	Source	Corporation	Limited	Total Cash	Receipts In Transit		Total Agency
		taxes and fees	Liability Partnership	Receipts per Comptroller	To Comptroller		Receipts, FY2013
					6/30/12	6/30/13	
Registered Limited Liability Partnership #0167		\$ -	\$ 536,350	\$ 536,350	\$ (37,675)	\$ 33,000	\$ 531,675
Dept of Business Services Special Operations #0363		12,743,652	-	12,743,652	(510,802)	804,108	13,036,958
Corporate Franchise Tax Refund #0380		3,385,350	-	3,385,350	(76,762)	215,705	3,524,293
Charitable Trust Stabilization #0435		426,935	-	426,935	(16,855)	33,220	443,300
		<u>\$ 16,555,937</u>	<u>\$ 536,350</u>	<u>\$ 17,092,287</u>	<u>\$ (642,094)</u>	<u>\$ 1,086,033</u>	<u>\$ 17,536,226</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
Year Ended June 30, 2013
Other Department Sourced Funds

Source Department/Fund	License fees or registration	Fines, penalties and seizures	Use fees and dues	Private organizations or individuals	Federal government	Subscriptions of publications sales	Prior period adjustments	Total Cash Receipts per Comptroller	Receipts In Transit To Comptroller		Prior period adjustments	Total Agency Receipts, FY2013
									6/30/12	6/30/13		
Index												
Lobbyist Registration Administration #0044	\$ 1,082,700	\$ 105,200	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,187,900	\$ (16,400)	\$ 7,300	\$ -	\$ 1,178,800
General Assembly Computer Equipment Revolving #0155	-	-	-	-	-	1,740	-	1,740	(870)	-	-	870
	1,082,700	105,200	-	-	-	1,740	-	1,189,640	(17,270)	7,300	-	1,179,670
Securities												
Securities Investors Education #0292	5,619,070	-	-	-	-	-	-	5,619,070	(1,400,140)	7,154	-	4,226,084
Securities Audit & Enforcement #0362	9,392,100	-	-	-	-	-	279	9,392,379	(172,219)	47,785	(279)	9,267,666
	15,011,170	-	-	-	-	-	279	15,011,449	(1,572,359)	54,939	(279)	13,493,750
Library												
Live and Learn #0026	-	-	-	-	-	-	655	655	-	-	(655)	-
Library Services #0470	-	-	-	-	6,759,314	-	18,225	6,777,539	-	-	(18,225)	6,759,314
State Library #0471	-	1,128	3,852	2,150	-	-	-	7,130	(147)	217	-	7,200
	-	1,128	3,852	2,150	6,759,314	-	18,880	6,785,324	(147)	217	(18,880)	6,766,514
Physical Services												
General Obligation Bond Retirement and Interest #0101	-	-	9,696	-	-	-	-	9,696	-	-	-	9,696
State Parking Facility Maintenance #0782	-	-	2,424	-	-	-	-	2,424	-	-	-	2,424
	-	-	12,120	-	-	-	-	12,120	-	-	-	12,120
Police												
Secretary of State Evidence #0374	-	1,527	-	-	-	-	-	1,527	-	-	-	1,527
Secretary of State Police DUI #0758	-	9,278	-	-	-	-	-	9,278	-	128	-	9,406
Secretary of State Police Services #0759	354,201	8,252	-	-	-	-	-	362,453	(23,936)	36,619	-	375,136
	354,201	19,057	-	-	-	-	-	373,258	(23,936)	36,747	-	386,069
Total	\$ 16,448,071	\$ 125,385	\$ 15,972	\$ 2,150	\$ 6,759,314	\$ 1,740	\$ 19,159	\$ 23,371,791	\$ (1,613,712)	\$ 99,203	\$ (19,159)	\$ 21,838,123

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
Year Ended June 30, 2012

<u>Department and Source</u>	<u>Multiple Department Sourced Funds</u>	<u>Vehicle Services Sourced Funds</u>	<u>Drivers Services Sourced Funds</u>	<u>Business Services Sourced Funds</u>	<u>Other Department Sourced Funds</u>	<u>ALL Funds Summary</u>
Business Services						
Corporation taxes and fees	\$ 196,620,992	\$ -	\$ -	\$ 15,260,986	\$ -	\$ 211,881,978
Uniform Limited Partnership	906,122	-	-	-	-	906,122
Uniform Commercial Code	3,180,866	-	-	-	-	3,180,866
Limited Liability Co. Act	60,505,571	-	-	-	-	60,505,571
Limited Liability Partnership	-	-	-	465,462	-	465,462
Securities						
License fees or registration	37,831,913	-	-	-	14,611,470	52,443,383
Forfeited or seized property	-	-	-	-	100	100
Library						
Copy fees and book dues	-	-	-	-	5,121	5,121
Federal government	-	-	-	-	6,366,030	6,366,030
Monetary gift or bequests	-	-	-	-	3,050	3,050
Fines, penalties or violations	-	-	-	-	1,475	1,475
Archives						
Copy and recording fees	8,952	-	-	-	-	8,952
Index						
License fees or registration	633,760	-	-	-	1,114,203	1,747,963
Subscriptions of publication sales	-	-	-	-	1,460	1,460
Lobbyist registration fines	-	-	-	-	131,900	131,900
Physical Services						
Use fees and dues	-	-	-	-	14,040	14,040
Driver Services						
Operators' license and related fees	75,468,062	-	23,245,333	-	-	98,713,395
Sale of individual driving record	29,415,456	-	-	-	-	29,415,456
Automotive dealer fees	4,166,273	-	252,555	-	-	4,418,828
Reinstate operators license	8,537,210	-	2,027,340	-	-	10,564,550
Sale of vehicle or driver data	825,286	-	-	-	-	825,286
Standard IL ID card	9,529,372	-	-	-	-	9,529,372
Federal government	-	-	773,098	-	-	773,098
Installation fees-Indigent BAIID	-	-	186,460	-	-	186,460
Private organizations or individuals	-	-	660,079	-	-	660,079
Vehicle Services						
Commercial distribution fee	41,070,862	-	-	-	-	41,070,862
Short term trip permits	835,832	-	-	-	-	835,832

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
Year Ended June 30, 2012

	Multiple Department Sourced Funds	Vehicle Services Sourced Funds	Drivers Services Sourced Funds	Business Services Sourced Funds	Other Department Sourced Funds	ALL Funds Summary
<u>Department and Source</u>						
Vehicle Services - Continued						
Personalized license plates	\$ 3,721,282	\$ 3,721,282	\$ -	\$ -	\$ -	\$ 7,442,564
Certificate of title	187,054,061	17,167,779	-	-	-	204,221,840
Delinquent vehicle register renewal	8,001,080	-	-	-	-	8,001,080
License fees or registration	914,896,750	579,812,177	-	-	-	1,494,708,927
Misplaced license plates and stickers	-	250,516	-	-	-	250,516
SOS Police						
License fees or registration	300,185	-	-	-	392,505	692,690
Motor Vehicle Theft Prevention Council	1,284,169	-	-	-	-	1,284,169
Fines, penalties or violations	-	-	-	-	18,911	18,911
All departments						
Miscellaneous	27,532	-	-	-	-	27,532
Employee reimbursements	3,768	-	-	-	-	3,768
Check Write Off/GO Back	5,779	-	-	-	-	5,779
Commissions/concessions	37	-	-	-	-	37
Federal government through IEMA	128,630	-	-	-	-	128,630
Federal government	262,060	-	-	-	-	262,060
Unclaimed assets	23,364	-	-	-	-	23,364
Prior period adjustments	41,994	(1,500)	139	-	1,565	42,198
Total cash receipts per Comptroller	1,585,287,220	600,950,254	27,145,004	15,726,448	22,661,830	2,251,770,756
Receipts in transit to State Treasurer						
June 30, 2011	58,783,889	-	-	-	-	58,783,889
June 30, 2012	(63,025,895)	-	-	-	-	(63,025,895)
Receipts in transit to State Comptroller						
June 30, 2011	(85,921,634)	(74,089,327)	(2,467,380)	(573,862)	(212,627)	(163,264,830)
June 30, 2012	106,271,934	72,616,648	2,309,865	642,094	1,613,712	183,454,253
Prior period and other adjustments	(41,994)	1,500	(139)	-	(1,565)	(42,198)
Total Agency Receipts, fiscal year 2012	\$ 1,601,353,520	\$ 599,479,075	\$ 26,987,350	\$ 15,794,680	\$ 24,061,350	\$ 2,267,675,975

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
Year Ended June 30, 2012
Multiple Department Sourced Funds

	General Revenue Fund #0001	Road Fund #0011	SOS Federal Projects Fund #0176	SOS Inter- Agency Grant Fund #0295	SOS Special Services Fund #0483	Capital Projects Fund #694	Protest Fund #401	Total
<u>Department and Source</u>								
Business Services								
Corporation taxes and fees	\$ 191,556,719	\$ -	\$ -	\$ -	\$ 4,993,800	\$ -	\$ 70,473	\$ 196,620,992
Uniform Limited Partnership	906,122	-	-	-	-	-	-	906,122
Uniform Commercial Code	1,321,334	-	-	-	1,859,532	-	-	3,180,866
Limited Liability Co. Act	60,505,571	-	-	-	-	-	-	60,505,571
Securities								
License fees or registration	37,821,913	-	-	-	-	-	10,000	37,831,913
Archives								
Copy and recording fees	8,952	-	-	-	-	-	-	8,952
Index								
License fees or registration	633,670	-	-	-	90	-	-	633,760
Driver Services								
Operators' license and related fees	-	27,119,477	-	-	14,707,945	33,640,640	-	75,468,062
Sale of individual driving record	29,415,456	-	-	-	-	-	-	29,415,456
Automotive dealer fees	4,166,273	-	-	-	-	-	-	4,166,273
Reinstate operators license	8,537,210	-	-	-	-	-	-	8,537,210
Sale of vehicle or driver data	825,286	-	-	-	-	-	-	825,286
Standard IL ID card	9,529,372	-	-	-	-	-	-	9,529,372
Vehicle Services								
Commercial distribution fee	41,070,862	-	-	-	-	-	-	41,070,862
Short term trip permits	835,832	-	-	-	-	-	-	835,832
Personalized license plates	3,721,282	-	-	-	-	-	-	3,721,282
Certificate of title	29,297,624	79,201,947	-	-	-	78,554,490	-	187,054,061
Delinquent vehicle register renewal	8,001,080	-	-	-	-	-	-	8,001,080
License fees or registration	-	729,003,020	-	-	-	185,893,730	-	914,896,750
SOS Police								
License fees or registration	-	300,185	-	-	-	-	-	300,185
Motor Vehicle Theft Prevention Council	-	-	-	1,284,169	-	-	-	1,284,169

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
Year Ended June 30, 2012
Multiple Department Sourced Funds

	General Revenue Fund #0001	Road Fund #0011	SOS Federal Projects Fund #0176	SOS Inter- Agency Grant Fund #0295	SOS Special Services Fund #0483	Capital Projects Fund #694	Protest Fund #401	Total
<u>Department and Source</u>								
All departments								
Miscellaneous	\$ 27,532	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 27,532
Employee reimbursements	3,768	-	-	-	-	-	-	3,768
Check Write Off/GO Back	5,779	-	-	-	-	-	-	5,779
Commissions/concessions	37	-	-	-	-	-	-	37
Federal government through IEMA	-	-	-	128,630	-	-	-	128,630
Federal government	-	-	55,890	-	206,170	-	-	262,060
Unclaimed assets	23,364	-	-	-	-	-	-	23,364
Prior period adjustments	15,994	-	-	26,000	-	-	-	41,994
Total cash receipts per Comptroller	428,231,032	835,624,629	55,890	1,438,799	21,767,537	298,088,860	80,473	1,585,287,220
Receipts in transit to State Treasurer								
June 30, 2011	2,310,755	56,473,134	-	-	-	-	-	58,783,889
June 30, 2012	(1,646,509)	(61,379,386)	-	-	-	-	-	(63,025,895)
Receipts in transit to State Comptroller								
June 30, 2011	(9,323,484)	(45,554,017)	-	-	(1,591,593)	(29,442,540)	(10,000)	(85,921,634)
June 30, 2012	11,968,493	64,585,945	-	-	1,644,696	28,072,800	-	106,271,934
Prior period and other adjustments	(15,994)	-	-	(26,000)	-	-	-	(41,994)
Total Agency Receipts, fiscal year 2012	\$ 431,524,293	\$ 849,750,305	\$ 55,890	\$ 1,412,799	\$ 21,820,640	\$ 296,719,120	\$ 70,473	\$ 1,601,353,520

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
Year Ended June 30, 2012
Vehicle Services Sourced Funds

Fund	Source	Personalized	Certificate of	Misplaced	License fees	Prior period	Total Cash	Receipts In Transit		Prior period	Total Agency
		license plates	title	license plates and stickers	or registration	adjustments	Receipts per Comptroller	To Comptroller	6/30/11	6/30/12	adjustments
State Parks #0040		\$ -	\$ -	\$ -	\$ 1,023,995	\$ (1,530)	\$ 1,022,465	\$ (110,470)	\$ 106,825	\$ 1,530	\$ 1,020,350
Korean War Memorial Construction #0164		-	-	-	92	-	92	(12)	12	-	92
Violence Prevention #0184		-	-	-	414,375	-	414,375	(45,625)	41,100	-	409,850
SOS Special License Plate #0185		3,721,282	-	-	816,937	-	4,538,219	(495,973)	487,355	-	4,529,601
State Police Vehicle #0246		-	-	-	9,374,170	-	9,374,170	(1,014,228)	938,267	-	9,298,209
Illinois Habitat #0391		-	-	-	256,450	-	256,450	(34,350)	33,350	-	255,450
Common School #0412		-	-	-	835,124	-	835,124	(29,100)	35,416	-	841,440
State College & University Trust #0417		-	-	-	262,850	-	262,850	(27,050)	28,325	-	264,125
University Grant #0418		-	-	-	80,575	-	80,575	(8,100)	8,375	-	80,850
Alternate Fuels #0422		-	-	-	1,690,800	-	1,690,800	(405,100)	402,680	-	1,688,380
Rotary Club #0454		-	-	-	4,162	-	4,162	(533)	559	-	4,188
Autism Awareness #0458		-	-	-	9,075	-	9,075	(575)	925	-	9,425
Ovarian Cancer Awareness #0459		-	-	-	8,396	-	8,396	(569)	757	-	8,584
Illinois Professional Golfers Assoc Jr Golf #0463		-	-	-	34,313	-	34,313	(3,097)	3,664	-	34,880
Boy Scout and Girl Scout #0464		-	-	-	12,100	-	12,100	(1,150)	1,400	-	12,350
Agriculture in the Classroom # 466		-	-	-	64,600	-	64,600	(6,100)	6,725	-	65,225
Sheet Metal Workers International #0468		-	-	-	2,083	-	2,083	(204)	181	-	2,060
Support Our Troops #0496		-	-	-	16,025	-	16,025	(1,250)	1,775	-	16,550
Wildlife Prairie Park #0504		-	-	-	19,650	-	19,650	(2,100)	1,800	-	19,350
Master Mason #0508		-	-	-	43,237	-	43,237	(5,519)	5,919	-	43,637
Illinois Fire Fighters Memorial #0510		-	-	-	509,226	-	509,226	(55,041)	54,318	-	508,503
Illinois & Michigan Canal #0570		-	-	-	8,000	-	8,000	(825)	875	-	8,050
Off-Highway Vehicle Trail #0574		-	266,407	-	-	-	266,407	(23,035)	24,004	-	267,376
Illinois Pan Hellenic Trust #0584		-	-	-	45,350	-	45,350	(4,225)	4,625	-	45,750
Park District Youth Program #0585		-	-	-	26,225	-	26,225	(2,850)	2,750	-	26,125
Hospice #0586		-	-	-	3,300	-	3,300	(319)	378	-	3,359
Professional Sports Team #0587		-	-	-	486,800	-	486,800	(31,850)	54,500	-	509,450
September 11th #0588		-	-	-	129,975	-	129,975	(12,750)	12,300	-	129,525
Illinois Route 66 Heritage Project #0594		-	-	-	138,750	-	138,750	(13,575)	15,225	-	140,400
Police Memorial Committee #0598		-	-	-	164,913	-	164,913	(14,935)	15,582	-	165,560
Mammogram #0599		-	-	-	131,669	-	131,669	(13,794)	13,121	-	130,996
Motor Vehicle License Plate #0622		-	11,663,148	250,516	-	30	11,913,694	(1,074,826)	1,033,258	(30)	11,872,096
Special Olympics #0623		-	-	-	13,605	-	13,605	(1,390)	1,855	-	14,070
Chicago Police Memorial #0639		-	-	-	8,897	-	8,897	-	1,196	-	10,093
Il Police Association #0655		-	-	-	47,537	-	47,537	(3,399)	5,233	-	49,371
Organ Donor Awareness #0716		-	-	-	181,238	-	181,238	(17,751)	17,153	-	180,640
Illinois Future Teachers Corps Scholarship #0753		-	-	-	45,766	-	45,766	(3,810)	4,555	-	46,511
Marine Corps Scholarship #0760		-	-	-	93,419	-	93,419	(8,623)	9,248	-	94,044
Pet Overpopulation #0764		-	-	-	140,700	-	140,700	(13,700)	15,300	-	142,300
Illinois EMS Memorial Scholarship and Training #0800		-	-	-	156	-	156	(48)	-	-	108
International Brotherhood of Teamsters #0803		-	-	-	25	-	25	-	-	-	25
Share the Road #0854		-	-	-	12,631	-	12,631	(2,057)	(1,848)	-	8,726
Fraternal Order of Police #0867		-	-	-	5,566	-	5,566	-	861	-	6,427
International Registration Plan #0890		-	-	-	96,724,963	-	96,724,963	-	-	-	96,724,963
St. Judes Childrens Research		-	-	-	2,400	-	2,400	-	-	-	2,400
State Construction #0902		-	-	-	465,917,382	-	465,917,382	(70,123,996)	68,765,845	-	464,559,231
4-H #0915		-	-	-	3,125	-	3,125	(425)	-	-	2,700
Ducks Unlimited #0918		-	-	-	1,550	-	1,550	(100)	-	-	1,450
Park and Conservation #0962		-	5,238,224	-	-	-	5,238,224	(474,898)	460,904	-	5,224,230
		\$ 3,721,282	\$ 17,167,779	\$ 250,516	\$ 579,812,177	\$ (1,500)	\$ 600,950,254	\$ (74,089,327)	\$ 72,616,648	\$ 1,500	\$ 599,479,075

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
Year Ended June 30, 2012
Drivers Services Sourced Funds

Fund Source	Operators' license and related fees	Automotive dealer fees	Reinstate operators license	Installation fees-Indigent BAIID	Federal government	Private organizations or individuals	Prior period adjustments	Total Cash Receipts per Comptroller	Receipts In Transit To Comptroller		Prior period adjustments	Total Agency Receipts, FY2012
								6/30/11	6/30/12			
Drivers Education #0031	\$ 11,789,362	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 11,789,362	\$ (1,100,831)	\$ 1,014,924	\$ -	\$ 11,703,455
CDLIS/AAMVA Net Trust #0109	680,856	-	-	-	-	-	-	680,856	(59,250)	52,140	-	673,746
Drunk & Drugged Driving Prevention #0276	-	-	1,905,300	-	-	-	-	1,905,300	(169,720)	165,100	-	1,900,680
Family Responsibility #0322	-	-	122,040	-	-	-	-	122,040	(9,870)	11,190	-	123,360
Motor Vehicle Review Board #0323	-	252,555	-	-	-	-	-	252,555	(455)	300	-	252,400
Safety Responsibility #0436	-	-	-	-	-	647,698	-	647,698	(43,250)	25,450	-	629,898
Indigent BAIID #0451	-	-	-	186,460	-	-	-	186,460	(1,954)	16,504	-	201,010
Monitoring Device Driving Permit #0453	1,734,265	-	-	-	-	-	-	1,734,265	(147,150)	142,060	-	1,729,175
Secretary of State ID Security #0480	-	-	-	-	773,098	-	130	773,228	-	-	(130)	773,098
Motor Carrier Safety Inspection #0649	2,271,100	-	-	-	-	-	-	2,271,100	(197,500)	173,780	-	2,247,380
Secretary of State DUI Administration #0732	2,050,120	-	-	-	-	-	9	2,050,129	(185,460)	190,140	(9)	2,054,800
Cycle Rider Safety Training #0863	4,719,630	-	-	-	-	-	-	4,719,630	(551,940)	518,277	-	4,685,967
Secretary of State's Grant #0948	-	-	-	-	-	12,381	-	12,381	-	-	-	12,381
	<u>\$ 23,245,333</u>	<u>\$ 252,555</u>	<u>\$ 2,027,340</u>	<u>\$ 186,460</u>	<u>\$ 773,098</u>	<u>\$ 660,079</u>	<u>\$ 139</u>	<u>\$ 27,145,004</u>	<u>\$ (2,467,380)</u>	<u>\$ 2,309,865</u>	<u>\$ (139)</u>	<u>\$ 26,987,350</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
Year Ended June 30, 2012
Business Services Sourced Funds

Fund	Source	Corporation	Limited Liability	Prior period	Total Cash	Receipts In Transit To		Total Agency
		taxes and fees	Partnership	adjustments	Receipts per Comptroller	6/30/11	6/30/12	Receipts, FY2012
Registered Limited Liability Partnership #0167		\$ -	\$ 465,462	\$ -	\$ 465,462	\$ (21,359)	\$ 37,675	\$ 481,778
Dept of Business Services Special Operations #0363		11,776,140	-	-	11,776,140	(436,639)	510,802	11,850,303
Corporate Franchise Tax Refund #0380		3,056,596	-	-	3,056,596	(102,239)	76,762	3,031,119
Charitable Trust Stabilization #0435		428,250	-	-	428,250	(13,625)	16,855	431,480
		<u>\$ 15,260,986</u>	<u>\$ 465,462</u>	<u>\$ -</u>	<u>\$ 15,726,448</u>	<u>\$ (573,862)</u>	<u>\$ 642,094</u>	<u>\$ 15,794,680</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE OF CASH RECEIPTS BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
Year Ended June 30, 2012
Other Department Sourced Funds

Source	License fees or registration	Fines, penalties and seizures	Use fees and dues	Private organizations or individuals	Federal government	Subscriptions of publications sales	Prior period adjustments	Total Cash Receipts per Comptroller	Receipts In Transit To Comptroller		Prior period adjustments	Total Agency Receipts, FY2012
Department/Fund									6/30/11	6/30/12		
Index												
Lobbyist Registration Administration #0044	\$ 1,114,203	\$ 131,900	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,246,103	\$ (26,400)	\$ 16,400	\$ -	\$ 1,236,103
General Assembly Computer Equipment Revolving #0155	-	-	-	-	-	1,460	-	1,460	-	870	-	2,330
	1,114,203	131,900	-	-	-	1,460	-	1,247,563	(26,400)	17,270	-	1,238,433
Securities												
Securities Investors Education #0292	5,385,270	-	-	-	-	-	-	5,385,270	(3,500)	1,400,140	-	6,781,910
Securities Audit & Enforcement #0362	9,226,200	100	-	-	-	-	-	9,226,300	(164,050)	172,219	-	9,234,469
	14,611,470	100	-	-	-	-	-	14,611,570	(167,550)	1,572,359	-	16,016,379
Library												
Library Services #0470	-	-	-	-	6,366,030	-	1,565	6,367,595	(3,410)	-	(1,565)	6,362,620
State Library #0471	-	1,475	5,121	3,050	-	-	-	9,646	-	147	-	9,793
	-	1,475	5,121	3,050	6,366,030	-	1,565	6,377,241	(3,410)	147	(1,565)	6,372,413
Physical Services												
General Obligation Bond Retirement and Interest #0101	-	-	11,232	-	-	-	-	11,232	-	-	-	11,232
State Parking Facility Maintenance #0782	-	-	2,808	-	-	-	-	2,808	-	-	-	2,808
	-	-	14,040	-	-	-	-	14,040	-	-	-	14,040
Police												
Secretary of State Police DUI #0758	-	13,910	-	-	-	-	-	13,910	-	-	-	13,910
Secretary of State Police Services #0759	392,505	5,001	-	-	-	-	-	397,506	(15,267)	23,936	-	406,175
	392,505	18,911	-	-	-	-	-	411,416	(15,267)	23,936	-	420,085
Total	\$ 16,118,178	\$ 152,386	\$ 19,161	\$ 3,050	\$ 6,366,030	\$ 1,460	\$ 1,565	\$ 22,661,830	\$ (212,627)	\$ 1,613,712	\$ (1,565)	\$ 24,061,350

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES

For the Two Years Ended June 30, 2013

The structure of the Office's General Revenue (GRF) appropriations for fiscal years 2010 and 2011 were significantly different than what the Office historically had received dating back to the 1970's. In fiscal year 2011, the Office again received a GRF lump sum approximation while the historical appropriation has always been based on major expenditure categories, such as Contractual Services and Travel. In fiscal years 2012 and 2013, the General Assembly went back to the more traditional appropriation structure.

The following are explanations of variations in expenditures which exceed \$ 200,000 and 20% or more as compared to the prior year:

Operations:

1. Personal Services: Regular positions increased by \$ 179,418,145 (2,554%) between fiscal years 2011 and 2012. The increase was a result of the change in appropriation structure discussed in the opening paragraph above.
2. Personal Services: Extra help increased by \$ 7,209,090 (15,124%) between fiscal years 2011 and 2012. The increase was a result of the change in appropriation structure discussed in the opening paragraph above.
3. Personal Services: Employee retirement contributions increased by \$ 3,736,464 (2,602%) between fiscal years 2011 and 2012. The increase was a result of the change in appropriation structure discussed in the opening paragraph above.
4. Group Insurance expenditures increased \$ 928,190 (52%) between fiscal years 2011 and 2012 and increased by \$ 1,073,979 (40%) in 2013. The increases are due to a combination of increases in group insurance rates and a reallocation of resources.
5. State Retirement Fund Contributions expenditures increased \$ 1,386,575 (70%) between fiscal years 2011 and 2012. This increase is due to a combination of the change in appropriation structure discussed above and a reallocation of resources.
6. Social Security Contributions increased by \$ 13,509,782 (2,567%) between fiscal years 2011 and 2012. The increase was a result of the change in appropriation structure discussed in the opening paragraph above.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES - CONTINUED

For the Two Years Ended June 30, 2013

7. Contractual Services increased by \$ 28,931,732 (460%) between fiscal years 2011 and 2012. The increase was a result of the change in appropriation structure discussed in the opening paragraph above.
8. Travel increased by \$ 375,760 (1,481%) between fiscal years 2011 and 2012. The increase was a result of the change in appropriation structure discussed in the opening paragraph above.
9. Printing expenditures increased \$ 439,320 (40%) between fiscal years 2011 and 2012. The increase was a result of the change in appropriation structure discussed in the opening paragraph above.
10. Commodities expenditures increased by \$ 1,124,032 (55%) between fiscal years 2011 and 2012. The decrease was a result of the change in appropriation structure discussed in the opening paragraph above.
11. Equipment expenditures increased by \$ 2,119,394 (5,477%) between fiscal years 2011 and 2012 and then increased by \$ 469,230 (22%) in 2013. The increase in 2012 was a result of the change in appropriation structure discussed in the opening paragraph above. The increase in 2013 was due to a one-time purchase of a large Xerox printer, an inserter mail machine and credit card machines for use in the Office's various facilities throughout the State.
12. Telecommunications increased by \$ 1,649,885 (391%) between fiscal years 2011 and 2012. The increase was a result of the change in appropriation structure discussed in the opening paragraph above.
13. Operation of Automotive Equipment increased by \$ 1,023,692 (394%) between fiscal years 2011 and 2012. The increase was a result of the change in appropriation structure discussed in the opening paragraph above.
14. Operational Expenditures decreased by \$ 243,336,170 (100%) between fiscal years 2011 and 2012. The decrease was a result of the change in appropriation structure discussed in the opening paragraph above.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES - CONTINUED

For the Two Years Ended June 30, 2013

15. Lobbyist Registration Administration lump sum expenditures decreased \$ 298,915 (100%) between fiscal years 2011 and 2012. The decrease was due to a change in the appropriation structure of the fund where a lump sum appropriation was given to pay for the administration of the Lobbyist Registration program mostly in the form of personnel related expenditures in fiscal year 2011 and the lump sum was rolled into regular operations in fiscal year 2012.
16. Interagency Grant Fund – non appropriated expenditures decreased \$ 1,010,609 (37%) between fiscal years 2011 and 2012 and increased by \$ 350,032 (21%) between fiscal years 2012 and 2013. The decrease was due to a grant received in 2011 only from the Department of Commerce and Economic Opportunity to target computer training through Illinois public libraries to low income individuals located in Illinois. The Office expended \$ 973,000 in fiscal year 2011 for this grant. The increase in 2013 was due to grants received from the Illinois Emergency Management Agency from the Homeland Security Grant Program for purchases related to the Secretary of State Bomb Squad.
17. Securities Investors' Education Fund expenditures increased \$ 927,805 (489%) between fiscal years 2012 and 2013. Expenditures related to a media advertising campaign executed in 2013 were \$ 925,751. There were no media related expenditures in 2012.
18. Monitoring Device Driving Permit (MDDP) expenditures increased \$ 809,574 (106%) between fiscal years 2011 and 2012. 2010 was the first full year of this program and as more offenders were added to the program from 2010-2012, the costs associated with the administration of the MDDP program have increased.
19. Secretary of State ID Security Fund expenditures decreased \$ 1,253,030 (33%) between fiscal years 2011 and 2012 and decreased \$ 1,080,521 (43%) in 2013. This funds purpose is to improve the State's Identification Security measures. Expenditures in the fund have been made to improve the equipment and systems used to ensure the Office is using the most up-to-date technology in order to ensure the highest standards for Identification Security are being used to protect the citizens of the State. These types of projects vary greatly in size and expense from year to year.
20. Transfer to the Common School Fund: These expenditures were related to the Professional Sports Team License Plate Fund which required the Secretary of State to transfer the funds available to the Common School Fund every 6 months when appropriated. The Office received the appropriation for this transfer in fiscal year 2012. Public Act 97-0914 changed the legislation to require the Comptroller to make the transfer beginning in fiscal year 2013.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES - CONTINUED

For the Two Years Ended June 30, 2013

Awards and Grants

21. Literacy Program expenditures increased \$ 3,692,333 between fiscal years 2011 and 2012. This was a result in the Office's awards and grants appropriation being cut by approximately \$ 10,000,000 in the 2010 and 2011 budgets with those grants being restored in 2012.
22. Library Service to Blind expenditures increased \$ 848,859 between fiscal years 2011 and 2012. This was a result in the Office's awards and grants appropriation being cut by approximately \$ 10,000,000 in the 2010 and 2011 budgets with those grants being restored in 2012.

Lump Sums and Other Purposes

23. Capitol Police expenditures increased \$ 2,618,165 between fiscal years 2012 and 2013. The increase was due to the Capitol Police appropriation being included in the lump sum operational expenditure appropriation in fiscal year 2013.

Permanent Improvements

24. Permanent Improvements expenditures increased \$ 4,141,245 (873%) between fiscal years 2011 and 2012 and decreased \$ 3,365,583 (73%) between fiscal years 2012 and 2013. There are generally over 30 projects completed each year, each of which varies significantly in scope and cost. In FY12, SOS replaced the Steam Absorption Chiller in the Stratton Building, which was the main cause of the year over year expenditure fluctuations.

Refunds

25. Corporate Franchise Tax Refunds decreased by \$ 890,021 (89%) between fiscal years 2012 and 2013. The Office issues refunds upon request when corporations pay more franchise taxes than required. These refunds are dependent on refund requests and have a tendency to fluctuate from year to year. In fiscal year 2012, two companies received refunds totaling \$ 656,014 or about 66% of the total refunds paid.
26. Constitutional amendment expenditures increased \$ 1,000,000 between fiscal years 2012 and 2013. The increase was due to mailing costs to notify taxpayers of a constitutional amendment initiative to be included on the November 2012 election ballot. There was no initiative in the previous fiscal year.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS

For the Two Years Ended June 30, 2013

The following are explanations of variations in receipts which exceed \$ 200,000 and 20% or more as compared to the prior year:

1. Uniform Limited Partnership (ULP): The decrease in ULP receipts of \$ 1,017,863 or 52.9% in fiscal year 2012 and the increase of \$ 515,967 or 56.9% in fiscal year 2013 was due to a clerical error as the Office miscoded the receipt account code for deposits that should have been coded to Limited Liability Corporation in fiscal year 2011, and the subsequent correction was made in fiscal year 2012. The normal level of receipts in this revenue category is between \$ 1.4 million and \$ 1.5 million annually. Both of these account codes are deposited into the General Revenue Fund, so there was no financial impact to the coding error.
2. Federal government – State Library: The increase in federal government receipts of \$ 1,710,493 or 36.7% in fiscal year 2012 was due to the timing of getting sub grants in place for the State Library Grant Program in fiscal year 2012 compared to fiscal year 2011. The federal award amounts were similar year over year, and the federal fiscal year is the determining factor in spending/receiving these federal grant funds. The fiscal year 2012 amounts reverted back to normal.
3. License fees or registration: Due to a lawsuit filed in fiscal year 2010 concerning the amount of lobbyist registration fees, the Office was enjoined from collecting fees until resolution. The resolution to the suit came during fiscal year 2011, which resulted in most of the fiscal year 2010 fees and all of the fiscal year 2011 fees being collected in fiscal year 2011. Fiscal year 2012 and fiscal year 2013 fees reverted back to normal.
4. Other state agencies: The Office received a grant from the Illinois Department of Commerce and Economic Opportunity to Eliminate the Digital Divide through grants to community libraries. This was a one-time grant in fiscal year 2011 for \$ 1,000,000, with an extension and additional funds made available in fiscal year 2013 of approximately \$ 480,000.
5. Federal government - All Departments: The increase in federal government receipts represent additional funds from one time grants made available from the U.S. Department of Homeland Security through the Illinois Emergency Management Agency for improvements to capital security and capital purchases for the Secretary of State Police's Bomb Squad Unit.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS - CONTINUED

For the Two Years Ended June 30, 2013

6. Private organizations or individuals: In fiscal year 2010, the Office received a one time grant from the Gates Foundation to assist and supply community libraries in expanding their computer capabilities. The grant was completed in fiscal year 2011 which eliminated the revenue source in fiscal year 2012.
7. Federal government: The Office received grants from the U.S. Department of Transportation to update CDL technology and systems beginning in fiscal year 2010. The Office did more work on the grants in fiscal year 2012 leading to an increase in reimbursements. One of the projects was completed in fiscal year 2012, which then led to the decrease in fiscal year 2013.
8. Certificate of title fees: These fees were increased by the General Assembly effective January 1, 2013 (midway through fiscal year 2013) resulting in an increase of fees collected in fiscal year 2013 over fiscal year 2012 of \$ 45,281,769 (22.2%).
9. Motor Vehicle Theft Prevention Council: This amount represents grant receipts from the Motor Vehicle Theft Prevention Council (Council). The \$ 217,165 (20.4%) increase in fiscal year 2012 in these program receipts is due to the timing of reimbursement requests of the Office from the Council.
10. Prior period adjustments: Prior period adjustments are 1) the refund of an expended appropriation from a prior year and 2) the correction of revenues that were posted to the wrong fund in a prior year. The correction of revenues net effect for the current period will be zero because each adjustment will have a source and a destination fund. The remainder is prior year refunds.

The Office has over 40 operational appropriated funds and over \$ 440 million in annual appropriations. The volume of vouchers processed and the large sum of funds expended each year typically result in the fluctuation of prior year refunds reported.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT LAPSE SPENDING
Year Ended June 30, 2013

	Expenditures July 1 to August 31	Expenditures 14 Months Ended August 31	% of Total Expenditures
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The State of Illinois, Office of the Secretary of State's explanations of lapse period expenditures which exceed \$ 10,000 and 20% of total fiscal year expenditures are detailed below:

GENERAL REVENUE FUND - 001

EXECUTIVE GROUP

EQUIPMENT 01-1500	\$ 72,397	\$ 86,391	83.80%
Equipment items frequently have a long time period between the beginning of the approval process, actually ordering the equipment, and paying the bill after receipt. Significant lapse period expenditures included vehicles and office workstations.			

GENERAL ADMINISTRATIVE GROUP

PRINTING 10-1302	233,880	445,292	52.52%
Significant lapse period expenditures were for the printing of Illinois highway maps and various sizes of paper.			

EQUIPMENT 10-1500	1,332,744	1,595,428	83.54%
Equipment items frequently have a long time period between the beginning of the approval process, actually ordering the equipment, and paying the bill after receipt. Significant lapse period expenditures included a mail inserter machine, facility servers and a large Xerox printer.			

AWARDS & GRANTS 10-4900	16,850	30,452	55.33%
This was for the Annual Library Technology Grants. Due to the timing of the grant process, these grants were not awarded until lapse period.			

MOTOR VEHICLE GROUP

COMMODITIES 51-1300	117,546	302,842	38.81%
Significant lapse period expenditures include printer toner and facility chairs and stools.			

PRINTING 51-1302	178,242	783,847	22.74%
Significant lapse period expenditures were for the printing of Rules of the Road, voter registration cards and window envelopes.			

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ANALYSIS OF SIGNIFICANT LAPSE SPENDING - CONTINUED

Year Ended June 30, 2013

	Expenditures July 1 to August 31	Expenditures 14 Months Ended August 31	% of Total Expenditures
EQUIPMENT 51-1500	\$ 687,086	\$ 921,953	74.53%
Equipment items frequently have a long time period between the beginning of the approval process, actually ordering the equipment, and paying the bill after receipt. Significant lapse period expenditures included credit card machines, check scanners, shredders and vision test machines.			
ACCESSIBLE ELECTRONIC INFORMATION SERVICE -106			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
AWARDS & GRANTS 10-4900	14,019	56,075	25.00%
This grant pays for Newline for the National Federation of the Blind quarterly and the last quarter bill was not received until lapse period.			
SECRETARY OF STATE SPECIAL LICENSE PLATE FUND - 185			
<u>MOTOR VEHICLE GROUP</u>			
PRINTING 51-1302	89,800	92,995	96.56%
Significant lapse period expenditures were for the printing of motorcycle temporary registration permits.			
SECURITIES INVESTOR EDUCATION - 292			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
LUMP SUM 10-1900	813,964	1,117,674	72.83%
Significant lapse period expenditures were for an investor education media buy.			

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ANALYSIS OF SIGNIFICANT LAPSE SPENDING - CONTINUED

Year Ended June 30, 2013

	Expenditures July 1 to August 31	Expenditures 14 Months Ended August 31	% of Total Expenditures
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INTERAGENCY GRANT FUND - 295 (non-appropriated)

GENERAL ADMINISTRATIVE GROUP

LUMP SUM 51-1910	\$ 93,600	\$ 93,600	100.00%
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This grant mostly paid for equipment. Equipment items frequently have a long time period between the beginning of the approval process, actually ordering the equipment, and paying the bill expenditures after receipt. Significant lapse period included items for the bomb squad.

INDIGENT BAIID FUND - 451

MOTOR VEHICLE GROUP

LUMP SUM 51-1900	48,910	191,394	25.55%
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This fund reimburses BAIID providers for those devices installed free of charge for individuals deemed indigent. Payments are made quarterly based on prior quarter activity, thus 4th quarter payments were approximately 25% of total payments made.

IL PGA FOUNDATION JUNIOR GOLF FUND - 463

GENERAL ADMINISTRATIVE GROUP

AWARDS & GRANTS 10-4400	12,500	37,500	33.33%
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Grant money is issued quarterly and the last payment was not paid until lapse period.

AGRICULTURE IN THE CLASSROOM - 466

GENERAL ADMINISTRATIVE GROUP

AWARDS & GRANTS 10-4400	17,500	70,000	25.00%
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Grant money is issued quarterly and the last payment was not paid until lapse period.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ANALYSIS OF SIGNIFICANT LAPSE SPENDING - CONTINUED

Year Ended June 30, 2013

	Expenditures July 1 to August 31	Expenditures 14 Months Ended August 31	% of Total Expenditures
SECRETARY OF STATE SPECIAL SERVICES FUND - 483			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
AWARDS & GRANTS 10-4900	\$ 926,561	\$ 1,588,575	58.33%
This was for the Annual Library Technology Grants. Due to the timing of the grant process, some grants were not awarded until lapse period.			
POLICE MEMORIAL COMMITTEE - 598			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
AWARDS & GRANTS 10-4400	50,000	200,000	25.00%
Grant money is issued quarterly and the last payment was not paid until lapse period.			
MAMMOGRAM FUND - 599			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
AWARDS & GRANTS 10-4400	35,000	140,000	25.00%
Grant money is issued quarterly and the last payment was not paid until lapse period.			
ORGAN DONOR AWARENESS - 716			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
AWARDS & GRANTS 10-4400	56,250	225,000	25.00%
Grant money is issued quarterly and the last payment was not paid until lapse period.			
MARINE CORPS SCHOLARSHIP FUND - 760			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
AWARDS & GRANTS 10-4400	25,000	100,000	25.00%
Grant money is issued quarterly and the last payment was not paid until lapse period.			

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT LAPSE SPENDING
Year Ended June 30, 2012

	Expenditures July 1 to December 31	Expenditures 18 Months Ended December 31	% of Total Expenditures
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The State of Illinois, Office of the Secretary of State's explanations of lapse period expenditures which exceed \$ 10,000 and 20% of total fiscal year expenditures are detailed below:

GENERAL REVENUE FUND - 001

EXECUTIVE GROUP

CONTRACTUAL SERVICES 01-1200	\$ 141,293	\$ 431,441	32.75%
There were some large expenditures for legal fees that were not received by the Office until the lapse period.			

COMMODITIES 01-1300	15,808	39,159	40.37%
Certain commodity items have a long time period between the beginning of the approval process, actually ordering the equipment, and paying the bill after receipt. Significant lapse period expenditures included the purchase of task chairs for the Office.			

EQUIPMENT 01-1500	73,582	83,908	87.69%
Equipment items frequently have a long time period between the beginning of the approval process, actually ordering the equipment, and paying the bill after receipt. Significant lapse period expenditures included crosscut shredders and copy machines.			

GENERAL ADMINISTRATIVE GROUP

PRINTING 10-1302	126,130	356,706	35.36%
Significant lapse period expenditures were for the printing of various types and sizes of paper.			

EQUIPMENT 10-1500	1,094,108	1,477,578	74.05%
Equipment items frequently have a long time period between the beginning of the approval process, actually ordering the equipment, and paying the bill after receipt. Significant lapse period expenditures included a disk storage system and copy machines.			

AWARDS & GRANTS 10-4900	15,295	15,295	100.00%
This was for the Annual Library Technology Grants. Due to the timing of the grant process, these grants were not awarded until lapse period.			

AWARDS & GRANTS 10-4900	210,889	848,859	24.84%
This was for the Blind & Physically Handicapped Grant. Due to the timing of the grant process, some of these grants were not awarded until lapse period.			

PERMANENT IMPROVEMENTS 10-6900	129,697	419,063	30.95%
Due to the time requirements from the beginning of the approval process, through the letting of a bid and start and completion of work, many projects are not completed until late in the fiscal year, causing extensive expenditures in the lapse period. Some of the large projects include replacing hot water piping in the Power Plant and repair of phone lines due to a broken steam pipe.			

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ANALYSIS OF SIGNIFICANT LAPSE SPENDING - CONTINUED

Year Ended June 30, 2012

	Expenditures July 1 to December 31	Expenditures 18 Months Ended December 31	% of Total Expenditures
<u>MOTOR VEHICLE GROUP</u>			
EQUIPMENT 51-1500	\$ 320,617	\$ 561,286	57.12%
Equipment items frequently have a long time period between the beginning of the approval process, actually ordering the equipment, and paying the bill after receipt. Significant lapse period expenditures included copy machines and scanners.			
LIVE & LEARN FUND - 026			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
AWARDS & GRANTS 10-4900	298,848	298,848	100.00%
This was for the Annual Library Technology Grants. Due to the timing of the grant process, these grants were not awarded until lapse period.			
ACCESSIBLE ELECTRONIC INFORMATION SERVICE -106			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
AWARDS & GRANTS 10-4900	13,305	53,822	24.72%
This grant pays for Newsline for the National Federation of the Blind quarterly and the last quarter bill was not received until lapse period.			
INTERAGENCY GRANT FUND - 295 (non-appropriated)			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
AWARDS & GRANTS 10-4900	52,303	52,837	98.99%
This grant mostly paid for equipment. Equipment items frequently have a long time period between the beginning of the approval process, actually ordering the equipment, and paying the bill expenditures after receipt. Significant lapse period included technology purchases.			
LUMP SUM 51-1900	147,388	276,018	53.40%
This grant mostly paid for equipment. Equipment items frequently have a long time period between the beginning of the approval process, actually ordering the equipment, and paying the bill expenditures after receipt. Significant lapse period included items for the SOS bomb squad.			
MOTOR VEHICLE REVIEW BOARD FUND - 323			
<u>MOTOR VEHICLE GROUP</u>			
CONTRACTUAL SERVICES 51-1200	14,000	38,999	35.90%
Expenditures for legal fees that were not able to be paid until the lapse period.			

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ANALYSIS OF SIGNIFICANT LAPSE SPENDING - CONTINUED

Year Ended June 30, 2012

	Expenditures July 1 to December 31	Expenditures 18 Months Ended December 31	% of Total Expenditures
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SECURITIES AUDIT & ENFORCEMENT - 362

GENERAL ADMINISTRATIVE GROUP

EQUIPMENT 10-1500	\$ 16,553	\$ 19,209	86.17%
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Equipment items frequently have a long time period between the beginning of the approval process, actually ordering the equipment, and paying the bill after receipt. Significant lapse period expenditures included the purchase of copy machines.

DEPT OF BUSINESS SERVICES SPECIAL OPERATIONS FUND - 363

GENERAL ADMINISTRATIVE GROUP

EQUIPMENT 10-1500	12,597	12,597	100.00%
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Equipment items frequently have a long time period between the beginning of the approval process, actually ordering the equipment, and paying the bill after receipt. Significant lapse period expenditures included the purchase of copy machines.

INDIGENT BAID FUND - 451

MOTOR VEHICLE GROUP

LUMP SUM 51-1900	52,321	197,288	26.52%
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This fund reimburses BAID providers for those devices installed free of charge for individuals deemed indigent. Payments are made quarterly based on prior quarter activity, thus 4th quarter payments were approximately 25% of total payments made.

AGRICULTURE IN THE CLASSROOM - 466

GENERAL ADMINISTRATIVE GROUP

AWARDS & GRANTS 10-4400	15,000	60,000	25.00%
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Grant money is issued quarterly and the last payment was not paid until lapse period.

SECRETARY OF STATE SPECIAL SERVICES FUND - 483

GENERAL ADMINISTRATIVE GROUP

AWARDS & GRANTS 10-4900	975,585	1,585,359	61.54%
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This was for the Annual Library Technology Grants. Due to the timing of the grant process, some grants were not awarded until lapse period.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ANALYSIS OF SIGNIFICANT LAPSE SPENDING - CONTINUED

Year Ended June 30, 2012

	Expenditures July 1 to December 31	Expenditures 18 Months Ended December 31	% of Total Expenditures
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MASTER MASON FUND - 508

GENERAL ADMINISTRATIVE GROUP

AWARDS & GRANTS 10-4400	\$ 10,445	\$ 47,945	21.79%
Grant money is based on the annual percentage distribution of license plates sold and resolution was not finalized until late in the fiscal year, which caused some expenditures to be paid in lapse period.			

MAMMOGRAM FUND - 599

GENERAL ADMINISTRATIVE GROUP

AWARDS & GRANTS 10-4400	35,000	140,000	25.00%
Grant money is issued quarterly and the last payment was not paid until lapse period.			

MARINE CORPS SCHOLARSHIP FUND - 760

GENERAL ADMINISTRATIVE GROUP

AWARDS & GRANTS 10-4400	17,500	70,000	25.00%
Grant money is issued quarterly and the last payment was not paid until lapse period.			

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF ACCOUNTS RECEIVABLE

For the Two Years Ended June 30, 2013

The Office's receivable balances consist of amounts due from the federal government for grant programs, corporate franchise taxes receivable, and various fees due the Office (and also includes amounts owed to the Office for checks returned for same.)

Accounts receivable balances (amounts expressed in thousands) at June 30, 2013 and 2012, are summarized as follows:

	<u>2013</u>	<u>2012</u>
Taxes receivable	\$ 2,177	\$ 2,725
Due from federal government	26	317
Other receivables	<u>5,350</u>	<u>6,523</u>
	<u>\$ 7,553</u>	<u>\$ 9,565</u>

An aging schedule of the Office's accounts receivable (amounts expressed in thousands) at June 30, 2013, is presented below:

<u>Fund</u>	<u>Current</u>	<u>1 to 30 Days</u>	<u>31 to 90 Days</u>	<u>91 to 180 Days</u>	<u>181 to 365 Days</u>	<u>Over 365 Days</u>	<u>Total</u>
General Revenue	\$ 1,871	\$ 48	\$ 93	\$ 71	\$ 220	\$1,189	\$3,492
Road	1,887	175	167	111	136	2,133	4,609
Drivers' Education	-	1	1	1	1	6	10
Securities Audit & Enforcement	31	-	-	5	120	1,658	1,814
Indigent BAIID	16	-	-	-	-	-	16
Library Service	6	-	-	-	-	-	6
Secretary of State Special Services	20	-	-	-	-	-	20
Secretary of State IRP	-	-	-	-	-	15	15
State Library Trust	-	-	-	-	-	4	4
State Construction Account	1,106	102	98	65	80	1,253	2,704
Total	<u>\$ 4,937</u>	<u>\$ 326</u>	<u>\$ 359</u>	<u>\$ 253</u>	<u>\$ 557</u>	<u>\$6,258</u>	12,690
Accrued interest receivable -- all funds							5
Allowance for uncollectible accounts							<u>(5,142)</u>
Net Receivable							<u>\$7,553</u>

The Office utilizes internal collection techniques, the Comptroller Offset System, the Attorney General and private collection agencies to collect unpaid receivables.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

AGENCY FUNCTIONS AND PLANNING PROGRAM
(UNAUDITED)

For the Two Years ended June 30, 2013

Jesse White, Illinois' 37th Secretary of State, assumed the office on January 11, 1999. The principal office of the Office is in the State Capitol, 213 Capitol Building, Springfield, Illinois 62756. The Secretary also maintains administrative offices in Chicago, as well as facilities throughout the State for drivers' licenses and motor vehicle related services.

The diverse responsibilities of this Office include the registering and titling of motor vehicles; issuing drivers' licenses; scheduling and conducting formal and informal hearings on driving privileges and registration matters; administering Safety and Financial Responsibility laws; maintaining a vast data processing system which also assists law enforcement with immediate driver and motor vehicle information; and maintaining a Department of Police to enforce compliance with the provisions of the Illinois Vehicle Code, investigate code violations and maintain security at the Capitol Complex area in Springfield.

Other responsibilities include issuing corporate charters and certificates; registering dealers, brokers, agents, solicitors and investment advisors for securities; regulating the issuance of securities and enforcement of the Illinois Securities Law; retaining Uniform Commercial Code filings reflecting security interests of creditors financing businesses on the basis of secured transactions; oversight of the state library system through the Illinois State Library; storing, maintaining and preserving state historical documents and records at the Illinois State Archives and maintaining the organ donation registry to match donors with recipient needs.

The Office is responsible for filing a significant number of legal and statutory documents, including Public Acts passed by the General Assembly, gubernatorial and amendatory vetoes, as well as registering trademarks, copyrights, notaries public and lobbyists. The Secretary serves as the Illinois State Librarian, State Archivist, and Ex-officio Clerk of the Court of Claims. The Office publishes the Rules of the Road, the Handbook of Illinois Government, the Illinois Blue Book, the Illinois Administrative Code, as well as other general educational materials for public distribution.

The Secretary of State has many departments that administer the various functions and duties prescribed by law and carry out the aforementioned responsibilities. The diversity of these responsibilities necessitates a comprehensive planning program.

The Office has a planning program, in which individual department goals are developed and updated at least annually. Upper management oversees the individual departments and is ultimately responsible for establishing Office-wide goals that will be accomplished if the individual department goals are met. The Office's plans consider resources, alternative approaches, and the feasibility of implementing programs pursuant to the plan and time schedule. External considerations include the needs of people of Illinois, legislative mandates and coordination with goals and objectives of other agencies for providing similar services.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ANALYSIS OF PERSONAL SERVICES
(UNAUDITED)

The following schedules show the average number of Illinois Office of the Secretary of State employees for the years ended June 30, 2013, 2012, and 2011, and the expenditures from the personal service accounts for those fiscal years. Extra help consists of student workers, intermittent employees and other temporary help.

Average Number of Employees For the Year Ended June 30						
2013		2012		2011		
Regular Positions	Extra Help	Regular Positions	Extra Help	Regular Positions	Extra Help	
Operating Groups:						
Executive group	79	3	78	4	3,348	339
General administrative group	948	22	1,015	38	135	1
Motor vehicle group	2,348	253	2,468	298	67	3
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Total average number of employees	3,375	278	3,561	340	3,550	343
<hr/>						
Expenditures Year Ended June 30 (Including lapse period expenditures)						
2013		2012		2011		
Operating Groups:						
Executive group	\$ 5,616,534	\$ 5,359,192			\$ 182,243,954	
General administrative group	63,703,342	67,412,316			9,663,563	
Motor vehicle group	124,663,008	130,095,378			3,389,828	
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Total expenditures	\$ 193,982,884	\$ 202,866,886			\$ 195,297,345	
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Detailed object code:						
Regular positions	\$ 179,557,809	\$ 186,444,252			\$ 7,026,107	
Extra help	6,407,739	7,256,758			47,668	
Lump sum	8,017,336	9,165,876			188,223,570	
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Total expenditures	\$ 193,982,884	\$ 202,866,886			\$ 195,297,345	
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See Analysis of Significant Variations in Expenditures for explanations of significant changes in personal service expenditures.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ACCOUNTING REVENUE SALES ANALYSIS AS REPORTED
BY VEHICLE SERVICES AND DRIVERS SERVICES
(UNAUDITED)

	Year ended June 30,		
	2013	2012	2011
Vehicle Services - vehicle registration and related fees			
Passenger car licenses	\$ 692,056,051	\$ 691,722,683	\$ 685,274,001
Taxi and livery licenses	1,476,867	1,439,070	1,434,813
Motorcycle licenses	92,000	91,169	84,750
Dealers - license and certificates of authority	1,235,933	1,251,833	1,614,260
M and U plates	46,466	43,964	38,637
<u>Trucks</u>			
Flat rate licenses	193,171,336	194,246,030	196,319,576
Mileage licenses	7,723,319	7,845,782	7,957,215
Mileage tax - current and prior	877,244	814,869	644,118
Conservation licenses	140,056	162,992	146,888
Other (Truckster and Farm)	66,500	73,750	79,250
International reciprocity plates	224,669,917	227,658,640	161,938,197
<u>Trailers</u>			
Regular licenses	29,928,510	30,094,843	29,165,227
Mileage licenses	242,920	235,280	254,460
Farm licenses	1,222,267	1,439,820	1,127,322
<u>Installments</u>			
Flat rate licenses	3,175,743	4,329,996	5,358,543
Bus licenses	29,290	266,410	36,880
Recreational vehicle and trailer licenses	5,500,369	5,643,214	5,720,555
Transfers	9,135,799	9,208,415	8,962,302
IRP - Transfers	254,670	249,690	229,455
Farm trailers and trucks	23,440,106	23,547,604	24,558,739

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ACCOUNTING REVENUE SALES ANALYSIS AS REPORTED
BY VEHICLE SERVICES AND DRIVERS SERVICES - CONTINUED
(UNAUDITED)

	Year ended June 30,		
	2013	2012	2011
<u>Other</u>			
Regular and calendar	\$ 2,090,445	\$ 2,180,231	\$ 2,234,266
Titles	170,831,945	170,902,163	164,341,054
Other - misc. account, trip permits, etc.	<u>364,907,005</u>	<u>352,292,615</u>	<u>352,886,042</u>
 Total motor vehicles sales	 1,732,314,758	 1,725,741,063	 1,650,406,550
 Total drivers' services - sales and related fees	 <u>155,388,388</u>	 <u>157,827,102</u>	 <u>161,094,406</u>
 Total vehicle, drivers' and related fees sales	 <u>\$ 1,887,703,146</u>	 <u>\$ 1,883,568,165</u>	 <u>\$ 1,811,500,956</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ACCOUNTING REVENUE SALES ANALYSIS AS REPORTED
BY VEHICLE SERVICES AND DRIVERS SERVICES -
VOLUME OF TRANSACTIONS
(UNAUDITED)

	Year ended June 30,		
	2013	2012	2011
<u>Vehicle Services - vehicle registration and related fees</u>			
Passenger car licenses	11,198,725	11,215,073	11,038,470
Taxi and livery licenses	20,677	20,182	20,317
Motorcycle licenses	2,015	1,985	1,864
Dealers - license and certificates of authority	67,964	67,255	72,543
M and U plates	5,649	4,905	4,447
<u>Trucks</u>			
Flat rate licenses	1,476,195	1,497,472	1,496,060
Mileage licenses	11,589	11,926	12,112
Mileage tax - current and prior	573	498	486
Conservation licenses	287	334	301
Other (Truckster and Farm)	270	298	324
International reciprocity plates	297,308	180,766	170,859
<u>Trailers</u>			
Regular licenses	725,606	739,923	725,460
Mileage licenses	642	614	668
Farm licenses	9,104	30,066	8,345
<u>Installments</u>			
Flat rate licenses	5,583	9,134	10,722
Bus licenses	2,964	26,736	3,709
Recreational vehicle and trailer licenses	119,511	123,078	123,351
Transfers	608,449	613,486	596,924
IRP - Transfers	16,978	16,646	15,297
Farm trailers and trucks	27,599	28,698	30,121

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ACCOUNTING REVENUE SALES ANALYSIS AS REPORTED
BY VEHICLE SERVICES AND DRIVERS SERVICES -
VOLUME OF TRANSACTIONS - CONTINUED
(UNAUDITED)

	Year ended June 30,		
	2013	2012	2011
<u>Other</u>			
Regular and calendar	27,439	29,777	28,712
Titles	3,075,778	3,075,544	2,879,605
Other - misc. account, trip permits, etc.	<u>25,505,147</u>	<u>22,312,041</u>	<u>22,238,471</u>
 Total motor vehicles sales	 43,206,052	 40,006,437	 39,479,168
 Total drivers' services - sales and related fees	 <u>16,692,147</u>	 <u>17,147,218</u>	 <u>17,451,491</u>
 Total vehicle, drivers' and related fees sales	 <u><u>59,898,199</u></u>	 <u><u>57,153,655</u></u>	 <u><u>56,930,659</u></u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ANALYSIS OF CASH RECEIPTS
(UNAUDITED)

For the Two Years Ended June 30, 2013

Department of Business Services

The second largest cash collecting department in the Office of the Secretary of State is the Department of Business Services, whose primary responsibility is administration of the Business Corporation Act. A total of 375,344 domestic and foreign business corporations filed returns under the Act reporting information which permits the Department to calculate the franchise tax, the largest source of receipts for this Department.

Franchise taxes and licenses fees are collected annually from each corporation. In addition, franchise taxes are payable to the Office upon stock issuances and on increases in paid-in capital. As a result, franchise tax collections fluctuate from year to year.

Other collections by the Department of Business Services include filing fees required with applications for articles of incorporation, certificates of authority of foreign corporations, articles of amendments, articles of merger or consolidation, certificates of withdrawal, reservations of name and filing fees collected from approximately 91,400 not-for-profit corporations.

The following table indicates the collections in the General Revenue Fund by the Department of Business Services for corporation taxes and fees in each of the last five fiscal years and the number of business corporations registered in Illinois in each of the last five calendar years:

Year ended <u>June 30</u>	Receipts <u>Amount</u>	<u>Number of Registered Corporations</u>			
		Year ended <u>December 31</u>	<u>Total</u>	<u>Domestic</u>	<u>Foreign</u>
2013	\$ 204,570,388	2012	374,335	341,347	32,988
2012	191,556,719	2011	373,882	341,300	32,582
2011	206,897,222	2010	375,344	342,968	32,376
2010	208,097,733	2009	378,720	346,128	32,592
2009	201,348,666	2008	385,948	352,881	33,067

The Uniform Commercial Code Division of the Department of Business Services collects fees through its administration of records involving security interests and commercial transactions as directed by the Uniform Commercial Code. A summary of the collections in the General Revenue Fund for the last five years follows:

Year ended <u>June 30</u>	<u>Amount</u>
2013	\$ 1,283,314
2012	1,321,334
2011	1,384,598
2010	1,304,523
2009	1,348,048

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ANALYSIS OF CASH RECEIPTS - CONTINUED
(UNAUDITED)

For the Two Years Ended June 30, 2013

Securities Department

The Securities Department administers the Illinois Securities Law of 1953 which relates to:

1. Registration of securities in the State of Illinois before public offering or sale.
2. Registration of securities dealers and salespersons.
3. Registration of investment advisers and investment adviser representatives.

Registration of securities for sale in the State and the related receipts fluctuate depending upon general economic and stock market conditions. A summary of collections in the General Revenue Fund during each of the five fiscal years ended June 30 and the value of debt and equity securities in each of the five years ended December 31 follows:

Receipts		Equity Securities Registered	
Year ended <u>June 30</u>	<u>Amount</u>	Year ended <u>June 30</u>	<u>Amount</u>
2013	\$ 37,928,910	2013	\$ 8,134,971,771,495
2012	37,821,913	2012	2,166,657,595,177
2011	37,476,103	2011	2,094,523,486,335
2010	35,917,690	2010	87,658,434,715
2009	38,435,398	2009	70,645,219,873

Index Department

The Index Department collects fees for the registration of notaries public, issuance of certified copies, judges' fees, and photostats. A summary of receipts for the last five fiscal years follows:

Year ended <u>June 30</u>	<u>Amount</u>
2013	\$ 677,074
2012	633,670
2011	618,836
2010	723,694
2009	1,359,320

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SERVICE EFFORTS AND ACCOMPLISHMENTS
(UNAUDITED)

For the Two Years Ended June 30, 2013

The second largest constitutional office in the State of Illinois - the Office of the Secretary of State - is visited by more Illinois residents than any other State Government agency. The Office generated in excess of \$2 billion both in fiscal year 2012 and 2013, with about \$500 million a year for the state highway construction fund.

Secure Drivers License and Facilities

In 2013, the Secretary of State's Office awarded a contract for a driver's license redesign and security upgrade. While still in the early stages, the Illinois driver's license will be redesigned to include the most up-to-date security features. It is anticipated that the office will begin production of the redesigned card during calendar year 2015.

During 2012-13 Secretary White continued to make security changes at the licensing facilities to protect production materials and devices that are integral to the production of the Illinois driver's license.

Temporary Visitor Driver's License (TVDL)

In 2013, the Secretary of State implemented the TVDL program to allow for non-visa status immigrants to be granted driving privileges in Illinois. This was done due to legislation passed by the General Assembly in early 2013. With only a ten-month implementation date, the Office created a system that would integrate the driver's license facility needs of this new group of individuals (at this time the number is unknown but has been estimated to be between 250,000-1,000,000) into the day-to-day facility operations while still maintaining the high standards of customer service to the already existing customer base. On November 12, 2013, the Secretary of State's Office will begin accepting appointments for this newly created customer class.

New Disabled Parking Placard and License Plate Program

The Secretary of State's Parking Program for Persons with Disabilities is a vital program for many Illinois residents. The Office has continued to toughen penalties for persons who fraudulently use the placards of deceased persons and who manufacture counterfeit placards in order to use parking spaces allotted for persons with disabilities. In 2012, the Illinois General Assembly passed legislation introducing a fourth category of parking placard which required the Secretary of State to recertify and reissue over 650,000 parking placards and 80,000 license plates one year earlier than anticipated and in a shortened period of time (a 9-month cycle rather than the normal 12-month cycle). By January 1, 2014, when the new enforcement policies begin, the issuance of new credentials to all qualified persons will be completed.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SERVICE EFFORTS AND ACCOMPLISHMENTS - CONTINUED
(UNAUDITED)

For the Two Years Ended June 30, 2013

Electronic Titles and Registrations

Automobile dealers across Illinois continue to be able to directly access the state database and order new license plates and vehicle registrations for new automobile owners at the time of purchase. The Electronic Registration and Title (ERT) Program allows new vehicle owners to immediately purchase and display their Illinois license plates at the time they take possession of a vehicle rather than waiting 30-60 days for paperwork to be completed and license plates to be mailed to them following the purchase. As more dealerships make use of this convenient process, application numbers continue to rise. In 2013, over 800,000 applications for title and 1.8 million vehicle registration renewals were processed.

Fighting Drunk Driving in Illinois

Illinois is a leader in fighting drunk driving on our roadways. The Secretary of State has been very successful introducing initiatives to combat the problem and drunken driving deaths continue to decline in the state. In 2008, Illinois took the lead and was one of the first of what are now 32 states to use the Breath Alcohol Ignition Interlock Device (BAIID) on the vehicles of first-time DUI offenders. In 2013, the Secretary of State enhanced the program and began requiring in-car cameras to accompany BAIID installations to provide additional accountability to the program and ensure that convicted drunk drivers were adhering to the requirements of the program.

Advisory Committee on Traffic Safety

In early 2012, the Secretary of State established the Advisory Council on Traffic Safety to address traffic safety issues on a continuing basis. This council is comprised of leaders of the traffic safety community, including Secretary White, legislators, the National Highway Traffic Safety Administration, the Northwestern School for Public Safety, the Illinois Department of Transportation, the Illinois State Police, and the National Safety Council. The Advisory Committee met on several occasions and in 2012-13 to address issues concerning (1) the establishment of a driver education program for drivers between the ages of 18-21 who have never received formal driver training, (2) the abolishment of court supervision in vehicle crashes where a fatality occurs, (3) the prohibition of driving privileges to teen drivers awaiting adjudication on traffic violations and (4) expansion of the use of BAIID to combat the problem of drivers operating a vehicle while their license is suspended or revoked. Three of these initiatives were passed by the Illinois General Assembly and are now part of the Illinois Vehicle Code.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SERVICE EFFORTS AND ACCOMPLISHMENTS - CONTINUED
(Unaudited)

For the Two Years Ended June 30, 2013

Traffic Safety Outreach

The Secretary of State is a leading advocate for traffic safety in the State of Illinois. The Office continues to publish numerous books, brochures, videos and public service announcements on a wide variety of subjects including but not limited to DUI, distracted driving, and child passenger safety. Many of the videos and public service announcements have won national awards for excellence. The Office also offers a Speaker's Bureau that provides speakers to schools and civic organizations. The Secretary of State's Speakers Bureau provides numerous presentations every week to a variety of topics including Bike and Pedestrian Safety, School Bus Safety, Child Passenger Safety, Teen DUI, and Adult DUI.

State Library Outreach through Grants

One of the primary statutory responsibilities of the State Library is to distribute monetary grants to libraries and literacy agencies for the continuing education, development and enhancement of library and literacy services to the people of Illinois. Despite tight financial constraints, in 2013 the State Library expended nearly \$13.3 million in school library grants to support the acquisition of materials, enhance reading programs and upgrade library technology.

Protecting Investors

Secretary White's Securities Department continues to assist defrauded investors by pursuing cases, seizing assets and helping victims in their attempts to recover investment losses. In addition, the Department conducts free investor education seminars throughout the state and offers free classroom instructions on financial literacy topics. The Department's website www.avoidthescam.net reports on recent developments, offers information for investors, and contains an investor complaint form that can be used by victims of investment scams. The Office continues to promote the issue with a state-wide campaign during the month of June.

Emergency Contact Database

In 2009, the Secretary of State established the Emergency Contact Database (ECD) that allowed any person with an Illinois driver's license or identification card to enter their emergency contact information through a convenient, online method. In 2010, the ECD was expanded to allow those individuals to also include pertinent medical and disability information along with their emergency contacts. The Secretary of State continues to look for avenues to promote the program at no cost to taxpayers. With limited resources to advertise the program, the Secretary of State has seen over 200,000 people take advantage of this unique program.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SERVICE EFFORTS AND ACCOMPLISHMENTS - CONTINUED
(Unaudited)

For the Two Years Ended June 30, 2013

First-Person Consent Organ/Tissue Donor Registry

Illinois' First Person Consent Organ/Tissue Donor Registry continues to be one of the most successful in the nation. The registry makes the organ donation decision of the Illinois driver's license or identification card holder legally binding and removes the decision on whether to donate a loved one's organs from the family. Because the registry does not allow minors to join until they turn 18 years of age, the program has begun annually targeting those individuals who attain the age of majority to get their consent to join the registry through a mail in application process. The Secretary of State promotes the program throughout the year but steps efforts during Organ Donor Awareness Month (April) and National Donor Sabbath Month (November).