

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2015

Performed as Special Assistant Auditors
for the Auditor General, State of Illinois

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2015

TABLE OF CONTENTS

	<u>Page</u>
Agency Officials	1
Management Assertion Letter	2
Compliance Report	
Summary	4
Independent Accountant's Report on State Compliance, on Internal Control Over Compliance, and on Supplementary Information for State Compliance Purposes	7
Independent Auditor's Report on Internal Control Over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with <i>Government Auditing Standards</i>	11
Schedule of Findings	
Current Findings - State Compliance	13
Prior Findings Not Repeated	24

Financial Statement Report

The Agency's financial statement report for the year ended June 30, 2015, which includes the Independent Auditor's Report, Basic Financial Statements and Notes to the Basic Financial Statements, Supplementary Information, and the Independent Auditor's Report on Internal Control Over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with *Government Auditing Standards* has been issued separately.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2015

TABLE OF CONTENTS - CONTINUED

	<u>Schedule</u>	<u>Page</u>
Supplementary Information for State Compliance Purposes		
Summary		25
Fiscal Schedules and Analysis		
Schedule of Appropriations, Expenditures and Lapsed Balances - Operating Group by Fund		
Fiscal Year 2015	1	27
Fiscal Year 2014	2	30
Schedule of Appropriations, Expenditures and Lapsed Balances - Total by Fund		
Fiscal Year 2015	3	33
Fiscal Year 2014	4	36
Comparative Schedule of Net Appropriations, Expenditures and Lapsed Balances	5	39
Schedule of Expenditures by Major Object Code	6	59
Comparative Schedule of Receipts, Disbursements, and Fund Balances (Cash Basis) - Locally Held Funds	7	61
Schedule of Changes in State Property		
Year Ended June 30, 2015	8	63
Year Ended June 30, 2014	9	65
Comparative Schedule of Cash Receipts - By Departments and Source	10	67
Reconciliation Schedule of Cash Receipts by Department, Source and Fund to Deposits Remitted to the State Comptroller		
Year Ended June 30, 2015	11	69
Year Ended June 30, 2014	12	78
Analysis of Significant Variations in Expenditures	13	87
Analysis of Significant Variations in Receipts	14	90
Analysis of Significant Lapse Period Spending		
Year Ended June 30, 2015	15	92
Year Ended June 30, 2014	16	95
Analysis of Accounts Receivable	17	98

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2015

TABLE OF CONTENTS - CONTINUED

	<u>Page</u>
Analysis of Operations (Unaudited)	
Agency Functions and Planning Program (Unaudited)	99
Analysis of Personal Services (Unaudited)	100
Accounting Revenue Sales Analysis as Reported by Vehicle Services and Drivers Services (Unaudited)	101
Accounting Revenue Sales Analysis as Reported by Vehicle Services and Drivers Services - Volume of Transactions (Unaudited)	103
Analysis of Cash Receipts (Unaudited)	105
Service Efforts and Accomplishments (Unaudited)	107

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
AGENCY OFFICIALS

For the Two Years Ended June 30, 2015

Secretary of State	The Honorable Jesse White
Deputy Secretary of State/Chief of Staff	Thomas N. Benigno
Acting Director of Internal Audit	Stell Mallios
General Counsel	Irene Lyons
Inspector General	James B. Burns
Director of Budget and Fiscal Management/Chief Fiscal Officer	Jacqueline Price
Chief Deputy Director/Acting Director of Accounting Revenue	John Gryzmski

The Secretary of State offices are located throughout the State of Illinois. The Secretary of State financial records are processed and maintained in the Howlett Building, Springfield, Illinois 62756. Executive Offices of the Secretary of State are located at Room 213, Capitol Building, Springfield, Illinois, 62756 and Suite 5-400, 100 W. Randolph, Chicago, Illinois 60601.



OFFICE OF THE SECRETARY OF STATE

JESSE WHITE • Secretary of State

MANAGEMENT ASSERTION LETTER

February 1, 2016

Kerber, Eck & Braeckel LLP
1000 Myers Building
Springfield, IL 62701

Ladies and Gentlemen:

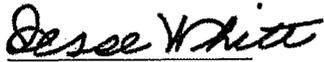
We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, and grant agreements that could have a material effect on the operations of the Illinois Office of the Secretary of State. We are responsible for and we have established and maintained an effective system of internal controls over compliance requirements. We have performed an evaluation of the Office's compliance with the following assertions during the two-year period ended June 30, 2015. Based on this evaluation, we assert that during the years ended June 30, 2014 and June 30, 2015, the Office has materially complied with the assertions below.

- A. The Office has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The Office has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The Office has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.

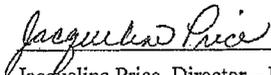
- D. State revenues and receipts collected by the Office are processed in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts are fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the Office on behalf of the State or held in trust by the Office have been properly and legally administered, and the accounting and recordkeeping relating thereto are proper, accurate and in accordance with law.

Yours truly,

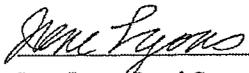
Illinois Office of the Secretary of State



Jesse White, Secretary of State



Jacqueline Price, Director – Budget and Fiscal Management/Chief Financial Officer



Irene Lyons, Legal Counsel

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPLIANCE REPORT

For the Two Years Ended June 30, 2015

Summary

The compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and in accordance with the Illinois State Auditing Act.

ACCOUNTANT'S REPORT

The Independent Accountant's Report on State Compliance, on Internal Control Over Compliance and on Supplementary Information for State Compliance Purposes does not contain scope limitations, disclaimers, or other significant non-standard language.

The Office of the Auditor General assisted Kerber, Eck & Braeckel LLP with the compliance examination procedures in relation to the Securities Department of the Office of the Secretary of State. Kerber, Eck & Braeckel LLP has accepted responsibility for the work performed by the Office of the Auditor General.

SUMMARY OF FINDINGS

<u>Number of</u>	<u>Current</u>	<u>Prior</u>
Findings	6	8
Repeated findings	6	3
Prior recommendations implemented or not repeated	2	7

SCHEDULE OF FINDINGS

Item No. Page Description Finding Type

FINDINGS (*GOVERNMENT AUDITING STANDARDS*)
No matters were noted.

FINDINGS (STATE COMPLIANCE)

2015-001	13	Inadequate Control of Cash at Drivers License Facilities	Significant Deficiency and Noncompliance
2015-002	15	Inadequate Change Fund Controls	Significant Deficiency and Noncompliance

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPLIANCE REPORT

For the Two Years Ended June 30, 2015

SCHEDULE OF FINDINGS - Continued

<u>Item No.</u>	<u>Page</u>	<u>Description</u>	<u>Finding Type</u>
2015-003	17	Excess Balances in Division of Corporations Limited Liability Partnership Fund	Significant Deficiency and Noncompliance
2015-004	18	PCI Compliance Weaknesses	Significant Deficiency and Noncompliance
2015-005	20	Lack of Independent Review of Internal Control of Outsourced Information Systems or Operations	Significant Deficiency and Noncompliance
2015-006	22	Inadequate Procedures for Disposal of Confidential Information	Significant Deficiency and Noncompliance

PRIOR FINDINGS NOT REPEATED

<u>Item No.</u>	<u>Page</u>	<u>Description</u>
A	24	Failure to Submit Quarterly Business Report
B	24	Inaccurate Agency Fee Imposition Report

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPLIANCE REPORT

For the Two Years Ended June 30, 2015

EXIT CONFERENCE

The findings and recommendations appearing in this report were discussed with Agency personnel at an exit conference on January 14, 2016.

Attending were:

Office of the Secretary of State

Terri Coombes	Deputy Chief of Staff
Jacqueline Price	Director of Budget & Fiscal Management – Chief Fiscal Officer
Ernest Dannenberger	Director of Vehicle Services Department
Michael Mayer	Director of Downstate Driver Services
Stephan Rolf	Director of Personnel
Jeff Carter	Director of Information Technology
Stell Mallios	Acting Director of Internal Audit
John Gryzmski	Chief Deputy Director/Acting Director of Accounting Revenue
Amanda Trimmer	Chief Deputy Director of Budget & Fiscal Management
Joseph McDonald	Assistant to Director – Budget & Fiscal Management
Kelly Sutphin	Assistant to Director – Information Technology
Gary Dameron	Data Systems Administrator
Mary Riesling	Policy Analyst

Office of the Auditor General

Stephanie Wildhaber	Audit Manager
---------------------	---------------

Kerber, Eck & Braeckel LLP

Deborah J. Ringer	Partner
Kate Ward	Partner
Shawn Taylor	Audit Manager
Marci Petropoulos	Audit Manager
Nic Atkinson	Senior Accountant
Amanda Wells	Senior Accountant

The responses to the recommendations were provided by Joseph McDonald in correspondence dated February 1, 2016.



INDEPENDENT ACCOUNTANT'S REPORT ON STATE COMPLIANCE,
ON INTERNAL CONTROL OVER COMPLIANCE, AND ON SUPPLEMENTARY
INFORMATION FOR STATE COMPLIANCE PURPOSES

Honorable Frank Mautino
Auditor General
State of Illinois

Compliance

As Special Assistant Auditors for the Auditor General, we have examined the State of Illinois, Office of the Secretary of State's compliance with the requirements listed below, as more fully described in the Audit Guide for Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (Audit Guide) as adopted by the Auditor General, during the two years ended June 30, 2015. The management of the State of Illinois, Office of the Secretary of State is responsible for compliance with these requirements. Our responsibility is to express an opinion on the State of Illinois, Office of the Secretary of State's compliance based on our examination.

- A. The State of Illinois, Office of the Secretary of State has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The State of Illinois, Office of the Secretary of State has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The State of Illinois, Office of the Secretary of State has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the State of Illinois, Office of the Secretary of State are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the State of Illinois, Office of the Secretary of State on behalf of the State or held in trust by the State of Illinois, Office of the Secretary of State have been properly and legally administered and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.

Our examination was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States; the Illinois State Auditing Act (Act); and the Audit Guide as adopted by the Auditor General pursuant to the Act; and, accordingly, included examining, on a test basis, evidence about the State of Illinois, Office of the Secretary of State's compliance with those requirements listed in the first paragraph of this report and performing such other procedures as we considered necessary in the circumstances. We believe that our examination provides a reasonable basis for our opinion. Our examination does not provide a legal determination on the State of Illinois, Office of the Secretary of State's compliance with specified requirements.

In our opinion, the State of Illinois, Office of the Secretary of State complied, in all material respects, with the compliance requirements listed in the first paragraph of this report during the two years ended June 30, 2015. However, the results of our procedures disclosed instances of noncompliance with the requirements, which are required to be reported in accordance with criteria established by the Audit Guide, issued by the Illinois Office of the Auditor General and which are described in the accompanying schedule of findings as items 2015-001 through 2015-006.

Internal Control

Management of the State of Illinois, Office of the Secretary of State is responsible for establishing and maintaining effective internal control over compliance with the requirements listed in the first paragraph of this report. In planning and performing our examination, we considered the State of Illinois, Office of the Secretary of State's internal control over compliance with the requirements listed in the first paragraph of this report to determine the examination procedures that are appropriate in the circumstances for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with the Audit Guide, issued by the Illinois Office of the Auditor General, but not for the purpose of expressing an opinion on the effectiveness of the State of Illinois, Office of the Secretary of State's internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the State of Illinois, Office of the Secretary of State's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with the requirements listed in the first paragraph of this report on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a requirement listed in the first paragraph of this report will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies and therefore, material weaknesses or significant deficiencies may exist that were not identified. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, we identified certain deficiencies in internal control over compliance, as described in the accompanying schedule of findings as items 2015-001 through 2015-006, that we consider to be significant deficiencies.

As required by the Audit Guide, immaterial findings excluded from this report have been reported in a separate letter to your office.

The State of Illinois, Office of the Secretary of State's responses to the findings identified in our examination are described in the accompanying schedule of findings. We did not examine the State of Illinois, Office of the Secretary of State's responses and, accordingly, we express no opinion on the responses.

Supplementary Information for State Compliance Purposes

As Special Assistant Auditors for the Auditor General, we have audited the financial statements of the governmental activities, each major fund, and the aggregate remaining fund information of the State of Illinois, Office of the Secretary of State as of and for the year ended June 30, 2015, and have issued our report thereon dated January 4, 2016, which contained unmodified opinions on those financial statements. Our audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise the State of Illinois, Office of the Secretary of State's basic financial statements. We have not performed any procedures with respect to the audited financial statements subsequent to January 4, 2016. The accompanying supplementary information for the year ended June 30, 2015 in Schedules 1 through 17 is presented for the purposes of additional analysis and is not a required part of the basic financial statements of the State of Illinois, Office of the Secretary of State. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the basic financial statements. The accompanying supplementary information for the year ended June 30, 2015 in Schedules 1 through 17 has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the accompanying supplementary information for the year ended June 30, 2015 in Schedules 1 through 17 is fairly stated in all material respects in relation to the basic financial statements as a whole for the year ended June 30, 2015.

We also previously audited, in accordance with auditing standards generally accepted in the United States of America, the State of Illinois, Office of the Secretary of State's basic financial statements as of and for the years ended June 30, 2014 and June 30, 2013 (not presented herein), and have issued our reports thereon dated December 19, 2014 and February 13, 2014, respectively,

which contained unmodified opinions on the respective financial statements of the governmental activities, each major fund, and the aggregate remaining fund information. The accompanying supplementary information for the years ended June 30, 2014 and June 30, 2013 in Schedules 2, 4 through 14, 16 and 17 is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the June 30, 2014 and June 30, 2013 financial statements. The accompanying supplementary information for the years ended June 30, 2014 and June 30, 2013 in Schedules 2, 4 through 14, 16 and 17 has been subjected to the auditing procedures applied in the audits of the June 30, 2014 and June 30, 2013 basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare those basic financial statements or to those basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the accompanying supplementary information for the years ended June 30, 2014 and June 30, 2013 in Schedules 2, 4 through 14, 16 and 17 is fairly stated in all material respects in relation to the basic financial statements as a whole from which it has been derived.

The accompanying supplementary information in the Analysis of Operations Section is presented for the purposes of additional analysis and is not a required part of the basic financial statements. Such information has not been subjected to the auditing procedures applied in the audit of the basic financial statements, and accordingly, we do not express an opinion or provide any assurance on it.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, agency management and is not intended to be and should not be used by anyone other than these specified parties.

Kemper, Eck & Brueckel LLP

Springfield, Illinois
February 1, 2016



CPAs and
Management Consultants

1000 Myers Building
1 West Old State Capitol Plaza
Springfield, IL 62701-1268
ph 217.789.0960
fax 217.789.2822
www.kebcpa.com

INDEPENDENT AUDITOR'S REPORT ON INTERNAL CONTROL OVER FINANCIAL
REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT
OF FINANCIAL STATEMENTS
PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS*

Honorable Frank Mautino
Auditor General
State of Illinois

As Special Assistant Auditors for the Auditor General, we have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of the governmental activities, each major fund, and the aggregate remaining fund information of the State of Illinois, Office of the Secretary of State, as of and for the year ended June 30, 2015, and the related notes to the financial statements, which collectively comprise the State of Illinois, Office of the Secretary of State's basic financial statements and have issued our report thereon dated January 4, 2016.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered the State of Illinois, Office of the Secretary of State's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the State of Illinois, Office of the Secretary of State's internal control. Accordingly, we do not express an opinion on the effectiveness of the State of Illinois, Office of the Secretary of State's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. *A material weakness* is a deficiency, or a combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. *A significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the State of Illinois, Office of the Secretary of State's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the State of Illinois, Office of the Secretary of State's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the State of Illinois, Office of the Secretary of State's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

Kerber, Eck & Brueckel LLP

Springfield, Illinois
January 4, 2016

State of Illinois
Office of the Secretary of State

SCHEDULE OF FINDINGS
CURRENT FINDINGS - STATE COMPLIANCE

For the Two Years Ended June 30, 2015

2015-001 Finding: Inadequate Control of Cash at Drivers License Facilities

The Office of the Secretary of State (Office) permitted multiple employees to have access to the same cash drawers at the Drivers License Facilities (facilities).

During our testing, we visited six of the Office's 137 facilities. At three of the six facilities visited, we noted that multiple employees could access the same cash drawer.

The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires all State agencies to establish and maintain internal controls to safeguard assets against loss, unauthorized use, and misappropriation. Good business practice dictates that the Office maintain adequate control over the receipts processed at the facilities, which includes restricting the access to cash drawers. A substantial portion of receipts is collected at the Drivers License Facilities.

Office personnel indicated, as they did in the prior examination, the excessive access to the cash drawers is a byproduct of the emphasis placed on prompt service and minimal customer wait time. Some of the smaller facilities have only two employees working, and one employee may be required to attend to the customers while the other employee administers a drivers exam. By permitting employees to access more than one cash drawer, the Office is reducing the wait time that could occur when employees leave their terminals for any reason, thus permitting another employee to continue processing at the terminal without switching out the cash drawers and restarting the terminal. The Office has technology to prevent multiple employees from logging into the same station at the same time. However, it does not prevent multiple employees from accessing the same cash drawer.

Although we did not identify specific cases where receipts were stolen, failure to restrict access to the cash drawers produces an environment where the potential for loss from fraud or theft is enhanced and hinders the resolution of potential discrepancies between facility sales and the related receipts. (Finding Code No. 2015-001, 2013-002, 11-1, 09-4, 07-4, 05-4)

Recommendation

We recommend the Office continue to develop procedures to ensure that each employee utilizes an individual cash drawer and not be permitted to access the drawer of other employees at the Drivers License Facilities.

State of Illinois
Office of the Secretary of State

SCHEDULE OF FINDINGS
CURRENT FINDINGS - STATE COMPLIANCE

For the Two Years Ended June 30, 2015

2015-001 Finding: Inadequate Controls of Cash at Drivers License Facilities - Continued

Agency Response

The Office accepts this finding. As noted, there were no cases identified where receipts were stolen or used inappropriately. The Office continues to assess its facility operations to ensure funds are safeguarded against loss, unauthorized use, and misappropriation and improvements are made whenever fiscally and operationally feasible.

State of Illinois
Office of the Secretary of State

SCHEDULE OF FINDINGS
CURRENT FINDINGS - STATE COMPLIANCE

For the Two Years Ended June 30, 2015

2015-002 Finding: Inadequate Change Fund Controls

The Office of the Secretary of State (Office) did not maintain adequate records of the custodians of change funds and did not keep change fund information in a compartment that was locked at all times.

During our testing of the Office's change funds, we noted the following:

- The Office did not maintain adequate records of the custodians of change funds. For 1 of 18 (6%) change funds tested, the Office did not obtain the proper signatures from the former custodian on the C-85 Change of Custodian or Location Petty Cash or Change Fund form (C-85) filed with the Office of the Comptroller.
- We visited 6 of the Office's 137 drivers facilities (facilities). At one of the six (17%) facilities tested, we noted the daily work and important records were kept in a filing cabinet that did not have a locking mechanism.

When a change in custodian occurs (i.e., an employee's termination, transfer of employment, or leave of absence), both the former and successor custodian should properly and promptly complete form C-85, and the Office should provide such copy to the Office of the Comptroller, as required by Statewide Accounting Management System (SAMS) (Procedure 09.10.40). The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires all State agencies to establish and maintain internal controls to safeguard assets against loss, unauthorized use, and misappropriation. In addition, good business practice requires access to change fund information be secured at all times.

Office personnel indicated the failure to obtain the proper signatures on the C-85 forms was due to oversight on the part of responsible personnel. Office personnel indicated the filing cabinet utilized for the change fund did not have a locking mechanism. However, the office where the filing cabinet was located is locked when unoccupied.

Failure to maintain adequate procedures to timely update custodial information and secure change fund information could lead to misappropriation of State funds. (Finding Code No. 2015-002, 2013-003, 11-4)

State of Illinois
Office of the Secretary of State

SCHEDULE OF FINDINGS
CURRENT FINDINGS - STATE COMPLIANCE

For the Two Years Ended June 30, 2015

2015-002 Finding: Inadequate Change Fund Controls - Continued

Recommendation

We recommend the Office of the Secretary of State implement procedures to ensure timely and accurate reporting of information concerning the custodians of change funds and that all important change fund records be maintained in a locked compartment at all times.

Agency Response

The Office accepts this finding. The Office has developed procedures for earlier identification of changes to change fund custodians in order to ensure proper signatures are obtained for documentation sent to the Office of the Comptroller. The Office will continue to train appropriate personnel regarding the security of important records related to change funds.

State of Illinois
Office of the Secretary of State

SCHEDULE OF FINDINGS
CURRENT FINDINGS - STATE COMPLIANCE

For the Two Years Ended June 30, 2015

2015-003 Finding: Excess Balances in Division of Corporations Limited Liability Partnership Fund

The Office of the Secretary of State (Office) did not timely transfer excess fund balance amounts out of the Division of Corporations Limited Liability Partnership Fund.

During our testing, we noted the balance in the Division of Corporations Limited Liability Partnership Fund as of the end of both fiscal years 2015 and 2014 exceeded \$200,000, which is the mandated maximum amount. The fund balance as of June 30, 2015 and 2014, was approximately \$701,000 and \$664,000, respectively. The excess funds for fiscal years 2015 and 2014 were transferred in August of 2015 and 2014, respectively.

According to the Uniform Partnership Act (1997) (805 ILCS 206/108(d)), the balance at the end of any fiscal year in the Division of Corporations Limited Liability Partnership Fund shall not exceed \$200,000. Additionally, any amount in excess thereof shall be transferred to the General Revenue Fund.

According to Office personnel, the excess fund balance was caused by timing issues. The Office attempts to project the fiscal year-end balance, but does not make the transfer of the funds until after the end of the fiscal year.

Failure to maintain the mandated balance in the Division of Corporations Limited Liability Partnership Fund is a violation of state statute and results in an improper fund balance. (Finding Code No. 2015-003, 2013-005)

Recommendation

We recommend every reasonable effort be made to transfer excess fund balances prior to the end of each fiscal year in order to ensure the Office is complying with statutory requirements.

Agency Response

The Office accepts this finding. The Office currently utilizes procedures to initiate this transfer after the fiscal year lapse period is completed in order to ensure there are sufficient funds available to meet current operational needs. Legislative proposal addressing corrective action on the transfer procedures were introduced, but failed to pass in the Illinois General Assembly. The Office plans to re-introduce legislation in the next legislative session that will enable us to make this required transfer, while also ensuring there are sufficient funds to meet current operational needs.

State of Illinois
Office of the Secretary of State

SCHEDULE OF FINDINGS
CURRENT FINDINGS - STATE COMPLIANCE

For the Two Years Ended June 30, 2015

2015-004 Finding: PCI Compliance Weaknesses

The Office of the Secretary of State (Office) had not completed all requirements to demonstrate full compliance with the Payment Card Industry Data Security Standards (PCI DSS).

The Office accepts credit card payments for various services including license and title registration, articles of incorporation, and annual statements. The Office accepts credit card payments at multiple locations using several different payment methods. In fiscal years 2014 and 2015 the Office handled over 2.6 million transactions for approximately \$260.5 million and over 3.4 million for approximately \$328.1 million respectively.

Based on our review of the Office's compliance with PCI DSS, we noted the Office has not:

- Formally assessed each program accepting credit card payments, the methods in which payments could be made at least annually, matched these methods to the appropriate Self-Assessment Questionnaire (SAQ), and contacted service providers and obtained relevant information and guidance as deemed appropriate.
- Completed a SAQ addressing all elements of its environment utilized to store, process, and transmit cardholder data.
- Completed an Attestation of Compliance Form.
- Submitted compliance documentation to the Treasurer's E-Pay program vendor.

PCI DSS was developed to detail security requirements for entities that store, process or transmit cardholder data. Cardholder data is any personally identifiable data associated with a cardholder. In addition, PCI requires all members, merchants and service providers, who store, process, and/or transmit cardholder data to become PCI compliant. The PCI Standards require merchants to assess their environment and validate compliance with PCI on an annual basis.

To assist merchants in the assessments of their environment, the PCI Council has established Self Assessment Questionnaires (SAQ) for validating compliance with PCI's core requirements. At minimum, PCI DSS required completion of SAQ A; which highlights specific requirements to restrict access to paper and electronic media containing cardholder data, destruction of such media when it is no longer needed, and requirements for managing service providers. As additional elements, such as face-to-face acceptance of credit cards and point-of-sale solutions are introduced into the credit card environment being assessed, additional PCI DSS requirements apply.

State of Illinois
Office of the Secretary of State

SCHEDULE OF FINDINGS
CURRENT FINDINGS - STATE COMPLIANCE

For the Two Years Ended June 30, 2015

2015-004 Finding: PCI Compliance Weaknesses - Continued

Office management stated it did not comply with the recommendations from the prior audit because the Office was informed that the Office would be treated as a Level 1 payment processor and not be allowed to submit SAQs.

Failure to establish and maintain adequate procedures to handle and protect confidential and personally identifiable information could lead to the loss of credit card data or loss of the right to utilize certain credit card providers if not in compliance with current PCI standards. Additionally, loss of confidential data could result in identity theft or other unauthorized use, as well as unnecessary costs and liability to the Office. (Finding Code No. 2015-004, 2013-007)

Recommendation

We recommend the Office:

- At least annually, assess each program accepting credit card payments, the methods in which payments can be made, and match these methods to the appropriate SAQ.
- Complete the appropriate SAQ for its environment and submit documentation supporting its validation efforts to the Treasurer's Office and the E-Pay program vendor at least annually.
- Complete and submit an Attestation of Compliance Form.
- Maintain contact with the Treasurer's Office to ensure sufficient knowledge and awareness of issues and guidance surrounding the E-Pay program.
- Ensure that all divisions within the Office are adhering to established procedures to adequately protect hard copy credit information.

Agency Response

The Office accepts this finding. The Treasurer's Office administers the contract with the State's credit card processor and E-Pay program vendor. The Office has just recently reached an agreement with the Treasurer's Office and their selected vendors on the schedule and completion of a PCI Compliance Audit. Once completed, the Office will work to correct any weaknesses, if any, that are identified.

State of Illinois
Office of the Secretary of State

SCHEDULE OF FINDINGS
CURRENT FINDINGS - STATE COMPLIANCE

For the Two Years Ended June 30, 2015

2015-005 Finding: Lack of Independent Review of Internal Control of Outsourced Information Systems or Operations

The Office of the Secretary of State (Office) did not obtain Service Organization Control (SOC) reports or conduct independent internal control reviews of outsourced information systems or operations controlled by third party service providers.

The Office used multiple service providers in the Office's Electronic Registration and Titling Program for the purposes of, among other things, renewing vehicle registrations.

Although the signed agreements with external service providers outlined the Office's authority to review internal controls in place by the provider, we found the Office did not conduct or contract for an independent review of internal controls relating to its outsourced information systems or operations.

The Office is responsible for the design, implementation, and maintenance of internal controls related to information systems and operations to assure its critical and confidential systems and data are adequately safeguarded. This responsibility is not limited because the process is outsourced.

The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires all State agencies to establish and maintain a system, or systems, of internal fiscal and administrative controls to provide assurance funds, property, and other assets and resources are safeguarded against waste, loss, unauthorized use and misappropriation and maintain accountability over the State's resources. In addition, generally accepted information technology guidance endorses the review and assessment of internal controls related to security of systems and data, including outsourced information systems or operations controlled by external parties.

Office management stated it has not performed independent internal control reviews of outsourced information systems or operations controlled by third party providers due to lack of personnel.

Lack of independent reviews of internal controls associated with outsourced system or operations could result in internal control weaknesses which could impact the reliability and security of critical systems and confidential data. (Finding Code No. 2015-005, 2013-006)

State of Illinois

Office of the Secretary of State

SCHEDULE OF FINDINGS
CURRENT FINDINGS - STATE COMPLIANCE

For the Two Years Ended June 30, 2015

2015-005 Finding: Lack of Independent Review of Internal Control of Outsourced Information Systems or Operations - Continued

Recommendation

We recommend the Office obtain or perform independent reviews of internal controls associated with outsourced systems or operations at least annually.

The independent reviews should include an assessment of the following five key system attributes, as applicable:

- Security - The system is protected against both physical and logical unauthorized access.
- Availability - The system is available for operation and use as committed or agreed.
- Processing integrity - System processing is complete, accurate, timely and authorized.
- Confidentiality - Information designated as confidential is protected as committed or agreed.
- Privacy - Personal information is collected, used, retained, disclosed and disposed of in conformity with the commitments in the Office's privacy notice.

Agency Response

The Office accepts this finding. The Office's Department of Information and Technology (DOIT) is working with our Legal Counsel to update the standard language in our agreements with third party service providers related to the outsourcing of certain information systems. Included in these changes would be a requirement for these vendors to supply Service Organization Control Reports. This will enable the Office to better assess the system attributes of a vendor identification which will insure the vendors are supplying acceptable reliability and security of Office information.

State of Illinois
Office of the Secretary of State

SCHEDULE OF FINDINGS
CURRENT FINDINGS - STATE COMPLIANCE

For the Two Years Ended June 30, 2015

2015-006 Finding: Inadequate Procedures for Disposal of Confidential Information

The Office of the Secretary of State (Office) had not assured adequate Office-wide procedures existed for disposal of confidential information.

Although the Office had established policies relating to the security of confidential information, the Office failed to establish and implement Office-wide procedures for adequately disposing of confidential information. We found informal procedures existed for shredding confidential documentation and confidential information was not always secured prior to disposal. While performing walkthroughs at the Office we noted the following:

- Documents containing confidential information within the Dirksen facility were found left unattended at printers, and within unlocked bins on the loading dock. For example, we found a Medical Examination Report including the person's name, address, drivers license and social security numbers, and personal health information in the unlocked bin on the loading dock. The dock doors were opened at the time.
- Lockable bins were not always used to store personal and confidential information prior to disposal. In addition, we found multiple lockable bins that were not locked.
- The Office had not performed a risk assessment to identify all confidential information in an effort to assure it is adequately secured.

Confidential and personal information collected and maintained by the Office should be adequately secured at all times. As such, it is the Office's responsibility to ensure adequate procedures for safeguarding all confidential information have been established, effectively communicated to all personnel, and continually enforced. Inherent within this responsibility is the requirement of adequate disposition of all confidential information that is no longer needed.

Office management stated due to budget and staff shortages, the locked bins and risk assessment had not been addressed. Due to staff shortages and turnover, the procedures for document disposal in the Drivers Department had not been reviewed/updated.

Failure to protect and timely dispose of confidential information can lead to such information being compromised. (Finding Code No. 2015-006, 2013-008, 11-8, 9-7)

State of Illinois
Office of the Secretary of State

SCHEDULE OF FINDINGS
CURRENT FINDINGS - STATE COMPLIANCE

For the Two Years Ended June 30, 2015

2015-006 Finding: Inadequate Procedures for Disposal of Confidential Information - Continued

Recommendation

We recommend the Office assure all confidential information is adequately safeguarded and promptly disposed when no longer needed. Office-wide procedures for properly disposing confidential information should be established. Once established, the Office should effectively communicate the procedures to Office personnel, and enforce compliance with its procedures ensuring all confidential information is kept secured until no longer needed, and then properly disposed.

In addition, we recommend the Office perform a comprehensive risk assessment to identify all confidential information in electronic and hardcopy form and ensure it is adequately safeguarded.

Agency Response

The Office accepts this finding. The Office's plans to conduct a comprehensive risk assessment to identify all confidential information in both electronic and hardcopy format to ensure adequate safeguards are implemented and department employees are instructed in proper procedures was delayed due to circumstances related to the availability of personnel. Completion of this risk assessment remains a priority of the Office. Policies and procedures for handling confidential information will be updated upon completion of the risk assessment.

State of Illinois
Office of the Secretary of State

SCHEDULE OF FINDINGS
PRIOR FINDINGS NOT REPEATED

For the Two Years Ended June 30, 2015

A. FINDING (Failure to Submit Quarterly Business Report)

The Office of the Secretary of State (Office) did not submit quarterly business reports to the General Assembly. Additionally, these reports were not made available on the Office's website.

During our compliance examination for the two years ended June 30, 2015, our testing results indicated all quarterly business reports were filed with the General Assembly and made available on the Office's website. (Finding Code No. 2013-001)

B. FINDING (Inaccurate Agency Fee Imposition Report)

The Office of the Secretary of State (Office) reported inaccurate information on the Agency Fee Imposition Report.

During our compliance examination for the two years ended June 30, 2015, our testing results indicated the amounts reported on the Agency's 2014 and 2015 Fee Imposition Reports were properly reported. (Finding Code No. 2013-004)

State of Illinois
Office of the Secretary of State

SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

SUMMARY

Supplementary Information for State Compliance Purposes presented in this section of the report includes the following:

Fiscal Schedules and Analysis

Schedule of Appropriations, Expenditures and Lapsed Balances - Operating
Group by Fund

Fiscal Year 2015

Fiscal Year 2014

Schedule of Appropriations, Expenditures and Lapsed Balances - Total by Fund

Fiscal Year 2015

Fiscal Year 2014

Comparative Schedule of Net Appropriations, Expenditures and Lapsed Balances

Schedule of Expenditures by Major Object Code

Comparative Schedule of Receipts, Disbursements, and Fund Balances

(Cash Basis) - Locally Held Funds

Schedule of Changes in State Property

Year Ended June 30, 2015

Year Ended June 30, 2014

Comparative Schedule of Cash Receipts - By Departments and Source

Reconciliation Schedule of Cash Receipts by Department, Source and Fund
to Deposits Remitted to the State Comptroller

Year Ended June 30, 2015

Year Ended June 30, 2014

Analysis of Significant Variations in Expenditures

Analysis of Significant Variations in Receipts

Analysis of Significant Lapse Period Spending

Year Ended June 30, 2015

Year Ended June 30, 2014

Analysis of Accounts Receivable

State of Illinois
Office of the Secretary of State

SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES - CONTINUED

SUMMARY - CONTINUED

Analysis of Operations (Unaudited)

Agency Functions and Planning Program (Unaudited)

Analysis of Personal Services (Unaudited)

Accounting Revenue Sales Analysis as Reported by Vehicle Services and
Drivers Services (Unaudited)

Accounting Revenue Sales Analysis as Reported by Vehicle Services and
Drivers Services - Volume of Transactions (Unaudited)

Analysis of Cash Receipts (Unaudited)

Service Efforts and Accomplishments (Unaudited)

The accountant's report that covers the Fiscal Schedules and Analysis section presented in the Compliance Report Section states that it has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in the auditor's opinion, it is fairly stated, in all material respects, in relation to the basic financial statements as a whole from which it has been derived. The accountant's report also states the Analysis of Operations Section has not been subjected to the auditing procedures applied in the audit of the basic financial statements, and accordingly, they do not express an opinion or provide any assurance on it.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2015 - OPERATING GROUP BY FUND
FOURTEEN MONTHS ENDED AUGUST 31, 2015

	Appropriations (Net after Transfers)	Expenditures Through 6/30/2015	Lapse Period Expenditures 7/01 - 8/31/15	Total Expenditures	Reappropriated Balances	Lapsed Balances
<u>Public Acts 098-0679; 98-0675</u>						
<u>APPROPRIATED FUNDS</u>						
Executive Group						
General Revenue Fund - 001	\$ 7,278,326	\$ 6,735,065	\$ 440,232	\$ 7,175,297	\$ -	\$ 103,029
Total Executive Group	<u>7,278,326</u>	<u>6,735,065</u>	<u>440,232</u>	<u>7,175,297</u>	<u>-</u>	<u>103,029</u>
General Administrative Group						
General Revenue Fund - 001	131,991,176	124,545,685	5,921,031	130,466,716	-	1,524,460
Road Fund - 011	2,500,000	2,212,543	287,456	2,499,999	-	1
Motor Fuel Tax Fund - 012	1,300,000	1,300,000	-	1,300,000	-	-
Lobbyist Registration Administration Fund - 044	1,243,400	1,034,098	57,677	1,091,775	-	151,625
Registered Limited Liability Partnership Fund - 167	174,700	163,863	-	163,863	-	10,837
Securities Audit & Enforcement Fund - 362	9,932,900	8,709,684	381,533	9,091,217	-	841,683
Department of Business Services Special Operations Fund - 363	12,352,500	10,475,792	443,177	10,918,969	-	1,433,531
Secretary of State Special Services Fund - 483	29,200,000	19,156,393	1,017,171	20,173,564	-	9,026,436
Build Illinois Bond Fund - 971	35,355,420	25,245,280	-	25,245,280	-	10,110,140
Other Appropriated Funds	<u>34,497,186</u>	<u>29,734,151</u>	<u>1,292,438</u>	<u>31,026,589</u>	<u>-</u>	<u>3,470,597</u>
Total General Administrative Group	<u>258,547,282</u>	<u>222,577,489</u>	<u>9,400,483</u>	<u>231,977,972</u>	<u>-</u>	<u>26,569,310</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2015 - OPERATING GROUP BY FUND - CONTINUED
FOURTEEN MONTHS ENDED AUGUST 31, 2015

	Appropriations (Net after Transfers)	Expenditures Through 6/30/2015	Lapse Period Expenditures 7/01 - 8/31/15	Total Expenditures	Reappropriated Balances	Lapsed Balances
<u>Public Acts 098-0679; 98-0675</u>						
<u>APPROPRIATED FUNDS - Continued</u>						
Motor Vehicle Group						
General Revenue Fund - 001	\$ 155,038,398	\$ 146,670,195	\$ 7,590,265	\$ 154,260,460	\$ -	\$ 777,938
CDLIS/AAMVA Net Trust Fund - 109	2,900,000	741,172	62,129	803,301	-	2,096,699
Secretary of State Federal Projects Fund - 176	700,000	34,752	868	35,620	-	664,380
Secretary of State Special License Plate Fund - 185	5,950,000	3,871,596	-	3,871,596	-	2,078,404
SOS Identity Security & Theft Prevention Fund - 480	10,000,000	4,297,184	1,056,422	5,353,606	-	4,646,394
Motor Vehicle License Plate Fund - 622	14,386,300	11,690,019	1,254,183	12,944,202	-	1,442,098
Vehicle Inspection Fund - 963	3,744,200	2,849,384	108,268	2,957,652	-	786,548
Other Appropriated Funds	10,225,700	5,811,478	634,213	6,445,691	-	3,780,009
Total Motor Vehicle Group	<u>202,944,598</u>	<u>175,965,780</u>	<u>10,706,348</u>	<u>186,672,128</u>	<u>-</u>	<u>16,272,470</u>
TOTAL APPROPRIATED FUNDS	<u>\$ 468,770,206</u>	<u>405,278,334</u>	<u>20,547,063</u>	<u>425,825,397</u>	<u>\$ -</u>	<u>\$ 42,944,809</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2015 - OPERATING GROUP BY FUND - CONTINUED
FOURTEEN MONTHS ENDED AUGUST 31, 2015

	<u>Appropriations (Net after Transfers)</u>	<u>Expenditures Through 6/30/2015</u>	<u>Lapse Period Expenditures 7/01 - 8/31/15</u>	<u>Total Expenditures</u>	<u>Reappropriated Balances</u>	<u>Lapsed Balances</u>
<u>Public Acts 098-0679; 98-0675</u>						
<u>NON-APPROPRIATED FUNDS</u>						
General Administrative Group						
Corporate Franchise Tax Refund Fund - 380	\$	220,790	\$	-	\$	220,790
Total General Administrative Group		<u>220,790</u>		<u>-</u>		<u>220,790</u>
Motor Vehicle Group						
Interagency Grant Fund - 295		664,768		-		664,768
Safety Responsibility Fund - 436		482,972		-		482,972
Secretary of State International Registration Plan Fund - 890		<u>102,590,582</u>		<u>62,150</u>		<u>102,652,732</u>
Total Motor Vehicle Group		<u>103,738,322</u>		<u>62,150</u>		<u>103,800,472</u>
TOTAL NON-APPROPRIATED FUNDS		<u>103,959,112</u>		<u>62,150</u>		<u>104,021,262</u>
GRAND TOTAL ALL FUNDS	\$	<u>509,237,446</u>	\$	<u>20,609,213</u>	\$	<u>529,846,659</u>

Note 1: The above information was taken directly from the records of the State Comptroller, which have been reconciled to those of the Secretary of State.

Note 2: Expenditure amounts are vouchers approved for payment by the Agency and submitted to the State Comptroller for payment to the Vendor.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2014 - OPERATING GROUP BY FUND
FOURTEEN MONTHS ENDED AUGUST 31, 2014

	Appropriations (Net after Transfers)	Expenditures Through 6/30/2014	Lapse Period Expenditures 7/01 - 8/31/14	Total Expenditures	Reappropriated Balances	Lapsed Balances
<u>Public Acts 098-0064; 98-0050</u>						
<u>APPROPRIATED FUNDS</u>						
Executive Group						
General Revenue Fund - 001	\$ 6,973,355	\$ 6,397,206	\$ 478,792	\$ 6,875,998	\$ -	\$ 97,357
Total Executive Group	<u>6,973,355</u>	<u>6,397,206</u>	<u>478,792</u>	<u>6,875,998</u>	<u>-</u>	<u>97,357</u>
General Administrative Group						
General Revenue Fund - 001	96,156,987	88,961,058	6,801,956	95,763,014	-	393,973
Road Fund - 011	2,500,000	2,183,285	315,569	2,498,854	-	1,146
Motor Fuel Tax Fund - 012	1,300,000	1,300,000	-	1,300,000	-	-
Lobbyist Registration Administration Fund - 044	1,171,100	675,659	9,113	684,772	-	486,328
Registered Limited Liability Partnership Fund - 167	187,800	69,697	-	69,697	-	118,103
Securities Audit & Enforcement Fund - 362	13,248,900	6,119,294	77,508	6,196,802	-	7,052,098
Department of Business Services Special Operations Fund - 363	12,563,200	9,971,376	454,993	10,426,369	-	2,136,831
Secretary of State Special Services Fund - 483	29,250,000	22,757,974	1,107,339	23,865,313	-	5,384,687
Build Illinois Bond Fund - 971	49,780,402	14,424,982	-	14,424,982	35,355,420	-
Other Appropriated Funds	<u>33,616,539</u>	<u>24,842,705</u>	<u>5,840,753</u>	<u>30,683,458</u>	<u>1,151,486</u>	<u>1,781,595</u>
Total General Administrative Group	<u>239,774,928</u>	<u>171,306,030</u>	<u>14,607,231</u>	<u>185,913,261</u>	<u>36,506,906</u>	<u>17,354,761</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2014 - OPERATING GROUP BY FUND - CONTINUED
FOURTEEN MONTHS ENDED AUGUST 31, 2014

	Appropriations (Net after Transfers)	Expenditures Through 6/30/2014	Lapse Period Expenditures 7/01 - 8/31/14	Total Expenditures	Reappropriated Balances	Lapsed Balances
<u>Public Acts 098-0064; 98-0050</u>						
<u>APPROPRIATED FUNDS - Continued</u>						
Motor Vehicle Group						
General Revenue Fund - 001	\$ 156,177,561	\$ 146,632,129	\$ 8,535,230	\$ 155,167,359	\$ -	\$ 1,010,202
CDLIS/AAMVA Net Trust Fund - 109	900,000	440,560	205,114	645,674	-	254,326
Secretary of State Federal Projects Fund - 176	700,000	51,643	683	52,326	-	647,674
Secretary of State Special License Plate Fund - 185	5,950,000	3,779,176	-	3,779,176	-	2,170,824
SOS Identity Security & Theft Prevention Fund - 480	7,000,000	1,002,371	1,802,039	2,804,410	-	4,195,590
Motor Vehicle License Plate Fund - 622	14,386,300	10,792,920	986,546	11,779,466	-	2,606,834
Vehicle Inspection Fund - 963	4,110,200	2,986,966	102,147	3,089,113	-	1,021,087
Other Appropriated Funds	8,125,900	3,828,800	228,037	4,056,837	-	4,069,063
Total Motor Vehicle Group	<u>197,349,961</u>	<u>169,514,565</u>	<u>11,859,796</u>	<u>181,374,361</u>	<u>-</u>	<u>15,975,600</u>
TOTAL APPROPRIATED FUNDS	<u>\$ 444,098,244</u>	<u>347,217,801</u>	<u>26,945,819</u>	<u>374,163,620</u>	<u>\$ 36,506,906</u>	<u>\$ 33,427,718</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2014 - OPERATING GROUP BY FUND - CONTINUED
FOURTEEN MONTHS ENDED AUGUST 31, 2014

	<u>Appropriations (Net after Transfers)</u>	<u>Expenditures Through 6/30/2014</u>	<u>Lapse Period Expenditures 7/01 - 8/31/14</u>	<u>Total Expenditures</u>	<u>Reappropriated Balances</u>	<u>Lapsed Balances</u>
<u>Public Acts 098-0064; 98-0050</u>						
<u>NON-APPROPRIATED FUNDS</u>						
General Administrative Group						
Corporate Franchise Tax Refund Fund - 380	\$ 296,823	\$ -	\$ -	\$ 296,823		
Total General Administrative Group	<u>296,823</u>	<u>-</u>	<u>-</u>	<u>296,823</u>		
Motor Vehicle Group						
Interagency Grant Fund - 295		1,283,131	58,310	1,341,441		
Safety Responsibility Fund - 436		547,196	-	547,196		
Secretary of State International Registration Plan Fund - 890		<u>104,317,738</u>	<u>66,441</u>	<u>104,384,179</u>		
Total Motor Vehicle Group		<u>106,148,065</u>	<u>124,751</u>	<u>106,272,816</u>		
TOTAL NON-APPROPRIATED FUNDS		<u>106,444,888</u>	<u>124,751</u>	<u>106,569,639</u>		
GRAND TOTAL ALL FUNDS	<u>\$ 453,662,689</u>	<u>\$ 27,070,570</u>	<u>\$ -</u>	<u>\$ 480,733,259</u>		

Note 1: The above information was taken directly from the records of the State Comptroller, which have been reconciled to those of the Secretary of State.

Note 2: Expenditure amounts are vouchers approved for payment by the Agency and submitted to the State Comptroller for payment to the Vendor.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2015 - TOTAL BY FUND
FOURTEEN MONTHS ENDED AUGUST 31, 2015

	Appropriations (Net after Transfers)	Expenditures Through 06/30/15	Lapse Period Expenditures 7/01 - 8/31/15	Total Expenditures	Reappropriated Balances	Lapsed Balances
<u>Public Acts 098-0679; 98-0675</u>						
<u>APPROPRIATED FUNDS</u>						
General Revenue Fund - 001	\$ 294,307,900	\$ 277,950,945	\$ 13,951,528	\$ 291,902,473	-	\$ 2,405,427
Road Fund - 011	2,500,000	2,212,543	287,456	2,499,999	-	1
Motor Fuel Tax Fund - 012	1,300,000	1,300,000	-	1,300,000	-	-
Live & Learn Fund - 026	21,400,000	20,916,852	156,399	21,073,251	-	326,749
Lobbyist Registration Administration Fund - 044	1,243,400	1,034,098	57,677	1,091,775	-	151,625
Accessible Electronic Information Service Fund - 106	60,000	53,510	-	53,510	-	6,490
CDLIS/AAMVA Net Trust Fund - 109	2,900,000	741,172	62,129	803,301	-	2,096,699
Capital Development Fund - 141	2,151,486	805,648	17,879	823,527	-	1,327,959
Registered Limited Liability Partnership Fund - 167	174,700	163,863	-	163,863	-	10,837
Secretary of State Federal Projects Fund - 176	700,000	34,752	868	35,620	-	664,380
Driver Services Administration Fund - 182	3,600,000	2,569,882	99,333	2,669,215	-	930,785
Secretary of State Special License Plate Fund - 185	6,000,000	3,921,596	(5,467)	3,916,129	-	2,083,871
Securities Investors' Education Fund - 292	1,500,000	214,833	795,574	1,010,407	-	489,593
Family Responsibility Fund - 322	200,000	78,231	5,423	83,654	-	116,346
Motor Vehicle Review Board Fund - 323	265,700	138,063	65	138,128	-	127,572
Securities Audit & Enforcement Fund - 362	9,932,900	8,709,684	381,533	9,091,217	-	841,683
Department of Business Services Special Operations Fund - 363	12,352,500	10,475,792	443,177	10,918,969	-	1,433,531
Secretary of State Evidence Fund - 374	5,000	1,955	-	1,955	-	3,045
Alternate Fuels Fund - 422	225,000	225,000	-	225,000	-	-
Indigent BAHID Fund - 451	300,000	99,743	39,692	139,435	-	160,565
MDDP Administration Fund - 453	2,500,000	998,775	15,342	1,014,117	-	1,485,883
Rotary Club Fund - 454	5,000	3,600	1,400	5,000	-	-
Ovarian Cancer Awareness Fund - 459	15,000	15,000	-	15,000	-	-
Illinois Professional Golfers Association Junior Golf Fund - 463	55,000	50,000	-	50,000	-	5,000

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2015 - TOTAL BY FUND - CONTINUED
FOURTEEN MONTHS ENDED AUGUST 31, 2015

	Appropriations (Net after Transfers)	Expenditures Through 06/30/15	Lapse Period Expenditures 7/01 - 8/31/15	Total Expenditures	Reappropriated Balances	Lapsed Balances
<u>Public Acts 098-0679: 98-0675</u>						
<u>APPROPRIATED FUNDS - Continued</u>						
Boy Scout and Girl Scout Fund - 464	\$ 40,000	\$ -	\$ 18,300	\$ 18,300	\$ -	\$ 21,700
Agriculture in the Classroom Fund - 466	100,000	100,000	-	100,000	-	-
Sheet Metal Workers Intl Fund - 468	6,000	-	-	-	-	6,000
Library Services Fund - 470	7,000,000	6,392,174	40,826	6,433,000	-	567,000
State Library Fund - 471	24,300	9,577	-	9,577	-	14,723
SOS Identity Security & Theft Prevention Fund - 480	10,000,000	4,297,184	1,056,422	5,353,606	-	4,646,394
Secretary of State Special Services Fund - 483	29,200,000	19,156,393	1,017,171	20,173,564	-	9,026,436
Support Our Troops Fund - 496	50,000	-	-	-	-	50,000
Master Mason Fund - 508	45,000	36,000	9,000	45,000	-	-
Illinois Pan Hellenic Trust Fund - 584	75,000	68,712	-	68,712	-	6,288
Park District Youth Program Fund - 585	30,000	27,000	3,000	30,000	-	-
Illinois Route 66 Heritage Project Fund - 594	200,000	200,000	-	200,000	-	-
Police Memorial Committee Fund - 598	200,000	150,000	50,000	200,000	-	-
Mammogram Fund - 599	140,000	105,000	35,000	140,000	-	-
Motor Vehicle License Plate Fund - 622	14,386,300	11,690,019	1,254,183	12,944,202	-	1,442,098
Chicago Police Memorial Foundation Fund - 639	20,000	20,000	-	20,000	-	-
IL Police Association Fund - 655	100,000	100,000	-	100,000	-	-
Organ Donor Awareness Fund - 716	200,000	105,000	69,116	174,116	-	25,884
Secretary of State DUI Administration Fund - 732	2,500,000	1,685,164	85,047	1,770,211	-	729,789

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2015 - TOTAL BY FUND - CONTINUED
FOURTEEN MONTHS ENDED AUGUST 31, 2015

	Appropriations (Net after Transfers)	Expenditures Through 06/30/15	Lapse Period Expenditures 7/01 - 8/31/15	Total Expenditures	Reappropriated Balances	Lapsed Balances
<u>Public Acts 098-0679; 98-0675</u>						
<u>APPROPRIATED FUNDS - Continued</u>						
Secretary of State Police DUI Fund - 758	\$ 30,000	\$ 2,694	\$ -	\$ 2,694	\$ -	\$ 27,306
Secretary of State Police Services Fund - 759	800,000	90,201	394,735	484,936	-	315,064
U.S. Marine Corps Scholarship Fund - 760	125,000	125,000	-	125,000	-	-
State Parking Facility Maintenance Fund - 782	100,000	21,230	50,794	72,024	-	27,976
IL EMS Memorial Scholarship Fund - 800	5,000	-	-	-	-	5,000
International Brotherhood of Teamsters Fund - 803	35,000	-	-	-	-	35,000
Share the Road Fund - 854	35,000	35,000	-	35,000	-	-
Fraternal Order of Police Fund - 867	20,000	20,000	-	20,000	-	-
Soil and Water Conservation Fund - 895	400	-	-	-	-	400
Ducks Unlimited Fund - 918	10,000	10,000	-	10,000	-	-
Secretary of State Grant Fund - 948	500,000	21,785	45,193	66,978	-	433,022
Vehicle Inspection Fund - 963	3,744,200	2,849,384	108,268	2,957,652	-	786,548
Build Illinois Bond Fund - 971	35,355,420	25,245,280	-	25,245,280	-	10,110,140
TOTAL APPROPRIATED FUNDS	\$ 468,770,206	405,278,334	20,547,063	425,825,397	\$ -	\$ 42,944,809
<u>NON-APPROPRIATED FUNDS</u>						
Interagency Grant Fund - 295		664,768	-	664,768		
Corporate Franchise Tax Refund Fund - 380		220,790	-	220,790		
Safety Responsibility Fund - 436		482,972	-	482,972		
Secretary of State International Registration Plan Fund - 890		102,590,582	62,150	102,652,732		
TOTAL NON-APPROPRIATED FUNDS		103,959,112	62,150	104,021,262		
GRAND TOTAL		\$ 509,237,446	\$ 20,609,213	\$ 529,846,659		

Note: The above information was taken directly from the records of the State Comptroller, which have been reconciled to those of the Secretary of State.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2014 - TOTAL BY FUND
FOURTEEN MONTHS ENDED AUGUST 31, 2014

	Appropriations (Net after Transfers)	Expenditures Through 06/30/14	Lapse Period Expenditures 7/01 - 8/31/14	Total Expenditures	Reappropriated Balances	Lapsed Balances
<u>Public Acts 098-0064; 98-0050</u>						
<u>APPROPRIATED FUNDS</u>						
General Revenue Fund - 001	\$ 259,307,903	\$ 241,990,393	\$ 15,815,978	\$ 257,806,371	\$ -	\$ 1,501,532
Road Fund - 011	2,500,000	2,183,285	315,569	2,498,854	-	1,146
Motor Fuel Tax Fund - 012	1,300,000	1,300,000	-	1,300,000	-	-
Live & Learn Fund - 026	20,900,000	15,944,231	4,561,597	20,505,828	-	394,172
Lobbyist Registration Administration Fund - 044	1,171,100	675,659	9,113	684,772	-	486,328
Accessible Electronic Information Service Fund - 106	60,000	39,901	13,301	53,202	-	6,798
CDLIS/AAMVA Net Trust Fund - 109	900,000	440,560	205,114	645,674	-	254,326
Capital Development Fund - 141	1,911,239	759,753	-	759,753	1,151,486	-
Registered Limited Liability Partnership Fund - 167	187,800	69,697	-	69,697	-	118,103
Secretary of State Federal Projects Fund - 176	700,000	51,643	683	52,326	-	647,674
Driver Services Administration Fund - 182	1,000,000	-	-	-	-	1,000,000
Secretary of State Special License Plate Fund - 185	6,000,000	3,829,176	-	3,829,176	-	2,170,824
Securities Investors' Education Fund - 292	1,500,000	162,897	819,914	982,811	-	517,189
Family Responsibility Fund - 322	200,000	84,605	5,338	89,943	-	110,057
Motor Vehicle Review Board Fund - 323	265,900	169,674	2,692	172,366	-	93,534
Securities Audit & Enforcement Fund - 362	13,248,900	6,119,294	77,508	6,196,802	-	7,052,098
Department of Business Services Special Operations Fund - 363	12,563,200	9,971,376	454,993	10,426,369	-	2,136,831
Secretary of State Evidence Fund - 374	5,000	3,139	1,650	4,789	-	211
Alternate Fuels Fund - 422	225,000	225,000	-	225,000	-	-
Indigent BAIID Fund - 451	500,000	111,395	32,673	144,068	-	355,932
MDDP Administration Fund - 453	3,000,000	1,112,665	9,640	1,122,305	-	1,877,695
Rotary Club Fund - 454	5,000	3,685	1,193	4,878	-	122
Ovarian Cancer Awareness Fund - 459	10,000	10,000	-	10,000	-	-
Illinois Professional Golfers Association Junior Golf Fund - 463	50,000	45,000	5,000	50,000	-	-

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2014 - TOTAL BY FUND - CONTINUED
FOURTEEN MONTHS ENDED AUGUST 31, 2014

	Appropriations (Net after Transfers)	Expenditures Through 06/30/14	Lapse Period Expenditures 7/01 - 8/31/14	Total Expenditures	Reappropriated Balances	Lapsed Balances
<u>Public Acts 098-0064: 98-0050</u>						
<u>APPROPRIATED FUNDS - Continued</u>						
Boy Scout and Girl Scout Fund - 464	\$ 20,000	\$ -	\$ 2,350	\$ 2,350	\$ -	\$ 17,650
Agriculture in the Classroom Fund - 466	70,000	65,000	5,000	70,000	-	-
Sheet Metal Workers Intl Fund - 468	6,000	-	-	-	-	6,000
Library Services Fund - 470	7,000,000	6,631,897	191,141	6,823,038	-	176,962
State Library Fund - 471	24,300	3,619	2,188	5,807	-	18,493
SOS Identity Security & Theft Prevention Fund - 480	7,000,000	1,002,371	1,802,039	2,804,410	-	4,195,590
Secretary of State Special Services Fund - 483	29,250,000	22,757,974	1,107,339	23,865,313	-	5,384,687
Support Our Troops Fund - 496	30,000	-	-	-	-	30,000
Master Mason Fund - 508	40,000	30,000	10,000	40,000	-	-
Illinois Pan Hellenic Trust Fund - 584	75,000	-	49,220	49,220	-	25,780
Park District Youth Program Fund - 585	30,000	22,500	7,500	30,000	-	-
Illinois Route 66 Heritage Project Fund - 594	200,000	200,000	-	200,000	-	-
Police Memorial Committee Fund - 598	200,000	150,000	50,000	200,000	-	-
Mammogram Fund - 599	140,000	105,000	35,000	140,000	-	-
Motor Vehicle License Plate Fund - 622	14,386,300	10,792,920	986,546	11,779,466	-	2,606,834
Chicago Police Memorial Foundation Fund - 639	20,000	20,000	-	20,000	-	-
IL Police Association Fund - 655	75,000	75,000	-	75,000	-	-
Organ Donor Awareness Fund - 716	200,000	142,211	45,836	188,047	-	11,953
Secretary of State DUI Administration Fund - 732	2,500,000	1,784,133	158,206	1,942,339	-	557,661

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2014 - TOTAL BY FUND - CONTINUED
FOURTEEN MONTHS ENDED AUGUST 31, 2014

	Appropriations (Net after Transfers)	Expenditures Through 06/30/14	Lapse Period Expenditures 7/01 - 8/31/14	Total Expenditures	Reappropriated Balances	Lapsed Balances
<u>Public Acts 098-0064; 98-0050</u>						
<u>APPROPRIATED FUNDS - Continued</u>						
Secretary of State Police DUI Fund - 758	\$ 30,000	\$ 3,643	\$ -	\$ 3,643	\$ -	\$ 26,357
Secretary of State Police Services Fund - 759	600,000	419,151	23,176	442,327	-	157,673
U.S. Marine Corps Scholarship Fund - 760	100,000	75,000	25,000	100,000	-	-
State Parking Facility Maintenance Fund - 782	100,000	75,000	-	75,000	-	25,000
IL EMS Memorial Scholarship Fund - 800	5,000	-	-	-	-	5,000
International Brotherhood of Teamsters Fund - 803	3,000	-	-	-	-	3,000
Share the Road Fund - 854	20,000	20,000	-	20,000	-	-
Fraternal Order of Police Fund - 867	20,000	20,000	-	20,000	-	-
St. Jude Children's Research Fund - 899	4,000	-	-	-	-	4,000
4-H Fund - 915	8,000	7,575	-	7,575	-	425
Ducks Unlimited Fund - 918	40,000	40,000	-	40,000	-	-
Secretary of State Grant Fund - 948	500,000	59,831	11,175	71,006	-	428,994
Vehicle Inspection Fund - 963	4,110,200	2,986,966	102,147	3,089,113	-	1,021,087
Build Illinois Bond Fund - 971	49,780,402	14,424,982	-	14,424,982	35,355,420	-
TOTAL APPROPRIATED FUNDS	\$ 444,098,244	347,217,801	26,945,819	374,163,620	\$ 36,506,906	\$ 33,427,718
<u>NON-APPROPRIATED FUNDS</u>						
Interagency Grant Fund - 295		1,283,131	58,310	1,341,441		
Corporate Franchise Tax Refund Fund - 380		296,823	-	296,823		
Safety Responsibility Fund - 436		547,196	-	547,196		
Secretary of State International Registration Plan Fund - 890		104,317,738	66,441	104,384,179		
TOTAL NON-APPROPRIATED FUNDS		106,444,888	124,751	106,569,639		
GRAND TOTAL		\$ 453,662,689	\$ 27,070,570	\$ 480,733,259		

Note: The above information was taken directly from the records of the State Comptroller, which have been reconciled to those of the Secretary of State.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES

	Fiscal Year		
	2015	2014	2013
	P.A. 98-0679, 98-0675	P.A. 98-0064, 98-0050	P.A. 97-0726, 97-0725
EXECUTIVE GROUP:			
General Revenue Fund - 001:			
Appropriations (Net After Transfers)	\$ 7,278,326	\$ 6,973,355	\$ 7,061,042
Expenditures:			
Personal Services:			
Regular Positions	5,901,819	5,747,676	5,561,855
Employee Retirement Contributions	118,711	116,034	111,339
Extra Help	38,410	59,347	54,680
Social Security Contributions	422,563	412,992	402,189
Contractual Services	410,869	397,037	645,564
Travel	28,104	32,692	45,672
Printing	434	2,768	6,319
Commodities	26,271	22,523	24,840
Equipment	160,423	3,444	86,391
Telecommunications	67,693	81,485	93,796
Total Expenditures	<u>7,175,297</u>	<u>6,875,998</u>	<u>7,032,645</u>
Lapsed Balances	<u>\$ 103,029</u>	<u>\$ 97,357</u>	<u>\$ 28,397</u>
General Revenue Fund - 001:			
Appropriations (Net After Transfers)	\$ -	\$ -	\$ 1,000,000
Expenditures:			
Operational Expenses	-	-	-
Constitutional Amendment	-	-	1,000,000
Operational, awards, grants, and permanent improvements	-	-	-
Total Expenditures	<u>-</u>	<u>-</u>	<u>1,000,000</u>
Lapsed Balances	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
EXECUTIVE GROUP TOTALS:			
Appropriations (Net After Transfers)	\$ 7,278,326	6,973,355	\$ 8,061,042
Total Expenditures	<u>7,175,297</u>	<u>6,875,998</u>	<u>8,032,645</u>
Lapsed Balances	<u>\$ 103,029</u>	<u>\$ 97,357</u>	<u>\$ 28,397</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2015	2014	2013
	P.A. 98-0679, 98-0675	P.A. 98-0064, 98-0050	P.A. 97-0726, 97-0725
GENERAL ADMINISTRATIVE GROUP:			
General Revenue Fund - 001:			
Appropriations (Net After Transfers)	\$ 77,951,276	\$ 76,827,384	\$ 78,457,624
Expenditures:			
Personal Services:			
Regular Positions	48,859,090	49,623,177	50,491,910
Extra Help	416,966	469,037	497,650
Employee Retirement Contributions	978,984	991,030	1,009,102
Social Security Contributions	3,696,968	3,753,331	3,868,215
Contractual Services	19,228,462	18,296,632	17,020,409
Travel	89,428	91,471	84,615
Commodities	881,039	817,768	887,341
Printing	566,832	374,241	445,292
Equipment	954,640	1,366,450	1,595,428
Telecommunications	507,937	252,820	262,109
Operation of Auto Equipment	304,170	412,684	429,549
Refund of Fees and Taxes	24,874	10,101	11,462
Total Expenditures	76,509,390	76,458,742	76,603,082
Lapsed Balances	\$ 1,441,886	\$ 368,642	\$ 1,854,542
General Revenue Fund - 001:			
Establishment grants, annual per capita and area grants, and equalization grants pursuant to Section 8 of the Illinois Library System Act:			
Appropriations (Net After Transfers)	\$ 12,482,400	\$ 12,482,400	\$ 8,782,400
Expenditures	12,482,324	12,482,398	8,782,399
Lapsed Balances	\$ 76	\$ 2	\$ 1
Annual library technology:			
Appropriations (Net After Transfers)	\$ 35,000	\$ 35,000	\$ 35,000
Expenditures	18,303	20,451	30,452
Lapsed Balances	\$ 16,697	\$ 14,549	\$ 4,548

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2015 P.A. 98-0679, 98-0675	2014 P.A. 98-0064, 98-0050	2013 P.A. 97-0726, 97-0725
Library services for the blind and physically handicapped:			
Appropriations (Net After Transfers)	\$ 865,400	\$ 865,400	\$ 865,400
Expenditures	799,730	864,559	858,814
Lapsed Balances	\$ 65,670	\$ 841	\$ 6,586
Tuition and fees for Illinois Regional Archival Depository system interns:			
Appropriations (Net After Transfers)	\$ -	\$ 1	\$ -
Expenditures	-	-	-
Lapsed Balances	\$ -	\$ 1	\$ -
Literacy:			
Appropriations (Net After Transfers)	\$ 3,718,300	\$ 3,718,300	\$ 3,718,300
Expenditures	3,718,287	3,718,300	3,704,164
Lapsed Balances	\$ 13	\$ -	\$ 14,136
Annual per capita - school district:			
Appropriations (Net After Transfers)	\$ 225,000	\$ 214,700	\$ 214,700
Expenditures	224,994	214,696	214,683
Lapsed Balances	\$ 6	\$ 4	\$ 17
Miscellaneous permanent improvements - buildings:			
Appropriations (Net After Transfers)	\$ 425,000	\$ 425,000	\$ 425,000
Expenditures	424,888	415,068	424,803
Lapsed Balances	\$ 112	\$ 9,932	\$ 197
Chicago Public Library:			
Appropriations (Net After Transfers)	\$ 1,288,800	\$ 1,288,800	\$ 1,288,800
Expenditures	1,288,800	1,288,800	1,288,800
Lapsed Balances	\$ -	\$ -	\$ -

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2015 P.A. 98-0679, 98-0675	2014 P.A. 98-0064, 98-0050	2013 P.A. 97-0726, 97-0725
Project Next Generation:			
Appropriations (Net After Transfers)	\$ -	\$ 1	\$ -
Expenditures	-	-	-
Lapsed Balances	\$ -	\$ 1	\$ -
Penny Severans Summer Family Literacy:			
Appropriations (Net After Transfers)	\$ -	\$ 1	\$ -
Expenditures	-	-	-
Lapsed Balances	\$ -	\$ 1	\$ -
Oak Park Library:			
Appropriations (Net After Transfers)	\$ -	\$ 100,000	\$ -
Expenditures	-	100,000	-
Lapsed Balances	\$ -	\$ -	\$ -
North Riverside Library:			
Appropriations (Net After Transfers)	\$ -	\$ 100,000	\$ -
Expenditures	-	100,000	-
Lapsed Balances	\$ -	\$ -	\$ -
LaGrange Library:			
Appropriations (Net After Transfers)	\$ -	\$ 50,000	\$ -
Expenditures	-	50,000	-
Lapsed Balances	\$ -	\$ -	\$ -
LaGrange Park Library:			
Appropriations (Net After Transfers)	\$ -	\$ 50,000	\$ -
Expenditures	-	50,000	-
Lapsed Balances	\$ -	\$ -	\$ -
School Construction:			
Appropriations (Net After Transfers)	\$ 35,000,000	\$ -	\$ -
Expenditures	35,000,000	-	-
Lapsed Balances	\$ -	\$ -	\$ -

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2015 P.A. 98-0679, 98-0675	2014 P.A. 98-0064, 98-0050	2013 P.A. 97-0726, 97-0725
General Revenue Fund - 001 Totals:			
Appropriations (Net After Transfers)	\$ 131,991,176	\$ 96,156,987	\$ 93,787,224
Expenditures	130,466,716	95,763,014	91,907,197
Lapsed Balances	\$ 1,524,460	\$ 393,973	\$ 1,880,027
Road Fund - 011:			
Appropriations (Net After Transfers)	\$ 2,500,000	\$ 2,500,000	\$ 2,500,000
Expenditures:			
Refund of Fees and Taxes	2,499,999	2,498,854	2,499,468
Lapsed Balances	\$ 1	\$ 1,146	\$ 532
Motor Fuel Tax Fund - 012:			
Appropriations (Net After Transfers)	\$ 1,300,000	\$ 1,300,000	\$ 1,300,000
Expenditures:			
Contractual Services	1,300,000	1,300,000	1,300,000
Lapsed Balances	\$ -	\$ -	\$ -
Lobbyist Registration Administration Fund - 044:			
Appropriations (Net After Transfers)	\$ 1,243,400	\$ 1,171,100	\$ 1,118,300
Expenditures:			
Personal Services:			
Regular Positions	535,927	347,207	467,753
Employee Retirement Contributions	10,743	6,961	9,376
Group Insurance	127,533	92,143	140,643
State Retirement Fund Contributions	227,439	140,312	178,100
Social Security Contributions	41,326	27,747	36,187
Contractual Services	130,901	57,013	39,667
Travel	2,130	2,063	1,582
Commodities	1,394	973	2,272
Printing	-	4,248	-
Equipment	8,670	450	4,178
Telecommunications	5,712	5,655	5,519
Total Expenditures	1,091,775	684,772	885,277
Lapsed Balances	\$ 151,625	\$ 486,328	\$ 233,023

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2015 P.A. 98-0679, 98-0675	2014 P.A. 98-0064, 98-0050	2013 P.A. 97-0726, 97-0725
Registered Limited Liability Partnership Fund - 167:			
Appropriations (Net After Transfers)	\$ 174,700	\$ 187,800	\$ 191,300
Expenditures:			
Personal Services:			
Regular Positions	79,981	34,178	42,504
Employee Retirement Contributions	1,600	684	850
Group Insurance	41,741	17,665	23,745
State Retirement Fund Contributions	33,864	13,778	16,146
Social Security Contributions	5,680	2,449	3,101
Contractual Services	72	80	298
Commodities	729	863	864
Telecommunications	196	-	-
Total Expenditures	163,863	69,697	87,508
Lapsed Balances	\$ 10,837	\$ 118,103	\$ 103,792
Securities Audit and Enforcement Fund - 362:			
Appropriations (Net After Transfers)	\$ 9,932,900	\$ 13,248,900	\$ 13,101,700
Expenditures:			
Personal Services:			
Regular Positions	4,281,003	2,715,171	4,097,381
Employee Retirement Contributions	89,172	56,928	85,686
Extra Help	10,626	8,506	6,743
Group Insurance	1,234,866	853,719	1,437,562
State Retirement Fund Contributions	1,820,187	1,099,422	1,560,664
Social Security Contributions	286,908	182,107	277,579
Contractual Services	1,070,230	1,025,540	1,013,455
Travel	3,019	5,289	6,222
Commodities	10,564	11,479	6,032
Equipment	65,760	48,205	16,398
Telecommunications	26,382	25,110	30,218
Operation of Auto Equipment	192,500	165,326	192,499
Total Expenditures	9,091,217	6,196,802	8,730,439
Lapsed Balances	\$ 841,683	\$ 7,052,098	\$ 4,371,261

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2015 P.A. 98-0679, 98-0675	2014 P.A. 98-0064, 98-0050	2013 P.A. 97-0726, 97-0725
Department of Business Services			
Special Operations Fund - 363:			
Appropriations (Net After Transfers)	\$ 12,352,500	\$ 12,563,200	\$ 9,870,200
Expenditures:			
Personal Services:			
Regular Positions	5,552,025	5,273,249	4,274,311
Employee Retirement Contributions	110,475	104,867	84,953
Group Insurance	1,573,865	1,615,802	1,440,280
State Retirement Fund Contributions	2,354,988	2,129,361	1,627,046
Social Security Contributions	408,140	387,876	318,193
Contractual Services	715,585	644,545	588,778
Travel	3,604	1,965	3,144
Commodities	11,493	10,613	8,263
Printing	39,605	39,265	42,736
Equipment	6,434	76,364	2,973
Telecommunications	47,755	48,962	47,927
Operation of Auto Equipment	95,000	93,500	93,500
Total Expenditures	10,918,969	10,426,369	8,532,104
Lapsed Balances	\$ 1,433,531	\$ 2,136,831	\$ 1,338,096
Secretary of State Special Services Fund - 483:			
Appropriations (Net After Transfers)	\$ 29,200,000	\$ 29,250,000	\$ 29,250,000
Expenditures:			
Electronic Data Processing	6,115,703	8,530,710	8,660,690
Office Automation & Technology	10,919,124	12,048,910	10,529,708
Promote & Improve Interlibrary Cooperation	-	224,000	217,512
Library Technology	1,789,066	1,597,375	1,588,577
Support & Expansion of Family Literacy	1,263,150	1,299,973	1,299,987
Data Dictionary Grant	23,220	90,072	-
CDL Program Implementation	63,301	-	-
CDL Improvement Grant	-	74,273	88,187
Total Expenditures	20,173,564	23,865,313	22,384,661
Lapsed Balances	\$ 9,026,436	\$ 5,384,687	\$ 6,865,339

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2015 P.A. 98-0679, 98-0675	2014 P.A. 98-0064, 98-0050	2013 P.A. 97-0726, 97-0725
Live and Learn Fund - 026:			
Annual per capita grants to school:			
Appropriations (Net After Transfers)	\$ 1,145,000	\$ 1,145,000	\$ 1,145,000
Expenditures	1,132,307	1,140,340	1,144,905
Lapsed Balances	\$ 12,693	\$ 4,660	\$ 95
Local library per capita:			
Appropriations (Net After Transfers)	\$ 16,004,200	\$ 16,004,200	\$ 16,004,200
Expenditures	16,004,196	16,004,106	16,004,200
Lapsed Balances	\$ 4	\$ 94	\$ -
System automation grants:			
Appropriations (Net After Transfers)	\$ -	\$ 274,000	\$ 274,000
Expenditures	-	273,571	274,000
Lapsed Balances	\$ -	\$ 429	\$ -
Research and reference:			
Appropriations (Net After Transfers)	\$ 580,000	\$ 306,000	\$ 306,000
Expenditures	579,848	306,000	305,984
Lapsed Balances	\$ 152	\$ -	\$ 16
Blind and physically handicapped:			
Appropriations (Net After Transfers)	\$ 300,000	\$ 300,000	\$ 300,000
Expenditures	299,289	299,861	299,861
Lapsed Balances	\$ 711	\$ 139	\$ 139
Construction:			
Appropriations (Net After Transfers)	\$ 870,800	\$ 620,800	\$ 620,800
Expenditures	870,800	620,800	620,800
Lapsed Balances	\$ -	\$ -	\$ -
Family literacy:			
Appropriations (Net After Transfers)	\$ 750,000	\$ 500,000	\$ 500,000
Expenditures	750,000	499,350	499,786
Lapsed Balances	\$ -	\$ 650	\$ 214

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2015 P.A. 98-0679, 98-0675	2014 P.A. 98-0064, 98-0050	2013 P.A. 97-0726, 97-0725
Organ donor:			
Appropriations (Net After Transfers)	\$ 1,750,000	\$ 1,750,000	\$ 1,750,000
Expenditures	1,436,811	1,361,800	1,464,517
Lapsed Balances	\$ 313,189	\$ 388,200	\$ 285,483
Live and Learn Fund - 026 Totals:			
Appropriations (Net After Transfers)	\$ 21,400,000	\$ 20,900,000	\$ 20,900,000
Expenditures	21,073,251	20,505,828	20,614,053
Lapsed Balances	\$ 326,749	\$ 394,172	\$ 285,947
Accessible Electronic Information Service Fund - 106:			
Appropriations (Net After Transfers)	\$ 60,000	\$ 60,000	\$ 77,000
Expenditures	53,510	53,202	56,075
Lapsed Balances	\$ 6,490	\$ 6,798	\$ 20,925
Capital Development Fund - 141:			
Rehabilitation of Various Facilities:			
Appropriations (Net After Transfers)	\$ 2,151,486	\$ 1,911,239	\$ 1,736,716
Expenditures	823,527	759,753	825,477
Reappropriations	-	1,151,486	911,239
Lapsed Balances	\$ 1,327,959	\$ -	\$ -
Secretary of State Special License Plate Fund - 185:			
Illinois Veterans Home Libraries:			
Appropriations (Net After Transfers)	\$ 50,000	\$ 50,000	\$ 50,000
Expenditures	44,533	50,000	50,000
Lapsed Balances	\$ 5,467	\$ -	\$ -

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2015 P.A. 98-0679, 98-0675	2014 P.A. 98-0064, 98-0050	2013 P.A. 97-0726, 97-0725
Securities Investor Education Fund - 292:			
Appropriations (Net After Transfers)	\$ 1,500,000	\$ 1,500,000	\$ 1,291,100
Expenditures	1,010,407	982,811	1,117,674
Lapsed Balances	\$ 489,593	\$ 517,189	\$ 173,426
Rotary Club Fund - 454:			
Appropriations (Net After Transfers)	\$ 5,000	\$ 5,000	\$ 5,000
Expenditures	5,000	4,878	5,000
Lapsed Balances	\$ -	\$ 122	\$ -
Autism Awareness Fund - 458:			
Appropriations (Net After Transfers)	\$ -	\$ -	\$ 10,000
Expenditures	-	-	-
Lapsed Balances	\$ -	\$ -	\$ 10,000
Ovarian Cancer Awareness Fund - 459:			
Appropriations (Net After Transfers)	\$ 15,000	\$ 10,000	\$ 10,000
Expenditures	15,000	10,000	10,000
Lapsed Balances	\$ -	\$ -	\$ -
IL Professional Golfers Assn. Junior Golf Fund - 463:			
Appropriations (Net After Transfers)	\$ 55,000	\$ 50,000	\$ 50,000
Expenditures	50,000	50,000	50,000
Lapsed Balances	\$ 5,000	\$ -	\$ -
Boy Scouts and Girl Scouts Fund - 464:			
Appropriations (Net After Transfers)	\$ 40,000	\$ 20,000	\$ 20,000
Expenditures	18,300	2,350	3,250
Lapsed Balances	\$ 21,700	\$ 17,650	\$ 16,750
Agriculture in the Classroom - 466:			
Appropriations (Net After Transfers)	\$ 100,000	\$ 70,000	\$ 70,000
Expenditures	100,000	70,000	70,000
Lapsed Balances	\$ -	\$ -	\$ -

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2015 P.A. 98-0679, 98-0675	2014 P.A. 98-0064, 98-0050	2013 P.A. 97-0726, 97-0725
Sheet Metal Workers Intl Fund - 468:			
Appropriations (Net After Transfers)	\$ 6,000	\$ 6,000	\$ 3,000
Expenditures	-	-	-
Lapsed Balances	\$ 6,000	\$ 6,000	\$ 3,000
Library Services Fund - 470:			
Secretary of State for library services pursuant to the Federal Library Services and Construction Act, P.L. 84-594 and P.L. 104-208, as amended, Title IA-0000:			
Appropriations (Net After Transfers)	\$ 7,000,000	\$ 7,000,000	\$ 7,000,000
Expenditures	6,433,000	6,823,038	6,744,087
Lapsed Balances	\$ 567,000	\$ 176,962	\$ 255,913
State Library Fund - 471:			
Appropriations (Net After Transfers)	\$ 24,300	\$ 24,300	\$ 24,300
Expenditures	9,577	5,807	3,817
Lapsed Balances	\$ 14,723	\$ 18,493	\$ 20,483
Support our Troops Fund - 496:			
Appropriations (Net After Transfers)	\$ 50,000	\$ 30,000	\$ 15,000
Expenditures	-	-	-
Lapsed Balances	\$ 50,000	\$ 30,000	\$ 15,000
Master Mason Fund - 508:			
Masonic Foundation Grants:			
Appropriations (Net After Transfers)	\$ 45,000	\$ 40,000	\$ 40,000
Expenditures	45,000	40,000	40,000
Lapsed Balances	\$ -	\$ -	\$ -

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2015 P.A. 98-0679, 98-0675	2014 P.A. 98-0064, 98-0050	2013 P.A. 97-0726, 97-0725
Illinois Pan Hellenic Trust Fund - 584:			
Appropriations (Net After Transfers)	\$ 75,000	\$ 75,000	\$ 75,000
Expenditures	68,712	49,220	40,032
Lapsed Balances	\$ 6,288	\$ 25,780	\$ 34,968
Park District Youth Program Fund - 585:			
Appropriations (Net After Transfers)	\$ 30,000	\$ 30,000	\$ 35,000
Expenditures	30,000	30,000	35,000
Lapsed Balances	\$ -	\$ -	\$ -
Professional Sports Team Education Fund - 587:			
Appropriations (Net After Transfers)	\$ -	\$ -	\$ 700,000
Expenditures	-	-	-
Lapsed Balances	\$ -	\$ -	\$ 700,000
Illinois Route 66 Heritage Project Fund - 594:			
Appropriations (Net After Transfers)	\$ 200,000	\$ 200,000	\$ 130,000
Expenditures	200,000	200,000	130,000
Lapsed Balances	\$ -	\$ -	\$ -
Police Memorial Committee Fund - 598:			
Appropriations (Net After Transfers)	\$ 200,000	\$ 200,000	\$ 200,000
Expenditures	200,000	200,000	200,000
Lapsed Balances	\$ -	\$ -	\$ -
Mammogram Fund - 599:			
Susan G. Komen Foundation:			
Appropriations (Net After Transfers)	\$ 140,000	\$ 140,000	\$ 140,000
Expenditures	140,000	140,000	140,000
Lapsed Balances	\$ -	\$ -	\$ -

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2015 P.A. 98-0679, 98-0675	2014 P.A. 98-0064, 98-0050	2013 P.A. 97-0726, 97-0725
Chicago Police Memorial Foundation Fund - 639:			
Appropriations (Net After Transfers)	\$ 20,000	\$ 20,000	\$ 10,000
Expenditures	20,000	20,000	10,000
Lapsed Balances	\$ -	\$ -	\$ -
IL Police Association Fund - 655:			
Appropriations (Net After Transfers)	\$ 100,000	\$ 75,000	\$ 40,000
Expenditures	100,000	75,000	40,000
Lapsed Balances	\$ -	\$ -	\$ -
Octave Chanute Aero Heritage Fund - 662:			
Appropriations (Net After Transfers)	\$ -	\$ -	\$ 5,000
Expenditures	-	-	-
Lapsed Balances	\$ -	\$ -	\$ 5,000
Organ Donor Awareness Fund - 716:			
Appropriations (Net After Transfers)	\$ 200,000	\$ 200,000	\$ 225,000
Expenditures	174,116	188,047	225,000
Lapsed Balances	\$ 25,884	\$ 11,953	\$ -
U.S. Marine Corps Scholarship Fund - 760:			
Appropriations (Net After Transfers)	\$ 125,000	\$ 100,000	\$ 100,000
Expenditures	125,000	100,000	100,000
Lapsed Balances	\$ -	\$ -	\$ -
State Park Facility Maintenance Fund - 782:			
Appropriations (Net After Transfers)	\$ 100,000	\$ 100,000	\$ 40,000
Expenditures	72,024	75,000	-
Lapsed Balances	\$ 27,976	\$ 25,000	\$ 40,000
Illinois EMS Memorial Scholarship Fund - 800:			
Appropriations (Net After Transfers)	\$ 5,000	\$ 5,000	\$ 5,000
Expenditures	-	-	-
Lapsed Balances	\$ 5,000	\$ 5,000	\$ 5,000

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2015 P.A. 98-0679, 98-0675	2014 P.A. 98-0064, 98-0050	2013 P.A. 97-0726, 97-0725
International Brotherhood of Teamsters Fund - 803:			
Appropriations (Net After Transfers)	\$ 35,000	\$ 3,000	\$ 3,000
Expenditures	-	-	-
Lapsed Balances	\$ 35,000	\$ 3,000	\$ 3,000
Share the Road Fund - 854:			
Appropriations (Net After Transfers)	\$ 35,000	\$ 20,000	\$ 20,000
Expenditures	35,000	20,000	20,000
Lapsed Balances	\$ -	\$ -	\$ -
Fraternal Order of Police Fund - 867:			
Appropriations (Net After Transfers)	\$ 20,000	\$ 20,000	\$ -
Expenditures	20,000	20,000	-
Lapsed Balances	\$ -	\$ -	\$ -
Soil and Water Conservation Fund - 895:			
Appropriations (Net After Transfers)	\$ 400	\$ -	\$ -
Expenditures	-	-	-
Lapsed Balances	\$ 400	\$ -	\$ -
St. Jude Children's Research Fund - 899:			
Appropriations (Net After Transfers)	\$ -	\$ 4,000	\$ -
Expenditures	-	-	-
Lapsed Balances	\$ -	\$ 4,000	\$ -
4-H Fund - 915:			
Appropriations (Net After Transfers)	\$ -	\$ 8,000	\$ -
Expenditures	-	7,575	-
Lapsed Balances	\$ -	\$ 425	\$ -
Ducks Unlimited Fund- 918:			
Appropriations (Net After Transfers)	\$ 10,000	\$ 40,000	\$ -
Expenditures	10,000	40,000	-
Lapsed Balances	\$ -	\$ -	\$ -

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2015 P.A. 98-0679, 98-0675	2014 P.A. 98-0064, 98-0050	2013 P.A. 97-0726, 97-0725
Secretary of State Grant Fund - 948:			
Appropriations (Net After Transfers)	\$ 500,000	\$ 500,000	\$ 500,000
Expenditures	66,978	71,006	31,214
Lapsed Balances	\$ 433,022	\$ 428,994	\$ 468,786
Family Responsibility Fund - 322:			
Appropriations (Net After Transfers)	\$ 200,000	\$ 200,000	\$ 200,000
Expenditures	83,654	89,943	30,630
Lapsed Balances	\$ 116,346	\$ 110,057	\$ 169,370
Build IL Bond Fund - 971:			
Appropriations (Net After Transfers)	\$ 35,355,420	\$ 49,780,402	\$ 50,000,000
Expenditures	25,245,280	14,424,982	219,599
Reappropriations	-	35,355,420	49,780,401
Lapsed Balances	\$ 10,110,140	\$ -	\$ -
GENERAL ADMINISTRATIVE			
GROUP TOTALS:			
Appropriations (Net After Transfers)	\$ 258,547,282	\$ 239,774,928	\$ 234,848,840
Expenditures	231,977,972	185,913,261	167,137,562
Reappropriations	-	36,506,906	50,691,640
Lapsed Balances	\$ 26,569,310	\$ 17,354,761	\$ 17,019,638

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2015 P.A. 98-0679, 98-0675	2014 P.A. 98-0064, 98-0050	2013 P.A. 97-0726, 97-0725
MOTOR VEHICLE GROUP:			
General Revenue Fund - 001:			
Appropriations (Net After Transfers)	\$ 155,038,398	\$ 156,177,561	\$ 153,459,634
Expenditures:			
Personal Services:			
Regular Positions	115,321,265	116,225,008	113,013,845
Extra Help	6,201,776	6,066,165	5,824,731
Employee Retirement Contributions	2,448,218	2,476,672	2,403,633
Social Security Contributions	8,629,221	8,735,838	8,499,422
Contractual Services	13,454,747	14,169,735	13,345,439
Travel	237,113	248,009	208,118
Commodities	1,191,052	283,808	302,842
Printing	1,194,552	1,137,925	783,847
Equipment	219,054	765,928	921,953
Telecommunications	1,605,463	1,508,282	1,313,396
Operation of Auto Equipment	409,501	492,028	547,826
Security Measures Capitol	3,348,498	3,057,961	2,618,166
Total Expenditures	<u>154,260,460</u>	<u>155,167,359</u>	<u>149,783,218</u>
Lapsed Balances	<u>\$ 777,938</u>	<u>\$ 1,010,202</u>	<u>\$ 3,676,416</u>
CDLIS/AAMVA Net Trust Fund - 109:			
Appropriations (Net After Transfers)	\$ 2,900,000	\$ 900,000	\$ 900,000
Expenditures:			
Contractual Services	798,803	645,674	650,975
Equipment	4,498	-	-
Total Expenditures	<u>803,301</u>	<u>645,674</u>	<u>650,975</u>
Lapsed Balances	<u>\$ 2,096,699</u>	<u>\$ 254,326</u>	<u>\$ 249,025</u>
Secretary of State Federal Projects Fund - 176:			
Appropriations (Net After Transfers)	\$ 700,000	\$ 700,000	\$ 700,000
Expenditures	<u>35,620</u>	<u>52,326</u>	<u>54,428</u>
Lapsed Balances	<u>\$ 664,380</u>	<u>\$ 647,674</u>	<u>\$ 645,572</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2015 P.A. 98-0679, 98-0675	2014 P.A. 98-0064, 98-0050	2013 P.A. 97-0726, 97-0725
Secretary of State Special			
License Plate Fund - 185:			
Appropriations (Net After Transfers)	\$ 5,950,000	\$ 5,950,000	\$ 6,950,000
Expenditures:			
Personal Services			
Regular Positions	491,819	468,082	431,538
Employee Retirement Contributions	9,703	9,366	8,633
Group Insurance	205,242	220,395	225,521
State Retirement Fund Contributions	208,327	188,787	163,975
Social Security Contributions	35,637	35,375	33,139
Contractual Services	619,572	640,236	640,575
Travel	13,500	13,488	13,494
Commodities	1,000,000	999,998	1,999,999
Printing	987,800	903,450	92,995
Telecommunications	299,996	299,999	299,946
Total Expenditures	3,871,596	3,779,176	3,909,815
Lapsed Balances	\$ 2,078,404	\$ 2,170,824	\$ 3,040,185
Motor Vehicle Review Board Fund - 323:			
Appropriations (Net After Transfers)	\$ 265,700	\$ 265,900	\$ 354,500
Expenditures:			
Personal Services:			
Regular Positions	90,625	96,668	153,668
Employee Retirement Contributions	1,812	1,933	3,072
Group Insurance	-	-	4,524
State Retirement Fund Contributions	38,370	38,969	59,007
Social Security Contributions	6,933	7,395	11,707
Contractual Services	-	27,000	31,588
Telecommunications	388	401	458
Total Expenditures	138,128	172,366	264,024
Lapsed Balances	\$ 127,572	\$ 93,534	\$ 90,476

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2015 P.A. 98-0679, 98-0675	2014 P.A. 98-0064, 98-0050	2013 P.A. 97-0726, 97-0725
Vehicle Inspection Fund - 963:			
Appropriations (Net After Transfers)	\$ 3,744,200	\$ 4,110,200	\$ 4,011,000
Expenditures:			
Personal Services:			
Regular Positions	1,010,625	1,066,873	1,023,045
Employee Retirement Contributions	20,890	22,062	20,927
Extra Help	33,291	35,854	23,935
Group Insurance	388,813	460,345	512,038
State Retirement Fund Contributions	442,247	444,709	397,779
Social Security Contributions	81,774	85,921	83,172
Contractual Services	925,050	929,729	932,262
Commodities	24,962	23,323	28,000
Telecommunications	30,000	20,297	19,902
Total Expenditures	<u>2,957,652</u>	<u>3,089,113</u>	<u>3,041,060</u>
Lapsed Balances	<u>\$ 786,548</u>	<u>\$ 1,021,087</u>	<u>\$ 969,940</u>
Driver Services Administration Fund - 182:			
Appropriations (Net After Transfers)	\$ 3,600,000	\$ 1,000,000	\$ -
Expenditures	<u>2,669,215</u>	<u>-</u>	<u>-</u>
Lapsed Balances	<u>\$ 930,785</u>	<u>\$ 1,000,000</u>	<u>\$ -</u>
Secretary of State Evidence Fund - 374:			
Appropriations (Net After Transfers)	\$ 5,000	\$ 5,000	\$ 5,000
Expenditures	<u>1,955</u>	<u>4,789</u>	<u>1,365</u>
Lapsed Balances	<u>\$ 3,045</u>	<u>\$ 211</u>	<u>\$ 3,635</u>
Alternate Fuels Fund - 422:			
Administering Alternate Fuels Act:			
Appropriations (Net After Transfers)	\$ 225,000	\$ 225,000	\$ 225,000
Expenditures	<u>225,000</u>	<u>225,000</u>	<u>225,000</u>
Lapsed Balances	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2015 P.A. 98-0679, 98-0675	2014 P.A. 98-0064, 98-0050	2013 P.A. 97-0726, 97-0725
Indigent BAIDD Fund- 451:			
Appropriations (Net After Transfers)	\$ 300,000	\$ 500,000	\$ 500,000
Expenditures	139,435	144,068	191,393
Lapsed Balances	\$ 160,565	\$ 355,932	\$ 308,607
Monitoring Device Driving Permit Administration Fund - 453:			
Appropriations (Net After Transfers)	\$ 2,500,000	\$ 3,000,000	\$ 3,000,000
Expenditures	1,014,117	1,122,305	1,439,130
Lapsed Balances	\$ 1,485,883	\$ 1,877,695	\$ 1,560,870
SOS Identity Security & Theft Prevention Fund - 480:			
Appropriations (Net After Transfers)	\$ 10,000,000	\$ 7,000,000	\$ 8,800,000
Expenditures	5,353,606	2,804,410	1,423,304
Lapsed Balances	\$ 4,646,394	\$ 4,195,590	\$ 7,376,696
Motor Vehicle License Plate Fund - 622:			
New or replacement license plates:			
Appropriations (Net After Transfers)	\$ 14,386,300	\$ 14,386,300	\$ 15,561,600
Expenditures	12,944,202	11,779,466	10,054,273
Lapsed Balances	\$ 1,442,098	\$ 2,606,834	\$ 5,507,327
Secretary of State DUI Administration Fund - 732:			
Administrative hearings:			
Appropriations (Net After Transfers)	\$ 2,500,000	\$ 2,500,000	\$ 2,500,000
Expenditures	1,770,211	1,942,339	1,685,738
Lapsed Balances	\$ 729,789	\$ 557,661	\$ 814,262
Secretary of State Police DUI Fund - 758:			
Appropriations (Net After Transfers)	\$ 30,000	\$ 30,000	\$ 30,000
Expenditures	2,694	3,643	23,476
Lapsed Balances	\$ 27,306	\$ 26,357	\$ 6,524
Secretary of State Police Services Fund - 759:			
Appropriations (Net After Transfers)	\$ 800,000	\$ 600,000	\$ 500,000
Expenditures	484,936	442,327	366,575
Lapsed Balances	\$ 315,064	\$ 157,673	\$ 133,425

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2015 P.A. 98-0679, 98-0675	2014 P.A. 98-0064, 98-0050	2013 P.A. 97-0726, 97-0725
MOTOR VEHICLE GROUP TOTALS:			
Appropriations (Net After Transfers)	\$ 202,944,598	\$ 197,349,961	\$ 197,496,734
Expenditures	186,672,128	181,374,361	173,113,774
Lapsed Balances	\$ 16,272,470	\$ 15,975,600	\$ 24,382,960
GRAND TOTALS - ALL GROUPS:			
Appropriations (Net After Transfers)	\$ 468,770,206	\$ 444,098,244	\$ 440,406,616
Expenditures	425,825,397	374,163,620	348,283,981
Reappropriations	-	36,506,906	50,691,640
Lapsed balances	\$ 42,944,809	\$ 33,427,718	\$ 41,430,995

Note 1:

The comparative schedule of net appropriations, expenditures and lapsed balances does not include the Secretary of State's salary. The Secretary of State's salary is appropriated to the Comptroller's Office for payment and, for fiscal years 2015, 2014 and 2013 totaled \$156,600.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF EXPENDITURES BY MAJOR OBJECT CODE

	Year ended June 30,			Difference		Difference	
	2015	2014	2013	2015 - 2014	Percent	2013 - 2014	Percent
Operations:							
Personal services:							
Regular positions	\$ 182,124,181	\$ 181,597,291	\$ 179,557,809	\$ 526,890	.29 %	\$ 2,039,482	1.14 %
Extra help	6,701,069	6,638,909	6,407,739	62,160	.94 %	231,170	3.61 %
Employee retirement contributions	3,790,308	3,786,537	3,737,571	3,771	.10 %	48,966	1.31 %
Group insurance	3,572,059	3,260,069	3,784,313	311,990	9.57 %	(524,244)	(13.85) %
State retirement fund contributions	5,125,422	4,055,339	4,002,718	1,070,083	26.39 %	52,621	1.31 %
Social security contributions	13,615,151	13,631,030	13,532,905	(15,879)	(.12) %	98,125	.73 %
Contractual services	38,654,291	38,133,221	36,209,007	521,070	1.37 %	1,924,214	5.31 %
Travel	376,898	394,977	362,848	(18,079)	(4.58) %	32,129	8.85 %
Printing	2,789,223	2,461,897	1,371,190	327,326	13.30 %	1,090,707	79.54 %
Commodities	3,147,504	2,171,347	3,260,453	976,157	44.96 %	(1,089,106)	(33.40) %
Equipment	1,419,480	2,260,841	2,627,321	(841,361)	(37.21) %	(366,480)	(13.95) %
Electronic data processing	6,115,703	8,530,710	8,660,690	(2,415,007)	(28.31) %	(129,980)	(1.50) %
Telecommunications	2,591,520	2,243,012	2,073,272	348,508	15.54 %	169,740	8.19 %
Operation of automotive equipment	1,001,170	1,163,538	1,263,374	(162,368)	(13.95) %	(99,836)	(7.90) %
Constitutional amendment	-	-	1,000,000	-	- %	(1,000,000)	(100.00) %
Family responsibility fund	83,654	89,943	30,630	(6,289)	(6.99) %	59,313	193.64 %
Interagency grant fund - non appropriated	664,768	1,341,441	2,052,362	(676,673)	(50.44) %	(710,921)	(34.64) %
Secretary of State grant fund	66,978	71,006	31,214	(4,028)	(5.67) %	39,792	127.48 %
Driver services administration fund	2,669,215	-	-	2,669,215	100.00 %	-	- %
Securities investors' education fund	1,010,407	982,811	1,117,674	27,596	2.81 %	(134,863)	(12.07) %
Alternate fuels fund	225,000	225,000	225,000	-	- %	-	- %
Indigent BAID fund	139,435	144,068	191,394	(4,633)	(3.22) %	(47,326)	(24.73) %
Monitoring Device Driving Permit (MDDP)	1,014,117	1,122,305	1,439,130	(108,188)	(9.64) %	(316,825)	(22.02) %
Secretary of State evidence fund	1,955	4,789	1,365	(2,834)	(59.18) %	3,424	250.84 %
Motor vehicle license plate fund	12,944,202	11,779,466	10,054,273	1,164,736	9.89 %	1,725,193	17.16 %
Secretary of State DUI administration fund	1,770,211	1,942,339	1,685,737	(172,128)	(8.86) %	256,602	15.22 %
Secretary of State police DUI fund	2,694	3,643	23,476	(949)	(26.05) %	(19,833)	(84.48) %
Secretary of State police services fund	484,936	442,327	366,575	42,609	9.63 %	75,752	20.66 %
Secretary of State ID security & theft prevention fund	5,353,606	2,804,410	1,423,304	2,549,196	90.90 %	1,381,106	97.04 %
Secretary of State federal projects fund	35,620	52,326	54,428	(16,706)	(31.93) %	(2,102)	(3.86) %
State library fund	9,577	5,807	3,817	3,770	64.92 %	1,990	52.14 %
CDL Improvement	-	74,273	88,187	(74,273)	(100.00) %	(13,914)	(15.78) %

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF EXPENDITURES BY MAJOR OBJECT CODE - CONTINUED

	Year ended June 30,			Difference		Difference	
	2015	2014	2013	2015-2014	Percent	2013 - 2014	Percent
Operations: - Continued							
Secretary of State special services fund	\$ 11,005,645	\$ 12,138,982	\$ 10,529,709	\$ (1,133,337)	(9.34) %	\$ 1,609,273	15.28 %
	<u>308,505,999</u>	<u>303,553,654</u>	<u>297,169,485</u>	<u>4,952,345</u>	<u>1.63 %</u>	<u>6,384,169</u>	<u>2.15 %</u>
Awards and Grants:							
Awards and grants	85,199,088	40,045,984	21,610,453	45,153,104	112.75 %	18,435,531	85.31 %
Live and learn fund	21,073,251	20,505,828	20,614,053	567,423	2.77 %	(108,225)	(.53) %
Literacy program	3,718,287	3,718,300	3,704,164	(13)	-	14,136	.38 %
Library service to blind	799,730	864,559	858,813	(64,829)	(7.50) %	5,746	.67 %
	<u>110,790,356</u>	<u>65,134,671</u>	<u>46,787,483</u>	<u>45,655,685</u>	<u>70.09 %</u>	<u>18,347,188</u>	<u>39.21 %</u>
Lump sums and other purposes:							
International registration	102,652,732	104,384,179	97,392,396	(1,731,447)	(1.66) %	6,991,783	7.18 %
	<u>213,443,088</u>	<u>169,518,850</u>	<u>144,179,879</u>	<u>43,924,238</u>	<u>25.91 %</u>	<u>25,338,971</u>	<u>17.57 %</u>
Permanent Improvements:							
Permanent improvements	4,668,937	4,307,780	3,868,446	361,157	8.38 %	439,334	11.36 %
	<u>4,668,937</u>	<u>4,307,780</u>	<u>3,868,446</u>	<u>361,157</u>	<u>8.38 %</u>	<u>439,334</u>	<u>11.36 %</u>
Refunds:							
Refunds	2,524,873	2,508,956	2,510,930	15,917	.63 %	(1,974)	(.08) %
Corporate franchise tax refund fund	220,790	296,823	106,404	(76,033)	(25.62) %	190,419	178.96 %
Lump sums and other purposes:							
Safety responsibility - non approp	482,972	547,196	629,304	(64,224)	(11.74) %	(82,108)	(13.05) %
	<u>3,228,635</u>	<u>3,352,975</u>	<u>3,246,638</u>	<u>(124,340)</u>	<u>(3.71) %</u>	<u>106,337</u>	<u>3.28 %</u>
Total	<u>\$ 529,846,659</u>	<u>\$ 480,733,259</u>	<u>\$ 448,464,448</u>	<u>\$ 49,113,400</u>	<u>10.22 %</u>	<u>\$ 32,268,811</u>	<u>7.20 %</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF RECEIPTS, DISBURSEMENTS,
AND FUND BALANCES (CASH BASIS) - LOCALLY HELD FUNDS

For the Two Years Ended June 30, 2015
(Expressed in thousands)

	<u>2015</u>	<u>2014</u>
<u>Go-Back Fund - 1110</u>		
Balance, beginning of year	\$ -	\$ -
Receipts and additions		
License, Fees or Registration	55	27
Disbursements and deletions		
Refunds	<u>55</u>	<u>27</u>
Balance, end of year	\$ <u>-</u>	\$ <u>-</u>
The Go-Back Fund consists of an account maintained at Chase Bank.		
<u>Special Advance Fund - 1198</u>		
Balance, beginning of year	\$ 5	\$ 5
Disbursements and deletions		
General government	<u>2</u>	<u>-</u>
Balance, end of year	\$ <u>3</u>	\$ <u>5</u>

The Special Advance Fund consists of funds on deposit with three banks throughout the State of Illinois.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF RECEIPTS, DISBURSEMENTS,
AND FUND BALANCES (CASH BASIS) - LOCALLY HELD FUNDS - CONTINUED

For the Two Years Ended June 30, 2015
(Expressed in thousands)

	<u>2015</u>	<u>2014</u>
<u>Safe-Keeping Fund - 1344</u>		
Balance, beginning of year	\$ 315	\$ 853
Receipts and additions		
License, Fees or Registrations	216	168
Disbursements and deletions		
Refunds	<u>146</u>	<u>706</u>
Balance, end of year	<u>\$ 385</u>	<u>\$ 315</u>
The Safe-Keeping Fund consists of surety bonds and certificates of deposit held by the State Treasurer.		
<u>Antique Vehicle Show - 1390</u>		
Balance, beginning of year	\$ 12	\$ 10
Receipts and additions		
License, Fees or Registrations	22	17
Disbursements and deletions		
General government	<u>18</u>	<u>15</u>
Balance, end of year	<u>\$ 16</u>	<u>\$ 12</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF CHANGES IN STATE PROPERTY

Year Ended June 30, 2015

	<u>Land and Land Improvements</u>	<u>Buildings and Building Improvements</u>	<u>Equipment</u>	<u>Capital Leases</u>	<u>Total</u>
PROPERTY AND EQUIPMENT, July 1, 2014	\$ 7,087,247	\$ 442,793,904	\$ 77,970,491	\$ -	\$ 527,851,642
ADDITIONS					
Purchases	-	1,058,078	3,052,377	-	4,110,455
Library books	-	-	209,661	-	209,661
Previously omitted from inventory	-	-	94,142	-	94,142
Transfer from Capital Development Board and other agencies	-	61,171,574	29,288	-	61,200,862
Adjustments	-	-	142,270	-	142,270
Total additions	<u>-</u>	<u>62,229,652</u>	<u>3,527,738</u>	<u>-</u>	<u>65,757,390</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF CHANGES IN STATE PROPERTY - CONTINUED

Year Ended June 30, 2015

	<u>Land and Land Improvements</u>	<u>Buildings and Building Improvements</u>	<u>Equipment</u>	<u>Capital Leases</u>	<u>Total</u>
DELETIONS					
Transfers to Department of Central Management Services and other agencies	\$ -	\$ -	\$ 4,516,510	\$ -	\$ 4,516,510
Scrapped items	-	-	19,345	-	19,345
Non-inventory items	-	230,033	-	-	230,033
Other deletions	-	-	591,368	-	591,368
	<u>-</u>	<u>-</u>	<u>591,368</u>	<u>-</u>	<u>591,368</u>
Total deletions	<u>-</u>	<u>230,033</u>	<u>5,127,223</u>	<u>-</u>	<u>5,357,256</u>
PROPERTY AND EQUIPMENT, June 30, 2015	<u>\$ 7,087,247</u>	<u>\$ 504,793,523</u>	<u>\$ 76,371,006</u>	<u>\$ -</u>	<u>\$ 588,251,776</u>

Note: This schedule was prepared from Secretary of State records and was reconciled to property reports submitted to the State Comptroller. This summary schedule was prepared using State property records required by the Illinois Administrative Code. The capitalization policy in the Code is different than the capitalization policy established by the Office of the Comptroller for financial reporting in accordance with generally accepted accounting principles.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF CHANGES IN STATE PROPERTY

Year Ended June 30, 2014

	<u>Land and Land Improvements</u>	<u>Buildings and Building Improvements</u>	<u>Equipment</u>	<u>Capital Leases</u>	<u>Total</u>
PROPERTY AND EQUIPMENT, July 1, 2013	\$ 7,087,247	\$ 439,199,292	\$ 77,110,249	\$ -	\$ 523,396,788
ADDITIONS					
Purchases	-	948,955	4,250,878	-	5,199,833
Library books	-	-	201,886	-	201,886
Previously omitted from inventory	-	-	51,157	-	51,157
Transfer from Capital Development Board and other agencies	-	2,853,955	223,796	-	3,077,751
Adjustments	-	-	74,921	-	74,921
	<hr/>	<hr/>	<hr/>	<hr/>	<hr/>
Total additions	-	3,802,910	4,802,638	-	8,605,548
	<hr/>	<hr/>	<hr/>	<hr/>	<hr/>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF CHANGES IN STATE PROPERTY - CONTINUED

Year Ended June 30, 2014

	<u>Land and Land Improvements</u>	<u>Buildings and Building Improvements</u>	<u>Equipment</u>	<u>Capital Leases</u>	<u>Total</u>
DELETIONS					
Cost of equipment traded-in	\$ -	\$ -	\$ 479,486	\$ -	\$ 479,486
Transfers to Department of Central Management Services and other agencies	-	-	3,287,077	-	3,287,077
Scrapped items	-	-	23,211	-	23,211
Non-inventory items	-	208,298	-	-	208,298
Adjustments	-	-	17	-	17
Other deletions	-	-	152,605	-	152,605
	<hr/>	<hr/>	<hr/>	<hr/>	<hr/>
Total deletions	-	208,298	3,942,396	-	4,150,694
	<hr/>	<hr/>	<hr/>	<hr/>	<hr/>
PROPERTY AND EQUIPMENT, June 30, 2014	\$ <u>7,087,247</u>	\$ <u>442,793,904</u>	\$ <u>77,970,491</u>	\$ <u>-</u>	\$ <u>527,851,642</u>

Note: This schedule was prepared from Secretary of State records and was reconciled to property reports submitted to the State Comptroller. This summary schedule was prepared using State property records required by the Illinois Administrative Code. The capitalization policy in the Code is different than the capitalization policy established by the Office of the Comptroller for financial reporting in accordance with generally accepted accounting principles.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF CASH RECEIPTS - BY DEPARTMENT AND SOURCE
Years ended June 30

<u>Department and Source</u>	<u>2015</u>	<u>2014</u>	<u>2013</u>
Business services			
Corporation taxes and fees	\$ 235,466,631	\$ 225,907,065	\$ 228,714,364
Uniform Limited Partnership	1,416,244	1,670,670	1,422,089
Uniform Commercial Code	3,060,443	3,113,800	3,013,930
Limited Liability Co. Act	77,676,098	70,438,143	65,585,771
Limited Liability Partnership	576,400	533,875	536,350
Securities			
License fees or registration	52,615,892	48,743,371	52,940,080
Library			
Copy fees and book dues	2,949	3,084	3,852
Federal government	6,403,966	6,820,788	6,759,314
Monetary gifts or bequests	2,700	1,700	2,150
Lost or damaged books	1,322	261	1,128
Archives			
Copy and recording fees	1,210	5,078	6,545
Federal government	17,500	47,500	-
Index			
License fees or registration	1,949,697	1,949,788	1,761,244
Subscriptions of publication sales	290	872	1,740
Lobbyist registration fines	96,800	104,550	105,200
Physical services			
Parking fees	12,900	11,780	12,120
All departments			
Miscellaneous	162,300	204,332	95,542
Employee reimbursements	4,047	4,334	11,089
Check Write Off/Go Back	1,755	3,211	5,513
Other state agencies	-	-	1
Federal government	234,642	200,217	2
Unclaimed assets	129,715	148,910	1,586
Prior period adjustments	134,811	9	9
Driver Services			
Operators' license and related fees	110,492,923	109,550,476	97,120,860
Sale of individual driving record	25,671,018	25,795,080	28,228,002
Automotive dealer fees	4,327,400	4,265,814	4,341,849
Reinstate operators license	11,569,900	11,114,790	10,321,120
Sale of vehicle or driver data	1,049,019	3	815,652
Standard Illinois identification card	9,528,040	9,689,668	9,715,040
Federal government	1,641,311	4	-
		4	322,432

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF CASH RECEIPTS - BY DEPARTMENT AND SOURCE - CONTINUED
Years ended June 30

<u>Department and Source</u>	<u>2015</u>		<u>2014</u>		<u>2013</u>
Driver Services - Continued					
Installation fees - Indigent BAIID	\$ 126,803		\$ 153,076		\$ 192,286
Private organizations or individuals	849,918		872,059	5	559,835
Vehicle Services					
Commercial distribution fee	51,402,952		48,548,167		48,251,733
Short term trip permits	897,348		798,884		776,788
Personalized license plates	6,693,658		6,758,078		7,120,916
Certificate of title	272,387,469		257,416,800		249,503,609
Misplaced license plates and stickers	501,825		372,375		209,000
Delinquent vehicle registration renewal	12,173,655	6	9,223,400		7,823,920
License fees or registration	1,530,465,393		1,500,455,800		1,461,037,470
SOS Police					
License fees or registration	411,788	7	725,257		605,768
Motor Vehicle Theft Prevention Council	664,926	8	1,162,582		1,400,353
Fines, penalties or violations	<u>232,603</u>		<u>129,412</u>		<u>29,922</u>
Total cash receipts per Comptroller	<u>\$ 2,421,056,261</u>		<u>\$ 2,348,362,872</u>		<u>\$ 2,290,826,372</u>

Note: The Secretary of State's explanations for significant variance in cash receipts are documented in the Analysis of Significant Variations in Receipts later in this report.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
Year Ended June 30, 2015

<u>Department and Source</u>	<u>Multiple Department Sourced Funds</u>	<u>Vehicle Services Sourced Funds</u>	<u>Drivers Services Sourced Funds</u>	<u>Business Services Sourced Funds</u>	<u>Other Department Sourced Funds</u>	<u>ALL Funds Summary</u>
Business Services						
Corporation taxes and fees	\$ 216,564,941	\$ -	\$ -	\$ 18,901,690	\$ -	\$ 235,466,631
Uniform Limited Partnership	1,416,244	-	-	-	-	1,416,244
Uniform Commercial Code	3,060,443	-	-	-	-	3,060,443
Limited Liability Co. Act	77,676,098	-	-	-	-	77,676,098
Limited Liability Partnership	-	-	-	576,400	-	576,400
Securities						
License fees or registration	40,270,515	-	-	-	12,345,377	52,615,892
Library						
Copy fees and book dues	-	-	-	-	2,949	2,949
Federal government	-	-	-	-	6,403,966	6,403,966
Monetary Gift or Bequests	-	-	-	-	2,700	2,700
Lost or damaged books	-	-	-	-	1,322	1,322
Archives						
Copy and recording fees	1,210	-	-	-	-	1,210
Federal government	17,500	-	-	-	-	17,500
Index						
License fees or registration	794,400	-	-	-	1,155,297	1,949,697
Subscriptions of publication sales	-	-	-	-	290	290
Lobbyist registration fines	-	-	-	-	96,800	96,800
Physical Services						
Parking fees	-	-	-	-	12,900	12,900
Driver Services						
Operators' license and related fees	85,593,638	-	24,899,285	-	-	110,492,923
Sale of individual driving record	25,671,018	-	-	-	-	25,671,018
Automotive dealer fees	4,105,600	-	221,800	-	-	4,327,400
Reinstate operators license	9,561,700	-	2,008,200	-	-	11,569,900
Sale of vehicle or driver data	1,049,019	-	-	-	-	1,049,019
Standard Illinois identification card	9,528,040	-	-	-	-	9,528,040
Federal government	-	-	1,641,311	-	-	1,641,311
Installation fees-Indigent BAIID	-	-	126,803	-	-	126,803
Private organizations or individuals	-	-	849,918	-	-	849,918
Vehicle Services						
Commercial distribution fee	51,402,952	-	-	-	-	51,402,952
Short term trip permits	897,348	-	-	-	-	897,348

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
Year Ended June 30, 2015

<u>Department and Source</u>	<u>Multiple Department Sourced Funds</u>	<u>Vehicle Services Sourced Funds</u>	<u>Drivers Services Sourced Funds</u>	<u>Business Services Sourced Funds</u>	<u>Other Department Sourced Funds</u>	<u>ALL Funds Summary</u>
Vehicle Services - Continued						
Personalized license plates	\$ 3,346,829	\$ 3,346,829	\$ -	\$ -	\$ -	\$ 6,693,658
Certificate of title	249,872,148	22,515,321	-	-	-	272,387,469
Delinquent vehicle register renewal	12,173,655	-	-	-	-	12,173,655
License fees or registration	1,390,865,027	139,600,366	-	-	-	1,530,465,393
Misplaced license plates and stickers	-	501,825	-	-	-	501,825
SOS Police						
License fees or registration	411,788	-	-	-	-	411,788
Motor Vehicle Theft Prevention Council	664,926	-	-	-	-	664,926
Fines, penalties or violations	206,038	-	-	-	26,565	232,603
All departments						
Miscellaneous	162,300	-	-	-	-	162,300
Employee reimbursements	4,047	-	-	-	-	4,047
Check Write Off/Go Back	1,755	-	-	-	-	1,755
Federal government through IEMA	45,306	-	-	-	-	45,306
Federal government	189,336	-	-	-	-	189,336
Unclaimed assets	129,715	-	-	-	-	129,715
Prior period adjustments	126,838	(406)	-	-	8,379	134,811
Total cash receipts per Comptroller	<u>2,185,810,374</u>	<u>165,963,935</u>	<u>29,747,317</u>	<u>19,478,090</u>	<u>20,056,545</u>	<u>2,421,056,261</u>
Receipts in transit to State Treasurer						
June 30, 2014	60,289,281	-	-	-	-	60,289,281
June 30, 2015	(65,656,067)	-	-	-	-	(65,656,067)
Receipts in transit to State Comptroller						
June 30, 2014	(183,444,184)	(6,298,122)	(2,401,862)	(704,891)	(183,068)	(193,032,127)
June 30, 2015	205,860,271	6,616,400	2,625,234	749,675	177,014	216,028,594
Prior period and other adjustments	(126,838)	406	-	-	(8,379)	(134,811)
Total Agency Receipts, fiscal year 2015	<u>\$ 2,202,732,837</u>	<u>\$ 166,282,619</u>	<u>\$ 29,970,689</u>	<u>\$ 19,522,874</u>	<u>\$ 20,042,112</u>	<u>\$ 2,438,551,131</u>

STATE OF ILLINOIS
 OFFICE OF THE SECRETARY OF STATE
 RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
 Year Ended June 30, 2015
 Multiple Department Sourced Funds

Department and Source	General		CDLIS/AAMVA	SOS Federal	SOS Inter-		SOS Special	Capital	SOS Police	State	Total
	Revenue	Road	Net Trust	Projects	Agency Grant	Protest	Services	Projects	Services	Construction	
	Fund	Fund	Fund	Fund	Fund	Fund	Fund	Fund	Fund	Account	
#0001	#0011	#0109	#0176	#0295	#0401	#0483	#0694	#0759	#0902		
Business Services											
Corporation taxes and fees	\$ 210,939,556	\$ -	\$ -	\$ -	\$ -	\$ 548,240	\$ 5,077,145	\$ -	\$ -	\$ -	\$ 216,564,941
Uniform Limited Partnership	1,416,244	-	-	-	-	-	-	-	-	-	1,416,244
Uniform Commercial Code	1,305,803	-	-	-	-	-	1,754,640	-	-	-	3,060,443
Limited Liability Co. Act	77,676,098	-	-	-	-	-	-	-	-	-	77,676,098
Securities											
License fees or registration	40,270,515	-	-	-	-	-	-	-	-	-	40,270,515
Archives											
Copy and recording fees	1,210	-	-	-	-	-	-	-	-	-	1,210
Federal government	-	-	-	17,500	-	-	-	-	-	-	17,500
Index											
License fees or registration	792,165	-	-	-	-	-	2,235	-	-	-	794,400
Driver Services											
Operators' license and related fees	-	24,066,359	699,582	-	-	-	12,836,574	33,821,880	-	14,169,243	85,593,638
Sale of individual driving record	25,671,018	-	-	-	-	-	-	-	-	-	25,671,018
Automotive dealer fees	4,105,600	-	-	-	-	-	-	-	-	-	4,105,600
Reinstate operators license	9,561,700	-	-	-	-	-	-	-	-	-	9,561,700
Sale of vehicle or driver data	1,049,019	-	-	-	-	-	-	-	-	-	1,049,019
Standard Illinois identification card	9,528,040	-	-	-	-	-	-	-	-	-	9,528,040
Vehicle Services											
Commercial distribution fee	51,402,952	-	-	-	-	-	-	-	-	-	51,402,952
Short term trip permits	897,348	-	-	-	-	-	-	-	-	-	897,348
Personalized license plates	3,346,829	-	-	-	-	-	-	-	-	-	3,346,829
Certificate of title	28,329,372	85,891,095	1,550	-	-	-	-	85,206,090	-	50,444,041	249,872,148
Delinquent vehicle register renewal	9,085,880	-	3,087,775	-	-	-	-	-	-	-	12,173,655
License fees or registration	-	758,632,122	-	-	-	-	-	189,705,610	300,552	442,226,743	1,390,865,027
SOS Police											
License fees or registration	-	240,618	-	-	-	-	-	-	29,756	141,414	411,788
Motor Vehicle Theft Prevention Council	-	-	-	-	664,926	-	-	-	-	-	664,926
Fines, penalties or violations	-	-	-	-	-	-	62,285	-	143,753	-	206,038

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
Year Ended June 30, 2015
Multiple Department Sourced Funds

Department and Source	General	CDLIS/AAMVA	SOS Federal	SOS Inter-	SOS Special	Capital	SOS Police	State	Total		
	Revenue	Road	Net Trust	Projects	Agency Grant	Protest	Services	Projects		Construction	
	Fund	Fund	Fund	Fund	Fund	Fund	Fund	Fund		Account	
	#0001	#0011	#0109	#0176	#0295	#0401	#0483	#0694		#0759	#0902
All departments											
Miscellaneous	\$ 120,072	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 42,228	\$ -	\$ -	\$ -	162,300
Employee reimbursements	4,047	-	-	-	-	-	-	-	-	-	4,047
Check Write Off/GO Back	1,755	-	-	-	-	-	-	-	-	-	1,755
Federal government through IEMA	-	-	-	-	45,306	-	-	-	-	-	45,306
Federal government	-	-	-	24,533	-	-	164,803	-	-	-	189,336
Unclaimed assets	129,715	-	-	-	-	-	-	-	-	-	129,715
Prior period adjustments	27,665	1,075	-	1,194	-	-	96,904	-	-	-	126,838
Total cash receipts per Comptroller	475,662,603	868,831,269	3,788,907	43,227	710,232	548,240	20,036,814	308,733,580	474,061	506,981,441	2,185,810,374
Receipts in transit to State Treasurer											
June 30, 2014	3,015,602	57,273,679	-	-	-	-	-	-	-	-	60,289,281
June 30, 2015	(4,129,728)	(61,526,339)	-	-	-	-	-	-	-	-	(65,656,067)
Receipts in transit to State Comptroller											
June 30, 2014	(8,582,868)	(75,355,652)	(309,923)	-	-	-	(1,410,738)	(28,632,390)	(28,645)	(69,123,968)	(183,444,184)
June 30, 2015	11,753,839	86,376,832	395,650	-	-	-	1,488,401	30,290,480	42,320	75,512,749	205,860,271
Prior period and other adjustments	(27,665)	(1,075)	-	(1,194)	-	-	(96,904)	-	-	-	(126,838)
Total Agency Receipts, fiscal year 2015	\$ 477,691,783	\$ 875,598,714	\$ 3,874,634	\$ 42,033	\$ 710,232	\$ 548,240	\$ 20,017,573	\$ 310,391,670	\$ 487,736	\$ 513,370,222	\$ 2,202,732,837

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
Year Ended June 30, 2015
Vehicle Services Sourced Funds

Source	Personalized	Certificate of	Misplaced	License Fees	Prior Period	Total Cash	Receipts In Transit		Prior Period	Total Agency
	License		License Plates				To Comptroller			
Fund/Fund #	Plates	Title	and Stickers	or Registration	Adjustments	Receipts per	6/30/14	6/30/15	Adjustments	FY2015
Alzheimer Awareness #0020	\$ -	\$ -	\$ -	\$ 1,450	\$ -	\$ 1,450	\$ -	\$ 340	\$ -	\$ 1,790
Illinois Nurses Foundation #0028	-	-	-	1,720	-	1,720	-	1,320	-	3,040
American Red Cross #0029	-	-	-	-	(475)	(475)	475	-	475	475
Illinois Sheriffs' Scholarship & Training #0032	-	-	-	43	-	43	-	175	-	218
Illinois State Police Memorial #0034	-	-	-	5,843	-	5,843	-	1,430	-	7,273
Illinois Police K-9 Memorial #0038	-	-	-	175	(600)	(425)	600	50	600	825
State Parks #0040	-	-	-	917,375	-	917,375	(99,325)	97,000	-	915,050
National Wild Turkey #0058	-	-	-	225	-	225	-	250	-	475
Curing Childhood Cancer #0066	-	-	-	1,450	-	1,450	-	450	-	1,900
Korean War Memorial #0164	-	-	-	66	-	66	(4)	8	-	70
Violence Prevention #0184	-	-	-	351,750	-	351,750	(35,900)	36,325	-	352,175
SOS Special License Plate #0185	3,346,829	-	-	829,315	613	4,176,757	(456,747)	473,690	(613)	4,193,087
Diabetes Research Checkoff #0198	-	-	-	175	-	175	-	50	-	225
Illinois Fisheries Management #0199	-	1,846,204	-	-	-	1,846,204	(166,353)	172,935	-	1,852,786
State Police Vehicle #0246	-	-	-	9,558,352	-	9,558,352	(928,340)	987,519	-	9,617,531
Illinois Habitat #0391	-	-	-	240,175	-	240,175	(29,775)	31,000	-	241,400
Common School #0412	-	-	-	472,912	-	472,912	(36,379)	39,335	-	475,868
State College & University Trust #0417	-	-	-	269,675	-	269,675	(28,425)	28,200	-	269,450
University Grant #0418	-	-	-	91,700	-	91,700	(8,975)	9,650	-	92,375
Alternate Fuels #0422	-	-	-	1,807,780	-	1,807,780	(494,060)	466,340	-	1,780,060
Rotary Club #0454	-	-	-	4,843	-	4,843	(480)	539	-	4,902
Autism Awareness #0458	-	-	-	17,250	-	17,250	(1,675)	1,850	-	17,425
Ovarian Cancer Awareness #0459	-	-	-	12,116	-	12,116	(954)	1,085	-	12,247
Illinois Professional Golfers Assoc Jr Golf #0463	-	-	-	45,232	-	45,232	(4,934)	4,062	-	44,360
Boy Scout and Girl Scout #0464	-	-	-	16,150	-	16,150	(1,100)	1,500	-	16,550
Agriculture in the Classroom #0466	-	-	-	96,675	-	96,675	(8,375)	9,700	-	98,000
Sheet Metal Workers International #0468	-	-	-	2,434	-	2,434	(148)	296	-	2,582
Support Our Troops #0496	-	-	-	28,275	-	28,275	(2,700)	3,150	-	28,725
Wildlife Prairie Park #0504	-	-	-	17,700	-	17,700	(2,000)	1,950	-	17,650
Master Mason #0508	-	-	-	41,365	-	41,365	(5,048)	5,655	-	41,972
Illinois Fire Fighters Memorial #0510	-	-	-	543,495	-	543,495	(53,565)	57,924	-	547,854
Illinois & Michigan Canal #0570	-	-	-	7,475	-	7,475	(900)	925	-	7,500
Off-Highway Vehicle Trail #0574	-	327,675	-	-	-	327,675	(25,449)	32,300	-	334,526
Illinois Pan Hellenic Trust #0584	-	-	-	55,325	-	55,325	(5,125)	5,950	-	56,150
Park District Youth Program #0585	-	-	-	27,775	-	27,775	(3,075)	3,550	-	28,250
Hospice #0586	-	-	-	2,692	-	2,692	(378)	378	-	2,692
Professional Sports Team #0587	-	-	-	1,034,700	-	1,034,700	(96,400)	128,675	-	1,066,975
September 11th #0588	-	-	-	128,350	-	128,350	(12,000)	13,100	-	129,450

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
Year Ended June 30, 2015
Vehicle Services Sourced Funds

Fund/Fund #	Source	Personalized	Misplaced		License Fees or Registration	Prior Period Adjustments	Total Cash Receipts per Comptroller	Receipts In Transit To Comptroller		Prior Period and Other Adjustments	Total Agency Receipts, FY2015
		License Plates	Certificate of Title	License Plates and Stickers				6/30/14	6/30/15		
Illinois Route 66 Heritage Project #0594		\$ -	\$ -	\$ -	\$ 166,250	\$ -	\$ 166,250	\$ (16,325)	\$ 18,525	\$ -	\$ 168,450
Police Memorial Committee #0598		-	-	-	180,990	-	180,990	(16,609)	17,832	-	182,213
Mammogram #0599		-	-	-	130,194	-	130,194	(13,583)	13,261	-	129,872
Motor Vehicle License Plate #0622		-	12,956,626	501,825	-	56	13,458,507	(1,156,608)	1,208,936	(56)	13,510,779
Special Olympics #0623		-	-	-	15,865	-	15,865	(1,780)	2,015	-	16,100
Chicago Police Memorial #0639		-	-	-	29,751	-	29,751	(2,413)	3,091	-	30,429
Il Police Association #0655		-	-	-	81,925	-	81,925	(7,444)	8,189	-	82,670
Octave Chanutte Aerospace #0662		-	-	-	3,865	-	3,865	(201)	711	-	4,375
Organ Donor Awareness #0716		-	-	-	170,056	-	170,056	(15,935)	16,388	-	170,509
Illinois Future Teachers Corps Scholarship #0753		-	-	-	48,177	-	48,177	(5,279)	5,431	-	48,329
Marine Corps Scholarship #0760		-	-	-	115,917	-	115,917	(10,446)	11,726	-	117,197
Pet Overpopulation #0764		-	-	-	151,025	-	151,025	(15,050)	16,650	-	152,625
Illinois EMS Memorial Scholarship and Training #0800		-	-	-	396	-	396	-	60	-	456
International Brotherhood of Teamsters #0803		-	-	-	-	-	-	-	250	-	250
Share the Road #0854		-	-	-	37,569	-	37,569	(3,982)	4,476	-	38,063
Fraternal Order of Police #0867		-	-	-	14,285	-	14,285	(1,312)	1,582	-	14,555
International Registration Plan #0890		-	-	-	102,692,096	-	102,692,096	-	-	-	102,692,096
Ducks Unlimited #0918		-	-	-	13,300	-	13,300	(1,575)	1,875	-	13,600
Park and Conservation #0962		-	7,384,816	-	19,116,672	-	26,501,488	(2,522,066)	2,666,746	-	26,646,168
		\$ 3,346,829	\$ 22,515,321	\$ 501,825	\$ 139,600,366	\$ (406)	\$ 165,963,935	\$ (6,298,122)	\$ 6,616,400	\$ 406	\$ 166,282,619

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
Year Ended June 30, 2015
Drivers Services Sourced Funds

Fund	Source	Operators'	Automotive	Reinstate	Installation	Federal	Private	Total Cash	Receipts In Transit		Total Agency
		License and Related Fees	Dealer Fees	Operators License	Fees-Indigent BAIID	Government	Organizations or Individuals	Receipts per Comptroller	To Comptroller		Receipts, FY2015
									6/30/14	6/30/15	
Drivers Education #0031		\$ 11,947,374	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 11,947,374	\$ (1,028,011)	\$ 1,098,098	\$ 12,017,461
Driver Services Administration #0182		2,578,935	-	-	-	-	-	2,578,935	(204,504)	226,875	2,601,306
Drunk & Drugged Driving Prevention #0276		-	-	1,820,520	-	-	-	1,820,520	(150,290)	160,040	1,830,270
Family Responsibility #0322		-	-	187,680	-	-	-	187,680	(17,130)	13,500	184,050
Motor Vehicle Review Board #0323		-	221,800	-	-	-	-	221,800	(100)	1,920	223,620
Safety Responsibility #0436		-	-	-	-	-	836,782	836,782	(36,905)	31,719	831,596
Indigent BAIID #0451		-	-	-	126,803	-	-	126,803	(10,895)	13,702	129,610
Monitoring Device Driving Permit #0453		1,606,470	-	-	-	-	-	1,606,470	(121,170)	141,870	1,627,170
Secretary of State ID Security #0480		-	-	-	-	1,641,311	-	1,641,311	-	-	1,641,311
Motor Carrier Safety Inspection #0649		2,332,320	-	-	-	-	-	2,332,320	(168,160)	218,060	2,382,220
Secretary of State DUI Administration #0732		1,989,810	-	-	-	-	-	1,989,810	(169,280)	196,810	2,017,340
Cycle Rider Safety Training #0863		4,444,376	-	-	-	-	-	4,444,376	(495,417)	522,640	4,471,599
Secretary of State's Grant #0948		-	-	-	-	-	13,136	13,136	-	-	13,136
		<u>\$ 24,899,285</u>	<u>\$ 221,800</u>	<u>\$ 2,008,200</u>	<u>\$ 126,803</u>	<u>\$ 1,641,311</u>	<u>\$ 849,918</u>	<u>\$ 29,747,317</u>	<u>\$ (2,401,862)</u>	<u>\$ 2,625,234</u>	<u>\$ 29,970,689</u>

STATE OF ILLINOIS
 OFFICE OF THE SECRETARY OF STATE
 RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
 Year Ended June 30, 2015
 Business Services Sourced Funds

Fund	Source	Corporation Taxes and Fees	Limited Liability Partnership	Total Cash Receipts per Comptroller	Receipts In Transit To Comptroller		Total Agency Receipts, FY2015
					6/30/14	6/30/15	
Registered Limited Liability Partnership #0167		\$ -	\$ 576,400	\$ 576,400	\$ (39,100)	\$ 55,150	\$ 592,450
Dept of Business Services Special Operations #0363		15,082,568	-	15,082,568	(595,295)	599,400	15,086,673
Corporate Franchise Tax Refund #0380		3,377,527	-	3,377,527	(56,281)	81,310	3,402,556
Charitable Trust Stabilization #0435		441,595	-	441,595	(14,215)	13,815	441,195
		<u>\$ 18,901,690</u>	<u>\$ 576,400</u>	<u>\$ 19,478,090</u>	<u>\$ (704,891)</u>	<u>\$ 749,675</u>	<u>\$ 19,522,874</u>

STATE OF ILLINOIS
 OFFICE OF THE SECRETARY OF STATE
 RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
 Year Ended June 30, 2015
 Other Department Sourced Funds

Source	License Fees or Registration	Fines, Penalties and Seizures	Use Fees and Dues	Private Organizations or Individuals	Federal Government	Subscriptions of Publications Sales	Prior Period Adjustments	Total Cash Receipts per Comptroller	Receipts In Transit To Comptroller		Prior Period and Other Adjustments	Total Agency Receipts, FY2015
									6/30/14	6/30/15		
Department/Fund												
Index												
Lobbyist Registration Administration #0044	\$ 1,155,297	\$ 96,800	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,252,097	\$ (15,397)	\$ 19,650	\$ -	\$ 1,256,350
General Assembly Computer Equipment Revolving #0155	-	-	-	-	-	290	-	290	-	-	-	290
	1,155,297	96,800	-	-	-	290	-	1,252,387	(15,397)	19,650	-	1,256,640
Securities												
Securities Investors Education #0292	14,407	-	-	-	-	-	-	14,407	(7,984)	1,300	-	7,723
Securities Audit & Enforcement #0362	12,330,970	-	-	-	-	-	183	12,331,153	(150,665)	155,560	(183)	12,335,865
	12,345,377	-	-	-	-	-	183	12,345,560	(158,649)	156,860	(183)	12,343,588
Library												
Live and Learn #0026	-	-	-	-	-	-	2,981	2,981	-	-	(2,981)	-
Library Services #0470	-	-	-	-	6,403,966	-	5,215	6,409,181	-	-	(5,215)	6,403,966
State Library #0471	-	1,322	2,949	2,700	-	-	-	6,971	(494)	-	-	6,477
	-	1,322	2,949	2,700	6,403,966	-	8,196	6,419,133	(494)	-	(8,196)	6,410,443
Physical Services												
General Obligation Bond Retirement and Interest #0101	-	-	10,320	-	-	-	-	10,320	-	-	-	10,320
State Parking Facility Maintenance #0782	-	-	2,580	-	-	-	-	2,580	-	-	-	2,580
	-	-	12,900	-	-	-	-	12,900	-	-	-	12,900
Police												
Secretary of State Evidence #0374	-	19,485	-	-	-	-	-	19,485	(7,673)	-	-	11,812
Secretary of State Police DUI #0758	-	7,080	-	-	-	-	-	7,080	(855)	504	-	6,729
	-	26,565	-	-	-	-	-	26,565	(8,528)	504	-	18,541
Total	\$ 13,500,674	\$ 124,687	\$ 15,849	\$ 2,700	\$ 6,403,966	\$ 290	\$ 8,379	\$ 20,056,545	\$ (183,068)	\$ 177,014	\$ (8,379)	\$ 20,042,112

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
Year Ended June 30, 2014

<u>Department and Source</u>	<u>Multiple Department Sourced Funds</u>	<u>Vehicle Services Sourced Funds</u>	<u>Drivers Services Sourced Funds</u>	<u>Business Services Sourced Funds</u>	<u>Other Department Sourced Funds</u>	<u>ALL Funds Summary</u>
Business Services						
Corporation taxes and fees	\$ 208,144,998	\$ -	\$ -	\$ 17,762,067	\$ -	\$ 225,907,065
Uniform Limited Partnership	1,670,670	-	-	-	-	1,670,670
Uniform Commercial Code	3,113,800	-	-	-	-	3,113,800
Limited Liability Co. Act	70,438,143	-	-	-	-	70,438,143
Limited Liability Partnership	-	-	-	533,875	-	533,875
Securities						
License fees or registration	39,085,750	-	-	-	9,657,621	48,743,371
Library						
Copy fees and book dues	-	-	-	-	3,084	3,084
Federal government	-	-	-	-	6,820,788	6,820,788
Monetary gift or bequests	-	-	-	-	1,700	1,700
Lost or damaged books	-	-	-	-	261	261
Archives						
Copy and recording fees	5,078	-	-	-	-	5,078
Federal government	47,500	-	-	-	-	47,500
Index						
License fees or registration	830,785	-	-	-	1,119,003	1,949,788
Subscriptions of publication sales	-	-	-	-	872	872
Lobbyist registration fines	-	-	-	-	104,550	104,550
Physical Services						
Parking fees	-	-	-	-	11,780	11,780
Driver Services						
Operators' license and related fees	85,921,371	-	23,629,105	-	-	109,550,476
Sale of individual driving record	25,795,080	-	-	-	-	25,795,080
Automotive dealer fees	4,064,418	-	201,396	-	-	4,265,814
Reinstate operators license	9,089,510	-	2,025,280	-	-	11,114,790
Sale of vehicle or driver data	815,652	-	-	-	-	815,652
Standard Illinois identification card	9,689,668	-	-	-	-	9,689,668
Installation fees-Indigent BAID	-	-	153,076	-	-	153,076
Private organizations or individuals	-	-	872,059	-	-	872,059
Vehicle Services						
Commercial distribution fee	48,548,167	-	-	-	-	48,548,167
Short term trip permits	798,884	-	-	-	-	798,884
Personalized license plates	3,379,039	3,379,039	-	-	-	6,758,078
Certificate of title	236,197,049	21,219,751	-	-	-	257,416,800
Delinquent vehicle register renewal	9,223,400	-	-	-	-	9,223,400

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
Year Ended June 30, 2014

<u>Department and Source</u>	<u>Multiple Department Sourced Funds</u>	<u>Vehicle Services Sourced Funds</u>	<u>Drivers Services Sourced Funds</u>	<u>Business Services Sourced Funds</u>	<u>Other Department Sourced Funds</u>	<u>ALL Funds Summary</u>
Vehicle Services - Continued						
License fees or registration	\$ 1,363,997,908	\$ 136,457,892	\$ -	\$ -	\$ -	\$ 1,500,455,800
Misplaced license plates and stickers	-	372,375	-	-	-	372,375
SOS Police						
License fees or registration	725,257	-	-	-	-	725,257
Motor Vehicle Theft Prevention Council	1,162,582	-	-	-	-	1,162,582
Fines, penalties or violations	117,742	-	-	-	11,670	129,412
All departments						
Miscellaneous	204,332	-	-	-	-	204,332
Employee reimbursements	4,334	-	-	-	-	4,334
Check Write Off/Go Back	3,211	-	-	-	-	3,211
Federal government through IEMA	157,563	-	-	-	-	157,563
Federal government	42,654	-	-	-	-	42,654
Unclaimed assets	148,910	-	-	-	-	148,910
Prior period adjustments	588,670	6,862	-	-	6,641	602,173
Total cash receipts per Comptroller	2,124,012,125	161,435,919	26,880,916	18,295,942	17,737,970	2,348,362,872
Receipts in transit to State Treasurer						
June 30, 2013	58,441,961	-	-	-	-	58,441,961
June 30, 2014	(60,289,281)	-	-	-	-	(60,289,281)
Receipts in transit to State Comptroller						
June 30, 2013	(168,765,869)	(5,947,438)	(2,213,095)	(1,086,033)	(62,584)	(178,075,019)
June 30, 2014	183,444,184	6,298,122	2,401,862	704,891	183,068	193,032,127
Prior period and other adjustments	(588,670)	(6,862)	-	-	(6,641)	(602,173)
Total Agency Receipts, fiscal year 2014	<u>\$ 2,136,254,450</u>	<u>\$ 161,779,741</u>	<u>\$ 27,069,683</u>	<u>\$ 17,914,800</u>	<u>\$ 17,851,813</u>	<u>\$ 2,360,870,487</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
Year Ended June 30, 2014
Multiple Department Sourced Funds

Department and Source	General	CDLIS/AAMVA	SOS Federal	SOS Inter-		SOS Special	Capital	SOS Police	State	Total	
	Revenue	Road	Net Trust	Projects	Agency Grant	Protest	Services	Projects	Services		Construction
	Fund	Fund	Fund	Fund	Fund	Fund	Fund	Fund	Fund		Account
	#0001	#0011	#0109	#0176	#0295	#0401	#0483	#694	#759		#902
Business Services											
Corporation taxes and fees	\$ 202,575,418	\$ -	\$ -	\$ -	\$ -	348,110	\$ 5,221,470	\$ -	\$ -	\$ -	208,144,998
Uniform Limited Partnership	1,670,670	-	-	-	-	-	-	-	-	-	1,670,670
Uniform Commercial Code	1,367,675	-	-	-	-	-	1,746,125	-	-	-	3,113,800
Limited Liability Co. Act	70,438,143	-	-	-	-	-	-	-	-	-	70,438,143
Securities											
License fees or registration	39,085,750	-	-	-	-	-	-	-	-	-	39,085,750
Archives											
Copy and recording fees	5,078	-	-	-	-	-	-	-	-	-	5,078
Federal government	-	-	-	47,500	-	-	-	-	-	-	47,500
Index											
License fees or registration	829,750	-	-	-	-	-	1,035	-	-	-	830,785
Driver Services											
Operators' license and related fees	-	24,313,984	693,996	-	-	-	12,897,450	33,935,660	-	14,080,281	85,921,371
Sale of individual driving record	25,795,080	-	-	-	-	-	-	-	-	-	25,795,080
Automotive dealer fees	4,064,418	-	-	-	-	-	-	-	-	-	4,064,418
Reinstate operators license	9,089,510	-	-	-	-	-	-	-	-	-	9,089,510
Sale of vehicle or driver data	815,652	-	-	-	-	-	-	-	-	-	815,652
Standard Illinois identification card	9,689,668	-	-	-	-	-	-	-	-	-	9,689,668
Vehicle Services											
Commercial distribution fee	48,548,167	-	-	-	-	-	-	-	-	-	48,548,167
Short term trip permits	798,884	-	-	-	-	-	-	-	-	-	798,884
Personalized license plates	3,379,039	-	-	-	-	-	-	-	-	-	3,379,039
Certificate of title	26,743,907	81,204,682	-	-	-	-	-	80,559,510	-	47,688,950	236,197,049
Delinquent vehicle register renewal	8,903,300	-	320,100	-	-	-	-	-	-	-	9,223,400
License fees or registration	-	745,081,663	-	-	-	-	-	187,823,780	137,589	430,954,876	1,363,997,908
SOS Police											
License fees or registration	-	296,211	-	-	-	-	-	-	255,171	173,875	725,257
Motor Vehicle Theft Prevention Council	-	-	-	-	1,162,582	-	-	-	-	-	1,162,582
Fines, penalties or violations	-	-	-	-	-	-	70,650	-	47,092	-	117,742
All departments											
Miscellaneous	132,402	-	-	-	-	-	71,930	-	-	-	204,332
Employee reimbursements	4,334	-	-	-	-	-	-	-	-	-	4,334
Check Write Off/GO Back	3,211	-	-	-	-	-	-	-	-	-	3,211
Federal government through IEMA	-	-	-	-	157,563	-	-	-	-	-	157,563
Federal government	-	-	-	-	-	-	42,654	-	-	-	42,654

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
Year Ended June 30, 2014
Multiple Department Sourced Funds

Department and Source	General Revenue Fund #0001	Road Fund #0011	CDLIS/AAMVA Net Trust Fund #0109	SOS Federal Projects Fund #0176	SOS Inter- Agency Grant Fund #0295	Protest Fund #0401	SOS Special Services Fund #0483	Capital Projects Fund #694	SOS Police Services Fund #759	State Construction Account #902	Total
All departments - continued											
Unclaimed assets	\$ 148,910	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	148,910
Prior period adjustments	488,209	-	-	-	33	-	100,428	-	-	-	588,670
Total cash receipts per Comptroller	454,577,175	850,896,540	1,014,096	47,500	1,320,178	348,110	20,151,742	302,318,950	439,852	492,897,982	2,124,012,125
Receipts in transit to State Treasurer											
June 30, 2013	2,652,279	55,789,682	-	-	-	-	-	-	-	-	58,441,961
June 30, 2014	(3,015,602)	(57,273,679)	-	-	-	-	-	-	-	-	(60,289,281)
Receipts in transit to State Comptroller											
June 30, 2013	(7,548,797)	(65,639,623)	(53,022)	-	-	-	(1,548,365)	(27,559,003)	(36,619)	(66,380,440)	(168,765,869)
June 30, 2014	8,582,868	75,355,652	309,923	-	-	-	1,410,738	28,632,390	28,645	69,123,968	183,444,184
Prior period and other adjustments	(488,209)	-	-	-	(33)	-	(100,428)	-	-	-	(588,670)
Total Agency Receipts, fiscal year 2014	\$ 454,759,714	\$ 859,128,572	\$ 1,270,997	\$ 47,500	\$ 1,320,145	\$ 348,110	\$ 19,913,687	\$ 303,392,337	\$ 431,878	\$ 495,641,510	\$ 2,136,254,450

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
Year Ended June 30, 2014
Vehicle Services Sourced Funds

Source	Personalized	Certificate of	Misplaced	License Fees	Prior Period	Total Cash	Receipts In Transit		Prior Period	Total Agency
	License		License Plates			Receipts per	To Comptroller			
Fund/Fund #	Plates	Title	and Stickers	or Registration	Adjustments	Comptroller	6/30/13	6/30/14	Adjustments	FY2014
Alzheimer Awareness #0020	\$ -	\$ -	\$ -	\$ 3,010	\$ -	\$ 3,010	\$ -	\$ -	\$ -	\$ 3,010
Illinois Police Benevolent #0027	-	-	-	50	-	50	-	-	-	50
American Red Cross #0029	-	-	-	1,025	-	1,025	-	(475)	-	550
Illinois Police K-9 Memorial #0038	-	-	-	1,300	-	1,300	-	(600)	-	700
State Parks #0040	-	-	-	956,525	-	956,525	(99,275)	99,325	-	956,575
National Wild Turkey #0058	-	-	-	675	-	675	-	-	-	675
Curing Childhood Cancer #0066	-	-	-	3,550	-	3,550	-	-	-	3,550
Korean War Memorial #0164	-	-	-	102	-	102	(6)	4	-	100
Violence Prevention #0184	-	-	-	369,475	-	369,475	(37,100)	35,900	-	368,275
SOS Special License Plate #0185	3,379,039	-	-	795,938	6,862	4,181,839	(449,001)	456,747	(6,862)	4,182,723
Diabetes Research Checkoff #0198	-	-	-	1,250	-	1,250	-	-	-	1,250
Illinois Fisheries Management #0199	-	1,745,471	-	-	-	1,745,471	(154,788)	166,353	-	1,757,036
State Police Vehicle #0246	-	-	-	9,471,848	-	9,471,848	(903,398)	928,340	-	9,496,790
Illinois Habitat #0391	-	-	-	243,575	-	243,575	(30,225)	29,775	-	243,125
Common School #0412	-	-	-	466,373	-	466,373	(38,260)	36,379	-	464,492
State College & University Trust #0417	-	-	-	266,275	-	266,275	(27,200)	28,425	-	267,500
University Grant #0418	-	-	-	91,525	-	91,525	(8,850)	8,975	-	91,650
Alternate Fuels #0422	-	-	-	1,659,520	-	1,659,520	(432,900)	494,060	-	1,720,680
Rotary Club #0454	-	-	-	5,010	-	5,010	(592)	480	-	4,898
Autism Awareness #0458	-	-	-	14,725	-	14,725	(1,250)	1,675	-	15,150
Ovarian Cancer Awareness #0459	-	-	-	11,464	-	11,464	(918)	954	-	11,500
Illinois Professional Golfers Assoc Jr Golf #0463	-	-	-	41,866	-	41,866	(4,366)	4,934	-	42,434
Boy Scout and Girl Scout #0464	-	-	-	15,425	-	15,425	(1,425)	1,100	-	15,100
Agriculture in the Classroom # 466	-	-	-	85,775	-	85,775	(6,600)	8,375	-	87,550
Sheet Metal Workers International #0468	-	-	-	2,493	-	2,493	(135)	148	-	2,506
Support Our Troops #0496	-	-	-	25,000	-	25,000	(2,275)	2,700	-	25,425
Wildlife Prairie Park #0504	-	-	-	18,350	-	18,350	(1,950)	2,000	-	18,400
Master Mason #0508	-	-	-	42,472	-	42,472	(5,613)	5,048	-	41,907
Illinois Fire Fighters Memorial #0510	-	-	-	532,143	-	532,143	(53,958)	53,565	-	531,750
Illinois & Michigan Canal #0570	-	-	-	7,475	-	7,475	(825)	900	-	7,550
Off-Highway Vehicle Trail #0574	-	291,686	-	-	-	291,686	(24,174)	25,449	-	292,961
Illinois Pan Hellenic Trust #0584	-	-	-	54,225	-	54,225	(4,550)	5,125	-	54,800
Park District Youth Program #0585	-	-	-	28,450	-	28,450	(3,275)	3,075	-	28,250
Hospice #0586	-	-	-	3,179	-	3,179	(319)	378	-	3,238
Professional Sports Team #0587	-	-	-	850,525	-	850,525	(77,950)	96,400	-	868,975
September 11th #0588	-	-	-	128,500	-	128,500	(11,550)	12,000	-	128,950
Illinois Route 66 Heritage Project #0594	-	-	-	156,200	-	156,200	(14,700)	16,325	-	157,825
Police Memorial Committee #0598	-	-	-	176,099	-	176,099	(15,475)	16,609	-	177,233
Mammogram #0599	-	-	-	129,974	-	129,974	(12,511)	13,583	-	131,046

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND TO DEPOSIT'S REMITTED TO THE STATE COMPTROLLER - CONTINUED
Year Ended June 30, 2014
Vehicle Services Sourced Funds

Fund/Fund #	Source	Personalized	Misplaced		License Fees or Registration	Prior Period Adjustments	Total Cash Receipts per Comptroller	Receipts In Transit To Comptroller		Prior Period and Other Adjustments	Total Agency Receipts, FY2014
		License Plates	Certificate of Title	License Plates and Stickers				6/30/13	6/30/14		
Motor Vehicle License Plate #0622		\$ -	\$ 12,200,710	\$ 372,375	\$ -	\$ -	\$ 12,573,085	\$ (1,075,820)	\$ 1,156,608	\$ -	\$ 12,653,873
Special Olympics #0623		-	-	-	15,420	-	15,420	(1,770)	1,780	-	15,430
Chicago Police Memorial #0639		-	-	-	22,492	-	22,492	(1,342)	2,413	-	23,563
Il Police Association #0655		-	-	-	71,846	-	71,846	(5,706)	7,444	-	73,584
Octave Chanute Aerospace #0662		-	-	-	430	-	430	-	201	-	631
Organ Donor Awareness #0716		-	-	-	174,299	-	174,299	(15,904)	15,935	-	174,330
Illinois Future Teachers Corps Scholarship #0753		-	-	-	46,865	-	46,865	(4,380)	5,279	-	47,764
Marine Corps Scholarship #0760		-	-	-	110,016	-	110,016	(10,041)	10,446	-	110,421
Pet Overpopulation #0764		-	-	-	150,900	-	150,900	(14,425)	15,050	-	151,525
Illinois EMS Memorial Scholarship and Training #0800		-	-	-	7,848	-	7,848	-	-	-	7,848
International Brotherhood of Teamsters #0803		-	-	-	35,175	-	35,175	-	-	-	35,175
Share the Road #0854		-	-	-	35,067	-	35,067	(3,422)	3,982	-	35,627
Fraternal Order of Police #0867		-	-	-	12,638	-	12,638	(1,129)	1,312	-	12,821
International Registration Plan #0890		-	-	-	100,279,237	-	100,279,237	-	-	-	100,279,237
Ducks Unlimited #0918		-	-	-	6,575	-	6,575	-	1,575	-	8,150
Park and Conservation #0962		-	6,981,884	-	18,827,718	-	25,809,602	(2,388,085)	2,522,066	-	25,943,583
		\$ 3,379,039	\$ 21,219,751	\$ 372,375	\$ 136,457,892	\$ 6,862	\$ 161,435,919	\$ (5,947,438)	\$ 6,298,122	\$ (6,862)	\$ 161,779,741

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
Year Ended June 30, 2014
Drivers Services Sourced Funds

Fund	Source	Operators'	Automotive	Reinstate	Installation Fees-	Private	Total Cash	Receipts In Transit		Total Agency
		License and Related Fees	Dealer Fees	Operators License	Indigent BAIID	Organizations or Individuals	Receipts per Comptroller	To Comptroller 6/30/13	6/30/14	Receipts, FY2014
Drivers Education #0031		\$ 11,832,738	\$ -	\$ -	\$ -	\$ -	\$ 11,832,738	\$ (1,017,661)	\$ 1,028,011	\$ 11,843,088
Driver Services Administration #0182		1,203,808	-	-	-	-	1,203,808	-	204,504	1,408,312
Drunk & Drugged Driving Prevention #0276		-	-	1,847,770	-	-	1,847,770	(139,790)	150,290	1,858,270
Family Responsibility #0322		-	-	177,510	-	-	177,510	(13,800)	17,130	180,840
Motor Vehicle Review Board #0323		-	201,396	-	-	-	201,396	(1,211)	100	200,285
Safety Responsibility #0436		-	-	-	-	765,809	765,809	(23,425)	36,905	779,289
Indigent BAIID #0451		-	-	-	153,076	-	153,076	(16,512)	10,895	147,459
Monitoring Device Driving Permit #0453		1,648,308	-	-	-	-	1,648,308	(144,060)	121,170	1,625,418
Motor Carrier Safety Inspection #0649		2,313,380	-	-	-	-	2,313,380	(176,720)	168,160	2,304,820
Secretary of State DUI Administration #0732		2,086,851	-	-	-	-	2,086,851	(172,720)	169,280	2,083,411
Cycle Rider Safety Training #0863		4,544,020	-	-	-	-	4,544,020	(507,196)	495,417	4,532,241
Secretary of State's Grant #0948		-	-	-	-	106,250	106,250	-	-	106,250
		<u>\$ 23,629,105</u>	<u>\$ 201,396</u>	<u>\$ 2,025,280</u>	<u>\$ 153,076</u>	<u>\$ 872,059</u>	<u>\$ 26,880,916</u>	<u>\$ (2,213,095)</u>	<u>\$ 2,401,862</u>	<u>27,069,683</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
Year Ended June 30, 2014
Business Services Sourced Funds

Fund	Source	Corporation	Limited	Total Cash	Receipts In Transit		Total Agency
		Taxes and Fees	Liability Partnership	Receipts per Comptroller	To Comptroller		Receipts, FY2014
					6/30/13	6/30/14	
Registered Limited Liability Partnership #0167		\$ -	\$ 533,875	\$ 533,875	\$ (33,000)	\$ 39,100	\$ 539,975
Dept of Business Services Special Operations #0363		13,957,279	-	13,957,279	(804,108)	595,295	13,748,466
Corporate Franchise Tax Refund #0380		3,347,543	-	3,347,543	(215,705)	56,281	3,188,119
Charitable Trust Stabilization #0435		457,245	-	457,245	(33,220)	14,215	438,240
		<u>\$ 17,762,067</u>	<u>\$ 533,875</u>	<u>\$ 18,295,942</u>	<u>\$ (1,086,033)</u>	<u>\$ 704,891</u>	<u>\$ 17,914,800</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
Year Ended June 30, 2014
Other Department Sourced Funds

Source	License Fees or Registration	Fines, Penalties and Seizures	Use Fees and Dues	Private Organizations or Individuals	Federal Government	Subscriptions of Publications Sales	Prior Period Adjustments	Total Cash Receipts per Comptroller	Receipts In Transit To Comptroller		Prior Period and Other Adjustments	Total Agency Receipts, FY2014
									6/30/13	6/30/14		
Department/Fund												
Index												
Lobbyist Registration Administration #0044	\$ 1,119,003	\$ 104,550	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,223,553	\$ (7,300)	\$ 15,397	\$ -	\$ 1,231,650
General Assembly Computer Equipment Revolving #0155	-	-	-	-	-	872	-	872	-	-	-	872
	1,119,003	104,550	-	-	-	872	-	1,224,425	(7,300)	15,397	-	1,232,522
Securities												
Securities Investors Education #0292	196,481	-	-	-	-	-	-	196,481	(7,154)	7,984	-	197,311
Securities Audit & Enforcement #0362	9,461,140	-	-	-	-	-	785	9,461,925	(47,785)	150,665	(785)	9,564,020
	9,657,621	-	-	-	-	-	785	9,658,406	(54,939)	158,649	(785)	9,761,331
Library												
Live and Learn #0026	-	-	-	-	-	-	50	50	-	-	(50)	-
Library Services #0470	-	-	-	-	6,820,788	-	5,806	6,826,594	-	-	(5,806)	6,820,788
State Library #0471	-	261	3,084	1,700	-	-	-	5,045	(217)	494	-	5,322
	-	261	3,084	1,700	6,820,788	-	5,856	6,831,689	(217)	494	(5,856)	6,826,110
Physical Services												
General Obligation Bond Retirement and Interest #0101	-	-	9,424	-	-	-	-	9,424	-	-	-	9,424
State Parking Facility Maintenance #0782	-	-	2,356	-	-	-	-	2,356	-	-	-	2,356
	-	-	11,780	-	-	-	-	11,780	-	-	-	11,780
Police												
Secretary of State Evidence #0374	-	5,336	-	-	-	-	-	5,336	-	7,673	-	13,009
Secretary of State Police DUI #0758	-	6,334	-	-	-	-	-	6,334	(128)	855	-	7,061
	-	11,670	-	-	-	-	-	11,670	(128)	8,528	-	20,070
Total	\$ 10,776,624	\$ 116,481	\$ 14,864	\$ 1,700	\$ 6,820,788	\$ 872	\$ 6,641	\$ 17,737,970	\$ (62,584)	\$ 183,068	\$ (6,641)	\$ 17,851,813

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES

For the Two Years Ended June 30, 2015

The following are explanations of variations in expenditures which exceed \$200,000 and 20% or more as compared to the prior year:

Operations:

1. State retirement fund contributions expenditures increased \$1,070,083 (26%) between fiscal years 2014 and 2015. The increase is largely the result of an increase in the required state retirement fund contribution rate from 40.312% in fiscal year 2014 to 42.33% in fiscal year 2015.
2. Printing expenditures increased \$1,090,707 (80%) between fiscal years 2013 and 2014. The increase was due to the purchase of temporary registration permits in fiscal year 2014, which were not needed in fiscal year 2013.
3. Commodities expenditures decreased \$1,089,106 (33%) between fiscal years 2013 and 2014 and increased \$976,157 (45%) between fiscal years 2014 and 2015. The decrease was a result of a reallocation of resources where license plates were purchased out of a lump sum. The increase between fiscal years 2014 and 2015 was due to an increased demand of license plates purchased by the Office's customers.
4. Equipment expenditures decreased by \$841,361 (37%) between fiscal years 2014 and 2015. The decrease was due to availability of funds.
5. Electronic data processing expenditures decreased by \$2,415,007 (28%) between fiscal years 2014 and 2015. The decrease was a result of the Office reallocating resources in FY15 by moving the payments for printing of Drivers' Licenses and State issued ID's from fund 483, which receives an electronic data processing appropriation to fund 480, which receives a lump sum appropriation.
6. Constitutional amendment expenditures decreased \$1,000,000 (100%) between fiscal years 2013 and 2014. The decrease was due to mailing costs in fiscal year 2013 to notify taxpayers of a constitutional amendment initiative that was included on the November 2012 election ballot. There was no initiative during fiscal year 2014.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES - CONTINUED

For the Two Years Ended June 30, 2015

Operations - Continued

7. Interagency Grant Fund – non appropriated expenditures decreased \$710,921 (35%) between fiscal years 2013 and 2014 and decreased by \$676,673 (50%) between fiscal years 2014 and 2015. The decrease in fiscal year 2014 was due to grants received in 2013 from the Illinois Emergency Management Agency for purchases related to the Secretary of State Bomb Squad and from the Department of Commerce and Economic Opportunity to target computer training through Illinois public libraries to low income individuals located in Illinois. The decrease in fiscal year 2015 was due to the elimination of the grant for calendar year 2015 from the Illinois Motor Vehicle Theft Prevention Council for the SOS Special Audit Team.
8. Drivers Services Administration Fund expenditures increased \$2,669,215 (100%) between fiscal years 2014 and 2015. Public Act 97-1157 established a temporary visitor driver's license (TVDL) for undocumented immigrants, as well as created the Drivers Services Administration Fund to offset the costs of administering the program. Fiscal year 2015 is the first year the Office began expending from the newly created fund.
9. Monitoring Device Driving Permit fund expenditures decreased \$316,825 (22%) between fiscal years 2013 and 2014. The majority of the decrease (\$200,000) was due to a decrease in postage paid from this fund in 2014. The remainder was due to a reallocation of resources related to personal service related expenditures.
10. Secretary of State ID Security Fund expenditures increased \$1,381,106 (97%) between fiscal years 2013 and 2014 and increased \$2,549,196 (91%) between fiscal years 2014 and 2015. The increase in fiscal year 2014 was due to installation of fiber optic cables in facilities as part of a federal grant to improve security processes in our issuance of Drivers' Licenses. The increase in fiscal year 2015 was due to a reallocation of resources related to the printing of Drivers' Licenses and State issued ID's.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES - CONTINUED

For the Two Years Ended June 30, 2015

Awards and Grants:

11. Awards and grants increased by \$18,435,531 (85%) between fiscal years 2013 and 2014 and increased \$45,153,104 (113%) between fiscal years 2014 and 2015. Beginning in fiscal year 2013, the Office was awarded \$50 million for library construction grants. Most of the increase in grants expenditures in fiscal year 2014 is due to expenditures for that program. Approximately \$11 million of the increase in expenditures between 2014 and 2015 is also due to this program. In fiscal year 2015, the Office was awarded a one-time \$35 million grant to provide school construction grants and the entire grant amount was expended in fiscal year 2015.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS

For the Two Years Ended June 30, 2015

1. Other state agencies: The Office received a grant from the Illinois Department of Commerce and Economic Opportunity to Eliminate the Digital Divide through grants to community libraries. This was a one-time grant in that concluded in fiscal year 2013, so there was no subsequent revenue in fiscal year 2014.
2. Federal government – All Departments: The decrease in Federal government receipts represent funds made available from the U.S. Department of Homeland Security through the Illinois Emergency Management Agency for improvements to Capital Security and capital purchases for the Secretary of State Police’s Bomb Squad Unit that were one-time grants in fiscal year 2013.
3. Sale of vehicle or driver data: The increase in the sale of vehicle or driver data of \$233,367 (28.6%) represents an increase in demand for driver and vehicle access agreements from third party vendors, such as insurance companies.
4. Federal government – Drivers Services: The Office received a one-time grant from the U.S. Department of Homeland Security in fiscal year 2015 to update the Office’s facilities with fiber optic cable to ensure faster and more secure transfer of information related to the REAL ID mandates of the federal government. The Office completed a project to update the CDL technology and systems in fiscal year 2013. There were no such federally funded projects in fiscal year 2014, which resulted in the decrease.
5. Private organizations or individuals – Driver Services: The majority of the \$312,224 (55.8%) increase from fiscal year 2013 to fiscal year 2014 was due to an increase in collections of security (\$217,822) from uninsured motorists related to the Illinois Safety and Family Financial Responsibility Law. The remainder of the increase was due to a one-time insurance settlement related to a bird netting project completed at the State Capitol (\$70,000) and a donation for the Office to purchase a driving simulator for driver education (\$15,000).
6. Delinquent vehicle registration renewal: PA 98-177 mandated a delinquent dealer transfer fee which took effect on January 1, 2014. The increase of \$2,950,255 (32%) in fiscal year 2015 can be attributed to the first full year the Office collecting this fee.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS - CONTINUED

For the Two Years Ended June 30, 2015

7. License fees or registration – SOS Police: The decrease of \$313,469 (43.2%) from fiscal year 2014 to fiscal year 2015 was due to a change in the application of a source code related to confidential plate sales. The fees from these plates were placed in a Police Services fee source in fiscal year 2014 and fiscal year 2013 and were changed to a Vehicle Services fee source code in fiscal year 2015.
8. Motor Vehicle Theft Prevention Council: These are grant receipts from the Motor Vehicle Theft Prevention Council (Council). The \$497,656 (42.8%) decrease in fiscal year 2015 was due to the Council not renewing the calendar year 2015 grant with the Office.
9. Prior period adjustments – All Departments: Prior period adjustments are 1) the refund of an expended appropriation from a prior year and 2) the correction of revenues that were posted to the wrong fund in a prior year. The correction of revenues net effect for the current period will be zero because each adjustment will have a source and a destination fund. The remainder is prior year refunds. In fiscal year 2014, the Office received a refund of approximately \$410,000 relating to a fiscal year 2011 grant. This accounts for a majority of the increase from fiscal year 2013.

The Office has over 40 operational appropriated funds and over \$395 million in annual appropriations. The volume of vouchers processed and the large sum of funds expended each year typically result in the fluctuation of prior year refunds reported.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT LAPSE SPENDING
Year Ended June 30, 2015

	Expenditures July 1 to August 31	Expenditures 14 Months Ended August 31	% of Total Expenditures
--	-------------------------------------	--	----------------------------

The State of Illinois, Office of the Secretary of State's explanations of lapse period expenditures which exceed \$ 10,000 and 20% of total fiscal year expenditures are detailed below:

GENERAL REVENUE FUND - 001

EXECUTIVE GROUP

CONTRACTUAL SERVICES 01-1200	\$ 99,570	\$ 410,869	24.23%
Significant lapse period expenditures include legal bills and a settlement not received until lapse period.			

EQUIPMENT 01-1500	50,109	160,423	31.24%
Equipment items frequently have a long time period between the beginning of the approval process, actually ordering the equipment, and paying the bill after receipt. Significant lapse period expenditures included office chairs.			

GENERAL ADMINISTRATIVE GROUP

PRINTING 10-1302	146,537	566,832	25.85%
Significant lapse period expenditures were for the printing of Illinois highway maps and session laws.			

EQUIPMENT 10-1500	352,137	954,640	36.89%
Equipment items frequently have a long time period between the beginning of the approval process, actually ordering the equipment, and paying the bill after receipt. Significant lapse period expenditures included an upgrade to a virtual tape system.			

TELECOMMUNICATIONS 10-1700	300,986	507,937	59.26%
Voice Over Internet Protocol phones were ordered late in the fiscal year and received and paid for during lapse period. The cost of the phones purchased was in excess of \$250,000.			

PERMANENT IMPROVEMENTS 10-6900	241,510	424,888	56.84%
Due to the time requirements from the beginning of the approval process, through the letting of a bid and start and completion of work, many projects are not completed until late in the fiscal year, causing extensive expenditures in the lapse period. Some of the large projects include removal of asbestos, roof repair and emergency generator.			

REFUNDS 10-9900	11,901	24,874	47.85%
Due to the timing of funds available, a significant portion of GRF refunds were paid in the lapse period.			

MOTOR VEHICLE GROUP

COMMODITIES 51-1300	634,888	1,191,052	53.30%
Significant lapse period expenditures were for the purchase of license plates.			

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ANALYSIS OF SIGNIFICANT LAPSE SPENDING - CONTINUED

Year Ended June 30, 2015

	Expenditures July 1 to August 31	Expenditures 14 Months Ended August 31	% of Total Expenditures
SECURITIES INVESTOR EDUCATION FUND- 292			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
LUMP SUM 10-1900 Significant lapse period expenditures were for an investor education media buy.	\$ 795,574	\$ 1,010,407	78.74%
INDIGENT BAID FUND - 451			
<u>MOTOR VEHICLE GROUP</u>			
LUMP SUM 51-1900 This fund reimburses BAID providers for those devices installed free of charge for individuals deemed indigent. Payments are made quarterly based on prior quarter activity, thus 4th quarter payments were approximately 25% of total payments made.	39,692	139,435	28.47%
BOY SCOUT & GIRL SCOUT FUND - 464			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
AWARDS & GRANTS 10-4400 Grant money is based on the annual percentage distribution of license plates sold and resolution was not finalized until late in the fiscal year, which caused expenditures to be paid in lapse period.	18,300	18,300	100.00%
SOS IDENTITY SECURITY & THEFT PREVENTION FUND - 480			
<u>MOTOR VEHICLE GROUP</u>			
LUMP SUM 51-1900 Significant lapse period expenditures were for the drivers license photo and printing service.	1,056,422	5,353,606	19.73%
POLICE MEMORIAL COMMITTEE FUND - 598			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
AWARDS & GRANTS 10-4400 Grant money is issued quarterly and the last payment was not paid until lapse period.	50,000	200,000	25.00%
MAMMOGRAM FUND - 599			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
AWARDS & GRANTS 10-4400 Grant money is issued quarterly and the last payment was not paid until lapse period.	35,000	140,000	25.00%

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ANALYSIS OF SIGNIFICANT LAPSE SPENDING - CONTINUED

Year Ended June 30, 2015

	Expenditures July 1 to August 31	Expenditures 14 Months Ended August 31	% of Total Expenditures
ORGAN DONOR AWARENESS FUND - 716			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
LUMP SUM 10-4900	\$ 69,116	\$ 174,116	39.70%
Grant money is based on the annual percentage distribution of license plates sold and resolution was not finalized until late in the fiscal year, which caused expenditures to be paid in lapse period.			
SOS POLICE SERVICES FUND - 759			
<u>MOTOR VEHICLE GROUP</u>			
LUMP SUM 51-1900	394,735	484,936	81.40%
Significant lapse period expenditures were for the purchase of portable radios and police vehicles.			
STATE PARKING FACILITY MAINTENANCE FUND - 782			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
PERMANENT IMPROVEMENTS 10-6600	50,794	72,024	70.52%
Due to the time requirements from the beginning of the approval process, through the letting of a bid and start and completion of work, many projects are not completed until late in the fiscal year, causing extensive expenditures in the lapse period. Some of the large projects include asphalt repair and repairs to the underground parking ramp.			
SOS GRANT FUND - 948			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
LUMP SUM 10-1900	45,194	66,978	67.48%
Significant lapse period expenditures were for the purchase of virtual driving simulators.			
VEHICLE INSPECTION FUND - 963			
<u>MOTOR VEHICLE GROUP</u>			
TELECOMMUNICATIONS 51-1700	13,746	30,000	45.82%
Certain telecommunication accounts are split between multiple funds. This fund paid the last month's telecomm bills in the lapse period.			

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT LAPSE SPENDING
Year Ended June 30, 2014

	Expenditures July 1 to August 31	Expenditures 14 Months Ended August 31	% of Total Expenditures
--	-------------------------------------	--	----------------------------

The State of Illinois, Office of the Secretary of State's explanations of lapse period expenditures which exceed \$ 10,000 and 20% of total fiscal year expenditures are detailed below:

GENERAL REVENUE FUND - 001

GENERAL ADMINISTRATIVE GROUP

	\$ 87,335	\$ 374,241	23.34%
--	-----------	------------	--------

PRINTING 10-1302

Significant lapse period expenditures were for the printing of Illinois and session laws and a paper supply order.

	625,502	1,366,450	45.78%
--	---------	-----------	--------

EQUIPMENT 10-1500

Equipment items frequently have a long time period between the beginning of the approval process, actually ordering the equipment, and paying the bill after receipt. Significant lapse period expenditures included a virtual tape system and web servers.

	149,496	415,068	36.02%
--	---------	---------	--------

PERMANENT IMPROVEMENTS 10-6900

Due to the time requirements from the beginning of the approval process, through the letting of a bid and start and completion of work, many projects are not completed until late in the fiscal year, causing extensive expenditures in the lapse period. Some of the large projects include maintenance of high voltage substations and elevator repairs.

MOTOR VEHICLE GROUP

	316,472	1,137,925	27.81%
--	---------	-----------	--------

PRINTING 51-1302

Significant lapse period expenditures were for the printing of the Parent Teen Driving Guide, title registration applications, registration renewal paper and window envelopes.

	425,018	765,928	55.49%
--	---------	---------	--------

EQUIPMENT 51-1500

Equipment items frequently have a long time period between the beginning of the approval process, actually ordering the equipment, and paying the bill after receipt. Significant lapse period expenditures included bomb squad equipment, box truck and police vehicles.

LIVE & LEARN FUND - 026

GENERAL ADMINISTRATIVE GROUP

	3,881,661	16,004,106	24.25%
--	-----------	------------	--------

AWARDS & GRANTS 10-4400

This was for the Annual Library Equalization Grants. Due to the timing of the grant process, these grants were not awarded until lapse period.

	620,800	620,800	100.00%
--	---------	---------	---------

AWARDS & GRANTS 10-4473

This was for the Library Construction Grants. Due to the timing of the grant process, these grants were not awarded until lapse period.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ANALYSIS OF SIGNIFICANT LAPSE SPENDING - CONTINUED

Year Ended June 30, 2014

	Expenditures July 1 to August 31	Expenditures 14 Months Ended August 31	% of Total Expenditures
ACCESSIBLE ELECTRONIC INFORMATION SERVICE FUND - 106			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
AWARDS & GRANTS 10-4900	\$ 13,301	\$ 53,202	25.00%
This grant pays for Newslines for the National Federation of the Blind quarterly and the last quarter bill was not received until lapse period.			
CDLIS/AAMVA NET TRUST FUND - 109			
<u>MOTOR VEHICLE GROUP</u>			
CONTRACTUAL SERVICES 51-1200	205,114	645,675	31.77%
This fund pays for monthly network charges assessed to Illinois by AAMVAnet, Inc., for motor vehicle and driver records data and information. Due to cash flow into the fund, the last three months of charges were paid in the lapse period.			
SECURITIES INVESTOR EDUCATION FUND - 292			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
LUMP SUM 10-1900	819,914	982,811	83.43%
Significant lapse period expenditures were for an investor education media buy.			
SECURITIES AUDIT & ENFORCEMENT FUND - 362			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
OPERATION OF AUTOMOTIVE 10-1800	43,088	165,326	26.06%
Significant lapse period expenditures were for operation of automotive bills that were not received until the lapse period.			
INDIGENT BAID FUND - 451			
<u>MOTOR VEHICLE GROUP</u>			
LUMP SUM 51-1900	32,673	144,068	22.68%
This fund reimburses BAID providers for those devices installed free of charge for individuals deemed indigent. Payments are made quarterly based on prior quarter activity, thus 4th quarter payments were approximately 25% of total payments made.			
SOS IDENTITY SECURITY & THEFT PREVENTION FUND - 480			
<u>MOTOR VEHICLE GROUP</u>			
LUMP SUM 51-1900	1,802,039	2,804,410	64.26%
Significant lapse period expenditures were for telecommunications upgrades, including new routers and data circuit upgrades.			

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ANALYSIS OF SIGNIFICANT LAPSE SPENDING - CONTINUED

Year Ended June 30, 2014

	Expenditures July 1 to August 31	Expenditures 14 Months Ended August 31	% of Total Expenditures
MASTER MASON FUND - 508			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
AWARDS & GRANTS 10-4400	\$ 10,000	\$ 40,000	25.00%
Grant money is issued quarterly and the last payment was not paid until lapse period.			
ILLINOIS PAN HELLENIC TRUST FUND - 584			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
AWARDS & GRANTS 10-4400	49,220	49,220	100.00%
Grant money is based on the annual percentage distribution of license plates sold and resolution was not finalized until late in the fiscal year, which caused expenditures to be paid in lapse period.			
POLICE MEMORIAL COMMITTEE FUND - 598			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
AWARDS & GRANTS 10-4400	50,000	200,000	25.00%
Grant money is issued quarterly and the last payment was not paid until lapse period.			
MAMMOGRAM FUND - 599			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
AWARDS & GRANTS 10-4400	35,000	140,000	25.00%
Grant money is issued quarterly and the last payment was not paid until lapse period.			
ORGAN DONOR AWARENESS FUND - 716			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
LUMP SUM 10-4900	45,836	188,047	24.37%
Grant money is issued quarterly and the last payment was not paid until lapse period.			
U.S. MARINE CORPS SCHOLARSHIP FUND - 760			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
AWARDS & GRANTS 10-4400	25,000	100,000	25.00%
Grant money is issued quarterly and the last payment was not paid until lapse period.			

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF ACCOUNTS RECEIVABLE

For the Two Years Ended June 30, 2015

The Office's receivable balances consist of amounts due from the federal government for grant programs, corporate franchise taxes receivable, and various fees due the Office (and also includes amounts owed to the Office for checks returned for same.)

Accounts receivable balances (amounts expressed in thousands) at June 30, 2015 and 2014, are summarized as follows:

	<u>2015</u>	<u>2014</u>
Taxes receivable	\$ 1,658	\$ 2,139
Due from federal government	48	1,721
Other receivables	<u>4,982</u>	<u>7,142</u>
	<u>\$ 6,688</u>	<u>\$ 11,002</u>

An aging schedule of the Office's accounts receivable (amounts expressed in thousands) at June 30, 2015, is presented below:

<u>Fund</u>	<u>Current</u>	1 to 30 <u>Days</u>	31 to 90 <u>Days</u>	91 to 180 <u>Days</u>	181 to 365 <u>Days</u>	Over 365 <u>Days</u>	<u>Total</u>
General Revenue	\$1,483	\$10	\$44	\$41	\$60	\$1,656	\$3,294
Road	1,912	140	80	49	106	1,790	4,077
Drivers' Education	-	1	-	1	1	6	9
Securities Audit & Enforcement	20	30	10	330	100	2,472	2,962
Indigent BAID	20	-	-	-	-	-	20
Library Service	26	-	-	-	-	-	26
Secretary of State Special Services	22	-	-	-	-	-	22
Secretary of State IRP	-	-	-	-	-	15	15
State Library Trust	-	-	-	-	-	1	1
State Construction Account	1,123	82	47	28	63	1,052	2,395
Total	<u>\$4,606</u>	<u>\$263</u>	<u>\$181</u>	<u>\$449</u>	<u>\$330</u>	<u>\$6,992</u>	12,821
Accrued interest receivable -- all funds							6
Allowance for uncollectible accounts							<u>(6,139)</u>
Net Receivable							<u>\$6,688</u>

The Office utilizes internal collection techniques, the Comptroller Offset System, the Attorney General and private collection agencies to collect unpaid receivables.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

AGENCY FUNCTIONS AND PLANNING PROGRAM
(UNAUDITED)

For the Two Years ended June 30, 2015

Jesse White, Illinois' 37th Secretary of State, assumed the office on January 11, 1999. The principal office of the Office is in the State Capitol, 213 Capitol Building, Springfield, Illinois 62756. The Secretary also maintains administrative offices in Chicago, as well as facilities throughout the State for drivers' licenses and motor vehicle related services.

The diverse responsibilities of this Office include the registering and titling of motor vehicles; issuing drivers' licenses; scheduling and conducting formal and informal hearings on driving privileges and registration matters; administering Safety and Financial Responsibility laws; maintaining a vast data processing system which also assists law enforcement with immediate driver and motor vehicle information; and maintaining a Department of Police to enforce compliance with the provisions of the Illinois Vehicle Code, investigate code violations and maintain security at the Capitol Complex area in Springfield.

Other responsibilities include issuing corporate charters and certificates; registering dealers, brokers, agents, solicitors and investment advisors for securities; regulating the issuance of securities and enforcement of the Illinois Securities Law; retaining Uniform Commercial Code filings reflecting security interests of creditors financing businesses on the basis of secured transactions; oversight of the state library system through the Illinois State Library; store, maintain and preserve state historical documents and records at the Illinois State Archives and maintain the organ donation registry to match donors with recipient needs.

The Office is responsible for filing a significant number of legal and statutory documents, including Public Acts passed by the General Assembly, gubernatorial and amendatory vetoes, as well as registering trademarks, copyrights, notaries public and lobbyists. The Secretary serves as the Illinois State Librarian, State Archivist, and Ex-officio Clerk of the Court of Claims. The Office publishes the Rules of the Road, the Handbook of Illinois Government, the Illinois Blue Book, the Illinois Administrative Code, as well as other general educational materials for public distribution.

The Secretary of State has many departments that administer the various functions and duties prescribed by law and carry out the aforementioned responsibilities. The diversity of these responsibilities necessitates a comprehensive planning program.

The Office has a planning program, in which individual department goals are developed and updated at least annually. Upper management oversees the individual departments and is ultimately responsible for establishing Office-wide goals that will be accomplished if the individual department goals are met. The Office's plans consider resources, alternative approaches, and the feasibility of implementing programs pursuant to the plan and time schedule. External considerations include the needs of people of Illinois, legislative mandates and coordination with goals and objectives of other agencies for providing similar services.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ANALYSIS OF PERSONAL SERVICES
(UNAUDITED)

The following schedules show the average number of Illinois Office of the Secretary of State employees for the years ended June 30, 2015, 2014, and 2013, and the expenditures from the personal service accounts for those fiscal years. Extra help consists of student workers, intermittent employees and other temporary help.

	Average Number of Employees For the Year Ended June 30					
	2015		2014		2013	
	Regular Positions	Extra Help	Regular Positions	Extra Help	Regular Positions	Extra Help
Operating Groups:						
Executive group	87	3	83	4	79	3
General administrative group	945	28	922	30	948	22
Motor vehicle group	2,421	279	2,394	270	2,348	253
Total average number of employees	<u>3,453</u>	<u>310</u>	<u>3,399</u>	<u>304</u>	<u>3,375</u>	<u>278</u>

	Expenditures Year Ended June 30 (Including lapse period expenditures)					
	2015		2014		2013	
Operating Groups:						
Executive group	\$	5,940,229	\$	5,807,023	\$	5,616,534
General administrative group		63,587,923		62,606,994		63,703,342
Motor vehicle group		128,195,784		128,690,787		124,663,008
Total expenditures	<u>\$</u>	<u>197,723,936</u>	<u>\$</u>	<u>197,104,804</u>	<u>\$</u>	<u>193,982,884</u>
Detailed object code:						
Regular positions	\$	182,124,181	\$	181,597,291	\$	179,557,809
Extra help		6,701,069		6,638,909		6,407,739
Lump sum		8,898,686		8,868,604		8,017,336
Total expenditures	<u>\$</u>	<u>197,723,936</u>	<u>\$</u>	<u>197,104,804</u>	<u>\$</u>	<u>193,982,884</u>

See Analysis of Significant Variations in Expenditures for explanations of significant changes in personal service expenditures.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ACCOUNTING REVENUE SALES ANALYSIS AS REPORTED
BY VEHICLE SERVICES AND DRIVERS SERVICES
(Unaudited)

	Year ended June 30,		
	2015	2014	2013
Vehicle Services - vehicle registration and related fees			
Passenger car licenses	\$ 722,811,534	\$ 708,827,737	\$ 692,056,051
Taxi and livery licenses	1,569,741	1,558,869	1,476,867
Motorcycle licenses	92,650	95,750	92,000
Dealers - license and certificates of authority	1,248,871	1,210,662	1,235,933
M and U plates	52,710	53,315	46,466
<u>Trucks</u>			
Flat rate licenses	207,065,489	195,822,687	193,171,336
Mileage licenses	8,129,278	7,588,004	7,723,319
Mileage tax - current and prior	694,034	677,167	877,244
Conservation licenses	142,984	139,080	140,056
Other (Truckster and Farm)	61,250	63,250	66,500
International reciprocity plates	244,730,882	233,545,440	224,669,917
<u>Trailers</u>			
Regular licenses	32,992,780	31,053,713	29,928,510
Mileage licenses	243,785	240,675	242,920
Farm licenses	1,381,200	1,574,204	1,222,267
<u>Installments</u>			
Flat rate licenses	3,046,707	2,388,278	3,175,743
Bus licenses	34,800	265,540	29,290
Recreational vehicle and trailer licenses	5,519,610	5,455,727	5,500,369
Transfers	9,349,607	9,095,132	9,135,799
IRP - Transfers	268,500	260,505	254,670
Farm trailers and trucks	26,862,079	25,104,590	23,440,106

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ACCOUNTING REVENUE SALES ANALYSIS AS REPORTED
BY VEHICLE SERVICES AND DRIVERS SERVICES - CONTINUED
(Unaudited)

	Year ended June 30,		
	<u>2015</u>	<u>2014</u>	<u>2013</u>
Reclass			
Regular and calendar	\$ 2,204,583	\$ 1,686,769	\$ 2,090,445
Titles	186,480,133	176,848,396	170,831,945
Other - misc. account, trip permits, etc.	<u>383,745,393</u>	<u>370,175,754</u>	<u>364,907,005</u>
Total motor vehicles sales	1,838,728,600	1,773,731,244	1,732,314,758
Total drivers' services - sales and related fees	<u>157,498,866</u>	<u>155,168,500</u>	<u>155,388,388</u>
Total vehicle, drivers' and related fees sales	<u>\$ 1,996,227,466</u>	<u>\$ 1,928,899,744</u>	<u>\$ 1,887,703,146</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ACCOUNTING REVENUE SALES ANALYSIS AS REPORTED
BY VEHICLE SERVICES AND DRIVERS SERVICES
VOLUME OF TRANSACTIONS (Unaudited)

	Year ended June 30,		
	2015	2014	2013
<u>Vehicle Services - vehicle registration and related fees</u>			
Passenger car licenses	11,776,386	11,466,244	11,198,725
Taxi and livery licenses	22,238	22,124	20,677
Motorcycle licenses	2,052	2,023	2,015
Dealers - license and certificates of authority	71,420	68,625	67,964
M and U plates	6,598	6,506	5,649
<u>Trucks</u>			
Flat rate licenses	1,523,313	1,486,293	1,476,195
Mileage licenses	12,077	11,230	11,589
Mileage tax - current and prior	533	549	573
Conservation licenses	293	285	287
Other (Truckster and Farm)	246	258	270
International reciprocity plates	373,175	362,707	297,308
<u>Trailers</u>			
Regular licenses	759,238	740,346	725,606
Mileage licenses	662	625	642
Farm licenses	11,063	30,299	9,104
<u>Installments</u>			
Flat rate licenses	3,536	2,647	5,583
Bus licenses	3,568	26,636	2,964
Recreational vehicle and trailer licenses	120,930	119,841	119,511
Transfers	621,346	604,282	608,449
IRP - Transfers	17,900	17,367	16,978
Farm trailers and trucks	29,722	28,782	27,599

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ACCOUNTING REVENUE SALES ANALYSIS AS REPORTED
BY VEHICLE SERVICES AND DRIVERS SERVICES - CONTINUED
VOLUME OF TRANSACTIONS (Unaudited)

	Year ended June 30,		
	2015	2014	2013
Reclass			
Regular and calendar	23,463	25,295	27,439
Titles	3,326,418	3,164,188	3,075,778
Other - misc. account, trip permits, etc.	<u>32,240,540</u>	<u>31,708,252</u>	<u>25,505,147</u>
Total motor vehicles sales	50,946,717	49,895,404	43,206,052
Total drivers' services - sales and related fees	<u>16,284,651</u>	<u>16,143,803</u>	<u>16,692,147</u>
Total vehicle, drivers' and related fees sales	<u><u>67,231,368</u></u>	<u><u>66,039,207</u></u>	<u><u>59,898,199</u></u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

ANALYSIS OF CASH RECEIPTS
(UNAUDITED)

For the Two Years Ended June 30, 2015

Department of Business Services

The second largest cash collecting department in the Office of the Secretary of State is the Department of Business Services, whose primary responsibility is administration of the Business Corporation Act. A total of 369,841 domestic and foreign business corporations filed returns in calendar year 2014 under the Act reporting information which permits the Department to calculate the franchise tax, the largest source of receipts for this Department.

Franchise taxes and licenses fees are collected annually from each corporation. In addition, franchise taxes are payable to the Office upon stock issuances and on increases in paid-in capital. As a result, franchise tax collections fluctuate from year to year.

Other collections by the Department of Business Services include filing fees required with applications for articles of incorporation, certificates of authority of foreign corporations, articles of amendments, articles of merger or consolidation, certificates of withdrawal, reservations of name and filing fees collected from approximately 92,400 not-for-profit corporations.

The following table indicates the collections in the General Revenue Fund by the Department of Business Services for corporation taxes and fees in each of the last five fiscal years and the number of business corporations registered in Illinois in each of the last five calendar years:

Year ended June 30	Receipts Amount	Number of Registered Corporations			
		Year ended December 31	Total	Domestic	Foreign
2015	\$ 202,575,418	2014	376,815	343,225	33,590
2014	210,939,556	2013	373,685	340,430	33,255
2013	204,570,388	2012	374,335	341,347	32,988
2012	191,556,719	2011	373,882	341,300	32,582
2011	206,897,222	2010	375,344	342,968	32,376

The Uniform Commercial Code Division of the Department of Business Services collects fees through its administration of records involving security interests and commercial transactions as directed by the Uniform Commercial Code. A summary of the collections in the General Revenue Fund for the last five years follows:

Year ended June 30	Amount
2015	\$ 1,305,803
2014	1,367,675
2013	1,283,314
2012	1,321,334
2011	1,384,598

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF CASH RECEIPTS - CONTINUED
(UNAUDITED)

For the Two Years Ended June 30, 2015

Securities Department

The Securities Department administers the Illinois Securities Law of 1953 which relates to:

1. Registration of securities in the State of Illinois before public offering or sale.
2. Registration of securities dealers and salespersons.
3. Registration of investment advisers and investment adviser representatives.

Registration of securities for sale in the State and the related receipts fluctuate depending upon general economic and stock market conditions. A summary of collections in the General Revenue Fund during each of the five fiscal years ended June 30 and the value of debt and equity securities in each of the five years ended June 30 follows:

<u>Receipts</u>		<u>Equity Securities Registered</u>	
Year ended <u>June 30</u>	<u>Amount</u>	Year ended <u>June 30</u>	<u>Amount</u>
2015	\$ 39,085,750	2015	181,260,820,557
2014	40,270,515	2014	150,072,783,726
2013	37,928,910	2013	8,134,971,771,495
2012	37,821,913	2012	2,166,657,595,177
2011	37,476,103	2011	2,094,523,486,335

Index Department

The Index Department collects fees for the registration of notaries public, issuance of certified copies, judges' fees, and photostats. A summary of receipts for the last five fiscal years follows:

Year ended <u>June 30</u>	<u>Amount</u>
2015	\$ 829,750
2014	792,165
2013	677,074
2012	633,670
2011	618,836

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SERVICE EFFORTS AND ACCOMPLISHMENTS
(UNAUDITED)

For the Two Years Ended June 30, 2015

The second largest constitutional office in the State of Illinois - the Office of the Secretary of State - is visited by more Illinois residents than any other State Government agency. The Office generated in excess of \$2 billion both in fiscal year 2014 and 2015, with about \$500 million a year for the state highway construction fund.

Drivers License Enhancements

During 2014-2015, the Secretary of State's Office made numerous changes to the Illinois driver's license program.

Due to the passage of a federal mandate, the Secretary of State made numerous changes to their **commercial driver's license program** in early 2014. The most significant change required the Office to not issue or renew a commercial driver's license (CDL) for anyone who has not been examined by a Federal Motor Carrier Safety Administration (FMCSA) approved medical professional. If a CDL holder did not comply, the Secretary of State's Office was required to cancel the CDL. The Secretary of State's Office also made repeated efforts to educate CDL holders about the changes to the law. More than 420,000 CDL holders (91 percent) successfully completed the requirement in the timeframe required by the federal government.

Effective July 1, 2014, the Secretary of State made a significant change to the **driver's license requirements for drivers age 18-20**. Public Act 98-0167 required the Secretary of State to establish an Adult Driver Education Program for drivers (age 18-20) who have never completed a high school or commercial school driver education program. The Secretary of State had to set the educational parameters for the program as well as set up a licensing program for those businesses wishing to participate and offer their services to drivers under the mandate.

On July 1, 2015, the Secretary of State began offering all qualified individuals the option to have a **veteran designation** placed on their driver's license if they received an honorable discharge from a branch of the United States military. This project required a partnership with the Illinois Department of Veterans' Affairs to assist in verifying an individual's qualification for the designation. Almost 20,000 driver's licenses and identification cards have been produced during the last half of 2015 with this new designation.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SERVICE EFFORTS AND ACCOMPLISHMENTS - CONTINUED
(Unaudited)

For the Two Years Ended June 30, 2015

State Library Outreach through Grants

One of the primary statutory responsibilities of the Illinois State Library is to distribute monetary grants to libraries and literacy agencies for the continuing education, development and enhancement of library and literacy services to the people of Illinois. Despite tight financial constraints, in 2015 the State Library expended more than \$15 million in school library grants to support the acquisition of materials, enhance reading programs and upgrade library technology.

Fighting Drunk Driving in Illinois

Illinois is a leader in fighting drunk driving on our roadways. The Secretary of State has been very successful introducing initiatives to combat the problem, and drunken driving deaths continue to decline in the state. In 2008, Illinois took the lead and was one of the first of what are now 32 states to use the Breath Alcohol Ignition Interlock Device (BAIID) on the vehicles of first-time DUI offenders. In 2015, the Secretary of State continued to strengthen the BAIID program by initiating legislation (Public Act 99-0289) that would require even more people convicted of drunk driving to use a BAIID. The legislation had an immediate effective date.

Advisory Committee on Traffic Safety

In early 2012, the Secretary of State established the Advisory Council on Traffic Safety to address traffic safety issues on a continuing basis. This council is comprised of leaders of the traffic safety community, including Secretary White, legislators, the National Highway Traffic Safety Administration, the Northwestern School for Public Safety, the IL Department of Transportation, the IL State Police, and the National Safety Council. The Advisory Council met in 2015 to discuss the issue of expanding the use of the BAIID referenced above.

In addition to the proposal for BAIID enhancements, the Advisory Council also made recommendations to change the documentation required at the time of a DUI arrest as well as recommend statistical reporting on DUI arrests and adjudications by local county prosecutors.

Traffic Safety Outreach

The Secretary of State continues to be a leading advocate for traffic safety in the State of Illinois. The Office continues to publish numerous books, brochures, videos and public service announcements on a wide variety of subjects including but not limited to DUI, distracted driving, and child passenger safety. Many of the videos and public service announcements have won national awards for excellence. The Office also offers a Speaker's Bureau that provides speakers to schools and civic organizations. The Secretary of State's Speakers Bureau provides numerous presentations every week to a variety of topics including Bike and Pedestrian Safety, School Bus Safety, Child Passenger Safety, Teen DUI and Adult DUI.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SERVICE EFFORTS AND ACCOMPLISHMENTS - CONTINUED
(Unaudited)

For the Two Years Ended June 30, 2015

Honoring Veterans

Secretary of State White, a veteran himself, proposed the Illinois State Library develop a program to honor Illinois veterans for their contributions by capturing their oral histories to be preserved forever. The program, entitled the Illinois Veterans History Project, has interviewed more than 5,500 veterans and their family members who have submitted their stories.