

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2017

Performed as Special Assistant Auditors
for the Auditor General, State of Illinois

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2017

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STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
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AGENCY OFFICIALS

Secretary of State	The Honorable Jesse White
Deputy Secretary of State/Chief of Staff	Thomas N. Benigno
Director of Internal Audit	Stell Mallios
General Counsel	Irene Lyons
Inspector General	James B. Burns
Director of Budget and Fiscal Management/Chief Fiscal Officer	Jacqueline Price
Chief Deputy Director/Director of Accounting Revenue	John Gryzinski

The Secretary of State offices are located throughout the State of Illinois. The Secretary of State financial records are processed and maintained in the Howlett Building, Springfield, Illinois 62756. Executive Offices of the Secretary of State are located at Room 213, Capitol Building, Springfield, Illinois, 62756 and Suite 5-400, 100 W. Randolph, Chicago, Illinois 60601.



OFFICE OF THE SECRETARY OF STATE

JESSE WHITE • Secretary of State

MANAGEMENT ASSERTION LETTER

February 9, 2018

Kerber, Eck & Braeckel LLP
3200 Robbins Rd, Suite 200A
Springfield, IL 62704

Ladies and Gentlemen:

We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the Illinois Office of the Secretary of State. We are responsible for and we have established and maintained an effective system of internal controls over compliance requirements. We have performed an evaluation of the Office's compliance with the following assertions during the two-year period ended June 30, 2017. Based on this evaluation, we assert that during the years ended June 30, 2017 and June 30, 2016, the Office has materially complied with the assertions below.

- A. The Office has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The Office has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The Office has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the Office are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the Office on behalf of the State or held in trust by the Office have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate and in accordance with law.

Yours truly,

Illinois Office of the Secretary of State

SIGNED ORIGINAL ON FILE

(Jesse White, Secretary of State)

SIGNED ORIGINAL ON FILE

(Jacqueline Price, Director – Budget and Fiscal Management/Chief Financial Officer)

SIGNED ORIGINAL ON FILE

(Irene Lyons, Legal Counsel)

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2017

COMPLIANCE REPORT

SUMMARY

The compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and in accordance with the Illinois State Auditing Act.

ACCOUNTANT’S REPORT

The Independent Accountant’s Report on State Compliance, on Internal Control Over Compliance and on Supplementary Information for State Compliance Purposes does not contain scope limitations or disclaimers, but does contain a material weakness over internal control.

The Office of the Auditor General assisted Kerber, Eck & Braeckel LLP with the compliance examination procedures in relation to the Securities Department of the Office of the Secretary of State. Kerber, Eck & Braeckel LLP has accepted responsibility for the work performed by the Office of the Auditor General.

SUMMARY OF FINDINGS

<u>Number of Findings</u>	<u>Current Report</u>	<u>Prior Report</u>
Repeated findings	4	6
Prior recommendations implemented or not repeated	3	6
	3	2

SCHEDULE OF FINDINGS

<u>(Item No.</u>	<u>Page</u>	<u>Description</u>	<u>Finding Type</u>
FINDINGS (<i>GOVERNMENT AUDITING STANDARDS</i>)			
2017-001	13	Failure to Identify Financial Statement Reporting Errors	Material Weakness and Noncompliance
FINDINGS (<i>STATE COMPLIANCE</i>)			
2017-002	15	Inadequate Control of Cash at Drivers License Facilities	Significant Deficiency and Noncompliance

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COMPLIANCE REPORT

SCHEDULE OF FINDINGS - Continued

<u>Item No.</u>	<u>Page</u>	<u>Description</u>	<u>Finding Type</u>
2017-003	17	Inadequate Change Fund Controls	Significant Deficiency and Noncompliance
2017-004	19	PCI Compliance Weaknesses	Significant Deficiency and Noncompliance

In addition, the following finding, which is reported as a current finding related to *Government Auditing Standards*, also meets the reporting requirements for State Compliance.

2017-001	13	Failure to Identify Financial Statement Reporting Errors	Material Weakness and Noncompliance
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PRIOR FINDINGS NOT REPEATED

<u>Item No.</u>	<u>Page</u>	<u>Description</u>
A	21	Excess Balances in Division of Corporations Limited Liability Partnership Fund
B	21	Lack of Independent Review of Internal Control of Outsourced Information Systems or Operations
C	21	Inadequate Procedures for Disposal of Confidential Information

EXIT CONFERENCE

The Illinois Office of the Secretary of State waived an exit conference in correspondence dated January 30, 2018. The responses to the findings were provided by Shawn Reardon in correspondence dated February 9, 2018.



INDEPENDENT ACCOUNTANT'S REPORT ON STATE COMPLIANCE,
ON INTERNAL CONTROL OVER COMPLIANCE, AND ON SUPPLEMENTARY
INFORMATION FOR STATE COMPLIANCE PURPOSES

Honorable Frank J. Mautino
Auditor General
State of Illinois

Compliance

As Special Assistant Auditors for the Auditor General, we have examined the State of Illinois, Office of the Secretary of State's compliance with the requirements listed below, as more fully described in the Audit Guide for Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (Audit Guide) as adopted by the Auditor General, during the two years ended June 30, 2017. The management of the State of Illinois, Office of the Secretary of State is responsible for compliance with these requirements. Our responsibility is to express an opinion on the State of Illinois, Office of the Secretary of State's compliance based on our examination.

- A. The State of Illinois, Office of the Secretary of State has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The State of Illinois, Office of the Secretary of State has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The State of Illinois, Office of the Secretary of State has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the State of Illinois, Office of the Secretary of State are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the State of Illinois, Office of the Secretary of State on behalf of the State or held in trust by the State of Illinois, Office of the Secretary of State have been properly and legally administered and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.

Our examination was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States; the Illinois State Auditing Act (Act); and the Audit Guide as adopted by the Auditor General pursuant to the Act (The Audit Guide). Those standards, the Act, and the Audit Guide require that we plan and perform the examination to obtain reasonable assurance about whether the State of Illinois, Office of the Secretary of State complied, in all material respects, with the specified requirements listed above. An examination involves performing procedures to obtain evidence about whether the State of Illinois, Office of the Secretary of State complied with the specified requirements listed above. The nature, timing and extent of the procedures selected depend on our judgement, including an assessment of the risks of material noncompliance, whether due to fraud or error.

We believe that the evidence we obtained is sufficient and appropriate to provide a reasonable basis for our opinion. Our examination does not provide a legal determination on the State of Illinois, Office of the Secretary of State's compliance with specified requirements.

In our opinion, the State of Illinois, Office of the Secretary of State complied, in all material respects, with the compliance requirements listed in the first paragraph of this report during the two years ended June 30, 2017. However, the results of our procedures disclosed instances of noncompliance with the requirements, which are required to be reported in accordance with criteria established by the Audit Guide, issued by the Illinois Office of the Auditor General and which are described in the accompanying schedule of findings as items 2017-001 through 2017-004.

The purpose of this report on compliance is solely to describe the scope of our testing and the results of that testing in accordance with the requirements of the Audit Guide issued by the Illinois Office of the Auditor General. Accordingly, this report is not suitable for any other purpose.

Internal Control

Management of the State of Illinois, Office of the Secretary of State is responsible for establishing and maintaining effective internal control over compliance with the requirements listed in the first paragraph of this report. In planning and performing our examination, we considered the State of Illinois, Office of the Secretary of State's internal control over compliance with the requirements listed in the first paragraph of this report to determine the examination procedures that are appropriate in the circumstances for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with the Audit Guide, issued by the Illinois Office of the Auditor General, but not for the purpose of expressing an opinion on the effectiveness of the State of Illinois, Office of the Secretary of State's internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the State of Illinois, Office of the Secretary of State's internal control over compliance.

Our consideration of internal control over compliance was for the limited purpose described in the preceding paragraph and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies and therefore, material weaknesses or significant deficiencies may exist that were not identified. However, as discussed below, we identified certain deficiencies in internal control over compliance that we consider to be material weaknesses and significant deficiencies.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with the requirements listed in the first paragraph of this report on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a requirement listed in the first paragraph of this report will not be prevented, or detected and corrected, on a timely basis. We consider the deficiency in internal control over compliance described in the accompanying schedule of findings as item 2017-001 to be a material weakness.

A significant deficiency in internal control over compliance is a deficiency, or a combination of deficiencies, in internal control over compliance that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance. We consider the deficiencies in internal control over compliance described in the accompanying schedule of findings as items 2017-002 through 2017-004 to be significant deficiencies.

As required by the Audit Guide, immaterial findings excluded from this report have been reported in a separate letter to your office.

The State of Illinois, Office of the Secretary of State's responses to the internal control findings identified in our examination are described in the accompanying schedule of findings. The State of Illinois, Office of the Secretary of State's responses were not subjected to the procedures applied in the compliance examination and, accordingly, we express no opinion on the responses.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Audit Guide, issued by the Illinois Office of the Auditor General. Accordingly, this report is not suitable for any other purpose.

Supplementary Information for State Compliance Purposes

As Special Assistant Auditors for the Auditor General, we have audited the financial statements of the governmental activities, each major fund, and the aggregate remaining fund information of the State of Illinois, Office of the Secretary of State as of and for the year ended June 30, 2017, and have issued our report thereon dated December 14, 2017, which contained unmodified opinions on those financial statements. Our audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise the State of Illinois, Office of the Secretary of State's basic financial statements. We have not performed any procedures with respect to the audited financial statements subsequent to December 14, 2017. The accompanying supplementary information for the year ended June 30, 2017 in Schedules 1 through 12 is presented for the purposes of additional analysis and is not a required part of the basic financial statements of the State of Illinois, Office of the Secretary of State. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the basic financial statements. The accompanying supplementary information for the year ended June 30, 2017 in Schedules 1 through 12 has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the accompanying supplementary information for the year ended June 30, 2017 in Schedules 1 through 12 is fairly stated in all material respects in relation to the basic financial statements as a whole for the year ended June 30, 2017.

We also previously audited, in accordance with auditing standards generally accepted in the United States of America, the State of Illinois, Office of the Secretary of State's basic financial statements as of and for the years ended June 30, 2016 and June 30, 2015 (not presented herein), and have issued our reports thereon dated January 4, 2017 and January 4, 2016, respectively, which contained unmodified opinions on the respective financial statements of the governmental activities, each major fund, and the aggregate remaining fund information. The accompanying supplementary information for the years ended June 30, 2016 and June 30, 2015 in Schedules 2 and 4 through 12 is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the June 30, 2016 and June 30, 2015 financial statements. The accompanying supplementary information for the years ended June 30, 2016 and June 30, 2015 in Schedules 2 and 4 through 12 has been subjected to the auditing procedures applied in the audits of the June 30, 2016 and June 30, 2015 basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare those basic financial statements or to those basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the accompanying supplementary information for the years ended June 30, 2016 and June 30, 2015 in Schedules 2 and 4 through 12 is fairly stated in all material respects in relation to the basic financial statements as a whole from which it has been derived.

The accompanying supplementary information in the Analysis of Operations Section is presented for the purposes of additional analysis and is not a required part of the basic financial statements. Such information has not been subjected to the auditing procedures applied in the audit of the basic financial statements, and accordingly, we do not express an opinion or provide any assurance on it.

SIGNED ORIGINAL ON FILE

Springfield, Illinois
February 9, 2018



Kerber, Eck & Braeckel LLP

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INDEPENDENT AUDITOR'S REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH GOVERNMENT AUDITING STANDARDS

Honorable Frank J. Mautino
Auditor General
State of Illinois

As Special Assistant Auditors for the Auditor General, we have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of the governmental activities, each major fund, and the aggregate remaining fund information of the State of Illinois, Office of the Secretary of State, as of and for the year ended June 30, 2017, and the related notes to the financial statements, which collectively comprise the State of Illinois, Office of the Secretary of State's basic financial statements, and have issued our report thereon dated December 14, 2017.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered State of Illinois, Office of the Secretary of State's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the State of Illinois, Office of the Secretary of State's internal control. Accordingly, we do not express an opinion on the effectiveness of the State of Illinois, Office of the Secretary of State's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. *A material weakness* is a deficiency, or a combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. *A significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies and therefore, material weaknesses or significant deficiencies may exist that were not identified. We did identify a certain deficiency in internal control, described in the accompanying schedule of findings as item 2017-001 that we consider to be a material weakness.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the State of Illinois, Office of the Secretary of State's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

State of Illinois, Office of the Secretary of State's Response to Finding

The State of Illinois, Office of the Secretary of State's response to the finding identified in our audit is described in the accompanying schedule of findings. The State of Illinois, Office of the Secretary of State's response was not subject to the auditing procedures applied in the audit of the financial statements and, accordingly, we express no opinion on the response.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the State of Illinois, Office of the Secretary of State's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the State of Illinois, Office of the Secretary of State's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

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Springfield, Illinois
December 14, 2017

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2017

COMPLIANCE REPORT

SCHEDULE OF FINDINGS

CURRENT FINDINGS - GOVERNMENT AUDITING STANDARDS

2017-001 Finding: Failure to Identify Financial Statement Reporting Errors

The Illinois Office of the Secretary of State (Office) did not identify the required adjustments necessary to ensure the Office's audited financial statements for the year ended June 30, 2017, were accurately presented in accordance with generally accepted accounting principles (GAAP).

The Office's financial statements were originally submitted to the Illinois Office of the Comptroller (IOC) with errors. The government-wide adjustments on the Reconciliation of Statement of Revenues, Expenditures and Changes in Fund Balance of Governmental Funds to Statement of Activities were materially misstated by approximately \$340,000,000, primarily due to incorrect pension adjustments. In addition, the governmental funds balance sheet did not balance because total assets and deferred outflows of resources did not equal total liabilities, deferred inflows of resources and fund balances by \$6,000 on the Statement of Net Position and Governmental Funds Balance Sheet. The Office submitted revised financial statements with the previous issues corrected, however, additional misstatements were noted related to prepaid postage and compensated absences that required correction to ensure the financial statements were presented consistently with the GAAP packages previously submitted. In addition, various other errors were noted during the audit testing that were not collectively material but affected multiple accounts, such as accounts receivable, inventory, capital assets, and revenue.

The IOC requires State agencies to prepare GAAP Reporting Packages for each of their funds and, in certain cases, to prepare GAAP basis financial statements to assist in the annual preparation of the Statewide financial statements and provide adequate audit coverage of those statements. GAAP Reporting instructions are specified in the Statewide Accounting Management System (SAMS), Chapter 27.

In addition, the Fiscal Control and Internal Auditing Act (Act) (30 ILCS 10/3001) requires State agencies to establish and maintain a system of fiscal internal and administrative controls to provide assurance that revenues, expenditures, and transfers of assets, resources, or funds applicable to operations are properly recorded and accounted for to permit the preparation of accounts and reliable financial and statistical reports and to maintain accountability over the State's resources. Good internal control procedures require adequate management oversight and review of accounting policies and procedures as well as an overall review of financial reporting for accuracy and compliance with generally accepted accounting principles.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2017

COMPLIANCE REPORT

SCHEDULE OF FINDINGS

CURRENT FINDINGS - GOVERNMENT AUDITING STANDARDS

2017-001 Finding: Failure to Identify Financial Statement Reporting Errors - Continued

Agency officials stated the items noted above represented oversights from the inability to devote adequate resources to the review process due to time constraints.

Failure to implement the appropriate internal control procedures over financial reporting could lead to future misstatements of the Office's financial statements. (Finding Code No. 2017-001, 2016-001)

Recommendation

We recommend the Office implement internal control procedures to assess the risk of material misstatements of the Office's financial statements and devote adequate resources to identify such misstatements during the financial statement preparation and review process.

Agency Response

The Office accepts this finding. The finding identified in this report is a result of both the time constraints placed on the Agency by the extended lapse period, and Agency turnover in the financial reporting position during the previous year. Corrective measures will be taken in order to ensure that documentation of Agency financial reporting procedures is current and complete, and that appropriate resources are utilized to ensure a more accurate and timely review of reports prior to submission.

STATE OF ILLINOIS
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For the Two Years Ended June 30, 2017

COMPLIANCE REPORT

SCHEDULE OF FINDINGS
CURRENT FINDINGS - STATE COMPLIANCE

2017-002 Finding: Inadequate Control of Cash at Drivers License Facilities

The Office of the Secretary of State (Office) permitted multiple employees to have access to the same cash drawers at the Drivers License Facilities (facilities).

During our testing, we visited six of the Office's 137 facilities. At three of the six (50%) facilities visited, we noted multiple employees could access the same cash drawer.

The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires all State agencies to establish and maintain internal controls to safeguard assets against loss, unauthorized use, and misappropriation. Good business practice dictates that the Office maintain adequate control over the receipts processed at the facilities, which includes restricting the access to cash drawers. A substantial portion of receipts is collected at the Drivers License Facilities.

Office personnel indicated, as they did in the prior examination, the excessive access to the cash drawers is a byproduct of the emphasis placed on prompt service and minimal customer wait time. Some of the smaller facilities have only two employees working, and one employee may be required to attend to the customers while the other employee administers a drivers exam. By permitting employees to access more than one cash drawer, the Office is reducing the wait time that could occur when employees leave their terminals for any reason, thus permitting another employee to continue processing at the terminal without switching out the cash drawers and restarting the terminal. The Office has technology to prevent multiple employees from logging into the same station at the same time. However, it does not prevent multiple employees from accessing the same cash drawer.

Although we did not identify specific cases where receipts were stolen, failure to restrict access to the cash drawers produces an environment where the potential for loss from fraud or theft is enhanced and hinders the resolution of potential discrepancies between facility sales and the related receipts. (Finding Code No. 2017-002, 2015-001, 2013-002, 11-1, 09-4, 07-4, 05-4)

Recommendation

We recommend the Office continue to develop procedures to ensure that each employee utilizes an individual cash drawer and not be permitted to access the drawer of other employees at the Drivers License Facilities.

STATE OF ILLINOIS
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COMPLIANCE REPORT

SCHEDULE OF FINDINGS
CURRENT FINDINGS - STATE COMPLIANCE

2017-002 Finding: Inadequate Control of Cash at Drivers License Facilities - Continued

Agency Response

The Office accepts this finding. As noted, there were no cases identified where receipts were stolen or used inappropriately. The Office continues to assess its facility operations to ensure funds are safeguarded against loss, unauthorized use, and misappropriation and improvements are made whenever fiscally and operationally feasible.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
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For the Two Years Ended June 30, 2017

COMPLIANCE REPORT

SCHEDULE OF FINDINGS

CURRENT FINDINGS - STATE COMPLIANCE

2017-003 Finding: Inadequate Change Fund Controls

The Office of the Secretary of State (Office) did not maintain adequate records of the custodians of change funds and did not keep change fund information in a compartment that was locked at all times.

During our testing of the Office's change funds, we noted the following:

- The Office did not maintain adequate records of the custodians of change funds. For 1 of 18 (6%) change funds tested, the Office did not obtain the proper signatures from the former custodian on the C-85 Change of Custodian or Location Petty Cash or Change Fund form (C-85) filed with the Illinois Office of the Comptroller (IOC).
- We visited 6 of the Office's 137 drivers facilities (facilities). At one of the six (17%) facilities tested, we noted the daily work and important records were kept in an unlocked safe.

When a change in custodian occurs (i.e., an employee's termination, transfer of employment, or leave of absence), both the former and successor custodian should properly and promptly complete form C-85, and the Office should provide such copy to the IOC, as required by Statewide Accounting Management System (SAMS) (Procedure 09.10.40). The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires all State agencies to establish and maintain internal controls to safeguard assets against loss, unauthorized use, and misappropriation. In addition, good business practice requires access to change fund information be secured at all times.

Office personnel indicated the failure to obtain the proper signatures on the C-85 forms was due to oversight on the part of responsible personnel. In addition, Office personnel indicated the office where the unlocked safe was located was locked every night.

Failure to maintain adequate procedures to timely update custodial information and secure change fund information could lead to misappropriation of State funds. (Finding Code No. 2017-003, 2015-002, 2013-003, 11-4)

STATE OF ILLINOIS
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For the Two Years Ended June 30, 2017

COMPLIANCE REPORT

SCHEDULE OF FINDINGS
CURRENT FINDINGS - STATE COMPLIANCE

2017-003 Finding: Inadequate Change Fund Controls - Continued

Recommendation

We recommend the Office implement procedures to ensure timely and accurate reporting of information concerning the custodians of change funds and that all important change fund records be maintained in a locked compartment at all times.

Agency Response

The Office accepts this finding. The Office has developed procedures for earlier identification of changes to change fund custodians in order to ensure proper signatures are obtained for documentation sent to the Office of the Comptroller. The Office will continue to train appropriate personnel regarding the security of important records related to change funds.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2017

COMPLIANCE REPORT

SCHEDULE OF FINDINGS

CURRENT FINDINGS - STATE COMPLIANCE

2017-004 Finding: PCI Compliance Weaknesses

The Office of the Secretary of State (Office) did not complete all requirements to demonstrate full compliance with the Payment Card Industry Data Security Standards (PCI DSS). The Office had an independent third party conduct a review of its environment to help the Office prepare for a PCI audit. It was determined the Office was not in compliance with PCI DSS and a list of suggested changes was provided to prepare for the audit and to become PCI compliant.

The Office accepts credit card payments for various services including license and title registration, articles of incorporation, and annual statements. The Office accepts credit card payments at multiple locations using several different payment methods. In fiscal years 2016 and 2017 the Office handled over 3.9 million transactions for approximately \$387.7 million and over 4.3 million for approximately \$421.3 million, respectively.

PCI DSS was developed to detail security requirements for entities that store, process or transmit cardholder data. Cardholder data is any personally identifiable data associated with a cardholder. In addition, PCI requires all members, merchants and service providers, who store, process, and/or transmit cardholder data to become PCI compliant. The PCI Standards require merchants to assess their environment and validate compliance with PCI on an annual basis.

Office management stated the Office was in the process of becoming PCI compliant; however, due to lack of a State budget, they were unable to address all concerns in the audit.

Failure to establish and maintain adequate procedures to handle and protect confidential and personally identifiable information could lead to the loss of credit card data or loss of the right to utilize certain credit card providers if not in compliance with current PCI standards. Additionally, loss of confidential data could result in identity theft or other unauthorized use, as well as unnecessary costs and liability to the Office. (Finding Code No. 2017-004, 2015-004, 2013-007)

Recommendation

We recommend the Office address each concern in the independent audit to become PCI compliant. Additionally, the Office should continue to have an independent audit completed as required to maintain PCI compliance.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2017

COMPLIANCE REPORT

SCHEDULE OF FINDINGS

CURRENT FINDINGS - STATE COMPLIANCE

2017-004 Finding: PCI Compliance Weaknesses - Continued

Agency Response

The Office accepts this finding. The Treasurer's Office administers the contract with the State's credit card processor and E-Pay program vendor. The Illinois Secretary of State is in the process of moving payment transactions over to the State's new credit card processor / E-Pay program vendor. The Office is correcting PCI weaknesses as they are identified.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2017

COMPLIANCE REPORT

SCHEDULE OF FINDINGS
PRIOR YEAR FINDINGS NOT REPEATED

A. FINDING (Excess Balances in Division of Corporations Limited Liability Partnership Fund)

During the previous examination, we noted the Office of the Secretary of State (Office) did not timely transfer excess fund balance amounts out of the Division of Corporations Limited Liability Partnership Fund into the General Revenue Fund.

During the current examination, we noted the Office successfully sought legislation to amend the requirements regarding the timing of such transfers. As a result, a transfer was not required at June 30, 2017. (Finding Code No. 2015-003, 2013-005)

B. FINDING (Lack of Independent Review of Internal Control of Outsourced Information Systems or Operations)

During the previous examination, we noted the Office did not obtain Service Organization Control (SOC) reports or conduct independent internal control reviews of outsourced information systems or operations controlled by third party service providers.

During the current examination, our testing results indicated the Office added specific wording to all new and renewing contracts that requires a SOC Report. (Finding Code No. 2015-005, 2013-006)

C. FINDING (Inadequate Procedures for Disposal of Confidential Information)

During the previous examination, we noted the Office had not assured adequate Office-wide procedures existed for disposal of confidential information.

During the current examination, our testing results indicated the Office has addressed these procedures to assure proper disposal of confidential materials. (Finding Code No. 2015-006, 2013-008, 11-8, 9-7)

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2017

SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

SUMMARY

Supplementary Information for State Compliance Purposes presented in this section of the report includes the following:

Fiscal Schedules and Analysis:

Schedule of Appropriations, Expenditures and Lapsed Balances - Operating

Group by Fund

Fiscal Year 2017

Fiscal Year 2016

Schedule of Appropriations, Expenditures and Lapsed Balances - Total by Fund

Fiscal Year 2017

Fiscal Year 2016

Comparative Schedule of Net Appropriations, Expenditures and Lapsed Balances

Schedule of Expenditures by Major Object Code

Comparative Schedule of Receipts, Disbursements, and Fund Balances

(Cash Basis) - Locally Held Funds

Schedule of Changes in State Property

Year Ended June 30, 2017

Year Ended June 30, 2016

Comparative Schedule of Cash Receipts - By Departments and Source

Reconciliation Schedule of Cash Receipts by Department, Source and Fund

to Deposits Remitted to the State Comptroller

Year Ended June 30, 2017

Year Ended June 30, 2016

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2017

**SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES -
CONTINUED**

SUMMARY - CONTINUED

Analysis of Operations (Unaudited):

- Agency Functions and Planning Program (Unaudited)
- Analysis of Significant Variations in Expenditures (Unaudited)
- Analysis of Significant Variations in Receipts (Unaudited)
- Analysis of Significant Lapse Period Spending (Unaudited)
 - Year Ended June 30, 2017
 - Year Ended June 30, 2016
- Analysis of Accounts Receivable (Unaudited)
- Budget Impasse Disclosures (Unaudited)
- Alternative Financing in Lieu of Appropriations and Programs to Address
Untimely Payments to Vendors (Unaudited)
- Interest Costs on Fiscal Year 2016 and 2017 Invoices (Unaudited)
- Analysis of Personal Services (Unaudited)
- Accounting Revenue Sales Analysis as Reported by Vehicle Services and
Drivers Services (Unaudited)
- Accounting Revenue Sales Analysis as Reported by Vehicle Services and
Drivers Services - Volume of Transactions (Unaudited)
- Analysis of Cash Receipts (Unaudited)
- Service Efforts and Accomplishments (Unaudited)

The accountant's report that covers the Fiscal Schedules and Analysis section presented in the Compliance Report Section states that it has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in the auditor's opinion, it is fairly stated, in all material respects, in relation to the basic financial statements as a whole from which it has been derived. The accountant's report also states the Analysis of Operations Section has not been subjected to the auditing procedures applied in the audit of the basic financial statements, and accordingly, they do not express an opinion or provide any assurance on it.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2017 - OPERATING GROUP BY FUND
FIFTEEN MONTHS ENDED SEPTEMBER 30, 2017

	Appropriations (Net after Transfers)	Expenditures Through 6/30/2017	Lapse Period Expenditures 7/01 - 9/30/17	Total Expenditures	Reappropriated Balances	Lapsed Balances
<u>Public Acts 099-0524: 100-0021</u>						
<u>APPROPRIATED FUNDS</u>						
Executive Group						
General Revenue Fund - 001	\$ 60,150,318	\$ 41,484,381	\$ 14,779,737	\$ 56,264,118	\$ -	\$ 3,886,200
Budget Stabilization Fund - 686	10,000,000	5,703,406	3,695,801	9,399,207	-	600,793
Total Executive Group	70,150,318	47,187,787	18,475,538	65,663,325	-	4,486,993
General Administrative Group						
General Revenue Fund - 001	75,241,834	47,707,650	2,629,555	50,337,205	-	24,904,629
Road Fund - 011	2,500,000	967,476	328,834	1,296,310	-	1,203,690
Motor Fuel Tax Fund - 012	1,300,000	1,300,000	-	1,300,000	-	-
Lobbyist Registration Administration Fund - 044	1,207,900	966,018	45,694	1,011,712	-	196,188
Registered Limited Liability Partnership Fund - 167	189,900	157,025	3,108	160,133	-	29,767
Securities Audit & Enforcement Fund - 362	9,968,300	7,405,817	305,325	7,711,142	-	2,257,158
Department of Business Services Special Operations Fund -363	13,160,800	10,196,524	467,218	10,663,742	-	2,497,058
Secretary of State Special Services Fund - 483	26,126,000	18,760,978	1,247,104	20,008,082	-	6,117,918
Other Appropriated Funds	35,104,716	26,769,702	2,096,830	28,866,532	1,756,149	4,482,035
Total General Administrative Group	164,799,450	114,231,190	7,123,668	121,354,858	1,756,149	41,688,443

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2017 - OPERATING GROUP BY FUND - CONTINUED
FIFTEEN MONTHS ENDED SEPTEMBER 30, 2017

	Appropriations (Net after Transfers)	Expenditures Through 6/30/2017	Lapse Period Expenditures 7/01 - 9/30/17	Total Expenditures	Reappropriated Balances	Lapsed Balances
<u>Public Acts 099-0524; 100-0021</u>						
<u>APPROPRIATED FUNDS - Continued</u>						
Motor Vehicle Group						
General Revenue Fund - 001	\$ 149,408,937	\$ 119,037,857	\$ 5,624,373	\$ 124,662,230	\$ -	\$ 24,746,707
CDLIS/AAMVA Net Trust Fund - 109	5,264,900	4,789,028	54,222	4,843,250	-	421,650
Secretary of State Federal Projects Fund - 176	500,000	41,246	10,386	51,632	-	448,368
Secretary of State Special License Plate Fund - 185	4,772,500	3,611,995	638,871	4,250,866	-	521,634
SOS Identity Security & Theft Prevention Fund - 480	12,500,000	11,455,055	492,909	11,947,964	-	552,036
Motor Vehicle License Plate Fund - 622	15,100,000	8,657,238	1,232,914	9,890,152	-	5,209,848
Vehicle Inspection Fund - 963	3,661,200	2,753,461	99,130	2,852,591	-	808,609
Other Appropriated Funds	8,669,200	5,993,555	453,799	6,447,354	-	2,221,846
Total Motor Vehicle Group	199,876,737	156,339,435	8,606,604	164,946,039	-	34,930,698
TOTAL APPROPRIATED FUNDS	\$ 434,826,505	317,758,412	34,205,810	351,964,222	\$ 1,756,149	\$ 81,106,134

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2017 - OPERATING GROUP BY FUND - CONTINUED
FIFTEEN MONTHS ENDED SEPTEMBER 30, 2017

	Appropriations (Net after Transfers)	Expenditures Through 6/30/2017	Lapse Period Expenditures 7/01 - 9/30/17	Total Expenditures	Reappropriated Balances	Lapsed Balances
<u>Public Acts 099-0524; 100-0021</u>						
<u>NON-APPROPRIATED FUNDS</u>						
General Administration Group						
Interagency Grant Fund - 295	\$ -	\$ 700,000	\$ -	700,000		
Corporate Franchise Tax Refund Fund - 380		262,367	-	262,367		
Total General Administration Group		262,367	700,000	962,367		
Motor Vehicle Group						
Interagency Grant Fund - 295	420,000	-	-	420,000		
Safety Responsibility Fund - 436	625,446	11,996	11,996	637,442		
Secretary of State International Registration Plan Fund - 890	94,951,802	12,908	12,908	94,964,710		
Total Motor Vehicle Group	95,997,248	24,904	24,904	96,022,152		
TOTAL NON-APPROPRIATED FUNDS	96,259,615	724,904	724,904	96,984,519		
GRAND TOTAL ALL FUNDS	\$ 414,018,027	\$ 34,930,714	\$ 34,930,714	\$ 448,948,741		

Note 1: The above information was taken directly from the records of the State Comptroller, which have been reconciled to those of the Secretary of State.

Note 2: Expenditure amounts are vouchers approved for payment by the Agency and submitted to the State Comptroller for payment to the Vendor.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2016 - OPERATING GROUP BY FUND
FOURTEEN MONTHS ENDED AUGUST 31, 2016

	Appropriations (Net after Transfers)	Expenditures Through 6/30/2016	Lapse Period Expenditures 7/01 - 8/31/16	Total Expenditures	Reappropriated Balances	Lapsed Balances
<u>Public Acts 099-0491 and 099-0409</u>	\$ 7,068,100	\$ 6,238,810	\$ 267,430	\$ 6,506,240	\$ -	\$ 561,860
APPROPRIATED FUNDS						
Executive Group						
General Revenue Fund - 001	7,068,100	6,238,810	267,430	6,506,240	-	561,860
Total Executive Group						
General Administrative Group						
General Revenue Fund - 001	73,651,700	48,767,519	2,695,048	51,462,567	-	22,189,133
Road Fund - 011	2,500,000	1,096,179	269,725	1,365,904	-	1,134,096
Motor Fuel Tax Fund - 012	1,300,000	1,300,000	-	1,300,000	-	-
Lobbyist Registration Administration Fund - 044	1,201,500	960,285	2,106	962,391	-	239,109
Registered Limited Liability Partnership Fund - 167	188,100	159,341	9,374	168,715	-	19,385
Securities Audit & Enforcement Fund - 362	9,998,300	8,012,024	309,631	8,321,655	-	1,676,645
Department of Business Services Special Operations Fund - 363	13,134,600	10,455,934	457,265	10,913,199	-	2,221,401
Secretary of State Special Services Fund - 483	27,200,000	21,447,705	1,043,091	22,490,796	-	4,709,204
Other Appropriated Funds	34,514,758	28,838,192	434,680	29,272,872	1,874,416	3,367,470
Total General Administrative Group	163,688,958	121,037,179	5,220,920	126,258,099	1,874,416	35,556,443

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2016 - OPERATING GROUP BY FUND - CONTINUED
FOURTEEN MONTHS ENDED AUGUST 31, 2016

	Appropriations (Net after Transfers)	Expenditures Through 6/30/2016	Lapse Period Expenditures 7/01 - 8/31/16	Total Expenditures	Reappropriated Balances	Lapsed Balances
<u>Public Acts 099-0491 and 099-0409</u>						
<u>APPROPRIATED FUNDS - Continued</u>						
Motor Vehicle Group						
General Revenue Fund - 001	\$ 148,082,900	\$ 130,018,695	\$ 7,723,698	\$ 137,742,393	\$ -	\$ 10,340,507
CDLIS/AAMVA Trust Fund - 109	2,900,000	1,774,902	259,564	2,034,466	-	865,534
Secretary of State Federal Projects Fund - 176	500,000	25,229	-	25,229	-	474,771
Secretary of State Special License Plate Fund - 185	5,360,300	3,818,547	290,294	4,108,841	-	1,251,459
SOS Identity Security & Theft Prevention Fund - 480	13,500,000	8,350,198	2,223,147	10,573,345	-	2,926,655
Motor Vehicle License Plate Fund - 622	14,640,000	12,051,505	1,792,872	13,844,377	-	795,623
Vehicle Inspection Fund - 963	3,576,600	2,964,797	9,965	2,974,762	-	601,838
Other Appropriated Funds	8,920,700	6,463,799	423,054	6,886,853	-	2,033,847
Total Motor Vehicle Group	197,480,500	165,467,672	12,722,594	178,190,266	-	19,290,234
TOTAL APPROPRIATED FUNDS	\$ 368,237,558	292,743,661	18,210,944	310,954,605	\$ 1,874,416	\$ 55,408,537

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2016 - OPERATING GROUP BY FUND - CONTINUED
FOURTEEN MONTHS ENDED AUGUST 31, 2016

	Appropriations (Net after Transfers)	Expenditures Through 6/30/2016	Lapse Period Expenditures 7/01 - 8/31/16	Total Expenditures	Reappropriated Balances	Lapsed Balances
Public Acts 099-0491 and 099-0409						
NON-APPROPRIATED FUNDS						
General Administration Group						
Corporate Franchise Tax Refund Fund - 380	\$ 423,391	\$ -	\$ -	423,391		
Total General Administration Group	423,391	-	-	423,391		
Motor Vehicle Group						
Safety Responsibility Fund - 436	604,183	(1,090)		603,093		
Secretary of State International Registration Plan Fund - 890	106,417,483	46,059		106,463,542		
Total Motor Vehicle Group	107,021,666	44,969		107,066,635		
TOTAL NON-APPROPRIATED FUNDS	107,445,057	44,969		107,490,026		
GRAND TOTAL ALL FUNDS	\$ 400,188,718	\$ 18,255,913	\$	418,444,631		

Note 1: The above information was taken directly from the records of the State Comptroller, which have been reconciled to those of the Secretary of State.

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STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2017 - TOTAL BY FUND
FIFTEEN MONTHS ENDED SEPTEMBER 30, 2017

	Appropriations (Net after Transfers)	Expenditures Through 06/30/17	Lapse Period Expenditures 7/01 - 9/30/17	Total Expenditures	Reappropriated Balances	Lapsed Balances
<u>Public Acts 099-0524 and 100-0021</u>						
APPROPRIATED FUNDS						
General Revenue Fund - 001	\$ 284,801,089	\$ 208,229,888	\$ 23,033,665	\$ 231,263,553	\$ -	\$ 53,537,536
Road Fund - 011	2,500,000	967,476	328,834	1,296,310	-	1,203,690
Motor Fuel Tax Fund - 012	1,300,000	1,300,000	-	1,300,000	-	-
Live & Learn Fund - 026	21,400,000	19,253,279	1,723,775	20,977,054	-	422,946
IL Sheriffs' Association Scholarship and Training Fund - 032	5,000	694	461	1,155	-	3,845
IL State Police Memorial Park Fund - 034	10,000	10,000	-	10,000	-	-
Lobbyist Registration Administration Fund - 044	1,207,900	966,018	45,694	1,011,712	-	196,188
Accessible Electronic Information Service Fund - 106	60,000	52,823	-	52,823	-	7,177
CDLISA/AMVA Net Trust Fund - 109	5,264,900	4,789,028	54,222	4,843,250	-	421,650
Capital Development Fund - 141	2,874,416	1,118,267	-	1,118,267	1,756,149	-
Registered Limited Liability Partnership Fund - 167	189,900	157,025	3,108	160,133	-	29,767
Secretary of State Federal Projects Fund - 176	500,000	41,246	10,386	51,632	-	448,368
Driver Services Administration Fund - 182	2,600,000	1,642,260	99,305	1,741,565	-	858,435
Secretary of State Special License Plate Fund - 185	4,822,500	3,661,995	627,970	4,289,965	-	532,535
Securities Investors Education Fund - 292	1,500,000	196,726	3,692	200,418	-	1,299,582
Family Responsibility Fund - 322	200,000	198,691	-	198,691	-	1,309
Motor Vehicle Review Board Fund - 323	259,200	237,765	9,570	247,335	-	11,865
Securities Audit & Enforcement Fund - 362	9,968,300	7,405,817	305,325	7,711,142	-	2,257,158
Department of Business Services Special Operations Fund -363	13,160,800	10,196,524	467,218	10,663,742	-	2,497,058
Secretary of State Evidence Fund - 374	5,000	299	-	299	-	4,701
Alternate Fuels Fund - 422	225,000	225,000	-	225,000	-	-
Indigent BAIID Fund - 451	250,000	182,235	60,758	242,993	-	7,007
MDDP Administration Fund - 453	2,200,000	1,479,299	67,880	1,547,179	-	652,821
Rotary Club Fund - 454	5,000	1,933	2,652	4,585	-	415

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2017 - TOTAL BY FUND - CONTINUED
FIFTEEN MONTHS ENDED SEPTEMBER 30, 2017

	Appropriations (Net after Transfers)	Expenditures Through 06/30/17	Lapse Period Expenditures 7/01 - 9/30/17	Total Expenditures	Reappropriated Balances	Lapsed Balances
APPROPRIATED FUNDS - Continued						
Ovarian Cancer Awareness Fund - 459	15,000	15,000	-	15,000	-	-
Illinois Professional Golfers Association Junior Golf Fund - 463	45,000	45,000	-	45,000	-	-
Boy Scout and Girl Scout Fund - 464	35,000	34,425	50	34,475	-	525
Agriculture in the Classroom Fund - 466	125,000	125,000	-	125,000	-	-
Sheet Metal Workers Intl Fund - 468	6,000	-	-	-	-	6,000
Library Services Fund - 470	7,000,000	4,618,439	77,654	4,696,093	-	2,303,907
Slate Library Fund - 471	24,300	6,816	144	6,960	-	17,340
SOS Identity Security & Theft Prevention Fund - 480	12,500,000	11,455,055	492,909	11,947,964	-	552,036
Secretary of State Special Services Fund - 483	26,126,000	18,760,978	1,247,104	20,008,082	-	6,117,918
Support Our Troops - 496	50,000	50,000	-	50,000	-	-
Master Mason Fund - 508	43,000	27,038	15,962	43,000	-	-
Illinois Pan Hellenic Trust Fund - 584	75,000	40,813	-	40,813	-	34,187
Park District Youth Program Fund - 585	27,000	17,775	9,225	27,000	-	-
Illinois Route 66 Heritage Project Fund - 594	170,000	170,000	-	170,000	-	-
Police Memorial Committee Fund - 598	175,000	175,000	-	175,000	-	-
Mammogram Fund - 599	130,000	87,908	42,092	130,000	-	-
Motor Vehicle License Plate Fund - 622	15,100,000	8,657,238	1,232,914	9,890,152	-	5,209,848
Chicago Police Memorial Foundation Fund - 639	30,000	30,000	-	30,000	-	-
IL Police Association Fund - 655	90,000	90,000	-	90,000	-	-
Budget Stabilization Fund - 686	10,000,000	5,703,406	3,695,801	9,399,207	-	600,793
Organ Donor Awareness Fund - 716	170,000	-	161,546	161,546	-	8,454
Secretary of State DUI Administration Fund - 732	2,500,000	2,007,798	141,511	2,149,309	-	350,691
Secretary of State Police DUI Fund - 758	30,000	13,845	-	13,845	-	16,155
Secretary of State Police Services Fund - 759	600,000	205,054	74,775	279,829	-	320,171

Public Acts 099-0524 and 100-0021

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2017 - TOTAL BY FUND - CONTINUED
FIFTEEN MONTHS ENDED SEPTEMBER 30, 2017

	Appropriations (Net after Transfers)	Expenditures Through 06/30/17	Lapse Period Expenditures 7/01 - 9/30/17	Total Expenditures	Reappropriated Balances	Lapsed Balances
<u>Public Acts 099-0524 and 100-0021</u>						
APPROPRIATED FUNDS - Continued						
U.S. Marine Corps Scholarship Fund - 760	\$ 125,000	\$ 125,000	\$ -	\$ 125,000	\$ -	\$ -
State Parking Facility Maintenance Fund - 782	275,000	109,712	41,208	150,920	-	124,080
International Brotherhood of Teamsters Fund - 803	10,000	6,500	2,800	9,300	-	700
Share the Road Fund - 854	45,000	45,000	-	45,000	-	-
Fraternal Order of Police Fund - 867	15,000	15,000	-	15,000	-	-
Ducks Unlimited Fund - 918	20,000	20,000	-	20,000	-	-
Secretary of State Grant Fund - 948	300,000	32,863	26,470	59,333	-	240,667
Vehicle Inspection Fund - 963	3,661,200	2,753,461	99,130	2,852,591	-	808,609
TOTAL APPROPRIATED FUNDS	\$ 434,826,505	317,758,412	34,205,810	351,964,222	\$ 1,756,149	\$ 81,106,134
NON-APPROPRIATED FUNDS						
Interagency Grant Fund - 295		420,000	700,000	1,120,000		
Corporate Franchise Tax Refund Fund - 380		262,367	-	262,367		
Safety Responsibility Fund - 436		625,446	11,996	637,442		
Secretary of State International Registration Plan Fund - 890		94,951,802	12,908	94,964,710		
TOTAL NON-APPROPRIATED FUNDS		96,259,615	724,904	96,984,519		
GRAND TOTAL		\$ 414,018,027	\$ 34,930,714	\$ 448,948,741		

Note: The above information was taken directly from the records of the State Comptroller, which have been reconciled to those of the Secretary of State.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2016 - TOTAL BY FUND
FOURTEEN MONTHS ENDED AUGUST 31, 2016

	Appropriations (Net after Transfers)	Expenditures Through 06/30/16	Lapse Period Expenditures 7/01 - 8/31/16	Total Expenditures	Reappropriated Balances	Lapsed Balances
Public Acts 99-0491 and 99-0409						
APPROPRIATED FUNDS						
General Revenue Fund - 001	\$ 228,802,700	\$ 185,025,024	\$ 10,686,176	\$ 195,711,200	\$ -	\$ 33,091,500
Road Fund - 011	2,500,000	1,096,179	269,725	1,365,904	-	1,134,096
Motor Fuel Tax Fund - 012	1,300,000	1,300,000	-	1,300,000	-	-
Live & Learn Fund - 026	21,400,000	20,830,047	78,321	20,908,368	-	491,632
IL Sheriffs' Association Scholarship and Training Fund - 032	5,000	-	745	745	-	4,255
IL State Police Memorial Park Fund - 034	5,000	5,000	-	5,000	-	-
Lobbyist Registration Administration Fund - 044	1,201,500	960,285	2,106	962,391	-	239,109
Accessible Electronic Information Service Fund - 106	60,000	39,970	13,323	53,293	-	6,707
CDLIS/AAMVA Net Trust Fund - 109	2,900,000	1,774,902	259,564	2,034,466	-	865,534
Capital Development Fund - 141	2,327,958	451,047	2,495	453,542	1,874,416	-
Registered Limited Liability Partnership Fund - 167	188,100	159,341	9,374	168,715	-	19,385
Secretary of State Federal Projects Fund - 176	500,000	25,229	-	25,229	-	474,771
Driver Services Administration Fund - 182	2,600,000	2,270,758	137,665	2,408,423	-	191,577
Secretary of State Special License Plate Fund - 185	5,410,300	3,863,816	290,294	4,154,110	-	1,256,190
Securities Investors Education Fund - 292	1,500,000	198,679	103,369	302,048	-	1,197,952
Family Responsibility Fund - 322	200,000	176,601	6,777	183,378	-	16,622
Motor Vehicle Review Board Fund - 323	260,700	208,777	8,144	216,921	-	43,779
Securities Audit & Enforcement Fund - 362	9,998,300	8,012,024	309,631	8,321,655	-	1,676,645
Department of Business Services Special Operations Fund -363	13,134,600	10,455,934	457,265	10,913,199	-	2,221,401
Secretary of State Evidence Fund - 374	5,000	1,130	-	1,130	-	3,870
Alternate Fuels Fund - 422	225,000	225,000	-	225,000	-	-
Indigent BAID Fund - 451	250,000	159,602	57,261	216,863	-	33,137
MDDP Administration Fund - 453	2,350,000	1,221,176	57,058	1,278,234	-	1,071,766
Rotary Club Fund - 454	5,000	-	4,613	4,613	-	387

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2016 - TOTAL BY FUND - CONTINUED
FOURTEEN MONTHS ENDED AUGUST 31, 2016

	Appropriations (Net after Transfers)	Expenditures Through 06/30/16	Lapse Period Expenditures 7/01 - 8/31/16	Total Expenditures	Reappropriated Balances	Lapsed Balances
<u>Public Acts 99-0491 and 99-0409</u>						
APPROPRIATED FUNDS - Continued						
Ovarian Cancer Awareness Fund - 459	\$ 15,000	\$ 15,000	\$ -	\$ 15,000	\$ -	\$ -
Illinois Professional Golfers Association Junior Golf Fund - 463	55,000	-	-	-	-	55,000
Boy Scout and Girl Scout Fund - 464	40,000	29,750	-	29,750	-	10,250
Agriculture in the Classroom Fund - 466	125,000	125,000	-	125,000	-	-
Sheet Metal Workers Intl Fund - 468	6,000	-	-	-	-	6,000
Library Services Fund - 470	7,000,000	5,934,469	5,278	5,939,747	-	1,060,253
State Library Fund - 471	24,300	16,713	151	16,864	-	7,436
SOS Identity Security & Theft Prevention Fund - 480	13,500,000	8,350,198	2,223,147	10,573,345	-	2,926,655
Secretary of State Special Services Fund - 483	27,200,000	21,447,705	1,043,091	22,490,796	-	4,709,204
Support Our Troops Fund - 496	50,000	-	-	-	-	50,000
Master Mason Fund - 508	43,000	30,000	11,251	41,251	-	1,749
Illinois Pan Hellenic Trust Fund - 584	75,000	44,122	9,571	53,693	-	21,307
Park District Youth Program Fund - 585	30,000	20,000	8,800	28,800	-	1,200
Illinois Route 66 Heritage Project Fund - 594	170,000	150,000	20,000	170,000	-	-
Police Memorial Committee Fund - 598	175,000	131,250	43,750	175,000	-	-
Mammogram Fund - 599	130,000	97,500	32,500	130,000	-	-
Motor Vehicle License Plate Fund - 622	14,640,000	12,051,505	1,792,872	13,844,377	-	795,623
Chicago Police Memorial Foundation Fund - 639	30,000	30,000	-	30,000	-	-
IL Police Association Fund - 655	90,000	90,000	-	90,000	-	-
Organ Donor Awareness Fund - 716	170,000	110,000	50,636	160,636	-	9,364
Secretary of State DUI Administration Fund - 732	2,500,000	1,989,326	137,945	2,127,271	-	372,729
Secretary of State Police DUI Fund - 758	30,000	23,252	256	23,508	-	6,492
Secretary of State Police Services Fund - 759	700,000	364,778	24,725	389,503	-	310,497

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2016 - TOTAL BY FUND - CONTINUED
FOURTEEN MONTHS ENDED AUGUST 31, 2016

	Appropriations (Net after Transfers)	Expenditures Through 06/30/16	Lapse Period Expenditures 7/01 - 8/31/16	Total Expenditures	Reappropriated Balances	Lapsed Balances
<u>Public Acts 99-0491 and 99-0409</u>						
APPROPRIATED FUNDS - Continued						
U.S. Marine Corps Scholarship Fund - 760	\$ 125,000	\$ 125,000	\$ -	\$ 125,000	\$ -	\$ -
State Parking Facility Maintenance Fund - 782	200,000	22,310	3,825	26,135	-	173,865
International Brotherhood of Teamsters Fund - 803	35,000	-	35,000	35,000	-	-
Share the Road Fund - 854	45,000	45,000	-	45,000	-	-
Fraternal Order of Police Fund - 867	15,000	12,000	3,000	15,000	-	-
St. Jude Children's Research Fund - 899	3,500	-	-	-	-	3,500
Ducks Unlimited Fund - 918	10,000	10,000	-	10,000	-	-
Secretary of State Grant Fund - 948	300,000	53,465	1,275	54,740	-	245,260
Vehicle Inspection Fund - 963	3,576,600	2,964,797	9,965	2,974,762	-	601,838
TOTAL APPROPRIATED FUNDS	\$ 368,237,558	292,743,661	18,210,944	310,954,605	\$ 1,874,416	\$ 55,408,537
NON-APPROPRIATED FUNDS						
Corporate Franchise Tax Refund Fund - 380		423,391	-	423,391		
Safety Responsibility Fund - 436		604,183	(1,090)	603,093		
Secretary of State International Registration Plan Fund - 890		106,417,483	46,059	106,463,542		
TOTAL NON-APPROPRIATED FUNDS		107,445,057	44,969	107,490,026		
GRAND TOTAL		\$ 400,188,718	\$ 18,255,913	\$ 418,444,631		

Note: The above information was taken directly from the records of the State Comptroller, which have been reconciled to those of the Secretary of State.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES

	Fiscal Year		
	2017 P.A. 99-0524, 100-0021	2016 P.A. 99-0491, 99-0409	2015 P.A. 98-0679, 98-0675
EXECUTIVE GROUP:			
General Revenue Fund - 001:			
Appropriations (Net After Transfers)	\$ 7,150,318	\$ 7,068,100	\$ 7,278,326
Expenditures:			
Personal Services:			
Regular Positions	6,058,569	5,925,882	5,901,819
Employee Retirement Contributions	121,367	118,746	118,711
Extra Help	689	30,178	38,410
Social Security Contributions	430,606	422,523	422,563
Contractual Services	36,936	8,911	410,869
Travel	-	-	28,104
Printing	-	-	434
Commodities	-	-	26,271
Equipment	-	-	160,423
Telecommunications	-	-	67,693
Total Expenditures	<u>6,648,167</u>	<u>6,506,240</u>	<u>7,175,297</u>
Lapsed Balances	<u>\$ 502,151</u>	<u>\$ 561,860</u>	<u>\$ 103,029</u>
General Revenue Fund - 001:			
Appropriations (Net After Transfers)	\$ 53,000,000	\$ -	\$ -
Expenditures:			
Ordinary and Contingent	49,615,951	-	-
Lapsed Balances	<u>\$ 3,384,049</u>	<u>\$ -</u>	<u>\$ -</u>
General Revenue Fund - 001 Totals			
Appropriations (Net After Transfers)	\$ 60,150,318	\$ 7,068,100	\$ 7,278,326
Expenditures	<u>56,264,118</u>	<u>6,506,240</u>	<u>7,175,297</u>
Lapsed Balances	<u>3,886,200</u>	<u>561,860</u>	<u>103,029</u>
Budget Stabilization Fund - 686:			
Appropriations (Net After Transfers)	\$ 10,000,000	\$ -	\$ -
Expenditures:			
Ordinary and Contingent	9,399,207	-	-
Lapsed Balances	<u>\$ 600,793</u>	<u>\$ -</u>	<u>\$ -</u>
EXECUTIVE GROUP TOTALS:			
Appropriations (Net After Transfers)	\$ 70,150,318	\$ 7,068,100	\$ 7,278,326
Total Expenditures	<u>65,663,325</u>	<u>6,506,240</u>	<u>7,175,297</u>
Lapsed Balances	<u>\$ 4,486,993</u>	<u>\$ 561,860</u>	<u>\$ 103,029</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2017	2016	2015
	P.A. 99-0524, 100-0021	P.A. 99-0491, 99-0409	P.A. 98-0679, 98-0675
GENERAL ADMINISTRATIVE GROUP:			
General Revenue Fund - 001:			
Appropriations (Net After Transfers)	\$ 74,341,434	\$ 72,751,300	\$ 77,951,276
Expenditures:			
Personal Services:			
Regular Positions	44,305,758	45,184,343	48,859,090
Extra Help	217,930	308,204	416,966
Employee Retirement Contributions	884,212	900,049	978,984
Social Security Contributions	3,347,840	3,406,611	3,696,968
Contractual Services	1,301,291	1,335,321	19,228,462
Travel	-	-	89,428
Commodities	-	-	881,039
Printing	-	-	566,832
Equipment	-	-	954,640
Telecommunications	-	-	507,937
Operation of Auto Equipment	-	-	304,170
Refund of Fees and Taxes	-	-	24,874
Total Expenditures	<u>50,057,031</u>	<u>51,134,528</u>	<u>76,509,390</u>
Lapsed Balances	<u>\$ 24,284,403</u>	<u>\$ 21,616,772</u>	<u>\$ 1,441,886</u>
General Revenue Fund - 001:			
Establishment grants, annual per capita and area grants, and equalization grants pursuant to Section 8 of the Illinois Library System Act:			
Appropriations (Net After Transfers)	\$ -	\$ -	\$ 12,482,400
Expenditures	-	-	12,482,324
Lapsed Balances	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 76</u>
Annual library technology:			
Appropriations (Net After Transfers)	\$ 35,000	\$ 35,000	\$ 35,000
Expenditures	2,650	5,827	18,303
Lapsed Balances	<u>\$ 32,350</u>	<u>\$ 29,173</u>	<u>\$ 16,697</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2017 P.A. 99-0524, 100-0021	2016 P.A. 99-0491, 99-0409	2015 P.A. 98-0679, 98-0675
Library services for the blind and physically handicapped:			
Appropriations (Net After Transfers)	\$ 865,400	\$ 865,400	\$ 865,400
Expenditures	277,524	322,212	799,730
Lapsed Balances	\$ 587,876	\$ 543,188	\$ 65,670
Literacy:			
Appropriations (Net After Transfers)	\$ -	\$ -	\$ 3,718,300
Expenditures	-	-	3,718,287
Lapsed Balances	\$ -	\$ -	\$ 13
Annual per capita - school district:			
Appropriations (Net After Transfers)	\$ -	\$ -	\$ 225,000
Expenditures	-	-	224,994
Lapsed Balances	\$ -	\$ -	\$ 6
Miscellaneous permanent improvements - buildings:			
Appropriations (Net After Transfers)	\$ -	\$ -	\$ 425,000
Expenditures	-	-	424,888
Lapsed Balances	\$ -	\$ -	\$ 112
Chicago Public Library:			
Appropriations (Net After Transfers)	\$ -	\$ -	\$ 1,288,800
Expenditures	-	-	1,288,800
Lapsed Balances	\$ -	\$ -	\$ -
School Construction:			
Appropriations (Net After Transfers)	\$ -	\$ -	\$ 35,000,000
Expenditures	-	-	35,000,000
Lapsed Balances	\$ -	\$ -	\$ -
General Revenue Fund - 001 Totals:			
Appropriations (Net After Transfers)	\$ 75,241,834	\$ 73,651,700	\$ 131,991,176
Expenditures	50,337,205	51,462,567	130,466,716
Lapsed Balances	\$ 24,904,629	\$ 22,189,133	\$ 1,524,460

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2017 P.A. 99-0524, 100-0021	2016 P.A. 99-0491, 99-0409	2015 P.A. 98-0679, 98-0675
Road Fund - 011:			
Appropriations (Net After Transfers)	\$ 2,500,000	\$ 2,500,000	\$ 2,500,000
Expenditures:			
Refund of Fees and Taxes	1,296,310	1,365,904	2,499,999
Lapsed Balances	<u>\$ 1,203,690</u>	<u>\$ 1,134,096</u>	<u>\$ 1</u>
Motor Fuel Tax Fund - 012:			
Appropriations (Net After Transfers)	\$ 1,300,000	\$ 1,300,000	\$ 1,300,000
Expenditures:			
Contractual Services	1,300,000	1,300,000	1,300,000
Lapsed Balances	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
Lobbyist Registration Administration Fund - 044:			
Appropriations (Net After Transfers)	\$ 1,207,900	\$ 1,201,500	\$ 1,243,400
Expenditures:			
Personal Services:			
Regular Positions	504,477	476,813	535,927
Employee Retirement Contributions	10,119	9,558	10,743
Group Insurance	141,219	129,709	127,533
State Retirement Fund Contributions	225,501	218,095	227,439
Social Security Contributions	39,775	37,002	41,326
Contractual Services	71,487	83,261	130,901
Travel	2,675	2,431	2,130
Commodities	1,506	363	1,394
Printing	8,557	-	-
Equipment	-	-	8,670
Telecommunications	6,396	5,159	5,712
Total Expenditures	<u>1,011,712</u>	<u>962,391</u>	<u>1,091,775</u>
Lapsed Balances	<u>\$ 196,188</u>	<u>\$ 239,109</u>	<u>\$ 151,625</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2017	2016	2015
	P.A. 99-0524, 100-0021	P.A. 99-0491, 99-0409	P.A. 98-0679, 98-0675
Registered Limited Liability Partnership Fund - 167:			
Appropriations (Net After Transfers)	\$ 189,900	\$ 188,100	\$ 174,700
Expenditures:			
Personal Services:			
Regular Positions	82,307	82,612	79,981
Employee Retirement Contributions	1,646	1,652	1,600
Group Insurance	33,454	42,350	41,741
State Retirement Fund Contributions	36,683	36,012	33,864
Social Security Contributions	6,043	5,919	5,680
Contractual Services	-	-	72
Commodities	-	170	729
Telecommunications	-	-	196
Total Expenditures	<u>160,133</u>	<u>168,715</u>	<u>163,863</u>
Lapsed Balances	<u>\$ 29,767</u>	<u>\$ 19,385</u>	<u>\$ 10,837</u>
Securities Audit and Enforcement Fund - 362:			
Appropriations (Net After Transfers)	\$ 9,968,300	\$ 9,998,300	\$ 9,932,900
Expenditures:			
Personal Services:			
Regular Positions	3,631,837	3,842,021	4,281,003
Employee Retirement Contributions	75,940	79,502	89,172
Extra Help	-	4,071	10,626
Group Insurance	1,181,397	1,262,142	1,234,866
State Retirement Fund Contributions	1,620,919	1,756,596	1,820,187
Social Security Contributions	235,820	253,056	286,908
Contractual Services	754,047	923,151	1,070,230
Travel	1,103	1,330	3,019
Commodities	2,575	4,300	10,564
Equipment	-	-	65,760
Telecommunications	15,011	21,255	26,382
Operation of Auto Equipment	192,493	174,231	192,500
Total Expenditures	<u>7,711,142</u>	<u>8,321,655</u>	<u>9,091,217</u>
Lapsed Balances	<u>\$ 2,257,158</u>	<u>\$ 1,676,645</u>	<u>\$ 841,683</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2017 P.A. 99-0524, 100-0021	2016 P.A. 99-0491, 99-0409	2015 P.A. 98-0679, 98-0675
Department of Business Services			
Special Operations Fund - 363:			
Appropriations (Net After Transfers)	\$ 13,160,800	\$ 13,134,600	\$ 12,352,500
Expenditures:			
Personal Services:			
Regular Positions	5,103,472	5,257,646	5,552,025
Employee Retirement Contributions	103,009	105,094	110,475
Extra Help	58,203	46,424	-
Group Insurance	1,812,518	1,838,781	1,573,865
State Retirement Fund Contributions	2,305,110	2,422,674	2,354,988
Social Security Contributions	376,762	388,425	408,140
Contractual Services	722,790	670,201	715,585
Travel	921	495	3,604
Commodities	7,536	9,863	11,493
Printing	37,967	26,926	39,605
Equipment	979	2,571	6,434
Telecommunications	39,484	48,386	47,755
Operation of Auto Equipment	94,991	95,713	95,000
Total Expenditures	10,663,742	10,913,199	10,918,969
Lapsed Balances	\$ 2,497,058	\$ 2,221,401	\$ 1,433,531
Secretary of State Special Services Fund - 483:			
Appropriations (Net After Transfers)	\$ 26,126,000	\$ 27,200,000	\$ 29,200,000
Expenditures:			
Electronic Data Processing	3,458,260	5,998,421	6,115,703
Office Automation & Technology	13,411,981	13,246,559	10,919,124
Library Technology	1,775,079	1,802,088	1,789,066
Support & Expansion of Family Literacy	1,300,000	1,299,997	1,263,150
Data Dictionary Grant	-	-	23,220
CDL Program Implementation	62,762	143,731	63,301
Total Expenditures	20,008,082	22,490,796	20,173,564
Lapsed Balances	\$ 6,117,918	\$ 4,709,204	\$ 9,026,436

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2017 P.A. 99-0524, 100-0021	2016 P.A. 99-0491, 99-0409	2015 P.A. 98-0679, 98-0675
Live and Learn Fund - 026:			
Annual per capita grants to school:			
Appropriations (Net After Transfers)	\$ 1,145,000	\$ 1,145,000	\$ 1,145,000
Expenditures	1,144,930	1,144,931	1,132,307
Lapsed Balances	\$ 70	\$ 69	\$ 12,693
Local library per capita:			
Appropriations (Net After Transfers)	\$ 16,004,200	\$ 16,004,200	\$ 16,004,200
Expenditures	16,002,766	16,002,743	16,004,196
Lapsed Balances	\$ 1,434	\$ 1,457	\$ 4
Research and reference:			
Appropriations (Net After Transfers)	\$ 580,000	\$ 580,000	\$ 580,000
Expenditures	580,000	580,000	579,848
Lapsed Balances	\$ -	\$ -	\$ 152
Blind and physically handicapped:			
Appropriations (Net After Transfers)	\$ 300,000	\$ 300,000	\$ 300,000
Expenditures	298,911	298,911	299,289
Lapsed Balances	\$ 1,089	\$ 1,089	\$ 711
Construction:			
Appropriations (Net After Transfers)	\$ 870,800	\$ 870,800	\$ 870,800
Expenditures	865,858	870,800	870,800
Lapsed Balances	\$ 4,942	\$ -	\$ -
Family literacy:			
Appropriations (Net After Transfers)	\$ 750,000	\$ 750,000	\$ 750,000
Expenditures	743,219	750,000	750,000
Lapsed Balances	\$ 6,781	\$ -	\$ -
Organ donor:			
Appropriations (Net After Transfers)	\$ 1,750,000	\$ 1,750,000	\$ 1,750,000
Expenditures	1,341,370	1,260,983	1,436,811
Lapsed Balances	\$ 408,630	\$ 489,017	\$ 313,189

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2017 P.A. 99-0524, 100-0021	2016 P.A. 99-0491, 99-0409	2015 P.A. 98-0679, 98-0675
Live and Learn Fund - 026 Totals:			
Appropriations (Net After Transfers)	\$ 21,400,000	\$ 21,400,000	\$ 21,400,000
Expenditures	20,977,054	20,908,368	21,073,251
Lapsed Balances	\$ 422,946	\$ 491,632	\$ 326,749
Illinois Sheriffs' Association			
Scholarship and Training - 032:			
Appropriations (Net After Transfers)	\$ 5,000	\$ 5,000	\$ -
Expenditures	1,155	745	-
Lapsed Balances	\$ 3,845	\$ 4,255	\$ -
Illinois State Police Memorial Park - 034:			
Appropriations (Net After Transfers)	\$ 10,000	\$ 5,000	\$ -
Expenditures	10,000	5,000	-
Lapsed Balances	\$ -	\$ -	\$ -
Accessible Electronic Information Service Fund - 106:			
Appropriations (Net After Transfers)	\$ 60,000	\$ 60,000	\$ 60,000
Expenditures	52,823	53,293	53,510
Lapsed Balances	\$ 7,177	\$ 6,707	\$ 6,490
Capital Development Fund - 141:			
Rehabilitation of Various Facilities:			
Appropriations (Net After Transfers)	\$ 2,874,416	\$ 2,327,958	\$ 2,151,486
Expenditures	1,118,267	453,542	823,527
Reappropriations	1,756,149	1,874,416	-
Lapsed Balances	\$ -	\$ -	\$ 1,327,959
Secretary of State Special			
License Plate Fund - 185:			
Illinois Veterans Home Libraries:			
Appropriations (Net After Transfers)	\$ 50,000	\$ 50,000	\$ 50,000
Expenditures	39,099	45,269	44,533
Lapsed Balances	\$ 10,901	\$ 4,731	\$ 5,467

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2017 P.A. 99-0524, 100-0021	2016 P.A. 99-0491, 99-0409	2015 P.A. 98-0679, 98-0675
Securities Investors Education Fund - 292:			
Appropriations (Net After Transfers)	\$ 1,500,000	\$ 1,500,000	\$ 1,500,000
Expenditures	200,418	302,048	1,010,407
Lapsed Balances	<u>\$ 1,299,582</u>	<u>\$ 1,197,952</u>	<u>\$ 489,593</u>
Rotary Club - 454:			
Appropriations (Net After Transfers)	\$ 5,000	\$ 5,000	\$ 5,000
Expenditures	4,585	4,613	5,000
Lapsed Balances	<u>\$ 415</u>	<u>\$ 387</u>	<u>\$ -</u>
Ovarian Cancer Awareness Fund - 459:			
Appropriations (Net After Transfers)	\$ 15,000	\$ 15,000	\$ 15,000
Expenditures	15,000	15,000	15,000
Lapsed Balances	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
IL Professional Golfers Assn. Junior Golf Fund - 463:			
Appropriations (Net After Transfers)	\$ 45,000	\$ 55,000	\$ 55,000
Expenditures	45,000	-	50,000
Lapsed Balances	<u>\$ -</u>	<u>\$ 55,000</u>	<u>\$ 5,000</u>
Boy Scouts and Girl Scouts Fund - 464:			
Appropriations (Net After Transfers)	\$ 35,000	\$ 40,000	\$ 40,000
Expenditures	34,475	29,750	18,300
Lapsed Balances	<u>\$ 525</u>	<u>\$ 10,250</u>	<u>\$ 21,700</u>
Agriculture in the Classroom - 466:			
Appropriations (Net After Transfers)	\$ 125,000	\$ 125,000	\$ 100,000
Expenditures	125,000	125,000	100,000
Lapsed Balances	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
Sheet Metal Workers Intl Fund - 468:			
Appropriations (Net After Transfers)	\$ 6,000	\$ 6,000	\$ 6,000
Expenditures	-	-	-
Lapsed Balances	<u>\$ 6,000</u>	<u>\$ 6,000</u>	<u>\$ 6,000</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2017 P.A. 99-0524, 100-0021	2016 P.A. 99-0491, 99-0409	2015 P.A. 98-0679, 98-0675
Library Services Fund - 470:			
Secretary of State for library services pursuant to the Federal Library Services and Construction Act, P.L. 84-594 and P.L. 104-208, as amended, Title IA-0000:			
Appropriations (Net After Transfers)	\$ 7,000,000	\$ 7,000,000	\$ 7,000,000
Expenditures	4,696,093	5,939,747	6,433,000
Lapsed Balances	\$ 2,303,907	\$ 1,060,253	\$ 567,000
State Library Fund - 471:			
Appropriations (Net After Transfers)	\$ 24,300	\$ 24,300	\$ 24,300
Expenditures	6,960	16,864	9,577
Lapsed Balances	\$ 17,340	\$ 7,436	\$ 14,723
Support our Troops - 496:			
Appropriations (Net After Transfers)	\$ 50,000	\$ 50,000	\$ 50,000
Expenditures	50,000	-	-
Lapsed Balances	\$ -	\$ 50,000	\$ 50,000
Master Mason Fund - 508:			
Masonic Foundation Grants:			
Appropriations (Net After Transfers)	\$ 43,000	\$ 43,000	\$ 45,000
Expenditures	43,000	41,251	45,000
Lapsed Balances	\$ -	\$ 1,749	\$ -
Illinois Pan Hellenic Trust Fund - 584:			
Appropriations (Net After Transfers)	\$ 75,000	\$ 75,000	\$ 75,000
Expenditures	40,813	53,693	68,712
Lapsed Balances	\$ 34,187	\$ 21,307	\$ 6,288
Park District Youth Program Fund - 585:			
Appropriations (Net After Transfers)	\$ 27,000	\$ 30,000	\$ 30,000
Expenditures	27,000	28,800	30,000
Lapsed Balances	\$ -	\$ 1,200	\$ -
Illinois Route 66 Heritage Project Fund - 594:			
Appropriations (Net After Transfers)	\$ 170,000	\$ 170,000	\$ 200,000
Expenditures	170,000	170,000	200,000
Lapsed Balances	\$ -	\$ -	\$ -

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2017 P.A. 99-0524, 100-0021	2016 P.A. 99-0491, 99-0409	2015 P.A. 98-0679, 98-0675
Police Memorial Committee Fund - 598:			
Appropriations (Net After Transfers)	\$ 175,000	\$ 175,000	\$ 200,000
Expenditures	175,000	175,000	200,000
Lapsed Balances	\$ -	\$ -	\$ -
Mammogram Fund - 599:			
Susan G. Komen Foundation:			
Appropriations (Net After Transfers)	\$ 130,000	\$ 130,000	\$ 140,000
Expenditures	130,000	130,000	140,000
Lapsed Balances	\$ -	\$ -	\$ -
Chicago Police Memorial Foundation Fund - 639:			
Appropriations (Net After Transfers)	\$ 30,000	\$ 30,000	\$ 20,000
Expenditures	30,000	30,000	20,000
Lapsed Balances	\$ -	\$ -	\$ -
IL Police Association Fund - 655:			
Appropriations (Net After Transfers)	\$ 90,000	\$ 90,000	\$ 100,000
Expenditures	90,000	90,000	100,000
Lapsed Balances	\$ -	\$ -	\$ -
Organ Donor Awareness Fund - 716:			
Appropriations (Net After Transfers)	\$ 170,000	\$ 170,000	\$ 200,000
Expenditures	161,546	160,636	174,116
Lapsed Balances	\$ 8,454	\$ 9,364	\$ 25,884
U.S. Marine Corps Scholarship Fund - 760:			
Appropriations (Net After Transfers)	\$ 125,000	\$ 125,000	\$ 125,000
Expenditures	125,000	125,000	125,000
Lapsed Balances	\$ -	\$ -	\$ -
State Parking Facility Maintenance Fund - 782:			
Appropriations (Net After Transfers)	\$ 275,000	\$ 200,000	\$ 100,000
Expenditures	150,920	26,135	72,024
Lapsed Balances	\$ 124,080	\$ 173,865	\$ 27,976

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2017 P.A. 99-0524, 100-0021	2016 P.A. 99-0491, 99-0409	2015 P.A. 98-0679, 98-0675
Illinois EMS Memorial Scholarship Fund - 800:			
Appropriations (Net After Transfers)	\$ -	\$ -	\$ 5,000
Expenditures	-	-	-
Lapsed Balances	\$ -	\$ -	\$ 5,000
International Brotherhood of Teamsters Fund - 803:			
Appropriations (Net After Transfers)	\$ 10,000	\$ 35,000	\$ 35,000
Expenditures	9,300	35,000	-
Lapsed Balances	\$ 700	\$ -	\$ 35,000
Share the Road Fund - 854:			
Appropriations (Net After Transfers)	\$ 45,000	\$ 45,000	\$ 35,000
Expenditures	45,000	45,000	35,000
Lapsed Balances	\$ -	\$ -	\$ -
Fraternal Order of Police Fund - 867:			
Appropriations (Net After Transfers)	\$ 15,000	\$ 15,000	\$ 20,000
Expenditures	15,000	15,000	20,000
Lapsed Balances	\$ -	\$ -	\$ -
Soil and Water Conservation Fund - 895:			
Appropriations (Net After Transfers)	\$ -	\$ -	\$ 400
Expenditures	-	-	-
Lapsed Balances	\$ -	\$ -	\$ 400
St. Jude Children's Research Fund - 899:			
Appropriations (Net After Transfers)	\$ -	\$ 3,500	\$ -
Expenditures	-	-	-
Lapsed Balances	\$ -	\$ 3,500	\$ -
Ducks Unlimited Fund- 918:			
Appropriations (Net After Transfers)	\$ 20,000	\$ 10,000	\$ 10,000
Expenditures	20,000	10,000	10,000
Lapsed Balances	\$ -	\$ -	\$ -

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2017 P.A. 99-0524, 100-0021	2016 P.A. 99-0491, 99-0409	2015 P.A. 98-0679, 98-0675
Secretary of State Grant Fund - 948:			
Appropriations (Net After Transfers)	\$ 300,000	\$ 300,000	\$ 500,000
Expenditures	59,333	54,740	66,978
Lapsed Balances	<u>\$ 240,667</u>	<u>\$ 245,260</u>	<u>\$ 433,022</u>
Family Responsibility Fund - 322:			
Appropriations (Net After Transfers)	\$ 200,000	\$ 200,000	\$ 200,000
Expenditures	198,691	183,378	83,654
Lapsed Balances	<u>\$ 1,309</u>	<u>\$ 16,622</u>	<u>\$ 116,346</u>
Build IL Bond Fund - 971:			
Appropriations (Net After Transfers)	\$ -	\$ -	\$ 35,355,420
Expenditures	-	-	25,245,280
Reappropriations	-	-	-
Lapsed Balances	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 10,110,140</u>
GENERAL ADMINISTRATIVE			
GROUP TOTALS:			
Appropriations (Net After Transfers)	\$ 164,799,450	\$ 163,688,958	\$ 258,547,282
Expenditures	121,354,858	126,258,099	231,977,972
Reappropriations	1,756,149	1,874,416	-
Lapsed Balances	<u>\$ 41,688,443</u>	<u>\$ 35,556,443</u>	<u>\$ 26,569,310</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2017	2016	2015
	P.A. 99-0524, 100-0021	P.A. 99-0491, 99-0409	P.A. 98-0679, 98-0675
MOTOR VEHICLE GROUP:			
General Revenue Fund - 001:			
Appropriations (Net After Transfers)	\$ 149,408,937	\$ 148,082,900	\$ 155,038,398
Expenditures:			
Personal Services:			
Regular Positions	105,426,497	108,568,692	115,321,265
Extra Help	4,500,224	5,473,112	6,201,776
Employee Retirement Contributions	2,220,959	2,301,112	2,448,218
Social Security Contributions	7,827,588	8,125,884	8,629,221
Contractual Services	1,575,853	9,991,139	13,454,747
Travel	-	-	237,113
Commodities	-	-	1,191,052
Printing	-	-	1,194,552
Equipment	-	-	219,054
Telecommunications	-	-	1,605,463
Operation of Auto Equipment	-	-	409,501
Security Measures Capitol	3,111,109	3,282,454	3,348,498
Total Expenditures	<u>124,662,230</u>	<u>137,742,393</u>	<u>154,260,460</u>
Lapsed Balances	<u>\$ 24,746,707</u>	<u>\$ 10,340,507</u>	<u>\$ 777,938</u>
CDLIS/AAMVA Net Trust Fund - 109:			
Appropriations (Net After Transfers)	\$ 5,264,900	\$ 2,900,000	\$ 2,900,000
Expenditures:			
Contractual Services	825,125	2,009,493	798,803
Travel	602	-	-
Commodities	4,008,992	-	-
Equipment	8,531	24,973	4,498
Total Expenditures	<u>4,843,250</u>	<u>2,034,466</u>	<u>803,301</u>
Lapsed Balances	<u>\$ 421,650</u>	<u>\$ 865,534</u>	<u>\$ 2,096,699</u>
Secretary of State Federal Projects Fund - 176:			
Appropriations (Net After Transfers)	\$ 500,000	\$ 500,000	\$ 700,000
Expenditures	51,632	25,229	35,620
Lapsed Balances	<u>\$ 448,368</u>	<u>\$ 474,771</u>	<u>\$ 664,380</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2017 P.A. 99-0524, 100-0021	2016 P.A. 99-0491, 99-0409	2015 P.A. 98-0679, 98-0675
Secretary of State Special			
License Plate Fund - 185:			
Appropriations (Net After Transfers)	\$ 4,772,500	\$ 5,360,300	\$ 5,950,000
Expenditures:			
Personal Services			
Regular Positions	634,235	737,883	491,819
Employee Retirement Contributions	12,690	14,265	9,703
Group Insurance	290,690	305,144	205,242
State Retirement Fund Contributions	282,783	323,898	208,327
Social Security Contributions	47,844	53,734	35,637
Contractual Services	640,131	621,033	619,572
Travel	13,499	13,407	13,500
Commodities	1,000,000	1,003,454	1,000,000
Printing	1,029,000	737,777	987,800
Telecommunications	299,994	298,246	299,996
Total Expenditures	<u>4,250,866</u>	<u>4,108,841</u>	<u>3,871,596</u>
Lapsed Balances	<u>\$ 521,634</u>	<u>\$ 1,251,459</u>	<u>\$ 2,078,404</u>
Motor Vehicle Review Board Fund - 323:			
Appropriations (Net After Transfers)	\$ 259,200	\$ 260,700	\$ 265,700
Expenditures:			
Personal Services:			
Regular Positions	137,501	141,251	90,625
Employee Retirement Contributions	2,749	2,824	1,812
State Retirement Fund Contributions	61,283	61,653	38,370
Social Security Contributions	10,519	10,805	6,933
Contractual Services	35,000	-	-
Telecommunications	283	388	388
Total Expenditures	<u>247,335</u>	<u>216,921</u>	<u>138,128</u>
Lapsed Balances	<u>\$ 11,865</u>	<u>\$ 43,779</u>	<u>\$ 127,572</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2017 P.A. 99-0524, 100-0021	2016 P.A. 99-0491, 99-0409	2015 P.A. 98-0679, 98-0675
Vehicle Inspection Fund - 963:			
Appropriations (Net After Transfers)	\$ 3,661,200	\$ 3,576,600	\$ 3,744,200
Expenditures:			
Personal Services:			
Regular Positions	973,288	961,958	1,010,625
Employee Retirement Contributions	19,489	19,969	20,890
Extra Help	-	35,992	33,291
Group Insurance	368,266	428,767	388,813
State Retirement Fund Contributions	434,303	455,298	442,247
Social Security Contributions	77,309	78,644	81,774
Contractual Services	925,802	928,826	925,050
Commodities	24,300	35,308	24,962
Telecommunications	29,834	30,000	30,000
Total Expenditures	2,852,591	2,974,762	2,957,652
Lapsed Balances	\$ 808,609	\$ 601,838	\$ 786,548
Driver Services Administration Fund - 182:			
Appropriations (Net After Transfers)	\$ 2,600,000	\$ 2,600,000	\$ 3,600,000
Expenditures	1,741,565	2,408,423	2,669,215
Lapsed Balances	\$ 858,435	\$ 191,577	\$ 930,785
Secretary of State Evidence Fund - 374:			
Appropriations (Net After Transfers)	\$ 5,000	\$ 5,000	\$ 5,000
Expenditures	299	1,130	1,955
Lapsed Balances	\$ 4,701	\$ 3,870	\$ 3,045
Alternate Fuels Fund - 422:			
Administering Alternate Fuels Act:			
Appropriations (Net After Transfers)	\$ 225,000	\$ 225,000	\$ 225,000
Expenditures	225,000	225,000	225,000
Lapsed Balances	\$ -	\$ -	\$ -

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2017 P.A. 99-0524, 100-0021	2016 P.A. 99-0491, 99-0409	2015 P.A. 98-0679, 98-0675
Indigent BAID Fund- 451:			
Appropriations (Net After Transfers)	\$ 250,000	\$ 250,000	\$ 300,000
Expenditures	242,993	216,863	139,435
Lapsed Balances	\$ 7,007	\$ 33,137	\$ 160,565
Monitoring Device Driving Permit Administration Fund - 453:			
Appropriations (Net After Transfers)	\$ 2,200,000	\$ 2,350,000	\$ 2,500,000
Expenditures	1,547,179	1,278,234	1,014,117
Lapsed Balances	\$ 652,821	\$ 1,071,766	\$ 1,485,883
SOS Identity Security & Theft Prevention Fund - 480:			
Appropriations (Net After Transfers)	\$ 12,500,000	\$ 13,500,000	\$ 10,000,000
Expenditures	11,947,964	10,573,345	5,353,606
Lapsed Balances	\$ 552,036	\$ 2,926,655	\$ 4,646,394
Motor Vehicle License Plate Fund - 622:			
New or replacement license plates:			
Appropriations (Net After Transfers)	\$ 15,100,000	\$ 14,640,000	\$ 14,386,300
Expenditures	9,890,152	13,844,377	12,944,202
Lapsed Balances	\$ 5,209,848	\$ 795,623	\$ 1,442,098
Secretary of State DUI Administration Fund - 732:			
Administrative hearings:			
Appropriations (Net After Transfers)	\$ 2,500,000	\$ 2,500,000	\$ 2,500,000
Expenditures	2,149,309	2,127,271	1,770,211
Lapsed Balances	\$ 350,691	\$ 372,729	\$ 729,789
Secretary of State Police DUI Fund - 758:			
Appropriations (Net After Transfers)	\$ 30,000	\$ 30,000	\$ 30,000
Expenditures	13,845	23,508	2,694
Lapsed Balances	\$ 16,155	\$ 6,492	\$ 27,306
Secretary of State Police Services Fund - 759:			
Appropriations (Net After Transfers)	\$ 600,000	\$ 700,000	\$ 800,000
Expenditures	279,829	389,503	484,936
Lapsed Balances	\$ 320,171	\$ 310,497	\$ 315,064

STATE OF ILLINOIS
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COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES - CONTINUED

	Fiscal Year		
	2017 P.A. 99-0524, 100-0021	2016 P.A. 99-0491, 99-0409	2015 P.A. 98-0679, 98-0675
MOTOR VEHICLE GROUP TOTALS:			
Appropriations (Net After Transfers)	\$ 199,876,737	\$ 197,480,500	\$ 202,944,598
Expenditures	164,946,039	178,190,266	186,672,128
Lapsed Balances	<u>\$ 34,930,698</u>	<u>\$ 19,290,234</u>	<u>\$ 16,272,470</u>
GRAND TOTALS - ALL GROUPS:			
Appropriations (Net After Transfers)	\$ 434,826,505	\$ 368,237,558	\$ 468,770,206
Expenditures	351,964,222	310,954,605	425,825,397
Reappropriations	1,756,149	1,874,416	-
Lapsed balances	<u>\$ 81,106,134</u>	<u>\$ 55,408,537</u>	<u>\$ 42,944,809</u>

Note: The comparative schedule of net appropriations, expenditures and lapsed balances does not include the Secretary of State's salary. The Secretary of State's salary is appropriated to the Comptroller's Office for payment and, for fiscal years 2015, 2016 & 2017, totaled \$156,600.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF EXPENDITURES BY MAJOR OBJECT CODE

	Year ended June 30,				Difference		
	2017	2016	2015	2017-2016	Percent	2016 - 2015	Percent
Operations:							
Personal services:							
Regular positions	\$ 166,857,941	\$ 171,179,101	\$ 182,124,181	\$ (4,321,160)	(2.52) %	\$ (10,945,080)	(6.01) %
Extra help	4,777,046	5,897,981	6,701,069	(1,120,935)	(19.01) %	(803,088)	(11.98) %
Employee retirement contributions	3,452,180	3,552,771	3,790,308	(100,591)	(2.83) %	(237,537)	(6.27) %
Group insurance	3,827,544	4,006,893	3,572,059	(179,349)	(4.48) %	434,834	12.17 %
State retirement fund contributions	4,966,582	5,274,226	5,125,422	(307,644)	(5.83) %	148,804	2.90 %
Social security contributions	12,400,106	12,782,603	13,615,151	(382,497)	(2.99) %	(832,548)	(6.11) %
Contractual services	8,188,464	17,871,336	38,654,291	(9,682,872)	(54.18) %	(20,782,955)	(53.77) %
Travel	18,800	17,663	376,898	1,137	6.44 %	(359,235)	(95.31) %
Printing	1,075,524	764,703	2,789,223	310,821	40.65 %	(2,024,520)	(72.58) %
Commodities	5,044,909	1,053,458	3,147,504	3,991,451	378.89 %	(2,094,046)	(66.53) %
Equipment	9,510	27,544	1,419,480	(18,034)	(65.47) %	(1,391,936)	(98.06) %
Electronic data processing	3,458,260	5,998,421	6,115,703	(2,540,161)	(42.35) %	(117,282)	(1.92) %
Telecommunications	391,002	403,434	2,591,520	(12,432)	(3.08) %	(2,188,086)	(84.43) %
Operation of automotive equipment	287,484	269,944	1,001,170	17,540	6.50 %	(731,226)	(73.04) %
Ordinary and contingent	59,015,158	-	-	59,015,158	100.00 %	-	- %
Family responsibility fund	198,691	183,378	83,654	15,313	8.35 %	99,724	119.21 %
Interagency grant fund - non appropriated	1,120,000	-	664,768	1,120,000	100.00 %	(664,768)	(100.00) %
Secretary of State grant fund	59,333	54,740	66,978	4,593	8.39 %	(12,238)	(18.27) %
Driver services administration fund	1,741,565	2,408,423	2,669,215	(666,858)	(27.69) %	(260,792)	(9.77) %
Securities investors' education fund	200,418	302,048	1,010,407	(101,630)	(33.65) %	(708,359)	(70.11) %
Alternate fuels fund	225,000	225,000	225,000	-	- %	-	- %
Indigent BAID fund	242,993	216,863	139,435	26,130	12.05 %	77,428	55.53 %
Monitoring Device Driving Permit (MDDP)	1,547,179	1,278,234	1,014,117	268,945	21.04 %	264,117	26.04 %
Secretary of State evidence fund	299	1,130	1,955	(831)	(73.54) %	(825)	(42.20) %
Motor vehicle license plate fund	9,890,152	13,844,377	12,944,202	(3,954,225)	(28.56) %	900,175	6.95 %
Secretary of State DUI administration fund	2,149,309	2,127,271	1,770,211	22,038	1.04 %	357,060	20.17 %
Secretary of State police DUI fund	13,845	23,508	2,694	(9,663)	(41.11) %	20,814	7.73 %

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF EXPENDITURES BY MAJOR OBJECT CODE

	Year ended June 30,				Difference	
	2017	2016	2015	2017-2016	2016-2015	Percent
Operations: - Continued						
Secretary of State police services fund	279,829	389,503	484,936	(109,674)	(95,433)	(19.68) %
Secretary of State ID security & theft prevention fund	11,947,964	10,573,345	5,553,606	1,374,619	5,219,739	97.50 %
Secretary of State federal projects fund	51,632	25,229	35,620	26,403	(10,391)	(29.17) %
State library fund	6,960	16,864	9,577	(9,904)	7,287	76.09 %
Secretary of State special services fund	13,474,743	13,390,290	11,005,645	84,453	2,384,645	21.67 %
	316,920,422	274,160,281	308,505,999	42,760,141	(34,345,718)	(11.13) %
Awards and Grants:						
Awards and grants	7,932,615	9,135,713	85,199,088	(1,203,098)	(76,063,375)	(89.28) %
Live and learn fund	20,977,054	20,908,368	21,073,251	68,686	(164,883)	(0.78) %
Literacy program	1,300,000	1,299,997	3,718,287	3	(2,418,290)	(65.04) %
Library service to blind	277,524	322,212	799,730	(44,688)	(477,518)	(59.71) %
	30,487,193	31,666,290	110,790,356	(1,179,097)	(79,124,066)	(71.42) %
Lump sums and other purposes:						
International registration	94,964,709	106,463,542	102,652,732	(11,498,833)	3,810,810	3.71 %
	125,451,902	138,129,832	213,443,088	(12,677,930)	(75,313,256)	(35.28) %
Permanent Improvements:						
Permanent improvements	4,380,298	3,762,130	4,668,937	618,168	(906,807)	(19.42) %
	4,380,298	3,762,130	4,668,937	618,168	(906,807)	(19.42) %
Refunds:						
Refunds	1,296,310	1,365,904	2,524,873	(69,594)	(1,158,969)	(45.90) %
Corporate franchise tax refund fund	262,367	423,391	220,790	(161,024)	202,601	91.76 %
Lump sums and other purposes:						
Safety responsibility - non approp	637,442	603,093	482,972	34,349	120,121	24.87 %
	2,196,119	2,392,388	3,228,635	(196,269)	(836,247)	(25.90) %
Total	\$ 448,948,741	\$ 418,444,631	\$ 529,846,659	\$ 30,504,110	\$ (111,402,028)	(21.03) %

Note: The Secretary of State's explanations for significant variance in expenditures are documented in the Analysis of Significant Variations in Expenditures later in this report.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF RECEIPTS, DISBURSEMENTS,
AND FUND BALANCES (CASH BASIS) - LOCALLY HELD FUNDS

For the Two Years Ended June 30, 2017
(Expressed in thousands)

	<u>2017</u>	<u>2016</u>
<u>Go-Back Fund - 1110</u>		
Balance, beginning of year	\$ -	\$ -
Receipts and additions		
License, Fees or Registration	29	25
Disbursements and deletions		
Refunds	<u>29</u>	<u>25</u>
Balance, end of year	\$ <u>-</u>	\$ <u>-</u>

The Go-Back Fund consists of an account maintained at Bank One.

Special Advance Fund - 1198

Balance, beginning of year	\$ 5	\$ 3
Receipts and additions		
Operating transfers in	<u>-</u>	<u>2</u>
Balance, end of year	\$ <u>5</u>	\$ <u>5</u>

The Special Advance Fund consists of funds on deposit with three banks throughout the State of Illinois.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF RECEIPTS, DISBURSEMENTS,
AND FUND BALANCES (CASH BASIS) - LOCALLY HELD FUNDS

For the Two Years Ended June 30, 2017
(Expressed in thousands)

	<u>2017</u>	<u>2016</u>
<u>Safe-Keeping Fund - 1344</u>		
Balance, beginning of year	\$ 509	\$ 385
Receipts and additions		
License, Fees or Registrations	122	231
Disbursements and deletions		
Refunds	<u>200</u>	<u>107</u>
Balance, end of year	<u>\$ 431</u>	<u>\$ 509</u>

The Safe-Keeping Fund consists of surety bonds and certificates of deposit held by the State Treasurer.

Antique Vehicle Show - 1390

Balance, beginning of year	\$ 9	\$ 16
Receipts and additions		
License, Fees or Registrations	7	4
Disbursements and deletions		
General government	<u>-</u>	<u>11</u>
Balance, end of year	<u>\$ 16</u>	<u>\$ 9</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF CHANGES IN STATE PROPERTY

Year Ended June 30, 2017

	Land and Land Improvements	Buildings and Building Improvements	Equipment	Capital Leases	Total
PROPERTY AND EQUIPMENT, July 1, 2016	\$ 7,087,247	\$ 506,446,006	\$ 75,456,405	\$ -	\$ 588,989,658
ADDITIONS					
Purchases	-	2,209,816	3,981,671	-	6,191,487
Library books	-	-	89,395	-	89,395
Previously omitted from inventory	-	-	62,926	-	62,926
Transfer from Capital Development Board and other agencies	-	309,905	250,610	-	560,515
Adjustments	-	-	168,387	-	168,387
Total additions	-	2,519,721	4,552,989	-	7,072,710

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

SCHEDULE OF CHANGES IN STATE PROPERTY - CONTINUED

Year Ended June 30, 2017

	Land and Land Improvements	Buildings and Building Improvements	Equipment	Capital Leases	Total
DELETIONS					
Transfers to Department of Central Management Services and other agencies	\$ -	\$ -	\$ 3,968,996	\$ -	\$ 3,968,996
Transfers to other agencies	-	-	604,899	-	604,899
Scrapped items	-	-	9,216	-	9,216
Other deletions	-	525,979	140,419	-	666,398
Total deletions	-	525,979	4,723,530	-	5,249,509
PROPERTY AND EQUIPMENT, June 30, 2017	\$ 7,087,247	\$ 508,439,748	\$ 75,285,864	\$ -	\$ 590,812,859

Note: This schedule was prepared from Secretary of State records and was reconciled to property reports submitted to the State Comptroller. This summary schedule was prepared using State property records required by the Illinois Administrative Code. The capitalization policy in the Code is different than the capitalization policy established by the Office of the Comptroller for financial reporting in accordance with generally accepted accounting principles.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
SCHEDULE OF CHANGES IN STATE PROPERTY

Year Ended June 30, 2016

	<u>Land and Land Improvements</u>	<u>Buildings and Building Improvements</u>	<u>Equipment</u>	<u>Capital Leases</u>	<u>Total</u>
PROPERTY AND EQUIPMENT, July 1, 2015	\$ 7,087,247	\$ 504,793,523	\$ 76,371,006	\$ -	\$ 588,251,776
ADDITIONS					
Purchases	-	701,848	1,653,576	-	2,355,424
Library books	-	-	97,775	-	97,775
Previously omitted from inventory	-	-	175,592	-	175,592
Transfer from Capital Development Board and other agencies	-	1,093,851	129,040	-	1,222,891
Adjustments	-	-	70,635	-	70,635
Total additions	-	1,795,699	2,126,618	-	3,922,317

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
SCHEDULE OF CHANGES IN STATE PROPERTY - CONTINUED

Year Ended June 30, 2016

	<u>Land and</u>	<u>Buildings and</u>	<u>Equipment</u>	<u>Capital</u>	<u>Total</u>
	<u>Improvements</u>	<u>Building</u>	<u>Improvements</u>	<u>Leases</u>	
DELETIONS					
Cost of equipment traded-in	\$ -	\$ -	\$ 168,499	\$ -	\$ 168,499
Transfers to Department of Central Management Services and other agencies	-	-	2,342,594	-	2,342,594
Transfers to other agencies	-	-	363,185	-	363,185
Scrapped items	-	-	47,271	-	47,271
Other deletions	-	143,216	119,670	-	262,886
Total deletions	<u>-</u>	<u>143,216</u>	<u>3,041,219</u>	<u>-</u>	<u>3,184,435</u>
PROPERTY AND EQUIPMENT, June 30, 2016	<u>\$ 7,087,247</u>	<u>\$ 506,446,006</u>	<u>\$ 75,456,405</u>	<u>\$ -</u>	<u>\$ 588,989,658</u>

Note: This schedule was prepared from Secretary of State records and was reconciled to property reports submitted to the State Comptroller. This summary schedule was prepared using State property records required by the Illinois Administrative Code. The capitalization policy in the Code is different than the capitalization policy established by the Office of the Comptroller for financial reporting in accordance with generally accepted accounting principles.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF CASH RECEIPTS - BY DEPARTMENT AND SOURCE
Years ended June 30

<u>Department and Source</u>	<u>2017</u>		<u>2016</u>		<u>2015</u>
Business Services					
Corporation taxes and fees	\$ 233,581,169		\$ 235,366,442		\$ 235,466,631
Uniform Limited Partnership	1,214,841		1,293,641		1,416,244
Uniform Commercial Code	3,101,071		3,153,564		3,060,443
Limited Liability Co. Act	86,338,514		83,587,812		77,676,098
Limited Liability Partnership	590,075		558,900		576,400
Securities					
License fees or registration	52,497,229		52,007,687		52,615,892
Library					
Copy fees and book dues	2,107		3,742		2,949
Federal government	4,608,294	1	5,971,442		6,403,966
Monetary gifts or bequests	4,475		1,850		2,700
Lost or damaged books	1,373		974		1,322
Archives					
Copy and recording fees	2,919		1,064		1,210
Federal government	45,050		24,500		17,500
Index					
License fees or registration	1,890,779		1,884,476		1,949,697
Subscriptions of publication sales	292		-		290
Lobbyist registration fines	110,500		87,800		96,800
Physical Services					
Parking fees	14,910		8,890		12,900
All departments					
Miscellaneous	85,425		28,082		162,300
Employee reimbursements	4,556		5,765		4,047
Check Write Off/Go Back	2,410		1,769		1,755
Other state agencies	1,120,000	2	-		-
Federal government	66,608		193,821		234,642
Unclaimed assets	344,083	3	64,311		129,715
Prior period adjustments	156,192		136,111		134,811
Driver Services					
Operators' license and related fees	107,914,209		108,930,563		110,492,923
Sale of individual driving record	25,977,048		26,775,408		25,671,018
Automotive dealer fees	4,327,665		4,348,925		4,327,400
Reinstate operators license	10,908,390		10,356,160		11,569,900
Sale of vehicle or driver data	920,591		1,026,351		1,049,019
Standard Illinois identification card	9,201,548		9,431,388		9,528,040
Federal government	-		-	4	1,641,311
Installation fees - Indigent BAID	239,250		215,086		126,803
Private organizations or individuals	775,238		874,716		849,918
Vehicle Services					
Commercial distribution fee	54,192,114		61,765,776	5	51,402,952

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE

COMPARATIVE SCHEDULE OF CASH RECEIPTS - BY DEPARTMENT AND SOURCE - CONTINUED
Years ended June 30

<u>Department and Source</u>	<u>2017</u>	<u>2016</u>	<u>2015</u>
Vehicle Services - Continued			
Short term trip permits	\$ 912,060	\$ 817,488	\$ 897,348
Personalized license plates	6,239,306	6,195,110	6,693,658
Certificate of title	272,001,298	275,736,391	272,387,469
Misplaced license plates and sticker:	544,875	611,425	501,825
Delinquent vehicle registration renewal	17,650,801	18,209,052	6 12,173,655
License fees or registration	1,573,554,465	1,549,155,381	1,530,465,393
SOS Police			
License fees or registration	438,826	428,002	411,788
Motor Vehicle Theft Prevention Council	-	-	7 664,926
Fines, penalties or violations	<u>115,703</u>	<u>206,041</u>	<u>232,603</u>
Total cash receipts per Comptroller	<u>\$ 2,471,696,259</u>	<u>\$ 2,459,465,906</u>	<u>\$ 2,421,056,261</u>

Note: The Secretary of State's explanations for significant variance in cash receipts are documented in the Analysis of Significant Variations in Receipts later in this report.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
Year Ended June 30, 2017

Department and Source	Multiple Department Sourced Funds	Vehicle Services Sourced Funds	Drivers Services Sourced Funds	Business Services Sourced Funds	Other Department Sourced Funds	ALL Funds Summary
Business Services						
Corporation taxes and fees	\$ 212,270,633	\$ -	\$ -	\$ 21,310,536	\$ -	233,581,169
Uniform Limited Partnership	1,214,841	-	-	-	-	1,214,841
Uniform Commercial Code	3,101,071	-	-	-	-	3,101,071
Limited Liability Co. Act	86,338,514	-	-	-	-	86,338,514
Limited Liability Partnership	-	-	-	590,075	-	590,075
Securities						
License fees or registration	40,586,626	-	-	-	11,910,603	52,497,229
Library						
Copy fees and book dues	-	-	-	-	2,107	2,107
Federal government	-	-	-	-	4,608,294	4,608,294
Monetary gift or bequests	-	-	-	-	4,475	4,475
Lost or damaged books	-	-	-	-	1,373	1,373
Archives						
Copy and recording fees	2,919	-	-	-	-	2,919
Federal government	45,050	-	-	-	-	45,050
Index						
License fees or registration	802,979	-	-	-	1,087,800	1,890,779
Subscriptions of publication sales	-	-	-	-	292	292
Lobbyist registration fines	-	-	-	-	110,500	110,500
Physical Services						
Parking fees	-	-	-	-	14,910	14,910
Driver Services						
Operators' license and related fees	83,436,329	-	24,477,880	-	-	107,914,209
Sale of individual driving record	25,977,048	-	-	-	-	25,977,048
Automotive dealer fees	4,093,726	-	233,939	-	-	4,327,665
Reinstatement operators license	9,026,650	-	1,881,740	-	-	10,908,390
Sale of vehicle or driver data	920,591	-	-	-	-	920,591
Standard Illinois ID card	9,201,548	-	-	-	-	9,201,548
Installation fees-Indigent BAID	-	-	239,250	-	-	239,250
Private organizations or individuals	-	-	775,238	-	-	775,238
Vehicle Services						
Commercial distribution fee	54,192,114	-	-	-	-	54,192,114
Short term trip permits	912,060	-	-	-	-	912,060
Personalized license plates	3,119,653	3,119,653	-	-	-	6,239,306
Certificate of title	249,277,979	22,723,319	-	-	-	272,001,298
Delinquent vehicle registration renewal	17,650,801	-	-	-	-	17,650,801
License fees or registration	1,438,961,386	134,593,079	-	-	-	1,573,554,465
Miscellaneous license plates and stickers	-	544,875	-	-	-	544,875

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
Year Ended June 30, 2017

	Multiple Department Sourced Funds	Vehicle Services Sourced Funds	Drivers Services Sourced Funds	Business Services Sourced Funds	Other Department Sourced Funds	ALL Funds Summary
SOS Police						
License fees or registration	\$ 438,826	\$ -	\$ -	\$ -	\$ -	438,826
Fines, penalties or violations	111,777	-	-	-	3,926	115,703
All departments						
Miscellaneous	85,425	-	-	-	-	85,425
Employee reimbursements	4,556	-	-	-	-	4,556
Check Write Off/Go Back	2,410	-	-	-	-	2,410
Other state agencies	1,120,000	-	-	-	-	1,120,000
Federal government	66,608	-	-	-	-	66,608
Unclaimed assets	344,083	-	-	-	-	344,083
Prior period adjustments	139,019	167	-	-	17,006	156,192
Total cash receipts per Comptroller	2,243,445,222	160,981,093	27,608,047	21,900,611	17,761,286	2,471,696,259
Receipts in transit to State Treasurer						
June 30, 2016	56,596,490	-	-	-	-	56,596,490
June 30, 2017	(59,080,637)	-	-	-	-	(59,080,637)
Receipts in transit to State Comptroller						
June 30, 2016	(169,731,061)	(6,585,107)	(2,423,778)	(820,969)	(144,412)	(179,705,327)
June 30, 2017	181,345,774	6,659,363	2,458,933	885,108	132,115	191,481,293
Prior period and other adjustments	(139,019)	(167)	-	-	(17,006)	(156,192)
Total Agency Receipts, fiscal year 2017	\$ 2,252,436,769	\$ 161,055,182	\$ 27,643,202	\$ 21,964,750	\$ 17,731,983	\$ 2,480,831,886

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
Year Ended June 30, 2017
Multiple Department Sourced Funds

Department and Source	General Revenue Fund #0001	Road Fund #0011	CDLIS/AAMVA Net Trust Fund #0109	SOS Federal Projects Fund #0176	SOS Inter-Agency Grant Fund #0295	Protest Fund #0401	SOS Special Services Fund #0483	Capital Projects Fund #0694	SOS Police Services Fund #0759	State Construction Account #0902	Total
Business Services											
Corporation taxes and fees	206,513,677	-	-	-	-	639,192	5,117,764	-	-	-	212,270,633
Uniform Limited Partnership	1,214,841	-	-	-	-	-	-	-	-	-	1,214,841
Uniform Commercial Code	1,325,026	-	-	-	-	-	1,776,045	-	-	-	3,101,071
Limited Liability Co. Act	86,338,514	-	-	-	-	-	-	-	-	-	86,338,514
Securities											
License fees or registration	40,586,626	-	-	-	-	-	-	-	-	-	40,586,626
Archives											
Copy and recording fees	2,919	-	-	-	-	-	-	-	-	-	2,919
Federal government	-	-	-	45,050	-	-	-	-	-	-	45,050
Index											
License fees or registration	799,824	-	-	-	-	-	3,155	-	-	-	802,979
Driver Services											
Operators' license and related fees	-	23,415,043	667,158	-	-	-	12,999,552	32,511,320	-	13,843,256	83,436,329
Sale of individual driving record	25,977,048	-	-	-	-	-	-	-	-	-	25,977,048
Automotive dealer fees	4,093,726	-	-	-	-	-	-	-	-	-	4,093,726
Reinstatement operators license	9,026,650	-	-	-	-	-	-	-	-	-	9,026,650
Sale of vehicle or driver data	920,591	-	-	-	-	-	-	-	-	-	920,591
Standard Illinois identification card	9,201,548	-	-	-	-	-	-	-	-	-	9,201,548
Vehicle Services											
Commercial distribution fee	54,192,114	-	-	-	-	-	-	-	-	-	54,192,114
Short term trip permits	912,060	-	-	-	-	-	-	-	-	-	912,060
Personalized license plates	3,119,653	-	-	-	-	-	-	-	-	-	3,119,653
Certificate of title	28,272,195	85,684,374	4,200	-	-	-	-	85,004,670	-	50,312,540	249,277,979
Delinquent vehicle register renewal	13,937,880	-	3,712,921	-	-	-	-	-	-	-	17,650,801
License fees or registration	-	779,178,421	-	-	-	-	-	197,741,415	329,072	461,712,478	1,438,961,386
SOS Police											
License fees or registration	-	245,645	-	-	-	-	-	-	48,908	144,273	438,826
Motor Vehicle Theft Prevention Council	-	-	-	-	-	-	-	-	-	-	-
Fines, penalties or violations	-	-	-	-	-	-	55,455	-	56,322	-	111,777

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
Year Ended June 30, 2017
Multiple Department Sourced Funds

Department and Source	General Revenue Fund #0001	Road Fund #0011	CDLIS/AAMVA Net Trust Fund #0109	SOS Federal Projects Fund #0176	SOS Inter-Agency Grant Fund #0295	Protest Fund #0401	SOS Special Services Fund #0483	Capital Projects Fund #0694	SOS Police Services Fund #0759	State Construction Account #0902	Total
All departments											
Miscellaneous											
Employee reimbursements	70,007						15,418				85,425
Check Write Off/Go Back	4,556										4,556
Other state agencies	2,410										2,410
Federal government				1,120,000							1,120,000
Unclaimed assets	344,083						66,608				66,608
Prior period adjustments	5,632						133,387				139,019
Total cash receipts per Comptroller	486,861,580	888,523,483	4,384,279	45,050	1,120,000	639,192	20,167,384	315,257,405	434,302	526,012,547	2,243,445,222
Receipts in transit to State Treasurer											
June 30, 2016	3,364,261	53,232,229									56,596,490
June 30, 2017	(3,317,571)	(55,763,066)									(59,080,637)
Receipts in transit to State Comptroller											
June 30, 2016	(9,575,202)	(50,941,417)	(313,195)				(1,479,106)	(29,681,225)		(77,740,916)	(169,731,061)
June 30, 2017	9,442,318	57,806,565	299,915				1,443,785	30,243,135		82,110,056	181,345,774
Prior period and other adjustments	(5,632)						(133,387)				(139,019)
Total Agency Receipts, fiscal year 2017	\$ 486,769,754	\$ 892,857,794	\$ 4,370,999	\$ 45,050	\$ 1,120,000	\$ 639,192	\$ 19,998,676	\$ 315,819,315	\$ 434,302	\$ 530,381,687	\$ 2,252,436,769

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
Year Ended June 30, 2017
Vehicle Services Sourced Funds

Fund/Fund #	Source	Personalized License Plates	Certificate of Title	Misplaced License Plates and Stickers	License Fees or Registration	Prior Period Adjustments	Total Cash Receipts per Comptroller		Receipts In Transit To Comptroller		Prior Period Adjustments	Total Agency Receipts, FY2017
							6/30/16	6/30/17	6/30/16	6/30/17		
		\$ -	\$ -	\$ -	\$ 6,930	\$ -	\$ 6,930	\$ -	\$ -	\$ -	\$ -	\$ 6,930
Alzheimer Awareness #0020		-	-	-	23,580	-	23,580	(10,380)	-	-	-	13,200
Illinois Nurses Fund #0028		-	-	-	1,155	-	1,155	(184)	286	-	-	1,257
Illinois Sheriff's Scholarship & Training #0032		-	-	-	16,843	-	16,843	(1,759)	2,106	-	-	17,190
Illinois State Police Memorial #034		-	-	-	863,100	-	863,100	(90,750)	86,575	-	-	858,925
State Parks #0040		-	-	-	112	-	112	(4)	12	-	-	120
Korean War Memorial #0164		-	-	-	327,775	-	327,775	(32,975)	32,200	-	-	327,000
Violence Prevention #0184		-	-	-	877,175	-	877,175	(426,433)	431,252	-	-	4,001,647
SOS Special License Plate #0185		-	-	-	-	-	-	(173,648)	172,723	-	-	1,840,836
Illinois Fisheries Management #0199		3,119,653	1,841,761	-	-	-	9,958,366	(958,644)	988,503	-	-	9,988,225
State Police Vehicle #0246		-	-	-	238,075	-	238,075	(28,625)	29,850	-	-	239,300
Illinois Habitat #0391		-	-	-	547,232	-	547,232	(42,147)	46,643	-	-	551,728
Common School #0412		-	-	-	272,300	-	272,300	(27,150)	26,550	-	-	271,700
State College & University Trust #0417		-	-	-	98,150	-	98,150	(9,500)	9,650	-	-	98,300
University Grant #0418		-	-	-	1,852,180	-	1,852,180	(549,860)	500,820	-	-	1,803,140
Alternate Fuels #0422		-	-	-	4,585	-	4,585	(437)	756	-	-	4,904
Rotary Club #0454		-	-	-	20,175	-	20,175	(1,675)	1,800	-	-	20,300
Autism Awareness #0458		-	-	-	13,657	-	13,657	(1,181)	1,329	-	-	13,805
Ovarian Cancer Awareness #0459		-	-	-	49,724	-	49,724	(4,631)	4,544	-	-	49,637
Illinois Professional Golfers Assoc Jr Golf #0463		-	-	-	19,600	-	19,600	(1,775)	1,725	-	-	19,550
Boy Scout and Girl Scout #0464		-	-	-	112,600	-	112,600	(10,275)	11,175	-	-	113,500
Agriculture in the Classroom #0466		-	-	-	3,009	-	3,009	(352)	273	-	-	2,930
Sheet Metal Workers International #0468		-	-	-	37,200	-	37,200	(3,450)	3,525	-	-	37,275
Support Our Troops #0496		-	-	-	17,650	-	17,650	(2,075)	1,625	-	-	17,200
Wildlife Prairie Park #0504		-	-	-	42,406	-	42,406	(5,502)	4,894	-	-	41,798
Master Mason #0508		-	-	-	565,515	-	565,515	(57,276)	58,953	-	-	567,192
Illinois Fire Fighters Memorial #0510		-	-	-	7,100	-	7,100	(825)	925	-	-	7,200
Illinois & Michigan Canal #0570		-	329,749	-	-	-	329,749	(30,107)	31,314	-	-	330,956
Off-Highway Vehicle Trail #0574		-	-	-	63,450	-	63,450	(5,650)	5,925	-	-	63,725
Illinois Pan Hellenic Trust #0584		-	-	-	28,275	-	28,275	(3,075)	3,050	-	-	28,250
Park District Youth Program #0585		-	-	-	2,989	-	2,989	(444)	365	-	-	2,910
Hospice #0586		-	-	-	1,476,350	-	1,476,350	(134,145)	152,875	-	-	1,495,080
Professional Sports Team #0587		-	-	-	-	-	-	-	-	-	-	-

STATE OF ILLINOIS
 OFFICE OF THE SECRETARY OF STATE
 RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
 Year Ended June 30, 2017
 Vehicle Services Sourced Funds

Fund/Fund #	Source	Personalized License Plates	Certificate of Title	Misplaced License Plates and Stickers	License Fees or Registration	Prior Period Adjustments	Total Cash Receipts per Comptroller	Receipts In Transit To Comptroller		Prior Period Adjustments	Total Agency Receipts, FY2017
								6/30/16	6/30/17		
September 11th #0588		\$ -	\$ -	\$ -	\$ 128,850	\$ -	\$ 128,850	\$ (12,750)	\$ 12,900	\$ -	\$ 129,000
Illinois Route 66 Heritage Project #0594		-	-	-	184,025	-	184,025	(18,000)	19,950	-	185,975
Police Memorial Committee #0598		-	-	-	191,008	-	191,008	(16,644)	17,957	-	192,321
Mammogram #0599		-	-	-	128,643	-	128,643	(12,644)	12,714	-	128,713
Motor Vehicle License Plate #0622		-	13,184,766	544,875	39,270	167	13,769,078	(1,226,010)	1,239,588	(167)	13,782,489
Special Olympics #0623		-	-	-	16,925	-	16,925	(2,260)	1,895	-	16,560
Chicago Police Memorial #0639		-	-	-	36,461	-	36,461	(3,427)	3,019	-	36,053
II Police Association #0655		-	-	-	100,584	-	100,584	(9,280)	9,785	-	101,089
Octave Chanute Aerospace #0662		-	-	-	15,319	-	15,319	(1,318)	1,873	-	15,874
Organ Donor Awareness #0716		-	-	-	161,545	-	161,545	(15,540)	15,961	-	161,966
Illinois Future Teachers Corps Scholarship #0753		-	-	-	49,692	-	49,692	(4,683)	5,264	-	50,273
Marine Corps Scholarship #0760		-	-	-	134,703	-	134,703	(12,205)	12,791	-	135,289
Pet Overpopulation #0764		-	-	-	161,275	-	161,275	(15,950)	16,725	-	162,050
International Brotherhood of Teamsters #0803		-	-	-	5,100	-	5,100	(300)	375	-	5,175
Share the Road #0854		-	-	-	41,688	-	41,688	(3,927)	4,511	-	42,272
Fraternal Order of Police #0867		-	-	-	16,812	-	16,812	(1,536)	1,694	-	16,970
International Registration Plan #0890		-	-	-	95,698,408	-	95,698,408	-	-	-	95,698,408
Ducks Unlimited #0918		-	-	-	18,825	-	18,825	(1,825)	2,200	-	19,200
Park and Conservation #0962		-	7,367,043	-	19,916,688	-	27,283,731	(2,611,874)	2,667,938	-	27,339,795
		\$ 3,119,653	\$ 22,723,319	\$ 544,875	\$ 134,593,079	\$ 167	\$ 160,981,093	\$ (6,585,107)	\$ 6,659,363	\$ (167)	\$ 161,055,182

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RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
Year Ended June 30, 2017
Drivers Services Sourced Funds

Fund	Source	Operators' License and Related Fees	Automotive Dealer Fees	Reinstatement Operators License	Installation Fees-Indigent BAIID	Private Organizations or Individuals	Total Cash Receipts per		Receipts in Transit		Total Agency Receipts, FY2017
							Comptroller	To Comptroller	6/30/16	6/30/17	
Drivers Education #0031		\$ 11,603,721	\$ -	\$ -	\$ -	\$ -	\$ 11,603,721	\$ (1,071,071)	\$ 1,057,829	\$ 11,590,479	
Driver Services Administration #0182		2,066,565	-	-	-	-	2,066,565	(100,561)	250,477	2,216,481	
Drunk & Drugged Driving Prevention #0276		-	1,761,770	-	-	-	1,761,770	(164,670)	115,210	1,712,310	
Family Responsibility #0322		-	119,970	-	-	-	119,970	(8,910)	10,110	121,170	
Motor Vehicle Review Board #0323		-	233,939	-	-	-	233,939	(3,610)	200	230,529	
Safety Responsibility #0436		-	-	-	-	757,583	757,583	(10,445)	-	747,138	
Indigent BAIID #0451		-	-	-	239,250	-	239,250	(19,048)	19,391	239,593	
Monitoring Device Driving Permit #0453		1,853,075	-	-	-	-	1,853,075	(147,030)	116,400	1,822,445	
Motor Carrier Safety Inspection #0649		2,225,060	-	-	-	-	2,225,060	(183,980)	189,100	2,230,180	
Secretary of State DUI Administration #0732		2,428,264	-	-	-	-	2,428,264	(181,190)	176,450	2,423,524	
Cycle Rider Safety Training #0863		4,301,195	-	-	-	-	4,301,195	(533,263)	523,766	4,291,698	
Secretary of State's Grant #0948		-	-	-	-	17,655	17,655	-	-	17,655	
		\$ 24,477,880	\$ 233,939	\$ 1,881,740	\$ 239,250	\$ 775,238	\$ 27,608,047	\$ (2,423,778)	\$ 2,458,933	\$ 27,643,202	

STATE OF ILLINOIS
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 RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
 Year Ended June 30, 2017
 Business Services Sourced Funds

Fund	Source	Corporation Taxes and Fees	Limited Liability Partnership	Total Cash Receipts per Comptroller	Receipts In Transit To Comptroller		Total Agency Receipts, FY2017
					6/30/16	6/30/17	
Registered Limited Liability Partnership #0167		\$ -	\$ 590,075	\$ 590,075	\$ (40,100)	\$ 48,600	\$ 598,575
Dept of Business Services Special Operations #0363		17,357,859	-	17,357,859	(652,200)	744,570	17,450,229
Corporate Franchise Tax Refund #0380		3,500,032	-	3,500,032	(114,124)	73,503	3,459,411
Charitable Trust Stabilization #0435		452,645	-	452,645	(14,545)	18,435	456,535
		<u>\$ 21,310,536</u>	<u>\$ 590,075</u>	<u>\$ 21,900,611</u>	<u>\$ (820,969)</u>	<u>\$ 885,108</u>	<u>\$ 21,964,750</u>

STATE OF ILLINOIS
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RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
Year Ended June 30, 2017
Other Department Sourced Funds

Department/Fund	Source	Index	Registration	Fines, Penalties and Seizures	Use Fees and Dues	Private Organizations or Individuals	Federal Government	Subscriptions of Publications Sales	Prior period Adjustments	Total Cash Receipts per Comptroller	Receipts In Transit To Comptroller		Prior Period Adjustments	Total Agency Receipts, FY2017
											6/30/16	6/30/17		
	\$		1,087,800	\$ 110,500	\$ -	\$ -	\$ -	292	-	\$ 1,198,300	\$ (17,350)	\$ 17,700	\$ -	\$ 1,198,650
			1,087,800	110,500	-	-	-	292	-	1,198,592	(17,350)	17,700	-	1,198,942
			27,286	-	-	-	-	-	-	27,286	(12,257)	300	-	15,329
			11,883,317	-	-	-	-	-	2,938	11,886,255	(114,805)	114,115	(2,938)	11,882,627
			11,910,603	-	-	-	-	-	2,938	11,913,541	(127,062)	114,415	(2,938)	11,897,956
			-	-	-	-	-	-	-	5,248	-	-	(5,248)	-
			-	-	-	-	4,608,294	-	8,820	4,617,114	-	-	(8,820)	4,608,294
			-	1,373	2,107	4,475	-	-	-	7,955	-	-	-	7,955
			-	1,373	2,107	4,475	4,608,294	-	14,068	4,630,317	-	-	(14,068)	4,616,249
			-	-	14,910	-	-	-	-	14,910	-	-	-	14,910
			-	3,926	-	-	-	-	-	3,926	-	-	-	3,926
			\$ 12,998,403	\$ 115,799	\$ 17,017	\$ 4,475	\$ 4,608,294	\$ 292	\$ 17,006	\$ 17,761,286	\$ (144,412)	\$ 132,115	\$ (17,006)	\$ 17,731,983

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RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
Year Ended June 30, 2016

Department and Source	Multiple Department Sourced Funds	Vehicle Services Sourced Funds	Drivers Services Sourced Funds	Business Services Sourced Funds	Other Department Sourced Funds	ALL Funds Summary
Business Services						
Corporation taxes and fees	\$ 214,080,596	\$ -	\$ -	\$ 21,285,846	\$ -	\$ 235,366,442
Uniform Limited Partnership	1,293,641	-	-	-	-	1,293,641
Uniform Commercial Code	3,153,564	-	-	-	-	3,153,564
Limited Liability Co. Act	83,587,812	-	-	-	-	83,587,812
Limited Liability Partnership	-	-	-	558,900	-	558,900
Securities						
License fees or registration	41,750,920	-	-	-	10,256,767	52,007,687
Library						
Copy fees and book dues	-	-	-	-	3,742	3,742
Federal government	-	-	-	-	5,971,442	5,971,442
Monetary gift or bequests	-	-	-	-	1,850	1,850
Lost or damaged books	-	-	-	-	974	974
Archives						
Copy and recording fees	1,064	-	-	-	-	1,064
Federal government	24,500	-	-	-	-	24,500
Index						
License fees or registration	805,676	-	-	-	1,078,800	1,884,476
Lobbyist registration fines	-	-	-	-	87,800	87,800
Physical Services						
Parking fees	-	-	-	-	8,890	8,890
Driver Services						
Operators' license and related fees	84,995,697	-	23,934,866	-	-	108,930,563
Sale of individual driving record	26,775,408	-	-	-	-	26,775,408
Automotive dealer fees	4,117,426	-	231,499	-	-	4,348,925
Reinstatement operators license	8,502,660	-	1,853,500	-	-	10,356,160
Sale of vehicle or driver data	1,026,351	-	-	-	-	1,026,351
Standard Illinois identification card	9,431,388	-	-	-	-	9,431,388
Installation fees-Indigent BAIID	-	-	215,086	-	-	215,086
Private organizations or individuals	-	-	874,716	-	-	874,716
Vehicle Services						
Commercial distribution fee	61,765,776	-	-	-	-	61,765,776
Short term trip permits	817,488	-	-	-	-	817,488
Personalized license plates	3,097,555	3,097,555	-	-	-	6,195,110
Certificate of title	252,860,375	22,876,016	-	-	-	275,736,391
Delinquent vehicle register renewal	18,209,052	-	-	-	-	18,209,052
License fees or registration	1,406,301,807	142,853,574	-	-	-	1,549,155,381
Misplaced license plates and stickers	-	611,425	-	-	-	611,425

STATE OF ILLINOIS
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RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
Year Ended June 30, 2016

Department and Source	Multiple Department Sourced Funds	Vehicle Services Sourced Funds	Drivers Services Sourced Funds	Business Services Sourced Funds	Other Department Sourced Funds	ALL Funds Summary
SOS Police	\$ 428,002	\$ -	\$ -	\$ -	\$ 10,072	428,002
License fees or registration	195,969	-	-	-	-	206,041
Fines, penalties or violations	-	-	-	-	-	-
All departments	28,082	-	-	-	-	28,082
Miscellaneous	5,765	-	-	-	-	5,765
Employee reimbursements	1,769	-	-	-	-	1,769
Check Write Off/GO Back	193,821	-	-	-	-	193,821
Federal government	64,311	-	-	-	-	64,311
Unclaimed assets	122,437	1,817	1,134	-	10,723	136,111
Prior period adjustments	2,223,638,912	169,440,387	27,110,801	21,844,746	17,431,060	2,459,465,906
Total cash receipts per Comptroller						
Receipts in transit to State Treasurer						
June 30, 2015	65,656,067	-	-	-	-	65,656,067
June 30, 2016	(56,596,490)	-	-	-	-	(56,596,490)
Receipts in transit to State Comptroller						
June 30, 2015	(205,860,271)	(6,616,400)	(2,625,234)	(749,675)	(177,014)	(216,028,594)
June 30, 2016	169,731,061	6,585,107	2,423,778	820,969	144,412	179,705,327
Prior period and other adjustments	(122,437)	(1,817)	(1,134)	-	(10,723)	(136,111)
Total Agency Receipts, fiscal year 2016	\$ 2,196,446,842	\$ 169,407,277	\$ 26,908,211	\$ 21,916,040	\$ 17,387,735	\$ 2,432,066,105

STATE OF ILLINOIS
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 Year Ended June 30, 2016
 Multiple Department Sourced Funds

Department and Source	General Revenue Fund #0001	Road Fund #0011	CDLIS/AAMVA Net Trust Fund #0109	SOS Federal Projects Fund #0176	Protest Fund #0401	SOS Special Services Fund #0483	Capital Projects Fund #0694	SOS Police Services Fund #0759	State Construction Account #0902	Total
Business Services										
Corporation taxes and fees	\$ 207,160,144	\$ -	\$ -	\$ -	\$ 1,743,892	\$ 5,176,560	\$ -	\$ -	\$ -	\$ 214,080,596
Uniform Limited Partnership	1,293,641	-	-	-	-	-	-	-	-	1,293,641
Uniform Commercial Code	1,338,514	-	-	-	-	1,815,050	-	-	-	3,153,564
Limited Liability Co. Act	83,587,812	-	-	-	-	-	-	-	-	83,587,812
Securities										
License fees or registration	41,750,920	-	-	-	-	-	-	-	-	41,750,920
Archives										
Copy and recording fees	1,064	-	-	-	-	-	-	-	-	1,064
Federal government	-	-	-	24,500	-	-	-	-	-	24,500
Index										
License fees or registration	803,606	-	-	-	-	2,070	-	-	-	805,676
Driver Services										
Operators' license and related fees	-	24,020,822	661,650	-	-	13,389,189	32,856,600	-	14,067,436	84,995,697
Sale of individual driving record	26,775,408	-	-	-	-	-	-	-	-	26,775,408
Automotive dealer fees	4,117,426	-	-	-	-	-	-	-	-	4,117,426
Reinstatement operators license	8,502,660	-	-	-	-	-	-	-	-	8,502,660
Sale of vehicle or driver data	1,026,351	-	-	-	-	-	-	-	-	1,026,351
Standard Illinois identification card	9,431,388	-	-	-	-	-	-	-	-	9,431,388
Vehicle Services										
Commercial distribution fee	61,765,776	-	-	-	-	-	-	-	-	61,765,776
Short term trip permits	817,488	-	-	-	-	-	-	-	-	817,488
Personalized license plates	3,097,555	-	-	-	-	-	-	-	-	3,097,555
Certificate of title	28,674,016	86,913,026	5,500	-	-	-	86,222,880	-	51,044,953	252,860,375
Delinquent vehicle register renewal	13,588,160	755,140,017	4,620,892	-	-	-	187,442,165	340,925	463,378,700	1,406,301,807
License fees or registration	-	-	-	-	-	-	-	-	-	-
SOS Police										
License fees or registration	-	260,805	-	-	-	-	-	14,021	153,176	428,002
Fines, penalties or violations	-	-	-	-	-	57,640	-	138,329	-	195,969
All departments										
Miscellaneous	28,082	-	-	-	-	-	-	-	-	28,082
Employee reimbursements	5,765	-	-	-	-	-	-	-	-	5,765
Check Write Off/Go Back	1,769	-	-	-	-	-	-	-	-	1,769

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 Year Ended June 30, 2016
 Multiple Department Sourced Funds

Department and Source	General Revenue Fund #0001	Road Fund #0011	CDLIS/AAMVA Net Trust Fund #0109	SOS Federal Projects Fund #0176	Protest Fund #0401	SOS Special Services Fund #0483	Capital Projects Fund #0694	SOS Police Services Fund #0759	State Construction Account #0902	Total
All departments - continued										
Federal government	64,311	-	-	-	-	193,821	-	-	-	193,821
Unclaimed assets	25,269	-	-	-	-	-	-	-	-	64,311
Prior period adjustments					97,168					122,437
Total cash receipts per Comptroller	493,857,125	866,334,670	5,288,042	24,500	1,743,892	20,731,498	306,521,645	493,275	528,644,265	2,223,638,912
Receipts in transit to State Treasurer										
June 30, 2015	4,129,728	61,526,339	-	-	-	-	-	-	-	65,656,067
June 30, 2016	(3,364,261)	(53,232,229)	-	-	-	-	-	-	-	(56,596,490)
Receipts in transit to State Comptroller										
June 30, 2015	(11,753,839)	(86,376,832)	(395,650)	-	-	(1,488,401)	(30,290,480)	(42,320)	(75,512,749)	(205,860,271)
June 30, 2016	9,575,202	50,941,417	313,195	-	-	1,479,106	29,681,225	-	77,740,916	169,731,061
Prior period and other adjustments	(25,269)	-	-	-	-	(97,168)	-	-	-	(122,437)
Total Agency Receipts, fiscal year 2016	\$ 492,418,686	\$ 839,193,365	\$ 5,205,587	\$ 24,500	\$ 1,743,892	\$ 20,625,035	\$ 305,912,390	\$ 450,955	\$ 530,872,432	\$ 2,196,446,842

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Year Ended June 30, 2016
Vehicle Services Sourced Funds

Fund/Fund #	Source	Personalized License Plates	Certificate of Title	Misplaced License and Stickers	License Fees or Registration	Prior Period Adjustments	Total Cash Receipts per Comptroller	Receipts in Transit To Comptroller		Prior Period Adjustments	Total Agency Receipts, FY2016
								6/30/15	6/30/16		
		\$ -	\$ -	\$ -	\$ 3,760	\$ -	\$ 3,760	\$ (340)	\$ -	\$ -	\$ 3,420
Alzheimer Awareness #0020		-	-	-	10,000	-	10,000	(1,320)	10,380	-	19,060
Illinois Nurses Fund #0028		-	-	-	745	-	745	(175)	184	-	754
Illinois Sheriff's Scholarship & Training #0032		-	-	-	13,171	-	13,171	(1,430)	1,759	-	13,500
Illinois State Police Memorial #0034		-	-	-	50	-	50	(50)	-	-	-
Illinois Police K-9 Memorial #038		-	-	-	855,000	-	855,000	(97,000)	90,750	-	848,750
State Parks #0040		-	-	-	275	-	275	(250)	-	-	25
National Wild Turkey #0058		-	-	-	750	-	750	(450)	-	-	300
Cumme Childhood Cancer #0066		-	-	-	88	-	88	(8)	4	-	84
Korean War Memorial #0164		-	-	-	328,875	-	328,875	(36,325)	32,975	-	325,525
Violence Prevention #0184		-	-	-	853,707	1,167	3,952,429	(473,690)	426,433	(1,167)	3,904,005
SOS Special License Plate #0185		3,097,555	-	-	50	-	50	(50)	-	-	-
Diabetes Research Checkoff #0198		-	1,868,170	-	-	-	1,868,170	(172,935)	173,648	-	1,868,883
Illinois Fisheries Management #0199		-	-	-	9,396,642	-	9,396,642	(987,519)	958,644	-	9,367,767
State Police Vehicle #0246		-	-	-	230,925	-	230,925	(31,000)	28,625	-	228,550
Illinois Habitat #0391		-	-	-	506,928	-	506,928	(39,335)	42,147	-	509,740
Common School #0412		-	-	-	260,600	-	260,600	(28,200)	27,150	-	259,550
State College & University Trust #0417		-	-	-	91,825	-	91,825	(9,650)	9,500	-	91,675
University Grant #0418		-	-	-	1,597,060	-	1,597,060	(466,340)	549,860	-	1,680,580
Alternate Fuels #0422		-	-	-	4,609	-	4,609	(539)	437	-	4,507
Rotary Club #0454		-	-	-	18,675	-	18,675	(1,850)	1,675	-	18,500
Autism Awareness #0458		-	-	-	12,782	-	12,782	(1,085)	1,181	-	12,878
Ovarian Cancer Awareness #0459		-	-	-	45,335	-	45,335	(4,062)	4,631	-	45,904
Illinois Professional Golfers Assoc Jr Golf #0463		-	-	-	17,525	-	17,525	(1,500)	1,775	-	17,800
Boy Scout and Girl Scout #0464		-	-	-	99,425	-	99,425	(9,700)	10,275	-	100,000
Agriculture in the Classroom #0466		-	-	-	2,710	-	2,710	(296)	352	-	2,766
Sheet Metal Workers International #0468		-	-	-	31,400	-	31,400	(3,150)	3,450	-	31,700
Support Our Troops #0496		-	-	-	16,975	-	16,975	(1,950)	2,075	-	17,100
Wildlife Prairie Park #0504		-	-	-	39,865	-	39,865	(5,655)	5,502	-	39,712
Master Mason #0508		-	-	-	543,408	-	543,408	(57,924)	57,276	-	542,760
Illinois Fire Fighters Memorial #0510		-	-	-	7,325	-	7,325	(825)	825	-	7,225
Illinois & Michigan Canal #0570		-	337,943	-	-	-	337,943	(32,300)	30,107	-	335,750
Off-Highway Vehicle Trail #0574		-	-	-	59,600	-	59,600	(5,950)	5,650	-	59,300
Illinois Pan Hellenic Trust #0584		-	-	-	27,585	-	27,585	(3,550)	3,075	-	27,110
Park District Youth Program #0585		-	-	-	2,538	-	2,538	(378)	444	-	2,604
Hosnice #0586		-	-	-	1,236,950	-	1,236,950	(128,675)	134,145	-	1,242,420
Professional Sports Team #0587		-	-	-	125,400	-	125,400	(13,100)	12,750	-	125,050
September 11th #0588		-	-	-	170,600	-	170,600	(18,525)	18,000	-	170,075
Illinois Route 66 Heritage Project #0594		-	-	-	-	-	-	-	-	-	-

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
Year Ended June 30, 2016
Vehicle Services Sourced Funds

Fund/Fund #	Source	Personalized License Plates	Certificate of Title	Misplaced License and Stickers	License Fees or Registration	Prior Period Adjustments	'Total Cash Receipts per Comptroller	Receipts in Transit		Prior Period Adjustments	Total Agency Receipts, FY2016
								To Comptroller	6/30/16		
\$		\$ -	\$ -	\$ -	\$ 183,352	\$ -	\$ 183,352	\$ (17,832)	\$ 16,644	\$ -	\$ 182,164
	Police Memorial Committee #0598	-	-	-	125,935	-	125,935	(3,261)	12,644	-	125,318
	Mammoth #0599	-	-	-	-	650	13,809,297	(1,208,936)	1,226,010	(650)	13,825,721
	Motor Vehicle License Plate #0622	-	13,197,222	611,425	-	-	-	(2,015)	2,260	-	16,465
	Social Olympics #0623	-	-	-	16,220	-	-	(3,091)	3,427	-	33,516
	Chicago Police Memorial #0639	-	-	-	33,180	-	-	(8,189)	9,280	-	90,502
	Ill Police Association #0655	-	-	-	89,411	-	-	(711)	1,318	-	9,485
	Octave Chanute AeonSpace #0662	-	-	-	8,878	-	-	(16,388)	15,540	-	159,755
	Oran Donor Awareness #0716	-	-	-	160,603	-	-	(5,431)	4,683	-	47,005
	Illinois Future Teachers Corps Scholarship #0753	-	-	-	47,753	-	-	(11,726)	12,205	-	120,224
	Illinois Future Teachers Corps Scholarship #0760	-	-	-	119,745	-	-	(16,650)	15,950	-	153,275
	Manne Corps Scholarship #0764	-	-	-	153,975	-	-	(60)	300	-	4,075
	Pet Overpopulation #0764	-	-	-	60	-	-	(250)	3,927	-	38,892
	Illinois EMS Memorial Scholarship and Training International Brotherhood of Teamsters #0803	-	-	-	4,025	-	-	(4,476)	1,536	-	15,126
	Share the Road #0854	-	-	-	39,441	-	-	(1,582)	-	-	106,433,632
	Fraternal Order of Police #0867	-	-	-	15,172	-	-	(1,875)	1,825	-	15,750
	International Registration Plan #0890	-	-	-	106,433,632	-	-	(2,666,746)	2,611,874	-	26,211,043
	Ducks Unlimited #0918	-	-	-	15,800	-	-	-	-	-	-
	Park and Conservation #0962	-	7,472,681	-	18,793,234	-	26,265,915	(6,616,400)	6,585,107	(1,817)	169,407,277
		\$ 3,097,355	\$ 22,876,016	\$ 611,425	\$ 142,853,574	\$ 1,817	\$ 169,440,387	\$ (6,616,400)	\$ 6,585,107	\$ (1,817)	\$ 169,407,277

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
Year Ended June 30, 2016
Drivers Services Sourced Funds

Fund	Source	Operators' License and Related Fees	Automotive Dealer Fees	Reinstatement Operators License	Installation Fees-		Private Organizations or Individuals	Prior Period Adjustments	Total Cash Receipts per Comptroller		Receipts In Transit To Comptroller		Prior Period Adjustments	Total Agency Receipts, FY2016
					Indigent BAID	Indigent			Comptroller	6/30/16	6/30/15	6/30/16		
Drivers Education #0031		\$ 11,766,702	-	-	-	\$ -	-	\$ -	\$ 11,766,702	\$ (1,098,098)	\$ 1,071,071	\$ -	\$ -	\$ 11,739,675
Driver Services Administration #0182		1,952,222	-	-	-	-	-	-	1,952,222	(226,875)	100,561	-	-	1,825,908
Drunk & Drugged Driving Prevention #0276		-	-	1,707,760	-	-	-	-	1,707,760	(160,040)	164,670	-	-	1,712,390
Family Responsibility #0322		-	-	145,740	-	-	-	-	145,740	(13,500)	8,910	-	-	141,150
Motor Vehicle Review Board #0323		-	231,499	-	-	-	-	-	231,499	(1,920)	3,610	-	-	233,189
Safety Responsibility #0436		-	-	-	-	822,626	-	-	822,626	(31,719)	10,445	-	-	801,352
Indigent BAID #0451		-	-	-	-	215,086	-	-	215,086	(13,702)	19,048	-	-	220,432
Monitoring Device Driving Permit #0453		1,799,060	-	-	-	-	-	-	1,799,060	(141,870)	147,030	-	-	1,804,220
Motor Carrier Safety Inspection #0649		2,206,000	-	-	-	-	-	-	2,206,000	(218,060)	183,980	-	-	2,171,920
Secretary of State DUI Administration #0732		2,114,430	-	-	-	-	-	1,134	2,115,564	(196,810)	181,190	(1,134)	-	2,098,810
Cycle Rider Safety Training #0863		4,096,452	-	-	-	-	-	-	4,096,452	(522,640)	533,263	-	-	4,107,075
Secretary of State's Grant #0948		-	-	-	-	-	52,090	-	52,090	-	-	-	-	52,090
		\$ 23,934,866	\$ 231,499	\$ 1,853,500	\$ -	\$ 215,086	\$ 874,716	\$ 1,134	\$ 27,110,801	\$ (2,625,234)	\$ 2,423,778	\$ (1,134)	\$ -	\$ 26,908,211

STATE OF ILLINOIS
 OFFICE OF THE SECRETARY OF STATE
 RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUEI
 Year Ended June 30, 2016
 Business Services Sourced Funds

Fund	Source	Corporation Taxes and Fees	Limited Liability Partnership	Total Cash Receipts per Comptroller	Receipts In Transit To Comptroller		Total Agency Receipts, FY2016
					6/30/15	6/30/16	
Registered Limited Liability Partnership #0167		\$ -	\$ 558,900	\$ 558,900	\$ (55,150)	\$ 40,100	\$ 543,850
Dept of Business Services Special Operations #0363		17,512,107	-	17,512,107	(599,400)	652,200	17,564,907
Corporate Franchise Tax Refund #0380		3,327,534	-	3,327,534	(81,310)	114,124	3,360,348
Charitable Trust Stabilization #0435		446,205	-	446,205	(13,815)	14,545	446,935
		<u>\$ 21,285,846</u>	<u>\$ 558,900</u>	<u>\$ 21,844,746</u>	<u>\$ (749,675)</u>	<u>\$ 820,969</u>	<u>\$ 21,916,040</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
RECONCILIATION SCHEDULE BY DEPARTMENT, SOURCE AND FUND TO DEPOSITS REMITTED TO THE STATE COMPTROLLER - CONTINUED
Year Ended June 30, 2016
Other Department Sourced Funds

Department/Fund	Source	License fees or Registration	Fines, Penalties and Seizures	Use Fees and Dues	Private Organizations or Individuals	Federal Government	Prior Period Adjustments	Total Cash Receipts per Comptroller		Receipts In Transit To Comptroller	Prior Period Adjustments	Total Agency Receipts, FY2016
								6/30/15	6/30/16			
Index												
Lobbyist Registration Administration #0044		\$ 1,078,800	\$ 87,800	\$ -	\$ -	\$ -	\$ -	\$ 1,166,600	\$ (19,650)	\$ 17,350	\$ -	\$ 1,164,300
Securities Investors Education #0292		54,615	-	-	-	-	-	54,615	(1,300)	12,257	-	65,572
Securities Audit & Enforcement #0362		10,202,152	-	-	-	-	-	10,202,152	(155,560)	114,805	-	10,161,397
		10,256,767	-	-	-	-	-	10,256,767	(156,860)	127,062	-	10,226,969
Library												
Live and Learn #0026		-	-	-	-	-	1,836	1,836	-	-	(1,836)	-
Library Services #0470		-	-	-	-	5,971,442	8,887	5,980,329	-	-	(8,887)	5,971,442
State Library #0471		-	974	3,742	1,850	-	-	6,566	-	-	-	6,566
		-	974	3,742	1,850	5,971,442	10,723	5,988,731	-	-	(10,723)	5,978,008
Physical Services												
State Parking Facility Maintenance #0782		-	-	8,890	-	-	-	8,890	-	-	-	8,890
Police												
Secretary of State Evidence #0374		-	60	-	-	-	-	60	-	-	-	60
Secretary of State Police DUI #0758		-	10,012	-	-	-	-	10,012	(504)	-	-	9,508
		-	10,072	-	-	-	-	10,072	(504)	-	-	9,568
Total		\$ 11,335,567	\$ 98,846	\$ 12,632	\$ 1,850	\$ 5,971,442	\$ 10,723	\$ 17,431,060	\$ (177,014)	\$ 144,412	\$ (10,723)	\$ 17,387,735

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
AGENCY FUNCTIONS AND PLANNING PROGRAM
For the Two Years ended June 30, 2017

(UNAUDITED)

Jesse White, Illinois' 37th Secretary of State, assumed the office on January 11, 1999. The principal office of the Office is in the State Capitol, 213 Capitol Building, Springfield, Illinois 62756. The Secretary also maintains administrative offices in Chicago, as well as facilities throughout the State for drivers' licenses and motor vehicle related services.

The diverse responsibilities of this Office include the registering and titling of motor vehicles; issuing drivers' licenses; scheduling and conducting formal and informal hearings on driving privileges and registration matters; administering Safety and Financial Responsibility laws; maintaining a vast data processing system which also assists law enforcement with immediate driver and motor vehicle information; and maintaining a Department of Police to enforce compliance with the provisions of the Illinois Vehicle Code, investigate code violations and maintain security at the Capitol Complex area in Springfield.

Other responsibilities include issuing corporate charters and certificates; registering dealers, brokers, agents, solicitors and investment advisors for securities; regulating the issuance of securities and enforcement of the Illinois Securities Law; retaining Uniform Commercial Code filings reflecting security interests of creditors financing businesses on the basis of secured transactions; oversight of the state library system through the Illinois State Library; store, maintain and preserve state historical documents and records at the Illinois State Archives and maintain the organ donation registry to match donors with recipient needs.

The Office is responsible for filing a significant number of legal and statutory documents, including Public Acts passed by the General Assembly, gubernatorial and amendatory vetoes, as well as registering trademarks, copyrights, notaries public and lobbyists. The Secretary serves as the Illinois State Librarian, State Archivist, and Ex-officio Clerk of the Court of Claims. The Office publishes the Rules of the Road, the Handbook of Illinois Government, the Illinois Blue Book, the Illinois Administrative Code, as well as other general educational materials for public distribution.

The Secretary of State has many departments that administer the various functions and duties prescribed by law and carry out the aforementioned responsibilities. The diversity of these responsibilities necessitates a comprehensive planning program.

The Office has a planning program, in which individual department goals are developed and updated at least annually. Upper management oversees the individual departments and is ultimately responsible for establishing Office-wide goals that will be accomplished if the individual department goals are met. The Office's plans consider resources, alternative approaches, and the feasibility of implementing programs pursuant to the plan and time schedule. External considerations include the needs of people of Illinois, legislative mandates and coordination with goals and objectives of other agencies for providing similar services.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2017

(UNAUDITED)

The following are explanations of variations in expenditures which exceed \$200,000 and 20% or more as compared to the prior year:

Operations:

1. Contractual service expenditures decreased \$20,782,955 (54%) between 2015 and 2016 and decreased \$9,682,872 (54%) between 2016 and 2017. These decreases are both due to the structure of the budgets for both fiscal years 2016 and 2017. In fiscal year 2016, spending was down significantly due to the budget impasse and the General Revenue Fund (GRF) not receiving an appropriation for non-personal services related costs. In fiscal year 2017, the Office was given an ordinary and contingent lump sum appropriation for GRF operations that could be used for fiscal year 2016 and fiscal year 2017 expenditures. A large portion of the contractual services expenditures for both fiscal years 2016 and 2017 were paid out of the fiscal year 2017 ordinary and contingent lump sum appropriation.
2. Travel expenditures decreased \$359,235 (95%) between 2015 and 2016. This decrease is due to the structure of the budgets for both fiscal years 2016 and 2017 as explained in item #1 above. The Office received no GRF appropriation for travel for fiscal years 2016 and 2017, versus approximately \$450,000 in previous years. All GRF travel expenditures for fiscal year 2016 and 2017 were paid from the 2017 ordinary and contingent lump sum appropriation.
3. Printing expenditures decreased \$2,024,520 (73%) between 2015 and 2016. This decrease is due to the structure of the budgets for both fiscal years 2016 and 2017 as explained in item #1 above. The Office received no GRF appropriation for printing for fiscal years 2016 and 2017, versus approximately \$1.8 million in previous years. Printing expenditures increased \$310,821 (41%) between 2016 and 2017. This increase was due to the printing of temporary registration permits.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES - CONTINUED
For the Two Years Ended June 30, 2017

(UNAUDITED)

Operations - Continued

4. Commodities expenditures decreased \$2,094,046 (67%) between fiscal years 2015 and 2016. This decrease is due to the structure of the budgets for both fiscal years 2016 and 2017 as explained in item #1 above. The Office received no GRF appropriation for commodities for fiscal years 2016 and 2017, versus approximately \$1.1 million in previous years. The decrease was partially due to the budget situation and also a decrease in the demand of license plates purchased by customers. Commodities expenditures increased \$3,991,451 (379%) between fiscal years 2016 and 2017. This increase is due to an increase in the number of license plates purchased out of the CDLIS/AAMVANet/NMVTIS Fund, which received new revenues and an expanded statutory use.
5. Equipment expenditures decreased by \$1,391,936 (98%) between fiscal years 2015 and 2016. This decrease is due to the structure of the budgets for both fiscal years 2016 and 2017 as explained in item #1 above. The Office received no GRF appropriation for equipment for fiscal years 2016 and 2017. All GRF equipment expenditures were made from the 2017 ordinary and contingent lump sum appropriation.
6. Electronic data processing expenditures decreased \$2,540,161 (42%) between 2016 and 2017. This decrease was due to availability of funds in the Secretary of State Special Services Fund.
7. Telecommunications expenditures decreased \$2,188,086 (84%) between fiscal years 2015 and 2016. This decrease is due to the structure of the budgets for both fiscal years 2016 and 2017 as explained in item #1 above. The Office received no GRF appropriation for telecommunications for fiscal years 2016 and 2017. All GRF telecommunications expenditures were made from the 2017 ordinary and contingent lump sum appropriation.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES - CONTINUED
For the Two Years Ended June 30, 2017

(UNAUDITED)

Operations - Continued

8. Operation of automotive equipment expenditures decreased \$731,226 (73%) between fiscal years 2015 and 2016. This decrease is due to the structure of the budgets for both fiscal years 2016 and 2017 as explained in item #1 above. The Office received no GRF appropriation for operation of automotive equipment for fiscal years 2016 and 2017. All GRF operation of automotive equipment expenditures were made from the 2017 ordinary and contingent lump sum appropriation.
9. Ordinary and Contingent Lump Sum expenditures increased \$59,015,158 (100%) between 2016 and 2017. As explained above, the vast majority of the Office's GRF operations was appropriated as a lump sum in the FY17 Stop Gap Budget, which covered both fiscal year 2016 and 2017 spending.
10. Interagency Grant Fund – non appropriated expenditures decreased \$664,768 (100%) between fiscal years 2015 and 2016 and increased by \$1,120,000 (100%) between fiscal years 2016 and 2017. The decrease in fiscal year 2016 was due to the elimination of the grant for calendar year 2015 from the Illinois Motor Vehicle Theft Prevention Council for the SOS Special Audit Team. The increase in fiscal year 2017 was due to grants received in 2017 from the Department of Commerce and Economic Opportunity for boiler upgrades and a grant from the State Board of Elections to help offset the cost of postage on the 2016 Constitutional Amendment mailing.
11. Drivers Services Administration Fund expenditures decreased \$666,858 (28%) between fiscal years 2016 and 2017. Due to the driver's license renewal cycle, there was a decrease in revenues going into the fund.
12. Securities Investors' Education Fund expenditures decreased \$708,359 (70%) between fiscal years 2015 and 2016. Expenditures related to a media advertising campaign executed in 2015 were \$815,607. There were no media related expenditures in 2016.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES - CONTINUED
For the Two Years Ended June 30, 2017

(UNAUDITED)

Operations - Continued

13. Monitoring Device Driving Permit Fund expenditures increased \$264,117 (26%) between fiscal years 2015 and 2016 and increased \$268,945 (21%) between 2016 and 2017. The majority of the increase was due to a reallocation of resources related to personal service related expenditures.
14. Motor Vehicle License Plate Fund expenditures decreased \$3,954,225 (29%) between fiscal years 2016 and 2017. The majority of the decrease was due to a decrease in license plate expenditures out of this fund and an increase on another special fund referenced in #4 above.
15. Secretary of State DUI Administration Fund expenditures increased \$357,060 (20%) between fiscal years 2015 and 2016. The majority of the increase was due to a reallocation of resources related to personal service related expenditures.
16. Secretary of State ID Security and Theft Prevention Fund expenditures increased \$5,219,739 (98%) between fiscal years 2015 and 2016. The increase was due to a new Digital Drivers License project as well as postage costs.
17. Secretary of State Special Services Fund expenditures increased \$2,384,645 (22%) between fiscal years 2015 and 2016. The majority of the increase was due to a reallocation of resources related to personal service related expenditures.

Awards and Grants

18. Awards and grants decreased \$76,063,375 (89%) between fiscal years 2015 and 2016. Part of the decrease is due to a one-time \$35 million grant that was awarded in fiscal year 2015 to provide school construction grants. Due to the budget impasse, the Office did not receive any appropriations for General Revenue Fund grants or reappropriations for the library construction grant program. Both of those account for a reduction of approximately \$43 million in expenses.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES - CONTINUED
For the Two Years Ended June 30, 2017

(UNAUDITED)

Awards and Grants - Continued

19. Secretary of State Literacy program expenditures decreased \$2,418,290 (65%) between fiscal years 2015 and 2016. Due to the budget impasse, the Office did not receive any appropriations for General Revenue Fund grants in fiscal years 2016 or 2017 for the literacy program grants.
20. Secretary of State Library service to the blind expenditures decreased \$477,518 (60%) between fiscal years 2015 and 2016. Due to the budget impasse, the Office did not receive any appropriations for General Revenue Fund grants in fiscal years 2016 or 2017 for the Blind and Physically Handicapped Program grants.
21. Refunds decreased \$1,158,969 (46%) between fiscal years 2015 and 2016. This decrease is a combination of the change in Benefit Access procedure for the Circuit Breaker program and the successful efforts of the Commercial farm Truck auditing staff in obtaining a more accurate amount for the assessment. The more accurate assessments keep the need to seek refunds down to a minimum.
22. Corporate Franchise Tax Refund fund increase \$202,601 (92%) between fiscal years 2015 and 2016. The Office issues refunds upon request when corporations pay more franchise taxes than required. These refunds are dependent on refund requests and have a tendency to fluctuate from year to year.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS
For the Two Years Ended June 30, 2017

(UNAUDITED)

1. Federal government - Library: The decrease in revenue between fiscal years 2016 and 2017 was due to a change in funding for the regional Talking Book and Braille Services. Those programs were consolidated to the Illinois State Library beginning 7/1/16 and no longer funded.
2. Other state agencies: The Office received a grant from the Illinois Department of Commerce and Economic Opportunity to upgrade the boiler at the power plant, as well as a grant from the State Board of Election to offset postage costs of mailing the constitutional amendment. These were one-time grants that concluded in fiscal year 2017.
3. Unclaimed assets: The increase in fiscal year 2017 was due to more money being claimed from different customers for checks that were not cashed or claimed by the Treasurer's Office.
4. Federal government - Drivers Services: The Office received a one-time grant from the U.S. Department of Homeland Security in fiscal year 2015 to update the Office's facilities with fiber optic cable to ensure faster and more secure transfer of information related to the REAL ID mandates of the federal government. There were no such federally funded projects in fiscal year 2016, which resulted in the decrease.
5. Commercial Distribution Fee - Vehicle Services: The increase in fiscal year 2016 is due to an increase in large trucks registering in Illinois.
6. Delinquent vehicle registration renewal: Due to the budget impasse in fiscal year 2016, the Office did not have the funds to mail out vehicle registration renewal forms beginning in November 2015 through the end of fiscal year 2016, resulting in an increase in delinquent vehicle registration fees.
7. Motor Vehicle Theft Prevention Council: These are grant receipts from the Motor Vehicle Theft Prevention Council (Council). The \$664,926 (100%) decrease in fiscal year 2016 was due to the Council not renewing the calendar year 2015 grant with the Office.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT LAPSE SPENDING
Year Ended June 30, 2017

(UNAUDITED)

	Expenditures July 1 to September 30	Expenditures 15 Months Ended September 30	% of Total Expenditures
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The State of Illinois, Office of the Secretary of State's explanations of lapse period expenditures which exceed \$ 10,000 and 20% of total fiscal year expenditures are detailed below:

GENERAL REVENUE FUND - 001

EXECUTIVE GROUP

ORD & CONT EXP 01-19009800	\$ 14,500,255	\$ 49,615,951	29.22%
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The balance of the fiscal year 2017 budget was not finalized until July 2017, so any spending after January 1 had to be made in lapse period.

MOTOR VEHICLE GROUP

CONTRACTUAL SERVICES 51-12000000	157,001	1,575,853	9.96%
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Significant lapse period expenditures were payroll costs for contractual employees.

ROAD FUND - 011

GENERAL ADMINISTRATIVE GROUP

REFUNDS - 10-99000000	328,834	1,296,310	25.37%
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Due to the budget impasse in fiscal year 2016, the refund schedule was slightly delayed. In addition, some 4th quarter refunds were issued in lapse period.

LIVE AND LEARN FUND - 026

GENERAL ADMINISTRATIVE GROUP

ANNUAL EQUALIZATION GRANTS - 10-44000500	1,679,742	16,002,766	10.50%
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Due to the timing of the grant process, these grants were not awarded until lapse period.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT LAPSE SPENDING - CONTINUED
Year Ended June 30, 2017

(UNAUDITED)

	Expenditures July 1 to September 30	Expenditures 15 Months Ended September 30	% of Total Expenditures
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SECRETARY OF STATE FEDERAL PROJECTS FUND - 176

MOTOR VEHICLE GROUP

LUMP SUM 51-19000000	\$ 10,386	\$ 51,632	20.12%
Significant lapse period expenditures include the final payments for a federal archives grant.			

SECRETARY OF STATE SPECIAL LICENSE PLATE FUND - 185

GENERAL ADMINISTRATIVE GROUP

GRANTS VETERANS HOME LIBRARIES 10-44000000	(10,901)	39,099	-27.88%
The lapse period included a refund of a grant.			

MOTOR VEHICLE GROUP

PRINTING 51-13020000	588,000	1,029,000	57.14%
Significant lapse period expenditures were for the printing of temporary registration permits.			

INDINGENT BAID FUND - 451

MOTOR VEHICLE GROUP

REIMBURSE IGNITION INTERLOCK 51-19000000	60,758	242,993	25.00%
Grant money is based on the annual percentage distribution of license plates sold and resolution was not finalized until late in the fiscal year, which caused expenditures to be paid in lapse period.			

MASTER MASON FUND - 508

GENERAL ADMINISTRATIVE GROUP

GRANTS TO IL MASONIC FOUNDATIONS 10-44000000	15,963	43,000	37.12%
Grant money is based on the annual percentage distribution of license plates sold and resolution was not finalized until late in the fiscal year, which caused expenditures to be paid in lapse period.			

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT LAPSE SPENDING - CONTINUED
Year Ended June 30, 2017

(UNAUDITED)

	Expenditures July 1 to September 30	Expenditures 15 Months Ended September 30	% of Total Expenditures
MAMMOGRAM FUND - 599			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
GRANTS BREAST CANCER RESEARCH 10-44000000 Grant money is issued quarterly and the last payment was not paid until lapse period.	\$ 42,092	\$ 130,000	32.38%
MOTOR VEHICLE LICENSE PLATE FUND - 622			
<u>MOTOR VEHICLE GROUP</u>			
NEW & REPLACE LICENSE PLATES 51-19100000 Significant lapse period expenditures were for the purchase of license plates and the printing of vehicle registration stickers and handicapped placards.	1,232,914	9,890,152	12.47%
BUDGET STABILIZATION FUND - 686			
<u>EXECUTIVE GROUP</u>			
ORD & CONT EXP 01-19009800 The balance of the fiscal year 2017 budget was not finalized until July 2017, so any spending after January 1 had to be made in lapse period.	3,688,279	9,399,207	39.24%
ORGAN DONOR AWARENESS - 716			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
AWARDS & GRANTS 10-44000000 Grant money is based on the annual percentage distribution of license plates sold and resolution was not finalized until late in the fiscal year, which caused expenditures to be paid in lapse period.	161,546	161,546	100.00%

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT LAPSE SPENDING - CONTINUED
Year Ended June 30, 2017

(UNAUDITED)

	Expenditures July 1 to September 30	Expenditures 15 Months Ended September 30	% of Total Expenditures
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SECRETARY OF STATE POLICE SERVICE FUND - 759

MOTOR VEHICLE GROUP

SECRETARY OF STATE POLICE 51-19000000	\$ 74,775	\$ 279,829	26.72%
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Significant lapse period expenditures include the purchase of police vehicles, repair of an xray machine and employee travel.

STATE PARKING FACILITY MAINTENANCE FUND - 782

GENERAL ADMINISTRATIVE GROUP

MAINTAIN PARKING FACILITIES 10-66000000	41,208	150,920	27.30%
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Due to the time requirements from the beginning of the approval process through the letting of a bid and start and completion of work, many projects are not completed until late in the fiscal year, causing extensive expenditures in the lapse period. One of the large projects was the striping and sealing of the Chicago North parking lot.

SOS GRANT FUND - 948

GENERAL ADMINISTRATIVE GROUP

LUMP SUM 10-19000000	26,469	59,333	44.61%
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Significant laspe period expenditures were for the purchase of office supplies.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT LAPSE SPENDING
Year Ended June 30, 2016

(UNAUDITED)

	Expenditures July 1 to August 31	Expenditures 14 Months Ended August 31	% of Total Expenditures
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The State of Illinois, Office of the Secretary of State's explanations of lapse period expenditures which exceed \$ 10,000 and 20% of total fiscal year expenditures are detailed below:

GENERAL REVENUE FUND - 001

MOTOR VEHICLE GROUP

CONTRACTUAL SERVICES 51-12000000	\$ 2,151,861	\$ 9,991,139	21.54%
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Due to the delay in the passage of the Stop Gap budget, many expenses had to occur after July 1. The significant expenses made in lapse period include rent payments, utility and janitorial services for drivers facilities and other utility payments.

ROAD FUND - 011

GENERAL ADMINISTRATIVE GROUP

REFUNDS 10-99000000	269,725	1,365,904	19.75%
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Due to the budget impasse, the Road Fund appropriation was not received until December, so the issuance of refunds got pushed back. In addition, some 4th quarter refunds were issued in lapse period.

ACCESSIBLE ELECTRONIC INFORMATION SERVICE -106

GENERAL ADMINISTRATIVE GROUP

AWARDS & GRANTS 10-49000500	13,323	53,293	25.00%
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This grant pays for Newsline for the National Federation of the Blind quarterly and the last quarter bill was not received until lapse period.

CDLIS/AAMVANET/NMVTIS TRUST FUND - 109

MOTOR VEHICLE GROUP

CONTRACTUAL SERVICES 51-12000000	259,564	2,009,493	12.92%
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The last quarterly bill for monthly network charges assessed to Illinois by AAMVAnet, Inc., for motor vehicle and driver records data and information was not received until lapse period. The last bill for an automated testing project was also not received until lapse period.

SECRETARY OF STATE SPECIAL LICENSE PLATE FUND - 185

MOTOR VEHICLE GROUP

PRINTING 51-13020000	231,614	737,777	31.39%
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The remaining bills for vehicle registration stickers were not received until lapse period.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT LAPSE SPENDING - CONTINUED
Year Ended June 30, 2016

(UNAUDITED)

	Expenditures July 1 to August 31	Expenditures 14 Months Ended August 31	% of Total Expenditures
SECURITIES INVESTORS EDUCATION FUND - 292			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
DANGERS OF SECURITY FRAUD 10-19000000 Significant laspe period expenditures were for an investor education media buy.	\$ 103,369	\$ 302,048	34.22%
INDIGENT BAIID FUND - 451			
<u>MOTOR VEHICLE GROUP</u>			
REIMBURSE IGNITION INTERLOCK 51-19000000 This fund reimburses BAIID providers for those devices installed free of charge for individuals deemed indigent. Payments are made quarterly based on prior quarter activity, thus 4th quarter payments were approximately 25% of total payments made.	57,261	216,863	26.40%
DRIVERS LICENSE SECURITY & THEFT PREVENTION - 480			
<u>MOTOR VEHICLE GROUP</u>			
NEW AND REPLACE LICENSE PLATES 51-19000000 Significant laspe period expenditures were for the digital drivers license project and the automated testing project.	2,223,147	10,573,345	21.03%
MASTER MASON FUND - 508			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
AWARDS & GRANTS 10-44000000 Grant money is issued quarterly and the last payment was not paid until lapse period.	11,251	41,251	27.27%
IL ROUTE 66 HERITAGE PROJECT - 594			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
AWARDS & GRANTS 10-44000000 Grant money is based on the annual percentage distribution of license plates sold and resolution was not finalized until late in the fiscal year, which caused expenditures to be paid in lapse period.	20,000	170,000	11.76%

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF SIGNIFICANT LAPSE SPENDING - CONTINUED
Year Ended June 30, 2016

(UNAUDITED)

	Expenditures July 1 to August 31	Expenditures 14 Months Ended August 31	% of Total Expenditures
POLICE MEMORIAL COMMITTEE - 598			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
AWARDS & GRANTS 10-44000000 Grant money is issued quarterly and the last payment was not paid until lapse period.	\$ 43,750	\$ 175,000	25.00%
MAMMOGRAM FUND - 599			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
AWARDS & GRANTS 10-44000000 Grant money is issued quarterly and the last payment was not paid until lapse period.	32,500	130,000	25.00%
MOTOR VEHICLE LICENSE PLATE FUND - 622			
<u>MOTOR VEHICLE GROUP</u>			
NEW & REPLACEMENT LICENSE PLATES 51-19100000 Significant lapse period expenditures were for the purchase of license plates.	1,792,872	13,844,377	12.95%
ORGAN DONOR AWARENESS - 716			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
AWARDS & GRANTS 10-49000000 Grant money is based on the annual percentage distribution of license plates sold and resolution was not finalized until late in the fiscal year, which caused expenditures to be paid in lapse period.	50,636	160,636	31.52%
INTERNATIONAL BROTHERHOOD OF TEAMSTERS FUND - 803			
<u>GENERAL ADMINISTRATIVE GROUP</u>			
AWARDS & GRANTS 10-44000000 Grant money is based on the annual percentage distribution of license plates sold and resolution was not finalized until late in the fiscal year, which caused expenditures to be paid in lapse period.	35,000	35,000	100.00%

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF ACCOUNTS RECEIVABLE
For the Two Years Ended June 30, 2017

(UNAUDITED)

The Office's receivable balances consist of amounts due from the federal government for grant programs, corporate franchise taxes receivable, and various fees due the Office (and also includes amounts owed to the Office for checks returned for same.)

Accounts receivable balances (amounts expressed in thousands) at June 30, 2017 and 2016 are summarized as follows:

	2017	2016
Taxes receivable	\$ 1,553	\$ 1,748
Due from federal government	78	18
Other receivables	4,219	4,867
	<u>\$ 5,850</u>	<u>\$ 6,633</u>

An aging schedule of the Office's accounts receivable (amounts expressed in thousands) at June 30, 2017 is presented below:

<u>Fund</u>	<u>Current</u>	<u>1 to 30 Days</u>	<u>31 to 90 Days</u>	<u>91 to 180 Days</u>	<u>181 to 365 Days</u>	<u>Over 365 Days</u>	<u>Total</u>
General Revenue	\$1,215	\$84	\$29	\$45	\$44	\$2,010	\$3,427
Road	1,434	194	78	75	93	1,581	3,455
Drivers' Education	-	1	-	-	1	7	9
Secretary of State Federal Projects	5	-	-	-	-	-	5
Securities Audit & Enforcement	-	10	220	10	147	3,019	3,406
Indigent BAIID	22	-	-	-	-	-	22
Library Service	71	-	-	-	-	-	71
Secretary of State Special Services	2	-	-	-	-	-	2
Secretary of State IRP	-	-	-	-	-	15	15
State Library Trust	-	-	-	-	-	1	1
State Construction Account	841	114	46	44	55	929	2,029
Total	\$3,590	\$403	\$373	\$174	\$340	\$7,562	12,442
Accrued interest receivable - all funds							17
Allowance for uncollectible accounts							(6,609)
Net Receivable							<u>\$5,850</u>

The Office utilizes internal collection techniques, the Comptroller Offset System, the Attorney General and private collection agencies to collect unpaid receivables.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
BUDGET IMPASSE DISCLOSURES
For the Two Years Ended June 30, 2017

(UNAUDITED)

Payment of Fiscal Year 2016 Costs in Future Fiscal Years

Article 74 of Public Act 99-0524 authorized the Office to pay Fiscal Year 2016 costs using the Office's Fiscal Year 2017 appropriations for non-payroll expenditures. The following chart shows how the Office expended its Fiscal Year 2017 appropriations to cover its Fiscal Year 2016 costs:

FISCAL YEAR 2016 INVOICES PAID OUT OF FISCAL YEAR 2017

<u>Fund #</u>	<u>Fund Name</u>	<u>Number</u>	<u>Amount</u>
001	General Revenue Fund	8,331	\$15,414,613

In addition, the Office's personnel services costs accounted for within the General Revenue Fund (001) during Fiscal Year 2016 were paid pursuant to a court order. The Office did not have any outstanding invoices from Fiscal Year 2016 unpaid after the closure of the Fiscal Year 2016 Lapse Period on August 31, 2016.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**ALTERNATIVE FINANCING IN LIEU OF APPROPRIATIONS AND
PROGRAMS TO ADDRESS UNTIMELY PAYMENTS TO VENDORS**
For the Two Years Ended June 30, 2017

(UNAUDITED)

Transactions Involving the Illinois Finance Authority

The Office and its vendors did not participate in alternative financing in lieu of enacted appropriations involving the Illinois Finance Authority during Fiscal Year 2016 and Fiscal Year 2017.

Transactions Involving the Vendor Payment Program and Vendor Support Initiative Program

Vendor Payment Program (VPP)

In 2011, the State of Illinois (State) created the voluntary VPP in response to delays in payments for goods and services provided by the State's vendors arising from the State's cash flow deficit. The Department of Central Management Services (CMS) approved third party financing entities to act as "qualified purchasers" of accounts receivable from "participating vendors" who had submitted invoices which had not been paid by the State.

A participating vendor's accounts receivable is eligible for the VPP if it is from an invoice unpaid by the State that is (1) not for medical assistance payments (2) where 90 days have passed since the proper bill date, which is (3) entitled to interest under the State Prompt Payment Act (Act) (30 ILCS 540) and (4) free of any liens or encumbrances. Under the terms of an agreement between a qualified purchaser and the participating vendor, the participating vendor receives payment for 90% of the receivable balance. The participating vendor, in turn, assigns its rights to the interest due under the Act to the qualified purchaser. When the State Comptroller ultimately pays the invoice, the participating vendor receives the remaining 10% due (less any offsets).

Notably, while CMS approved the qualified purchasers and provided information to vendors about VPP, neither CMS nor the State are parties to the assignment agreements.

The following chart shows the Office's VPP transactions for Fiscal Year 2016 and Fiscal Year 2017:

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**ALTERNATIVE FINANCING IN LIEU OF APPROPRIATIONS AND
PROGRAMS TO ADDRESS UNTIMELY PAYMENTS TO VENDORS - CONTINUED**
For the Two Years Ended June 30, 2017

(UNAUDITED)

Transactions Involving the Vendor Payment Program and Vendor Support Initiative Program -
Continued

VPP Transactions

	Fiscal Year Ended June 30,	
	<u>2017</u>	<u>2016</u>
Dollar Value	\$ 1,738,713	\$ 47,878
Vendors	14	5
Invoices	109	7

Vendor Support Initiative Program (VSI)

During Fiscal Year 2016, the State created the voluntary VSI as an alternative to the VPP for cases where the Office lacked an enacted appropriation or other legal expenditure authority to present invoices to the State Comptroller for payment. The VSI operated similarly to the VPP, although the Office was required to determine a participating vendor's invoice (1) would have met the requirements of the VPP and (2) provided the proper bill date of invoice prior to the qualified purchaser and participating vendor entering into an agreement where the participating vendor received payment for 90% of the receivable balance. The participating vendor, in turn, assigned its rights to the interest due under the Act to the qualified purchaser. After the State Comptroller ultimately paid/pays the invoice after the Office receives/received appropriations or other legal expenditure authority to pay the invoice, the participating vendor receives/received the remaining 10% due (less any offsets).

During Fiscal Year 2016, the Office had no vendors participate in VSI.

During Fiscal Year 2017, the Office had 2 vendors participate in VSI for 5 invoices, totaling \$607,032. A summary of the amount of transactions by qualified purchaser follows:

Transactions by Qualified Purchaser

<u>Qualified Purchaser</u>	<u>Total</u>
VAP Funding Master Trust II	\$ 607,032

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
INTEREST COSTS ON FISCAL YEAR 2016 AND 2017 INVOICES
For the Two Years Ended June 30, 2017

(UNAUDITED)

Prompt Payment Interest Costs

The Office plans to calculate prompt payment interest due to vendors under the State Prompt Payment Act (Act) (30 ILCS 540) using the vendor's proper bill date through the date the State Comptroller issues a warrant to the vendor, regardless of when and if an enacted appropriation existed during Fiscal Year 2016 and Fiscal Year 2017. The Act (30 ILCS 540/3-2) and the Illinois Administrative Code (74 Ill. Admin. Code 900.100) require interest to be paid under a daily simple interest rate of .033% (1% over a 30-day period) for every day elapsed following the 90th day after a vendor submits an eligible proper bill to the Office. The following chart shows the Office's prompt payment interest incurred related to Fiscal Year 2016 and Fiscal Year 2017 invoices, calculated on the accrual basis of accounting, through June 30, 2016 and June 30, 2017, by fund:

Prompt Payment Interest Incurred
Year Ended June 30, 2016

<u>Fund #</u>	<u>Fund Name</u>	<u>Invoices</u>	<u>Vendors</u>	<u>Dollar Value</u>
001	General Revenue Fund	2,536	516	\$210,262
026	Live & Learn Fund	6	4	371
044	Lobbyist Registration Fund	8	2	256
109	CDLIS/AAMVANet/NVMTIS Trust	15	2	1,631
141	Capital Development Fund	8	4	3,690
185	SOS Special License Plate Fund	50	2	4,487
362	Securities Audit & Enforcement Fund	23	15	5,111
363	Business Serv. Special Operations Fund	13	8	907
453	MDDP Administration Fund	3	1	152
471	State Library Fund	3	3	70
480	SOS ID Security & Theft Prevention	4	3	49
483	SOS Special Services Fund	87	50	57,020
622	Motor Vehicle License Plate Fund	46	22	10,241
732	DUI Administration Fund	75	46	1,731
758	SOS Police DUI Fund	17	8	256
759	SOS Police Services Fund	78	38	2,462
782	State Parking Facility Maintenance	2	2	127
948	SOS Grant Fund	25	13	1,037
963	Vehicle Inspection Fund	1	1	71
		<u>3,000</u>	<u>740</u>	<u>\$299,931</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
INTEREST COSTS ON FISCAL YEAR 2016 AND 2017 INVOICES - CONTINUED
For the Two Years Ended June 30, 2017

(UNAUDITED)

Year Ended June 30, 2017

<u>Fund #</u>	<u>Fund Name</u>	<u>Invoices</u>	<u>Vendors</u>	<u>Dollar Value</u>
001	General Revenue Fund	3,432	657	\$456,372
686	Budget Stabilization Fund	133	63	7,578
		<u>3,565</u>	<u>720</u>	<u>\$463,950</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF PERSONAL SERVICES

(UNAUDITED)

The following schedules show the average number of Illinois Office of the Secretary of State employees for the years ended June 30, 2017, 2016, and 2015, and the expenditures from the personal service accounts for those fiscal years. Extra help consists of student workers, intermittent employees and other temporary help.

	Average Number of Employees For the Year Ended June 30					
	2017		2016		2015	
	Regular Positions	Extra Help	Regular Positions	Extra Help	Regular Positions	Extra Help
Operating Groups:						
Executive group	86	-	88	2	87	3
General administrative group	885	21	901	26	945	28
Motor vehicle group	2,318	193	2,370	243	2,421	279
Total average number of employees	<u>3,289</u>	<u>214</u>	<u>3,359</u>	<u>271</u>	<u>3,453</u>	<u>310</u>
	Expenditures Year Ended June 30 (Including lapse period expenditures)					
	2017		2016		2015	
Operating Groups:						
Executive group	\$	6,059,258	\$	5,956,060	\$	5,940,229
General administrative group		59,456,595		60,789,954		63,587,923
Motor vehicle group		116,983,641		121,775,318		128,195,784
Total expenditures	<u>\$</u>	<u>182,499,494</u>	<u>\$</u>	<u>188,521,332</u>	<u>\$</u>	<u>197,723,936</u>
Detailed object code:						
Regular positions	\$	166,857,940	\$	171,179,101	\$	182,124,181
Extra help		4,777,046		5,897,980		6,701,069
Lump sum		10,864,508		11,444,251		8,898,686
Total expenditures	<u>\$</u>	<u>182,499,494</u>	<u>\$</u>	<u>188,521,332</u>	<u>\$</u>	<u>197,723,936</u>

See Analysis of Significant Variations in Expenditures for explanations of significant changes in personal service expenditures.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**ACCOUNTING REVENUE SALES ANALYSIS AS REPORTED
BY VEHICLE SERVICES AND DRIVERS SERVICES**

(UNAUDITED)

	Year ended June 30,		
	2017	2016	2015
Vehicle Services - vehicle registration and related fees			
Passenger car licenses	\$ 746,106,500	\$ 707,809,730	\$ 722,811,534
Taxi and livery licenses	1,393,266	1,493,301	1,569,741
Motorcycle licenses	89,950	87,250	92,650
Dealers - license and certificates of authority	1,294,094	1,289,527	1,248,871
M and U plates	40,413	50,001	52,710
<u>Trucks</u>			
Flat rate licenses	214,206,054	206,925,440	207,065,489
Mileage licenses	7,462,646	7,652,653	8,129,278
Mileage tax - current and prior	941,418	796,619	694,034
Conservation licenses	114,192	132,248	142,984
Other (Truckster and Farm)	40,750	49,500	61,250
International reciprocity plates	252,487,595	253,661,589	244,730,882
<u>Trailers</u>			
Regular licenses	34,398,471	32,109,165	32,992,780
Mileage licenses	215,500	231,140	243,785
Farm licenses	1,395,417	1,631,272	1,381,200
<u>Installments</u>			
Flat rate licenses	3,040,818	3,143,159	3,046,707
Bus licenses	30,790	264,460	34,800
Recreational vehicle and trailer licenses	5,554,776	5,029,163	5,519,610
Transfers	8,846,106	9,301,787	9,349,607
IRP - Transfers	248,175	299,925	268,500
Farm trailers and trucks	25,842,623	25,483,576	26,862,079

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
**ACCOUNTING REVENUE SALES ANALYSIS AS REPORTED
BY VEHICLE SERVICES AND DRIVERS SERVICES - CONTINUED**

(UNAUDITED)

	Year ended June 30,		
	2017	2016	2015
Reclass			
Regular and calendar	\$ 2,363,206	\$ 1,219,792	\$ 2,204,583
Titles	185,380,359	188,123,815	186,480,133
Other - misc. account, trip permits, etc.	<u>397,132,535</u>	<u>383,242,353</u>	<u>383,745,393</u>
Total motor vehicles sales	1,888,625,654	1,830,027,465	1,838,728,600
Total drivers' services - sales and related fees	<u>152,690,717</u>	<u>154,433,354</u>	<u>157,498,866</u>
Total vehicle, drivers' and related fees sales	<u>\$ 2,041,316,371</u>	<u>\$ 1,984,460,819</u>	<u>\$ 1,996,227,466</u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ACCOUNTING REVENUE SALES ANALYSIS AS REPORTED
BY VEHICLE SERVICES AND DRIVERS SERVICES
VOLUME OF TRANSACTIONS

(UNAUDITED)

	Year ended June 30,		
	2017	2016	2015
Vehicle Services - vehicle registration and related fees			
Passenger car licenses	11,979,647	11,553,250	11,776,386
Taxi and livery licenses	19,804	21,171	22,238
Motorcycle licenses	1,891	1,837	2,052
Dealers - license and certificates of authority	74,259	74,590	71,420
M and U plates	6,038	6,552	6,598
<u>Trucks</u>			
Flat rate licenses	1,583,635	1,488,295	1,523,313
Mileage licenses	10,658	11,099	12,077
Mileage tax - current and prior	598	554	533
Conservation licenses	234	272	293
Other (Truckster and Farm)	164	205	246
International reciprocity plates	173,298	181,809	373,175
<u>Trailers</u>			
Regular licenses	755,866	680,535	759,238
Mileage licenses	545	577	662
Farm licenses	10,499	30,740	11,063
<u>Installments</u>			
Flat rate licenses	2,941	3,733	3,536
Bus licenses	3,184	26,621	3,568
Recreational vehicle and trailer licenses	121,699	108,691	120,930
Transfers	585,907	617,428	621,346
IRP - Transfers	16,545	19,995	17,900
Farm trailers and trucks	27,854	28,204	29,722

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ACCOUNTING REVENUE SALES ANALYSIS AS REPORTED
BY VEHICLE SERVICES AND DRIVERS SERVICES
VOLUME OF TRANSACTIONS - CONTINUED

(UNAUDITED)

	Year ended June 30,		
	2017	2016	2015
Reclass			
Regular and calendar	27,018	23,736	23,463
Titles	3,426,284	3,322,374	3,326,418
Other - misc. account, trip permits, etc.	<u>33,555,474</u>	<u>31,706,811</u>	<u>32,240,540</u>
Total motor vehicles sales	52,384,042	49,909,079	50,946,717
Total drivers' services - sales and related fees	<u>16,024,167</u>	<u>16,427,799</u>	<u>16,284,651</u>
Total vehicle, drivers' and related fees sales	<u><u>68,408,209</u></u>	<u><u>66,336,878</u></u>	<u><u>67,231,368</u></u>

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF CASH RECEIPTS
For the Two Years Ended June 30, 2017

(UNAUDITED)

Department of Business Services

The second largest cash collecting department in the Office of the Secretary of State is the Department of Business Services, whose primary responsibility is administration of the Business Corporation Act. A total of 343,100 domestic and foreign business corporations filed returns in calendar year 2016 under the Act reporting information which permits the Department to calculate the franchise tax, the largest source of receipts for this Department.

Franchise taxes and licenses fees are collected annually from each corporation. In addition, franchise taxes are payable to the Office upon stock issuances and on increases in paid-in capital. As a result, franchise tax collections fluctuate from year to year.

Other collections by the Department of Business Services include filing fees required with applications for articles of incorporation, certificates of authority of foreign corporations, articles of amendments, articles of merger or consolidation, certificates of withdrawal, reservations of name and filing fees collected from approximately 94,300 not-for-profit corporations.

The following table indicates the collections in the General Revenue Fund by the Department of Business Services for corporation taxes and fees in each of the last five fiscal years and the number of business corporations registered in Illinois in each of the last five calendar years:

Year ended <u>June 30</u>	Receipts <u>Amount</u>	<u>Number of Registered Corporations</u>			
		Year ended <u>December 31</u>	<u>Total</u>	<u>Domestic</u>	<u>Foreign</u>
2017	\$ 206,513,677	2016	383,671	350,110	33,561
2016	207,160,144	2015	381,367	347,665	33,702
2015	202,575,418	2014	376,815	343,225	33,590
2014	210,939,556	2013	373,685	340,430	33,255
2013	204,570,388	2012	374,335	341,347	32,988

The Uniform Commercial Code Division of the Department of Business Services collects fees through its administration of records involving security interests and commercial transactions as directed by the Uniform Commercial Code. A summary of the collections in the General Revenue Fund for the last five years follows:

Year ended <u>June 30</u>	<u>Amount</u>
2017	\$ 1,325,026
2016	1,338,514
2015	1,305,803
2014	1,367,675
2013	1,283,314

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
ANALYSIS OF CASH RECEIPTS - CONTINUED

For the Two Years Ended June 30, 2017

(UNAUDITED)

Securities Department

The Securities Department administers the Illinois Securities Law of 1953 which relates to:

1. Registration of securities in the State of Illinois before public offering or sale.
2. Registration of securities dealers and salespersons.
3. Registration of investment advisers and investment adviser representatives.

Registration of securities for sale in the State and the related receipts fluctuate depending upon general economic and stock market conditions. A summary of collections in the General Revenue Fund during each of the five fiscal years ended June 30 and the value of debt and equity securities in each of the five years ended December 31 follows:

Receipts		Equity Securities Registered	
Year ended June 30	Amount	Year ended June 30	Amount
2017	\$ 40,586,626	2017	152,456,433,232
2016	41,750,920	2016	165,346,769,379
2015	39,085,750	2015	181,260,820,557
2014	40,270,515	2014	150,072,783,726
2013	37,928,910	2013	8,134,971,771,495

Index Department

The Index Department collects fees for the registration of notaries public, issuance of certified copies, judges' fees, and photostats. A summary of receipts for the last five fiscal years follows:

Year ended June 30	Amount
2017	\$ 799,825
2016	803,606
2015	829,750
2014	792,165
2013	677,074

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
SERVICE EFFORTS AND ACCOMPLISHMENTS

For the Two Years Ended June 30, 2017

(UNAUDITED)

The second-largest constitutional office in the State of Illinois - the Office of the Secretary of State - is visited by more Illinois residents than any other State Government agency. The Office generates in excess of \$2 billion annually, contributing nearly \$750 million a year toward the state highway construction fund.

Despite the budget impasse for fiscal years 2016 and 2017 - when the state operated with little to no appropriation - the Secretary of State continued to maintain all services for the constituents of Illinois.

Driver's License Enhancements

During 2016-2017, the Secretary of State's Office made numerous changes to improve upon the design and issuing process of Illinois' driver's licenses (DLs) and identification (ID) cards.

Due to the passage of the federal Real ID Act, the Secretary of State was required to make significant changes to the driver's license program. The REAL ID Act requires all states to develop similar standards to ensure the security of the DL/ID card document and the identity of the license holder.

The first change was the face of the DL/ID card. Many additional security features were added to the face of the document making it more difficult to use for fraudulent purposes.

In addition to the physical changes to the DL/ID card, the Secretary of State implemented a new process for issuing DLs/ID cards. Applicants visiting Driver Services facilities are no longer instantly issued a new permanent DL/ID card at the end of the application process. Instead, applicants are given a temporary paper DL/ID. Meanwhile, the applicant's information is sent to a centralized, secure location within the State of Illinois. This centralized process allows the office to perform additional background checks on the applicant prior to issuance and provides an additional security check for the mailing address provided by the applicant. As of September 2017, more than 4.7 million DLs/ID cards have been manufactured and mailed using the central issuance process.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
SERVICE EFFORTS AND ACCOMPLISHMENTS - CONTINUED
For the Two Years Ended June 30, 2017

(UNAUDITED)

License Plate Replacement Program

In January 2017, the Secretary of State's Office instituted a license plate replacement program, which requires the Office to replace license plates on a continuous rotation every 10 years.

The replacement program initially focuses on the oldest license plates currently on the roadways, which were manufactured in 2000-2001. During calendar year 2017, those plates will be replaced. In calendar year 2018, license plates manufactured in 2002-2003 will be replaced. Subsequent years will bring the replacement of all license plates until the year 2027, when the process will recommence with the replacement of the plates manufactured in 2017.

Organ/Tissue Donor Program

Illinois continues to maintain one of the most successful organ donor programs in the nation. With more than 6.3 million donors on the registry, Illinois constantly explores unique opportunities to bring awareness to the program through partnerships with organizations, corporations and professional sports teams. The Office spearheaded a new law, effective January 2018, that will allow 16 and 17 year-olds to join the registry.

Traffic Safety Leadership

Illinois is a leader in fighting drunk and distracted driving on our roadways. The Secretary of State has been highly successful introducing initiatives to combat these problems, and vehicle fatalities continue to decline in the State. The Office continues its efforts by introducing legislative proposals each year related to traffic safety

Advisory Committee on Traffic Safety

The Secretary of State continues to utilize the Advisory Council on Traffic Safety (Council), established in 2012, to continually address traffic safety. The Council is comprised of leaders in the traffic safety community, including Secretary White; legislators; the National Highway Traffic Safety Administration; the Northwestern School for Public Safety; the Illinois Department of Transportation; the Illinois State Police; and the National Safety Council.

STATE OF ILLINOIS
OFFICE OF THE SECRETARY OF STATE
SERVICE EFFORTS AND ACCOMPLISHMENTS - CONTINUED
For the Two Years Ended June 30, 2017

(UNAUDITED)

Traffic Safety Outreach

The Secretary of State continues to be a leading advocate for traffic safety in the State of Illinois. The Office publishes numerous books, brochures, videos and public service announcements on a wide variety of subjects including, but not limited to, DUI, distracted driving and child passenger safety. Many of the videos and public service announcements have won national awards for excellence. The Office also offers a Speaker's Bureau that provides speakers to schools and civic organizations. The Secretary of State's Speakers Bureau hosts numerous presentations every week on a variety of topics, including: bike and pedestrian safety, school bus safety, child passenger safety, and teen and adult DUI.

Honoring Veterans

Secretary White, a veteran himself, proposed that the Illinois State Library develop a program to honor Illinois veterans for their contributions by capturing their oral histories so their experiences will be forever preserved. The program, entitled the Illinois Veterans' History Project, has obtained more than 5,500 narratives from veterans and their family members. In addition, qualifying veterans may now obtain a DL/ID card with the word "VETERAN" displayed on the card. In 2017, the office spearheaded a law allowing for an exemption from the knowledge written test requirement to obtain a Commercial Driver License (CDL) for qualified current or former military personnel who participated in military heavy-vehicle programs.