

**STATE OF ILLINOIS
SUPREME COURT
(Including Appellate Court Districts 1-5
and the Illinois Courts Commission)**

**COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2005**

Performed as Special Assistant Auditors for
The Auditor General, State of Illinois

STATE OF ILLINOIS
SUPREME COURT

COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2005

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STATE OF ILLINOIS
SUPREME COURT

AGENCY OFFICIALS

| | |
|-------------------|---|
| Cynthia Y. Cobbs | Director of the Administrative Office of the Illinois Courts (AOIC) |
| Juleann Hornyak | Clerk of the Supreme Court |
| Brenda Larison | Supreme Court Librarian |
| Brian Ervin | Supreme Court Reporter of Decisions |
| Carolyn Taitt | Supreme Court Marshal |
| Douglas Smith | Supreme Court Legal Research Director |
| John M. Bracco | Supreme Court Chief Internal Auditor |
| Marcia Meis | Chief Legal Counsel |
| Kathleen L. Gazda | Assistant Director – Administrative Services Division, AOIC |
| Douglas D. Bowie | Assistant Director – Court Services Division, AOIC |
| Lisa Jacobs | Assistant Director – Judicial Education Division, AOIC |
| Skip Robertson | Assistant Director – Judicial Management Information Services Division, AOIC |
| James R. Grundel | Assistant Director – Probation Services Division, AOIC |

Agency offices are located at:

Supreme Court

Supreme Court Building
Second and Capitol
Springfield, IL 62701

Administrative Offices of the Illinois Courts

222 North LaSalle Street
Chicago, IL 60601

3101 Old Jacksonville Road
Springfield, IL 62704

Supreme Court Reporter of Decisions

207 West Jefferson
Bloomington, IL 61702

Appellate Court Offices

First Appellate District
160 North LaSalle Street
Chicago, IL 60601

Second Appellate District
55 Symphony Way
Elgin, IL 60120

Third Appellate District
1004 Columbus Street
Ottawa, IL 61350

Fourth Appellate District
201 West Monroe Street
Springfield, IL 62704

Fifth Appellate District
14th & Main Street
Mt. Vernon, IL 62864

Mandatory Arbitration Offices

County courthouses or arbitration centers located throughout the State

Circuit Court and Associate Judges, Court Reporters, and Miscellaneous Court Personnel

County courthouse and judicial facilities located throughout the State



Administrative Office of the Illinois Courts

Cynthia Y. Cobbs, Esq.
Director

222 North LaSalle Street, 13th Floor
Chicago, IL 60601
Phone (312) 793-3250
Fax (312) 793-1335

3101 Old Jacksonville Road
Springfield, IL 62704-6488
Phone (217) 558-4490
Fax (217) 785-3905

March 3, 2006

Sikich Gardner & Co., LLP
Certified Public Accountants
1000 Churchill Road
Springfield, Illinois 62702

Dear Ladies and Gentlemen:

Please allow this letter to serve as the **Management Assertion Letter** as it relates to your audit of the judicial branch. From the onset of your attestation engagement, commencing July 1, 2005, to the end of fieldwork, we offer the following assertions:

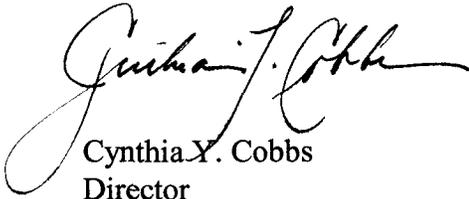
We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grants that could have a material effect on the operations of the judicial branch. We are responsible for, and have established and maintained an effective system of internal controls over, compliance requirements. We have also performed an evaluation of the judicial branch's compliance with the following assertions during the two-year period ended June 30, 2005. Based on this evaluation, we assert that during the years ended June 30, 2005 and June 30, 2004, the judicial branch has materially complied with the assertions identified herein.

- A. The judicial branch has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The judicial branch has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligations, expenditure, receipt or use.
- C. The judicial branch has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.

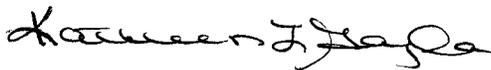
Letter to Sikich Gardner & Co., LLP
March 3, 2006
Page 2

- D. The State revenues and receipts collected by the judicial branch are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. The money or negotiable securities or similar assets handled by the judicial branch on behalf of the State or held in trust by the judicial branch have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate and in accordance with law.

Sincerely,



Cynthia Y. Cobbs
Director



Kathleen L. Gazda
Assistant Director, Administrative Services Division



Marcia M. Meis
Senior Attorney

STATE OF ILLINOIS
SUPREME COURT

COMPLIANCE REPORT

SUMMARY

The compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and in accordance with the Illinois State Auditing Act.

AUDITORS' REPORTS

The Independent Accountant's Report on State Compliance, on Internal Control Over Compliance, and on Supplementary Information for State Compliance Purposes does not contain scope limitations, disclaimers, or other significant non-standard language.

SUMMARY OF FINDINGS

| <u>Number of</u> | <u>This</u> | <u>Prior</u> |
|---|-------------|--------------|
| Findings | Report | Report |
| | 3 | 3 |
| Repeated findings | 1 | 0 |
| Prior recommendations implemented or not repeated | 2 | 0 |

Details of the findings are presented in a separately tabbed report section.

SCHEDULE OF FINDINGS

| <u>Item No.</u> | <u>Page</u> | <u>Description</u> |
|-----------------------------|-------------|---|
| FINDINGS (STATE COMPLIANCE) | | |
| 05-1 | 10 | Excessive meal costs |
| 05-2 | 13 | Failure to adequately monitor real property lease utilities |
| 05-3 | 15 | Inadequate security administration program |

PRIOR FINDINGS NOT REPEATED (STATE COMPLIANCE)

| <u>Item No.</u> | <u>Page</u> | <u>Description</u> |
|-----------------|-------------|--|
| 05-4 | 18 | Failure to complete required internal audits |
| 05-5 | 18 | Weaknesses over deposits of Marriage Divisions Funds |

EXIT CONFERENCE

The Illinois Supreme Court waived an exit conference.

Responses to the recommendations were provided by Mr. John M. Bracco, CPA, Chief Internal Auditor, in a letter dated March 6, 2006.



INDEPENDENT ACCOUNTANTS' REPORT ON STATE COMPLIANCE,
ON INTERNAL CONTROL OVER COMPLIANCE, AND ON
SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

Honorable William G. Holland
Auditor General
State of Illinois

Compliance

As Special Assistant Auditors for the Auditor General, we have examined the State of Illinois, Supreme Court's compliance with the requirements listed below, as more fully described in the Audit Guide for Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (Audit Guide) as adopted by the Auditor General, during the two years ended June 30, 2005. The management of the State of Illinois, Supreme Court is responsible for compliance with these requirements. Our responsibility is to express an opinion on the State of Illinois, Supreme Court's compliance based on our examination.

- A. The State of Illinois, Supreme Court has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The State of Illinois, Supreme Court has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The State of Illinois, Supreme Court has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. The State revenues and receipts collected by the State of Illinois, Supreme Court are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the State of Illinois, Supreme Court on behalf of the State or held in trust by the State of Illinois, Supreme Court have been properly and legally administered and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.

Our examination was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States; the Illinois State Auditing Act (Act); and the Audit Guide as adopted by the Auditor General pursuant to the Act; and, accordingly, included examining, on a test basis, evidence about the State of Illinois, Supreme Court's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our examination provides a reasonable basis for our opinion. Our examination does not provide a legal determination on the State of Illinois, Supreme Court's compliance with specified requirements.

In our opinion, the State of Illinois, Supreme Court complied, in all material respects, with the aforementioned requirements during the two years ended June 30, 2005. However, the results of our procedures disclosed instances of noncompliance with those requirements, which are required to be reported in accordance with criteria established by the Audit Guide, issued by the Illinois Office of the Auditor General and which are described in the accompanying schedule of State findings as finding 05-1.

As required by the Audit Guide, immaterial findings relating to instances of noncompliance excluded from this report have been reported in a separate letter to your office.

Internal Control

The management of the State of Illinois, Supreme Court is responsible for establishing and maintaining effective internal control over compliance with the requirements of laws and regulations. In planning and performing our examination, we considered the State of Illinois, Supreme Court's internal control over compliance with the aforementioned requirements in order to determine our examination procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with the Audit Guide, issued by the Illinois Office of the Auditor General.

Our consideration of internal control over compliance with the aforementioned requirements would not necessarily disclose all matters in internal control that might be material weaknesses. A material weakness is a condition in which the design or operation of one or more internal control components does not reduce to a relatively low level the risk that noncompliance with applicable requirements of laws and regulations that would be material in relation to one or more of the aforementioned requirements being examined may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. We noted no matters involving internal control over compliance that we consider to be material weaknesses. However, the results of our procedures disclosed other matters involving internal control which are required to be reported in accordance with criteria established by the Audit Guide, issued by the Illinois Office of the Auditor General and which are described in the accompanying schedule of State findings as findings 05-1, 05-2 and 05-3.

As required by the Audit Guide, immaterial findings relating to instances of internal control deficiencies excluded from this report have been reported in a separate letter to your office.

Supplementary Information for State Compliance Purposes

Our examination was conducted for the purpose of forming an opinion on compliance with the requirements listed in the first paragraph of this report. The accompanying supplementary information as listed in the table of contents as Supplementary Information for State Compliance Purposes is presented for purposes of additional analysis. We have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General to the 2005 and the 2004 Supplementary Information for State Compliance Purposes, except for information on Service Efforts and Accomplishments on which we did not perform any procedures. However, we do not express an opinion on the supplementary information.

We have not applied procedures to the 2003 Supplementary Information for State Compliance Purposes, and accordingly, we do not express an opinion thereon.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, and agency management, and is not intended to be and should not be used by anyone other than these specified parties.

Sichik LLP

Springfield, Illinois
November 18, 2005

05-1 FINDING: (Excessive meal costs)

The Illinois Supreme Court (Court) incurred food and beverage costs while hosting conferences and seminars for judges that exceed the travel reimbursement rates for individual meals. Examples of meal costs paid from the General Revenue Fund for three conferences held in Chicago are as follows:

| | <u>Number of Meals</u> | <u>Total Cost</u> | <u>Cost Per Meal</u> | <u>Meal</u> |
|---------------|----------------------------|-----------------------|--------------------------|-------------|
| Conference 1: | | | | |
| | 820 | \$ 30,373 | \$ 37.04 | Breakfast |
| | 796 | 32,668 | 41.04 | Lunch |
| Conference 2: | | | | |
| | 105 | 3,175 | 30.24 | Breakfast |
| | 105 | 3,186 | 30.34 | Lunch |
| Conference 3: | | | | |
| | 238 | 4,924 | 20.69 | Breakfast |
| | 251 | 6,602 | 26.30 | Lunch |

The Judicial Branch Travel Reimbursement for Judges (Guidelines) (effective July 1, 2004) establishes a rate of \$8.00 for breakfast and \$8.00 for lunch for reimbursement for meal costs to judges in Chicago. Although these rates apply only to reimbursements to judges and the Guidelines do not set rates for Court sponsored conferences and seminars, these are reasonable guidelines for the cost of meals. Meals paid by contract for these conferences exceed established meal reimbursement guidelines.

Court personnel indicated meals are an integral part of the conference and these are the established meal rates for the conference sites. However, the Court is responsible for hosting the conferences and can control the selection and costs of its conference sites. (Finding Code No. 05-1)

RECOMMENDATION:

We recommend the Court hold conferences and seminars at sites which can provide meals at rates comparable to those established by the Judicial Branch Travel Reimbursement for Judges (Guidelines).

COURT RESPONSE:

Disagree. In preparing our response, we have reviewed the statement of Compliance Examination Objectives as provided by Special Assistant Auditors, Sikich Gardner & Company, which provides, in relevant part, that “the objective of our compliance examination is the expression of an opinion as to whether the Court complied with certain State requirements relating to the obligation, expenditure and use of public funds, the collection of revenues and receipts and the handling of money or negotiable securities or other assets.”

After careful review of Finding 05-1, we have determined that the Court's expenditure of funds associated with judicial conferences and seminars are in compliance with State requirements, namely, the Judicial Branch Procurement Code. Thus, and for reasons which follow, we respectfully disagree.

The finding of excessive meal costs is erroneously premised on the *Judicial Branch Travel Reimbursement Guidelines* ("*Guidelines*"). The *Guidelines*, which set forth the rates at which meals and travel will be reimbursed to judicial officers traveling on judicial branch business, are inapplicable to the events cited in this finding. Meal costs associated with judicial conferences and seminars bear no relationship with meal reimbursement rates for judicial branch travelers.

Rates established in the *Guidelines* set forth maximum levels of reimbursement for individuals on travel status. The *Guidelines* provide what meals, breakfast or dinner, will be reimbursed dependent upon the time of travel. As well, the level of reimbursement is dependent upon the traveler's destination, Chicago versus the suburbs. Meal costs paid by a judicial officer in travel status are entirely within the control of the individual traveler and reimbursed only to the level as established by the *Guidelines*.

Contrarily, the costs of conference/seminar related meals are set by the hosting hotel and are part of a larger contract agreement which typically includes costs for lodging, meeting rooms and, equipment rental. The events cited in the finding relate to conferences hosted in Chicago. The contract meal costs, as set by the hosting hotel, necessarily take into consideration the size of the participant group, the number of plates to be prepared and served, the number of wait staff required for service, the set up and break-down of the dining facility and gratuities. Factors which inform a contract award for judicial conferences and seminars include the Administrative Office's determination of which facility can best accommodate the number of participants, on the most desirable date, at the most appropriate location, with the most adequate number and sufficiently appointed meeting rooms, for the least amount of cost. No single factor is dispositive.

Contracts for conferences and seminars should be tested for compliance against the Judicial Branch Procurement Code. The Administrative Office's contract award for judicial conference/seminar accommodations, which includes, as only one factor, consideration of a hotel's pricing for meals, is in compliance with the Code. Absent consideration of all of the relevant factors which were considered in awarding the contracts for the cited events, no opinion may properly be expressed concerning costs. In any case, there is no assertion that the award of contracts for the events were not in compliance with the Judicial Branch Procurement Code. Further, we believe that an opinion expressed concerning meal costs in the context of a compliance examination exceeds the proper scope of review. For all of the reasons stated above, we respectfully disagree.

AUDITORS' COMMENT:

Contrary to the Court's assertion that "an opinion expressed concerning meal costs in the context of a compliance examination exceeds the proper scope of review," standards followed by the auditors in conducting attestation engagements require auditors to "be alert to situations or transactions that could be indicative of abuse..." Government Auditing Standards, Section 6.15 (c). Those standards go on in Section 6.19 to state that:

[a]buse is distinct from fraud, illegal acts, or violations of provisions of contracts or grant agreements. When abuse occurs, no law, regulation, or provision of a contract or grant agreement is violated. Rather, abuse involves behavior that is deficient or improper when compared with behavior that a prudent person would consider reasonable and necessary business practice given the facts and circumstances.

We respectfully submit that not only is this an appropriate finding within the context of the Court's compliance attestation engagement, but we continue to believe that the Court's payment of conference meal costs of up to five times greater than the maximum meal cost permitted in the travel guidelines is excessive.

05-2 FINDING: (Failure to adequately monitor real property lease utilities)

The Illinois Supreme Court (Court) was unable to document the reasonableness of gas utility expenses during the examination period for a real property lease contract.

During our testing we noted that the Court did not sufficiently monitor the real property lease for the building at 6801 Spring Creek Road in Rockford to determine that it has been paying the proper amount for gas expenses. The Rockford location is 1,931 square feet out of a total of 32,644 square feet in a commercial building newly constructed in 2001. The space was leased by the Court commencing January 1, 2002 for five years at an average cost of \$3,663.58 per month. The original lease agreement states that the tenant's monthly pro-rata share of the gas bill shall be .5484 or \$560.70 per month for 2002 to 2003. The lease agreement requires the landlord to provide to the tenant (the Court) a "written statement confirming Tenant's Proportionate Share of gas expenses" within 120 days following the end of each calendar year. The landlord is to credit the tenant's account if the actual share of gas expense is lower than the sum of the monthly estimates paid. Conversely, the tenant will be billed for the difference if estimated payments do not cover the actual share of expenses. The Court did not receive a written statement from the landlord confirming the Court's share of gas expenses for any year under the lease, including the two years covered in the examination period. The original estimate of \$560.70 per month was paid by the Court for gas expenses during each month of the examination period without an adjustment at the end of the year, as required by the lease agreement.

The lease agreement requires that the landlord make actual cost information available to the Court. In addition, good government fiscal responsibility dictates that the Court monitor estimated real property lease utility charges to ensure it is being charged the proper amount.

It does not appear that the Court was monitoring the estimated expenses under the lease. (Finding Code No. 05-2)

RECOMMENDATION:

We recommend the Court obtain the "written statement confirming Tenant's Proportionate Share of gas expenses" from the landlord for the lease period to date, determine the correct amount, and ensure that the landlord has applied or will apply an adjustment based on the difference between estimated and actual gas expense costs.

We further recommend that the Court actively monitor all landlord-determined expense estimates in its real property leases.

COURT RESPONSE:

Agree. The Supreme Court agrees with the finding.

As noted in the finding, the lease agreement for the Rockford property requires the landlord to provide the Administrative Office a written statement confirming the tenant's proportionate share of gas expenses within 120 days following the end of each calendar year. The landlord failed to provide the written statement. Corrective action has been taken. A detailed tracking system of similar lease provisions is being developed to avoid any future occurrence.

05-3 FINDING: (Inadequate security administration program)

The Illinois Supreme Court (Court) did not have an adequate security administration program to ensure security over, and use of, information systems (IS) resources.

During our testing we noted that many of the Court's security practices at its central location appeared to be appropriate. However, we noted the Court had not established a formal, comprehensive security administration program to ensure its computer security and usage guidelines were followed at all locations.

The Court's Judicial Management Information Services (JMIS) Division of the Administrative Office of the Illinois Courts (AOIC) is responsible for providing technology to the Court's 13 locations throughout the State, which include the Illinois Supreme Court and Appellate Courts, the Supreme Court support units, and all divisions within the AOIC. In addition, the JMIS provides support to Court personnel in county courthouses and arbitration facilities who utilize Court IS resources. JMIS communicates security roles and responsibilities to employees through the distribution of the Computer Security and Usage Guidelines to each employee's administrative authority. In our review of the guidelines and the Court's compliance with the guidelines, we noted the following control weaknesses:

- The Court did not require an individual acknowledgment of receipt of the policies, for all court employees, along with verification from the employee that he/she read the guidelines and agrees to abide by them.
- The Court had not developed a mechanism by which it can monitor and enforce the guidelines or resolve violations of the security principles therein and had not adopted the guidelines as official Court policy by which all employees would be held accountable.
- The only ongoing security monitoring performed by JMIS of the Court's IS resources was an intermittent and cursory review of user accounts.
- Although training can be requested, a formal ongoing security awareness program to train users on the importance of computer security concepts, such as not sharing user IDs, does not exist (some user IDs were shared).

The JMIS implements technology at the direction of the Director of the AOIC and the Supreme Court justices with the primary objective of improving the procedures and efficiencies of the Court's operations. The JMIS responds to the needs of the judicial branch for application development and information processing by analyzing processes, designing applications, or procuring technology that leverages existing investments with an overall goal of improving organizational benefits to Court procedures. However, JMIS management has maintained over the years that they can only provide suggested guidelines for IS security and are not granted the authority to monitor and enforce compliance with the guidelines. Each judicial branch office is left to determine if they wish to comply with the guidance provided by JMIS.

A lack of guidance concerning users' responsibilities related to computer security and protection of information system assets could result in unauthorized access and/or misuse of the Court's information systems, especially with the Court's decentralized computer environment located in numerous locations throughout the State. Effective computer policies and procedures and a security awareness program inform users of the importance of the information they handle and the legal and business reasons for maintaining its integrity, confidentiality, and availability. (Finding Code No. 05-3, 03-3, Immaterial Finding Code No. IM01-4)

RECOMMENDATION:

We recommend that all computer users be required to sign a release indicating they have reviewed the security policies and procedures and agree to abide by them.

We also recommend that a mechanism be developed to monitor compliance with security guidelines. For example, the sharing of IDs should be prohibited as it does not provide for individual accountability. Additionally, a security awareness program should be developed to provide ongoing security related training to all Court users.

COURT RESPONSE:

Disagree. The Supreme Court disagrees with this finding. The Judicial Management Information Services Division (JMIS) provides technology to the offices and staff of the Illinois Supreme and Appellate Courts, the Supreme Court supporting units, and divisions within the Administrative Office of the Illinois Courts. In addition, JMIS provides support to Court personnel in county courthouses and arbitration facilities who use Court IT resources. JMIS implements technology at the direction of the Administrative Director and Supreme Court with the primary objective of improving the procedures and efficiencies of court operations.

The issue was presented as a material finding in the prior compliance audit. We again offer in response that although the Supreme Court exercises administrative and supervisory authority over the judicial branch, that authority does not create in the Court an employer-employee relationship between the justices who serve on the Supreme Court and those elected officials who serve in either the circuit or the appellate courts. Each judicial officer is an independently, elected state official with direct supervisory responsibilities over their respective staff. Nevertheless, every judicial branch manager is expected to comply with Supreme Court rules and policies, including the Court's *Computer Usage and Security Guidelines*.

JMIS develops security parameters utilizing technology designed to administer security procedures that protect the critical data of the judiciary. The use of shared IDs is strictly limited and exists for the purpose of network administration within specific applications managed solely by JMIS. Shared use of IDs is not extended to individual judicial employees for the purpose of accessing networks or network resources.

A unique user ID, which includes the name of the judicial employee, is created and required to access network resources including the judiciary's statewide network. Other security parameters include passwords that automatically expire at predetermined intervals, restrictions on the reuse of passwords, minimum password lengths, authorized access times, and security groups and individual privileges that manage access to network files and folders. In addition to network IDs, security parameters and IDs are developed to provide additional levels of security that further manage access to specific services, databases, and Court provided resources within the judiciary.

Guidelines, security procedures and user support are provided and communicated throughout the judiciary via JMIS Help Desk and through the *Computer Usage and Security Guidelines*. User training is available via telephone support or onsite training upon request and at the time systems are installed.

Finally, JMIS has implemented hardware equipment and software systems that protect the information and assets used within the judiciary. In addition to the security procedures configured in network operation systems and on desktop computers, redundant firewalls, routers, and intrusion detection systems are deployed to protect unauthorized access to information. JMIS continues to analyze the infrastructure it supports and recognizes that regular enhancements are needed to maintain and improve security within the judiciary.

AUDITORS' COMMENT:

The security over a widespread and complex network is only as strong as its weakest link. Thus, our recommendation to require global compliance with security policies, along with a monitoring and awareness program, would further computer security. As stated in the Court's response – "nevertheless, every judicial manager is expected to comply with Supreme Court rules and policies, including the Court's *Computer Usage and Security Guidelines*." As long as the Court expects compliance, we continue to believe it should monitor the level of compliance with its stated directive.

PRIOR FINDINGS NOT REPEATED

(STATE COMPLIANCE)

05-4 FINDING: Failure to complete required internal audits

The prior audit noted the Court did not complete required internal audits in compliance with the Fiscal Control and Internal Auditing Act (FCIAA), including audits of major systems of accounting and administrative control and completing audits in accordance with the two-year audit plan.

During the current examination, we noted the Court complied with the requirements of the FCIAA and completed required internal audits in compliance with the Court's directive. (Finding Code No. 03-1)

05-5 FINDING: Weaknesses over deposits of the Marriage Divisions Funds

The prior audit noted the Court did not ensure the timely remittance of marriage fees to the locally held marriage funds.

During the current examination, in a memo dated August 4, 2005, we were informed it is the position of the Supreme Court of Illinois that the Marriage Funds are not state funds, and are therefore outside the scope of the audit of the Judicial Branch. In 1999, the Court brought the Marriage Fund accounts to the attention of the Auditor General, referring to these funds as "state funds," which were then subsequently audited by the Auditor General. The Auditor General acceded to the Court's characterization of these funds in 1999, and acceded to the reversal of precedent in position in 2005.

As a result, the Marriage Fund accounts are no longer part of the scope of the examination. As such, we did not follow-up on the status of the prior finding. (Finding Code No. 03-2)

STATE OF ILLINOIS
SUPREME COURT

SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

SUMMARY

Supplementary Information for State Compliance Purposes presented in this section of the report includes the following:

- Fiscal Schedules and Analysis:
 - Schedule of Expenditures of Federal Awards
 - Year Ended June 30, 2005
 - Year Ended June 30, 2004
 - Notes to the Schedules of Expenditures of Federal Awards
 - Schedule of Appropriations, Expenditures, and Lapsed Balances
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 - Fiscal Year 2004
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 - Analysis of Accounts Receivable
- Analysis of Operations:
 - Agency Functions and Planning Program
 - Average Number of Employees
 - Emergency Purchases
 - Illinois First Projects
 - Service Efforts and Accomplishments (Not Examined)

The auditors' report that covers the Supplementary Information for State Compliance Purposes presented in the Compliance Report Section states the auditors have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General, except for information on the Service Efforts and Accomplishments on which they did not perform any procedures. However, the auditors do not express an opinion on the supplementary information.

STATE OF ILLINOIS
 SUPREME COURT
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 For the Year Ended June 30, 2005
 (Expressed in Thousands)

| | Federal CFDA Number | Agreement Number | Expenditures of Federal Awards | Subrecipient Amount |
|---|---------------------------|---------------------|--------------------------------------|------------------------|
| <u>U.S. Department of Justice</u> | | | | |
| Passed through the Illinois Criminal Justice Information Authority: | | | | |
| Byrne Formula Grant Program: | 16,579 | | | |
| Juvenile Probation Risk Reduction Strategy | | 401101 | \$ 14 | \$ - |
| Probation Training and Technical Assistance | | 401086 | 147 | - |
| Probation Training and Technical Assistance | | 402086 | 25 | - |
| Juvenile Probation Risk Reduction Strategy | | 402101 | 49 | - |
| Judicial Education Training Program | | 401100 | 1 | - |
| Judicial Education Training Program | | 402100 | 12 | - |
| Total Byrne Formula Grant Program | | | 248 | - |
| <u>U. S. Department of Health and Human Services</u> | | | | |
| Direct Programs: | | | | |
| State Court Improvement Program | 93,586 | | 401 | 288 |
| Total Expenditures of Federal Awards | | | \$ 649 | \$ 288 |

The accompanying Notes to the Schedule of Expenditures of Federal Awards are an integral part of this schedule.

STATE OF ILLINOIS
 SUPREME COURT
 SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
 For the Year Ended June 30, 2004
 (Expressed in Thousands)

| | Federal CFDA Number | Agreement Number | Expenditures of Federal Awards | Subrecipient Amount |
|---|---------------------------|---------------------|--------------------------------------|------------------------|
| <u>U.S. Department of Justice</u> | | | | |
| Passed through the Illinois Criminal Justice Information Authority: | | | | |
| Byrne Formula Grant Program: | 16,579 | | | |
| Juvenile Probation Risk Reduction Strategy | | 400100 | \$ 14 | \$ - |
| Probation Training and Technical Assistance | | 400086 | 88 | - |
| Probation Training and Technical Assistance | | 401086 | 53 | - |
| Juvenile Probation Risk Reduction Strategy | | 401101 | 141 | - |
| Judicial Education Training | | 401100 | 20 | - |
| Total Byrne Formula Grant Program | | | 316 | - |
| <u>U. S. Department of Health and Human Services</u> | | | | |
| Direct Programs: | | | | |
| State Court Improvement Program | 93,586 | | 389 | 353 |
| Total Expenditures of Federal Awards | | | \$ 705 | \$ 353 |

The accompanying Notes to the Schedule of Expenditures of Federal Awards are an integral part of this schedule.

STATE OF ILLINOIS
SUPREME COURT

NOTES TO THE SCHEDULES OF EXPENDITURES OF FEDERAL AWARDS
Years Ended June 30, 2005 and 2004

1. GENERAL

The accompanying Schedules of Expenditures of Federal Awards present the federal award programs administered by the State of Illinois, Supreme Court (Court). The Schedules of Expenditures of Federal Awards include the expenditure of awards received directly from the U.S. Department of Health and Human Services and awards passed through other State agencies.

The Schedules of Expenditures of Federal Awards were prepared for State compliance purposes only. A separate single audit of the Court was not conducted. A separate single audit of the entire State of Illinois (which includes the Court) was performed and released under separate cover.

2. BASIS OF ACCOUNTING

The Schedules of Expenditures of Federal Awards has been prepared in accordance with accounting principles generally accepted in the United States of America on the modified accrual basis of accounting as prescribed in pronouncements issued by the Governmental Accounting Standards Board.

3. INDIRECT COSTS

The Court does not claim indirect cost reimbursements for its federal award programs. Consequently, the Court does not have an indirect cost rate established for allocating indirect costs to federal award programs.

4. DESCRIPTION OF FEDERAL AWARD PROGRAM

The following is a brief description of the programs included in the Schedules of Expenditures of Federal Awards:

U.S. Department of Justice:

CFDA #16.579 – Byrne Formula Grant Program

These grants are passed through from the Illinois Criminal Justice Information Authority to reduce and prevent illegal drug activity, crime, and violence and to improve the functioning of the criminal justice system. Funds may be used to support the programs of the Court which include Juvenile Probation Risk Reduction Strategy, Probation Training and Technical Assistance, and Judicial Education Training Program.

U.S. Department of Health and Human Services:

CFDA #93.586 – State Court Improvement Program

This grant is to assist State courts in performing their role in the continuum of care provided for families and children at risk. The program offers support for the implementation of alternatives and improvements as well as support for the expansion of successful court systems. It provides the Court with the flexibility to design assessments which identify barriers to effective decision-making, highlight practices which are not fully successful, examine areas they find to be in need of correction or added attention, and then implement reforms which address the Court's specific needs.

5. NONCASH AWARDS

The Court does not receive any noncash awards.

STATE OF ILLINOIS
SUPREME COURT

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2005
FOURTEEN MONTHS ENDED AUGUST 31, 2005

| | Appropriations (Net after Transfers) | Expenditures Through June 30, 2005 | Lapse Period | | Total Expenditures | Balances Lapsed |
|--|--|--|--|---------------------------------|-----------------------|--------------------|
| | | | Expenditures July 1 to August 31, 2005 | Expenditures August 31, 2005 | | |
| Public Act 93-0842 | | | | | | |
| Appropriated Funds | | | | | | |
| General Revenue Fund - 0001 | | | | | | |
| Division 20101 - Supreme Court | | | | | | |
| Personal services | \$ 6,476,000 | \$ 6,474,075 | \$ - | \$ - | \$ 6,474,075 | \$ 1,925 |
| State contributions to State employees' retirement | 1,043,800 | 1,043,446 | 224 | | 1,043,670 | 130 |
| State contributions to social security | 482,600 | 480,847 | 107 | | 480,954 | 1,646 |
| Contractual services | 1,504,800 | 639,758 | 59,112 | | 698,870 | 805,930 |
| Travel | 20,000 | 12,019 | 1,345 | | 13,364 | 6,636 |
| Commodities | 56,100 | 34,738 | 2,933 | | 37,671 | 18,429 |
| Printing | 606,400 | 171,077 | 5,811 | | 176,888 | 429,512 |
| Equipment | 1,432,200 | 689,276 | 108,445 | | 797,721 | 634,479 |
| Electronic data processing | 141,115 | 78,485 | 12,609 | | 91,094 | 50,021 |
| Telecommunications | 136,000 | 103,626 | 10,241 | | 113,867 | 22,133 |
| Operation of automotive equipment | 7,600 | 6,839 | 563 | | 7,402 | 198 |
| Permanent improvements | 60,300 | - | - | | - | 60,300 |
| Total - Division 20101 - Supreme Court | <u>11,966,915</u> | <u>9,734,186</u> | <u>201,390</u> | | <u>9,935,576</u> | <u>2,031,339</u> |
| Division 20105 - Circuit Court | | | | | | |
| Personal services: | | | | | | |
| Official court reporting | 28,535,000 | 28,461,764 | 58,024 | | 28,519,788 | 15,212 |
| Circuit court personnel | 1,635,350 | 1,635,332 | - | | 1,635,332 | 18 |
| State contributions to State employees' retirement | 4,863,200 | 4,849,685 | 9,346 | | 4,859,031 | 4,169 |
| State contributions to social security | 2,213,900 | 2,207,140 | 4,451 | | 2,211,591 | 2,309 |
| Contractual services | 250,800 | 1,820 | 148 | | 1,968 | 248,832 |
| Contractual services: transcript fees | 3,891,100 | 1,773,816 | 209,227 | | 1,983,043 | 1,908,057 |
| Travel - official court reporting | 161,400 | 76,993 | 11,250 | | 88,243 | 73,157 |
| Travel - circuit court personnel | 11,800 | 5,117 | 466 | | 5,583 | 6,217 |
| Equipment | 194,300 | - | - | | - | 194,300 |

Note: The above information was taken from the State Comptroller's records which have been reconciled to those of the Court.

STATE OF ILLINOIS
SUPREME COURT

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2005
FOURTEEN MONTHS ENDED AUGUST 31, 2005

| | Appropriations (Net after Transfers) | Expenditures Through June 30, 2005 | Lapse Period Expenditures July 1 to August 31, 2005 | Total Expenditures | Balances Lapsed |
|--|--|--|--|-----------------------|--------------------|
| | | | | | |
| Public Act 93-0842 | | | | | |
| Division 20105 - Circuit Court - Continued | | | | | |
| Electronic data processing | \$ 5,499,600 | \$ 1,289,656 | \$ 369,896 | \$ 1,659,552 | \$ 3,840,048 |
| Sexually Violent Persons Commitment Act | 300,000 | 275,951 | 24,049 | 300,000 | - |
| Circuit clerk's additional duties | 663,000 | 663,000 | - | 663,000 | - |
| Mandatory arbitration | 880,600 | 732,773 | 1,627 | 734,400 | 146,200 |
| Probation reimbursements | 58,803,400 | 43,005,161 | 15,798,239 | 58,803,400 | - |
| Total - Division 20105 - Circuit Court | 107,903,450 | 84,978,208 | 16,486,723 | 101,464,931 | 6,438,519 |
| Division 20110 - Administrative Office of the Illinois Courts | | | | | |
| Personal services | 5,277,900 | 5,274,619 | 3,246 | 5,277,865 | 35 |
| Retirement - paid by employer | 2,265,000 | 2,247,733 | 1,238 | 2,248,971 | 16,029 |
| State contributions to State employees' retirement | 850,800 | 850,088 | 523 | 850,611 | 189 |
| State contributions to social security | 395,300 | 383,857 | 506 | 384,363 | 10,937 |
| Contractual services | 2,619,000 | 1,436,533 | 41,069 | 1,477,602 | 1,141,398 |
| Contractual services: judicial conference & Supreme Court committees | 726,300 | 310,010 | 117,427 | 427,437 | 298,863 |
| Travel | 183,400 | 121,066 | 11,932 | 132,998 | 50,402 |
| Commodities | 76,200 | 39,131 | 4,548 | 43,679 | 32,521 |
| Printing | 104,900 | 25,955 | 18,461 | 44,416 | 60,484 |
| Equipment | 148,500 | 87,690 | 59,452 | 147,142 | 1,358 |
| Electronic data processing | 4,912,185 | 2,072,879 | 339,854 | 2,412,733 | 2,499,452 |
| Telecommunications | 202,400 | 147,321 | 17,852 | 165,173 | 37,227 |
| Operation of automotive equipment | 18,100 | 14,544 | 3,397 | 17,941 | 159 |
| Probation training | 391,300 | 252,625 | 138,627 | 391,252 | 48 |
| Judges' out-of-state educational programs | 60,100 | - | - | - | 60,100 |
| Training of circuit court officers and personnel | 61,500 | 44,733 | 1,692 | 46,425 | 15,075 |
| Illinois Courts Commission | 50,000 | 2,456 | 55 | 2,511 | 47,489 |
| Total - 20110 - Administrative Office of the Illinois Courts | 18,342,885 | 13,311,240 | 759,879 | 14,071,119 | 4,271,766 |

Note: The above information was taken from the State Comptroller's records which have been reconciled to those of the Court.

STATE OF ILLINOIS
SUPREME COURT

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2005
FOURTEEN MONTHS ENDED AUGUST 31, 2005

| | Appropriations (Net after Transfers) | Expenditures Through June 30, 2005 | Lapse Period | | Total Expenditures | Balances Lapsed |
|--|--|--|--|--------------|-----------------------|--------------------|
| | | | Expenditures July 1 to August 31, 2005 | | | |
| Public Act 93-0842 | | | | | | |
| Division 20115 - First Appellate District | | | | | | |
| Personal services | \$ 6,633,750 | \$ 6,621,286 | \$ 12,421 | \$ 6,633,707 | \$ 43 | |
| State contributions to State employees' retirement | 1,068,825 | 1,066,802 | 2,000 | 1,068,802 | 23 | |
| State contributions to social security | 494,225 | 491,481 | 950 | 492,431 | 1,794 | |
| Contractual services | 527,300 | 169,602 | 6,693 | 176,295 | 351,005 | |
| Travel | 2,100 | 106 | - | 106 | 1,994 | |
| Commodities | 56,200 | 26,955 | 2,626 | 29,581 | 26,619 | |
| Printing | 41,400 | 20,134 | 799 | 20,933 | 20,467 | |
| Equipment | 139,500 | 100,983 | 16,497 | 117,480 | 22,020 | |
| Telecommunications | 126,000 | 58,384 | 5,544 | 63,928 | 62,072 | |
| Total - 20115 - First Appellate District | 9,089,300 | 8,555,733 | 47,530 | 8,603,263 | 486,037 | |
| Division 20125 - Second Appellate District | | | | | | |
| Personal services | 2,727,500 | 2,724,420 | 434 | 2,724,854 | 2,646 | |
| State contributions to State employees' retirement | 439,400 | 438,955 | 211 | 439,166 | 234 | |
| State contributions to social security | 203,800 | 202,404 | 99 | 202,503 | 1,297 | |
| Contractual services | 1,083,400 | 505,287 | 19,820 | 525,107 | 558,293 | |
| Travel | 4,800 | 176 | - | 176 | 4,624 | |
| Commodities | 26,400 | 14,355 | 712 | 15,067 | 11,333 | |
| Printing | 13,200 | 5,094 | 26 | 5,120 | 8,080 | |
| Equipment | 208,500 | 146,520 | 35,296 | 181,816 | 26,684 | |
| Telecommunications | 69,500 | 63,719 | 5,279 | 68,998 | 502 | |
| Operation of automotive equipment | 1,400 | 781 | 145 | 926 | 474 | |
| Total - 20125 - Second Appellate District | 4,777,900 | 4,101,711 | 62,022 | 4,163,733 | 614,167 | |

Note: The above information was taken from the State Comptroller's records which have been reconciled to those of the Court.

STATE OF ILLINOIS
SUPREME COURT

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2005
FOURTEEN MONTHS ENDED AUGUST 31, 2005

| | Appropriations (Net after Transfers) | Expenditures Through June 30, 2005 | Lapse Period Expenditures July 1 to August 31, 2005 | Total Expenditures | Balances Lapsed |
|---|--|--|--|-----------------------|--------------------|
| | | | | | |
| Public Act 93-0842 | | | | | |
| Division 20130 - Certain Officers of the Illinois Courts | | | | | |
| Judges' salaries | \$ 133,039,000 | \$ 132,904,849 | \$ 469 | \$ 132,905,318 | \$ 133,682 |
| State contributions to social security | 1,802,380 | 1,607,150 | 7 | 1,607,157 | 195,223 |
| Travel: | | | | | |
| Judges of the Supreme Court | 29,600 | 10,005 | 23 | 10,028 | 19,572 |
| Judges of the Appellate Court | 149,100 | 50,324 | 3,220 | 53,544 | 95,556 |
| Judges of the Circuit Court | 767,400 | 219,961 | 36,341 | 256,302 | 511,098 |
| Judicial conference and Supreme Court committees | 727,800 | 226,588 | 30,129 | 256,717 | 471,083 |
| Total - 20130 - Certain Officers of the Illinois Courts | 136,515,280 | 135,018,877 | 70,189 | 135,089,066 | 1,426,214 |
| Division 20135 - Third Appellate District | | | | | |
| Personal services | 1,985,900 | 1,985,242 | 615 | 1,985,857 | 43 |
| State contributions to State employees' retirement | 320,100 | 319,852 | 99 | 319,951 | 149 |
| State contributions to social security | 147,460 | 147,384 | 66 | 147,450 | 10 |
| Contractual services | 791,000 | 325,544 | 12,876 | 338,420 | 452,580 |
| Travel | 4,700 | 573 | - | 573 | 4,127 |
| Commodities | 24,800 | 16,084 | 696 | 16,780 | 8,020 |
| Printing | 20,700 | 5,235 | - | 5,235 | 15,465 |
| Equipment | 425,500 | 172,140 | 42,741 | 214,881 | 210,619 |
| Telecommunications | 61,600 | 49,843 | 3,014 | 52,857 | 8,743 |
| Total - 20135 - Third Appellate District | 3,781,760 | 3,021,897 | 60,107 | 3,082,004 | 699,756 |

Note: The above information was taken from the State Comptroller's records which have been reconciled to those of the Court.

STATE OF ILLINOIS
SUPREME COURT

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2005
FOURTEEN MONTHS ENDED AUGUST 31, 2005

| | Appropriations (Net after Transfers) | Expenditures Through June 30, 2005 | Lapse Period Expenditures | | Total Expenditures | Balances Lapsed |
|--|--|--|------------------------------|-----------------|-----------------------|--------------------|
| | | | July 1 to August 31, 2005 | August 31, 2005 | | |
| Public Act 93-0842 | | | | | | |
| Division 20145 - Fourth Appellate District | | | | | | |
| Personal services | \$ 2,048,000 | \$ 2,046,555 | \$ 305 | \$ 305 | \$ 2,046,860 | \$ 1,140 |
| State contributions to State employees' retirement | 330,000 | 329,662 | 220 | 220 | 329,882 | 118 |
| State contributions to social security | 152,400 | 147,401 | 131 | 131 | 147,532 | 4,868 |
| Contractual services | 766,000 | 296,071 | 17,077 | 17,077 | 313,148 | 452,852 |
| Travel | 5,800 | 2,718 | 178 | 178 | 2,896 | 2,904 |
| Commodities | 12,400 | 7,256 | 511 | 511 | 7,767 | 4,633 |
| Printing | 9,500 | 3,853 | 893 | 893 | 4,746 | 4,754 |
| Equipment | 128,700 | 46,057 | 18,722 | 18,722 | 64,779 | 63,921 |
| Telecommunications | 56,000 | 44,477 | 7,419 | 7,419 | 51,896 | 4,104 |
| Total - 20145 - Fourth Appellate District | 3,508,800 | 2,924,050 | 45,456 | 45,456 | 2,969,506 | 539,294 |
| Division 20155 - Fifth Appellate District | | | | | | |
| Personal services | 1,980,750 | 1,970,987 | 135 | 135 | 1,971,122 | 9,628 |
| State contributions to State employees' retirement | 327,300 | 318,777 | 22 | 22 | 318,799 | 8,501 |
| State contributions to social security | 150,160 | 145,019 | 10 | 10 | 145,029 | 5,131 |
| Contractual services | 655,400 | 284,340 | 8,041 | 8,041 | 292,381 | 363,019 |
| Travel | 5,400 | 426 | - | - | 426 | 4,974 |
| Commodities | 23,200 | 6,653 | 709 | 709 | 7,362 | 15,838 |
| Printing | 15,800 | 7,653 | 1,498 | 1,498 | 9,151 | 6,649 |
| Equipment | 238,200 | 107,810 | 25,757 | 25,757 | 133,567 | 104,633 |
| Telecommunications | 57,500 | 34,426 | 4,704 | 4,704 | 39,130 | 18,370 |
| Operation of automotive equipment | 1,200 | 587 | 33 | 33 | 620 | 580 |
| Total - 20155 - Fifth Appellate District | 3,454,910 | 2,876,678 | 40,909 | 40,909 | 2,917,587 | 537,323 |
| Total - General Revenue Fund | 299,341,200 | 264,522,580 | 17,774,205 | 17,774,205 | 282,296,785 | 17,044,415 |

Note: The above information was taken from the State Comptroller's records which have been reconciled to those of the Court.

STATE OF ILLINOIS
SUPREME COURT

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2005
FOURTEEN MONTHS ENDED AUGUST 31, 2005

| | Appropriations (Net after Transfers) | Expenditures Through June 30, 2005 | Lapse Period Expenditures July 1 to August 31, 2005 | Total Expenditures | Balances Lapsed |
|---|--|--|--|-----------------------|----------------------|
| | | | | | |
| Public Act 93-0842 | | | | | |
| Mandatory Arbitration Fund - 0262 | | | | | |
| Division 20101 - Supreme Court | | | | | |
| Mandatory arbitration | \$ 12,300,000 | \$ 4,010,785 | \$ 161,644 | \$ 4,172,429 | \$ 8,127,571 |
| Total - Mandatory Arbitration Fund | 12,300,000 | 4,010,785 | 161,644 | 4,172,429 | 8,127,571 |
| Foreign Language Interpreter Fund - 0597 | | | | | |
| Division 20101 - Supreme Court | | | | | |
| Foreign language interpreter | 112,300 | - | - | - | 112,300 |
| Total - Foreign Language Interpreter Fund | 112,300 | - | - | - | 112,300 |
| Lawyers' Assistance Program Fund - 0769 | | | | | |
| Division 20101 - Supreme Court | | | | | |
| Lawyers' assistance programs | 700,000 | 382,000 | - | 382,000 | 318,000 |
| Total - Lawyers' Assistance Program Fund | 700,000 | 382,000 | - | 382,000 | 318,000 |
| Total - Appropriated Funds | \$ 312,453,500 | \$ 268,915,365 | \$ 17,935,849 | \$ 286,851,214 | \$ 25,602,286 |

Note: The above information was taken from the State Comptroller's records which have been reconciled to those of the Court.

STATE OF ILLINOIS
SUPREME COURT

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2005
FOURTEEN MONTHS ENDED AUGUST 31, 2005

| | Appropriations (Net after Transfers) | Expenditures Through June 30, 2005 | Lapse Period | | Total Expenditures | Balances Lapsed |
|--|--|--|--|---------------------------------|-----------------------|--------------------|
| | | | Expenditures July 1 to August 31, 2005 | Expenditures August 31, 2005 | | |
| Public Act 93-0842 | | | | | | |
| Non-Appropriated Funds | | | | | | |
| Supreme Court Special State Projects Fund - 0230 | | | | | | |
| Division 20110 - Administrative Office of the Illinois Courts | | | | | | |
| Judicial education training grant | \$ - | \$ - | \$ 6,250 | \$ 6,250 | \$ 6,250 | |
| Juvenile probation risk reduction strategy program | 19,633 | 19,633 | 18,992 | 18,992 | 38,625 | |
| Probation training and technical assistance grant | 53,825 | 53,825 | 56,650 | 56,650 | 110,475 | |
| Refunds to funding sources | 8,998 | 8,998 | - | - | 8,998 | |
| Total - Supreme Court Special State Projects Fund | 82,456 | 82,456 | 81,892 | 81,892 | 164,348 | |
| Supreme Court Federal Projects Fund - 0269 | | | | | | |
| Division 20110 - Administrative Office of the Illinois Courts | | | | | | |
| State court improvement program | 289,273 | 289,273 | 95,549 | 95,549 | 384,822 | |
| Judicial education training grant | 532 | 532 | 14,730 | 14,730 | 15,262 | |
| Probation training and technical assistance grant | 147,585 | 147,585 | 24,658 | 24,658 | 172,243 | |
| Juvenile probation risk reduction strategy program | 14,304 | 14,304 | 48,633 | 48,633 | 62,937 | |
| Refunds to funding sources | 35,281 | 35,281 | - | - | 35,281 | |
| Total - Supreme Court Federal Projects Fund | 486,975 | 486,975 | 183,570 | 183,570 | 670,545 | |
| Total - Non-Appropriated Funds | \$ 569,431 | \$ 569,431 | \$ 265,462 | \$ 265,462 | \$ 834,893 | |
| Grand Total - All Funds | \$ 269,484,796 | \$ 269,484,796 | \$ 18,201,311 | \$ 18,201,311 | \$ 287,686,107 | |

Note: The above information was taken from the State Comptroller's records which have been reconciled to those of the Court.

STATE OF ILLINOIS
SUPREME COURT

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2004
FOURTEEN MONTHS ENDED AUGUST 31, 2004

| | Appropriations (Net after Transfers) | Expenditures Through June 30, 2004 | Lapse Period | | Balances Lapsed |
|--|--|--|--|-----------------------|--------------------|
| | | | Expenditures July 1 to August 31, 2004 | Total Expenditures | |
| Public Act 93-91 | | | | | |
| Appropriated Funds | | | | | |
| General Revenue Fund - 0001 | | | | | |
| Division 20101 - Supreme Court | | | | | |
| Personal services | \$ 6,296,400 | \$ 6,067,440 | \$ - | \$ 6,067,440 | \$ 228,960 |
| State contributions to State employees' retirement | 649,900 | 574,010 | - | 574,010 | 75,890 |
| State contributions to social security | 481,700 | 453,138 | 215 | 453,353 | 28,347 |
| Contractual services | 943,400 | 284,984 | 365,595 | 650,579 | 292,821 |
| Travel | 19,200 | 7,857 | 1,072 | 8,929 | 10,271 |
| Commodities | 54,900 | 30,855 | 2,405 | 33,260 | 21,640 |
| Printing | 382,200 | 29,253 | 3,731 | 32,984 | 349,216 |
| Equipment | 733,300 | 103,394 | 169,148 | 272,542 | 460,758 |
| Electronic data processing | 125,600 | 8,148 | 514 | 8,662 | 116,938 |
| Telecommunications | 130,800 | 91,057 | 17,208 | 108,265 | 22,535 |
| Operation of automotive equipment | 4,500 | 3,743 | 177 | 3,920 | 580 |
| Permanent improvements | 106,100 | - | - | - | 106,100 |
| Total - Division 20101 - Supreme Court | 9,928,000 | 7,653,879 | 560,065 | 8,213,944 | 1,714,056 |
| Division 20105 - Circuit Court | | | | | |
| Personal services: | | | | | |
| Official court reporting | 28,936,700 | 27,270,114 | 58,369 | 27,328,483 | 1,608,217 |
| Circuit court personnel | 1,583,100 | 1,567,540 | - | 1,567,540 | 15,560 |
| State contributions to State employees' retirement | 3,180,100 | 2,631,453 | - | 2,631,453 | 548,647 |
| State contributions to social security | 2,296,400 | 2,115,777 | 4,485 | 2,120,262 | 176,138 |
| Contractual services | 237,500 | 82,737 | 6,539 | 89,276 | 148,224 |
| Contractual services: transcript fees | 3,741,400 | 1,747,409 | 140,888 | 1,888,297 | 1,853,103 |
| Travel - official court reporting | 155,800 | 75,921 | 14,110 | 90,031 | 65,769 |
| Travel - circuit court personnel | 11,300 | 5,451 | 752 | 6,203 | 5,097 |

Note: The above information was taken directly from the State Comptroller's records which have been reconciled to those of the Court.

STATE OF ILLINOIS
SUPREME COURT

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2004
FOURTEEN MONTHS ENDED AUGUST 31, 2004

| | Appropriations (Net after Transfers) | Expenditures Through June 30, 2004 | Lapse Period Expenditures | | Total Expenditures | Balances Lapsed |
|--|--|--|------------------------------|-----------------|-----------------------|--------------------|
| | | | July 1 to August 31, 2004 | August 31, 2004 | | |
| Public Act 93-91 | | | | | | |
| Division 20105 - Circuit Court - Continued | | | | | | |
| Equipment | \$ 190,000 | \$ - | \$ - | \$ - | \$ - | \$ 190,000 |
| Electronic data processing | 4,832,400 | 411,992 | 350,554 | 762,546 | 4,069,854 | |
| Sexually Violent Persons Commitment Act | 1,000,000 | 183,242 | 116,780 | 300,022 | 699,978 | |
| Circuit clerks' additional duties | 663,000 | 662,843 | - | 662,843 | 157 | |
| Mandatory arbitration | 548,400 | 537,530 | 2,387 | 539,917 | 8,483 | |
| Pretrial services program | 3,887,500 | 3,857,800 | 29,700 | 3,887,500 | - | |
| Grants-in-aid | 48,644,800 | 47,184,108 | 1,460,692 | 48,644,800 | - | |
| Probation officers' salary subsidies | 15,100,000 | 12,569,653 | 2,478,363 | 15,048,016 | 51,984 | |
| Total - Division 20105 - Circuit Court | 115,008,400 | 100,903,570 | 4,663,619 | 105,567,189 | 9,441,211 | |
| Division 20110 - Administrative Office of the Illinois Courts | | | | | | |
| Personal services | 5,469,900 | 5,177,367 | 554 | 5,177,921 | 291,979 | |
| Retirement - paid by employer | 2,139,000 | 2,134,585 | 1,342 | 2,135,927 | 3,073 | |
| State contributions to State employees' retirement | 564,500 | 494,655 | - | 494,655 | 69,845 | |
| State contributions to social security | 396,000 | 376,813 | 1,121 | 377,934 | 18,066 | |
| Contractual services | 1,392,700 | 335,547 | 856,000 | 1,191,547 | 201,153 | |
| Contractual services: judicial conference & Supreme Court committees | 698,400 | 537,770 | 48,547 | 586,317 | 112,083 | |
| Travel | 176,300 | 140,974 | 16,944 | 157,918 | 18,382 | |
| Commodities | 68,600 | 39,115 | 1,674 | 40,789 | 27,811 | |
| Printing | 100,900 | 27,309 | 13,342 | 40,651 | 60,249 | |
| Equipment | 394,200 | 32,829 | 295,375 | 328,204 | 65,996 | |
| Electronic data processing | 3,394,200 | 922,736 | 559,535 | 1,482,271 | 1,911,929 | |
| Telecommunications | 194,600 | 101,781 | 18,230 | 120,011 | 74,589 | |
| Operation of automotive equipment | 13,200 | 12,131 | 779 | 12,910 | 290 | |
| Probation training | 376,200 | 205,128 | 163,937 | 369,065 | 7,135 | |
| Judges' out-of-state educational programs | 77,000 | 19,565 | - | 19,565 | 57,435 | |
| Training of circuit court officers and personnel | 59,100 | 36,447 | 571 | 37,018 | 22,082 | |
| Illinois Courts Commission | 62,400 | 611 | - | 611 | 61,789 | |
| Total - 20110 - Administrative Office of the Illinois Courts | 15,577,200 | 10,595,363 | 1,977,951 | 12,573,314 | 3,003,886 | |

Note: The above information was taken directly from the State Comptroller's records which have been reconciled to those of the Court.

STATE OF ILLINOIS
SUPREME COURT

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2004
FOURTEEN MONTHS ENDED AUGUST 31, 2004

| | Appropriations (Net after Transfers) | Expenditures Through June 30, 2004 | Lapse Period | | Total Expenditures | Balances Lapsed |
|--|--|--|--|------------------|-----------------------|--------------------|
| | | | Expenditures July 1 to August 31, 2004 | | | |
| Public Act 93-91 | | | | | | |
| Division 20115 - First Appellate District | | | | | | |
| Personal services | \$ 6,473,400 | \$ 6,459,175 | \$ - | \$ 6,459,175 | \$ 14,225 | |
| State contributions to State employees' retirement | 666,200 | 597,869 | - | 597,869 | 68,331 | |
| State contributions to social security | 493,900 | 480,377 | - | 480,377 | 13,523 | |
| Contractual services | 371,300 | 115,679 | 15,538 | 131,217 | 240,083 | |
| Travel | 2,100 | 1,620 | - | 1,620 | 480 | |
| Commodities | 56,000 | 23,540 | 2,087 | 25,627 | 30,373 | |
| Printing | 39,800 | 25,347 | 4,414 | 29,761 | 10,039 | |
| Equipment | 139,000 | 87,011 | 43,820 | 130,831 | 8,169 | |
| Telecommunications | 122,000 | 60,489 | 11,247 | 71,736 | 50,264 | |
| Total - 20115 - First Appellate District | <u>8,363,700</u> | <u>7,851,107</u> | <u>77,106</u> | <u>7,928,213</u> | <u>435,487</u> | |
| Division 20125 - Second Appellate District | | | | | | |
| Personal services | 2,644,700 | 2,638,609 | 962 | 2,639,571 | 5,129 | |
| State contributions to State employees' retirement | 271,400 | 245,918 | - | 245,918 | 25,482 | |
| State contributions to social security | 201,300 | 195,896 | 73 | 195,969 | 5,331 | |
| Contractual services | 574,200 | 122,066 | 340,898 | 462,964 | 111,236 | |
| Travel | 4,800 | 1,883 | - | 1,883 | 2,917 | |
| Commodities | 25,800 | 13,338 | 847 | 14,185 | 11,615 | |
| Printing | 12,900 | 4,661 | 16 | 4,677 | 8,223 | |
| Equipment | 181,200 | 147,105 | 29,390 | 176,495 | 4,705 | |
| Telecommunications | 74,600 | 58,649 | 15,046 | 73,695 | 905 | |
| Operation of automotive equipment | 1,000 | 906 | 68 | 974 | 26 | |
| Total - 20125 - Second Appellate District | <u>3,991,900</u> | <u>3,429,031</u> | <u>387,300</u> | <u>3,816,331</u> | <u>175,569</u> | |

Note: The above information was taken directly from the State Comptroller's records which have been reconciled to those of the Court.

STATE OF ILLINOIS
SUPREME COURT

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2004
FOURTEEN MONTHS ENDED AUGUST 31, 2004

| | Appropriations (Net after Transfers) | Expenditures Through June 30, 2004 | Lapse Period Expenditures July 1 to August 31, 2004 | Total Expenditures | Balances Lapsed |
|---|--|--|--|-----------------------|--------------------|
| | | | | | |
| Public Act 93-91 | | | | | |
| Division 20130 - Certain Officers of the Illinois Courts | | | | | |
| Judges' salaries | \$ 134,447,875 | \$ 134,429,442 | \$ - | \$ 134,429,442 | \$ 18,433 |
| Judges appointed after 12/1/03 | 190,200 | 189,461 | - | 189,461 | 739 |
| State contributions to social security | 1,810,700 | 1,587,304 | - | 1,587,304 | 223,396 |
| Travel: | | | | | |
| Judges of the Supreme Court | 28,500 | 10,046 | 1,263 | 11,309 | 17,191 |
| Judges of the Appellate Court | 143,400 | 80,724 | 7,501 | 88,225 | 55,175 |
| Judges of the Circuit Court | 737,900 | 214,602 | 42,606 | 257,208 | 480,692 |
| Judicial conference and Supreme Court committees | 699,800 | 468,427 | 33,807 | 502,234 | 197,566 |
| Total - 20130 - Certain Officers of the Illinois Courts | <u>138,058,375</u> | <u>136,980,006</u> | <u>85,177</u> | <u>137,065,183</u> | <u>993,192</u> |
| Division 20135 - Third Appellate District | | | | | |
| Personal services | 1,813,400 | 1,812,352 | 266 | 1,812,618 | 782 |
| State contributions to State employees' retirement | 184,800 | 168,123 | - | 168,123 | 16,677 |
| State contributions to social security | 137,000 | 134,619 | 63 | 134,682 | 2,318 |
| Contractual services | 416,200 | 106,716 | 187,018 | 293,734 | 122,466 |
| Travel | 3,600 | 708 | - | 708 | 2,892 |
| Commodities | 21,400 | 14,104 | 438 | 14,542 | 6,858 |
| Printing | 18,100 | 4,519 | 197 | 4,716 | 13,384 |
| Equipment | 216,400 | 98,514 | 37,841 | 136,355 | 80,045 |
| Telecommunications | 53,100 | 39,926 | 12,745 | 52,671 | 429 |
| Operations upon appointment of Judges after 12/1/03 | 276,500 | 33,049 | - | 33,049 | 243,451 |
| Total - 20135 - Third Appellate District | <u>3,140,500</u> | <u>2,412,630</u> | <u>238,568</u> | <u>2,651,198</u> | <u>489,302</u> |

Note: The above information was taken directly from the State Comptroller's records which have been reconciled to those of the Court.

STATE OF ILLINOIS
SUPREME COURT

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2004
FOURTEEN MONTHS ENDED AUGUST 31, 2004

| | Appropriations (Net after Transfers) | Expenditures Through June 30, 2004 | Lapse Period | | Total Expenditures | Balances Lapsed |
|--|--|--|--|--------------------|-----------------------|--------------------|
| | | | Expenditures July 1 to August 31, 2004 | \$ | | |
| Public Act 93-91 | | | | | | |
| Division 20145 - Fourth Appellate District | | | | | | |
| Personal services | \$ 1,993,200 | \$ 1,940,054 | \$ 1,739 | \$ 1,941,793 | \$ 51,407 | |
| State contributions to State employees' retirement | 205,700 | 178,361 | - | 178,361 | 27,339 | |
| State contributions to social security | 152,500 | 140,883 | 160 | 141,043 | 11,457 | |
| Contractual services | 485,500 | 93,635 | 149,726 | 243,361 | 242,139 | |
| Travel | 5,800 | 2,972 | 409 | 3,381 | 2,419 | |
| Commodities | 21,200 | 8,185 | 9,458 | 17,643 | 3,557 | |
| Printing | 9,400 | 4,434 | 527 | 4,961 | 4,439 | |
| Equipment | 125,600 | 43,063 | 9,206 | 52,269 | 73,331 | |
| Telecommunications | 59,300 | 49,793 | 9,097 | 58,890 | 410 | |
| Total - 20145 - Fourth Appellate District | <u>3,058,200</u> | <u>2,461,380</u> | <u>180,322</u> | <u>2,641,702</u> | <u>416,498</u> | |
| Division 20155 - Fifth Appellate District | | | | | | |
| Personal services | 2,021,300 | 2,021,004 | - | 2,021,004 | 296 | |
| State contributions to State employees' retirement | 208,200 | 187,935 | - | 187,935 | 20,265 | |
| State contributions to social security | 150,700 | 149,148 | - | 149,148 | 1,552 | |
| Contractual services | 377,100 | 96,887 | 153,949 | 250,836 | 126,264 | |
| Travel | 5,200 | 3,263 | - | 3,263 | 1,937 | |
| Commodities | 23,100 | 7,113 | 343 | 7,456 | 15,644 | |
| Printing | 15,700 | 6,231 | 464 | 6,695 | 9,005 | |
| Equipment | 168,600 | 124,760 | 39,744 | 164,504 | 4,096 | |
| Telecommunications | 53,500 | 39,625 | 13,193 | 52,818 | 682 | |
| Operation of automotive equipment | 1,200 | 369 | 33 | 402 | 798 | |
| Total - 20155 - Fifth Appellate District | <u>3,024,600</u> | <u>2,636,335</u> | <u>207,726</u> | <u>2,844,061</u> | <u>180,539</u> | |
| Total - General Revenue Fund | <u>300,150,875</u> | <u>274,923,301</u> | <u>8,377,834</u> | <u>283,301,135</u> | <u>16,849,740</u> | |

Note: The above information was taken directly from the State Comptroller's records which have been reconciled to those of the Court.

STATE OF ILLINOIS
SUPREME COURT

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2004
FOURTEEN MONTHS ENDED AUGUST 31, 2004

| | Appropriations (Net after Transfers) | Expenditures Through June 30, 2004 | Lapse Period Expenditures July 1 to August 31, 2004 | Total Expenditures | Balances Lapsed |
|---|--|--|--|-----------------------|----------------------|
| Public Act 93-91 | | | | | |
| Mandatory Arbitration Fund - 0262 | | | | | |
| Division 20101 - Supreme Court | | | | | |
| Mandatory arbitration | \$ 12,300,000 | \$ 6,519,876 | \$ - | \$ 6,519,876 | \$ 5,780,124 |
| Total - Mandatory Arbitration Fund | 12,300,000 | 6,519,876 | - | 6,519,876 | 5,780,124 |
| Foreign Language Interpreter Fund - 0597 | | | | | |
| Division 20101 - Supreme Court | | | | | |
| Foreign language interpreter | 112,300 | - | - | - | 112,300 |
| Total - Foreign Language Interpreter Fund | 112,300 | - | - | - | 112,300 |
| Lawyers' Assistance Program Fund - 0769 | | | | | |
| Division 20101 - Supreme Court | | | | | |
| Lawyers' assistance programs | 700,000 | 335,000 | - | 335,000 | 365,000 |
| Total - Lawyers' Assistance Program Fund | 700,000 | 335,000 | - | 335,000 | 365,000 |
| Total - Appropriated Funds | \$ 313,263,175 | \$ 281,778,177 | \$ 8,377,834 | \$ 290,156,011 | \$ 23,107,164 |

Note: The above information was taken directly from the State Comptroller's records which have been reconciled to those of the Court.

STATE OF ILLINOIS
SUPREME COURT

SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
APPROPRIATIONS FOR FISCAL YEAR 2004
FOURTEEN MONTHS ENDED AUGUST 31, 2004

| | Appropriations (Net after Transfers) | Expenditures Through June 30, 2004 | Lapse Period | | Total Expenditures | Balances Lapsed |
|--|--|--|--|--|-----------------------|--------------------|
| | | | Expenditures July 1 to August 31, 2004 | | | |
| Public Act 93-91 | | | | | | |
| Non-Appropriated Funds | | | | | | |
| Supreme Court Special State Projects Fund - 0230 | | | | | | |
| Division 20110 - Administrative Office of the Illinois Courts | | | | | | |
| Judicial education training grant | \$ 3,494 | \$ 4,839 | \$ 8,333 | | | |
| Juvenile probation risk reduction strategy program | 51,500 | - | 51,500 | | | |
| Probation training and technical assistance grant | 2,755 | 9,954 | 12,709 | | | |
| Refunds to funding sources | 743 | 62,824 | 63,567 | | | |
| Total - Supreme Court Special State Projects Fund | 58,492 | 77,617 | 136,109 | | | |
| Supreme Court Federal Projects Fund - 0269 | | | | | | |
| Division 20110 - Administrative Office of the Illinois Courts | | | | | | |
| State court improvement program | 58,802 | - | 58,802 | | | |
| HHS foster care/adoption proceedings grant | 350,488 | 164 | 350,652 | | | |
| Judicial education training grant | 10,087 | 10,678 | 20,765 | | | |
| Probation training and technical assistance grant | 135,846 | 2,360 | 138,206 | | | |
| Juvenile probation risk reduction strategy program | 135,292 | 19,529 | 154,821 | | | |
| Refunds to funding sources | 13,430 | 608 | 14,038 | | | |
| Total - Supreme Court Federal Projects Fund | 703,945 | 33,339 | 737,284 | | | |
| Total - Non-Appropriated Funds | \$ 762,437 | \$ 110,956 | \$ 873,393 | | | |
| Grand Total - All Funds | \$ 282,540,614 | \$ 8,488,790 | \$ 291,029,404 | | | |

Note: The above information was taken directly from the State Comptroller's records which have been reconciled to those of the Court.

STATE OF ILLINOIS
SUPREME COURT

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES

For the Fiscal Years Ended June 30,

| | Fiscal Year | | |
|--|----------------|----------------|----------------|
| | 2005 | 2004 | 2003 |
| Appropriated Funds | P.A. 93-0842 | P.A. 93-91 | P.A. 92-0538 |
| General Revenue Fund - 0001 | | | |
| Division 20101 - Supreme Court | | | |
| Appropriations (net of transfers) | \$ 11,966,915 | \$ 9,928,000 | \$ 9,931,000 |
| Expenditures | | | |
| Personal services | 6,474,075 | 6,067,440 | 6,087,633 |
| State contributions to State employees' retirement | 1,043,670 | 574,010 | 629,040 |
| State contributions to social security | 480,954 | 453,353 | 452,482 |
| Contractual services | 698,870 | 650,579 | 790,287 |
| Travel | 13,364 | 8,929 | 12,503 |
| Commodities | 37,671 | 33,260 | 40,345 |
| Printing | 176,888 | 32,984 | 143,297 |
| Equipment | 797,721 | 272,542 | 735,434 |
| Electronic data processing | 91,094 | 8,662 | 7,729 |
| Telecommunications | 113,867 | 108,265 | 114,080 |
| Operation of automotive equipment | 7,402 | 3,920 | 6,071 |
| Total expenditures | 9,935,576 | 8,213,944 | 9,018,901 |
| Lapsed balances | \$ 2,031,339 | \$ 1,714,056 | \$ 912,099 |
| Division 20105 - Circuit Court | | | |
| Appropriations (net of transfers) | \$ 107,903,450 | \$ 115,008,400 | \$ 115,069,200 |
| Expenditures | | | |
| Personal services: | | | |
| Official Court Reporting | 28,519,788 | 27,328,483 | 28,484,904 |
| Circuit court personnel | 1,635,332 | 1,567,540 | 1,582,845 |
| State contributions to State employees' retirement | 4,859,031 | 2,631,453 | 3,108,450 |
| State contributions to social security | 2,211,591 | 2,120,262 | 2,212,606 |
| Contractual services | 1,968 | 89,276 | 101,007 |
| Transcription fees | 1,983,043 | 1,888,297 | 1,918,628 |
| Travel - official court reporting | 88,243 | 90,031 | 101,112 |
| Travel - circuit court personnel | 5,583 | 6,203 | 8,034 |
| Electronic data processing | 1,659,552 | 762,546 | 2,548,031 |
| Sexually Violent Persons Commitment Act | 300,000 | 300,022 | 308,348 |

STATE OF ILLINOIS
SUPREME COURT

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES

For the Fiscal Years Ended June 30,

| | Fiscal Year | | |
|--|----------------------|----------------------|----------------------|
| | 2005 | 2004 | 2003 |
| Appropriated Funds | P.A. 93-0842 | P.A. 93-91 | P.A. 92-0538 |
| Division 20105 - Circuit Court - Continued | | | |
| Circuit clerks' additional duties | \$ 663,000 | \$ 662,843 | \$ 663,000 |
| Mandatory arbitration | 734,400 | 539,917 | 531,066 |
| Pretrial services programs | - | 3,887,500 | 3,887,500 |
| Grants-in-aid | - | 48,644,800 | 48,644,800 |
| Probation officers' salary subsidies | - | 15,048,016 | 15,100,000 |
| Probation reimbursements | 58,803,400 | - | - |
| Total expenditures | 101,464,931 | 105,567,189 | 109,200,331 |
| Lapsed balances | <u>\$ 6,438,519</u> | <u>\$ 9,441,211</u> | <u>\$ 5,868,869</u> |
| Division 20110 - Administrative Office of the Illinois Courts | | | |
| Appropriations (net of transfers) | <u>\$ 18,342,885</u> | <u>\$ 15,577,200</u> | <u>\$ 15,647,800</u> |
| Expenditures | | | |
| Personal services | 5,277,865 | 5,177,921 | 5,323,736 |
| Retirement - paid by employer | 2,248,971 | 2,135,927 | 2,184,849 |
| State contributions to State employees' retirement | 850,611 | 494,655 | 549,738 |
| State contributions to social security | 384,363 | 377,934 | 391,386 |
| Contractual services | 1,477,602 | 1,191,547 | 1,286,770 |
| Contractual services - judicial conference and Supreme Court committees | 427,437 | 586,317 | 327,374 |
| Travel | 132,998 | 157,918 | 140,534 |
| Commodities | 43,679 | 40,789 | 48,992 |
| Printing | 44,416 | 40,651 | 59,728 |
| Equipment | 147,142 | 328,204 | 45,450 |
| Electronic data processing | 2,412,733 | 1,482,271 | 2,359,177 |
| Telecommunications | 165,173 | 120,011 | 137,389 |
| Operation of automotive equipment | 17,941 | 12,910 | 14,866 |
| Probation training | 391,252 | 369,065 | 366,992 |
| Judges' out-of-state educational programs | - | 19,565 | 22,876 |
| Training of circuit court officers and personnel | 46,425 | 37,018 | 48,739 |
| Illinois Courts Commission | 2,511 | 611 | 2,953 |
| Total expenditures | 14,071,119 | 12,573,314 | 13,311,549 |
| Lapsed balances | <u>\$ 4,271,766</u> | <u>\$ 3,003,886</u> | <u>\$ 2,336,251</u> |

STATE OF ILLINOIS
SUPREME COURT

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES

For the Fiscal Years Ended June 30,

| | Fiscal Year | | |
|--|-------------------|-------------------|-------------------|
| | 2005 | 2004 | 2003 |
| Appropriated Funds | P.A. 93-0842 | P.A. 93-91 | P.A. 92-0538 |
| Division 20115 - First Appellate District | | | |
| Appropriations (net of transfers) | \$ 9,089,300 | \$ 8,363,700 | \$ 8,346,600 |
| Expenditures | | | |
| Personal services | 6,633,707 | 6,459,175 | 6,454,545 |
| State contributions to State employees' retirement | 1,068,802 | 597,869 | 666,952 |
| State contributions to social security | 492,431 | 480,377 | 480,081 |
| Contractual services | 176,295 | 131,217 | 186,738 |
| Travel | 106 | 1,620 | 1,829 |
| Commodities | 29,581 | 25,627 | 26,678 |
| Printing | 20,933 | 29,761 | 30,766 |
| Equipment | 117,480 | 130,831 | 128,931 |
| Telecommunications | 63,928 | 71,736 | 76,206 |
| Total expenditures | <u>8,603,263</u> | <u>7,928,213</u> | <u>8,052,726</u> |
| Lapsed balances | <u>\$ 486,037</u> | <u>\$ 435,487</u> | <u>\$ 293,874</u> |
| Division 20125 - Second Appellate District | | | |
| Appropriations (net of transfers) | \$ 4,777,900 | \$ 3,991,900 | \$ 4,033,600 |
| Expenditures | | | |
| Personal services | 2,724,854 | 2,639,571 | 2,680,811 |
| State contributions to State employees' retirement | 439,166 | 245,918 | 276,757 |
| State contributions to social security | 202,503 | 195,969 | 199,370 |
| Contractual services | 525,107 | 462,964 | 560,722 |
| Travel | 176 | 1,883 | 2,077 |
| Commodities | 15,067 | 14,185 | 14,821 |
| Printing | 5,120 | 4,677 | 4,639 |
| Equipment | 181,816 | 176,495 | 192,809 |
| Telecommunications | 68,998 | 73,695 | 57,796 |
| Operation of automotive equipment | 926 | 974 | 606 |
| Total expenditures | <u>4,163,733</u> | <u>3,816,331</u> | <u>3,990,408</u> |
| Lapsed balances | <u>\$ 614,167</u> | <u>\$ 175,569</u> | <u>\$ 43,192</u> |

STATE OF ILLINOIS
SUPREME COURT

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES

For the Fiscal Years Ended June 30,

| | Fiscal Year | | |
|---|----------------|----------------|----------------|
| | 2005 | 2004 | 2003 |
| Appropriated Funds | P.A. 93-0842 | P.A. 93-91 | P.A. 92-0538 |
| Division 20130 - Certain Officers of the Illinois Courts | | | |
| Appropriations (net of transfers) | \$ 136,515,280 | \$ 138,058,375 | \$ 126,143,900 |
| Expenditures | | | |
| Judges of the Supreme Court Salaries | 132,905,318 | 134,429,442 | 121,366,890 |
| Judges appointed after 12/1/03 | - | 189,461 | - |
| State contribution to social security | 1,607,157 | 1,587,304 | 1,381,529 |
| Travel: | | | |
| Judges of the Supreme Court | 10,028 | 11,309 | 13,692 |
| Judges of the Appellate Court | 53,544 | 88,225 | 90,006 |
| Judges of the Circuit Court | 256,302 | 257,208 | 382,699 |
| Judicial conference and Supreme Court committees | 256,717 | 502,234 | 311,322 |
| Total expenditures | 135,089,066 | 137,065,183 | 123,546,138 |
| Lapsed balances | \$ 1,426,214 | \$ 993,192 | \$ 2,597,762 |
| Division 20135 - Third Appellate District | | | |
| Appropriations (net of transfers) | \$ 3,781,760 | \$ 3,140,500 | \$ 2,841,700 |
| Expenditures | | | |
| Personal services | 1,985,857 | 1,812,618 | 1,790,343 |
| State contributions to State employees' retirement | 319,951 | 168,123 | 184,829 |
| State contributions to social security | 147,450 | 134,682 | 133,520 |
| Contractual services | 338,420 | 293,734 | 343,257 |
| Travel | 573 | 708 | 2,308 |
| Commodities | 16,780 | 14,542 | 16,587 |
| Printing | 5,235 | 4,716 | 5,101 |
| Equipment | 214,881 | 136,355 | 216,066 |
| Telecommunications | 52,857 | 52,671 | 47,221 |
| Operations upon appointment of Judges after 12/1/03 | - | 33,049 | - |
| Total expenditures | 3,082,004 | 2,651,198 | 2,739,232 |
| Lapsed balances | \$ 699,756 | \$ 489,302 | \$ 102,468 |

STATE OF ILLINOIS
SUPREME COURT

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES

For the Fiscal Years Ended June 30,

| | Fiscal Year | | |
|--|--------------|--------------|--------------|
| | 2005 | 2004 | 2003 |
| Appropriated Funds | P.A. 93-0842 | P.A. 93-91 | P.A. 92-0538 |
| Division 20145 - Fourth Appellate District | | | |
| Appropriations (net of transfers) | \$ 3,508,800 | \$ 3,058,200 | \$ 3,058,200 |
| Expenditures | | | |
| Personal services | 2,046,860 | 1,941,793 | 1,976,003 |
| State contributions to State employees' retirement | 329,882 | 178,361 | 204,213 |
| State contributions to social security | 147,532 | 141,043 | 147,094 |
| Contractual services | 313,148 | 243,361 | 386,581 |
| Travel | 2,896 | 3,381 | 3,826 |
| Commodities | 7,767 | 17,643 | 9,680 |
| Printing | 4,746 | 4,961 | 5,155 |
| Equipment | 64,779 | 52,269 | 58,615 |
| Telecommunications | 51,896 | 58,890 | 52,113 |
| Total expenditures | 2,969,506 | 2,641,702 | 2,843,280 |
| Lapsed balances | \$ 539,294 | \$ 416,498 | \$ 214,920 |
| Division 20155 - Fifth Appellate District | | | |
| Appropriations (net of transfers) | \$ 3,454,910 | \$ 3,024,600 | \$ 3,033,600 |
| Expenditures | | | |
| Personal services | 1,971,122 | 2,021,004 | 2,025,282 |
| State contributions to State employees' retirement | 318,799 | 187,935 | 209,098 |
| State contributions to social security | 145,029 | 149,148 | 149,689 |
| Contractual services | 292,381 | 250,836 | 286,683 |
| Travel | 426 | 3,263 | 4,002 |
| Commodities | 7,362 | 7,456 | 8,015 |
| Printing | 9,151 | 6,695 | 10,425 |
| Equipment | 133,567 | 164,504 | 174,143 |
| Telecommunications | 39,130 | 52,818 | 53,015 |
| Operation of automotive equipment | 620 | 402 | 594 |
| Total expenditures | 2,917,587 | 2,844,061 | 2,920,946 |
| Lapsed balances | \$ 537,323 | \$ 180,539 | \$ 112,654 |

STATE OF ILLINOIS
SUPREME COURT

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES

For the Fiscal Years Ended June 30,

| | Fiscal Year | | |
|---|----------------------|----------------------|----------------------|
| | 2005 | 2004 | 2003 |
| Appropriated Funds | P.A. 93-0842 | P.A. 93-91 | P.A. 92-0538 |
| Total General Revenue Fund | | | |
| Appropriations (net of transfers) | \$ 299,341,200 | \$ 300,150,875 | \$ 288,105,600 |
| Expenditures | 282,296,785 | 283,301,135 | 275,623,511 |
| Lapsed balances | <u>\$ 17,044,415</u> | <u>\$ 16,849,740</u> | <u>\$ 12,482,089</u> |
| Mandatory Arbitration Fund - 0262 | | | |
| Division 20101 - Supreme Court | | | |
| Appropriations (net of transfers) | \$ 12,300,000 | \$ 12,300,000 | \$ 9,358,800 |
| Mandatory arbitration | 4,172,429 | 6,519,876 | 4,506,623 |
| Total expenditures | 4,172,429 | 6,519,876 | 4,506,623 |
| Lapsed balances | <u>\$ 8,127,571</u> | <u>\$ 5,780,124</u> | <u>\$ 4,852,177</u> |
| Foreign Language Interpreter Fund - 0597 | | | |
| Division 20101 - Supreme Court | | | |
| Appropriations (net of transfers) | \$ 112,300 | \$ 112,300 | \$ 112,300 |
| Foreign language interpreter | - | - | - |
| Total expenditures | - | - | - |
| Lapsed balances | <u>\$ 112,300</u> | <u>\$ 112,300</u> | <u>\$ 112,300</u> |
| Lawyers' Assistance Program Fund - 0769 | | | |
| Division 20101 - Supreme Court | | | |
| Appropriations (net of transfers) | \$ 700,000 | \$ 700,000 | \$ 700,000 |
| Lawyers' assistance programs | 382,000 | 335,000 | 125,000 |
| Total expenditures | 382,000 | 335,000 | 125,000 |
| Lapsed balances | <u>\$ 318,000</u> | <u>\$ 365,000</u> | <u>\$ 575,000</u> |

STATE OF ILLINOIS
SUPREME COURT

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES,
AND LAPSED BALANCES

For the Fiscal Years Ended June 30,

| | Fiscal Year | | |
|--|----------------------|----------------------|----------------------|
| | 2005 | 2004 | 2003 |
| Appropriated Funds | P.A. 93-0842 | P.A. 93-91 | P.A. 92-0538 |
| Total - Appropriated Funds | | | |
| Appropriations (net of transfers) | \$ 312,453,500 | \$ 313,263,175 | \$ 298,276,700 |
| Expenditures | 286,851,214 | 290,156,011 | 280,255,134 |
| Lapsed balances | <u>\$ 25,602,286</u> | <u>\$ 23,107,164</u> | <u>\$ 18,021,566</u> |
| Non-Appropriated Funds | | | |
| Supreme Court Special State Projects Fund - 0230 | | | |
| Division 20110 - Administrative Office of the Illinois Courts | | | |
| Judicial education training grant | \$ 6,250 | \$ 8,333 | \$ - |
| Juvenile probation risk reduction strategy program | 38,625 | 51,500 | 50,000 |
| Probation training and technical assistance grant | 110,475 | 12,709 | 79,965 |
| Refunds to funding sources | 8,998 | 63,567 | - |
| Total expenditures | <u>164,348</u> | <u>136,109</u> | <u>129,965</u> |
| Supreme Court Federal Projects Fund - 0269 | | | |
| Division 20110 - Administrative Office of the Illinois Courts | | | |
| State court improvement program | 384,822 | 58,802 | 214,016 |
| HHS foster care/adoption proceedings grant | - | 350,652 | 62,922 |
| Judicial education training grant | 15,262 | 20,765 | - |
| Probation training and technical assistance grant | 172,243 | 138,206 | 221,525 |
| Juvenile probation risk reduction strategy program | 62,937 | 154,821 | 78,421 |
| Domestic violence and sex offender training grant | - | - | 13,814 |
| Refunds to funding sources | 35,281 | 14,038 | 72 |
| Total expenditures | <u>670,545</u> | <u>737,284</u> | <u>590,770</u> |
| Total - Non-Appropriated Funds | <u>\$ 834,893</u> | <u>\$ 873,393</u> | <u>\$ 720,735</u> |

STATE OF ILLINOIS
SUPREME COURT

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS,
EXPENDITURES, AND LAPSED BALANCES

TOTAL - BY MAJOR OBJECT CODE AND BY FUND

For the Fiscal Years Ended June 30,

| | Fiscal Year | | |
|---|----------------|----------------|----------------|
| | 2005 | 2004 | 2003 |
| | P.A. 93-0842 | P.A. 93-91 | P.A. 92-0538 |
| Total - All Appropriated Funds | | | |
| Appropriations (net after transfers) | \$ 312,453,500 | \$ 313,263,175 | \$ 298,276,700 |
| Expenditures | | | |
| Personal services: | | | |
| Administrative personnel | 27,114,340 | 26,119,522 | 26,338,353 |
| Official court reporting | 28,519,788 | 27,328,483 | 28,484,904 |
| Circuit court personnel | 1,635,332 | 1,567,540 | 1,582,845 |
| Judges' salaries | 132,905,318 | 134,429,442 | 121,366,890 |
| Judges appointed after 12/1/03 | - | 189,461 | - |
| State contributions to State employees' retirement | 9,229,912 | 5,078,324 | 5,829,077 |
| Retirement paid by employer | 2,248,971 | 2,135,927 | 2,184,849 |
| State contributions to social security | 5,819,010 | 5,640,072 | 5,547,757 |
| Contractual services: | | | |
| Administrative contractual services | 3,823,791 | 3,313,514 | 3,942,045 |
| Transcription fees | 1,983,043 | 1,888,297 | 1,918,628 |
| Judicial conference and Supreme Court committees | 427,437 | 586,317 | 327,374 |
| Travel: | | | |
| Administrative personnel | 150,539 | 177,702 | 167,079 |
| Official court reporting | 88,243 | 90,031 | 101,112 |
| Circuit court personnel | 5,583 | 6,203 | 8,034 |
| Judges of the Supreme Court | 10,028 | 11,309 | 13,692 |
| Judges of the Appellate Court | 53,544 | 88,225 | 90,006 |
| Judges of the Circuit Court | 256,302 | 257,208 | 382,699 |
| Judicial conference and Supreme Court committees | 256,717 | 502,234 | 311,322 |
| Commodities | 157,907 | 153,502 | 165,118 |
| Printing | 266,489 | 124,445 | 259,111 |
| Equipment | 1,657,386 | 1,261,200 | 1,551,448 |
| Electronic data processing | 4,163,379 | 2,253,479 | 4,914,937 |
| Telecommunications | 555,849 | 538,086 | 537,820 |
| Operation of automotive equipment | 26,889 | 18,206 | 22,137 |
| Sexually Violent Persons Commitment Act | 300,000 | 300,022 | 308,348 |
| Circuit clerks' additional duties | 663,000 | 662,843 | 663,000 |
| Pretrial services program | - | 3,887,500 | 3,887,500 |
| Grants-in-aid | - | 48,644,800 | 48,644,800 |
| Probation officers' salary subsidies | - | 15,048,016 | 15,100,000 |
| Probation reimbursements | 58,803,400 | - | - |
| Mandatory arbitration | 4,906,829 | 7,059,793 | 5,037,689 |
| Probation training | 391,252 | 369,065 | 366,992 |
| Judges' out-of-state educational programs | - | 19,565 | 22,876 |
| Training of circuit court officers and personnel | 46,425 | 37,018 | 48,739 |
| Operations upon appointment of judges after 12/1/03 | - | 33,049 | - |

STATE OF ILLINOIS
SUPREME COURT

COMPARATIVE SCHEDULE OF NET APPROPRIATIONS,
EXPENDITURES, AND LAPSED BALANCES

TOTAL - BY MAJOR OBJECT CODE AND BY FUND

For the Fiscal Years Ended June 30,

| | Fiscal Year | | |
|--|-----------------------|-----------------------|-----------------------|
| | 2005 | 2004 | 2003 |
| | P.A. 93-0842 | P.A. 93-91 | P.A. 92-0538 |
| Total - All Appropriated Funds - continued | | | |
| Expenditures - continued | | | |
| Illinois Courts Commission | \$ 2,511 | \$ 611 | \$ 2,953 |
| Lawyers' assistance programs | 382,000 | 335,000 | 125,000 |
| Total expenditures | <u>286,851,214</u> | <u>290,156,011</u> | <u>280,255,134</u> |
| Lapsed balances | <u>\$ 25,602,286</u> | <u>\$ 23,107,164</u> | <u>\$ 18,021,566</u> |
| Total - All Nonappropriated Funds | | | |
| Expenditures | | | |
| Judicial education training | \$ 21,512 | \$ 29,098 | \$ - |
| Court improvement program grant | 384,822 | 58,802 | 214,016 |
| Domestic violence and sex offender training grant | - | - | 13,814 |
| HHS foster care and adoption proceedings grant | - | 350,652 | 62,922 |
| Juvenile probation risk reduction strategy program | 101,562 | 206,321 | 128,421 |
| Probation training and technical assistance grant | 282,718 | 150,915 | 301,490 |
| Refunds to funding sources | 44,279 | 77,605 | 72 |
| Total expenditures | <u>\$ 834,893</u> | <u>\$ 873,393</u> | <u>\$ 720,735</u> |
| Fund Name - All Appropriated Funds | | | |
| Appropriations (net after transfers) | <u>\$ 312,453,500</u> | <u>\$ 313,263,175</u> | <u>\$ 298,276,700</u> |
| Expenditures | | | |
| General Revenue - 0001 | 282,296,785 | 283,301,135 | 275,623,511 |
| Mandatory Arbitration - 0262 | 4,172,429 | 6,519,876 | 4,506,623 |
| Foreign Language Interpreter - 0597 | - | - | - |
| Lawyers' Assistance Program - 0769 | 382,000 | 335,000 | 125,000 |
| Total expenditures | <u>286,851,214</u> | <u>290,156,011</u> | <u>280,255,134</u> |
| Lapsed balances | <u>\$ 25,602,286</u> | <u>\$ 23,107,164</u> | <u>\$ 18,021,566</u> |
| Nonappropriated Expenditures | | | |
| Supreme Court Special State Projects Fund - 0230 | \$ 164,348 | \$ 136,109 | \$ 129,965 |
| Supreme Court Federal Projects Fund - 0269 | 670,545 | 737,284 | 590,770 |
| Total expenditures | <u>\$ 834,893</u> | <u>\$ 873,393</u> | <u>\$ 720,735</u> |

STATE OF ILLINOIS
SUPREME COURT

EXPENDITURE AND OTHER KEY STATISTICS

For the Fiscal Years Ended June 30,

| | Fiscal Year | | |
|---|---------------------|---------------------|---------------------|
| | 2005 | 2004 | 2003 |
| Expenditure Statistics | | | |
| Total expenditures - All Funds | \$ 287,686,107 | \$ 291,029,404 | \$ 280,975,869 |
| Operations Total | \$ 221,355,797 | \$ 213,758,729 | \$ 206,047,237 |
| % of total expenditures | 76.9% | 73.4% | 73.3% |
| Personal services | \$ 190,174,778 | \$ 189,634,448 | \$ 177,772,992 |
| % of operations expenditures | 85.9% | 88.7% | 86.3% |
| Average number of employees | 2,084 | 2,071 | 2,090 |
| Other payroll costs (FICA, retirement) | \$ 17,297,893 | \$ 12,854,323 | \$ 13,561,683 |
| % of operations expenditures | 7.8% | 6.0% | 6.6% |
| Contractual services | \$ 6,234,271 | \$ 5,788,128 | \$ 6,188,047 |
| % of operations expenditures | 2.8% | 2.7% | 3.0% |
| All other operations items | \$ 7,648,855 | \$ 5,481,830 | \$ 8,524,515 |
| % of operations expenditures | 3.5% | 2.6% | 4.1% |
| Grants, lump sums, other: | | | |
| Grants | \$ - | \$ 63,692,816 | \$ 63,744,800 |
| % of total expenditures | 0.0% | 21.9% | 22.7% |
| Lump sums, other | \$ 66,330,310 | \$ 13,577,859 | \$ 11,183,832 |
| % of total expenditures | 23.1% | 4.7% | 4.0% |
| Cost of property and equipment | \$ 44,437,254 | \$ 42,667,142 | \$ 40,388,234 |
| Cash receipts | | | |
| Grant receipts | \$ 833,788 | \$ 993,621 | \$ 578,409 |
| Mandatory arbitration | 5,238,599 | 5,385,044 | 5,713,667 |
| Lawyers' Assistance Program annual fees | 420,203 | 413,070 | 404,558 |
| Pro-rata share of salaries | 194,998 | 196,960 | 196,636 |
| Royalties | 72,347 | 39,965 | 30,636 |
| Registration fees & certificates | 168,680 | 165,587 | 140,933 |
| Licenses | 15,040 | 13,320 | 12,945 |
| Dockets | 168,399 | 114,250 | 121,445 |
| Appearances | 79,570 | 51,345 | 50,265 |
| Opinions | 14,721 | 14,757 | 14,312 |
| Copies | 12,900 | 12,425 | 10,515 |
| Other | 10,956 | 17,418 | 14,766 |
| Total receipts | <u>\$ 7,230,201</u> | <u>\$ 7,417,762</u> | <u>\$ 7,289,087</u> |

STATE OF ILLINOIS
SUPREME COURT

EXPENDITURE AND OTHER KEY STATISTICS

For the Fiscal Years Ended June 30,

| | Fiscal Year | | |
|--|-------------|-----------|-----------|
| | 2005 | 2004 | 2003 |
| Selected outcome indicators (not examined) | | | |
| <i>Supreme Court</i> | | | |
| % of attorneys disciplined | 0.22% | 0.21% | 0.18% |
| Total cases disposed | 3,096 | 3,264 | 3,524 |
| % of miscellaneous record cases disposed | 26.1% | 21.5% | 19.4% |
| % of miscellaneous docket cases disposed | 9.9% | 7.0% | 4.7% |
| % of civil cases disposed | 25.4% | 24.3% | 21.9% |
| % of criminal cases disposed | 38.5% | 47.2% | 54.0% |
| <i>Appellate Court</i> | | | |
| Number of cases disposed | 8,074 | 8,766 | 9,190 |
| % of civil cases disposed | 58.2% | 54.0% | 51.5% |
| % of criminal cases disposed | 41.8% | 46.0% | 48.5% |
| <i>Circuit Court</i> | | | |
| Number of cases disposed | 3,944,106 | 4,110,734 | 4,081,220 |
| % of civil cases disposed | 17.2% | 17.2% | 16.4% |
| % of criminal cases disposed | 12.0% | 11.7% | 12.1% |
| % of traffic, conservation, and ordinance cases disposed | 70.0% | 70.4% | 70.7% |
| % of juvenile cases disposed | 0.8% | 0.7% | 0.8% |
| <i>Mandatory Arbitration</i> | | | |
| Number of civil cases disposed prior to hearing | 22,692 | 20,680 | 19,889 |
| % of cases disposed prior to hearing | 54.8% | 50.5% | 50.4% |
| Number of post-hearing dispositions | 7,765 | 4,536 | 8,674 |
| Number of post-rejection dispositions | 2,546 | 2,814 | 3,375 |
| Number of civil cases proceeded to trial | 556 | 657 | 903 |
| % of civil cases proceeded to trial | 1.3% | 1.6% | 2.3% |

STATE OF ILLINOIS
SUPREME COURT

SCHEDULE OF EFFICIENCY INITIATIVE PAYMENTS

Fiscal Years Ended June 30, 2005 and 2004

The Supreme Court did not incur any efficiency initiative payments during the engagement period.

STATE OF ILLINOIS
SUPREME COURT

COMPARATIVE SCHEDULE OF RECEIPTS, DISBURSEMENTS AND
FUND BALANCE (CASH BASIS) - LOCALLY HELD FUNDS

| | Fiscal Year | | |
|--|-------------|-----------|------------|
| | 2005 | 2004 | 2003 |
| <u>COURTS' SAFEKEEPING FUND - 1343</u> | | | |
| Cash balance, July 1 | \$ 6,032 | \$ 12,532 | \$ 5,032 |
| Receipts | - | 1,000 | 7,500 |
| Disbursements | - | (7,500) | - |
| Cash balance, June 30 | \$ 6,032 | \$ 6,032 | \$ 12,532 |
| <u>MARRIAGE DIVISIONS FUND - 1354</u> | | | |
| Cash balance, July 1 | Note 1 | Note 1 | \$ 393,347 |
| Receipts | | | 308,938 |
| Disbursements | | | (288,623) |
| Cash balance, June 30 | | | \$ 413,662 |

Note 1: During the current examination, in a memo dated August 4, 2005, we were informed it is the position of the Supreme Court of Illinois that the Marriage Funds are not state funds, and are therefore outside the scope of the audit of the Judicial Branch. In 1999, the Court brought the Marriage Fund accounts to the attention of the Auditor General, referring to these funds as "state funds," which were then subsequently audited by the Auditor General. The Auditor General acceded to the Court's characterization of these funds in 1999, and acceded to the reversal of precedent in position in 2005.

As a result, the Marriage Fund accounts are no longer part of the scope of the examination.

Note 2: The Courts' Safekeeping Fund (1343) is reported as a locally held fund and is maintained in the State Treasury. The Appellate Court Districts deposit bail bond money for defendants whose cases are on appeal at the Appellate Court.

Note 3: The above schedule is presented on the cash basis of accounting.

STATE OF ILLINOIS
SUPREME COURT

SCHEDULE OF CHANGES IN STATE PROPERTY
Fiscal Years Ended June 30, 2004 and 2005

| | Library (1) | Land | Buildings | Equipment | Totals |
|---------------------------------|--------------|------------|---------------|---------------|---------------|
| Balance July 1, 2003 | \$ 3,176,911 | \$ 307,839 | \$ 18,786,144 | \$ 18,117,340 | \$ 40,388,234 |
| Additions | 269,681 | - | - | 1,829,846 | 2,099,527 |
| Deletions and Other Adjustments | (67,220) | - | - | (383,927) | (451,147) |
| Net Transfers | - | - | 1,484,855 | (854,327) | 630,528 |
| Balance June 30, 2004 | 3,379,372 | 307,839 | 20,270,999 | 18,708,932 | 42,667,142 |
| Additions | 292,512 | - | - | 2,310,659 | 2,603,171 |
| Deletions and Other Adjustments | (114,269) | - | - | (697,478) | (811,747) |
| Net Transfers | - | - | 1,066,273 | (1,087,585) | (21,312) |
| Balance June 30, 2005 | \$ 3,557,615 | \$ 307,839 | \$ 21,337,272 | \$ 19,234,528 | \$ 44,437,254 |

(1) Amount represents library books held at the Supreme Court Library and the offices of the Supreme Court Justices.

This schedule has been reconciled to property reports submitted to the Office of the Comptroller.

STATE OF ILLINOIS
 SUPREME COURT
 COMPARATIVE SCHEDULE OF CASH RECEIPTS
 Fiscal Years Ended June 30, 2005, 2004, and 2003

| | <u>2005</u> | <u>2004</u> | <u>2003</u> |
|--|----------------|----------------|----------------|
| Deposits by the Illinois Supreme Court: | | | |
| <u>General Revenue Fund - 0001</u> | | | |
| Supreme Court | | | |
| Pro rata share of salaries | \$ 194,998 | \$ 196,960 | \$ 196,636 |
| Royalties | 72,347 | 39,965 | 30,636 |
| Court library fees | 2,760 | 2,689 | 3,641 |
| Prior year salary refunds | - | 4,140 | - |
| Phone call reimbursements | 3,145 | 5,664 | 6,490 |
| Jury duty | 1,136 | 1,820 | 885 |
| Offset | 1,553 | 14 | 1,619 |
| Subpoena fees | - | 45 | - |
| Vendor refunds | 428 | 95 | 80 |
| | <u>276,367</u> | <u>251,392</u> | <u>239,987</u> |
| Supreme Court Clerk | | | |
| Registration fees & certificates | 168,680 | 165,587 | 140,933 |
| Licenses | 15,040 | 13,320 | 12,945 |
| Dockets | 21,100 | 21,550 | 22,125 |
| Appearances | 7,650 | 7,125 | 6,330 |
| Opinions | 1,788 | 1,437 | 1,913 |
| Copies and postage | 8,287 | 7,323 | 6,516 |
| | <u>222,545</u> | <u>216,342</u> | <u>190,762</u> |
| First Appellate Court | | | |
| Dockets | 44,559 | 43,750 | 48,845 |
| Appearances | 24,430 | 23,595 | 23,700 |
| Opinions | 10,252 | 10,667 | 9,375 |
| Copies, records, and certificates | 1,495 | 1,525 | 1,241 |
| | <u>80,736</u> | <u>79,537</u> | <u>83,161</u> |
| Second Appellate Court | | | |
| Dockets | 16,700 | 17,275 | 19,200 |
| Appearances | 6,960 | 7,605 | 7,650 |
| Opinions | 333 | 426 | 416 |
| Postage and shipping | 338 | 343 | 389 |
| Copies and certificates | 314 | 207 | 546 |
| | <u>24,645</u> | <u>25,856</u> | <u>28,201</u> |

STATE OF ILLINOIS
 SUPREME COURT
 COMPARATIVE SCHEDULE OF CASH RECEIPTS
 Fiscal Years Ended June 30, 2005, 2004, and 2003

| | 2005 | 2004 | 2003 |
|---|----------------------------|----------------------------|----------------------------|
| <u>General Revenue Fund - 0001 (continued)</u> | | | |
| Third Appellate Court | | | |
| Dockets | \$ 9,100 | \$ 10,025 | \$ 10,800 |
| Appearances | 4,035 | 4,110 | 4,470 |
| Opinions | 1,461 | 1,264 | 1,705 |
| Postage and shipping | 611 | 897 | 650 |
| Copies and certificates | 794 | 1,604 | 1,222 |
| Total Third Appellate Court | <u>16,001</u> | <u>17,900</u> | <u>18,847</u> |
| Fourth Appellate Court | | | |
| Dockets | 7,400 | 9,875 | 10,225 |
| Appearances | 3,795 | 3,825 | 3,915 |
| Opinions | 504 | 470 | 449 |
| Postage and shipping | 10 | - | - |
| Copies and certificates | 297 | 103 | 203 |
| Total Fourth Appellate Court | <u>12,006</u> | <u>14,273</u> | <u>14,792</u> |
| Fifth Appellate Court | | | |
| Dockets | 9,525 | 11,775 | 10,250 |
| Appearances | 4,560 | 5,085 | 4,200 |
| Opinions | 383 | 493 | 454 |
| Postage and shipping | 975 | 1,711 | 1,012 |
| Copies and certificates | 1,713 | 1,663 | 787 |
| Total Fifth Appellate Court | <u>17,156</u> | <u>20,727</u> | <u>16,703</u> |
| Total General Revenue Fund - 0001 | <u>\$ 649,456</u> | <u>\$ 626,027</u> | <u>\$ 592,453</u> |
| <u>Supreme Court Special State Projects Fund - 0230</u> | | | |
| Probation training and technical assistance grant | \$ 119,474 | \$ 75,533 | \$ 80,708 |
| Judicial education training program | 6,583 | 8,000 | - |
| Juvenile risk reduction strategy program grant | 38,625 | 51,500 | 50,000 |
| Total Special State Projects Fund - 0230 | <u>\$ 164,682</u> | <u>\$ 135,033</u> | <u>\$ 130,708</u> |
| <u>Supreme Court Federal Projects Fund - 0269</u> | | | |
| Court improvement program grant | \$ 291,260 | \$ 622,259 | \$ 72,075 |
| Juvenile risk reduction strategy program grant | 122,579 | 149,796 | 150,000 |
| Judicial education training program grant | 28,667 | 11,000 | - |
| Probation training and technical assistance grant | 226,600 | 75,533 | 225,626 |
| Total Federal Projects Fund - 0269 | <u>\$ 669,106</u> | <u>\$ 858,588</u> | <u>\$ 447,701</u> |
| Total per Illinois Supreme Court Records | <u><u>\$ 1,483,244</u></u> | <u><u>\$ 1,619,648</u></u> | <u><u>\$ 1,170,862</u></u> |

STATE OF ILLINOIS
 SUPREME COURT
 COMPARATIVE SCHEDULE OF CASH RECEIPTS
 Fiscal Years Ended June 30, 2005, 2004, and 2003

| | 2005 | 2004 | 2003 |
|---|---------------------|---------------------|---------------------|
| Other Receipts Deposited on Behalf of the Court: | | | |
| <u>Reviewing Court Alternative Dispute Resolution Fund - 0108</u> | | | |
| First Appellate Court | | | |
| Dockets | \$ 60,015 | \$ - | \$ - |
| Appearances | 28,140 | - | - |
| | <u>\$ 88,155</u> | <u>\$ -</u> | <u>\$ -</u> |
| <u>Mandatory Arbitration Fund - 0262</u> | | | |
| Circuit Court | | | |
| Boone | \$ 13,176 | \$ 11,240 | \$ 12,440 |
| Cook | 3,801,390 | 3,942,480 | 4,267,450 |
| DuPage | 305,982 | 309,470 | 306,603 |
| Ford | 3,496 | 3,800 | 4,580 |
| Henry | 16,240 | 14,904 | 15,832 |
| Kane | 158,133 | 145,164 | 168,981 |
| Lake | 213,600 | 260,867 | 217,298 |
| McHenry | 102,156 | 93,563 | 98,140 |
| McLean | 57,453 | 58,472 | 60,212 |
| Mercer | 3,895 | 4,248 | 4,478 |
| Rock Island | 73,241 | 69,699 | 73,525 |
| St. Clair | 138,253 | 127,648 | 140,616 |
| Whiteside | 27,160 | 27,288 | 31,532 |
| Will | 197,264 | 190,169 | 181,656 |
| Winnebago | 127,160 | 126,032 | 130,324 |
| | <u>\$ 5,238,599</u> | <u>\$ 5,385,044</u> | <u>\$ 5,713,667</u> |
| <u>Lawyers' Assistance Program Fund - 0769</u> | | | |
| Total annual fees | <u>\$ 420,203</u> | <u>\$ 413,070</u> | <u>\$ 404,558</u> |
| Total deposits by Circuit Courts on behalf of the Court | <u>\$ 5,746,957</u> | <u>\$ 5,798,114</u> | <u>\$ 6,118,225</u> |
| Total Cash Receipts | <u>\$ 7,230,201</u> | <u>\$ 7,417,762</u> | <u>\$ 7,289,087</u> |

STATE OF ILLINOIS
 SUPREME COURT
 RECONCILIATION SCHEDULE OF CASH RECEIPTS
 TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
 For the Fiscal Year Ended June 30, 2005

| | (1) General Revenue Fund 0001 | Supreme Court Special State Projects Fund 0230 | Supreme Court Federal Projects Fund 0269 | Total |
|--|---|---|---|---------------------|
| Receipts per Court Records | \$ 649,456 | \$ 164,682 | \$ 669,106 | \$ 1,483,244 |
| Plus Deposits in Transit, Beginning of Year | 10,290 | - | - | 10,290 |
| Less Deposits in Transit, End of Year | 9,990 | - | - | 9,990 |
| Deposits Recorded by the Comptroller | <u>\$ 649,756</u> | <u>\$ 164,682</u> | <u>\$ 669,106</u> | 1,483,544 |
| Other Receipts Deposited on Behalf of the Court: | | | | |
| Reviewing Court Alternative Dispute Resolution Fund - 0108 | | | | 81,100 (2) |
| Mandatory Arbitration Fund - 0262 | | | | 5,140,054 (2) |
| Lawyers' Assistance Program Fund - 0769 | | | | <u>419,851 (2)</u> |
| Grand Total of Deposits Recorded by the Comptroller | | | | <u>\$ 7,124,549</u> |

| (1) | Receipts Per Court Records | Plus Deposits In Transit Beg. Of Yr. | Less Deposits In Transit End Of Yr. | Deposits Recorded by the Comptroller |
|--|-------------------------------|--|---|--|
| General Revenue Fund - 0001 Total Breakdown: | | | | |
| Supreme Court | \$ 276,367 | \$ 1,311 | \$ 328 | \$ 277,350 |
| Supreme Court Clerk | 222,545 | 3,011 | 2,877 | 222,679 |
| First Appellate Clerk | 80,736 | 1,994 | 2,854 | 79,876 |
| Second Appellate Clerk | 24,645 | 1,189 | 1,283 | 24,551 |
| Third Appellate Clerk | 16,001 | 1,306 | 1,239 | 16,068 |
| Fourth Appellate Clerk | 12,006 | 540 | 545 | 12,001 |
| Fifth Appellate Clerk | 17,156 | 939 | 864 | 17,231 |
| Fund Total | <u>\$ 649,456</u> | <u>\$ 10,290</u> | <u>\$ 9,990</u> | <u>\$ 649,756</u> |

(2) These totals reconcile to the Comptroller's Cash Report and represent monies deposited on behalf of the Court by other entities.

STATE OF ILLINOIS
 SUPREME COURT
 RECONCILIATION SCHEDULE OF CASH RECEIPTS
 TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
 For the Fiscal Year Ended June 30, 2004

| | (1) General Revenue Fund 0001 | Supreme Court Special State Projects Fund 0230 | Supreme Court Federal Projects Fund 0269 | Total |
|---|---|---|---|---------------------|
| Receipts per Court Records | \$ 626,027 | \$ 135,033 | \$ 858,588 | \$ 1,619,648 |
| Plus Deposits in Transit, Beginning of Year | 10,444 | - | - | 10,444 |
| Less Deposits in Transit, End of Year | <u>10,290</u> | <u>-</u> | <u>-</u> | <u>10,290</u> |
| Deposits Recorded by the Comptroller | <u>\$ 626,181</u> | <u>\$ 135,033</u> | <u>\$ 858,588</u> | 1,619,802 |
| Other Receipts Deposited on Behalf of the Court | | | | |
| Mandatory Arbitration Fund - 0262 | | | | 5,388,361 (2) |
| Lawyers' Assistance Program Fund - 0769 | | | | <u>413,191 (2)</u> |
| Grand Total of Deposits Recorded by the Comptroller | | | | <u>\$ 7,421,354</u> |

| | Receipts Per Court Records | Plus Deposits In Transit Beg. Of Yr. | Less Deposits In Transit End Of Yr. | Deposits Recorded by the Comptroller |
|--|-------------------------------|--|---|--|
| (1) General Revenue Fund - 0001 Total Breakdown: | | | | |
| Supreme Court | \$ 251,392 | \$ 788 | \$ 1,311 | \$ 250,869 |
| Supreme Court Clerk | 216,342 | 2,236 | 3,011 | 215,567 |
| First Appellate Clerk | 79,537 | 3,035 | 1,994 | 80,578 |
| Second Appellate Clerk | 25,856 | 1,815 | 1,189 | 26,482 |
| Third Appellate Clerk | 17,900 | 1,603 | 1,306 | 18,197 |
| Fourth Appellate Clerk | 14,273 | 411 | 540 | 14,144 |
| Fifth Appellate Clerk | 20,727 | 556 | 939 | 20,344 |
| Fund Total | <u>\$ 626,027</u> | <u>\$ 10,444</u> | <u>\$ 10,290</u> | <u>\$ 626,181</u> |

(2) These totals reconcile to the Comptroller's Cash Report and represent monies deposited on behalf of the Court by other entities.

STATE OF ILLINOIS
SUPREME COURT

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES

The Illinois Supreme Court's (Court) explanation for significant fluctuations in expenditures in excess of 20% and \$3,000 as presented in the Comparative Schedule of Net Appropriations, Expenditures, and Lapsed Balances is detailed below.

General Revenue Fund – 0001

Supreme Court

State contributions to State employees' retirement expenditures increased \$469,660 or 81.8% from fiscal year 2004 to 2005. Retirement is paid as a percentage of the associated personal services lines. The retirement rate in fiscal year 2004 was set at 13.439% of personal services. Due to the State's fiscal crisis, state entities were not required to make retirement contributions after March 16, 2004. The fiscal year 2005 rate was set at 16.107%.

Travel expenditures decreased \$3,574 or 28.6% from fiscal year 2003 to 2004 and then increased \$4,435 or 49.7% from fiscal year 2004 to 2005. During fiscal year 2004 cost-saving measures were implemented and utilized. During fiscal year 2005 the Supreme Court Internal Audit unit completed various audits that required travel throughout the State.

Printing expenditures decreased \$110,313 or 77.0% from fiscal year 2003 to 2004. The majority of these printing costs are associated with the contract to print Supreme Court and Appellate Court opinions. These costs vary from year to year, depending on the number of cases, the complexity of the cases, and the length of the respective opinions. Printing expenditures increased \$143,904 or 436.3% from fiscal year 2004 to 2005. In fiscal year 2004, the Supreme Court was given the statutory authority to pay certain operational expenses, previously paid from the General Revenue Fund (GRF), from the Mandatory Arbitration Fund (MAF). As such, printing cost for the Supreme Court and Appellate Court opinions was paid from the MAF in fiscal year 2004 and from GRF in fiscal year 2005.

Equipment expenditures decreased \$462,892 or 62.9% from fiscal year 2003 to 2004 and then increased \$525,179 or 192.7% from 2004 to 2005. The majority of these costs are associated with the purchase of books and legal publications for the Supreme Court Library in Springfield, the Supreme Court Library in Chicago, and the libraries housed within the individual chambers of the Supreme Court Justices. In fiscal year 2004, the Supreme Court was given the statutory authority to pay certain operational expenses, previously paid from the General Revenue Fund (GRF), from the Mandatory Arbitration Fund (MAF). As such, the costs for these various books and publications were paid from the MAF in fiscal year 2004 and from GRF in fiscal year 2005.

Electronic data processing expenditures increased \$82,432 or 951.7% from fiscal year 2004 to 2005. Additional resources were spent at the Supreme Court Building in fiscal year 2005 to enhance the security system.

Operation of automotive equipment expenditures increased \$3,482 or 88.8% from fiscal year 2004 to 2005. These costs are attributable to repair and maintenance expenses incurred for the Supreme Court's vehicles. The costs will vary depending on the repairs needed.

Circuit Court

State contributions to State employees' retirement expenditures increased \$2,227,578 or 84.7% from fiscal year 2004 to 2005. Retirement is paid as a percentage of the associated personal services lines. The retirement rate in fiscal year 2004 was set at 13.439% of personal services. Due to the State's fiscal crisis, state entities were not required to make retirement contributions after March 16, 2004. The fiscal year 2005 rate was set at 16.107%.

Contractual services expenditures decreased \$87,308 or 97.8% from fiscal year 2004 to 2005. In prior fiscal years, contracts were executed with private court reporting firms to provide personnel to cover weekend court calls. The use of these contractual firms was reduced significantly in fiscal year 2005.

Electronic data processing expenditures decreased \$1,785,485 or 70.1% from fiscal year 2003 to 2004 and then increased \$897,006 or 117.6% from fiscal year 2004 to 2005. In fiscal year 2004 the Supreme Court was given the statutory authority to pay certain operational expenses, previously paid from the General Revenue Fund (GRF), from the Mandatory Arbitration Fund (MAF). As such, annual maintenance costs for certain computer equipment was paid from the MAF in fiscal year 2004 and from GRF in fiscal year 2005.

Mandatory arbitration expenditures increased \$194,483 or 36.0% from fiscal year 2004 to 2005. General Revenue Funds are used for personnel costs as well as other operational needs to allow the individual counties to build up their special Mandatory Arbitration Fund. Expenses for personal services were previously expensed from the Mandatory Arbitration Fund and expended from GRF in fiscal year 2005.

The Pretrial Services Programs, Grants-in-Aid, and Probation Officer's Salary Subsidies decreased \$3,887,500, \$48,644,800, and \$15,048,016 or 100%, respectively, from fiscal year 2004 to 2005 and Probation Reimbursements increased \$58,803,400 or 100% from fiscal year 2004 and 2005. The three appropriations, Pretrial Services Program, Grants-in-Aid, and Probation Officer's Salary Subsidies were combined into one appropriation during fiscal 2005, Probation Reimbursements.

Administrative Office of the Illinois Courts (AOIC)

State contributions to State employees' retirement expenditures increased \$355,956 or 72.0% from fiscal year 2004 to 2005. Retirement is paid as a percentage of the associated personal services lines. The retirement rate in fiscal year 2004 was set at 13.439% of personal services. Due to the State's fiscal crisis, state entities were not required to make retirement contributions after March 16, 2004. The fiscal year 2005 rate was set at 16.107%.

Contractual services increased \$286,055 or 24.0% from fiscal year 2004 to 2005. In fiscal year 2005, the Administrative Office combined five office locations into one, resulting in one time costs associated with the move.

Contractual services – judicial conference and Supreme Court Committees expenditures increased \$258,943 or 79.1% from fiscal year 2003 to 2004 and then decreased \$158,880 or 27.1% from fiscal year 2004 to 2005. In even numbered years, the judicial branch presents an Education Conference that every judge in the state must attend. Contractual services costs associated with the conference, including the cost of the meeting rooms, audio-visual costs, etc. were paid from this line item.

Printing expenditures decreased \$19,077 or 31.9% from fiscal year 2003 to 2004. In light of the State's fiscal environment, judicial branch managers were asked to prioritize spending and to utilize cost-saving measures where possible. As a result, savings were realized in fiscal year 2004.

Equipment expenditures increased \$282,754 or 622.1% from fiscal year 2003 to 2004 and then decreased \$181,062 or 55.2% from fiscal year 2004 to 2005. The consolidation of the Springfield-based Administrative Office resulted in additional purchases. Window treatments were needed; a security system was installed; and furniture was purchased for the training facility so that in-house training and catering would replace the use of more costly hotels.

Electronic data processing expenditures decreased \$876,906 or 37.2% from fiscal year 2003 to 2004 and then increased \$930,462 or 62.8% from fiscal year 2004 to 2005. In fiscal year 2004, the Supreme Court was given statutory authority to pay certain operational expenses, previously paid from the General Revenue Fund (GRF), from the Mandatory Arbitration Fund (MAF). As such, annual maintenance costs for certain computer equipment was paid from the MAF in fiscal year 2004 and from GRF in fiscal year 2005.

Telecommunications expenditures increased \$45,162 or 37.6% from fiscal year 2004 to 2005. In fiscal year 2005, the Administrative Office combined five offices into one. There were one time costs associated with the move.

Operation of automotive equipment expenditures increased \$5,031 or 39.0% from fiscal year 2004 to 2005. These were additional costs associated to maintain additional AOIC vehicles.

Judges' out-of-state educational programs expenditures decreased \$19,565 or 100% from fiscal year 2004 to 2005. In light of the State's fiscal crisis, no out-of-state education travel was approved. In previous fiscal years, judges would attend specific out-of-state training programs at various nationally known universities. Expenses for any approved travel were paid from this line.

Training of circuit court officers and personnel expenditures decreased \$11,721 or 24.0% from fiscal year 2003 to 2004 and then increased \$9,407 or 25.4% from fiscal year 2004 to 2005. In light of the State's fiscal environment, judicial branch managers were asked to prioritize spending and to utilize cost-saving measures where possible. A training conference for newly elected circuit clerks was conducted in fiscal year 2005 which increased spending.

First Appellate District

State contributions to State employees' retirement expenditures increased \$470,933 or 78.8% from fiscal year 2004 to 2005. Retirement is paid as a percentage of the associated personal services lines. The retirement rate in fiscal year 2004 was set at 13.439% of personal services. Due to the State's fiscal crisis, state entities were not required to make retirement contributions after March 16, 2004. The fiscal year 2005 rate was set at 16.107%.

Contractual services expenditures decreased \$55,521 or 29.7% from fiscal year 2003 to 2004 and then increased \$45,078 or 34.4% from fiscal year 2004 to 2005. In fiscal year 2004 the Supreme Court was given statutory authority to pay certain operational expenses from the Mandatory Arbitration Fund. In fiscal year 2005 the expenses were paid from the General Revenue Fund.

Printing expenditures decreased \$8,828 or 29.7% from fiscal year 2004 to 2005. In light of the State's fiscal environment, judicial branch managers were asked to prioritize spending and to utilize cost-saving measures where possible. As a result, savings were realized in fiscal year 2005.

Second Appellate District

State contributions to State employees' retirement expenditures increased \$193,248 or 78.6% from fiscal year 2004 to 2005. Retirement is paid as a percentage of the associated personal services lines. The retirement rate in fiscal year 2004 was set at 13.439% of personal services. Due to the State's fiscal crisis, state entities were not required to make retirement contributions after March 16, 2004. The fiscal year 2005 rate was set at 16.107%.

Telecommunications expenditures increased \$15,899 or 27.5% from fiscal year 2003 to 2004. An Appellate Judge was elected on December 2, 2002. Fiscal year 2004 spending reflects expenditures for a full fiscal year.

Certain Officers of the Illinois Courts

Judges appointed after 12/1/03 expenditures increased \$189,461 or 100% from fiscal year 2003 to 2004 and then decreased \$189,461 from fiscal year 2004 to 2005. In fiscal year 2004 the legislature approved a supplemental appropriation to pay for the costs of judges appointed after December 1, 2003.

Travel - judges of the Appellate Court expenditures decreased \$34,681 or 39.3% from fiscal year 2004 to 2005. In response to the Supreme Court's Comprehensive Judicial Education Plan, a Judicial Education Conference is held every other year. No conference was held in fiscal year 2005.

Travel - judicial conference and Supreme Court Committees expenditures increased \$190,912 or 61.3% from fiscal year 2003 to 2004 and then decreased \$245,517 or 48.9% from fiscal year 2004 to 2005. In even numbered years, the judicial branch presents an Education Conference that every judge in the state must attend. This line pays the travel costs for judges to attend this conference.

Third Appellate District

State contributions to State employees' retirement expenditures increased \$151,828 or 90.3% from fiscal year 2004 to 2005. Retirement is paid as a percentage of the associated personal services lines. The retirement rate in fiscal year 2004 was set at 13.439% of personal services. Due to the State's fiscal crisis, state entities were not required to make retirement contributions after March 16, 2004. The fiscal year 2005 rate was set at 16.107%.

Equipment expenditures decreased \$79,711 or 36.9% from fiscal year 2003 to 2004 and then increased \$78,526 or 57.6% from fiscal year 2004 to 2005. The majority of these costs are associated with the purchase of books and legal publications for the Third District Appellate Court Library located at the Courthouse. In fiscal year 2004, the Supreme Court was given statutory authority to pay certain operational expenses, previously paid from the General Revenue Fund (GRF), from the Mandatory Arbitration Fund (MAF). As such, the costs for these various books and publications were paid from the MAF in fiscal year 2004 and from GRF in fiscal year 2005.

Operations upon appointment of judges after 12/1/03 expenditures increased \$33,049 or 100% from fiscal year 2003 to 2004 and then decreased \$33,049 or 100% from fiscal year 2004 to 2005. In fiscal year 2004 the legislature approved a supplemental appropriation to pay for the costs of judges appointed after December 1, 2003.

Fourth Appellate District

State contributions to State employees' retirement expenditures increased \$151,521 or 85.0% from fiscal year 2004 to 2005. Retirement is paid as a percentage of the associated personal services lines. The retirement rate in fiscal year 2004 was set at 13.439% of personal services. Due to the State's fiscal crisis, state entities were not required to make retirement contributions after March 16, 2004. The fiscal year 2005 rate was set at 16.107%.

Contractual services expenditures decreased \$143,220 or 37.0% from fiscal year 2003 to 2004 and then increased \$69,787 or 28.7% from fiscal year 2004 to 2005. In fiscal year 2004 the Supreme Court was given statutory authority to pay certain operational expenses from the Mandatory Arbitration Fund. In fiscal year 2005 the expenses were paid from the General Revenue Fund.

Commodities expenditures increased \$7,963 or 82.3% from fiscal year 2003 to 2004 and then decreased \$9,876 or 56.0% from fiscal year 2004 to 2005. In fiscal year 2004, additional metal shelves were purchased to expand the current record system at the Fourth District Appellate Courthouse.

Equipment expenditures increased \$12,510 or 23.9% from fiscal year 2004 to 2005. The largest portion of the Fourth District's equipment line is used to pay the cost of books, legal publications, and other subscription costs which increased in fiscal year 2005.

Fifth Appellate District

State contributions to State employees' retirement expenditures increased \$130,864 or 69.6% from fiscal year 2004 to 2005. Retirement is paid as a percentage of the associated personal services lines. The retirement rate in fiscal year 2004 was set at 13.439% of personal services. Due to the State's fiscal crisis, state entities were not required to make retirement contributions after March 16, 2004. The fiscal year 2005 rate was set at 16.107%.

Printing expenditures decreased \$3,730 or 35.8% from fiscal year 2003 to 2004. In light of the State's fiscal environment, judicial branch employees were asked to prioritize spending and to utilize cost-saving measures where possible. As a result, savings were realized in fiscal year 2004.

Telecommunications expenditures decreased \$13,688 or 25.9% from 2004 to 2005. In light of the State's fiscal environment, judicial branch employees were asked to prioritize spending and to utilize cost-savings measures where possible. As a result, savings were realized in fiscal year 2005.

Mandatory Arbitration Fund – 0262

Mandatory arbitration expenditures increased \$2,013,253 or 44.7% from fiscal year 2003 to 2004 and then decreased \$2,347,447 or 36.0% from fiscal year 2004 to 2005. In fiscal year 2004, the Supreme Court was given the statutory authority to pay certain operational expenses, previously

paid from the General Revenue Fund (GRF), from the Mandatory Arbitration Fund (MAF). As such, various costs were paid from the MAF in fiscal year 2004 and from GRF in fiscal year 2005.

Lawyers' Assistance Program Fund - 0769

The Lawyers' Assistance Program expenditures increased \$210,000 or 168.0% from fiscal year 2003 to 2004. This program was created pursuant to State law, 705 ILCS 235/1, effective July 31, 2002. The first receipts were deposited in December, 2003. Therefore, the fiscal year 2003 level represents one half year of activities.

Supreme Court Special State Projects Fund – 0230

Judicial Education Training Grant expenditures increased \$8,333 or 100% from fiscal year 2003 to 2004. This is an interagency agreement between the Illinois Criminal Justice Information Authority (ICJIA) and the Administrative Office of the Illinois Courts (AOIC). The purpose of this grant is to train judges, probation staff, prosecutors, defense, and providers on effective approaches for dealing with family dependency and drug involved youth and families within the juvenile justice system and on the judiciary's role in changing offender conduct through implementation of evidence based practice with adult and juvenile offenders. This agreement began during fiscal year 2004.

Juvenile Probation Risk Reduction Strategy Program grant expenditures decreased \$12,875 or 25.0% from fiscal year 2004 to 2005. This is an interagency agreement between the ICJIA and the AOIC. The purpose of this grant is to provide training, technical assistance, quality assurance, software enhancements, data analysis, data integration and validation in probation departments to implement Youth Assessment Screening Instrument (YASI). The first grant agreement ran from May 15, 2002 through September 30, 2003. The second grant agreement ran from September 10, 2003 through September 30, 2004. The third grant agreement ran from February 1, 2005 through September 30, 2005. The fluctuation of expenditures was due to the timing of the reimbursements based on the end of the grant agreement.

Probation Training and Technical Assistance Grant expenditures decreased \$67,256 or 84.1% from fiscal year 2003 to 2004 and then increased \$97,766 or 769.3% from fiscal year 2004 to 2005. This is an interagency agreement between the ICJIA and the AOIC. The purpose of this grant is to assist Illinois probation and court services in designing and implementing more effective correctional treatment interventions and program strategies based on the research "What Works" in reducing offender recidivism. The second grant ran from May 1, 2002 through September 30, 2003. The third grant agreement ran from October 1, 2003 through September 30, 2004. The fourth grant agreement ran from March 15, 2005 through September 30, 2005. Costs associated for all three fiscal years included reimbursement for meeting room expenses, travel for various meetings, professional fees, and office supplies. The fluctuation of expenditures was due to the timing of the reimbursements based on the deliverables of the project.

Refunds to funding sources increased \$63,567 or 100% from fiscal year 2003 to 2004 and then decreased \$54,569 or 85.8% from fiscal year 2004 to 2005. Refunds to funding sources are related to the expiration of grant terms and the amount of unused grant funds at that time. It is also dependent on whether the funding source allows the Court to carry over the funds into the next fiscal year for the same program, or whether the program is completed. There were no refunds in fiscal year 2003, which accounted for the \$63,567 or 100% increase from fiscal year 2003 to 2004.

Supreme Court Federal Projects Fund – 0269

The State Court Improvement Program, which includes the State Court Improvement Program Grant and the HHS Foster Care and Adoption Proceedings Grant, began on September 12, 2000. Expenditures for the Court Improvement Program grant decreased \$155,214 or 72.5% from fiscal year 2003 to 2004 and increased \$326,020 or 554.4% from fiscal year 2004 to 2005. Expenditures for the HHS Foster Care and Adoption Proceedings Grant increased \$287,730 or 457.3% from fiscal year 2003 to 2004. The grant is ongoing and the expenditures increased as the program matured. Expenditures for the HHS grant are included in the Court Improvement Program for 2005. Many of the expenditures were reimbursements for specific project deliverables which occurred throughout fiscal years 2003, 2004, and 2005.

The Judicial Education Training Grant expenditures increased \$20,765 or 100% from fiscal year 2003 to 2004 and then decreased \$5,503 or 26.5% from fiscal year 2004 to 2005. The first grant agreement ran from October 1, 2003 through September 30, 2004. The second grant agreement ran from February 1, 2005 through September 30, 2005. The first grant agreement ended in fiscal year 2005, but the majority of expenditures occurred in fiscal year 2004. The second grant started at the end of the fiscal year 2005, so there was not a full year of expenditures.

Probation Training and Technical Assistance grant expenditures decreased \$83,319 or 37.6% from fiscal year 2003 to 2004 and then increased \$34,037 or 24.6% from fiscal year 2004 to 2005. The second grant ran from May 1, 2002 through September 30, 2003. The third grant agreement ran from October 1, 2003 through September 30, 2004. The fourth grant agreement ran from March 15, 2005 through September 30, 2005. Costs associated for all three fiscal years included reimbursement for meeting room expenses, travel for various meetings, professional fees, and office supplies. The fluctuation of expenditures was due to the timing of the reimbursements based on the deliverables of the project.

Juvenile Probation Risk Reduction Strategy Program Grant expenditures increased \$76,400 or 97.4% from fiscal year 2003 to 2004 and then decreased \$91,884 or 59.3% from fiscal year 2004 to 2005. The first grant agreement ran from May 15, 2002 through September 30, 2003. The second grant agreement ran from September 10, 2003 through September 30, 2004. The third grant agreement ran from February 1, 2005 through September 30, 2005. The first grant agreement ended during fiscal year 2004, but the majority of the expenditures occurred during fiscal year 2003. The second grant agreement ended during fiscal year 2005, but the majority of expenditures occurred during fiscal year 2004.

The Domestic Violence and Sex Offender Training Grant expenditures decreased \$13,814 or 100% from fiscal year 2003 to 2004. The grant period ran from October 1, 2001 through September 30, 2002 and provided for specialized domestic violence and sex offender training for probation officers to more effectively supervise, monitor, and intervene with these offender populations. The costs associated with the grant included reimbursement for meeting room expenses, travel, and professional fees for various training meetings. The grant ended during fiscal year 2003 which accounts for the fluctuation.

Refunds to funding sources increased \$13,966 or 19,397.2% from fiscal year 2003 to 2004 and \$21,243 or 151.3% from fiscal year 2004 to 2005. Refunds to funding sources are related to the expiration of grant terms and the amount of unused grant funds at that time. It is also dependent on whether the funding source allows the Court to carry over the funds into the next fiscal year for the same program, or whether the program is completed.

STATE OF ILLINOIS
SUPREME COURT

ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS

The Illinois Supreme Court's (Court) explanation for significant fluctuations in cash receipts in excess of 20% and \$3,000 as presented in the Comparative Schedule of Cash Receipts is detailed below.

General Revenue Fund - 0001

Supreme Court

The Court receives a royalty if a subscriber of a specific vendor accesses the Illinois Pattern Jury Instruction (Civil and Criminal) database. Royalty receipts increased \$9,329 or 30.5% from fiscal year 2003 to 2004, and increased \$32,382 or 81.0% from fiscal year 2004 to 2005, due to increases in the number of subscriber accesses to the database from year to year.

Reviewing Court Alternative Dispute Resolution Fund – 0108

Dockets increased \$60,015 or 100% from fiscal year 2004 to 2005. The appearances fees also increased \$28,140 or 100% from fiscal year 2004 to 2005. This was a new fund established in fiscal year 2005 and no fees had been collected prior to that fiscal year.

Supreme Court Special State Projects Fund – 0230

Probation Training and Technical Assistance grant receipts increased \$43,941 or 58.2% from fiscal year 2004 to 2005. The grant was an interagency agreement with the Illinois Criminal Justice Information Authority (ICJIA) and the Court. The purpose of the grant was to assist Illinois probation and court services in designing and implementing more effective correctional treatment interventions and program strategies based on the research "What Works" in reducing offender recidivism. The grant program spans six years, with the initial grant starting on August 1, 2000. The timing of the grant terms and use of unexpended grant funds from previous fiscal years accounted for the fluctuation of receipts throughout the three year period.

Judicial Education Training Program grant receipts increased \$8,000 or 100% from fiscal year 2003 to 2004. This grant provides resources to conduct judicial education training in the areas of drug courts and management of sex offenders in the community. Fiscal year 2004 was the initial year of the training grant.

Juvenile Risk Reduction Strategy Program grant receipts decreased \$12,875 or 25.0% from fiscal year 2004 to 2005. The grant was an interagency agreement between ICJIA and the Court to enhance the ability of juvenile probation officers to accurately assess the risk, needs, and strengths of juvenile offenders as they enter and move through the correctional system. The amount of state grant funds depends on the federal amount awarded to the Court. The Court received funds from ICJIA for projected and actual expenses in fiscal year 2005.

Supreme Court Federal Projects Fund - 0269

Court Improvement Program grant receipts increased \$550,184 or 763.3% from fiscal year 2003 to 2004, and decreased \$330,999 or 53.2% from fiscal year 2004 to 2005. The Court Improvement Program, which also includes the HHS Foster Care and Adoption Proceedings program, is administered by the U.S. Department of Health and Human Services. The purpose of the program is to fund projects designed to improve the quality of the court process for children and families involved in abuse, neglect, and dependency proceedings. The Court utilizes the funds to conduct assessments of State foster and adoption laws and judicial processes to develop and implement a plan for system improvements. The grant began September 12, 2000 and the Court receives funds directly from HHS for actual and projected expenditures. The amount of federal grant funds depends on the amount awarded to the Court.

Judicial Education Training Program grant receipts increased \$11,000 or 100% from fiscal year 2003 to 2004, and \$17,667 or 160.6% from fiscal year 2004 to 2005. This grant provides resources to conduct judicial education training in the areas of drug courts and management of sex offenders in the community. Fiscal year 2004 was the initial year of the training grant, with fiscal year 2005 the first full year.

Probation Training and Technical Assistance grant receipts decreased \$150,093 or 66.5% from fiscal year 2003 to 2004, and increased \$151,067 or 200% from fiscal year 2004 to 2005. The purpose of the grant was to assist Illinois probation and court services in designing and implementing more effective correctional treatment interventions and program strategies based on the research "What Works" in reducing offender recidivism. The grant program spans six years, with the initial grant starting on August 1, 2000. The timing of the grant terms and use of unexpended grant funds from previous fiscal years accounted for the fluctuation of receipts throughout the three year period.

Mandatory Arbitration Fund – 0262

The amount of mandatory arbitration receipts varies depending on the number of cases filed and those rejected.

Lake County mandatory arbitration receipts increased \$43,569 or 20% from fiscal year 2003 to 2004. The increase is due to the increase in the number of cases filed and those rejected, which in 2003 were 21,683 and 219, respectively, and in 2004 were 25,651 and 262.

STATE OF ILLINOIS
SUPREME COURT

ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING

The Illinois Supreme Court's (Court) explanation for significant lapse period spending as presented in the Schedule of Appropriations, Expenditures and Lapsed Balances for fiscal years 2005 and 2004 is detailed below.

Fiscal Year 2005

General Revenue Fund – 0001

Circuit Court

Electronic data processing (EDP): Lapse period spending totaled \$369,896 or 22.3% of total expenditures for fiscal year 2005. The timing of digital recordation installations depend on several factors, including construction schedules at the various courthouses, contractor timing for electrical work, and the county's priority among the various installation sites throughout the state. The final stages of the digital recording systems in Cook County and Peoria County were completed toward the latter part of the fiscal year. These schedules necessitated payments in the lapse period.

Probation reimbursements: Lapse period spending totaled \$15,798,239 or 26.9% of total expenditures for fiscal year 2005. In order to receive probation reimbursements, counties must first pay the salaries of their officers and then seek reimbursement from the Administrative Office. Salaries paid to these officers in May and June are usually submitted in July and August. Therefore, lapse period spending is unavoidable.

Administrative Office of the Illinois Courts (AOIC)

Contractual services – Judicial Conference & Supreme Court Committees: Lapse period spending totaled \$117,427 or 27.5% of fiscal year 2005 expenditures. This line pays the meeting costs for various committees of the Supreme Court and the Judicial Conference. The meetings were held in June 2005 and were paid in the lapse period.

Printing: Lapse period spending totaled \$18,461 or 41.6% of fiscal year 2005 expenditures. Each year, several annual reports are compiled and printed. It takes time to collect the information from the circuit courts, compile the information at the AOIC, update the previous publication, send the proofs to CMS for bidding of the printing, receive the printed materials and submit a final bill for payment. Given this time frame, there are printing bills for these publications that are paid in the lapse period.

Equipment: Lapse period spending totaled \$59,452 or 40.4% of fiscal year 2005 expenditures. In fiscal year 2005, a new postage system was purchased to conform to U.S. postage regulations. Also, a comprehensive locking filing system was purchased in the latter part of the fiscal year to accommodate confidential records from the Executive Division. These purchases were paid from the lapse period.

Probation training: Lapse period spending totaled \$138,627 or 35.4% of fiscal year 2005 expenditures. The largest portion of this line is spent pursuant to the comprehensive probation training contract with the University of Illinois at Springfield. This contract ended June 30, 2005. At the conclusion of the contract, the University must submit a written report identifying the year's training activities. Upon receipt of this report, the final payment on the contract is made. The timing of these activities resulted in lapse period spending.

Fourth Appellate District

Equipment: Lapse period spending totaled \$18,722 or 28.9% of total fiscal year 2005 expenditures. The largest portion of the Fourth District's equipment line is used to pay the cost of books, legal publications, and other subscription costs. The publishers' schedule dictates the timing of the payments. In many instances, renewals for these legal materials fall at the end of the year, resulting in lapse period payments.

Supreme Court Special State Projects Fund – 0230

Judicial education training grant: Lapse period spending totaled \$6,250 or 100% of total fiscal year 2005 expenditures. A training event was held on June 27 – 28, 2005. The associated vouchers for this meeting were paid in the lapse period.

Juvenile probation risk reduction grant: Lapse period spending totaled \$18,992 or 49.2% of total fiscal year 2005 expenditures. Pursuant to the contract executed as part of the grant, the vendors were required to provide final deliverables by June 30, 2005. After review of these contractual obligations, vouchers were then sent for payment, resulting in lapse period expenditures.

Probation training and technical assistance grant: Lapse period spending totaled \$56,650 or 51.3% of total fiscal year 2005 expenditures. Pursuant to the contract executed as part of the grant, the vendors were required to provide final deliverables by June 30, 2005. After review of these contractual obligations, vouchers were then sent for payment, resulting in lapse period expenditures.

Supreme Court Federal Projects Fund – 0269

State court improvement program grant: Lapse period spending totaled \$95,549 or 24.8% of the total fiscal year 2005 expenditures. Pursuant to the contract executed as part of the grant, the vendors were required to provide final deliverables by June 30, 2005. After review of these contractual obligations, vouchers were then sent for payment, resulting in lapse period expenditures.

Judicial education training grant: Lapse period spending totaled \$14,730 or 96.5% of the total fiscal year 2005 expenditures. A training event was held on June 27 – 28, 2005. The associated vouchers for this meeting were paid in the lapse period.

Juvenile probation risk reduction grant: Lapse period spending totaled \$48,633 or 77.3% of the total fiscal year 2005 expenditures. Pursuant to the contract executed as part of the grant, the vendors were required to provide final deliverables by June 30, 2005. After review of these contractual obligations, vouchers were then sent for payment, resulting in lapse period expenditures.

Fiscal Year 2004

General Revenue Fund – 0001

Supreme Court

Contractual services: Lapse period expenditures totaled \$365,595 or 56.2% of total expenditures for fiscal year 2004. Due to a perceived shortfall in the General Revenue Fund during fiscal year 2004, the Court was given statutory authority to pay the rent for buildings from the Mandatory Arbitration Fund (0262). These rent payments were credited back to the Mandatory Arbitration Fund very early in the lapse period when it was determined that the funds were available in the General Revenue Fund.

Equipment: Lapse period expenditures totaled \$169,148 or 62.1% of total expenditures for fiscal year 2004. The largest portion of the Supreme Court's equipment line is used to pay the cost of books, legal publications, and other subscription costs. The publishers' schedule dictates the timing of the payments. In many instances, renewals for these legal materials fall at the end of the year, resulting in lapse period payments.

Circuit Court

EDP: Lapse period expenditures totaled \$350,554 or 46.0% of total expenditures for fiscal year 2004. The largest portion of the lapse period spending results from the purchase of reporter decks used in the circuit courts digital recording initiative. These reporter decks serve as the main electronic component for these digital recording sites. Since they are so intricate, it takes a longer period of time to manufacture them. Even though these items were ordered in fiscal year 2004, the delivery delay resulted in payment in July, 2004.

Sexually Violent Persons Commitment Act: Lapse period spending totaled \$116,780 or 38.9% of total expenditures for fiscal year 2004. Payment from this line represents reimbursement to counties for expenses they incurred in the adjudication of cases that fall within the parameters of this Act. The county must first expend the resources and then seek reimbursement from the judicial branch. The timing of payments is wholly dependent upon receipt of proper documentation from the counties.

Administrative Office of the Illinois Courts (AOIC)

Contractual services: Lapse period spending totaled \$856,000 or 71.8% of total fiscal year 2004 expenditures. Due to a perceived shortfall in the General Revenue Fund during fiscal year 2004, the Court was given statutory authority to pay the rent for buildings from the Mandatory Arbitration Fund (0262). These rent payments were credited back to the Mandatory Arbitration Fund very early in the lapse period when it was determined that the funds were available in the General Revenue Fund.

Printing: Lapse period spending totaled \$13,342 or 32.8% of total fiscal year 2004 expenditures. Each year, several annual reports are compiled and printed. It takes time to collect the information from the circuit courts, compile the information at the AOIC, update the previous publication, send the proofs to CMS for bidding of the printing, receive the printed materials and submit a final bill for payment. Given this time frame, there are printing bills for these publications that are paid in the lapse period.

Equipment: Lapse period spending totaled \$295,375 or 90.0% of total fiscal year 2004 expenditures. The consolidation of the Springfield-based Administrative Office into a single building resulted in additional purchases, such as window treatments, security system, and furniture for the training facility. Occupancy began in August of 2004, with much of these purchases received in late fiscal year 2004 and expended during the lapse period.

Electronic data processing: Lapse period spending totaled \$559,535 or 37.7% of total fiscal year 2004 expenditures. Expenses from this line include ongoing contractual payments for on-line services. Payments for June services would be made during the lapse period. In addition, the Administrative Office utilized a consulting firm to rewrite portions of the payroll system and to develop an automated system to track probation reimbursement vouchers. Work that these consultants performed in June would have been paid from the lapse period.

Probation training: Lapse period spending totaled \$163,937 or 44.4% of total fiscal year 2004 expenditures. The largest portion of this line is spent pursuant to the comprehensive probation training contract with the University of Illinois at Springfield. This contract ended June 30, 2004. At the conclusion of the contract, the University must submit a written report identifying the year's training activities. Upon receipt of this report, the final payment on the contract is made. The timing of these activities results in lapse period spending.

First Appellate District

Equipment: Lapse period spending totaled \$43,820 or 33.5% of total fiscal year 2004 expenditures. The largest portion of the First District's equipment line is used to pay the cost of books, legal publications, and other subscription costs. The publishers' schedule dictates the timing of the payments. In many instances, renewals for these legal materials fall at the end of the year, resulting in lapse period payments.

Second Appellate District

Contractual services: Lapse period spending totaled \$340,898 or 73.6% of the total fiscal year 2004 expenditures. Due to a perceived shortfall in the General Revenue Fund during fiscal year 2004, the Court was given statutory authority to pay the rent for buildings from the Mandatory Arbitration Fund (0262). These rent payments were credited back to the Mandatory Arbitration Fund very early in the lapse period when it was determined that the funds were available in the General Revenue Fund.

Telecommunications: Lapse period spending totaled \$15,046 or 20.4% of total fiscal year 2004 expenditures. Telecommunication charges for the Second District are managed through the Department of Central Management Services (CMS). Bills are paid upon receipt of the proper documentation from CMS.

Third Appellate District

Contractual services: Lapse period spending totaled \$187,018 or 63.7% of the total fiscal year 2004 expenditures. Due to a perceived shortfall in the General Revenue Fund during fiscal year 2004, the Court was given statutory authority to pay the rent for buildings from the Mandatory Arbitration Fund (0262). These rent payments were credited back to the Mandatory Arbitration Fund very early in the lapse period when it was determined that the funds were available in the General Revenue Fund.

Equipment: Lapse period spending totaled \$37,841 or 27.8% of the total fiscal year 2004 expenditures. The largest portion of the Third District's equipment line is used to pay the cost of books, legal publications, and other subscription costs. The publishers' schedule dictates the timing of the payments. In many instances, renewals for these legal materials fall at the end of the year, resulting in lapse period payments.

Telecommunications: Lapse period spending totaled \$12,745 or 24.2% of total fiscal year 2004 expenditures. Telecommunication charges for the Second District are managed through the Department of Central Management Services (CMS). Bills are paid upon receipt of the proper documentation from CMS.

Fourth Appellate District

Contractual services: Lapse period spending totaled \$149,726 or 61.5% of the total fiscal year 2004 expenditures. Due to a perceived shortfall in the General Revenue Fund during fiscal year 2004, the Court was given statutory authority to pay the rent for buildings from the Mandatory Arbitration Fund (0262). These rent payments were credited back to the Mandatory Arbitration Fund very early in the lapse period when it was determined that the funds were available in the General Revenue Fund.

Commodities: Lapse period spending totaled \$9,458 or 53.6% of the total fiscal year 2004 expenditures. In fiscal year 2004, additional metal shelves were purchased to expand the current record system at the Fourth District Appellate Courthouse. Due to the delivery schedule for these shelves, payment was required in the lapse period.

Fifth Appellate District

Contractual services: Lapse period spending totaled \$153,949 or 61.4% of the total fiscal year 2004 expenditures. Due to a perceived shortfall in the General Revenue Fund during fiscal year 2004, the Court was given statutory authority to pay the rent for buildings from the Mandatory Arbitration Fund (0262). These rent payments were credited back to the Mandatory Arbitration Fund very early in the lapse period when it was determined that the funds were available in the General Revenue Fund.

Equipment: Lapse period spending totaled \$39,744 or 24.2% of the total fiscal year 2004 expenditures. At the end of fiscal year 2004, the Fifth District Appellate Court annex was finally completed by CDB after several years of construction delays. Upon its completion, additional furniture was purchased for the Appellate Court library and the offices of the Research Department and Clerk.

Telecommunications: Lapse period spending totaled \$13,193 or 25.0% of total fiscal year 2004 expenditures. Telecommunication charges for the Fifth District are managed through the Department of Central Management Services (CMS). Bills are paid upon receipt of the proper documentation from CMS.

Supreme Court State Projects Fund – 0230

Judicial education training grant: Lapse period spending totaled \$4,839 or 58.1% of total fiscal year 2004 expenditures. A training event was held on June 17 – 18, 2004. The associated vouchers for this meeting were paid in the lapse period.

Probation training and technical assistance grant: Lapse period spending totaled \$9,954 or 78.3% of total fiscal year 2004 expenditures. Training events and meetings associated with this grant were still being conducted in June, 2004. The associated vouchers for these events were paid in the lapse period.

Refunds: Lapse period spending totaled \$62,824 or 98.8% of total fiscal year 2004 expenditures. Matching funds in the amount of \$75,533 were received for grant 401086 on May 27, 2004. These funds could not all be spent prior to the end of the grant period. Any unused funds must be returned at the end of the fiscal year. Therefore, payments in the lapse period were necessary.

Supreme Court Federal Projects Fund – 0269

Judicial education training grant: Lapse period spending totaled \$10,678 or 51.4% of total fiscal year 2004 expenditures. A training event was held on June 17 – 18, 2004. The associated vouchers for this meeting were paid in the lapse period.

STATE OF ILLINOIS
SUPREME COURT

ANALYSIS OF ACCOUNTS RECEIVABLE

The Court had \$231,073 and \$11,452 of accounts receivable at June 30, 2005 and 2004, respectively, in the General Revenue Fund (0001). These balances represented amounts owed to the Supreme Court Clerk, the Supreme Court Library, and the Appellate Court Clerks for certificates, opinions, photocopies, shipping, and cases filed for which fees have not been paid or waived.

Aged accounts receivable as of June 30, 2005 and 2004 were as follows for the General Revenue Fund:

| <u>Days Outstanding</u> | <u>June 30, 2005</u> | | <u>June 30, 2004</u> | |
|-------------------------|----------------------|-------------|----------------------|-------------|
| 1 – 30 days | \$ 3,675 | 2% | \$ 1,922 | 16% |
| 31 – 90 days | 220,021 | 95% | 1,116 | 10% |
| 91 – 180 days | 234 | 0% | 159 | 1% |
| 181 days – 1 year | - | 0% | 527 | 5% |
| Over 1 year | <u>7,612</u> | <u>3%</u> | <u>7,943</u> | <u>68%</u> |
| Gross receivables | 231,542 | 100% | 11,667 | 100% |
| Uncollectible | <u>(469)</u> | <u>(0%)</u> | <u>(215)</u> | <u>(2%)</u> |
| Net receivables | <u>\$ 231,073</u> | <u>100%</u> | <u>\$ 11,452</u> | <u>98%</u> |

Accounts receivable within the Supreme Court Special State Projects Fund (0230) and the Supreme Court Federal Projects Fund (0269) are derived at the end of the fiscal year after all of the lapse period spending has been incurred. A Quarterly Summary of Accounts Receivable Report (C-97) is not filed with the State Comptroller for these amounts.

STATE OF ILLINOIS
SUPREME COURT

AGENCY FUNCTIONS AND PLANNING PROGRAM
For the Two Years Ended June 30, 2005

Agency Functions

The Illinois Supreme Court (Court), in addition to being the State's highest court, is responsible for the State's unified trial court, one appellate court with five districts, and several supporting units. General administrative and supervisory authority over the court system is vested in the Supreme Court. Several advisory bodies assist with this mission by making recommendations to the Court. These include the Judicial Conference of Illinois and the various committees of the Court.

The Chief Justice is responsible for exercising the Court's general administrative and supervisory authority in accordance with the Court's rules. The Court appoints an Administrative Director to assist the Chief Justice in his or her duties. The staff of the Administrative Office of the Illinois Courts (AOIC) supports the Administrative Director.

Key support personnel exist at each level of the Court to assist judges with the administration of justice. At the Supreme Court level, this includes the Clerk, Librarian, Reporter of Decisions, Marshal, Research Director, and Chief Internal Auditor.

The Justices of the Supreme Court at June 30, 2005 are as follows:

- Mary Ann G. McMorrow, Chief Justice
- Charles E. Freeman
- Thomas R. Fitzgerald
- Robert R. Thomas
- Thomas L. Kilbride
- Rita B. Garman
- Philip J. Rarick, retired 12/5/2004
- Lloyd A. Karmeier, elected on 12/6/2004

At the Appellate Court level, the presiding judge and judges of each Appellate District are assisted by the Clerk of the Appellate Court, Research Director, and their staff, who are appointed by the Appellate Judges.

Each circuit court is administered by a chief judge who is selected by the circuit court judges of the circuit. The chief judge is assisted by an administrative assistant and/or trial court administrator and other support staff.

The three levels of the courts: circuit, appellate, and supreme, all operate within clearly defined boundaries. The circuit court is the court of original jurisdiction which is divided into twenty-two circuits. Each circuit is located in one of the five appellate court districts. Cases enter the circuit court via the circuit court clerk's office in a county of the circuit. Cases may be appealed to the appellate court in the district containing the circuit court, or in certain circumstances, directly to the Supreme Court. After an appellate court decision, parties to the case may seek discretionary review by the Supreme Court.

In addition, the Supreme Court administers the appropriation made to the Illinois Courts Commission (Commission). The function of the Commission is to hear complaints against judges based upon investigations performed by the Judicial Inquiry Board. The Commission hears those complaints, makes findings, and enters dispositive orders of dismissal or of imposition of sanctions. The Commission consists of five judges (one Supreme Court Justice, two Appellate Court Judges, and two Circuit Court Judges) and two citizen members appointed by the Governor. The Supreme Court Justice and the two Circuit Court Judges are appointed by the Supreme Court. The two Appellate Court Judges are appointed by the Appellate Court.

To assist the Supreme Court in the performance of its duties and functions, the Court appoints the following positions:

Administrative Director and Staff

The Executive Office, which is comprised of the Administrative Director, attorneys, and administrative staff, is largely responsible for coordinating Administrative Office staff support for the Supreme Court, Supreme Court committees, and the committees of the Illinois Judicial Conference. Executive Office staff aid the Director in administering certain Supreme Court Rules; securing legal representation through the Office of the Attorney General; negotiating leases and contracts; as well as providing secretariat services to the Illinois Courts Commission.

The Administrative Services Division develops the Judicial Branch budget; provides procurement and inventory control; processes payment vouchers; processes AOIC receipts; maintains accounting records; maintains payroll records; coordinates employee benefit programs; and maintains petty cash funds for the AOIC and the Supreme Court.

The Judicial Management Information Services (JMIS) Division provides technology services to improve the procedures and efficiencies of court operations and allow the Illinois Courts to exchange data between courts, county agencies, and other State organizations. Along with the Court Services Division, JMIS staff oversees the installation of digital electronic recording.

The Judicial Education Division provides administrative oversight of continuing education programs for judges and court personnel; staffs the Committee on Education which, with the Court's approval, plans all judicial education programs sponsored by the Illinois Judicial Conference; and operates the Resource Lending Library.

The Court Services Division (CSD) provides ongoing legislative support services; labor relations services; assistance to circuit clerks; administers the automated disposition reporting program; oversees the court reporting services; facilitates the activities of the Circuit Court of Cook County to train and certify court interpreters; and monitors the repair and renovation of State owned facilities. In addition, the CSD's responsibilities include the production of various reports.

The Probation Services Division provides services to the chief circuit judges and their probation officers in all circuits. The division sets standards for hiring and promoting probation officers; maintains a list of qualified applicants for probation positions; develops training programs; gathers statewide statistics and publishes reports; establishes standards for probation department compensation plans; develops and monitors probation programs to enhance services and sanctions for offenders supervised in the community and to provide effective alternatives to imprisonment.

Clerk of the Supreme Court

The Clerk of the Supreme Court directs a staff of deputies who process cases according to Supreme Court Rules, monitor the caseload of the Court, keep Court files and records, and maintain Court statistics. The Clerk's Office maintains a list of attorneys licensed to practice in the State and oversees the licensing of attorneys. The Clerk also registers and renews legal professional service corporations and associations, keeps files of judicial financial disclosure statements, and serves as a public information office for the Court.

Supreme Court Librarian

The Supreme Court Librarian directs library operations and acquisitions of research materials. Library staff provide research and reference assistance to the Court. The library serves the Court, the judiciary, other State government agencies, attorneys, and the public.

Reporter of Decisions

The Reporter of Decisions directs a staff which publishes opinions of the Supreme and Appellate Courts in the "Official Reports". Employees also verify case citations; compose head notes, attorney lines, table of cases, topical summaries, and other materials appearing in the "Official Reports"; and edit opinions for style and grammar.

Supreme Court Marshal

The Supreme Court Marshal attends each session of the Court. In addition, the Marshal directs a staff which maintains the Supreme Court Building and grounds, provides security for justices and employees, and conducts tours of the building.

Supreme Court Research Department

The Supreme Court Research Director supervises a staff of attorneys who provide legal research and writing assistance to the Court.

Supreme Court Internal Audit

The Supreme Court Chief Internal Auditor and staff perform audits of State funded activities of the Judicial Branch. In addition, Internal Audit annually assesses the adequacy of the internal controls for State funded activities.

Agency Planning Program

The Court annually convenes a Judicial Conference to consider the work of the courts and to suggest improvements in the administration of justice. Supreme Court Rule 41 established the membership of the conference, created the Executive Committee to assist the Supreme Court in conducting the conference, and appointed the Administrative Office as the secretary of the Conference. The Chief Justice of the Illinois Supreme Court presides over both the Judicial Conference and the Executive Committee of the Conference, thus providing a strong link between the Judicial Conference and the Supreme Court.

In addition to the Judicial Conference, the Court addresses administrative matters during each Court term. This includes consideration of modifications to Supreme Court Rules and discussions with the Administrative Director regarding administrative and budgetary matters.

The Court releases several publications each year which summarizes the Courts' operations. These include the "Annual Report of the Illinois Courts", "Annual Report of the Illinois Judicial Conference", and the "Annual Report of Court-Annexed Mandatory Arbitration Program".

During the examination period, the Court continued implementation of several new initiatives as a result of the planning activities outlined above. In addition to the actions taken by the Judicial Conference and the changes made to the Supreme Court Rules, these initiatives included:

1. A major initiative by the Supreme Court and the Administrative Office to improve the management of court reporting resources in Illinois. The initiative was developed to incorporate the technological advancements available for Court recordation and to address previously identified weaknesses in court reporting administration.
2. The Court obtained a "What Works" grant from the Illinois Criminal Justice Information Authority. This grant is intended to redesign probation services to reduce offender recidivism and increase public safety.
3. A State Court Improvement Program grant was received from the United States Department of Health and Human Services. The purpose of the grant is to assess and improve the role, responsibilities, and effectiveness of the State court system in carrying out the State laws implementing Titles IV-B and IV-E of the Social Security Act.

Auditor's Assessment of Planning Program

The Court has developed an adequate planning program to meet its statutory mandates, defined goals, and objectives.

STATE OF ILLINOIS
SUPREME COURT

AVERAGE NUMBER OF EMPLOYEES

The following table, prepared from agency records, presents the average number of employees, by pay code, for the fiscal years ended June 30:

| | <u>2005</u> | <u>2004</u> | <u>2003</u> |
|---|--------------|--------------|--------------|
| Supreme Court Personal Services | 127 | 123 | 126 |
| Supreme Court Extra Help | - | - | 1 |
| Circuit Judges Assigned to the Appellate Court | 12 | 11 | 11 |
| 11th Circuit Mandatory Arbitration | - | - | 1 |
| 12th Circuit Mandatory Arbitration | - | 1 | 1 |
| 16th Circuit Mandatory Arbitration | - | - | 1 |
| 18th Circuit Mandatory Arbitration | - | 1 | 1 |
| 19th Circuit Mandatory Arbitration | - | - | 2 |
| 20th Circuit Mandatory Arbitration | - | 1 | 1 |
| Cook County Mandatory Arbitration | 5 | 5 | 6 |
| Elected Judges of the Appellate Court | 40 | 40 | 39 |
| Appointed Judges of the Appellate Court | 2 | 1 | 4 |
| Administrative Assistants to Chief Circuit Judges | 17 | 16 | 16 |
| Law Clerks, 1st Appellate District | 48 | 48 | 47 |
| Law Clerks, 2nd Appellate District | 18 | 18 | 18 |
| Law Clerks, 3rd Appellate District | 13 | 12 | 13 |
| Law Clerks, 4th Appellate District | 15 | 15 | 15 |
| Law Clerks, 5th Appellate District | 13 | 14 | 15 |
| Retired Recalled Judges | 22 | 14 | 12 |
| Administrative Office of the Illinois Courts | 113 | 113 | 116 |
| Mandatory Arbitration | 12 | 10 | 8 |
| Circuit and Associate Judges | 849 | 848 | 848 |
| Judges Appointed After 12/1/03 | - | 2 | - |
| 1st Appellate District Personal Services | 81 | 82 | 82 |
| 2nd Appellate District Personal Services | 34 | 35 | 36 |
| 3rd Appellate District Personal Services | 25 | 24 | 25 |
| 4th Appellate District Personal Services | 26 | 26 | 26 |
| 5th Appellate District Personal Services | 25 | 26 | 27 |
| Supreme Court Justices | 7 | 7 | 8 |
| Official Court Reporters and Clerical Staff | 312 | 336 | 423 |
| Per Diem Court Reporters | 41 | 39 | 20 |
| Court Monitors of the Circuit Court | 209 | 185 | 123 |
| Judicial Support to Chief Circuit Judges | 18 | 18 | 18 |
| | <u>2,084</u> | <u>2,071</u> | <u>2,090</u> |

STATE OF ILLINOIS
SUPREME COURT

EMERGENCY PURCHASES

Fiscal Years Ended June 30, 2005 and 2004

| <u>Fiscal Year</u> | <u>Location</u> | <u>Description</u> | <u>Estimated Amount</u> |
|------------------------|--|---|--------------------------------|
| 2005 | Supreme Court Building, Springfield, IL 62701 | Emergency back up lighting/electrical system is not functioning properly and needs to be replaced. | <u>\$ 10,745</u> Note 1 |

Note 1: While the Administrative Office of the Illinois Courts Administrative Services Division filed the above emergency purchase affidavit with the Illinois Office of the Auditor General, the Capital Development Board ultimately administered and paid for the project. No Supreme Court funds were used for this emergency purchase.

STATE OF ILLINOIS
SUPREME COURT

ILLINOIS FIRST PROJECTS

Fiscal Years Ended June 30, 2005 and 2004

The Illinois Supreme Court did not participate in any Illinois First projects during the examination period.

STATE OF ILLINOIS
SUPREME COURT

SERVICE EFFORTS AND ACCOMPLISHMENTS
(NOT EXAMINED)

For the Two Years Ended June 30, 2005

The Supreme Court of Illinois, in addition to being the State's highest court, is responsible for the State's unified trial court, one appellate court with five districts, and several supporting units. The Supreme Court has general administrative and supervisory authority over all courts in the State. This authority is exercised by the Chief Justice with the assistance of the Administrative Director and staff appointed by the Supreme Court.

The Supreme Court hears appeals from lower courts and may exercise original jurisdiction in cases relating to revenue, mandamus, prohibition or habeas corpus. In addition, the Supreme Court oversees the practice of law by maintaining the role of attorneys and the licensing of corporations, associations, and limited partnerships in accordance with Supreme Court Rule 701 and 805 ILCS 305.

The appellate court hears appeals from the circuit courts and may exercise original jurisdiction when necessary to complete determination of any case on review. The appellate court has powers of direct review of administrative action as provided by law. The presiding judge and judges of each appellate district are assisted by a clerk of the appellate court and research director and their staffs appointed by the appellate judges.

Circuit courts have original jurisdiction over all justifiable matters except when the Supreme Court has original and exclusive jurisdiction relating to redistricting of the General Assembly and to the ability of the Governor to serve or resume office. Circuit courts have the power to review administrative action as provided by law.

The Supreme Court of Illinois and the Illinois General Assembly created court-annexed mandatory arbitration to reduce the backlog of civil cases and to provide litigants with a system in which their complaints could be more quickly resolved by an impartial fact finder.

The Illinois Constitution empowers the Supreme Court to appoint an administrative director and staff to assist the chief justice in fulfilling administrative and supervisory duties. The Administrative Office is composed of six divisions.

The Executive Division is comprised of the Administrative Director and staff who are responsible for coordinating and facilitating Administrative office staff support for the Supreme Court, Supreme Court Committees, and the Committees of the Illinois Judicial Conference.

The Administrative Services Division provides fiscal, technical and support services to the judicial branch.

The Court Services Division is involved in a wide range of activities and projects affecting judges, circuit clerks, court reporting services, and the judicial branch of government generally.

The Judicial Education Division provides administrative oversight of continuing education for judges and court personnel.

The Judicial Management Information Services Division provides technology to the offices and staff of the Illinois Supreme and Appellate Courts, the Supreme Court support units, and the Administrative Office.

The Probation Services Division sets statewide standards for hiring, promoting, training, and monitoring probation officers and related services.

ADMINISTRATIVE

| Input Indicators | FY 2003 Actual | FY 2004 Actual | FY 2005 Target/ Projected | FY 2005 Actual | FY 2006 Target/ Projected |
|---|---------------------------|---------------------------|--|-------------------------------|--|
| Total expenditures – all sources (in thousands) | \$8,941 | \$9,350 | \$13,387 | \$9,353 | \$13,756 |
| Total expenditures – State appropriated funds (in thousands) | \$8,941 | \$9,350 | \$13,387 | \$9,353 | \$13,756 |
| Average monthly full-time employees | 90 | 87 | 105 | 86 | 105 |

APPELLATE COURT

Mission Statement: The Illinois court system serves the people by providing an impartial and accessible forum for resolving the disputes of citizens, interpreting laws, and protecting the rights and liberties guaranteed by our state and federal constitutions.

Program Goals:

Objectives:

1. **Fairness:** This goal includes the dignified treatment of all individuals, the application of the laws appropriate to the circumstances of individual cases and a judiciary that is representative of the diversity of the community.
2. **Accessibility:** Courts should be convenient, timely and affordable to everyone.
3. **Accountability:** This goal includes the ability of the court system and its judges and staff to use public resources efficiently.

4. Effectiveness: The ability of the courts to uphold the law and apply rules and procedures both timely and consistently across cases throughout the state.

Funds: General Revenue Fund

Statutory Authority: Illinois Constitution Article VI

| Input Indicators | FY 2003 Actual | FY 2004 Actual | FY 2005 Target/Projected | FY 2005 Actual | FY 2006 Target/Projected |
|--|-----------------------|-----------------------|---------------------------------|-----------------------|---------------------------------|
| Total expenditures – all sources (in thousands) | \$29,071 | \$29,667 | \$33,953 | \$31,112 | \$35,063 |
| Total expenditures – State appropriated funds (in thousands) | \$29,071 | \$29,667 | \$33,953 | \$31,112 | \$35,063 |
| Average monthly full-time employees | 361 | 354 | 366 | 353 | 365 |

| Output Indicators | FY 2003 Actual | FY 2004 Actual | FY 2005 Target/Projected | FY 2005 Actual | FY 2006 Target/Projected |
|--|-----------------------|-----------------------|---------------------------------|-----------------------|---------------------------------|
| Number of published case opinions issued ^(a) | 1,043 | 956 | n/a | 910 | n/a |
| Number of Rule 23 Orders – Non-published or summary ^(b) | 5,044 | 4,539 | n/a | 4,245 | n/a |
| Total cases filed | 8,345 | 8,123 | n/a | 8,208 | n/a |
| Number of civil cases filed | 4,585 | 4,453 | n/a | 4,466 | n/a |
| Number of criminal cases filed | 3,760 | 3,670 | n/a | 3,742 | n/a |

^(a) Published cases

^(b) Non-published orders or summary orders

| Outcome Indicators | FY 2003 Actual | FY 2004 Actual | FY 2005 Target/Projected | FY 2005 Actual | FY 2006 Target/Projected |
|------------------------------------|-----------------------|-----------------------|---------------------------------|-----------------------|---------------------------------|
| Total cases disposed | 9,190 | 8,766 | n/a | 8,074 | n/a |
| Percent of civil cases disposed | 51.5% | 54% | n/a | 58.2% | n/a |
| Percent of criminal cases disposed | 48.5% | 46% | n/a | 41.8% | n/a |

| Efficiency/Cost-Effectiveness | FY 2003 Actual | FY 2004 Actual | FY 2005 Target/Projected | FY 2005 Actual | FY 2006 Target/Projected |
|--|-----------------------|-----------------------|---------------------------------|-----------------------|---------------------------------|
| Average caseload per Judicial Officer | 157.0 | 150.0 | n/a | 152.0 | n/a |
| Average cost per case filed (in dollars) | \$3,484 | \$3,652 | n/a | \$3,790 | n/a |

CIRCUIT COURT

Mission Statement: The Illinois court system serves the people by providing an impartial and accessible forum for resolving the disputes of citizens, interpreting laws, and protecting the rights and liberties guaranteed by our state and federal constitutions.

**Program Goals:
Objectives:**

1. Fairness: This goal includes the dignified treatment of all individuals, the application of the laws appropriate to the circumstances of individual cases and a judiciary that is representative of the diversity of the community.
2. Accessibility: Courts should be convenient, timely and affordable to everyone.
3. Accountability: This goal includes the ability of the court system and its judges and staff to use public resources efficiently.
4. Effectiveness: The ability of the courts to uphold the law and apply rules and procedures both timely and consistently across cases throughout the state.

Funds: General Revenue Fund

Statutory Authority: Illinois Constitution Article VI

| Input Indicators | FY 2003 Actual | FY 2004 Actual | FY 2005 Target/ Projected | FY 2005 Actual | FY 2006 Target/ Projected |
|---|---------------------------|---------------------------|--|---------------------------|--|
| Total expenditures – all sources (in thousands) ^(a) | \$157,341 | \$166,959 | \$167,914 | \$169,070 | \$143,203 |
| Total expenditures – State appropriated funds (in thousands) | \$157,064 | \$166,521 | \$167,422 | \$168,656 | \$142,454 |
| Average monthly full-time employees | 1,495 | 1,482 | 1,625 | 1,470 | 948 |

^(a) Additional funding is provided by local governments for operating costs.

| Output Indicators | FY 2003 Actual | FY 2004 Actual | FY 2005 Target/ Projected | FY 2005 Actual | FY 2006 Target/ Projected |
|---|---------------------------|---------------------------|--|---------------------------|--|
| Total cases filed ^(b) | 3,933,178 | 4,040,926 | n/a | 3,948,307 | n/a |
| Number of civil cases filed | 678,533 | 666,145 | n/a | 653,474 | n/a |
| Number of criminal cases filed | 459,410 | 466,107 | n/a | 461,983 | n/a |
| Number of traffic, conservation, and ordinance cases filed | 2,769,305 | 2,881,906 | n/a | 2,805,775 | n/a |
| Number of juvenile cases filed | 25,930 | 26,768 | n/a | 27,075 | n/a |

| Outcome Indicators | FY 2003 Actual | FY 2004 Actual | FY 2005 Target/ Projected | FY 2005 Actual | FY 2006 Target/ Projected |
|---|---------------------------|---------------------------|--|---------------------------|--|
| Total cases disposed ^(b) | 4,081,220 | 4,110,734 | n/a | 3,944,106 | n/a |
| Percent of civil cases disposed | 16.4% | 17.2% | n/a | 17.2% | n/a |
| Percent of criminal cases disposed | 12.1% | 11.7% | n/a | 12% | n/a |
| Percent of traffic, conservation, and ordinance cases disposed | 70.7% | 70.4% | n/a | 70% | n/a |
| Percent of juvenile cases disposed | 0.8% | 0.7% | n/a | 0.8% | n/a |

^(b) Data for January – June 2004 were not available for Alexander, DuPage, Hardin, and Kane counties. Data for April – June 2005 were not available for Alexander, Fulton, Kane Pulaski, and St. Clair counties. Data for January – June 2005 were not available for DuPage and Hardin counties.

| Efficiency/Cost-Effectiveness | FY 2003 Actual | FY 2004 Actual | FY 2005 Target/ Projected | FY 2005 Actual | FY 2006 Target/ Projected |
|--|---------------------------|---------------------------|--|---------------------------|--|
| Average caseload per Judicial Officer | 4,257 | 4,677 | n/a | 4,640 | n/a |
| Average cost per case filed (in dollars) | \$40 | \$41 | n/a | \$43 | n/a |
| Cases filed per 1,000 population | 317 | 325 | n/a | 318 | n/a |

MANDATORY ARBITRATION

Mission Statement: The Supreme Court of Illinois and the Illinois General Assembly created court-annexed mandatory arbitration to reduce the backlog of civil cases and to provide litigants with a system in which their complaints could be more quickly resolved by an impartial fact finder.

**Program Goals:
Objectives:**

1. Mandatory Arbitration programs provide an alternative resolution process to eligible litigants in order to resolve their disputes fairly, quickly and at a reduced cost.

**Funds: General Revenue Fund, Mandatory Arbitration Fund
Statutory Authority: 735 ILCS 5/2-1001A et seq.**

| Input Indicators | FY 2003 Actual | FY 2004 Actual | FY 2005 Target/ Projected | FY 2005 Actual | FY 2006 Target/ Projected |
|---|---------------------------|---------------------------|--|---------------------------|--|
| Total expenditures – all sources (in thousands) | \$5,049 | \$4,681 | \$13,202 | \$4,928 | \$13,617 |
| Total expenditures – State appropriated funds (in thousands) | \$5,049 | \$4,681 | \$13,202 | \$4,928 | 13,617 |
| Average monthly full-time employees | 24 | 21 | 26 | 19 | 26 |

| Output Indicators | FY 2003 Actual | FY 2004 Actual | FY 2005 Target/ Projected | FY 2005 Actual | FY 2006 Target/ Projected |
|--------------------------------|---------------------------|---------------------------|--|---------------------------|--|
| Civil cases placed on calendar | 39,472 | 40,964 | n/a | 41,380 | n/a |

| Outcome Indicators | FY 2003 Actual | FY 2004 Actual | FY 2005 Target/ Projected | FY 2005 Actual | FY 2006 Target/ Projected |
|--|---------------------------|---------------------------|--|---------------------------|--|
| Number of civil cases disposed prior to hearing | 19,889 | 20,680 | n/a | 22,692 | n/a |
| Percent of cases disposed prior to hearing ^(a) | 50.4% | 50.5% | n/a | 54.8% | n/a |
| Number of post-hearing dispositions ^(b) | 8,674 | 4,536 | n/a | 7,765 | n/a |
| Number of post-rejection dispositions ^(c) | 3,375 | 2,814 | n/a | 2,546 | n/a |
| Number of civil cases proceeded to trial ^(d) | 903 | 657 | n/a | 556 | n/a |
| Percent of civil cases proceeded to trial | 2.3% | 1.6% | n/a | 1.3% | n/a |

- (a) Civil cases in which the litigants reach a mutual agreement prior to an arbitration hearing.
- (b) Litigants go before a panel of 3 attorneys who hear their case. The panel renders a non-binding decision called an award. The case is disposed if the litigants accept or reject the award otherwise the case proceeds to trial.
- (c) Cases in which the litigants reach a mutual agreement prior to a trial.
- (d) Civil cases which have passed through the arbitration process without reaching an agreement.

| Efficiency/Cost-Effectiveness | FY 2003 Actual | FY 2004 Actual | FY 2005 Target/Projected | FY 2005 Actual | FY 2006 Target/Projected |
|--|----------------|----------------|--------------------------|----------------|--------------------------|
| Average cost per civil case filed (in dollars) | \$128 | \$114 | n/a | \$ 119 | n/a |

PROBATION SERVICES

Mission Statement: To develop, establish, promulgate, and enforce uniform standards for probation services in this state.

Program Goals:

Objectives:

1. Establish funding priorities that are consistent with identified policy and program initiatives, responsive to local needs and state mandates, and directed toward advancing the quality of probation services.

Funds: General Revenue Fund, Supreme Court Special State Projects Fund, Supreme Court Federal Projects Fund

Statutory Authority: 730 ILCS 110/15

| Input Indicators | FY 2003 Actual | FY 2004 Actual | FY 2005 Target/Projected | FY 2005 Actual | FY 2006 Target/Projected |
|--|----------------|----------------|--------------------------|----------------|--------------------------|
| Total expenditures – all sources (in thousands) ^(a) | \$70,144 | \$69,967 | \$61,580 | \$61,806 | \$61,397 |
| Total expenditures – State appropriated funds (in thousands) | \$69,701 | \$69,610 | \$61,399 | \$61,385 | \$61,067 |
| Average monthly full-time employees | 29 | 29 | 31 | 28 | 31 |

- (a) Additional funding is provided by local governments for operating costs.

| Output Indicators | FY 2003 Actual | FY 2004 Actual | FY 2005 Target/ Projected | FY 2005 Actual | FY 2006 Target/ Projected |
|--|---------------------------|---------------------------|--|---------------------------|--|
| Number of training events held for adult probation officers ^(b) | 11 | 9 | n/a | 31 | n/a |
| Number of training events held for juvenile probation officers ^(c) | 26 | 35 | n/a | 25 | n/a |
| Number of training events held for detention probation officers ^(d) | 4 | 3 | n/a | 6 | n/a |
| Number of probation officers who received basic training ^(e) | 140 | 131 | n/a | 149 | n/a |
| Number of supervised probationers ^(f) | 115,138 | 118,929 | n/a | 119,109 | n/a |
| Number of training events non-specific (adult, detention) ^(g) | 19 | 39 | n/a | 17 | n/a |

^(b) In fiscal year 2003, there were 11 events specifically for adult probation officers with a total of 495 participants. In fiscal year 2004, there were 9 events specifically for adult probation officers with a total of 1,144 participants. In fiscal year 2005, there were 31 events specifically for adult probation officers with a total of 815 participants.

^(c) In fiscal year 2003, there were 26 events specifically for juvenile probation officers with a total of 851 participants. In fiscal year 2004, there were 35 events specifically for juvenile probation officers with a total of 900 participants. In fiscal year 2005 there were 25 events specifically for juvenile probation officers with a total of 630 participants.

^(d) In fiscal year 2003, there were 4 events specifically for detention officers with a total of 88 participants. In fiscal year 2004, there were 3 events specifically for detention officers with a total of 64 participants. In fiscal year 2005, there were 6 events specifically for detention officers with a total of 199 participants.

^(e) In fiscal year 2003, there were 6 week long basic training events specifically for probation/detention officers. In fiscal year 2004, there were 6 week long basic training events specifically for probation/detention officers with a total of 131 participants. In fiscal year 2005, there were 6 week long basic training events specifically for probation/detention officers with a total of 149 participants.

^(f) Data includes adult and juvenile probationers on standard and specialized probation caseload as of the end of fiscal year (June 30). It does not include juveniles in detention.

^(g) In fiscal year 2003, there were 19 non-specific events which could be attended by any probation or detention staff with a total of 672 participants. In fiscal year 2004, there were 39 non-specific events which could be attended by any probation or detention staff with a total of 1,073 participants. In fiscal year 2005 there were 17 non-specific events which could be attended by any probation or detention staff with a total of 752 participants.

| Outcome Indicators | FY 2003 Actual | FY 2004 Actual | FY 2005 Target/ Projected | FY 2005 Actual | FY 2006 Target/ Projected |
|--|---------------------------|---------------------------|--|-------------------------------|--|
| Percent of probation terms successfully completed: adult | 72.3% | 73.8% | n/a | 73.2% | n/a |
| Percent of probation terms revoked: adult | 13.5% | 13% | n/a | 13.3% | n/a |

| Efficiency/Cost-Effectiveness | FY 2003 Actual | FY 2004 Actual | FY 2005 Target/ Projected | FY 2005 Actual | FY 2006 Target/ Projected |
|--|---------------------------|---------------------------|--|---------------------------|--|
| Average caseload per probation officer: Adult | 94.0 | 98.0 | n/a | 102.0 | n/a |
| Average caseload per probation officer: Juvenile | 26.2 | 25.9 | n/a | 33.8 | n/a |
| Average annual cost per offender: Standard (in dollars) | \$346 | \$343 | n/a | \$313 | n/a |
| Average annual cost per offender: DUI specialized (in dollars) | \$426 | \$285 | n/a | \$253 | n/a |
| Average annual cost per offender: Intensive supervision (in dollars) | \$2,591 | \$2,847 | n/a | \$2,898 | n/a |
| Average annual cost per offender: Juvenile Detention (in dollars) | \$1,875 | \$1,981 | n/a | \$1,943 | n/a |

SUPREME COURT

Mission Statement: The Illinois court system serves the people by providing an impartial and accessible forum for resolving the disputes of citizens, interpreting laws, and protecting the rights and liberties guaranteed by our state and federal constitutions.

Program Goals:

Objectives:

1. Fairness: This goal includes the dignified treatment of all individuals, the application of the laws appropriate to the circumstances of individual cases and a judiciary that is representative of the diversity of the community.

2. Accessibility: Courts should be convenient, timely and affordable to everyone.
3. Accountability: This goal includes the ability of the court system and its judges and staff to use public resources efficiently.
4. Effectiveness: The ability of the courts to uphold the law and apply rules and procedures both timely and consistently across cases throughout the state.

Funds: General Revenue Fund, Supreme Court Federal Projects Fund
Statutory Authority: Illinois Constitution Article VI

| Input Indicators | FY 2003 Actual | FY 2004 Actual | FY 2005 Target/ Projected | FY 2005 Actual | FY 2006 Target/ Projected |
|---|---------------------------|---------------------------|--|---------------------------|--|
| Total expenditures – all sources (in thousands) | \$10,382 | \$10,329 | \$13,091 | \$11,418 | \$12,706 |
| Total expenditures – State appropriated funds (in thousands) | \$10,382 | \$10,329 | \$13,091 | \$11,418 | \$12,706 |
| Average monthly full-time employees | 133 | 131 | 148 | 134 | 148 |

| Output Indicators | FY 2003 Actual | FY 2004 Actual | FY 2005 Target/ Projected | FY 2005 Actual | FY 2006 Target/ Projected |
|--|---------------------------|---------------------------|--|---------------------------|--|
| Number of attorneys overseen by the Supreme Court | 76,374 | 78,034 | n/a | 79,677 | n/a |
| Number of attorneys awarded licenses | 2,593 | 2,663 | n/a | 3,057 | n/a |
| Number of new corporations associations, and limited partnerships | 294 | 630 | n/a | 414 | n/a |
| Number of license renewals for corporations, associations and limited partnerships | 3,053 | 3,234 | n/a | 3,578 | n/a |
| Number of new Supreme Court Rules adopted | 2 | 6 | n/a | 2 | n/a |
| Number of amended Supreme Court Rules | 34 | 18 | n/a | 17 | n/a |
| Total cases filed | 3,096 | 3,130 | n/a | 3,171 | n/a |
| Number of Miscellaneous Record cases filed ^(a) | 618 | 709 | n/a | 732 | n/a |
| Number of Miscellaneous Docket cases filed ^(b) | 170 | 237 | n/a | 274 | n/a |
| Number of civil cases filed | 774 | 805 | n/a | 798 | n/a |
| Number of criminal cases filed | 1,534 | 1,379 | n/a | 1,367 | n/a |

(a) Miscellaneous records consist primarily of attorney matters, including name change petitions, disciplinary cases, and bar admission motions.

(b) Miscellaneous docket cases consist of conviction-related cases filed by prisoners representing themselves without legal counsel.

| Outcome Indicators | FY 2003 Actual | FY 2004 Actual | FY 2005 Target/ Projected | FY 2005 Actual | FY 2006 Target/ Projected |
|--|---------------------------|---------------------------|--|---------------------------|--|
| Percent of attorneys disciplined | 0.18% | 0.21% | n/a | 0.22% | n/a |
| Total cases disposed | 3,524 | 3,264 | n/a | 3,096 | n/a |
| Percent of Miscellaneous Record cases disposed | 19.4% | 21.5% | n/a | 26.1% | n/a |
| Percent of Miscellaneous Docket cases disposed | 4.7% | 7% | n/a | 9.9% | n/a |
| Percent of civil cases disposed | 21.9% | 24.3% | n/a | 25.4% | n/a |
| Percent of criminal cases disposed | 54% | 47.2% | n/a | 38.5% | n/a |

| Efficiency/Cost-Effectiveness | FY 2003 Actual | FY 2004 Actual | FY 2005 Target/ Projected | FY 2005 Actual | FY 2006 Target/ Projected |
|--|---------------------------|---------------------------|--|---------------------------|--|
| Average caseload per Judicial Officer | 442 | 447 | n/a | 453 | n/a |
| Average cost per case filed (in dollars) | \$3,353 | \$3,299 | n/a | \$3,601 | n/a |