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**STATE OF ILLINOIS
SUPREME COURT
(Including Appellate Court Districts 1-5
and the Illinois Courts Commission)**

**COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2015**

**Performed as Special Assistant Auditors
for the Auditor General, State of Illinois**

**STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2015**

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**STATE OF ILLINOIS
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For the Two Years Ended June 30, 2015**

AGENCY OFFICIALS

Director of the Administrative Office of the Illinois Courts (AOIC)	Michael J. Tardy
Deputy Director	Marcia Meis
Clerk of the Supreme Court	Carolyn Taft Grosboll
Supreme Court Librarian	Geoffrey Pelzek
Supreme Court Reporter of Decisions (01/06/14 to present) (01/01/14 to 01/05/14) (07/01/13 to 12/31/13)	Amy L. Tomaszewski Vacant Brian Ervin
Supreme Court Marshal	Robert Shay
Supreme Court Legal Research Director (03/01/15 to present) (07/01/13 to 02/28/15)	John Robinson Douglas Smith
Supreme Court Chief Internal Auditor	John Bracco
Chief Legal Counsel	Jan Zekich
Assistant Director - Administrative Services Division, AOIC	Kathleen O'Hara
Assistant Director - Civil Justice Division, AOIC (Division was formed January 2014) (01/13/14 to present)	Danielle Elyce Hirsch
Assistant Director - Court Services Division, AOIC (07/01/13 to 09/30/13) (10/01/13 to 01/26/14) (01/27/14 to present)	Dawn Marie Rubio Vacant Todd A. Schroeder
Assistant Director - Judicial Education Division, AOIC	Cyran Mott
Assistant Director – Judicial Management Information Services Division, AOIC	Skip Robertson
Assistant Director – Probation Services Division, AOIC	Margaret Groot

**STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2015**

AGENCY OFFICIALS (Continued)

Agency offices are located at:

Supreme Court

Supreme Court Building
200 East Capitol Avenue
Springfield, IL 62701

Administrative Offices of the Illinois Courts

222 North LaSalle Street
Chicago, IL 60601

3101 Old Jacksonville Road
Springfield, IL 62704

Supreme Court Reporter of Decisions

207 West Jefferson
Bloomington, IL 61702

Appellate Court Offices

First Appellate District
160 North LaSalle Street
Chicago, IL 60601

Second Appellate District
55 Symphony Way
Elgin, IL 60120

Third Appellate District
1004 Columbus Street
Ottawa, IL 61350

Fourth Appellate District
201 West Monroe Street
Springfield, IL 62794

Fifth Appellate District
14th & Main Streets
Mt. Vernon, IL 62864

Mandatory Arbitration Offices

County courthouses or arbitration centers located throughout the State

Circuit Court and Associate Judges, and Miscellaneous Court Personnel

County courthouses and judicial facilities located throughout the State



Supreme Court of Illinois
ADMINISTRATIVE OFFICE OF THE ILLINOIS COURTS

Michael J. Tardy
Director

222 North LaSalle Street, 13th Floor
Chicago, IL 60601
Phone: (312) 793-3250
Fax: (312) 793-1335

3101 Old Jacksonville Road
Springfield, IL 62704
Phone: (217) 558-4490
Fax: (217) 785-3905

MANAGEMENT ASSERTION LETTER

January 4, 2016

Adelfia LLC
400 East Randolph Street
Suite 705
Chicago, Illinois 60601

Ladies and Gentlemen:

We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the Supreme Court of Illinois. We are responsible for and we have established and maintained an effective system of internal controls over compliance requirements. We have performed an evaluation of the Court's compliance with the following assertions during the two-year period ended June 30, 2015. Based on this evaluation, we assert that during the years ended June 30, 2014 and June 30, 2015, the Court has materially complied with the assertions below.

- A. The Supreme Court of Illinois has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The Supreme Court of Illinois has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The Supreme Court of Illinois has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.

- D. State revenues and receipts collected by the Supreme Court of Illinois are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the Supreme Court of Illinois on behalf of the State or held in trust by the Supreme Court of Illinois have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate and in accordance with law.

Yours truly,
Supreme Court of Illinois



Michael J. Tardy
Administrative Director, Administrative Office of the Illinois Courts



Kathleen L. O'Hara
Assistant Director, Administrative Office of the Illinois Courts



Jan B. Zekich
Chief Legal Counsel, Administrative Office of the Illinois Courts

**STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2015**

COMPLIANCE REPORT

SUMMARY

The compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and in accordance with the Illinois State Auditing Act.

ACCOUNTANT'S REPORT

The Independent Accountant's Report on State Compliance, on Internal Control Over Compliance and on Supplementary Information for State Compliance Purposes does not contain scope limitations, disclaimers, or other significant non-standard language.

SUMMARY OF FINDINGS

<u>Number of</u>	<u>Current Report</u>	<u>Prior Report</u>
Findings	0	2
Repeated findings	0	0
Prior recommendations implemented or not repeated	2	0

SCHEDULE OF FINDINGS

PRIOR FINDINGS NOT REPEATED

<u>Item No.</u>	<u>Page</u>	<u>Description</u>
A	10	Inadequate Control over Property and Equipment
B	10	Computer Security Weaknesses

EXIT CONFERENCE

In correspondence received from the State of Illinois, Supreme Court (Court) on December 17, 2015, the Court elected to waive a formal exit conference.



INDEPENDENT ACCOUNTANT’S REPORT ON STATE COMPLIANCE,
ON INTERNAL CONTROL OVER COMPLIANCE, AND ON
SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

Honorable Frank J. Mautino
Auditor General
State of Illinois

Compliance

As Special Assistant Auditors for the Auditor General, we have examined the State of Illinois, Supreme Court’s (Court) compliance with the requirements listed below, as more fully described in the Audit Guide for Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (Audit Guide) as adopted by the Auditor General, during the two years ended June 30, 2015. The management of the Court is responsible for compliance with these requirements. Our responsibility is to express an opinion on the Court’s compliance based on our examination.

- A. The Court has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The Court has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The Court has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the Court are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the Court on behalf of the State or held in trust by the Court have been properly and legally administered and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.

Our examination was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States; the Illinois State Auditing Act (Act); and the Audit Guide as adopted by the Auditor General pursuant to the Act; and, accordingly, included examining, on a test basis, evidence about the Court's compliance with those requirements listed in the first paragraph of this report and performing such other procedures as we considered necessary in the circumstances. We believe that our examination provides a reasonable basis for our opinion. Our examination does not provide a legal determination on the Court's compliance with specified requirements.

In our opinion, the Court complied, in all material respects, with the compliance requirements listed in the first paragraph of this report during the two years ended June 30, 2015.

Internal Control

Management of the Court is responsible for establishing and maintaining effective internal control over compliance with the requirements listed in the first paragraph of this report. In planning and performing our examination, we considered the Court's internal control over compliance with the requirements listed in the first paragraph of this report to determine the examination procedures that are appropriate in the circumstances for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with the Audit Guide, issued by the Illinois Office of the Auditor General, but not for the purpose of expressing an opinion on the effectiveness of the Court's internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the Court's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with the requirements listed in the first paragraph of this report on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a requirement listed in the first paragraph of this report will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

As required by the Audit Guide, immaterial findings excluded from this report have been reported in a separate letter to your office.

Supplementary Information for State Compliance Purposes

Our examination was conducted for the purpose of forming an opinion on compliance with the requirements listed in the first paragraph of this report. The accompanying supplementary information for the years ended June 30, 2015 and June 30, 2014 in Schedules 1 through 13 and the Analysis of Operations Section is presented for purposes of additional analysis. We have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General to the June 30, 2015 and June 30, 2014 accompanying supplementary information in Schedules 1 through 13. However, we do not express an opinion on the accompanying supplementary information.

We have not applied procedures to the June 30, 2013 accompanying supplementary information in Schedules 3 through 7, 10 and 11 and in the Analysis of Operations Section, and accordingly, we do not express an opinion or provide any assurance on it.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, and Court management, and is not intended to be and should not be used by anyone other than these specified parties.

Adelfia LLC

Chicago, Illinois
January 4, 2016

**STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2015**

SCHEDULE OF FINDINGS

PRIOR FINDINGS NOT REPEATED

A. FINDING (Inadequate Controls Over Property and Equipment)

During the prior examination period, the Illinois Supreme Court (Court) did not have adequate controls over its property and equipment.

During the current examination period, our sample testing did not identify any exceptions. (Finding Code No. 2013-001)

B. FINDING (Computer Security Weaknesses)

During the prior examination period, the Court had computer security weaknesses.

During the current examination period, the Court implemented controls to strengthen computer security. (Finding Code No. 2013-002)

**STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2015**

SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

SUMMARY

Supplementary Information for State Compliance Purposes presented in this section of the report includes the following:

- Fiscal Schedules and Analysis:

- Schedule of Appropriations, Expenditures and Lapsed Balances - Fiscal Year 2015
- Schedule of Appropriations, Expenditures and Lapsed Balances - Fiscal Year 2014
- Comparative Schedule of Net Appropriations, Expenditures and Lapsed Balances
- Comparative Schedule of Net Appropriations, Expenditures and Lapsed Balances -
Total by Major Object Code and by Fund
- Comparative Schedule of Receipts, Disbursements and Fund Balance (Cash Basis) -
Locally Held Funds
- Schedule of Changes in State Property
- Comparative Schedule of Cash Receipts
- Reconciliation Schedule of Cash Receipts to Deposits Remitted to the State
Comptroller – Fiscal Year 2015
- Reconciliation Schedule of Cash Receipts to Deposits Remitted to the State
Comptroller – Fiscal Year 2014
- Analysis of Significant Variations in Expenditures
- Analysis of Significant Variations in Receipts
- Analysis of Significant Lapse Period Spending
- Analysis of Accounts Receivable

- Analysis of Operations (Not Examined):

- Agency Functions and Planning Program (Not Examined)
- Average Number of Employees (Not Examined)
- Service Efforts and Accomplishments (Not Examined)

The accountant's report that covers the Supplementary Information for State Compliance Purposes presented in the Compliance Report Section states the accountants have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General to the June 30, 2015 and June 30, 2014 accompanying supplementary information in Schedules 1 through 13. However, the accountants do not express an opinion on the supplementary information. The accountant's report also states that they have not applied procedures to the Analysis of Operations Section, and accordingly, they do not express an opinion or provide any assurance on it.

STATE OF ILLINOIS
 SUPREME COURT
 SCHEDULE OF APPROPRIATIONS, EXPENDITURES, AND LAPSED BALANCES
 Appropriations for Fiscal Year 2015

Fourteen Months Ended August 31, 2015

	Appropriations (Net After Transfers)	Expenditures Through 06/30/15	Lapse Period Expenditures 07/01/15 - 08/31/15	Total Expenditures	Balances Lapsed
<u>Public Act 98-0679</u>					
<u>APPROPRIATED FUNDS</u>					
<u>GENERAL REVENUE FUND - 0001</u>					
Operational Expenses, Awards, Grants, Permanent Improvements, and Probation Reimbursements	\$ 344,821,200	\$ 308,781,332	\$ 25,963,242	\$ 334,744,574	\$ 10,076,626
Total - General Revenue Fund	344,821,200	308,781,332	25,963,242	334,744,574	10,076,626
<u>SUPREME COURT SPECIAL PURPOSES FUND - 0030</u>					
For Oversight and Management	500,000	-	-	-	500,000
Total - Supreme Court Special Purposes Fund	500,000	-	-	-	500,000
<u>MANDATORY ARBITRATION FUND - 0262</u>					
Mandatory Arbitration Programs	26,912,700	3,118,252	-	3,118,252	23,794,448
Total - Mandatory Arbitration Fund	26,912,700	3,118,252	-	3,118,252	23,794,448
<u>FOREIGN LANGUAGE INTERPRETER FUND - 0597</u>					
Foreign Language Interpreter Program	654,800	-	-	-	654,800
Total - Foreign Language Interpreter Fund	654,800	-	-	-	654,800
<u>LAWYERS' ASSISTANCE PROGRAM - 0769</u>					
For Lawyers' Assistance Program	953,900	487,000	-	487,000	466,900
Total - Lawyers' Assistance Program Fund	953,900	487,000	-	487,000	466,900
Total - Appropriated Funds	\$ 373,842,600	\$ 312,386,584	\$ 25,963,242	\$ 338,349,826	\$ 35,492,774

STATE OF ILLINOIS
SUPREME COURT
SCHEDULE OF APPROPRIATIONS, EXPENDITURES, AND LAPSED BALANCES
Appropriations for Fiscal Year 2015

Fourteen Months Ended August 31, 2015

	Appropriations (Net After Transfers)	Expenditures Through 06/30/15	Lapse Period Expenditures 07/01/15 - 08/31/15	Total Expenditures	Balances Lapsed
<u>NON-APPROPRIATED FUND</u>					
<u>SUPREME COURT FEDERAL PROJECTS FUND - 0269</u>					
State Court Improvement Basic Program - Fiscal Year 2014	\$ 83,525	\$ -	\$ -	\$ 83,525	
State Court Improvement Data Program - Fiscal Year 2014	65,575	-	-	65,575	
State Court Improvement Training Program - Fiscal Year 2014	64,777	-	-	64,777	
Illinois Probation State Data Collection - Fiscal Year 2014	19,314	-	-	19,314	
State Court Improvement Data Program - Fiscal Year 2015	83,784	96,979	96,979	180,763	
State Court Improvement Training Program - Fiscal Year 2015	23,440	83,318	83,318	106,758	
State Court Improvement Basic Program - Fiscal Year 2015	120,223	70,687	70,687	190,910	
Total - Supreme Court Federal Projects Fund	460,638	250,984	250,984	711,622	
Total - Non-Appropriated Fund	\$ 460,638	\$ 250,984	\$ 250,984	\$ 711,622	
GRAND TOTAL - ALL FUNDS	\$ 312,847,222	\$ 26,214,226	\$ 26,214,226	\$ 339,061,448	

Note: The data was taken directly from the Supreme Court records which have been reconciled to that of the State Comptroller.
Expenditure amounts are vouchers approved for payment by the Court and submitted to the State Comptroller for payment to the vendor.

STATE OF ILLINOIS
 SUPREME COURT
 SCHEDULE OF APPROPRIATIONS, EXPENDITURES, AND LAPSED BALANCES
 Appropriations for Fiscal Year 2014

Fourteen Months Ended August 31, 2014

	Appropriations (Net After Transfers)	Expenditures Through 06/30/14	Lapse Period Expenditures 07/01/14 - 08/31/14	Total Expenditures	Balances Lapsed
<u>Public Act 98-0064</u>					
<u>APPROPRIATED FUNDS</u>					
<u>GENERAL REVENUE FUND - 0001</u>					
Operational Expenses, Awards, Grants, & Permanent Improvements	\$ 238,221,198	\$ 227,210,393	\$ 11,010,805	\$ 238,221,198	\$ -
Deposit Foreign Language Interpreter Fund	500,000	500,000	-	500,000	-
Probation Reimbursements	63,600,000	53,652,714	9,947,286	63,600,000	-
Total - General Revenue Fund	302,321,198	281,363,107	20,958,091	302,321,198	-
<u>SUPREME COURT SPECIAL PURPOSES FUND - 0030</u>					
Special Purposes Fund	300,000	-	-	-	300,000
Total - Mandatory Arbitration Fund	300,000	-	-	-	300,000
<u>MANDATORY ARBITRATION FUND - 0262</u>					
Mandatory Arbitration Programs	26,515,000	3,854,332	341,316	4,195,648	22,319,352
Total - Mandatory Arbitration Fund	26,515,000	3,854,332	341,316	4,195,648	22,319,352
<u>FOREIGN LANGUAGE INTERPRETER FUND - 0597</u>					
Foreign Language Interpreter Program	645,100	-	-	-	645,100
Total - Foreign Language Interpreter Fund	645,100	-	-	-	645,100
<u>LAWYERS' ASSISTANCE PROGRAM - 0769</u>					
For Lawyers' Assistance Program	939,800	480,000	-	480,000	459,800
Total - Lawyers' Assistance Program Fund	939,800	480,000	-	480,000	459,800
Total - Appropriated Funds	\$ 330,721,098	\$ 285,697,439	\$ 21,299,407	\$ 306,996,846	\$ 23,724,252

STATE OF ILLINOIS
SUPREME COURT
SCHEDULE OF APPROPRIATIONS, EXPENDITURES, AND LAPSED BALANCES
Appropriations for Fiscal Year 2014

Fourteen Months Ended August 31, 2014

	Appropriations (Net After Transfers)	Expenditures Through 06/30/14	Lapse Period Expenditures		Total Expenditures	Balances Lapsed
			07/01/14 - 08/31/14			
<u>NON-APPROPRIATED FUNDS</u>						
<u>SUPREME COURT SPECIAL STATE PROJECTS FUND - 0230</u>						
Access to Justice Commission Project	\$ 8,101		-		\$ 8,101	
Total - Supreme Court Special State Projects Fund	8,101		-		8,101	
<u>SUPREME COURT FEDERAL PROJECTS FUND - 0269</u>						
State Court Improvement Data Program - Fiscal Year 2012		69,084			69,084	
State Court Improvement Training Program - Fiscal Year 2012		49,405			49,405	
State Court Improvement Basic Program - Fiscal Year 2012		75,511			75,511	
State Court Improvement Basic Program - Fiscal Year 2014		212,804	19,551		232,355	
State Court Improvement Data Program - Fiscal Year 2014		114,724	43,884		158,608	
State Court Improvement Training Program - Fiscal Year 2014		102,630	54,352		156,982	
Illinois Probation State Data Collection - Fiscal Year 2014		-	30,686		30,686	
Total - Supreme Court Federal Projects Fund		624,158	148,473		772,631	
Total - Non-Appropriated Funds	\$	632,259	148,473		\$ 780,732	
GRAND TOTAL - ALL FUNDS	\$	286,329,698	21,447,880		\$ 307,777,578	

Note: The data was taken directly from the Supreme Court records which have been reconciled to that of the State Comptroller. Expenditure amounts are vouchers approved for payment by the Court and submitted to the State Comptroller for payment to the vendor.

**STATE OF ILLINOIS
SUPREME COURT
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES, AND LAPSED BALANCES
For the Fiscal Years Ended June 30, 2015, 2014, and 2013**

	FISCAL YEAR		
	2015	2014	2013
	P.A. 98-0679	P.A. 98-0064	P.A. 97-0726
APPROPRIATED FUNDS			
<u>GENERAL REVENUE FUND - 0001</u>			
<u>Appropriations (Net After Transfers)</u>	\$ 344,821,200	\$ 302,321,198	\$ 281,087,100
<u>Expenditures</u>			
Operational Expenses, Awards, Grants, & Permanent Improvements	334,744,574	238,221,198	233,946,119
Probation Reimbursements	-	63,600,000	47,140,000
Deposit Foreign Language Interpreter Fund	-	500,000	-
Total Expenditures	334,744,574	302,321,198	281,086,119
<u>Lapsed Balances</u>	\$ 10,076,626	\$ -	\$ 981
<u>SUPREME COURT SPECIAL PURPOSES FUND - 0030</u>			
<u>Appropriations (Net After Transfers)</u>	\$ 500,000	\$ 300,000	\$ -
<u>Expenditures</u>			
Special Purposes Fund	-	-	-
For Oversight and Management	-	-	-
Total Expenditures	-	-	-
<u>Lapsed Balances</u>	\$ 500,000	\$ 300,000	\$ -
<u>MANDATORY ARBITRATION FUND - 0262</u>			
<u>Appropriations (Net After Transfers)</u>	\$ 26,912,700	\$ 26,515,000	\$ 26,515,000
<u>Expenditures</u>			
Mandatory Arbitration Programs	3,118,252	4,195,648	5,365,905
Total Expenditures	3,118,252	4,195,648	5,365,905
<u>Lapsed Balances</u>	\$ 23,794,448	\$ 22,319,352	\$ 21,149,095

STATE OF ILLINOIS
SUPREME COURT
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES, AND LAPSED BALANCES
For the Fiscal Years Ended June 30, 2015, 2014, and 2013

	FISCAL YEAR		
	2015	2014	2013
	P.A. 98-0679	P.A. 98-0064	P.A. 97-0726
<u>FOREIGN LANGUAGE INTERPRETER FUND - 0597</u>			
<u>Appropriations (Net After Transfers)</u>	\$ 654,800	\$ 645,100	\$ 145,100
<u>Expenditures</u>			
Foreign Language Interpreter Program	-	-	-
Total Expenditures	-	-	-
<u>Lapsed Balances</u>	\$ 654,800	\$ 645,100	\$ 145,100
<u>LAWYERS' ASSISTANCE PROGRAM FUND - 0769</u>			
<u>Appropriations (Net After Transfers)</u>	\$ 953,900	\$ 939,800	\$ 939,800
<u>Expenditures</u>			
For Lawyers' Assistance Program	487,000	480,000	469,000
Total Expenditures	487,000	480,000	469,000
<u>Lapsed Balances</u>	\$ 466,900	\$ 459,800	\$ 470,800
<u>Total - Appropriated Funds</u>			
Appropriations (Net After Transfers)	\$ 373,842,600	\$ 330,721,098	\$ 308,687,000
Total Expenditures	338,349,826	306,996,846	286,921,024
Lapsed Balances	\$ 35,492,774	\$ 23,724,252	\$ 21,765,976

**STATE OF ILLINOIS
SUPREME COURT
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES, AND LAPSED BALANCES
For the Fiscal Years Ended June 30, 2015, 2014, and 2013**

	FISCAL YEAR		
	2015	2014	2013
	P.A. 98-0679	P.A. 98-0064	P.A. 97-0726
NON-APPROPRIATED FUNDS			
<u>SUPREME COURT SPECIAL STATE PROJECTS FUND - 0230</u>			
<u>Expenditures</u>			
Access to Justice Commission Project	\$ -	\$ 8,101	\$ 5,899
<u>Total Expenditures</u>	<u>\$ -</u>	<u>\$ 8,101</u>	<u>\$ 5,899</u>
<u>SUPREME COURT FEDERAL PROJECTS FUND - 0269</u>			
<u>Expenditures</u>			
State Court Improvement Data Program - Fiscal Year 2011	\$ -	\$ -	\$ 58,543
State Court Improvement Training Program - Fiscal Year 2011	-	-	76,043
State Court Improvement Basic Program - Fiscal Year 2011	-	-	142,957
State Court Improvement Data Program - Fiscal Year 2012	-	69,084	226,983
State Court Improvement Training Program - Fiscal Year 2012	-	49,405	239,711
State Court Improvement Basic Program - Fiscal Year 2012	-	75,511	270,922
Buffer Zone Protection Program	-	-	195,480
Statewide Training Chief Judges	-	-	30,000
State Court Improvement Basic Program - Fiscal Year 2014	83,525	232,355	-
State Court Improvement Data Program - Fiscal Year 2014	65,575	158,608	-
State Court Improvement Training Program - Fiscal Year 2014	64,777	156,982	-
Illinois Probation State Data Collection - Fiscal Year 2014	19,314	30,686	-
State Court Improvement Data Program - Fiscal Year 2015	180,763	-	-
State Court Improvement Training Program - Fiscal Year 2015	106,758	-	-
State Court Improvement Basic Program - Fiscal Year 2015	190,910	-	-
<u>Total Expenditures</u>	<u>\$ 711,622</u>	<u>\$ 772,631</u>	<u>\$ 1,240,639</u>
<u>Total - All Non-appropriated Funds</u>			
Total Expenditures	<u>\$ 711,622</u>	<u>\$ 780,732</u>	<u>\$ 1,246,538</u>

**STATE OF ILLINOIS
SUPREME COURT
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES, AND LAPSED BALANCES
TOTAL BY MAJOR OBJECT CODE AND BY FUND
For the Fiscal Years Ended June 30, 2015, 2014, and 2013**

	FISCAL YEAR		
	2015	2014	2013
	P.A. 98-0679	P.A. 98-0064	P.A. 97-0726
TOTAL - APPROPRIATED FUNDS			
<u>Appropriations (Net After Transfers)</u>	\$ 373,842,600	\$ 330,721,098	\$ 308,687,000
<u>Expenditures</u>			
Operational Expenses, Awards, Grants, & Permanent Improvements	334,744,574	238,221,198	233,946,119
Probation Reimbursements	-	63,600,000	47,140,000
Deposit Foreign Language Interpreter Fund	-	500,000	-
Mandatory Arbitration Programs	3,118,252	4,195,648	5,365,905
For Lawyers' Assistance Program	487,000	480,000	469,000
Total Expenditures	<u>338,349,826</u>	<u>306,996,846</u>	<u>286,921,024</u>
<u>Lapsed Balances</u>	<u>\$ 35,492,774</u>	<u>\$ 23,724,252</u>	<u>\$ 21,765,976</u>
TOTAL - NON-APPROPRIATED FUNDS			
<u>Expenditures</u>			
Access to Justice Commission Project	\$ -	\$ 8,101	\$ 5,899
State Court Improvement Data Program - Fiscal Year 2011	-	-	58,543
State Court Improvement Training Program - Fiscal Year 2011	-	-	76,043
State Court Improvement Basic Program - Fiscal Year 2011	-	-	142,957
State Court Improvement Data Program - Fiscal Year 2012	-	69,084	226,983
State Court Improvement Training Program - Fiscal Year 2012	-	49,405	239,711
State Court Improvement Basic Program - Fiscal Year 2012	-	75,511	270,922
Buffer Zone Protection Program	-	-	195,480
Statewide Training Chief Judges	-	-	30,000
State Court Improvement Basic Program - Fiscal Year 2014	83,525	232,355	-
State Court Improvement Data Program - Fiscal Year 2014	65,575	158,608	-
State Court Improvement Training Program - Fiscal Year 2014	64,777	156,982	-
Illinois Probation State Data Collection - Fiscal Year 2014	19,314	30,686	-
State Court Improvement Data Program - Fiscal Year 2015	180,763	-	-
State Court Improvement Training Program - Fiscal Year 2015	106,758	-	-
State Court Improvement Basic Program - Fiscal Year 2015	190,910	-	-
Total Expenditures	<u>\$ 711,622</u>	<u>\$ 780,732</u>	<u>\$ 1,246,538</u>

**STATE OF ILLINOIS
SUPREME COURT
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES, AND LAPSED BALANCES
TOTAL BY MAJOR OBJECT CODE AND BY FUND
For the Fiscal Years Ended June 30, 2015, 2014, and 2013**

	FISCAL YEAR		
	2015	2014	2013
	P.A. 98-0679	P.A. 98-0064	P.A. 97-0726
<u>FUND NAME - ALL APPROPRIATED FUNDS</u>			
<u>Appropriations (Net After Transfers)</u>	\$ 373,842,600	\$ 330,721,098	\$ 308,687,000
<u>Expenditures</u>			
General Revenue Fund - 0001	334,744,574	302,321,198	281,086,119
Mandatory Arbitration Fund - 0262	3,118,252	4,195,648	5,365,905
Lawyers' Assistance Program Fund - 0769	487,000	480,000	469,000
Total Expenditures	<u>338,349,826</u>	<u>306,996,846</u>	<u>286,921,024</u>
<u>Lapsed Balances</u>	<u>\$ 35,492,774</u>	<u>\$ 23,724,252</u>	<u>\$ 21,765,976</u>
<u>NON-APPROPRIATED EXPENDITURES</u>			
Supreme Court Special State Projects Fund - 0230	\$ -	\$ 8,101	\$ 5,899
Supreme Court Federal Projects Fund - 0269	711,622	772,631	1,240,639
Total Expenditures	<u>\$ 711,622</u>	<u>\$ 780,732</u>	<u>\$ 1,246,538</u>

**STATE OF ILLINOIS
 SUPREME COURT
 COMPARATIVE SCHEDULE OF RECEIPTS, DISBURSEMENTS AND
 FUND BALANCE (CASH BASIS) - LOCALLY HELD FUNDS
 For the Fiscal Years Ended June 30, 2015, 2014, and 2013**

	FISCAL YEAR		
	<u>2015</u>	<u>2014</u>	<u>2013</u>
<u>COURT'S SAFEKEEPING FUND - 1343</u>			
Cash Balance, July 1	\$ 10,032	\$ 25,032	\$ 5,032
Receipts	-	5,000	20,000
Disbursements	<u>(5,000)</u>	<u>(20,000)</u>	<u>-</u>
Cash Balance, June 30	<u>\$ 5,032</u>	<u>\$ 10,032</u>	<u>\$ 25,032</u>

The Court's Safekeeping Fund (1343) is reported as a locally held fund and is maintained in the State Treasury. The Appellate Court Districts deposit bail bond money for defendants whose cases are on appeal at the Appellate Court.

The above schedule is presented on the cash basis of accounting.

**STATE OF ILLINOIS
SUPREME COURT
SCHEDULE OF CHANGES IN STATE PROPERTY
For the Fiscal Years Ended June 30, 2015 and 2014**

	<u>Library (1)</u>	<u>Land</u>	<u>Site Improvements</u>	<u>Buildings</u>	<u>Equipment</u>	<u>Capital Lease Equipment</u>	<u>Totals</u>
Balance July 1, 2013	\$ 5,294,774	\$ 677,042	\$ 97,019	\$ 23,345,279	\$ 25,418,516	\$ -	\$ 54,832,630
Additions	323,924	-	-	-	1,233,291	-	1,557,215
Deletions and other adjustments	(39,701)	-	-	-	(982,364)	-	(1,022,065)
Net transfers	-	-	-	56,677	(667,663)	-	(610,986)
Balance June 30, 2014	\$ 5,578,997	\$ 677,042	\$ 97,019	\$ 23,401,956	\$ 25,001,780	\$ -	\$ 54,756,794
Additions	367,982	-	-	159	1,740,606	9,934	2,118,681
Deletions and other adjustments	(191,269)	-	-	-	(244,988)	-	(436,257)
Net transfers	-	-	-	14,705,504	(665,448)	-	14,040,056
Balance June 30, 2015	\$ 5,755,710	\$ 677,042	\$ 97,019	\$ 38,107,619	\$ 25,831,950	\$ 9,934	\$ 70,479,274

(1) Amount represents library books held at the Supreme Court Library and the offices of the Supreme Court Justices.

This schedule has been reconciled to property reports submitted to the Office of the Comptroller.

**STATE OF ILLINOIS
SUPREME COURT
COMPARATIVE SCHEDULE OF CASH RECEIPTS
For the Fiscal Years Ended June 30, 2015, 2014, and 2013**

	FISCAL YEAR		
	2015	2014	2013
Deposits by the Illinois Supreme Court			
<u>General Revenue Fund - 0001</u>			
Supreme Court			
Pro rata share of salaries	\$ 168,011	\$ 182,259	\$ 170,414
Royalties	41,790	51,381	43,955
Court library fees	349	278	652
Phone call reimbursements	-	-	334
Jury duty	-	-	571
Offset	-	-	1,912
Subpoena fees	-	-	69
Prior Year Refunds	3,457	22,164	628,864
Miscellaneous	2,137	20,769	-
Total Supreme Court	<u>215,744</u>	<u>276,851</u>	<u>846,771</u>
Supreme Court Clerk			
Registration fees and certificates	-	5,465	210,018
Licenses	-	650	16,250
Dockets	-	4,500	17,800
Appearances	-	1,215	4,830
Opinions	-	150	805
Copy	-	868	-
Total Supreme Court Clerk	<u>-</u>	<u>12,848</u>	<u>249,703</u>
First Appellate Court			
Dockets	-	13,025	45,360
Appearances	-	5,895	23,535
Opinions	-	2,554	14,193
Copies	-	1,815	4,324
Total First Appellate Court	<u>-</u>	<u>23,289</u>	<u>87,412</u>

**STATE OF ILLINOIS
SUPREME COURT
COMPARATIVE SCHEDULE OF CASH RECEIPTS
For the Fiscal Years Ended June 30, 2015, 2014, and 2013**

	FISCAL YEAR		
	2015	2014	2013
<u>General Revenue Fund - 0001 (continued)</u>			
Second Appellate Court			
Dockets	\$ -	\$ 4,275	\$ 17,300
Appearances	-	2,190	8,055
Opinions	-	9	126
Postage and shipping	-	-	413
Copies and certificates	-	2	55
Miscellaneous	-	11	-
Total Second Appellate Court	-	6,487	25,949
Third Appellate Court			
Dockets	-	2,325	9,275
Appearances	-	930	4,185
Opinions	-	18	59
Copies and certificates	-	265	1,328
Bail bonds and Miscellaneous	-	-	773
Miscellaneous	-	264	-
Total Third Appellate Court	-	3,802	15,620
Fourth Appellate Court			
Dockets	-	1,450	8,200
Appearances	-	885	3,990
Opinions	-	10	45
Copies and certificates	-	498	2,025
Miscellaneous	-	8	4
Total Fourth Appellate Court	-	2,851	14,264
Fifth Appellate Court			
Dockets	-	2,000	7,100
Appearances	-	1,035	3,165
Opinions	-	8	103
Shipping	-	-	13
Copies and certificates	-	223	539
Miscellaneous	500	2,276	1,153
Total Fifth Appellate Court	500	5,542	12,073
Total General Revenue Fund - 0001	\$ 216,244	\$ 331,670	\$ 1,251,792

**STATE OF ILLINOIS
SUPREME COURT
COMPARATIVE SCHEDULE OF CASH RECEIPTS
For the Fiscal Years Ended June 30, 2015, 2014, and 2013**

	FISCAL YEAR		
	2015	2014	2013
<u>Supreme Court Special Purposes Fund - 0030</u>			
Supreme Court Clerk	\$ 265,377	\$ 238,624	\$ -
First Appellate Court	115,939	64,084	-
Second Appellate Court	33,746	16,002	-
Third Appellate Court	18,097	10,114	-
Fourth Appellate Court	18,991	12,163	-
Fifth Appellate Court	14,737	9,600	-
Total Supreme Court Special Purposes Fund - 0030	<u>\$ 466,887</u>	<u>\$ 350,587</u>	<u>\$ -</u>
<u>Supreme Court Special State Projects Fund - 0230</u>			
Private Organization or Individual	\$ 125,000	\$ -	\$ 14,000
Total Supreme Court Special State Projects Fund - 0230	<u>\$ 125,000</u>	<u>\$ -</u>	<u>\$ 14,000</u>
<u>Supreme Court Federal Projects Fund - 0269</u>			
Illinois Emergency Management	\$ -	\$ -	\$ 195,480
Prior year refund	4,266	1,541	480
Health and Human Services	554,846	901,079	951,849
State Justice Institute	50,000	30,000	-
Criminal Justice Trust Fund	-	-	62,097
Total Supreme Court Federal Projects Fund - 0269	<u>\$ 609,112</u>	<u>\$ 932,620</u>	<u>\$ 1,209,906</u>
<u>Foreign Language Interpreter - 0597</u>			
Testing, Training and Certification Fees	\$ 539,013	\$ -	\$ -
Total Foreign Language Interpreter - 0597	<u>\$ 539,013</u>	<u>\$ -</u>	<u>\$ -</u>
Total per Illinois Supreme Court Records	<u><u>\$ 1,956,256</u></u>	<u><u>\$ 1,614,877</u></u>	<u><u>\$ 2,475,698</u></u>

**STATE OF ILLINOIS
SUPREME COURT
COMPARATIVE SCHEDULE OF CASH RECEIPTS
For the Fiscal Years Ended June 30, 2015, 2014, and 2013**

	FISCAL YEAR		
	2015	2014	2013
Other Receipts Deposited on Behalf of the Court:			
<u>Mandatory Arbitration Fund - 0262</u>			
Circuit Court			
Boone	\$ 13,696	\$ 14,948	\$ 17,708
Cook	2,861,085	3,381,046	3,401,680
DuPage	241,641	287,561	329,701
Ford	2,880	3,424	4,208
Henry	11,408	11,672	12,516
Kane	128,021	154,168	184,344
Lake	214,552	227,320	267,170
Madison	461,492	563,580	544,068
McHenry	90,312	102,039	123,532
McLean	44,272	48,608	50,824
Mercer	3,490	3,421	4,372
Rock Island	43,980	50,172	55,988
St. Clair	161,616	108,184	121,400
Whiteside	23,552	24,652	24,536
Will	209,504	230,644	267,920
Winnebago	98,756	105,108	108,088
Prior year refunds	6,529	3,724	34,526
	<u>\$ 4,616,786</u>	<u>\$ 5,320,271</u>	<u>\$ 5,552,581</u>
<u>Lawyers' Assistance Program Fund - 0769</u>			
Annual fees	<u>\$ 504,924</u>	<u>\$ 486,646</u>	<u>\$ 479,640</u>
Total Lawyers' Assistance Program Fund - 0769	<u>\$ 504,924</u>	<u>\$ 486,646</u>	<u>\$ 479,640</u>
Total deposits by Circuit Courts on behalf of the Court	<u>\$ 5,121,710</u>	<u>\$ 5,806,917</u>	<u>\$ 6,032,221</u>
Total Cash Receipts All Funds	<u>\$ 7,077,966</u>	<u>\$ 7,421,794</u>	<u>\$ 8,507,919</u>

**STATE OF ILLINOIS
SUPREME COURT
RECONCILIATION SCHEDULE OF CASH RECEIPTS
TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
For the Fiscal Year Ended June 30, 2015**

	General Revenue Fund 0001 (1)	Supreme Court Special Purposes Fund 0030 (2)	Supreme Court Special State Projects Fund 0230	Supreme Court Federal Projects Fund 0269	Foreign Language Interpreter Fund 0597	Total
Receipts per Court records	\$ 216,244	\$ 466,887	\$ 125,000	\$ 609,112	\$ 539,013	\$ 1,956,256
Deposit in-transit to the State Comptroller						
Beginning of year	2,326	9,441	-	-	-	11,767
End of year	(866)	(17,528)	-	-	(820)	(19,214)
Other adjustments						
Unrecorded prior receipt (see Schedule 9)	-	-	-	-	(500,000)	(500,000)
Reversal of lost check	-	(66)	-	-	-	(66)
Deposits recorded by the State Comptroller	<u>\$ 217,704</u>	<u>\$ 458,734</u>	<u>\$ 125,000</u>	<u>\$ 609,112</u>	<u>\$ 38,193</u>	<u>\$ 1,448,743</u>
Other Receipts Deposited on Behalf of the Court:						
Mandatory Arbitration Fund - 0262 (3)						4,718,415
Lawyers' Assistance Program Fund - 0769 (4)						<u>504,924</u>
Grand total of deposits recorded by the Comptroller						<u>\$ 6,672,082</u>

STATE OF ILLINOIS
SUPREME COURT
RECONCILIATION SCHEDULE OF CASH RECEIPTS
TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
For the Fiscal Year Ended June 30, 2015

	Receipts per Court Records	Add: Deposits In Transit Beginning of Year	Less: Deposits In Transit End of Year	Add (Less): Other Adjustments	Deposits Recorded by the State Comptroller
(1) General Revenue Fund - 0001 Total Breakdown					
Supreme Court	\$ 215,744	\$ 2,326	\$ (866)	\$ -	\$ 217,204
Supreme Court Clerk	-	-	-	-	-
First Appellate Court	-	-	-	-	-
Second Appellate Court	-	-	-	-	-
Third Appellate Court	-	-	-	-	-
Fourth Appellate Court	-	-	-	-	-
Fifth Appellate Court	500	-	-	-	500
Fund Total	\$ 216,244	\$ 2,326	\$ (866)	\$ -	\$ 217,704
(2) Supreme Court Special Purposes Fund - 0030					
Supreme Court Clerk	\$ 265,377	\$ 2,134	\$ (3,574)	\$ -	\$ 263,937
First Appellate Court	115,939	3,229	(5,598)	(66)	113,504
Second Appellate Court	33,746	1,154	(3,761)	-	31,139
Third Appellate Court	18,097	1,009	(1,473)	-	17,633
Fourth Appellate Court	18,991	646	(2,072)	-	17,565
Fifth Appellate Court	14,737	1,269	(1,050)	-	14,956
Fund Total	\$ 466,887	\$ 9,441	\$ (17,528)	\$ (66)	\$ 458,734
(3) Mandatory Arbitration Fund - 0262	\$ 4,616,786	\$ 119,463	\$ (17,834)	\$ -	\$ 4,718,415

(4) This total reconciles to the Comptroller's Revenue Report and represents monies deposited on behalf of the Supreme Court by other entities.

**STATE OF ILLINOIS
SUPREME COURT
RECONCILIATION SCHEDULE OF CASH RECEIPTS
TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
For the Fiscal Year Ended June 30, 2014**

		Supreme Court Special State Projects Fund 0230	Supreme Court Special Purposes Fund 0030 (2)	Supreme Court Federal Projects Fund 0269	Foreign Language Interpreter Fund 0597	Total
Receipts per Court records	\$	331,670	\$ 350,587	\$ 932,620	-	\$ 1,614,877
Deposit in-transit to the State Comptroller						
Beginning of year	54,698	-	-	-	-	54,698
End of year	(2,326)	(9,441)	-	-	-	(11,767)
Other adjustments						
Unrecorded receipts (adjusted in Fiscal Year 2015)	-	-	-	-	500,000	500,000
Unrecorded prior receipts	(37,736)	-	-	-	-	(37,736)
Deposits recorded by the State Comptroller	\$	346,306	\$ 341,146	\$ 932,620	\$ 500,000	\$ 2,120,072
Other Receipts Deposited on Behalf of the Court:						
Mandatory Arbitration Fund - 0262 (3)						5,221,044
Lawyers' Assistance Program Fund - 0769 (4)						486,646
Grand total of deposits recorded by the Comptroller	\$					\$ 7,827,762

STATE OF ILLINOIS
SUPREME COURT
RECONCILIATION SCHEDULE OF CASH RECEIPTS
TO DEPOSITS REMITTED TO THE STATE COMPTROLLER
For the Fiscal Year Ended June 30, 2014

	Receipts per Court Records	Add: Deposits In Transit Beginning of Year	Less: Deposits In Transit End of Year	Add (Less): Other Adjustments	Deposits Recorded by the State Comptroller
(1) General Revenue Fund - 0001 Total Breakdown					
Supreme Court	\$ 276,851	\$ 41,406	\$ (2,326)	\$ (37,736)	\$ 278,195
Supreme Court Clerk	12,848	2,445	-	-	15,293
First Appellate Court	23,289	5,469	-	-	28,758
Second Appellate Court	6,487	1,858	-	-	8,345
Third Appellate Court	3,802	1,511	-	-	5,313
Fourth Appellate Court	2,851	1,151	-	-	4,002
Fifth Appellate Court	5,542	858	-	-	6,400
Fund Total	\$ 331,670	\$ 54,698	\$ (2,326)	\$ (37,736)	\$ 346,306
(2) Supreme Court Special Purposes Fund - 0030					
Supreme Court Clerk	\$ 238,624	\$ -	\$ (2,134)	\$ -	\$ 236,490
First Appellate Court	64,084	-	(3,229)	-	60,855
Second Appellate Court	16,002	-	(1,154)	-	14,848
Third Appellate Court	10,114	-	(1,009)	-	9,105
Fourth Appellate Court	12,163	-	(646)	-	11,517
Fifth Appellate Court	9,600	-	(1,269)	-	8,331
Fund Total	\$ 350,587	\$ -	\$ (9,441)	\$ -	\$ 341,146
(3) Mandatory Arbitration Fund - 0262	\$ 5,320,271	\$ 20,236	\$ (119,463)	\$ -	\$ 5,221,044

(4) This total reconciles to the Comptroller's Revenue Report and represents monies deposited on behalf of the Supreme Court by other entities.

**STATE OF ILLINOIS
SUPREME COURT
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2015**

The Illinois Supreme Court's (Court) explanations for significant fluctuations in total expenditures for each fund in excess of 20% and \$150,000 as presented in the Comparative Schedule of Net Appropriations, Expenditures, and Lapsed Balances (Schedule 3) are detailed below.

Fiscal Year 2015

Fund 0262 – Mandatory Arbitration Fund

Mandatory Arbitration Fund total expenditures decreased by \$1,077,396 or 26% in fiscal year 2015 mainly due to probation grants being included in the Court's operational expenses appropriations in the General Revenue Fund.

Fiscal Year 2014

Fund 0262 – Mandatory Arbitration Fund

Mandatory Arbitration Fund total expenditures decreased by \$1,170,257 or 22% in fiscal year 2014 partly due to the decrease in probation grants and partly due to probation grants being included in the Court's operational expenses appropriations in the General Revenue Fund.

Fund 0269 – Supreme Court Federal Projects Fund

Supreme Court Federal Projects Fund total expenditures decreased by \$468,008 or 38% in fiscal year 2014 due to the decrease in Federal allocations for the State Court Improvement Program, end of Buffer Zone Protection grant-funded program from the Illinois Emergency Management Agency, and Justice Assistance Grant from the Illinois Criminal Justice Information Authority awarded only during fiscal year 2013.

**STATE OF ILLINOIS
SUPREME COURT
ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS
For the Two Years Ended June 30, 2015**

The Illinois Supreme Court's (Court) explanations for significant fluctuations in total cash receipts for each fund in excess of 20% and \$50,000 as presented in the Comparative Schedule of Cash Receipts (Schedule 7) are detailed below.

Fiscal Year 2015

Fund 0001 – General Revenue Fund

General Revenue Fund receipts decreased by \$115,426 or 35% in fiscal year 2015 primarily due to the creation of the Supreme Court Special Purposes Fund (Fund 0030) in October 2013. Fees for registration, licenses, dockets, appearances, opinions, copies, and certificates, postage, shipping, and miscellaneous fees received by the Supreme Court Clerk and appellate courts which were previously deposited in the General Revenue Fund were deposited into Fund 0030.

Fund 0030 – Supreme Court Special Purposes Fund

Supreme Court Special Purposes Fund receipts increased by \$116,300 or 33% in fiscal year 2015 due to the creation of this fund in October 2013. Fees for registration, licenses, dockets, appearances, opinions, copies, and certificates, postage, shipping, and miscellaneous fees received by the Supreme Court Clerk and appellate courts that were previously deposited into the General Revenue Fund started being deposited into Fund 0030.

Fund 0230 – Supreme Court Special State Projects Fund

Special State Projects Fund receipts increased by \$125,000 or 100% in fiscal year 2015 due to a grant only received in May 2015 from the MacArthur Foundation.

Fund 0269 – Supreme Court Federal Projects Fund

Supreme Court Federal Projects Fund receipts decreased by \$323,508 or 35% in fiscal year 2015 due to the decrease in a grant received from the Department of Health and Human Services.

Fund 0597 – Foreign Language Interpreter Fund

Foreign Language Interpreter Fund receipts increased by \$539,013 or 100% in fiscal year 2015. The Court began collecting Foreign Language Program fees in November 2014.

**STATE OF ILLINOIS
SUPREME COURT
ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS
For the Two Years Ended June 30, 2015**

Fiscal Year 2014

Fund 0001 – General Revenue Fund

General Revenue Fund receipts decreased by \$920,122 or 74% in fiscal year 2014 primarily due to prior year refunds in fiscal year 2013 for a state grant appropriated to the Court and expended by the Cook County Juvenile Detention Center. In addition, the Supreme Court Special Purposes Fund (Fund 0030) was created in October 2013. Fees for registration, licenses, dockets, appearances, opinions, copies, and certificates, postage, shipping, and miscellaneous fees received by the Supreme Court Clerk and appellate courts which were previously deposited in the General Revenue Fund started being deposited into Fund 0030 in fiscal year 2014.

Fund 0030 – Supreme Court Special Purposes Fund

Supreme Court Special Purposes Fund receipts increased by \$350,587 or 100% in fiscal year 2014 due to the creation of this fund in October 2013 and fees for registration, licenses, dockets, appearances, opinions, copies, and certificates, postage, shipping, and miscellaneous fees from Supreme Court Clerk and appellate courts started being deposited into this fund that were previously deposited into the General Revenue Fund.

Fund 0269 – Supreme Court Federal Projects Fund

Supreme Court Federal Projects Fund receipts decreased by \$277,286 or 23% in fiscal year 2014 primarily due to the one-time receipt of two grants in fiscal year 2013 from the Illinois Emergency Management Agency and the Illinois Criminal Justice Information Authority.

**STATE OF ILLINOIS
SUPREME COURT
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
For the Two Years Ended June 30, 2015**

The Illinois Supreme Court's (Court) explanations for significant lapse period spending as presented in the Schedule of Appropriations, Expenditures, and Lapsed Balances for Fiscal Years 2015 and 2014 are detailed below. We considered lapse period spending in excess of 20% or more of the total expenditures to be significant.

Fiscal Year 2015

Fund 0269 – Supreme Court Federal Projects Fund

Lapse period spending on the State court improvement data program, the State court improvement training program and the State court improvement basic program in Fiscal Year 2015 were \$96,979 (54% of total expenditures), \$83,318 (78% of total expenditures), \$70,687 (37% of total expenditures), respectively. The Federal Court Improvement program is generally for a two-year period beginning October 1 of the award year and concluding on September 30, two years after. The Federal government permits the Court to pay for those items or services (during the federal lapse period) that were encumbered, purchased, ordered, and dedicated through and including September 30 of the respective grant period.

Fiscal Year 2014

Fund 0269 – Supreme Court Federal Projects Fund

Lapse period spending on State court improvement data program, State court improvement training program and Illinois Probation State Data Collection in Fiscal Year 2014 were \$43,884 (28% of total expenditures), \$54,352 (35% of total expenditures), \$30,686 (100% of total expenditures), respectively. The Federal Court Improvement program is generally for a two-year period beginning October 1 of the award year and concluding on September 30, two years after. The Federal government permits the Supreme Court to pay for those items or services (during the federal lapse period) that were encumbered, purchased, ordered, and dedicated through and including September 30 of the respective grant period.

**STATE OF ILLINOIS
SUPREME COURT
ANALYSIS OF ACCOUNTS RECEIVABLE
For the Two Years Ended June 30, 2015**

General Revenue Fund

The Court had \$5,688 and \$14,261 of net accounts receivable at June 30, 2015 and 2014, respectively, in the General Revenue Fund (0001). June 30, 2014 balances represented amounts owed to the Supreme Court Clerk, the Supreme Court Library, and the Appellate Court Clerks for certificates, opinions, photocopies, shipping, and cases filed for which fees have not been paid or waived.

Aged accounts receivable as of June 30, 2015 and 2014 were as follows for the General Revenue Fund:

	<u>June 30, 2015</u>		<u>June 30, 2014</u>	
<u>Days Outstanding</u>				
Current	\$ -	0%	\$ 239	2%
1 - 30 days	-	0%	10,115	71%
31 - 90 days	-	0%	-	0%
91 - 180 days	2,500	44%	346	2%
181 days - 1 year	142	2%	261	2%
Over 1 year	3,046	54%	3,300	23%
	<hr/>		<hr/>	
Gross receivables	5,688	100%	14,261	100%
	<hr/>		<hr/>	
Uncollectible	-	0%	-	0%
	<hr/>		<hr/>	
Net receivables	<u>\$ 5,688</u>	<u>100%</u>	<u>\$ 14,261</u>	<u>100%</u>

**STATE OF ILLINOIS
SUPREME COURT
ANALYSIS OF ACCOUNTS RECEIVABLE
For the Two Years Ended June 30, 2015**

Supreme Court Specials Purposes Fund

The Court had \$263 and \$1,945 of net accounts receivable at June 30, 2015 and 2014, respectively, in the Supreme Court Special Purposes Fund (0230). These balances represented amounts owed to the Supreme Court Clerk, the Supreme Court Library, and the Appellate Court Clerks for certificates, opinions, photocopies, shipping, and cases filed for which fees have not been paid or waived.

Aged accounts receivable as of June 30, 2015 and 2014 were as follows for the Supreme Court Special Purposes Fund:

<u>Days Outstanding</u>	<u>June 30, 2015</u>		<u>June 30, 2014</u>	
Current	\$ 231	83%	\$ 729	38%
1 - 30 days	22	8%	643	33%
31 - 90 days	10	4%	508	26%
91 - 180 days	15	5%	65	3%
181 days - 1 year	-	0%	-	0%
Over 1 year	-	0%	-	0%
Gross receivables	278	100%	1,945	100%
Uncollectible	15	5%	-	0%
Net receivables	<u>\$ 263</u>	<u>95%</u>	<u>\$ 1,945</u>	<u>100%</u>

A Quarterly Summary of Accounts Receivable Report (C-97) is not filed with the State Comptroller for Mandatory Arbitration Program Fund (0262), Supreme Court Federal Projects Fund (0269), Foreign Language Interpreter Fund (0597), Lawyers' Assistance Program Fund (0769) and Court's Safekeeping Fund (1343) because these funds have no accounts receivable as of June 30, 2015 and 2014.

**STATE OF ILLINOIS
SUPREME COURT
AGENCY FUNCTIONS AND PLANNING PROGRAM (NOT EXAMINED)
For the Two Fiscal Years Ended June 30, 2015**

Agency Functions

The Illinois Supreme Court (Court), in addition to being the State's highest court, is responsible for the State's unified trial court, one appellate court with five districts, and several supporting units. General administrative and supervisory authority over the court system is vested in the Supreme Court. Several advisory bodies assist with this mission by making recommendations to the Court. These include the Judicial Conference of Illinois and the various committees of the Court.

The Chief Justice is responsible for exercising the Court's general administrative and supervisory authority in accordance with the Court's rules. The Court appoints an Administrative Director to assist the Chief Justice in his or her duties. The staff of the Administrative Office of the Illinois Courts (AOIC) supports the Administrative Director.

Key support personnel exist at each level of the Court to assist judges with the administration of justice. At the Supreme Court level, this includes the Clerk, Librarian, and Reporter of Decisions, Marshal, Research Director and Chief Internal Auditor.

The Justices of the Supreme Court during the examination period were as follows:

- Rita B. Garman, Chief Justice (October 26, 2013-Current)
- Thomas L. Kilbride, Chief Justice (October 26, 2010-October 25, 2013)
- Robert R. Thomas
- Charles E. Freeman
- Lloyd A. Karmeier
- Anne M. Burke
- Mary Jane Theis

At the Appellate Court level, the presiding judge and judges of each Appellate District are assisted by the Clerk of the Appellate Court, Research Director, and their staff, who are appointed by the Appellate Judges.

Each circuit court is administered by a chief judge who is selected by the circuit court judges of the circuit. The chief judge is assisted by an administrative assistant and/or trial court administrator and other support staff.

The three levels of the courts: circuit, appellate, and supreme, all operate within clearly defined boundaries. The circuit court is the court of original jurisdiction which is divided into twenty-three circuits. Each circuit is located in one of the five appellate court districts. Cases enter the circuit court via the circuit court clerk's office in a county of the circuit. Cases may be appealed to the appellate court in the district containing the circuit court, or in certain circumstances, directly to the Supreme Court. After an appellate court decision, parties to the case may seek discretionary review by the Supreme Court.

**STATE OF ILLINOIS
SUPREME COURT
AGENCY FUNCTIONS AND PLANNING PROGRAM (NOT EXAMINED) (continued)
For the Two Fiscal Years Ended June 30, 2015**

In addition, the Supreme Court administers the appropriation made to the Illinois Courts Commission (Commission). The function of the Commission is to hear complaints against judges based upon investigations performed by the Judicial Inquiry Board. The Commission hears those complaints, makes findings and enters dispositive orders of dismissal or of imposition of sanctions. The Commission consists of five judges (one Supreme Court Justice, two Appellate Court Judges, and two Circuit Court Judges) and two citizen members appointed by the Governor. The Supreme Court Justice and the two Circuit Court Judges are appointed by the Supreme Court. The two Appellate Court Judges are appointed by the Appellate Court.

To assist the Supreme Court in the performance of its duties and functions, the Court appoints the following positions:

Administrative Director and Staff

The Executive Office, which is comprised of the Administrative Director, attorneys, and administrative staff, is largely responsible for coordinating Administrative Office staff support for the Supreme Court, Supreme Court committees and the committees of the Illinois Judicial Conference. Executive Office staff aid the Director in administering certain Supreme Court Rules; securing legal representation through the Office of the Attorney General; negotiating leases and contracts; overseeing the election of associate judges; coordinating the election processes with the Chief Justice; and providing secretariat services to the Illinois Courts Commission. The Executive Office also oversees the Human Resource Unit and the Labor Relations Unit. The Human Resource Unit provides personnel services to state-paid judicial branch employees and managers; maintains comprehensive attendance and leave records for all judicial branch personnel covered by the Supreme Court's Leave of Absence Policies; and assists individuals with questions regarding the Supreme Court's leave and personnel policies. The Labor Relations Unit negotiates collective bargaining agreements on behalf of chief circuit judges and circuit clerks. The Labor Relations Unit is frequently called upon to offer advice relative to personnel matters to assure appropriate actions that are just and in compliance with the negotiated agreements, common law rules of the workplace, and federal and state statutes.

The Administrative Services Division develops the Judicial Branch budget; provides procurement and inventory control; processes payment vouchers; processes AOIC receipts; maintains accounting records; maintains payroll records; coordinates employee benefit programs; maintains petty cash funds for the AOIC and the Supreme Court; and monitors the repair and renovation of State owned facilities.

The Civil Justice Division, which was formed in January 2014, pursues strategies to leverage and better allocate limited resources.

The Court Services Division (CSD) provides ongoing legislative support services; labor relations services; assistance to circuit clerks; administers the automated disposition reporting program; facilitates the activities of the Circuit Court of Cook County to train and certify court

**STATE OF ILLINOIS
SUPREME COURT
AGENCY FUNCTIONS AND PLANNING PROGRAM (NOT EXAMINED) (continued)
For the Two Fiscal Years Ended June 30, 2015**

interpreters. In addition, the CSD's responsibilities include the production of various reports and manage the programmatic and fiscal components of three (Basic, Data and Training) grant awards included in the federally-funded statewide Court Improvement Program (CIP).

The Judicial Education Division provides administrative oversight of continuing education programs for judges and court personnel; staffs the Committee on Education which, with the Court's approval, plans all judicial education programs sponsored by the Illinois Judicial Conference; and operates the Resource Lending Library.

The Judicial Management Information Services (JMIS) Division provides technology services to improve the procedures and efficiencies of court operations and allow the Illinois Courts to exchange data between courts, county agencies and other State organizations. JMIS staff oversees the installation of digital electronic recording.

The Probation Services Division provides services to the chief circuit judges and their probation officers in all circuits. The division sets standards for hiring and promoting probation officers; maintains a list of qualified applicants for probation positions; develops training programs; gathers statewide statistics and publishes reports; establishes standards for probation department compensation plans; develops and monitors probation programs to enhance services and sanctions for offenders supervised in the community and to provide effective alternatives to imprisonment.

Clerk of the Supreme Court

The Clerk of the Supreme Court directs a staff of deputies who process cases according to Supreme Court Rules, monitor the caseload of the Court, keep Court files and records, and maintain Court statistics. The Clerk's Office maintains a list of attorneys licensed to practice in the State and oversees the licensing of attorneys. The Clerk also registers and renews legal professional service corporations and associations, keeps files of judicial financial disclosure statements, and serves as a public information office for the Court.

Supreme Court Librarian

The Supreme Court Librarian directs library operations and acquisitions of research materials. Library staff provides research and reference assistance to the Court. The library serves the Court, the judiciary, other State government agencies, attorneys and the public.

Reporter of Decisions

The Reporter of Decisions directs a staff which publishes opinions of the Supreme and Appellate Courts in the "Official Reports". Employees also verify case citations; compose head notes, attorney lines, table of cases, topical summaries and other materials appearing in the "Official Reports"; and edit opinions for style and grammar.

**STATE OF ILLINOIS
SUPREME COURT
AGENCY FUNCTIONS AND PLANNING PROGRAM (NOT EXAMINED) (continued)
For the Two Fiscal Years Ended June 30, 2015**

Supreme Court Marshal

The Supreme Court Marshal attends each session of the Court. In addition, the Marshal directs a staff which provides security for justices and employees and conducts tours of the building.

Supreme Court Research Department

The Supreme Court Research Director supervises a staff of attorneys who provide legal research and writing assistance to the Court.

Supreme Court Internal Audit

The Supreme Court Chief Internal Auditor and staff perform audits of State funded activities of the Judicial Branch. In addition, Internal Audit annually assesses the adequacy of the internal controls for State funded activities.

Agency Planning Program

The Court annually convenes a Judicial Conference to consider the work of the courts and to suggest improvements in the administration of justice. Supreme Court Rule 41 established the membership of the conference, created the Executive Committee to assist the Supreme Court in conducting the conference and appointed the Administrative Office as the secretary of the Conference. The Chief Justice of the Illinois Supreme Court presides over both the Judicial Conference and the Executive Committee of the Conference, thus providing a strong link between the Judicial Conference and the Supreme Court.

In addition to the Judicial Conference, the Court addresses administrative matters during each Court term. This includes consideration of modifications to Supreme Court Rules and discussions with the Administrative Director regarding administrative and budgetary matters.

The Court releases several publications each year which summarizes the Courts' operations. These include the "Annual Report of the Illinois Courts", and the "Annual Report of the Illinois Judicial Conference".

During the examination period, the Court continued implementation of several new initiatives as a result of the planning activities outlined above. In addition to the actions taken by the Judicial Conference and the changes made to the Supreme Court Rules, these initiatives included a State Court Improvement Program grant received from the United States Department of Health and Human Services. The purpose of the grant is to assess and improve the role, responsibilities and effectiveness of the State court system in regard to the State laws implementing Titles IV-B and IV-E of the Social Security Act and to other judicial aspects of the child welfare system.

**STATE OF ILLINOIS
SUPREME COURT
AVERAGE NUMBER OF EMPLOYEES (NOT EXAMINED)
For the Fiscal Years Ended June 30, 2015, 2014, and 2013**

The following table, prepared from Court records, presents the average number of employees by pay code:

	<u>2015</u>	<u>2014</u>	<u>2013</u>
Supreme Court Personal Services	132	131	132
Circuit Judges Assigned to the Appellate Court	13	14	15
Cook County Mandatory Arbitration	-	-	7
Elected Judges of the Appellate Court	38	36	36
Appointed Judges of the Appellate Court	2	3	3
Administrative Assistants to Chief Circuit Judges	16	15	14
Law Clerks, 1st Appellate District	49	48	47
Law Clerks, 2nd Appellate District	18	18	18
Law Clerks, 3rd Appellate District	14	14	14
Law Clerks, 4th Appellate District	14	14	14
Law Clerks, 5th Appellate District	14	14	14
Retired Recalled Judges	4	7	13
Administrative Office of the Illinois Courts	111	111	111
Mandatory Arbitration	20	21	14
Circuit and Associate Judges	908	902	907
1st Appellate District Personal Services	83	82	82
2nd Appellate District Personal Services	35	34	35
3rd Appellate District Personal Services	24	24	25
4th Appellate District Personal Services	24	25	25
5th Appellate District Personal Services	22	23	24
Supreme Court Justices	7	7	7
Judicial Support to Chief Circuit Judges	16	17	18
	<u>1,564</u>	<u>1,560</u>	<u>1,575</u>

**STATE OF ILLINOIS
SUPREME COURT
SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED)
For the Two Years Ended June 30, 2015**

The Supreme Court of Illinois, in addition to being the State's highest court, is responsible for the State's unified trial court, one appellate court with five districts, and several supporting units. The Supreme Court has general administrative and supervisory authority over all courts in the State. This authority is exercised by the Chief Justice with the assistance of the Administrative Director and staff appointed by the Supreme Court. The Supreme Court hears appeals from lower courts and may exercise original jurisdiction in cases relating to revenue, mandamus, prohibition or habeas corpus. In addition, the Supreme Court oversees the practice of law by maintaining the role of attorneys and the licensing of corporations, associations, and limited partnerships in accordance with Supreme Court Rule 701 and 805 ILCS 305.

The Appellate Court hears appeals from the circuit courts and may exercise original jurisdiction when necessary to the complete determination of any case on review. The Appellate Court has powers of direct review of administrative action as provided by law. The presiding judge and judges of each appellate district are assisted by their respective staff, a clerk, and research director.

Circuit Courts have original jurisdiction over all justifiable matters except when the Supreme Court has original and exclusive jurisdiction relating to redistricting of the General Assembly and to the ability of the Governor to serve or resume office. Circuit courts have the power to review administrative action as provided by law.

The Supreme Court of Illinois and the Illinois General Assembly created court-annexed mandatory arbitration to reduce the backlog of civil cases and to provide litigants with a system in which their complaints could be more quickly resolved by a panel of three (3) attorney arbitrators.

The Illinois Constitution authorizes the Supreme Court to appoint an Administrative Director and staff to assist the Chief Justice in fulfilling administrative and supervisory duties. The Administrative Office is composed of seven divisions.

The Executive Division of the Administrative Office is comprised of the Administrative Director and staff who are responsible for coordinating and facilitating support for the Supreme Court, Supreme Court Committees, and the Committees of the Illinois Judicial Conference.

The Administrative Services Division provides fiscal, technical, and support services to the judicial branch.

The Civil Justice Division, which was formed in January 2014, pursues strategies to leverage and better allocate limited resources.

**STATE OF ILLINOIS
SUPREME COURT
SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED) (continued)
For the Two Years Ended June 30, 2015**

The Court Services Division is involved in a wide range of activities and projects affecting judges, circuit clerks, and the judicial branch of government generally.

The Judicial Education Division provides administrative oversight of continuing education for judges and court personnel.

The Judicial Management Information Services Division provides technology to the offices and staff of the Supreme and Appellate Courts, the Supreme and Appellate Court support units, the Administrative Office, and digital recording in the Circuit Court.

The Probation Services Division sets statewide standards for hiring, promoting, training, and monitoring probation officers and related services.

SUPREME COURT

Mission Statement: The Illinois court system serves the people by providing an impartial and accessible forum for resolving the disputes of citizens, interpreting laws, and protecting the rights and liberties guaranteed by our State and Federal Constitutions.

**Program Goals:
Objectives:**

1. **Fairness:** This goal includes the dignified treatment of all individuals, the application of the laws appropriate to the circumstances of individual cases and a judiciary that is representative of the diversity of the community.
2. **Accessibility:** Courts should be convenient, timely and affordable to everyone.
3. **Accountability:** This goal includes the ability of the court system and its judges and staff to use public resources efficiently.
4. **Effectiveness:** The ability of the courts to uphold the law and apply rules and procedures both timely and consistently across cases throughout State.

**STATE OF ILLINOIS
SUPREME COURT
SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED) (continued)
For the Two Years Ended June 30, 2015**

**Funds: General Revenue Fund, Supreme Court Federal Projects Fund
Statutory Authority: Illinois Constitution Article VI**

Input Indicators	Fiscal Year 2013 Actual	Fiscal Year 2014 Actual	Fiscal Year 2015 Target/Projected	Fiscal Year 2015 Actual	Fiscal Year 2016 Target/Projected
Total expenditures - all sources (in thousands)	\$12,898	\$12,834	\$16,826	\$13,087	N/A
Total expenditures - State appropriated funds (in thousands)	\$12,703	\$12,834	\$16,826	\$13,087	N/A
Average monthly full-time equivalents	140	137	148	140	N/A
Output Indicators					
Number of attorneys overseen by the Supreme Court	91,160	92,598	N/A	93,798	N/A
Number of attorneys awarded licenses	3,122	3,291	N/A	2,909	N/A
Number of new corporations, associations and limited partnerships	416	378	N/A	415	N/A
Number of license renewals for corporations, associations, and limited partnerships	4,420	4,502	N/A	4,573	N/A
Number of new Supreme Court Rules adopted	7	-	N/A	-	N/A
Number of amended Supreme Court rules	81	33	N/A	35	N/A
Total cases filed	2,669	2,600	N/A	2,416	N/A
Number of Miscellaneous Record cases filed (a)	751	660	N/A	675	N/A
Number of Miscellaneous Docket cases filed (b)	238	203	N/A	172	N/A
Number of civil cases filed	602	643	N/A	592	N/A
Number of criminal cases filed	1,078	1,094	N/A	977	N/A

- (a) Miscellaneous records consist primarily of attorney matters, including name change petitions, disciplinary cases, and bar admission motions.
- (b) Miscellaneous docket cases consist of conviction-related cases filed by prisoners representing themselves without legal counsel.

**STATE OF ILLINOIS
 SUPREME COURT
 SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED) (continued)
 For the Two Years Ended June 30, 2015**

Outcome Indicators	Fiscal Year 2013 Actual	Fiscal Year 2014 Actual	Fiscal Year 2015 Target/Projected	Fiscal Year 2015 Actual	Fiscal Year 2016 Target/Projected
Percent of attorneys disciplined	0.15%	0.14%	N/A	0.12%	N/A
Total cases disposed	2,595	2,566	N/A	2,316	N/A
Percent of Miscellaneous Record cases disposed	25.8%	23.4%	N/A	26.7%	N/A
Percent of civil cases disposed	22.0%	23.4%	N/A	26.0%	N/A
Percent of criminal cases disposed	43.3%	44.6%	N/A	38.9%	N/A
Percent of Miscellaneous Docket cases disposed	8.9%	8.6%	N/A	8.4%	N/A
Efficiency/Cost-Effectiveness Indicators					
Average caseload per Judicial Officer	381	371	N/A	345	N/A
Average cost per case filed (in dollars)	\$4,833	\$4,936	N/A	\$5,417	N/A

APPELLATE COURT

Mission Statement: The Illinois court system serves the people by providing an impartial and accessible forum for resolving the disputes of citizens, interpreting laws, and protecting the rights and liberties guaranteed by our State and Federal Constitutions.

**Program Goals:
 Objectives:**

1. Fairness: This goal includes the dignified treatment of all individuals, the application of the laws appropriate to the circumstances of individual cases and a judiciary that is representative of the diversity of the community.
2. Accessibility: Courts should be convenient, timely and affordable to everyone.
3. Accountability: This goal includes the ability of the court system and its judges and staff to use public resources efficiently.
4. Effectiveness: The ability of the courts to uphold the law and apply rules and procedures both timely and consistently across cases throughout State.

**STATE OF ILLINOIS
SUPREME COURT
SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED) (continued)
For the Two Years Ended June 30, 2015**

**Funds: General Revenue Fund, Supreme Court Federal Projects Fund
Statutory Authority: Illinois Constitution Article VI**

	Fiscal Year 2013 Actual	Fiscal Year 2014 Actual	Fiscal Year 2015 Target/ Projected	Fiscal Year 2015 Actual	Fiscal Year 2016 Target/ Projected
Input Indicators					
Total expenditures - all sources (in thousands)	\$34,825	\$ 35,131	\$36,461	\$35,708	N/A
Total expenditures -State appropriated funds (in thousands)	\$34,825	\$35,131	\$36,461	\$35,708	N/A
Average monthly full-time equivalents	352	350	363	350	N/A
Output Indicators					
Number of case opinions issued (a)	851	846	N/A	914	N/A
Number of Rule 23 Orders issued (b)	3,254	3,526	N/A	3,598	N/A
Total cases filed	8,153	8,102	N/A	7,885	N/A
Number of civil cases filed	4,410	4,365	N/A	4,312	N/A
Number of criminal cases filed	3,743	3,737	N/A	3,573	N/A
Outcome Indicators					
Total cases disposed	8,082	7,807	N/A	7,749	N/A
Percent of civil cases disposed	56.5%	55.7%	N/A	57.1%	N/A
Percent of criminal cases disposed	43.5%	44.3%	N/A	42.9%	N/A
Efficiency/Cost-Effectiveness Indicators					
Average caseload per Judicial Officer	151	150	N/A	146	N/A
Average cost per case filed (in dollars)	\$4,271	\$4,336	N/A	\$4,529	N/A

(a) Published cases

(b) Non-published orders or summary orders

**STATE OF ILLINOIS
SUPREME COURT
SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED) (continued)
For the Two Years Ended June 30, 2015**

CIRCUIT COURT

Mission Statement: The Illinois court system serves the people by providing an impartial and accessible forum for resolving the disputes of citizens, interpreting laws, and protecting the rights and liberties guaranteed by our State and Federal Constitutions.

**Program Goals:
Objectives:**

1. Fairness: This goal includes the dignified treatment of all individuals, the application of the laws appropriate to the circumstances of individual cases and a judiciary that is representative of the diversity of the community.
2. Accessibility: Courts should be convenient, timely and affordable to everyone.
3. Accountability: This goal includes the ability of the court system and its judges and staff to use public resources efficiently.
4. Effectiveness: The ability of the courts to uphold the law and apply rules and procedures both timely and consistently across cases throughout State.

**Funds: General Revenue Fund, Supreme Court Federal Projects Fund
Statutory Authority: Illinois Constitution Article VI**

	Fiscal Year 2013 Actual	Fiscal Year 2014 Actual	Fiscal Year 2015 Target/ Projected	Fiscal Year 2015 Actual	Fiscal Year 2016 Target/ Projected
Input Indicators					
Total expenditures - all sources (in thousands) (a)	\$167,594	\$169,865	\$180,892	\$171,850	N/A
Total expenditures - State appropriated funds (in thousands)	\$166,543	\$169,115	\$179,647	\$171,158	N/A
Average monthly full-time equivalents	933	925	989	934	N/A
Output Indicators					
Total cases filed (b)	3,206,811	3,034,516	N/A	2,818,679	N/A
Number of civil cases filed	682,361	616,140	N/A	577,641	N/A
Number of criminal cases filed	338,227	311,748	N/A	290,850	N/A
Number of traffic, conservation and ordinance filed	2,161,510	2,084,551	N/A	1,927,771	N/A
Number of juvenile cases filed	24,713	22,077	N/A	22,417	N/A

**STATE OF ILLINOIS
 SUPREME COURT
 SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED) (continued)
 For the Two Years Ended June 30, 2015**

Outcome Indicators	Fiscal Year 2013 Actual	Fiscal Year 2014 Actual	Fiscal Year 2015 Target/Projected	Fiscal Year 2015 Actual	Fiscal Year 2016 Target/Projected
Total cases disposed (b)	3,241,625	3,015,277	N/A	2,879,736	N/A
Percent of civil cases disposed	22.1%	21.6%	N/A	21.5%	N/A
Percent of criminal cases disposed	10.5%	10.6%	N/A	10.5%	N/A
Percent of traffic, conservation and ordinance disposed	66.6%	67.1%	N/A	67.2%	N/A
Percent of juvenile cases disposed	0.8%	0.7%	N/A	0.8%	N/A
Efficiency/Cost-Effectiveness Indicators					
Average caseload per Judicial Officer	3,559	3,398	N/A	3,125	N/A
Average cost per case filed (in dollars)	\$52	\$56	N/A	\$61	N/A
Cases filed per 1,000 population	250	237	N/A	220	N/A

- (a) Additional funding is provided by local government for operating costs.
 (b) Data for January-June 2014 were not available for Alexander County. Data for April-June 2014 were not available for Cumberland County.

MANDATORY ARBITRATION

Mission Statement: The Supreme Court of Illinois and the Illinois General Assembly created court-annexed mandatory arbitration to reduce the backlog of civil cases and to provide litigants with a system in which their complaints could be more quickly resolved by an impartial fact finder.

**Program Goals:
 Objectives:**

1. Mandatory Arbitration programs provide an alternative resolution process to eligible litigants in order to resolve their dispute fairly and at a reduced cost.

**STATE OF ILLINOIS
SUPREME COURT
SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED) (continued)
For the Two Years Ended June 30, 2015**

**Source of Funds: General Revenue Fund, Mandatory Arbitration Fund
Statutory Authority: 735 ILCS 5/2-1001A et seq**

	Fiscal Year 2013 Actual	Fiscal Year 2014 Actual	Fiscal Year 2015 Target/ Projected	Fiscal Year 2015 Actual	Fiscal Year 2016 Target/ Projected
Input Indicators					
Total expenditures - all sources (in thousands)	\$4,836	\$4,324	\$28,158	\$4,243	N/A
Total expenditures - State appropriated funds (in thousands)	\$4,836	\$4,324	\$28,158	\$4,243	N/A
Average monthly full-time equivalents	21	21	25	20	N/A
Output Indicators					
Civil cases placed on calendar	29,048	28,081	N/A	23,304	N/A
Outcome Indicators					
Number of civil cases disposed prior to hearing	11,161	10,138	N/A	7,301	N/A
Percent of cases disposed prior to hearing (a)	38.4%	36.1%	N/A	31.3%	N/A
Number of post-hearing dispositions (b)	5,473	2,633	N/A	2,698	N/A
Number of post-rejection dispositions (c)	3,792	3,711	N/A	1,442	N/A
Number of civil cases proceeded to trial (d)	384	346	N/A	177	N/A
Number of Miscellaneous Docket cases filed	238	203	N/A	0	N/A
Number of civil cases filed	602	643	N/A	0	N/A
Percent of civil cases proceeded to trial	1.3%	1.2%	N/A	0.8%	N/A
Efficiency/Cost-Effectiveness Indicators					
Average cost per civil case filed (in dollars)	\$166	\$154	N/A	\$182	N/A

(a) Civil cases in which the litigants reach a mutual agreement prior to an arbitration hearing.

(b) Litigants go before a panel of 3 attorneys who hear their case. The panel renders a non-binding decision called an award. The case is disposed if the litigants accept or reject the award otherwise the case proceeds to trial.

(c) Cases in which the litigants reach a mutual agreement prior to a trial.

(d) Civil cases which have passed through the arbitration process without reaching an agreement.

**STATE OF ILLINOIS
SUPREME COURT
SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED) (continued)
For the Two Years Ended June 30, 2015**

PROBATION SERVICES

Mission Statement: To develop, establish, promulgate and enforce uniform standards for probation service in this State.

Program Goals:

Objectives:

1. Establish funding priorities that are consistent with identified policy and program initiatives, responsive to local needs and State mandates, and directed toward advancing the quality of probation services

Source of Funds: General Revenue Fund, Mandatory Arbitration Fund

Statutory Authority: 730 ILCS 110/15

	Fiscal Year 2013 Actual	Fiscal Year 2014 Actual	Fiscal Year 2015 Target/ Projected	Fiscal Year 2015 Actual	Fiscal Year 2016 Target/ Projected
Input Indicators					
Total expenditures - all sources (in thousands) (a)	\$57,176	\$73,873	\$99,328	\$99,945	N/A
Total expenditures - State appropriated funds (in thousands)	\$57,176	\$73,842	\$99,309	\$99,926	N/A
Average monthly full-time equivalents	26	23	29	24	N/A
Output Indicators					
Number of training events held for adult probation officers (b)	15	14	N/A	1	N/A
Number of training events held for juvenile probation officers (c)	11	14	N/A	14	N/A
Number training events held for detention probation officers	8	7	N/A	14	N/A
Number of probation officers who received basic training (e)	89	108	N/A	104	N/A
Number of supervised probationers (f)	97,356	97,731	N/A	95,105	N/A
Number of training events non-specific (adult, detention) (g)	36	26	N/A	46	N/A

**STATE OF ILLINOIS
SUPREME COURT
SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED) (continued)
For the Two Years Ended June 30, 2015**

- (a) Additional funding is provided by local governments for operating costs.
- (b) In fiscal year 2013, there were 15 events specifically for adult probation officers with a total of 368 participants. In fiscal year 2014, there were 14 events specifically for adult probation officers with a total of 368 participants. In fiscal year 2014, there were 14 events specifically for adult probation officers with a total of 632 participants. In fiscal year 2015, there was 1 event specifically for adult probation officers with a total of 20 participants.
- (c) In fiscal year 2013, there were 11 events specifically for juvenile probation officers with a total of 299 participants. In fiscal year 2014, there were 14 events specifically for juvenile probation officers with a total of 377 participants. In fiscal year 2015, there were 14 events specifically for juvenile probation officers with a total of 128 participants.
- (d) In fiscal year 2013, there were 8 events specifically for detention officers with a total of 110 participants. In fiscal year 2014, there were 7 events specifically for detention officers with a total of 140 participants. In fiscal year 2015, there were 7 events specifically for detention officers with a total of 130 participants.
- (e) In fiscal year 2013, there were 4 week long basic training events specifically for probation/detention officers with a total of 89 participants. In fiscal year 2014, there were 5 week long basic training events specifically for probation/detention officers with a total of 108 participants. In fiscal year 2015, there were 5 week long basic training events specifically for probation/detention officers with a total of 104 participants.
- (f) Data includes adult and juvenile probationers on standard probation and specialized probation caseloads as of the end of the state fiscal year (June 30). It does not include juveniles in detention.
- (g) In fiscal year 2013, there were 36 events which were not specific to adult and juvenile or detention, with a total of 613 participants. In fiscal year 2014, there were 26 events which were not specific to adult and juvenile probation or detention, with a total of 448 participants. In fiscal year 2015, there were 46 events which were not specific to adult and juvenile probation or detention, with a total of 1,102 participants.

**STATE OF ILLINOIS
SUPREME COURT
SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED) (continued)
For the Two Years Ended June 30, 2015**

	Fiscal Year 2013 Actual	Fiscal Year 2014 Actual	Fiscal Year 2015 Target/ Projected	Fiscal Year 2015 Actual	Fiscal Year 2016 Target/ Projected
Outcome Indicators					
Percent of probation terms successfully completed: Adult	70%	69%	N/A	73%	N/A
Percent of probation terms revoked: Adult	13%	13%	N/A	11%	N/A
Efficiency/Cost-Effectiveness Indicators					
Average caseload per probation officer: Adult	78	78	N/A	80	N/A
Average caseload per probation officer: Juvenile	23	22	N/A	20	N/A
Average annual cost per offender: Standard (in dollars)	\$444	\$465	N/A	\$492	N/A
Average annual cost per offender: DUI specialized (in dollars)	\$785	\$1,080	N/A	\$1,083	N/A
Average annual cost per offender: Intensive supervision (in dollars)	\$1,304	\$1,793	N/A	\$1,798	N/A
Average annual cost per offender: Juvenile Detention (in dollars)	\$1,060	\$1,452	N/A	\$1,348	N/A