



ADELFA LLC
CERTIFIED PUBLIC ACCOUNTANTS

400 E RANDOLPH STREET, SUITE 705
CHICAGO, ILLINOIS 60601
TEL (312) 240-9500 FAX (312) 240-0295
www.adelfiacpas.com

**STATE OF ILLINOIS
SUPREME COURT
(Including Appellate Court Districts 1-5
and the Illinois Courts Commission)**

**COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2017**

**Performed as Special Assistant Auditors
for the Auditor General, State of Illinois**

**STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2017**

TABLE OF CONTENTS

	<u>Page(s)</u>
Agency Officials	1-3
Management Assertion Letter	4-5
Compliance Report:	
Summary	6
Independent Accountant’s Report on State Compliance, on Internal Control Over Compliance, and on Supplementary Information for State Compliance Purposes	7-9
Supplementary Information for State Compliance Purposes:	<u>Schedule</u>
Summary	10
Fiscal Schedules and Analysis	
Schedule of Appropriations, Expenditures and Lapsed Balances - Fiscal Year 2017.....	1 11-12
Schedule of Appropriations, Expenditures and Lapsed Balances - Fiscal Year 2016.....	2 13-14
Comparative Schedule of Net Appropriations, Expenditures and Lapsed Balances	3 15-17
Comparative Schedule of Net Appropriations, Expenditures and Lapsed Balances - Total by Major Object Code and by Fund	4 18-19
Comparative Schedule of Receipts, Disbursements and Fund Balance (Cash Basis) - Locally Held Funds.....	5 20
Schedule of Changes in State Property	6 21
Comparative Schedule of Cash Receipts and Reconciliation of Cash Receipts to Deposits Remitted to the State Comptroller	7 22-25
Analysis of Operations (Not Examined)	
Agency Functions and Planning Program (Not Examined)	26-30
Analysis of Significant Variations in Expenditures (Not Examined) ..	31
Analysis of Significant Variations in Receipts (Not Examined).....	32
Analysis of Significant Lapse Period Spending (Not Examined)	33-34
Analysis of Accounts Receivable (Not Examined).....	35-36
Budget Impasse Disclosures (Not Examined).....	37
Alternative Financing in Lieu of Appropriations and Programs	
To address Untimely Payments to Vendors (Not Examined)	38-39
Interest Costs on Invoices (Not Examined).....	40
Average Number of Employees (Not Examined)	41
Memorandums of Understanding (Not Examined).....	42-43
Service Efforts and Accomplishments (Not Examined)	44-53

**STATE OF ILLINOIS
 SUPREME COURT
 COMPLIANCE EXAMINATION
 For the Two Years Ended June 30, 2017**

AGENCY OFFICIALS

Director, Administrative Office of the Illinois Courts (AOIC)	(08/01/17 to current) (07/01/15 to 07/31/17)	Marcia M. Meis Michael J. Tardy
Deputy Director, AOIC	(08/01/17 to current) (07/01/15 to 07/31/17)	Janeve Zekich Marcia M. Meis
Clerk of the Supreme Court		Carolyn Taft Grosboll
Supreme Court Librarian		Geoffrey Pelzek
Supreme Court Reporter of Decisions	(04/01/16 to present) (07/01/15 to 04/30/16)	Jacob Jost Amy L. Tomaszewski
Supreme Court Marshal	(09/24/15 to present) (09/01/15 to 09/23/15) (07/01/15 to 08/31/15)	Jim Cimarossa Vacant Robert Shay
Supreme Court Legal Research Director		John Robinson
Supreme Court Chief Internal Auditor		John Bracco
Chief Legal Counsel		Janeve Zekich
Assistant Director - Administrative Services Division, AOIC	(09/01/17 to current) (07/26/17 to 08/31/17) (07/01/15 to 07/26/17)	Kara McCaffrey Kara McCaffrey (Acting) Kathleen O'Hara
Assistant Director - Civil Justice Division, AOIC		Danielle Hirsch
Assistant Director - Court Services Division, AOIC		Todd A. Schroeder
Assistant Director - Judicial Education Division, AOIC		Cyrana Mott

**STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2017**

AGENCY OFFICIALS (Continued)

Assistant Director - Judicial
Management Information Services
Division, AOIC

Skip Robertson

Assistant Director – Probation Services
Division, AOIC

(02/01/16 to present)
(01/01/16 to 01/31/16)
(07/01/15 to 12/31/15)

Richard Adkins
Vacant
Margaret Groot

Agency offices are located at:

Supreme Court

Supreme Court Building
200 East Capitol Avenue
Springfield, IL 62701

Administrative Offices of the Illinois Courts

222 North LaSalle Street
Chicago, IL 60601

3101 Old Jacksonville Road
Springfield, IL 62704

Supreme Court Reporter of Decisions

301 North 2nd Street
Springfield, Illinois 62702

Appellate Court Offices

First Appellate District
160 North LaSalle Street
Chicago, IL 60601

Second Appellate District
55 Symphony Way
Elgin, IL 60120

Third Appellate District
1004 Columbus Street
Ottawa, IL 61350

Fourth Appellate District
201 West Monroe Street
Springfield, IL 62794

Fifth Appellate District
14th & Main Streets
Mt. Vernon, IL 62864

**STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2017**

Agency offices are located at: (continued)

Mandatory Arbitration Offices

County courthouses or arbitration centers located throughout the State

Circuit Court and Associate Judges, and Miscellaneous Court Personnel

County courthouses and judicial facilities located throughout the State



Supreme Court of Illinois
ADMINISTRATIVE OFFICE OF THE ILLINOIS COURTS

Marcia M. Meis
Director

MANAGEMENT ASSERTION LETTER

222 North LaSalle Street, 13th Floor
Chicago, IL 60601
Phone: (312) 793-3250
Fax: (312) 793-1335

3101 Old Jacksonville Road
Springfield, IL 62704
Phone: (217) 558-4490
Fax: (217) 785-3905

January 24, 2018

Adelfia LLC
400 East Randolph Street
Suite 705
Chicago, Illinois 60601

Ladies and Gentlemen:

We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the Supreme Court of Illinois. We are responsible for and we have established and maintained an effective system of internal controls over compliance requirements. We have performed an evaluation of the Supreme Court's compliance with the following assertions during the two-year period ended June 30, 2017. Based on this evaluation, we assert that during the years ended June 30, 2016 and June 30, 2017, the Supreme Court has materially complied with the assertions below.

- A. The Supreme Court of Illinois has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The Supreme Court of Illinois has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The Supreme Court of Illinois has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the Supreme Court of Illinois are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.

E. Money or negotiable securities or similar assets handled by the Supreme Court of Illinois on behalf of the State or held in trust by the Supreme Court of Illinois have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate and in accordance with law.

Yours truly,
Supreme Court of Illinois

SIGNED ORIGINAL ON FILE

Marcia M. Meis
Administrative Director, Administrative Office of the Illinois Courts

SIGNED ORIGINAL ON FILE

Kara McCaffrey
Assistant Director, Administrative Office of the Illinois Courts

SIGNED ORIGINAL ON FILE

Janeve B. Zekich
Chief Legal Counsel, Administrative Office of the Illinois Courts

**STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2017**

COMPLIANCE REPORT

SUMMARY

The compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and in accordance with the Illinois State Auditing Act.

ACCOUNTANT'S REPORT

The Independent Accountant's Report on State Compliance, on Internal Control Over Compliance and on Supplementary Information for State Compliance Purposes does not contain scope limitations, disclaimers, or other significant non-standard language.

SUMMARY OF FINDINGS

<u>Number of</u>	<u>Current Report</u>	<u>Prior Report</u>
Findings	0	0
Repeated findings	0	0
Prior recommendations implemented or not repeated	0	0

SCHEDULE OF FINDINGS

No findings were noted during the compliance examination for the two years ended June 30, 2017.

EXIT CONFERENCE

In correspondence received from John Bracco, the State of Illinois, Supreme Court's (Court) Chief Internal Auditor, on December 20, 2017, the Court elected to waive a formal exit conference.



INDEPENDENT ACCOUNTANT’S REPORT ON STATE COMPLIANCE,
ON INTERNAL CONTROL OVER COMPLIANCE, AND ON
SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

Honorable Frank J. Mautino
Auditor General
State of Illinois

Compliance

As Special Assistant Auditors for the Auditor General, we have examined the State of Illinois, Supreme Court’s (Court) compliance with the requirements listed below, as more fully described in the Audit Guide for Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (Audit Guide) as adopted by the Auditor General, during the two years ended June 30, 2017. The management of the Court is responsible for compliance with these requirements. Our responsibility is to express an opinion on the Court’s compliance based on our examination.

- A. The Court has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The Court has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The Court has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the Court are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the Court on behalf of the State or held in trust by the Court have been properly and legally administered and the accounting and recordkeeping relating thereto is proper, accurate, and in accordance with law.

Our examination was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States; the Illinois State Auditing Act (Act); and the Audit Guide as adopted by the Auditor General pursuant to the Act (the Audit Guide). Those standards, the Act, and the Audit Guide require that we plan and perform the examination to obtain reasonable assurance about whether the Court complied, in all material respects, with the specified requirements listed above. An examination involves performing procedures to obtain evidence about whether the Court complied with the specified requirements listed above. The nature, timing and extent of the procedures selected depend on our judgement, including an assessment of the risks of material noncompliance, whether due to fraud or error.

We believe that the evidence we obtained is sufficient and appropriate to provide a reasonable basis for our opinion. Our examination does not provide a legal determination on the Court's compliance with specified requirements.

In our opinion, the Court complied, in all material respects, with the compliance requirements listed in the first paragraph of this report during the two years ended June 30, 2017.

The purpose of this report on compliance is solely to describe the scope of our testing and the results of that testing in accordance with the requirements of the Audit Guide issued by the Illinois Office of the Auditor General. Accordingly, this report is not suitable for any other purpose.

Internal Control

Management of the Court is responsible for establishing and maintaining effective internal control over compliance with the requirements listed in the first paragraph of this report. In planning and performing our examination, we considered the Court's internal control over compliance with the requirements listed in the first paragraph of this report to determine the examination procedures that are appropriate in the circumstances for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with the Audit Guide, issued by the Illinois Office of the Auditor General, but not for the purpose of expressing an opinion on the effectiveness of the Court's internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the Court's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with the requirements listed in the first paragraph of this report on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a requirement listed in the first paragraph of this report will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a

deficiency, or a combination of deficiencies, in internal control over compliance that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

As required by the Audit Guide, immaterial findings excluded from this report have been reported in a separate letter to your office.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Audit Guide, issued by the Illinois Office of the Auditor General. Accordingly, this report is not suitable for any other purpose.

Supplementary Information for State Compliance Purposes

Our examination was conducted for the purpose of forming an opinion on compliance with the requirements listed in the first paragraph of this report. The accompanying supplementary information for the years ended June 30, 2017 and June 30, 2016 in Schedules 1 through 7 and the Analysis of Operations Section is presented for purposes of additional analysis. We have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General to the June 30, 2017 and June 30, 2016 accompanying supplementary information in Schedules 1 through 7. However, we do not express an opinion on the accompanying supplementary information.

We have not applied procedures to the June 30, 2015 accompanying supplementary information in Schedules 3 through 7 and in the Analysis of Operations Section, and accordingly, we do not express an opinion or provide any assurance on it.

SIGNED ORIGINAL ON FILE

Chicago, Illinois
January 24, 2018

**STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2017**

SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

SUMMARY

Supplementary Information for State Compliance Purposes presented in this section of the report includes the following:

- Fiscal Schedules and Analysis:
 - Schedule of Appropriations, Expenditures and Lapsed Balances - Fiscal Year 2017
 - Schedule of Appropriations, Expenditures and Lapsed Balances - Fiscal Year 2016
 - Comparative Schedule of Net Appropriations, Expenditures and Lapsed Balances
 - Comparative Schedule of Net Appropriations, Expenditures and Lapsed Balances -
Total by Major Object Code and by Fund
 - Comparative Schedule of Receipts, Disbursements and Fund Balance (Cash Basis) -
Locally Held Funds
 - Schedule of Changes in State Property
 - Comparative Schedule of Cash Receipts and Reconciliation of Cash Receipts to
Deposits Remitted to the State Comptroller
- Analysis of Operations (Not Examined):
 - Agency Functions and Planning Program (Not Examined)
 - Analysis of Significant Variations in Expenditures (Not Examined)
 - Analysis of Significant Variations in Receipts (Not Examined)
 - Analysis of Significant Lapse Period Spending (Not Examined)
 - Analysis of Accounts Receivable (Not Examined)
 - Budget Impasse Disclosures (Not Examined)
 - Alternative Financing in Lieu of Appropriations and Programs
To Address Untimely Payments to Vendors (Not Examined)
 - Interest Costs on Invoices (Not Examined)
 - Average Number of Employees (Not Examined)
 - Memorandums of Understanding (Not Examined)
 - Service Efforts and Accomplishments (Not Examined)

The accountant's report that covers the Supplementary Information for State Compliance Purposes presented in the Compliance Report Section states the accountants have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General to the June 30, 2017 and June 30, 2016 accompanying supplementary information in Schedules 1 through 7. However, the accountants do not express an opinion on the supplementary information. The accountant's report also states that they have not applied procedures to the Analysis of Operations Section, and accordingly, they do not express an opinion or provide any assurance on it.

STATE OF ILLINOIS
 SUPREME COURT
 COMPLIANCE EXAMINATION
 SCHEDULE OF APPROPRIATIONS, EXPENDITURES, AND LAPSED BALANCES
 Expenditure Authority for Fiscal Year 2017

For the Fifteen Months Ended September 30, 2017

Court-Ordered Expenditures FISCAL YEAR 2017	Expenditure Authority (Net of Transfers)	Expenditures Through June 30	Lapse Period Expenditures July 1 to September 30	Total	
				Expenditures 15 Months Ended September 30	Balances Lapsed September 30
<u>APPROPRIATED FUNDS</u>					
<u>GENERAL REVENUE FUND - 0001</u>					
Operational Expenses, Awards, Grants, Permanent Improvements, and Probation Reimbursements	\$ 344,821,200	\$ 331,916,382	\$ 12,904,818	\$ 344,821,200	\$ -
Total - General Revenue Fund	344,821,200	331,916,382	12,904,818	344,821,200	-
<u>SUPREME COURT SPECIAL PURPOSES FUND - 0030</u>					
Oversight and Management	1,500,000	198,054	68,833	266,887	1,233,113
Total - Supreme Court Special Purposes Fund	1,500,000	198,054	68,833	266,887	1,233,113
<u>MANDATORY ARBITRATION FUND - 0262</u>					
Mandatory Arbitration Programs	27,451,000	3,933,258	584,123	4,517,381	22,933,619
Total - Mandatory Arbitration Fund	27,451,000	3,933,258	584,123	4,517,381	22,933,619
<u>FOREIGN LANGUAGE INTERPRETER FUND - 0597</u>					
Foreign Language Interpreter Program	667,900	20,326	14,749	35,075	632,825
Total - Foreign Language Interpreter Fund	667,900	20,326	14,749	35,075	632,825
<u>LAWYERS' ASSISTANCE PROGRAM FUND - 0769</u>					
Lawyers' Assistance Program	973,000	510,258	-	510,258	462,742
Total - Lawyers' Assistance Program Fund	973,000	510,258	-	510,258	462,742
Total - Appropriated Funds	\$ 375,413,100	\$ 336,578,278	\$ 13,572,523	\$ 350,150,801	\$ 25,262,299

STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
SCHEDULE OF APPROPRIATIONS, EXPENDITURES, AND LAPSED BALANCES
Expenditure Authority for Fiscal Year 2017

For the Fifteen Months Ended September 30, 2017

Court-Ordered Expenditures FISCAL YEAR 2017	Expenditure Authority (Net of Transfers)	Expenditures Through June 30	Lapse Period Expenditures July 1 to September 30	Total	
				Expenditures 15 Months Ended September 30	Balances Lapsed September 30
		\$ 125,000	\$ -	\$ 125,000	
		125,000	-	125,000	
<u>NON-APPROPRIATED FUNDS</u>					
<u>SPECIAL STATE PROJECTS FUND - 0230</u>					
MacArthur Foundation		\$ 125,000	\$ -	\$ 125,000	
Total - Special State Projects Fund		125,000	-	125,000	
<u>SUPREME COURT FEDERAL PROJECTS FUND - 0269</u>					
State Court Improvement Data Program - Fiscal Year 2015		64,670	-	64,670	
State Court Improvement Training Program - Fiscal Year 2015		104,674	-	104,674	
State Court Improvement Basic Program - Fiscal Year 2015		55,135	-	55,135	
State Court Improvement Basic Program - Fiscal Year 2016		135,950	66,403	202,353	
State Court Improvement Training Program - Fiscal Year 2016		72,398	80,119	152,517	
State Court Improvement Data Program - Fiscal Year 2016		113,162	31,854	145,016	
Total - Supreme Court Federal Projects Fund		545,989	178,376	724,365	
Total - Non-Appropriated Funds		\$ 670,989	\$ 178,376	\$ 849,365	
GRAND TOTAL - ALL FUNDS		\$ 337,249,267	\$ 13,750,899	\$ 351,000,166	

Note 1: Expenditure authority, appropriations, expenditures, and lapsed balances were obtained from the State Comptroller's records as of September 30, 2017, and have been reconciled to Court records.

Note 2: Expenditure amounts are vouchers approved for payment by the Court and submitted to the State Comptroller for payment to the vendor.

Note 3: The Circuit Court of Cook County in *People v. Mungler* (15 CH 10243) ordered the State Comptroller, in the absence of enacted annual appropriations, to process and pay certified invoice vouchers from the State's judicial branch agencies at the level paid as of June 30, 2015. Therefore, the Court's Fiscal Year 2015 appropriation for Fund 001, 030, 0262, 0597, and 0769 was carried forward to become the Court's Fiscal Year 2017 expenditure authority for Fund 001, 030, 0262, 0597, and 0769.

STATE OF ILLINOIS
 SUPREME COURT
 COMPLIANCE EXAMINATION
 SCHEDULE OF APPROPRIATIONS, EXPENDITURES, AND LAPSED BALANCES
 Expenditure Authority for Fiscal Year 2016

For the Fourteen Months Ended August 31, 2016

Court-Ordered Expenditures	Expenditure Authority (Net of Transfers)	Expenditures Through June 30	Lapse Period Expenditures July 1 to August 31	Total Expenditures		Balances Lapsed August 31
				14 Months Ended August 31	August 31	
	\$ 344,821,200	\$ 332,115,175	\$ 12,706,025	\$ 344,821,200	\$	\$ -
FISCAL YEAR 2016	344,821,200	332,115,175	12,706,025	344,821,200		-
<u>APPROPRIATED FUNDS</u>						
<u>GENERAL REVENUE FUND - 0001</u>						
Operational Expenses, Awards, Grants, Permanent Improvements, and Probation Reimbursements						
Total - General Revenue Fund						
	1,500,000	-	-	-		1,500,000
	1,500,000	-	-	-		1,500,000
<u>SUPREME COURT SPECIAL PURPOSES FUND - 0030</u>						
Oversight and Management						
Total - Supreme Court Special Purposes Fund						
	27,451,000	3,652,638	311,416	3,964,054		23,486,946
	27,451,000	3,652,638	311,416	3,964,054		23,486,946
<u>MANDATORY ARBITRATION FUND - 0262</u>						
Mandatory Arbitration Programs						
Total - Mandatory Arbitration Fund						
	667,900	-	-	-		667,900
	667,900	-	-	-		667,900
<u>FOREIGN LANGUAGE INTERPRETER FUND - 0597</u>						
Foreign Language Interpreter Program						
Total - Foreign Language Interpreter Fund						
	973,000	504,427	-	504,427		468,573
	973,000	504,427	-	504,427		468,573
<u>LAWYERS' ASSISTANCE PROGRAM FUND - 0769</u>						
Lawyers' Assistance Program						
Total - Lawyers' Assistance Program Fund						
	375,413,100	336,272,240	13,017,441	349,289,681		26,123,419
Total - Appropriated Funds						

STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
SCHEDULE OF APPROPRIATIONS, EXPENDITURES, AND LAPSED BALANCES
Expenditure Authority for Fiscal Year 2016

For the Fourteen Months Ended August 31, 2016

Court-Ordered Expenditures	Expenditure Authority (Net of Transfers)	Expenditures Through June 30	Lapse Period Expenditures July 1 to August 31	Total	
				Expenditures 14 Months Ended August 31	Balances Lapsed August 31
FISCAL YEAR 2016					
NON-APPROPRIATED FUND					
<u>SUPREME COURT FEDERAL PROJECTS FUND - 0269</u>					
State Court Improvement Data Program - Fiscal Year 2014	\$ 70,379	\$ -	\$ -	\$ 70,379	
State Court Improvement Training Program - Fiscal Year 2014	112,026	646		112,672	
State Court Improvement Basic Program - Fiscal Year 2014	99,431	-		99,431	
State Justice Institute - Technical Assistance Grant - Fiscal Year 2015	50,000	-		50,000	
State Court Improvement Data Program - Fiscal Year 2015	94,489	63,703		158,192	
State Court Improvement Training Program - Fiscal Year 2015	38,326	80,408		118,734	
State Court Improvement Basic Program - Fiscal Year 2015	48,116	100,386		148,502	
Refund - State Court Improvement Training - Fiscal Year 2013	990	-		990	
Total - Supreme Court Federal Projects Fund	513,757	245,143		758,900	
Total - Non-Appropriated Fund	\$ 513,757	\$ 245,143		\$ 758,900	
GRAND TOTAL - ALL FUNDS	\$ 336,785,997	13,262,584		\$ 350,048,581	

Note 1: Expenditure authority, appropriations, expenditures, and lapsed balances were obtained from the State Comptroller's records as of September 30, 2016, and have been reconciled to Court records.

Note 2: Expenditure amounts are vouchers approved for payment by the Court and submitted to the State Comptroller for payment to the vendor.

Note 3: The Circuit Court of Cook County in *People v. Munger* (15 CH 10243) ordered the State Comptroller, in the absence of enacted annual appropriations, to process and pay certified invoice vouchers from the State's judicial branch agencies at the level paid as of June 30, 2015. Therefore, the Court's Fiscal Year 2015 appropriation for Fund 001, 030, 0262, 0597, and 0769 was carried forward to become the Court's Fiscal Year 2016 expenditure authority for Fund 001, 030, 0262, 0597, and 0769.

STATE OF ILLINOIS
 SUPREME COURT
 COMPLIANCE EXAMINATION
 COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES, AND LAPSED BALANCES
 For the Fiscal Years Ended June 30, 2017, 2016, and 2015

	FISCAL YEAR		
	2017	2016	2015
	Court-Ordered Expenditures	Court-Ordered Expenditures	P.A. 98-0679
APPROPRIATED FUNDS			
<u>GENERAL REVENUE FUND - 0001</u>			
Expenditure Authority (Net of Transfers)	\$ 344,821,200	\$ 344,821,200	\$ 344,821,200
Expenditures			
Operational Expenses, Awards, Grants, & Permanent Improvements	344,821,200	344,821,200	334,744,574
Total Expenditures	344,821,200	344,821,200	334,744,574
Lapsed Balances	\$ -	\$ -	\$ 10,076,626
<u>SUPREME COURT SPECIAL PURPOSES FUND - 0030</u>			
Expenditure Authority (Net of Transfers)	\$ 1,500,000	\$ 1,500,000	\$ 500,000
Expenditures			
Oversight and Management	266,887	-	-
Total Expenditures	266,887	-	-
Lapsed Balances	\$ 1,233,113	\$ 1,500,000	\$ 500,000
<u>MANDATORY ARBITRATION FUND - 0262</u>			
Expenditure Authority (Net of Transfers)	\$ 27,451,000	\$ 27,451,000	\$ 26,912,700
Expenditures			
Mandatory Arbitration Programs	4,517,381	3,964,054	3,118,252
Total Expenditures	4,517,381	3,964,054	3,118,252
Lapsed Balances	\$ 22,933,619	\$ 23,486,946	\$ 23,794,448
<u>FOREIGN LANGUAGE INTERPRETER FUND - 0597</u>			
Expenditure Authority (Net of Transfers)	\$ 667,900	\$ 667,900	\$ 654,800
Expenditures			
Foreign Language Interpreter Program	35,075	-	-
Total Expenditures	35,075	-	-
Lapsed Balances	\$ 632,825	\$ 667,900	\$ 654,800

STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES, AND LAPSED BALANCES
For the Fiscal Years Ended June 30, 2017, 2016, and 2015

	FISCAL YEAR		
	2017	2016	2015
	Court-Ordered Expenditures	Court-Ordered Expenditures	P.A. 98-0679
<u>LAWYERS' ASSISTANCE PROGRAM FUND - 0769</u>			
Expenditure Authority (Net of Transfers)	\$ 973,000	\$ 973,000	\$ 953,900
<u>Expenditures</u>			
Lawyers' Assistance Program	510,258	504,427	487,000
Total Expenditures	510,258	504,427	487,000
Lapsed Balances	\$ 462,742	\$ 468,573	\$ 466,900
<u>Total - Appropriated Funds</u>			
Expenditure Authority (Net of Transfers)	\$ 375,413,100	\$ 375,413,100	\$ 373,842,600
Total Expenditures	350,150,801	349,289,681	338,349,826
Lapsed Balances	\$ 25,262,299	\$ 26,123,419	\$ 35,492,774
NON-APPROPRIATED FUNDS			
<u>SUPREME COURT SPECIAL STATE PROJECTS FUND - 0230</u>			
<u>Expenditures</u>			
MacArthur Foundation	\$ 125,000	\$ -	\$ -
Total Expenditures	\$ 125,000	\$ -	\$ -
<u>SUPREME COURT FEDERAL PROJECTS FUND - 0269</u>			
<u>Expenditures</u>			
State Court Improvement Data Program - Fiscal Year 2014	\$ -	\$ 70,379	\$ 65,575
State Court Improvement Training Program - Fiscal Year 2014	-	112,672	64,777
State Court Improvement Basic Program - Fiscal Year 2014	-	99,431	83,525
Illinois Probation State Data Collection - Fiscal Year 2014	-	-	19,314
State Justice Institute - Technical Assistance Grant - Fiscal Year 2015	-	50,000	-
State Court Improvement Data Program - Fiscal Year 2015	64,670	158,192	180,763
State Court Improvement Training Program - Fiscal Year 2015	104,674	118,734	106,758
State Court Improvement Basic Program - Fiscal Year 2015	55,135	148,502	190,910
State Court Improvement Basic Program - Fiscal Year 2016	202,353	-	-
State Court Improvement Training Program - Fiscal Year 2016	152,517	-	-
State Court Improvement Data Program - Fiscal Year 2016	145,016	-	-
Refund - State Court Improvement Training - Fiscal Year 2013	-	990	-
Total Expenditures	\$ 724,365	\$ 758,900	\$ 711,622
<u>Total - Non-Appropriated Funds</u>			
Total Expenditures	\$ 849,365	\$ 758,900	\$ 711,622

STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES, AND LAPSED BALANCES
For the Fiscal Years Ended June 30, 2017, 2016, and 2015

- Note 1: Expenditure authority, appropriations, expenditures, and lapsed balances were obtained from the State Comptroller's records as of September 30, 2017 (for Fiscal Year 2017), and as of September 30, 2016 (for Fiscal Year 2016); and have been reconciled to Court records.
- Note 2: Expenditure amounts are vouchers approved for payment by the Court and submitted to the State Comptroller for payment to the vendor.
- Note 3: The Circuit Court of Cook County in *People v. Munger* (15 CH 10243) ordered the State Comptroller, in the absence of enacted annual appropriations, to process and pay certified invoice vouchers from the State's judicial branch agencies at the level paid as of June 30, 2015. Therefore, the Court's Fiscal Year 2015 appropriation for Fund 001, 030, 0262, 0597, and 0769 was carried forward to become the Court's Fiscal Year 2016 expenditure authority for Fund 001, 030, 0262, 0597, and 0769.
- Note 4: The Circuit Court of Cook County in *People v. Munger* (15 CH 10243) ordered the State Comptroller, in the absence of enacted annual appropriations, to process and pay certified invoice vouchers from the State's judicial branch agencies at the level paid as of June 30, 2015. Therefore, the Court's Fiscal Year 2015 appropriation for Fund 001, 030, 0262, 0597, and 0769 was carried forward to become the Court's Fiscal Year 2017 expenditure authority for Fund 001, 030, 0262, 0597, and 0769.

STATE OF ILLINOIS
 SUPREME COURT
 COMPLIANCE EXAMINATION
 COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES, AND LAPSED BALANCES
 TOTAL BY MAJOR OBJECT CODE AND BY FUND
 For the Fiscal Years Ended June 30, 2017, 2016, and 2015

	FISCAL YEAR		
	2017	2016	2015
	Court-Ordered Expenditures	Court-Ordered Expenditures	P.A. 98-0679
BY MAJOR OBJECT CODE			
TOTAL - APPROPRIATED FUNDS			
Expenditure Authority (Net of Transfers)	\$ 375,413,100	\$ 375,413,100	\$ 373,842,600
Expenditures			
Operational Expenses, Awards, Grants, & Permanent Improvements	344,821,200	344,821,200	334,744,574
Oversight and Management	266,887	-	-
Mandatory Arbitration Programs	4,517,381	3,964,054	3,118,252
Foreign Language Interpreter Program	35,075	-	-
Lawyers' Assistance Program	510,258	504,427	487,000
Total Expenditures	350,150,801	349,289,681	338,349,826
Lapsed Balances	\$ 25,262,299	\$ 26,123,419	\$ 35,492,774
TOTAL - NON-APPROPRIATED FUNDS			
Expenditures			
MacArthur Foundation	\$ 125,000	\$ -	\$ -
State Court Improvement Data Program - Fiscal Year 2014	-	70,379	65,575
State Court Improvement Training Program - Fiscal Year 2014	-	112,672	64,777
State Court Improvement Basic Program - Fiscal Year 2014	-	99,431	83,525
Illinois Probation State Data Collection - Fiscal Year 2014	-	-	19,314
State Justice Institute - Technical Assistance Grant - Fiscal Year 2015	-	50,000	-
State Court Improvement Data Program - Fiscal Year 2015	64,670	158,192	180,763
State Court Improvement Training Program - Fiscal Year 2015	104,674	118,734	106,758
State Court Improvement Basic Program - Fiscal Year 2015	55,135	148,502	190,910
State Court Improvement Basic Program - Fiscal Year 2016	202,353	-	-
State Court Improvement Training Program - Fiscal Year 2016	152,517	-	-
State Court Improvement Data Program - Fiscal Year 2016	145,016	-	-
Refund - State Court Improvement Training - Fiscal Year 2013	-	990	-
Total Expenditures	\$ 849,365	\$ 758,900	\$ 711,622

STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES, AND LAPSED BALANCES
TOTAL BY MAJOR OBJECT CODE AND BY FUND
For the Fiscal Years Ended June 30, 2017, 2016, and 2015

	FISCAL YEAR		
	2017	2016	2015
	Court-Ordered Expenditures	Court-Ordered Expenditures	P.A. 98-0679
BY FUND			
TOTAL - APPROPRIATED FUNDS			
<u>Expenditure Authority (Net of Transfers)</u>	\$ 375,413,100	\$ 375,413,100	\$ 373,842,600
<u>Expenditures</u>			
General Revenue Fund - 0001	344,821,200	344,821,200	334,744,574
Supreme Court Special Purposes Fund - 0030	266,887	-	-
Mandatory Arbitration Fund - 0262	4,517,381	3,964,054	3,118,252
Foreign Language Interpreter Fund - 0597	35,075	-	-
Lawyers' Assistance Program Fund - 0769	510,258	504,427	487,000
Total Expenditures	<u>350,150,801</u>	<u>349,289,681</u>	<u>338,349,826</u>
<u>Lapsed Balances</u>	<u>\$ 25,262,299</u>	<u>\$ 26,123,419</u>	<u>\$ 35,492,774</u>
TOTAL - NON-APPROPRIATED FUNDS			
Supreme Court Special State Projects Fund - 0230	\$ 125,000	\$ -	\$ -
Supreme Court Federal Projects Fund - 0269	<u>724,365</u>	<u>758,900</u>	<u>711,622</u>
Total Expenditures	<u>\$ 849,365</u>	<u>\$ 758,900</u>	<u>\$ 711,622</u>

- Note 1: Expenditure authority, appropriations, expenditures, and lapsed balances were obtained from the State Comptroller's records as of September 30, 2017 (for Fiscal Year 2017), and as of September 30, 2016 (for Fiscal Year 2016); and have been reconciled to Court records.
- Note 2: Expenditure amounts are vouchers approved for payment by the Court and submitted to the State Comptroller for payment to the vendor.
- Note 3: The Circuit Court of Cook County in *People v. Munger* (15 CH 10243) ordered the State Comptroller, in the absence of enacted annual appropriations, to process and pay certified invoice vouchers from the State's judicial branch agencies at the level paid as of June 30, 2015. Therefore, the Court's Fiscal Year 2015 appropriation for Fund 001, 030, 0262, 0597, and 0769 was carried forward to become the Court's Fiscal Year 2016 expenditure authority for Fund 001, 030, 0262, 0597, and 0769.
- Note 4: The Circuit Court of Cook County in *People v. Munger* (15 CH 10243) ordered the State Comptroller, in the absence of enacted annual appropriations, to process and pay certified invoice vouchers from the State's judicial branch agencies at the level paid as of June 30, 2015. Therefore, the Court's Fiscal Year 2015 appropriation for Fund 001, 030, 0262, 0597, and 0769 was carried forward to become the Court's Fiscal Year 2017 expenditure authority for Fund 001, 030, 0262, 0597, and 0769.

**STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
COMPARATIVE SCHEDULE OF RECEIPTS, DISBURSEMENTS AND
FUND BALANCE (CASH BASIS) - LOCALLY HELD FUNDS
For the Fiscal Years Ended June 30, 2017, 2016, and 2015**

	FISCAL YEAR		
	<u>2017</u>	<u>2016</u>	<u>2015</u>
<u>COURT'S SAFEKEEPING FUND - 1343</u>			
Cash Balance, July 1	\$ 5,032	\$ 5,032	\$ 10,032
Receipts	-	-	-
Disbursements	-	-	(5,000)
Cash Balance, June 30	<u>\$ 5,032</u>	<u>\$ 5,032</u>	<u>\$ 5,032</u>

The Court's Safekeeping Fund (1343) is reported as a locally held fund and is maintained in the State Treasury. The Appellate Court Districts deposit bail bond money for defendants whose cases are on appeal at the Appellate Court.

The above schedule is presented on the cash basis of accounting.

**STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
SCHEDULE OF CHANGES IN STATE PROPERTY
For the Fiscal Years Ended June 30, 2017 and 2016**

	<u>Library (1)</u>	<u>Land</u>	<u>Site Improvements</u>	<u>Buildings</u>	<u>Equipment</u>	<u>Capital Lease Equipment</u>	<u>Totals</u>
Balance June 30, 2015	\$ 5,755,710	\$ 677,042	\$ 97,019	\$ 38,107,619	\$ 25,831,950	\$ 9,934	\$ 70,479,274
Additions	437,868	-	-	-	1,269,654	11,057	1,718,579
Deletions and other adjustments	(24,553)	-	-	-	(6,069,542)	(432)	(6,094,527)
Net transfers	(146,669)	-	-	572,778	(490,091)	-	(63,982)
Balance June 30, 2016	6,022,356	677,042	97,019	38,680,397	20,541,971	20,559	66,039,344
Additions	429,212	-	-	-	867,068	-	1,296,280
Deletions and other adjustments	(325,150)	-	-	-	(56,892)	(9,934)	(391,976)
Net transfers	(44,445)	-	-	-	(1,001,417)	-	(1,045,862)
Balance June 30, 2017	<u>\$ 6,081,973</u>	<u>\$ 677,042</u>	<u>\$ 97,019</u>	<u>\$ 38,680,397</u>	<u>\$ 20,350,730</u>	<u>\$ 10,625</u>	<u>\$ 65,897,786</u>

(1) Amount represents library books held at the Supreme Court Library and the offices of the Supreme Court Justices.

This schedule has been reconciled to property reports submitted to the Office of the Comptroller.

**STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
COMPARATIVE SCHEDULE OF CASH RECEIPTS AND
RECONCILIATION OF CASH RECEIPTS TO DEPOSITS REMITTED TO
THE STATE COMPTROLLER
For the Fiscal Years Ended June 30, 2017, 2016, and 2015**

	FISCAL YEAR		
	2017	2016	2015
<u>General Revenue Fund - 0001</u>			
Supreme Court			
Pro rata share of salaries	\$ 169,058	\$ 170,905	\$ 168,011
Royalties	8,088	47,363	41,790
Court library fees	-	293	349
Prior Year Refunds	7,184	3,362	3,457
Miscellaneous	5,818	11,327	2,137
Total Supreme Court	<u>190,148</u>	<u>233,250</u>	<u>215,744</u>
Fourth Appellate Court			
Miscellaneous	1,000	-	-
Total Fourth Appellate Court	<u>1,000</u>	<u>-</u>	<u>-</u>
Fifth Appellate Court			
Miscellaneous	-	-	500
Total Fifth Appellate Court	<u>-</u>	<u>-</u>	<u>500</u>
Total cash receipts per Illinois Supreme Court	191,148	233,250	216,244
Less - In transit at End of Year	-	(64)	(866)
Plus - In transit at Beginning of Year	64	866	2,326
Total cash receipts per State Comptroller's Records	<u>\$ 191,212</u>	<u>\$ 234,052</u>	<u>\$ 217,704</u>
<u>Supreme Court Special Purposes Fund - 0030</u>			
Supreme Court Clerk	\$ 478,097	\$ 276,416	\$ 265,377
First Appellate Court	128,733	140,793	115,939
Second Appellate Court	39,090	39,956	33,746
Third Appellate Court	19,878	19,497	18,097
Fourth Appellate Court	15,424	21,870	18,991
Fifth Appellate Court	17,094	23,193	14,737
Total cash receipts per Illinois Supreme Court	698,316	521,725	466,887
Less - In transit at End of Year	(16,627)	(15,195)	(17,528)
Plus - In transit at Beginning of Year	15,195	17,528	9,441
Other Adjustments (Reversal of lost check)	-	-	(66)
Total cash receipts per State Comptroller's Records	<u>\$ 696,884</u>	<u>\$ 524,058</u>	<u>\$ 458,734</u>

**STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
COMPARATIVE SCHEDULE OF CASH RECEIPTS AND
RECONCILIATION OF CASH RECEIPTS TO DEPOSITS REMITTED TO
THE STATE COMPTROLLER
For the Fiscal Years Ended June 30, 2017, 2016, and 2015**

	FISCAL YEAR		
	2017	2016	2015
<u>Supreme Court Special State Projects Fund - 0230</u>			
Private Organization or Individual	\$ -	\$ -	\$ 125,000
Total cash receipts per Illinois Supreme Court	-	-	125,000
Less - In transit at End of Year	-	-	-
Plus - In transit at Beginning of Year	-	-	-
Total cash receipts per State Comptroller's Records	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 125,000</u>
<u>Supreme Court Federal Projects Fund - 0269</u>			
Prior year refund	\$ 5,289	\$ 1,154	\$ 4,266
Health and Human Services	733,884	715,546	554,846
State Justice Institute	50,000	50,000	50,000
Total cash receipts per Illinois Supreme Court	789,173	766,700	609,112
Less - In transit at End of Year	-	-	-
Plus - In transit at Beginning of Year	-	-	-
Total cash receipts per State Comptroller's Records	<u>\$ 789,173</u>	<u>\$ 766,700</u>	<u>\$ 609,112</u>
<u>Foreign Language Interpreter - 0597</u>			
Foreign Language Interpreter Program	\$ 37,318	\$ 41,404	\$ 539,013
Total cash receipts per Illinois Supreme Court	37,318	41,404	539,013
Less - In transit at End of Year	(1,700)	(2,385)	(820)
Plus - In transit at Beginning of Year	2,385	820	-
Other Adjustments (Unrecorded prior receipt)	-	-	(500,000)
Total cash receipts per State Comptroller's Records	<u>\$ 38,003</u>	<u>\$ 39,839</u>	<u>\$ 38,193</u>

STATE OF ILLINOIS
 SUPREME COURT
 COMPLIANCE EXAMINATION
 COMPARATIVE SCHEDULE OF CASH RECEIPTS AND
 RECONCILIATION OF CASH RECEIPTS TO DEPOSITS REMITTED TO
 THE STATE COMPTROLLER
 For the Fiscal Years Ended June 30, 2017, 2016, and 2015

	FISCAL YEAR		
	2017	2016	2015
Other Receipts Deposited on Behalf of the Illinois Supreme Court (Court)			
<u>Mandatory Arbitration Fund - 0262</u>			
Circuit Court			
Boone	\$ 12,548	\$ 12,112	\$ 13,696
Cook	2,792,446	2,937,974	2,861,085
DuPage	240,880	249,513	241,641
Ford	3,184	3,216	2,880
Henry	11,552	12,360	11,408
Kane	134,050	142,414	128,021
Lake	199,878	212,740	214,552
Madison	479,752	561,413	461,492
McHenry	82,168	85,496	90,312
McLean	41,284	44,480	44,272
Mercer	3,136	3,464	3,490
Rock Island	50,360	57,007	43,980
St. Clair	137,184	127,728	161,616
Whiteside	24,248	23,704	23,552
Will	191,464	207,796	209,504
Winnebago	91,076	97,572	98,756
Prior Year Refund	416	2,718	6,529
	<hr/>	<hr/>	<hr/>
Total cash receipts deposited on behalf of the Court	4,495,626	4,781,707	4,616,786
Less - In transit at End of Year	(271,372)	(2,418)	(17,834)
Plus - In transit at Beginning of Year	2,418	17,834	119,463
Total cash receipts per State Comptroller's Records	<hr/> <hr/>	<hr/> <hr/>	<hr/> <hr/>

Lawyers' Assistance Program Fund - 0769

Annual fees	\$ 733,594	\$ 510,055	\$ 504,924
	<hr/>	<hr/>	<hr/>
Total cash receipts deposited on behalf of the Court	733,594	510,055	504,924
Less - In transit at End of Year	-	-	-
Plus - In transit at Beginning of Year	-	-	-
Total cash receipts per State Comptroller's Records	<hr/> <hr/>	<hr/> <hr/>	<hr/> <hr/>

STATE OF ILLINOIS
 SUPREME COURT
 COMPLIANCE EXAMINATION
 COMPARATIVE SCHEDULE OF CASH RECEIPTS AND
 RECONCILIATION OF CASH RECEIPTS TO DEPOSITS REMITTED TO
 THE STATE COMPTROLLER
 For the Fiscal Years Ended June 30, 2017, 2016, and 2015

	FISCAL YEAR		
	2017	2016	2015
GRAND TOTAL - ALL FUNDS			
Total cash receipts per Illinois Supreme Court	\$ 1,715,955	\$ 1,563,079	\$ 1,956,256
Total cash receipts deposited on behalf of the Court	5,229,220	5,291,762	5,121,710
Less - In transit at End of Year	(289,699)	(20,062)	(37,048)
Plus - In transit at Beginning of Year	20,062	37,048	131,230
Other Adjustments	-	-	(500,066)
Total cash receipts per State Comptroller's Records	<u><u>\$ 6,675,538</u></u>	<u><u>\$ 6,871,827</u></u>	<u><u>\$ 6,672,082</u></u>

**STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
AGENCY FUNCTIONS AND PLANNING PROGRAM (NOT EXAMINED)
For the Two Fiscal Years Ended June 30, 2017**

Agency Functions

The Illinois Supreme Court (Court), in addition to being the State's highest court, is responsible for the State's unified trial court, one appellate court with five districts, and several supporting units. General administrative and supervisory authority over the court system is vested in the Supreme Court. Several advisory bodies assist with this mission by making recommendations to the Court. These include the Judicial Conference of Illinois and the various committees of the Court.

The Chief Justice is responsible for exercising the Court's general administrative and supervisory authority in accordance with the Court's rules. The Court appoints an Administrative Director to assist the Chief Justice in his or her duties. The staff of the Administrative Office of the Illinois Courts (AOIC) supports the Administrative Director.

Key support personnel exist at each level of the Court to assist judges with the administration of justice. At the Supreme Court level, this includes the Clerk, Librarian, and Reporter of Decisions, Marshal, Research Director and Chief Internal Auditor.

The Justices of the Supreme Court during the examination period were as follows:

- Lloyd A. Karmeier, Chief Justice (October 26, 2016 - Current)
- Rita B. Garman, Chief Justice (October 26, 2013 - October 25, 2016)
- Thomas L. Kilbride
- Robert R. Thomas
- Charles E. Freeman
- Anne M. Burke
- Mary Jane Theis

At the Appellate Court level, the presiding judge and judges of each Appellate District are assisted by the Clerk of the Appellate Court, Research Director, and their staff, who are appointed by the Appellate Judges.

Each circuit court is administered by a chief judge who is selected by the circuit court judges of the circuit. The chief judge is assisted by an administrative assistant and/or trial court administrator and other support staff.

The three levels of the courts: circuit, appellate, and supreme, all operate within clearly defined boundaries. The circuit court is the court of original jurisdiction which is divided into twenty-three circuits. Each circuit is located in one of the five appellate court districts. Cases enter the circuit court via the circuit court clerk's office in a county of the circuit. Cases may be appealed

**STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
AGENCY FUNCTIONS AND PLANNING PROGRAM (NOT EXAMINED) (continued)
For the Two Fiscal Years Ended June 30, 2017**

to the appellate court in the district containing the circuit court, or in certain circumstances, directly to the Supreme Court. After an appellate court decision, parties to the case may seek discretionary review by the Supreme Court.

In addition, the Supreme Court administers the appropriation made to the Illinois Courts Commission (Commission). The function of the Commission is to hear complaints against judges based upon investigations performed by the Judicial Inquiry Board. The Commission hears those complaints, makes findings and enters dispositive orders of dismissal or of imposition of sanctions. The Commission consists of five judges (one Supreme Court Justice, two Appellate Court Judges, and two Circuit Court Judges) and two citizen members appointed by the Governor. The Supreme Court Justice and the two Circuit Court Judges are appointed by the Supreme Court. The two Appellate Court Judges are appointed by the Appellate Court.

To assist the Supreme Court in the performance of its duties and functions, the Court appoints the following positions:

Administrative Director and Staff

The Executive Office, which is comprised of the Administrative Director, attorneys, and administrative staff, is largely responsible for coordinating Administrative Office staff support for the Supreme Court, Supreme Court committees and the committees of the Illinois Judicial Conference. Executive Office staff aid the Director in administering certain Supreme Court Rules; securing legal representation through the Office of the Attorney General; negotiating leases and contracts; overseeing the election of associate judges; coordinating the election processes with the Chief Justice; providing secretariat services to the Illinois Courts Commission and inventory control.

The Administrative Services Division develops the Judicial Branch budget; provides procurement; processes payment vouchers; processes AOIC receipts; maintains accounting records; maintains payroll records; coordinates employee benefit programs; maintains petty cash funds for the AOIC and the Supreme Court; and monitors the repair and renovation of State owned facilities.

The Civil Justice Division pursues strategies to leverage and initial access to justice reforms statewide. The Civil Justice Division's current priorities are to work with the Access to Justice Commission Forms Committee to promulgate statewide standardized forms; provide language access services and support to assist state courts in addressing language barriers and improve interpreter services, including administering for court interpreter certification and the AOIC interpreter registry; develop training materials and education programs for courts, clerks and other judicial stakeholders to assist with interacting with self-represented litigants; expand

**STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
AGENCY FUNCTIONS AND PLANNING PROGRAM (NOT EXAMINED) (continued)
For the Two Fiscal Years Ended June 30, 2017**

statewide civil justice data collection, research and analysis to aid in the development of innovative strategies to close the gap between the need for, and the availability of quality legal assistance; and monitor emerging local, state and national best practices and trends in the civil justice arena, including less lawyer-intensive and court-intensive solutions to certain legal problems.

The Court Services Division (CSD) provides ongoing legislative support services; labor relations services; assistance to circuit clerks; administers the automated disposition reporting program; facilitates the activities of the Circuit Court of Cook County to train and certify court interpreters. In addition, the CSD's responsibilities include the production of various reports.

The Judicial Education Division provides administrative oversight of continuing education programs for judges and court personnel; staffs the Committee on Education which, with the Court's approval, plans all judicial education programs sponsored by the Illinois Judicial Conference; and operates the Resource Lending Library.

The Judicial Management Information Services (JMIS) Division provides technology services to improve the procedures and efficiencies of court operations and allow the Illinois Courts to exchange data between courts, county agencies and other State organizations. JMIS staff oversees the installation of digital electronic recording.

The Probation Services Division provides services to the chief circuit judges and their probation officers in all circuits. The division sets standards for hiring and promoting probation officers; maintains a list of qualified applicants for probation positions; develops training programs; gathers statewide statistics and publishes reports; establishes standards for probation department compensation plans; develops and monitors probation programs to enhance services and sanctions for offenders supervised in the community and to provide effective alternatives to imprisonment.

Clerk of the Supreme Court

The Clerk of the Supreme Court directs a staff of deputies who process cases according to Supreme Court Rules, monitor the caseload of the Court, keep Court files and records, and maintain Court statistics. The Clerk's Office maintains a list of attorneys licensed to practice in the State and oversees the licensing of attorneys. The Clerk also registers and renews legal professional service corporations and associations, keeps files of judicial financial disclosure statements, and serves as a public information office for the Court.

**STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
AGENCY FUNCTIONS AND PLANNING PROGRAM (NOT EXAMINED) (continued)
For the Two Fiscal Years Ended June 30, 2017**

Supreme Court Librarian

The Supreme Court Librarian directs library operations and acquisitions of research materials. Library staff provides research and reference assistance to the Court. The library serves the Court, the judiciary, other State government agencies, attorneys and the public.

Reporter of Decisions

The Reporter of Decisions directs a staff which publishes opinions of the Supreme and Appellate Courts in the “Official Reports”. Employees also verify case citations; compose head notes, attorney lines, table of cases, topical summaries and other materials appearing in the “Official Reports”; and edit opinions for style and grammar.

Supreme Court Marshal

The Supreme Court Marshal attends each session of the Court. In addition, the Marshal directs a staff which provides security for justices and employees and conducts tours of the building.

Supreme Court Research Department

The Supreme Court Research Director supervises a staff of attorneys who provide legal research and writing assistance to the Court.

Supreme Court Internal Audit

The Supreme Court Chief Internal Auditor and staff perform audits of State funded activities of the Judicial Branch. In addition, Internal Audit annually assesses the adequacy of the internal controls for State funded activities.

Agency Planning Program

The Court annually convenes a Judicial Conference to consider the work of the courts and to suggest improvements in the administration of justice. Supreme Court Rule 41 established the membership of the conference, created the Executive Committee to assist the Supreme Court in conducting the conference and appointed the Administrative Office as the secretary of the Conference. The Chief Justice of the Illinois Supreme Court presides over both the Judicial Conference and the Executive Committee of the Conference, thus providing a strong link between the Judicial Conference and the Supreme Court.

**STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
AGENCY FUNCTIONS AND PLANNING PROGRAM (NOT EXAMINED) (continued)
For the Two Fiscal Years Ended June 30, 2017**

In addition to the Judicial Conference, the Court addresses administrative matters during each Court term. This includes consideration of modifications to Supreme Court Rules and discussions with the Administrative Director regarding administrative and budgetary matters.

The Court releases several publications each year which summarizes the Courts' operations. These include the "Annual Report of the Illinois Courts", "Annual Report of the Illinois Judicial Conference".

During the examination period, the Court continued implementation of several new initiatives as a result of the planning activities outlined above. In addition to the actions taken by the Judicial Conference and the changes made to the Supreme Court Rules, these initiatives included a State Court Improvement Program grant received from the United States Department of Health and Human Services. The purpose of the grant is to assess and improve the role, responsibilities and effectiveness of the State court system in regard to the State laws implementing Titles IV-B and IV-E of the Social Security Act and to other judicial aspects of the child welfare system.

**STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES (NOT EXAMINED)
For the Two Years Ended June 30, 2017**

The Illinois Supreme Court's (Court) explanations for significant fluctuations in total expenditures for each fund in excess of 20% and \$150,000 as presented in the Comparative Schedule of Net Appropriations, Expenditures, and Lapsed Balances (Schedule 3) are detailed below.

Fiscal Year 2017

Fund 0030 – Supreme Court Special Purposes Fund

Supreme Court Special Purposes Fund total expenditures increased by \$266,887 or 100% in Fiscal Year 2017 due to the addition of electronic filing manager services for two counties to facilitate statewide electronic filing of civil cases.

Fiscal Year 2016

Fund 0262 – Mandatory Arbitration Fund

Mandatory Arbitration Fund total expenditures increased by \$845,802 or 27% in Fiscal Year 2016 due to the Judicial Educational Conference held in Fiscal Year 2016.

**STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS (NOT EXAMINED)
For the Two Years Ended June 30, 2017**

The Illinois Supreme Court's (Court) explanations for significant fluctuations in total cash receipts for each fund in excess of 20% and \$50,000 as presented in the Comparative Schedule of Cash Receipts (Schedule 7) are detailed below.

Fiscal Year 2017

Fund 0030 – Supreme Court Special Purposes Fund

Supreme Court Special Purposes Fund receipts increased by \$176,591 or 34% in Fiscal Year 2017 mainly due to increase in fees for registration, licenses, dockets, appearances, opinions, copies, and certificates, postage, shipping, and miscellaneous fees received by the Supreme Court Clerk.

Fiscal Year 2016

Fund 0230 – Supreme Court Special State Projects Fund

Supreme Court Special State Projects Fund receipts decreased by \$125,000 or 100% in Fiscal Year 2016. The Court received a one-time grant from the MacArthur Foundation during Fiscal Year 2015.

Fund 0269 – Supreme Court Federal Projects Fund

Supreme Court Federal Projects Fund receipts increased by \$157,588 or 26% in Fiscal Year 2016 due to an increase in grants received from the Department of Health and Human Services.

Fund 0597 – Foreign Language Interpreter Fund

Foreign Language Interpreter Fund receipts decreased by \$497,609 or 92% in Fiscal Year 2016. The receipts in Fiscal Year 2015 included a fund transfer of \$500,000.

**STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING (NOT EXAMINED)
For the Two Years Ended June 30, 2017**

The Illinois Supreme Court's (Court) explanations for significant lapse period spending as presented in the Schedule of Appropriations, Expenditures, and Lapsed Balances for Fiscal Years 2017 and 2016 are detailed below. We considered lapse period spending in excess of 20% or more of the total expenditures to be significant.

Fiscal Year 2017

Appropriated Funds

Fund 0030 – Supreme Court Special Purposes Fund

Lapse period spending for oversight and management in Fiscal Year 2017 was \$68,833 (26% of total expenditures). Lapse period spending occurred due to timing of billings and subsequent payment of vouchers rendered in relation to the addition of electronic filing manager services for two counties to facilitate statewide electronic filing of civil cases.

Fund 0597 – Foreign Language Interpreter Fund

Lapse period spending on the foreign language interpreter program in Fiscal Year 2017 was \$14,749 (42% of total expenditures). Lapse period spending occurred due to timing of billings and subsequent payment of vouchers rendered for foreign language interpreters.

Non-Appropriated Fund

Fund 0269 – Supreme Court Federal Projects Fund

Lapse period spending on the State Court Improvement Basic Program, the State Court Improvement Training Program, and the State Court Improvement Data Program in Fiscal Year 2017 were \$66,403 (33% of total expenditures), \$80,119 (53% of total expenditures), and \$31,854 (22% of total expenditures), respectively. The federal Court Improvement Programs in Fund 0269 are generally for a two-year period beginning October 1 of the award year and concluding on September 30, two years later. The federal government permits the Court to pay for those items or services (during the federal lapse period) that were encumbered, purchased, ordered, and dedicated through and including September 30 of the respective grant period.

**STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING (NOT EXAMINED)
(continued)
For the Two Years Ended June 30, 2017**

Fiscal Year 2016

Non-Appropriated Fund

Fund 0269 – Supreme Court Federal Projects Fund

Lapse period spending on the State Court Improvement Data Program, the State Court Improvement Training Program, and the State Court Improvement Basic Program in Fiscal Year 2016 were \$63,703 (40% of total expenditures), \$80,408 (68% of total expenditures), and \$100,386 (68% of total expenditures), respectively. The federal Court Improvement Programs in Fund 0269 are generally for a two-year period beginning October 1 of the award year and concluding on September 30, two years later. The federal government permits the Supreme Court to pay for those items or services (during the federal lapse period) that were encumbered, purchased, ordered, and dedicated through and including September 30 of the respective grant period.

**STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
ANALYSIS OF ACCOUNTS RECEIVABLE (NOT EXAMINED)
For the Two Years Ended June 30, 2017**

General Revenue Fund

The Court had \$34,670 and \$44,210 of net accounts receivable at June 30, 2017 and 2016, respectively, in the General Revenue Fund (0001). June 30, 2016 balances represented amounts owed to the Administrative Office of Illinois Courts (AOIC) for reimbursement of lease services and salary overpayments.

Aged accounts receivable as of June 30, 2017 and 2016 were as follows for the General Revenue Fund:

	<u>June 30, 2017</u>		<u>June 30, 2016</u>	
<u>Days Outstanding</u>				
Current	\$ -	0%	\$ -	0%
1 - 30 days	-	0%	-	0%
31 - 90 days	1,502	4%	-	0%
91 - 180 days	939	3%	-	0%
181 days - 1 year	257	1%	38,553	87%
Over 1 year	31,972	92%	5,657	13%
	<hr/>		<hr/>	
Gross receivables	\$ 34,670	100%	\$ 44,210	100%
	<hr/>		<hr/>	
Uncollectible	-	0%	-	0%
	<hr/>		<hr/>	
Net receivables	<u>\$ 34,670</u>	<u>100%</u>	<u>\$ 44,210</u>	<u>100%</u>

**STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
ANALYSIS OF ACCOUNTS RECEIVABLE (NOT EXAMINED) (continued)
For the Two Years Ended June 30, 2017**

Supreme Court Specials Purposes Fund

The Court had \$331 and \$280 of net accounts receivable at June 30, 2017 and 2016, respectively, in the Supreme Court Special Purposes Fund (0230). These balances represented amounts owed to the First Appellate Court Clerks for certificates, opinions, photocopies, shipping, and cases filed for which fees have not been paid or waived.

Aged accounts receivable as of June 30, 2017 and 2016 were as follows for the Supreme Court Special Purposes Fund:

	<u>June 30, 2017</u>		<u>June 30, 2016</u>	
<u>Days Outstanding</u>				
Current	\$ 263	79%	\$ 201	72%
1 - 30 days	-	0%	79	28%
31 - 90 days	68	21%	-	0%
91 - 180 days	-	0%	-	0%
181 days - 1 year	-	0%	-	0%
Over 1 year	-	0%	-	0%
Gross receivables	331	100%	280	100%
Uncollectible	-	0%	-	0%
Net receivables	<u>\$ 331</u>	<u>100%</u>	<u>\$ 280</u>	<u>100%</u>

A Quarterly Summary of Accounts Receivable Report (C-97) is not filed with the State Comptroller for Mandatory Arbitration Program Fund (0262), Supreme Court Federal Projects Fund (0269), Foreign Language Interpreter Fund (0597), Lawyers' Assistance Program Fund (0769) and Court's Safekeeping Fund (1343) because these funds have no accounts receivable as of June 30, 2017 and 2016.

**STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
BUDGET IMPASSE DISCLOSURES (NOT EXAMINED)
For the Two Years Ended June 30, 2017**

Payment of Prior Year Costs in Future Fiscal Years

All of the Illinois Supreme Court's (Court) Fiscal Year 2016 and Fiscal Year 2017 costs were paid pursuant to court orders. The Court did not have any outstanding unpaid invoices from either Fiscal Year 2016 or Fiscal Year 2017 after the closure of the Fiscal Year 2016 Lapse Period on August 31, 2016, and the closure of the Fiscal Year 2017 Lapse Period on September 30, 2017, respectively.

**STATE OF ILLINOIS
 SUPREME COURT
 COMPLIANCE EXAMINATION
 ALTERNATIVE FINANCING IN LIEU OF APPROPRIATIONS AND PROGRAMS
 TO ADDRESS UNTIMELY PAYMENTS TO VENDORS (NOT EXAMINED)
 For the Two Years Ended June 30, 2017**

Transactions Involving the Illinois Finance Authority

The Illinois Supreme Court (Court) and its vendors did not participate in alternative financing in lieu of enacted appropriations involving the Illinois Finance Authority during Fiscal Year 2016 and Fiscal Year 2017.

Transactions Involving the Vendor Payment Program and Vendor Support Initiative Program

Vendor Payment Program (VPP)

In 2011, the State of Illinois (State) created the voluntary VPP in response to delays in payments for goods and services provided by the State’s vendors arising from the State’s cash flow deficit. The Department of Central Management Services (CMS) approved third party financing entities to act as “qualified purchasers” of accounts receivable from “participating vendors” who had submitted invoices which had not been paid by the State.

A participating vendor’s accounts receivable is eligible for the VPP if it is from an invoice unpaid by the State that is (1) not for medical assistance payments (2) where 90 days have passed since the proper bill date, which is (3) entitled to interest under the State Prompt Payment Act (Act) (30 ILCS 540) and (4) free of any liens or encumbrances. Under the terms of an agreement between a qualified purchaser and the participating vendor, the participating vendor receives payment for 90% of the receivable balance. The participating vendor, in turn, assigns its rights to the interest due under the Act to the qualified purchaser. When the State Comptroller ultimately pays the invoice, the participating vendor receives the remaining 10% due (less any offsets).

Notably, while CMS approved the qualified purchasers and provided information to vendors about VPP, neither CMS nor the State are parties to the assignment agreements.

The following chart shows the Court’s VPP transactions for Fiscal Year 2016 and Fiscal Year 2017:

VPP TRANSACTIONS

	Fiscal Year Ended June 30,	
	2017	2016
Dollar Value	\$476,604	\$391,113
Vendors	12	5
Invoices	109	11

**STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
ALTERNATIVE FINANCING IN LIEU OF APPROPRIATIONS AND PROGRAMS
TO ADDRESS UNTIMELY PAYMENTS TO VENDORS (NOT EXAMINED) (continued)
For the Two Years Ended June 30, 2017**

Vendor Support Initiative Program (VSI)

During Fiscal Year 2016 and Fiscal Year 2017, none of the Court's vendors participated in the Vendor Support Initiative Program (VSI).

**STATE OF ILLINOIS
 SUPREME COURT
 COMPLIANCE EXAMINATION
 INTEREST COSTS ON INVOICES (NOT EXAMINED)
 For the Two Years Ended June 30, 2017**

Prompt Payment Interest Costs

The Illinois Supreme Court (Court) plans to calculate prompt payment interest due to vendors under the State Prompt Payment Act (Act) (30 ILCS 540) using the vendor's proper bill date through the date the State Comptroller issues a warrant to the vendor, regardless of when and if an enacted appropriation existed during Fiscal Year 2016 and Fiscal Year 2017. The Act (30 ILCS 540/3-2) and the Illinois Administrative Code (74 Ill. Admin. Code 900.100) require interest to be paid under a daily simple interest rate of .033% (1% over a 30-day period) for every day elapsed following the 90th day after a vendor submits an eligible proper bill to the Court. The following chart shows the Court's prompt payment interest incurred related to Fiscal Year 2016 and Fiscal Year 2017 invoices, calculated on the accrual basis of accounting, through June 30, 2016 and June 30, 2017, by fund:

PROMPT PAYMENT INTEREST INCURRED

Year Ended June 30, 2016

Fund #	Fund Name	Invoices	Vendors	Dollar Value
001	General Revenue Fund	220	71	\$8,179
		<u>220</u>	<u>71</u>	<u>\$8,179</u>

PROMPT PAYMENT INTEREST INCURRED

Year Ended June 30, 2017

Fund #	Fund Name	Invoices	Vendors	Dollar Value
001	General Revenue Fund	520	195	\$47,558
		<u>520</u>	<u>195</u>	<u>\$47,558</u>

**STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
AVERAGE NUMBER OF EMPLOYEES (NOT EXAMINED)
For the Fiscal Years Ended June 30, 2017, 2016, and 2015**

The following table, prepared from Court records, presents the average number of employees by pay code:

	<u>2017</u>	<u>2016</u>	<u>2015</u>
Supreme Court Personal Services	120	124	132
Circuit Judges Assigned to the Appellate Court	11	11	13
Elected Judges of the Appellate Court	42	39	38
Appointed Judges of the Appellate Court	-	2	2
Administrative Assistants to Chief Circuit Judges	15	15	16
Law Clerks, First Appellate District	48	48	49
Law Clerks, Second Appellate District	18	18	18
Law Clerks, Third Appellate District	14	14	14
Law Clerks, Fourth Appellate District	14	14	14
Law Clerks, Fifth Appellate District	14	14	14
Retired Recalled Judges	1	4	4
Administrative Office of the Illinois Courts	118	112	111
Mandatory Arbitration	20	19	20
Circuit and Associate Judges	908	898	908
First Appellate District Personal Services	78	80	83
Second Appellate District Personal Services	24	23	35
Third Appellate District Personal Services	35	35	24
Fourth Appellate District Personal Services	24	23	24
Fifth Appellate District Personal Services	25	24	22
Supreme Court Justices	7	7	7
Judicial Support to Chief Circuit Judges	18	18	16
	<u>1,554</u>	<u>1,542</u>	<u>1,564</u>

**STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
MEMORANDUMS OF UNDERSTANDING (NOT EXAMINED)
For the Two Years Ended June 30, 2017**

<u>Fiscal Year</u>	<u>Memorandums of Understanding</u>	<u>Parties Involved Other Than the Court</u>	<u>Dates Involved</u>	<u>Description of Memorandums Requirements</u>
2010	Dell Emergency Response Agreement	Dell Marketing LLP	10/23/09-Indefinitely	Dell Marketing LLP will provide Dell hardware products and related software and documentation to Administrative Office of the Illinois Courts (AOIC). This agreement is for the purchase or loan of products, in the United States, during times of weather emergencies, regional disasters or national emergencies which might hinder AOIC from purchasing or acquiring products by other standard means.
2000	Direct input of obligations/purchase orders (PO) by AOIC	Illinois Office of the Comptroller	06/11/02 -Indefinitely	The Comptroller will allow AOIC to input POs directly into the Statewide Accounting Management System.
1984	Automated system for reporting conviction information	Office of the Illinois Secretary of State (SOS)	09/19/83-Indefinitely	AOIC shall accept, in tape format, conviction information from circuit clerks' offices with automated case processing systems. The AOIC shall then send to the SOS, in tape format, the conviction information on criminal offenses and traffic violations relating to unfitness to safely operate motor vehicles.

STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
MEMORANDUMS OF UNDERSTANDING (NOT EXAMINED) (continued)
For the Two Years Ended June 30, 2017

<u>Fiscal Year</u>	<u>Memorandums of Understanding</u>	<u>Parties Involved Other Than the Court</u>	<u>Dates Involved</u>	<u>Description of Memorandums Requirements</u>
1984	Automated system for reporting conviction information	Law Enforcement, Division of State Police (State Police)	10/11/83-Indefinitely	AOIC shall accept, in tape format, conviction information from circuit clerks' offices with automated case processing systems. The AOIC shall then send to the State Police, in tape format, the conviction information on criminal offenses and traffic violations issued and processed on State Police citations and complaints.

**STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED)
For the Two Years Ended June 30, 2017**

The Supreme Court of Illinois, in addition to being the State's highest court, is responsible for the State's unified trial court, one appellate court with five districts, and several supporting units. The Supreme Court has general administrative and supervisory authority over all courts in the State. This authority is exercised by the Chief Justice with the assistance of the Administrative Director and staff appointed by the Supreme Court. The Supreme Court hears appeals from lower courts and may exercise original jurisdiction in cases relating to revenue, mandamus, prohibition or habeas corpus. In addition, the Supreme Court oversees the practice of law by maintaining the role of attorneys and the licensing of corporations, associations, and limited partnerships in accordance with Supreme Court Rule 701 and 805 ILCS 305.

The Appellate Court hears appeals from the circuit courts and may exercise original jurisdiction when necessary to the complete determination of any case on review. The Appellate Court has powers of direct review of administrative action as provided by law. The presiding judge and judges of each appellate district are assisted by their respective staff, a clerk, and research director.

Circuit Courts have original jurisdiction over all justifiable matters except when the Supreme Court has original and exclusive jurisdiction relating to redistricting of the General Assembly and to the ability of the Governor to serve or resume office. Circuit courts have the power to review administrative action as provided by law.

The Supreme Court of Illinois and the Illinois General Assembly created court-annexed mandatory arbitration to reduce the backlog of civil cases and to provide litigants with a system in which their complaints could be more quickly resolved by a panel of three (3) attorney arbitrators.

The Illinois Constitution authorizes the Supreme Court to appoint an Administrative Director and staff to assist the Chief Justice in fulfilling administrative and supervisory duties. The Administrative Office is composed of seven divisions.

The Executive Division of the Administrative Office is comprised of the Administrative Director and staff who are responsible for coordinating and facilitating support for the Supreme Court, Supreme Court Committees, and the Committees of the Illinois Judicial Conference.

The Administrative Services Division provides fiscal, technical, and support services to the judicial branch.

**STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED) (continued)
For the Two Years Ended June 30, 2017**

The Civil Justice Division is involved in a wide range of activities and projects to help the legal system efficiently deliver outcomes that are fair and accessible to all court users, particularly to those who are low-income and vulnerable.

The Court Services Division is involved in a wide range of activities and projects affecting judges, circuit clerks, and the judicial branch of government generally.

The Judicial Education Division provides administrative oversight of continuing education for judges and court personnel.

The Judicial Management Information Services Division provides technology to the offices and staff of the Supreme and Appellate Courts, the Supreme and Appellate Court support units, the Administrative Office, and digital recording in the Circuit Court.

The Probation Services Division sets statewide standards for hiring, promoting, training, and monitoring probation officers and related services.

SUPREME COURT

Mission Statement: The Illinois court system serves the people by providing an impartial and accessible forum for resolving the disputes of citizens, interpreting laws, and protecting the rights and liberties guaranteed by our State and Federal Constitutions.

**Program Goals:
Objectives:**

1. Fairness: This goal includes the dignified treatment of all individuals, the application of the laws appropriate to the circumstances of individual cases and a judiciary that is representative of the diversity of the community.
2. Accessibility: Courts should be convenient, timely and affordable to everyone.
3. Accountability: This goal includes the ability of the court system and its judges and staff to use public resources efficiently.
4. Effectiveness: The ability of the courts to uphold the law and apply rules and procedures both timely and consistently across cases throughout State.

**STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED) (continued)
For the Two Years Ended June 30, 2017**

**Funds: General Revenue Fund
Statutory Authority: Illinois Constitution Article VI**

	Fiscal Year 2015 Actual	Fiscal Year 2016 Actual	Fiscal Year 2017 Target/ Projected	Fiscal Year 2017 Actual
Input Indicators				
Total expenditures - all sources (in thousands)	\$13,087	\$12,577	\$21,967	\$12,996
Total expenditures -State appropriated funds (in thousands)	\$13,087	\$12,577	\$21,967	\$12,996
Average monthly full-time equivalents	140	131	145	130
Output Indicators				
Number of attorneys overseen by the Supreme Court	93,798	94,294	N/A	94,643
Number of attorneys awarded licenses	2,909	2,420	N/A	2,262
Number of new corporations, associations and limited partnerships	415	410	N/A	435
Number of license renewals for corporations, associations, and limited partnerships	4,573	4,644	N/A	4,634
Number of new Supreme Court Rules adopted	-	1	N/A	12
Number of amended Supreme Court rules	35	61	N/A	94
Total cases filed	2,416	2,335	N/A	2,299
Number of Miscellaneous Record cases filed (a)	675	700	N/A	653
Number of Miscellaneous Docket cases filed (b)	172	128	N/A	204
Number of civil cases filed	592	588	N/A	573
Number of criminal cases filed	977	919	N/A	869
Percent of attorneys disciplined	0.12%	0.16%	N/A	0.12%
Total cases disposed	2,316	2,317	N/A	2,386
Percent of Miscellaneous Record cases disposed	26.7%	28.4%	N/A	30.8%
Percent of civil cases disposed	26.0%	25.9%	N/A	24.9%
Percent of criminal cases disposed	38.9%	40.0%	N/A	36.4%
Percent of Miscellaneous Docket cases disposed	8.4%	5.7%	N/A	7.9%
Efficiency/Cost-Effectiveness Indicators				
Average caseload per Judicial Officer	345	334	N/A	328
Average cost per case filed (in dollars)	\$5,417	\$5,386	N/A	\$5,653

**STATE OF ILLINOIS
 SUPREME COURT
 COMPLIANCE EXAMINATION
 SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED) (continued)
 For the Two Years Ended June 30, 2017**

- (a) Miscellaneous records consist primarily of attorney matters, including name change petitions, disciplinary cases, and bar admission motions.
- (b) Miscellaneous docket cases consist of conviction-related cases filed by prisoners representing themselves without legal counsel.

APPELLATE COURT

Mission Statement: The Illinois court system serves the people by providing an impartial and accessible forum for resolving the disputes of citizens, interpreting laws, and protecting the rights and liberties guaranteed by our State and Federal Constitutions.

**Program Goals:
 Objectives:**

1. Fairness: This goal includes the dignified treatment of all individuals, the application of the laws appropriate to the circumstances of individual cases and a judiciary that is representative of the diversity of the community.
2. Accessibility: Courts should be convenient, timely and affordable to everyone.
3. Accountability: This goal includes the ability of the court system and its judges and staff to use public resources efficiently.
4. Effectiveness: The ability of the courts to uphold the law and apply rules and procedures both timely and consistently across cases throughout State.

**Funds: General Revenue Fund
 Statutory Authority: Illinois Constitution Article VI**

	Fiscal Year 2015 Actual	Fiscal Year 2016 Actual	Fiscal Year 2017 Target/ Projected	Fiscal Year 2017 Actual
Input Indicators				
Total expenditures -all sources (in thousands)	\$35,708	\$35,616	\$33,585	\$35,982
Total expenditures -State appropriated funds (in thousands)	\$35,708	\$35,616	\$33,585	\$35,982
Average monthly full-time equivalents	350	346	363	348

**STATE OF ILLINOIS
 SUPREME COURT
 COMPLIANCE EXAMINATION
 SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED) (continued)
 For the Two Years Ended June 30, 2017**

	Fiscal Year 2015 Actual	Fiscal Year 2016 Actual	Fiscal Year 2017 Target/ Projected	Fiscal Year 2017 Actual
Output Indicators				
Number of case opinions issued (a)	914	844	N/A	810
Number of Rule 23 Orders issued (b)	3,598	3,375	N/A	3,330
Total cases filed	7,885	7,017	N/A	6,461
Number of civil cases filed	4,312	3,795	N/A	3,439
Number of criminal cases filed	3,573	3,222	N/A	3,022
Outcome Indicators				
Total cases disposed	7,749	7,293	N/A	4,295
Percent of civil cases disposed	57.1%	57.5%	N/A	39.7%
Percent of criminal cases disposed	42.9%	42.5%	N/A	60.3%
Efficiency/Cost-Effectiveness Indicators				
Average caseload per Judicial Officer	146	130	N/A	120
Average cost per case filed (in dollars)	\$4,529	\$5,076	N/A	\$5,569

(a) Published cases

(b) Non-published orders or summary orders

CIRCUIT COURT

Mission Statement: The Illinois court system serves the people by providing an impartial and accessible forum for resolving the disputes of citizens, interpreting laws, and protecting the rights and liberties guaranteed by our State and Federal Constitutions.

Program Goals:

Objectives:

1. Fairness: This goal includes the dignified treatment of all individuals, the application of the laws appropriate to the circumstances of individual cases and a judiciary that is representative of the diversity of the community.
2. Accessibility: Courts should be convenient, timely and affordable to everyone.
3. Accountability: This goal includes the ability of the court system and its judges and staff to use public resources efficiently.

**STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED) (continued)
For the Two Years Ended June 30, 2017**

4. Effectiveness: The ability of the courts to uphold the law and apply rules and procedures both timely and consistently across cases throughout State.

**Funds: General Revenue Fund, Supreme Court Federal Projects Fund
Statutory Authority: Illinois Constitution Article VI**

	Fiscal Year 2015 Actual	Fiscal Year 2016 Actual	Fiscal Year 2017 Target/ Projected	Fiscal Year 2017 Actual
Input Indicators				
Total expenditures - all sources (in thousands) (a)	\$171,850	\$175,820	\$176,854	\$178,785
Total expenditures - State appropriated funds (in thousands)	\$171,158	\$174,761	\$176,854	\$177,936
Average monthly full-time equivalents	934	934	992	924
Output Indicators				
Total cases filed	2,818,679	2,674,396	N/A	2,510,457
Number of civil cases filed	577,641	583,900	N/A	575,060
Number of criminal cases filed	290,850	269,605	N/A	229,579
Number of traffic, conservation and ordinance filed	1,927,771	1,800,225	N/A	1,685,236
Number of juvenile cases filed	22,417	20,666	N/A	20,582
Total cases disposed	2,879,736	2,667,793	N/A	2,522,445
Percent of civil cases disposed	21.5%	22.7%	N/A	27.8%
Percent of criminal cases disposed	10.5%	10.1%	N/A	7.8%
Percent of traffic, conservation and ordinance disposed	67.2%	66.4%	N/A	63.6%
Percent of juvenile cases disposed	0.8%	0.8%	N/A	0.8%
Efficiency/Cost-Effectiveness Indicators				
Average caseload per Judicial Officer	3,125	3,046	N/A	2,818
Average cost per case filed (in dollars)	\$61	\$66	N/A	\$71
Cases filed per 1,000 population	220	208	N/A	196

- (a) Additional funding is provided by local government for operating costs.

**STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED) (continued)
For the Two Years Ended June 30, 2017**

MANDATORY ARBITRATION

Mission Statement: The Supreme Court of Illinois and the Illinois General Assembly created court-annexed mandatory arbitration to reduce the backlog of civil cases and to provide litigants with a system in which their complaints could be more quickly resolved by an impartial fact finder.

**Program Goals:
Objectives:**

1. Mandatory Arbitration programs provide an alternative resolution process to eligible litigants in order to resolve their dispute fairly and at a reduced cost.

**Source of Funds: General Revenue Fund, Mandatory Arbitration Fund
Statutory Authority: 735 ILCS 5/2-1001A et seq**

	Fiscal Year 2015 Actual	Fiscal Year 2016 Actual	Fiscal Year 2017 Target/ Projected	Fiscal Year 2017 Actual
Input Indicators				
Total expenditures - all sources (in thousands)	\$4,243	\$3,958	\$28,625	\$4,083
Total expenditures - State appropriated funds (in thousands)	\$4,243	\$3,958	\$28,625	\$4,083
Average monthly full-time equivalents	20	19	25	20
Output Indicators				
Civil cases placed on calendar	23,304	25,957	N/A	23,672
Outcome Indicators				
Number of civil cases disposed prior to hearing	7,301	9,068	N/A	7,658
Percent of cases disposed prior to hearing (a)	31.3%	34.9%	N/A	32.4%
Number of post-hearing dispositions (b)	2,698	1,924	N/A	784
Number of post-rejection dispositions (c)	1,442	2,097	N/A	2,772
Number of civil cases proceeded to trial (d)	177	311	N/A	278
Percent of civil cases proceeded to trial	0.8%	1.2%	N/A	1.2%
Efficiency/Cost-Effectiveness Indicators				
Average cost per civil case filed (in dollars)	\$182	\$152	N/A	\$172

(a) Civil cases in which the litigants reach a mutual agreement prior to an arbitration hearing.

**STATE OF ILLINOIS
 SUPREME COURT
 COMPLIANCE EXAMINATION
 SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED) (continued)
 For the Two Years Ended June 30, 2017**

- (b) Litigants go before a panel of 3 attorneys who hear their case. The panel renders a non-binding decision called an award. The case is disposed if the litigants accept or reject the award otherwise the case proceeds to trial.
- (c) Cases in which the litigants reach a mutual agreement prior to a trial.
- (d) Civil cases which have passed through the arbitration process without reaching an agreement.

PROBATION SERVICES

Mission Statement: To develop, establish, promulgate and enforce uniform standards for probation service in this State.

Program Goals:

Objectives:

1. Establish funding priorities that are consistent with identified policy and program initiatives, responsive to local needs and State mandates, and directed toward advancing the quality of probation services.

Source of Funds: General Revenue Fund, Supreme Court Federal Projects Fund

Statutory Authority: 730 ILCS 110/15

	Fiscal Year 2015 Actual	Fiscal Year 2016 Actual	Fiscal Year 2017 Target/ Projected	Fiscal Year 2017 Actual
Input Indicators				
Total expenditures - all sources (in thousands) (a)	\$99,945	\$107,383	\$99,570	105,120
Total expenditures - State appropriated funds (in thousands)	\$99,926	\$107,383	\$99,320	105,120
Average monthly full-time equivalents	24	20	29	22
Output Indicators				
Number of training events held for adult probation officers (b)	1	20	N/A	27
Number of training events held for juvenile probation officers (c)	14	13	N/A	10
Number training events held for detention probation officers	14	5	N/A	5

**STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED) (continued)
For the Two Years Ended June 30, 2017**

	Fiscal Year 2015 Actual	Fiscal Year 2016 Actual	Fiscal Year 2017 Target/ Projected	Fiscal Year 2017 Actual
Output Indicators				
Number of probation officers who received basic training (e)	104	142	N/A	193
Number of supervised probationers (f)	95,105	95,016	N/A	88,317
Number of training events non-specific (adult, detention) (g)	46	35	N/A	4
Percent of probation terms successfully completed: Adult	73.0%	73.0%	N/A	73.2%
Percent of probation terms revoked: Adult	11.0%	10.7%	N/A	11.7%
Efficiency/Cost-Effectiveness Indicators				
Average caseload per probation officer: Adult	80.0	80.0	N/A	75.5
Average caseload per probation officer: Juvenile	20.0	20.0	N/A	18.3
Average annual cost per offender: Standard (in dollars)	\$492	\$890	N/A	\$1,186
Average annual cost per offender: DUI specialized (in dollars)	\$1,083	\$1,536	N/A	\$1,488
Efficiency/Cost-Effectiveness Indicators				
Average annual cost per offender: Intensive supervision (in dollars)	\$1,798	\$2,549	N/A	\$2,364
Average annual cost per offender: Juvenile Detention (in dollars)	\$1,348	\$1,914	N/A	\$1,727

- (a) Additional funding is provided by local governments for operating costs.
- (b) In Fiscal Year 2015, there was 1 event specifically for adult probation officers with a total of 20 participants. In Fiscal Year 2016, there were 20 events specifically for adult probation officers with a total of 600 participants. In Fiscal Year 2017, there were 27 events specifically for adult probation officers with a total of 638 participants.
- (c) In Fiscal Year 2015, there were 14 events specifically for juvenile probation officers with a total of 128 participants. In Fiscal Year 2016, there were 13 events specifically for juvenile probation officers with a total of 182 participants. In Fiscal Year 2017, there were 10 events specifically for juvenile probation officers with a total of 137 participants.

**STATE OF ILLINOIS
SUPREME COURT
COMPLIANCE EXAMINATION
SERVICE EFFORTS AND ACCOMPLISHMENTS (NOT EXAMINED) (continued)
For the Two Years Ended June 30, 2017**

- (d) In Fiscal Year 2015, there were 7 events specifically for detention officers with a total of 130 participants. In Fiscal Year 2016, there were 5 events specifically for detention officers with a total of 97 participants. In Fiscal Year 2017, there were 5 events specifically for detention officers with a total of 94 participants.
- (e) In Fiscal Year 2015, there were 5 week long basic training events specifically for probation/detention officers with a total of 145 participants. In Fiscal Year 2016, there were 7 week long basic training events specifically for probation/detention officers with a total of 145 participants. In Fiscal Year 2017, there were 8 week long basic training events specifically for probation/detention officers with a total of 193 participants.
- (f) Data includes adult and juvenile probationers on standard probation and specialized probation caseloads as of the end of the State Fiscal Year (June 30). It does not include juveniles in detention.
- (g) In Fiscal Year 2015, there were 46 events which were not specific to adult and juvenile probation or detention, with a total of 1,102 participants. In Fiscal Year 2016, there were 35 events which were not specific to adult and juvenile probation or detention, with a total of 926 participants. In Fiscal Year 2017, there were 4 events which were not specific to adult and juvenile probation or detention, with a total of 49 participants.